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# Cooperative Activation of CO<sub>2</sub> and Epoxide by a Heterobinuclear Al—Fe Complex via Radical Pair Mechanisms

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Cite This: J. Am. Chem. Soc. 2022, 144, 3210-3221



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**ABSTRACT:** Activation of inert molecules like CO<sub>2</sub> is often mediated by cooperative chemistry between two reactive sites within a catalytic assembly, the most common form of which is Lewis acid/base bifunctionality observed in both natural metalloenzymes and synthetic systems. Here, we disclose a heterobinuclear complex with an Al–Fe bond that instead activates CO<sub>2</sub> and other substrates through cooperative behavior of two radical intermediates. The complex  $L^{dipp}(Me)AlFp$  (2,  $L^{dipp} = HC\{(CMe)(2,6^{-i}Pr_2C_6H_3N)\}_2$ ,  $Fp = FeCp(CO)_2$ ,  $Cp = \eta^5 \cdot C_5H_5$ ) was found to insert CO<sub>2</sub> and cyclohexene oxide, producing  $L^{dipp}Al(Me)(\mu:\kappa_2-O_2C)Fp$  (3) and  $L^{dipp}Al(Me)(\mu:OC_6H_{10})Fp$  (4), respectively. Detailed mechanistic studies indicate unusual pathways in which (i) the Al–Fe bond dissociates homolytically to generate formally  $Al^{II}$  and  $Fe^I$  metal-



loradicals, then (ii) the metalloradicals add to substrate in a pairwise fashion initiated by O-coordination to Al. The accessibility of this unusual mechanism is aided, in part, by the redox noninnocent nature of  $L^{dipp}$  that stabilizes the formally  $Al^{II}$  intermediates, instead giving them predominantly  $Al^{III}$ -like physical character. The redox noninnocent nature of the radical intermediates was elucidated through direct observation of  $L^{dipp}Al(Me)(OCPh_2)$  (22), a metalloradical species generated by addition of benzophenone to 2. Complex 22 was characterized by X-band EPR, Q-band EPR, and ENDOR spectroscopies as well as computational modeling. The "radical pair" pathway represents an unprecedented mechanism for  $CO_2$  activation.

# **■ INTRODUCTION**

Identifying new reaction pathways for activation of inert substrates enables rational design of catalysts for challenging synthetic transformations. Although historically the activation of inert substrates by coordination complexes has focused on unsaturated mononuclear systems with single-site reactivity, recently there has been a resurgence in cooperative bond activation studies in which reactivity is delocalized over two or more reactive sites within a well-defined system. Examples include frustrated Lewis pairs (FLPs), metal-ligand bifunctional catalysts, homo- and heterobinuclear metal complexes, and multinuclear metal clusters. As a representative example, one can consider the coordination chemistry of CO2. While CO<sub>2</sub> activation by mononuclear metal complexes has been mapped extensively,<sup>5</sup> remaining challenges in catalytic CO<sub>2</sub> fixation have motivated numerous studies on cooperative CO<sub>2</sub> activation.<sup>6</sup> The dominant paradigm is one of Lewis acid/base bifunctionality (Figure 1a): a Lewis basic reactive site adds a reactive electron pair to the carbon center of CO<sub>2</sub>, while the resultant buildup of negative charge on oxygen is simultaneously stabilized by a Lewis acidic reactive site. Since the seminal work of Floriani on cooperative CO2 activation by low-valent Co/M bifunctional systems (M = alkali metal), numerous examples of metal- and nonmetal-based systems functioning by this paradigm have been identified. Moreover, Lewis acid/base bifunctionality is thought to be the operative paradigm in nature, stabilizing CO<sub>2</sub>-activated intermediates in both aerobic (Mo/Cu) and anaerobic (Ni/Fe) carbon monoxide dehydrogenase enzymes. <sup>10</sup> Identifying reaction pathways beyond this prevailing CO<sub>2</sub> activation manifold has the potential to open new catalyst design strategies. <sup>11</sup>

In this report, we disclose a system that activates  $CO_2$  and other substrates by a radical pair mechanism, which is a novel mechanistic paradigm for cooperative  $CO_2$  reactivity (Figure 1b). In our discovered system, a heterobinuclear complex dissociates homolytically to generate two metalloradical intermediates, which then cooperatively activate  $CO_2$  as a radical pair that donates one electron per metal. In part due to the unfavorable one-electron reduction potential of  $CO_2$  ( $E^\circ$  = -1.9 V at pH 7), activation of  $CO_2$  by metalloradical pairs is quite rare. In the cases that have been observed, generation of high-energy radical pairs required photochemical or electro-

Received: December 13, 2021 Published: February 14, 2022





**Figure 1.** Cooperative  $CO_2$  activation pathways and representative examples: (a) Lewis acid/base bifunctionality and (b) radical pair chemistry (this work).

chemical activation of a precursor complex. <sup>12a</sup> The concept of frustrated radical pairs has recently emerged in the field of FLP chemistry, <sup>12b-d</sup> but typically such systems are limited to activation of relatively weak bonds (e.g., H-SnR<sub>3</sub>, RO-OR) or substrates possessing radical character of their own (e.g., O<sub>2</sub>, TEMPO). On the contrary, here the heterobimetallic radical pair is capable of cooperatively activating not only CO<sub>2</sub> but also the C-O bond of an epoxide under ambient conditions with no external stimulus.

As detailed below, our discovery emerged from studies of an Al-Fe heterobinuclear system. Despite extensive recent work on heterobimetallic chemistry, 13 heterobimetallic complexes bearing aluminum (Earth's most abundant metal) are not thoroughly studied and their reactivity remains underexplored, 14 especially for heterobimetallic complexes in which aluminum is paired with another earth-abundant metal. 15-20 Only a few examples of cooperative CO<sub>2</sub> activation by Al-M heterobinuclear complexes have been reported, with their mechanistic pathways currently under debate in some cases. In 2019, Hicks et al. reported CO<sub>2</sub> insertion into an Al-Au bond (Figure 2a).<sup>21</sup> A cooperative Lewis acid/base mechanism involving nucleophilic auride (i.e., Al<sup>III</sup>-Au<sup>-I</sup>) was proposed initially, although recent theoretical analysis by Sorbelli et al. disfavors that proposal and instead supports diradical-like reactivity (i.e., Al<sup>II</sup>-Au<sup>0</sup>).<sup>22</sup> In 2021, McManus et al. disclosed analogous reactivity of the Al-Cu and Al-Ag derivatives (Figure 2a), again proposing Lewis acid/base mechanisms. 23 A closely related set of Al-Cu reactions with CO2 were reported by Liu et al. in 2021 (Figure 2b). 18 In 2021, Roy et al. reported CO<sub>2</sub> insertion into an Al-Zn bond (Figure 2c), although no

**Figure 2.** Previously reported examples of complexes derived from  $CO_2$  insertion into Al–M bonds.

mechanistic studies were reported.<sup>24</sup> Finally, in 2021, Escomel et al. reported CO<sub>2</sub> deoxygenation by a Al<sup>III</sup>—Ir<sup>III</sup> complex that was proposed to go through a concerted transition state (Figure 2d).<sup>9e</sup>

We became interested in pursuing the chemistry of Al-Fe complexes, particularly those derived from AlIII rather than AlI precursors. Despite aluminum and iron being the two most abundant metals in the Earth's crust (7.4% and 5%, respectively), only a few Al-Fe heterobimetallic complexes have been reported and their reactivities have never been studied. 15,16,25 Herein we report that the  $\beta$ -diketiminatesupported Al-Fe heterobimetallic complex, Ldipp (Me) Al- $FeCp(CO)_2 (L^{dipp} = HC\{(CMe)(2,6-i-Pr_2C_6H_3N)\}_2), \text{ is able}$ to insert CO2 and cyclohexene oxide. Using a combined experimental/computational approach, the reaction pathways for CO<sub>2</sub> activation and epoxide ring opening were elucidated to involve (i) homolytic Al-Fe dissociation to metalloradical intermediates, then (ii) pairwise addition to the substrate prior to solvent cage escape. This "radical pair" mechanism is a novel mode of cooperative CO2 activation, and its extension to epoxide ring-opening may open new avenues for C-O activation.

#### ■ RESULTS AND DISCUSSION

**Synthesis and Reactivity.** To synthesize Al–Fe heterobimetallic complex  $L^{dipp}Al(Me)Fp$  (2,  $Fp = FeCp(CO)_2$ ), reaction of  $L^{dipp}Al(Me)I$  (1) was carried out with KFp in a mixture of toluene and ether for 16 h (Scheme 1). Compound 2 was obtained as a colorless solid in moderate yield (66%) and was found to be soluble in toluene and diethyl ether but to decompose in tetrahydrofuran, chloroform, and dichloromethane. Furthermore, solutions of 2 in toluene slowly decay to produce  $Fp_2$  over extended periods of time at room temperature.

Reactions of **2** with  $CO_2$  and epoxide were carried out since they are both useful feedstocks for preparation of cyclic or polycarbonate products, often with the involvement of Al catalysts. Accordingly, reaction of compound **2** with  $CO_2$  in toluene resulted in  $CO_2$ -inserted product  $L^{dipp}Al(Me)(\mu:\kappa_2-O_2C)Fp$  (**3**) as a colorless solid in 81% yield (Scheme 1). This reaction was found to occur with equal efficiency when shielded from light. Insertion of  $CO_2$  into Al–M bonds with  $\kappa_2$ 

Scheme 1. Thermal and Photochemical Reactivity Studies of an Al-Fe Heterobinuclear System, Including Solid-State Structures of Complexes 2-5 Determined by X-ray Crystallography<sup>a</sup>

"For clarity, hydrogen atoms and co-crystallized solvent molecules are omitted from the crystal structures; ligand backbones (except nitrogen) for  $L^{dipp}$  are shown as wireframes, and all other atoms are shown as 50% probability ellipsoids.

binding to Al is rare, with previously reported examples involving low valent Al<sup>I</sup> rather than Al<sup>III</sup> precursors. 18,21,23,24 Compound 3 was stable to thermolysis and vacuum exposure, and we observed no evidence for conversion back to 2 under these conditions. In the <sup>13</sup>C{<sup>1</sup>H} NMR spectrum of 3, the carbon atom of the  $[\kappa_2$ -O<sub>2</sub>C] moiety resonates at 220.8 ppm, which is shifted downfield compared to the bridging CO2 unit in  $[Cp_2Zr(Cl)(\mu:\kappa_2-O_2C)FeCp(CO)_2]$  ( $\delta = 212.6$  ppm).<sup>27</sup> Stoichiometric reaction of 2 with cyclohexene oxide was carried out at room temperature, resulting in ring-opened product  $L^{dipp}Al(Me)(\mu-OC_6H_{10})$ Fp (4, 57%, Scheme 1). Ring opening of epoxides using Al/Co heterobimetallic catalysts has been proposed by the Coates group for several carbonylation reactions, 28 but such an intermediate has never been isolated until now. Compound 4 is stable at −25 °C but decomposes slowly at room temperature inside the glovebox. The solidstate IR spectrum of 2 has features at 1903 and 1963 cm<sup>-1</sup> assigned to the CO ligands that are shifted to higher energy in 3 (1981, 2021 cm<sup>-1</sup>) and 4 (1935, 2002 cm<sup>-1</sup>), corresponding with a change in formal Fe oxidation state from Fe(0) to Fe(II).

The molecular structures of 2 and 4 feature four-coordinate aluminum centers with distorted tetrahedral geometries, while 3 has a penta-coordinate aluminum center with distorted square pyramidal geometry, as determined by X-ray crystallog-

raphy. The Fe-Al bond length [2.478(9) Å] in 2 is comparable to the value of 2.480(1) Å reported for the related compound L<sup>dipp</sup>Al(Cl)Fp\* (Fp\* = Cp\*Fe(CO)<sub>2</sub>; Cp\* =  $C_5 Me_5$ )<sup>25c</sup> but longer than the other previously reported Fe-Al bonds (2.231(3)-2.349(9) Å). 25d-f The Al-O bond lengths in 3 [1.980(1)] and 1.897(1) Å are slightly longer than the corresponding bonds [1.880(5) and 1.861(4) Å] present in  $(NON)AI(\kappa_2-O_2C)AuP^tBu_3$  (NON = 4,5-bis(2,6-diisopropylanilido)-2,7-ditert-butyl-9,9-dimethylxanthene). The O-C bond lengths for the Al( $\kappa_2$ -O<sub>2</sub>C)Fe unit in 3 [1.271(2) and 1.298(2) Å fall between the typical ranges for C-O single and double bonds. The Fe-C bond [1.933(1) Å] of the Al( $\kappa_2$ - $O_2C$ )Fe unit is comparable with the Fe-C bond [1.935(14)] Å] seen in HC(SiMe<sub>2</sub>N[2-C<sub>6</sub>H<sub>4</sub>F])<sub>3</sub>-Zr( $\kappa_2$ -S<sub>2</sub>C)Fe(CO)<sub>2</sub>Cp.<sup>29</sup> The molecular structure of 4 reveals that LAI(Me)O and Fp moieties are in trans orientation about the cyclohexane unit. The Al-O bond length [1.728(2) Å] in 4 is much shorter than those in 3. The Fe- $C_{Cy}$  bond length is 2.111(3) Å, which is in range of reported Fe-C<sub>alkyl</sub> bonds.<sup>30</sup>

Complex 3 is stable thermally (up to 60 °C) in  $C_6D_6$  for at least 12 h but was found to react further upon irradiation with UV light. A  $C_6D_6$  solution of 3 was irradiated at ambient temperature for 5 h. The <sup>1</sup>H NMR spectrum reveals complete conversion of 3 to 5 (Scheme 1). In the <sup>1</sup>H NMR spectrum of compound 3, the Al-CH<sub>3</sub> protons appear as a singlet at -0.20

Figure 3. Calculated QTAIM charges (red) for selected atoms in 2 and 6-8, with the M-Fe Wiberg bond indices (black) indicated below the structures.

# Scheme 2. Unscaled Gibbs Free Energies (PBE1PBE) for (a) Al-Fe Bond Dissociation and (b) Concerted CO<sub>2</sub> Insertion Pathways

(a)

R

NAI-Me

Homolytic dissociation

$$\Delta G_d = +48.3 \text{ kcal/mol for } 6 \text{ (R = Me)}$$
 $\Delta G_d = +42.5 \text{ kcal/mol for } 7 \text{ (R = Ph)}$ 

(b)

R

Heterolytic dissociation

 $\Delta G_d = +74.0 \text{ kcal/mol for } 6 \text{ (R = Me)}$ 
 $\Delta G_d = +74.0 \text{ kcal/mol for } 7 \text{ (R = Ph)}$ 

(b)

R

6 (R = Me)

7 (R = Ph)

9  $\Delta G^+ = 38.9 \text{ kcal/mol (R = Me)}$ 

10  $\Delta G^+ = 39.9 \text{ kcal/mol (R = Ph)}$ 

11  $\Delta G_{r1} = -1.9 \text{ kcal/mol (R = Me)}$ 

12  $\Delta G_{r1} = -4.4 \text{ kcal/mol (R = Ph)}$ 

13  $\Delta G_{r2} = -3.6 \text{ kcal/mol (R = Me)}$ 

14  $\Delta G_{r2} = -6.2 \text{ kcal/mol (R = Ph)}$ 

ppm but are shifted downfield to 1.71 ppm in 5, indicating methyl migration from the aluminum center to the ligand backbone. The crystal structure of 5 revealed that its aluminum center is tetra-coordinate with two nitrogen and two oxygen atoms in its immediate environment. It also confirmed that the methyl group migrated from aluminum to the  $\beta$ -diketiminate backbone as anticipated from <sup>1</sup>H NMR spectroscopy. The methyl migration to the  $\beta$ -diketiminate ligand transforms it into a dianionic ligand. Although the M-C bond migration phenomenon has been observed in transition metal  $\beta$ diketiminate chemistry, this is the first report involving an aluminum  $\beta$ -diketiminate. The  $C_3N_2Al$  six-membered ring is puckered with both Al-N bonds being almost equal in distance [1.774(3) Å and 1.808(3)]. The Al-O bond lengths [1.862(2) Å and 1.848(2)] are shorter than in 3, possibly due to a change in the coordination number at the aluminum center.

Computational Analysis of Atomic Charges and Bonding. To better understand the origins of reactivity of our Al–Fe heterobimetallic complex L<sup>dipp</sup>Al(Me)Fp (2), we decided to study the charge distribution using NBO<sup>33</sup> (Figure S18) and QTAIM<sup>34</sup> (Figure 3) analysis methods on preoptimized geometries for complex 2 and, for modeling purposes, its simplified counterparts with methyl (L<sup>Me</sup>Al(Me)-Fp, 6) and phenyl (L<sup>Ph</sup>Al(Me)Fp, 7) substituents in place of 2,6-diisopropylphenyl (dipp) in 2. QTAIM charges, being

origin-independent<sup>35</sup> and more "chemically intuitive", are consistent with representation of complexes **2**, **6** and **7** as featuring strongly polarized covalent Al<sup>III</sup>—Fe<sup>0</sup> bonds. For comparison, we also calculated NBO and QTAIM charges for a well-studied heterobimetallic system, <sup>36</sup> MeIMesCuFp **8** (MeIMes = 1,3-bis(2,4,6-trimethylphenyl)-4,5-dimethylimidazol-2-ylidene, Figure S18 and Figure 3). QTAIM charges in this model system are much closer to traditional ideas of valence, with Cu being in the oxidation state of (almost) one and Fe being in the oxidation state of zero.

To further confirm the presence of the covalent bond between two metals, we calculated the Wiberg bond indices (WBIs) in the Löwdin orthogonalized basis<sup>37</sup> (Figure 3 and Table S1). From the obtained data, we can conclude that the Al–Fe bond in 2 is almost twice as covalent as the Cu–Fe bond in 8. Thus, some caution must be used in describing the oxidation levels of the Al and Fe centers in 2.

Although the used methods gave quite differing charge values, trends between the structures in question are consistent. This allowed us to (initially) simplify our model and study Me-substituted complex 6 in lieu of complex 2 to study its reactivity *in silico* toward CO<sub>2</sub> insertion and epoxide ring opening at a lower computational cost.

Combined Computational/Experimental Investigation of Reaction Mechanisms. We decided to begin with calculating energies for two dissociation pathways (Scheme 2a), homolytic and heterolytic, for truncated model complexes 6 and 7. However, dissociation energies for both 6 and 7 proved to be too high, even when including effects of the toluene solvent. It is worth noting that Gibbs free energies and enthalpies are significantly (>20 kcal/mol) lower for homolytic rather than heterolytic dissociation. Steric bulkiness of substituents (i.e., Me vs Ph) appeared irrelevant: for homolytic dissociation both  $\Delta G_{\rm d}$  and  $\Delta H_{\rm d}$  were just ~6 kcal/mol lower for significantly bulkier 7, and for heterolytic dissociation, Gibbs free energies and enthalpies for 7 were even higher than for 6. Therefore, we decided not to proceed with dissociation calculations for complex 2, a decision that later proved imprudent.

Since calculated dissociation energies were inconsistent with reactions that occur readily at ambient conditions, we decided to explore possible mechanistic pathways beginning with the concerted CO<sub>2</sub> insertion (Scheme 2b) via the corresponding transition state (TS). Obtained Gibbs free energies indicated that while the CO<sub>2</sub> insertion *per se* is thermodynamically favorable, the concerted pathway's high activation barrier is also inconsistent with a reaction that occurs with a reasonable rate at room temperature (as observed experimentally). To make sure the obtained TS energy was not an artifact of a poorly chosen DFT functional, we recalculated the transition state energy using several DFT functionals (Figure S17). Obtained Gibbs free energies are generally consistent among all employed functionals, which indicates that such a high obtained value is not an error of the chosen functional.

To gain additional insights on the mechanism, we next conducted an Eyring analysis by obtaining experimental, pseudo-first order (excess  $CO_2$ ) rate constants for  $CO_2$  activation by 2 across the temperature range 263–303 K (Figure 4). Fitting the data to the Eyring equation provided

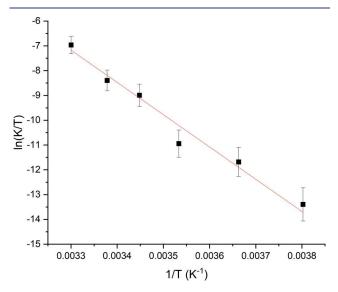


Figure 4. Eyring analysis to extract activation parameters for the  $CO_2$  activation reaction by 2.

the experimentally determined activation parameters for CO<sub>2</sub> insertion by complex 2:  $\Delta H^{\ddagger} = 26 \pm 2$  kcal mol<sup>-1</sup>,  $\Delta S^{\ddagger} = 24 \pm 7$  cal mol<sup>-1</sup> K<sup>-1</sup>,  $\Delta G^{\ddagger}_{298 \text{ K}} = 19 \pm 3$  kcal mol<sup>-1</sup>. The activation entropy for this reaction is large and positive, which indicates a dissociative rate-determining step and is therefore inconsistent with the concerted mechanism shown in Scheme 2b (which should give  $\Delta S^{\ddagger} < 0$ ). Moreover, the *trans*-stereochemistry of

the ring-opened product 4 evident by X-ray crystallography indicates anti-addition of the two metals to the epoxide and thus also testifies against any similar concerted mechanisms.

With these considerations in mind, we decided to revisit the dissociation pathways, this time with the model for 2 with fullsized dipp-substituted ligands (Scheme 3). To our surprise, the dissociation Gibbs free energies and enthalpies were ~15 kcal/ mol lower than for closest counterpart 7. This brought the activation barrier down, closer to the experimental value and within the expected range for a room-temperature reaction. Therefore, relying on models with truncated substituents, a common approach in computational organometallic chemistry is not always justified. It is curious that addition of just two isopropyl groups to each phenyl ring had such a drastic effect on dissociation energies, which we attribute solely to significantly higher steric repulsion in complex 2 relative to 6 and 7, given their similar electronic structures. Although the homolytic dissociation energy for 2 is similar to the calculated entropy-corrected (see Supporting Information) barrier for concerted CO<sub>2</sub> activation by 6, a dissociative mechanism would better align with the experimental Eyring analysis for CO<sub>2</sub> reactivity of 2. Also noteworthy is the trans geometry of epoxide activation product 4, rather than the cis configuration expected from a concerted, four-membered transition state for C-O cleavage at the Al-Fe bond. Collectively, these observations also reinforce the critical importance of calibrating computed mechanisms with experimental (preferably kinetics) data.

With further evidence toward homolytic dissociation of complex 2 as the origin of its reactivity and having ruled out several alternatives (Figure S17), we calculated the Gibbs free energies of interactions of both Al and Fe metalloradicals with both CO<sub>2</sub> and cyclohexene oxide. As follows from the obtained Gibbs energies, both CO2 and cyclohexene oxide prefer to react with the Al radical [LdippAlMe] (15) rather than with Fe radical Fp<sup>•</sup> (16), as indicated in Scheme 4. In the first case, for CO<sub>2</sub> insertion, we could not localize a minimum corresponding to the radical [FpCO<sub>2</sub>] complex 19. (However, we were able to localize a minimum for a similar anionic complex, which has been observed experimentally;<sup>38</sup> see the Supporting Information for more details.) Instead, the localized minimum was a supramolecular complex 19' of Fp radical 16 and CO<sub>2</sub>, held together by weak noncovalent bonds (as shown by QTAIM diagrams and calculated WBIs; Figure 5a). However, we localized a minimum for the complex 17, where a linear CO<sub>2</sub> molecule is coordinated on the Al center of complex 15, giving quite a strong covalent bond (also Figure 5a). Such coordination is endothermic, but the subsequent interception of Fp radical 16 makes CO<sub>2</sub> insertion a thermodynamically favorable process.

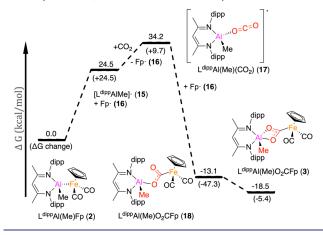
Epoxide ring opening is also an exothermic process even for initiation with the Al radical 15, while initiation by Fe radical 16 has a very high barrier (Scheme 5). Subsequent recombination of Al—epoxide complex 21 with 16 to give the observed product 4 is energetically very favorable.

The identification of the O-bound  $CO_2$  adduct 17 along the reaction pathway is notable, as this coordination mode has rarely been observed experimentally<sup>39</sup> and is typically proposed during (1-electron) reductive coupling of  $CO_2$  to form oxalate<sup>40</sup> (rather than for 2-electron  $CO_2$  reduction). Moreover, it is a rare example of a formal  $Al^{II}$  species playing an important role in reactivity.<sup>41</sup> Thus, we chose to analyze the electronic structure of 17 and its precursor complex 15 further.

Scheme 3. Gibbs Free Energies (PBE1PBE) for Al-Fe Bond Dissociation of 2

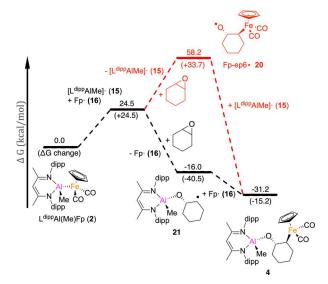
$$\begin{array}{c} \text{dipp} \\ \text{N} \\ \text{N} \\ \text{dipp} \end{array} + \begin{bmatrix} \text{OC} & \text{Fe} \\ \text{OC} \end{bmatrix} \\ \text{OC} & \text{Fe} \\ \text{CO} \end{bmatrix} \\ \text{Me} & \text{CO} \\ \text{dipp} \end{array} = \begin{bmatrix} \text{dipp} \\ \text{N} \\ \text{Me} & \text{CO} \end{bmatrix} \\ \text{Homolytic dissociation} \\ \Delta G_{\text{d}} = +24.5 \text{ kcal/mol for 2} \\ \end{array}$$

Scheme 4. Gibbs Free Energy Diagram for Calculated Pathways of CO<sub>2</sub> Activation Mediated by 2



Calculated Mulliken spin densities for selected atoms (Figure 5b) of these complexes indicate that the unpaired electron is mostly delocalized through the conjugated  $\beta$ -diketiminate ligand but not on Al itself for either complex, nor on the CO<sub>2</sub> moiety in the case of 17. In other words, although 15 is formally a  $[(L^{dipp})^-]Al^{II}Me$  species, it is perhaps better

Scheme 5. Gibbs Free Energy Diagrams for Calculated Pathways of Cyclohexene Oxide Ring Opening Mediated by 2



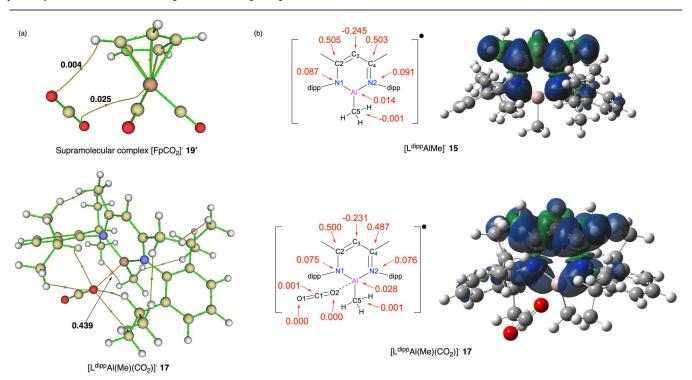


Figure 5. (a) Selected QTAIM paths connecting (3,-3) and (3,-1) critical points for 19' and 17 with key all-electron Wiberg bond indices shown; (b) calculated Mulliken spin densities for selected atoms and corresponding spin density plots (isosurface value = 0.0004) for 15 and 17.

formulated as  $[(L^{dipp})^{\cdot 2-}]A^{III}Me$  and thus possesses a vacant Al-centered  $3p_z$  orbital for substrate coordination. This dual radical/acid character of **15** is critical to successful activation of  $CO_D$ , which otherwise resists participating in radical chemistry due to its unfavorable one-electron reduction potential ( $E^{\circ} = -1.9 \text{ V}$  at pH 7).

**Experimental Validation of the Radical Pair Mechanism.** Given these computational results indicating an unusual radical pair mechanism for the cooperative bond activation chemistry of **2**, we sought experimental validation of the computational predictions. One notable prediction from computational modeling is that the homolytic Al–Fe bond dissociation energy in L<sup>Ph</sup>Al(Me)Fp (7) should be higher than that in L<sup>dipp</sup>Al(Me)Fp (2) by 18 kcal/mol. Thus, radical pair generation from 7 should not proceed under the mild conditions at which reactivity of **2** was observed. Indeed, exposure of 7 to CO<sub>2</sub> resulted in no reaction (Scheme 6a) under conditions at which **2** activated CO<sub>2</sub> rapidly (Scheme 1). This observation provides experimental confirmation of a key prediction of our computational modeling.

Scheme 6. (a) Experimental Validation of a Computational Prediction and (b) Direct Observation of the Purple Al-Containing Radical, L<sup>dipp</sup>Al(Me)(OCPh<sub>2</sub>), 22

Next, we sought further evidence for formation of metalloradicals 15 and/or 16 under relevant conditions by screening reactions with other substrates with C-O or C=O bonds. Fortuitous results were obtained from the reaction of 2 with benzophenone (Scheme 6b). Addition of benzophenone to 2 at room temperature results in an intense, purple-colored solution. Analysis of this solution by <sup>1</sup>H NMR spectroscopy indicates stoichiometric formation of Fp2 which presumably forms from dimerization of 16. The aluminum-containing product was NMR silent, indicating a paramagnetic species. Indeed, analysis of the solution by EPR spectroscopy provided evidence for a S = 1/2 species assigned as  $L^{dipp}Al(Me)$ -(OCPh<sub>2</sub>) (22), which can be viewed as the benzophenone analogue of CO<sub>2</sub> adduct 17. Concentrating solutions of 22 or attempting to crystallize it resulted in white-colored solids that have the same empirical formula as 22 according to elemental analysis. At this time, the identity of these solids is unclear, but they likely represent a dialuminum structure resulting from homocoupling of radical 22, as has been observed previously by Thomas for a metalloradical-benzophenone system.

Reconstitution of the white solid in toluene restores the purple color of 22, indicating that the presumed homocoupling process is reversible and is driven toward the monomeric radical in solution and the dimeric, diamagnetic species in the solid state.

Next, we analyzed the electronic structure of 22, in a manner similar to 17 and 19'. QTAIM analysis of the localized minimum for 22 revealed a highly covalent Al–O bond (Figure 6a). Calculated WBIs (also Figure 6a) showed that benzophenone's C=O bond loses its double bond character (WBI = 1.707 < 2), which is consistent with the IR spectrum obtained for 22 (see the Supporting Information for more details). The bond between Al and O (WBI = 1.294) is almost three times as covalent as a similar bond (WBI = 0.439) in the complex with CO<sub>2</sub> (17).

Calculated Mulliken spin densities (Figure 6b) for selected atoms of 22 reveal that the unpaired spin resides predominantly on the benzophenone unit and not on the conjugated  $\beta$ -diketiminate ligand as was observed in the previous case with 17 nor on Al. Therefore, 22 can be described as  $L^{dipp}Al^{III}[(OCPh_2)^{\bullet-}]Me$ , with the formerly vacant Al-centered  $3p_z$  orbital occupied by benzophenone's oxygen lone pair electrons. The relation of these results with EPR experiments is discussed in the following section.

The purple toluene solution of 22 exhibits a room temperature (i.e., fluid solution) X-band EPR signal as shown in Figure 7a. The signal is at  $g_{iso} = 2.006$  and comprises a Gaussian line shape with width (hwhm) of 16 MHz. However, this does not fully describe the signal as there appears to be superimposed hyperfine splitting. This splitting can be modeled by hyperfine coupling (hfc) to one <sup>27</sup>Al (I = 5/2, 100% abundance) with  $a_{iso} = 4$  MHz and three types of <sup>1</sup>H:  $a_{iso} = 22$  MHz (4 equiv nuclei),  $a_{iso} = 7.5$  MHz (4 equiv nuclei), and  $a_{iso} = 26$  MHz (2 equiv nuclei). The rationale for this parameter set, which is neither ideal nor unique, will be given below. That the hfc is not fully (i.e., "baseline") resolved is explained by the appearance of the EPR spectrum recorded at 80 K (i.e., in frozen solution, Figure 7b), which is overall broader due to g anisotropy (see Q-band results below) and possible hfc anisotropy as well. Here we did not even attempt to simulate any possible hfc. At 300 K, there is some rotational averaging so that hfc is partially resolved, while there is none at 200 K (not shown).

A close analogue to 22 is the complex studied by Murphy et al.,  $L^{dipp}Mg(DMAP)(OCPh_2)$ , where DMAP = 4-dimethylaminopyridine.<sup>43</sup> This compound can be formally described as Mg(I) with coordinated benzophenone or, more realistically, as Mg(II) with a coordinated benzophenone radical anion. The X-band EPR spectrum of LdippMg(DMAP)(OCPh2) in toluene gave poorly resolved hfc at 200 K (with  $g_{iso} = 2.004$ ), but resolution improved at higher temperatures and already at 250 K (as well as at 298 K) gave a beautifully resolved spectrum that was perfectly simulated when accounting for accompanying ENDOR and TRIPLE (i.e., double ENDOR) spectroscopy data. In contrast, for 22, we believe that a temperature much higher than ambient would be needed to obtain complete resolution, which could allow definitive determination of hfc in this relatively bulky molecule. Murphy et al. extracted  $a_{iso}$  values (in MHz) for <sup>25</sup>Mg (I = 5/2, 10.0%) of 5.54 and <sup>14</sup>N of DMAP of 0.90 (zero from <sup>14</sup>N of L<sup>dipp</sup>). Additionally, aiso values (in MHz) for the four sets of benzophenone phenyl protons were determined as ortho-H<sup>1</sup>, 8.80; ortho- $H^2$ , 7.85; meta-H, 3.00; para-H, 10.00. This

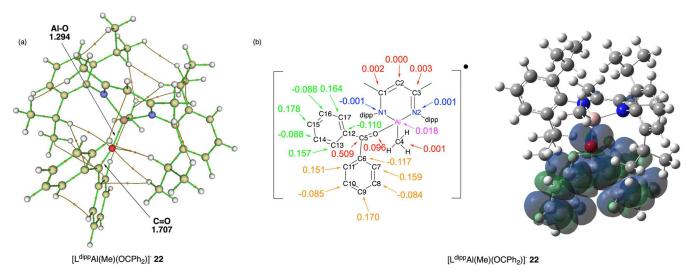


Figure 6. (a) Selected QTAIM paths connecting (3,-3) and (3,-1) critical points for 22 with key all-electron Wiberg bond indices shown and (b) calculated Mulliken spin densities for selected atoms and corresponding spin density plot (isosurface value = 0.0004) for 22.

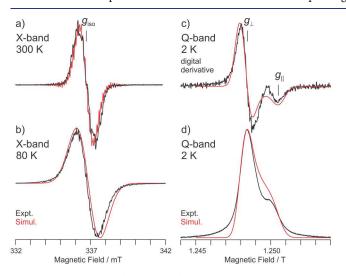


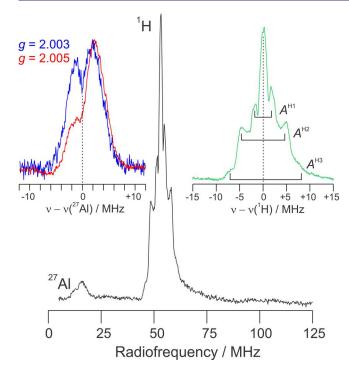
Figure 7. EPR spectra (black traces) of 22 in toluene solution with simulations (red traces): (a) X-band spectrum recorded at ambient temperature; microwave frequency, 9.45999 GHz; field modulation amplitude, 0.1 mT; time constant, 20 ms; (b) X-band spectrum recorded at 80 K, conditions as in part a except microwave frequency, 9.456414 GHz; (c) digital derivative of Q-band spectrum; (d) (passage conditions) Q-band spectrum recorded at 2 K; microwave frequency, 35.0530 GHz; microwave power, 2 µW; field modulation amplitude, 0.2 mT; time constant, 32 ms; scan time, 120 s. Simulation parameters: (a) g = 2.006, sum of W = 16 MHz (Gaussian hwhm) no hfc and W = 0.5 MHz with  $a_{iso}(^{27}\text{Al}) = 4$  MHz;  $a_{iso}(o^{-1}\text{H}) \times 4 = 22$ MHz;  $a_{iso}(m^{-1}H) \times 4 = 7.5$  MHz;  $a_{iso}(p^{-1}H) \times 2 = 26$  MHz; The contribution of the simulation with hfc to that without was visually scaled to match experiment and has no quantitative relevance; (b) g =[2.0075, 2.0066, 2.0032], W = 25 MHz; (c and d) g = [2.0070,[2.0061, 2.0027], W = 14, 10, 10 MHz. This **g** tensor reflects actual **g** anisotropy resolved in the rigid matrix and was used in part b, with a slight shift in g values to account for magnetic field imprecision (<0.1 mT). The features corresponding to  $g_{\parallel}$  = 2.0027 and  $g_{\perp} \approx$  2.0065 are indicated.

approximate ratio of benzophenone  $a_{iso}(o-,m-,p^{-1}H)$  values was used as a starting point to simulate the room-temperature X-band EPR spectrum of **22**, after scaling up to match the breadth of hfc seen for **22**. The  $a_{iso}(^{27}AI)$  value for **22** was estimated from ENDOR data as described in the following

section. The very small value for <sup>14</sup>N hfc in the Mg complex, combined with the computational results for **22** described above, led us to ignore any possible hfc from <sup>14</sup>N.

An EPR spectrum of 22 in frozen toluene solution was also recorded at 35 GHz (Q-band) at 2 K. The EPR signal is under "passage" conditions and thus has an absorption line shape as shown in Figure 7d; Figure 7c presents a digital derivative so that a standard EPR presentation is seen. 44 No hfc was resolved at the Q-band, which is typical considering the increased microwave frequency and corresponding resonant magnetic field.<sup>45</sup> More importantly, the form of 22 in frozen solution appears to be slightly different in that g = [2.0027,2.0061, 2.007] so that  $g_{avg} = 2.0053$ , as opposed to  $g_{iso} = 2.006$ at high temperature. There is spin delocalization onto the <sup>27</sup>Al center as definitively shown by ENDOR spectroscopy. EPR spectra exhibiting beautifully resolved <sup>27</sup>Al hfc have been reported by Roesky and co-workers. These systems, however, can be more properly considered as Al(II) species than 22 as the reported compounds lack any moiety equivalent to a benzophenone ketyl radical. Accordingly, those complexes give much larger <sup>27</sup>Al hfc in the range of 15-35 MHz.<sup>46</sup> Very recently, Britt, Arnold, and co-workers reported X-band EPR, 35-GHz pulsed EPR, and (Davies) ENDOR spectra for ( $\eta^5$ -Cp)<sub>2</sub>Ti( $\mu$ -<sup>1,2</sup>H)<sub>2</sub>Al<sup>1,2</sup>H(CTMS<sub>3</sub>), where CTMS<sub>3</sub> = C-(SiMe<sub>3</sub>)<sub>3</sub>.<sup>47</sup> This compound is formally Ti(III) –Al(III) but could be considered to have some Ti(IV)-Al(II) character. In contrast to 22, but as expected for Ti(III), this complex exhibits significant g anisotropy: g = [2.003, 1.992, 1.971], which allowed determination of not only  $a_{iso}(^{27}Al) = 9.4 \text{ MHz}$ but also  $A(^{27}Al) = [6.0, 14.6, 7.6]$  MHz.

ENDOR spectroscopy is broad banded in that signals from all magnetically active nuclei with hfc to the paramagnetic center can in principle be observed. A wide scan ENDOR spectrum of 22 recorded at g=2.006 is shown in Figure 8 (main figure). Signals from <sup>1</sup>H are readily observed at the <sup>1</sup>H Larmor frequency ( $\nu_{\rm H}\approx 53$  MHz). In addition to a strong signal directly at  $\nu_{\rm H}$ , which corresponds to protons with negligible hfc, there are features symmetrically disposed about it that give hfc for three types of magnetically equivalent protons, as expected for the phenyl rings of benzophenone. <sup>43</sup> These give  $A(^{1}{\rm H})\approx 4$ , 10, and 15 MHz, as seen in Figure 8 (right inset). These values are smaller than the three  $a_{\rm iso}(^{1}{\rm H})$ 



**Figure 8.** CW 35 GHz ENDOR spectra of **22** in toluene solution. Experimental conditions: 35.0530 GHz; microwave power, 2  $\mu$ W; field modulation amplitude, 0.1 mT; time constant, 32 ms; magnetic field, 1.2484 T (g = 2.0061); radiofrequency(rf) scan rate, 1 MHz/s; 3 scans. The spectra shown in the insets used the same conditions except in the <sup>27</sup>Al spectra: field modulation, 0.2 mT; 20 scans; and the spectrum shown in blue had magnetic field, 1.2497 T (g = 2.0040).

values used in the 300 K fluid solution X-band EPR simulation. This may be due to the difference between fluid and frozen solution in that the features seen by ENDOR may each be only one component ( $\sim A_{\perp}$ ) of an anisotropic, indeed highly dipolar, hfc tensor:  $\mathbf{A}(^{1}\mathbf{H}) = [a_{iso} \pm (T - \rho), a_{iso} \pm (T + \rho), a_{iso} \mp 2T]$ .

An additional feature is seen at  $\sim 14$  MHz that corresponds exactly to the  $^{27}$ Al Larmor frequency. This signal recorded at two field positions, corresponding roughly to  $g_{\parallel}$  and  $g_{\perp}$ , is shown in Figure 8 (left inset). This feature provides the rough estimate used for EPR simulation of  $a_{\rm iso}(^{27}{\rm Al}) = 4$  MHz. This estimate as to hfc corresponds to  $\sim 0.1\%$  spin on  $^{27}{\rm Al.}^{48}$  The metal hfc found by Murphy et al.,  $|a_{\rm iso}(^{25}{\rm Mg})| = 5.54$  MHz, corresponds to 1.14%  $^{21}{\rm Sm}(^{21}{\rm Sm}(^{21}{\rm Mg})) = 1.14\%$ 

#### CONCLUSIONS

Heterobimetallic Al–Fe complex, 2, reacts cleanly with  $CO_2$  and cyclohexene oxide, giving  $CO_2$  inserted product 3 and epoxide ring opening product 4, respectively. Detailed kinetic and theoretical studies were performed on these two reactions and indicated an unusual radical-pair mechanism in which Al–Fe homolytic dissociation precedes pairwise metalloradical addition to substrate. The Al-containing metalloradical coordinated by benzophenone (22) was observed directly and thoroughly characterized by EPR and ENDOR spectroscopies. Particularly novel aspects of this study include (1) aluminum-containing radicals with dual radical/acid character implicated as reactive intermediates, (2) an unprecedented mechanism for  $CO_2$  activation, and (3) an instructive interplay between theory and experiment that emphasizes the importance in computational organometallic chemistry of (i)

validating calculated reaction pathways with kinetics measurements and (ii) modeling complete (as opposed to truncated) ligand substituents.

#### METHODS

**Experimental Methods.** All experimental manipulations were carried out under an inert dinitrogen atmosphere using standard Schlenk line and glovebox techniques. All new compounds were characterized by <sup>1</sup>H and <sup>13</sup>C{<sup>1</sup>H} NMR spectroscopy, solid-state IR spectroscopy, and single-crystal X-ray diffraction. Detailed experimental procedures and spectral data are available as Supporting Information, and supporting crystallographic data in the form of CIF files are available upon request from the Cambridge Crystallographic Data Centre using deposition numbers 2100519, 2100520, 2100523, and 2100525.

Computational Methods. Density functional theory calculations (at the PBE0<sup>49a</sup>-G3BJ<sup>49b</sup>/def2-TZVP<sup>49c</sup> level of theory; SMD<sup>49d</sup> solvation model with toluene parameters), as implemented in the Gaussian 16 (Revision B.01)<sup>50</sup> code, were employed to optimize molecular geometries and determine bonding energies, Mulliken spin densities, and NBO charges (using NBO version 3.1,<sup>51</sup> as implemented in Gaussian 16). The open-source MultiWFN<sup>52</sup> (version 3.8) program was employed to calculate and visualize Wiberg bond indices, QTAIM charges, critical points, and paths (using data derived from Gaussian DFT calculations). For more details on comprehensive computational methods, please see the Supporting Information.

#### ASSOCIATED CONTENT

#### Supporting Information

The Supporting Information is available free of charge at https://pubs.acs.org/doi/10.1021/jacs.1c13108.

Experimental procedures, spectral data, and computational data (PDF)

#### **Accession Codes**

CCDC 2100519–2100520, 2100523, and 2100525 contain the supplementary crystallographic data for this paper. These data can be obtained free of charge via www.ccdc.cam.ac.uk/data\_request/cif, or by emailing data\_request@ccdc.cam.ac.uk, or by contacting The Cambridge Crystallographic Data Centre, 12 Union Road, Cambridge CB2 1EZ, UK; fax: +44 1223 336033.

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### **Author Contributions**

§S.S. and M.R.R. contributed equally.

#### Notes

The authors declare no competing financial interest.

#### ACKNOWLEDGMENTS

This material is based upon work supported by the U.S. Department of Energy (DOE), Office of Science, Office of Basic Energy Sciences (BES), under Award Number DE-SC0021055. We thank Prof. Brian M. Hoffman (Northwestern University) for use of a 35-GHz CW EPR/ENDOR spectrometer, which is supported by the U.S. DOE, Office of Science, BES, under Award Number DE-SC0019342. Computational resources and services were provided by the Advanced Cyberinfrastructure for Education and Research (ACER) group at University of Illinois at Chicago (UIC). Instrumentation for X-ray crystallography at UIC was funded, in part, by the National Institutes of Health under Grant R01 GM116820. The structure of complex 5 was obtained using NSF's ChemMatCARS Sector 15 supported by the Divisions of Chemistry (CHE) and Materials Research (DMR), National Science Foundation, under Grant Number NSF/CHE-1834750. Use of the Advanced Photon Source, an Office of Science User Facility operated for the DOE Office of Science by Argonne National Laboratory, was supported by the U.S. DOE under Contract No. DE-AC02-06CH11357. We thank Dr. Guodong Rao, UC-Davis, and Dr. Vlasta Brezová, Slovenská Technická Univerzita v Bratislave (STU), Slovakia, for helpful discussions. We also thank Dr. Daniel McElheny (UIC) for assistance with the X-band EPR spectroscopy.

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