

Algebraic higher symmetry and categorical symmetry – a holographic and entanglement view of symmetry

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A global symmetry (0-symmetry) in an n -dimensional space acts on the whole space. A higher symmetry acts on closed submanifolds (i.e. loops and membranes, *etc*), and those transformations form a higher group. In this paper, we introduce the notion of *algebraic higher symmetry*, which generalizes higher symmetry and is beyond higher group. We show that an algebraic higher symmetry in a bosonic system in n -dimensional space is characterized and classified by a *local fusion n -category*. We find that, when restricted to its symmetric sub-Hilbert space, an algebraic higher symmetry can be fully characterized by a noninvertible gravitational anomaly (i.e., a topological order in one higher dimension). Thus we also refer to non-invertible gravitational anomaly as *categorical symmetry* to stress its connection to symmetry. This provides a holographic and entanglement view of symmetries. For a system with a categorical symmetry, its gapped state must spontaneously break part (not all) of the symmetry, and the state with the full symmetry must be gapless. Using such a holographic point of view, we obtain (1) the gauging of the algebraic higher symmetry; (2) the classification of anomalies for an algebraic higher symmetry; (3) the equivalence between classes of systems, with different (potentially anomalous) algebraic higher symmetries or different sets of low energy excitations, as long as they have the same categorical symmetry; (4) the classification of gapped liquid phases for bosonic/fermionic systems with a categorical symmetry, as gapped boundaries of a topological order in one higher dimension (that corresponds to the categorical symmetry). This classification includes symmetry protected trivial (SPT) orders and symmetry enriched topological (SET) orders with an algebraic higher symmetry.

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I. INTRODUCTION

The notion of a symmetry plays a very important role in physics. A quantum system living on n -dimensional

space¹ M^n is defined by a vector space $\mathcal{V}$ formed by wave functions on M^n and a Hamiltonian H . A symmetry in such a system is a set of linear constraints on the allowed Hamiltonians. Since the Hamiltonian is always a sum of local operators $H = \sum_{\mathbf{x}} O_{\mathbf{x}}$, we can also more precisely describe a symmetry as a set of linear constraints on the allowed local operators. Those allowed local operators are called **symmetric local operators** and they form an algebra of symmetric local operators. A symmetric Hamiltonian is a sum of symmetric local operators. The algebra of symmetric local operators contains all the information about the symmetry and represents a very general way to describe the symmetry. In this paper, we will use this point of view to show that a symmetry in n -dimensional space is described a **local fusion n -category**.

By a “symmetry”, we usually mean a global symmetry, where we have a set of unitary operators W_{α} , labeled by α , acting on the whole space M^n (i.e. a symmetry transformation) which give rise to the following linear constraint on the local operators $W_{\alpha} O_{\mathbf{x}} = O_{\mathbf{x}} W_{\alpha}$. If one digs deeper, however, one finds that there are in fact several different kinds of global symmetries. In quantum field theories, we have anomaly-free global symmetries (gaugeable global symmetries) and anomalous global symmetries (not-gaugeable global symmetries or ‘t Hooft anomalies[1]). In lattice systems, we have on-site symmetries (where the symmetry transformation has a composition in terms of operators $W_{\alpha}(\mathbf{x})$ that acts only on lattice site labeled by $\mathbf{x}$: $W_{\alpha} = \otimes_{\mathbf{x}} W_{\alpha}(\mathbf{x})$) and non-on-site symmetries.[2, 3]

These different kinds of global symmetries are closely related. Consider a low energy effective field theory of a lattice model. The on-site symmetries in the lattice model becomes the anomaly-free global symmetries in the effective field theory, since the lattice on-site-symmetry is always gaugeable. The non-on-site symmetries in the lattice model become the anomalous global symmetries in the effective field theory.[3] For the symmetries related to spacial transformation, such as the lattice translation symmetry and point group symmetry, sometimes they become anomalous symmetry in the effective field theory, and sometimes they are anomaly-free. In this paper, we consider only internal symmetries instead of symmetries related to spacial transformations.

There are also gauge symmetries in field theories and lattice theories. But they are not symmetries in quantum systems, and should not be called symmetry at all.

Recently, in Ref. 4, the notion of a global symmetry was generalized to a k -form symmetry, which acts on all closed subspaces of codimension k and becomes the identity operator if the closed subspaces are contractible.

¹ Here, a n -dimensional space M^n actually means a triangulation of n -dimensional manifold. So M^n should be viewed as a n -dimensional simplicial complex. In this paper, we mainly consider discrete lattice systems.

It was stressed that many results and intuitions for global symmetries (the 0-form symmetries) can be extended to higher-form symmetries.

In fact, closely related higher symmetries had been studied earlier (but under various different names, such as logical operator, gauge-like symmetry, *etc*), where exactly solvable lattice Hamiltonians commuting with all closed string and/or membrane operators were constructed to realize topological orders [5–11]. We call a lattice symmetry generated by a k -codimensional operator as a k -symmetry, where the codimension in this paper is defined with respect to the space dimension. Similar to a k -form symmetry, a k -symmetry acts on closed subspaces of codimension k , but it does not become the identity operator when the closed subspaces are contractible. A higher symmetry is a symmetry in a lattice model. A higher symmetry reduces to a higher form symmetry in the ground state subspaces (*i.e.* in low energy effective topological quantum field theory). Our local fusion higher category description of symmetry includes those higher symmetries.

The emergence of higher symmetries was also studied before (again under different names, such as string-operators satisfying zero-law)[12], where it was found that, unlike usual global symmetry (*i.e.* 0-symmetry), the emergent higher symmetries cannot be destroyed by any local perturbations. Such a topological robustness was used to show that the emergent gapless $U(1)$ gauge bosons are robust against any local perturbations – a topological version of Goldstone theorem [12]. See Ref. 13–18 for some recent discussions of lattice higher symmetries, their emergence, anomalies and a classification of associated higher symmetry protected phases on lattice.

In this work, we study a new kind of symmetries that is beyond higher groups. We refer to the new symmetries as **algebraic higher symmetries**, and refer to higher groups as group-like higher symmetries. Algebraic higher symmetries include group-like higher symmetries as special cases.

Group-like higher symmetries and algebraic higher symmetries can both be generated by p -dimensional operators $W_\alpha(S^p)$ labeled by an index α and S^p (a p -dimensional closed submanifold), and $W_\alpha(S^p)$ only acts on the degrees of freedom near S^p . For a group-like higher symmetry, the k -dimensional operators satisfy a group-like algebra

$$W_\alpha(S^p)W_\beta(S^p) = W_\gamma(S^p), \quad (1)$$

while for an algebraic higher symmetry, they may satisfy a more general multiplication algebra[19]

$$W_\alpha(S^p)W_\beta(S^p) = \sum_\gamma N_{\alpha\beta}^\gamma W_\gamma(S^p). \quad (2)$$

In this case, the symmetry generator $W_\alpha(S^p)$ may be neither invertible nor unitary. Such kind of algebraic symmetries was studied in 1+1D conformal field theory via

non-invertible defect lines (where invertible defect lines are known to connect to symmetry)[20–23]. We believe that local fusion higher categories classify the anomaly-free algebraic higher symmetries, while anomalous algebraic higher symmetries are described by generic higher categories.

In Section IV, we discuss an example, a lattice model described by a Hamiltonian H , where the above algebraic higher symmetry does show up, *i.e.*

$$W_\alpha(S^p)H = HW_\alpha(S^p). \quad (3)$$

Then, in Sections VI and V, we discuss unbroken anomaly-free algebraic higher symmetry from a point of view of trivial symmetric product state and local fusion higher category. In Section VIE, we show that an algebraic higher symmetry can be fully described by a non-invertible gravitational anomaly[24] (which is the same as a topological order in one higher dimension[3, 25–27]), and this is a very useful way to view symmetry. To stress its relation to symmetry, we also refer to non-invertible gravitational anomaly (*i.e.* topological order in one higher dimension) as **categorical symmetry**[19]. In Section VIIB, we obtain a classification of gapped liquid phases for systems with a categorical symmetry. It includes the classification of symmetry protected trivial (SPT) phases and that of symmetry enriched topological (SET) phases for algebraic higher symmetry. In Section VIII, we describe the emergence of categorical symmetries from topological orders, when the excitations have a large separation of energy.

The main point of this paper is about anomaly-free algebraic higher symmetries that are generally described and classified by local fusion higher categories. We also study topological orders with algebraic higher symmetries. Our approach is based on fusion higher category description of topological orders,[25–31] which will be reviewed, clarified, and expanded in Section III. A brief summary of higher category description of topological orders can also be found in the first few subsections of Section II. This section tries to summarize the results of this paper for physics readers.

We have a more mathematical version of this paper published as Ref. 31. The present paper contains more physical results and has more physical discussions.

We remark that the precise definitions of fusion higher categories and local fusion higher categories are difficult due to the lack of the universally accepted and well-developed model for weak n -categories. In this paper, we try to give a physical definition via the notion of topological orders. Many related concepts for topological order in arbitrary dimensions and for higher categories are discussed this way in Section III.

We like to point out that the physical definition of topological orders given in Ref. 32 is based on microscopic lattice models. In fact, many physical concepts are defined via microscopic lattice models, and we refer to those kinds of definition as microscopic definitions. There are also many physical concepts which are defined

via macroscopic measurements, such as superfluidity defined via vanishing viscosity and quantization of vorticity. We refer to those kinds of definition as macroscopic definitions. In this respect, the definitions in mathematics are macroscopic definitions. So mathematical definitions are closer to physical experiments. Some notions in symmetry and topological orders are defined microscopically, such as topological excitations,[33–35] long range entanglement,[32] the characterization of algebraic higher symmetry by eqn. (2), *etc.* Some other notions are defined microscopically, such as topological degeneracy and the associated modular transformations[36–38], *etc.* So a lot of efforts of this work is to convert microscopic definitions to macroscopic definitions, when possible. We will use ph to indicate the microscopic definitions. Most results of this paper are presented via Propositions. Those results are physical results based various physical arguments and beliefs.

Throughout this work, we use $n\text{D}$ to denote the spacial dimension and $(n+1)\text{D}$ to denote the spacetime dimension, and the following convention of notations:

- $n\text{D}$ topological orders: A^n, B^n, C^n (mathsf font);
- fusion n -categories: $\mathcal{A}^n, \mathcal{B}^n, \mathcal{C}^n$ (mathcal font);
- braided fusion n -categories: $\mathcal{A}^n, \mathcal{B}^n, \mathcal{C}^n$ (eulscript font).

Throughout this paper, superscripts always mean the spacetime dimension, or level of higher category. Also in this paper, we only consider finite algebraic higher symmetry. We mostly consider bosonic systems, except in Sections VII C 3, VII C 4, and VII C 6. So when we say, for example, SPT orders, we mean SPT orders in bosonic system. In Sections VII C 3, VII C 4, and VII C 6, our results apply to both bosonic and fermionic systems, and even anyonic systems, via a more general notion of algebraic higher symmetry.

II. SUMMARY OF MAIN RESULTS

Since the main text of this paper is quite mathematical, in this section, we summarize the main results in less rigorous physical terms, and introduce concepts and notations along the way.

A. Category of topological orders

First, let us introduce some concepts and notations about topological order. Let $\mathfrak{M}^{n+1}$, called the moduli space, be the space of Hamiltonians that support gapped liquid ground state [39, 40]. An element in $\pi_0(\mathfrak{M}^{n+1})$ is a gapped liquid phase, *i.e.* a **topological order** which is denoted by M^{n+1} . So, a topological order is a gapped liquid phase[39, 40] (see Definition 8 which is a microscopic definition).

Trivial product state



FIG. 1. (a) an anomaly-free topological order $C_{\text{af}}^{n+1} \in \text{TO}_{\text{af}}^{n+1}$ in $(n+1)$ -dimensional spacetime can be realized on lattice in the same dimension, which can also be viewed as a boundary of a trivial product state in one higher dimension. The excitations in C_{af}^{n+1} are described by fusion n -category $\mathcal{C}_{\text{af}}^n$. (b) an anomalous topological order $C^{n+1} \in \text{TO}^{n+1}$ in $(n+1)$ -dimensional spacetime can be realized as a boundary of an anomaly-free topological order M^{n+2} in one higher dimension. The excitations in C^{n+1} are described by fusion n -category $\mathcal{C}^n$. The excitations in M^{n+2} are described by fusion $(n+1)$ -category $\mathcal{M}^{n+1}$.

A topological order in n -dimensional space is roughly described macroscopically by the following data:[25, 26]

1. the codimension-1, the codimension-2, *etc* excitations above the gapped liquid state (see Fig. 1);
2. the domain walls between two high dimensional excitations;
3. the domain walls connecting to other topological orders (see Fig. 2);
4. the monoid formed by the stacking topological orders.

Roughly, the data in the first two items describes a fusion n -category $\mathcal{M}^n$, which is a partial description of topological order M^{n+1} . If we add the data in the third and fourth items to fusion n -category $\mathcal{M}^n$, we get a full description of the topological order M^{n+1} (*i.e.* a full description of gapped liquid phase).

To incorporate all the above data in one framework, we can put all those topological orders in $(n+1)$ -dimensional spacetime together to form a **category TO^{n+1} of $(n+1)\text{D}$ topological orders**[26] (see Section III F). It consists of a collection of topological orders (called the objects or 0-morphisms of the category), and 1-codimensional gapped domain walls between two (not necessarily different) topological orders (called 1-morphisms of the category), and 2-codimensional domain walls between 1-codimensional domain walls (called 2-morphisms), so on and so forth. The top morphisms are $(n+1)$ -morphisms, which are local operators (satisfying certain symmetry constraints) acting on a spacetime point $(\mathbf{x}, t)$. The top morphisms can also be viewed as instantons in spacetime. The objects form a monoid under the stacking operation.

To be more precise, we distinguish two different categories: TO^{n+1} and $\text{TO}_{\text{af}}^{n+1}$. A topological order is called **anomaly-free** (*i.e.* in $\text{TO}_{\text{af}}^{n+1}$) if it can be realized by

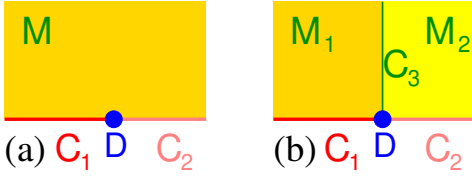


FIG. 2. (a) an anomaly-free domain wall D between two (potentially anomalous) topological orders C_1 and C_2 . (b) an anomalous domain wall D between two (potentially anomalous) topological orders C_1 and C_2 . D is a boundary of domain wall C_3 which is a (potentially anomalous) topological order.

lattice models in the same dimension (see Section III E and Fig. 1a), and is called **anomalous** if otherwise (see Section III F and Fig. 1b). [3, 25] An $(n-1)d$ domain wall between two anomaly-free nd topological orders is called anomaly-free if it can be realized by a $(n-1)d$ lattice wall between two $(n+1)d$ lattice-model realization of two adjacent $(n+1)d$ topological orders (see Fig. 2a), and is called anomalous if otherwise (see Fig. 2b). Anomaly-free/anomalous higher codimensional domain walls can be defined similarly. All potentially anomalous $(n+1)D$ topological orders form a category $\mathcal{TO}^{n+1}$, in which 1-morphisms in $\mathcal{TO}^{n+1}$ are defined by potentially anomalous 1-codimensional gapped domain walls, higher morphisms are higher codimensional gapped domain walls. See Ref. 26 for more details. Since objects in $\mathcal{TO}^{n+1}$ are topological orders, we simply use $A^{n+1}, B^{n+1}, C^{n+1} \in \mathcal{TO}^{n+1}$ to denote $(n+1)D$ topological orders (see Section III A). The superscript $n+1$ represents the spacetime dimension and may be omitted if it is manifest from the context. We denote the trivial $(n+1)D$ topological order by I^{n+1} (see Section III D), and denote the stacking of two $(n+1)D$ topological orders A^{n+1} and B^{n+1} by $A^{n+1} \otimes B^{n+1}$. The data I^{n+1} and $\otimes$ endow the $(n+1)$ -category $\mathcal{TO}^{n+1}$ with a structure of a symmetric monoidal $(n+1)$ -category. All $(n+1)D$ anomaly-free topological orders, together with all anomaly-free domain walls of all codimensions, form a symmetric monoidal $(n+1)$ -category of anomaly-free $(n+1)D$ topological orders, denoted by $\mathcal{TO}_{\text{af}}^{n+1}$ (see Section III E and Ref. 26 for more details).

We recall a few notions introduced in Ref. 26. We denote the trivial $(n-1)D$ domain wall between A^n and A^n by id_A , and the trivial $(n-2)D$ domain wall between id_A and id_A by id_A^2 , so on and so forth.

As objects in a higher category, the notion of “same topological order” is non trivial. Physically, two anomaly-free topological orders, M and M' , are equivalent if they can deform into each other smoothly without closing the energy gap (*i.e.* without phase transition), *i.e.* via a continuous path. However, there different paths that correspond to different ways that M and M' are equivalent. Those different classes of paths are described by $\pi_1(\mathcal{M}^{n+1})$.

Such a deformation corresponds to an **invertible do-**

main wall (which is always gapped, see Definition 21) between the two topological orders. Thus:

Definition 1. Two anomaly-free topological orders M and M' are called **equivalent** if they can be connected by an invertible domain wall $\hat{\gamma}$. We denote this isomorphism by $M \stackrel{\hat{\gamma}}{\simeq} M'$ or $\hat{\gamma} : M \simeq M'$. The invertible domain walls are classified by $\pi_1(\mathcal{M}^{n+1})$.

The objects in $\mathcal{TO}_{\text{af}}^{n+1}$ are actually the equivalent classes of topological orders, under the above equivalent relations (which correspond to isomorphisms in category). When we say two topological orders are the “same”, they can be equivalent in many different ways, described by different invertible domain walls.

B. Excitations in a topological order

In an nd potentially anomalous topological order $C^{n+1} \in \mathcal{TO}^{n+1}$ (*i.e.* in $(n+1)$ -dimensional spacetime), the point-like (0d), string-like (1d), ..., $(n-1)d$ excitations form a **fusion n -category**, which is denoted as $\text{Hom}(C^{n+1}, C^{n+1})$, or simply $\mathcal{C}^n$ (see Definition 17). By abusing the notation, we set

$$\Omega C^{n+1} := \text{Hom}(C^{n+1}, C^{n+1}) = \mathcal{C}^n. \quad (4)$$

We set the convention of the superscript: $\Omega C^{n+1} = \Omega(C^{n+1})$. Excitations of codimension-1 can be fused but not braided. If we exclude the 1-codimensional excitations, we obtain a braided fusion $(n-1)$ -category, which is precisely the **looping $\Omega \mathcal{C}^n$** of $\mathcal{C}^n$ (see Section III H). The fusion n -category $\mathcal{C}^n$ does not carry the full information about the nd topological order, since $\mathcal{C}^n$ only describes the excitations within the nd topological order. There are different topological orders (that differ by stacking **invertible topological orders** [25, 41, 42]) which have identical excitations. To fully describe an nd topological order C^{n+1} , we need not only the information about the excitations $\mathcal{C}^n$, but also the additional information on invertible topological orders. We can also say that nd potentially anomalous topological orders (without any symmetry) are classified, up to invertible topological orders, by fusion n -categories [25, 26, 30, 31] (see Proposition 17 and 21).

Similar to topological order, it is tricky to determine if two fusion higher categories are the “same” or not. In general we can only say whether the two fusion higher categories are equivalent or not.

Definition 2. Two fusion higher categories, $\mathcal{M}$ and $\mathcal{M}'$ are **equivalent** if there exist a functor $F : \mathcal{M} \rightarrow \mathcal{M}'$ and $G : \mathcal{M}' \rightarrow \mathcal{M}$ such that $F \circ G \simeq \text{id}_{\mathcal{M}}$ and $G \circ F \simeq \text{id}_{\mathcal{M}'}$, where $\simeq$ are natural isomorphisms. Such an equivalence F is denoted by $\mathcal{M} \stackrel{F}{\simeq} \mathcal{M}'$ or $F : \mathcal{M} \simeq \mathcal{M}'$.

Here, we like to clarify that, for simplicity we use the terms of functor, natural isomorphism, algebra object, *etc.*, while they should all be understood as higher categorifications in higher categories.

C. Holographic principle for topological order

It was pointed out in Ref. 25 that a potentially anomalous $(n+1)$ D topological order $\mathcal{C}^{n+1}$ uniquely determines an *anomaly-free* topological order $\mathcal{M}^{n+2}$ in one-higher dimension where $\mathcal{C}^{n+1}$ can be viewed as a boundary of $\mathcal{M}^{n+2}$ (see Fig. 1b). This boundary-bulk relation is the *holographic principle of topological order*: “anomaly” = “topological order in one-higher dimension”. [3, 25–27] Such a point of view on anomaly is quite different from viewing anomaly as a non-invariance of path integral measure, and the anomalies under the new point of view are in general non-invertible (since the topological orders are in general non-invertible). We denote this relation between two topological orders by

$$\mathcal{M}^{n+2} = \text{Bulk}(\mathcal{C}^{n+1}) \quad (5)$$

(see Proposition 16). Since $\mathcal{M}^{n+2}$ is anomaly-free, its bulk is trivial, i.e.

$$\text{Bulk}(\mathcal{M}^{n+2}) = \text{Bulk}^2(\mathcal{C}^{n+1}) = \mathbb{I}^{n+3}. \quad (6)$$

In other words, Bulk is a “categorified” differential.

In fact, we have a stronger version of the holographic principle (see eqn. (43) and Fig. 4b):

the excitations in the topological order $\mathcal{C}^{n+1}$, described by the fusion n -category $\Omega\mathcal{C}^{n+1}$, can already uniquely determine the bulk *anomaly-free* topological order $\mathcal{M}^{n+2}$. We denote the map from fusion higher categories $\Omega\mathcal{C}^{n+1}$ to topological orders $\mathcal{M}^{n+2}$ as

$$\text{bulk}(\Omega\mathcal{C}^{n+1}) = \mathcal{M}^{n+2}. \quad (7)$$

The bulk topological order which in turn determines a fusion n -category $\Omega\mathcal{M}^{n+2}$ describing excitations in $\mathcal{M}^{n+2}$. After dropping all 1-codimensional excitations, we obtain a braided fusion $(n-1)$ -category $\Omega^2\mathcal{M}^{n+2}$. For simplicity, throughout this work, we also use the following convention, for example,

$$\begin{aligned} \mathcal{C}^n &:= \Omega\mathcal{C}^{n+1}, & \mathcal{C}^{n-1} &:= \Omega\mathcal{C}^n = \Omega^2\mathcal{C}^{n+1}, \\ \mathcal{M}^{n+1} &:= \Omega\mathcal{M}^{n+2}, & \mathcal{M}^n &:= \Omega\mathcal{M}^{n+1} = \Omega^2\mathcal{M}^{n+2}. \end{aligned} \quad (8)$$

The boundary-bulk relation $\text{bulk}(\Omega\mathcal{C}^{n+1}) = \text{bulk}(\mathcal{C}^n) = \mathcal{M}^{n+2}$ reduces to the main results in Ref. 26 and 27 (see Section III I)

$$\mathcal{M}^n = Z_1(\mathcal{C}^n) \quad \text{or} \quad \Omega^2\mathcal{M}^{n+2} = Z_1(\Omega\mathcal{C}^{n+1}), \quad (9)$$

where Z_1 is the monoidal center (or E_1 -center, or Drinfeld center for fusion 1-categories). For a more detailed description of topological orders in arbitrary dimensions, see Section III, as well as Ref. 25–31.

D. Algebraic higher symmetry

Now, we are ready to describe algebraic higher symmetry. First, let us describe a very general view of symmetry.

Definition^{ph} 3. A *symmetry* is simply “a way” to select a set of local operators $\{O\}$, called **symmetric local operators**, that form a linear vector space:

$$O_1 + O_2 \in \{O\}, \quad \forall \quad O_1, O_2 \in \{O\}, \quad (10)$$

and form a linear algebra

$$O_1 O_2 \in \{O\}, \quad \forall \quad O_1, O_2 \in \{O\}. \quad (11)$$

The symmetric Hamiltonians are simply sums of those selected local operators.

The standard way to select the symmetric local operators is via symmetry transformations that form a group G :

$$\{O_G \mid W_g O_G = O_G W_g, \quad g \in G\}, \quad (12)$$

where the symmetry transformation W_g acts on the whole space. The Hamiltonians formed by the sums of local operators in $\{O_G\}$ is said to have a 0-symmetry G .

For a 0-symmetry given by a group G in spatial n -dimension, if the ground state of a symmetric Hamiltonian is a symmetric product state, then point-like excitations are described by the representations of G , which are called **charged particles**. We denote the category of these representations by $1\text{Rep}G \equiv \text{Rep}G$. These excitations can be fused and braided, and can be condensed to form higher dimensional excitations, called **condensation descendants**. All these excitations form a (symmetric) fusion n -category, denoted by $n\text{Rep}G$. Due to Tannaka duality [43] between $\text{Rep}(G)$ and G , the fusion and braiding properties (*i.e.* the conservation law) of the point-like excitations can fully determine the symmetry group G . When $n = 2$, we believe that the constructed $n\text{Rep}G$ outlined above is the same as that in Ref. 44.

In fact, fusion n -category $n\text{Rep}G$ can also determine a set of local operators in n -dimensional space, denoted as $\{O_{n\text{Rep}G}\}$. The set $\{O_{n\text{Rep}G}\}$ describes all possible local interactions among the excitations described by $n\text{Rep}G$ that preserve all the fusion and braiding properties of the excitations. For example, $\{O_{n\text{Rep}G}\}$ contain all the operators that create particle-anti-particle pairs. It also contain all the operators that create a small loop of string-like excitations, small ball of membrane-like excitations, *etc.* There are also potential interactions between those excitations. We believe, all those operators generate the whole set $\{O_{n\text{Rep}G}\}$. However, $\{O_{n\text{Rep}G}\}$ does not contain operators that create single particle that carries non-trivial representation (*i.e.* single charged particle). Such operators will break the symmetry.

In the above, we have described two ways (*i.e.* two symmetries) which select two sets of local operators, $\{O_G\}$ and $\{O_{n\text{Rep}G}\}$. We believe that

there is one-to-one correspondence between the local operators in the two sets, $\{O_G\}$ and $\{O_{n\text{Rep}G}\}$, such that the two corresponding local operators share the same properties (such as identical operator algebra relations). In other words, the linear algebras formed by $\{O_G\}$ and $\{O_{n\text{Rep}G}\}$ are isomorphic.

In general

Definition^{Ph} 4. *consider two symmetries (i.e. two ways) that select two sets of local operators $\{O\}$ and $\{O'\}$. The two symmetries are said to be **holographically equivalent (holo-equivalent)** if the linear algebras formed by $\{O\}$ and $\{O'\}$ are isomorphic,*

The reason we use the term *holographically* is due to the Propositions 1 and 2. Note that two holo-equivalent symmetries may be generated by transformations that are not related by a unitary transformation. So “holo-equivalent” is more general than “equivalent” for symmetries.

Thus, the symmetry described by the transformations G and the symmetry described by the fusion n -category $n\text{Rep}G$ are holo-equivalent. This correspondence represents a categorical view of symmetry, which is heavily used in Ref. 45 and 46.

The 0-symmetry transformations W_g that acts on the whole space can be generalized so that the generalized symmetry transformations W_i can act on any loops, any closed membranes, *etc.* We call the new symmetry *algebraic higher symmetry*, which can be beyond higher groups. The algebraic higher symmetry described by the transformations W_α select a set of local operators

$$\{O_W \mid W_\alpha O_W = O_W W_\alpha\}, \quad (13)$$

where α labels different symmetry transformations. The label α may include various closed subspaces of the space manifold, where the symmetry acts. In Section IV and V, we discuss some examples of algebraic higher symmetries via the symmetry transformations W_α . But a mathematical definition (i.e. a macroscopic definition not involving lattice) of algebraic higher symmetries in terms of symmetry transformations W_α is not easy to formulate.

In the following, we will use the categorical view of symmetry to obtain a mathematical definition of algebraic higher symmetry. First, we have a mathematical definition of **anomaly-free** property of algebraic higher symmetry:

Definition^{Ph} 5. *An nd algebraic higher symmetry is **anomaly-free** if there exists a symmetric gapped Hamiltonian in the same dimension whose ground state is a non-degenerate product state. Or in other words, the gapped ground state is non-degenerate for any closed space manifolds. Such non-degenerate ground state is called **trivial symmetric state**. The excitations on top of such a ground state are called **charge objects**, which carry “representations” of the algebraic higher symmetry.*

We note that the excitations (the charge objects) may be point-like, string-like, membrane-like, *etc.* In particular, for an algebraic k -symmetry that acts on closed subspace of codimension- k , its charge objects has dimension- k .

Motivated by the Tannaka duality of 0-symmetry described by a group, we propose that an anomaly-free algebraic higher symmetry in nd boson systems is completely characterized by the excitations on top of its trivial symmetric state. In this paper, we use this property to define algebraic higher symmetry.

Those excitations on a trivial symmetric state form a very special fusion n -category $\mathcal{R}$ (called the representation category of the symmetry). To see in which way the fusion n -category is special, we note that the symmetry described by $\mathcal{R}$ can be explicitly broken. This explicit symmetry breaking process will change $\mathcal{R}$ to another fusion n -category $n\text{Vec}$, where $n\text{Vec}$ describes point-like, string-like, *etc* excitations in a product state without any symmetry (see Section III H). So $\mathcal{R}$ is a special fusion n -category that is equipped with a top-faithful monoidal functor $\mathcal{R} \xrightarrow{\beta} n\text{Vec}$, where the functor β describes the explicit symmetry breaking process. Such a fusion n -category $\mathcal{R}$ is said to be local.

The anomaly-free bosonic **algebraic higher symmetries** are classified by local fusion n -categories $\mathcal{R}$, i.e. by the data $\mathcal{R} \xrightarrow{\beta} n\text{Vec}$ (see Fig. 3a and Section VI B).

We can use this classification as a formal definition of algebraic higher symmetry. For simplicity, in this paper, we usually drop β and use the representation category $\mathcal{R}$ to describe an algebraic higher symmetry. For example, a finite 0-symmetry G in n -dimensional space has a representation category $n\text{Rep}G$ and can also be referred as a $n\text{Rep}G$ symmetry.

As a symmetry, the algebraic higher symmetry characterized by $\mathcal{R}$, also select a set of symmetric local operators $\{O_{\mathcal{R}}\}$, which describe all possible local interactions between excitations described by $\mathcal{R}$. If the set of local operators selected by the transformations W_α (see eqn. (13)) has a one-to-one correspondence with the set of local operators selected by the local fusion n -category $\mathcal{R}$, i.e. if $\{O_W\} \simeq \{O_{\mathcal{R}}\}$, then $\mathcal{R}$ describes the algebraic higher symmetry defined by the transformations W_α .

It is possible that two local fusion n -categories, $\mathcal{R}$ and $\mathcal{R}'$, select the equivalent local operator algebras.

Definition^{Ph} 6. *If $\{O_{\mathcal{R}}\}$ and $\{O_{\mathcal{R}'}\}$ form isomorphic linear algebras (i.e. there is a one-to-one correspondence between $\{O_{\mathcal{R}}\}$ and $\{O_{\mathcal{R}'}\}$ such that the corresponding operators have the same operator algebra relations), then the two symmetries are called **holo-equivalent**.*

Later we will show that $n\text{Rep}G$ and $n\text{Vec}_G$ are both local fusion n -categories if G is a finite group. Their corresponding algebraic higher symmetries are holo-equivalent.

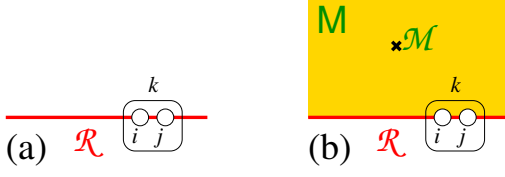


FIG. 3. (a) An algebraic higher symmetry in nd bosonic systems is fully characterized by its charge objects (the excitations in trivial symmetric state), which form a local fusion n -category $\mathcal{R}$. The symmetry selects a set of local operators $\{O_{\mathcal{R}}\}$ which are said to have the algebraic higher symmetry $\mathcal{R}$. (b) A categorical symmetry for bosonic systems in n -dimensional space is characterized by an anomaly-free topological order M in one higher dimension. The categorical symmetry M also select a set of local operators, which is given by all the boundary local interactions, $\{O_M\}$, of the bulk topological order M . An algebraic higher symmetry $\mathcal{R}$ is holo-equivalent to a categorical symmetry given by $M = \text{bulk}(\mathcal{R})$. The holo-equivalence means that the algebraic higher symmetry $\mathcal{R}$ and the categorical symmetry $M = \text{bulk}(\mathcal{R})$ select equivalent sets of local operators, *i.e.* there is one-to-one correspondence between $\{O_{\mathcal{R}}\}$ and $\{O_M\}$, such that the two corresponding local operators have the same operator algebra relations. In this sense, the systems with an algebraic higher symmetry $\mathcal{R}$ also have the categorical symmetry $M = \text{bulk}(\mathcal{R})$.

In this paper, we mainly discuss anomaly-free algebraic higher symmetry. For simplicity, by an algebraic higher symmetry we mean an anomaly-free algebraic higher symmetry unless indicated otherwise.

We further generalize the notion of algebraic higher symmetry, by introducing a notion of $\mathcal{V}$ -local fusion n -category (see Def. 28), which has a top-faithful surjective monoidal functor $\mathcal{R} \xrightarrow{\beta} \mathcal{V}$, where $\mathcal{V}$ is a fusion n -category. When $\mathcal{V} = n\text{Vec}$, $\mathcal{R}$ describes algebraic higher symmetry in nd bosonic systems. When $\mathcal{V} = ns\text{Vec}$, where $ns\text{Vec}$ is the fusion n -category of super n -vector spaces, $\mathcal{R}$ describes algebraic higher symmetry in nd fermionic systems.

The anomaly-free fermionic algebraic higher symmetries are classified by the data $\mathcal{R} \xrightarrow{\beta} ns\text{Vec}$, where $\mathcal{R}$ is a fusion n -category.

For some discussions on fermionic topological orders (with $\mathcal{R} = ns\text{Vec}$) see Ref. 47–49, and on fermionic SPT/SET orders (with $\mathcal{R} \xrightarrow{\beta} ns\text{Vec}$) see Ref. 46, 50–58.

More general choices of $\mathcal{V}$ can describe systems formed by anyons or other higher dimensional topological excitations. So the notion of a generalized algebraic higher symmetry allows us to study the symmetry of bosonic and fermionic systems at equal footing. It is interesting to see that the boson, fermion, and anyon statistics can be encoded in a generalization of algebraic higher symmetry.

E. Dual symmetry

An algebraic higher symmetry can be understood via a more general notion: *categorical symmetry*. Before explaining categorical symmetry, let us explain a simpler notion of dual symmetry. It was pointed out in Ref. 19 that an nd system with 0-symmetry G also has a dual algebraic $(n-1)$ -symmetry denoted by $\tilde{G}^{(n-1)}$.

We may use the holographic view to understand the appearance of the dual symmetry. We note that the symmetric sub-Hilbert space of a G -symmetric system in n -dimensional space can be viewed as a boundary of a one-higher-dimensional G -gauge theory [19, 59] denoted by GT_G^{n+2} . The fusion (the conservation) of the bulk point-like gauge charges in the G -gauge theory gives rise to the 0-symmetry G . The bulk GT_G^{n+2} also has $(n-1)d$ gauge flux. The fusion (the conservation) of the bulk gauge flux in the G -gauge theory gives rise to the algebraic $(n-1)$ -symmetry $\tilde{G}^{(n-1)}$ (see Section IV). We stress that both the 0-symmetry G and the dual algebraic $(n-1)$ -symmetry $\tilde{G}^{(n-1)}$ are present at all the boundaries if we view the boundaries as lattice boundary Hamiltonians or lattice boundary conditions [19] (for details, see next subsection). However, for a gapped boundary, viewed as a quantum ground state, one of the 0-symmetry and algebraic $(n-1)$ -symmetry, or some of their combinations must be spontaneously broken [19, 60].

If we condense all gauge flux, we obtain a boundary with the 0-symmetry G and the spontaneously broken algebraic $(n-1)$ -symmetry $\tilde{G}^{(n-1)}$. The boundary excitations are described by $n\text{Rep}G$. This boundary corresponds to the usual G -symmetric product state whose excitations are also described by $n\text{Rep}G$.

If we condensed all gauge charges, we obtain a boundary with the dual algebraic $(n-1)$ -symmetry $\tilde{G}^{(n-1)}$ and the spontaneously broken 0-symmetry G . The boundary excitations are described by a local fusion n -category $n\text{Vec}_G$. This is the usual spontaneous G -symmetry breaking state. The non-trivial fusion (the conservation) of the symmetry breaking domain walls is also described by $n\text{Vec}_G$, which gives rise to the dual algebraic $(n-1)$ -symmetry $\tilde{G}^{(n-1)}$. Thus the dual symmetry $\tilde{G}^{(n-1)}$ can also be represented by its representations category, which is just the fusion n -category, $n\text{Vec}_G$, of G -graded vector spaces. For such a boundary, the dual algebraic $(n-1)$ -symmetry $\tilde{G}^{(n-1)}$ is not spontaneously broken.

If the boundary Hamiltonians have both the 0-symmetry G and the dual algebraic $(n-1)$ -symmetry $\tilde{G}^{(n-1)}$, we should see a boundary phase where both the 0-symmetry G and the dual algebraic $(n-1)$ -symmetry $\tilde{G}^{(n-1)}$ are not spontaneously broken. Indeed, such a boundary phase does exist, and it must be gapless. This is because to get a gapped boundary, we must condense enough bulk excitations at the boundary, which break one of the 0-symmetry and algebraic $(n-1)$ -symmetry, or some of their combinations. If we do not condense any bulk excitations, the boundary can only be

gapless.[61, 62].

We see that it is better to view a system with G -symmetry as a boundary of the G -gauge theory in one-higher-dimension. This holographic point of view allows us to see the accompanying dual symmetry (i.e. the algebraic $(n-1)$ -symmetry $\tilde{G}^{(n-1)}$) clearly. Using a categorical language, the point-like excitations carrying group representations (the charge objects) in an nd G -symmetric product state generate a local fusion n -category $n\text{Rep}G$. The same local fusion n -category $n\text{Rep}G$ also describes the excitations on a boundary of G -gauge theory GT_G^{n+2} , i.e. $\text{GT}_G^{n+2} = \text{bulk}(n\text{Rep}G)$ (see Section IIII). This links the 0-symmetry G to the G -gauge theory GT_G^{n+2} in one higher dimension. The boundary with excitations $n\text{Rep}G$ can be obtained from GT_G^{n+2} by condensing the gauge flux.

GT_G^{n+2} has another boundary whose excitations are described by another fusion n -category $n\text{Vec}_G$. This boundary is obtained by condensing gauge charges. In this case, the gauge-flux excitations are not condensed, and their non-trivial fusion gives rise to the dual algebraic $(n-1)$ -symmetry $\tilde{G}^{(n-1)}$. In fact, $n\text{Vec}_G$ is the representation category that describes the charge objects of the dual symmetry $\tilde{G}^{(n-1)}$. In summary, we have

$$\text{GT}_G^{n+2} = \text{bulk}(n\text{Vec}_G) = \text{bulk}(n\text{Rep}G). \quad (14)$$

We see that both 0-symmetry G and its dual algebraic $(n-1)$ -symmetry $\tilde{G}^{(n-1)}$ share the same G -gauge theory GT_G^{n+2} in one higher dimension. Thus, we can view GT_G^{n+2} as a combined symmetry, denoted by $G \vee \tilde{G}^{(n-1)}$. The combined symmetry is referred as categorical symmetry. It is in this sense we say that the categorical symmetry $G \vee \tilde{G}^{(n-1)}$ is bigger than the symmetry G and the dual symmetry $\tilde{G}^{(n-1)}$. We like to mention that the combined symmetry is similar to the “materialized symmetry” in Ref. 5. However, there is a difference: the categorical symmetry $G \vee \tilde{G}^{(n-1)}$ is a symmetry on nd boundary, while the materialized symmetry is for $(n+1)d$ bulk.

It is possible to realize above model-independent discussion by concrete lattice models. We expect that Levin-Wen type of lattice models can be generalized to higher dimensions. Similar to the 2+1D case [34, 59], an $n+2$ D model is built on a chosen fusion n -category $\mathcal{C}$ and a gapped boundary is built on a chosen $\mathcal{C}$ -module. Then the G -gauge theory GT_G^{n+2} can be realized by such a lattice model by choosing $\mathcal{C} = n\text{Rep}G$. One of its gapped boundary $n\text{Rep}G$ can be realized by the boundary lattice model built on the obvious $n\text{Rep}G$ -module $n\text{Rep}G$. The other gapped boundary $n\text{Vec}_G$ can be realized by the boundary lattice model built on the $n\text{Rep}G$ -module $n\text{Vec}$, where the module structure on $n\text{Vec}$ is induced from the fiber functor $n\text{Rep}G \rightarrow n\text{Vec}$, and $n\text{Vec}_G$ is the category of $n\text{Rep}G$ -module endo-functors on $n\text{Vec}$. Mathematically, it is just a manifestation of Morita equivalence between $n\text{Rep}G$ and $n\text{Vec}_G$.

We would like to mention that a structure similar to categorical symmetry was found previously in AdS/CFT correspondence,[63–65] where a global symmetry G at the high-energy boundary is related to a gauge theory of group G in the low-energy bulk. In this paper, we stress that the categorical symmetry encoded by the bulk G -gauge theory not only contains the G symmetry at the boundary, it also contains a dual algebraic higher symmetry $\tilde{G}^{(n-1)}$ at the boundary. We developed a categorical theory for this holographic point of view for both 0-symmetry and algebraic higher symmetry. This allows us to gauge the algebraic higher symmetry, classify the anomalies for a given algebraic higher symmetry, identify which algebraic higher symmetries are holo-equivalent, identify duality relations for low energy effective theories, and classify SET/SPT orders with a given algebraic higher symmetry.

F. Categorical symmetry – a holographic of view of symmetry

The above is just the simplest example of categorical symmetry. We can generalize the above discussion, and show that, when restricted to the symmetric sub-Hilbert space, an nd system with an algebraic higher symmetry $\mathcal{R}$ can be viewed as a boundary of an anomaly-free topological order $M = \text{bulk}(\mathcal{R})$ (see eqn. (43)). This allows us to see that our system actually has a categorical symmetry, characterized by topological order M .

Let us first define what is a categorical symmetry. In short,

- a categorical symmetry (15)
- = a non-invertible gravitational anomaly
- = a topological order in one higher dimension.

To give a more detailed definition, we note that a symmetry is explicitly defined via the algebra of the symmetric local operators that it selects. Let us define categorical symmetry this way.

Definition^{ph} 7. For an $(n+1)d$ anomaly-free topological order M , the corresponding **categorical symmetry** is given by

- a special boundary of M such that all the excitations in M are either condensed or have nearly zero energy gap. All the bulk excitations have an energy gap larger than a positive fixed value Δ_{bulk} . Those nearly zero-energy boundary excitations define a low energy boundary Hilbert space;
- the symmetric local operators $\{O_M\}$ are the local operators acting within the low energy boundary Hilbert space.

We note that a bulk topological order M can have many different special boundaries that satisfy the above conditions. We conjecture that different choices of the special boundaries give rise to different sets of symmetric

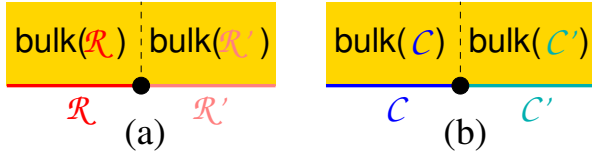


FIG. 4. (a) Two algebraic higher symmetries $\mathcal{R}$ and $\mathcal{R}'$ are holo-equivalent if they have the same categorical symmetry $\text{bulk}(\mathcal{R}) \simeq \text{bulk}(\mathcal{R}')$. (b) Two sets of low energy excitations $\mathcal{C}$ and $\mathcal{C}'$ are holo-equivalent if they have the same categorical symmetry $\text{bulk}(\mathcal{C}) \simeq \text{bulk}(\mathcal{C}')$. Here holo-equivalent means the states with symmetry $\mathcal{R}$ or $\mathcal{R}'$ (or formed by $\mathcal{C}$ or $\mathcal{C}'$) have an one-to-one correspondence.

local operators, $\{O_M\}$ and $\{O'_M\}$, that generate equivalent operator algebra. In other words, $\{O_M\}$ and $\{O'_M\}$ are holo-equivalent.

Although we define categorical symmetry via a topological order in one higher dimension, in fact, as pointed out in Ref. 19, at least some categorical symmetries can be defined via the *patch symmetry transformations* without going to one higher dimension. So we believe that the categorical symmetry is really a property of nd systems.

For an nd categorical symmetry described by an $(n+1)d$ topological order M , consider one of its special boundary, such that all the excitations in M are either condensed or have small but non-zero energy gap on the boundary. Here small means much smaller than the bulk gap Δ_{bulk} . In this case, the special boundary can be viewed as a gapped boundary, whose non-condensing excitations are described by a fusion n -category $\mathcal{R}$ that satisfy $\text{bulk}(\mathcal{R}) = M$. The fusion n -category $\mathcal{R}$ defines an algebraic higher symmetry which is holo-equivalent to the categorical symmetry M . In other words, $\mathcal{R}$ selects a set of symmetric local operators $\{O_{\mathcal{R}}\}$ and M selects a set of symmetric local operators $\{O_M\}$. The two sets of local operators generate equivalent algebra. We find that (see Fig. 3b and Section IIII).

Proposition 1. *an algebraic higher symmetry $\mathcal{R}$ and a categorical symmetry M are holo-equivalent, i.e. $\{O_{\mathcal{R}}\}$ and $\{O_M\}$ are isomorphic linear algebras, if and only if $M \simeq \text{bulk}(\mathcal{R})$.*

Using the notion of categorical symmetry, we can easily tell when two algebraic higher symmetries are holo-equivalent

Proposition 2. *two algebraic higher symmetries, $\mathcal{R}$ and $\mathcal{R}'$, are holo-equivalent if and only if $\text{bulk}(\mathcal{R}) \simeq \text{bulk}(\mathcal{R}')$ (see Fig. 4a).*

We note that the dimension-0, dimension-1, etc excitations described by M in the bulk topological order M , can be viewed as the dimension-0, dimension-1, etc excitations on the boundary, if they do not condense. The fusion rule of those bulk excitations corresponds to the conservation law, which leads to the categorical symmetry of the boundary (where the boundary is viewed as

a system). However, when the boundary is viewed as a ground state, some of the bulk excitations may condense on the boundary, and the categorical symmetry associated with those condensing excitations are spontaneously broken. So the boundary, when viewed as a system (i.e. as a Hamiltonian), has the full categorical symmetry. But when viewed as a state, the boundary may spontaneously break part of the categorical symmetry due to condensation of bulk excitations. From this point of view, the categorical symmetry has some special properties:[19, 60] For a system with a non-trivial categorical symmetry M ,

1. its gapped ground state must spontaneously break the categorical symmetry partially (i.e. some excitations in $M = \Omega^2 M$ condense);
2. it is impossible to spontaneously break the categorical symmetry completely in a gapped state, and possibly, nor in a gapless state (i.e. it is impossible to condense all excitations in M);
3. the symmetric ground state with the full categorical symmetry must be gapless (i.e. if none of the excitations in M condense, the boundary must be gapless).

To see an example of categorical symmetry, Ref. 19 shows that a Hamiltonian on n -dimensional lattice with 0-symmetry $\mathbb{Z}_2$ also has a $(n-1)$ -symmetry $\mathbb{Z}_2^{(n-1)}$. So the system actually has a larger $\mathbb{Z}_2 \vee \mathbb{Z}_2^{(n-1)}$ categorical symmetry. Such a $\mathbb{Z}_2 \vee \mathbb{Z}_2^{(n-1)}$ categorical symmetry is nothing but the $\mathbb{Z}_2$ topological order $\text{GT}_{\mathbb{Z}_2}^{n+2}$ (or $\mathbb{Z}_2$ gauge theory) in one higher dimension (i.e. in $(n+1)$ -dimensional space). The $\mathbb{Z}_2$ symmetry corresponds to the mod-2 conservation of the point-like $\mathbb{Z}_2$ gauge charge. The $\mathbb{Z}_2^{(n-1)}$ $(n-1)$ -symmetry corresponds to the mod-2 conservation of the $(n-1)$ -dimensional $\mathbb{Z}_2$ gauge flux.

The above system can have a gapped phase where $\mathbb{Z}_2^{(n-1)}$ is spontaneously broken and $\mathbb{Z}_2$ is not broken, which is the usual $\mathbb{Z}_2$ symmetric phase.[19] Using the categorical language, we may say that this phase has an (un-broken) algebraic higher symmetry characterized by the local fusion n -category $\mathcal{R} = n\text{Rep}\mathbb{Z}_2$ (which is nothing but the usual $\mathbb{Z}_2$ 0-symmetry).

The system can also have a gapped phase where $\mathbb{Z}_2$ is spontaneously broken and $\mathbb{Z}_2^{(n-1)}$ is not broken, which is the usual $\mathbb{Z}_2$ symmetry broken phase.[19] This phase has an (un-broken) algebraic higher symmetry characterized by the local fusion n -category $\mathcal{R} = n\text{Vec}_{\mathbb{Z}_2}$, which describes the conservation of symmetry-breaking domain walls.

The quantum critical point of the $\mathbb{Z}_2$ symmetry breaking transition has the full categorical symmetry $\mathbb{Z}_2 \vee \mathbb{Z}_2^{(n-1)}$. In particular, in 1-dimensional space ($n = 1$), the $\mathbb{Z}_2 \vee \mathbb{Z}_2^{(0)}$ categorical symmetry leads to the emergent $\mathbb{Z}_2 \times \mathbb{Z}_2$ symmetry for right-movers and left-movers [19].

G. Emergence of algebraic higher symmetry and categorical symmetry

In a practical nd condensed matter system, we often have an on-site 0-symmetry described by a symmetry group G . Then the system also has a $G \vee \tilde{G}^{(n-1)}$ categorical symmetry. But how to have a more general higher symmetry or algebraic higher symmetry $\mathcal{R}$, as well as their associated categorical symmetry $\mathbf{M} = \text{bulk}(\mathcal{R})$ in a practical condensed matter system? Certainly, we can try to realize algebraic higher symmetry by fine tuning. Here we will describe a situation to have an algebraic higher symmetry without fine tuning. In fact, algebraic higher symmetry and categorical symmetry can emerge at low energies.

We will first discuss the emergence of a categorical symmetry $\mathbf{M}$. Once we have an emergent categorical symmetry $\mathbf{M}$ (which may or may not be spontaneously broken), then we can determine the emergent algebraic higher symmetry $\mathcal{R}$ (which may or may not be spontaneously broken) by solving the equation $\text{bulk}(\mathcal{R}) = \mathbf{M}$. Such a equation may have many solutions for $\mathcal{R}$, but different solutions are all holo-equivalent.

Let us consider a topological order (with or without symmetry) on an nd lattice, whose excitations are described by a fusion n -category $\mathcal{C}$. $\mathcal{C}$ may contain topological excitations not associated with symmetry. $\mathcal{C}$ may also contain charge objects if we have symmetry. Assuming the excitations have a large separation of energy scale, such that all the low energy excitations (point-like, string-like, *etc*) are described a subcategory $\mathcal{C}^{\text{low}}$ of $\mathcal{C}$. All other excitations not in $\mathcal{C}^{\text{low}}$ have large energy gaps which is assumed to be infinity. Thus at low energies, we only see the excitations in $\mathcal{C}^{\text{low}}$. Here we treat all excitations in $\mathcal{C}^{\text{low}}$ at equal footing, and do not distinguish which excitations are charge objects from a symmetry and which excitations are topological excitations. In other words, we pretend all the excitations in $\mathcal{C}^{\text{low}}$ to be topological excitations and pretend the system to have a (potentially anomalous) topological order without symmetry, whose excitations are described by $\mathcal{C}^{\text{low}}$.

We see that once we know the low energy excitations $\mathcal{C}^{\text{low}}$ (which may contain possible charge objects from symmetry), the higher energy lattice regularization becomes irrelevant. Thus we can directly consider a field theory with low energy excitations $\mathcal{C}^{\text{low}}$. We ask what is the low energy emergent categorical symmetry? The answer is very simple:

the low energy effective categorical symmetry for a nd field theory with low energy excitations $\mathcal{C}^{\text{low}}$ is given by a topological order $\mathbf{M}^{\text{low}} = \text{bulk}(\mathcal{C}^{\text{low}})$ (see eqn. (43)) in one higher dimension.

Here by *field theory*, we mean a theory whose UV regularization is not specified. When we say a field theory have a property, we mean that there exist a UV regularization of the field theory, such that the regularized theory has the property. It is possible that the same field

theory with a different regularization may not have the property. In particular, when we say two field theories are connected by phase transitions, we mean that for any UV regularization of the first field theory, we can find a UV regularization for the second field theory, such that the regularized theories are connected by phase transitions.

The emergent categorical symmetry $\mathbf{M}^{\text{low}}$ is very useful (see Section VIII):

The categorical symmetry $\mathbf{M}^{\text{low}}$ represents the full information that controls all the low energy properties of the system.

For example, given a set of low energy excitations $\mathcal{C}^{\text{low}}$, we like to ask, when the low energy excitations condense, what kind new phases are possible? What kind of critical points are possible at the phase transitions? Do we have any principle to address those issues? The answer is yes, and the answer is the emergent categorical symmetry. This because all the possible low energy systems (described by all possible interactions of excitations in $\mathcal{C}^{\text{low}}$) share the same emergent categorical symmetry $\mathbf{M}^{\text{low}}$. We may view the emergent categorical symmetry $\mathbf{M}^{\text{low}}$ as an “topological invariant” of the low energy systems. We believe that all other topological invariants of the low energy systems are contained in the emergent categorical symmetry $\mathbf{M}^{\text{low}}$.

In this paper, we obtain many results assuming exact algebraic higher symmetry and categorical symmetry. Those result remain valid for systems with emergent categorical symmetry $\mathbf{M}^{\text{low}} = \text{bulk}(\mathcal{C}^{\text{low}})$. This allows us to apply the results of this paper to some practical situations. In the next subsection, we consider two applications along this line.

H. Categorical symmetry and duality

A symmetry is useful since it can constrain the properties of a system, such as possible phases and phase transitions, the critical properties at the phase transitions, *etc*. From the above discussion, we see that the constraint from a symmetry actually comes from the corresponding categorical symmetry. This is because the possible physical properties of a system with an algebraic higher symmetry $\mathcal{R}$ are the same as the possible physical properties of a boundary of the topological order $\mathbf{M} = \text{bulk}(\mathcal{R})$ in one higher dimension. In particular, as we have mentioned before, if two symmetries $\mathcal{R}$ and $\mathcal{R}'$ have the equivalent categorical symmetry $\text{bulk}(\mathcal{R}) \simeq \text{bulk}(\mathcal{R}')$, then the two symmetries provide the same constraint on the physical properties (see Fig. 3 and 4a), at least within the symmetric sub-Hilbert space. In this case, the two symmetries are holo-equivalent (see Sections VI E and VIII).

Here, we like state a stronger result:

if two algebraic higher symmetries $\mathcal{R}$ and $\mathcal{R}'$ have the equivalent monoidal center $Z_1(\mathcal{R}) \simeq Z_1(\mathcal{R}')$, then the two symmetries provide the same constraint on the physical properties, and the two symmetries are holo-equivalent.

In other words, the sets of local operators selected by the two symmetries, $\{O_{\mathcal{R}}\}$ and $\{O_{\mathcal{R}'}\}$, have an one-to-one correspondence (for example via a duality transformation, see Ref. 19) and generate the same algebra. The Hamiltonians as sums of those symmetric local operators also have an one-to-one correspondence, and the corresponding Hamiltonians have the same spectrum.

The above result is motivated by the following consideration: Let M^{inv} be an invertible topological order in $(n+1)$ -dimensional space, and $\mathcal{C}_0$ be the fusion n -category describing the excitations in one gapped boundary of M^{inv} . Then $\mathcal{R}$ and $\mathcal{R}' \equiv \mathcal{R} \otimes \mathcal{C}_0$ will have the same monoidal center $Z_1(\mathcal{R}') = Z_1(\mathcal{R})$, but different bulks: $\text{bulk}(\mathcal{R}') = M \otimes M^{\text{inv}} \neq \text{bulk}(\mathcal{R}) = M$. Therefore, requiring $Z_1(\mathcal{R}') = Z_1(\mathcal{R})$ does not imply $\text{bulk}(\mathcal{R}') \simeq \text{bulk}(\mathcal{R})$ and does not imply the holo-equivalence. However, if $\mathcal{R}$ is local and describe an algebraic higher symmetry, then $\mathcal{R}' = \mathcal{R} \otimes \mathcal{C}_0$ is not local and does not describe an algebraic higher symmetry. In other words, the excitations in $\mathcal{C}_0$ are topological, which comes from the invertible topological order M^{inv} in one higher dimension. Symmetry breaking cannot make them trivial. This is why we think that there is no top-faithful functor β that map $\mathcal{R}' = \mathcal{R} \otimes \mathcal{C}_0$ into $n\text{Vec}$. Thus we believe that

Proposition 3. *if $\mathcal{R}$ and $\mathcal{R}'$ are both local (i.e. both describe algebraic higher symmetries), then $Z_1(\mathcal{R}') \simeq Z_1(\mathcal{R})$ implies $\text{bulk}(\mathcal{R}') \simeq \text{bulk}(\mathcal{R})$.*

As a result, all possible phases in a system with $\mathcal{R}$ symmetry have a one-to-one correspondence with all possible phases in a system with $\mathcal{R}'$ symmetry. In fact, we have a stronger result, all possible states on a system with $\mathcal{R}$ symmetry have a one-to-one correspondence with all possible states on a system with $\mathcal{R}'$ symmetry. Those states include gapped states and gapless states *etc.* In Ref. 19, some lattice exact duality mappings were discussed for some very simple examples to explicitly demonstrate such a result. This duality relation can be an important application of categorical symmetry.

For example, an nd system with G 0-symmetry can be mapped to an nd system with the dual $\tilde{G}^{(n-1)}$ $(n-1)$ -symmetry, and vice versa. The G 0-symmetry and the $\tilde{G}^{(n-1)}$ $(n-1)$ -symmetry are holo-equivalent symmetries. Using the categorical notation, we say the $n\text{Rep}G$ symmetry and the $n\text{Vec}_G$ symmetry are holo-equivalent symmetries, since $Z_1(n\text{Rep}G) \simeq Z_1(n\text{Vec}_G)$.

The above duality result can be generalized even further (see Fig. 4b and Section VIII):

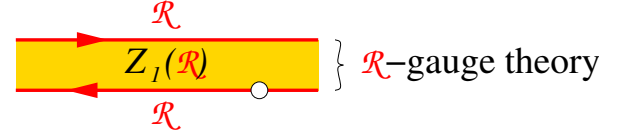


FIG. 5. Gauging the $\mathcal{R}$ -symmetry: stacking two local fusion n -category $\mathcal{R}$ over their common bulk $Z_1(\mathcal{R})$ gives rise to an fusion n -category $\mathcal{R} \otimes_{Z_1(\mathcal{R})} \mathcal{R}^{\text{rev}}$, describing the excitations in

nd anomaly-free topological order $\text{GT}_{\mathcal{R}}^{n+1}$, which is the $\mathcal{R}$ -gauge theory. The boundary Hamiltonians of $\mathcal{R}$ -gauge theory share the same low energy properties with the Hamiltonians with algebraic higher symmetry $\Omega\mathcal{R}$, if $\mathcal{R} = \Sigma\Omega\mathcal{R}$. We like to remark that $\mathcal{R}$'s on the two boundaries differ by a parity transformation as indicated by the arrows and superscript $^{\text{rev}}$.

Consider two nd field theories with low energy excitations described by two fusion n -categories $\mathcal{C}$ and $\mathcal{C}'$ respectively. The two field theories are dual to each other (i.e. are **holo-equivalent**), if they have equivalent categorical symmetries $\text{bulk}(\mathcal{C}) \simeq \text{bulk}(\mathcal{C}')$ (see eqn. (43)), provided that all other excitations remain to have high energies.

We like to remark that the two field theories may have different symmetries described by different charge objects, forming two different subcategories in $\mathcal{C}$ and $\mathcal{C}'$. The two field theories may also have different low energy topological excitations. In other words, we do not care which excitations are topological excitations and which excitations are charge objects of the symmetries.

When two systems have the same categorical symmetry M , both systems can be simulated by the boundaries of the same bulk topological order M (since the categorical symmetry is the bulk topological order). Hence the two systems are holo-equivalent. This means that the possible states of the system $\mathcal{C}$ (including condensed states, gapless states, *etc*) have an one-to-one correspondence with the possible states of the system $\mathcal{C}'$ (see Section VIII). Those states are just the possible boundary states of the same M .

I. Gauging the algebraic higher symmetry and the corresponding $\mathcal{R}$ -gauge theory

Given an nd product state with an on-site 0-symmetry G (i.e. an anomaly-free 0-symmetry), we can gauge the symmetry to obtain a state with topological order and no symmetry. The resulting topological order is nothing but the G -gauge theory GT_G^{n+1} . The excitations in GT_G^{n+1} are described by a fusion n -category ΩGT_G^{n+1} . In fact $\Omega\text{GT}_G^{n+1} = \Sigma Z_1((n-1)\text{Rep}(G))$, where Σ is the **delooping** (see Section IIIH).

Similarly, given an nd product state with an anomaly-free higher symmetry, we can gauge the higher symmetry to obtain a state with topological order and no symmetry.

The resulting topological order is described by a higher gauge theory.

Now given an nd product state with an anomaly-free algebraic higher symmetry $\mathcal{R}$, can we gauge the algebraic higher symmetry to obtain a state with topological order and no symmetry? If we can, then the corresponding topological order is a gauge theory for the algebraic higher symmetry $\mathcal{R}$. We denote such a gauge theory by $\text{GT}_{\mathcal{R}}^{n+1}$, the excitations in which form a fusion n -category $\Omega\text{GT}_{\mathcal{R}}$.

In this paper, we propose a way to gauge algebraic higher symmetry, which gives us a construction of $\mathcal{R}$ -gauge theory (by constructing the corresponding topological order $\text{GT}_{\mathcal{R}}^{n+1}$). Our approach is based on the holographic view of the $\mathcal{R}$ -symmetry, which is very different from the usual gauging based on spacetime dependent symmetry transformations.

Under the holographic point of view, an algebraic higher symmetry $\mathcal{R}$ gives rise to a 1-higher-dimensional topological order $\mathcal{M}$ such that $\Omega\mathcal{M} = Z_1(\mathcal{R})$ (see Fig. 3)b. Then the topological order obtained by gauging the $\mathcal{R}$ -symmetry in a symmetric product state can be obtained by simply stacking two $\mathcal{R}$ boundaries through their common bulk $Z_1(\mathcal{R})$ (see Fig. 5). This is an algebraic way to gauge an symmetry, which work for 0-symmetries, higher symmetries, and algebraic higher symmetries (see Section VII C 5).

The excitations in an nd $\mathcal{R}$ -gauge theory $\text{GT}_{\mathcal{R}}^{n+1}$ are described by an multi-fusion n -category

$$\Omega\text{GT}_{\mathcal{R}}^{n+1} = Z_0(\mathcal{R}) = \mathcal{R} \underset{Z_1(\mathcal{R})}{\otimes} \mathcal{R}^{\text{rev}}, \quad (16)$$

where $Z_0(\mathcal{R}) := \text{Fun}(\mathcal{R}, \mathcal{R})$ is the E_0 -center.

J. Dual of an algebraic higher symmetry

Using a similar holographic approach, we can also define the **dual symmetry** $\tilde{\mathcal{R}}$ for an arbitrary algebraic higher symmetry $\mathcal{R}$ as follows: $\mathcal{R}$ and $\tilde{\mathcal{R}}$ are dual to each other, if they have the same bulk $Z_1(\mathcal{R}) = Z_1(\tilde{\mathcal{R}}) = \mathcal{M}$ and if the stacking of $\mathcal{R}$ and $\tilde{\mathcal{R}}$ through their bulk gives rise to a trivial topological order (*i.e.* a product state, see Fig. 6, Def. 30, and Proposition 39).

$$\mathcal{R} \underset{\mathcal{M}}{\otimes} \tilde{\mathcal{R}}^{\text{rev}} = n\text{Vec}. \quad (17)$$

Such a definition reproduces our previous result: the dual of G 0-symmetry is the $\tilde{G}^{(n-1)}$ $(n-1)$ -symmetry, *i.e.* $n\text{Rep}(G)$ and $n\text{Vec}_G$ are dual to each other.[19]

We would like to mention that a gapped boundary of $\mathcal{M}$, such as $\mathcal{R}$, is induced by condensing some excitations in $\mathcal{M}$ at the boundary. The collection of those condensing excitations form a so called condensable algebra $A_{\mathcal{R}}$. The condensable algebra $A_{\mathcal{R}}$ uniquely determines the gapped boundary. A different condensable algebra

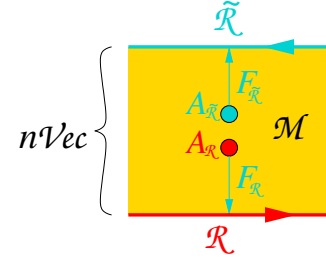


FIG. 6. Stacking $\mathcal{R}$ and $\tilde{\mathcal{R}}$ through their common bulk gives rise to a trivial product state $\mathcal{R} \underset{\mathcal{M}}{\otimes} \tilde{\mathcal{R}}^{\text{rev}} = n\text{Vec}$, if the two algebraic higher symmetries, $\mathcal{R}$ and $\tilde{\mathcal{R}}$, are dual to each other. Condensing the condensable algebra $A_{\mathcal{R}}$ (resp. $A_{\tilde{\mathcal{R}}}$) produce the $\mathcal{R}$ (resp. $\tilde{\mathcal{R}}$) boundary. The functor $F_{\mathcal{R}} : \mathcal{M} \rightarrow \mathcal{R}$, induced by moving the bulk excitations to the boundary, maps $A_{\mathcal{R}}$ to the trivial excitation on the $\mathcal{R}$ boundary. Similar for $F_{\tilde{\mathcal{R}}}$.

$A_{\tilde{\mathcal{R}}}$ unique determines a different gapped boundary $\tilde{\mathcal{R}}$. Roughly speaking, moving bulk excitation in $\mathcal{M}$ to the $\mathcal{R}$ boundary induces a map from $\mathcal{M}$ to $\mathcal{R}$, the mathematical description of which is a functor $F_{\mathcal{R}} : \mathcal{M} \rightarrow \mathcal{R}$. Under such a map, the condensable algebra $A_{\mathcal{R}}$ is mapped to the trivial excitations in $\mathcal{R}$ (*i.e.* condensed, see Fig. 6).

K. Anomalous algebraic higher symmetry

Can an algebraic higher symmetry have anomaly? How to describe its anomaly? First, an anomalous symmetry is characterized by two things: symmetry and anomaly. So an anomalous algebraic higher symmetry is characterized by a pair $(\mathcal{R}, \alpha)$, where $\mathcal{R}$ is for symmetry and α for anomaly.

For a 0-symmetry in n -dimensional space, an anomalous symmetry is characterized by a pair (G, ω_{n+2}) where $\omega_{n+2} \in H^{n+2}(G, U(1))$ is an $(n+2)$ -cocycle. A more physical way to understand the anomalous symmetry (G, ω_{n+2}) is to view it as the boundary symmetry of a 1-dimension-higher SPT state,[3] which is also characterized by the pair (G, ω_{n+2}) . We can gauge the G -symmetry in the SPT state to get a “twisted” G -gauge theory (the Dijkgraaf-Witten theory[66]), denoted by $\text{GT}_{G, \omega_{n+2}}^{n+2}$. In fact, $\text{GT}_{G, \omega_{n+2}}^{n+2}$ is the categorical symmetry that is holo-equivalent to the anomalous symmetry (G, ω_{n+2}) . Thus, we can also describe the anomalous symmetry (G, ω_{n+2}) via its holo-equivalent categorical symmetry $\text{GT}_{G, \omega_{n+2}}^{n+2}$, which is a “twisted” G -gauge theory in one higher dimension.[3, 19] This is the point of view that we will use in this paper.

In fact, under the holographic point of view, a “twisted” G gauge theory in one higher dimension defines an anomalous 0-symmetry. The boundaries of the “twisted” G gauge theory give rise to all possible phases (including symmetry breaking phases), as well as all other properties, of systems with the anomalous G 0-

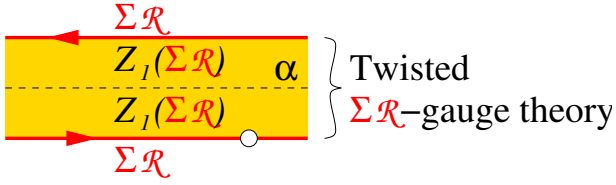


FIG. 7. The topological order described by a twisted $\Sigma\mathcal{R}$ gauge theory has excitations described by $\Sigma\mathcal{R} \otimes_{Z_1(\Sigma\mathcal{R})} \alpha \otimes_{Z_1(\Sigma\mathcal{R})} \Sigma\mathcal{R}^{\text{rev}}$. The twist is done by an automorphism α in $Z_1(\Sigma\mathcal{R})$, that keeps the condensable algebraic $A_{\Sigma\mathcal{R}}$ of the dual symmetry $\widehat{\Sigma\mathcal{R}}$ unchanged $\alpha(A_{\Sigma\mathcal{R}}) \simeq A_{\Sigma\mathcal{R}}$. The boundary Hamiltonians of twisted $\Sigma\mathcal{R}$ -gauge theory correspond to the Hamiltonians with anomalous algebraic higher symmetry $\mathcal{R}$.

symmetry.

Similarly, an nd bosonic system with an anomalous higher symmetry described by a higher group $\mathcal{B}(G, \pi_2, \dots)$ (using the notation in Ref. 67) has a holo-equivalent categorical symmetry characterized by a “twisted” higher gauge theory in one-higher dimension. The different anomalies for the higher group $\mathcal{B}(G, \pi_2, \dots)$ are (partially) characterized by cocycles in $H^{n+2}[\mathcal{B}(G, \pi_2, \dots); \mathbb{R}/\mathbb{Z}]$. The “twisted” higher gauge theory in one higher dimension defines the anomaly of the anomalous higher symmetry.

The categorical symmetry of an nd Hamiltonian with an anomaly-free algebraic higher symmetry $\mathcal{R}$ is given by $\mathbf{M} = \text{bulk}(\mathcal{R})$. We like to ask whether $\mathbf{M} = \text{bulk}(\mathcal{R})$ describes the $\mathcal{R}$ -gauge theory in one higher dimension. The answer is no, simply because $\mathcal{R}$ describes a symmetry in nd , not in one higher dimension $(n+1)d$. The gauge theory in one higher dimension cannot be a $\mathcal{R}$ -gauge theory since $\mathcal{R}$ lives in one lower dimension.

When we discuss G -gauge theory in all the dimensions, we have used the fact that the same G -symmetry can be defined in all the dimensions. For an algebraic symmetry $\mathcal{R}$ in nd , what is the corresponding symmetry in one higher dimension $(n+1)d$? This is a highly non-trivial question. It turns out that an algebraic symmetry, in general, cannot be promoted to one higher dimensions. Only a special class of algebraic symmetries, described by symmetric local fusion n -categories, can be promoted to one higher dimension. This is because a symmetric fusion n -category $\mathcal{R}$ in nd can be viewed as a braided fusion n -category, describing 2-codimensional and higher excitations in one higher dimension (*i.e.* in $(n+1)d$). We then can do a delooping to obtain a fusion $(n+1)$ -category $\Sigma\mathcal{R}$ (see Section III H). If $\mathcal{R}$ is a symmetric local n -category, $\Sigma\mathcal{R}$ is again a symmetric local $(n+1)$ -category. So, $\Sigma\mathcal{R}$ describes the $\mathcal{R}$ -symmetry in one higher dimension. Since $\Sigma\mathcal{R}$ is also symmetric and local, we can promote further to obtain the corresponding $\mathcal{R}$ symmetry in all higher dimensions $\Sigma^2\mathcal{R}$, $\Sigma^3\mathcal{R}$, *etc.*. So in this subsection, we assume $\mathcal{R}$ to be symmetric local fusion n -category.

Now, we can state the non-trivial result

the categorical symmetry for an anomaly-free algebraic symmetry $\mathcal{R}$, $\mathbf{M} = \text{bulk}(\mathcal{R})$, is the same as the $\Sigma\mathcal{R}$ -gauge theory $\text{GT}_{\Sigma\mathcal{R}}^{n+2}$ in one higher dimension:

$$\text{bulk}(\mathcal{R}) = \text{GT}_{\Sigma\mathcal{R}}^{n+2}, \quad (18)$$

provided that $\mathcal{R}$ is symmetric.

The excitations in the $\Sigma\mathcal{R}$ -gauge theory are given by

$$\Omega\text{GT}_{\Sigma\mathcal{R}}^{n+2} = \Sigma\mathcal{R} \otimes_{Z_1(\Sigma\mathcal{R})} \Sigma\mathcal{R}^{\text{rev}}, \quad (19)$$

which defines the **gauging** of the algebraic higher symmetry $\Sigma\mathcal{R}$. Eqn. (19) describes the excitations in $\text{bulk}(\mathcal{R})$ given by $\Omega\text{bulk}(\mathcal{R}) = \Sigma Z_1(\mathcal{R})$. The fact that $\Sigma Z_1(\mathcal{R}) = \Sigma\mathcal{R} \otimes_{Z_1(\Sigma\mathcal{R})} \Sigma\mathcal{R}^{\text{rev}}$ follows[30] from the following identity[68]:

$$\Sigma Z_1(\mathcal{R}) = Z_0(\Sigma\mathcal{R}) = \Sigma\mathcal{R} \otimes_{Z_1(\Sigma\mathcal{R})} \Sigma\mathcal{R}^{\text{rev}}. \quad (20)$$

Similarly, an anomalous algebraic higher symmetry $(\mathcal{R}, \alpha)$ is defined via its categorical symmetry which corresponds to a twisted $\Sigma\mathcal{R}$ -gauge theory in one higher dimension. The twist is produced by an automorphism α of $Z_1(\Sigma\mathcal{R})$ (the dash-line in Fig. 7). Such a twisted $\Sigma\mathcal{R}$ gauge theory, denoted by $\text{GT}_{\Sigma\mathcal{R}, \alpha}^{n+2}$, is characterized by its excitations described by (see Fig. 7)

$$\Omega\text{GT}_{\Sigma\mathcal{R}, \alpha}^{n+2} = \Sigma\mathcal{R} \otimes_{Z_1(\Sigma\mathcal{R})} \alpha \otimes_{Z_1(\Sigma\mathcal{R})} \Sigma\mathcal{R}^{\text{rev}}. \quad (21)$$

The automorphism α is not arbitrary. It must satisfy $\alpha(A_{\Sigma\mathcal{R}}) \simeq A_{\Sigma\mathcal{R}}$, where $A_{\Sigma\mathcal{R}}$ is the condensable algebra for the dual symmetry of $\Sigma\mathcal{R}$ (see Section VII C 5). This result allows us to classify types of anomalies that an algebraic higher symmetry can have. Since invertible domain wall include invertible topological orders, the above anomalies include invertible gravitational anomalies, symmetry (’t Hooft) anomalies (which are always invertible), and invertible mixed symmetry-gravitational anomalies. To summarize,

Anomalous algebraic higher symmetries $\mathcal{R}$ are classified by the automorphisms α of $Z_1(\Sigma\mathcal{R})$ such that $\alpha(A_{\Sigma\mathcal{R}}) \simeq A_{\Sigma\mathcal{R}}$. Its categorical symmetry $\mathbf{M}$ satisfies $\Omega\mathbf{M} = \Sigma\mathcal{R} \otimes_{Z_1(\Sigma\mathcal{R})} \alpha \otimes_{Z_1(\Sigma\mathcal{R})} \Sigma\mathcal{R}^{\text{rev}}$.

As an application of the above result, we consider 1d bosonic system with an anomalous $\mathbb{Z}_2^3$ symmetry. The possible anomalies are classified by $H^3(\mathbb{Z}_2^3, U(1))$, which correspond to 2d $\mathbb{Z}_2^3$ -SPT orders. The categorical symmetry of the 1d anomalous $\mathbb{Z}_2^3$ symmetry is given by the 2d topological order obtained by gauging the corresponding 2d $\mathbb{Z}_2^3$ -SPT states. It was found that a particular anomalous $\mathbb{Z}_2^3$ symmetry, described by a so called type-III cocycle in $H^3(\mathbb{Z}_2^3, U(1))$, has a categorical symmetry described by the 2d D_4 gauge theory $\text{GT}_{D_4}^3$. [69, 70] Certainly, the 1d anomaly-free D_4 symmetry also has a categorical symmetry described by the 2d D_4 gauge theory

$\text{GT}_{D_4}^3$. Therefore, this particular 1d anomalous $\mathbb{Z}_2^3$ symmetry is holo-equivalent to 1d D_4 symmetry. In general, we conjecture that

two anomalous algebraic higher symmetries, $(\mathcal{R}, \alpha)$ and $(\mathcal{R}', \alpha')$, are holo-equivalent if they satisfy

$$\begin{aligned} & \Sigma \mathcal{R} \otimes_{Z_1(\Sigma \mathcal{R})} \alpha \otimes_{Z_1(\Sigma \mathcal{R})} \Sigma \mathcal{R}^{\text{rev}} \\ & \simeq \Sigma \mathcal{R}' \otimes_{Z_1(\Sigma \mathcal{R}')} \alpha' \otimes_{Z_1(\Sigma \mathcal{R}')} \Sigma \mathcal{R}'^{\text{rev}}, \end{aligned} \quad (22)$$

which implies that $(\mathcal{R}, \alpha)$ and $(\mathcal{R}', \alpha')$ have the same categorical symmetry.

One may ask: can a categorical symmetry $\mathcal{M}$ be always viewed as an anomalous algebraic higher symmetry? If the categorical symmetry satisfies $\Omega \mathcal{M} = \Sigma \mathcal{R} \otimes_{Z_1(\Sigma \mathcal{R})} \alpha \otimes_{Z_1(\Sigma \mathcal{R})} \Sigma \mathcal{R}^{\text{rev}}$, then the categorical symmetry can indeed be viewed as an anomalous $\mathcal{R}$ -symmetry. We believe there exist categorical symmetries that do not have the above form, and those categorical symmetries cannot be viewed as an anomalous algebraic higher symmetry. Categorical symmetry play a similar role as anomalous symmetry, but it is more general. In fact, after introducing categorical symmetry, we do not need to use anomalous symmetry any more. The effect of anomalous symmetry can all be covered by categorical symmetry.

L. Classification of gapped liquid phases for systems with a categorical symmetry

We can also use the holographic point of view to classify SET/SPT orders with a given algebraic higher symmetry $\mathcal{R}$. But first, let us classify possible gapped liquid phases in nd systems with a categorical symmetry $\mathcal{M}$ (assuming $n \geq 1$). Using boundary-bulk relation, we find that (see Section VII A)

for nd lattice systems with a categorical symmetry $\mathcal{M}$, all their gapped liquid phases (which partially break the categorical symmetry spontaneously) are classified by potential anomalous nd topological orders $\mathcal{C}$ (*i.e.* nd boundary topological orders) that satisfy (see Fig. 8a)

$$\mathcal{M} = \text{Bulk}(\mathcal{C}). \quad (23)$$

We note that the categorical symmetry $\mathcal{M}$ is an anomaly-free topological order in one higher dimension.

Since the fusion n -categories $\mathcal{C}$ (describing the excitations) partially describes an nd topological order (up to invertible topological orders [25, 41, 42] and SPT orders), we get a partial classification if we only use $\mathcal{C}$ (see Section VII B):

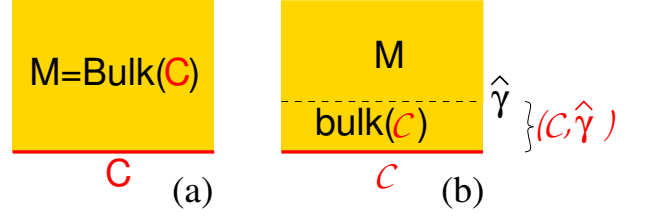


FIG. 8. (a) Gapped liquid phases in systems with categorical symmetry $\mathcal{M}$ are classified by anomalous topological orders $\mathcal{C}$ such that $\mathcal{M} = \text{Bulk}(\mathcal{C})$. Those gapped liquid phases are partially classified by fusion n -categories $\mathcal{C}$ such that $\mathcal{M} = \Omega^2 \mathcal{M} = Z_1(\mathcal{C})$. (b) Gapped liquid phases in systems with categorical symmetry $\mathcal{M}$ are classified by the pairs $(\mathcal{C}, \hat{\gamma})$, where $\mathcal{C}$ satisfies $\text{bulk}(\mathcal{C}) = \mathcal{M}$ and $\hat{\gamma}$ is an invertible domain wall in $\mathcal{M}$.

the gapped liquid phases (up to invertible topological orders and SPT orders) in nd lattice systems with categorical symmetry $\mathcal{M}$ are classified by fusion n -categories $\mathcal{C}$ that satisfy $\text{bulk}(\mathcal{C}) = \mathcal{M}$.

Motivated by the results in Ref. 45 and 46, we conjecture that, comparing $\mathcal{C}$ and $\mathcal{C}$, the missing information is the invertible topological orders and SPT orders. Thus we can use a pair $(\mathcal{C}, \hat{\gamma})$ to describe $\mathcal{C}$, where $\hat{\gamma}$ corresponds to invertible topological orders or SPT orders. More precisely (see Fig. 8b and Section VII B),

gapped liquid phases in nd lattice systems with a fixed categorical symmetry $\mathcal{M}$ are classified by pairs $(\mathcal{C}, \hat{\gamma})$, where $\mathcal{C}$ is a fusion n -category that satisfies $\text{bulk}(\mathcal{C}) = \mathcal{M}$ and $\hat{\gamma}$ is an invertible domain wall in $\mathcal{M}$.

The invertible domain walls formed by the trivial excitations in the bulk topological order $\mathcal{M}$ are invertible topological orders. The invertible domain walls formed by the topological excitations in the bulk topological order $\mathcal{M}$ are SPT orders, since the fusion of the topological excitations encode symmetry. There are also invertible domain walls that exchange excitations. Those invertible domain walls correspond to the automorphisms of the fusion higher category $\Omega \mathcal{M} = \mathcal{M}$ that describes the excitations in the bulk topological order $\mathcal{M}$.

M. Classification of SET orders and SPT orders with an algebraic higher symmetry

For systems with a categorical symmetry $\mathcal{M}$, in the above, we classify anomaly-free gapped liquid phases $\mathcal{C}$ which partially break the categorical symmetry. Here we assume the unbroken symmetry is an algebraic higher symmetry $\mathcal{R}$, such that $\mathcal{M} = \text{bulk}(\mathcal{R})$. In this case, the classification of gapped liquid phases $\mathcal{C}$ in last subsection includes the classification of anomaly-free gapped liquid phases with a given algebraic higher symmetry $\mathcal{R}$. But which gapped liquid phases do not break the symmetry

$\mathcal{R}$ and which spontaneously break the symmetry $\mathcal{R}$? To identify the gapped liquid phases $\mathcal{C}$ that do not break the symmetry $\mathcal{R}$, first $\mathcal{C}$ should include $\mathcal{R}$ as its excitations, *i.e.* $\mathcal{R}$ is a subcategory of $\mathcal{C} = \Omega\mathcal{C}$, or more precisely, there is a top-fully faithful functor $\iota : \mathcal{R} \hookrightarrow \mathcal{C}$ (see Proposition 27 and Def. 29). Second, $\mathcal{R}$ and $\mathcal{C}$ have the same bulk topological order $\text{bulk}(\mathcal{C}) = \text{bulk}(\mathcal{R})$ (*i.e.* the same categorical symmetry).

This understanding gives us a classification of anomaly-free gapped liquid phases with an anomaly-free algebraic higher symmetry $\mathcal{R}$. First, let us define the notion “anomaly-free gapped liquid phases with an anomaly-free algebraic higher symmetry $\mathcal{R}$ ” more carefully. We have defined anomaly-free algebraic higher symmetry in Def. 5. “A phases with an symmetry” means that the symmetry is not spontaneously broken. But how do we determine if an algebraic higher symmetry $\mathcal{R}$ is spontaneously broken or not? There is a macroscopic way to do so (see Section VII A):

A gapped phase has a symmetry $\mathcal{R}$ (*i.e.* $\mathcal{R}$ is not spontaneously broken) if the excitations of phase contain $\mathcal{R}$.

A gapped liquid phase is anomaly-free if it can be realized as the ground of lattice Hamiltonian in the same dimension. Again, there is a way to describe this property macroscopically (see Section VII C 1):

if the excitations (described by fusion n -category $\mathcal{C}$) in the gapped liquid phase with an algebraic higher symmetry $\mathcal{R}$ satisfy $\text{bulk}(\mathcal{C}) \simeq \text{bulk}(\mathcal{R})$, then the gapped liquid phase is anomaly-free.

Here $\text{bulk}(\mathcal{C}) \simeq \text{bulk}(\mathcal{R})$ means that the two topological orders $\text{bulk}(\mathcal{C})$ and $\text{bulk}(\mathcal{R})$ are equivalent, *i.e.* they can be connected by an invertible (also called transparent) domain wall $\hat{\gamma}$. Note that the invertible domain wall is not unique. Thus the two topological orders $\text{bulk}(\mathcal{C})$ and $\text{bulk}(\mathcal{R})$ can be equivalent in many different ways. We denote a way of equivalence by $\text{bulk}(\mathcal{C}) \stackrel{\hat{\gamma}}{\simeq} \text{bulk}(\mathcal{R})$ or $\hat{\gamma} : \text{bulk}(\mathcal{C}) \simeq \text{bulk}(\mathcal{R})$.

Now, we are ready to state some classification results. First, let us describe a simple partial classification:

Given an algebraic higher symmetry described by a local fusion n -category $\mathcal{R}$, anomaly-free symmetric gapped liquid phases (up to invertible topological orders and SPT orders) are classified by fusion n -categories $\mathcal{C}$ that (1) admit a top-fully faithful functor $\iota : \mathcal{R} \hookrightarrow \mathcal{C}$, and (2) satisfy $\text{bulk}(\mathcal{C}) \simeq \text{bulk}(\mathcal{R})$ (see Section VII A).

To get a more complete classification that includes invertible topological orders and SPT orders for the algebraic higher symmetry $\mathcal{R}$, we need to include the equivalence (*i.e.* the invertible domain wall) $\hat{\gamma} : \text{bulk}(\mathcal{R}) \simeq \text{bulk}(\mathcal{C})$ and use the data $(\mathcal{R} \xrightarrow{\iota} \mathcal{C}, \hat{\gamma})$ to classify anomaly-free symmetric gapped liquid phases. However, not every

equivalence $\hat{\gamma}$ should be included. We know that the categorical symmetry described by $\text{bulk}(\mathcal{R})$ or by $\text{bulk}(\mathcal{C})$ includes the algebraic higher symmetry $\mathcal{R}$. The equivalence $\hat{\gamma}$ should not change $\mathcal{R}$ that is contained in $\text{bulk}(\mathcal{R})$ and in $\text{bulk}(\mathcal{C})$ [68]. For details and the main classification results, see Section VII C. Here, we just quote a classification of $\mathcal{R}$ -SPT orders, obtained by setting $\mathcal{C} = \mathcal{R}$

nd SPT orders and invertible topological orders with an anomaly-free algebraic higher symmetry $\mathcal{R}$ are classified by the invertible domain wall $\hat{\alpha}$ in $Z_1(\mathcal{R})$, such that its induced automorphisms α of $Z_1(\mathcal{R})$ satisfy $\alpha(A_{\tilde{\mathcal{R}}}) \simeq A_{\tilde{\mathcal{R}}}$, where $A_{\tilde{\mathcal{R}}}$ is the condensable algebra in $Z_1(\mathcal{R})$ that determines a boundary $\tilde{\mathcal{R}}$ corresponding to the dual of the symmetry $\mathcal{R}$ (see Fig. 6).

This result generalizes the previous classification of SPT orders for higher symmetries.[13–17]

III. A HIGHER CATEGORY THEORY OF TOPOLOGICAL ORDERS IN HIGHER DIMENSIONS

In this section, we present a review, a clarification, and an expansion of a higher category theory for topological orders in higher dimensions, based on Ref. 25–31. Many notions of higher category and topological order will be introduced and explained for physics and mathematics audience. Those notions will be used to understand algebraic higher symmetry and categorical symmetry, as well as to classify topological orders and SPT orders with those symmetries, in the rest of this paper. Readers who are familiar with higher category and topological order can skip this section.

A. Topological orders as gapped liquid phases

In this section, we give a microscopic description of topological order. Topological orders[36–38] are gapped liquid phases without symmetry. The notion of gapped liquid phases is introduced in Ref. 32, 39, and 40:

Definition^{ph} 8. A *gapped liquid phase* is an equivalence class of gapped states, under the following two equivalence relations (see Fig. 9):

- (1) two gapped states connected by a finite-depth local unitary transformation are equivalent;
 - (2) two gapped states differ by a stacking of product state are equivalent, where the degrees of freedom of the product state may have a non-uniform but bounded density.
- If there is no symmetry, the local unitary transformation has no symmetry constraint, and the corresponding gapped liquid phases of local bosonic or fermionic systems are **topological orders**[36–38]. In the presence of **finite internal symmetry**, the local unitary transformation is required to commute with the symmetry transformations, and the corresponding gapped liquid phases include

spontaneous symmetry breaking orders, symmetry protected trivial (SPT) orders, [2, 50, 71] *symmetry enriched topological (SET) orders* [32, 72–80].

In this paper, we only consider bosonic systems with finite internal symmetries. We do not consider spacetime symmetries (such as time reversal and translation symmetries), nor continuous symmetry (such as $U(1)$ symmetry). So in this paper, when we refer *symmetry*, we only mean *finite internal symmetry*.

We would like to remark the above definition has an important feature. A gapped liquid phase with some non-invertible topological excitations on top of it is not a gapped liquid phase according to the definition. (The notion of non-invertible topological excitations is defined in the next section.) We note that the Hamiltonian here may not have translation symmetry. Thus it is hard to tell if the ground of a Hamiltonian has excitation in it or not. Using our above definition, a gapped liquid state is a ground state of a Hamiltonian that has no non-invertible topological excitations. However, a gapped liquid state may contain invertible topological excitations. In fact, two gapped liquid states differ by invertible topological excitations are very similar, and both can be viewed as proper ground states.

To see the above point, let us start with a gapped state of N sites with a topological excitation in the middle. We may double the system size by stacking a product state of N sites to the left half of the system, or to the right half of the system. Both operations are equivalence relations, and the resulting states of $2N$ sites should be equivalent, *i.e.* be connected by a finite-depth local unitary transformation. However, in the presence of the non-invertible topological excitation, the excitation appears at left $1/4$ or right $1/4$ of the enlarged systems (see Fig. 9). Such two enlarged systems are not connected by finite-depth local unitary transformations, which can only move the non-invertible topological excitation by a finite distance. Thus a gapped liquid state with some non-invertible topological excitations can non-longer be viewed as a gapped liquid state.

However, a gapped liquid state with some invertible topological excitations can still be viewed as a gapped liquid state. This is because finite-depth local unitary transformations can move invertible topological excitations by a large distance across the whole system. Thus the gapped liquid phases defined above may contain some invertible topological excitations.

Ref. 25 and 26 outline a description of topological orders (*i.e.* without symmetry) in any dimensions, via braided fusion higher categories. Here, we would like to review and expand the discussions in Ref. 25 and 26. We would like to remark that the needed higher category theory is still not fully developed. So our discussion here is just an outline. We hope that it can be a blue print for further development. However, our discussions become rigorous at low dimensions (such as 1d and 2d).

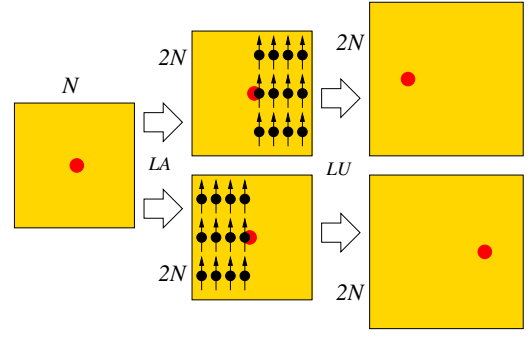


FIG. 9. There are two kind of equivalent relations: (1) finite-depth local unitary (LU) transformations, and (2) local addition (LA) of product states.

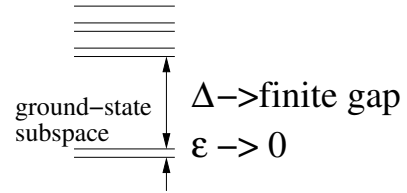


FIG. 10. The ground state subspace below the energy gap Δ . The energy splitting ϵ in the subspace approaches to 0 in thermodynamic limit, which the energy gap Δ remain non-zero.

B. Trivial, local, and topological excitations

The reason that gapped liquid phases (which include topological orders) can be described by higher categories is that higher category is the natural language to describe excitations within a gapped liquid phase, as well as domain walls between different gapped liquid phases. To understand this connection, let us define excitation more carefully. We find that there two different ways to define types of excitations, which result in different kinds of higher category theories.[25, 26] However, only the first definition of types and its associated higher category theory is more developed.[29–31] We will concentrate on this one.

We consider a gapped liquid state, which is the ground state of a local Hamiltonian H . As discussed in last section, a gapped liquid state does not contain any non-invertible topological excitations. To define excitations in H , for example, to define string-like excitations, we can add several trap Hamiltonians $\delta H_{\text{str}}(S_a^1)$, labeled by a , to H such that $H + \sum_a \delta H_{\text{str}}(S_a^1)$ has an energy gap. $\delta H_{\text{str}}(S_a^1)$ is only non-zero along the string S_a^1 . Here we require $\delta H_{\text{str}}(S_a^1)$ to be local along the string S_a^1 . $\delta H_{\text{str}}(S_a^1)$ can be any operator, as long as its acts on the degrees of freedom near the string S_a^1 . The ground state subspace $\mathcal{V}_{\text{fus}}(S_1^1, S_2^1, \dots)$ of $H + \sum_a \delta H_{\text{str}}(S_a^1)$ (where is also called the fusion space) corresponds to string-like

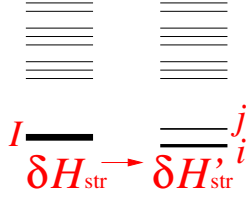


FIG. 11. The ground state subspace of a composite excitation can be split by some change of trap Hamiltonian $\delta H_{\text{str}} \rightarrow \delta H'_{\text{str}}$. The ground state subspace of a composite excitation i can be viewed as a direct sum of the ground state subspaces of excitations j and k . In this sense, we can denote $I = i \oplus j$.

excitations located at S_1^1, S_2^1 , etc (see Fig. 10).

If the ground state subspace is stable (*i.e.* unchanged) against any small change of $\delta H_{\text{str}}(S_a^1)$, then we say the correspond string on S_a^1 is a **simple** excitation (or a simple morphism in mathematics). If the ground state subspace has accidental degeneracy (*i.e.* can be split by some small change of $\delta H_{\text{str}}(S_a^1)$, see Fig. 11), then we say the correspond string on S_a^1 is a **composite** excitation (or a composite morphism in mathematics). A composite excitation I is a direct sum of several simple excitations

$$I = i \oplus j \oplus \dots \quad (24)$$

In other words, I can be viewed as an accidental degeneracy of excitations $i, j, \dots$. We see that different string-like excitations can be labeled by different trap Hamiltonians $\delta H_{\text{str}}(S_a^1)$ (*i.e.* different non-local operators on S_a^1 's). To summarize

Definition^{ph} 9. *Excitations are something that can be trapped. In other words, excitations are described by the ground state subspace of the Hamiltonian with traps.*

But the above definition give us too many different strings, and many of different strings actually have similar properties. So we would like to introduce a equivalence relation to simplify the types of strings. We define two strings labeled by $\delta H_{\text{str}}(S^1)$ and $\delta \tilde{H}_{\text{str}}(\tilde{S}^1)$ as equivalent, if we can deform $\delta H_{\text{str}}(S^1)$ into $\delta \tilde{H}_{\text{str}}(\tilde{S}^1)$ without closing the energy gap. The equivalence classes of the strings define the types of the strings. We would like to point out that if S^1 is an open segment, the corresponding string is equivalent to the trivial string $\mathbf{1}_s$ described by $\delta H_{\text{str}}(S^1) = 0$, since we can shrink the string along S^1 to a point without closing the gap.

Definition^{ph} 10. *Two excitations are **equivalent** (*i.e.* are of the same **type**) if they can be connected by local-unitary transformations and by stacking of product states.*

We would like to remark that if the two excitations are defined on a closed sub-manifold, then we can define their equivalence by deforming their trap Hamiltonians into each other in the space of local trap Hamiltonians

without closing the energy gap. The above definition is more general, since the local-unitary transformations and stacking of product states can be applied to a part of the sub-manifold that support the excitations, and we can examine if the two excitations turn into each other on the part of the sub-manifold.

Definition 11. *An excitation is **trivial** if it is equivalent to the **null** excitation defined by a vanishing trap Hamiltonian.*

Definition 12. *An excitation is **invertible** if there exists another excitation such that the fusion of the two excitations is equivalent to a trivial excitation.*

The above equivalence relation can also be phrased in a way similar to Def. 8:

Proposition 4. *A **type** of excitations is an equivalence class of gapped ground states with added trap Hamiltonian acting on a m -dimensional subspace S^m , under the following two equivalence relations:*

- (1) *two gapped states connected by a finite-depth local unitary transformation acting on the subspace S^m are equivalent;*
- (2) *two gapped states differ by a stacking of product states located on the subspace S^m are equivalent.*

We see that, when $m > 0$, the excitations defined above are gapped liquid state on the sub space S^m , and there is no lower dimensional non-invertible excitations on S^m .

We also would like to introduce the notion of non-local equivalence and non-local type:

Definition^{ph} 13. *Two excitations are **non-locally equivalent** (*i.e.* are of the same **nl-type**) if they can be connected by non-local-unitary transformations and by stacking of product states.*

Definition^{ph} 14. *An excitation is **local** if it has the same nl-type as the null excitation.*

We see that a trivial excitation is always a local excitation. But a local excitation may not be a trivial excitation.

Definition^{ph} 15. *An excitation is **topological** if it is non-local.*

Again, the above non-local equivalence relation can also be phrased in a way similar to Def. 8:

Proposition 5. *A **nl-type** of excitations is an equivalence class of gapped states with added trap Hamiltonian acting on a m -dimensional subspace S^m , under the following two equivalence relations:*

- (1) *two gapped states connected by a non-local unitary transformation acting on the subspace S^m are equivalent;*
- (2) *two gapped states differ by a stacking of product states located on the subspace S^m are equivalent.*

We also believe that

Proposition 6. *Two excitations have the same nl -type if and only if they can be connected by gapped or gapless domain walls. We note that the morphisms in higher category only correspond to gapped domain walls.*

We would like to remark that for point-like excitations the notion of *type* and *nl-type* coincide.

Those different concepts of excitations were discussed in Ref. 25, where the *nl-type* was called *elementary type*, and *topological* excitation was called *elementary topological* excitation. The *local* excitation was called *descendant* excitation in Ref. 25.

C. Examples of excitations

To illustrate the above concepts that we just introduced, let us consider a 2d $\mathbb{Z}_2$ topological order[81, 82] for bosons described by 2+1D $\mathbb{Z}_2$ gauge theory.

Example 1. The $\mathbb{Z}_2$ topological order has four **types** of point-like excitations, labeled by $\mathbf{1}, e, m, f$, where e is the $\mathbb{Z}_2$ charge, m is the $\mathbb{Z}_2$ vortex, and f is a fermion – the bound state of e and m . $\mathbf{1}$ is a **trivial** point-like excitation. The $\mathbb{Z}_2$ topological order also has four **nl-types** of point-like excitations, labeled by $\mathbf{1}, e, m, f$. $\mathbf{1}$ is a **local** point-like excitation, and e, m, f are **topological** point-like excitations.

The $\mathbb{Z}_2$ topological order has only one **nl-type** of string-like excitations, which is a **local** string-like excitation. The $\mathbb{Z}_2$ topological order has six **types** of string-like excitations, generated by $\mathbf{1}_s, e_s, m_s, f_s$, with two additional types given by $f_s \otimes m_s = e_s \otimes f_s$ and $m_s \otimes f_s = f_s \otimes e_s$:

$$\begin{aligned} e_s \otimes e_s &= 2e_s, \\ m_s \otimes m_s &= 2m_s, \\ e_s \otimes m_s &= f_s \otimes m_s = e_s \otimes f_s, \\ m_s \otimes e_s &= m_s \otimes f_s = f_s \otimes e_s. \end{aligned} \quad (25)$$

The e_s -type of string-like excitation is formed by the e -particles, condensing into a 1d phase of spontaneous $\mathbb{Z}_2$ symmetry breaking state. We note that the e -particles have a mod-2 conservation, and an emergent $\mathbb{Z}_2$ symmetry. Similarly, the m_s -type of string-like excitation is formed by the m -particles, condensing into a 1d phase of spontaneous $\mathbb{Z}_2$ symmetry breaking state. The f_s -type of string-like excitation is formed by the f -particles, condensing into a 1d topological superconducting phase (*i.e.* the 1d invertible topological order of fermions where the string ends have Majorana zero modes[83]). $\mathbf{1}_s$ is the **trivial** string-like excitation. Although $\mathbf{1}_s, e_s, m_s, f_s$ are four different **types** of string-like excitations, they are all **local** string-like excitations, *i.e.* belong to the trivial **nl-type** of string-like excitations. We also comment that f_s is an invertible string-like excitations, or the e, m -exchange transparent domain wall; if we move e through f_s , it becomes m and vice versa.

Next we consider a 3d trivial product state of bosons.

Example 2. Such a state has trivial **nl-type** of point-like, string-like, and membrane-like excitations, *i.e.* all excitations are **local**. It also has trivial **type** of point-like and string-like, but it has non-trivial **types** of membrane-like excitations. In fact those non-trivial **types** of membrane-like excitations corresponding to 2d topological orders. Thus there are infinite **types** of membrane-like excitations corresponding to infinite different 2d topological orders, even though the 3d state has trivial topological order and is a trivial product state of bosons. All those membrane-like excitations are **local** but not **trivial**.

Remark 1. We remark that the our above description of 3d trivial topological order is different from that in Ref. 29 and 30. Ref. 29 and 30 only include membrane excitations that correspond to 2d topological orders with gappable boundary. There are still infinite type of membranes of this kind. In our description, the membrane excitations include both 2d topological orders with gapable boundary and 2d topological orders whose boundary cannot be gapped.

We see that to have a complete *macroscopic* description of trivial product state of bosons in n -dimensional space without symmetry, we need to classify $(n-1)$ d topological orders of bosons, which correspond to *types* codimension-1 excitations. We also see that to have a complete *macroscopic* description of nd topological order of bosons without symmetry, we need to classify $(n-1)$ d SET orders of bosons/fermions with symmetries (*i.e.* the emergent symmetry).

D. Trivial topological order (the product states) and its excitations

In the last section, we see that the *types* of dimension- k excitations in a trivial product state in n -dimensional space correspond to topological orders (gapped liquid phases) in k -dimensional space. Thus the study of the trivial topological order and its excitations of various dimensions allows us to understand topological orders in spatial dimensions less than n . This motivated us to develop a comprehensive theory of trivial topological order.

All trivial topological orders are product states and all product states belong to one phase, if there is no symmetry. We denote the trivial topological order in n -dimensional space as $\mathbb{I}^{n+1}$ ($n+1$ is the spacetime dimension). $\mathbb{I}^{n+1}$ is also referred as an object. Once we have the trivial topological order $\mathbb{I}^{n+1}$, we also have accidental degeneracy of several $\mathbb{I}^{n+1}$'s (*i.e.* several product states). We denote a gapped liquid phase formed by m degenerate product states as $\underbrace{\mathbb{I}^{n+1} \oplus \dots \oplus \mathbb{I}^{n+1}}_{m \text{ copies}} = m\mathbb{I}^{n+1}$.

So, after the completion, the collection of trivial topological orders has objects $m\mathbb{I}^{n+1}$. We refer $\mathbb{I}^{n+1}$ as simple

object, while $m\mathbb{I}^{n+1}$ ($m > 1$) as composite object. We see that the composite object does not correspond to a stable phase, since the accidental m -fold degeneracy can be easily split by local perturbations in the Hamiltonian.

The collection of trivial topological orders in $(n+1)$ D spacetime, $\{m\mathbb{I}^{n+1}\}$, is a set. However, the objects in the set have many relations. Two objects can be connected by a gapped codimension-1 domain wall $a : m_1\mathbb{I}^{n+1} \rightarrow m_2\mathbb{I}^{n+1}$, which is called an 1-morphism. For example, an 1-morphism $a : 2\mathbb{I}^{n+1} \rightarrow 3\mathbb{I}^{n+1}$ can be represented as

$$a = \begin{pmatrix} 0(\tilde{\mathbb{I}}_1^{n+1}|\mathbb{I}_1^{n+1}), & 0(\tilde{\mathbb{I}}_1^{n+1}|\mathbb{I}_2^{n+1}) \\ 1(\tilde{\mathbb{I}}_2^{n+1}|\mathbb{I}_1^{n+1}), & 0(\tilde{\mathbb{I}}_2^{n+1}|\mathbb{I}_2^{n+1}) \\ 0(\tilde{\mathbb{I}}_3^{n+1}|\mathbb{I}_1^{n+1}), & 0(\tilde{\mathbb{I}}_3^{n+1}|\mathbb{I}_2^{n+1}) \end{pmatrix}, \quad (26)$$

Physically, it means that there is a gapped domain wall between the first product state in $2\mathbb{I}^{n+1} = \mathbb{I}_1^{n+1} \oplus \mathbb{I}_2^{n+1}$ and the second product state in $3\mathbb{I}^{n+1} = \tilde{\mathbb{I}}_1^{n+1} \oplus \tilde{\mathbb{I}}_2^{n+1} \oplus \tilde{\mathbb{I}}_3^{n+1}$, and such a gapped domain wall is not degenerate. We denote such a gapped domain wall as $(\tilde{\mathbb{I}}_2^{n+1}|\mathbb{I}_1^{n+1})$. All other domain walls between different product states have higher energy density or gapless. In this paper, we do not consider gapless domain walls and we always assume gapless domain walls have infinite energy density.

We can have another 1-morphism $b : 2\mathbb{I}^{n+1} \rightarrow 3\mathbb{I}^{n+1}$

$$b = \begin{pmatrix} 0(\tilde{\mathbb{I}}_1^{n+1}|\mathbb{I}_1^{n+1}), & 0(\tilde{\mathbb{I}}_1^{n+1}|\mathbb{I}_2^{n+1}) \\ 2(\tilde{\mathbb{I}}_2^{n+1}|\mathbb{I}_1^{n+1}), & 0(\tilde{\mathbb{I}}_2^{n+1}|\mathbb{I}_2^{n+1}) \\ 0(\tilde{\mathbb{I}}_3^{n+1}|\mathbb{I}_1^{n+1}), & 0(\tilde{\mathbb{I}}_3^{n+1}|\mathbb{I}_2^{n+1}) \end{pmatrix}, \quad (27)$$

Physically, it means that there is a gapped domain wall between the first product state in $2\mathbb{I}^{n+1}$ and the second product state in $3\mathbb{I}^{n+1}$, and such a gapped domain wall are 2-fold degenerate. So we express the gapped domain wall as $(\tilde{\mathbb{I}}_2^{n+1}|\mathbb{I}_1^{n+1}) \oplus (\tilde{\mathbb{I}}_2^{n+1}|\mathbb{I}_1^{n+1}) = 2(\tilde{\mathbb{I}}_2^{n+1}|\mathbb{I}_1^{n+1})$. The most general 1-morphism $c : 2\mathbb{I}^{n+1} \rightarrow 3\mathbb{I}^{n+1}$ has a form

$$c = \begin{pmatrix} \oplus_{\mathbf{k}} m_{11}^{\mathbf{k}}(\tilde{\mathbb{I}}_1^{n+1}|\mathbf{k}_1^{n+1}), & \oplus_{\mathbf{k}} m_{12}^{\mathbf{k}}(\tilde{\mathbb{I}}_1^{n+1}|\mathbf{k}_2^{n+1}) \\ \oplus_{\mathbf{k}} m_{21}^{\mathbf{k}}(\tilde{\mathbb{I}}_2^{n+1}|\mathbf{k}_1^{n+1}), & \oplus_{\mathbf{k}} m_{22}^{\mathbf{k}}(\tilde{\mathbb{I}}_2^{n+1}|\mathbf{k}_2^{n+1}) \\ \oplus_{\mathbf{k}} m_{31}^{\mathbf{k}}(\tilde{\mathbb{I}}_3^{n+1}|\mathbf{k}_1^{n+1}), & \oplus_{\mathbf{k}} m_{32}^{\mathbf{k}}(\tilde{\mathbb{I}}_3^{n+1}|\mathbf{k}_2^{n+1}) \end{pmatrix}, \quad (28)$$

where $m_{ij}^{\mathbf{k}} \in \mathbb{N}$. Here, for example, $(\tilde{\mathbb{I}}_2^{n+1}|\mathbf{k}_1^{n+1})$ denote a gapped domain wall between the first product state in $2\mathbb{I}^{n+1}$ and the second product state in $3\mathbb{I}^{n+1}$, and $\mathbf{k}$ labels different types of accidentally degenerate gapped domain wall between the two product states. $m_{ij}^{\mathbf{k}}$ is the accidental degeneracy of the domain walls of the same type $\mathbf{k}$. We see that an 1-morphism is like a matrix that can also be added.

In particular, a 1-morphism $\mathbf{k} : \mathbb{I}^{n+1} \rightarrow \mathbb{I}^{n+1}$, denoted by $(\mathbb{I}^{n+1}|\mathbf{k}_1^{n+1})$, is the codimension-1 excitation discussed in the last section, where $\mathbf{k}$ labels the different *types* of the excitations, as defined in Def. 10. Such an excitation corresponds to a topological order in $(n-1)$ -dimensional space. We use $\text{Hom}(\mathbb{I}^{n+1}, \mathbb{I}^{n+1})$ denote the collection of all morphisms from $\mathbb{I}^{n+1}$ to $\mathbb{I}^{n+1}$, which happen to the collection of all topological orders

in $(n-1)$ -dimensional space. We would like to remark that $\text{Hom}(\mathbb{I}^{n+1}, \mathbb{I}^{n+1})$ is also complete in the sense that it not only contain stable topological orders, its also contain accidental degeneracy of topological orders. In other words, if $\mathbf{a}, \mathbf{b} \in \text{Hom}(\mathbb{I}^{n+1}, \mathbb{I}^{n+1})$, then the accidental degeneracy of $\mathbf{a}$ and $\mathbf{b}$, $\mathbf{a} \oplus \mathbf{b}$, is also in $\text{Hom}(\mathbb{I}^{n+1}, \mathbb{I}^{n+1})$. Thus just like the collection of trivial topological orders $\{m\mathbb{I}^{n+1}\}$, $\text{Hom}(\mathbb{I}^{n+1}, \mathbb{I}^{n+1})$ is also closed under the “degeneracy” operation $\oplus$.

We would like to point out that there is a 1-morphism in $\text{Hom}(\mathbb{I}^{n+1}, \mathbb{I}^{n+1})$ that corresponds to a codimension-1 trivial topological order (*i.e.* a product state in $(n-1)$ -dimensional space or n -dimensional spacetime). We denote such a 1-morphism as $\mathbb{I}^n \in \text{Hom}(\mathbb{I}^{n+1}, \mathbb{I}^{n+1})$.

Two codimension-1 topological orders $\mathbf{a}, \mathbf{b}$ may also be connected by a gapped domain wall of codimension-2: $\mathbf{k} : \mathbf{a} \rightarrow \mathbf{b}$ (see Fig. 12). We call $\mathbf{k}$ a 2-morphism. To be precise, here, the “domain wall” really means *types* of domain walls. We regard two domain walls as equivalent if they differ only by local unitary transformations and local addition of product states on the wall. The collection of 2-morphisms from $\mathbf{a}$ to $\mathbf{b}$ is denoted as $\text{Hom}(\mathbf{a}, \mathbf{b})$. We see that the collection of 2-morphisms from $\mathbb{I}^n$ to $\mathbb{I}^n$, $\text{Hom}(\mathbb{I}^n, \mathbb{I}^n)$, is the collection of condimension-2 excitations, which are also topological orders in $(n-2)$ -dimensional space. Such a collection also contain a product state (trivial topological order) in $(n-2)$ -dimensional space, denoted as $\mathbb{I}^{n-1} \in \text{Hom}(\mathbb{I}^n, \mathbb{I}^n)$. Also, the collection of 2-morphisms from $\mathbf{a}$ to $\mathbf{a}$, $\text{Hom}(\mathbf{a}, \mathbf{a})$, is the collection of condimension-2 excitations on the codimension-1 excitation $\mathbf{a}$.

We would like to remark that it is possible that $\text{Hom}(\mathbf{a}, \mathbf{b}) = 0$, which means that there is no gapped domain wall between $\mathbf{a}$ and $\mathbf{b}$. Here 0 denotes the “zero” category, which can be roughly thought as the linearized and categorified version of the empty set.

The above discussion can be continued. This allows us to define 3-morphisms, 4-morphisms, *etc.* The n -morphisms correspond to codimension- n or dimension-0 (*i.e.* point-like) excitations. The point-like excitations are world lines in spacetime. The domain wall on world-lines are $(n+1)$ morphisms. In general, a point-like excitation $\mathbf{p}$ (an n -morphism) may have degenerate ground states (of the Hamiltonian with traps). We denote the vector space of the degenerate ground states as $\mathcal{V}_{\text{fus}}(\mathbf{p}, \dots)$, where $\dots$ represent other excitations which are fixed. Then a $(n+1)$ -morphism $\mathbf{o}$ from one point-like excitations $\mathbf{p}_1$ to the other $\mathbf{p}_2$ (where the two excitations are near each other) is a linear operator acting near $\mathbf{p}_1$ and $\mathbf{p}_2$ from $\mathcal{V}_{\text{fus}}(\mathbf{p}_1, \dots)$ and $\mathcal{V}_{\text{fus}}(\mathbf{p}_2, \dots)$: $\mathcal{V}_{\text{fus}}(\mathbf{p}_1, \dots) \xrightarrow{\mathbf{o}} \mathcal{V}_{\text{fus}}(\mathbf{p}_2, \dots)$. We denote such a $(n+1)$ -morphism as $\mathbf{o} : \mathbf{p}_1 \rightarrow \mathbf{p}_2$.

Just like the objects (also called 0-morphisms), the morphisms also can be divided into two classes: the *simple* morphisms (which correspond to stable excitations whose ground state cannot be split by any local perturbations near the excitations) and *composite* morphisms (which correspond to unstable excitations with acciden-

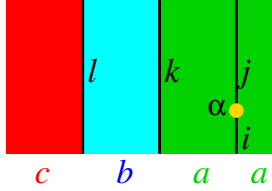


FIG. 12. The 2-dimensional excitations a, b, c are objects. The 1-dimensional domain walls i, j, k, l are 1-morphisms. α is a 2-morphism (domain wall) connecting two 1-morphisms i and j . The fusion of domain walls k, l between excitations a, b, c via the “glue” b is given by $l \otimes_b k$.

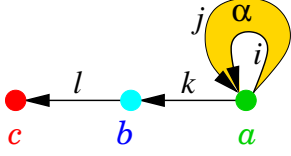


FIG. 13. A dual representation of Fig. 12. A higher category is a collection of vertices (objects), arrows (1-morphisms), oriented surfaces (2-morphisms), *etc.*, connected in a certain way. In other words, a higher category is a simplicial complex.

tal degeneracy).

The objects (*i.e.* the 0-morphisms), as well as m -morphisms can also fuse or compose. Let a, b, c be three $(m-1)$ -morphisms, and $k \in \text{Hom}(a, b)$ and $l \in \text{Hom}(b, c)$ are two m -morphisms. Then, a composition of k and l is given by a m -morphism from a to c : $l \otimes_b k \in \text{Hom}(a, c)$. The subscript b indicates that k and l are fused together via the “glue” b (see Fig. 12). The picture Fig. 12 also has a dual representation Fig. 13

In the above, we discussed excitations of various dimensions in a trivial topological order. We may reverse the thinking and use all the excitations to characterize the trivial topological order, or more generally, a non-trivial topological order. This is equivalent to using higher categories to characterize topological orders or trivial orders. However, in order to use excitations to describe topological orders or trivial orders, the first issue one faces is whether to use *type* or use *nl-type* of excitations to construct higher categories. The notions of *type* and *nl-type* were discussed in Ref. 25 and 26. In physics, when we refer topological excitations, we usually mean the *nl-types* of excitations, which seems to suggest using *nl-type* to construct higher category. However, in mathematics, it is more natural to use *types* of excitations to build the higher categories that describe topological orders.[29] In some sense, *nl-types* are like the basis vectors in a vector space. The completion under “+” give rise to all the *types* which form the whole vector space. In higher category theory, such a completion corresponds to condensing the *nl-types* of excitations to construct all

the types of excitations.

Definition^{ph} 16. *Descendent excitations* are excitations of dimension 1,2,3, *etc.*, which are obtained by condensing lower dimensional excitations.

The process of adding all the *types* of excitations in a category is called *condensation completion* which is discussed in Ref. 29. (Note that the condensation completion in Ref. 29 only includes defects that correspond to gapped liquid phases that have gappable boundaries, see Remark 1.) In this paper, we do a more general condensation completion that includes all the **descendent excitations** that correspond to all possible gapped liquid phases. In other words, we use *types* of all excitations to build the higher categories.

In n -dimensional space, the trivial topological order has dimension- $(n-1)$ excitations, dimension- $(n-2)$ excitations, *etc.* Those excitations can fuse (the $\otimes$ operation) and can have accidental degeneracy (the $\oplus$ operation). The excitations can also have domain walls between them (the morphisms). The collection of excitations, plus those extra structures form a *fusion n -category*, which is denoted as $\text{Hom}(\mathbb{I}^{n+1}, \mathbb{I}^{n+1})$.

The precise definition of a fusion n -category is difficult to write down due to the lack of a universally accepted and well developed model of weak n -categories. But this is not the only problem. Recently, by ignoring this problem, Johnson-Freyd managed to solve other important problems and provided a workable definition in Ref. 30. Due to its complexity, we choose to not to give Johnson-Freyd’s definition, but to provide a rough and physical intuition underlying the definition instead.

Definition^{ph} 17. A *fusion n -category* is an n -category, which is

- $\mathbb{C}$ -linear: the n -morphisms are required to form complex vector spaces,
- additive (with $\oplus$ operation);
- monoidal: with fusion $\otimes$ operation, which is compatible with the $\mathbb{C}$ -linear and additive structures;
- semi-simple (all composite object x has a unique decomposition $x = a \oplus b \dots$) and the tensor unit is simple;
- condensation complete: the 0-morphisms (the objects), 1-morphisms, 2-morphisms, *etc* include all the decedent excitations;

and satisfies certain fully dualizable condition amounts to the invariance of the physical reality by deforming and folding of the associated topological order.

Remark 2. Because our descendant excitations include topological orders whose boundary cannot be gapped, our definition of fusion n -category is different from that proposed by Ref. 29 and 30 where the descendent excitations only include topological orders with gappable boundary (see also Remark 1).

Since the excitations in a trivial topological order is surrounded by product states, we can add more product states to form a higher dimensional trivial topological order, and view the same excitations as excitations in a higher dimension trivial topological order. In fact, we can view any excitations in a trivial topological order as excitations in an infinite dimensional trivial topological order. So we can always braid the excitations in a trivial topological order by viewing the excitations as in an infinite dimensional trivial topological order. Since the excitations in an infinite dimensional trivial topological order have trivial braiding and exchange properties, those excitations form a symmetric fusion higher category with trivial exchange properties. Thus

Proposition 7. *The fusion n -category $\text{Hom}(\mathbb{I}^{n+1}, \mathbb{I}^{n+1})$, that describes the excitations in a trivial topological order $\mathbb{I}^{n+1}$ in n -dimensional space, can always be promoted into a braided fusion n -category. In fact, such a braided fusion n -category is a symmetric fusion n -category with trivial exchange properties.*

E. The category of anomaly-free topological orders

Definition^{ph} 18. *An **anomaly-free** topological order is a gapped liquid phase that can be realized by a local bosonic lattice models in the same dimension.*

In a trivial topological order $\mathbb{I}^{n+2}$ $(n+1)$ -dimensional space, a type of codimension-1 excitation correspond to an anomaly-free topological order in n -dimensional space. This because we can remove the product state around a codimension-1 excitation and view it as an anomaly-free topological order. Thus $\text{Hom}(\mathbb{I}^{n+2}, \mathbb{I}^{n+2})$ is the set of anomaly-free nd topological orders. Those nd topological orders have excitations on them and have domain walls between them, which correspond to morphisms. They can also fuse $\otimes$ and have accidental degeneracies $\oplus$. Besides, we include all descendant excitations (condensation completion). Adding those structures to the set $\text{Hom}(\mathbb{I}^{n+2}, \mathbb{I}^{n+2})$, we make it into a fusion $(n+1)$ -category (see Table I), which leads to the definition of the first version of category of anomaly-free topological orders:

Definition 19. *The **category of anomaly-free topological orders** in n -dimensional space, is the fusion $(n+1)$ -category given by $\text{Hom}(\mathbb{I}^{n+2}, \mathbb{I}^{n+2})$ where $\mathbb{I}^{n+2}$ is the trivial topological order (i.e. a product state) in $(n+1)$ -dimensional space. We denote the category of anomaly-free topological orders as $\mathcal{TO}_{\text{af}}^{n+1}$.*

In the above, we have defined anomaly-free topological orders via a microscopic approach, since we used the notion of product states and condensing low dimensional excitations to construct descendant excitations. Can we define anomaly-free topological orders using only the macroscopic notions? Here we would like to point out that the anomaly-free topological orders have a defining macroscopic property called the principle of remote detectability:[25, 84]

Proposition 8. *A topological order is anomaly-free if and only if any excitations of non-trivial **nl-type** can be detected remotely (such as via braiding) by some other excitations.*

Here the **nl-type** also has a defining macroscopic property

Proposition 9. *Two excitations have the same **nl-type** if and only if they can be connected by gapped or gapless domain walls.*

The gapped domain walls are the morphisms that we have discussed, while the gapless domain walls are not included in our discussion. Also the notion of “detecting remotely” is not defined carefully. This reveals the difficulty to define anomaly-free topological order macroscopically beyond 2-dimensional space. The above just points out a possible direction.

The category $\mathcal{TO}_{\text{af}}^{n+1}$ include both topological phases (called stable topological orders) and correspond to simple objects in $\mathcal{TO}_{\text{af}}^{n+1}$ and first-order phase transitions between two stable topological orders (called unstable topological orders). The stable topological order corresponds to simple object and the unstable topological order corresponds to composite object in $\mathcal{TO}_{\text{af}}^{n+1}$. For example, the first-order phase transition point between two stable topological orders, the simple objects A and B , correspond to the composite object $A \oplus B$, which can be viewed as the accidental degeneracy of the two stable topological orders A and B . As a fusion category, the objects in $\mathcal{TO}_{\text{af}}^{n+1}$ has this $\oplus$ operation.

The fusion higher category $\mathcal{TO}_{\text{af}}^{n+1}$ has a special property, reflecting the following physics fact. The stacking of two stable anomaly-free topological orders M_1^{n+1} and M_2^{n+1} always given us a third stable anomaly-free topological order M_3^{n+1} , and the result does not dependent on the order. Also note that the stacking is nothing but the fusion along $\mathbb{I}^{n+2}$. This means that

Proposition 10. *The simple objects, M_1^{n+1} , M_2^{n+1} , M_3^{n+1} , in $\mathcal{TO}_{\text{af}}^{n+1}$ form a commutative monoid under the fusion $\otimes_{\mathbb{I}^{n+2}}$:*

$$M_1^{n+1} \otimes_{\mathbb{I}^{n+2}} M_2^{n+1} = M_2^{n+1} \otimes_{\mathbb{I}^{n+2}} M_1^{n+1} = M_3^{n+1}. \quad (29)$$

$\otimes_{\mathbb{I}^{n+2}}$ is also abbreviated as $\otimes$. Since a commutative monoid may have a submonoid which is actually an Abelian group, anomaly-free topological orders have a subset of **invertible topological orders**, [25, 41, 42] which form an Abelian group under the stacking $\otimes$. All the invertible topological orders in n -dimensional space, plus their accidental degeneracies, also form a fusion $(n+1)$ -category denoted as $\mathcal{TO}_{\text{inv}}^{n+1}$.

From the above discussion, we see that we can ignore all the unstable topological orders, and restrict ourselves to only stable topological orders (which is more natural from a physics point of view). After dropping all the

TABLE I. Correspondence between concepts in higher category and concepts in topological order.[25, 26]

Concepts in higher category	Concepts in physics
Symmetric monoidal $(n+1)$ -category $\mathcal{TO}_{\text{af}}^{n+1}$	The collection of all nd anomaly-free topological orders, which can all be realized by bosonic lattice model in the same dimension.
A simple object (0-morphism) of $\mathcal{TO}_{\text{af}}^{n+1}$	A topological order (with non-degenerate ground state on S^n).
Simple 1-morphisms of $\mathcal{TO}_{\text{af}}^{n+1}$ connecting different objects	The types of domain wall between different topological orders
Simple 1-morphisms of $\mathcal{TO}_{\text{af}}^{n+1}$ connecting the same object	The types of codimension-1 topological excitations within a single topological order. They can fuse (compose).
Simple 2-morphisms of $\mathcal{TO}_{\text{af}}^{n+1}$	The types of codimension-2 topological excitations. They can fuse as well as braid (both induced from composition).
Simple $(n-1)$ -morphisms of $\mathcal{TO}_{\text{af}}^{n+1}$	The types of string-like topological excitations
Simple n -morphisms of $\mathcal{TO}_{\text{af}}^{n+1}$	The types of point-like topological excitations
$(n+1)$ -morphisms of $\mathcal{TO}_{\text{af}}^{n+1}$	The operators (instantons in spacetime)
Composite morphisms	The types of topological excitations with accidental degeneracy
Trivial morphisms	The types of excitations that can be created by local operators (the <i>trivial</i> excitations)

composite objects from $\mathcal{TO}_{\text{af}}^{n+1}$, we obtain a monoidal $(n+1)$ -category $\mathcal{TO}_{\text{af}}^{n+1}$. The objects in $\mathcal{TO}_{\text{af}}^{n+1}$ still support the stacking $\otimes$ operation, but do not support the accidental-degeneracy (or first-order phase transition) $\oplus$ operation. Thus $\mathcal{TO}_{\text{af}}^{n+1}$ is monoidal but not fusion.

F. The category of anomalous topological orders

In the last section, we see that $\text{Hom}(\mathbb{I}^{n+2}, \mathbb{I}^{n+2})$ gives rise to the collection of anomaly-free (stable and unstable) topological orders in n -dimensional space. Similarly, $\text{Hom}(\mathbb{I}^{n+2}, \mathbb{M}^{n+2})$ gives rise to the collection of gapped boundaries of a $(n+1)d$ topological order described by $\mathbb{M}^{n+2}$. Those gapped domain walls have domain walls (morphisms) between them, as well as accidental degeneracy $\oplus$ operation. But they do not have stacking $\otimes$ operation. But we can fuse the morphisms in $\text{Hom}(\mathbb{I}^{n+2}, \mathbb{I}^{n+2})$ to $\text{Hom}(\mathbb{I}^{n+2}, \mathbb{M}^{n+2})$ from right, and fuse the morphisms in $\text{Hom}(\mathbb{M}^{n+2}, \mathbb{M}^{n+2})$ to $\text{Hom}(\mathbb{I}^{n+2}, \mathbb{M}^{n+2})$ from left. Both $\text{Hom}(\mathbb{I}^{n+2}, \mathbb{I}^{n+2})$ and $\text{Hom}(\mathbb{M}^{n+2}, \mathbb{M}^{n+2})$ are fusion $(n+1)$ -categories. Thus $\text{Hom}(\mathbb{I}^{n+2}, \mathbb{M}^{n+2})$ is a right module of fusion $(n+1)$ -category $\text{Hom}(\mathbb{I}^{n+2}, \mathbb{I}^{n+2})$ and a left module of fusion $(n+1)$ -category $\text{Hom}(\mathbb{M}^{n+2}, \mathbb{M}^{n+2})$.

As a collection of gapped boundaries of a $(n+1)d$ anomaly-free topological order $\mathbb{M}^{n+2}$, $\text{Hom}(\mathbb{I}^{n+2}, \mathbb{M}^{n+2})$ support $\oplus$ operation but does not $\otimes$ operation. In order to allow the stacking $\otimes$ operation, we consider a collection of $\text{Hom}(\mathbb{I}^{n+2}, \mathbb{M}^{n+2})$ for all different $\mathbb{M}^{n+2}$, *i.e.* we consider all the gapped boundaries of all $(n+1)d$ anomaly-free topological orders. Such an enlarged collection support the stacking $\otimes$ operation, by stacking both boundary and bulk. However, in the enlarged collection $\otimes$ operation becomes messy, since there are two kinds of accidental degeneracies: the accidental degeneracies of the boundary and the accidental degeneracies of the bulk, suggesting that there are two kinds of $\oplus$ operations. To keep things simple, we will drop all the unstable gapped boundaries and all the unstable bulk topological order,

i.e. we restrict $\mathbb{M}^{n+2}$ to be simple objects and consider only simple 1-morphisms in $\text{Hom}(\mathbb{I}^{n+2}, \mathbb{M}^{n+2})$. In this way, we to obtain

Definition 20. *The category of potential anomalous topological orders in n -dimensional space, is the monoidal $(n+1)$ -category given by the union of all $\text{Hom}(\mathbb{I}^{n+2}, \mathbb{M}^{n+2})$'s after dropping all the composition 1-morphisms, where $\mathbb{I}^{n+2}$ is the unit object in $\mathcal{TO}_{\text{af}}^{n+2}$ and $\mathbb{M}^{n+2}$ is a simple object in $\mathcal{TO}_{\text{af}}^{n+2}$. It is a right module over fusion $(n+1)$ -category $\text{Hom}(\mathbb{I}^{n+2}, \mathbb{I}^{n+2})$, a left module over fusion $(n+1)$ -category $\mathcal{M}^{n+1} = \text{Hom}(\mathbb{M}^{n+2}, \mathbb{M}^{n+2})$ and thus a bimodule. Here $\mathbb{M}^{n+2}$ is a stable anomaly-free topological order in $(n+1)$ -dimensional space, which determine the **anomaly**. We denote the category of anomalous topological orders as $\mathcal{TO}^{n+1}$. Such a category describes all the gapped boundaries of all the anomaly-free topological orders*

G. Invertible domain wall between topological orders

We have seen that the collection of domain walls (plus the excitations on the walls and their $\otimes$, $\oplus$ operations) in a stable nd topological orders $\mathcal{C}$ is given by $\text{Hom}(\mathcal{C}, \mathcal{C})$. In fact, $\mathcal{C} = \text{Hom}(\mathcal{C}, \mathcal{C})$ is a fusion n -category. The objects in $\mathcal{C}$ (the domain walls) support the fusion $\otimes$ operation. Roughly, an object a (a domain wall) is **invertible** if there exist another object b such that $a \otimes b \simeq b \otimes a \simeq \mathbb{I}$, where $\mathbb{I}$ is the trivial object (the unit of $\otimes$ operation).

Let us give a more careful definition. An invertible $0d$ domain wall $\hat{\gamma}$ between two $1d$ topological orders $\mathbb{A}^1$ and $\mathbb{B}^1$ is well-defined (see Section 4.3 in Ref. [26]), and is denoted by $\mathbb{A}^1 \hat{\simeq} \mathbb{B}^1$ or $\hat{\gamma} : \mathbb{A}^1 \simeq \mathbb{B}^1$. Higher dimensional invertible domain walls are defined by induction:

Definition 21. *A gapped domain wall $\mathbb{M}^{n-1}$ between two gapped walls $\mathbb{A}^n$ and $\mathbb{B}^n$ is called **invertible** if there is a gapped domain wall $\mathbb{N}^{n-1}$ between $\mathbb{B}^n$ and $\mathbb{A}^n$ such*

that there exist an invertible gapped domain wall between $M^{n-1} \otimes_{B^n} N^{n-1}$ and id_{A^n} , i.e. $M^{n-1} \otimes_{B^n} N^{n-1} \simeq \text{id}_{A^n}$, and one between $N^{n-1} \otimes_{A_n} M^{n-1}$ and id_{B^n} , i.e. $N^{n-1} \otimes_{A_n} M^{n-1} \simeq \text{id}_{B^n}$.

The invertible domain wall will be used extensively later.

H. Looping and delooping

Looping and delooping operations reveal the layered structures in higher categories. From an n -category we can construct a fusion $(n-1)$ -category via a process called looping (see Fig. 13 where the morphisms are viewed as paths and loops):

Definition 22. Given an n -category $\mathcal{C}$, we choose an object $\mathbf{a}$ (the “base point”). We can construct a fusion $(n-1)$ -category, denoted as $\Omega_{\mathbf{a}}\mathcal{C}$, whose objects are given by the morphisms $\mathbf{k} : \mathbf{a} \rightarrow \mathbf{a}$. In other words $\Omega_{\mathbf{a}}\mathcal{C} = \text{Hom}(\mathbf{a}, \mathbf{a})$. If $\mathcal{C}$ is a fusion n -category, we usually choose the base point to be the unit of fusion $\mathbf{1}_{\mathcal{C}}$: $\Omega\mathcal{C} = \text{Hom}(\mathbf{1}_{\mathcal{C}}, \mathbf{1}_{\mathcal{C}})$, and $\Omega\mathcal{C}$ becomes a braided fusion n -category.

To apply the looping to a physical situation, let us consider a single simple object C^{n+1} in TO^{n+1} , which corresponds to an nd gapped boundary of an anomaly-free topological order $M^{n+2} = \text{Bulk}(C^{n+1})$ in $(n+1)$ -dimensional space (see eqn. (41)). C^{n+1} is also an nd anomalous topological order. For special case $M^{n+2} = I^{n+2}$, C^{n+1} becomes an nd anomaly-free topological order. $\text{Hom}(C^{n+1}, C^{n+1})$ is the collection of $(n-1)d$ excitations on the boundary. Here we include the morphisms, as well as the $\otimes$ and $\oplus$ operations to view $\text{Hom}(C^{n+1}, C^{n+1})$ as a fusion n -category, denoted by $\mathcal{C}^n = \text{Hom}(C^{n+1}, C^{n+1})$. Thus $\mathcal{C}^n$ describe all the codimension-1, codimension-2, etc excitations on the nd boundary C^{n+1} .

The unit object under $\otimes$ in $\mathcal{C}^n$ is denoted as $\mathbf{1}_{\mathcal{C}^n} = \text{id}_{C^{n+1}}$, which is the trivial codimension-1 excitations in C^{n+1} . Then the looping $\Omega\mathcal{C}^n = \text{Hom}(\mathbf{1}_{\mathcal{C}^n}, \mathbf{1}_{\mathcal{C}^n})$ is a fusion $(n-1)$ -category, which describes the codimension-2 excitations on the nd boundary C^{n+1} . Those excitations can also braid and $\Omega\mathcal{C}^n$ is in fact a braided fusion $(n-1)$ -category.

We see that the looping of a fusion category $\mathcal{C}^n$ is obtained by dropping the objects (the codimension-1 excitations) and include only the morphisms of the trivial object (the codimension-2 excitations). The looping operation can be continued, and the commutativity increases. For example $\Omega\Omega\mathcal{C}^n \equiv \Omega^2\mathcal{C}^n$ is a symmetric fusion $(n-2)$ -category, etc.

There is reverse process of looping, called delooping (see Fig. 13). From a fusion n -category, we can construct an $(n+1)$ -category via delooping:

Definition 23. Given an fusion n -category $\mathcal{C}$, we can construct a $(n+1)$ -category, denoted as $\Sigma_*\mathcal{C}$, which has

only one object $*$ and whose morphisms are given by the objects of $\mathcal{C}$. In other words, $\text{Hom}(*, *) = \mathcal{C}$. We can complete $\Sigma_*\mathcal{C}$ by adding the composite objects $* \oplus * \dots$, to obtain an additive $(n+1)$ -category with $\oplus$ operation. We can also do a condensation completion, by adding objects (the gapped liquid phases) formed by the codimension-1 excitations (i.e. the 1-morphisms of $\Sigma_*\mathcal{C}$), the codimension-2 excitations (i.e. the 2-morphisms of $\Sigma_*\mathcal{C}$), etc. The resulting $(n+1)$ -category is called the delooping of $\mathcal{C}$ and is denoted by $\Sigma\mathcal{C}$.

Remark 3. Our definition of delooping is compatible with Definition^{ph} 17 (see also Remark 1 and 2), and is different from that in Ref. 29 and 30.

As an application to physics, let us consider a braided fusion $(n-1)$ -category $\mathcal{C}^{n-1}$ that describes the codimension-2 and higher excitations in n -dimensional space. Then the delooping $\Sigma_n\mathcal{C}^{n-1}$ is the fusion n -category with only one object I^n , which correspond to the trivial codimension-1 excitation in the n -dimensional space. We can do a condensation completion by adding $I^n \oplus I^n \dots$, as well as all the descendant codimension-1 excitations, obtained from condensing codimension-2 and higher excitations. The resulting fusion n -category is $\Sigma\mathcal{C}^{n-1}$. If we can add a braiding structure to $\Sigma\mathcal{C}^{n-1}$, making it a braided fusion n -category, then the delooping plus condensation completion can be continued. $\Sigma\Sigma\mathcal{C}^{n-1} = \Sigma^2\mathcal{C}^{n-1}$ is a fusion $(n+1)$ -category.

We note that excitations in a trivial topological order I^{n+1} in n -dimensional space are described by a fusion n -category $\text{Hom}(I^{n+1}, I^{n+1})$. It contains $(n-1)d$, $(n-2)d$, $\dots$, $0d$ excitations. If we drop the $(n-1)d$ excitations, the remaining $(n-2)d$, $\dots$, $0d$ excitations correspond to excitations in trivial topological order I^n in $(n-1)$ -dimensional space, and are described by $\text{Hom}(I^n, I^n)$. This way we find

$$\Omega\text{Hom}(I^{n+1}, I^{n+1}) = \text{Hom}(I^n, I^n). \quad (30)$$

All the excitations in trivial topological order are descendant excitations. Thus if we add one layer of descendant excitations in one higher dimension, we obtain excitations of a trivial topological order in one higher dimension. Therefore we have

$$\Sigma\text{Hom}(I^n, I^n) = \text{Hom}(I^{n+1}, I^{n+1}). \quad (31)$$

We note that the codimension-1 excitations in a trivial topological order is embedded in a product state in 1 higher dimension. We can also view the same excitation as embedded in a product state in 2 higher dimension. In this case, the excitation becomes codimension-2 and can braid. Thus $\text{Hom}(I^{n+1}, I^{n+1})$ can also be viewed as a braided fusion n -category, and we can perform delooping. In fact, the braiding is trivial, and $\text{Hom}(I^{n+1}, I^{n+1})$ can be viewed as a symmetric fusion n -category.

Since $\text{Hom}(I^{n+2}, I^{n+2}) = \mathcal{TO}_{\text{af}}^{n+1}$ is the fusion higher category of anomaly-free topological orders in n -dimensional space, we find that

$$\Omega\mathcal{TO}_{\text{af}}^{n+1} = \mathcal{TO}_{\text{af}}^n, \quad \Sigma\mathcal{TO}_{\text{af}}^n = \mathcal{TO}_{\text{af}}^{n+1}. \quad (32)$$

We note that in 0-dimensional space, the category of anomaly-free topological order has only one simple object $\mathbb{1}^1$, which corresponds to a single quantum state $|\psi\rangle$. The set of 1-morphisms $\text{Hom}(\mathbb{1}^1, \mathbb{1}^1) = \mathbb{C}$ is the set of 1-by-1 complex matrices. We see that the category of anomaly-free topological orders in 1-dimensional space is given by $\mathcal{V}\text{ec}$ – the category of complex vector spaces:

$$\mathcal{TO}_{\text{af}}^1 = \Sigma \mathbb{C} = \mathcal{V}\text{ec}. \quad (33)$$

The higher category of n -vector spaces is given by the iterated delooping

$$n\mathcal{V}\text{ec} = \Sigma^{n-1}\mathcal{V}\text{ec}. \quad (34)$$

Remark 4. Our definition of $n\mathcal{V}\text{ec}$ is different from that in Ref. 29 for $n > 2$ (recall Remark 3). We suspect that the difficulty of defining $n\mathcal{V}\text{ec}$ mathematically might be due to the complexity of higher topological orders.

We see that the category of anomaly-free topological orders is given by

$$\mathcal{TO}_{\text{af}}^{n+1} = \Sigma^n \mathcal{V}\text{ec} = (n+1)\mathcal{V}\text{ec}. \quad (35)$$

We would like to remark that the fusion $(n+1)$ -category $\mathcal{TO}_{\text{af}}^{n+1} = \text{Hom}(\mathbb{1}^{n+2}, \mathbb{1}^{n+2}) = (n+1)\mathcal{V}\text{ec}$ can also be promoted into a braided fusion $(n+1)$ -category, which is actually, a symmetric fusion $(n+1)$ -category with trivial exchange property (see Proposition 7). After we promote $(n+1)\mathcal{V}\text{ec}$ to a braided fusion $(n+1)$ -category, we can denote it as $(n+1)\mathcal{V}\text{ec}$. In other words, $(n+1)\mathcal{V}\text{ec}$ is the braided fusion $(n+1)$ -category obtained from the fusion $(n+1)$ -category $(n+1)\mathcal{V}\text{ec}$ by adding the trivial braiding structure (which is always doable).

Consider an anomaly-free topological order $\mathbf{M}^{n+1} \in \mathcal{TO}_{\text{af}}^{n+1}$. Its excitations are described by a fusion n -category $\mathcal{M}^n = \text{Hom}(\mathbf{M}^{n+1}, \mathbf{M}^{n+1})$. The objects in $\mathcal{M}^n$ are codimension-1 excitations, which cannot braid and cannot be remotely detected by any excitations. Thus, according to Proposition 8, those codimension-1 excitations must all have the trivial nl-type. We believe that

Proposition 11. *all the excitations with the trivial nl-type are descendant excitations, coming from the condensations of lower dimensional excitations.*

Thus, the codimension-1 excitations in an anomaly-free topological order are all descendant excitations. Dropping those codimension-1 excitations gives us the looping $\Omega\mathcal{M}^n$. The delooping of $\Omega\mathcal{M}^n$ adds back those descendant codimension-1 excitations. We find

Proposition 12. *The excitations in an anomaly-free topological order described by fusion n -category $\mathcal{M}^n$ satisfy the following relation*

$$\mathcal{M}^n = \Sigma \Omega \mathcal{M}^n. \quad (36)$$

The reverse may not be true: a fusion n -category $\mathcal{M}^n$ satisfying $\mathcal{M}^n = \Sigma \Omega \mathcal{M}^n$ may not describe the excitations in an anomaly-free topological order.

We see that the category of anomaly-free topological orders $\mathcal{TO}_{\text{af}}^{n+1} = (n+1)\mathcal{V}\text{ec}$ is a fusion $(n+1)$ -category. Since the condensation completion always include excitations induced by condensing the trivial excitations and $n\mathcal{V}\text{ec}$ is formed only by those excitations induced by condensing the trivial excitations, we find that

Corollary 1. *a fusion n -category $\mathcal{C}^n$ is a bimodule of $n\mathcal{V}\text{ec}$:*

$$\mathcal{C}^n \otimes n\mathcal{V}\text{ec} = n\mathcal{V}\text{ec} \otimes \mathcal{C}^n. \quad (37)$$

We also have

Corollary 2. *The n -category $\mathcal{M}^n$ that describes the excitations in an anomaly-free topological order $\mathbf{M}^{n+1} \in \mathcal{TO}_{\text{af}}^{n+1}$, $\mathcal{M}^n = \text{Hom}(\mathbf{M}^{n+1}, \mathbf{M}^{n+1})$, is a fusion n -category.*

This is because the n -category $\mathcal{M}^n$ contains all the descendant excitations.

I. Boundary-bulk relation

Consider an anomaly-free stable topological order $\mathbf{M}^{n+2}$ in $(n+1)$ -dimensional space, $\mathbf{M}^{n+2} \in \mathcal{TO}_{\text{af}}^{n+2}$, and its gapped boundaries. The $(n+1)$ d bulk topological order and the nd gapped boundaries have a very direct relation. Ref. 25–27 proposed a holographic principle for this boundary-bulk relation: *boundary uniquely determines bulk*. The boundary-bulk relation has several versions, differ in mathematical details.

In the first version, we consider a linear $(n+1)$ -category $\mathcal{B}^{n+1}$ and a fusion $(n+1)$ -category $\mathcal{M}^{n+1}$ that acts on $\mathcal{B}^{n+1}$ from left. $\mathcal{B}^{n+1}$ is also a right module over the fusion $(n+1)$ -category $\text{Hom}(\mathbb{1}^{n+2}, \mathbb{1}^{n+2})$. The pair $(\mathcal{B}^{n+1}, \mathcal{M}^{n+1})$ describes a category of all gapped boundaries of an $(n+1)$ d anomaly-free topological order in $\mathcal{TO}_{\text{af}}^{n+2}$. We believe that

Proposition 13. *there is only one anomaly-free topological order $\mathbf{M}^{n+2}$ in $\mathcal{TO}_{\text{af}}^{n+2}$, which gives rise to the category of the gapped boundaries*

$$\begin{aligned} & (\mathcal{B}^{n+1}, \mathcal{M}^{n+2}) \\ &= \left(\text{Hom}(\mathbb{1}^{n+2}, \mathbf{M}^{n+2}), \text{Hom}(\mathbf{M}^{n+2}, \mathbf{M}^{n+2}) \right). \end{aligned} \quad (38)$$

We would like to point that the pair $(\mathcal{B}^{n+1}, \mathcal{M}^{n+2})$ not only uniquely determines the bulk topological order $\mathbf{M}^{n+2}$, it also gives extra information about how the bulk is connected to the boundary. If we ignore such information, we believe that the linear $(n+1)$ -category of the gapped boundaries can already uniquely determines the bulk topological order:

Proposition 14. *There is only one anomaly-free topological order $\mathbf{M}^{n+2}$ in $\mathcal{TO}_{\text{af}}^{n+2}$, which gives rise to the linear $(n+1)$ -category for the gapped boundaries*

$$\mathcal{B}^{n+1} = \text{Hom}(\mathbb{1}^{n+2}, \mathbf{M}^{n+2}). \quad (39)$$

In the second version, we consider a particular gapped boundary $\mathcal{C}^{n+1} \in \mathcal{B}^{n+1}$. Now $\text{Hom}(\mathbf{M}^{n+2}, \mathbf{M}^{n+2})$ does not act within $\mathcal{C}^{n+1}$ since the fusion with excitations in $\text{Hom}(\mathbf{M}^{n+2}, \mathbf{M}^{n+2})$ (*i.e.* the nd excitations in $\mathbf{M}^{n+2}$) may change $\mathcal{C}^{n+1}$ to some other boundaries $\tilde{\mathcal{C}}^{n+1}$. However, the $(n-1)d$ excitations in $\mathbf{M}^{n+2}$ act within $\mathcal{C}^{n+1}$. The n -category of all the $(n-1)d$ excitations is given by $\text{Hom}(\text{id}_{\mathbf{M}^{n+2}}, \text{id}_{\mathbf{M}^{n+2}})$ where $\text{id}_{\mathbf{M}^{n+2}} \in \text{Hom}(\mathbf{M}^{n+2}, \mathbf{M}^{n+2})$ is the unit morphism (that corresponds to the trivial nd excitation in the bulk topological order $\mathbf{M}^{n+2}$). In fact, $\text{Hom}(\text{id}_{\mathbf{M}^{n+2}}, \text{id}_{\mathbf{M}^{n+2}})$ is a braided fusion category, which is actually defined as the looping $\Omega\text{Hom}(\mathbf{M}^{n+2}, \mathbf{M}^{n+2})$. Therefore, there is a braided fusion n -category $\mathcal{M}^n = \Omega\text{Hom}(\mathbf{M}^{n+2}, \mathbf{M}^{n+2})$, that acts on $\mathcal{C}^{n+1}$. A gapped boundary is described by a pair $(\mathcal{C}^{n+1}, \mathcal{M}^n)$. We believe that the pair $(\mathcal{C}^{n+1}, \mathcal{M}^n)$ uniquely determines the bulk topological order and how the bulk topological order is connected to the boundary. If we ignore the information about how the bulk is connected to the boundary, we believe that $\mathcal{C}^{n+1} \in \text{Hom}(\mathbf{I}^{n+2}, \mathbf{M}^{n+2})$ uniquely determines the bulk topological order:

Proposition 15. *There is only one anomaly-free topological order $\mathbf{M}^{n+2}$ in $\text{TO}_{\text{af}}^{n+2}$, which gives rise to the boundary*

$$\mathcal{C}^{n+1} \in \text{Hom}(\mathbf{I}^{n+2}, \mathbf{M}^{n+2}). \quad (40)$$

We denote such boundary-bulk relation as

$$\text{Bulk}(\mathcal{C}^{n+1}) = \mathbf{M}^{n+2}. \quad (41)$$

The above is the accurate meaning of *boundary uniquely determines bulk*.

$\mathcal{C}^n$ can determine the boundary topological order $\mathcal{C}^{n+1}$ up to an invertible topological order. Since we believe that all invertible topological orders are anomaly-free, the excitations $\mathcal{C}^n = \text{Hom}(\mathcal{C}^{n+1}, \mathcal{C}^{n+1})$ in the boundary topological order $\mathcal{C}^{n+1}$ can already determine the bulk topological order $\mathbf{M}^{n+2}$. We obtain

Proposition 16. *For any fusion n -category $\mathcal{C}^n$, there is only one anomaly-free topological order $\mathbf{M}^{n+2}$ in $\text{TO}_{\text{af}}^{n+2}$ admitting a boundary $\mathcal{C}^{n+1} \in \text{Hom}(\mathbf{I}^{n+2}, \mathbf{M}^{n+2})$ such that*

$$\mathcal{C}^n = \Omega\mathcal{C}^{n+1}. \quad (42)$$

We denote such boundary-bulk relation as

$$\text{bulk}(\mathcal{C}^n) = \mathbf{M}^{n+2} \quad (43)$$

Here, we have assumed the following.

Proposition 17. *A fusion n -category $\mathcal{C}^n$ can always be realized by the excitations in a potentially anomalous topological order $\mathcal{C}^{n+1}$ such that $\mathcal{C}^n = \Omega\mathcal{C}^{n+1}$.*

The above result was shown for $n = 1$ case. Given a fusion category $\mathcal{C}$, we can explicitly construct a 2d string-net liquid state, [34] that has a boundary realizing the fusion category $\mathcal{C}$. [35] For $n > 1$, the general construction is sketched in Proposition 21.

In the third version, we only consider the excitations on a particular gapped boundary $\mathcal{C}^{n+1} \in \mathcal{B}^{n+1}$, and instead of determining bulk topological orders, we ask only whether boundary excitations can determine bulk excitations. The boundary excitations are described by a fusion n -category $\mathcal{C}^n = \text{Hom}(\mathcal{C}^{n+1}, \mathcal{C}^{n+1})$. Again $\text{Hom}(\mathbf{M}^{n+2}, \mathbf{M}^{n+2})$ (nd excitations in $\mathbf{M}^{n+2}$) does not act within $\mathcal{C}^n$. However, the $(n-1)d$ excitations in $\mathbf{M}^{n+2}$ act within $\mathcal{C}^n$. The braided fusion n -category of all the $(n-1)d$ excitations is given by $\mathcal{M}^n = \Omega\text{Hom}(\mathbf{M}^{n+2}, \mathbf{M}^{n+2})$, which acts on $\mathcal{C}^n$. In other words, $\mathcal{C}^n$ is a left module over $\mathcal{M}^n$. It is also a right module over $n\text{Vec} = \text{Hom}(\mathbf{I}^{n+1}, \mathbf{I}^{n+1})$. A gapped boundary, up to an invertible topological order, is described by a pair $(\mathcal{C}^n, \mathcal{M}^n)$. In fact, the data $(\mathcal{C}^n, \mathcal{M}^n)$ determines the boundary excitations, which in turn determine the gapped boundary, up to an invertible topological order. We believe that the data $(\mathcal{C}^n, \mathcal{M}^n)$ can determine the category of the bulk excitations (*i.e.* determine the bulk topological order up to an invertible topological order). In fact, we believe that $\mathcal{C}^n$ alone can uniquely determine the category of the bulk excitations.

Proposition 18. *There is only one anomaly-free topological order $\mathbf{M}^{n+2}$ in $\text{TO}_{\text{af}}^{n+2}$, up to invertible topological orders, which gives rise to the category of boundary excitations:*

$$\begin{aligned} \mathcal{C}^n &= \text{Hom}(\mathcal{C}^{n+1}, \mathcal{C}^{n+1}), \\ \text{where } \mathcal{C}^{n+1} &\in \text{Hom}(\mathbf{I}^{n+2}, \mathbf{M}^{n+2}). \end{aligned} \quad (44)$$

The above result can be rephrased. Let us denote the fusion $(n+1)$ -category of the bulk excitations as $\mathcal{M}^{n+1}$ (which is given by $\text{Hom}(\mathbf{M}^{n+2}, \mathbf{M}^{n+2})$). Then $\mathcal{M}^{n+1}$ is uniquely determined by a braided fusion n -category $\mathcal{M}^n = \Omega\mathcal{M}^{n+1}$, via delooping: $\mathcal{M}^{n+1} = \Sigma\mathcal{M}^n$, since the bulk topological order is anomaly-free.

Proposition 19. *The braided fusion n -category $\mathcal{M}^n$ is uniquely determined by $\mathcal{C}^n$*

$$\mathcal{M}^n = Z_1(\mathcal{C}^n), \quad (45)$$

where boundary-bulk relation Z_1 is called Z_1 center (the Drinfeld center when $n = 1$). Thus $\mathcal{C}^n$ uniquely determines $\mathcal{M}^{n+1}$ via

$$\mathcal{M}^{n+1} = \Sigma Z_1(\mathcal{C}^n). \quad (46)$$

Mathematically, the above result is phrased as

Proposition 20. *From any fusion n -category $\mathcal{C}^n$, we can always construct a unique braided fusion n -category $Z_1(\mathcal{C}^n)$, which is the maximal one equipped with a **central** monoidal functor $\mathcal{M}^n \xrightarrow{F_{\mathcal{C}^n}} \mathcal{C}^n$, *i.e.* for any $\mathbf{x}$ in $\mathcal{M}^n$ and $\mathbf{y}$ in $\mathcal{C}^n$*

$$F_{\mathcal{C}^n}(\mathbf{x}) \otimes \mathbf{y} \simeq \mathbf{y} \otimes F_{\mathcal{C}^n}(\mathbf{x}), \quad (47)$$

such that $Z_1(\mathcal{C}^n)$ is the category of codimension-2 excitations in the bulk of $\mathcal{C}^n$

$$\mathcal{M}^n = Z_1(\mathcal{C}^n). \quad (48)$$

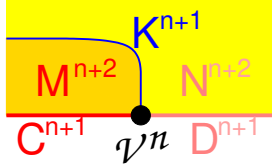


FIG. 14. The “bulk” of a domain wall on the boundary[26].

Such central functor $F_{\mathcal{C}^n} : Z_1(\mathcal{C}^n) \rightarrow \mathcal{C}^n$ is also referred to as the forgetful functor, since by construction, objects in $Z_1(\mathcal{C}^n)$ can be viewed as objects in $\mathcal{C}^n$ equipped with additional half braiding structures.

We now explain an explicit construction of Z_1 . To do this, consider a slightly more complicated configuration as in Fig. 14, where $\mathcal{C}^{n+1} \in \text{Hom}(I^{n+2}, M^{n+2})$, $\mathcal{D}^{n+1} \in \text{Hom}(I^{n+2}, N^{n+2})$, $\mathcal{K}^{n+1} \in \text{Hom}(M^{n+2}, N^{n+2})$, and $\mathcal{V}^n = \text{Hom}(\mathcal{D}^{n+1}, \mathcal{K}^{n+1} \otimes_{M^{n+2}} \mathcal{C}^{n+1})$. We view $\mathcal{V}^n$ as a collection

of domain walls between the boundary $\mathcal{C}^{n+1}$ and $\mathcal{D}^{n+1}$ and it uniquely determines the “bulk” $\mathcal{K}^{n+1}$, which is a domain wall between the bulk of $\mathcal{C}^{n+1}$, and the bulk of $\mathcal{D}^{n+1}$, namely between M^{n+2} and N^{n+2} .

Observe that all three fusion n -categories, $\mathcal{K}^n = \text{Hom}(\mathcal{K}^{n+1}, \mathcal{K}^{n+1})$, $\mathcal{C}^n = \text{Hom}(\mathcal{C}^{n+1}, \mathcal{C}^{n+1})$, and $\mathcal{D}^n = \text{Hom}(\mathcal{D}^{n+1}, \mathcal{D}^{n+1})$, act on $\mathcal{V}^n$. Moreover, the three actions commute with each other. Here we want to separate the action of $\mathcal{K}^n$ from those of $\mathcal{C}^n$ and $\mathcal{D}^n$.

Let us introduce $\text{Fun}(\mathcal{V}^n, \mathcal{V}^n)$ as a collection of endofunctors of the linear n -category $\mathcal{V}^n$, or more precisely, a category of linear functors $f : \mathcal{V} \rightarrow \mathcal{V}$. In other words, for objects $\mathbf{v}, \mathbf{w} \in \mathcal{V}$, the functor f satisfies

$$f(\mathbf{v} \oplus \mathbf{w}) \simeq f(\mathbf{v}) \oplus f(\mathbf{w}), \quad (49)$$

Note that these functors are higher functors between higher categories, and consist of many structures at different levels of morphisms. In this paper, we are not giving rigorous descriptions, but only listing the structures at the object level for illustration. The structures on higher morphisms are similar.

$\text{Fun}(\mathcal{V}^n, \mathcal{V}^n)$ is naturally a linear monoidal category since, for $f, g \in \text{Fun}(\mathcal{V}^n, \mathcal{V}^n)$, we can define

$$(f \otimes g)(\mathbf{v}) = f(g(\mathbf{v})), \quad (f \oplus g)(\mathbf{v}) = f(\mathbf{v}) \oplus g(\mathbf{v}). \quad (50)$$

Now we can see that an action of $\mathcal{K}^n$ on $\mathcal{V}^n$ is the same as a monoidal functor $\mathcal{K}^n \rightarrow \text{Fun}(\mathcal{V}^n, \mathcal{V}^n)$, in other words an object $\mathbf{k} \in \mathcal{K}^n$ corresponds to a functor $f_{\mathbf{k}} \in \text{Fun}(\mathcal{V}^n, \mathcal{V}^n)$:

$$f_{\mathbf{k}}(\mathbf{v}) = \mathbf{k} \otimes_{\mathcal{K}^n} \mathbf{v}, \quad \mathbf{v} \in \mathcal{V}^n, \quad (51)$$

where $\mathbf{k} \otimes_{\mathcal{K}^n} \mathbf{v}$ describes the fusion of an object $\mathbf{k} \in \mathcal{K}^n$ to an object $\mathbf{v} \in \mathcal{V}^n$ along the domain wall $\mathcal{K}^{n+1}$.

Similarly, we have the actions of $\mathcal{C}^n$ and $\mathcal{D}^n$ on $\mathcal{V}^n$, which commute with each other and make $\mathcal{V}^n$ into a $\mathcal{C}^n$ - $\mathcal{D}^n$ -bimodule. Thus the action of $\mathcal{K}^n$, that commutes

with both actions of $\mathcal{C}^n$ and $\mathcal{D}^n$, identifies $\mathcal{K}^n$ with the bimodule endofunctors of $\mathcal{V}^n$, i.e., all the linear functors that commute with both actions. More precisely, denote the left action of $\mathcal{C}^n$ by $\mathcal{C} \otimes_{\mathcal{C}^n} \mathbf{v}$ and right action of $\mathcal{D}^n$ by $\mathbf{v} \otimes_{\mathcal{D}^n} \mathbf{d}$ for $\mathbf{v} \in \mathcal{V}^n$, $\mathcal{C} \in \mathcal{C}^n$, $\mathbf{d} \in \mathcal{D}^n$, a bimodule functor is a functor $f : \mathcal{V}^n \rightarrow \mathcal{V}^n$ together with natural isomorphisms

$$f(\mathcal{C} \otimes_{\mathcal{C}^n} \mathbf{v}) \simeq \mathcal{C} \otimes_{\mathcal{C}^n} f(\mathbf{v}), \quad f(\mathbf{v} \otimes_{\mathcal{D}^n} \mathbf{d}) \simeq f(\mathbf{v}) \otimes_{\mathcal{D}^n} \mathbf{d}, \quad (52)$$

and other appropriate higher structures. We note that the above are additional *structures* rather than conditions. Denote the category of all bimodule functors by $\text{Fun}_{\mathcal{C}^n|\mathcal{D}^n}(\mathcal{V}^n, \mathcal{V}^n)$. The monoidal functor $\mathcal{K}^n \rightarrow \text{Fun}(\mathcal{V}^n, \mathcal{V}^n)$ can be promoted to $\mathcal{K}^n \rightarrow \text{Fun}_{\mathcal{C}^n|\mathcal{D}^n}(\mathcal{V}^n, \mathcal{V}^n)$. Following the holographic principle, we expect such functor to be an equivalence. Thus we have the following boundary-bulk relation, extended to domain walls on the boundary and in the bulk:

Proposition 21. *Let $\mathcal{C}^{n+1} \in \text{Hom}(I^{n+2}, M^{n+2})$, $\mathcal{D}^{n+1} \in \text{Hom}(I^{n+2}, N^{n+2})$, and their excitations $\mathcal{C}^n = \text{Hom}(\mathcal{C}^{n+1}, \mathcal{C}^{n+1})$, $\mathcal{D}^n = \text{Hom}(\mathcal{D}^{n+1}, \mathcal{D}^{n+1})$. A $\mathcal{C}^n$ - $\mathcal{D}^n$ -bimodule $\mathcal{V}^n$, viewed as a collection of domain walls between $\mathcal{C}^{n+1}$ and $\mathcal{D}^{n+1}$, uniquely determines a domain wall $\mathcal{K}^{n+1}$ in the bulk, i.e., $\mathcal{K}^{n+1} \in \text{Hom}(M^{n+2}, N^{n+2})$. In other words, there is a unique $\mathcal{K}^{n+1} \in \text{Hom}(M^{n+2}, N^{n+2})$ such that $\mathcal{V}^n = \text{Hom}(\mathcal{D}^{n+1}, \mathcal{K}^{n+1} \otimes_{M^{n+2}} \mathcal{C}^{n+1})$. The excitations on $\mathcal{K}^{n+1}$ is given by*

$$\mathcal{K}^n = \text{Hom}(\mathcal{K}^{n+1}, \mathcal{K}^{n+1}) = \text{Fun}_{\mathcal{C}^n|\mathcal{D}^n}(\mathcal{V}^n, \mathcal{V}^n). \quad (53)$$

Objects in $\mathcal{K}^n$ correspond to functors in $\text{Fun}_{\mathcal{C}^n|\mathcal{D}^n}(\mathcal{V}^n, \mathcal{V}^n)$.

As a special case, take $\mathcal{V}^n = \mathcal{D}^n = \mathcal{C}^n$, i.e., we view $\mathcal{C}^n$ as a collection of domain walls between $\mathcal{C}^{n+1}$ and itself. The “bulk” of $\mathcal{C}^n$ is the trivial domain wall in the bulk of $\mathcal{C}^{n+1}$ and the excitations on the trivial domain wall are just the codimension-2 excitations in the bulk of $\mathcal{C}^{n+1}$. We obtain the explicit construction

$$\mathcal{M}^n = Z_1(\mathcal{C}^n) := \text{Fun}_{\mathcal{C}^n|\mathcal{C}^n}(\mathcal{C}^n, \mathcal{C}^n). \quad (54)$$

For a bimodule functor $f \in Z_1(\mathcal{C}^n)$, and any $\mathbf{y} \in \mathcal{C}^n$

$$f(\mathbf{1}_{\mathcal{C}^n}) \otimes \mathbf{y} \simeq f(\mathbf{y}) \simeq \mathbf{y} \otimes f(\mathbf{1}_{\mathcal{C}^n}). \quad (55)$$

We see that a bimodule functor f is the same as an object $f(\mathbf{1}_{\mathcal{C}^n})$ in $\mathcal{C}^n$ together with the half braiding $f(\mathbf{1}_{\mathcal{C}^n}) \otimes \mathbf{y} \simeq \mathbf{y} \otimes f(\mathbf{1}_{\mathcal{C}^n})$. The forgetful functor is thus

$$F_{\mathcal{C}^n} : \text{Fun}_{\mathcal{C}^n|\mathcal{C}^n}(\mathcal{C}^n, \mathcal{C}^n) = Z_1(\mathcal{C}^n) \rightarrow \mathcal{C}^n, \quad f \mapsto F_{\mathcal{C}^n}(f) = f(\mathbf{1}_{\mathcal{C}^n}). \quad (56)$$

In this paper, we mainly use the third version of boundary-bulk relation $Z_1(\mathcal{C}^n) = \mathcal{M}^n$: the codimension-1 boundary excitations described by a fusion n -category

$\mathcal{C}^n$ uniquely determines the codimension-2 bulk excitations described by a braided fusion n -category $\mathcal{M}^n$. In contrast, eqn. (41) is a relation between a boundary topological order (*i.e.* an anomalous nd topological order – an object in $\text{Hom}(\mathbb{I}^{n+2}, \mathcal{M}^{n+2})$) and a bulk topological order (*i.e.* an anomaly-free $(n+1)d$ topological order – an object in $\text{TO}_{\text{af}}^{n+2}$).

J. Example of topological orders and the corresponding higher categories

1. Invertible topological orders

The simplest anomaly-free topological orders are invertible topological orders.[25, 41, 42] We use $\mathcal{JO}_{\text{inv}}^{n+1}$ to denote the category of all nd invertible topological orders. We believe that there are no anomalous invertible topological orders:

Proposition 22. *Consider a potentially anomalous topological order in n -dimensional space: $\mathcal{C}^{n+1} \in \text{Hom}(\mathbb{I}^{n+2}, \mathcal{M}^{n+2})$ for $\mathcal{M}^{n+2} \in \text{TO}_{\text{af}}^{n+2}$, if its excitations are the same as those for the trivial topological order, *i.e.* $\Omega\mathcal{C}^{n+1} = \Omega^{n+1} = n\text{Vec}$, then $\mathcal{M}^{n+2} = \mathbb{I}^{n+2}$ (*i.e.* $\mathcal{C}^{n+1}$ is anomaly-free) and $\mathcal{C}^{n+1}$ is an invertible topological order.*

By definition, the invertible topological orders form Abelian groups under the stacking $\otimes$. In different dimensions, those groups are given by[25, 41, 42]

$$\begin{array}{l} (n+1)\text{D} : 0+1 \quad 1+1 \quad 2+1 \quad 3+1 \quad 4+1 \\ \mathcal{JO}_{\text{inv}}^{n+1} : \quad 0 \quad 0 \quad \mathbb{Z} \quad 0 \quad \mathbb{Z}_2 \end{array} \quad (57)$$

The generator of $\mathcal{JO}_{\text{inv}}^3$ is the E_8 bosonic quantum Hall state described by the wave function

$$\begin{aligned} \Psi(z_i^I) &= \left(\prod_{i,j} \prod_{I < J} (z_i^I - z_j^J)^{K_{IJ}} \right) \left(\prod_{i < j} \prod_I (z_i^I - z_j^I)^{K_{II}} \right) \\ &\quad e^{-\frac{1}{4} \sum_{i,I} |z_i^I|^2} \end{aligned} \quad (58)$$

where the K -matrix is given by

$$K = \begin{pmatrix} 2 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 2 & 1 & 0 & 0 & 0 & 1 & 0 \\ 0 & 1 & 2 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 2 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 2 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 2 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 2 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 2 \end{pmatrix} \quad (59)$$

which satisfies $\det(K) = 1$. The generator of $\mathcal{JO}_{\text{inv}}^5$ is given following 4d bosonic system (described by path integral for cochain fields[85])

$$Z = \sum_{a^{\mathbb{Z}_2}, b^{\mathbb{Z}_2}} e^{i\pi \int_{M^{4+1}} (w_2 + da^{\mathbb{Z}_2})(w_3 + db^{\mathbb{Z}_2})}, \quad (60)$$

where $a^{\mathbb{Z}_2}$ is a $\mathbb{Z}_2$ -valued 1-cochain, $b^{\mathbb{Z}_2}$ is a $\mathbb{Z}_2$ -valued 2-cochain, and w_n is the n^{th} Stiefel-Whitney class of the tangent bundle of the closed spacetime manifold M^{4+1} . The path integral only depends on the cohomology classes of w_2 and w_3 , since the path integral is invariant under the following gauge transformation

$$\begin{aligned} w_2 &\rightarrow w_2 + d\gamma, & w_3 &\rightarrow w_3 + d\lambda, \\ a^{\mathbb{Z}_2} &\rightarrow a^{\mathbb{Z}_2} + \gamma, & b^{\mathbb{Z}_2} &\rightarrow b^{\mathbb{Z}_2} + \lambda. \end{aligned} \quad (61)$$

The path integral can be calculated exactly

$$\begin{aligned} Z &= \sum_{a^{\mathbb{Z}_2}, b^{\mathbb{Z}_2}} e^{i\pi \int_{M^{4+1}} (w_2 + da^{\mathbb{Z}_2})(w_3 + db^{\mathbb{Z}_2})}, \\ &= 2^{N_l + N_t} e^{i\pi \int_{M^{4+1}} w_2 w_3} \end{aligned} \quad (62)$$

where N_l is the number of the links and N_t the number of the triangles in the triangulated spacetime M^{4+1} . The non-trivial topological invariant $e^{i\pi \int_{M^{4+1}} w_2 w_3}$ implies that eqn. (60) realize a non-trivial 4d invertible topological order.

The invertible topological order have no non-trivial nl -type of excitations, *i.e.* no non-trivial *topological* excitations. All the excisions are *local*. The different *types* of local excitations are described by the trivial fusion n -category $n\text{Vec}$ for an nd invertible topological order.

For example, in 2-dimensional space, the objects in the category of invertible topological orders $\mathcal{JO}_{\text{inv}}^3$ form an Abelian group $\mathbb{Z}$. The morphisms on each object form a trivial fusion 2-category 2Vec . Since the E_8 quantum Hall state has no gapped boundary, it is not an *exact* topological order, but is a *closed* (*i.e.* anomaly-free) topological order. Therefore, $\mathcal{JO}_{\text{inv}}^3$ has no 1-morphisms between different objects. All domain walls between different objects are gapless. The 1-morphism that connect the same object is also trivial. This is because such a 1-morphism corresponds to an $1+1\text{D}$ excitation and there is no non-trivial $1+1\text{D}$ anomaly-free topological order.

In our attempt to use higher categories to characterize topological orders, the invertible topological orders are the most difficult ones. This is because higher categories mainly describes the excitations, but the excitations on top of invertible topological orders are identical to those on top of trivial product state. Fortunately, in the category of topological orders, we also have information on the stacking operation $\otimes$ and the gapped domain walls between topological orders. This allows us to distinguish invertible topological orders. The invertible topological orders in 2d are particularly difficult, since we do not even have any gapped domain walls (*i.e.* no 1-morphisms). Only the stacking operation $\otimes$ allows us to distinguish 2d invertible topological orders.

2. G -topological orders

Another class of topological orders for bosonic systems are called G -topological orders (see Section IV),

which are described by gauge theories with a finite group G . We use $\text{GT}_G^{n+1} \in \text{TO}_{\text{af}}^{n+1}$ to denote G -topological order in n -dimensional space. We use ΩGT_G^{n+1} to denote the fusion n -category that describes the excitations in GT_G^{n+1} and use $\Omega^2\text{GT}_G^{n+1}$ to denote the braided fusion $(n-1)$ -category that describes the excitations with codimension-2 and higher in GT_G^{n+1} . It is known that GT_G^{n+1} is anomaly-free and has gapped boundary. An example of $\text{GT}_{\mathbb{Z}_2}^3$ is given by Example 1.

Let us describe 3d $\mathbb{Z}_2$ -topological order $\text{GT}_{\mathbb{Z}_2}^4$ in more details. Such a state has two *nl*-types of point-like excitations $\mathbf{1}, e$, two *nl*-types of string-like excitation $\mathbf{1}_s, m_s$, and one trivial *nl*-type membrane-like excitations. The e -particle has a fusion $e \otimes e = \mathbf{1}$ and the m_s -loop has a fusion $m_s \otimes m_s = \mathbf{1}_s$. The $\mathbb{Z}_2$ -topological order also has two types of point-like excitations $\mathbf{1}, e$, four types of string-like excitation $\mathbf{1}_s, m_s, e_s, e_s \otimes m_s$. The string e_s is formed by e -particles condensing into the $\mathbb{Z}_2$ symmetry breaking state. The e_s -loop has a fusion $e_s \otimes e_s = 2e_s$. Those point-like and string-like excitations form the braided fusion 2-category $\Omega^2\text{GT}_{\mathbb{Z}_2}^4$.

The 3d $\mathbb{Z}_2$ -topological order $\text{GT}_{\mathbb{Z}_2}^4$ has infinite types of membrane-like excitations corresponding to infinite different 2d topological orders formed by trivial point-like excitations $\mathbf{1}$'s. $\text{GT}_{\mathbb{Z}_2}^4$ also has infinite types of membrane-like excitations corresponding to infinite different 2d SET orders with $\mathbb{Z}_2$ symmetry, formed by e -particles with mod-2 conservation. There are third types of membrane-like excitations corresponding to 2d topological orders formed m_s -loops. The m_s -loops has a mod-2 conservation that corresponds to a $\mathbb{Z}_2$ higher symmetry. Thus, this kind of 2d topological orders can be viewed as having a spontaneous breaking of $\mathbb{Z}_2$ 1-symmetry. Those point-like, string-like, and membrane-like excitations form the fusion 3-category $\Omega\text{GT}_{\mathbb{Z}_2}^4$. The point-like and string-like excitations form the braided fusion 2-category $\Omega^2\text{GT}_{\mathbb{Z}_2}^4$.

The above 3d $\mathbb{Z}_2$ -topological order $\text{GT}_{\mathbb{Z}_2}^4$ is anomaly-free, which means that it can be realized by a bosonic lattice model, as shown in Section IV. Another way to realize $\text{GT}_{\mathbb{Z}_2}^4$ is via the path integral of $\mathbb{Z}_2$ -valued 1-cochain fields, $a^{\mathbb{Z}_2}$: [85]

$$Z = \sum_{\text{da}^{\mathbb{Z}_2}=0} 1 \quad (63)$$

where $\sum_{\text{da}^{\mathbb{Z}_2}=0}$ is a summation over $\mathbb{Z}_2$ -valued 1-cocycles. One can also realize $\text{GT}_{\mathbb{Z}_2}^4$ via the path integral of $\mathbb{Z}_2$ -valued 2-cochain fields, $b^{\mathbb{Z}_2}$: [85]

$$Z = \sum_{\text{db}^{\mathbb{Z}_2}=0} 1 \quad (64)$$

where $\sum_{\text{db}^{\mathbb{Z}_2}=0}$ is a summation over $\mathbb{Z}_2$ -valued 2-cocycles.

Since $\text{GT}_{\mathbb{Z}_2}^4$ is anomaly-free, its excitations described by $\Omega\text{GT}_{\mathbb{Z}_2}^4$ satisfy

$$Z_1(\Omega\text{GT}_{\mathbb{Z}_2}^4) = \Omega\mathcal{V}\text{ec} \equiv 3\mathcal{V}\text{ec}. \quad (65)$$

But the above boundary-bulk relation between fusion higher categories and braided fusion higher categories only tell us that $\text{GT}_{\mathbb{Z}_2}^4$ is either anomaly-free or has invertible anomaly. The stronger boundary-bulk relation is given by

$$\text{Bulk}(\text{GT}_{\mathbb{Z}_2}^4) = \mathbb{I}^5. \quad (66)$$

This boundary-bulk relation tells us that $\text{GT}_{\mathbb{Z}_2}^4$ is anomaly-free.

We would like to mention that there is also a 3d twisted $\mathbb{Z}_2$ -topological order where the point-like $\mathbb{Z}_2$ -charges are fermions. We denote such a twisted $\mathbb{Z}_2$ -topological order as $\text{GT}_{\mathbb{Z}_2}^4$. The twisted $\mathbb{Z}_2$ -topological order $\text{GT}_{\mathbb{Z}_2}^4$ is also anomaly-free and can be realized by the path integral of $\mathbb{Z}_2$ -valued 2-cochain fields, $b^{\mathbb{Z}_2}$: [56, 57, 85]

$$Z = \sum_{\text{db}^{\mathbb{Z}_2}=0} e^{i\pi \int_{M^{3+1}} b^{\mathbb{Z}_2} b^{\mathbb{Z}_2}} = \sum_{\text{db}^{\mathbb{Z}_2}=0} e^{i\pi \int_{M^{3+1}} b^{\mathbb{Z}_2} w_2} \quad (67)$$

where $\sum_{\text{db}^{\mathbb{Z}_2}=0}$ is a summation over $\mathbb{Z}_2$ -valued 2-cocycles, M^{3+1} is a $(3+1)$ -dimensional closed spacetime (with a triangulation), and w_2 is the second Stiefel-Whitney class of the tangent bundle of M^{3+1} . Here we used a fact that $b^{\mathbb{Z}_2} b^{\mathbb{Z}_2} + b^{\mathbb{Z}_2} w_2$ is a $\mathbb{Z}_2$ -valued coboundary. The topological term $e^{i\pi \int_{M^{3+1}} b^{\mathbb{Z}_2} b^{\mathbb{Z}_2}} = e^{i\pi \int_{M^{3+1}} b^{\mathbb{Z}_2} w_2}$ makes the point-like $\mathbb{Z}_2$ -charges to be fermions.

3. A 2d anomalous topological order

Now, let us consider an anomalous topological order in 2d, denoted as $\mathcal{C}_{\mathbb{Z}_2}^3$, which has two *nl*-types of point-like excitations, labeled by $\mathbf{1}, e$, where $\mathbf{1}$ is a *trivial* point-like excitation and e has a $\mathbb{Z}_2$ fusion $e \otimes e = \mathbf{1}$. The anomalous topological order has two *types* of point-like excitations, which are also given by $\mathbf{1}, e$. The anomalous topological order has only one *nl*-type of string-like excitations, which is a local string-like excitation. But it has two *types* of string-like excitations, labeled by $\mathbf{1}_s, e_s$. The e_s -type of string-like excitation is formed by the e -particles, condensing into a 1d phase of spontaneous $\mathbb{Z}_2$ symmetry breaking state. The e_s loop has a fusion $e_s \otimes e_s = 2e_s$. $\mathbf{1}_s, e_s$ are local string-like excitations, *i.e.* belong to the trivial *nl*-type of string-like excitations.

The excitations in the anomalous topological order $\mathcal{C}_{\mathbb{Z}_2}^3$ are described by a fusion 2-category $\mathcal{C}_{\mathbb{Z}_2}^2 = \Omega\mathcal{C}_{\mathbb{Z}_2}^3 = 2\text{Rep}(\mathbb{Z}_2)$. $\mathcal{C}_{\mathbb{Z}_2}^2$ has two simple objects $\mathbf{1}_s, e_s$. On $\mathbf{1}_s$, there are two simple 1-morphisms $\mathbf{1}, e$. On e_s , there are also two simple 1-morphisms $\mathbf{1}_{e_s}, d_{e_s}$, with a fusion rule $d_{e_s} \otimes d_{e_s} = \mathbf{1}_{e_s}$. There is one simple 1-morphisms $\sigma \in \text{Hom}(\mathbf{1}_s, e_s)$ and one simple $\bar{\sigma} \in \text{Hom}(e_s, \mathbf{1}_s)$, with

fusion rules

$$\begin{aligned}
\sigma \otimes_{\mathbf{1}_s} \mathbf{1} &= \sigma \otimes_{\mathbf{1}_s} e = \mathbf{1}_{e_s} \otimes_{e_s} \sigma = d_{e_s} \otimes_{e_s} \sigma = \sigma, \\
\mathbf{1} \otimes_{\mathbf{1}_s} \bar{\sigma} &= e \otimes_{\mathbf{1}_s} \bar{\sigma} = \bar{\sigma} \otimes_{e_s} \mathbf{1}_{e_s} = \bar{\sigma} \otimes_{e_s} d_{e_s} = \bar{\sigma}, \\
\bar{\sigma} \otimes_{e_s} \sigma &= \mathbf{1} \oplus e, \\
\sigma \otimes_{\mathbf{1}_s} \bar{\sigma} &= \mathbf{1}_{e_s} \oplus d_{e_s}.
\end{aligned} \tag{68}$$

The bulk of the anomalous topological order $\mathbf{C}_{\mathbb{Z}_2}^3$ is the $\mathbb{Z}_2$ -topological order in 3-dimensional space $\mathbf{GT}_{\mathbb{Z}_2}^4$:

$$\text{Bulk}(\mathbf{C}_{\mathbb{Z}_2}^3) = \mathbf{GT}_{\mathbb{Z}_2}^4. \tag{69}$$

Since $\mathbf{GT}_{\mathbb{Z}_2}^4$ is non-trivial, $\mathbf{C}_{\mathbb{Z}_2}^3$ is anomalous. In fact $\mathbf{C}_{\mathbb{Z}_2}^3$ is a 2d gapped boundary of the 3d $\mathbb{Z}_2$ topological order $\mathbf{GT}_{\mathbb{Z}_2}^4$ obtained via condensation of $\mathbb{Z}_2$ -flux strings. We have a similar relation for excitations

$$Z_1(\mathcal{C}_{\mathbb{Z}_2}^2) = \Omega^2 \mathbf{GT}_{\mathbb{Z}_2}^4 \tag{70}$$

where $\mathcal{C}_{\mathbb{Z}_2}^2 = \Omega \mathbf{C}_{\mathbb{Z}_2}^3$ is the fusion 2-category describing the excitations in $\mathbf{C}_{\mathbb{Z}_2}^3$. The relation eqn. (69) carries more information than eqn. (70). We would like to remark that when we stack the two anomalous topological orders, both the boundaries and the bulks are stacked:

$$\text{Bulk}(\mathbf{C}_{\mathbb{Z}_2}^3 \otimes \mathbf{C}_{\mathbb{Z}_2}^3) = \mathbf{GT}_{\mathbb{Z}_2}^4 \otimes \mathbf{GT}_{\mathbb{Z}_2}^4. \tag{71}$$

4. Anomalous 3d $\mathbb{Z}_2$ topological order

The anomaly-free 3d $\mathbb{Z}_2$ -topological order $\mathbf{GT}_{\mathbb{Z}_2}^4$ discussed above can also be realized via the path integral of $\mathbb{Z}_2$ -valued 1-cochain and 2-cochain fields, $a^{\mathbb{Z}_2}$ and $b^{\mathbb{Z}_2}$: [85]

$$Z = \sum_{a^{\mathbb{Z}_2}, b^{\mathbb{Z}_2}} e^{i\pi \int_{M^{3+1}} b^{\mathbb{Z}_2} da^{\mathbb{Z}_2}}, \tag{72}$$

where $\sum_{a^{\mathbb{Z}_2}, b^{\mathbb{Z}_2}}$ is a summation over $\mathbb{Z}_2$ -valued 1-cochain and 2-cochain. The above path integral has a gauge invariance for closed M^{3+1}

$$a^{\mathbb{Z}_2} \rightarrow a^{\mathbb{Z}_2} + d\alpha, \quad b^{\mathbb{Z}_2} \rightarrow b^{\mathbb{Z}_2} + d\beta. \tag{73}$$

In this formulation, the twisted 3d $\mathbb{Z}_2$ -topological order $\mathbf{GT}_{\mathbb{Z}_2^f}^4$ is realized by the path integral

$$Z = \sum_{a^{\mathbb{Z}_2}, b^{\mathbb{Z}_2}} e^{i\pi \int_{M^{3+1}} b^{\mathbb{Z}_2} da^{\mathbb{Z}_2} + b^{\mathbb{Z}_2} w_2}. \tag{74}$$

The above path integral is also gauge invariant for closed M^{3+1}

$$a^{\mathbb{Z}_2} \rightarrow a^{\mathbb{Z}_2} + \gamma, \quad b^{\mathbb{Z}_2} \rightarrow b^{\mathbb{Z}_2} + d\beta, \quad w_2 \rightarrow w_2 + d\gamma. \tag{75}$$

The path integral only depends on the cohomology classes of w_2 , So it describes an anomaly-free theory.

In this section, we are going to study an anomalous 3d $\mathbb{Z}_2$ topological order, realized by the following path integral

$$Z = \sum_{a^{\mathbb{Z}_2}, b^{\mathbb{Z}_2}} e^{i\pi \int_{M^{3+1}} b^{\mathbb{Z}_2} da^{\mathbb{Z}_2} + a^{\mathbb{Z}_2} w_3 + b^{\mathbb{Z}_2} w_2}. \tag{76}$$

Under the gauge transformation

$$\begin{aligned}
a^{\mathbb{Z}_2} &\rightarrow a^{\mathbb{Z}_2} + \gamma, & b^{\mathbb{Z}_2} &\rightarrow b^{\mathbb{Z}_2} + \lambda, \\
w_2 &\rightarrow w_2 + d\gamma, & w_3 &\rightarrow w_3 + d\lambda,
\end{aligned} \tag{77}$$

the above path integral is not invariant. The gauge non-invariance can be fixed by adding a bulk term $e^{i\pi \int_{N^5} w_2 w_3}$ in one higher dimension, where $\partial N^5 = M^{3+1}$. The resulting path integral

$$Z = \sum_{a^{\mathbb{Z}_2}, b^{\mathbb{Z}_2}} e^{i\pi \int_{M^{3+1}} b^{\mathbb{Z}_2} da^{\mathbb{Z}_2} + a^{\mathbb{Z}_2} w_3 + b^{\mathbb{Z}_2} w_2} e^{i\pi \int_{N^5} w_2 w_3} \tag{78}$$

is gauge invariant, *i.e.* only depends on the cohomology classes of w_2 and w_3 . Since, the path integral requires a bulk in one higher dimension to be gauge invariant (*i.e.* only depends on the cohomology classes of w_2 and w_3), so it describes an anomalous theory. We denote such a 3d anomalous $\mathbb{Z}_2$ -topological order as $\mathbf{GT}_{\mathbb{Z}_2^f}^{4, w_2 w_3}$.

Such a 3d anomalous $\mathbb{Z}_2$ -topological order $\mathbf{GT}_{\mathbb{Z}_2^f}^{4, w_2 w_3}$ has a fermionic point-like $\mathbb{Z}_2$ charge. If the world-sheet for the $\mathbb{Z}_2$ flux loop is unorientable, there is a world-line that marks the reversal of the orientation. Such an orientation-reversal world-line corresponds to a fermion world-line. In other words, the anomalous $\mathbb{Z}_2$ topological order has a special property that a un-orientable world-sheet of the $\mathbb{Z}_2$ -flux must bind with a world-line of the fermionic point-like $\mathbb{Z}_2$ charge. Such a fermionic world-line corresponds to the orientation reversal loop on the unorientable worldsheet.

The 3d anomalous $\mathbb{Z}_2$ -topological order $\mathbf{GT}_{\mathbb{Z}_2^f}^{4, w_2 w_3}$ has a non-trivial bulk. The boundary-bulk relation can be written as

$$\text{Bulk}(\mathbf{GT}_{\mathbb{Z}_2^f}^{4, w_2 w_3}) = \mathbf{l}_{w_2 w_3}^5, \tag{79}$$

where $\mathbf{l}_{w_2 w_3}^5$ is the 4d invertible topological order characterized by the topological invariant $e^{i\pi \int_{N^5} w_2 w_3}$. [25, 41, 42] The boundary-bulk relation (79) implies the following boundary-bulk relation for the excitations

$$\begin{aligned}
Z_1(\Omega \mathbf{GT}_{\mathbb{Z}_2^f}^{4, w_2 w_3}) &= 3\mathcal{V}\text{ec}, \\
3\mathcal{V}\text{ec} &= \Omega \mathbf{l}_{w_2 w_3}^5,
\end{aligned} \tag{80}$$

since the excitations in an invertible topological order are described by a trivial braided fusion higher category. Despite the right-hand-side of $Z_1(\Omega \mathbf{GT}_{\mathbb{Z}_2^f}^{4, w_2 w_3}) = 3\mathcal{V}\text{ec}$ is a trivial braided fusion higher category, as we have mention

before, the boundary-bulk relation for fusion higher categories $Z_1(\Omega \text{GT}_{\mathbb{Z}_2^f}^{4, w_2 w_3}) = 3\text{Vec}$ does not imply $\text{GT}_{\mathbb{Z}_2^f}^{4, w_2 w_3}$ to be anomaly-free. In fact $\text{GT}_{\mathbb{Z}_2^f}^{4, w_2 w_3}$ has an invertible anomaly, which is a $\mathbb{Z}_2$ global gravitational anomaly. So eqn. (79) carries more information, which indicates that $\text{GT}_{\mathbb{Z}_2^f}^{4, w_2 w_3}$ is anomalous.

IV. AN EXAMPLE OF ALGEBRAIC HIGHER SYMMETRIES: G -GAUGE THEORY

For a quantum system with usual symmetry, the Hamiltonian commutes with a set of operators which form a group under the operator product. In this section, we construct an example, in which the Hamiltonian commutes with a set of operators that do not form a group under the operator product. The constructed model is an exactly solvable 3d local bosonic model[5] whose ground state has a topological order described by a 3d gauge theory of a finite group G . The operators that commute with the Hamiltonian are the Wilson line operators. When G is non-Abelian, the Wilson line operators, under the operator product, form an algebra, which is not a group.

Our lattice bosonic model is defined on a 3d spatial lattice whose sites are labeled by i . Physical degrees of freedom live on the links which are labeled by ij . On an oriented link ij , the degrees of freedom are labeled by $g_{ij} \in G$. The labels g_{ij} 's on links with opposite orientations satisfy

$$g_{ij} = g_{ji}^{-1} \quad (81)$$

The many-body Hilbert space of our lattice bosonic model has the following local basis

$$|\{g_{ij}\}\rangle, \quad g_{ij} \in G, \quad ij \in \text{links of cubic lattice.} \quad (82)$$

The Hamiltonian of the exactly solvable model is expressed in terms of string operators and point operators.

A. The string operators

The string operators $B_q(S^1)$ are defined on a closed loop S^1 formed by the links of the cubic lattice and are labeled by q , the irreducible representation of the gauge group G :

$$R_q(h_i g_{ij} h_j^{-1}) = R_q(h_i) R_q(g_{ij}) R_q^{-1}(h_j) \quad (83)$$

where $R_q(g_{ij})$ is the matrix of the irreducible representation. A q -string operator is given by

$$B_q(S^1) |\{g_{ij}\}\rangle = \text{Tr} \left[\prod_{ij \in S^1} R_q(g_{ij}) \right] |\{g_{ij}\}\rangle. \quad (84)$$

So $B_q(S^1)$ is diagonal in the basis $|\{g_{ij}\}\rangle$: $B_q(S^1) = \text{Tr} \left[\prod_{ij \in S^1} R_q(g_{ij}) \right]$. We note that

$$B_q(S^1) B_s(S^1) = \text{Tr} \prod_{I \in S^1} R_q(g_{ij}) \otimes_{\mathbb{C}} R_s(g_{ij}). \quad (85)$$

(We use $\otimes_{\mathbb{C}}$ to denote the usual tensor product of matrices or vector spaces over the complex numbers $\mathbb{C}$, while $\otimes$ to denote the fusion of excitations.) Using

$$R_q \otimes_{\mathbb{C}} R_s = \bigoplus_t N_t^{qs} R_t, \quad N_t^{qs} \in \mathbb{N}, \quad (86)$$

we see that

$$B_q(S^1) B_s(S^1) = \sum_t N_t^{qs} B_t(S^1). \quad (87)$$

The ends of the strings are point-like topological excitations and the above N_t^{qs} are the fusion coefficients of those topological excitations. The quantum dimensions of those topological excitations, i.e. $d_q = \dim(R_q)$, satisfy the following identity:

$$\sum_s N_t^{qs} d_s = d_q d_t. \quad (88)$$

We see that these string operators form a fusion algebra which is not a group when G is non-Abelian.

Let

$$B(S^1) = \sum_q \frac{d_q}{D^2} B_q(S^1), \quad D^2 = \sum_q d_q^2. \quad (89)$$

We have

$$\begin{aligned} B^2 &= \sum_{q,s} \frac{d_q d_s}{D^4} B_q B_s = \sum_{q,s,t} \frac{d_q d_s}{D^4} N_t^{qs} B_t \\ &= \sum_{q,t} \frac{d_q d_q}{D^4} d_t B_t = B. \end{aligned} \quad (90)$$

Thus, B is a projection operator. In fact, it is a projection operator into the subspace with $\prod_{ij \in \text{loop}} g_{ij} = 1$.

B. The point operators

A point operator is given by its action on the basis:

$$Q_h(i) |\{\cdots, g_{ij}, g_{ij'}, \cdots\}\rangle = |\{\cdots, h g_{ij}, h g_{ij'}, \cdots\}\rangle, \quad (91)$$

Clearly they satisfy

$$Q_h(i) Q_{h'}(i) = Q_{hh'}(i). \quad (92)$$

So for a non-Abelian group G , in general

$$Q_h(i) Q_{h'}(i) \neq Q_{h'}(i) Q_h(i). \quad (93)$$

But we have

$$Q_h(i) Q_{h'}(j) = Q_{h'}(j) Q_h(i), \quad i \neq j. \quad (94)$$

Let us introduce

$$C_a(i) = \sum_{h \in \chi_a} Q_h(i), \quad (95)$$

where χ_a is a conjugacy class labeled by a . We find that

$$C_a(i)C_b(j) = C_b(j)C_a(i) \quad (96)$$

regardless if $i = j$ or not.

We note that, on a given site i ,

$$C_a(i)C_b(i) = \sum_{h \in \chi_a} \sum_{h' \in \chi_b} Q_{hh'}(i) = \sum_c M_c^{ab} C_c(i), \quad (97)$$

The above expression allows us to see that M_c^{ab} are non-negative integers. Using $C_a C_b = C_b C_a$ and $(C_a C_b) C_c = C_a (C_b C_c)$, we find that

$$M_c^{ab} = M_c^{ba}, \quad \sum_d M_d^{ab} M_e^{dc} = \sum_d M_e^{ad} M_d^{bc} \quad (98)$$

Let $(M_a)_{cb} = M_c^{ab}$, and we can rewrite the second equation in the above as

$$M_c M_a = M_a M_c. \quad (99)$$

For example, the permutation group of three elements $S_3 = \{(123), (132), (321), (213), (231), (312)\}$ has three conjugacy classes: $\chi_1 = \{(123)\}$, $\chi_2 = \{(132), (321), (213)\}$, and $\chi_3 = \{(231), (312)\}$. We find that

$$\begin{aligned} C_1 C_a &= C_a, & C_2 C_2 &= 3C_1 + 3C_3, \\ C_3 C_3 &= 2C_1 + C_3, & C_2 C_3 &= 2C_2. \end{aligned} \quad (100)$$

Let $\mathbf{C}$ be a particular common eigenvector of M_a whose components are all non-negative. (Such common eigenvector exists since the matrix elements of M_a are all non-negative.) The eigenvalue of such an eigenvector is λ_a for M_a . We choose the scaling factor of $\mathbf{C}$ to satisfy

$$\sum_a \lambda_a c_a = 1. \quad (101)$$

In this case we can define $Q_i = \sum_a c_a C_a(i)$ that satisfy

$$Q_i^2 = Q_i, \quad (102)$$

Thus Q_i is a projection operator. In fact, Q_i is given by

$$Q_i = |G|^{-1} \sum_{h \in G} Q_h(i), \quad (103)$$

where $|G|$ is the number of elements in the group G . We can check explicitly that

$$\begin{aligned} Q_i^2 &= |G|^{-2} \sum_{h, h'} Q_h(i) Q_{h'}(i) = |G|^{-2} \sum_{h, h'} Q_{hh'}(i) \\ &= |G|^{-1} \sum_h Q_h(i) = Q_i. \end{aligned} \quad (104)$$

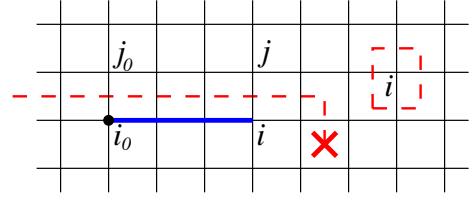


FIG. 15. The red dashlines are membranes and the cross marks the boundary of the membranes. The blue thick line is the path $i_0 \rightarrow i$.

C. A commuting-projector Hamiltonian

We note that $Q_h(i)$'s generate the local gauge transformations. Since the closed-string operators are gauge invariant, we have (for closed-string operators)

$$\begin{aligned} [B_q(S_{\text{closed}}^1), C_a(i)] &= 0, \\ [B_q(S_{\text{closed}}^1), B_{q'}(S_{\text{closed}}^{1'})] &= 0, \\ [C_a(i), C_b(j)] &= 0. \end{aligned} \quad (105)$$

Therefore, we can construct the following commuting projector Hamiltonian [5, 74]

$$H = U \sum_i (1 - Q_i) + J \sum_{\langle ijkl \rangle} (1 - B_{\langle ijkl \rangle}), \quad (106)$$

where $U, J > 0$,

$$B_{\langle ijkl \rangle} = \sum_i \frac{d_q}{D^2} B_q(\langle ijkl \rangle) \quad (107)$$

and $\langle ijkl \rangle$ labels the loops around the squares of the cubic lattice.

The ground state of the above exactly solvable Hamiltonian has a nontrivial topological order. The low energy effective theory is the G -gauge theory.[5, 74]

D. The point-like and string-like excitations

What are the excitations for the above Hamiltonian? There are local point-like excitations created by local operators. There are also topological point-like excitations that cannot be created by local operators. Two topological point-like excitations are said to be equivalent if they differ by local point-like excitations. The equivalent topological point-like excitations are said to have the same type.

We note that the closed string operators $B_q(S_{\text{closed}}^1)$ eqn. (84) commute with the Hamiltonian (106). Thus the string operators act within the ground state subspace. We see that the ends of the open string operators create point-like excitations, which are labeled by representations R_q . The types of topological point-like excitations one-to-one correspond to the irreducible representations

of G . In other words, topological point-like excitations are described by representations of G in a G -gauge theory.

Similarly, there are also topological string-like excitations. They are created at the boundary of the open membrane operators. To define the membrane operators, we point out that a membrane $\tilde{S}^2$ is formed by the faces of the dual lattice, which is also a cubic lattice. The faces of the dual lattice correspond to the links in the original lattice and are also labeled by ij . Let us first assume G is Abelian. In this case, the membrane operators are defined as

$$C_h(\tilde{S}^2)|\{g_{ij}\}\rangle = \prod_{ij \in \tilde{S}^2} T_{ij}(h)|\{g_{ij}\}\rangle, \quad (108)$$

where the operator $T_{ij}(h)$ acts only on link ij and is defined as

$$T_{ij}(h)|g_{ij}\rangle = |hg_{ij}\rangle \quad \text{or} \quad T_{ij}(h)|g_{ji}\rangle = |g_{ji}h^{-1}\rangle. \quad (109)$$

We see that $C_h(\tilde{S}^2)$ simply shifts g_{ij} on the membrane $\tilde{S}^2$ by h .

For non-Abelian G , the membrane operators are given by

$$C_a(\tilde{S}^2)|\{g_{ij}\}\rangle = \sum_{h \in \chi_a} \prod_{ij \in \tilde{S}^2} T_{ij}(h_{ij})|\{g_{ij}\}\rangle, \quad (110)$$

where χ_a is the a^{th} conjugacy class of G . In the $\prod_{ij \in \tilde{S}^2}$, i 's are on one side of the membrane and j 's are on the other side of the membrane (see Fig. 15). Last h_{ij} is a function of h and g_{ij} . For non-Abelian group G , h_{ij} is complicated. But when all $g_{ij} = 1$, h_{ij} has a simple form $h_{ij} = h$. For general g_{ij} , we need to choose a base point i_0 on one side of the membrane, and a path $i_0 \rightarrow i$ on the membrane that connect the base point i_0 to any other point i on the membrane (see Fig. 15). Then we can define h_{ij} as

$$h_{ij} = (g_{i_0 i'} \cdots g_{i'' i})^{-1} h (g_{i_0 i'} \cdots g_{i'' i}), \quad (111)$$

where $(g_{i_0 i'} \cdots g_{i'' i})$ is the product of the link variables along the path $i_0 \rightarrow i$.

We note that when the closed membrane enclose only one site i (see Fig. 15), the operator $C_a(\tilde{S}^2)$ reduces to $C_a(i)$ discussed before:

$$C_a(\tilde{S}^2) = C_a(i). \quad (112)$$

Thus $C_a(i)$ can be viewed as a small membrane operator, rather than a point operator.

Let us consider a loop $i_0 \rightarrow i \rightarrow j \rightarrow j_0 \rightarrow i_0$. The G -flux through such a loop in the ground state $|\Psi_0\rangle$ is trivial: $(g_{i_0 i'} \cdots g_{i'' i}) g_{ij} (g_{jj'} \cdots g_{j'' j_0}) g_{j_0 i_0} = 1$. This is because the ground state $|\Psi_0\rangle$ satisfies

$$B|\Psi_0\rangle = |\Psi_0\rangle. \quad (113)$$

After we apply the membrane operator (110), the G -flux through the same loop becomes

$$\begin{aligned} & (g_{i_0 i'} \cdots g_{i'' i}) h_{ij} g_{ij} (g_{jj'} \cdots g_{j'' j_0}) g_{j_0 i_0} h^{-1} \\ &= h (g_{i_0 i'} \cdots g_{i'' i}) g_{ij} (g_{jj'} \cdots g_{j'' j_0}) g_{j_0 i_0} h^{-1} = 1, \end{aligned} \quad (114)$$

which is still trivial. This allows us to conclude that for states $|\Psi\rangle$ satisfying $B|\Psi\rangle = |\Psi\rangle$ and for closed membrane $\tilde{S}_{\text{closed}}^2$, we have

$$BC_a(\tilde{S}_{\text{closed}}^2)|\Psi\rangle = C_a(\tilde{S}_{\text{closed}}^2)|\Psi\rangle \quad (115)$$

We can also show that

$$Q_g(i)C_a(\tilde{S}^2) = C_a(\tilde{S}^2)Q_g(i). \quad (116)$$

For example

$$\begin{aligned} Q_g^{-1}(i_0)C_a(\tilde{S}^2)Q_g(i_0) &= \sum_{g^{-1}hg \in \chi_a} \prod_{ij \in \tilde{S}^2} T_{ij}(h_{ij}) \\ &= C_a(\tilde{S}^2). \end{aligned} \quad (117)$$

Also

$$Q_g^{-1}(i)T_{ij}(h_{ij})Q_g(i) = T_{ij}(\tilde{h}_{ij}) \quad (118)$$

where

$$\tilde{h}_{ij} = g^{-1}(g_{i_0 i'} \cdots g_{i'' i} g^{-1})^{-1} h (g_{i_0 i'} \cdots g_{i'' i} g^{-1}) g = h_{ij}. \quad (119)$$

Thus in general, we have

$$\begin{aligned} Q_g^{-1}(i)C_a(\tilde{S}^2)Q_g(i) &= C_a(\tilde{S}^2), \\ C_b(i)C_a(\tilde{S}^2) &= C_a(\tilde{S}^2)C_b(i), \end{aligned} \quad (120)$$

for any i , even for open membranes. The results (115) and (120) imply that closed membrane operators $C_a(\tilde{S}_{\text{closed}}^2)$ act within the ground state subspace of the Hamiltonian (106). Therefore, the boundary of the open membrane operators (110) create string-like excitations, which are labeled by conjugacy classes χ_a .

E. Exact algebraic higher symmetry

Since the Hamiltonian (106) commutes with the closed string operators $B_q(S_{\text{closed}}^1)$:

$$[H, B_q(S_{\text{closed}}^1)] = 0, \quad (121)$$

we say that the Hamiltonian has an algebraic 2-symmetry generated by $B_q(S_{\text{closed}}^1)$ for any closed strings. Since the composition of the symmetry transformations satisfies the fusion rule (87), which is not a group multiplication rule for non-Abelian G . Thus the $B_q(\text{closed string})$'s generate an exact algebraic 2-symmetry which is not a higher 2-symmetry. However, when G is Abelian, $B_q(S_{\text{closed}}^1)$'s generate a higher 2-symmetry.

There is another way to describe the algebraic 2-symmetry using the open string operators.[19] We note that the Hamiltonian is a sum of local operators $H = \sum_i H_i$, where H_i acts only on the degrees of freedom near site- i . We find that H_i commutes with open string operators as long as the ends of the strings is a distance away from the site- i :

$$[H_i, B_q(S_{\text{open}}^1)] = 0. \quad (122)$$

F. Emergent algebraic higher symmetry

We also note that the Hamiltonian (106) commutes with $U_h(S^3)$

$$[H, U_h(S^3)] = 0, \quad U_h = \prod_i Q_h(i), \quad h \in G. \quad (123)$$

Thus the Hamiltonian has a 0-symmetry, *i.e.* a global symmetry described by symmetry group G . In fact, the Hamiltonian has a much bigger symmetry. It has a local symmetry described by group G^{N_v} , where N_v is the number of lattice sites:

$$[H, Q_{h_i}(i)] = 0, \quad h_i \in G, \quad (124)$$

On the other hand, the membrane operators $C_a(\tilde{S}_{\text{closed}}^2)$'s do not commute with the Hamiltonian (106). Thus the Hamiltonian does not have algebraic 1-symmetries. However, $C_a(\tilde{S}_{\text{closed}}^2)$ acts within the degenerate ground subspace. More generally, $C_a(\tilde{S}_{\text{closed}}^2)$ and H commute in the subspace where $B_{\langle ijkl \rangle} = 1$ (*i.e.* in the finite energy subspace of H when $J \rightarrow +\infty$). Therefore the Hamiltonian has an emergent low energy algebraic 1-symmetry generated by $C_a(\tilde{S}_{\text{closed}}^2)$'s when $J \rightarrow +\infty$. Such an emergent algebraic 1-symmetry is a (group-like) 1-symmetry only when G is Abelian.

V. DESCRIPTION OF ALGEBRAIC HIGHER SYMMETRY IN A SYMMETRIC PRODUCT STATE

Usually, we use the symmetry transformation, *i.e.* the symmetry group G , to describe a symmetry. We can also use the symmetry charges, *i.e.* the representations $\text{Rep}(G)$, to describe a symmetry. Due to Tannaka duality, the two descriptions are equivalent. In last section, we introduced algebraic higher symmetry via the symmetry transformations. In this and next sections, we will develop a similar dual way to describe algebraic higher symmetry, *i.e.* via the representations of algebraic higher symmetry. This section will concentrate on the point of view based lattice model and symmetric product state. Next section will present a point view based on higher category.

But what are the representations of algebraic higher symmetry? Physically, the representations correspond

to the “charged excitations” in a symmetric ground state which has a trivial topological order (*i.e.* be a product state). So in the following, we will explain what is “symmetric state” (*i.e.* no spontaneous symmetry breaking) for algebraic higher symmetry? What kind of algebraic higher symmetry can have symmetric ground state with no topological order? What are the “charged excitations” for algebraic higher symmetry? This allows us to obtain a representation theory for algebraic higher symmetry, in terms of local fusion higher category.

A. Spontaneous broken and unbroken algebraic higher symmetry

In Section IV, we constructed a 3d lattice model that has an exact algebraic 2-symmetry generated by string operators $B_q(S^1)$. However, the ground state of the model eqn. (106) spontaneously breaks the algebraic 2-symmetry, which gives us a topological order described by the G -gauge theory.

Here, we consider a different model

$$H = -V \sum_{ij} \delta(g_{ij}) + U \sum_i (1 - Q_i) + J \sum_{\langle ijkl \rangle} (1 - B_{\langle ijkl \rangle}), \quad (125)$$

by including an extra term $-V\delta(g_{ij})$ and taking $J \rightarrow +\infty$ limit. Here

$$\delta(g) = \begin{cases} 1, & \text{if } g = \text{id} \\ 0, & \text{otherwise} \end{cases}. \quad (126)$$

The model also has the algebraic 2-symmetry $[H, B_q(S_{\text{closed}}^1)] = 0$. If we choose the limit $U \ll V$, the ground state is given by $|\{g_{ij} = 1\}\rangle$. This ground state does not spontaneously break the algebraic 2-symmetry.

For the usual global symmetry, the spontaneous symmetry breaking is defined via non-zero order parameters. Here we would like to define the spontaneous symmetry breaking of algebraic higher symmetry in a different way:

Definition^{ph} 24. *An algebraic higher symmetry is **spontaneously broken** if there exists a close space, such that the symmetry transformations are not proportional the identity operator in the nearly degenerate ground state subspace on that space.*

For the Hamiltonian eqn. (125), the ground state is not degenerate on any closed spaces. Thus the algebraic 2-symmetry is not spontaneously broken. In contrast, for model (106), the ground states are degenerate on space $S_x^1 \times S_y^1 \times S_z^1$. The different ground states can have different flux, say $\prod_{(ij) \in S_x^1} g_{ij} = h$. The symmetry generator $B_q(S_x^1)$ is not proportional to identity, since $B_q(S_x^1) = X_q(h)$ on the ground state with flux h . Here

$$X_q(g) = \text{Tr}[R_q(g)], \quad g \in G \quad (127)$$

is the character of the representation R_q . We see that the ground state of the model (106) spontaneously breaks the algebraic 2-symmetry $B_q(S^1)$. In fact, the algebraic 2-symmetry is completely broken, which gives rise to the topological order described by the G -gauge theory.

B. Anomaly-free algebraic higher symmetry

In this section, we would like to discuss algebraic higher symmetry in the simplest state – symmetry unbroken state without topological order. However, some algebraic higher symmetries may not allow such a state. This leads to an important attribute of algebraic higher symmetry. There are two ways to describe this attribute: a microscopic way

Definition^{ph} 25. *An algebraic higher symmetry in a lattice system is **anomaly-free** if a system with the symmetry allows a phase which has a symmetric product state as its unique gapped ground state.*

and a macroscopic way

Definition^{ph} 26. *An algebraic higher symmetry is **anomaly-free** if a system with the symmetry allows a phase which has a unique gapped ground state on each closed space. Such a phase is also **symmetric**.*

For 0-symmetry on lattice, we can use on-siteness to define anomaly-free 0-symmetry [3]. Using this definition, we believe that all anomalous (non-on-site) 0-symmetry can be realized on a boundary of a system in one higher dimension with anomaly-free (on-site) 0-symmetry.[3] For *finite* symmetries, we believe that there is an one-to-one correspondence between anomalous 0-symmetries and the SPT order in one higher dimension [3]. (While for infinite symmetry described by a continuous compact group, we do not have the one-to-one correspondence between anomalous 0-symmetries and the SPT order in one higher dimension [3].) As a result, the finite anomalous 0-symmetries are classified by the SPT orders in one higher dimension. Since we believe that the boundary uniquely determine the bulk [25, 27], the above result also implies that an anomalous 0-symmetry does not allow a gapped symmetric product state as the ground state [86, 87]. Otherwise, the SPT order in one higher dimension must be trivial as implied by such a symmetric ground state on the boundary.

For algebraic higher symmetry, it is hard to define on-siteness. So we turn things around, and use the existence of trivial symmetric gapped ground state to define algebraic higher symmetry (where trivial means product state). In this case, algebraic higher symmetry can appear at a boundary of the trivial SPT phase for algebraic higher symmetry. The boundary of non-trivial SPT phases for an algebraic higher symmetry realize an anomalous algebraic higher symmetry. In this paper, we only consider anomaly-free algebraic higher symmetries.

C. The charge objects and charge creation operators for the exact algebraic 2-symmetry

The exact algebraic 2-symmetry in the lattice model eqn. (125) is generated by $B_q(S^1_{\text{closed}})$. The algebraic 2-symmetry is anomaly-free since the model eqn. (125) allows symmetric gapped product state $|\{g_{ij} = 1\}\rangle$ as its unique ground state.

The charge objects of such a 2-symmetry live on 2-dimensional surfaces [just like the charges of a 0-symmetry (the usual global symmetry) live on 0-dimensional points]. To construct the 2-dimensional operators that create the charge objects of the algebraic 2-symmetry, let us review the charge creation operators for the 0-symmetry in a proper general setting.

A pair of charge and anti-charge of a 0-symmetry is created by an operator $C(S^0)$ on S^0 (i.e. on two points i and j), for example

$$C(S^0) = \sum_a \psi_a^\dagger(i) \psi_a(j), \quad (128)$$

where the local operator $\psi_a(i)$ form a unitary representation R_{ab} for the 0-symmetry group G :

$$U_g \psi_a = \sum_b R_{ab}(g) \psi_b U_g, \quad g \in G. \quad (129)$$

We note that, when the two points in S^0 belong to the same connected component of the space, $C(S^0)$ commutes with the algebraic 0-symmetry transformations and creates a neutral excitation. On part of S^0 , the creation operator becomes $\psi_a(i)$ which creates a non-neutral excitation.

Similarly, a neutral charge object of a k -symmetry is created by operators on closed contractible k -dimensional manifolds, such as S^k . Such an operator on contractible S^k commutes with the algebraic k -symmetry transformations and creates a neutral excitation. A charge object of k -symmetry is created by operators $C(M^k)$ on open k -dimensional manifold M^k . In nd , when the algebraic k -symmetry generator $B_q(S^{n-k}_{\text{closed}})$ on $n - k$ -dimensional sub-manifold intersects with the submanifold M^k at one point, we can detect the k -symmetry charge. The algebra between symmetry generators $B_q(S^{n-k}_{\text{closed}})$ and charge creation operators $C(M^k)$ only depend on the linking between S^{n-k}_{closed} and ∂M^k , and do not depend on the deformations of S^{n-k}_{closed} and ∂M^k that do not change their linking. Those are key conditions for the charge creation operators $C(M^k)$ for an algebraic higher symmetry.

For our algebraic 2-symmetry in 3d, the charge creation operator acts on 2-dimensional surfaces with or without boundary. In fact, such a charge creation operator is nothing but the membrane operator $C_a(\tilde{S}^2)$ discussed in Section IV D. The charge object created by $C_a(\tilde{S}^2)$ can be detected by the 2-symmetry generator $B_q(S^1_{\text{closed}})$, when $\tilde{S}^2$ has a boundary, or when $\tilde{S}^2$ is closed and non-contractible.

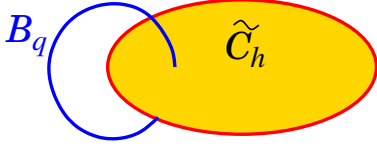


FIG. 16. In 3-dimensional space, a disk-like 2-charge object (a 2-dimensional excitation) created by $\tilde{C}_h(\tilde{S}^2)$ can be detected by the algebraic 2-symmetry transformation loop operator $B_q(S^1_{\text{closed}})$.

In fact, on the $|\{g_{ij} = 1\}\rangle$ ground state, the creation operator can have a simpler form

$$\tilde{C}_h(\tilde{S}^2) = \prod_{ij \in \tilde{S}^2} T_{ij}(h) \quad (130)$$

where $\prod_{ij \in \tilde{S}^2}$ is over all the links ij of the original lattice that form the faces in $\tilde{S}^2$ of the dual lattice. Such an operator just changes $g_{ij} = 1$ to $g_{ij} = h$ on links ij of the original lattice that form the faces in $\tilde{S}^2$ of the dual lattice. $g_{ij} = h$ on $\tilde{S}^2$ corresponds to a charged excitation, called a 2-charge object labeled by h , of our algebraic 2-symmetry generated by $B_q(S^1_{\text{closed}})$.

If $\tilde{S}^2$ is a disk in 3d space, then the 2-charge object created by $\tilde{C}_h(\tilde{D}^2)$ can be detected by the algebraic 2-symmetry operator $B_q(S^1_{\text{closed}})$ if S^1_{closed} is linked with $\partial\tilde{S}^2$ – the boundary of the 2-charge object (see Fig. 16). In fact, $B_q(S^1_{\text{closed}}) = \text{Tr}R_q(h)$ in this case when acting on the 2-charge object. In comparison, for the ground state $|\{g_{ij} = 1\}\rangle$, the 2-symmetry generator is equal to the dimension d_q of the q -representation: $B_q(S^1_{\text{closed}}) = \text{Tr}R_q(1) = d_q$. We see that the algebraic 2-symmetry cannot distinguish two 2-charge objects labeled by h and h' if h and h' belong to the same conjugacy class. So the distinct algebraic 2-symmetry charges are labeled by the conjugacy classes χ_a of G .

We stress that the membrane operator $C_a(\tilde{S}^2)$ that creates the 2-dimensional charge object of the algebraic 2-symmetry is an operator that acts only on the membrane $\tilde{S}^2$. This is a very important general feature.

Proposition 23. *On top of a ground state that does not break the symmetry, the k -dimensional charge object of an algebraic k -symmetry is created by an operator that act only on the k -dimensional subspace that supports the charge object.*

We note that in $J \rightarrow \infty$ limit, only 2-charge objects corresponding to closed surfaces has low energy. 2-charge objects corresponding to surfaces with boundary cost energy of order J or bigger. We may consider the low energy subspace of the model in $J \rightarrow \infty$ limit. In fact, we consider an even smaller space, the invariant sub-Hilbert space of all the 2-symmetry transformations generated by $B_q(S^1_{\text{closed}})$ operators. The collection of those created 2-charge objects within the symmetric sub-Hilbert space,

plus their fusion (and braiding) properties, form a higher category. The 2-charge objects are labeled by $h \in G$ and created by $\tilde{C}_h(\tilde{S}^2_{\text{closed}})$. The fusion of $\tilde{C}_h(\tilde{S}^2_{\text{closed}})$ is given by

$$\tilde{C}_h(\tilde{S}^2_{\text{closed}}) \otimes \tilde{C}_{h'}(\tilde{S}^2_{\text{closed}}) = \tilde{C}_{hh'}(\tilde{S}^2_{\text{closed}}) \quad (131)$$

The charged membrane-like excitations, labeled by $h \in G$, form a *fusion 3-category* $\mathcal{R} = 3\text{Vec}_G$ (see Def. 17), which is also a *local fusion 3-category* (see Def. 27). We also refer $\mathcal{R} = 3\text{Vec}_G$ as the **representation category** of the algebraic 2-symmetry. Physically, $\mathcal{R}$ is the fusion 3-category that describes the low energy excitations in model eqn. (125).

But what is a *fusion higher category* and what is a *local fusion higher category*? Roughly speaking, a fusion higher category describes the point-like, string-like, etc excitations above a gapped liquid ground state. If an excitation can be annihilated by an operator acting on the excitations, then we say the excitation is local. Note that the operators may break any symmetry and may not be local, as long as they act on the support subspace of the excitation. The fusion higher category formed by local excitations is a local fusion higher category. Since the membrane excitations in $\mathcal{R}$ can all be annihilated by operators on the membranes, $\mathcal{R}$ is a local fusion higher category.

The following discussions use the notions of topological order higher categories extensively,[25, 26, 29, 30] which are reviewed in Section III. Table II summarizes some related concepts in higher category and in topological order.

VI. LOCAL FUSION HIGHER CATEGORY AND REPRESENTATIONS (CHARGE OBJECTS) OF ANOMALY-FREE ALGEBRAIC HIGHER SYMMETRY

In the last section, we described the charged excitations (*i.e.* the charge objects) in a trivial symmetric ground state with anomaly-free algebraic higher symmetry. Here trivial state means a product state. In the rest of this paper, we will mainly discuss anomaly-free algebraic higher symmetry, and we drop “anomaly-free” for simplicity.

For a 0-symmetry G , we know that its charges are representations of G . All those representations form a symmetric fusion category $\text{Rep}G$. Due to Tannaka duality, we can use the local fusion category $\text{Rep}G$ to fully describe the symmetry group G . [45, 46] To be more precise, the charges (the representations) of G correspond to point-like excitations. Those point-like charges can condense to form other descendent excitations. All those excitation are described by a fusion n -category, if the 0-symmetry G lives in n -dimensional space. We denote such a fusion n -category as $n\text{Rep}G$. In other words, an nd 0-symmetry G is fully characterized by a symmetric

TABLE II. Correspondence between concepts in fusion higher category and concepts in topological order.[25, 26]

Concepts in higher category	Concepts in physics
Fusion n -category $\mathcal{C}$	A collection of all the <i>types</i> of codimension-1 and higher excitations (plus their fusion and braiding properties) in an nd (potentially anomalous) topological order.
Simple objects of $\mathcal{C}$	The types of codimension-1 topological excitations. They can fuse.
Simple 1-morphisms of $\mathcal{C}$	The types of codimension-2 topological excitations. They can fuse and braid.
Simple $(n-2)$ -morphisms of $\mathcal{C}$	The types of string-like topological excitations
Simple $(n-1)$ -morphisms of $\mathcal{C}$	The types of point-like topological excitations
n -morphisms of $\mathcal{C}$	The local operators acting on the point-like excitations
Local fusion n -category $\mathcal{R}$	The “charged” excitations (charge objects) above a product state of a bosonic system with an algebraic higher symmetry $\mathcal{R}$. It is called the representation category of the algebraic higher symmetry

fusion n -category $n\text{Rep}G$. We refer to $n\text{Rep}G$ as the representation category of the 0-symmetry G .

In the above, we try to use excitations (trapped by the symmetric traps) to characterize a symmetry. Here we would like to stress that the excitations described by the fusion n -category $n\text{Rep}G$ only correspond to the excitations in the *symmetric sub-Hilbert space* $\mathcal{V}_{\text{symm}}$ of the many-body system. The fusion n -category $n\text{Rep}G$ do not include the excitations outside the symmetric sub-Hilbert space. In the thermodynamic limit, restricting to symmetric sub-Hilbert space does not affect our ability to understand the properties of a symmetric system. We would like to use the similar approach to characterize an algebraic higher symmetry (which is not characterized by groups or even higher groups): the representations (*i.e.* the charge objects) of an algebraic higher symmetry are simply the excitations above a symmetric product state, which are also described by a category – a *local fusion higher category*.

A. The excitations in a symmetric state with no topological order

To have a general understanding of the charge objects, let us consider a local lattice Hamiltonian H with an algebraic higher symmetry. We assume the ground state $|\Psi_{\text{grnd}}\rangle$ of H has no topological order nor SPT order, *i.e.* can be deformed into a product state without a phase transition, via a symmetry preserving path. Then how to understand the point-like, string-like excitations, *etc* of the above ground state? Also similar to the 0-symmetry case, here we only consider the symmetric excitations (*i.e.* those trapped by symmetric traps) in the symmetric sub-Hilbert space $\mathcal{V}_{\text{symm}}$. We know that an algebraic higher symmetry is generated by many operators acting on all closed submanifolds. The symmetric sub-Hilbert space is the invariant sub-Hilbert space of all those symmetry generators.

To understand the excitations, first, let us define excitations more carefully. For example, to define string-like excitations, we can add several trap Hamiltonians $\Delta H_{\text{str}}(S_i^1)$ to H such that $H + \sum_i \Delta H_{\text{str}}(S_i^1)$ has an energy gap. $\Delta H_{\text{str}}(S_i^1)$ is only non-zero along the string S_i^1

and commutes with the generators of the algebraic higher symmetry. We also assume $\Delta H_{\text{str}}(S_i^1)$ to be *stable*: any small symmetric change of $\Delta H_{\text{str}}(S_i^1)$ does not change the ground state degeneracy in the large string S_i^1 limit. The resulting string corresponds to a simple morphism in mathematics. We also define two strings labeled by $\Delta H_{\text{str}}(S^1)$ and $\Delta \tilde{H}_{\text{str}}(\tilde{S}^1)$ as equivalent, if we can deform $\Delta H_{\text{str}}(S^1)$ into $\Delta \tilde{H}_{\text{str}}(\tilde{S}^1)$ without closing the energy gap while preserving the algebraic higher symmetry. The equivalent classes of the strings define the **types** of the strings (see Def. 10).

In the example in Section V, the 2-dimensional charge object of an algebraic 2-symmetry is created by a membrane operator. If the membrane is a closed 2-dimensional subspace, then the membrane operator acts within the symmetric sub-Hilbert space $\mathcal{V}_{\text{symm}}$, and create an excitation in the fusion higher category. If the membrane has a boundary, then the membrane operator does not act within the symmetric sub-Hilbert space, and create an excitation not in the fusion higher category. When the membrane has a boundary, such a boundary is the morphism that connect the membrane excitation to the trivial excitation. In the above example, such a boundary (*i.e.* the morphism) is not allowed, since it breaks the algebraic 2-symmetry (*i.e.* the membrane with the boundary does not act within the symmetric sub-Hilbert space).

B. Local fusion higher category

Now we are ready to define a *local fusion higher category*, which describes the collection of excitations (*i.e.* the collection of types) in the system mentioned above, *i.e.* a system with algebraic higher symmetry whose ground state is a symmetric bosonic product state without degeneracy. Also, we only consider excitations within the symmetric sub-Hilbert space $\mathcal{V}_{\text{symm}}$. For a symmetric trivial phase without topological order, it has only local excitations. From a categorical point of view, a local excitation can always be connected to the trivial excitation through a morphism as described above, if we are willing to break the symmetry. However, if we preserve the symmetry, the symmetry breaking mor-

phism is not allowed and some excitations cannot connect to trivial excitation via symmetry preserving morphisms (*i.e.* symmetry preserving domain walls). This leads to the following definition: A fusion n -category $\mathcal{R}$ is local if we can add morphisms in a consistent way, such that all the resulting simple morphisms are isomorphic to the trivial one. Physically, this process of “adding morphisms” corresponds to explicit breaking of algebraic higher symmetry. This because, $\mathcal{R}$ only has morphisms that correspond to symmetric operators. Adding morphisms means including morphisms that correspond to symmetry breaking operators. If after breaking all the symmetry, $\mathcal{R}$ describes a trivial phase without symmetry, then $\mathcal{R}$ is a local fusion n -category. The above can be stated more precisely

Definition 27. A fusion n -category $\mathcal{R}$ (see Def. 17) equipped with a **top-faithful** surjective monoidal functor β from $\mathcal{R}$ to the trivial fusion n -category: $\mathcal{R} \xrightarrow{\beta} n\text{Vec}$ is called a **local fusion n -category**. Here, **top-faithful** means that the functor β is injective when acting on the top morphisms (*i.e.* the n -morphism in this case).

Remark 5. The top-faithful condition means that operators in $\mathcal{R}$ form a subset of operators in $n\text{Vec}$, which agrees with the physical interpretation that from $\mathcal{R}$ to $n\text{Vec}$ we add symmetry breaking operators. The functor β may not be faithful when acting on other morphisms. In other words, every objects and morphisms in $\mathcal{R}$ can be viewed as (*i.e.* can be mapped into) objects and morphisms in $n\text{Vec}$, but the map may not be injective.

When we are interested in fermion systems, we need to replace $n\text{Vec}$ for $ns\text{Vec}$. More generally, the building blocks of our physical system may have even larger intrinsic symmetry (which is unbreakable or we are not willing to break) besides the fermion number parity. Let $\mathcal{V}$ denote the fusion n -category formed by the building blocks ($\mathcal{V} = n\text{Vec}$ for bosons, $\mathcal{V} = ns\text{Vec}$ for fermions, and possibly any other $\mathcal{V}$ for more exotic cases such as an effective theory built upon anyons). We define the notion of $\mathcal{V}$ -local fusion n -categories.

Definition 28. A $\mathcal{V}$ -local fusion n -category is a fusion n -category $\mathcal{R}$ equipped with a top-faithful surjective monoidal functor $\beta : \mathcal{R} \rightarrow \mathcal{V}$.

C. Local fusion 1-category $\text{Rep}G$ and Vec_G

As an example, let us consider a 1d system with degrees of freedom labeled by $g_i \in G$ on site i , where G is a group. The Hamiltonian of the system is given by

$$H = -J \sum_i \sum_{h \in G} T_i(h) - V \sum_i \delta(g_{i-1}^{-1} g_i), \quad (132)$$

where $T_i(h)$ is an operator

$$\begin{aligned} T_i(h) |\cdots, g_{i-1}, g_i, g_{i+1}, \cdots\rangle \\ = |\cdots, g_{i-1}, h g_i, g_{i+1}, \cdots\rangle, \quad h \in G. \end{aligned} \quad (133)$$

The system has a symmetry G

$$|\cdots, g_{i-1}, g_i, g_{i+1}, \cdots\rangle \rightarrow |\cdots, g g_{i-1}, g g_i, g g_{i+1}, \cdots\rangle. \quad (134)$$

When $J \gg |V|$, the ground state is a product state $|\Psi_{\text{grnd}}\rangle = \otimes_i |0\rangle_i$ where $|0\rangle_i \equiv |G|^{-1/2} \sum_g |g\rangle_i$, that does not spontaneously break the symmetry.

Note that $\{|g\rangle_i, g \in G\}$ spans the regular representation of G . It can be further decomposed into irreducible representations. Let $|a\rangle_i, |b\rangle_i, \cdots$ be a basis in an irreducible representation. Under the symmetry transformation $h \in G$, $|a\rangle_i$ transforms to $T_i(h)|a\rangle_i = \sum_b R_{ab}(h)|b\rangle_i$ where $R_{ab}(h)$ is the matrix representing h . A point-like excitation at site i is created by changing the state $|0\rangle_i$ on site- i to $|a\rangle_i = \sum_g \langle g|a\rangle |g\rangle_i$. Since

$$\begin{aligned} T_i(h)|a\rangle_i &= \sum_g \langle g|a\rangle |h g\rangle_i = \sum_g \langle h^{-1} g|a\rangle |g\rangle_i \\ &= \sum_b R_{ab}(h) |b\rangle_i, \end{aligned} \quad (135)$$

we see $\langle h^{-1} g|a\rangle = \sum_b R_{ab}(h) \langle g|b\rangle$.

Such a ground state plus its excitations are described by a fusion 1-category $\text{Rep}G$ whose objects correspond to the point-like excitations (*i.e.* the representations R of G). The 1-morphisms of $\text{Rep}G$ correspond to the symmetric local operators that act on each site. We see that the 1-morphisms directly act on the point-like excitations (the objects). If we view an excitation (an object) as a world line in spacetime, an 1-morphism that changes the excitation can be viewed as a “domain wall” on the world line. For a symmetric system, all those 1-morphisms should be symmetric operators. Respecting to those symmetric 1-morphisms, the excitations corresponding to the irreducible representations are simple objects. Different irreducible representations cannot be connected by symmetric operators, *i.e.* different simple objects cannot be connected by 1-morphisms.

If we add the additional 1-morphisms that correspond to local operators that break all the symmetry, then the excitations corresponding to the irreducible representations R are still allowed, but they are no longer simple object, and split into direct sum of trivial excitations:

$$R \rightarrow \underbrace{\mathbb{C} \oplus \cdots \oplus \mathbb{C}}_{\dim R \text{ copies}}. \quad (136)$$

As a result, the fusion 1-category is reduced to the trivial 1-category – the category of vector spaces Vec . Thus the fusion 1-category $\text{Rep}G$ is a local fusion 1-category. Indeed, all the point-like excitations can be annihilated by local operators that may break the symmetry.

Now consider a 1d system with symmetry G , whose ground state spontaneously breaks all the symmetry. In this case, the ground states are $|G|$ -fold degenerate and are labeled by the group elements: $|\Psi_g\rangle, g \in G$. The point-like excitations are domain walls, which live on the links and are labeled by the elements h of the group:

$|h\rangle_{i_0, i_0+1} = |\Psi_{g, i \leq i_0} \Psi_{hg, i \geq i_0+1}\rangle$. Such symmetry breaking state plus its excitations are described by a fusion 1-category Vec_G , whose objects correspond to the point-like excitations (the domain walls) discussed above. We may still choose the 1-morphisms of Vec_G to be the symmetric local operators acting on the sites. However, such a choice is not proper, since such 1-morphisms cannot be viewed as the “domain walls” on the world-lines of the point-like excitations (the domain walls on the links). In any case, let us proceed. If we add the 1-morphisms that correspond to local operators that break all the symmetry, then objects (the point-like domain-wall excitations) are confined (*i.e.* non longer allowed), since the ground state degeneracy is lifted. This appears to suggest that the fusion 1-category Vec_G is not a local fusion 1-category, if we view it as describing domain walls in a spontaneous symmetry breaking state that breaks a 0-symmetry of group G . Since our choices of the 1-morphisms is not proper, the above conclusion is incorrect.

In fact, Vec_G can also be viewed as a fusion 1-category that describes excitations on top of a product state with an algebraic 0-symmetry. The degrees of freedom on each site i of our 1d model are labeled by group elements of a finite group G . A basis of the many-body Hilbert space is given by $|\{g_i\}\rangle$, $g_i \in G$. The Hamiltonian is given by

$$H = -V \sum_i \delta(g_i) - t \sum_{i, h \in G} T_{i-1, i}(h) \quad (137)$$

where $T_{i-1, i}(h)$ is an operator

$$\begin{aligned} & T_{i-1, i}(h) |\cdots, g_{i-1}, g_i, g_{i+1}, \cdots\rangle \\ & = |\cdots, g_{i-1} h^{-1}, h g_i, g_{i+1}, \cdots\rangle, \quad h \in G. \end{aligned} \quad (138)$$

The model has an algebraic 0-symmetry generated by

$$B_q = \text{Tr} \left[\prod_i R_q(g_i) \right], \quad (139)$$

where q labels the representations of G . In the $t \rightarrow 0$ limit, the ground state is a symmetric product state $|\{g_i = \text{id}\}\rangle$.

Above such a ground state, a point-like excitation is generated by changing $g_i = \text{id}$ to $g_i = h$ on site- i . Thus the excitations are labeled by group elements $h \in G$, with $h = \text{id}$ corresponding to the ground state. They fuse as $h \otimes h' = hh'$. When the algebraic 0-symmetry operators act on the excitations h , we get $B_q(h) = X_q(h)$, where X_q is the character for the representation q . Those point-like excitations form a local 1-fusion category Vec_G .

The operators that break the algebraic 0-symmetry are given by

$$\begin{aligned} \delta H &= T_i(h) |\cdots, g_{i-1}, g_i, g_{i+1}, \cdots\rangle \\ &= |\cdots, g_{i-1}, h g_i, g_{i+1}, \cdots\rangle, \quad h \in G. \end{aligned} \quad (140)$$

Those operators reduce the local 1-fusion category Vec_G to the trivial 1-fusion category Vec , since those operators

correspond to new morphisms $h \rightarrow h'$ for any $h, h' \in G$. Therefore, the 1-fusion category Vec_G is local.

We would like to mention that the 3d generalization of the 1d model (137) was discussed in Section V. Using a similar reason, we show that the 3-fusion category 3Vec_G is local.

D. Representation category of algebraic higher symmetry

Let us summarize the relation between the charge objects of an algebraic higher symmetry and a local fusion higher category.

Proposition 24. *Consider an nd trivial ground state which is a product state with an algebraic higher symmetry. The different types of the excitations above the ground state and within the symmetric sub-Hilbert space form a local fusion n -category $\mathcal{R}$ (*i.e.* with a fiber functor $\beta : \mathcal{R} \rightarrow n\text{Vec}$), which is called the **representation category** of the algebraic higher symmetry in n -dimensional space.*

We would like to conjecture that the Tannaka duality can be generalized to algebraic higher symmetries:

Proposition 25. *There is an one-to-one correspondence between local fusion n -categories $\mathcal{R}$ and algebraic higher symmetries for bosonic systems in n -dimensional space.*

In other words, the algebraic higher symmetries in nd bosonic systems are fully characterized and classified by local fusion n -categories. Since a local fusion n -category $\mathcal{R}$ fully characterizes an anomaly-free algebraic higher symmetry, in this paper, an algebraic higher symmetry is denoted by $\mathcal{R}$.

We would like to remark that there are anomalous algebraic higher symmetries. For those symmetries, we cannot have trivial symmetric ground state, and it is difficult to define its representation category, since representation category, by definition, is formed by the charged excitations above the symmetric product state.

E. Categorical symmetry – a holographic view of symmetry

To gain an even deeper understanding of algebraic higher symmetry, following Ref. 19, we would like to introduce the notion of a categorical symmetry, which is a holographic point of view of a symmetry. We know that a symmetry is simply a restriction on the local operators whose sum gives raise to the Hamiltonian. Usually, the restriction is imposed by symmetry transformations. But in the holographic point of view, we do not impose restrictions via symmetric transformations. Instead, we use a topological order without any symmetry in one higher dimension to encode a symmetry. In other words, we use long range entanglement[32] to encode a symmetry.

Then the restrictions to local operators is realized via the boundary of the topological order.

Let us consider an nd system with an algebraic higher symmetry $\mathcal{R}$. When we restrict the system to the symmetric sub-Hilbert space $\mathcal{V}_{\text{symm}}$ of the algebraic higher symmetry, the system has a potentially non-invertible gravitational anomaly,[24] since $\mathcal{V}_{\text{symm}}$ does not have a tensor product decomposition $\mathcal{V}_{\text{symm}} \neq \otimes_i \mathcal{V}_i$. This relates the symmetry to entanglement. Thus the system (when restricted to the symmetric sub-Hilbert space $\mathcal{V}_{\text{symm}}$) can be viewed as a boundary of an anomaly-free topological order $\mathcal{M}$ in one higher dimension. The topological order $\mathcal{M}$ is described by an object in $\text{TO}_{\text{af}}^{n+2}$.

Which topological order $\mathcal{M}$ in one higher dimension gives rise to the desired algebraic higher symmetry $\mathcal{R}$? We note that $\mathcal{R}$ is a fusion n -category. We believe that for every fusion n -category $\mathcal{R}$, there is exist a unique anomaly-free topological order $\mathcal{M}$ in one higher dimension such that $\mathcal{M}$ has a boundary whose excitations realize the fusion n -category $\mathcal{R}$ (see eqn. (43)). Therefore, we can find $\mathcal{M}$ from $\mathcal{R}$ via

$$\mathcal{M} = \text{bulk}(\mathcal{R}). \quad (141)$$

As we have discussed in Section IID, an nd algebraic higher symmetry $\mathcal{R}$ selects a set of local operators $\{O_{\mathcal{R}}\}$. $\{O_{\mathcal{R}}\}$ can be viewed as a set of lattice local operators that commute with the symmetry generators, or as a set of local operators that describe all possible short range interaction between excitations, as well as local operators that create particle-anti-particle, small loop excitations, *etc.*, described by $\mathcal{R}$. In Section IIF, we mentioned that an nd categorical symmetry $\mathcal{M}$ also selects a local operators $\{O_{\mathcal{M}}\}$, on the boundary of $(n+1)d$ topological order $\mathcal{M}$. If $\mathcal{M} = \text{bulk}(\mathcal{R})$, the two sets $\{O_{\mathcal{R}}\}$ and $\{O_{\mathcal{M}}\}$ have an one-to-one correspondence and the corresponding operators has identical properties (such as identical algebraic relations for the corresponding operators). In order words, the algebraic higher symmetry $\mathcal{R}$ and the categorical symmetry $\mathcal{M}$ are holo-equivalent (see Proposition 1).

Let us examine the algebraic higher symmetry $\mathcal{R}$ and the categorical symmetry $\mathcal{M}$ in terms of their excitations. Roughly speaking, the conservation law from the symmetry is encoded in the fusion rule for the excitations. Thus the fusion rule of the excitations with codimension-2 and higher in $\mathcal{M}$ encode the categorical symmetry $\mathcal{M}$. (A codimension-1 excitation in $\mathcal{M}$ has codimension-0 on the boundary and cannot be viewed as an excitation there.) Those excitations are described by the braided fusion n -category (see Section IIH)

$$\mathcal{M} = \Omega\mathcal{M} = \Omega^2\mathcal{M}, \quad (142)$$

where $\mathcal{M} = \Omega\mathcal{M}$ is the fusion n -category describing the bulk excitations in $\mathcal{M}$. As we move a bulk excitation in $\mathcal{M}$ to the boundary, it may become some boundary excitations in $\mathcal{R}$, or it may condense (*i.e.* becomes the trivial excitation in $\mathcal{R}$). So there is a monoidal functor

$F_{\mathcal{R}} : \mathcal{M} \rightarrow \mathcal{R}$. The fusion rule in $\mathcal{M}$ induces a fusion rule in $\mathcal{R}$. Thus the bulk symmetry encoded in $\mathcal{M}$ becomes an algebraic symmetry in $\mathcal{R}$. However, the bulk excitations of $\mathcal{M} = Z_1(\mathcal{R})$ are more than that of $\mathcal{R}$. In this sense, the fusion rule of excitations in $\mathcal{M}$ gives rise to a bigger symmetry than that from the fusion rule of excitations in $\mathcal{R}$. This bigger symmetry corresponds to the categorical symmetry.[19]

We know that $\mathcal{M}$ can have many boundaries (denoted by $\mathcal{C} \in \text{TO}_{\mathcal{M}}^{n+1}$, see Def. 20). The excitations on the boundary is described by a fusion n -category $\mathcal{C} = \text{Hom}(\mathcal{C}, \mathcal{C}) = \Omega\mathcal{C}$, which satisfies (see Proposition 19)

$$\mathcal{M} = Z_1(\mathcal{C}). \quad (143)$$

As we move a bulk excitation in $\mathcal{M}$ to the boundary, it may become some boundary excitations in $\mathcal{C}$, or it may condense (*i.e.* becomes the trivial excitation in $\mathcal{C}$). So there is a forgetful functor $F_{\mathcal{C}} : \mathcal{M} \rightarrow \mathcal{C}$. Because some excitations in $\mathcal{M}$ are condensed on the boundary, we say the boundary spontaneously breaks part of the categorical symmetry $\mathcal{M}$. Different boundaries $\mathcal{C}$'s may spontaneously break different parts of the categorical symmetry $\mathcal{M}$, since the forgetful functor $F_{\mathcal{C}}$ may map different excitations in $\mathcal{M}$ into the trivial excitations in $\mathcal{C}$ (*i.e.* condense different excitations of $\mathcal{M}$ on the boundary).

We see that all the boundaries have the same categorical symmetry $\mathcal{M}$, if we view the boundary as a lattice boundary Hamiltonian. If we view the boundary as a state, then the categorical symmetry $\mathcal{M}$ is spontaneously broken down to a smaller symmetry. The part of the categorical symmetry $\mathcal{M}$, described by the excitations that condense on the boundary, is spontaneously broken. The smaller survived symmetry is an algebraic higher symmetry. We know that the bulk fusion rule only induces the fusion rule for some boundary excitations (*i.e.* those in the image of the forgetful functor $F_{\mathcal{C}}$). Thus the image of $F_{\mathcal{C}}$ is related to this algebraic higher symmetry – the unbroken part of the categorical symmetry.

One might expect the image of $F_{\mathcal{C}}$ to be the local fusion n -category that characterizes the algebraic higher symmetry in $\mathcal{C}$. But this impression is incorrect. The image of $F_{\mathcal{C}}$ may not even be a fusion n -category, *i.e.* there may not be an anomaly-free bulk topological order $\mathcal{M}$ whose boundary excitations realize the image of $F_{\mathcal{C}}$.

But what is the algebraic higher symmetry in $\mathcal{C}$ (the unbroken part of the categorical symmetry $\mathcal{M}$)? First, such an algebraic higher symmetry must be described by a local fusion n -category $\mathcal{R}$. Since $\mathcal{R}$ is the unbroken part of the categorical symmetry $\mathcal{M}$, the corresponding categorical symmetry for $\mathcal{R}$ should be given by the same $\mathcal{M}$. Mathematically, this means that $\text{bulk}(\mathcal{R}) = \mathcal{M}$. Since $\mathcal{R}$ is the algebraic higher symmetry in $\mathcal{C}$, $\mathcal{C}$ must contain all the charge objects of $\mathcal{R}$ as part of excitations in it. In other words, $\mathcal{R}$ can be embedded into $\mathcal{C}$, *i.e.* there exists an top-fully faithful functor $\iota : \mathcal{R} \hookrightarrow \mathcal{C}$. Here

Definition 29. *Top-fully faithful* means the functor is bijective when acting on top morphisms, and is injective when acting on lower morphisms and on objects.

We know that the $\mathcal{R}$ -symmetry can be explicitly broken, via the functors β, β_C , which changes $\mathcal{R}$ to $n\mathcal{V}ec$ (see Def. 27) and changes $\mathcal{C}$ to $\underline{\mathcal{C}}$. $\underline{\mathcal{C}}$ describes the excitations in the anomaly-free topological order $\mathcal{C}_0 \in \mathcal{TO}_{af}^{n+1} \equiv (n+1)\mathcal{V}ec$ that are induced from $\mathcal{C}$ after we explicitly break the $\mathcal{R}$ -symmetry in $\mathcal{C}$. We note that the excitations described by $\mathcal{C}$ contain both the topological excitations and the symmetry-charge excitations described by $\mathcal{R}$ (the charge objects of the algebraic higher symmetry). One may roughly understand $\underline{\mathcal{C}}$ as “ $\mathcal{C}/\mathcal{R}$ ” *i.e.* “ $\mathcal{C} \bmod \mathcal{R}$ ”. More precisely, $\underline{\mathcal{C}}$ is the pushout defined in the following diagram,

$$\begin{array}{ccc} n\mathcal{V}ec & \xrightarrow{\iota_0} & \underline{\mathcal{C}} \\ \uparrow \beta & & \uparrow \beta_C \\ \mathcal{R} & \xrightarrow{\iota} & \mathcal{C} = \text{Hom}(\mathcal{C}, \mathcal{C}) \end{array} \quad (144)$$

Moreover, the bulk of $\mathcal{R} \rightarrow n\mathcal{V}ec$ and $\mathcal{C} \rightarrow \underline{\mathcal{C}}$ should coincide, which requires that $\gamma : Z_1(\mathcal{R}) \simeq Z_1(\mathcal{C})$ satisfies the condition as later illustrated in (155).

To summarize, the different boundaries of $\mathcal{M}$ all have the same categorical symmetry as a system. But the boundary may spontaneously breaks part of the categorical symmetry when viewed as a state. Because the charge objects of a categorical symmetry have non-trivial mutual statistics, the boundary that does not break the categorical symmetry $\mathcal{M}$ must be gapless.[19, 60] For a *gapped* boundary, the categorical symmetry must be partially (and only partially) broken spontaneously. For the boundary $\mathcal{C}$ discussed above, the categorical symmetry is spontaneously broken down to the algebraic higher symmetry $\mathcal{R}$. We see that

Proposition 26. *Categorical symmetries in n -dimensional space are fully characterized and classified by an $(n+1)d$ anomaly-free topological orders $\mathcal{M}$.*

A categorical symmetry $\mathcal{M}$ may include several different anomaly-free algebraic higher symmetries $\mathcal{R}$, where $\mathcal{R}$ satisfies $\mathcal{M} = \text{bulk}(\mathcal{R})$ (see Proposition 19)

A boundary of $\mathcal{M}$ is described by a boundary Hamiltonian. Such a Hamiltonian always has the full categorical symmetry $\mathcal{M}$. The ground state of the boundary Hamiltonian, if gapped, is described by a boundary topological order $\mathcal{C}$ that satisfies $\text{Bulk}(\mathcal{C}) = \mathcal{M}$. For such a boundary ground state (*i.e.* the boundary topological order $\mathcal{C}$), the categorical symmetry is partially spontaneous broken, down to an algebraic higher symmetry $\mathcal{R}$ that satisfies eqn. (144). We say the categorical symmetry $\mathcal{M}$ contains the algebraic higher symmetry $\mathcal{R}$.

We would like to remark that for an nd categorical symmetry, its corresponding topological order $\mathcal{M}$ in one higher dimension may have several different gapped boundaries with different unbroken algebraic higher symmetries. Thus, an categorical symmetry can contain several different algebraic higher symmetries. The gapped ground *state* of the boundary Hamiltonian must spontaneously break part of the categorical symmetry, and can

only spontaneously break part of the categorical symmetry. For example, as pointed out in Ref. 19, an nd system with a 0-symmetry described by a finite group G (or a fusion n -category $n\text{Rep}G$) actually has a larger categorical symmetry. The categorical symmetry is characterized by a G -gauge theory $\text{GT}_G^{n+1} = \text{bulk}(n\text{Rep}G)$ in one higher dimension. The categorical symmetry include both the 0-symmetry G (with $\mathcal{R} = n\text{Rep}G$) and an algebraic $(n-1)$ -symmetry $G^{(n-1)}$ (with $\mathcal{R} = n\mathcal{V}ec_G$).

VII. GAPPED LIQUID PHASES WITH ALGEBRAIC HIGHER SYMMETRY

In Section VI, we discussed gapped liquid state with algebraic higher symmetry, which is a trivial symmetric product state. In this section, we are going to discuss gapped liquid phases with unbroken algebraic higher symmetry, which may have non-trivial topological orders. Those states are called SET states if the topological order is non-trivial (*i.e.* with long range entanglement), or SPT states if the topological order is trivial (*i.e.* with short range entanglement).

Let us first summarize some previous results in literature, which represent some systematic understanding of *gapped liquid phases*[39, 40] for boson and fermion systems with and without symmetry (but only for 0-symmetry). In 1+1D, all gapped phases are classified by (G_H, G_Ψ, ω_2) [88, 89], where G_H is the on-site symmetry group of the Hamiltonian, G_Ψ the symmetry group of the ground state $G_\Psi \subset G_H$, and $\omega_2 \in H^2(G_\Psi, \mathbb{R}/\mathbb{Z})$ is a group 2-cocycle for the unbroken symmetry group G_Ψ .

In 2+1D, all gapped phases are classified (up to E_8 invertible topological orders and for a finite unitary on-site symmetry G_H) by $(G_H, \text{Rep}(G_\Psi) \subset \mathcal{C} \subset \mathcal{M})$ for bosonic systems and by $(G_H, \text{sRep}(G_\Psi^f) \subset \mathcal{C} \subset \mathcal{M})$ for fermionic systems[45, 46, 90]. Here $\text{Rep}(G_\Psi)$ is the symmetric fusion category formed by representations of G_Ψ , and $\text{sRep}(G_\Psi^f)$ is the symmetric fusion category formed by $\mathbb{Z}_2^f$ -graded representations of G_Ψ^f , where $\mathbb{Z}_2^f$ is a center of G_Ψ^f . Also $\mathcal{C}$ is the braided fusion category of point-like excitations and $\mathcal{M}$ is a minimal modular extension[45, 46].

In 3+1D, some gapped phases are *liquid phases* while others are *non-liquid phases*. The 3+1D gapped liquid phases without symmetry for bosonic systems (*i.e.* 3+1D bosonic topological orders) are classified by Dijkgraaf-Witten theories if the point-like excitations are all bosons, by twisted 2-gauge theory with gauge 2-group $\mathcal{B}(G, Z_2)$ if some point-like excitations are fermions and there are no Majorana zero modes, and by a special class of fusion 2-categories if some point-like excitations are fermions and there are Majorana zero modes at some triple-string intersections[28, 67, 91]. Comparing with the classification of 3+1D SPT orders for bosonic[2, 42] and fermionic systems[50, 51, 53–56], this result suggests that all 3+1D gapped liquid phases (such as SET and SPT phases) for bosonic and fermionic systems with

a finite unitary symmetry (including trivial symmetry, *i.e.* no symmetry) are classified by partially gauging the symmetry of the bosonic/fermionic SPT orders[28].

We see that the previous approaches are quite different for different dimensions and are only for 0-symmetries. In this section, we describe a classification that works for all dimensions. We also generalize the 0-symmetry in the above results to algebraic higher symmetry.

A. Partially characterize a symmetric gapped liquid phase using a pair of fusion higher categories

The classification of gapped liquid phases with algebraic higher symmetry is quite complicated. In this section, we state a simple partial result, to identify the difficulties and the issues in the classification.

For a gapped liquid state with unbroken algebraic higher symmetry $\mathcal{R}$, there are point-like, string-like, *etc* excitations, that correspond to the charge objects of the symmetry. Those charge objects are described by the representation category $\mathcal{R}$. In general, the gapped liquid state also has extra point-like, string-like, *etc* excitations, that are beyond those described by the local fusion higher category $\mathcal{R}$. So the total excitations are described by a bigger fusion higher category $\mathcal{C}$ that includes $\mathcal{R}$. This leads to the following result:

Proposition 27. *An nd anomaly-free gapped liquid phase with an unbroken algebraic higher symmetry described by a fusion n -category $\mathcal{R}$, is fully described by a potentially anomalous topological order $\mathcal{C}$ (see Section III F). The excitations of $\mathcal{C}$ are described by a fusion n -category $\mathcal{C} = \Omega\mathcal{C}$ which admits a top-fully faithful functor $\mathcal{R} \hookrightarrow \mathcal{C}$. Thus we can use the data $\mathcal{R} \hookrightarrow \mathcal{C}$ to partially classify the gapped liquid phase with algebraic higher symmetry $\mathcal{R}$.*

One way to see the above result is to note that stacking a trivial symmetric state $\mathcal{R}$ and the symmetric topological order $\mathcal{C}$ together give rise to a fusion n -category $\mathcal{R} \otimes \mathcal{C}$, if there is no coupling between the trivial symmetric state $\mathcal{R}$ and the symmetric topological order $\mathcal{C}$. The $\mathcal{R} \otimes \mathcal{C}$ state has a larger algebraic higher symmetry $\mathcal{R} \otimes \mathcal{R}$, one from the trivial symmetric state $\mathcal{R}$ and the other from the symmetric topological order $\mathcal{C}$. However, we can add the so called “symmetric interactions” between $\mathcal{R}$ and $\mathcal{C}$ to reduce the $\mathcal{R} \otimes \mathcal{R}$ symmetry to the original symmetry $\mathcal{R}$. The stacking with such symmetric interactions, which preserves the diagonal $\mathcal{R}$ symmetry but break the other symmetries, is denoted by $\otimes_{\mathcal{R}}$ and $\mathcal{R} \otimes_{\mathcal{R}} \mathcal{R} = \mathcal{R}$. Including the “symmetric interactions” is similar to adding the symmetry breaking morphisms in our definition of local fusion higher category (see Def. 27). Such a process can also be realized by a condensation of excitations. Since $\mathcal{R}$ is local, the condensation does not confine any excitations in $\mathcal{R}$, and all the excitations in $\mathcal{R}$ become excitations in $\mathcal{R} \otimes_{\mathcal{R}} \mathcal{C}$. Physically we require that $\mathcal{R} \otimes_{\mathcal{R}} \mathcal{C} = \mathcal{C}$. Therefore, all the excitations in $\mathcal{R}$ become excitations in $\mathcal{C}$.

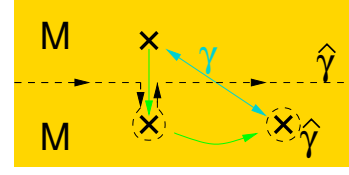


FIG. 17. Consider a topological order $\mathcal{M}$ separated by an invertible domain wall $\hat{\gamma}$. Moving an excitation (with codimension-2 or higher) across the invertible domain wall $\hat{\gamma}$ (with codimension-1) induces an braided automorphism γ of the braided fusion higher category $\mathcal{M} = \Omega^2 \mathcal{M}$: $\mathcal{M} \xrightarrow{\gamma} \mathcal{M}$.

Thus there is a functor $\mathcal{R} \hookrightarrow \mathcal{C}$, which is faithful (*i.e.* injective) at each level of morphisms and objects. Since both $\mathcal{R}$ and $\mathcal{C}$ have the same algebraic higher symmetry $\mathcal{R}$, the allowed local symmetric operators are the same. Thus the faithful functor $\mathcal{R} \hookrightarrow \mathcal{C}$ is fully faithful (*i.e.* bijective) at the top morphisms (which correspond to the local symmetric operators).

Does every pair of fusion n -categories $(\mathcal{R}, \mathcal{C})$ satisfying $\mathcal{R} \hookrightarrow \mathcal{C}$ describes an anomaly-free topological order with an algebraic higher symmetry? The answer is no, as implied by some counterexamples when $\mathcal{R}$ describes a 0-symmetry.[45, 46] If the pair $(\mathcal{R}, \mathcal{C})$ does describe a symmetric topological order, does it uniquely describe the symmetric topological order? The answer is also no. For example, a pair of fusion n -categories $(\mathcal{R}, \mathcal{R})$ can describe a symmetric trivial product state. The same pair $(\mathcal{R}, \mathcal{R})$ can also describe a SPT state of the same symmetry. This is because, as we mentioned before, the fusion n -category only describe the excitations which do not contain all the information of a topological order, and cannot distinguish different invertible topological orders. In our case here, the pair $(\mathcal{R}, \mathcal{R})$ cannot distinguish symmetric trivial product state from non-trivial SPT state with the same anomaly-free algebraic higher symmetry.

However, the $\mathcal{R} \hookrightarrow \mathcal{C}$ description does not miss much. In the following, we try to understand which pairs $\mathcal{R} \hookrightarrow \mathcal{C}$ can describe anomaly-free topological orders with an algebraic higher symmetry. We also try to seek additional information beyond $\mathcal{R} \hookrightarrow \mathcal{C}$ to fully characterize a symmetric topological order. One way to achieve both goals is to use the notion of *categorical symmetries* described in Ref. 19 and in Section VI E, which is a holographical way to view a symmetry. This new way to view a symmetry is most suitable for algebraic higher symmetries. It gives an even more general perspective about algebraic higher symmetries. So in the next section, we first study gapped liquid phases in a bosonic system with a categorical symmetry.

B. Classification of gapped liquid phases in bosonic systems with a categorical symmetry

Here, we will address the difficulties and the issues identified in the last section via a holographic approach. We will first consider a modified problem: classification of gapped liquid phases in bosonic systems with a categorical symmetry. Note that the gapped liquid phases do not have the full categorical symmetry, since gapped phases always partially break the categorical symmetry spontaneously. After this discussion, we identify a key difficulty in the classification.

Let us consider an nd bosonic lattice Hamiltonian with an algebraic higher symmetry $\mathcal{R}$. Such a lattice Hamiltonian can also be regarded as having a categorical symmetry characterized by an anomaly-free topological order $\mathcal{M} = \text{bulk}(\mathcal{R})$ in one higher dimension, since algebraic higher symmetry $\mathcal{R}$ and categorical symmetry $\mathcal{M} = \text{bulk}(\mathcal{R})$ are holo-equivalent. One may ask, what are the gapped liquid phases that have this categorical symmetry $\mathcal{M}$? The answer is that there is no such phases, since gapped phases in nd lattice Hamiltonians with a non-trivial categorical symmetry $\mathcal{M}$ must partially break and only partially break the categorical symmetry spontaneously.[19, 60] This is because a gapped phase in an nd bosonic lattice Hamiltonian with a categorical symmetry $\mathcal{M}$ corresponds to a gapped boundary of a $(n+1)d$ anomaly-free topological order $\mathcal{M}$. The gapped boundary comes from the condensation of some of the excitations in $\mathcal{M}$, and thus part of the categorical symmetry is spontaneously broken. In fact, the condensing excitations form a Lagrangian condensable algebra, which corresponds to spontaneously breaking part of the categorical symmetry. Also, the excitations that can condense (*i.e.* those in the Lagrangian condensable algebra) must have trivial mutual statistics between them. Therefore, we cannot condense all the excitations in $\mathcal{M}$ simultaneously. This is why we cannot completely break a categorical symmetry spontaneously. (Certainly, we can always partially or completely break a categorical symmetry explicitly.) This picture leads to the following result (see Fig. 8a):

Proposition 28. *For nd bosonic lattice Hamiltonians with a categorical symmetry $\mathcal{M}$, their gapped liquid phases are classified by the gapped boundaries of $(n+1)d$ anomaly-free topological orders $\mathcal{M}$. In other words, the gapped liquid phases are classified by (potentially anomalous) topological orders $\mathcal{C}$'s (objects in $\text{TO}_{\mathcal{M}}^{n+1}$'s, see Section III F) satisfying the condition:*

$$\mathcal{M} = \text{Bulk}(\mathcal{C}). \quad (145)$$

In light of Props. 15 and 16, the above result implies that (see Fig. 8a)

Proposition 29. *For nd bosonic lattice Hamiltonians with a categorical symmetry $\mathcal{M}$, the excitations in their gapped liquid phases are described by fusion n -categories $\mathcal{C}$ such that*

$$\text{bulk}(\mathcal{C}) = \mathcal{M}. \quad (146)$$

For every fusion n -category $\mathcal{C}$ satisfying $\text{bulk}(\mathcal{C}) = \mathcal{M}$, there are one or more gapped liquid phases, $\mathcal{C}$'s, to realize it: $\mathcal{C} = \Omega\mathcal{C}$.

Let us remark the second part of the above result. Given a fusion n -category $\mathcal{C}$ describing the excitations in a gapped phase of nd bosonic lattice Hamiltonian with categorical symmetry $\mathcal{M}$, do we have another gapped phase of another nd bosonic lattice Hamiltonian with categorical symmetry $\mathcal{M}$, that also have excitations described by $\mathcal{C}$. In general, the answer is yes. This is because two gapped phases, differing by stacking of invertible topological orders or SPT orders, have the same set of excitations.

To summarize

Proposition 30. *The gapped liquid phases in nd bosonic lattice Hamiltonians with a categorical symmetry $\mathcal{M}$ are **partially** classified by the fusion n -categories $\mathcal{C}$ that satisfy $\text{bulk}(\mathcal{C}) = \mathcal{M}$.*

Here *partially* means that the classification is one-to-many: the same fusion n -category $\mathcal{C}$ may corresponds to several different gapped liquid phases, $\mathcal{C}$'s, of systems with the categorical symmetry $\mathcal{M}$. As we have mentioned before, here, the gapped liquid phases must break only part of the categorical symmetry $\mathcal{M}$ spontaneously.

To get a full one-to-one classification, we need to find extra information beyond the excitations, *i.e.* the fusion n -category $\mathcal{C}$, to characterize the gapped liquid states. One way to get extra information is to study the boundaries of the gapped liquid states. This will be done later.

Here, we consider another type of extra information. As we have mentioned above, stacking invertible topological orders or SPT orders to a gapped phase does not change the excitations. Let us consider a boundary of $\mathcal{M}$ with excitations $\mathcal{C}$ (see Fig. 8). We can use the trivial excitations in $\mathcal{M}$ to form an nd invertible topological order, which is a domain wall in $\mathcal{M}$. We can also use the topological excitations in $\mathcal{M}$ to form an nd SPT order, which is also a domain wall in $\mathcal{M}$. The protecting symmetry of the SPT order comes from the fusion rule (the conservation law) of the topological excitations that form the SPT order. Both kinds of domain walls are invertible domain walls. There are also invertible domain walls that correspond to braided automorphisms of the braided fusion n -category $\mathcal{M} = \Omega^2\mathcal{M}$ describing the excitations in $\mathcal{M}$. In fact, each invertible domain wall $\hat{\gamma}$ corresponds to a braided automorphism γ of $\mathcal{M}$ (see Fig. 17). Thus, stacking an invertible domain wall $\hat{\gamma}$ to the boundary $\mathcal{C}$ give us a boundary $(\mathcal{C}, \hat{\gamma})$ that is related to the boundary $\mathcal{C}$ via an automorphism γ . So the two boundaries are described by fusion n -categories that are equivalent to $\mathcal{C}$. However, the boundaries $(\mathcal{C}, \hat{\gamma})$, with different invertible domain walls $\hat{\gamma}$, may correspond to different boundary phases, *i.e.* inequivalent $\mathcal{C}$'s. We conjecture that all different boundary phases, $\mathcal{C}$'s, with sets of boundary excitations equivalent to $\mathcal{C}$ can be obtained this way: $\mathcal{C} = (\mathcal{C}, \hat{\gamma})$. This leads to the following result (see Fig. 8b)

Proposition 31. *For nd bosonic lattice Hamiltonians with a categorical symmetry $\mathbf{M}$, their gapped liquid phases are classified by a pair $(\mathcal{C}, \hat{\gamma})$, where $\mathcal{C}$ is a fusion n -category $\mathcal{C}$ that satisfies $\text{bulk}(\mathcal{C}) \simeq \mathbf{M}$, and $\hat{\gamma}$ is an invertible domain wall between $\text{bulk}(\mathcal{C})$ and $\mathbf{M}$.*

The possible invertible domain wall $\hat{\gamma}$ is of course not unique. However, when we are considering gapped liquid phases with an algebraic higher symmetry $\mathcal{R}$ (instead of gapped liquid phases that may spontaneously break the categorical symmetry $\mathbf{M} = \text{bulk}(\mathcal{R})$), the different invertible domain walls may have different physical meanings with respect to $\mathcal{R}$. An invertible domain wall may either preserve the algebraic higher symmetry $\mathcal{R}$, or (partially or completely) break $\mathcal{R}$. To classify gapped liquid phases with an algebraic higher symmetry $\mathcal{R}$, we need to select $\hat{\gamma}$'s that preserve the algebraic symmetry $\mathcal{R}$.

How to select $\hat{\gamma}$'s is a key difficulty in the classification. In the next section, we give several (hopefully equivalent) criteria when an invertible domain wall $\hat{\gamma}$ preserves an algebraic symmetry $\mathcal{R}$.

C. Classification of SET orders and SPT orders with an algebraic higher symmetry

1. A simple result

Let us first give a simple partial result by ignoring the invertible domain wall $\hat{\gamma}$ (*i.e.* by overlooking the key difficulty). Given an algebraic higher symmetry $\mathcal{R}$, there is an $(n+1)d$ anomaly-free topological order $\mathbf{M} = \text{bulk}(\mathcal{R})$ (*i.e.* the holo-equivalent categorical symmetry) that has one boundary with excitations described by $\mathcal{R} = \Omega\mathbf{R}$. The boundary topological order $\mathbf{R}$ corresponds to a trivial product state with the algebraic higher symmetry $\mathcal{R}$. (More precisely, the trivial product state with the algebraic higher symmetry $\mathcal{R}$, plus its excitations, when restricted to the symmetric sub-Hilbert space $\mathcal{V}_{\text{symm}}$ correspond to the boundary topological order $\mathbf{R}$.)

Now consider another boundary $\mathbf{C}$ of $\mathbf{M}$, with the excitations described by a fusion n -category $\mathcal{C} = \Omega\mathbf{C}$. However, the boundary $\mathbf{C}$ may spontaneously break the algebraic higher symmetry $\mathcal{R}$. Here we would like to classify gapped liquid phases, $\mathbf{C}$'s, that do not spontaneously break the algebraic higher symmetry $\mathcal{R}$. To do so, we just need to select $\mathbf{C}$'s such that its excitations $\mathcal{C}$ contain $\mathcal{R}$. We believe that all the anomaly-free topological orders with the algebraic higher symmetry $\mathcal{R}$ can be viewed in this way. If we replace $\mathbf{C}$ by $\mathcal{C}$ to get a partial classification, we obtain (see Fig. 18)

Proposition 32. *Anomaly-free gapped liquid phases with an algebraic higher symmetry $\mathcal{R}$ in n -dimensional space are partially classified by fusion n -categories $\mathcal{C}$, that satisfy $\text{bulk}(\mathcal{C}) \simeq \text{bulk}(\mathcal{R})$ and admit a top-fully faithful functor $\mathcal{R} \hookrightarrow \mathcal{C}$.*

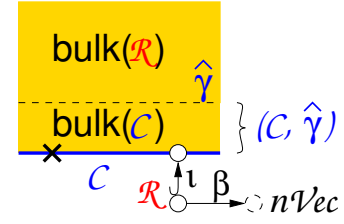


FIG. 18. A gapped liquid phase with an algebraic higher symmetry $\mathcal{R}$ can be characterized by a pair $(\mathcal{C}, \hat{\gamma})$, or more precisely, by $\mathcal{C} \otimes \hat{\gamma} \otimes \text{bulk}(\mathcal{C})$, where $\hat{\gamma}$ is an invertible domain wall between $\text{bulk}(\mathcal{R})$ and $\text{bulk}(\mathcal{C})$: $\text{bulk}(\mathcal{R}) \simeq \text{bulk}(\mathcal{C})$. The fusion n -category $\mathcal{C}$ on the boundary describes the excitations on top of the gapped liquid state, which include $\mathcal{R}$, *i.e.* $\mathcal{R} \hookrightarrow \mathcal{C}$. Since $\mathcal{R}$ is local, we also have a fiber functor $\beta : \mathcal{R} \rightarrow n\text{Vec}$. Not every invertible domain wall can be included. Finding the condition to select the proper invertible domain walls $\hat{\gamma}$ is the key to classify gapped liquid phases with symmetry $\mathcal{R}$. The cross is a generic excitation in $\mathcal{C}$. The white-circles are $\mathcal{R}$ -charge objects in $\mathcal{C}$.

Here $\text{bulk}(\mathcal{C}) \simeq \text{bulk}(\mathcal{R})$ means that the two bulk topological orders, $\text{bulk}(\mathcal{C})$ and $\text{bulk}(\mathcal{R})$, are connected by an invertible domain wall (see Fig. 17). We used a more relaxed condition, just requiring $\text{bulk}(\mathcal{C})$ and $\text{bulk}(\mathcal{R})$ to be equivalent rather than equal. This is because we are not considering different boundaries of a fixed bulk. Here we are considering different boundaries and their bulks, and hoping the bulks to be the same. But when we compare two bulks to see if they are the “same”, the best we can do is to see whether they are equivalent, *i.e.* whether they are connected by an invertible domain wall.

The cross is a generic excitation in $\mathcal{C}$. The white-circles are $\mathcal{R}$ -charge objects in $\mathcal{C}$.

We would like to point out that the above $\mathcal{C}$ classifies the excitations in the anomaly-free topological order $\mathbf{C}$ with an algebraic higher symmetry $\mathcal{R}$ (*i.e.* $\mathbf{C}$ can be realized by a bosonic lattice model in the same dimensions with the symmetry $\mathcal{R}$). We know that topological orders differ by invertible gapped liquids have the same excitations. Thus the above $\mathcal{C}$'s cannot distinguish different invertible gapped liquids, *i.e.* different invertible topological orders and SPT orders. The above $\mathcal{C}$'s only classify anomaly-free topological orders with the algebraic higher symmetry $\mathcal{R}$, up to invertible topological orders and SPT orders for symmetry $\mathcal{R}$.

To obtain a more complete classification, *i.e.* to include the SPT orders with symmetry $\mathcal{R}$, we should include the invertible domain walls $\hat{\gamma} : \text{bulk}(\mathcal{R}) \simeq \text{bulk}(\mathcal{C})$ as our data as we discussed in Section VII B (see Fig. 18):

Proposal 1. *Bosonic anomaly-free gapped liquid phases in n -dimensional space with an anomaly-free algebraic higher symmetry $\mathcal{R}$ are classified by data $(\mathcal{C}, \iota : \mathcal{R} \hookrightarrow \mathcal{C}, \hat{\gamma} : \text{bulk}(\mathcal{R}) \simeq \text{bulk}(\mathcal{C}))$, where $\mathcal{C}$ is a fusion n -category that includes $\mathcal{R}$ (*i.e.* $\iota : \mathcal{R} \hookrightarrow \mathcal{C}$ is a top-fully faithful functor, see Proposition 27), and $\hat{\gamma} : \text{bulk}(\mathcal{R}) \simeq \text{bulk}(\mathcal{C})$ is an invertible domain wall between $\text{bulk}(\mathcal{R})$ and $\text{bulk}(\mathcal{C})$.*

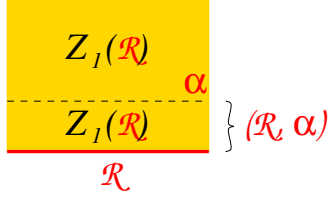


FIG. 19. Similar to Fig. 18, with $\mathcal{C} = \mathcal{R}$. An SPT state is characterized by a pair $(\mathcal{R}, \alpha)$, or more precisely, by the stacking of $\mathcal{R}$ and α through the bulk $Z_1(\mathcal{R})$: $\mathcal{R} \otimes_{Z_1(\mathcal{R})} \alpha \otimes_{Z_1(\mathcal{R})}$. Here α is a braided automorphism of $Z_1(\mathcal{R})$. Not every automorphism can be included. Finding the condition to select the proper automorphisms α is the key to classify $\mathcal{R}$ -SPT orders.

However, the above proposal is incorrect. We cannot use an arbitrary invertible domain wall $\hat{\gamma} : \text{bulk}(\mathcal{R}) \simeq \text{bulk}(\mathcal{C})$. The reason is that $\mathcal{C}$ contains the symmetry $\mathcal{R}$ via the embedding $\iota : \mathcal{R} \hookrightarrow \mathcal{C}$. $\text{bulk}(\mathcal{C})$ also contains the symmetry $\mathcal{R}$ via the forgetful functor $F_{\mathcal{C}} : \Omega^2 \text{bulk}(\mathcal{C}) = Z_1(\mathcal{C}) \rightarrow \mathcal{C}$. $\text{bulk}(\mathcal{R})$ also contains the symmetry $\mathcal{R}$ via the forgetful functor $F_{\mathcal{R}} : \Omega^2 \text{bulk}(\mathcal{R}) = Z_1(\mathcal{R}) \rightarrow \mathcal{R}$. If we allow an arbitrary invertible domain wall $\hat{\gamma}$ which induces an arbitrary braided equivalence $\gamma : Z_1(\mathcal{R}) \simeq Z_1(\mathcal{C})$, then the $\mathcal{R}$ symmetry contained in $Z_1(\mathcal{C})$ and $Z_1(\mathcal{R})$ may not be compatible (*i.e.* may not be matched by γ). Thus the key is to find proper conditions to select proper $\hat{\gamma}$'s. In the following, we describe several, hopefully equivalent, ways to do so.

When $\mathcal{C} = \mathcal{R}$, the above reduces to a classification of SPT phases with algebraic higher symmetry $\mathcal{R}$ via a pair $(\mathcal{R}, \alpha)$, where α is an automorphism of $Z_1(\mathcal{R})$ (see Fig. 19). To describe this classification of SPT phases in more detail, we like to remark that the map from the invertible domain walls $\hat{\alpha}$ in $\text{bulk}(\mathcal{R})$ to the braided automorphisms α of $\Omega^2 \text{bulk}(\mathcal{R}) = Z_1(\mathcal{R})$ may not one-to-one. We conjecture that

Conjecture 1. *the kernel of the map $\hat{\alpha} \rightarrow \alpha$ is the set of invertible domain walls in $\text{bulk}(\mathcal{R})$ that correspond to invertible topological orders formed by trivial excitations in $\Omega^2 \text{bulk}(\mathcal{R}) = Z_1(\mathcal{R})$.*

This conjecture is based on the belief that any properties of excitations $Z_1(\mathcal{R})$ cannot see invertible topological orders.

Because the classification of SPT phases do not include invertible topological orders, we can replace the invertible domain walls $\hat{\alpha}$ by the braided automorphisms α . This allows us to obtain the following proposal (see Fig. 19)

Proposal 2. *Bosonic SPT phases in n -dimensional space with an anomaly-free algebraic higher symmetry $\mathcal{R}$ are classified by the braided automorphisms α of $Z_1(\mathcal{R})$.*

Again, the above proposal is not correct since some braided automorphisms α may break the symmetry $\mathcal{R}$ (*i.e.* change the symmetry $\mathcal{R}$ contained in $Z_1(\mathcal{R})$). To make it correct, we need to find proper conditions to se-

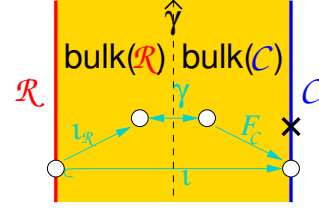


FIG. 20. Similar to Fig. 18, but now we assume $\mathcal{R}$ to be symmetric. In this case, the $\mathcal{R}$ -charges in $\mathcal{R}$ can be lifted into the bulk via the embedding $\iota_{\mathcal{R}}$. The equivalence γ should be compatible with the lifting $\iota_{\mathcal{R}}$ of $\mathcal{R}$, the embedding $\iota : \mathcal{R} \hookrightarrow \mathcal{C}$, and the bulk-to-boundary functor $F_{\mathcal{R}}$.

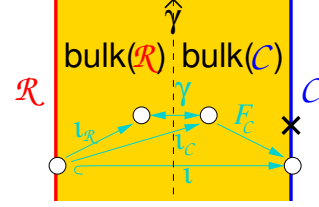


FIG. 21. The graphic representation of eqn. (148) which is equivalent to Fig. 20. We assume $\mathcal{R}$ to be symmetric. In this case, the $\mathcal{R}$ -charges in $\mathcal{R}$ and in $\mathcal{C}$ can be lifted into the bulk via the embedding $\iota_{\mathcal{R}}$ and $\iota_{\mathcal{C}}$.

lect proper braided automorphisms α , that do not break the symmetry $\mathcal{R}$.

The above discussions reveal the key difficulty in classifying gapped liquid phases with an algebraic higher symmetry. In the next a few subsections, we propose several approaches to address this issue, which leads to several, hopefully equivalent, classification results.

2. A classification assuming $\mathcal{R}$ to be symmetric

When $\mathcal{R}$ is symmetric, it can be lifted to the bulk $Z_1(\mathcal{R})$ via a canonical braided embedding $\iota_{\mathcal{R}} : \mathcal{R} \hookrightarrow Z_1(\mathcal{R})$. In this case, we have a simple criteria for γ to make the two $\mathcal{R}$ symmetries in $\mathcal{C}$ and $Z_1(\mathcal{R})$ compatible (*i.e.* to preserve the $\mathcal{R}$ symmetry, see Fig. 20):

Proposition 33. *Anomaly-free gapped liquid phases in n -dimensional space with an anomaly-free algebraic higher symmetry $\mathcal{R}$ (which is assumed to be symmetric) are classified by data $(\mathcal{C}, \iota : \mathcal{R} \hookrightarrow \mathcal{C}, \hat{\gamma} : \text{bulk}(\mathcal{R}) \simeq \text{bulk}(\mathcal{C}))$, where $\mathcal{C}$ is a fusion n -category that includes $\mathcal{R}$ (*i.e.* $\iota : \mathcal{R} \hookrightarrow \mathcal{C}$ is a top-fully faithful functor), and $\hat{\gamma}$ is an invertible domain wall rendering the following dia-*

tations described by another local fusion n -category, denoted as $\tilde{\mathcal{R}}$. In fact $\tilde{\mathcal{R}}$ can be viewed as another gapped boundary of the bulk $Z_1(\mathcal{R})$ (see Fig. 23a), therefore, $Z_1(\mathcal{R}) \simeq Z_1(\tilde{\mathcal{R}})$. $\tilde{\mathcal{R}}$ is nothing but the local fusion n -category that describes the dual symmetry of $\mathcal{R}$ (see Section II E). For example, when $\mathcal{R} = n\text{Rep}G$, the symmetry is the 0-symmetry described by the group G . The dual symmetry is an algebraic higher symmetry described by $\tilde{\mathcal{R}} = n\text{Vec}_G$.

We know that the bulk $Z_1(\mathcal{R})$ and the boundaries, when viewed as systems, have a categorical symmetry $Z_1(\mathcal{R})$ that includes both the symmetry $\mathcal{R}$ and the dual symmetry $\tilde{\mathcal{R}}$. The boundary $\mathcal{R}$ in Fig. 23 has the symmetry $\mathcal{R}$ but spontaneously breaks the dual symmetry $\tilde{\mathcal{R}}$, while the boundary $\tilde{\mathcal{R}}$ has the dual symmetry $\tilde{\mathcal{R}}$ but spontaneously breaks the symmetry $\mathcal{R}$. The intersection $n\text{Vec}_\beta$ of the two boundaries breaks both the symmetry $\mathcal{R}$ and the dual symmetry $\tilde{\mathcal{R}}$ (see Fig. 23a).

The “bulk” of the canonical boundary $n\text{Vec}_\beta$ of $\mathcal{R}$ ($n\text{Vec}_\beta$ is the same n -category as $n\text{Vec}$ with $\mathcal{R}$ -module structure induced by $\beta : \mathcal{R} \rightarrow n\text{Vec}$), which is also a “boundary” of the bulk of $\mathcal{R}$, gives us the criteria when the automorphism $\alpha : Z_1(\mathcal{R}) \simeq Z_1(\mathcal{R})$ preserves the symmetry $\mathcal{R}$ and thus represents an $\mathcal{R}$ SPT order (see Fig. 23a). To identify the proper automorphisms, we note that α can be viewed as an invertible domain wall in the bulk $Z_1(\mathcal{R})$ (see Fig. 23b). Such an invertible domain wall has a boundary on the boundary $\tilde{\mathcal{R}}$ (the white square in Fig. 23b). Since the difference between SPT orders are invertible, the boundary of the invertible domain wall should also be invertible. This motivates us to conjecture that the boundary of the invertible domain wall α corresponds to an automorphism $\tilde{\alpha}$ of $\tilde{\mathcal{R}}$. The automorphisms α for the bulk $Z_1(\mathcal{R})$ and $\tilde{\alpha}$ for the boundary $\tilde{\mathcal{R}}$ should preserve the whole structure of $\mathcal{R}$ and its boundary $n\text{Vec}_\beta$ (the red-line and the black-box in Fig. 23b). This can be achieved by requiring $\alpha, \tilde{\alpha}$ to preserve the bulk-boundary relation described by the bulk to boundary functor $F_{\tilde{\mathcal{R}}} : Z_1(\mathcal{R}) \rightarrow \tilde{\mathcal{R}}$. This leads to the following result:

Proposition 35. *Bosonic SPT orders with an anomaly-free algebraic higher symmetry $\mathcal{R}$ in n -dimensional space are classified by braided equivalence $\alpha : Z_1(\mathcal{R}) \simeq Z_1(\mathcal{R})$ and monoidal equivalence $\tilde{\alpha} : \tilde{\mathcal{R}} \simeq \tilde{\mathcal{R}}$, such that the following diagram is commutative (up to a natural isomorphism):*

$$\begin{array}{ccc} Z_1(\mathcal{R}) & \xleftarrow[\simeq]{\alpha} & Z_1(\mathcal{R}) \\ \downarrow F_{\tilde{\mathcal{R}}} & & \downarrow F_{\tilde{\mathcal{R}}} \\ \tilde{\mathcal{R}} & \xleftarrow[\simeq]{\tilde{\alpha}} & \tilde{\mathcal{R}} \end{array} \quad (151)$$

Remark 7. Note that $\tilde{\alpha}$ in the above contains some redundant information. This can be seen from the fact that even when $\alpha = \text{id}_{Z_1(\mathcal{R})}$, there can still be nontrivial $\tilde{\alpha}$. We believe that such extra $\tilde{\alpha}$'s are higher structures

(such as lower dimensional SPT or invertible phases) and should be quotiented out when considering the classification of SPT/SET orders. See also Remark 10.

The above is just for SPT orders. In the following, we use the similar approach to develop a more general categorical theory to classify both SPT and SET orders. We also allow more general algebraic higher symmetry, by allowing $\mathcal{R}$ to be $\mathcal{V}$ -local (recall Definition 28), to include at least both boson and fermion systems. When $\mathcal{V} = n\text{Vec}$, $\mathcal{R}$ describes the algebraic higher symmetry in bosonic systems. When $\mathcal{V} = ns\text{Vec}$, the fusion n -category of super vector spaces, $\mathcal{R}$ describes the algebraic higher symmetry in fermionic systems.

Remark 8. Physically, we think $\mathcal{V}$ as the building blocks of our system. $\mathcal{R}$ is built upon $\mathcal{V}$ with some additional symmetry that can be totally broken. $\beta : \mathcal{R} \rightarrow \mathcal{V}$ exactly describes the symmetry breaking that leaves only the intrinsic symmetry $\mathcal{V}$ of the building blocks which is not physically breakable (for example, fermion parity).

A gapped liquid state with symmetry $\mathcal{R}$ has excitations $\mathcal{C}$ that is equipped with a top-fully faithful monoidal functor $\iota : \mathcal{R} \rightarrow \mathcal{C}$. There is an anomaly-free topological order $\underline{\mathcal{C}}$ underlying $\mathcal{C}$ by breaking all the $\mathcal{R}$ symmetry. Mathematically, we may define $\underline{\mathcal{C}}$ to be the pushout (i.e., the colimit) of $\mathcal{V} \xleftarrow{\beta} \mathcal{R} \xrightarrow{\iota} \mathcal{C}$ in the category of fusion n -categories,

$$\begin{array}{ccc} \mathcal{R} & \xrightarrow{\iota} & \mathcal{C} \\ \downarrow \beta & & \downarrow \beta_{\mathcal{C}} \\ \mathcal{V} & \xrightarrow{\iota_0} & \underline{\mathcal{C}} \end{array} \quad (152)$$

As a colimit, $\underline{\mathcal{C}}$, $\beta_{\mathcal{C}}$ and ι_0 are uniquely determined by $\mathcal{V} \xleftarrow{\beta} \mathcal{R} \xrightarrow{\iota} \mathcal{C}$ up to isomorphisms. In particular, for SPT orders, we take $\mathcal{C} = \mathcal{R}$, $\iota = \text{id}_{\mathcal{R}}$, and then $\underline{\mathcal{C}} = \mathcal{V}$, $\beta_{\mathcal{C}} = \beta$, $\iota_0 = \text{id}_{\mathcal{V}}$:

$$\begin{array}{ccc} \mathcal{R} & \xrightarrow{\text{id}_{\mathcal{R}}} & \mathcal{R} \\ \downarrow \beta & & \downarrow \beta \\ \mathcal{V} & \xrightarrow{\text{id}_{\mathcal{V}}} & \mathcal{V} \end{array} \quad (153)$$

Alternatively, β can be consider as condensing some excitations (which form an algebra A_β , and condensing means taking the modules over this algebra) in $\mathcal{R}$. Condensing the same excitations in $\mathcal{C}$ (identified via ι), gives $\underline{\mathcal{C}}$.

$\underline{\mathcal{C}}$ constitutes a symmetry breaking domain wall between $\underline{\mathcal{C}}$ and $\mathcal{C}$. Mathematically, $\underline{\mathcal{C}}$ is $\underline{\mathcal{C}}\text{-}\mathcal{C}$ -bimodule; the left action is by fusion in $\underline{\mathcal{C}}$ and right action is by first mapping $\mathcal{C}$ into $\underline{\mathcal{C}}$ via $\beta_{\mathcal{C}}$ and then fusion in $\underline{\mathcal{C}}$. To emphasize, we denote the bimodule by $\underline{\mathcal{C}}_{\beta_{\mathcal{C}}}$. The bulk of $\underline{\mathcal{C}}$, $\mathcal{C}$, as well as the domain wall $\underline{\mathcal{C}}_{\beta_{\mathcal{C}}}$, can be defined via bimodule functors (see Section III I). More precisely,

- The bulk of $\underline{\mathcal{C}}$ is $Z_1(\underline{\mathcal{C}}) := \text{Fun}_{\underline{\mathcal{C}}|\underline{\mathcal{C}}}(\underline{\mathcal{C}}, \underline{\mathcal{C}})$;

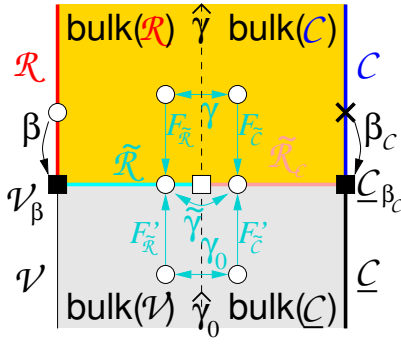


FIG. 24. $\mathcal{R}$, $\mathcal{V}$ and their domain wall $\mathcal{V}_\beta$ describe a trivial product state with symmetry $\mathcal{R}$ (as well as the symmetry breaking $\mathcal{R} \xrightarrow{\beta} \mathcal{V}$). $\mathcal{C}$, $\mathcal{C}$ and their domain wall $\mathcal{C}_{\beta_c}$ describe a gapped liquid state with symmetry $\mathcal{R}$. The bulk of two structures (the categorical symmetry and its breaking) must coincide. This is just the anomaly matching condition, since the categorical symmetry can be viewed as anomaly. As a result, the $\mathcal{R}$ -symmetric gapped liquid phase and the $\mathcal{R}$ -symmetric trivial phase can be two phases of the same system. The data $(\mathcal{R} \xrightarrow{\beta} \mathcal{V}, \mathcal{R} \xrightarrow{\iota} \mathcal{C}, \hat{\gamma}, \tilde{\gamma}, \hat{\gamma}_0)$ classify the bosonic gapped liquid phases with $\mathcal{R}$ -symmetry when $\mathcal{V} = n\text{Vec}$. They classify the fermionic gapped liquid phases with $\mathcal{R}$ -symmetry when $\mathcal{V} = ns\text{Vec}$.

- The bulk of $\mathcal{C}$ is $Z_1(\mathcal{C}) := \text{Func}_{|\mathcal{C}}(\mathcal{C}, \mathcal{C})$;
- The bulk of the domain wall $\mathcal{C}_{\beta_c}$ is

$$\tilde{\mathcal{R}}_{\mathcal{C}} := \text{Func}_{|\mathcal{C}}(\mathcal{C}_{\beta_c}, \mathcal{C}_{\beta_c}). \quad (154)$$

$\tilde{\mathcal{R}}_{\mathcal{C}}$ is also a symmetry breaking domain wall in the bulk, between $Z_1(\mathcal{C})$ and $Z_1(\mathcal{C})$.

- There are also bulk to wall functors

$$F'_{\tilde{\mathcal{R}}_{\mathcal{C}}} : Z_1(\mathcal{C}) = \text{Func}_{|\mathcal{C}}(\mathcal{C}, \mathcal{C}) \rightarrow \text{Func}_{|\mathcal{C}}(\mathcal{C}_{\beta_c}, \mathcal{C}_{\beta_c}) = \tilde{\mathcal{R}}_{\mathcal{C}} \\ f \mapsto f(\mathbf{1}_{\mathcal{C}}) \otimes -,$$

$$F_{\tilde{\mathcal{R}}_{\mathcal{C}}} : Z_1(\mathcal{C}) = \text{Func}_{|\mathcal{C}}(\mathcal{C}, \mathcal{C}) \rightarrow \text{Func}_{|\mathcal{C}}(\mathcal{C}_{\beta_c}, \mathcal{C}_{\beta_c}) = \tilde{\mathcal{R}}_{\mathcal{C}} \\ f \mapsto - \otimes \beta_c(f(\mathbf{1}_{\mathcal{C}})),$$

In conclusion, if we view $\mathcal{C}, \mathcal{C}$ with a domain wall $\mathcal{C}_{\beta_c}$ between them as a whole, the bulk of this structure is given by $Z_1(\mathcal{C}) \xrightarrow{F'_{\tilde{\mathcal{R}}_{\mathcal{C}}}} \tilde{\mathcal{R}}_{\mathcal{C}} \xleftarrow{F_{\tilde{\mathcal{R}}_{\mathcal{C}}}} Z_1(\mathcal{C})$ (see Fig. 24), i.e., two non-degenerate braided fusion n -categories $Z_1(\mathcal{C})$ and $Z_1(\mathcal{C})$, a fusion n -category $\tilde{\mathcal{R}}_{\mathcal{C}}$ and two bulk to wall functors $F'_{\tilde{\mathcal{R}}_{\mathcal{C}}}$ and $F_{\tilde{\mathcal{R}}_{\mathcal{C}}}$. Physically, $Z_1(\mathcal{C}) \xrightarrow{F'_{\tilde{\mathcal{R}}_{\mathcal{C}}}} \tilde{\mathcal{R}}_{\mathcal{C}} \xleftarrow{F_{\tilde{\mathcal{R}}_{\mathcal{C}}}} Z_1(\mathcal{C})$ is the categorical symmetry and how it breaks down corresponding to $\beta : \mathcal{R} \rightarrow \mathcal{V}$.

Clearly, the bulk of the product state with the $\mathcal{R}$ -symmetry (and its breaking $\mathcal{R} \xrightarrow{\beta} \mathcal{V}$) is given by $Z_1(\mathcal{V}) \xrightarrow{F_{\tilde{\mathcal{R}}}} \tilde{\mathcal{R}} \xleftarrow{F'_{\tilde{\mathcal{R}}}} Z_1(\mathcal{R})$. As before, we require that

the bulk of $\mathcal{C}$ coincide with the $\mathcal{R}$ -symmetric product state, which amounts to say that there should be three equivalences $\gamma : Z_1(\mathcal{R}) \simeq Z_1(\mathcal{C})$, $\tilde{\gamma} : \tilde{\mathcal{R}} \simeq \tilde{\mathcal{R}}_{\mathcal{C}}$, and $\gamma_0 : Z_1(\mathcal{V}) \simeq Z_1(\mathcal{C})$. The criteria for these equivalences to preserve $\mathcal{R}$ is given below:

Proposition 36. *An anomaly-free gapped liquid phase in n -dimensional space with a generalized anomaly-free algebraic higher symmetry described by a $\mathcal{V}$ -local $\mathcal{R}$ is characterized by the data $\iota : \mathcal{R} \hookrightarrow \mathcal{C}$, and $\hat{\gamma}, \tilde{\gamma}, \hat{\gamma}_0$, where $\mathcal{C}$ is a fusion n -category, $\hat{\gamma}$ is an invertible domain wall between $\text{bulk}(\mathcal{R})$ and $\text{bulk}(\mathcal{C})$, $\hat{\gamma}_0$ is an invertible domain wall between $\text{bulk}(\mathcal{V})$ and $\text{bulk}(\mathcal{C})$ and $\tilde{\gamma} : \tilde{\mathcal{R}} \simeq \tilde{\mathcal{R}}_{\mathcal{C}}$ is a monoidal equivalence. The two invertible domain walls induce braided equivalences $\gamma : Z_1(\mathcal{R}) \simeq Z_1(\mathcal{C})$ and $\gamma_0 : Z_1(\mathcal{V}) \simeq Z_1(\mathcal{C})$. The three equivalences $\gamma, \tilde{\gamma}, \gamma_0$ must render the following diagram commutative.*

$$\begin{array}{ccc} Z_1(\mathcal{R}) & \xrightarrow[\simeq]{\gamma} & Z_1(\mathcal{C}) \\ \downarrow F_{\tilde{\mathcal{R}}} & & \downarrow F_{\tilde{\mathcal{R}}_{\mathcal{C}}} \\ \tilde{\mathcal{R}} & \xrightarrow[\simeq]{\tilde{\gamma}} & \tilde{\mathcal{R}}_{\mathcal{C}} \\ \uparrow F'_{\tilde{\mathcal{R}}} & & \uparrow F'_{\tilde{\mathcal{R}}_{\mathcal{C}}} \\ Z_1(\mathcal{V}) & \xrightarrow[\simeq]{\gamma_0} & Z_1(\mathcal{C}) \end{array} \quad (155)$$

In the above, we have used the invertible domain walls $\hat{\gamma}$ and $\hat{\gamma}_0$ to capture invertible topological orders. We use the equivalences γ and γ_0 of braided fusion higher categories, induced by the invertible domain walls, to formulate the condition to select the proper domain walls.

In particular, taking $\mathcal{C} = \mathcal{R}$ and then $\mathcal{C} = \mathcal{V}$, $\tilde{\mathcal{R}}_{\mathcal{C}} = \tilde{\mathcal{R}}$, we obtain a classification of $\mathcal{R}$ -SPT orders:

Proposition 37. *An SPT phase in n -dimensional space with a generalized anomaly-free algebraic higher symmetry described by a $\mathcal{V}$ -local $\mathcal{R}$ is characterized by the three automorphisms $\alpha : Z_1(\mathcal{R}) \simeq Z_1(\mathcal{R})$, $\tilde{\alpha} : \tilde{\mathcal{R}} \simeq \tilde{\mathcal{R}}$, $\alpha_0 : Z_1(\mathcal{V}) \simeq Z_1(\mathcal{V})$ rendering the following diagram commutative*

$$\begin{array}{ccc} Z_1(\mathcal{R}) & \xrightarrow[\simeq]{\alpha} & Z_1(\mathcal{R}) \\ \downarrow F_{\tilde{\mathcal{R}}} & & \downarrow F_{\tilde{\mathcal{R}}} \\ \tilde{\mathcal{R}} & \xrightarrow[\simeq]{\tilde{\alpha}} & \tilde{\mathcal{R}} \\ \uparrow F'_{\tilde{\mathcal{R}}} & & \uparrow F'_{\tilde{\mathcal{R}}} \\ Z_1(\mathcal{V}) & \xrightarrow[\simeq]{\alpha_0} & Z_1(\mathcal{V}) \end{array} \quad (156)$$

The above triples of automorphisms $(\alpha, \tilde{\alpha}, \alpha_0)$, that label different $\mathcal{R}$ -SPT orders, can be composed, which correspond to the stacking of the SPT orders.

If we choose $\mathcal{V} = n\text{Vec}$, the above classifies SET/SPT orders for bosonic systems with an algebraic higher symmetry. If we choose $\mathcal{V} = ns\text{Vec}$, the above classifies SET/SPT orders for fermionic systems with a generalized algebraic higher symmetry. Again, as pointed out in Remarks 7 and 10, it is very likely that different choices

$\tilde{\gamma}, \gamma_0$ or $\tilde{\alpha}, \alpha_0$ correspond to the same SET/SPT order, and thus only γ or α needs to be kept.

In this formulation, there is no need to assume that $\mathcal{R}$ is symmetric or even braided. But assuming $\mathcal{R}, \mathcal{V}$ and $\beta : \mathcal{R} \rightarrow \mathcal{V}$ are braided, we want to show that the Proposition 36 and Proposition 33 are equivalent. We sketch a tentative proof here. There is a canonical braided embedding $\iota_{\mathcal{R}} : \mathcal{R} \hookrightarrow Z_1(\mathcal{R})$. Then consider the pushout of $\mathcal{V} \xleftarrow{\beta} \mathcal{R} \xrightarrow{\iota_{\mathcal{R}}} Z_1(\mathcal{R})$. In the category of fusion n -categories, the pushout is just $\tilde{\mathcal{R}}$.

$$\begin{array}{ccc} \mathcal{R} & \xrightarrow{\iota_{\mathcal{R}}} & Z_1(\mathcal{R}) \\ \downarrow \beta & & \downarrow F_{\tilde{\mathcal{R}}} \\ \mathcal{V} & \xrightarrow{\quad} & \tilde{\mathcal{R}} \end{array} \quad (157)$$

Indeed, β can be considered as condensing some excitations A_{β} in $\mathcal{R}$. Condensing the same excitations in $Z_1(\mathcal{R})$ (identified via $\iota_{\mathcal{R}}$) gives $\tilde{\mathcal{R}}$. Moreover, $Z_1(\mathcal{V})$ should be a full subcategory of $\tilde{\mathcal{R}}$ corresponding to the deconfined excitations and $F'_{\tilde{\mathcal{R}}}$ is the embedding. Therefore, the embedding $\iota_{\mathcal{R}}$ determines all the other structures $Z_1(\mathcal{V}), \tilde{\mathcal{R}}, F_{\tilde{\mathcal{R}}}, F'_{\tilde{\mathcal{R}}}$. Also $\gamma : Z_1(\mathcal{R}) \simeq Z_1(\mathcal{C})$ with such embedding $\iota_{\mathcal{R}}$ determines $\tilde{\gamma}$ and γ_0 . Then it should be straightforward to verify that (155) is equivalent to (147).

Example 3. For $\mathcal{R} = \mathcal{C} = \text{Rep}G$, $\mathcal{V} = \text{Vec}$, $\beta : \text{Rep}G \rightarrow \text{Vec}$ the forgetful functor, we have $\tilde{\mathcal{R}} = \text{Vec}_G$. Since $F_{\tilde{\mathcal{R}}}$ corresponds to condensing the algebra $\text{Fun}(G) \in \text{Rep}G$, preserving the embedding $\text{Rep}G \hookrightarrow Z_1(\text{Rep}G)$ is the same as preserving $\text{Fun}(G)$ and thus $\tilde{F}_{\mathcal{R}}$. The $G = \mathbb{Z}_2 \times \mathbb{Z}_2$ case is explicitly calculated in the Section VII C 7.

4. Second version of classification based on condensable algebra

In this section, we are going to describe another version of classification. Let us first consider an $\mathcal{R}$ -SPT state characterized by a pair $(\mathcal{R}, \alpha)$, where α is a braided automorphism of $Z_1(\mathcal{R})$ (see Fig. 19). We would like to explore other equivalent ways to select proper α 's. We first restrict to bosonic systems for simplicity, assuming that $\mathcal{R}$ is a local fusion n -category. A key feature of SPT order is that a SPT state has no topological order, *i.e.* it becomes a product state if we break the symmetry. How to impose such a condition, when we use the holographic point view of the symmetry $\mathcal{R}$?

Here we would like to point out that if we stack $\mathcal{R}$ and its dual $\tilde{\mathcal{R}}$ through their common bulk $\mathcal{M} = Z_1(\mathcal{R})$, denoted as $\mathcal{R} \otimes_{\mathcal{M}} \tilde{\mathcal{R}}^{\text{rev}}$, we get a trivial product state $n\text{Vec}$ (see Fig. 6). We may use this property to define a more general notion of dual symmetry.

Definition 30. Let $\mathcal{M}$ be the braided fusion n -category describing excitations in a $(n+1)d$ anomaly-free bulk

topological order, and $\mathcal{R}$ and $\mathcal{B}$ (together with bulk to boundary functors $\mathcal{M} \rightarrow \mathcal{R}, \mathcal{M} \rightarrow \mathcal{B}$) be two nd boundaries of $\mathcal{M}$. $\mathcal{R}$ and $\mathcal{B}$ are said dual to each other if

$$\mathcal{R} \otimes_{\mathcal{M}} \mathcal{B}^{\text{rev}} = n\text{Vec}. \quad (158)$$

In fact, there is an one-to-one correspondence between dual symmetries of $\mathcal{R}$ and the monoidal functors $\mathcal{R} \rightarrow n\text{Vec}$. If a boundary $\mathcal{B}$ of $Z_1(\mathcal{R})$ satisfies $\mathcal{R} \otimes_{Z_1(\mathcal{R})} \mathcal{B}^{\text{rev}} = n\text{Vec}$, we may define

$$\begin{aligned} \beta_{\mathcal{B}} : \mathcal{R} &\rightarrow n\text{Vec} = \mathcal{R} \otimes_{Z_1(\mathcal{R})} \mathcal{B}^{\text{rev}}, \\ x &\mapsto x \otimes_{Z_1(\mathcal{R})} \mathbf{1}_{\mathcal{B}}. \end{aligned} \quad (159)$$

Recall that for the given $\beta : \mathcal{R} \rightarrow n\text{Vec}$, the dual symmetry is defined by $\tilde{\mathcal{R}} = \text{Fun}_{\mathcal{R}|n\text{Vec}}(n\text{Vec}_{\beta}, n\text{Vec}_{\beta})$ (see Fig. 23a). We have the following correspondence:

Proposition 38. The maps $\mathcal{B} \mapsto \beta_{\mathcal{B}}$ and $\beta \mapsto \text{Fun}_{\mathcal{R}|n\text{Vec}}(n\text{Vec}_{\beta}, n\text{Vec}_{\beta})$ are inverse to each other.

In particular, $\mathcal{R}$ also defines a monoidal functor $\mathcal{B} \rightarrow n\text{Vec}$. In other words,

Proposition 39. if $Z_1(\mathcal{R}) = Z_1(\mathcal{B})$ and $\mathcal{R} \otimes_{Z_1(\mathcal{R})} \mathcal{B}^{\text{rev}} = n\text{Vec}$, then both $\mathcal{R}$ and $\mathcal{B}$ are local fusion n -categories.

Physically, when $\mathcal{R}$ and $\tilde{\mathcal{R}}$ are dual to each other, every excitation in $\mathcal{M} = Z_1(\mathcal{R})$, either condenses to $\mathcal{R}$ -boundary or condenses to $\tilde{\mathcal{R}}$ -boundary, or both. In this case, every excitation in $\mathcal{M}$ is condensed and the resulting state is trivial. We know that $\mathcal{R}$ can be obtained from $\mathcal{M}$ via a Lagrangian condensable algebra $A_{\mathcal{R}}$ in $\mathcal{M}$. Similarly, $\tilde{\mathcal{R}}$ can be obtained from $\mathcal{M}$ via another Lagrangian condensable algebra $A_{\tilde{\mathcal{R}}}$. Roughly speaking a condensable algebra is formed by excitations with trivial mutual statistics with each other, and those excitations can all condense simultaneously to form a gapped boundary (see Fig. 6). Thus in the $\mathcal{R}$ boundary, the excitations in $A_{\mathcal{R}}$ condense. The non-condensing excitations become the boundary excitations that is described by $\mathcal{R}$. So roughly speaking $\mathcal{R} = \mathcal{M}/A_{\mathcal{R}}$. (In precise mathematical language, $\mathcal{R}$ identifies with the category of modules over $A_{\mathcal{R}}$ in $\mathcal{M}$.) Similarly, $\tilde{\mathcal{R}} = \mathcal{M}/A_{\tilde{\mathcal{R}}}$. When $\mathcal{R}$ and $\tilde{\mathcal{R}}$ are dual to each other, the overlap of $A_{\mathcal{R}}$ and $A_{\tilde{\mathcal{R}}}$ is minimal and is given by the trivial excitations. Also $A_{\mathcal{R}}$ and $A_{\tilde{\mathcal{R}}}$ together generate the whole $\mathcal{M}$. (More precisely, any excitation in $\mathcal{M}$ is contained in $A_{\mathcal{R}} \otimes A_{\tilde{\mathcal{R}}}$.) Thus roughly speaking, $A_{\mathcal{R}} \otimes A_{\tilde{\mathcal{R}}} \sim \mathcal{M}$. We see that, $A_{\mathcal{R}}$ is formed by excitations in $\tilde{\mathcal{R}}$ and $A_{\tilde{\mathcal{R}}}$ is formed by excitations in $\mathcal{R}$.

Proposition 38 tells us that dual symmetry is an equivalent way to describe symmetry breaking. If $\mathcal{R}$ can be canceled by its dual: $\mathcal{R} \otimes_{\mathcal{M}} \tilde{\mathcal{R}}^{\text{rev}} = n\text{Vec}$, then $\mathcal{R}$ (as well

as $\tilde{\mathcal{R}}$) is a local fusion n -category, *i.e.* $\mathcal{R}$ can be reduced to the trivial product state if we break the symmetry: $\mathcal{R} \xrightarrow{\beta} n\text{Vec}$. Since $\mathcal{R}$ can be viewed as $(\mathcal{R}, \alpha = \text{id})$ in Fig.

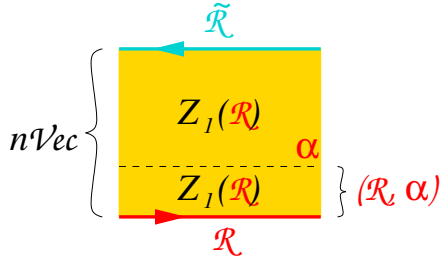


FIG. 25. $(\mathcal{R}, \alpha) \equiv \mathcal{R} \otimes_{Z_1(\mathcal{R})} \alpha \otimes_{Z_1(\mathcal{R})}$ describes an SPT state only if it can be canceled by $\tilde{\mathcal{R}}$ (i.e. producing a product state $n\text{Vec}$).

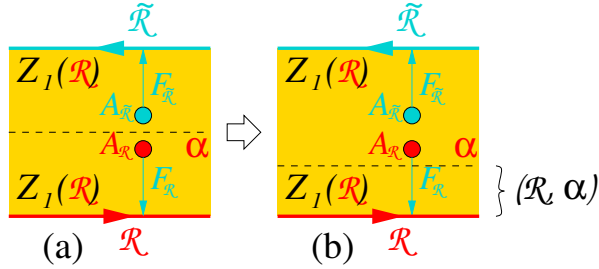


FIG. 26. If α keeps the $A_{\mathcal{R}}$ unchanged, then $(\mathcal{R}, \alpha) \equiv \mathcal{R} \otimes_{Z_1(\mathcal{R})} \alpha \otimes_{Z_1(\mathcal{R})}$ is also determined by the condensable algebra $A_{\mathcal{R}}$ and is equivalent to $\mathcal{R} \otimes_{Z_1(\mathcal{R})}$.

19, we see that $(\mathcal{R}, \text{id})$ can be canceled by $\tilde{\mathcal{R}}$, which implies that $(\mathcal{R}, \text{id})$ is a product state if we break the symmetry. This implies that if we do not break the symmetry, then $(\mathcal{R}, \text{id})$ is a SPT state. Therefore, to see if $(\mathcal{R}, \alpha)$ is a SPT state or not, we can just check if it can be cancelled by $\tilde{\mathcal{R}}$ or not. This allows us to obtain (see Fig. 25)

Proposition 40. $(\mathcal{R}, \alpha) \equiv \mathcal{R} \otimes_{Z_1(\mathcal{R})} \alpha \otimes_{Z_1(\mathcal{R})}$ describes a bosonic $\mathcal{R}$ -SPT state if the automorphism α of $Z_1(\mathcal{R})$ satisfies

$$\mathcal{R} \otimes_{Z_1(\mathcal{R})} \alpha \otimes_{Z_1(\mathcal{R})} \tilde{\mathcal{R}}^{\text{rev}} \simeq n\text{Vec}. \quad (160)$$

Using the condensable algebra, we find that one class of the solutions of eqn. (160) are given by α 's that keep the $A_{\mathcal{R}}$ unchanged (see Fig. 26b):

$$\alpha(A_{\mathcal{R}}) \stackrel{\mu}{\simeq} A_{\mathcal{R}}, \quad (161)$$

where μ is an algebra isomorphism. In this case, Fig. 26a can be deformed into Fig. 26b. By comparing Fig. 26b with Fig. 25, we see that both $\mathcal{R} \otimes_{Z_1(\mathcal{R})} \alpha \otimes_{Z_1(\mathcal{R})}$ and $\mathcal{R} \otimes_{Z_1(\mathcal{R})}$ are determined by the same condensable algebra

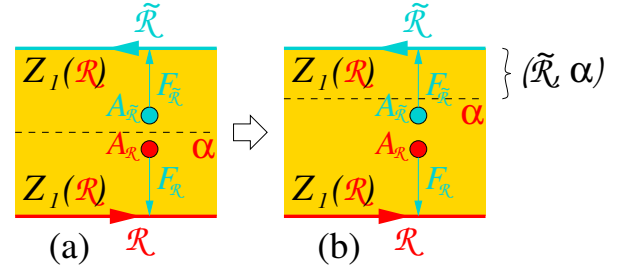


FIG. 27. If α keeps the $A_{\tilde{\mathcal{R}}}$ unchanged, then $(\tilde{\mathcal{R}}, \alpha) \equiv \tilde{\mathcal{R}} \otimes_{Z_1(\tilde{\mathcal{R}})} \alpha \otimes_{Z_1(\tilde{\mathcal{R}})} \tilde{\mathcal{R}}^{\text{rev}} \simeq \tilde{\mathcal{R}} \otimes_{Z_1(\tilde{\mathcal{R}})} \tilde{\mathcal{R}}^{\text{rev}}$.

$A_{\mathcal{R}}$, and hence are equivalent: $\mathcal{R} \otimes_{Z_1(\mathcal{R})} \alpha \otimes_{Z_1(\mathcal{R})} \simeq \mathcal{R} \otimes_{Z_1(\mathcal{R})}$. This allows us to show that

$$\mathcal{R} \otimes_{Z_1(\mathcal{R})} \alpha \otimes_{Z_1(\mathcal{R})} \tilde{\mathcal{R}}^{\text{rev}} \simeq \mathcal{R} \otimes_{Z_1(\mathcal{R})} \tilde{\mathcal{R}}^{\text{rev}} \simeq n\text{Vec}. \quad (162)$$

$\mathcal{R} \otimes_{Z_1(\mathcal{R})} \alpha \otimes_{Z_1(\mathcal{R})} \simeq \mathcal{R} \otimes_{Z_1(\mathcal{R})}$ also tell us that the SPT state described by $\mathcal{R} \otimes_{Z_1(\mathcal{R})} \alpha \otimes_{Z_1(\mathcal{R})}$ is equivalent to the SPT state described by $\mathcal{R} \otimes_{Z_1(\mathcal{R})}$, which is the trivial SPT state. In

fact, α that keeps $A_{\mathcal{R}} \sim \tilde{\mathcal{R}}$ unchanged may change $\mathcal{R}$. Thus we believe that $\mathcal{R} \otimes_{Z_1(\mathcal{R})} \alpha \otimes_{Z_1(\mathcal{R})}$ and $\mathcal{R} \otimes_{Z_1(\mathcal{R})}$ only differ by a relabeling of the symmetry (i.e. differ by an automorphism of $\mathcal{R}$), and thus correspond to the same SPT state.

Eqn. (160) has another class of solutions, given by α 's that keep $A_{\tilde{\mathcal{R}}}$ unchanged:

$$\alpha(A_{\tilde{\mathcal{R}}}) \stackrel{\mu}{\simeq} A_{\tilde{\mathcal{R}}}. \quad (163)$$

By comparing Fig. 27b with Fig. 25, we see that $\tilde{\mathcal{R}} \otimes_{Z_1(\tilde{\mathcal{R}})} \alpha \otimes_{Z_1(\tilde{\mathcal{R}})} \tilde{\mathcal{R}}^{\text{rev}} \simeq \tilde{\mathcal{R}} \otimes_{Z_1(\tilde{\mathcal{R}})} \tilde{\mathcal{R}}^{\text{rev}}$. This allows us to show that, indeed,

$$\tilde{\mathcal{R}} \otimes_{Z_1(\tilde{\mathcal{R}})} \alpha \otimes_{Z_1(\tilde{\mathcal{R}})} \tilde{\mathcal{R}}^{\text{rev}} \simeq \tilde{\mathcal{R}} \otimes_{Z_1(\tilde{\mathcal{R}})} \tilde{\mathcal{R}}^{\text{rev}} \simeq n\text{Vec}. \quad (164)$$

As we have mentioned, the condensable algebra $A_{\tilde{\mathcal{R}}}$ is formed by excitations in $\mathcal{R}$. So keeping $A_{\tilde{\mathcal{R}}}$ part of $\mathcal{M}$ unchanged corresponds to keeping $\mathcal{R}$ part of $\mathcal{M}$ unchanged. Therefore, the automorphisms α , that satisfy eqn. (163), do not change the $\mathcal{R}$ symmetry. But α 's generate non-trivial automorphisms of $\tilde{\mathcal{R}}$, $\tilde{\alpha} : \tilde{\mathcal{R}} \simeq \tilde{\mathcal{R}}$ (see Fig. 28).

Fig. 28 also describes the canonical symmetry-breaking boundary, $n\text{Vec}_{\beta} \otimes \tilde{\alpha} \otimes$, of the SPT state $\tilde{\mathcal{R}} \otimes_{Z_1(\tilde{\mathcal{R}})} \alpha \otimes_{Z_1(\tilde{\mathcal{R}})}$. We see that different pairs of automorphisms $(\alpha, \tilde{\alpha})$ give rise to different boundaries (due to different $\tilde{\alpha}$'s). This leads to the following result:

Proposition 41. Bosonic SPT phases in n -dimensional space with an anomaly-free algebraic higher symmetry

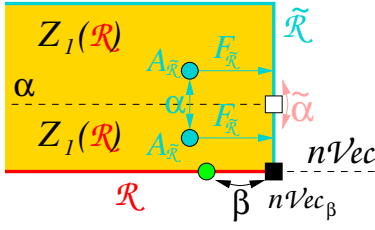


FIG. 28. The $\mathcal{R}$ -SPT orders are classified by the automorphisms α of $Z_1(\mathcal{R})$ that keep $A_{\tilde{\mathcal{R}}}$ unchanged. An α corresponds to an invertible domain wall in the bulk (the dash-line), which also has an invertible boundary (the white square). The boundary-bulk relation between $Z_1(\mathcal{R})$ and $\tilde{\mathcal{R}}$ is described by the bulk-to-boundary functor $F_{\tilde{\mathcal{R}}}$, which maps the condensable algebra $A_{\tilde{\mathcal{R}}}$ to the trivial excitations on the $\tilde{\mathcal{R}}$ boundary.

$\mathcal{R}$ are classified (up to invertible topological orders) by braided equivalences $\alpha : Z_1(\mathcal{R}) \simeq Z_1(\mathcal{R})$ together with algebra isomorphisms $\mu : \alpha(A_{\tilde{\mathcal{R}}}) \simeq A_{\tilde{\mathcal{R}}}$.

We would like to remark that, for a given α , different choices of μ differ by automorphisms of the condensable algebra $A_{\tilde{\mathcal{R}}}$. Those different μ 's may lead to the same SPT order. This is because if we gauge the $\mathcal{R}$ -symmetry in a SPT state, the resulting topological order does not depend on μ (see Remark 10). Thus, the bosonic SPT phases with a $\mathcal{R}$ -symmetry may actually be classified by α 's, rather than the pairs (α, μ) 's.

We can generalize the above result to include SET orders with $\mathcal{R}$ -symmetry for bosonic or fermionic systems, as well as invertible topological orders by using invertible domain walls (see Fig. 29):

Proposition 42. *Anomaly-free gapped liquid phases in n -dimensional space with a generalized anomaly-free algebraic higher symmetry $\mathcal{R}$ are classified by the data $(\mathcal{R} \xrightarrow{\iota} \mathcal{C}, \hat{\gamma}, \mu)$, where $\mathcal{R}$ is a $\mathcal{V}$ -local fusion n -category, $\mathcal{C}$ is a fusion n -category that includes $\mathcal{R}$, $\hat{\gamma}$ is an invertible domain wall between $\text{bulk}(\mathcal{R})$ and $\text{bulk}(\mathcal{C})$ and $\mu : \gamma(A_{\tilde{\mathcal{R}}}) \simeq A_{\tilde{\mathcal{R}}_C}$ is an algebra isomorphism. Here $\gamma : Z_1(\mathcal{R}) \simeq Z_1(\mathcal{C})$ is a braided equivalence, $\tilde{\mathcal{R}}_C$ is defined in Proposition 36 and $A_{\tilde{\mathcal{R}}}$, $A_{\tilde{\mathcal{R}}_C}$ are the condensable algebras in $Z_1(\mathcal{R})$, $Z_1(\mathcal{C})$ that produces the $\tilde{\mathcal{R}}$, $\tilde{\mathcal{R}}_C$ domain walls between $Z_1(\mathcal{R})$, $Z_1(\mathcal{C})$ and $Z_1(\mathcal{V})$, $Z_1(\mathcal{C})$, respectively.*

Although we have included μ in the above, it is possible that different choices of μ correspond to the same gapped liquid phases, as we discussed later in Remark 10. Thus the different gapped liquid phases may actually be classified by the data $(\mathcal{R} \xrightarrow{\iota} \mathcal{C}, \hat{\gamma})$ such that $\gamma(A_{\tilde{\mathcal{R}}}) \simeq A_{\tilde{\mathcal{R}}_C}$.

When $\mathcal{V} = n\text{Vec}$, the above classifies SET/SPT orders in bosonic systems. When $\mathcal{V} = ns\text{Vec}$, the above classifies SET/SPT orders in fermionic systems.

Remark 9. Here we would like to sketch the reasoning that Proposition 36 and Proposition 42 are equivalent.

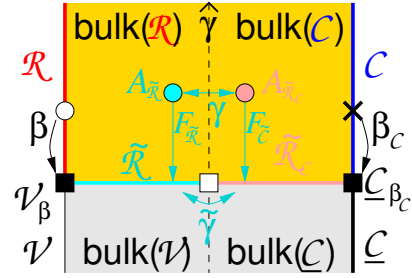


FIG. 29. Similar to Fig. 24, but here the invertible domain wall $\hat{\gamma}$ induces an automorphism γ , which is required to map the two condensable algebras, $A_{\mathcal{R}}$ and $A_{\tilde{\mathcal{R}}_C}$, into each other:

$\mu : \gamma(A_{\tilde{\mathcal{R}}}) \simeq A_{\tilde{\mathcal{R}}_C}$. The data $(\mathcal{R} \xrightarrow{\beta} \mathcal{V}, \mathcal{R} \xrightarrow{\iota} \mathcal{C}, \hat{\gamma}, \mu)$ classify the bosonic gapped liquid phases with $\mathcal{R}$ -symmetry when $\mathcal{V} = n\text{Vec}$. They classify the fermionic gapped liquid phases with $\mathcal{R}$ -symmetry when $\mathcal{V} = ns\text{Vec}$.

alent. From the condensation point of view, condensing the algebra A_{β} in $\mathcal{R}$ induces the symmetry breaking $\beta : \mathcal{R} \rightarrow \mathcal{V}$. Similarly, the symmetry breaking $F_{\tilde{\mathcal{R}}} : Z_1(\mathcal{R}) \rightarrow \tilde{\mathcal{R}}$ in the bulk is induced by condensing the algebra $A_{\tilde{\mathcal{R}}}$ in $Z_1(\mathcal{R})$. $A_{\tilde{\mathcal{R}}}$ is the lift of A_{β} in the bulk, i.e., $A_{\beta} = F_{\mathcal{R}}(A_{\tilde{\mathcal{R}}})$, where $F_{\mathcal{R}} : Z_1(\mathcal{R}) \rightarrow \mathcal{R}$ is the forgetful functor. Intuitively, we can think that $A_{\tilde{\mathcal{R}}}$ replaces the role of embedding $\mathcal{R} \hookrightarrow Z_1(\mathcal{R})$; instead of embedding $\mathcal{R}$ into the bulk which is only possible when $\mathcal{R}$ is braided, we lift the algebra A_{β} in $\mathcal{R}$ to the algebra $A_{\tilde{\mathcal{R}}}$ in $Z_1(\mathcal{R})$. A_{β} consists of all objects in $\mathcal{R}$ when $\mathcal{V} = n\text{Vec}$. Mathematically, $\tilde{\mathcal{R}}$ should be the category of modules over $A_{\tilde{\mathcal{R}}}$ in $Z_1(\mathcal{R})$ while $Z_1(\mathcal{V})$ should be the full subcategory of local modules, with $F'_{\tilde{\mathcal{R}}}$ being the embedding (see Fig. 24). (Physically, $Z_1(\mathcal{V})$ corresponds the deconfined excitations after condensation while $\tilde{\mathcal{R}}$ includes both confined and deconfined excitations.) Therefore, γ together with $\mu : \gamma(A_{\tilde{\mathcal{R}}}) \simeq A_{\tilde{\mathcal{R}}_C}$ determines $\tilde{\gamma}$ as an equivalence functor between the categories of modules over $A_{\tilde{\mathcal{R}}}$ and $A_{\tilde{\mathcal{R}}_C}$; γ_0 is the restriction of $\tilde{\gamma}$ to $Z_1(\mathcal{V})$. Eqn. (155) is equivalent to saying that γ preserves the lifted algebra $\gamma(A_{\tilde{\mathcal{R}}}) \stackrel{\mu}{\simeq} A_{\tilde{\mathcal{R}}_C}$.

5. $\mathcal{R}$ -gauge theory obtained by “gauging” the algebraic higher symmetry $\mathcal{R}$

Using the data $(\mathcal{C}, \iota : \mathcal{R} \hookrightarrow \mathcal{C}, \hat{\gamma} : \text{bulk}(\mathcal{R}) \simeq \text{bulk}(\mathcal{C}))$, we can explicitly construct the corresponding gapped liquid state with anomaly-free symmetry $\mathcal{R}$ that the data describes. This is done in Fig. 18. Since the gapped liquid state has the symmetry $\mathcal{R}$, we can gauge the symmetry $\mathcal{R}$ to obtain a new topologically ordered state with no symmetry. This is achieved in Fig. 30a, by stacking $\mathcal{R}$ and $\mathcal{C}$ through $\text{bulk}(\mathcal{R}) \stackrel{\hat{\gamma}}{\simeq} \text{bulk}(\mathcal{C})$, with an invertible domain wall $\hat{\gamma}$ in the middle. We denote such a stacking by $\mathcal{R} \otimes_{\text{bulk}(\mathcal{R})} \hat{\gamma} \otimes_{\text{bulk}(\mathcal{C})} \mathcal{C}^{\text{rev}}$. The resulting topologically

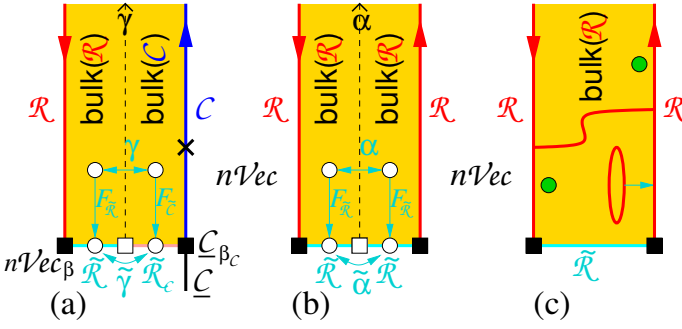


FIG. 30. (a) Same as Fig. 24, assuming $\mathcal{V} = n\text{Vec}$. The stacking of the two boundaries $\mathcal{R}$ and $\mathcal{C}$ through the bulk $\text{bulk}(\mathcal{R}) \stackrel{\sim}{\simeq} \text{bulk}(\mathcal{C})$ with an invertible domain wall $\hat{\gamma}$ (denoted by $\mathcal{R} \otimes_{\text{bulk}(\mathcal{R})} \hat{\gamma} \otimes_{\text{bulk}(\mathcal{C})} \mathcal{C}^{\text{rev}}$) gives rise to an anomaly-free topological order with no symmetry, which is obtained by gauging the algebraic higher symmetry $\mathcal{R}$ in the gapped liquid state (SET or SPT state) characterized by the data $(\mathcal{C}, \iota : \mathcal{R} \hookrightarrow \mathcal{C}, \hat{\gamma} : \text{bulk}(\mathcal{R}) \simeq \text{bulk}(\mathcal{C}))$. (b) When $\mathcal{C} = \mathcal{R}$ and $\hat{\gamma} = \hat{\alpha}$, $\mathcal{R} \otimes_{\text{bulk}(\mathcal{R})} \hat{\alpha} \otimes_{\text{bulk}(\mathcal{R})} \mathcal{R}^{\text{rev}}$ describes the twisted $\mathcal{R}$ -gauge theory $\text{GT}_{\mathcal{R}, \alpha}^{n+1}$ obtained by gauging the symmetry $\mathcal{R}$ in the SPT state stacked with trivial or non-trivial invertible topological orders. (c) When $\mathcal{C} = \mathcal{R}$ and $\hat{\gamma} = \text{trivial}$, $\mathcal{R} \otimes_{\text{bulk}(\mathcal{R})} \mathcal{R}^{\text{rev}}$ describes the $\mathcal{R}$ -gauge theory $\text{GT}_{\mathcal{R}}^{n+1}$ obtained from a symmetric product state by gauging its symmetry $\mathcal{R}$.

ordered state is anomaly-free since it is surrounded by the trivial product state (with its codimension-2 excitations described by $n\text{Vec}$). As a bonus, such a gauging picture leads to third version of classification, which will be described in the next subsection.

Both 0-symmetries and higher symmetries have an holonomy interpretation, which allows us to gauge them via a geometric approach. In contrast, the above proposal to “gauge” algebraic higher symmetries (which include 0-symmetries and higher symmetries) is a purely algebraic approach. No geometric interpretation is used.

To understand such a proposal, let us consider a very simple case, by assuming $\hat{\gamma} = \text{trivial}$, $n = 2$, and $\mathcal{C} = \mathcal{R} = 2\text{Rep}G$. So the boundary $\mathcal{R}$ is in 2d while the bulk $\text{bulk}(\mathcal{R})$ is in 3d. The resulting state $\mathcal{R} \otimes_{\text{bulk}(\mathcal{R})} \mathcal{R}^{\text{rev}}$ given

by Fig. 30c is actually a 2d gauge theory with group G (i.e. the 2d topological order GT_G^3). To see this, we note that the bulk $\text{bulk}(\mathcal{R})$ is the 3d gauge theory with group G (i.e. the 3d topological order $\text{GT}_G^4 = \text{bulk}(2\text{Rep}G)$). The 2d boundary $\mathcal{R} = 2\text{Rep}G$ is obtained from the 3d G -gauge theory GT_G^4 by condensing the G -flux loops. Thus a G -flux loop in the bulk corresponds to a trivial excitation in the 2d G -gauge theory order GT_G^3 . A G -flux string connecting two boundaries corresponds to a point-like G -flux excitation in the 2d G -gauge theory GT_G^3 . The point-like G -charges in the 3d G -gauge theory GT_G^4 becomes the point-like G -charges in the 2d G -gauge theory

GT_G^3 . This suggests that, in general, $\mathcal{R} \otimes_{\text{bulk}(\mathcal{R})} \mathcal{R}^{\text{rev}}$ in

Fig. 30c is a $\mathcal{R}$ -gauge theory in n -dimensional space. When $\mathcal{R} = n\text{Rep}G$, $\mathcal{R} \otimes_{\text{bulk}(\mathcal{R})} \mathcal{R}^{\text{rev}}$ is an nd G -gauge theory.

When $\mathcal{R}$ describes a higher symmetry, $\mathcal{R} \otimes_{\text{bulk}(\mathcal{R})} \mathcal{R}^{\text{rev}}$

is a higher gauge theory. But when $\mathcal{R}$ describes an algebraic higher symmetry, $\mathcal{R} \otimes_{\text{bulk}(\mathcal{R})} \mathcal{R}^{\text{rev}}$ is something new, which is called a gauge theory from “gauging” the algebraic higher symmetry $\mathcal{R}$ in a product state.

When $\mathcal{C} = \mathcal{R}$ and $\hat{\gamma} = \hat{\alpha} \neq \text{trivial}$, the resulting state $\mathcal{R} \otimes_{\text{bulk}(\mathcal{R})} \hat{\alpha} \otimes_{\text{bulk}(\mathcal{R})} \mathcal{R}^{\text{rev}}$ in Fig. 30b is a twisted

$\mathcal{R}$ -gauge theory, obtained from “gauging” the algebraic higher symmetry $\mathcal{R}$ in a SPT state stacked with trivial or non-trivial invertible topological order. So gauged SPT states stacked with trivial or non-trivial invertible topological order are classified by $(\mathcal{R}, \hat{\alpha})$. If we just consider gauged SPT states, by ignoring the stacked with trivial or non-trivial invertible topological order, we can replace the invertible domain wall $\hat{\alpha}$ by its induced automorphism α of $Z_1(\mathcal{R})$. We see that gauged SPT states are described by $(\mathcal{R}, \alpha : Z_1(\mathcal{R}) \simeq Z_1(\mathcal{R}))$ (see Fig. 7, where $\mathcal{R}$ is replaced by $\Sigma\mathcal{R}$).

When $\mathcal{C} \neq \mathcal{R}$, the resulting state $\mathcal{R} \otimes_{\text{bulk}(\mathcal{R})} \hat{\gamma} \otimes_{\text{bulk}(\mathcal{C})} \mathcal{C}^{\text{rev}}$ in Fig. 30a is a topological order obtained from “gauging” the algebraic higher symmetry $\mathcal{R}$ in the gapped liquid state (SET or SPT state) characterized by data $(\mathcal{C}, \iota : \mathcal{R} \hookrightarrow \mathcal{C}, \hat{\gamma} : \text{bulk}(\mathcal{R}) \simeq \text{bulk}(\mathcal{C}))$.

Remark 10. We note that the algebra isomorphism $\mu : \gamma(A_{\tilde{\mathcal{R}}}) \simeq A_{\tilde{\mathcal{R}}_c}$ and the equivalence functor $\tilde{\gamma} : \tilde{\mathcal{R}} \simeq \tilde{\mathcal{R}}_c$ are similar data that are additional to $\gamma : Z_1(\mathcal{R}) \simeq Z_1(\mathcal{C})$. The fact that these additional data are not manifestly visible in the gauged theory, may suggest that they are fixed by γ up to certain natural higher structures (such as lower dimensional SPT or invertible phases). As an analogy, μ or $\tilde{\gamma}$ are similar to the n -coboundaries generated by $(n-1)$ -cochains that should be mod out when considering the n th cohomology. But the exact physical meaning of μ and $\tilde{\gamma}$ is unclear to us for now. Moreover, μ and $\tilde{\gamma}$ may need to satisfy some additional conditions that involve even higher structures, so on and so forth until the top morphisms. The study of these higher structures is beyond our current scope, and will be left for future work.

6. Third version of classification based on gauging the $\mathcal{R}$ -symmetry

We can also use the gauging of the $\mathcal{R}$ -symmetry, and the resulting topological order $\mathcal{R} \otimes_{\text{bulk}(\mathcal{R})} \hat{\gamma} \otimes_{\text{bulk}(\mathcal{C})} \mathcal{C}^{\text{rev}}$ in

Fig. 31, to obtain $\hat{\gamma}$ that “keeps the $\mathcal{R}$ part in $\text{bulk}(\mathcal{R})$ unchanged”, which leads to another version of classification. We note that the excitations in the topological or-

der $\mathcal{R} \otimes_{\text{bulk}(\mathcal{R})} \hat{\gamma} \otimes_{\text{bulk}(\mathcal{C})} \mathcal{C}^{\text{rev}}$ is described a fusion n -category $\mathcal{R} \otimes_{Z_1(\mathcal{R})} \gamma \otimes_{Z_1(\mathcal{C})} \mathcal{C}^{\text{rev}}$, where γ is a braided equivalence $Z_1(\mathcal{R}) \xrightarrow{\gamma} Z_1(\mathcal{C})$ induced by the invertible domain wall $\hat{\gamma}$. To make sense of the above statement, let us consider the natural functors from $\mathcal{R}$ to $\mathcal{R} \otimes_{Z_1(\mathcal{R})} \hat{\gamma} \otimes_{Z_1(\mathcal{C})} \mathcal{C}^{\text{rev}}$:

$$\begin{aligned} \lambda : \mathcal{R} &\rightarrow \mathcal{R} \otimes_{Z_1(\mathcal{R})} \hat{\gamma} \otimes_{Z_1(\mathcal{C})} \mathcal{C}^{\text{rev}}, \\ x &\mapsto x \otimes_{Z_1(\mathcal{R})} \mathbf{1}_{\hat{\gamma}} \otimes_{Z_1(\mathcal{C})} \mathbf{1}_{\mathcal{C}}, \end{aligned} \quad (165)$$

and from $\mathcal{C}$ to $\mathcal{R} \otimes_{Z_1(\mathcal{R})} \hat{\gamma} \otimes_{Z_1(\mathcal{C})} \mathcal{C}^{\text{rev}}$:

$$\begin{aligned} \rho : \mathcal{C} &\rightarrow \mathcal{R} \otimes_{Z_1(\mathcal{R})} \hat{\gamma} \otimes_{Z_1(\mathcal{C})} \mathcal{C}^{\text{rev}}, \\ x &\mapsto \mathbf{1}_{\mathcal{R}} \otimes_{Z_1(\mathcal{R})} \mathbf{1}_{\hat{\gamma}} \otimes_{Z_1(\mathcal{C})} x. \end{aligned} \quad (166)$$

Here λ means mapping from the “left” boundary and ρ means mapping from the “right” boundary (ρ may not be monoidal here, but it is monoidal when restricted to a subcategory, as we will show later). The above gives two ways to map $\mathcal{R}$ into $\mathcal{R} \otimes_{Z_1(\mathcal{R})} \hat{\gamma} \otimes_{Z_1(\mathcal{C})} \mathcal{C}^{\text{rev}}$, namely λ and $\rho \circ \iota$. They correspond to observing the $\mathcal{R}$ symmetry from the left $\mathcal{R}$ boundary, and from the right $\mathcal{C}$ boundary as in Fig. 31. Thus we expect that λ and $\rho \circ \iota$ coincide. However, recall that in (155) $\hat{\gamma}$ is only required to preserve the “breakable” symmetry with respect to $\beta : \mathcal{R} \rightarrow \mathcal{V}$. Similarly, we only require the “breakable” symmetry to agree on left and right boundaries of the gauged theory. Let $\ker \beta$ be the preimage of the trivial excitation in $\mathcal{V}$. More precisely, if condensing A_β gives $\beta : \mathcal{R} \rightarrow \mathcal{V}$ (A_β consists of all the excitations that becomes trivial in $\mathcal{V}$), $\ker \beta$ is the smallest fusion subcategory of $\mathcal{R}$ containing A_β . $\ker \beta$ is then the “breakable” symmetry. The restriction $\lambda|_{\ker \beta}$ and $(\rho \circ \iota)|_{\ker \beta}$ should agree.

Besides, there is a natural “half-braiding” between the excitations from the left boundary and those from the right boundary. After mapped into the gauged theory:

$$\lambda(x) \otimes \rho(y) \simeq \rho(y) \otimes \lambda(x). \quad (167)$$

On the image $\lambda(\ker \beta) = \rho \circ \iota(\ker \beta)$, the above further defines a braiding:

$$\begin{aligned} \lambda(x) \otimes \lambda(y) &= \lambda(x) \otimes \rho(\iota(y)) \\ &\simeq \rho(\iota(y)) \otimes \lambda(x) = \lambda(y) \otimes \lambda(x). \end{aligned} \quad (168)$$

Such braiding makes ρ a monoidal functor when restricted to $\iota(\ker \beta)$. We require the above braiding to be trivial, in the sense that there exists a braided monoidal functor $\lambda(\ker \beta) \rightarrow n\mathcal{V}\text{ec}$.

These considerations lead to another version of classification (see Fig. 31):

Proposition 43. *Let $\mathcal{R}$ with $\beta : \mathcal{R} \rightarrow \mathcal{V}$ be a $\mathcal{V}$ -local fusion n -category. Anomaly-free gapped liquid phases in n -dimensional space with an anomaly-free algebraic higher*

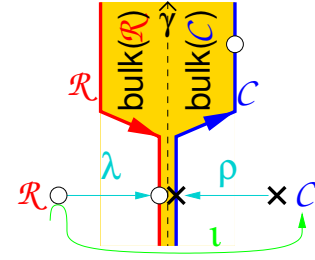


FIG. 31. The data $\mathcal{R} \xrightarrow{\beta} \mathcal{V}$, $\mathcal{R} \xrightarrow{\iota} \mathcal{C}$ and $\text{bulk}(\mathcal{R}) \xrightarrow{\hat{\gamma}} \text{bulk}(\mathcal{C})$ classify gapped liquid phases (SET or SPT phases) with generalized algebraic higher symmetry $\mathcal{R}$, provided that invertible domain wall $\hat{\gamma}$ is chosen (see Proposition 43) to make the stacking $\mathcal{R} \otimes_{\text{bulk}(\mathcal{R})} \hat{\gamma} \otimes_{\text{bulk}(\mathcal{C})} \mathcal{C}^{\text{rev}}$ to describe the gauging of the $\mathcal{R}$ -symmetry. The condensations of the excitations in $\text{bulk}(\mathcal{R})$ and $\text{bulk}(\mathcal{C})$ form the $\mathcal{R}$ and $\mathcal{C}$ boundaries, which leave parts of the two categorical symmetries, $\text{bulk}(\mathcal{R})$ and $\text{bulk}(\mathcal{C})$, unbroken. $\hat{\gamma}$ is chosen so that the two unbroken parts of the two categorical symmetries match with the same $\mathcal{R}$, giving rise to an unbroken $\mathcal{R}$ symmetry.

symmetry $\mathcal{R}$ are classified by data $(\mathcal{R} \xrightarrow{\iota} \mathcal{C}, \hat{\gamma})$, where $\mathcal{C}$ is a fusion n -category that includes $\mathcal{R}$ (i.e. $\iota : \mathcal{R} \xrightarrow{\iota} \mathcal{C}$ is a top-fully faithful functor), and $\hat{\gamma} : \text{bulk}(\mathcal{R}) \simeq \text{bulk}(\mathcal{C})$ an invertible domain wall between $\text{bulk}(\mathcal{R})$ and $\text{bulk}(\mathcal{C})$. $\hat{\gamma}$ induces a braided equivalence $\gamma : Z_1(\mathcal{R}) \xrightarrow{\gamma} Z_1(\mathcal{C})$ such that the following diagram is commutative (up to a natural isomorphism):

$$\begin{array}{ccc} \ker \beta^{\mathcal{C}} & \xrightarrow{\iota} & \mathcal{C} \\ & \searrow \lambda & \swarrow \rho \\ & \mathcal{R} \otimes_{Z_1(\mathcal{R})} \gamma \otimes_{Z_1(\mathcal{C})} \mathcal{C}^{\text{rev}} & \end{array} \quad (169)$$

and the braiding in the image $\lambda(\ker \beta)$ defined above is trivial.

When $\mathcal{C} = \mathcal{R}$, the above gives a classification of SPT phases with symmetry $\mathcal{R}$.

7. A simple example for $\mathbb{Z}_2 \times \mathbb{Z}_2$ symmetry in 1-dimensional space

We would like to apply the above results to compute the $\mathbb{Z}_2 \times \mathbb{Z}_2$ SPT phases in 1-dimensional space. This leads to new and deeper understanding of SPT order.

Let $\mathcal{R} = \text{Rep}(\mathbb{Z}_2 \times \mathbb{Z}_2)$, $\beta : \mathcal{R} \rightarrow \mathcal{V}\text{ec}$ the forgetful functor, $\tilde{\mathcal{R}} = \mathcal{V}\text{ec}_{\mathbb{Z}_2 \times \mathbb{Z}_2}$, $\mathcal{M} = Z_1(\mathcal{R})$. We would like to compute the automorphisms of $\mathcal{M}$ that preserves the embedding $\iota_{\mathcal{R}} : \mathcal{R} \hookrightarrow \mathcal{M}$ or the bulk-to-boundary functor $F_{\tilde{\mathcal{R}}} : \mathcal{M} \rightarrow \tilde{\mathcal{R}}$.

$\mathcal{M}$ is pointed. It is most efficiently represented by a metric group $(\mathbb{Z}_2^4, \theta)$, where θ is a nondegenerate quadratic form which is physically the topological spin.

Denote elements in $\mathbb{Z}_2^4$ by four-component mod 2 integer vectors (a, b, c, d) . We pick θ to be

$$\theta(a, b, c, d) = (-1)^{ac+bd}. \quad (170)$$

In other words, $(1, 0, 1, 0)$ and $(0, 1, 0, 1)$ are fermions. If one views $\mathcal{M}$ as a double-layer toric code, the generators are identified as the following

$$\begin{aligned} (1, 0, 0, 0) &\sim e\mathbf{1}, & (0, 1, 0, 0) &\sim \mathbf{1}e, \\ (0, 0, 1, 0) &\sim m\mathbf{1}, & (0, 0, 0, 1) &\sim \mathbf{1}m. \end{aligned} \quad (171)$$

$\mathcal{R} = \text{Rep}(\mathbb{Z}_2 \times \mathbb{Z}_2)$ is generated by four simple objects $\mathbf{1}\mathbf{1}, e\mathbf{1}, \mathbf{1}e, ee$. Thus the embedding is

$$\iota_{\mathcal{R}}(a, b) = (a, b, 0, 0). \quad (172)$$

$\tilde{\mathcal{R}} = \text{Vec}_{\mathbb{Z}_2 \times \mathbb{Z}_2}$ is generated by four simple objects $\mathbf{1}\mathbf{1}, m\mathbf{1}, \mathbf{1}m, mm$. Thus, the bulk-to-boundary functor is

$$F_{\tilde{\mathcal{R}}}(a, b, c, d) = (c, d). \quad (173)$$

An automorphism of $\mathcal{M}$ is the same as a group automorphism α of $\mathbb{Z}_2^4$ that preserves θ , i.e.

$$\theta(\alpha(a, b, c, d)) = (-1)^{ac+bd}. \quad (174)$$

Case 1: α preserves embedding as in (150): We require that

$$\alpha(a, b, 0, 0) = (a, b, 0, 0). \quad (175)$$

Thus

$$\alpha(a, b, c, d) = (a, b, 0, 0) + \alpha(0, 0, c, 0) + \alpha(0, 0, 0, d). \quad (176)$$

Let $\alpha(0, 0, c, 0) = c(x_1, x_2, x_3, x_4)$ and $\alpha(0, 0, 0, d) = d(y_1, y_2, y_3, y_4)$. Since α should preserve spin (174), we have

$$\begin{aligned} ac + bd &= (a + cx_1 + dy_1)(cx_3 + dy_3) \\ &\quad + (b + cx_2 + dy_2)(cx_4 + dy_4) \pmod{2}. \end{aligned} \quad (177)$$

Rearrange the terms to obtain

$$\begin{aligned} &ac(1 + x_3) + ady_3 + bd(1 + y_4) + bcx_4 \\ &+ c^2(x_1x_3 + x_2x_4) + d^2(y_1y_3 + y_2y_4) \\ &+ cd(x_1y_3 + x_3y_1 + x_2y_4 + x_4y_2) = 0 \pmod{2}. \end{aligned} \quad (178)$$

One must have $x_3 = y_4 = 1, y_3 = x_4 = 0$. Then

$$c^2x_1 + d^2y_2 + cd(y_1 + x_2) = 0 \pmod{2}. \quad (179)$$

Thus $x_1 = y_2 = 0, y_1 = x_2$. We got two solutions

$$\alpha_0(a, b, c, d) = (a, b, c, d), \quad (180)$$

$$\alpha_1(a, b, c, d) = (a + d, b + c, c, d). \quad (181)$$

Case 2: α preserves bulk-to-boundary functor as in (155):

Now we require that

$$F_{\tilde{\mathcal{R}}}\alpha(a, b, c, d) = (c, d). \quad (182)$$

In other words,

$$\alpha(a, b, c, d) = (*, *, c, d). \quad (183)$$

Let $\alpha(a, 0, 0, 0) = a(p_1, p_2, 0, 0)$, $\alpha(0, b, 0, 0) = b(q_1, q_2, 0, 0)$, $\alpha(0, 0, c, 0) = c(r_1, r_2, 1, 0)$, $\alpha(0, 0, 0, d) = d(s_1, s_2, 0, 1)$. α preserves spin (174) and gives

$$\begin{aligned} ac + bd &= (ap_1 + bq_1 + cr_1 + ds_1)c \\ &\quad + (ap_2 + bq_2 + cr_2 + ds_2)d \pmod{2}. \end{aligned} \quad (184)$$

One must have $p_2 = q_1 = r_1 = s_2 = 0, p_1 = q_2 = 1, s_1 = r_2$. We also have two solutions

$$\alpha_0(a, b, c, d) = (a, b, c, d), \quad (185)$$

$$\alpha_1(a, b, c, d) = (a + d, b + c, c, d). \quad (186)$$

We see that the two approaches indeed give rise to the same solutions.

Although for pointed modular tensor categories (metric groups), the automorphism is fully determined by the map on objects, it is not the case for the automorphisms on fusion categories. Below we briefly explain the nontrivial structures of $\tilde{\alpha} : \tilde{\mathcal{R}} \rightarrow \tilde{\mathcal{R}}$. By Lemma 2.1.5 in Ref. 92, we know that $F_{\tilde{\mathcal{R}}}$ and $F_{\tilde{\mathcal{R}}} \circ \alpha_1$ differs by a nontrivial automorphism $\tilde{\alpha}$ of $\tilde{\mathcal{R}} = \text{Vec}_{\mathbb{Z}_2 \times \mathbb{Z}_2} = \{\mathbf{1}\mathbf{1}, m\mathbf{1}, \mathbf{1}m, mm\}$, corresponding to the nontrivial cohomology class in $H^2(\mathbb{Z}_2 \times \mathbb{Z}_2, U(1)) = \mathbb{Z}_2$. Such automorphism is identity on objects $\tilde{\alpha}(g) = g$ but has nontrivial tensor structures, namely $\tilde{\alpha}(g) \otimes \tilde{\alpha}(h) \xrightarrow{\omega(g, h)} \tilde{\alpha}(gh)$, where $\omega(g, h) \in H^2(\mathbb{Z}_2 \times \mathbb{Z}_2, U(1))$ is nontrivial.

The nontrivial cohomology class in $H^2(\mathbb{Z}_2 \times \mathbb{Z}_2, U(1))$ can be represented by $\omega((c_1, d_1), (c_2, d_2)) = (-1)^{c_1d_2}$. We can also see the nontrivial tensor structure of α_1 . Denote the tensor structure of α_1 by $u(x, y) : \alpha_1(x) \otimes \alpha_1(y) \rightarrow \alpha_1(x \otimes y)$. It needs to preserve braiding, namely

$$\begin{array}{ccc} \alpha_1(x) \otimes \alpha_1(y) & \xrightarrow{c_{\alpha_1(x), \alpha_1(y)}} & \alpha_1(y) \otimes \alpha_1(x) \\ \downarrow u(x, y) & & \downarrow u(y, x) \\ \alpha_1(x \otimes y) & \xrightarrow{\alpha_1(c_{x, y})} & \alpha_1(y \otimes x) \end{array} \quad (187)$$

Let $x = (0, 0, 1, 0) \sim m\mathbf{1}$ and $y = (0, 0, 0, 1) \sim \mathbf{1}m$. $c_{x, y} = 1$ since it braids m in different layers. $\alpha_1(x) = (0, 1, 1, 0) \sim me$ and $\alpha_1(y) = (1, 0, 0, 1) \sim em$. Therefore, $c_{\alpha_1(x), \alpha_1(y)} = -1$ since it means braiding m with e in the first layer and braiding e with m in the second layer, thus in total a full braiding between e and m . Clearly the values of these two special braidings are independent of gauge. We conclude that, independent of gauge, $u((0, 0, 1, 0), (0, 0, 0, 1)) = -u((0, 0, 0, 1), (0, 0, 1, 0))$, which means u can not be

cohomologically trivial. It is not hard to check that $u((0, 0, 1, 0), (0, 0, 0, 1)) = -u((0, 0, 0, 1), (0, 0, 1, 0))$ agrees with $\omega((c_1, d_1), (c_2, d_2)) = (-1)^{c_1 d_2}$. This way, we show that

$$\begin{array}{ccc} Z_1(\mathcal{R}) & \xleftarrow[\simeq]{\alpha} & Z_1(\mathcal{R}) \\ \downarrow F_{\tilde{\mathcal{R}}} & & \downarrow F_{\tilde{\mathcal{R}}} \\ \tilde{\mathcal{R}} & \xleftarrow[\simeq]{\tilde{\alpha}} & \tilde{\mathcal{R}} \end{array} \quad (188)$$

which is an example of Proposition 36.

Next we examine the condensable algebras $A_{\mathcal{R}}$ and $A_{\tilde{\mathcal{R}}}$. By definition, $A_{\tilde{\mathcal{R}}}$ is the direct sum of anyons that maps to trivial under $F_{\tilde{\mathcal{R}}}$. It is easy to see that

$$\begin{aligned} A_{\tilde{\mathcal{R}}} &= \mathbf{1}\mathbf{1} \oplus e\mathbf{1} \oplus \mathbf{1}e \oplus ee = \oplus_{ab}(a, b, 0, 0), \\ A_{\mathcal{R}} &= \mathbf{1}\mathbf{1} \oplus m\mathbf{1} \oplus \mathbf{1}m \oplus mm = \oplus_{cd}(0, 0, c, d). \end{aligned} \quad (189)$$

One can check that the overlap of $A_{\tilde{\mathcal{R}}}$ and $A_{\mathcal{R}}$ is $(0, 0, 0, 0)$, which implies that $\mathcal{R} \otimes_{\mathcal{M}} \tilde{\mathcal{R}}^{\text{rev}} = \mathcal{V}\text{ec}$. This result can be verified explicitly using the techniques developed in Ref. 93 and 94. Also $A_{\tilde{\mathcal{R}}} \otimes A_{\mathcal{R}} = \oplus_{abcd}(a, b, c, d)$.

It is obvious that an automorphism preserving $A_{\tilde{\mathcal{R}}}$ is the same as preserving the embedding $\mathcal{R} \xrightarrow{\iota_{\mathcal{R}}} \mathcal{M}$, and also the same as preserving the bulk-to-boundary functor $F_{\tilde{\mathcal{R}}} : \mathcal{M} \rightarrow \tilde{\mathcal{R}}$.

VIII. EMERGENT LOW ENERGY EFFECTIVE ALGEBRAIC HIGHER SYMMETRY AND CATEGORICAL SYMMETRY

A. Emergent of categorical symmetry from energy scale separation

In real nd condensed matter systems, we usually have 0-symmetry described by a group G and the associated categorical symmetry $\mathcal{M} = \text{bulk}(n\text{Rep}G)$ (which is also denoted as $G\vee\tilde{G}^{(n-1)}$). But it is hard to have higher symmetry and algebraic higher symmetries, unless we fine tune the lattice model (if we do not include dynamical electromagnetic field[16]). However, emergent algebraic higher symmetries and associated categorical symmetries can appear at low energies, if our models have an energy scale separation.[16] This is a practical way to realize algebraic higher symmetries and associated categorical symmetries, which makes the results of this paper useful.

In this subsection, we will discuss how to compute the emergent algebraic higher symmetries and the categorical symmetries. It turns out we just need to compute the emergence of categorical symmetries $\mathcal{M}$. The emergent algebraic higher symmetries $\mathcal{R}$ can be determined from the emergent categorical symmetries directly, by solving two equations $\text{bulk}(\mathcal{R}) \simeq \mathcal{M}$ and $\mathcal{R} \xrightarrow{\beta} n\mathcal{V}\text{ec}$. The solutions are usually not unique. But the different solutions are holo-equivalent.

Let us consider a gapped liquid state in n -dimensional lattice. We assume the excitations in the gapped state has a large separation of energy scale. The low energy excitations (point-like, string-like, *etc*) are closed under fusion and form a fusion n -category $\mathcal{C}^{\text{low}}$. All other topological excitations have very high energies which are assumed to be infinity. Now we add interactions among those low energy excitations to drive phase transitions by condensing the low energy excitations, and to form gapless states, *etc*. We assume that, in such process, the high energy excitations remain to have high energies (*i.e.* infinite energy). We would like to ask what are the possible phases and gapless states?

Some constraints to the low energy physics come from the underlying symmetry, while other constraints come from the fusion and statistics of those low energy topological excitations. It looks hard to understand the effects of all those different constraints. But it turns out that the holographic point of view and the associated categorical symmetry can help us to solve this problem.

We know that some excitations in $\mathcal{C}^{\text{low}}$ are topological excitations, while others are charge objects of the underlying symmetry. To use the holographic point of view and to use categorical symmetry, we restrict to symmetric sub-Hilbert space of the underlying symmetry. In this case, every excitations in $\mathcal{C}^{\text{low}}$ can be viewed as topological excitations in a hypothetical system without symmetry. However, the fusion n -category $\mathcal{C}^{\text{low}}$ that describes those excitations is in general anomalous, *i.e.* it cannot be realized by a lattice system in the same dimension without symmetry. But it can be realized as a boundary of a topological order $\mathcal{M}^{\text{low}} = \text{bulk}(\mathcal{C}^{\text{low}})$ in one higher dimension (see eqn. (43)). In fact, $\mathcal{M}^{\text{low}}$ is nothing but the emergent categorical symmetry, which provides all the constraints to the low energy physics and solves our problem.

We see that the only input is the low energy excitations $\mathcal{C}^{\text{low}}$. So we do not need to have a lattice model. The above discussion remains valid for field theories without a given or known lattice regularization. (In this paper, we use the term *field theory* to mean theory without a given or known lattice regularization.) Thus

Proposition 44. *for a lattice system or a field theory with low energy excitations $\mathcal{C}^{\text{low}}$, the system has a low energy effective (*i.e.* emergent) categorical symmetry given by $\mathcal{M}^{\text{low}} = \text{bulk}(\mathcal{C}^{\text{low}})$, that provides all the constraints to the low energy physics.*

Such a low energy effective categorical symmetry $\mathcal{M}^{\text{low}}$ is present even when low energy excitations condense, undergo phase transitions, *etc*, as long as all other higher energy excitations remain to have very high energies. The emergent categorical symmetry controls all the low energy behaviors of the system, including allowed phases, allowed phase transitions, allowed critical points, *etc*. This is because the allowed phases, allowed phase transitions, allowed critical points, *etc* are one-to-one correspond to different boundaries of $\mathcal{M}^{\text{low}}$ – the categorical

symmetry. In some sense, M^{low} is a “topological invariant” of low energy physics, and, we believe, is a complete “topological invariant”. All other low energy topological invariants can be obtained from M^{low} .

Such an emergent categorical symmetry is the most practical and useful application of the notion of categorical symmetry and the holographic point of view. For example,

Proposition 45. *Consider a gapped liquid state in n -dimensional space whose low energy energy excitations are described by a fusion n -category $\mathcal{C}^{\text{low}}$. When all other excitations remain to have higher energies, the gapped liquid phases formed by low energy energy excitations in $\mathcal{C}^{\text{low}}$ must have excitations described by a fusion n -category $\mathcal{C}$ that satisfy $\text{bulk}(\mathcal{C}) \simeq \text{bulk}(\mathcal{C}^{\text{low}})$.*

In fact, $\text{bulk}(\mathcal{C}) \simeq \text{bulk}(\mathcal{C}^{\text{low}})$ is nothing but the anomaly matching condition, since the categorical symmetries $\text{bulk}(\mathcal{C})$ and $\text{bulk}(\mathcal{C}^{\text{low}})$, as topological orders in one higher dimension, are the effective non-invertible gravitational anomalies[3, 25], after we view the charge objects of the symmetry as topological excitations.

Remark 11. We like to point out that the effective gravitational anomaly here is more general than the usual gravitational anomaly from the non-invariance of the path integral. The usual gravitational anomaly is invertible, while our effective gravitational anomaly, as topological order in one higher dimension, is in general non-invertible.[24–26] Since the usual gravitational anomaly is invertible, it corresponds to invertible topological order in one higher dimension, which contains no non-trivial topological excitations. Thus the usual gravitational anomaly does not encode any conservation law, since the conservation law must come from the fusion rule of excitations for the topological order in one higher dimension. In contrast, a non-invertible gravitational anomaly does encode a conservation law, since its corresponding topological order in one higher dimension has non-trivial excitations and non-trivial fusion rule. Therefore, a non-invertible gravitational anomaly can be viewed as a symmetry. This is why we also refer to non-invertible gravitational anomaly as categorical symmetry, to stress its connection to symmetry.

B. States with the full categorical symmetry

Since all the gapped liquid states in systems with an (emergent) categorical symmetry must spontaneously break part of the categorical symmetry, the states with the full unbroken categorical symmetry must be gapless. A system with a categorical symmetry M , may have many different symmetric gapless states. Those gapless states may have additional emergent categorical symmetry. So here we would like to ask, what is the minimal gapless state with the categorical symmetry M ? To define the notion of “minimal gapless state” in n -dimensional space,

we assume that the gapless excitations all have the same linear dispersion $\omega = vk$. The low temperature specific heat of the gapless state has a form

$$c_V = c\gamma_n T^n \quad (190)$$

where

$$\gamma_n = (n+1)k_B \left(\frac{k_B}{v}\right)^n \int \frac{d^n \mathbf{k}}{(2\pi)^n} \frac{|\mathbf{k}|}{e^{|\mathbf{k}|} - 1}. \quad (191)$$

For a system described by a single gapless real scalar field, we find that $c = 1$. The minimal gapless state has minimal c .

From the above discussions, we see that minimal gapless states with the categorical symmetry M are actually minimal gapless boundary of topological order with excitations described by M in one higher dimension. Ref. 24, 61, and 62 discussed how to obtain gapless boundaries for 2d topological orders, using modular covariant partition functions or topological Wick rotation. Those gapless boundaries do not break the categorical symmetry M . Those approach also allow us to obtain the minimal gapless boundaries with minimal central charge. However, for a given categorical symmetry, it is not clear whether its minimal gapless state is unique or not.[19]

IX. EXAMPLES

In the section, we discuss some gapped liquid phases. In particular, we identify their algebraic higher symmetry and categorical symmetry. We also discuss low energy effective (*i.e.* emergent) categorical symmetry when some topological excitations have low energies.

A. The category of 0d topological orders

The category of 0d topological orders TO^1 is the category of 0d gapped phases with no symmetry. In 0d, a stable gapped phase has non-degenerate ground state, which corresponds to a simple object in the category of 0d gapped phases, denoted as TO^1 . This is the only simple object in TO^1 , and is the unit object of stacking operation $\otimes$, which is the tensor product of vector spaces. We denote this unit object as $\mathbf{1}$. There are accidental degenerate ground states, which corresponds to a composite object $\underbrace{\mathbf{1} \oplus \mathbf{1} \oplus \cdots \oplus \mathbf{1}}_{m \text{ copies}} = m\mathbf{1}$. In TO^1 , an

1-morphism from $m\mathbf{1}$ to $n\mathbf{1}$ is an $n \times m$ complex matrix $M: m\mathbf{1} \xrightarrow{M} n\mathbf{1}$. Such a fusion 1-category happen to be 1Vec . We see that $\text{TO}^1 = 1\text{Vec} \equiv \text{Vec}$.

B. 2d topological order described by $\mathbb{Z}_2$ gauge theory

The 2d $\mathbb{Z}_2$ topological order described by the $\mathbb{Z}_2$ gauge theory is denoted by $\text{GT}_{\mathbb{Z}_2}^3$. Codimension-2 excitations

are described by the following braided fusion 1-category $\Omega^2\text{GT}_{\mathbb{Z}_2}^3$, which has four simple objects (the point-like excitations): $\mathbf{1}, e, m, f$ with the following $\mathbb{Z}_2$ fusion rule

$$e \otimes e = m \otimes m = f \otimes f = \mathbf{1}. \quad (192)$$

$\mathbf{1}$ is the trivial excitation. e, m, f are topological excitations which have mutual π -statistics between them. e, m are bosons, and f is a fermion. Such a topological order $\text{GT}_{\mathbb{Z}_2}^3$ can be realized by lattice models in the same dimension (see Ref. 5, 81, and 82). Therefore, the bulk of $\text{GT}_{\mathbb{Z}_2}^3$ is a 3d product state, *i.e.* $\text{Bulk}(\text{GT}_{\mathbb{Z}_2}^3) = \mathbb{I}^4$ (see eqn. (41)). The 2d topological order $\text{GT}_{\mathbb{Z}_2}^3$ has no categorical symmetry since $\text{Bulk}(\text{GT}_{\mathbb{Z}_2}^3) = \mathbb{I}^4$ or $\text{bulk}(\Omega\text{GT}_{\mathbb{Z}_2}^3) = \mathbb{I}^4$.

Next, we consider the situation when e particles have low energies, and m, f particles have very high energies. The low energy excitations form a fusion 2-category $2\text{Rep}\mathbb{Z}_2$ (after condensation completion), which simply describes 2d bosons with mod-2 conservation. In this limit, we have a low energy effective categorical symmetry characterized by the 3d $\mathbb{Z}_2$ gauge theory $\text{GT}_{\mathbb{Z}_2}^4 = \text{bulk}(2\text{Rep}\mathbb{Z}_2)$.

$2\text{Rep}\mathbb{Z}_2$ describes the excitations in a system with $\mathbb{Z}_2$ symmetry in the $\mathbb{Z}_2$ symmetric phase (within the symmetric sub Hilbert space). $2\text{Rep}\mathbb{Z}_2$ also describes the excitations in one of the gapped boundaries of 3d $\mathbb{Z}_2$ gauge theory $\text{GT}_{\mathbb{Z}_2}^4$, obtained by condensing the $\mathbb{Z}_2$ -flux lines in $\text{GT}_{\mathbb{Z}_2}^4$ at the boundary. The 3d $\mathbb{Z}_2$ gauge theory $\text{GT}_{\mathbb{Z}_2}^4$ has another gapped boundary whose excitations are described by the fusion 2-category $2\text{Vec}_{\mathbb{Z}_2}$ (a $\mathbb{Z}_2$ symmetry breaking phase with e boson condensation), obtained from condensing the $\mathbb{Z}_2$ charges in $\text{GT}_{\mathbb{Z}_2}^4$ at the boundary. The second boundary correspond to another gapped phase of the system with the $\mathbb{Z}_2$ symmetry – the spontaneous symmetry breaking phase. The continuous phase transition between the two gapped phases is described by a critical point which has the full categorical symmetry characterized by $\text{GT}_{\mathbb{Z}_2}^4$ (the 3d $\mathbb{Z}_2$ gauge theory). This critical point is the same as the critical point of 2d quantum Ising model (or 3d statistical Ising model), which has the same categorical symmetry $\text{GT}_{\mathbb{Z}_2}^4$, as discussed in Ref. 19.

When e bosons have low energies, the resulting $\mathbb{Z}_2$ symmetric system can have infinity many different symmetric gapped phases, and one of them is the 2d $\mathbb{Z}_2$ -SPT phase. The critical point at the continuous transition from the $\mathbb{Z}_2$ -SPT phase to the $\mathbb{Z}_2$ spontaneous symmetry breaking phase is described by the same critical point discussed above, this is because the transition is also described by the same $\mathbb{Z}_2$ charge condensation.

Last, we consider the situation when f particles have low energies, and e, m particles have very high energies. The low energy excitations form a fusion 2-category 2sVec , which simply describes 2d fermions with mod-2 conservation. There is a $\mathbb{Z}_2^f$ symmetry from the mod-2 conservation of the fermions. In this limit, we have a low energy effective categorical symmetry characterized by 3d twisted $\mathbb{Z}_2$ gauge theory with fermionic $\mathbb{Z}_2$ charge,

denoted by $\text{GT}_{\mathbb{Z}_2^f}^4$. (The 3d twisted $\mathbb{Z}_2$ gauge theory $\text{GT}_{\mathbb{Z}_2^f}^4$ is obtained by gauging $\mathbb{Z}_2^f$, a $\mathbb{Z}_2$ symmetry with fermionic $\mathbb{Z}_2$ charge.) The categorical symmetry $\text{GT}_{\mathbb{Z}_2^f}^4$ is different from the categorical symmetry $\text{GT}_{\mathbb{Z}_2}^4$ discussed above. So when f fermions have low energies, our system has different properties from when e bosons have low energies.

When f fermions have low energies, our system can have 16 gapped phases (up to E_8 2d bosonic invertible topological order) labeled by $\alpha \in \mathbb{Z}_{16}$, which correspond to 2d fermionic invertible topological orders. The continuous transition between α and $\alpha + 1$ phases is described by the following 2d non-interacting Majorana fermion theory:[95, 96]

$$H = \int d^2x [\lambda^\top(x) \gamma^i \partial_i \lambda(x) + m \lambda^\top(x) i \sigma^2 \lambda(x)]$$

$$\lambda = \begin{pmatrix} \lambda_1 \\ \lambda_2 \end{pmatrix}, \quad \lambda^* = \lambda, \quad \gamma^1 = \sigma^1, \quad \gamma^2 = \sigma^3. \quad (193)$$

where σ^i is the Pauli matrix. The transition happens when m change sign, which change the chiral central charge of the edge state by $1/2$. [95, 96] The gapless state at $m = 0$ have the full categorical symmetry $\text{GT}_{\mathbb{Z}_2^f}^4$.

C. 3d topological order described by $\mathbb{Z}_2$ gauge theory

The 3d $\mathbb{Z}_2$ topological order $\text{GT}_{\mathbb{Z}_2}^4$ (described by the $\mathbb{Z}_2$ gauge theory) has codimension-2 and codimension-3 excitations described by the braided fusion 2-category $\Omega^2\text{GT}_{\mathbb{Z}_2}^4$: The simple objects (the string-like excitations) are labeled by $\mathbf{1}_s, m_s, e_s, m_s \otimes e_s$, with the following symmetric fusion

$$\begin{aligned} \mathbf{1}_s \otimes m_s &= m_s, & \mathbf{1}_s \otimes e_s &= e_s, \\ m_s \otimes m_s &= \mathbf{1}_s, & e_s \otimes e_s &= 2e_s, \end{aligned} \quad (194)$$

$\mathbf{1}_s$ is the trivial string. m_s is a bosonic topological string-like excitation, that corresponds to the $\mathbb{Z}_2$ -flux string.

The simple 1-morphisms (the point-like excitations), that connect $\mathbf{1}_s \rightarrow \mathbf{1}_s$, are labeled by $\mathbf{1}_p, e_p$, with the following $\mathbb{Z}_2$ fusion

$$e_p \otimes e_p = \mathbf{1}_p. \quad (195)$$

$\mathbf{1}_p$ is the trivial particle. e_p is a bosonic topological excitation with trivial mutual statistics. However, e_p and m_s has a non-trivial mutual π -statistics between them. We also have simple 1-morphisms that connect $m_s \rightarrow m_s$, which are labeled by $\mathbf{1}_{m_s}, e_{m_s}$ with the following $\mathbb{Z}_2$ fusion

$$e_{m_s} \otimes e_{m_s} = \mathbf{1}_{m_s}. \quad (196)$$

They correspond to the point-like excitations on the string m_s .

The e_s string mentioned above is a descendent excitation, formed by condensing e_p point-like excitations along the string. Since e_p has a mod 2 conservation, the e_p condensed state is a spontaneously $\mathbb{Z}_2$ symmetry breaking state. This leads to the fusion rule $e_s \otimes e_s = 2e_s$.

Such a $\text{GT}_{\mathbb{Z}_2}^4$ topological order has a trivial categorical symmetry since $\text{Bulk}(\text{GT}_{\mathbb{Z}_2}^4) = \mathbb{I}^5$ or $\text{bulk}(\Omega\text{GT}_{\mathbb{Z}_2}^4) = \mathbb{I}^5$ (where $\Omega\text{GT}_{\mathbb{Z}_2}^4$ describes the excitations in $\text{GT}_{\mathbb{Z}_2}^4$). However, when some excitations have low energy and other have high energies, the system may have a low energy effective categorical symmetry.

When e_p particles have low energies and m_s strings have very high energies, the low energy excitations are described by a fusion 3-category $3\text{Rep}\mathbb{Z}_2$ generated by e_p particles. In this limit, the low energy effective categorical symmetry is $\text{bulk}(3\text{Rep}\mathbb{Z}_2) = \text{GT}_{\mathbb{Z}_2}^5$, which is nothing but the 4d $\mathbb{Z}_2$ gauge theory. Such a categorical symmetry has following two gapped phases (plus many others):

- (1) a phase with low energy excitations $3\text{Rep}\mathbb{Z}_2$ (corresponding to the symmetric phase of 3d quantum Ising model);
- (2) a phase with low energy excitations $3\text{Vec}_{\mathbb{Z}_2}$ (corresponding to the spontaneous symmetry breaking phase of 3d quantum Ising model).

The transition between the two gapped phase is Higgs transition of the 3d $\mathbb{Z}_2$ gauge theory. The critical point has the full categorical symmetry $\text{GT}_{\mathbb{Z}_2}^5$. Such a critical point is the same as the critical point in 3d quantum Ising model or 4d statistical Ising model, which is described by non-interacting massless real scalar field

$$S = \int dt d^3\mathbf{x} \left[\frac{1}{2}(\partial_t \phi)^2 + \frac{1}{2}v^2(\partial_{\mathbf{x}} \phi)^2 \right] \quad (197)$$

When m_s strings have low energies and e_p particles have very high energies, the low energy excitations are described by a fusion 3-category generated by m_s strings, which is denoted as $3\text{Rep}\mathbb{Z}_2^{(1)}$. Ignoring the descendant excitations, $3\text{Rep}\mathbb{Z}_2^{(1)}$ has only a single trivial object, two simple 1-morphisms: trivial string $\mathbf{1}_s$ and $\mathbb{Z}_2$ flux string m_s , and a single trivial 2-morphism. In this limit, the low energy effective categorical symmetry is $\text{bulk}(3\text{Rep}\mathbb{Z}_2^{(1)}) = \text{GT}_{\mathbb{Z}_2^{(1)}}^5$, where $\text{GT}_{\mathbb{Z}_2^{(1)}}^5$ is the 4d $\mathbb{Z}_2$ 2-gauge theory obtained by gauging $\mathbb{Z}_2^{(1)}$ 1-symmetry. The 4d $\mathbb{Z}_2^{(1)}$ 2-gauge theory has a string-like $\mathbb{Z}_2$ charge and string-like $\mathbb{Z}_2$ flux. The $\mathbb{Z}_2$ string-charge and the $\mathbb{Z}_2$ string-flux has mutual π -statistics. Such a categorical symmetry has two gapped phases:

- (1) a phase with low energy excitations $3\text{Rep}\mathbb{Z}_2^{(1)}$;
- (2) another phase also with low energy excitations $3\text{Rep}\mathbb{Z}_2^{(1)}$.

The transition between the two phases is the confinement transition of the 3d $\mathbb{Z}_2$ gauge theory. The critical point of the transition has the full categorical symmetry $\text{GT}_{\mathbb{Z}_2^{(1)}}^5$. Such a critical point is different from the Higgs transition critical point which has a categorical symmetry $\text{GT}_{\mathbb{Z}_2}^5$ (for details, see Ref. 19).

D. 3d topological order described by twisted $\mathbb{Z}_2$ gauge theory

The 3d topological order described by the twisted $\mathbb{Z}_2$ gauge theory (*i.e.* 3d $\mathbb{Z}_2$ gauge theory with fermionic point-like $\mathbb{Z}_2$ charge) is denoted as $\text{GT}_{\mathbb{Z}_2}^4$. Its excitations are described by a braided fusion 2-category $\Omega^2\text{GT}_{\mathbb{Z}_2}^4$, which is similar to $\Omega^2\text{GT}_{\mathbb{Z}_2}^4$, except now the $\mathbb{Z}_2$ charge e_p is a fermion. Many results discussed above remain unchanged. In particular, when the $\mathbb{Z}_2$ flux strings m_s have low energies and $\mathbb{Z}_2$ point charges e_p have high energies, the system have an low energy effective categorical symmetry $\text{GT}_{\mathbb{Z}_2^{(1)}}^5$ as discussed above.

But when $\mathbb{Z}_2$ point charges e_p have low energies and the $\mathbb{Z}_2$ flux strings m_s have high energies, the system has a very different behavior since the $\mathbb{Z}_2$ point charges are fermions. In this limit, the low energy excitations are described by fusion 3-category $3\text{Rep}\mathbb{Z}_2^f$ (with trivial object, trivial 1-morphism, and $\mathbb{Z}_2^f$ 2-morphisms which contain fermions). The categorical symmetry is $\text{GT}_{\mathbb{Z}_2^f}^5 = \text{bulk}(3\text{Rep}\mathbb{Z}_2^f)$ (the 4d $\mathbb{Z}_2$ gauge theory with fermionic $\mathbb{Z}_2$ point charge). What are the gapped liquid phases in a system with $\text{GT}_{\mathbb{Z}_2^f}^5$ categorical symmetry? There is no 3d fermionic invertible topological order. So there is only one gapped state (up to stacking of bosonic topological orders with no symmetry) that break the categorical symmetry $\text{GT}_{\mathbb{Z}_2^f}^5$ down to $\mathbb{Z}_2$ fermionic 0-symmetry. There should also be gapless states with the full $\text{GT}_{\mathbb{Z}_2^f}^5$ categorical symmetry.

We like to point out that the $\mathbb{Z}_2$ fermionic 0-symmetry is not an algebraic higher symmetry described by a local fusion higher category $\mathcal{R}$ (*i.e.* not a bosonic algebraic higher symmetry). The categorical symmetry $\text{GT}_{\mathbb{Z}_2^f}^5$ is not associated with any bosonic algebraic higher symmetries, since $\text{GT}_{\mathbb{Z}_2^f}^5 \simeq \text{bulk}(\mathcal{R})$ and $\mathcal{R} \xrightarrow{\beta} 3\text{Vec}$ has no solution. The $\mathbb{Z}_2$ fermionic 0-symmetry described by $\mathcal{R} = 3\text{Rep}\mathbb{Z}_2^f$ satisfies $\text{GT}_{\mathbb{Z}_2^f}^5 \simeq \text{bulk}(\mathcal{R})$, by does not satisfy $\mathcal{R} \xrightarrow{\beta} 3\text{Vec}$.

One particular realization of the gapped phase is via a Majorana fermion field theory. Here we use a single Weyl fermion field ψ (with two complex components) to describe a single Majorana fermion field (with four real components):

$$H = \int d^3\mathbf{x} \psi^\dagger i\sigma^i \partial_i \psi + (m\psi^\top \epsilon \psi + h.c.) \quad (198)$$

where $\psi^\dagger = (\psi^\top)^*$ and $\epsilon \equiv i\sigma^2$. The mass m can be complex. The gapless state at $m = 0$ should have the full $\text{GT}_{\mathbb{Z}_2^f}^5$ categorical symmetry. However, it is not clear if it is the minimal gapless state with the full $\text{GT}_{\mathbb{Z}_2^f}^5$ categorical symmetry.

E. nd bosonic systems with S_3 symmetry

We consider the class of bosonic nd lattice Hamiltonians $\{H_{S_3}\}$ with $S_3 = \mathbb{Z}_3 \rtimes \mathbb{Z}_2$ symmetry. We also consider the class of boundary Hamiltonians $\{H_{S_3}^{\text{bdry}}\}$ of $(n+1)d$ S_3 topological order $\text{GT}_{S_3}^{n+2}$ with energy gap approaching ∞ . The class of lattice boundary Hamiltonians $\{H_{S_3}^{\text{bdry}}\}$, by definition, is said to have $\text{GT}_{S_3}^{n+2}$ categorical symmetry. We have argued that the class of lattice Hamiltonians $\{H_{S_3}\}$, when restricted to the symmetric subspace, is holo-equivalent to the class of boundary Hamiltonians $\{H_{S_3}^{\text{bdry}}\}$. For example, for each S_3 -symmetric Hamiltonian in the class $\{H_{S_3}\}$, we can find a boundary Hamiltonian in the class $\{H_{S_3}^{\text{bdry}}\}$, such that the two Hamiltonians have the same low energy properties. In this sense, we say the S_3 -symmetric lattice Hamiltonians also has the $\text{GT}_{S_3}^{n+2}$ categorical symmetry. In this section, we like to ask, whether there are other algebraic higher symmetry $\mathcal{R}$, such that the $\mathcal{R}$ -symmetric lattice Hamiltonians also have the $\text{GT}_{S_3}^{n+2}$ categorical symmetry. In this case, we may say the algebraic higher symmetry $\mathcal{R}$ to be holo-equivalent to the S_3 -symmetry (*i.e.* the $n\text{Rep}S_3$ -symmetry).

Certainly, the dual symmetry of the $n\text{Rep}S_3$ -symmetry, $n\text{Vec}_{S_3}$ is holo-equivalent to the $n\text{Rep}S_3$ -symmetry. Do we have other algebraic higher symmetry $\mathcal{R}$ that is holo-equivalent to the $n\text{Rep}S_3$ -symmetry?

So we first try to solve $\text{bulk}(\mathcal{R}) = \text{bulk}(n\text{Rep}S_3) = \text{GT}_{S_3}^{n+2}$, in a physical way. We consider a $(n+1)d$ S_3 gauge theory $\text{GT}_{S_3}^{n+2}$, whose excitations are described by $\Omega^2 \text{GT}_{S_3}^{n+2}$. The excitations $\mathcal{R}$ on a gapped boundary satisfy $\text{bulk}(\mathcal{R}) = \text{GT}_{S_3}^{n+2}$.

Let us start with a gauge-flux-condensed boundary, whose excitations are described by $n\text{Rep}S_3$. Such a boundary corresponds to a S_3 -symmetric phase. Next, we try to obtain other boundaries by condensing the point S_3 charges on this boundary.

The S_3 charges are described by two bosonic fields: a real field σ and a complex field ϕ . Under the $\mathbb{Z}_2$ transformation in S_3 : $\sigma \rightarrow -\sigma$ and $\phi \rightarrow \phi^*$. Under the $\mathbb{Z}_3$ transformation in S_3 : $\sigma \rightarrow \sigma$ and $\phi \rightarrow e^{i\frac{2\pi}{3}}\phi$.

In the first case, we condense the σ bosons but not the ϕ bosons on the $n\text{Rep}S_3$ boundary, by setting $\sigma = 1$, $\phi = 0$. This condensation will change the $n\text{Rep}S_3$ boundary to another gapped boundary, denoted as $\mathcal{R}_\sigma$. This new boundary $\mathcal{R}_\sigma$ corresponds to a $S_3 \rightarrow \mathbb{Z}_3$ spontaneous

symmetry breaking phase of S_3 symmetric systems.

In the second case, we condense the ϕ bosons but not the σ bosons on the $n\text{Rep}S_3$ boundary, by setting $\phi = 1$, $\sigma = 0$. This condensation will change the $n\text{Rep}S_3$ boundary to a gapped boundary, denoted as $\mathcal{R}_\phi$. The boundary $\mathcal{R}_\phi$ corresponds to a $S_3 \rightarrow \mathbb{Z}_2$ spontaneous symmetry breaking phase of S_3 symmetric systems.

Now we like to ask, does $\mathcal{R}_\sigma$ describe the algebraic higher symmetry in the $S_3 \rightarrow \mathbb{Z}_3$ spontaneous symmetry breaking phase? *i.e.* can the $S_3 \rightarrow \mathbb{Z}_3$ spontaneous symmetry breaking phase be viewed as the trivial symmetric phase of the $\mathcal{R}_\sigma$ -symmetry? Also, does $\mathcal{R}_\phi$ describe the algebraic higher symmetry in the $S_3 \rightarrow \mathbb{Z}_2$ spontaneous symmetry breaking phase?

Although $\mathcal{R}_\sigma$ and $\mathcal{R}_\phi$ satisfy $\text{bulk}(\mathcal{R}_\sigma) = \text{bulk}(\mathcal{R}_\phi) = \text{GT}_{S_3}^{n+2}$, we still need to show they are local fusion higher categories in order for them to describe algebraic higher symmetries. For this purpose, we start with the nd S_3 -gauge theory constructed by stacking two $n\text{Rep}S_3$ via their common bulk $\text{GT}_{S_3}^{n+2}$ (a $(n+1)d$ S_3 -gauge theory), as shown in Fig. 5. The excitations in the nd S_3 -gauge theory are given by $n\text{Rep}S_3 \otimes_{\text{GT}_{S_3}^{n+2}} (n\text{Rep}S_3)^{\text{rev}}$. Now we

condense σ on one boundary and condense ϕ on the other boundary. The resulting nd state has excitations given by $\mathcal{R}_\sigma \otimes_{\text{GT}_{S_3}^{n+2}} \mathcal{R}_\phi^{\text{rev}}$. From our physical understanding, when

both σ and ϕ condense, the “ S_3 -gauge symmetry” is completely broken, and the nd topological order described by the S_3 -gauge theory becomes a trivial phase. This implies that $\mathcal{R}_\sigma \otimes_{\text{GT}_{S_3}^{n+2}} \mathcal{R}_\phi^{\text{rev}} = n\text{Vec}$. Then using Propo-

sition 39, we find that $\mathcal{R}_\sigma$ and $\mathcal{R}_\phi$ are both local fusion higher categories. They describe a pair of dual algebraic higher symmetries.

Acknowledgement: LK and HZ are supported by the Science, Technology and Innovation Commission of Shenzhen Municipality (Grant Nos. ZDSYS20170303165926217) and by Guangdong Provincial Key Laboratory (Grant No.2019B121203002). LK is also supported by NSFC under Grant No. 11971219. XGW is partially supported by NSF DMS-1664412 and by the Simons Collaboration on Ultra-Quantum Matter, which is a grant from the Simons Foundation (651440). HZ is also supported by NSFC under Grant No. 11871078.

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