

# The Significance of Polarons and Dynamic Disorder in Halide Perovskites

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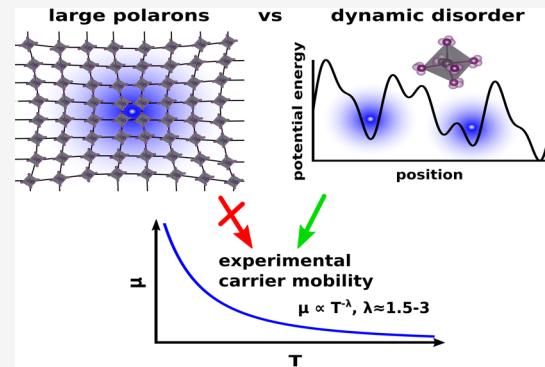
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**ABSTRACT:** The development of halide perovskite semiconductors led to various technological breakthroughs in optoelectronics, in particular in the areas of photovoltaics and light-emitting diodes. Additionally, the study of their fundamental properties has uncovered intriguing puzzles that demand explanation. Polaronic effects associated with the coupling of electrons and holes to polar lattice vibrations are often invoked as a microscopic mechanism to explain various unusual experimental observations. While some form of polaronic behavior undoubtedly exists in these systems, several assumptions underlying standard models used to describe a polaron mechanism appear to be strongly violated in these materials. In this Perspective, we investigate the role of polaronic effects in halide perovskites and summarize signatures and failures of the polaron picture to explain physical characteristics of the materials. We highlight the importance of the complementary dynamic disorder concept that can rationalize various key properties of halide perovskites for which standard polaron and band-theory pictures of carrier transport fail.



Halide perovskites (HaPs) hold great promise for the development of technological advances in energy science, such as the creation of highly efficient, inexpensive solution-processed solar cells, light-emitting diodes (LEDs), and other devices.<sup>1–6</sup> HaPs also have rather unique physical properties and thus present a number of intriguing puzzles for the scientific community that demand explanation.<sup>7</sup> In this Perspective, we focus on key phenomena that are particularly interesting scientifically and relevant practically, revolving around the electron–lattice coupling in HaPs. As ions move, the potential experienced by the excited carriers (electrons and holes) changes, coupling the dynamics of the ionic and electronic systems. This coupling becomes increasingly more important as temperature is raised.

Our discussion of electron–lattice coupling in HaPs starts from a description of the electronic structure and lattice dynamics. We then present an analysis of the role of polaronic effects in these materials. The polaron picture is used in the literature to address a wide range of related but still distinctly different electron–lattice coupling effects. Here, instead of presenting a detailed theoretical discussion, we will focus on the mechanisms contained in the underlying physical models of electron–lattice coupling and describe certain assumptions inherent to them. We will show that several of the assumptions that underlie standard polaron and carrier-transport treatments

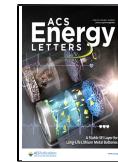
are strongly violated in HaP semiconductors at room temperature and above, which is the most important regime for optoelectronic applications. In particular, these materials show significant vibrational anharmonicity concurrent with large displacements in the ionic lattice as well as with nonlinearities in the electron–lattice interactions. Furthermore, we will argue that the mean free path of electrons and holes around room temperature is smaller than, or on the order of, the thermal (de Broglie) wavelengths of these carriers, which marks a violation of the well-known Mott–Ioffe–Regel criterion. This implies that the carrier-scattering mechanisms in HaPs cannot be described on the basis of the standard Boltzmann transport equation, which has been the conceptual basis to explain carrier transport in the most useful high-quality inorganic semiconductors.

These shortcomings in the conventional and simplest band-theory and polaron treatments lead to failures in explaining firmly established experimental results that include optical

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absorption and charge-carrier transport in HaPs. But a complementary treatment, based on the concept of dynamic disorder, allows for the removal of the aforementioned constraints that limit the description of electron–lattice interaction mechanisms in HaPs. The dynamic disorder framework augments the canonical polaron and band-theory pictures and will be shown to properly rationalize the behavior found in the near-room-temperature, operationally important regimes for HaPs.

## ■ ELECTRONIC STRUCTURE AND LATTICE DYNAMICS

We briefly recall the electronic-structure and lattice-dynamics properties of HaPs. As discussed in previous articles,<sup>5,8–10</sup> typical HaPs exhibit *properties akin to the most useful high-quality inorganic bulk semiconductors*; namely, they have highly dispersive bands and small carrier masses,  $m^*$ , as determined from various calculations and experiments. In particular, the hole and electron effective masses have been found to be in the range of  $0.15\text{--}0.25m_e$ ,<sup>11–16</sup> depending on the material composition and the details of the experiment or calculation. Hence, it takes relatively little effort to change carrier momentum in HaPs, which is a beneficial feature for electrical transport.

Interestingly, however, the *dynamical properties of the HaP ionic lattice are very different from those of typical high-quality inorganic semiconductors*,<sup>7</sup> which is related to the fact that HaPs are much softer mechanically.<sup>17,18</sup> As a consequence, it takes little effort to displace the halide and A-site ions from their equilibrium positions, and HaP lattice dynamics thus involve large ionic displacements in their highly coordinated octahedral networks. This is connected to the significant role played by anharmonic effects in HaPs around room temperature (see Figure 1).<sup>19–38</sup> For example, in MAPbI<sub>3</sub>, octahedral and MA motions are highly anharmonic at room temperature, leading to a phonon mean free path of less than half a unit cell.<sup>36,37</sup> This means that rather than the spatially periodic, coherent phonons known from typical bulk semiconductors (e.g., Si and GaAs), lattice vibrations around room temperature in HaPs are localized in real space. Since HaPs are ionic materials, these large, localized atomic displacements (of cations as well as of the highly coordinated octahedral network, including large octahedral tilting) are associated with sizable electric fields.<sup>39</sup> This phenomenon has been dubbed “local polar fluctuations”,<sup>24</sup> and it is very uncommon in the context of semiconductors and photovoltaic materials.<sup>7</sup> Other members of the HaP class, including lead-free variants, also display strong anharmonic effects, e.g., in the form of intrinsic rattlers.<sup>40</sup> The HaP structure compensates for its weak bonds by providing a highly coordinated network in which many weak bonds enable overall stability, so that the material can be thermodynamically stable despite being soft. This gives rise to the wide variety and large amplitude of the low-energy vibrations which generate local polar fluctuations and lead to the unusual electron–lattice interactions in HaPs that we discuss in this work.

## ■ APPLICATION OF THE TRADITIONAL POLARON PICTURE TO HaPs

Since the electronic structure of HaPs resembles that of typical crystalline inorganic semiconductors, the traditional band theory of transport using electron–phonon scattering, which

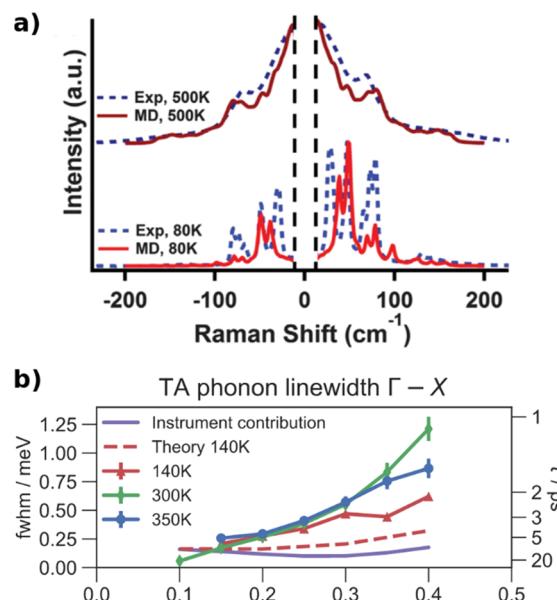
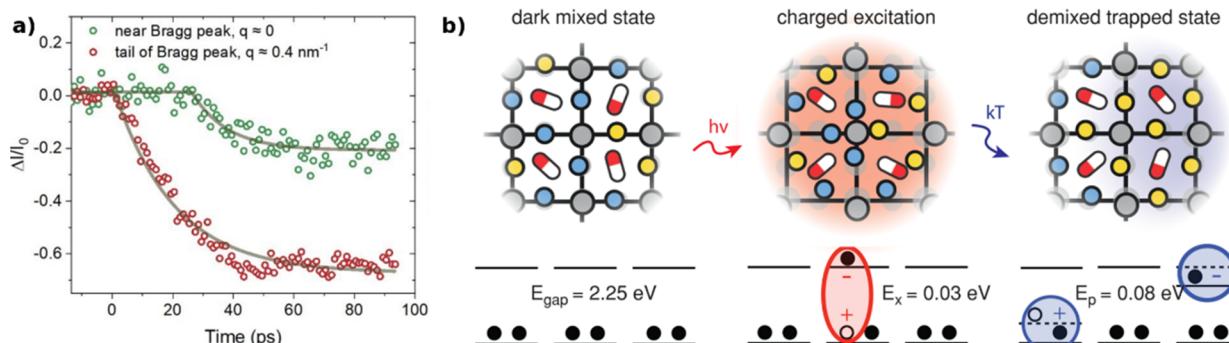


Figure 1. Selected evidence for the presence of strong anharmonic effects in HaPs. (a) Raman spectra of CsPbBr<sub>3</sub> computed from molecular dynamics (solid line) and measured experimentally (dashed line) at 500 and 80 K. The presence of a broad central peak in the Raman spectrum signals local polar fluctuations, which subsequently have also been detected in MAPbI<sub>3</sub> at room temperature.<sup>37</sup> Reprinted with permission from ref 24. Copyright 2017 The American Physical Society. (b) Phonon line widths and lifetimes of MAPbI<sub>3</sub> obtained from neutron scattering measurements and theoretical calculations along the  $\Gamma$ -to-X direction in the orthorhombic (140 K), tetragonal (300 K), and cubic (350 K) phases. That phonons show comparably small lifetimes, on the order of picoseconds, signals strong phonon–phonon interactions and anharmonicity that are detectable already around room temperature.<sup>33</sup> Reprinted with permission from ref 34. Copyright 2018 by Aryeh Gold-Parker.

has been developed for crystalline semiconductors,<sup>41</sup> would appear to be applicable in these materials as well. This theory starts from a knowledge of electronic-structure and lattice-dynamical properties to calculate the effects of electron–phonon scattering on carrier-transport characteristics.<sup>42</sup> Vibrations impact electronic levels because the atomic displacements from the ground-state lattice geometry change the potential energy for electrons and holes in the crystal, thus modifying their effective energies. An understanding of these electron–phonon scattering effects has been instrumental in microscopically rationalizing carrier mobilities in bulk Si and GaAs.<sup>41</sup> Note, however, that the traditional picture assumes small atomic displacements that only mildly affect the electronic structure. Consequently, the associated scattering theories typically apply assumptions such as (i) the harmonic approximation, i.e., that lattice dynamics are described by independent spatially periodic phonon modes; (ii) the use of linear electron–phonon coupling treated to lowest order in perturbation theory; and (iii) the (related) assumption that the effects of these vibrations on the electronic band structure are small compared to the band dispersion.<sup>42</sup> We note that recent work has argued that higher-order processes such as 2-phonon scattering, which are generally neglected in the band-theory approach outlined above, can have sizable scattering contributions, even in canonical systems such as GaAs.<sup>43</sup> One might expect these and related effects to be even more



**Figure 2.** (a) Polaronic effects in the structural response of  $\text{MAPbBr}_3$ : transient X-ray diffuse scattering studies showing evidence for dynamic local strain fields following above-gap excitation. Reprinted with permission from ref 50, published under a CC BY 4.0 license. (b) Sketch of polaronic effects in the compositional segregation in mixed-halide HaPs: light illumination leads to polaron trapping, which induces sufficient strain that leads to a local phase separation around room temperature. In the figure, blue and yellow circles represent different halide species, and the energy scales involved with polaron formation and phase separation are also shown. Reprinted with permission from ref 52. Copyright 2017 American Chemical Society.

important in HaPs, where lattice displacements are unusually large.

As mentioned above, HaPs exhibit sufficiently low phonon energies that the vibrational modes of the material are heavily populated at room temperature. This is the case as well for the longitudinal optical (LO) phonon modes that are polar and involve sizable electrical fields, and are therefore typically the highest-energy lattice vibrations of relevance in semiconductors.<sup>41</sup> Note that the polar phonon modes in prototypical HaPs such as  $\text{MAPbI}_3$  are much lower in energy than in, e.g., GaAs (16.5 vs 36.1 meV).<sup>44</sup> It is also noted that, comparing the two materials, the electric fields involved in the phonons are larger since the associated ionic screening is known to be larger; i.e.,  $1/\epsilon^* = 1/\epsilon_\infty - 1/\epsilon_0$ , where  $\epsilon_\infty$  and  $\epsilon_0$  are the high-frequency and static dielectric constants, respectively, was calculated to be 0.17 in  $\text{MAPbI}_3$  and 0.016 in GaAs.<sup>44</sup> The electric fields that result from the LO modes will substantially affect the potential energy of the electrons; i.e., one can expect the interaction between carriers and LO phonons—the so-called Fröhlich interaction<sup>41,42,45</sup>—to be important over a wide range of temperatures, including room temperature.<sup>46</sup> This interaction is theoretically depicted by the Fröhlich Hamiltonian, which describes the interaction between carriers in a parabolic band and one harmonic LO phonon via a linear (in the atomic displacement) coupling, with a strength measured by a dimensionless polaron coupling constant,  $\alpha$ , which is dependent on the above-mentioned ionic screening as  $\alpha \propto 1/\epsilon^*$ .

In the context of our discussion, the Fröhlich interaction is important for two reasons. First, it represents a scattering term in the band theory of carrier transport and the associated Boltzmann transport equation, and as such it is important to consider its impact on various physical quantities, e.g., the band gap and carrier mobility, as a function of temperature (see below). Second, via the Fröhlich Hamiltonian, this type of interaction can lead to the formation of a quasiparticle composed of both electronic and lattice degrees of freedom, which is called a polaron.<sup>42</sup> The polaron describes a charge carrier that is “dressed” with lattice deformations, such that an electron or hole carries with it the atomic displacements caused by the interaction.<sup>47</sup> According to their radii, these quasiparticles can be grouped into small and large polarons. Various studies have discussed that the intrinsic properties of prototype HaP materials, such as  $\text{MAPbI}_3$  and  $\text{MAPbBr}_3$ , are such that large-polaron theory would apply. Application of it to

HaPs describes polarons with sizes on the order of multiple unit cells and moderate coupling of  $\alpha \approx 2$ ,<sup>44,48</sup> i.e., the intermediate coupling regime. The dressing of carriers should, by the standard theory of large polarons, lead to moderate polaronic mass enhancements that have been calculated to lie in the range of 30–50% around room temperature.<sup>16,44,48,49</sup>

Various further signatures of polaronic effects in the physical characteristics of HaPs have been discussed in the literature. For example, a recent study detected that polaronic effects are concurrent with the formation of a stress field which develops as a consequence of light absorption in  $\text{MAPbBr}_3$  (see Figure 2a).<sup>51</sup> Another interesting aspect of polaronic behavior revolves around photoinduced compositional segregation in mixed-halide crystals that involves long-range movements of different halide ion types to different spatial locations in the sample, which was shown to be mediated by polaron formation (see Figure 2b).<sup>52–54</sup> In these studies, the standard Fröhlich Hamiltonian is *not* directly used, and thus the observed polaronic behavior possibly transcends the assumptions of harmonic phonons and linear electron–lattice coupling inherent to the model. We note in passing that various studies have discussed polaronic effects protecting carriers from recombining,<sup>55–57</sup> which must be reconciled with the picture of multiphonon recombination in which polaronic effects would actually increase non-radiative recombination rates.<sup>58</sup> It is furthermore interesting to note that a direct comparison of experimentally measured band masses in  $\text{MAPbBr}_3$  and  $\text{CsPbBr}_3$  shows<sup>15,16</sup> that they are similar, which would imply similar polaronic behavior across hybrid and all-inorganic HaPs. Finally, we also note important recent theoretical developments in first-principles calculations of polarons as described in, e.g., refs 59 and 60. To summarize, the above discussions motivate the polaron mechanism as a mediator of charge-carrier scattering in the transport characteristics of these systems. It is, however, important to stress that these observations do not prove that theories which invoke the underlying assumptions of the Fröhlich Hamiltonian or related models provide a correct microscopic description of electron–lattice effects in these materials.

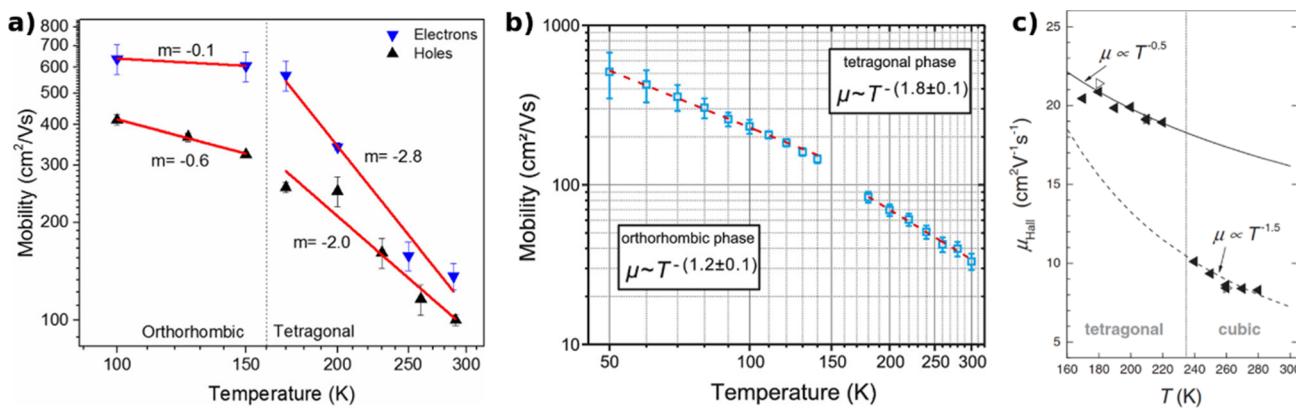


Figure 3. A selection of temperature-dependent mobility measurements performed for various HaP samples establishing  $\mu \propto T^{-\gamma}$ , with  $\gamma$  in the range of  $\approx 1.5$ – $3$  around room temperature. (a) Time-of-flight measurements of  $\text{MAPbI}_3$  single crystals. Reprinted with permission from ref 74. Copyright 2018 American Chemical Society. (b) All-optical measurements of large-crystal  $\text{MAPbI}_3$  thin films. Reprinted with permission from ref 75. Copyright 2019 American Chemical Society. (c) Hall measurements of  $\text{MAPbBr}_3$  single crystals. Reprinted with permission from ref 73. Copyright 2016 Wiley-VCH Verlag GmbH & Co. KGaA, Weinheim.

## ■ FAILURES OF THE TRADITIONAL POLARON PICTURE FOR HaPs

We will now discuss a series of observations pertinent for HaPs, which will establish the need to go beyond the traditional polaron picture as embodied directly in the Fröhlich Hamiltonian. We start this discussion with the temperature dependence of the semiconductor band gap, since it is a key observable for the quantification of electron–lattice interactions that naturally connects thermal vibrations to measurable electronic energies. The traditional picture of phonon-mediated band gap changes relies on the assumption that the atomic displacements themselves, and their effect on the electronic levels, are relatively small; i.e., one uses the aforementioned harmonic approximation and the low-order electron–phonon coupling expansion to calculate this quantity. The microscopic theory which encodes these assumptions, known as the Allen–Heine–Cardona (AHC) theory,<sup>61,62</sup> has proven to be valid for various prototypical inorganic semiconductors; in passing we mention ongoing and important efforts in further developing the theoretical framework in this direction, e.g., refs 63–66. However, for HaPs, a breakdown of the canonical approach has been discussed by Saidi et al.,<sup>67</sup> who demonstrate the importance of higher-order terms in the electron–lattice coupling of HaPs. It should be noted that the importance of such terms compared to temperature-dependent changes in the lattice structure in actual experiments is still under debate.<sup>68</sup> Nevertheless, the work of Saidi et al. illustrates that the truncation of electron–phonon coupling terms at low order, as found in both the AHC theory and the Fröhlich Hamiltonian, is at least *internally inconsistent*, as large changes to the temperature dependence of the band gap occur in a controlled theoretical setting when high-order coupling processes are included.

Perhaps the most salient observable quantifying electron–lattice interactions is the temperature dependence of the carrier mobility,  $\mu$ , for which traditional transport theory predicts differences according to scattering by different types of phonons. For example, scattering of carriers by acoustic phonons generally follows a law of  $\mu \propto T^{-1.5}$ , whereas scattering by polar optical vibrations typically results in a shallower temperature dependence.<sup>41</sup> Recalling the above-discussed role of polar phonon modes in HaPs, it is surprising

that various experiments covering a wide range of techniques and samples have firmly established  $\mu \propto T^{-\gamma}$ , with  $\gamma \approx 1.5$ – $3$  around room temperature (see Figure 3).<sup>69–75</sup> This seemingly contradicts the notion that interactions between charge carriers and polar optical phonon modes are dominant in HaPs around room temperature. Indeed, numerical modeling,<sup>44,48,76,77</sup> valid for certain well-defined limits of Fröhlich-type models, and/or the large-polaron mechanism have established that its temperature dependence for mobilities in HaPs is far too weak to explain experimental results; i.e.,  $\gamma \approx 0.5$ – $1$  in these articles.

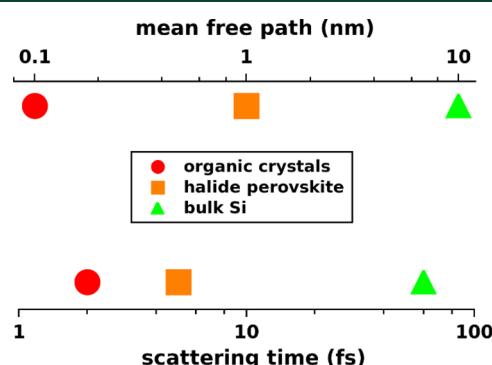
Perhaps most convincingly, exact numerical results now exist for carrier mobilities in the Fröhlich Hamiltonian for coupling strengths relevant for HaPs over a temperature range spanning several orders of magnitude.<sup>78</sup> These results are key for establishing very important physical features of electron–lattice interactions and carrier scattering in HaPs. Figure 2 of ref 78 clearly shows that, in the range of 200–400 K, the *exact temperature dependence of the polaron mobility* in the Fröhlich Hamiltonian is  $\mu \propto T^{-0.5}$  for physical parameters that are representative of prototype HaPs,<sup>79</sup> in stark *disagreement* with experiments. The polaron mechanism, as described by the Fröhlich Hamiltonian and its variants, cannot successfully explain carrier transport in HaPs.

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## ■ TIME AND LENGTH SCALES ASSOCIATED WITH CARRIER SCATTERING IN HaPs

We will now consider a simple estimation of the time and length scales at which carrier scattering occurs in HaPs at room temperature. A useful starting point for this discussion is the well-known relation  $\mu = q_c \tau / m^*$ , in which  $\tau$  is the *average relaxation or scattering time of the carriers*. Using parameters from our above discussion that are representative of HaPs around room temperature, i.e.,  $\mu \approx 100 \text{ cm}^2/(\text{Vs})$  and  $m^* \approx 0.2 m_e$ , one finds very short scattering times of  $\tau \approx 5 \text{ fs}$ . Such a scenario would seem to be relevant for realistic experimental

conditions in HaPs as well, as very rapid relaxation times of  $\approx 4$  fs have been reported from terahertz photoconductivity measurements of  $\text{MAPbI}_3$  at room temperature,<sup>72</sup> which can be used to estimate a *very short carrier mean free path* of  $\approx 0.8$  nm. To put these numbers in perspective, it is worth recalling that relaxation times and mean free paths in the canonical high-quality semiconductor Si are much larger, i.e.,  $\approx 60$  fs and  $\approx 10$  nm,<sup>81,82</sup> respectively (see Figure 4). In HaPs, however, carrier scattering appears to occur on length scales that are on the order of one or only a few unit cells.



**Figure 4.** Illustration of the time and length scales that are relevant for carrier scattering in HaPs at room temperature. The figure shows estimates for the carrier mean free path and scattering time, plotted on a logarithmic scale, in comparison to those of organic crystals and the prototypical inorganic semiconductor silicon.

These estimates are useful to better understand the limitations of standard polaron and carrier-scattering theories for the case of HaPs. We again consider the aforementioned exact numerical results for the Fröhlich Hamiltonian,<sup>78</sup> because they demonstrate that, for the complete temperature range of relevance for practical optoelectronic applications of HaPs, the Mott–Ioffe–Regel criterion<sup>83,84</sup> is violated for systems with coupling strengths similar to those found in HaPs. In short, the Mott–Ioffe–Regel limit is surpassed whenever the mean free path of carriers is found to be smaller than the electron or hole (de Broglie) wavelength at a specific temperature. The above estimate of the mean free path of carriers in  $\text{MAPbI}_3$  at room temperature of  $\approx 0.8$  nm strongly suggests that this is, indeed, the case. Violation of the Mott–Ioffe–Regel limit implies that carrier scattering (e.g., by lattice vibrations) destroys the coherence of electrons and holes because their mean free path would be smaller than their wavelength; as it has been put by Ioffe and Regel, the “electron waves fade out”<sup>83</sup> within the limits of their own wavelength. This scenario indicates a breakdown of the band-theory picture of carrier scattering as depicted by the Boltzmann transport equation in HaPs, which describes carriers as having well-defined energies or momenta due to being scattered only intermittently.

**This scenario indicates a breakdown of the band-theory picture of carrier scattering as depicted by the Boltzmann transport equation in HaPs.**

Interestingly, recent theoretical work argues that  $\text{SrTiO}_3$ , a perovskite material with an effective Fröhlich coupling similar to that of HaPs,<sup>85,86</sup> falls in a similar category of “beyond

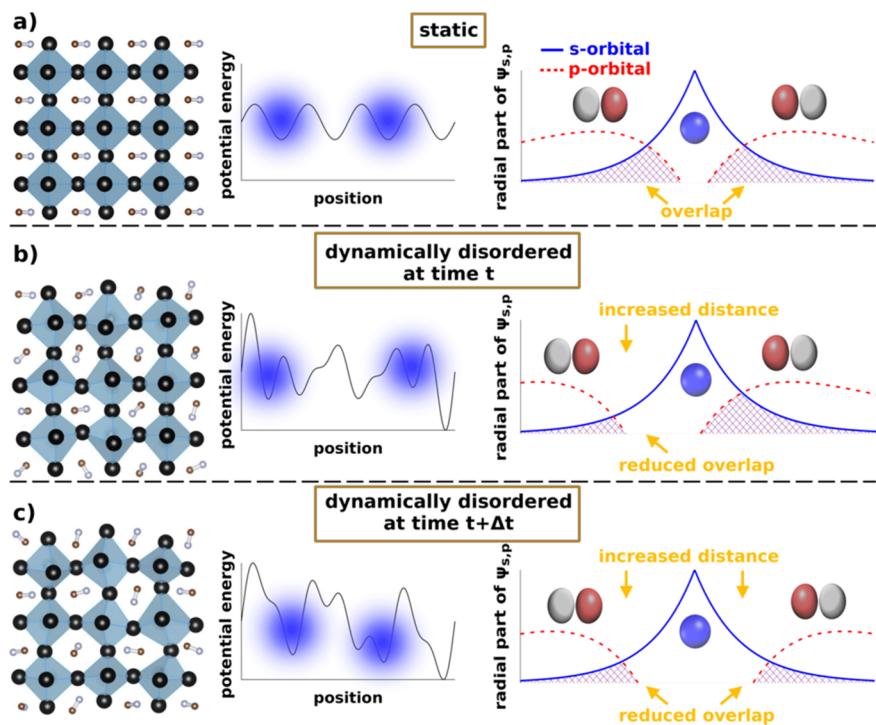
quasiparticle” transport.<sup>87</sup> This work addresses the fact that lattice anharmonicity must be accounted for in this system, and that violation of the Mott–Ioffe–Regel criterion prevents the use of the standard Boltzmann transport equation. Indeed, the authors of ref 87 demonstrate that only with the treatment of lattice anharmonicity and a dynamical approach which accounts for incoherent processes missed by the Boltzmann transport equation can the magnitude and temperature dependence of transport in  $\text{SrTiO}_3$  be described. Similarly, we argue that the violation of the Mott–Ioffe–Regel criterion together with the anharmonic HaP lattice motivates consideration of the dynamic disorder mechanism in these systems, which we now discuss.

**The violation of the Mott–Ioffe–Regel criterion together with the anharmonic HaP lattice motivates consideration of the dynamic disorder mechanism.**

## ■ DYNAMIC DISORDER PICTURE OF ELECTRON–LATTICE INTERACTIONS

The appearance of anharmonic lattice dynamics and violation of the Mott–Ioffe–Regel criterion are reminiscent of behavior found in clean organic crystals such as oligoacenes and rubrene, which share important features with the HaP family.<sup>88,89</sup> The transport properties in these systems paradoxically embody aspects of band-like motion (typical in inorganic semiconductors) and incoherent hopping motion (characteristic of strongly disordered systems).<sup>90</sup> That such systems exhibit power-law temperature dependencies of the charge mobility on one hand,<sup>88,89</sup> along with very short carrier relaxation times and a violation of the Mott–Ioffe–Regel criterion on the other hand,<sup>91</sup> illustrates this puzzling duality. The dynamic disorder approach explains these features by positing that low-frequency vibrations of the lattice result in large fluctuations in the orbital overlaps between adjacent molecules, which transiently localize electrons and holes.<sup>88–90,92–94</sup> Thus, in organic crystals, the electron–lattice interaction involves a dynamic (time-dependent) disorder in the interactions between electronic carriers and the lattice.<sup>95,96</sup>

There are strong reasons to believe that HaPs should be described by the dynamic disorder picture as well. As emphasized above, HaPs are, like organic crystals,<sup>97,98</sup> notable for their slow, anharmonic lattice dynamics, the incoherent nature of their carrier relaxation (with the likely violation of the Mott–Ioffe–Regel condition), and their relatively low carrier mobilities, with magnitudes and temperature dependencies similar to those of high-quality organic crystals. Figure 4 shows that scattering times and mean free paths that have been calculated for the naphthalene crystal are  $\approx 1$  fs and  $\approx 1$  nm,<sup>91</sup> respectively, which suggests that HaPs could share certain similarities with organic crystals as far as the relevance of dynamic disorder as a mechanism for carrier scattering is concerned.<sup>99</sup> This picture has been pursued quantitatively by Mayers et al.<sup>100</sup> via the creation of a tight binding (TB) model, where the TB Hamiltonian is parametrized to density functional theory (DFT) in a DFT+TB scheme that describes how the band structure changes when the atoms are vibrating at finite temperature. This approach reveals the importance of dynamic disorder triggered by electron–lattice interactions in

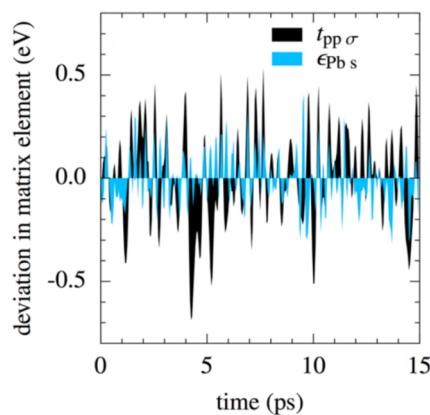


**Figure 5.** Illustration of dynamic disorder in electron–lattice interactions of HaPs. In the static scenario (a), a perfectly periodic lattice (left) leads to a periodic energy landscape for charge carriers (middle) and large overlap of electronic wave functions (right). Here, the radial part of an *s*-orbital (blue full line) and the same for a *p*-orbital (red-dashed curve) result in wave function overlap (blue-red dashed area). In the dynamic disorder scenario (b), at any given time  $t$  the atoms show large distortions from the ideal structure (left), which induces changes to the potential energy (middle) and leads to wave function overlap reductions (right). The latter is sketched as the reduction of overlap between *s*- and *p*-orbitals due to their increased distance. The disorder is dynamic because, at a later time  $t + \Delta t$  (c), a different geometry distortion (left) leads to differently changed potential energy (middle) and differently reduced overlap (right). Note that the distortions, in general, may also lead to an increase of overlap but that a decrease is more likely to occur because bond elongation is more likely to occur than bond contraction.

the following manner. In the static and time-averaged HaP lattice (Figure 5a), perfect order of the lattice would lead to a periodic energy landscape for charge carriers and large overlap of electronic wave functions, e.g., the metal *s*- and halogen *p*-states hybridizing into the valence band. In *actual HaPs around room temperature* (Figure 5b), however, the lattice is far from this idealized, time-averaged geometry at any given time  $t$ . The associated atomic displacements induce changes to the potential energy for the carriers, and, just as importantly, they alter the wave function overlap since, e.g., the atoms are farther apart in a distorted structure than in the ideal one. The induced disorder is dynamic because, at a later time  $t + \Delta t$  (Figure 5c), a different distortion may lead to a locally altered potential energy and different overlap. While there are lattice dynamics occurring at all time scales, for the dynamic disorder the slow evolution of the lead halide framework is most relevant. Thus, on relatively short time scales, it appears to the charge carrier that it is evolving in a statically disordered landscape. We note that “dynamic disorder” was used as a term to describe the rotational disorder of organic cations in HaPs,<sup>96</sup> see also a variety of previous theoretical investigations on the effect that the disordered MA cation has on HaP properties,<sup>101,102</sup> which focus on an aspect of the disorder apparent in these materials that is different from the one we highlight in this Perspective.

In the theoretical calculations of ref 100, the lattice dynamics lead to large fluctuations in the local energies and transfer integrals that are the ingredients of the TB Hamiltonian (see

Figure 6). The resulting dynamic disorder picture formulated in ref 100 can be contrasted with the polaron models discussed earlier once various assumptions are made, which in view of Figure 6 are problematic for HaPs: namely, that the effect of lattice dynamics on the band structure is merely a small perturbation, and that the lattice dynamics themselves involve only small atomic displacements for which the harmonic



**Figure 6.** Example of dynamical fluctuations of local energies (blue) and transfer integrals (black) in  $\text{MAPbI}_3$  at 300 K computed from the DFT-TB+MD method. Note that the fluctuations found for these two quantities are of similar magnitudes. Reprinted with permission from ref 100. Copyright 2018 American Chemical Society.

approximation is valid. Technically, this correspondence can be achieved by a linear Taylor expansion of the TB parameters in terms of the atomic displacements, along with an assumption of harmonic lattice motion. Such approximations would recapitulate a multi-mode version of the Fröhlich model, with the addition of off-diagonal fluctuations giving rise to (Peierls-type) coupling.<sup>42</sup> Instead, in ref 100, the non-linearity of the couplings is retained at the expense of treating the full anharmonic nuclear motion classically by molecular dynamics (MD), which is reasonable for high temperatures compared to the relevant phonon frequencies. Note that the approximation of classical lattice dynamics prevents true coherent polaron formation from being described, as the lattice can, at most, react to the *average* electronic dynamics.

The combination of DFT, TB, and classical MD (DFT+TB+MD) described above and developed in ref 100 successfully rationalizes diverse experimental behaviors with no adjustable parameters. In particular, the large fluctuations in off-diagonal TB parameters (i.e., the transfer integrals) reduces orbital overlap between adjacent HaP octahedra and transiently localizes carriers (see Figures 5 and 6). As shown in Figure 7a, a mobility of around  $\mu \approx 100 \text{ cm}^2/(\text{Vs})$  at room

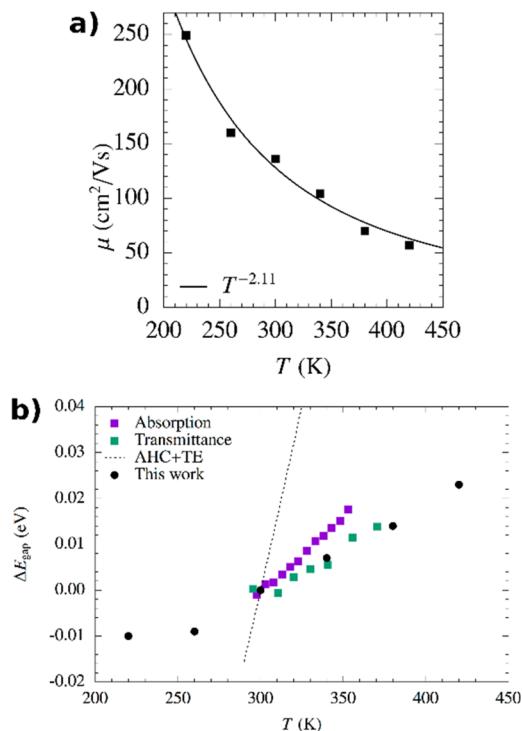


Figure 7. Carrier mobility (a) and changes in the band gap of  $\text{MAPbI}_3$  (b) computed from the DFT-TB+MD method.<sup>71,103,67</sup> Reprinted with permission from ref 100. Copyright 2018 American Chemical Society.

temperature with a temperature dependence of  $\mu \propto T^{-2}$  is obtained, consistent with the upper end of behavior observed in experiments. Interestingly, the exact solution of a theoretical model with the main ingredients found in the microscopic model of ref 100 has been put forward by Madhukar and Post.<sup>104</sup> These authors show that, in general, such models yield  $\mu \propto a + bT^{-2}$ , with  $a$  and  $b$  being material-dependent constants, which clearly can fit well the range of behaviors seen in experiments on HaPs. The temperature dependence of the band gap (see Figure 7b), a quantity we have discussed above,

as well as the temperature dependence and magnitude of the width of the absorption threshold (known as the Urbach energy) in prototypical HaP materials, are shown to arise as a direct consequence of dynamic disorder in ref 100. In particular, the Urbach energy arises entirely from effective vibrational disorder correlated over only a very short length scale.<sup>36,100,105</sup> It is also illuminating to note that the “polaronic mass enhancements” discussed in the literature (see, e.g., refs 16 and 49) would be accessible to the dynamic disorder picture via computation of the impact that the thermal lattice fluctuations have on the (average) electronic band structure.

Independently, a similar dynamic disorder picture for HaPs has been put forward more recently by Lacroix et al.<sup>106</sup> Using a different parametrization of the dynamical TB approach and different means of extracting mobilities, they find room-temperature mobilities of similar magnitude, following an approximate  $\mu \propto T^{-3/2}$  law at high temperatures, again consistent with experiments. Emphasizing the importance of disorder, both static and dynamic, these authors highlight the fact that the Boltzmann transport formalism neglects the type of localization effects described above, which are crucial for correctly describing the dynamics. In a related vein, they emphasize that at high temperatures, thermally excited vibrational motion naturally erases the effects of polaron formation. In particular, near room temperature, the energy broadening due to scattering by disorder becomes larger than the polaron formation energy, which would imply that polarons do not play a role at operationally relevant temperatures. The work of Mayers et al.,<sup>100</sup> as well as the work of Lacroix et al.,<sup>106</sup> illustrate that the dynamic disorder picture of transiently localized charge carriers in a slowly evolving electrostatic landscape that is driven by soft, anharmonic lattice dynamics in HaPs is consistent with diverse experimental observations. An interesting difference between these two articles concerns the detailed nature of the dynamic disorder present in HaPs, since ref 106 emphasizes the importance of diagonal disorder and ref 100 found the off-diagonal disorder to be more important for the temperature dependence of the mobility. Further investigation and clarification on this question will support the targeted materials design of HaPs showing desired optoelectronic properties.

The dynamic disorder picture of transiently localized charge carriers in a slowly evolving electrostatic landscape that is driven by soft, anharmonic lattice dynamics in HaPs is consistent with diverse experimental observations.

## CONCLUSIONS AND OPEN QUESTIONS

We have discussed the pertinent electron–lattice interaction mechanisms in HaPs in the most important temperature regimes for optoelectronic devices. Our analysis of the applicability and failures of the polaron picture, and the underlying physical models behind it, demonstrates that polaronic behavior of some form exists in HaPs. For example, signatures of polaronic effects were detected to be concurrent with the formation of a stress field developing upon light

absorption in  $\text{MAPbBr}_3$ <sup>51</sup> and to impact photoinduced compositional segregation in mixed-halide crystals that involves halide motion.<sup>52–54</sup> It is, however, important to stress that the appearance of such polaronic signatures cannot be used as a proof of the existence of polarons, as described by the Fröhlich Hamiltonian. This distinction is important because the picture arising from canonical polaron models, such as described by the Fröhlich Hamiltonian, appears incapable of explaining the established optical and charge-carrier characteristics of HaPs. Perhaps the most compelling evidence for this assessment is the set of recently published exact numerical data on the polaron mobility in the intermediate coupling regime that is relevant for HaPs.<sup>78</sup> These results show that the temperature dependence of the polaron mobility is incorrect compared to firmly established experimental findings, which is in line with numerical modeling of certain well-defined limits of the polaron mobility in HaPs.<sup>44,48,76,77</sup> Therefore, the Fröhlich polaron model cannot explain carrier scattering in HaPs. Emphasizing the soft, polar lattice of the HaPs and the associated local polar fluctuations that exist in these materials around room temperature, we argue that these failures are founded in several assumptions underlying the simplest polaron and band-theory pictures being violated in these materials. Perhaps the most important of these is the violation of the Mott–Ioffe–Regel criterion for electrons and holes in typical HaP compounds at and around room temperature, invalidating standard kinetic theory approaches to the description of their transport, similar to what has been discussed for the case of clean organic crystals.

The dynamic disorder picture is a complementary view of electron–lattice interactions that has been successful for describing carrier scattering in organic crystals and which appears to naturally apply to HaPs as well. It is important to stress that time and length scales associated with carrier scattering in HaPs are certainly not really comparable to those in organic crystals, see, e.g., Figure 4. But both material classes share that dynamic disorder can be invoked as a major factor in the carrier scattering mechanisms relevant around room temperature by the apparent failures of standard scattering mechanisms discussed above. The dynamic disorder approach puts forward a real-space description of electron–lattice interactions in HaPs which involves transient localization of band-edge carriers in the slowly evolving electrostatic potential. We argue that this mechanism subsumes standard polaronic treatments as formulated from, e.g., the Fröhlich Hamiltonian. However, the dynamic disorder mechanism goes beyond these descriptions by treating the full non-linearity of coupling parameters and the anharmonicity of the lattice in a natural way, which is required given the soft, low-frequency nature of the HaP lattice dynamics. As shown in refs 100 and 106, this viewpoint naturally provides explanations for puzzles which arise when electron–lattice interactions in HaPs are considered from standard band-theory approaches.

Various outstanding issues and directions remain open. First, other physically important systems that may be treated within the approach of refs 100 and 106 are worthy of investigation. In this regard, it is interesting to note that the *static* properties of colossal magnetoresistance materials with strong electron–lattice interactions, such as the rare-earth manganites, have been traditionally treated in a manner similar to that outlined here for the HaPs, in that the vibrational and spin degrees of freedom are treated completely classically.<sup>107–110</sup> The description of time-dependent behavior in such systems

under analogous assumptions would connect naturally to the dynamic disorder picture of the HaPs. Furthermore, a recent experimental study on temperature-dependent exciton mobilities in a 2D HaP material showed that the Mott–Ioffe–Regel criterion is violated over a wide range of temperatures as well, which motivates investigations of the interplay between dynamic disorder and excitonic behavior in these systems.<sup>111</sup> Second, the properties of transiently localized band-edge carriers and the role played by spin–orbit coupling therein should be investigated in detail. This is potentially relevant because relativistic effects are known to be very important in HaPs,<sup>112</sup> which could influence the dynamical changes of the orbital overlaps that drive dynamic disorder and transient localization. Moreover, it would be interesting to better understand the potential impact of transient localization in some of the polaronic signatures that we have discussed here. It is also useful to note that the regime of transport, where mobility *decreases* with temperature because of dynamic disorder and transient localization,<sup>113</sup> can be contrasted to the more common case where localization leads to a mobility *increase* with temperature (e.g., as in hopping transport). Lastly, the proposed absence of large polarons at and around room temperature suggests that they do not play a significant role in a variety of important phenomena, such as non-radiative recombination of photoexcited carriers. The dynamic disorder models discussed here suggest that such polarons are largely undressed by thermally populated vibrational modes at high temperatures, and hence do not play a large role in electron–lattice effects around room temperature. On the other hand, it should be noted that these models do not treat quantum-mechanical aspects of ionic motion in a satisfactory manner and, thus, may not be suitable to describe some of the variants of polaronic behavior we addressed here. One possible way to improve upon this treatment is to note that the fully anharmonic problem studied in ref 100 can be mapped to a multi-mode Fröhlich-type model with effective harmonic phonons and temperature-dependent coupling constants.<sup>114</sup> This mapping, which makes use of linear-response theory, includes anharmonicity and non-linear couplings in an approximate manner and can be combined with the approach of predicting charge transport outlined in ref 87. Such an approach could enable the description of effects that capture both the physics of soft, anharmonic lattice dynamics in HaPs and the influence of incoherent scattering contributions, enabling the treatment of transport under conditions where the Mott–Ioffe–Regel criterion is violated, while at the same time affording a description of lower temperature behavior where polaron formation and the quantum treatment of phonons may play significant roles. The exploration of these and other directions in the near future will greatly enrich our understanding of the unique physics of HaPs and related solids.

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## Notes

The authors declare no competing financial interest.

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