

Data-Driven Mapping Between Functional Connectomes Using Optimal Transport

Javid Dadashkarimi^{1(⊠)}, Amin Karbasi^{1,2}, and Dustin Scheinost³

- Department of Computer Science, Yale University, New Haven, USA {javid.dadashkarimi,amin.karbasi}@yale.edu
- ² Department of Electrical Engineering, Computer Science, Statistics and Data Science, Yale University, New Haven, USA
- $^3\,$ Department of Radiology and Biomedical Imaging, Yale School of Medicine, New Haven, USA

dustin.scheinost@yale.edu

Abstract. Functional connectomes derived from functional magnetic resonance imaging have long been used to understand the functional organization of the brain. Nevertheless, a connectome is intrinsically linked to the atlas used to create it. In other words, a connectome generated from one atlas is different in scale and resolution compared to a connectome generated from another atlas. Being able to map connectomes and derived results between different atlases without additional pre-processing is a crucial step in improving interpretation and generalization between studies that use different atlases. Here, we use optimal transport, a powerful mathematical technique, to find an optimum mapping between two atlases. This mapping is then used to transform time series from one atlas to another in order to reconstruct a connectome. We validate our approach by comparing transformed connectomes against their "gold-standard" counterparts (i.e., connectomes generated directly from an atlas) and demonstrate the utility of transformed connectomes by applying these connectomes to predictive models based on a different atlas. We show that these transformed connectomes are significantly similar to their "gold-standard" counterparts and maintain individual differences in brain-behavior associations, demonstrating both the validity of our approach and its utility in downstream analyses. Overall, our approach is a promising avenue to increase the generalization of connectome-based results across different atlases.

Keywords: Optimal transport · Functional connectome · fMRI

1 Introduction

Functional connectomics, using functional magnetic resonance imaging (fMRI), are a powerful approach for investigating the functional organization of the brain.

© Springer Nature Switzerland AG 2021 M. de Bruijne et al. (Eds.): MICCAI 2021, LNCS 12903, pp. 293–302, 2021. https://doi.org/10.1007/978-3-030-87199-4_28 A prerequisite for creating a functional connectome—*i.e.*, a matrix describing the connectivity between any pair of brain regions—is defining an atlas to parcellate the brain into these regions. Given the popularity of this approach, many atlases, for which there is no gold standard, exist [2]. As these atlases divide the brain into a different number of regions, where each vary by size and topology, connectomes created from different atlases are not directly comparable. Thus, results and potential biomarkers generated from one atlas are not readily applicable to connectomes generated from a different atlas. To extend previous results to a connectome generated from a different atlas, additional preprocessing is needed, a barrier to replication and generalization efforts and limiting wider use of potential connectome-based biomarkers.

To overcome these limitations, we propose how to find an optimum mapping between two different atlases, allowing data processed from one atlas to be directly transformed into a connectome based on another atlas. First, in a training sample with time-series data from two different atlases, we find this mapping by solving the Monge–Kantorovich transportation problem [23]. Then, by employing this optimal mapping, time-series data based on the first atlas from novel subjects can be transformed into connectomes based on the second atlas without ever needing to use the second atlas. An overview of our approach is shown in Fig. 1. We validate our approach by comparing transformed connectomes against their "gold-standard" counterparts (*i.e.*, connectomes generated directly from an atlas) and demonstrate the utility of transformed connectomes by applying these connectomes to predictive models based on a different atlas. Overall, our results suggest that data from one atlas can be transformed into a connectome comparable to one generated directly from a different atlas.

2 Methods

2.1 Optimal Transport

The optimal transport problem solves how to transport resources from one location α to another β while minimizing the cost C to do so [12,15,19,26]. It has been used for contrast equalization [9], image matching [20], image watermarking [21], text classification [16], and music transportation [11]. OT is one of the few methods that provides a well-defined distance metric when the support of the distributions is different. Other mappings approaches such as KL divergence do not make this guarantee.

Monge Problem: The original formulation of the optimal transport problem is known as the Monge problem. Lets define some resources $x_1, ..., x_n$ in α and some resources $y_1, ..., y_m$ in β . Then, we specify weight vectors a and b over these resources and define matrix C as a measure of pairwise distances between points $x_i \in \alpha$ and comparable points $\mathcal{T}(x_i)$; Monge problem aims to solve the following optimizing problem [22]:

$$\min_{\mathcal{T}} \left\{ \sum_{i} C(x_i, \mathcal{T}(x_i)) : \mathcal{T}_{\sharp} \alpha = \beta \right\}, \tag{1}$$

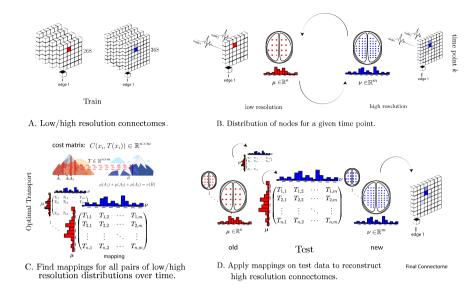


Fig. 1. Optimal transport pipeline to estimate a mapping between different atlases in order to transform connectomes between the atlases. A) Training data includes time series data from two atlases, which can be of different number of brain regions. B) Extracting the empirical distribution of brain activity for each node for both atlases at a given time point. C) Learning the optimal transport mapping between source and target distributions for a pre-defined cost matrix. D) Applying the mappings on testing data and then building transformed connectomes according to the new time series data.

where the push forward operator \sharp indicates that mass from α moves towards β assuming that weights absorbed in $b_j = \sum_{\mathcal{T}(x_i) = y_j} a_i$. Assignment problem when the number of elements in the measures are not equal is a special case of this problem, where each point in α can be assigned to several points in β .

Kantorovich Relaxation: As a generalization of the Monge problem, the Kantorvich relaxation solves the mass transportation problem using a probabilistic approach in which the amount of mass located at x_i potentially dispatches to several points in target [18]. Admissible solution for Kantorvich relaxation is defined by a coupling matrix $\mathcal{T} \in \mathbb{R}^{n \times m}_+$ indicating the amount of mass being transferred from location x_i to y_j by $\mathcal{T}_{i,j}$:

$$U(a,b) = \{ \mathcal{T} \in \mathbb{R}_+^{n \times m} : \mathcal{T} \mathbb{1}_m = a, \mathcal{T}^T \mathbb{1}_n = b \},$$
(2)

for vectors of all 1 shown with 1. An optimum solution is obtained by solving the following problem for a given "ground metric" matrix $C \in \mathbb{R}^{n \times m}$ [24]:

$$L_c(a,b) = \min_{T \in U(a,b)} \langle C, T \rangle = \sum_{i,j} C_{i,j} \mathcal{T}_{i,j}.$$
 (3)

which is a linear problem and is not guarantee to have a unique solution [23], but always there exists an optimal solution (see proof in [3,4]). Kantorovich and Monge problems could also be equivalent in some conditions (see proof in [6]).

2.2 Proposed Algorithm for Mapping Atlases Using Optimal Transport

Formulation: For paired time-series data from the same individual but from two different atlases (atlas \mathscr{T}_n with n regions and atlas \mathscr{T}_m with m regions), lets define $\mu_t \in \mathbb{R}^n$ and $\nu_t \in \mathbb{R}^m$ to be the distribution of brain activity at single time point t based on atlases \mathscr{T}_n and \mathscr{T}_m , respectively. For a fixed cost matrix $C \in \mathbb{R}^{n \times m}$, we aim to find a mapping $T \in \mathbb{R}^{n \times m}$ that minimizes transportation cost between μ_t and ν_t :

$$L_c(\mu_t, \nu_t) = \min_{\mathcal{T}} C^T \mathcal{T} \text{ s.t., } A\underline{\mathcal{T}} = \begin{bmatrix} \mu_t \\ \nu_t \end{bmatrix}, \tag{4}$$

in which $\underline{\mathcal{T}} \in \mathbb{R}^{nm}$ is vectorized version of \mathcal{T} such that the i + n(j-1)'s element of \mathcal{T} is equal to \mathcal{T}_{ij} and A is defined as:

The mapping \mathcal{T} represents the optimal way of transforming the brain activity data from n regions into m regions.

Yet, solving a large linear program is computationally hard [8]. Thus, we use the entropy regularization, which gives an approximation solution with complexity of $\mathcal{O}(n^2 \log(n) \eta^{-3})$ for $\epsilon = \frac{4 \log(n)}{n}$ [23], and instead solve the following:

$$L_c(\mu_t, \nu_t) = \min_{\mathcal{T}} C^T \mathcal{T} - \epsilon H(\mathcal{T}) \text{ s.t., } A\underline{\mathcal{T}} = \begin{bmatrix} \mu_t \\ \nu_t \end{bmatrix}.$$
 (6)

Specifically, we use the Sinkhorn algorithm—an iterative solution for Eq. 6 [1]—to find the optimum mapping \mathcal{T} as implemented in the Python Optimal Transport (POT) toolbox [10]. Initial investigations suggest that similar mappings are obtained using the exact linear programming solution or the Sinkhorn approximation. Similarly, results appear stable over a range of ϵ 's.

Defining the Cost Matrix: We investigated two different cost matrices. First, we computed the pairwise Euclidean distance between every combination of brain regions between the two atlases by: (i) computing cluster centroids for all n regions in \mathscr{T}_n and m regions in \mathscr{T}_m and (ii) then, calculating the Euclidean distance between these nodes: $C_{\text{euc}}(p,q) = \sqrt{\sum_{i=1}^{3} (q_i - p_i)^2}$, where p_i and q_i

are coordinates for the arbitrary regions: p in \mathscr{T}_m and q in \mathscr{T}_m . Second, we compute functional distance between regions by: (i) calculating the correlation (ρ) between the time series for all pairwise combinations of regions between \mathscr{T}_m and \mathscr{T}_m , (ii) normalizing by $\rho_{\text{norm}} = (\rho - \min(\rho))/(\max(\rho) - \min(\rho))$, (iii) converting to distance: $C_{\text{func}} = \mathbb{1}_{n \times m} - \rho_{\text{norm}}$, (iv) and average the cost matrix over participants s to get a more robust estimation for C_{func} (i.e., $C = 1/s \sum_s C_s$).

Estimating Mapping: For training data with S participants and k time points per participant, first, we estimate the optimal mapping \mathcal{T}_i , independently, for each time point and participant using Eq. 6. The distributions were normalized using min-max scaling and then dividing by sum. Next, we average all \mathcal{T}_i over all participants to produce a single optimal mapping \mathcal{T} for one time point in the training data $(e.g., \mathcal{T} = \frac{1}{|S|} \sum_{i=1}^{|S|} \mathcal{T}_i)$. For bigger frames, we use the mapping we learned at the beginning of a frame for the rest time points in the window (i.e., $\mathcal{T}[t:t+w] \leftarrow \mathcal{T}$, for a frame size of w and time point t).

Estimating Connectomes from Transformed Time Series: Once the n time series from \mathscr{T}_n are transformed to m time series based on the target atlas \mathscr{T}_m , we correlate the time series for every pair of regions i and j to build the final, transformed connectomes.

3 Results

3.1 Datasets

To evaluate our approach, we used data from the Human Connectome Project (HCP) [27], starting with the minimally preprocessed data [14]. First, data with a maximum frame-to-frame displacement of 0.15 mm or greater were excluded, resulting in a sample of 876 resting-state scans. Analyses were restricted only to the LR phase encoding, which consisted of 1200 individual time points. Further preprocessing steps were performed using BioImage Suite [17]. These included regressing 24 motion parameters, regressing the mean white matter, CSF, and grey matter time series, removing the linear trend, and low-pass filtering. Regions were delineated according to the Shen 268 and 368 atlases [25]. These atlases, defined in an independent dataset, provide a parcellation of the whole gray matter (including subcortex and cerebellum) into 268 or 368 contiguous, functionally coherent regions. For each scan, the average time series within each region was obtained. To calculate connectomes, the Pearson's correlation between the mean time series of each pair of regions was calculated and converted to be approximately normally distributed using a Fisher transformation.

3.2 Intrinsic Evaluation

Correlation with "Gold-Standard" Connectomes: To validate our approach, we, first, partitioned our sample into 80% training data to estimate the

Table 1. Intrinsic evaluation of the transformed connectomes based on the optimal mapping \mathcal{T} . The transformed connectomes exhibited high correlation with the "gold-standard" connectomes for both (top) the Euclidean distance and (bottom) the functional distance cost matrices. Similarity between connectomes was not affected by sample size and number of time points used to estimate \mathcal{T}_i .

Train size (Eucho)				960	0.00				
		$268 \rightarrow 368$						$368 \rightarrow 268$					
		100	200	300	400	500	600	100	200	300	400	500	600
Frame size	100	0.489	0.495	0.491	0.498	0.496	0.494	0.461	0.454	0.460	0.445	0.458	0.461
	200	0.496	0.490	0.497	0.502	0.494	0.501	0.456	0.455	0.456	0.454	0.457	0.458
	300	0.500	0.500	0.503	0.499	0.500	0.495	0.447	0.454	0.451	0.454	0.453	0.458
	400	0.490	0.499	0.492	0.496	0.499	0.494	0.454	0.454	0.464	0.461	0.450	0.461
	500	0.491	0.500	0.492	0.496	0.491	0.499	0.461	0.459	0.466	0.457	0.458	0.448
	600	0.503	0.492	0.494	0.495	0.499	0.496	0.454	0.455	0.456	0.457	0.454	0.452
	700	0.491	0.508	0.492	0.500	0.493	0.498	0.455	0.454	0.465	0.462	0.459	0.457
	800	0.493	0.497	0.501	0.495	0.503	0.499	0.460	0.457	0.455	0.456	0.459	0.465
	900	0.505	0.505	0.486	0.498	0.492	0.491	0.451	0.456	0.460	0.461	0.462	0.458
	1000	0.502	0.492	0.489	0.502	0.496	0.503	0.452	0.457	0.469	0.450	0.461	0.452
	1100	0.499	0.496	0.498	0.497	0.503	0.485	0.453	0.460	0.455	0.460	0.453	0.464
Train size (Functi	ional D	istance	e)									
	$268 \rightarrow 368$					$368 \rightarrow 268$							
		100	200	300	400	500	600	100	200	300	400	500	600
Frame size	100	0.626	0.622	0.630	0.624	0.622	0.624	0.589	0.596	0.587	0.586	0.593	0.591
	200	0.621	0.630	0.623	0.625	0.632	0.621	0.592	0.597	0.590	0.601	0.584	0.591
	300	0.624	0.629	0.629	0.627	0.629	0.630	0.590	0.593	0.591	0.590	0.596	0.600
	400	0.626	0.628	0.631	0.626	0.631	0.625	0.595	0.587	0.590	0.595	0.596	0.590
	500	0.632	0.627	0.629	0.631	0.626	0.624	0.594	0.598	0.596	0.601	0.591	0.593
	600	0.633	0.630	0.631	0.631	0.629	0.631	0.596	0.597	0.595	0.600	0.594	0.593
	700	0.635	0.639	0.636	0.629	0.632	0.627	0.594	0.592	0.589	0.596	0.598	0.59
	800	0.628	0.634	0.631	0.634	0.634	0.634	0.598	0.596	0.590	0.592	0.593	0.60
	900	0.632	0.633	0.634	0.635	0.635	0.638	0.600	0.596	0.597	0.599	0.603	0.595
	1000	0.632	0.635	0.635	0.638	0.643	0.636	0.595	0.593	0.595	0.596	0.600	0.594
	1100	0.638	0.636	0.638	0.634	0.639	0.639	0.598	0.591	0.601	0.594	0.593	0.60

optimal mapping \mathcal{T} between at lases and 20% testing data for evaluating the quality of the transformed connectomes. In the training data, we estimated \mathcal{T} using all 1200 time points and 700 participants for each of the cost matrices $(C_{\rm euc} \text{ and } C_{\rm func})$. Next, in the testing data, we applied \mathcal{T} to construct 368×368 connectomes from the 268 atlas data (labeled: $268 \to 368$) as well as 268×268 connectomes from the 368 atlas data (labeled: $368 \to 268$). Finally, the transformed connectomes were compared to the "gold-standard" connectomes (*i.e.*, connectomes generated directly from an atlas) using correlation. Using a 12 core processor Intel Xeon Gold 6128 CPU with a 3.40 GHz clock speed, estimating \mathcal{T} took 2,975 s.

For both cost matrices, significant correlations between the transformed connectomes and the "gold-standard" connectomes were observed (for C_{euc} ,

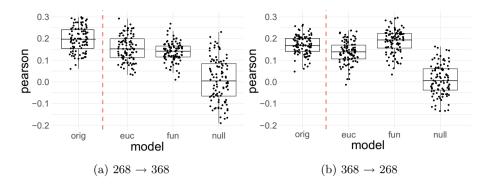


Fig. 2. Box plots for IQ prediction from transformed connectomes. Participants were randomly split into three groups $(g_1, g_2, \text{ and } g_3)$ with a respective ratio of $\{0.25, 0.5, 0.25\}$. A mapping is trained on g_1 , the model is trained on connectomes from g_2 , and tested on transformed connectomes from g_3 . orig shows prediction performance in "gold-standard" connectomes, euc and fun show prediction performance for transformed connectomes found using either C_{euc} or C_{func} , respectively. A null model (labeled null) is obtained by permuting labels.

 $268 \rightarrow 368$: r = 0.508, p < 0.01; $368 \rightarrow 268$: r = 0.469, p < 0.01; for $C_{\rm func}$, $268 \rightarrow 368$: r = 0.643, p < 0.01; $368 \rightarrow 268$: r = 0.603, p < 0.01). Notably, transformed connectomes using the $C_{\rm func}$ cost matrix were significantly (p < 0.01) more similar to the "gold-standard" connectomes compared to transformed connectomes using the $C_{\rm euc}$ cost matrix. Finally, the $268 \rightarrow 368$ connectomes were more similar to the "gold-standard" connectomes compared to the $368 \rightarrow 268$ connectomes.

Evaluation of Free Parameters: Next, we investigated the sensitivity of our approach to the number of time points and number of participants used to find the mapping between atlases. Using the 80/20 split for training and testing, we varied the number of time points used from 100 to 1100 in 100 increments and varied the number of participants from 100 to 600 in 100 increments. No clear pattern of performance change was observed across either parameter, suggesting that our approach is stable to both the number of frames and participants (Table 1). However, using only 100 participants and 100 time points in a frame significant (p < 0.05) reduced the processing time from 2,975 s to 467 s.

3.3 Extrinsic Evaluation

In addition to validating our approach, we demonstrated that the transformed connectomes can be used to elucidate brain-behavior associations. To this aim, 1) We partitioned our data into three folds g_1 , g_2 , and g_3 with a respective ratio of $\{0.25, 0.5, 0.25\}$. 2) Using only participants in g_1 , we estimated the optimal mapping \mathcal{T} for both cost matrices. 3) We applied \mathcal{T} to the participants in g_3 to produce the transformed connectomes $(268 \rightarrow 368 \text{ and } 368 \rightarrow 268)$. 4) We

Table 2. Extrinsic evaluation of the transformed connectomes based on the optimal mapping \mathcal{T} . Indicator * shows the significance of the results with respect to the null model for p < 0.05 using corrected resampled t-tests [5].

	Sex			IQ				
	Null	Euc	Func	Null	Euc	Func		
$268 \rightarrow 368$	0.5033	0.6961*	0.7253*	0.0083	0.1553^{*}	0.1376^*		
$368 \rightarrow 268$	0.5077	0.7312^*	0.7243*	0.0036	0.1313*	0.1835^{*}		

predicted IQ using ridge regression [13] and classified sex using support vector machine (SVM) with a linear kernel [7] using the connectomes in g_2 for both the 268 and 368, independently. All models were trained with 10-fold cross-validation. 5) We used the predictive models from Step 4) to predict phenotypic information using the transformed matrices from Step 3) (e.g., using the 268 \rightarrow 368 connectomes as inputs to the models trained with the 368 connectomes). We tested the significance of predictions based on the transformed connectomes against a null distribution of prediction based on permuted values using corrected resampled t-tests [5].

Results showed that using transformed connectomes from both cost matrices and both directions $(e.g., 368 \rightarrow 268)$ lead to significantly (p < 0.05) better prediction of IQ compared to the null model (see Table 2, Fig. 2a). Similarly, results showed that sex classification achieves up to 72% accuracy and is significantly higher compared to the null distribution for all transformed connectomes (see Table 2). There were no clear differences in prediction performance between the transformed connectomes from either cost matrix or direction.

Finally, we compared the prediction performance of the "gold-standard" connectomes and the transformed connectomes. In this comparison, the prediction performance of the "gold-standard" connectomes was treated as an upper limit of how well the transformed connectomes could perform, as it is unreasonable to expect the transformed connectomes to outperform the 'gold-standard" connectomes. For both IQ and sex prediction, prediction performance of the transformed connectomes overlapped that of the "gold-standard" connectomes, indicating that little information about brain-behavior association is lost when transforming data using the estimated optimal mapping \mathcal{T} .

4 Discussion and Conclusions

Atlas selection is a prerequisite for creation of a functional connectome. Yet, any choice of atlas ultimately constrains interpretation and future replication and generalization efforts to that particular atlas. Since there is no single gold-standard atlas, results generated from two distinct atlases must undergo additional processing before comparison. In this work, we propose optimal transport to find optimum mappings between different atlases, which enable data, previously processed with one atlas, to be mapped to a connectome generated

from a different atlas, without the need for further prepossessing. We show that these transformed connectomes are significantly similar to their "gold-standard" counterparts and maintain individual differences in brain-behavior associations, demonstrating both the validity of our approach and its utility in downstream analyses. Importantly, our optimal mappings are robust to training parameters, suggesting that a single mapping between any atlas pair could be generated once and used as an off-the-shelf solution by the community.

Our approach is in the spatial domain (*i.e.*, mapping node-to-node), rather than mapping timecourse-to-timecourse or even connectome-to-connectome. Once we have a node-to-node mapping, timecourses (and resulting connectomes) naturally come for free. While our end goal is to generate the transformed connectomes, we chose the node-to-node approach as it is more general. Our method could be used to map other neuroimaging results, such as cortical thickness in the Desikan-Killiany Atlas to the Destrieux Atlas.

Future work will include further validation of our approach in a wider range of atlases and the generation of a publicly available repository of mappings for community use. Overall, our approach is a promising avenue to increase the generalization of connectome-based results across different atlases.

Acknowledgements. Data were provided in part by the Human Connectome Project, WU-Minn Consortium (Principal Investigators: David Van Essen and Kamil Ugurbil; U54 MH091657) and funded by the 16 NIH Institutes and Centers that support the NIH Blueprint for Neuroscience Research; and by the McDonnell Center for Systems Neuroscience at Washington University. We thank Brendan Adkinson for the helpful comments on this work.

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