# Independent sets in subgraphs of a Shift graph

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Submitted: May 23, 2021; Accepted: Nov 12, 2021; Published: Feb 11, 2022 © The authors. Released under the CC BY-ND license (International 4.0).

#### Abstract

Erdős, Hajnal and Szemerédi proved that any subset G of vertices of a shift graph  $\operatorname{Sh}_n^k$  has the property that the independence number of the subgraph induced by G satisfies  $\alpha(\operatorname{Sh}_n^k[G]) \geqslant \left(\frac{1}{2} - \varepsilon\right)|G|$ , where  $\varepsilon \to 0$  as  $k \to \infty$ . In this note we prove that for k=2 and  $n\to\infty$  there are graphs  $G\subseteq \binom{[n]}{2}$  with  $\alpha(\operatorname{Sh}_n^2[G])\leqslant \left(\frac{1}{4}+o(1)\right)|G|$ , and  $\frac{1}{4}$  is best possible. We also consider a related problem for infinite shift graphs.

Mathematics Subject Classifications: 05C69, 05C63

#### 1 Introduction

For  $n > k \in \mathbb{N}$  the shift graph  $\operatorname{Sh}_n^k$  with

$$V(\mathrm{Sh}_n^k) = \{(x_1, \dots, x_k) : 1 \leqslant x_1 < \dots < x_k \leqslant n\}$$

is a graph in which two vertices  $\mathbf{x} = (x_1, \dots, x_k)$  and  $\mathbf{y} = (y_1, \dots, y_k)$  are adjacent if  $x_i = y_{i+1}$  for all  $i \in \{1, \dots, k-1\}$  (or  $y_i = x_{i+1}$  for all  $i \in \{1, \dots, k-1\}$ ). Shift graphs were introduced by Erdős and Hajnal [3],[4] and are standard examples of graphs with large chromatic number and large odd girth. More precisely, while the odd girth of  $\mathrm{Sh}_n^k$  is

<sup>\*</sup>The second author was supported by NSF grant DMS 1764385

2k + 1, they proved\* that  $Sh_n^k$  has chromatic number  $(1 + o(1)) \log^{(k-1)} n$ , where  $\log^{(k-1)}$  stands for k - 1 times iterated  $\log_2$ .

Shift graphs have another interesting property: For each finite set  $G \subseteq V(\operatorname{Sh}_n^k)$  the induced subgraph  $\operatorname{Sh}_n^k[G]$  has a relatively large independent set with respect to |G|. In other words, the property "having a large independent subset" is hereditary for  $\operatorname{Sh}_n^k$ . Namely, for

$$\alpha_n^k = \min \left\{ \frac{\alpha(\operatorname{Sh}_n^k[G])}{|G|} : \emptyset \neq G \subseteq V(\operatorname{Sh}_n^k) \right\}, \tag{1}$$

Erdős, Hajnal and Szemerédi [5, Theorem 1] proved the following.

**Theorem 1** (Erdős, Hajnal, Szemerédi). For positive integers k < n

$$\alpha_n^k \geqslant \frac{1}{2} - \frac{1}{k}.$$

As for the upper bound, for  $n \ge 2k+1$  the shift graph  $\operatorname{Sh}_n^k$  contains an odd cycle and so  $\alpha_n^k < 1/2$ . Therefore, Theorem 1 yields a lower bound which for large values of k is essentially optimal.

Nevertheless, determining the values of  $\alpha_n^k$  for fixed k and large n seems to represent an interesting and non-trivial problem. We will concentrate our attention on the case k=2. In this case the bound from Theorem 1 is not optimal, as we observe that  $\alpha_n^2 \ge 1/4$  for all n, and prove a matching upper bound.

Theorem 2. 
$$\lim_{n\to\infty} \alpha_n^2 = \frac{1}{4}$$
.

In [2], Czipszer, Erdős and Hajnal proved that the densest independent set of the infinite graph  $\operatorname{Sh}^2_{\mathbb{N}}$  has density 1/4 (see Section 3 for precise formulation). We complement their result by showing that the infinite shift graph  $\operatorname{Sh}^2_{\mathbb{N}}$  does not have a similar hereditary property, i.e., there exists  $G \subseteq V(\operatorname{Sh}^2_{\mathbb{N}})$  such that any independent set in  $\operatorname{Sh}^2_{\mathbb{N}}[G]$  has density zero in G (see Theorem 7).

#### 2 Proof of Theorem 2

Note that  $\alpha_n^2 = \min\left\{\frac{\alpha(\operatorname{Sh}_n^2[G])}{|G|} : \emptyset \neq G \subseteq V(\operatorname{Sh}_n^2)\right\}$  is a nonincreasing positive sequence, so the sequence  $\{\alpha_n^2\}$  has a limit. Additionally, we will often view  $G \subseteq V(\operatorname{Sh}_n^2)$  as a graph with V(G) = [n] and set of edges equal to G. Subsequently |G| will denote both a size of G as a subset of  $V(\operatorname{Sh}_n^2)$ , and the number of edges in G when it is viewed as a graph.

<sup>\*</sup>In [4] authors considered infinite graphs, however their proof can be adapted for finite case (see [1] and [6] for more detailed description).

#### 2.1 Lower bound

We first show that the value of the limit in Theorem 2 is at least 1/4.

Claim 3. For every set  $G \subseteq V(\operatorname{Sh}_n^2)$  we have  $\alpha(\operatorname{Sh}_n^2[G]) \geqslant \frac{1}{4}|G|$ .

*Proof.* Let  $G \subseteq V(\operatorname{Sh}_n^2)$  be given. Consider a random colouring  $c : [n] \to \{r, b\}$  such that every  $i \in [n]$  is coloured red/blue with probability 1/2 independently of other elements of [n].

Let  $G_c$  be a random subset of G defined by

$$G_c = \{(i, j) \in G : i < j, c(i) = b, c(j) = r\}.$$

Then such  $G_c$  is always an independent set in  $\operatorname{Sh}_n^2$ . Moreover,  $\mathbb{P}(e \in G_c) = \frac{1}{4}$  for every  $e \in G$ , and so  $\mathbb{E}(|G_c|) = \frac{1}{4}|G|$ . Therefore  $\alpha(\operatorname{Sh}_n^2[G]) \geqslant \frac{1}{4}|G|$ .

#### 2.2 Upper bound

We now proceed and prove the upper bound

$$\lim_{n \to \infty} \alpha_n^2 \leqslant \frac{1}{4}.\tag{2}$$

In what follows for every  $\varepsilon > 0$ , integer d satisfying  $\frac{3+\ln d}{4d} \leqslant \frac{\varepsilon}{2}$ , and for every integer  $n \geqslant n_0(\varepsilon,d)$  that is a multiple of  $2^d$ , we will construct a graph  $G_{\varepsilon}(n,d) \subseteq V(\operatorname{Sh}_n^2)$  with

$$\alpha(\operatorname{Sh}_n^2[G_{\varepsilon}(n,d)]) \leqslant \left(\frac{1}{4} + \varepsilon\right) |G_{\varepsilon}(n,d)|.$$

To be more precise, for such  $\varepsilon$  and d we inductively build  $G_{\varepsilon}(n,d)$  satisfying

$$\frac{\alpha(\operatorname{Sh}_n^2[G_{\varepsilon}(n,d)])}{|G_{\varepsilon}(n,d)|} \leqslant \left(\frac{1}{4} + \frac{3+\ln d}{4d} + \frac{\varepsilon}{2}\right). \tag{3}$$

Since  $\{\alpha_n^2\}$  is nonincreasing, (3) implies that  $\lim_{n\to\infty}\alpha_n^2 \leq 1/4 + \varepsilon$ , which subsequently implies (2) by letting  $\varepsilon \to 0$ .

While constructing  $G_{\varepsilon}(n,d)$  we will use random bipartite graphs. Recall that if G is a graph and  $X,Y\subseteq V(G)$  then G[X,Y] is a graph consisting of edges of G with one vertex in X and another in Y. Finally let  $e_G(X,Y)=|E(G[X,Y])|$  and we will omit subscript when G is obvious from the context. The following claim can be easily verified by considering a random graph and so the proof of Claim 4 is postponed to Appendix.

Claim 4. For  $\varepsilon > 0$  and  $d \in \mathbb{N}$  there is  $n_0 = n_0(\varepsilon, d)$  such that for all  $n \ge n_0$  that are divisible by  $2^d$  the following holds. Let  $[n] = S \cup L$ , where  $S = \{1, \ldots, \frac{n}{2}\}$  and  $L = [n] \setminus S$ . There exists a bipartite graph  $B_{\varepsilon}(n, d)$  with bipartition  $V(B_{\varepsilon}(n, d)) = S \sqcup L$  such that

(i) 
$$|B_{\varepsilon}(n,d)| = \frac{n^2}{2^{d+1}}$$
.

(ii) for all  $X \subseteq S$  and  $Y \subseteq L$ 

$$e(X,Y) = \frac{1}{2^{d-1}}|X||Y| \pm \frac{\varepsilon n^2}{2^{d+2}}.$$

Construction of  $G_{\varepsilon}(n,d)$ .

**Definition 5.** For every even n let  $G_{\varepsilon}(n,1)$  be such that

$$G_{\varepsilon}(n,1) = \{(i,j) : 1 \le i \le \frac{n}{2} < j \le n\},$$

i.e.,  $G_{\varepsilon}(n,1)$  is a complete balanced bipartite graph.

For  $d \in \mathbb{N}$  define graph  $G_{\varepsilon}(n,d)$  recursively for all sufficiently large<sup>†</sup> n such that  $2^d|n$ . Let  $[n] = S \cup L$ , where  $S = \{1, \ldots, \frac{n}{2}\}$  and  $L = [n] \setminus S$ . Then define

$$G_{\varepsilon}(n,d) = G_{\varepsilon}(S,d-1) \cup G_{\varepsilon}(L,d-1) \cup B_{\varepsilon}(n,d),$$

where  $G_{\varepsilon}(S, d-1) = G_{\varepsilon}(\frac{n}{2}, d-1)$ ,  $V(G_{\varepsilon}(L, d-1)) = L$  and  $G_{\varepsilon}(L, d-1) \cong G_{\varepsilon}(\frac{n}{2}, d-1)$ , and  $B_{\varepsilon}(n, d)$  is a graph guaranteed by Claim 4.

To summarize, every  $G_{\varepsilon}(n,d) = G$  satisfies the following properties (with  $S_n = \{1,\ldots,\frac{n}{2}\}$  and  $L_n = [n] \setminus S_n$ ):

- (i)  $e_G(S_n, L_n) = \frac{n^2}{2^{d+1}}$ .
- (ii) for all  $X \subseteq S_n$  and  $Y \subseteq L_n$

$$e(X,Y) = \frac{1}{2^{d-1}}|X||Y| \pm \frac{\varepsilon n^2}{2^{d+2}}.$$

(iii) 
$$G[S_n] \cong G[L_n] = G_{\varepsilon}(\frac{n}{2}, d-1)$$

Using properties (i) and (iii) and induction on d it is easy to verify that for all  $d \in \mathbb{N}$  and n divisible by  $2^d$ 

$$|G_{\varepsilon}(n,d)| = d\frac{n^2}{2^{d+1}}. (4)$$

We will now proceed with proving (3). First let  $G \subseteq V(\operatorname{Sh}_n^2)$  and let  $I \subseteq G$  be an independent set in  $\operatorname{Sh}_n^2$ . In other words there is no  $1 \leqslant i < j < k \leqslant n$  with both (i,j) and (j,k) in I. One can observe that for each such  $I \subseteq G$  there exists a 2-colouring  $c:[n] \to \{r,b\}$  with c(i)=r and c(j)=b whenever  $(i,j)\in I$ , and then

$$I \subseteq G_c = \{(x, y) \in G : x < y, c(x) = b, c(y) = r\}.$$
 (5)

 $<sup>^{\</sup>dagger}n \geqslant 2^{i}n_{0}(\varepsilon, d-i)$  for all  $i \in \{0, 1, \dots, d-2\}$ , where  $n_{0}(\varepsilon, d-i)$  is the number provided by Claim 4.

Therefore, in order to prove (3) we will show that for  $G = G_{\varepsilon}(n,d)$  and any  $c : [n] \to \{r,b\}$ 

$$\frac{|G_c|}{|G|} \leqslant \frac{1}{4} + \frac{3 + \ln d}{4d} + \frac{\varepsilon}{2}.\tag{6}$$

For the rest of our calculation let  $\varepsilon$  be fixed. We will now prove (6) by induction on d. In order to make use of recursive structure of  $G_{\varepsilon}(n,d)$  we will prove a version of (6) with an additional assumption that  $|\{i: c(i) = b\}| = \alpha n$ .

To that end for  $d \in \mathbb{N}$ ,  $\alpha \in [0,1]$  and  $n \geq n_0(\varepsilon,d)$  let

$$f_d^{\alpha}(n) = d \cdot \max_c \left\{ \frac{|G_c|}{|G|} : G = G_{\varepsilon}(n, d), |\{i : c(i) = b\}| = \alpha n \right\}.$$
 (7)

We will prove the following estimate on  $f_d^{\alpha}(n)$ .

Claim 6. For every  $d \in \mathbb{N}$ ,  $\alpha \in [0,1]$  and  $n \ge n_0(\varepsilon,d)$ 

$$f_d^{\alpha}(n) \leqslant (d+3)(\alpha-\alpha^2) + \frac{1}{4}\ln d + \frac{d\varepsilon}{2}.$$

From (7) it follows that for  $G = G_{\varepsilon}(n, d)$  and any colouring c we have

$$\frac{|G_c|}{|G|} \leqslant \max_{\alpha \in [0,1]} \frac{f_d^{\alpha}(n)}{d}.$$

Then by Claim 6 we get

$$\frac{|G_c|}{|G|} \leqslant \frac{1}{4} \frac{d+3}{d} + \frac{\ln d}{4d} + \frac{\varepsilon}{2},$$

establishing (6) and (3). Hence it remains to prove Claim 6 in order to finish the proof of the upper bound.

*Proof of Claim 6.* We prove a slightly stronger inequality for all  $n \ge n_0(\varepsilon, d)$ 

$$f_d^{\alpha}(n) \le (d+3)(\alpha - \alpha^2) + \frac{1}{4} \sum_{i=3}^{d+1} \frac{1}{i} + \frac{d\varepsilon}{2}.$$
 (8)

The proof is by induction on d. For d=1 recall that  $G=G_{\varepsilon}(n,1)$  is a complete bipartite graph between  $S_n$  and  $L_n$ . Let  $c:[n] \to \{r,b\}$  be such that for  $B=\{i:c(i)=b\}$  we have  $|B|=\alpha n$ . Then in view of (5) the maximum value of  $|G_c|$  is achieved when  $B=[\alpha n]$  and so

$$f_1^{\alpha}(n) = \begin{cases} 2\alpha, & \alpha \in [0, \frac{1}{2}] \\ 2 - 2\alpha, & \alpha \in [\frac{1}{2}, 1]. \end{cases}$$

Now it is easy to verify that  $f_1^{\alpha} \leq 4(\alpha - \alpha^2)$  for all  $\alpha \in [0, 1]$ , establishing (8) in the case d = 1.

To prove inductive step let  $G = G_{\varepsilon}(n,d)$  and let  $c : [n] \to \{r,b\}$  be such that for  $B = \{i : c(i) = b\}$  we have  $|B| = \alpha n$ . As before, let  $S = \{1, \ldots, \frac{n}{2}\}$  and  $L = [n] \setminus S$ . Let

 $B_S$ ,  $B_L$ ,  $R_S$  and  $R_L$  denote the set of blue and red vertices in S and L respectively. We will further refine our analysis by assuming that  $|B_S| = x\frac{n}{2}$  with some  $x \in [0, 2\alpha]$ . Since  $|B_S| + |R_S| = \frac{n}{2}$ ,  $|B_S| + |B_L| = |B| = \alpha n$ , and  $|B_L| + |R_L| = \frac{n}{2}$ , we have  $|R_S| = (1 - x)\frac{n}{2}$ ,  $|B_L| = (2\alpha - x)\frac{n}{2}$ , and consequently  $|R_L| = (1 - 2\alpha + x)\frac{n}{2}$  (see Figure 1). Then

$$|G_c| = |G_c[S]| + |G_c[L]| + e_G(B_S, R_L).$$
(9)

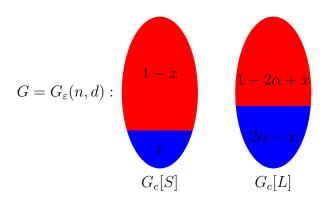


Figure 1: Proportions of red and blue vertices in  $G_c[S]$  and  $G_c[L]$ .

Now, by (iii)  $G[S] = G_{\varepsilon}(\frac{n}{2}, d-1)$  and we assumed  $|B_S| = x\frac{n}{2}$ , so

$$|G_c[S]| \stackrel{(7)}{\leqslant} \frac{f_{d-1}^x(\frac{n}{2})}{d-1} |G[S]| \stackrel{(4)}{=} \frac{n^2}{2^{d+2}} f_{d-1}^x\left(\frac{n}{2}\right). \tag{10}$$

Similarly, since  $|B_L| = (2\alpha - x)\frac{n}{2}$  we have

$$|G_c[L]| \leqslant \frac{n^2}{2^{d+2}} f_{d-1}^{2\alpha - x} \left(\frac{n}{2}\right).$$
 (11)

And finally, since  $G = G_{\varepsilon}(n, d)$ ,

$$e_G(B_S, R_L) \stackrel{(ii)}{\leqslant} \frac{1}{2^{d-1}} |B_S| |R_L| + \frac{\varepsilon n^2}{2^{d+2}} = \frac{n^2}{2^{d+1}} \left( x(1 - 2\alpha + x) + \frac{\varepsilon}{2} \right).$$
 (12)

Combining (9) with (10), (11), and (12) we obtain

$$|G_c| \le \frac{n^2}{2^{d+1}} \left( \frac{1}{2} \left( f_{d-1}^x \left( \frac{n}{2} \right) + f_{d-1}^{2\alpha - x} \left( \frac{n}{2} \right) \right) + x(1 - 2\alpha + x) + \frac{\varepsilon}{2} \right).$$

Finally,  $|G| = |G_{\varepsilon}(n,d)| \stackrel{(4)}{=} d_{\frac{n^2}{2^{d+1}}}$  and so by (7) we deduce that

$$f_d^{\alpha}(n) \leqslant \max_{x \in \mathbb{R}} \left\{ \frac{1}{2} \left( f_{d-1}^x \left( \frac{n}{2} \right) + f_{d-1}^{2\alpha - x} \left( \frac{n}{2} \right) \right) + x(1 - 2\alpha + x) + \frac{\varepsilon}{2} \right\}. \tag{13}$$

The last inequality allows us to incorporate induction hypothesis. In particular, by induction hypothesis we have

$$f_{d-1}^{x}(\frac{n}{2}) \leqslant (d+2)(x-x^{2}) + \frac{1}{4} \sum_{i=3}^{d} \frac{1}{i} + \frac{(d-1)\varepsilon}{2},$$

$$f_{d-1}^{2\alpha-x}(\frac{n}{2}) \leqslant (d+2)(2\alpha-x)(1-2\alpha+x) + \frac{1}{4} \sum_{i=3}^{d} \frac{1}{i} + \frac{(d-1)\varepsilon}{2},$$

and these two inequalities together with (13), after some simple but tedious algebraic manipulations yield

$$f_d^{\alpha}(n) \leqslant \max_{x \in \mathbb{R}} \left\{ -(d+1)x^2 + (1+2\alpha(d+1))x + (d+2)(\alpha - 2\alpha^2) + \frac{1}{4} \sum_{i=3}^d \frac{1}{i} + \frac{d\varepsilon}{2} \right\}.$$

In other words  $f_d^{\alpha}(n) \leq \max_{x \in \mathbb{R}} \{g(x)\}$ , where  $g(x) = ax^2 + bx + c$  with a = -(d+1). Since a < 0 we have  $\max_{x \in \mathbb{R}} g(x) = g(\frac{-b}{2a}) = c - \frac{b^2}{4a}$ . Therefore after another set of algebraic manipulations we obtain

$$f_d^{\alpha}(n) \le \max_{x \in \mathbb{R}} \{g(x)\} \le (d+3)(\alpha - \alpha^2) + \frac{1}{4} \sum_{i=3}^{d+1} \frac{1}{i} + \frac{d\varepsilon}{2},$$

finishing the proof of the inductive step and Claim 6.

## 3 Infinite graphs

Recall that Theorem 2 states

$$\lim_{n \to \infty} \min \left\{ \frac{\alpha(\operatorname{Sh}_n^2[G])}{|G|} : \emptyset \neq G \subseteq V(\operatorname{Sh}_n^2) \right\} = \frac{1}{4}. \tag{14}$$

On the other hand, considering  $I=\{(i,j):1\leqslant i\leqslant \frac{n}{2}< j\leqslant n\}$  we clearly have  $\alpha(\operatorname{Sh}_n^2)\geqslant \lfloor \frac{n^2}{4}\rfloor$ . Moreover  $\lfloor \frac{n^2}{4}\rfloor$  is optimal, since any graph  $G\subseteq V(\operatorname{Sh}_n^2)$  with  $|G|\geqslant \lfloor \frac{n^2}{4}\rfloor+1$  contains a triangle and hence such G is not an independent set in  $\operatorname{Sh}_n^2$ . Therefore,

$$\lim_{n \to \infty} \frac{\alpha(\operatorname{Sh}_n^2)}{|\operatorname{Sh}_n^2|} = \frac{1}{2}.$$
 (15)

It may be interesting to note that infinite version of (15) was considered by Czipszer, Erdős and Hajnal [2] who proved that if I is independent set in countable shift graph  $\mathrm{Sh}^2_{\mathbb{N}}$ , then the density of I does not exceed 1/4, i.e.

$$\liminf_{n \to \infty} \frac{\left| I \cap \binom{[n]}{2} \right|}{\binom{n}{2}} \leqslant \frac{1}{4}.$$
(16)

(Here  $\frac{1}{4}$  is clearly optimal, since  $I = \{(i, j) : i < j, i \text{ odd}, j \text{ even}\}$  is independent in  $\mathrm{Sh}^2_{\mathbb{N}}$ .)

To complete this discussion we provide an infinite variant of (14).

**Theorem 7.** There is  $G \subseteq V(\operatorname{Sh}^2_{\mathbb{N}})$  such that if I is an independent set in  $\operatorname{Sh}^2_{\mathbb{N}}[G]$ , then

$$\liminf_{n\to\infty}\frac{\left|I\cap\binom{[n]}{2}\right|}{\left|G\cap\binom{[n]}{2}\right|}=0.$$

*Proof.* Consider an infinite ordered tree G with  $V(G) = \mathbb{N}$ , and with vertices labeled  $v_i^j$ , where j denotes the "level"  $L_j$  that vertex  $v_i^j$  belongs to and i denotes the order in which vertices are listed on the level.

Consider a labeling of vertices of G by integers satisfying  $v_i^j < v_i^{j'}$  if j < j' and  $v_i^j < v_{i'}^j$  if i < i' such that for all  $v_i^j$  the finite set  $N^+(v_i^j)$  of all children of  $v_i^j$  forms an interval (and these intervals on the level  $L_{j+1}$  follow the order of their parents on  $L_j$ , see Figure 2). Finally we will assume that for all  $v_i^j$ 

$$|N^{+}(v_{i}^{j})| \geqslant 2^{j} \sum_{v < v_{i}^{j}} |N^{+}(v)|.$$
 (17)

Now, let  $I \subseteq G$  be an infinite independent set in  $\operatorname{Sh}^2_{\mathbb{N}}$  and let  $(v_k^{j-1}, v_i^j) \in I$ , where  $v_k^{j-1}$  and  $v_i^j$  are parent and child respectively. Let  $w = \max\{N^+(v_i^j)\}$  be the largest son of  $v_i^j$  and let  $W = \{1, \ldots, w\}$  (see Figure 2). Then

$$G[W] = \bigcup_{v \leqslant v_i^j} \{ (v, u) : u \in N^+(v) \}.$$
 (18)

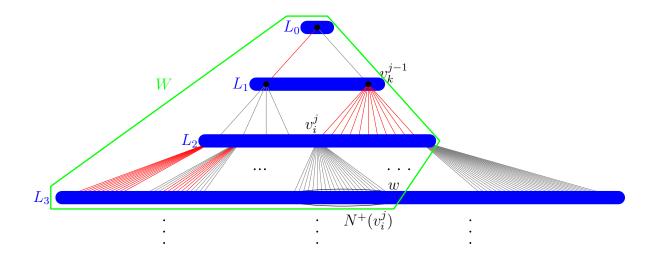


Figure 2: Infinite tree G, vertices are ordered top to bottom, left to right. Edges of I are labeled with red.

In particular in view of (17)

$$|G[W]| \ge |\{(v_i^j, u) : u \in N^+(v)\}| = |N^+(v_i^j)|.$$
 (19)

On other hand, since  $(v_k^{j-1}, v_i^j) \in I$  and I is independent set in  $Sh_{\mathbb{N}}^2$ , the set I does not contain any other edge incident to  $v_i^j$ . Consequently,

$$|I[W]| \leqslant \left| \bigcup_{v < v_i^j} \left\{ (v, u) : u \in N^+(v) \right\} \right| \stackrel{(17)}{\leqslant} 2^{-j} |N^+(v_i^j)|. \tag{20}$$

In view of (19) and (20) we have  $|I[W]|/|G[W]| \leq \frac{1}{2^j}$ . Now, since I is infinite there are edges  $(v_k^{j-1}, v_i^j) \in I$  with sufficiently large j, hence the ratio |I[W]|/|G[W]| can be made arbitrary small, finishing the proof.

## 4 Concluding remarks

In [5] it was proved<sup> $\ddagger$ </sup> that for any n, k

$$\alpha_n^k \geqslant \begin{cases} \frac{1}{2} - \frac{1}{k}, & \text{if } k \text{ is even,} \\ \frac{1}{2} - \frac{1}{2k}, & \text{if } k \text{ is odd.} \end{cases}$$
 (21)

It remains an open problem to determine for any  $k \ge 3$  the exact value of  $\lim_{n\to\infty} \alpha_n^k$ . For k=4 we were able to improve the constant in the lower bound (21) from  $\frac{1}{4}$  to  $\frac{3}{8}$  and for k=3 we believe that estimate in (21) is sharp.

**Problem 8.** Show that  $\lim_{n\to\infty} \alpha_n^3 = \frac{1}{3}$ .

Finally, all of the results in this paper can be reformulated in terms of subgraphs with no increasing paths of length two. For instance, Theorem 2 implies that for any  $\varepsilon > 0$  there exists an vertex-ordered graph G such that if  $G' \subseteq G$  with  $|G'| \geqslant \left(\frac{1}{4} + \varepsilon\right) |G|$ , then G' contains an increasing path of length two, i.e. there are i < j < k with  $(i, j), (j, k) \in G'$ . One can ask similar questions for longer increasing paths.

**Problem 9.** For any  $\varepsilon > 0$  does there exist an ordered graph G such that if  $G' \subseteq G$  with  $|G'| \ge \left(\frac{1}{3} + \varepsilon\right) |G|$ , then G' contains an increasing path of length three?

Note that in regards to Problem 9, one can consider a random coloring c of V(G) with colors  $\{0,1,2\}$  and define G' to be the collection of all  $(i,j) \in E(G)$  with i < j and c(i) < c(j). Then such G' on average contains  $\frac{1}{3}|G|$  edges and has no increasing paths of length three, motivating the constant  $\frac{1}{3}$  in the problem.

<sup>&</sup>lt;sup>‡</sup>the result follows from the proof of Theorem 1 in [5]

 $<sup>{}^{\</sup>S}\alpha(\operatorname{Sh}_n^4[G])\geqslant \frac{3}{8}|G|$  can be proved by considering a random colouring  $c:[n]\to\{0,1\}$  and forming an independent set in  $\operatorname{Sh}_n^4$  by taking hyperedges of G of form 1000, 1110, or x01y for some  $x,y\in\{0,1\}$ .

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## **Appendix**

Proof of Claim 4. Let  $B_{\varepsilon}(n,d) = G$ , where G is a random graph between S and L obtained by selecting a random subset of size  $\frac{n^2}{2^{d+1}}$  without replacement from  $K_{S,L}$  (complete bipartite graph between S and L). Then G satisfies (i) and we will show that G satisfies (ii) almost surely.

For every  $X \subseteq S$  and  $Y \subseteq L$ ,  $e(X,Y) = e_G(X,Y)$  is distributed as a hypergeometric random variable  $H\left(\frac{n^2}{4}, \frac{n^2}{2^{d+1}}, |X||Y|\right)$  with expectation  $\frac{1}{2^{d-1}}|X||Y|$ . Let  $B_{X,Y}$  be the event that

$$\left| e_G(X,Y) - \frac{1}{2^{d-1}} |X| |Y| \right| > \frac{\varepsilon n^2}{2^{d+2}},$$

i.e.,  $B_{X,Y}$  is the event that (ii) fails for given X and Y.

We will use a concentration inequality for hypergeometric random variables (this version is a corollary of Theorem 2.10 and inequalities (2.5),(2.6) of Janson, Luczak, Rucinski [7]).

**Theorem 10.** Let  $Z \sim H(N, m, k)$  be a hypergeometric random variable with the expectation  $\mu = \frac{mk}{N}$ , then for  $t \ge 0$ 

$$\mathbb{P}(|Z - \mu| > t) \leqslant 2 \exp\left(\frac{-t^2}{2(\mu + t/3)}\right).$$

For a given  $X \subseteq L$  and  $Y \subseteq R$ , as a consequence of Theorem 10 with  $Z = e_G(X, Y)$ ,  $t = \frac{\varepsilon n^2}{2^{d+2}}$  and  $\mu = \frac{1}{2^{d-1}}|X||Y| \leqslant \frac{n^2}{2^{d+1}}$  we get

$$\mathbb{P}(B_{X,Y}) = e^{-\Omega(n^2)},$$

where constant in  $\Omega()$  term depends on  $\varepsilon$  and d only. Therefore,

$$\mathbb{P}\left(\bigcup_{X,Y} B_{X,Y}\right) \leqslant \sum_{X,Y} \mathbb{P}\left(B_{X,Y}\right) \leqslant 2^n e^{-\Omega(n^2)} = o(1).$$

In particular,  $\mathbb{P}(G \text{ satisfies (ii)}) = \mathbb{P}\left(\bigcap_{X,Y} \overline{B_{X,Y}}\right) = 1 - o(1)$ . Hence, G almost surely satisfies (ii).