

# Exact Formulae for the Fractional Partition Functions

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## Abstract

The partition function  $p(n)$  has been a testing ground for applications of analytic number theory to combinatorics. In particular, Hardy and Ramanujan invented the “circle method” to estimate the size of  $p(n)$ , which was later perfected by Rademacher who obtained an exact formula. Recently, Chan and Wang considered the fractional partition functions, defined for  $\alpha \in \mathbb{Q}$  by  $\sum_{n=0}^{\infty} p_{\alpha}(n)x^n := \prod_{k=1}^{\infty} (1 - x^k)^{-\alpha}$ . In this paper we use the Rademacher circle method to find an exact formula for  $p_{\alpha}(n)$  and study its implications, including log-concavity and the higher-order generalizations (i.e., the Turán inequalities) that  $p_{\alpha}(n)$  satisfies.

## 1 Introduction and Statement of Results

A *partition* of a nonnegative integer  $n$  is a non-increasing sequence of positive integers with sum  $n$ . We use  $p(n)$  to denote the number of partitions of  $n$ . One powerful tool for analyzing the partition function is Euler’s generating function:

$$P(x) := \sum_{n=0}^{\infty} p(n)x^n = \prod_{k=1}^{\infty} \frac{1}{1 - x^k}. \quad (1.1)$$

The study of the size of  $p(n)$  spurred the development of the “circle method,” which has had many applications, including the proof of the weak Goldbach conjecture [11]. In 1918, G. H. Hardy and S. Ramanujan [10] invented this method to obtain an infinite but divergent series expansion for  $p(n)$  and the asymptotic formula:

$$p(n) \sim \frac{e^{\pi\sqrt{2n/3}}}{4n\sqrt{3}}.$$

This method was perfected by H. Rademacher [16], who determined the convergent exact formula

$$p(n) = \frac{2\pi}{(24n - 1)^{\frac{3}{4}}} \sum_{k=1}^{\infty} \frac{A_k(n)}{k} \cdot I_{\frac{3}{2}} \left( \frac{\pi}{6k} \sqrt{24n - 1} \right), \quad (1.2)$$

where

$$I_{\nu}(z) := \left( \frac{z}{2} \right)^{\nu} \sum_{k=0}^{\infty} \frac{(z/2)^{2k}}{k! \Gamma(\nu + k + 1)}$$

is the modified Bessel function of the first kind,

$$A_k(n) := \sum_{\substack{0 \leq h < k \\ \gcd(h, k) = 1}} e^{\pi i s(h, k) - 2\pi i n h / k}$$

is a Kloosterman sum, and

$$s(h, k) := \sum_{r=1}^{k-1} \frac{r}{k} \left( \frac{hr}{k} - \left\lfloor \frac{hr}{k} \right\rfloor - \frac{1}{2} \right) \quad (1.3)$$

is the usual Dedekind sum.

The partition function also satisfies certain congruences, which exhibit a great degree of structure. Ramanujan was the first to study these congruences, and he discovered examples including  $p(5n + 4) \equiv 0 \pmod{5}$ . In a recent paper, Chan and Wang [4] defined for  $\alpha \in \mathbb{Q}$  the *fractional partition function*  $p_\alpha(n)$  in terms of its generating function

$$P(x)^\alpha = \prod_{k=1}^{\infty} \frac{1}{(1-x^k)^\alpha} =: \sum_{n=0}^{\infty} p_\alpha(n) x^n, \quad (1.4)$$

and studied its congruences, showing, for instance, that  $p_{1/2}(29n + 26) \equiv 0 \pmod{29}$ . A general theory of such congruences has recently been developed by Bevilacqua, Chandran, and Choi [2]. The discussion of congruences for  $p_\alpha(n)$  is possible because  $p_\alpha(n)$  is rational whenever  $\alpha$  is rational.

When  $\alpha \in \mathbb{Z}^+$ ,  $p_\alpha(n)$  counts the number of partitions of  $n$  in which each term is labeled with one of  $\alpha$  different colors, where the order of the colors does not matter [12]. Moreover, in such cases, the function

$$\eta(\tau)^{-\alpha} = q^{-\frac{\alpha}{24}} P(q)^\alpha \quad (1.5)$$

is a weakly holomorphic modular form of weight  $-\alpha/2 \in (1/2)\mathbb{Z}$ , where  $\tau$  is in the upper half-plane,  $\eta(\tau) := q^{1/24} \prod_{n \geq 1} (1 - q^n)$  is the Dedekind eta function, and  $q := e^{2\pi i \tau}$ . This makes it possible to compute the values of  $p_\alpha(n)$  using Maass-Poincaré series, as described by Bringmann et al. [3, §6.3], which give a Rademacher-type infinite series expansion that reduces to (1.2) when  $\alpha = 1$ . To do this, one computes the principal part of  $\eta(\tau)^{-\alpha}$ , which correspond to the values  $p_\alpha(n)$  for  $0 \leq n \leq \lfloor \alpha/24 \rfloor$ . Then, using the fact that a weakly holomorphic modular form is determined by its weight and principal part, one can write it as a finite sum of Maass-Poincaré series and apply a known formula for the coefficients of such series. While these observations shed light on the case where  $\alpha$  is a positive integer, there is currently no known combinatorial or modular-form interpretation of  $p_\alpha(n)$  for arbitrary rational  $\alpha$ .

In this paper, we extend the definition of  $p_\alpha(n)$  to arbitrary real  $\alpha$  via (1.4) and give exact formulas for  $p_\alpha(n)$  in the spirit of Rademacher. For real  $\alpha > 0$ ,  $n > \alpha/24$ , and  $m \leq \alpha/24$ , we define the functions

$$\nu_\alpha(n) := \sqrt{n - \frac{\alpha}{24}}, \quad \mu_\alpha(m) := \sqrt{\frac{\alpha}{24} - m} \quad (1.6)$$

and the  $\alpha$ -Kloosterman sum

$$A_k^{(\alpha)}(n, m) := \sum_{\substack{0 \leq h < k \\ (h, k) = 1}} e^{\alpha \pi i s(h, k) + \frac{2\pi i}{k} (mH - nh)}, \quad (1.7)$$

where  $H$  denotes an inverse of  $h$  modulo  $k$  and  $s(h, k)$  is the Dedekind sum defined in (1.3). Our exact formulas for  $p_\alpha(n)$  are the content of the following theorem.

**Theorem 1.1.** *For all  $\alpha > 0$  and  $n > \alpha/24$ , we have*

$$p_\alpha(n) = \nu_\alpha(n)^{-\frac{\alpha}{2}-1} \sum_{m=0}^q \mu_\alpha(m)^{\frac{\alpha}{2}+1} p_\alpha(m) \sum_{k=1}^{\infty} \frac{2\pi}{k} A_k^{(\alpha)}(n, m) I_{\frac{\alpha}{2}+1} \left( \frac{4\pi}{k} \nu_\alpha(n) \mu_\alpha(m) \right), \quad (1.8)$$

where  $q := \lfloor \frac{\alpha}{24} \rfloor$ .

Theorem 1.1 also enables the calculation of explicit error bounds for approximations of  $p_\alpha(n)$  obtained by truncating (1.8). These have several implications, including a simple description of the asymptotic behavior of  $p_\alpha(n)$  for large  $n$ , given in Corollary 1.2.

**Corollary 1.2.** *For all  $\alpha > 0$ , as  $n \rightarrow \infty$ , we have*

$$p_\alpha(n) \sim 2\pi \frac{I_{\frac{\alpha}{2}+1}\left(\frac{\pi\alpha}{6}\lambda_\alpha(n)\right)}{\lambda_\alpha(n)^{\frac{\alpha}{2}+1}} \sim \sqrt{\frac{12}{\alpha}} \cdot \frac{e^{\frac{\alpha\pi}{6}\lambda_\alpha(n)}}{\lambda_\alpha(n)^{\frac{\alpha+3}{2}}},$$

where  $\lambda_\alpha(n) := \sqrt{\frac{24n}{\alpha}} - 1$ .

We remark that because  $p_\alpha(n)$  is rational for any  $\alpha \in \mathbb{Q}$ , Theorem 1.1 implies that the series in (1.8) converges to a rational number when  $n \in \mathbb{Z}$ ,  $n > \alpha/24$ . We make use of this fact later in the paper (Corollary 4.2) to provide a finite formula for  $p_\alpha(n)$  in the case where  $\alpha \in \mathbb{Q}$ .

When considering a sequence of real numbers, one is often interested in more than just its asymptotic behavior. One property that is often studied is log-concavity. A sequence  $\{a(n)\}$  is called *log-concave* if we have

$$a(n+1)^2 - a(n)a(n+2) \geq 0$$

for all  $n$ . Nicolas [14] and DeSalvo and Pak [7] independently proved that  $p(n)$  is log-concave for  $n \geq 25$ . In fact, the condition of log-concavity is a special case of what are known as the *higher Turán inequalities* [5]. One can show that a sequence satisfies the higher Turán inequalities of degree  $d$  if and only if the Jensen polynomials

$$J_a^{d,n}(x) := \sum_{j=0}^d \binom{d}{j} a(n+j)x^j \tag{1.9}$$

have strictly real roots for all  $n$ —we say that such a polynomial is *hyperbolic* [6]. Chen, Jia, and Wang [5] conjectured that for any fixed degree  $d$ ,  $J_p^{d,n}(x)$  is eventually hyperbolic, and proved this for  $d = 3$ ; Larson and Wagner [13] independently proved this conjecture for  $d \in \{3, 4, 5\}$ . Griffin, Ono, Rolén, and Zagier [9] established the conjecture of Chen et al. for all  $d$  by showing that, after suitable renormalization, the Jensen polynomials of  $p(n)$  converge to the Hermite polynomials  $H_d(x)$  as  $n \rightarrow \infty$ . We apply their methods to prove the analogue of Chen et al.’s conjecture for  $p_\alpha(n)$ .

**Theorem 1.3.** *For  $\alpha > 0$  and  $d \in \mathbb{N}$ , there exists  $N_d(\alpha)$  such that  $J_{p_\alpha}^{d,n}(X)$  is hyperbolic for all  $n > N_d(\alpha)$ .*

Our paper is divided into five main sections. In Section 2, we establish some preliminary results, including a modified version of the Dedekind functional equation for  $\eta(\tau)$ . In Section 3, we use the circle method along with this identity to prove Theorem 1.1. In Section 4, we use Theorem 1.1 to prove more results about  $p_\alpha(n)$ , including the estimate given in Corollary 1.2. We also analyze the hyperbolicity of the Jensen polynomials associated with  $p_\alpha(n)$ . Finally, in Section 5 we provide numerical illustrations of our main theorems.

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## 2 Proof of the Functional Equation for $P(x)^\alpha$

In order to apply the circle method to  $p_\alpha(n)$ , we first require a precise statement of Dedekind's functional equation for the eta function. We derive this from Iseki's formula [1, §3.5]. For convenience, when  $\operatorname{Re}(x) > 0$ , we set

$$\lambda(x) := \sum_{m=1}^{\infty} \frac{e^{-2\pi m x}}{m} = -\log(1 - e^{-2\pi x}).$$

*Remark.* Throughout this section, we let  $\log z$  denote the branch of the logarithm with a branch cut along the negative imaginary axis and  $\log 1 = 0$ , and we define  $\arg z := \operatorname{Im}(\log z)$ .

### 2.1 Derivation of the Logarithmic Functional Equation from Iseki's Formula

In order to derive the required modification of the functional equation for  $\eta(\tau)$ , we first prove a lemma which follows from Iseki's formula [1, §3.5].

**Theorem 2.1** (Iseki's Formula). *For  $\operatorname{Re} z > 0$ ,  $0 < \alpha < 1$ , and  $0 \leq \beta \leq 1$ , let*

$$\Lambda(\alpha, \beta, z) := \sum_{r=0}^{\infty} [\lambda((r + \alpha)z - i\beta) + \lambda((r + 1 - \alpha)z + i\beta)].$$

*Then we have*

$$\Lambda(\alpha, \beta, z) = \Lambda(1 - \beta, \alpha, z^{-1}) - \pi z \left( \alpha^2 - \alpha + \frac{1}{6} \right) + \frac{\pi}{z} \left( \beta^2 - \beta + \frac{1}{6} \right) + 2\pi i \left( \alpha - \frac{1}{2} \right) \left( \beta - \frac{1}{2} \right). \quad (2.1)$$

**Lemma 2.2.** *For  $\operatorname{Re} z > 0$ , we have*

$$\sum_{r=1}^{\infty} \lambda(rz) = \sum_{r=1}^{\infty} \lambda\left(\frac{r}{z}\right) + \frac{1}{2} \log z - \left( \frac{\pi z}{12} - \frac{\pi}{12z} \right). \quad (2.2)$$

*Proof.* Letting  $\beta = 0$  in Iseki's formula, we obtain

$$\Lambda(\alpha, 0, z) = \Lambda(1, \alpha, z^{-1}) - \pi z \left( \alpha^2 - \alpha + \frac{1}{6} \right) + \frac{\pi}{6z} - \pi i \left( \alpha - \frac{1}{2} \right). \quad (2.3)$$

From here, bringing  $\Lambda(1, \alpha, z^{-1})$  to the left side, reordering the summations, and setting  $a(\alpha) := \lambda(\alpha z) - \lambda(i\alpha)$  and

$$b_r(\alpha) := \lambda((r + \alpha)z) + \lambda((r - \alpha)z) - \lambda\left(\frac{r}{z} - i\alpha\right) - \lambda\left(\frac{r}{z} + i\alpha\right)$$

yields

$$a(\alpha) + \sum_{r=1}^{\infty} b_r(\alpha) = -\pi z \left( \alpha^2 - \alpha + \frac{1}{6} \right) + \frac{\pi}{6z} - \pi i \left( \alpha - \frac{1}{2} \right). \quad (2.4)$$

The reordering is valid because the sum over each of the four terms in  $b_r(\alpha)$  converges absolutely, since  $\lambda(\gamma z) \sim e^{-2\pi\gamma z}$  as  $\gamma \rightarrow \infty$ . We proceed by taking the limit as  $\alpha \rightarrow 0^+$ . We start by observing that

$$\begin{aligned} \lim_{\alpha \rightarrow 0^+} a(\alpha) &= \lim_{\alpha \rightarrow 0^+} [\lambda(\alpha z) - \lambda(i\alpha)] \\ &= \lim_{\alpha \rightarrow 0^+} [\log(1 - e^{-2\pi i\alpha}) - \log(1 - e^{-2\alpha\pi z})] \\ &= \lim_{\alpha \rightarrow 0^+} \log \left( \frac{1 - e^{-2\pi i\alpha}}{1 - e^{-2\alpha\pi z}} \right), \end{aligned} \quad (2.5)$$

where the last step is justified because  $\arg(1 - e^{-2\pi i\alpha}) - \arg(1 - e^{-2\alpha\pi z}) \in (-\pi, \pi)$  for  $\alpha > 0$ . By L'Hôpital's rule,

$$\lim_{\alpha \rightarrow 0^+} \frac{1 - e^{-2\pi i\alpha}}{1 - e^{-2\alpha\pi z}} = \lim_{\alpha \rightarrow 0^+} \frac{2\pi i e^{-2\pi i\alpha}}{2\pi z e^{-2\alpha\pi z}} = \frac{i}{z},$$

and so

$$\lim_{\alpha \rightarrow 0^+} a(\alpha) = \log\left(\frac{i}{z}\right) = \frac{\pi i}{2} - \log z,$$

using the fact that  $\arg(i/z) \in (0, \pi)$  and  $\log(1/z) = -\log z$  for our definition of the logarithm. We now show that

$$\lim_{\alpha \rightarrow 0^+} \sum_{r=1}^{\infty} b_r(\alpha) = \sum_{r=1}^{\infty} \lim_{\alpha \rightarrow 0^+} b_r(\alpha) = \sum_{r=1}^{\infty} (2\lambda(rz) - 2\lambda(r/z)).$$

For this purpose, start by noting that for  $\operatorname{Re} x > 0$ , we have  $|\lambda(x)| \leq \lambda(\operatorname{Re} x)$  by the series expansion for  $\lambda$ , and that  $\lambda(\operatorname{Re} x)$  is monotonically decreasing. In particular, we have

$$|\lambda((r \pm \alpha)z)| \leq \lambda\left(\left(r - \frac{1}{2}\right) \operatorname{Re} z\right) \leq \lambda\left(r \cdot \frac{\operatorname{Re} z}{2}\right),$$

and  $|\lambda(rz \pm i\alpha)| \leq \lambda(r \operatorname{Re} z)$ . For  $x > 0$ , we can verify that  $\sum_{r=1}^{\infty} \lambda(rx)$  converges by the asymptotic behavior of  $\lambda(rx)$  as  $r \rightarrow \infty$ . Consequently, by the discrete version of the dominated convergence theorem, we may exchange the order of the limit and the summation over  $b_r$ . Thus, in the limit, (2.4) becomes

$$\frac{\pi i}{2} - \log z + 2 \sum_{r=1}^{\infty} \lambda(rz) - 2 \sum_{r=1}^{\infty} \lambda\left(\frac{r}{z}\right) = -\frac{\pi z}{6} + \frac{\pi}{6z} + \frac{\pi i}{2}. \quad (2.6)$$

This is equivalent to (2.2).  $\square$

For the main theorem of this section, we begin by citing a fact proven in [1, §3.6].

**Proposition 2.3.** *Let  $\operatorname{Re} z > 0$ , let  $h, k \in \mathbb{Z}$  be coprime with  $k > 0$ , and choose  $H$  such that  $hH \equiv -1 \pmod{k}$ . Then we have that*

$$\sum_{\substack{n=1 \\ n \not\equiv 0 \pmod{k}}}^{\infty} \lambda\left(\frac{n}{k}(z - ih)\right) = \sum_{\substack{n=1 \\ n \not\equiv 0 \pmod{k}}}^{\infty} \lambda\left(\frac{n}{k}(z^{-1} - iH)\right) + \left(\frac{\pi z}{12} - \frac{\pi}{12z}\right) \left(1 - \frac{1}{k}\right) + \pi i s(h, k). \quad (2.7)$$

With this fact and Lemma 2.2, we may finally provide the desired logarithmic version of the functional equation for  $\eta(\tau)$ .

**Theorem 2.4.** *For  $\operatorname{Re} z > 0$  and  $h, k, H \in \mathbb{Z}$  with  $k > 0$ ,  $\gcd(h, k) = 1$ , and  $hH \equiv -1 \pmod{k}$ , we have*

$$\sum_{n=1}^{\infty} \lambda\left(\frac{n}{k}(z - ih)\right) = \sum_{n=1}^{\infty} \lambda\left(\frac{n}{k}(z^{-1} - iH)\right) + \frac{1}{k} \left(\frac{\pi}{12z} - \frac{\pi z}{12}\right) + \frac{1}{2} \log z + \pi i s(h, k). \quad (2.8)$$

*Proof.* Using the periodicity of  $\lambda$ , we note that

$$\sum_{r=1}^{\infty} \lambda(rz) = \sum_{\substack{n=1 \\ n \not\equiv 0 \pmod{k}}}^{\infty} \lambda\left(\frac{n}{k}(z - ih)\right) \quad \text{and} \quad \sum_{r=1}^{\infty} \lambda\left(\frac{r}{z}\right) = \sum_{\substack{n=1 \\ n \not\equiv 0 \pmod{k}}}^{\infty} \lambda\left(\frac{n}{k}(z^{-1} - iH)\right).$$

Substituting this into (2.2) and adding equation (2.7) yields the desired result.  $\square$

## 2.2 Application of the Logarithmic Functional Equation to $P(x)^\alpha$

We recall the generating function

$$P(x) := \prod_{k=1}^{\infty} \frac{1}{1-x^k} = \sum_{n=0}^{\infty} p(n)x^n,$$

which is holomorphic for  $x$  in the open unit disk. In deriving the Hardy-Ramanujan-Rademacher series formula for the partition function, we rely on the fact that the equation above holds analytically as well as formally. We extend this observation to  $p_\alpha(n)$  by showing that the generating function  $P(x)^\alpha$  is well-defined.

**Lemma 2.5.** *For  $x$  in the open unit disk and  $\alpha > 0$ , we have*

$$\sum_{n=0}^{\infty} p_\alpha(n)x^n = \prod_{k=1}^{\infty} e^{-\alpha \log(1-x^k)} =: P(x)^\alpha. \quad (2.9)$$

*Proof.* Start by observing that our branch of the logarithm ensures that  $\exp(-\alpha \log(1-x^k))$  is formally equivalent to  $(1-x^k)^{-\alpha}$ . Thus, because the  $p_\alpha(n)$  are defined in terms of the formal equivalence in (1.4), it suffices to show that  $P(x)^\alpha$  as defined above is holomorphic for  $|x| < 1$ . For this purpose, let  $0 < r < 1$ , and observe that  $-\sum_{k=1}^{\infty} \alpha \log(1-x^k)$  converges uniformly for  $|x| \leq r$  by the ratio test, as

$$\lim_{k \rightarrow \infty} \left| \frac{\log(1-x^{k+1})}{\log(1-x^k)} \right| = \lim_{k \rightarrow \infty} \left| \frac{-\log(x)x^{k+1}/(1-x^{k+1})}{-\log(x)x^k/(1-x^k)} \right| = \lim_{k \rightarrow \infty} \left| x \cdot \frac{1-x^k}{1-x^{k+1}} \right| = |x| \leq r.$$

Thus,  $\prod_{k=1}^{\infty} e^{-\alpha \log(1-x^k)}$  converges uniformly for  $|x| \leq r$ , from which it follows that  $P(x)^\alpha$  is holomorphic in every closed disk  $|x| \leq r$  and hence in the open unit disk  $|x| < 1$  as desired.  $\square$

We are finally ready for the main result of this section, which expresses the functional equation for  $\eta(\tau)$  in terms of  $P(x)^\alpha$ .

**Theorem 2.6** (Modified Functional Equation). *For  $\operatorname{Re} z > 0$ ,  $\alpha > 0$ ,  $h, k, H \in \mathbb{Z}$  with  $k > 0$ ,  $\gcd(h, k) = 1$ , and  $hH \equiv -1 \pmod{k}$ , we have*

$$P(x)^\alpha = e^{\pi i \alpha s(h, k)} \left( \frac{z}{k} \right)^{\alpha/2} \exp \left( \frac{\alpha \pi}{12k} \left( \frac{k}{z} - \frac{z}{k} \right) \right) P(x')^\alpha, \quad (2.10)$$

where

$$x := \exp \left( \frac{2\pi}{k} \left( ih - \frac{z}{k} \right) \right), \quad x' := \exp \left( \frac{2\pi}{k} \left( iH - \frac{k}{z} \right) \right), \quad (2.11)$$

and real powers are given for the precise branch of the logarithm described in Section 2.1.

*Proof.* Applying Theorem 2.4 with  $z/k$  in place of  $z$  and multiplying by  $\alpha$ , we obtain

$$\alpha \sum_{n=1}^{\infty} \lambda \left( \frac{n}{k} \left( \frac{z}{k} - ih \right) \right) = \frac{\alpha \pi}{12k} \left( \frac{k}{z} - \frac{z}{k} \right) + \frac{\alpha}{2} \log \left( \frac{z}{k} \right) + \pi i \alpha s(h, k) + \alpha \sum_{n=1}^{\infty} \lambda \left( \frac{n}{k} \left( \frac{k}{z} - iH \right) \right).$$

Exponentiating both sides yields

$$\prod_{n=1}^{\infty} \exp(-\alpha \log(x)) = \exp \left( \frac{\alpha \pi}{12k} \left( \frac{k}{z} - \frac{z}{k} \right) \right) \exp \left( \frac{\alpha}{2} \log \left( \frac{z}{k} \right) \right) e^{\pi i \alpha s(h, k)} \prod_{n=1}^{\infty} \exp(-\alpha \log(x')) \quad (2.12)$$

for  $x$  and  $x'$  defined above, which is equivalent to (2.10).  $\square$

### 3 Proof of the Series Formula for $p_\alpha(n)$

In this section, we use Radamacher's circle method to prove the series formula for  $p_\alpha(n)$ . We closely follow Apostol's proof of the  $\alpha = 1$  case [1, §5.7].

*Proof of Theorem 1.1.* Using Cauchy's residue theorem and Lemma 2.5, we can write

$$p_\alpha(n) = \frac{1}{2\pi i} \int_C \frac{P(x)^\alpha}{x^{n+1}} dx, \quad (3.1)$$

where  $C$  is any simple closed contour in the unit disk which encloses the origin. To evaluate this, we consider the change of variables  $x = e^{2\pi i \tau}$ , under which the closed unit disk  $|x| \leq 1$  is the image of the infinite vertical strip  $\{\tau : 0 \leq \operatorname{Re} \tau \leq 1, 0 \leq \operatorname{Im} \tau\}$ . We start by recalling the Farey sequences  $F_N$ , defined by enumerating the rational numbers in  $[0, 1]$  with reduced denominators at most  $N$ . In addition, for  $\gcd(h, k) = 1$ , we let  $C(h, k)$  denote the Ford circle associated with  $h/k$ , which has center  $h/k + i/(2k^2)$  and radius  $1/(2k^2)$  (details are given in [1, §5.6]). As in Rademacher's original work, we integrate along the Rademacher paths  $R(N)$  in the  $\tau$ -plane, consisting of the upper arcs of the Ford circles associated with  $F_N$ , with the intent to later take the limit as  $N \rightarrow \infty$  (depicted in Figure 2). For  $N \geq 1$ , we write (3.1) as

$$p_\alpha(n) = \int_{R(N)} P(e^{2\pi i \tau})^\alpha e^{-2\pi i n \tau} d\tau. \quad (3.2)$$

Decomposing  $R(N)$  into its component arcs, we may write the above integral as

$$\int_{R(N)} = \sum_{k=1}^N \sum_{\substack{0 \leq h < k \\ (h,k)=1}} \int_{\gamma(h,k)} =: \sum_{h,k} \int_{\gamma(h,k)}, \quad (3.3)$$

where we define the right side as a shorthand for the double sum over  $h$  and  $k$ , and  $\gamma(h, k)$  is the upper arc of the Ford circle  $C(h, k)$  of radius  $1/(2k^2)$  tangent to the real axis at  $h/k$ .

We now introduce a second change of variables given by

$$z = -ik^2 \left( \tau - \frac{h}{k} \right), \quad (3.4)$$

which maps the circle  $C(h, k)$  onto the circle  $K$  of radius  $1/2$  centered at  $1/2$ . Let  $z_1(h, k)$  and  $z_2(h, k)$  be the respective endpoints of the image of  $\gamma(h, k)$ , and let  $x$  and  $x'$  be defined as in Theorem 2.6. Then

$$p_\alpha(n) = \sum_{h,k} ik^{-2} e^{-\frac{2\pi i n h}{k}} \int_{z_1(h,k)}^{z_2(h,k)} e^{\frac{2\pi n z}{k^2}} P(x)^\alpha dz,$$

from which the modified functional equation from Theorem 2.6 yields

$$p_\alpha(n) = \sum_{h,k} ik^{-\frac{\alpha}{2}-2} e^{-\frac{2\pi i n h}{k}} \omega^{(\alpha)}(h, k) \int_{z_1(h,k)}^{z_2(h,k)} e^{\frac{2\pi n z}{k^2}} \Psi_k^{(\alpha)}(z) P(x')^\alpha dz,$$

where

$$\omega^{(\alpha)}(h, k) := e^{\alpha \pi i s(h,k)}, \quad \text{and} \quad \Psi_k^{(\alpha)}(z) := z^{\frac{\alpha}{2}} \exp\left(\frac{\alpha \pi}{12z} - \frac{\alpha \pi z}{12k^2}\right).$$

Let  $q = \lfloor \alpha/24 \rfloor$ , and define

$$Q^{(\alpha)}(x) := \sum_{m=0}^q p_\alpha(m) x^m.$$

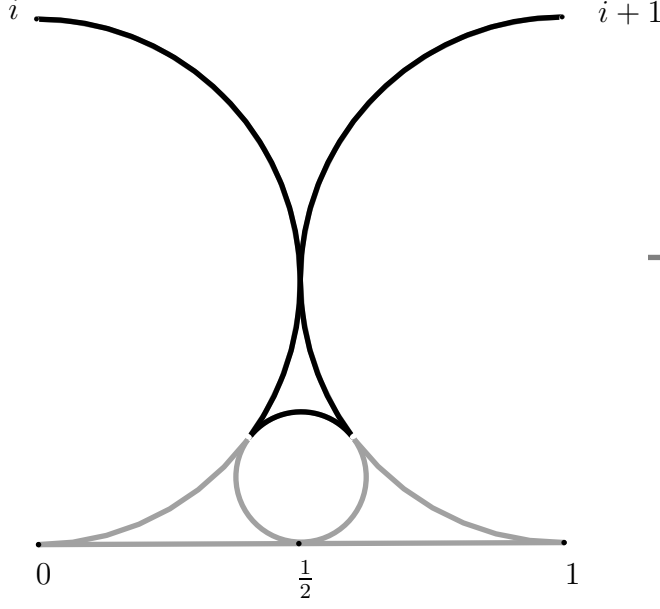


Figure 1: The Rademacher path  $R(2)$

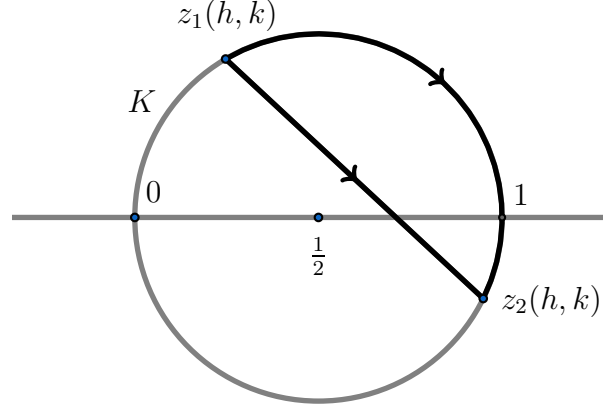


Figure 2: Path of integration in the  $z$ -plane

We proceed by separating out a part of the integral that corresponds to  $Q^{(\alpha)}(x)$  and showing that the remaining part goes to zero as  $N \rightarrow \infty$ . In particular, we write

$$I_1(h, k) = \int_{z_1(h, k)}^{z_2(h, k)} \Psi_k^{(\alpha)}(z) e^{\frac{2\pi n z}{k^2}} Q^{(\alpha)}(x') dz$$

and

$$I_2(h, k) = \int_{z_1(h, k)}^{z_2(h, k)} \Psi_k^{(\alpha)}(z) e^{\frac{2\pi n z}{k^2}} (P(x')^\alpha - Q^{(\alpha)}(x')) dz$$

to obtain

$$p_\alpha(n) = \sum_{h, k} i k^{-2 - \frac{\alpha}{2}} e^{-\frac{2\pi i n h}{k}} \omega^{(\alpha)}(h, k) \cdot (I_1(h, k) + I_2(h, k)). \quad (3.5)$$

We now show that  $I_2(h, k)$  is “small” for large  $N$  by considering the integral along the chord in the  $z$ -plane joining  $z_1(h, k)$  and  $z_2(h, k)$ . Because  $0 < \operatorname{Re} z \leq 1$  and  $\operatorname{Re}(z^{-1}) \geq 1$  for  $z$  on the path of integration, we can write

$$\left| \Psi_k^{(\alpha)}(z) \cdot e^{\frac{2\pi n z}{k^2}} \cdot \left\{ P(x')^\alpha - Q^{(\alpha)}(x') \right\} \right| \quad (3.6)$$

$$\begin{aligned} &= |z|^{\frac{\alpha}{2}} \exp \left( \frac{\alpha\pi}{12} \operatorname{Re}(z^{-1}) - \frac{\alpha\pi}{12k^2} \operatorname{Re} z + \frac{2n\pi}{k^2} \operatorname{Re} z \right) \cdot \left| \sum_{m=q+1}^{\infty} p_\alpha(m) \exp \left( \frac{2\pi i H m}{k} - \frac{2\pi m}{z} \right) \right| \\ &\leq |z|^{\frac{\alpha}{2}} \exp \left( \frac{\alpha\pi}{12} \operatorname{Re}(z^{-1}) + \frac{2n\pi}{k^2} \right) \sum_{m=q+1}^{\infty} p_\alpha(m) e^{-2\pi m \operatorname{Re}(z^{-1})} \\ &\leq |z|^{\frac{\alpha}{2}} \sum_{m=q+1}^{\infty} p_\alpha(m) e^{-2\pi(m - \frac{\alpha}{24}) \operatorname{Re}(z^{-1})} \end{aligned} \quad (3.7)$$

$$\leq |z|^{\frac{\alpha}{2}} \sum_{m=q+1}^{\infty} p_\alpha(m) e^{-2\pi(m - \frac{\alpha}{24})} = |z|^{\frac{\alpha}{2}} e^{\frac{\alpha\pi}{12}} (P(e^{-2\pi})^\alpha - Q^{(\alpha)}(e^{-2\pi})). \quad (3.8)$$



Since  $|z| < \sqrt{2}k/N$  for  $z$  on the chord from  $z_1(h, k)$  to  $z_2(h, k)$ , the integrand is less than  $C(k/N)^{\alpha/2}$  for some constant  $C$  not depending on  $N$ . Thus, because the length of the chord is at most  $2\sqrt{2}k/N$ , we have

$$|I_2(h, k)| < \frac{Ck^{\frac{\alpha}{2}+1}}{N^{\frac{\alpha}{2}+1}}. \quad (3.9)$$

Substituting this bound into the sum of the  $I_2$  terms in (3.5) yields

$$\left| \sum_{h,k} ik^{-\frac{\alpha}{2}-2} e^{-\frac{2\pi i n h}{k}} \omega^{(\alpha)}(h, k) I_2(h, k) \right| < \sum_{k=1}^N \sum_{\substack{0 \leq h < k \\ (h,k)=1}} Ck^{-1} N^{-\frac{\alpha}{2}-1} \leq CN^{-\frac{\alpha}{2}-1} \sum_{k=1}^N 1 = CN^{-\frac{\alpha}{2}}.$$

Thus, we have

$$p_\alpha(n) = \left( \sum_{k=1}^N \sum_{\substack{0 \leq h < k \\ (h,k)=1}} ik^{-\frac{\alpha}{2}-2} e^{-\frac{2\pi i n h}{k}} \omega^{(\alpha)}(h, k) I_1(h, k) \right) + O(N^{-\frac{\alpha}{2}}). \quad (3.10)$$

Next we consider  $I_1(h, k)$ . We can write

$$I_1(h, k) = \int_{-K} - \int_0^{z_1(h,k)} - \int_{z_2(h,k)}^0 =: \int_{-K} - J_1 - J_2, \quad (3.11)$$

where we omit the integrands for brevity, and where  $-K$  indicates that we integrate in the negative direction along  $K$ . Because  $|z| \leq \sqrt{2}k/N$  on the paths of integration, we can bound the integrands of  $J_1$  and  $J_2$  by

$$\begin{aligned} & \left| \Psi_k^{(\alpha)}(z) e^{\frac{2\pi n z}{k^2}} Q^{(\alpha)}(x') \right| \\ & \leq |z|^{\frac{\alpha}{2}} \exp \left( \frac{\alpha\pi}{12} \operatorname{Re}(z^{-1}) - \frac{\alpha\pi}{12k^2} \operatorname{Re} z + \frac{2n\pi}{k^2} \operatorname{Re} z \right) \left| \sum_{m=0}^q p_\alpha(m) \exp \left( \frac{2\pi i H m}{k} - \frac{2\pi m}{z} \right) \right| \\ & \leq |z|^{\frac{\alpha}{2}} \exp \left( \frac{\alpha\pi}{12} + \frac{2\pi}{k^2} \left( n - \frac{\alpha}{24} \right) \operatorname{Re} z \right) \left| \sum_{m=0}^q p_\alpha(m) e^{-2\pi m} \right| \end{aligned} \quad (3.12)$$

$$\leq \frac{e^{2n\pi} 2^{\frac{\alpha}{4}} k^{\frac{\alpha}{2}}}{N^{\frac{\alpha}{2}}} \left| \sum_{m=0}^q p_\alpha(m) e^{-2\pi m} \right|. \quad (3.13)$$

The lengths of the arcs from 0 to  $z_1(h, k)$  and  $z_2(h, k)$  are less than  $\pi|z_1(h, k)|$  and  $\pi|z_2(h, k)|$ , respectively, and both of these are bounded by  $\pi\sqrt{2}k/N$ , so we get that  $|J_1|, |J_2| < C_1 k^{\frac{\alpha}{2}+1} N^{-\frac{\alpha}{2}-1}$  for some constant  $C_1$ .

Combining (3.10), (3.11), and the bounds for  $J_1$  and  $J_2$  above, we find that

$$p_\alpha(n) = \sum_{k=1}^N \sum_{\substack{0 \leq h < k \\ (h,k)=1}} ik^{-\frac{\alpha}{2}-2} e^{-\frac{2\pi i n h}{k}} \omega^{(\alpha)}(h, k) \int_{-K} \Psi_k^{(\alpha)}(z) e^{\frac{2\pi n z}{k^2}} Q^{(\alpha)}(x') dz + O(N^{-\frac{\alpha}{2}}), \quad (3.14)$$

which in the limit as  $N$  goes to infinity becomes

$$\begin{aligned}
p_\alpha(n) &= \sum_{m=0}^q p_\alpha(m) \sum_{k=1}^{\infty} \sum_{\substack{0 \leq h < k \\ (h,k)=1}} i k^{-\frac{\alpha}{2}-2} e^{-\frac{2\pi i n h}{k}} \omega^{(\alpha)}(h, k) \\
&\quad \cdot \int_{-K} z^{\frac{\alpha}{2}} \exp\left(\frac{2\pi n z}{k^2} + \frac{\alpha\pi}{12z} - \frac{\alpha\pi z}{12k^2} + \frac{2\pi i m H}{k} - \frac{2\pi m}{z}\right) dz \\
&= \sum_{m=0}^q p_\alpha(m) \sum_{k=1}^{\infty} \sum_{\substack{0 \leq h < k \\ (h,k)=1}} i k^{-\frac{\alpha}{2}-2} e^{\frac{2\pi i}{k}(mH-nh)} \omega^{(\alpha)}(h, k) \\
&\quad \cdot \int_{-K} z^{\frac{\alpha}{2}} \exp\left(\frac{2\pi z}{k^2} \nu_\alpha(n)^2 + \frac{2\pi}{z} \mu_\alpha(m)^2\right) dz \\
&= \sum_{m=0}^q p_\alpha(m) \sum_{k=1}^{\infty} i \frac{A_k^{(\alpha)}(n, m)}{k^{\frac{\alpha}{2}+2}} \int_{-K} z^{\frac{\alpha}{2}} \exp\left(\frac{2\pi z}{k^2} \nu_\alpha(n)^2 + \frac{2\pi}{z} \mu_\alpha(m)^2\right) dz.
\end{aligned}$$

To evaluate the integral on the right, we make the change of variables  $t = 2\pi(\alpha/24 - m)/z$  to obtain

$$\begin{aligned}
p_\alpha(n) &= 2\pi \sum_{m=0}^q p_\alpha(m) \sum_{k=1}^{\infty} \frac{A_k^{(\alpha)}(n, m)}{k^{\frac{\alpha}{2}+2}} [2\pi \mu_\alpha(n)^2]^{\frac{\alpha}{2}+1} \\
&\quad \cdot \frac{1}{2\pi i} \int_{c-i\infty}^{c+i\infty} t^{-\frac{\alpha}{2}-2} \exp\left(t + \left(\frac{2\pi}{k} \nu_\alpha(n) \mu_\alpha(m)\right)^2 \frac{1}{t}\right) dt,
\end{aligned}$$

where  $c = \alpha\pi/12$ . Now recall that the modified Bessel function of the first kind satisfies

$$I_\beta(z) = \frac{(z/2)^\beta}{2\pi i} \int_{c-\infty i}^{c+\infty i} t^{-\beta-1} e^{t+\frac{z^2}{4t}} dt \quad (3.15)$$

for  $c > 0, \operatorname{Re}(\nu) > 0$  [17, p. 181]. Consequently, for  $n \geq \alpha/24$ , we find that

$$\begin{aligned}
p_\alpha(n) &= 2\pi \sum_{m=0}^q p_\alpha(m) \sum_{k=1}^{\infty} \frac{A_k^{(\alpha)}(n, m)}{k^{\frac{\alpha}{2}+2}} (2\pi \mu_\alpha(m)^2)^{\frac{\alpha}{2}+1} \left(\frac{2\pi}{k} \nu_\alpha(n) \mu_\alpha(m)\right)^{-\frac{\alpha}{2}-1} I_{\frac{\alpha}{2}+1}\left(\frac{4\pi}{k} \nu_\alpha(n) \mu_\alpha(m)\right) \\
&\quad (3.16)
\end{aligned}$$

$$= \nu_\alpha(n)^{-\frac{\alpha}{2}-1} \sum_{m=0}^q \mu_\alpha(m)^{\frac{\alpha}{2}+1} p_\alpha(m) \sum_{k=1}^{\infty} \frac{2\pi}{k} A_k^{(\alpha)}(n, m) I_{\frac{\alpha}{2}+1}\left(\frac{4\pi}{k} \nu_\alpha(n) \mu_\alpha(m)\right). \quad (3.17)$$

□

## 4 Applications of the Series Formula for $p_\alpha(n)$

### 4.1 Estimates of $p_\alpha(n)$

In this section, we consider the error of the approximation

$$p_\alpha(n; \delta) := \nu_\alpha(n)^{-\frac{\alpha}{2}-1} \sum_{m=0}^q \mu_\alpha(m)^{\frac{\alpha}{2}+1} p_\alpha(m) \sum_{1 \leq k < \frac{2\pi}{\delta} \mu_\alpha(m)} \frac{2\pi}{k} A_k^{(\alpha)}(n, m) I_{\frac{\alpha}{2}+1}\left(\frac{4\pi}{k} \nu_\alpha(n) \mu_\alpha(m)\right). \quad (4.1)$$

for  $p_\alpha(n)$ . Note in particular that in the limit as  $\delta \rightarrow 0^+$ , we have  $p_\alpha(n; \delta) \rightarrow p_\alpha(n)$ .

**Theorem 4.1.** For all  $\alpha > 0$ ,  $0 < \delta < 2\pi\mu_\alpha(0)$ , and  $n > \alpha/24$ , we have

$$|p_\alpha(n) - p_\alpha(n; \delta)| < \frac{C}{\delta} \frac{I_{\frac{\alpha}{2}+1}(2\delta\nu_\alpha(n))}{\nu_\alpha(n)^{\frac{\alpha}{2}+1}} < C\delta^{\frac{\alpha}{2}} \frac{I_{\frac{\alpha}{2}+1}(4\pi\mu_\alpha(0)\nu_\alpha(n))}{(2\pi\mu_\alpha(0)\nu_\alpha(n))^{\frac{\alpha}{2}+1}}, \quad (4.2)$$

where

$$C := 4\pi^2 \left(1 + \frac{2}{\alpha}\right) \mu_\alpha(0) \sum_{m=0}^q \mu_\alpha(m)^{\frac{\alpha}{2}+1} p_\alpha(m).$$

*Proof.* Start by noting that

$$\left|A_k^{(\alpha)}(n, m)\right| \leq \sum_{\substack{0 \leq h < k \\ (h, k)=1}} \left|e^{\alpha\pi i s(h, k) + \frac{2\pi i}{k}(mH - nh)}\right| = \sum_{\substack{0 \leq h < k \\ (h, k)=1}} 1 \leq k. \quad (4.3)$$

Moreover, using the fact from [15] that for  $0 < x < y$  and  $\nu > 1$ , the modified Bessel function of the first kind satisfies

$$\frac{I_\nu(x)}{I_\nu(y)} < \left(\frac{x}{y}\right)^\nu, \quad (4.4)$$

we have that

$$\begin{aligned} \sum_{k \geq \frac{2\pi}{\delta}\mu_\alpha(m)} \frac{I_{\frac{\alpha}{2}+1}\left(\frac{4\pi}{k}\nu_\alpha(n)\mu_\alpha(m)\right)}{I_{\frac{\alpha}{2}+1}(2\delta\nu_\alpha(n))} &< \sum_{k \geq \frac{2\pi}{\delta}\mu_\alpha(m)} \left(\frac{2\pi}{k\delta}\mu_\alpha(m)\right)^{\frac{\alpha}{2}+1} \\ &< 1 + \int_{\frac{2\pi}{\delta}\mu_\alpha(m)}^{\infty} \left(\frac{2\pi}{t\delta}\mu_\alpha(m)\right)^{\frac{\alpha}{2}+1} dt \\ &= 1 + \frac{4\pi}{\alpha\delta}\mu_\alpha(m) \end{aligned}$$

for  $0 \leq m \leq q$ . Thus, we find that

$$\begin{aligned} \nu_\alpha(n)^{\frac{\alpha}{2}+1} |p_\alpha(n) - p_\alpha(n; \delta)| &\leq 2\pi \sum_{m=0}^q \mu_\alpha(m)^{\frac{\alpha}{2}+1} p_\alpha(m) \sum_{k \geq \frac{2\pi}{\delta}\mu_\alpha(m)} I_{\frac{\alpha}{2}+1}\left(\frac{4\pi}{k}\nu_\alpha(n)\mu_\alpha(m)\right) \\ &< 2\pi I_{\frac{\alpha}{2}+1}(2\delta\nu_\alpha(n)) \sum_{m=0}^q \mu_\alpha(m)^{\frac{\alpha}{2}+1} p_\alpha(m) \left[1 + \frac{4\pi}{\alpha\delta}\mu_\alpha(m)\right]. \end{aligned}$$

Since  $1 < \frac{2\pi}{\delta}\mu_\alpha(0)$  and  $\mu_\alpha(m) \leq \mu_\alpha(0)$ , it follows that

$$|p_\alpha(n) - p_\alpha(n; \delta)| < \frac{4\pi^2}{\delta} \frac{I_{\frac{\alpha}{2}+1}(2\delta\nu_\alpha(n))}{\nu_\alpha(n)^{\frac{\alpha}{2}+1}} \left(1 + \frac{2}{\alpha}\right) \mu_\alpha(0) \sum_{m=0}^q \mu_\alpha(m)^{\frac{\alpha}{2}+1} p_\alpha(m),$$

or applying the Paris inequality a second time using  $2\delta\nu_\alpha(n) < 4\pi\mu_\alpha(0)\nu_\alpha(n)$ ,

$$|p_\alpha(n) - p_\alpha(n; \delta)| < 4\pi^2 \delta^{\frac{\alpha}{2}} \frac{I_{\frac{\alpha}{2}+1}(4\pi\mu_\alpha(0)\nu_\alpha(n))}{(2\pi\mu_\alpha(0)\nu_\alpha(n))^{\frac{\alpha}{2}+1}} \left(1 + \frac{2}{\alpha}\right) \mu_\alpha(0) \sum_{m=0}^q \mu_\alpha(m)^{\frac{\alpha}{2}+1} p_\alpha(m).$$

□

We are now in a position to prove the simple asymptotic formula for  $p_\alpha(n)$  stated in the introduction.

*Proof of Corollary 1.2.* Observe that since  $\frac{4\pi}{\delta}\mu_\alpha(m)$  is strictly increasing in  $m$ , there exists a  $0 < \delta < 2\pi\mu_\alpha(0)$  such that  $\frac{2\pi}{\delta}\mu_\alpha(m) \leq 2$  for  $0 < m \leq q$  and so

$$p_\alpha(n; \delta) = 2\pi \left( \frac{\mu_\alpha(0)}{\nu_\alpha(n)} \right)^{\frac{\alpha}{2}+1} I_{\frac{\alpha}{2}+1}(4\pi\nu_\alpha(n)\mu_\alpha(0)) = 2\pi \frac{I_{\frac{\alpha}{2}+1}\left(\frac{\pi\alpha}{6}\lambda_\alpha(n)\right)}{\lambda_\alpha(n)^{\frac{\alpha}{2}+1}}.$$

Moreover, by Theorem 4.1, we have

$$|p_\alpha(n) - p_\alpha(n; \delta)| \leq C \frac{I_{\frac{\alpha}{2}+1}(2\delta\nu_\alpha(n))}{\nu_\alpha(n)^{\frac{\alpha}{2}+1}}$$

for some constant  $C$ . Using the fact that  $I_\nu(z) \sim e^z/\sqrt{2\pi z}$  from [8, 10.30.4], we easily verify that  $C\nu_\alpha(n)^{-\frac{\alpha}{2}-1}I_{\frac{\alpha}{2}+1}(2\delta\nu_\alpha(n)) \ll p_\alpha(n)$ , from which it follows that

$$p_\alpha(n) \sim p_\alpha(n; \delta) \sim \frac{e^{\frac{\alpha\pi}{6}\lambda_\alpha(n)}}{\lambda_\alpha(n)^{\frac{\alpha+3}{2}}}.$$

□

Theorem 4.1 also allows us to derive a finite exact formula for  $p_\alpha(n)$  when  $\alpha$  is rational. This is made possible by a formula for the denominator of  $p_\alpha(n)$  from [4], which states that if  $\alpha = a/b$  for coprime  $a, b \in \mathbb{Z}$  with  $b > 0$ , then

$$\text{denom}(p_\alpha(n)) := b^n \prod_{p|b} p^{\text{ord}_p(n!)},$$

where  $\text{ord}_p(n)$  denotes the multiplicity of a prime  $p$  as a factor of  $n$ .

**Corollary 4.2.** *Let  $\alpha, \varepsilon > 0$  and  $n > \alpha/24$  with  $\alpha$  rational. Then*

$$p_\alpha(n) = \frac{\lfloor Dp_\alpha(n; \delta) \rfloor}{D}, \tag{4.5}$$

where  $D = \text{denom}(p_\alpha(n))$  and

$$\delta := \left( \frac{(2\pi\mu_\alpha(0)\nu_\alpha(n))^{\frac{\alpha}{2}+1}}{2DCI_{\frac{\alpha}{2}+1}(4\pi\mu_\alpha(0)\nu_\alpha(n))} \right)^{\frac{2}{\alpha}},$$

with  $C$  defined as in Theorem 4.1.

*Proof.* Observe that by Theorem 4.1, we have

$$|p_\alpha(n) - p_\alpha(n; \delta)| < C\delta^{\frac{\alpha}{2}} \frac{I_{\frac{\alpha}{2}+1}(4\pi\mu_\alpha(0)\nu_\alpha(n))}{(2\pi\mu_\alpha(0)\nu_\alpha(n))^{\frac{\alpha}{2}+1}} = \frac{1}{2D}. \tag{4.6}$$

Thus,  $D|p_\alpha(n) - p_\alpha(n; \delta)| < 1/2$ , implying that  $Dp_\alpha(n)$  is the nearest integer to  $Dp_\alpha(n; \delta)$ . □

## 4.2 Hyperbolicity of the Jensen Polynomials of $p_\alpha(n)$

In this section, we demonstrate how the asymptotics of  $p_\alpha(n)$  in this paper can be used to generalize a recent hyperbolicity result for the usual partition function.

*Proof of Theorem 1.3.* Set

$$m = \frac{\alpha}{24} \quad \text{and} \quad c_0 = \log \left( \sqrt{\frac{12}{\alpha}} \cdot \left( \frac{\alpha}{24} \right)^{\frac{\alpha+3}{4}} \right).$$

Then by Corollary 1.2,

$$p_\alpha(n) \sim e^{c_0 + 4\pi\sqrt{mn}} n^{-\frac{\alpha+3}{4}}.$$

Thus, as in [9, §3], we have

$$\log \left( \frac{p_\alpha(n+j)}{p_\alpha(n)} \right) \sim 4\pi\sqrt{m} \sum_{i=1}^{\infty} \binom{1/2}{i} \frac{j^i}{n^{i-1/2}} - \frac{\alpha+3}{4} \sum_{i=1}^{\infty} \frac{(-1)^{i-1} j^i}{in^i},$$

from which it is clear that  $p_\alpha(n)$  satisfies the conditions of Theorem 3 from [9] with  $A(n) = 2\pi\sqrt{m/n} + O(1/n)$  and  $\delta(n) = (\pi/2)^{1/2} m^{1/4} n^{-3/4} + O(n^{-5/4})$ . It follows immediately that for all  $d$  the Jensen polynomials associated with  $p_\alpha(n)$  are hyperbolic for sufficiently large  $n$ .  $\square$

*Remark.* The proof of Theorem 1.3 follows [9, §3]. In particular, we consider the renormalization of the Jensen polynomials given by

$$\hat{J}_{p_\alpha}^{d,n}(X) = \frac{\delta(n)^{-d}}{p_\alpha(n)} \cdot J_{p_\alpha}^{d,n} \left( \frac{\delta(n)X - 1}{\exp(A(n))} \right). \quad (4.7)$$

Theorem 1.3 follows from the fact that for fixed  $d$ ,

$$\lim_{n \rightarrow \infty} \hat{J}_{p_\alpha}^{d,n}(X) = H_d(x), \quad (4.8)$$

where  $H_d(x)$  is the degree  $d$  renormalized Hermite polynomial in [9].

## 5 Numerical Data<sup>1</sup>

In this section, we illustrate the theorems of the previous sections using numerical examples. For simplicity, we limit our examples to cases where  $0 < \alpha < 24$ . For such  $\alpha$ , it will be convenient to define

$$r_\alpha(n; m) = \frac{\operatorname{Re} \left( p_\alpha \left( n; \frac{2\pi\mu_\alpha(0)}{m+1} \right) \right)}{p_\alpha(n)}, \quad (5.1)$$

the ratio between the real part of the  $m$ -term approximation to  $p_\alpha(n)$  and the actual value. Note that a value of  $r_\alpha(n; m)$  closer to 1 indicates that the  $m$ -term approximation to  $r_\alpha(n)$  is more accurate.

By Corollary 1.2, we know that  $p_\alpha(n)$  is asymptotically equivalent to the first term in the series expansion in Theorem 1.1 as  $n$  goes to infinity. Table 1 displays the accuracy of the first-term expansion for  $\alpha = e$  and  $n$  varying from 1 to 10. Table 3 shows the ratio of both the first-term and the five-term approximation to  $p_\alpha(n)$  where  $\alpha = 1/\pi$  and  $\alpha = 5$ . Note that the sign of the error term  $|p_\alpha(n) - p_\alpha(n; m)|$  is usually periodic with period  $m + 1$ . This is a consequence of the periodicity of the Kloosterman sums.

Table 2 displays how  $p_\alpha(n, m)$  converges to  $p_\alpha(n)$  for  $\alpha = 1/e$ ,  $n = 50$ , and  $1 \leq m \leq 10$ . Table 4 displays the ratio of the  $m$ -term approximation of  $p_\alpha(n)$  to the actual value for  $n = 100$  and various

$n$	$p_e(n)$	$\text{Re}(p_e(n; 1))$	$r_e(n; 1)$
1	2.71	2.83	1.04253
2	7.77	7.65	0.98444
3	18.05	18.23	1.01014
4	40.26	39.96	0.99263
5	81.84	82.28	1.00543
6	161.99	161.41	0.9964
7	303.75	304.41	1.00217
8	556.32	555.61	0.99873
9	985.41	986.27	1.00086
10	1710.31	1709.07	0.99927

Table 1: Accuracy of first-term approximations to  $p_e(n)$

$m$	$\text{Re}(p_{1/e}(50; m))$	$r_{1/e}(50; m)$
1	356.2898	0.997668
2	357.2586	1.000381
3	357.1278	1.000014
4	357.053	0.999805
5	357.1236	1.000003
6	357.1195	0.999991
7	357.1169	0.999984
8	357.1208	0.999995
9	357.1201	0.999993
10	357.1296	1.00002

Table 2: Accuracy of  $m$ -term approximations to  $p_{1/e}(50) = 357.1225$

$n$	$r_{1/\pi}(n; 1)$	$r_{1/\pi}(n; 5)$	$r_5(n; 1)$	$r_5(n; 5)$
1	1.294180591	0.953980957	1.015286846	1.000097277
2	0.970982400	0.982523054	0.994583967	1.000042848
3	1.083673986	1.018088216	1.002732222	1.000007177
4	0.923295102	1.02170408	0.998466124	0.999992664
5	1.124698668	1.016001474	1.000871244	0.999999382
6	0.897139773	1.004350338	0.999524823	1.000000088
7	1.108496000	0.978153497	1.000255655	1.000000217
8	0.943494666	1.002688299	0.999854031	1.000000092
9	1.034408356	1.003218418	1.000093623	0.999999982
10	0.961090657	1.005487344	0.999935881	0.999999997
11	1.076769973	0.993996646	1.000043109	0.999999968
12	0.923558631	1.005396386	0.999972215	1.000000007
13	1.058750442	0.996292489	1.000017874	1.000000008
14	0.980265489	0.993723758	0.999987986	1.000000000

Table 3: Accuracy of approximation to  $p_\alpha(n)$  as  $n$  increases

$m$	$r_{0.01}(100; m)$	$r_{0.1}(100; m)$	$r_1(100; m)$	$r_{10}(100; m)$
1	0.846079580	0.988058877	0.999998178	1.000000000
2	0.969774117	0.999386989	1.000000009	1.000000000
3	0.920711483	0.997246602	0.999999995	1.000000000
4	0.973881495	0.999016179	0.999999999	1.000000000
5	1.040636574	1.000923931	1.000000000	1.000000000
6	1.028999226	1.000579623	1.000000000	1.000000000
7	1.020829553	1.000421683	1.000000000	1.000000000
8	0.995326778	0.999817677	1.000000000	1.000000000
9	0.995461037	0.999846688	1.000000000	1.000000000
10	1.011689149	1.000211135	1.000000000	1.000000000

Table 4: Accuracy of approximation to  $p_\alpha(n)$  as number of terms in series increases

values of  $\alpha$  and  $m$ . As we increase  $\alpha$ , we see that the relative error of the approximation for  $p_\alpha(n)$  decreases.

Table 5 depicts the convergence of  $\hat{J}_{p_\alpha}^{2,n}(X)$  to the Hermite polynomial  $H_2(x) = x^2 - 2$ , and the convergence of  $\hat{J}_{p_\alpha}^{3,n}(X)$  to the Hermite polynomial  $H_3(x) = x^3 - 6x$ . Here,

$$A(n) = 2\pi\sqrt{\frac{\alpha}{24n - \alpha} - \frac{24}{24n - \alpha}}, \quad \text{and} \quad \delta(n) = \sqrt{\frac{12\pi\alpha^{\frac{1}{2}}}{(24n - \alpha)^{\frac{3}{2}}} - \frac{288\alpha}{(24n - \alpha)^2}}$$

as in Theorem 1.3, for  $\sqrt{3}$ . To compute  $p_\alpha(n)$  for large  $n$ , we used the 100-term approximation of our series formula; this is valid for our purposes because by Theorem 4.1, the relative error  $|r_{\sqrt{3}}(n, 100) - 1|$  is bounded by  $10^{-75}$  for the values of  $n$  we consider.

$n$	$\hat{J}_{p_{\sqrt{3}}}^{2,n}(x)$	$\hat{J}_{p_{\sqrt{3}}}^{3,n}(x)$
10000	$0.999598x^2 + 0.120905x - 2.03828$	$0.999942x^3 + 0.0939817x^2 - 6.03526x - 0.648632$
20000	$0.999804x^2 + 0.0966267x - 2.02711$	$0.999971x^3 + 0.0767061x^2 - 6.02522x - 0.543473$
30000	$0.999871x^2 + 0.0852795x - 2.02216$	$0.999981x^3 + 0.0683801x^2 - 6.0207x - 0.495049$
40000	$0.999904x^2 + 0.0782302x - 2.0192$	$0.999986x^3 + 0.0631174x^2 - 6.01799x - 0.435239$
50000	$0.999923x^2 + 0.0732538x - 2.01719$	$1.00252x^3 + 0.0595086x^2 - 6.03131x - 0.429626$
$\vdots$	$\vdots$	$\vdots$
$\infty$	$x^2 - 2$	$x^3 - 6x$

Table 5: Convergence to the Hermite polynomial of degree 2,  $x^2 - 2$ , and of degree 3,  $x^3 - 6x$

In Table 6, we provide the actual value of  $p_{51/7}(n)$  alongside the minimum number  $M_{51/7}(n)$  for which Corollary 4.2 guarantees that  $p_{51/7}(n)$  is given by a suitable rounding of  $p_\alpha\left(n; \frac{2\pi\mu_\alpha(0)}{M_{51/7}(n)+1}\right)$ , which has  $M_{51/7}(n)$  terms. We also provide  $M_{51/7}^*(n)$ , the minimum number of terms such that this is numerically true.

$n$	$p_{51/7}(n)$	$M_{51/7}(n; D)$	$M_{51/7}^*(n; D)$
1	51/7	2	1
2	1836/49	3	2
3	52751/343	5	3
4	1322226/2401	8	4
5	29852442/16807	14	7
6	623075585/117649	23	10
7	85346705106/5764801	67	26
8	1583888229297/40353607	114	43
9	28093059550223/282475249	194	63
10	479246612549889/1977326743	330	109

Table 6: Number of terms for exact solution for  $p_{51/7}(n)$

<sup>1</sup>All computations in this section were done with Wolfram Mathematica.

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