

# Quantized Soft-Decision-Based Compressive Reporting Design for Underlay/Overlay Cooperative Cognitive Radio Networks

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**Abstract**—Cooperative spectrum sensing (CSS) systems use underlay or overlay strategies to identify underused or unused bands to achieve higher spectrum utilization. In hybrid underlay and overlay systems, spectrum sensing results may be sparse and a general reporting strategy is required to take multiple spectrum usage status into account. In this paper, we propose a general quantized soft decision (QSD) based compressive sensing and reporting strategy for hybrid systems. Our objective is to provide a general framework to report more reliable sensing results to improve the sensing precision and reduce the collision probability with the low complexity. To this end, the soft sensing decisions are quantized to multiple levels, then they are compressed and encoded, while the characteristic information of secondary users (SUs) including the index of the SUs, the interference tolerance level etc, is transmitted over equivalent bit channels. Furthermore, considering that different users may have different quality of service requirements, we propose four methods to allow SUs to deliver local sensing results with different precisions. Simulations are performed over additive white Gaussian noise (AWGN) and Rayleigh fading channels. The results validate the theoretical analysis, and demonstrate that our scheme effectively improves the sensing decision precision and reduces the collision probability.

**Index Terms**—Hybrid overlay/underlay cognitive radio network, compressive and cooperative spectrum sensing, characteristic cooperation parameters, priority-based reporting, quantized-soft decisions, reliability.

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## I. INTRODUCTION

IN COGNITIVE radio networks (CRN), the secondary user (SU) applies spectrum sensing technique to identify spectrum holes or available spectrum bands. Then using two types of access strategies [1], which are overlay and underlay, the SU transmits information over detected idle or underused bands without interfering with primary users (PU) [2]–[4].

Recently, cooperative spectrum sensing (CSS) [2], [3], [5] has been proposed for CRN, wherein the fusion center (FC) determines the spectrum usage status [6], based on the collected local sensing results reported from multiple SUs. Hence higher sensing precision can be achieved thanks to the cooperation between the SUs and the FC.

Since the FC makes decisions using the reported sensing results, the imperfect reporting channel conditions would degrade the spectrum sensing performances. Reference [7] investigates the performance of a multi-band CSS system and [8] evaluates the influences of reporting channel errors on the sensing precision when using a “majority-decision-aided” weighting rule. In addition, [9] studied the mean energy efficiency performances while [10] analyzed the cooperative sensing performances when imperfect reporting channels are not identical.

In order to further improve the spectrum usage decision precision and lower the complexity, research works have been done on the reporting strategy design for underlay/overlay CSS-aided CRN to get more precise spectrum usage status. In [6], the soft decision (SD) method is proposed to help FC to get more accurate spectrum usage status. In addition, in order to combat the imperfect reporting channel conditions, [11] presents an ON/OFF reporting mechanism where either signaling or remaining silent carries binary information to provide robust reporting over imperfect reporting channels. Moreover, [7] and [12] propose to reduce reporting errors to improve the sensing precision for multi-band CSS systems.

On the other hand, with the aim to lower the complexity, reference [13] proposed to compress the local decisions due to the sparsity of PU signal, and a hierarchical and adaptive spectrum sensing solution for overlay CRN is presented in [14]. In addition, reference [15] investigated the incorporation of rank-based censoring with CSS to reduce the transmission overhead of reporting channels in underlay CRN, while in [16], a random access protocol based reporting scheme is presented to reduce the complexity.

However, few research works have been done to investigate the CSS system using both underlay and overlay access strategies to identify underused and unused bands. Moreover, no general framework can be used for characterizing the multiple spectrum usage status which may allow different interference tolerance levels. Furthermore, the property that spectrum sensing results may be sparse is also not considered.

Different from the available research works which focus on the single underlay or overlay scenario, we study the hybrid underlay and overlay system which requires to characterize the multiple spectrum usage status. More explicitly, the novelty of this paper is that we propose to quantize the soft decision (QSD) of the spectrum usage, then a compressive polarized (QCP) method is proposed. Thus a general framework is constructed to report the spectrum sensing results with higher precisions.

In our design, local sensing results are quantized, then the QSDs are compressed to counteract the sparsity of the sensing results. Subsequently, in the reporting stage, the local sensing results are regarded as information bits, while SUs may transmit their own characteristic parameters, i.e., the SU index, interference tolerance level [17]–[19] and the occupied channel index flexibly based on the reporting channel quality and the user demands. More explicitly, in order to improve the reporting reliability, both the local sensing results and the characteristic parameters of SUs are encoded by the forward error correction (FEC) codes such as polar codes. We propose to flexibly encode the sensing results as information bits, while the characteristic parameters are totally or partially encoded as frozen bits based on the reporting channel quality and the decision precision demands of FC. Namely, considering that the users may have different quality requirements for the services, we present four methods to generate the frozen bits, which encode the whole or partial parts of characteristic parameters. Thus the collision probability that may happen during the sensing is potentially different, leading to different reliability performances.

Next, at the FC, the local decisions are recovered by applying the successive-cancellation (SC) algorithm [20] and nondestructive reconstruction. With the aid of the cooperation parameters, the collision probability can be lowered.

Furthermore, for the proposed QSD-based compressive polarized design we provide the theoretical performance analyses for the reliability and sensing performances, and compare the efficiency and complexity performances. We first apply heuristic method [20] to derive the theoretical upper and lower bound of the transmission error rate, then based on the Gaussian approximation (GA) method [21], we provide the error rate expression. As to the sensing performance, we present the overall detection probability and collision probability expressions. Subsequently, we present a definition of effective spectral efficiency (ESE) to measure the transmission efficiency performances, followed by the complexity analysis and comparisons.

At last, simulations are performed over additive white Gaussian noise (AWGN) and slow flat-fading Rayleigh channels, and the results verify the theoretical analysis, and

demonstrate the outstanding performances of our scheme for underlay/overlay cooperative CRN.

Briefly, the main contributions of this paper are:

- 1) We present a general QSD-based sensing for hybrid underlay and overlay CRN. Different from the available research achievements, which only consider the pure underlay or overlay scenario, in our design, the soft decision of the spectrum usage is quantized. Thus, the adjustable quantization levels allow the CRN nodes to deliver the local sensing results with different precision no matter the access mode is underlay or overlay.
- 2) We propose to compress the QSD to improve the transmission efficiency and to lower the complexity with considerations of the sparsity of the sensing results. The compressive transmissions effectively counteract the sparsity of the spectrum sensing, whereby the redundancy is reduced.
- 3) We propose to deliver the characteristic parameters of SUs to the FC flexibly as information bits or frozen bits when applying polar coding, which depends on the reporting channel quality and the spectrum usage decision precision demands of FC. Thus the reporting quality can be improved with no extra bandwidth is required.
- 4) Different from other report design, which only transmit the local sensing results to FC, in our design, both the local sensing results and the characteristic parameters of SUs are transmitted to FC to provide more information for improving the precision of spectrum usage decisions.
- 5) For the considered practical hybrid underlay and overlay system, we analyze the theoretical reliability, sensing and efficiency performances as well as the complexity. Based on GA method and Heuristic method, we derive the error rate and the bound. Then we analyze the overall detection and collision probability. Subsequently, we present a definition of ESE to measure how many local sensing results are transmitted and provide the complexity analysis.

The rest of the paper is organized as follows. Section II provides the system model of CSS CRNs. Then, Section III provides the details of the QCP based CSS system, including the quantized sensing results composition, encoding procedures of sensing results and the characteristic information of SUs, and the decision making of FCs. In Section IV we present the theoretical analysis of the system's performance, which is verified by simulation results in Section V. Finally, we conclude our findings and discuss the future research direction in Section VI.

## II. THE CSS SYSTEM

In this section, we will describe the CSS system model.

Fig. 1 illustrates the CSS-aided CRN model with  $M$  SUs  $\{C_1, \dots, C_M\}$  and 1 FC. In this system, SUs detect  $Q$  subbands and provide local sensing results using the proposed QSD method, then report the sensing results and their own characteristic information to the FC. The FC collects the information obtained from multiple SUs and determines the spectrum usage status.

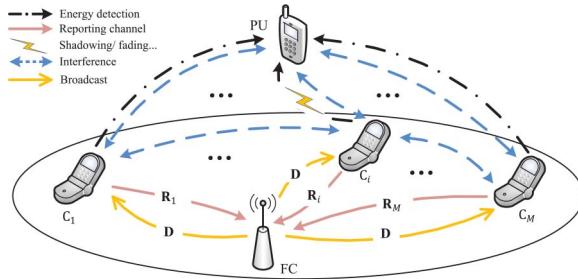


Fig. 1. System model.

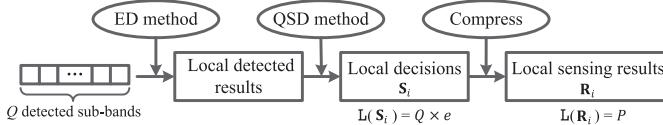


Fig. 2. The general QSD compressive framework for hybrid underlay and overlay system.

Notably, considering that SUs are isolated in the space and perform sensing independently [3], without loss of the generality, we assume that the local spectrum sensing results are statistically independent. Meanwhile, since the reporting channel is in fact a logical channel and can be divided into multiple sub-feedback channels [16], without loss of generality, we can assume the reporting process is different from each other. Additionally, as shown in Fig. 1, the imperfect channel conditions of practical wireless channels such as correlated transmissions may induce interferences to the transmitted signals among neighbouring SUs. However, it is worth mentioning that the imperfect wireless channel conditions such as correlated transmissions will not influence the independence of the local sensing results or the reporting channels.

### III. THE QCP-BASED CSS SCHEME

In this section, we will present the details of the general compressive QSD framework for hybrid underlay and overlay CSS system and the reporting design.

#### A. The General Local Sensing Framework for Hybrid Underlay and Overlay CSS System

Each SU applies energy detection (ED) method, which has the lowest complexity [2], to detect sub-bands. As shown in Fig. 2, for SU  $C_i$ ,  $i \in \{1, 2, \dots, M\}$ , we use QSD method to generate the decision for the  $j$ -th sub-band,  $j \in \{1, 2, \dots, Q\}$ , which is denoted by  $s_i^{(j)}$  for hybrid underlay and overlay CRNs. The local sensing result for all  $Q$  subbands is denoted by  $S_i = [s_i^{(1)}, s_i^{(2)}, \dots, s_i^{(Q)}]$  and compressed to counteract the sparsity of PU signals. The resultant  $R_i$  is then reported to FC.

1) *Generation of  $s_i^{(j)}$* : Fig. 3 illustrates three methods to generate  $s_i^{(j)}$ , which are hard decision (HD), SD and QSD method. It can be seen that in the HD method,  $s_i^{(j)}$  is determined as a binary bit 0 or 1, which can only be used in the overlay scenario. The SD method provides the probability estimation for  $s_i^{(j)}$ , which can be used in overlay and

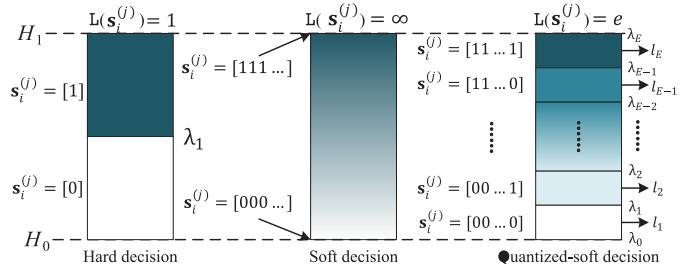


Fig. 3. Three different decision methods for local spectrum sensing.

underlay CRNs. However, this method has high computational complexity [6].

Here, we propose to use the QSD to build a general framework to provide  $s_i^{(j)}$  for both underlay and overlay systems. Namely, in these two systems, local spectrum sensing results are compressed and quantized to multiple levels to represent the spectrum usage status. More details are given as below.

*Underlay CRN*: In this scenario, SUs and PU can transmit simultaneously in a sub-band with the interference constraints for PU and quality of service (QoS) constraints for SUs. For the  $i$ -th SU  $C_i$ , let  $f_i(\cdot)$  denote the probability density function (pdf) of the detected energy,  $f_i(\cdot|H_0)$  and  $f_i(\cdot|H_1)$  denote the pdfs of the detected energy when PU signal absents and exists respectively. Then  $f_i(\cdot)$  can be expressed as

$$f_i(\cdot) = f_i(\cdot|H_0)(1 - \beta_{PU}) + f_i(\cdot|H_1)\beta_{PU} \quad (1)$$

where  $\beta_{PU}$  is the ratio of sub-bands occupied by PU [6].

Using the maximum output entropy quantization scheme [22], we divide the ED results into  $E$  levels, where  $E = 2^e$ ,  $e \in \mathbb{Z}^+$ . Let  $\lambda = \{\lambda_0, \dots, \lambda_E\}$  denote the thresholds for the quantization region,  $l = \{l_1, \dots, l_E\}$  denote quantization levels, then we have  $s_i^{(j)} \in l$ . The probability mass function (pmf) value of quantization level  $l_t$ ,  $t \in \{1, 2, \dots, E\}$  is equal to  $1/E$ . Assuming  $\lambda_0 = -\infty$ ,  $\lambda_E = \infty$ , and  $l_t$  is the centroid between  $\lambda_{t-1}$  and  $\lambda_t$ , then based on Eq. (1), we have

$$l_t = \frac{\int_{\lambda_{t-1}}^{\lambda_t} x f_i(x) dx}{\int_{\lambda_{t-1}}^{\lambda_t} f_i(x) dx} = E \int_{\lambda_{t-1}}^{\lambda_t} x f_i(x) dx. \quad (2)$$

For the  $j$ -th sub-channel,  $C_i$  can decide  $s_i^{(j)}$  as  $l_t$  by comparing the detected energy and the quantization threshold. Based on Eq. (2), the pmf value for  $s_i^{(j)}$  can be written as:

$$P(s_i^{(j)} = l_t) = \int_{\lambda_{t-1}}^{\lambda_t} f_i(x) dx = \frac{1}{E}. \quad (3)$$

Moreover, let  $L(\cdot)$  denote the length of the specific sequence, the length of  $s_i^{(j)}$  can be expressed as  $L(s_i^{(j)}) = e$ , and for all  $Q$  subbands, we have  $L(S_i) = Q \times e$ .

*Overlay CRN*: In the overlay scenario, the SU transmits over the sub-band where PU signal is detected as being absent. The local decision is “0”/“1”, which represents PU signal absent or existing respectively. In our proposed structure, when  $e = 1$ , the QSD method simplifies as a HD method, which has low complexity and can be generally used in the overlay CRN.

Therefore,  $s_i^{(j)}$  can be used to represent the spectrum usage status for hybrid underlay and overlay CSS systems, and the parameter  $e$  can be adjusted to achieve a tradeoff between the sensing precisions and the computational complexities.

2) *Generation of  $\mathbf{R}_i$* : Subsequently, we further compress  $\mathbf{S}_i$  to improve the efficiency. According to [23], [24], since PU signal is sparse in CRN, we can compress  $\mathbf{S}_i$  as

$$\mathbf{R}_i = \mathbf{S}_i \Theta \quad (4)$$

where  $\Theta$  is a measurement matrix,  $\Theta \in \mathbb{R}^{L(\mathbf{S}_i) \times P}$  [13], and  $L(\mathbf{R}_i) = P$ ,  $P < L(\mathbf{S}_i)$ . Note that based on Eq. (4), the complexity of evaluating  $\mathbf{R}_i$  is determined by operations of  $\Theta$ , which is  $O(L(\mathbf{S}_i) \log L(\mathbf{S}_i))$  [25]. Then  $\mathbf{R}_i$  is transmitted by  $C_i$  to FC.

### B. Sensing Reports Transmission

As mentioned above, the sensing results  $\mathbf{R}_i$  are regarded as information bits, while the characteristic information of  $C_i$  is flexibly treated as information bits or redundancy bits according to the FC demands. Then both  $\mathbf{R}_i$  and  $C_i$  are encoded by FEC codes such as polar codes. Take polar encoding  $\mathbf{G}_N$  as an example [20]. Let  $K, A, A^c$  denote the information bits number, the set of information bits indices and the frozen bits, respectively, then we have  $N = 2^n$ , where  $n \in \mathbb{Z}^+$ .

1) *Characteristic Information Processing*: According to [20], the frozen bits are taken as 0 in general and do not carry any information, which leads to transmission efficiency degradation.

Taking different low consumption requirements or power limited properties of the sensing nodes into considerations, we propose to embed the characteristic information of the SU  $C_i$ , including the SU index  $i$ , the interference tolerance level  $o_i$  [18], and the accessed sub-band index  $a_i$ , partially or totally as the frozen bits to reduce collisions. Note that each  $o_i$  can be generated from quantizing the different SU equipment parameter.

As shown in Fig. 4, the characteristic information of  $C_i$  is encoded as frozen bits. Define  $D2B(\cdot)$  as an operation that converts a decimal number into the binary form. Then based on different FC demands, for each  $C_i$ , we could use the whole characteristic information or a part of characteristic information to generate frozen bits  $\mathbf{u}_{A^c}^{(i)}$ . Let  $\zeta_* \star \in \{1, 2, 3, 4\}$  denote the Scheme 1-4 listed above. Namely, we have

- Scheme 1 ( $\zeta_1$ ): Embed all characteristic information, i.e.,  $i, o_i, a_i$  in frozen bits. As shown in Fig. 4(a), we have  $\mathbf{u}_{A^c}^{(i)} = [D2B(i), D2B(o_i), D2B(a_i)]$ , then  $K = L(\mathbf{R}_i)$ .
- Scheme 2 ( $\zeta_2$ ): Embed one characteristic information in frozen bits. For example, as shown in Fig. 4(b), we embed  $a_i$  in frozen bits, transmit  $o_i, i$  via information bits, we have  $\mathbf{u}_{A^c}^{(i)} = [D2B(i), D2B(o_i)]$ , then  $K = L(\mathbf{R}_i) + L(D2B(a_i))$ .
- Scheme 3 ( $\zeta_3$ ): Embed two characteristic information in frozen bits. For example, as shown in Fig. 4(c), we embed  $a_i, o_i$  in frozen bits, transmit  $i$  via information bits, we have  $\mathbf{u}_{A^c}^{(i)} = [D2B(i)]$ , then  $K = L(\mathbf{R}_i) + L(D2B(a_i)) + L(D2B(o_i))$ .

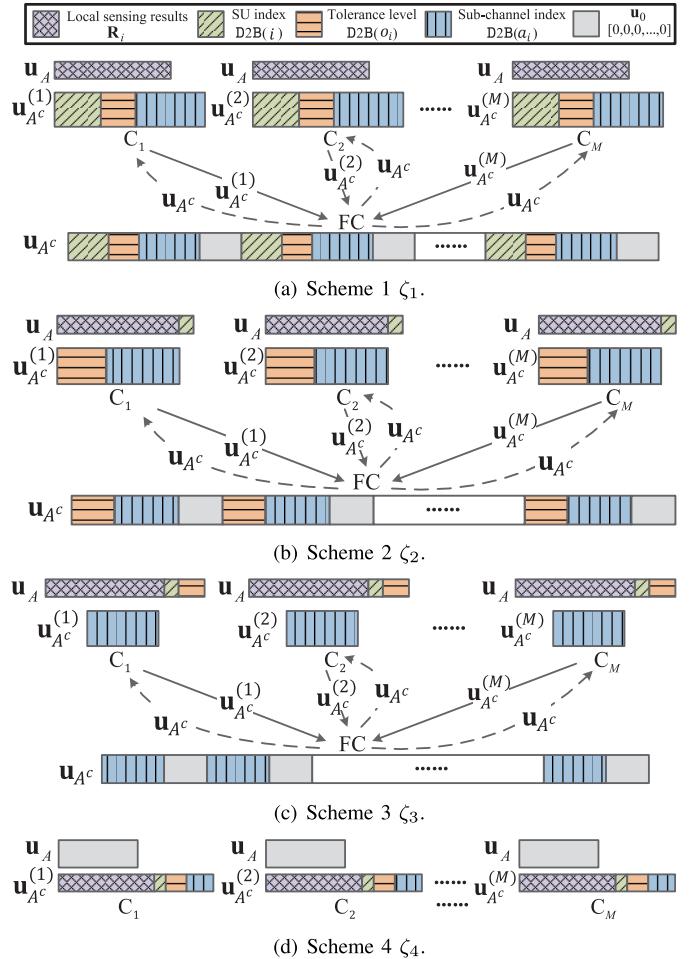


Fig. 4. Generation of the frozen bits.

- Scheme 4 ( $\zeta_4$ ): Directly transmit all characteristic information in information bits. As shown in Fig. 4(d),  $i, o_i, a_i$  are transmitted via information bits. In this case, we have  $K = L(\mathbf{R}_i) + L(D2B(i)) + L(D2B(o_i)) + L(D2B(a_i))$ . Meanwhile,  $\mathbf{u}_{A^c}^{(i)} = \mathbf{u}_{A^c}$ , which are set as  $\mathbf{u}_0 = [0, 0, \dots, 0]$ ,  $L(\mathbf{u}_0) = N - K$ .

Notably, in the schemes of  $\zeta_1, \zeta_2$  and  $\zeta_3$ , SUs delivered their own  $\mathbf{u}_{A^c}^{(i)}$  to FC, then FC collects and broadcasts  $\mathbf{u}_{A^c}$ , which is represented as  $\mathbf{u}_{A^c} = [\mathbf{u}_{A^c}^{(1)}, \mathbf{u}_0, \dots, \mathbf{u}_{A^c}^{(M)}, \mathbf{u}_0]$ , where  $L(\mathbf{u}_0) = \frac{N-K}{M} - L(\mathbf{u}_{A^c}^{(i)})$ . In  $\zeta_4$ , there is no requirement for the SU  $C_i$  to deliver the  $\mathbf{u}_{A^c}^{(i)}$  to FC.

2) *Local Sensing Report Transmission*: In addition, the SU  $C_i$  transmits local sensing reports by encoding the local sensing results  $\mathbf{R}_i$  based on the  $\mathbf{G}_N$ -encoding parameters  $(N, K, A, \mathbf{u}_{A^c})$ . Let  $\mathbf{u}_i$  denote the code that contains  $\mathbf{u}_A$  and  $\mathbf{u}_{A^c}$ , which are generated using the Scheme 1-4 given by Fig. 4, then the encoded data  $\mathbf{x}_i$  can be expressed as [20]:

$$\mathbf{x}_i = \mathbf{u}_i \mathbf{G}_N = \mathbf{u}_A \mathbf{G}_N(A) \oplus \mathbf{u}_{A^c} \mathbf{G}_N(A^c) \quad (5)$$

where  $L(\mathbf{x}_i) = N$ ,  $\mathbf{G}_N$  is a  $(N \times N)$  generation matrix.  $\mathbf{G}_N(A)$  and  $\mathbf{G}_N(A^c)$  are the sub-matrices which consist of the row vectors of  $\mathbf{G}_N$  selected by  $A$  and  $A^c$ , respectively.

Define  $\oplus$  operator as the modulo-2 addition, then we have

$$\mathbf{G}_N = \mathbf{B}_N \mathbf{F}^{\otimes n} = \mathbf{J}_N \left( \mathbf{I}_2 \otimes \mathbf{B}_{N/2} \right) \mathbf{F}^{\otimes n} \quad (6)$$

where  $\otimes$  is the Kronecker product operator,  $\mathbf{I}_2$  is the  $(2 \times 2)$  identity matrix,  $\mathbf{J}_N$  is a permutation operation that performs [20]

$$(1, 2, 3, \dots, N) \rightarrow (1, 3, \dots, N-1, 2, 4, \dots, N) \quad (7)$$

and  $\mathbf{B}_N$  takes a recursive form as

$$\mathbf{B}_N = \mathbf{J}_N \left( \mathbf{I}_2 \otimes \mathbf{B}_{\frac{N}{2}} \right) = \mathbf{J}_N \left( \mathbf{I}_2 \otimes \dots \left( \mathbf{J}_4 \left( \mathbf{I}_2 \otimes \mathbf{B}_2 \right) \right) \right) \quad (8)$$

where  $\mathbf{B}_2 = \mathbf{I}_2$ . Furthermore, the operator  $\mathbf{F}^{\otimes n}$  in (6) is defined by

$$\mathbf{F}^{\otimes n} = \underbrace{\mathbf{F} \otimes \mathbf{F} \otimes \dots \otimes \mathbf{F}}_n \quad (9)$$

where  $\mathbf{F} = \begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix}$ .

Subsequently, we transmit the encoded bit  $x_i$  over the reporting channel.

### C. Decision Making of FC

Let  $\mathbf{y}_i$  denote the received data at the FC, we here use the SC algorithm [26] to decode  $y_i$ . The SC decoder estimates  $\mathbf{u}_i$  as  $\hat{\mathbf{u}}_i$  by observing  $(\mathbf{y}_i, \mathbf{u}_{A^c})$ . Let  $\hat{\mathbf{u}}_i = [\hat{u}_i(1), \hat{u}_i(2), \dots, \hat{u}_i(N)]$ ,  $\mathbf{y}_i = [y_i(1), y_i(2), \dots, y_i(N)]$ . For  $b = 1, 2, \dots, N$ , if  $b \in A^c$ , set  $\hat{u}_i(b) = y_i(b)$ , if  $b \in A$ , The FC determines the value of  $\hat{u}_i(b)$  based on previous decisions  $\hat{\mathbf{u}}_i^{b-1} = [\hat{u}_i(1), \dots, \hat{u}_i(b-1)]$ .

To be more explicit, the likelihood ratio (LR) [20] is calculated by

$$L_N^{(b)} \left( \mathbf{y}_i, \hat{\mathbf{u}}_i^{b-1} \right) = \frac{W_N^{(b)} \left( \mathbf{y}_i, \hat{\mathbf{u}}_i^{b-1} | 0 \right)}{W_N^{(b)} \left( \mathbf{y}_i, \hat{\mathbf{u}}_i^{b-1} | 1 \right)} \quad (10)$$

where  $W_N^{(b)}(\cdot)$  is the transition probability function. Then FC can get the estimation results  $\hat{\mathbf{R}}_i$  based on  $\hat{\mathbf{u}}_i$ ,  $L(\hat{\mathbf{R}}_i) = L(\mathbf{R}_i)$ .

Subsequently, FC reconstructs the compressive sensing results based on  $\hat{\mathbf{R}}_i$ . According to [13], the reconstruction process can be formed as a convex optimization problem as follows [25]

$$\min \left\| \hat{\mathbf{S}}_i \right\|_1 \quad (11)$$

$$\text{s. t. } \hat{\mathbf{R}}_i = \hat{\mathbf{S}}_i \Theta \quad (12)$$

where the constraint  $\hat{\mathbf{R}}_i = \hat{\mathbf{S}}_i \Theta$  indicates that the reconstruction is nondestructive. Eq. (11) can be solved by the basis pursuit (BP) algorithm [25], which is one of an effective convex relaxation method to reconstruct the compressed signal. Thus the signal can be reconstructed with a small number of observations.

It is worth mentioning that the encoding and the SC decoding have the same computational complexity of  $O(N \log_2 N)$  [20], the decoding latency is  $2(N-1)$  clock cycles [26], while the complexity of BP algorithm is  $O(L(\mathbf{S}_i)^3)$  [25].

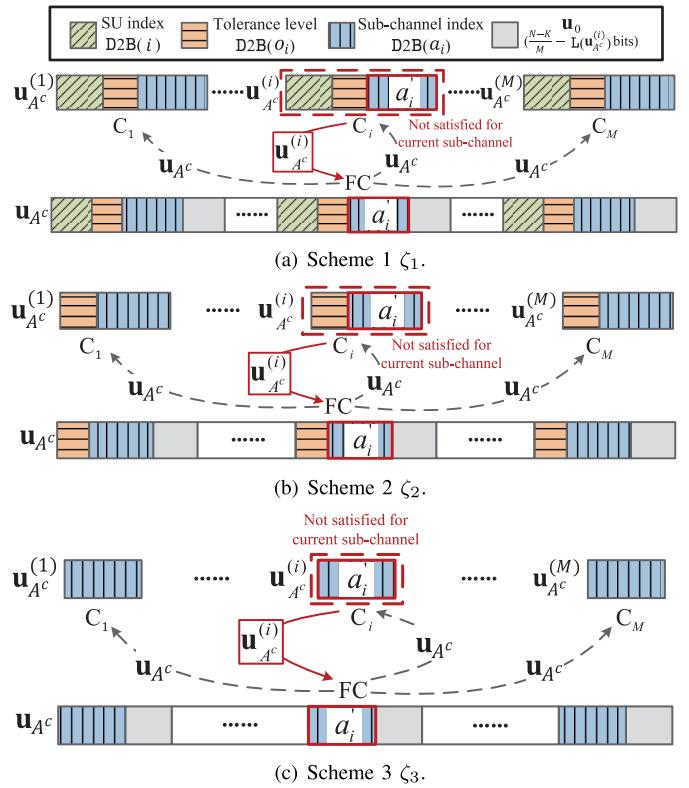


Fig. 5. Generation of the new frozen bits.

Define  $\text{B2D}(\cdot)$  as an operation that converts a binary number into the decimal form. For the  $j$ -th sub-band, FC combines  $\hat{\mathbf{S}}_i = [\hat{s}_i^{(1)}, \dots, \hat{s}_i^{(Q)}]$  from  $C_i$  and decides the spectrum usage status as

$$\mathbf{D}_j = \text{D2B} \left( \frac{1}{M} \sum_{i=1}^M \text{B2D} \left( \hat{s}_i^{(j)} \right) \right) \quad (13)$$

where  $L(\mathbf{D}_j) = L(\hat{s}_i^{(j)}) = e$ . Then FC broadcasts the final decision  $\mathbf{D} = [\mathbf{D}_1, \mathbf{D}_2, \dots, \mathbf{D}_Q]$  to all SUs.

### D. Spectrum Access Strategy of SUs

Based on the received final decision  $\mathbf{D}$  delivered by FC, SUs will determine whether to access the available sub-bands to avoid collisions. For example, when  $i \in \{1, 2, \dots, M\}$ , for a sub-band with the index  $a_i$ ,  $a_i \in \{1, 2, \dots, Q\}$ , according to  $\mathbf{D}$ , if  $o_i \geq \mathbf{D}_{a_i}$ , i.e., the interference is tolerable, then  $C_i$  can get permitted to access this sub-band. By contrast, if  $o_i < \mathbf{D}_{a_i}$ ,  $C_i$  will select a new sub-band with index  $a'_i$  which satisfying  $\mathbf{D}_{a'_i} \leq o_i$ .

In order to reduce collisions, the characteristic information contained in  $\mathbf{u}_{A^c}$  is utilized. More explicitly, as shown in Fig. 5, in Scheme  $\zeta_1$ ,  $\zeta_2$  and  $\zeta_3$ , we have  $a'_i \neq a_{i_o}$ ,  $i, i_o \in \{1, 2, \dots, M\}$  and  $i \neq i_o$ , where  $a_{i_o}$  can be obtained in  $\mathbf{u}_{A^c}$ . Then  $\mathbf{u}_{A^c}$  is updated to FC to reduce collisions. Note that there is no requirement for SU to update  $\mathbf{u}_{A^c}$  to FC in  $\zeta_4$  since it does not embed the characteristic information in frozen bits, which is also shown in Fig. 4(d).

#### IV. THEORETICAL PERFORMANCE ANALYSIS

In this section, for the hybrid underlay and overlay CSS system, we analyze the theoretical performances, including the transmission error rate, the overall detection probability, and the collision probability in CRNs.

##### A. The Transmission Performance

We neglect the compression errors due to the nondestructive reconstruction at the FC, the sensing performance is thus mainly determined by the transmission performance.

For  $C_i$  and the  $j$ -th sub-band, assume the FC recovers  $\hat{s}_i^{(j)}$  using the received  $y_i$ . The transmission errors may cause the distribution of  $\hat{s}_i^{(j)}$  to differ from that of  $s_i^{(j)}$ . Then under hypotheses that  $H_*$ ,  $*$   $\in \{0, 1\}$ , the pmf of  $\hat{s}_i^{(j)}$  can be calculated by

$$P(\hat{s}_i^{(j)} | H_*) = \sum_{t=1}^E (P_e)^\mu (1 - P_e)^{e-\mu} P(s_i^{(j)} = l_t | H_*) \quad (14)$$

where  $\mu$  is the Hamming distance between  $s_i^{(j)}$  and  $\hat{s}_i^{(j)}$ . For the  $i$ -th SU,  $P_e$  denotes the polarized transmission error rate of  $\mathbf{u}_i$ , which can be calculated by

$$P_e = \prod_{k=1; \hat{\mathbf{u}}_i(k) \neq \mathbf{u}_i(k)}^K P(\hat{\mathbf{u}}_i(k) | \mathbf{u}_i(k)) \quad (15)$$

where  $P(\hat{\mathbf{u}}_i(k) | \mathbf{u}_i(k))$  is a conditional probability function of  $\hat{\mathbf{u}}_i(k)$ . Note that in Eq. (15), based on the principle of channel polarization, we assume that each bit  $\mathbf{u}_i(k)$  in  $\mathbf{u}_i$  is transmitted in the polarized bit-channel independently.

Moreover, for polar codes, we can calculate the mathematical transmission error rate expressions based on Heuristic method [20] and the GA method [21]. Notably, the Rayleigh fading channel can be transformed into an equivalent AWGN channel with the same average mutual information (AMI) [21], therefore, in the following analysis, we will take AWGN channel as an example, and analyze the theoretical transmission error rate.

1) *Heuristic Method Based Error Rate Analysis*: In the Heuristic method, according to [20], the channel polarization refers to the fact that we could possibly synthesize a second set of  $N$  binary-input channels  $\{W_N^{(b)} : 1 \leq b \leq N\}$  out of  $N$  independent copies of a given B-DMC  $W$ .

Given a channel  $W$ , there are two important parameters: the symmetric capacity  $I(W)$  and the Bhattacharyya parameter  $Z(W)$ , which are used to measure the rate and the reliability, respectively.  $Z(W)$  is the upper bound for the probability of the decision error when 0 or 1 is transmitted over  $W$  for one time, which can be expressed as:

$$Z(W) \triangleq \sum_{y \in \mathcal{Y}} \sqrt{W(y | 0) W(y | 1)}. \quad (16)$$

Subsequently,  $Z(W_N^{(b)})$  can be used to measure the error performance of a bit channel  $W_N^{(b)}$ . By selecting  $Z(W_N^{(b)})$

with a smaller value, we can choose the noiseless channels, while the Bhattacharyya parameter can be calculated by [20]

$$Z(W_N^{(2j_n-1)}) = 2Z(W_{N/2}^{(j_n)}) - (Z(W_{N/2}^{(j_n)}))^2 \quad (17)$$

$$Z(W_N^{(2j_n)}) = (Z(W_{N/2}^{(j_n)}))^2 \quad (18)$$

where  $Z(W_1^{(1)})$  depends on the channel  $W$ ,  $j_n \in \{1, 2, \dots, \frac{N}{2}\}$ . For the AWGN channel,  $W$  is characterized by the SNR denoted by  $\omega$ . We have:

$$Z(W_1^{(1)}) = e^{-\frac{\omega}{2}}. \quad (19)$$

Then  $Z(W_N^{(b)})$  can be calculated based on Eq. (17)-Eq. (19). According to [27], denote  $P_e^U$  and  $P_e^L$  as the upper and lower bounds of the transmission error rate respectively, which can be calculated by

$$P_e^U = \max_{b \in A} Z(W_N^{(b)}) \quad (20)$$

$$P_e^L = \frac{1}{K} \sum_{b \in A} Z(W_N^{(b)}) \quad (21)$$

where  $A$  is the index set of information bits.

2) *GA Method Based Error Rate Analysis*: Considering that in practical wireless systems, the number of the transmitted bit is large, hence we can apply the GA method, which employs Gaussian distribution to approximate the statistical characteristics of the information. More explicitly, for the AWGN channel  $W$  with the variance of  $\sigma^2$ , then the LR message over the channel  $W$  is Gaussian distributed with the mean  $\frac{2}{\sigma^2}$  and variance  $\frac{4}{\sigma^2}$ .

Based on the probability density function (pdf) of  $L_N^{(b)}$  which can be obtained through Eq. (10), the corresponding mean  $m_N^{(b)}$  can be computed recursively by

$$m_N^{(2j_n-1)} = f^{-1} \left( 1 - \left( 1 - f(m_{N/2}^{(j_n)})^2 \right) \right) \quad (22)$$

$$m_N^{(2j_n)} = 2m_{N/2}^{(j_n)} \quad (23)$$

where  $m_1^{(1)} = \frac{2}{\sigma^2}$ , and  $f(\cdot)$  denotes the function, which calculates the mean of LR on check nodes and variable nodes for low density parity check code (LDPC) using the GA method [21], expressed as

$$f(x) = 1 - \frac{1}{\sqrt{4\pi x}} \int_{-\infty}^{\infty} \tanh\left(\frac{\theta}{2}\right) \exp\left[-\frac{-(\theta-x)^2}{4x}\right] d\theta. \quad (24)$$

For each bit-channel  $W_N^{(b)}$ , the pdf is estimated under the assumption that the previous bits are decoded correctly. Thus, as proved in [21], the probability that an error occurs in the bit-channel  $W_N^{(b)}$  can be calculated by

$$P_e(W_N^{(b)}) = \frac{1}{2} \operatorname{erfc}\left(\frac{1}{2} \sqrt{m_N^{(b)}}\right) \quad (25)$$

where  $\operatorname{erfc}(x) = \frac{2}{\sqrt{\pi}} \int_x^{\infty} e^{-\rho} d\rho$ .

Let  $P_e^{GA}$  represent the transmission error rate calculated by GA method, we obtain

$$P_e^{GA} = 1 - \prod_{b \in A} P_e\left(W_N^{(b)}\right). \quad (26)$$

It is worth pointing out that the analysis presented above can be further extended for the error rate evaluation of our design over the Rayleigh fading channel, which is equivalent to the analysis over AWGN channel with the same average mutual information (AMI) [21].

Note that in the GA method based analysis, the complexity is mainly determined by Eq. (22) while the complexity of Eq. (23) can be neglected. Nevertheless, we can reduce the computational complexity of Eq. (22) by approximating the function  $f(\cdot)$  as below [21]

$$f(x) = \begin{cases} e^{\alpha x^\psi + \varpi}, & x < 10 \\ \sqrt{\frac{\pi}{x}} e^{-\frac{x}{4}} \left(1 - \frac{10}{7x}\right), & x \geq 10 \end{cases} \quad (27)$$

where  $\alpha = -0.4527$ ,  $\varpi = 0.0218$  and  $\psi = 0.86$ .

### B. The Overall Detection Probability and Collision Probability

In the fusion process, the FC make final decisions about the usage state for the sub-channels based on the received local sensing results, which are independently generated by different SUs. Due to the spacial diversity of SUs, we assume the local sensing results are statistically independent from each other [2]. Then we can derive the statistical distribution of the test  $\Phi_j$  based on Eq. (14) as

$$\Phi_j = \sum_{i=1}^M \log \frac{P(\hat{s}_i^{(j)} | H_1)}{P(\hat{s}_i^{(j)} | H_0)}. \quad (28)$$

Given the overall false alarm probability  $Q_f$ , let  $\eta_j$  and  $\gamma_j$  denote the threshold of overall decision and the randomization parameter, which can be calculated by [6]

$$\eta_j = \min\{x : P(\Phi_j > x | H_0) < Q_f\} \quad (29)$$

$$\gamma_j = \frac{Q_f - P(\Phi_j > \eta_j | H_0)}{P(\Phi_j = \eta_j | H_0)}. \quad (30)$$

Then applying the Neyman-Pearson test [6], we decide the spectrum usage states as

- $H_0$ , when  $\Phi_j < \eta_j$ .
- $H_1$  with probability  $\gamma_j$ , when  $\Phi_j = \eta_j$ .
- $H_1$ , when  $\Phi_j > \eta_j$ .

The overall detection probability  $Q_d$  is obtained as:

$$Q_d = \frac{1}{Q} \sum_{j=1}^Q [P(\Phi_j > \eta_j | H_1) + \gamma_j P(\Phi_j = \eta_j | H_1)]. \quad (31)$$

Finally, we can obtain the collision probability  $P_c$ , which is the probability of accessing the occupied spectrum, as

$$P_c = \frac{1}{M} \sum_{i=1}^M [P(\Phi_{a_i} \leq \eta_{a_i} | H_1)]. \quad (32)$$

TABLE I  
THE SPECTRAL EFFICIENCY AND COMPLEXITY OF DIFFERENT LOCAL SENSING REPORTING SCHEMES

Case ( $\mathfrak{S}$ )	Case 1 ( $\mathfrak{S}_1$ )	Case 2 ( $\mathfrak{S}_2$ )	Case 3 ( $\mathfrak{S}_3$ )
Scheme	QSD + Polar coding	QSD + Compression + Polar coding	QSD + Compression + $\zeta_*$ based polar coding
Coding rate for the same $N$	$\frac{Q \times e}{N}$	$\frac{P}{N}$	$\frac{K_{\zeta_*}}{N}$
Coding rate comparison for the same $N$	$\mathfrak{S}_1 > \mathfrak{S}_3(\zeta_4) > \mathfrak{S}_3(\zeta_3) > \mathfrak{S}_3(\zeta_2) > \mathfrak{S}_3(\zeta_1) = \mathfrak{S}_2$		
Effective spectral efficiency, $\eta_{ESE}$	$\frac{B_w}{Q \times e}$	$\frac{B_w}{P}$	$\frac{B_w}{K_{\zeta_*}}$
Effective spectral efficiency comparison	$\mathfrak{S}_1 < \mathfrak{S}_3(\zeta_4) < \mathfrak{S}_3(\zeta_3) < \mathfrak{S}_3(\zeta_2) < \mathfrak{S}_3(\zeta_1) = \mathfrak{S}_2$		
Coding Complexity for the same coding rate $r$	$O\left(\frac{Q \times e}{\log \frac{Q \times e}{r}}\right)$	$O\left(\frac{P}{r} \log \frac{P}{r}\right)$	$O\left(\frac{K_{\zeta_*}}{r} \log \frac{K_{\zeta_*}}{r}\right)$
Coding Complexity comparison for the same coding rate $r$	$\mathfrak{S}_1 > \mathfrak{S}_3(\zeta_4) > \mathfrak{S}_3(\zeta_3) > \mathfrak{S}_3(\zeta_2) > \mathfrak{S}_3(\zeta_1) = \mathfrak{S}_2$		

### C. Effective Spectral Efficiency and Complexity

In this subsection, we will analyze and compare the efficiency and complexity of the proposed QCP-CSS schemes. It is worth pointing out that different from the traditional spectral efficiency definition, here we present a definition of ESE to measure the efficiency performance of our design.

Specifically, following the similar principle of defining the traditional spectral efficiency, wherein the information bits are regarded as the effective information and the spectral efficiency is measured in terms of the code rate, we here present an ESE definition to measure how many local detection results could be transmitted within the specific bandwidth.

Let  $\eta_{ESE}$  denote the ESE,  $B_w$  represent the bandwidth of a given spectrum band,  $s_{inf}$  represent the effective information bits used to carry the information of the local detection results of  $Q$  different sub-bands, and  $K(s_{inf})$  denote the number of effective information bits. Then we define the ESE as

$$\eta_{ESE} = \frac{B_w}{K(s_{inf})}. \quad (33)$$

Subsequently, based on the proposed ESE definition, we compare the code rate, the ESE and the complexity of our design in Table I in three cases of local sensing results transmissions, which are represented by  $\mathfrak{S}_1$ ,  $\mathfrak{S}_2$  and  $\mathfrak{S}_3$ . More explicitly, in Case 1 ( $\mathfrak{S}_1$ ), we only use the QSD method and polar coding for reports processing, then in Case 2 ( $\mathfrak{S}_2$ ), we add the compression process, while in Case 3 ( $\mathfrak{S}_3$ ), we apply the QCP-CSS scheme based on different characteristic information processing schemes  $\zeta_*$ ,  $\star \in \{1, 2, 3, 4\}$ .

To elaborate a bit further, let  $K_{\zeta_*}$  denote the length of information bits when applying the scheme  $\zeta_*$ , then we have

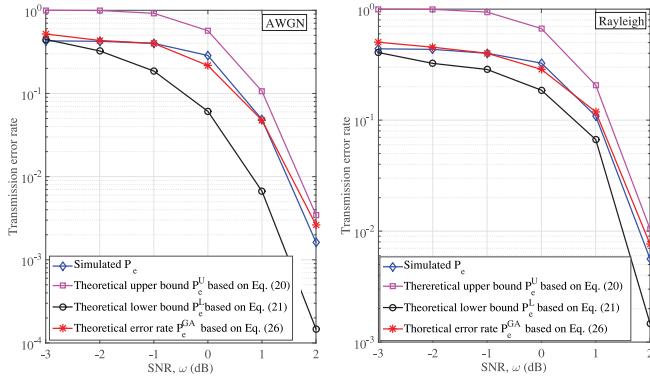


Fig. 6. Simulated and theoretical error rate performances evaluated by our derived Eq. (20), (21) and (26) respectively when applying the proposed QCP-CSS scheme 1.

$K_{\zeta_1} = P$ ,  $K_{\zeta_2} = P + L(D2B(i))$ ,  $K_{\zeta_3} = P + L(D2B(i)) + L(D2B(o_i))$  and  $K_{\zeta_4} = P + L(D2B(i)) + L(D2B(o_i)) + L(D2B(a_i))$ , where  $P$  is the length of  $\mathbf{R}_i$  given in Eq. (4),  $Q \times e$  is the length of the uncompressed local decision  $\mathbf{S}_i$  in Eq. (4). As shown in Table I, it can be observed that for the same coding rate  $r$ , the coding complexity of Case 1 is the highest and the number of information bits is the largest, since no compressing operations are performed on bits. By contrast, in Case 2, for the same  $r$ , the QCP-CSS using Scheme 1 achieves the lowest complexity and the highest ESE. Additionally, in Case 3, it is worth mentioning that the QCP-CSS using Scheme 1, Scheme 2, and Scheme 3 will add the additional complexity to FC to generate the frozen bits, while Scheme 4 will not.

## V. SIMULATION

In this section, simulation results are provided to demonstrate the benefits of the proposed QCP-CSS scheme. In the simulations, the parameters are set as,  $M = 64$ ,  $Q = 512$ , the length of the local sensing report  $P$  is 384, the QSD level  $E$  is 8, the PU occupancy rate  $\beta_{PU}$  is 0.1, the sampling frequency for the energy detector  $f_s$  is 5MHz. In addition, we assume that the binary phase shift keying (BPSK) is employed.

### A. Transmission Error Rate Performances of the Proposed Scheme

Firstly, we validate the effectiveness of the presented theoretical analysis via simulations. Fig. 6 illustrates the transmission error rate  $P_e$  of the proposed QCP-CSS scheme using Scheme 1  $\zeta_1$  when the code length is  $N = 1024$ ,  $K = 410$  and the coding rate is set as 0.4. As shown in Fig. 6(a) and Fig. 6(b), over both AWGN channel and Rayleigh fading channel, we can observe that along with the increasing SNR, the transmission error rate  $P_e$  decreases. Meanwhile, it can be observed that the simulation result is higher than the lower bound given by Eq. (21) and lower than the higher bound given by Eq. (20) obtained from the Heuristic method. In addition, we can also notice that using the GA method, more accurate theoretical transmission error rate results  $P_e^{GA}$  expressed by Eq. (26) could be obtained.

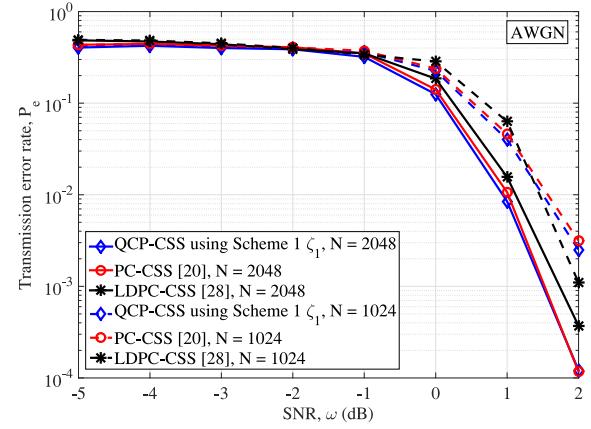


Fig. 7. The transmission error rate over AWGN channel under different  $N$  values.

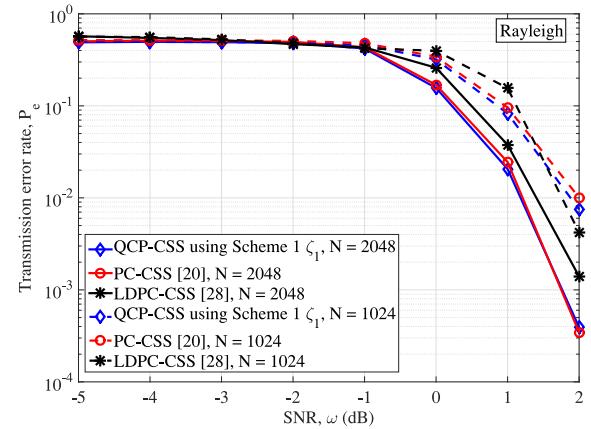


Fig. 8. The transmission error rate over Rayleigh fading channel under different  $N$  values.

### B. Comparisons of Transmission Error Performances

1) *Comparison With Benchmark Schemes:* Fig. 7 and Fig. 8 compare the transmission error rate  $P_e$  among the proposed QCP-CSS scheme, polar-coded CSS (PC-CSS) scheme with the frozen bits set to 0 [20], and low-density parity-check coded CSS (LDPC-CSS) [28] scheme when different code length  $N$  is used over AWGN and Rayleigh fading channels using Scheme 1  $\zeta_1$ . For  $K = 384$ , the LDPC encoding parameters are (2048, 13, 16) and (1024, 5, 8) when  $N$  is 2048 and 1024 respectively [28]. We can observe that the QCP-CSS scheme achieves lower  $P_e$  than the LDPC-CSS schemes when  $N = 2048$ . When  $N$  increases, the redundancy length increases, then  $P_e$  becomes lower, as also mentioned in [20].

2) *Comparison Among the Proposed Four Types of Schemes:* Moreover, we compare the transmission error performance using different characteristic information processing schemes over AWGN channel and Rayleigh fading channel in Fig. 9 and Fig. 10 respectively. When  $SNR = 3$  dB, due to the decrease of information bit number  $K$ , the code rate increases. It can be observed from the figures that  $P_e$  of QCP-CSS systems using different characteristic information processing schemes increases when the code rate increases over both AWGN channel and Rayleigh fading channel, where for  $\zeta_1$ ,  $\zeta_2$ ,  $\zeta_3$  and  $\zeta_4$ , the code rate are respectively

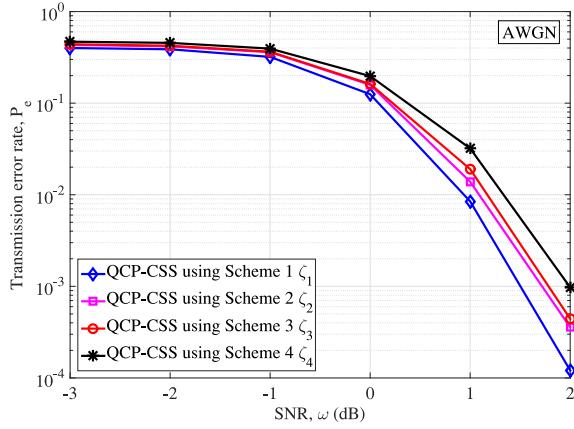


Fig. 9. The transmission error rate over AWGN channel using different characteristic information processing schemes.

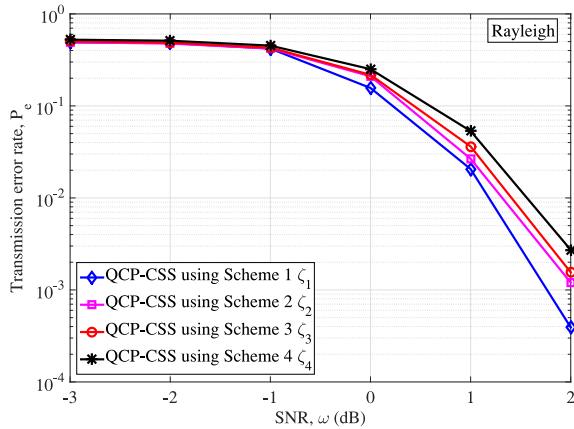


Fig. 10. The transmission error rate over Rayleigh fading channel using different characteristic information processing schemes.

$\frac{L(\mathbf{R}_i)}{N}$ ,  $\frac{L(\mathbf{R}_i) + L(D2B(i))}{N}$ ,  $\frac{L(\mathbf{R}_i) + L(D2B(i)) + L(D2B(o_i))}{N}$  and  $\frac{L(\mathbf{R}_i) + L(D2B(i)) + L(D2B(o_i)) + L(D2B(a_i))}{N}$ . The reason is that for Scheme 1  $\zeta_1$ , all the characteristic information of SUs is encoded as the frozen bits, thus more redundancy bits are transmitted to protect the local sensing results  $\mathbf{R}_i$  in the encoded data with  $N$  bits. By contrast, for Scheme 4  $\zeta_4$ , the characteristic information is regarded as information bits, thus fewer bits in the encoded data are used to correct the transmission errors, hence leading to a relatively higher transmission error rate  $P_e$ .

### C. Comparisons of the Overall Detection Probability Performances

1) *Comparison With Benchmark Schemes:* In Fig. 11, we compare the overall detection probability performances when using the proposed scheme and the OR rule based ON/OFF reporting scheme [11] which only improves the reporting processing without the consideration of underused bands. It can be observed that based on the same SNR  $\omega$ , when the overall false alarm probability  $Q_f$  is lower than 0.1, our presented QCP-CSS scheme can achieve higher overall detection probability  $Q_d$ , thereby effectively enhancing the spectrum sensing performances.

Fig. 12 and Fig. 13 illustrate the overall detection probability  $Q_d$  of underlay/overlay CRN when  $N = 2048$  using

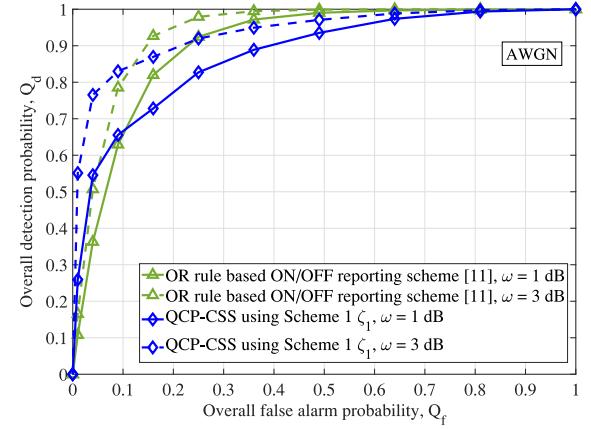


Fig. 11. The overall detection probability performance comparison between the proposed scheme and the ON/OFF reporting scheme [11] over AWGN channels in the overlay scenario.

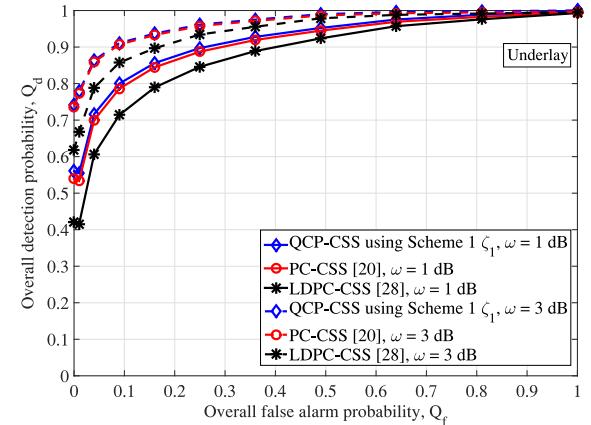


Fig. 12. The overall detection probability performance comparison among the proposed scheme, the PC-CSS scheme [20] and the LDPC-CSS scheme [28] scheme in the underlay scenario.

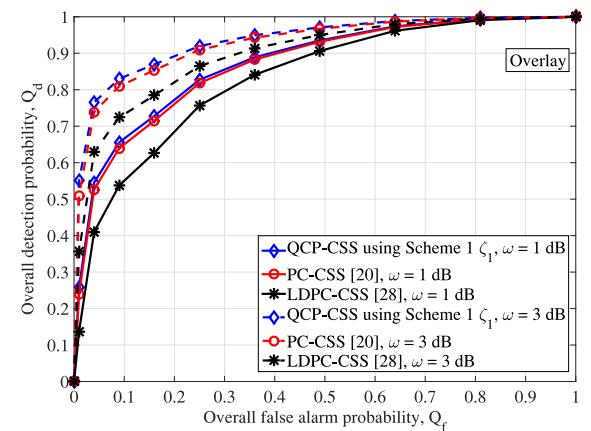


Fig. 13. The overall detection probability performance comparison among the proposed scheme, the PC-CSS scheme [20] and the LDPC-CSS scheme [28] scheme in the overlay scenario.

Scheme 1  $\zeta_1$ . We can observe that the QCP-CSS scheme achieves outstanding performances in both underlay and overlay CRNs, and  $Q_d$  is higher than that of LDPC-CSS scheme [28]. In addition, we can see that when the SNR increases, the  $Q_d$  becomes higher when  $Q_f$  remains the same.

2) *Comparison Among the Proposed Four Types of Schemes:* Additionally, we compare overall detection

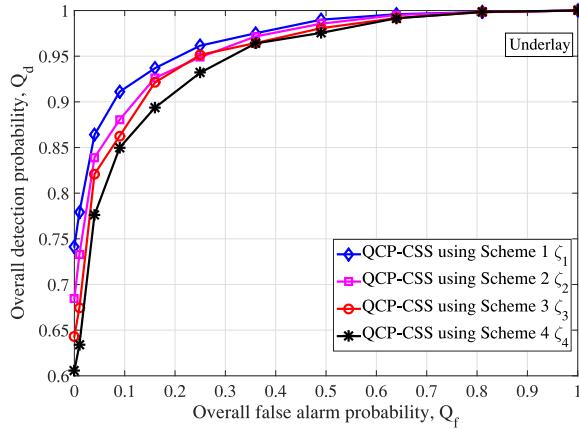


Fig. 14. The overall detection probability performances in underlay scenario when using different characteristic information processing schemes.

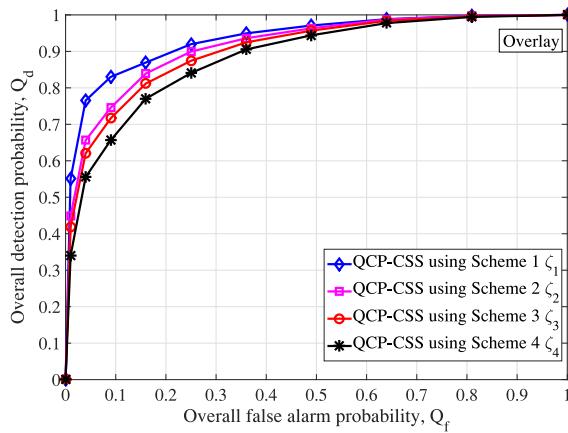


Fig. 15. The overall detection probability performances in overlay scenario when using different characteristic information processing schemes.

probability performances of QCP-CSS systems using different characteristic information processing schemes in the underlay and overlay scenario in Fig. 14 and Fig. 15 respectively. It can be observed that in both the underlay and the overlay scenario,  $P_e$  increases when the code rate increases, since the lower  $P_e$  can lead to the higher  $Q_d$ , thus  $Q_d$  becomes higher when the code rate increases. For example, when Scheme 1  $\zeta_1$  is used, as mentioned above, all the characteristic information are treated as frozen bits, which has the lowest code rate among the four characteristic information processing schemes, thus the QCP-CSS system can achieve the best  $Q_d$  thanks to the lowest  $P_e$  as shown in Fig. 9 and in Fig. 10.

#### D. Comparisons of the Collision Probability Performances

1) *Comparison With Benchmark Schemes:* Fig. 16 and Fig. 17 illustrate the collision probability  $P_c$  of QCP-CSS aided CRNs using Scheme 1  $\zeta_1$ . It can be seen that the QCP-CSS scheme achieves the lowest  $P_c$  compared with counterpart schemes. The reason is that utilizing the cooperative characteristic information embedded into the information bits or frozen bits in the encoded data, the FC in QCP-CSS system can determine the spectrum usage status more precisely, while the PC-CSS and LDPC-CSS scheme cannot utilize any characteristic information delivered from other

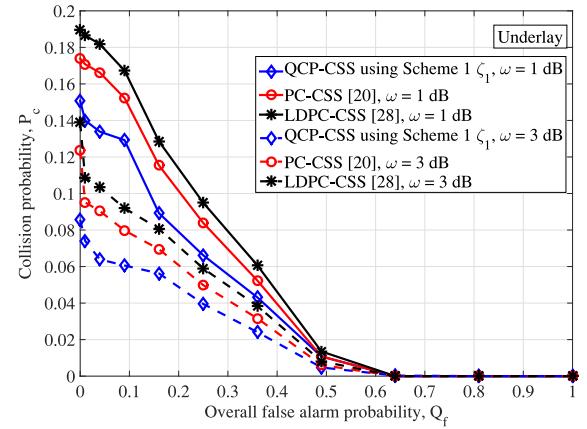


Fig. 16. The collision probability performances in underlay scenario.

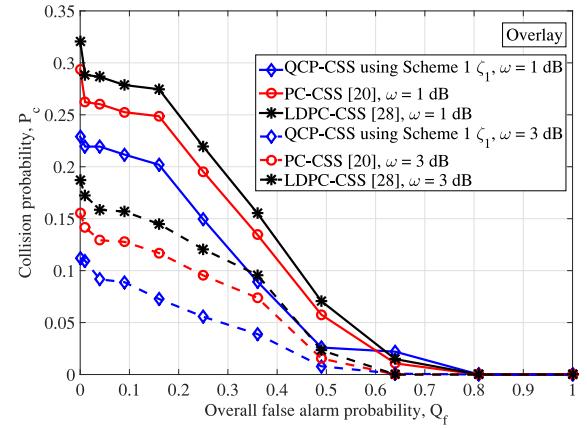


Fig. 17. The collision probability performances in overlay scenario.

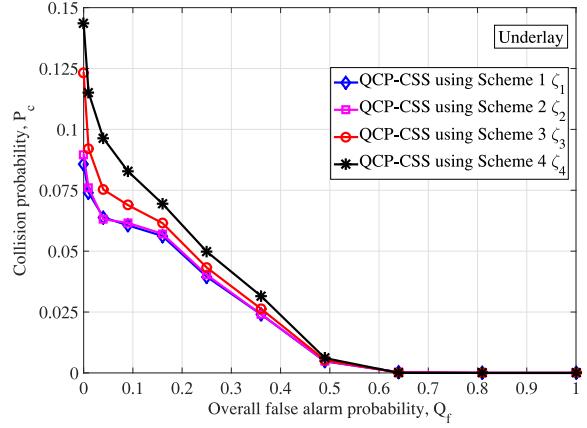


Fig. 18. The collision probability performances in the underlay scenario when using different characteristic information processing schemes.

SUs. Meanwhile, we can observe that  $P_c$  decreases when  $Q_f$  increases, which is in accordance with the conclusion given by [2].

2) *Comparison Among the Proposed Four Types of Schemes:* Fig. 18 and Fig. 19 provide the collision probability  $P_c$  of underlay and overlay CRNs when different characteristic information processing schemes are used. When SNR = 3 dB, it can be seen in Fig. 18 that the QCP-CSS system using Scheme 1  $\zeta_1$  and Scheme 2  $\zeta_2$  can achieve the lowest  $P_c$

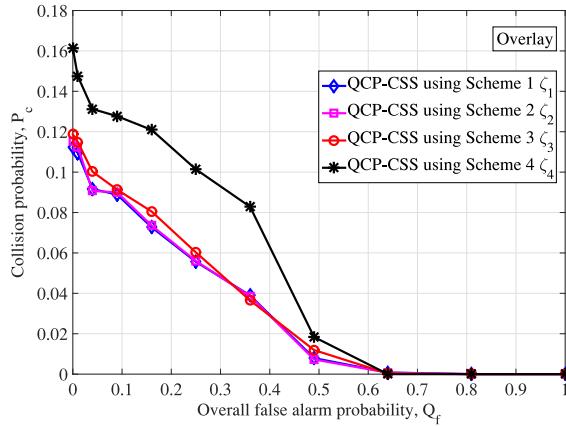


Fig. 19. The collision probability performances in the overlay scenario when using different characteristic information processing schemes.

compared with counterpart systems using Scheme 3  $\zeta_3$  and Scheme 4  $\zeta_4$ . The reason is that utilizing the cooperative characteristic information delivered, the FC in QCP-CSS system can determine the spectrum usage status more precisely. By contrast, for the QCP-CSS system using Scheme 3  $\zeta_3$ , no information related to the tolerance level of other SUs is delivered to FC, and the QCP-CSS system using Scheme 4  $\zeta_4$  will not deliver any characteristic information of SUs to the FC. Since FC could not learn such information closely related to the spectrum usage status of SUs, the collision probability will increase.

Moreover, when Scheme 2  $\zeta_2$  is used, the characteristic information  $o_i$  and  $a_i$  is embedded into the frozen bits to choose a specific sub-band, hence  $P_c$  of the QCP-CSS systems using Scheme 1  $\zeta_1$  and Scheme 2  $\zeta_2$  are similar. However, since in Scheme 2  $\zeta_2$ , no SU index  $i$  is delivered, hence in underlay CRNs, each SU needs to carefully identify the available sub-bands and to avoid accessing any sub-band  $a_i$ , which will reduce spectrum utilization in underlay CRNs. In addition, in the overlay scenario, the characteristic information  $o_i$  is useless, therefore, in Fig. 19 we can observe that in overlay CRNs, the collision probability of the system using Scheme 3  $\zeta_3$  is similar with the one using Scheme 2  $\zeta_2$ .

#### E. The Overall Performance Comparisons Among Four Types of Schemes

As mentioned above, we propose to utilize the characteristic parameters to enhance the reliability with four types of schemes. However, the improvement of transmission reliability might be achieved at the cost of higher complexity, hence there exists the tradeoff between the traditional spectral efficiency and the reliability.

Next, we take Scheme 4 as an example to illustrate the tradeoff between the sensing performance and the spectral efficiency. For  $N = 512$ , as shown in Fig. 20, when the traditional spectral usage efficiency  $\eta_{tra}$  increases, i.e., the coding rate increases, the transmission error rate  $P_e^{GA}$  obtained from Eq. (26) increases for the same SNR.

Moreover, Table II compares the QCP-CSS systems using the four characteristic information processing schemes in terms of the transmission error rate, the overall detection

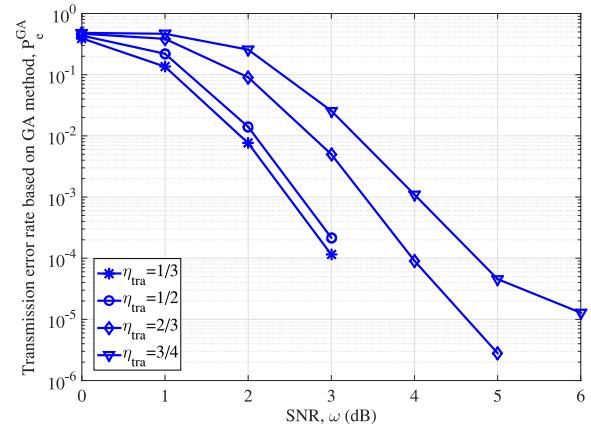


Fig. 20. Tradeoff between the reliability and traditional spectral efficiency for Scheme 4.

TABLE II  
THE PERFORMANCE COMPARISONS OF QCP-CSS SYSTEMS USING FOUR DIFFERENT CHARACTERISTIC INFORMATION PROCESSING SCHEMES

Scheme	$\zeta_1$	$\zeta_2$	$\zeta_3$	$\zeta_4$
Embedded characteristic information number	3	2	1	0
Example	$i, o_i, a_i$	$o_i, a_i$	$a_i$	None
$C_i$ needs to update $u_{A^c}^{(i)}$ to FC	✓	✓	✓	✗
$C_i$ accesses to spectrum based on $u_{A^c}$	✓	✓	✓	✗
Transmission complexity	$\zeta_1 > \zeta_2 > \zeta_3 > \zeta_4$			
Transmission error rate, $P_e$	$\zeta_1 < \zeta_2 < \zeta_3 < \zeta_4$			
Overall detection probability, $Q_d$	$\zeta_1 > \zeta_2 > \zeta_3 > \zeta_4$			
Collision probability, $P_c$	Underlay: $\zeta_1 \approx \zeta_2 < \zeta_3 < \zeta_4$ Overlay: $\zeta_1 \approx \zeta_2 \approx \zeta_3 < \zeta_4$			

probability and the collision probability. Among these four schemes, the system using Scheme 1  $\zeta_1$  achieves the highest overall detection probability, while the system using Scheme 4  $\zeta_4$  has the lowest complexity since no  $u_{A^c}^{(i)}$  is required to be updated and reported to the FC, however, its collision probability is the highest. In addition, the spectrum usage status decision precision of systems using Scheme 2  $\zeta_2$  and Scheme 3  $\zeta_3$  is worse than those using Scheme 1  $\zeta_1$  and better than those using Scheme 4  $\zeta_4$ . In practical CRNs, the presented design can provide a flexible solution for the reporting information transmissions, and there exists the tradeoff between the detection performance and the complexity.

## VI. CONCLUSION

In this paper, we propose a novel QCP-CSS scheme to provide a general QSD-based compressive local sensing report method with adjustable quantization levels for hybrid underlay and overlay CRNs. Different from the traditional research works which did not consider the hybrid underlay and overlay spectrum usage scenario and little research has been conducted on the reporting of different spectrum usage status, we propose to quantize the spectrum sensing results, then they are compressed to be transmitted over a polarized channel. Notably, during the polarization transmission, the sensing results are regarded as information bits, while the whole or a part of SU characteristic information, which includes the SU index, the interference tolerance level, and the accessed sub-band index,

are treated as frozen bits. Thus the transmission reliability of sensing results is enhanced, thereby improving the spectrum usage efficiency and reducing the collision probability. Then both parts are embedded into the encoded data using four types of schemes. Simulation results obtained in underlay/overlay scenarios over AWGN/Rayleigh fading channels validate our theoretical analysis and demonstrate that our scheme outperforms counterpart schemes in terms of the transmission error rate, the overall detection probability, and the collision probability. Last but not the least, it is worth pointing out future research works could be extended to investigate the behaviors of the malicious or greedy SUs who always occupy the spectrum bands, and then the security and sensing performances could be potentially further improved.

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