

VOLUMES OF LINE BUNDLES ON SCHEMES

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ABSTRACT. Volumes of line bundles are known to exist as limits on generically reduced projective schemes. However, it is not known if they always exist as limits on more general projective schemes. We show that they do always exist as a limit on a codimension one subscheme of a nonsingular projective variety.

1. INTRODUCTION

Suppose that \mathcal{L} is a line bundle on a d -dimensional proper scheme X over a field k . The volume of \mathcal{L} is defined to be the \limsup

$$(1.1) \quad \text{vol}(\mathcal{L}) = \limsup_{n \rightarrow \infty} \frac{\dim_k \Gamma(X, \mathcal{L}^n)}{n^d/d!}.$$

This volume is defined in [11, Definition 2.2.26]. Many important properties of the volume are derived in this book. The most fundamental question is if this volume exists as a limit. The volume does in fact exist as a limit in many cases. The following theorem appears in [2]. Let \mathcal{N}_X be the nilradical of a proper scheme X .

Theorem 1.1. ([2, Theorem 10.7]) *Suppose that X is a proper scheme of dimension d over a field k such that $\dim \mathcal{N}_X < d$ and \mathcal{L} is a line bundle on X . Then the limit*

$$\lim_{n \rightarrow \infty} \frac{\dim_k \Gamma(X, \mathcal{L}^n)}{n^d}$$

exists, and so $\text{vol}(\mathcal{L})$ exists as a limit.

The condition on the nilradical just means that the scheme is reduced at all generic points of d -dimensional components.

An example of a line bundle on a projective variety where the limit in Theorem 1.1 is an irrational number is given in Example 4 of Section 7 of [3].

Theorem 1.1 is proven for line bundles on a nonsingular variety over an algebraically closed field of characteristic zero by Lazarsfeld (Example 11.4.7 [11]) using Fujita approximation (Fujita [7]). This result is extended by Takagi [16] using De Jong's theory of alterations [4] to hold on nonsingular varieties over algebraically fields of all characteristics $p \geq 0$.

2010 *Mathematics Subject Classification.* 14C40, 14C17.

Key words and phrases. volume of line bundle, projective scheme.

The first author was partially supported by NSF grant DMS-1700046.

Theorem 1.1 has been proven by Okounkov [15] for section rings of ample line bundles, for section rings of big line bundles and for graded linear series by Lazarsfeld and Mustaţă [12] and Kaveh and Khovanskii [9] when k is an algebraically closed field. A local form of this result is given by Fulger in [6]. These last proofs use an ingenious “cone method” introduced by Okounkov to reduce to a problem of counting points in an integral semigroup. All of these proofs require the assumption that k is *algebraically closed*. The proof of Theorem 1.1 also uses this wonderful cone method.

The cone method applies to graded linear series. Suppose that X is a proper scheme over a field k . A graded linear series on X is a graded k -subalgebra $L = \bigoplus_{n \geq 0} L_n$ of a section ring $\bigoplus_{n \geq 0} \Gamma(X, \mathcal{L}^n)$ of a line bundle \mathcal{L} on X .

The following theorem is a consequence of [2, Theorem 1.4] and [2, Theorem 10.3].

Theorem 1.2. *Suppose that X is a d -dimensional projective scheme over a field k with $d > 0$. Let \mathcal{N}_X be the nilradical of X . Then the following are equivalent*

- 1) *For every graded linear series L on X there exists a positive integer r (depending on L) such that the limit*

$$\lim_{n \rightarrow \infty} \frac{\dim_k L_{rn}}{n^d}$$

exists.

- 2) $\dim \mathcal{N}_X < d$.

The statement 1) \Rightarrow 2) of Theorem 1.2 ([2, Theorem 1.4]) is established in [3, Theorem 10.3]. It is shown that in any proper k -scheme X such that $\dim \mathcal{N}_X = d$ there exists a graded linear series L which has the property that the limit of 1) does not exist (for any r). The construction in this proof is not a section ring of a line bundle. Thus we have the following interesting question.

Question 1.3. Suppose that X is a projective d -dimensional scheme over a field and \mathcal{L} is a line bundle on X . Does the volume $\text{vol}(\mathcal{L})$ exist as a limit?

This question has a positive answer if $\dim \mathcal{N}_X < d$ by Theorem 1.1.

In this paper we give a positive answer to this question for arbitrary codimension 1 subschemes of a nonsingular variety.

Theorem 1.4. *Let X be a nonsingular projective variety over an arbitrary field. Let $Y \subset X$ be a closed subscheme of codimension 1. Let \mathcal{L} be an invertible sheaf on Y and $d = \dim Y$. Then the limit*

$$\lim_{n \rightarrow \infty} \frac{\dim_k \Gamma(Y, \mathcal{L}^n)}{n^d}$$

exists, and so $\text{vol}(\mathcal{L})$ exists as a limit.

Since the submission of this paper, Núñez [14] has proven that if X is a projective variety whose nilradical $\mathcal{N}(X)$ satisfies $\mathcal{N}(X)^2 = 0$, then the volumes of all line bundles on X exist.

2. NOTATION AND TERMINOLOGY

Let X be a proper scheme of dimension d over a field κ . Let \mathcal{L} and \mathcal{F} be an invertible sheaf and a coherent sheaf on X , respectively. We define the \mathcal{L} -volume of \mathcal{F} to be

$$vol_{\mathcal{L}}(\mathcal{F}) = \limsup_{n \rightarrow \infty} \frac{h^0(X, \mathcal{F} \otimes \mathcal{L}^n)}{n^d/d!}$$

where $h^0(X, \mathcal{F} \otimes \mathcal{L}^n) = \dim_{\kappa} H^0(X, \mathcal{F} \otimes \mathcal{L}^n)$.

We say that the \mathcal{L} -volume of \mathcal{F} exists as a limit if the limsup above is actually a limit. Observe that the \mathcal{L} -volume of \mathcal{O}_X is the volume of \mathcal{L} .

The volume of a graded linear series L (defined in Section 1) is:

$$vol(L) = \limsup_{n \rightarrow \infty} \frac{\dim_{\kappa} L_n}{n^d/d!}.$$

The index of a graded linear series L on a proper variety is defined to be

$$(2.1) \quad m(L) = [\mathbb{Z} : G]$$

where G is the subgroup of \mathbb{Z} generated by $\{n \mid L_n \neq 0\}$.

The following theorem was proven in [12] for certain linear series on projective varieties over an algebraically closed field and in [9] for arbitrary linear series on projective varieties over algebraically closed fields. The following theorem follows from [3, Theorem 8.1].

Theorem 2.1. *Suppose that X is a d -dimensional proper variety over a field κ , and L is a graded linear series on X . Let $m = m(L)$ be the index of L . Then*

$$\lim_{n \rightarrow \infty} \frac{\dim_{\kappa} L_{mn}}{n^d}$$

exists.

When X is a variety, we define the rank of any coherent \mathcal{O}_X -module \mathcal{F} to be the dimension of the $\mathcal{O}_{X,\eta}$ -vector space \mathcal{F}_{η} , where η is the generic point of X .

We will often call an invertible sheaf a line bundle.

3. PRELIMINARY RESULTS

3.1. Existence of Limits and Exact Sequences.

Lemma 3.1. *Let X be a proper scheme of dimension d over a field κ . Let \mathcal{L} be an invertible sheaf on X . Let*

$$0 \rightarrow \mathcal{F}_1 \rightarrow \mathcal{F}_2 \rightarrow \mathcal{F}_3 \rightarrow 0$$

be an exact sequence of coherent \mathcal{O}_X -modules. Let $\{1, 2, 3\} = \{i, j, k\}$. Suppose that the \mathcal{L} -volume of \mathcal{F}_i exists as a limit and that \mathcal{F}_k is supported on a closed subset of dimension strictly less than $\dim(X)$. Then the \mathcal{L} -volume of \mathcal{F}_j exists as a limit as well. Moreover,

$$\text{vol}_{\mathcal{L}}(\mathcal{F}_i) = \text{vol}_{\mathcal{L}}(\mathcal{F}_j).$$

The proof of this lemma follows from taking cohomology of the short exact sequence tensored with \mathcal{L}^n , and the fact that if \mathcal{F} is a coherent sheaf whose support has dimension less than d , then the limit

$$\lim_{n \rightarrow 0} \frac{h^i(X, \mathcal{F} \otimes \mathcal{L}^n)}{n^d} = 0$$

for all i (by [5, Proposition 1.31] or [10]).

Corollary 3.2. *Let X be a proper scheme over a field κ . Let \mathcal{L} be an invertible sheaf on X . Let*

$$0 \rightarrow \mathcal{K} \rightarrow \mathcal{F} \rightarrow \mathcal{G} \rightarrow \mathcal{C} \rightarrow 0$$

be an exact sequence of coherent \mathcal{O}_X -modules. Suppose that \mathcal{K} and \mathcal{C} are supported on closed subsets of dimension strictly less than $\dim(X)$. Then the \mathcal{L} -volume of \mathcal{F} exists as a limit if and only if the \mathcal{L} -volume of \mathcal{G} exists as a limit and then

$$\text{vol}_{\mathcal{L}}(\mathcal{F}) = \text{vol}_{\mathcal{L}}(\mathcal{G}).$$

Proof. We break up the given exact sequence into the following two exact sequences:

$$0 \rightarrow \mathcal{K} \rightarrow \mathcal{F} \rightarrow \mathcal{F}/\mathcal{K} \rightarrow 0$$

and

$$0 \rightarrow \mathcal{F}/\mathcal{K} \rightarrow \mathcal{G} \rightarrow \mathcal{C} \rightarrow 0.$$

If the \mathcal{L} -volume of \mathcal{F} exists as a limit, we apply Lemma 3.1 to the first sequence to conclude that the same is true for \mathcal{F}/\mathcal{K} and $\text{vol}_{\mathcal{L}}(\mathcal{F}/\mathcal{K}) = \text{vol}_{\mathcal{L}}(\mathcal{F})$. Then, by Lemma 3.1 and the second sequence, we see that the \mathcal{L} -volume of \mathcal{G} exists as a limit and $\text{vol}_{\mathcal{L}}(\mathcal{F}) = \text{vol}_{\mathcal{L}}(\mathcal{F}/\mathcal{K}) = \text{vol}_{\mathcal{L}}(\mathcal{G})$.

An analogous argument shows that if the \mathcal{L} -volume of \mathcal{G} exists as a limit, then the same is true for \mathcal{F} and $\text{vol}_{\mathcal{L}}(\mathcal{F}) = \text{vol}_{\mathcal{L}}(\mathcal{G})$. □

3.2. Non-zero-divisors, Invertible Sheaves, and Cartier Divisors.

Lemma 3.3. *Let X be a projective scheme over a field. Let \mathcal{M} be an invertible sheaf on X and let \mathcal{A} be an ample invertible sheaf. Then, there exists an $n_0 \in \mathbb{N}$ such that $H^0(X, \mathcal{A}^n \otimes \mathcal{M})$ contains a non-zero-divisor for $n \geq n_0$.*

Proof. The ideal sheaf 0 of \mathcal{O}_X has an irredundant primary decomposition $0 = \mathcal{Q}_1 \cap \cdots \cap \mathcal{Q}_r$ where the \mathcal{Q}_i are primary ideal sheaves for some closed integral subvarieties V_i of X . The V_i are the associated subvarieties of X . Such a decomposition can be found by sheafifying a homogeneous irredundant primary decomposition of the zero ideal in any projective embedding of X .

Let $x_1, \dots, x_s \in X$ be a set of distinct closed points such that each V_i contains at least one of these points. Let $\mathcal{I} = \prod_{i=1}^s \mathcal{I}_{x_i}$, where \mathcal{I}_{x_i} is the ideal sheaf of the point x_i . We have a short exact sequence of \mathcal{O}_X -modules

$$0 \rightarrow \mathcal{I} \rightarrow \mathcal{O}_X \rightarrow \bigoplus_{i=1}^s \mathcal{O}_{x_i} \rightarrow 0.$$

Tensor this exact sequence with $\mathcal{A}^n \otimes \mathcal{M}$ and take cohomology. By Serre's Vanishing Theorem, for $n \gg 0$, we have an exact sequence

$$H^0(X, \mathcal{A}^n \otimes \mathcal{M}) \rightarrow \bigoplus_{i=1}^s k(x_i) \rightarrow 0,$$

where $k(x_i)$ is the residue field of the point x_i . Thus, there exists a section $s \in H^0(X, \mathcal{A}^n \otimes \mathcal{L})$ which does not vanish at any of the x_i . It follows that s does not vanish at any of the V_i and so s is not a zero-divisor on \mathcal{O}_X . \square

For any scheme X , the association $D \rightarrow \mathcal{O}_X(D)$ gives an injective homomorphism from the group of Cartier divisors modulo linear equivalence to $\text{Pic}(X)$ (See [8, Corollary II.6.14]). Nakai [13] has shown that if X is a projective scheme over an infinite field then this homomorphism is an isomorphism. We deduce the known fact that this is also the case for projective schemes over arbitrary fields.

Corollary 3.4. *Let X be a projective scheme over a field. Then, for any invertible sheaf \mathcal{L} on X , there exists a Cartier divisor D such that $\mathcal{L} \simeq \mathcal{O}_X(D)$. Moreover, under the identification described above, $\text{Pic}(X)$ is generated by effective divisors.*

Proof. Choose an ample line bundle \mathcal{A} on X . After perhaps replacing \mathcal{A} with a positive power of itself, we can use Lemma 3.3 with $\mathcal{M} = \mathcal{O}_X$ to find a non-zero-divisor $t \in H^0(X, \mathcal{A})$. Then $\mathcal{A} \simeq \mathcal{O}_X(H)$, where $H := \text{div}(t)$.

Again by Lemma 3.3, for some $n \in \mathbb{N}$, we can find a non-zero-divisor

$$s \in H^0(X, \mathcal{O}_X(nH) \otimes \mathcal{L}).$$

Thus, $\mathcal{O}_X(nH) \otimes \mathcal{L} \simeq \mathcal{O}_X(\text{div}(s))$. Setting $D = \text{div}(s) - nH$, we get that $\mathcal{L} \simeq \mathcal{O}_X(D)$. \square

For the last statement of the corollary, simply notice that D is a difference of effective divisors.

If D and E are Cartier divisors on a projective scheme X , we will write $D \sim E$ if the \mathcal{O}_X -modules $\mathcal{O}_X(D)$ and $\mathcal{O}_X(E)$ are isomorphic.

3.3. A lemma on volume. We now show that volumes are unaffected by tensoring with invertible sheaves.

Lemma 3.5. *Let X be a projective scheme over a field. Let \mathcal{L} and \mathcal{M} be invertible sheaves on X . Suppose that the volume of \mathcal{L} exists as a limit. Then $\text{vol}_{\mathcal{L}}(\mathcal{M})$ also exists as a limit and*

$$\text{vol}_{\mathcal{L}}(\mathcal{M}) = \text{vol}(\mathcal{L}).$$

Proof. By Corollary 3.4, we can assume that $\mathcal{M} = \mathcal{O}_X(D)$ for some Cartier divisor D . Let us consider first the case where D is effective. We have a short exact sequence

$$0 \rightarrow \mathcal{O}_X(-D) \rightarrow \mathcal{O}_X \rightarrow \mathcal{O}_D \rightarrow 0$$

which, after tensoring with $\mathcal{O}_X(D)$, becomes

$$0 \rightarrow \mathcal{O}_X \rightarrow \mathcal{O}_X(D) \rightarrow \mathcal{O}_D \otimes \mathcal{O}_X(D) \rightarrow 0.$$

Now, the volume of $\mathcal{L} \otimes \mathcal{O}_X = \mathcal{L}$ exists by assumption. Moreover, the sheaf $\mathcal{O}_D \otimes \mathcal{O}_X(D)$ is supported on a proper closed set of X . Thus, by Lemma 3.1, the limit

$$\lim_{n \rightarrow \infty} \frac{h^0(X, \mathcal{O}_X(D) \otimes \mathcal{L}^n)}{n^d} = \lim_{n \rightarrow \infty} \frac{h^0(X, \mathcal{L}^n)}{n^d}$$

exists.

Suppose now that D is an arbitrary Cartier divisor. Thanks to Corollary 3.4, we can write $D \sim A - B$ where both A and B are effective Cartier divisors. Since B is effective, we have a short exact sequence

$$0 \rightarrow \mathcal{O}_X(-B) \rightarrow \mathcal{O}_X \rightarrow \mathcal{O}_B \rightarrow 0.$$

We can tensor the sequence above with $\mathcal{O}_X(A)$ and get

$$0 \rightarrow \mathcal{O}_X(D) \rightarrow \mathcal{O}_X(A) \rightarrow \mathcal{O}_B \otimes \mathcal{O}_X(A) \rightarrow 0.$$

Again by Lemma 3.1 we conclude that

$$\lim_{n \rightarrow \infty} \frac{h^0(X, \mathcal{O}_X(D) \otimes \mathcal{L}^n)}{n^d} = \lim_{n \rightarrow \infty} \frac{h^0(X, \mathcal{O}_X(A) \otimes \mathcal{L}^n)}{n^d},$$

where the limit on the right exists since A is effective as proven above. Furthermore,

$$\lim_{n \rightarrow \infty} \frac{h^0(X, \mathcal{O}_X(A) \otimes \mathcal{L}^n)}{n^d} = \lim_{n \rightarrow \infty} \frac{h^0(X, \mathcal{L}^n)}{n^d}.$$

Thus, $\text{vol}_{\mathcal{L}}(\mathcal{M})$ exists as a limit and $\text{vol}_{\mathcal{L}}(\mathcal{M}) = \text{vol}(\mathcal{L})$ whenever \mathcal{M} is invertible. \square

Remark. With the assumptions of the above lemma, we have that $\text{vol}(\mathcal{L})$ exists as a limit if and only if $\text{vol}_{\mathcal{L}}(\mathcal{M})$ exists as a limit.

3.4. Ideal sheaves on nonsingular varieties. The following result is essentially [1, Lemma 13.8]. It is stated in [1] for nonsingular quasi-projective varieties over algebraically closed fields, but the proof given there carries over without modifications to the case of arbitrary fields.

Lemma 3.6 ([1, Lemma 13.8]). *Suppose that X is a quasi-projective nonsingular variety over an arbitrary field and \mathcal{I} is an ideal sheaf on X . Then there exists an effective divisor D on X and an ideal sheaf \mathcal{J} on X such that the support of $\mathcal{O}_X/\mathcal{J}$ has codimension greater than or equal to 2 in X and $\mathcal{I} = \mathcal{O}_X(-D)\mathcal{J}$.*

4. NILRADICALS AND VOLUMES

The following proposition is the main ingredient in our proof of Theorem 1.4.

Proposition 4.1. *Let X be an irreducible projective scheme over a field κ . Let \mathcal{N} be the nilradical of X . Let \mathcal{L} be an invertible sheaf on X . Suppose that $\text{vol}_{\mathcal{L}}(\mathcal{N} \otimes \mathcal{M})$ exists as a limit for any invertible sheaf \mathcal{M} . Then $\text{vol}(\mathcal{L})$ exists as a limit as well.*

Proof. Let \mathcal{A} be an ample invertible sheaf on X . We tensor the short exact sequence

$$(4.1) \quad 0 \rightarrow \mathcal{N} \rightarrow \mathcal{O}_X \xrightarrow{\text{res}} \mathcal{O}_{X_{\text{red}}} \rightarrow 0$$

with \mathcal{A} to obtain

$$0 \rightarrow \mathcal{N} \otimes \mathcal{A} \rightarrow \mathcal{A} \xrightarrow{\text{res}} \mathcal{A} \otimes \mathcal{O}_{X_{\text{red}}} \rightarrow 0.$$

By Lemma 3.3, after perhaps replacing \mathcal{A} with a positive power of itself, we can find a section $\alpha \in H^0(X, \mathcal{A})$ such that α is not a zero-divisor. This condition is equivalent to the fact that α does not vanish along any associated subvariety of X . In particular, since X_{red} is one of the associated subvarieties, we have that the restriction $\alpha|_{X_{\text{red}}}$ of α to X_{red} is nonzero. The section α induces a short exact sequence

$$(4.2) \quad 0 \rightarrow \mathcal{A}^{-1} \xrightarrow{\alpha} \mathcal{O}_X \rightarrow \mathcal{O}_H \rightarrow 0,$$

where $H = \text{div}(\alpha)$ is a $(d-1)$ -dimensional scheme.

Similarly, after perhaps replacing \mathcal{A} with a positive power of \mathcal{A} , we can assume that there is a section $\beta \in H^0(X, \mathcal{A} \otimes \mathcal{L})$ such that its restriction $\beta|_{X_{\text{red}}}$ to X_{red} is nonzero.

For all $n \in \mathbb{N}^+$ we have restriction maps

$$\Phi_n: H^0(X, \mathcal{L}^n) \rightarrow H^0(X_{\text{red}}, (\mathcal{L}|_{X_{\text{red}}})^n).$$

Notice that the vector spaces $L_n := \Phi_n(H^0(X, \mathcal{L}^n))$ fit together into a linear series L associated to $\mathcal{L}|_{X_{\text{red}}}$ on X_{red} .

We consider now the following two cases:

Case 1: Suppose that there exists $n_0 > 0$ such that the restriction map

$$H^0(X, \mathcal{A}^{-1} \otimes \mathcal{L}^{n_0}) \rightarrow H^0(X_{red}, \mathcal{A}^{-1} \otimes (\mathcal{L}|_{X_{red}})^{n_0})$$

is not zero. That is, there exists $\gamma \in H^0(X, \mathcal{A}^{-1} \otimes \mathcal{L}^{n_0})$ such that its restriction $\gamma|_{X_{red}}$ to X_{red} is not zero. But then $\beta|_{X_{red}} \otimes \gamma|_{X_{red}}$ is a nonzero element of L_{n_0+1} since X_{red} is a variety. Moreover, for the same reason, $\alpha|_{X_{red}} \otimes \gamma|_{X_{red}}$ is a nonzero element of L_{n_0} and this implies that the linear series L has index 1 (the index of L is defined in (2.1)).

By [2, Theorem 8.1] (Theorem 2.1), the limit

$$\lim_{n \rightarrow \infty} \frac{\dim_{\kappa} L_n}{n^d}$$

exists.

After tensoring (4.1) with \mathcal{L}^n , taking global sections, using the additivity of dimension, and dividing by n^d we get that the limit

$$\lim_{n \rightarrow \infty} \frac{h^0(X, \mathcal{L}^n)}{n^d} = \lim_{n \rightarrow \infty} \frac{\dim_{\kappa} L_n}{n^d} + \lim_{n \rightarrow \infty} \frac{h^0(X, \mathcal{N} \otimes \mathcal{L}^n)}{n^d}$$

exists, since the limit on the right exists by taking $\mathcal{M} = \mathcal{O}_X$ in our assumption. In particular, we observe that

$$vol(\mathcal{L}) = vol(L) + vol_{\mathcal{L}}(\mathcal{N}).$$

Case 2: Suppose now that for all $n > 0$ the restriction map

$$H^0(X, \mathcal{A}^{-1} \otimes \mathcal{L}^n) \rightarrow H^0(X_{red}, \mathcal{A}^{-1} \otimes (\mathcal{L}|_{X_{red}})^n)$$

is the zero map. After tensoring (4.1) with $\mathcal{A}^{-1} \otimes \mathcal{L}^n$ and taking global sections we see that this implies that

$$H^0(X, \mathcal{N} \otimes \mathcal{A}^{-1} \otimes \mathcal{L}^n) = H^0(X, \mathcal{A}^{-1} \otimes \mathcal{L}^n)$$

for all $n > 0$. Since $vol_{\mathcal{L}}(\mathcal{N} \otimes \mathcal{A}^{-1})$ exists by assumption, we have that the limits

$$\lim_{n \rightarrow \infty} \frac{h^0(X, \mathcal{N} \otimes \mathcal{A}^{-1} \otimes \mathcal{L}^n)}{n^d} = \lim_{n \rightarrow \infty} \frac{h^0(X, \mathcal{A}^{-1} \otimes \mathcal{L}^n)}{n^d}$$

exist.

Now we tensor the short exact sequence (4.2) with \mathcal{L}^n to get

$$0 \rightarrow \mathcal{A}^{-1} \otimes \mathcal{L}^n \rightarrow \mathcal{L}^n \rightarrow \mathcal{O}_H \otimes \mathcal{L}^n \rightarrow 0.$$

Since the scheme H is $(d-1)$ -dimensional, by [5, Proposition 1.31], $h^0(H, (\mathcal{L}|_H)^n)$ can grow at most as n^{d-1} . Thus, after taking global sections we see that the limit

$$\lim_{n \rightarrow \infty} \frac{h^0(X, \mathcal{L}^n)}{n^d} = \lim_{n \rightarrow \infty} \frac{h^0(X, \mathcal{A}^{-1} \otimes \mathcal{L}^n)}{n^d}$$

exists and in this case

$$vol(\mathcal{L}) = vol_{\mathcal{L}}(\mathcal{N} \otimes \mathcal{A}^{-1}).$$

□

5. PROOF OF THEOREM 1.4

As a first step towards proving Theorem 1.4, we address the case where the subscheme Y has an invertible ideal sheaf.

Proposition 5.1. *Let X be a nonsingular projective variety over an arbitrary field. Let D be an effective divisor. Regard D as a closed subscheme of X with ideal sheaf $\mathcal{O}_X(-D)$ and let \mathcal{L} be a invertible sheaf on D . Then the volume of \mathcal{L} exists as a limit.*

We begin by proving this result in the special case where D has a single irreducible component.

Proposition 5.2. *Let X be a nonsingular projective variety over an arbitrary field and let E be a prime divisor on X . For $m \in \mathbb{N}^+$, let mE be the closed subscheme of X with ideal sheaf $\mathcal{O}_X(-mE)$. Let \mathcal{L} be an invertible sheaf on mE . Then, the volume of \mathcal{L} exists as a limit.*

Proof. We proceed by induction on m , where the case $m = 1$ follows from [2, Proposition 8.1] since E is a projective variety. Fix some $m > 1$. Let us begin by noticing that since X is nonsingular, the nilradical \mathcal{N} of the scheme mE is equal to $\mathcal{O}_X(-E) \otimes \mathcal{O}_{(m-1)E}$. Thus, we can regard \mathcal{N} as an invertible $\mathcal{O}_{(m-1)E}$ -module.

By Proposition 4.1, it is enough to prove that $vol_{\mathcal{L}}(\mathcal{N} \otimes \mathcal{M})$ exists as a limit for any invertible sheaf \mathcal{M} on mE . By induction, $vol(\mathcal{L}|_{(m-1)E})$ exists as a limit. Furthermore, the sheaf $\mathcal{N} \otimes \mathcal{M}$ is an invertible $\mathcal{O}_{(m-1)E}$ -module. By Lemma 3.5,

$$vol_{\mathcal{L}|_{(m-1)E}}(\mathcal{N} \otimes \mathcal{M}) = vol(\mathcal{L}|_{(m-1)E})$$

and these volumes exist as limits. Now, for any $n \in \mathbb{N}$, since \mathcal{N} is both an \mathcal{O}_{mE} -module and an $\mathcal{O}_{(m-1)E}$ -module, we have that

$$H^0(mE, \mathcal{N} \otimes \mathcal{M} \otimes \mathcal{L}^n) = H^0((m-1)E, \mathcal{N} \otimes \mathcal{M} \otimes (\mathcal{L}|_{(m-1)E})^n)$$

and hence the limit

$$vol_{\mathcal{L}}(\mathcal{N} \otimes \mathcal{M}) = \lim_{n \rightarrow \infty} \frac{H^0(mE, \mathcal{N} \otimes \mathcal{M} \otimes \mathcal{L}^n)}{n^d} = vol_{\mathcal{L}|_{(m-1)E}}(\mathcal{N} \otimes \mathcal{M})$$

exists. Moreover, we see that

$$vol_{\mathcal{L}}(\mathcal{N} \otimes \mathcal{M}) = vol(\mathcal{L}|_{(m-1)E}).$$

□

Proof of Proposition 5.1. Consider an arbitrary effective divisor $D = \sum_{i=1}^t a_i E_i$ on X . We have an exact sequence

$$(5.1) \quad 0 \rightarrow \mathcal{K} \rightarrow \mathcal{O}_D \rightarrow \bigoplus_{i=1}^t \mathcal{O}_{a_i E_i} \rightarrow \mathcal{C} \rightarrow 0.$$

We claim that $\mathcal{K} = 0$ and \mathcal{C} is supported in dimension at most $d - 1$. Take $p \in D$ and let f_i be a local equation for E_i at p . The stalk at p of the map $\mathcal{O}_D \rightarrow \bigoplus_i \mathcal{O}_{a_i E_i}$ is

$$\frac{\mathcal{O}_{X,p}}{(f_1^{a_1} \cdots f_t^{a_t})} \rightarrow \bigoplus_{i=1}^t \frac{\mathcal{O}_{X,p}}{(f_i^{a_i})},$$

which is an injection since $\mathcal{O}_{X,p}$ is a UFD and the f_i are irreducible elements. Further, let $p \in E_j \setminus \cup_{i \neq j} E_i$. Then, except for f_j , all the f_i become units in $\mathcal{O}_{X,p}$ and hence the map $\mathcal{O}_{D,p} \rightarrow \bigoplus_i \mathcal{O}_{a_i E_i, p}$ is an isomorphism. Thus, $\text{supp}(\mathcal{C}) \subset \cup_{i \neq j} (E_i \cap E_j)$. Each volume $\text{vol}(\mathcal{L}|_{a_i E_i})$ exists as a limit by Proposition 5.2 and so $\text{vol}(\mathcal{L} \otimes (\bigoplus_i \mathcal{O}_{a_i E_i})) = \sum_i \text{vol}(\mathcal{L}|_{a_i E_i})$ exists as a limit. Thus we can apply Lemma 3.1 to the exact sequence (5.1) to see that

$$\text{vol}(\mathcal{L}) = \sum_{i=1}^t \text{vol}(\mathcal{L}|_{a_i E_i})$$

exists as a limit. □

We are now ready to give the proof of Theorem 1.4. Recall that X is a nonsingular projective variety over an arbitrary field and Y is a closed subscheme of X of codimension 1. We have that $\dim Y = d$ so that $\dim X = d + 1$.

Proof of Theorem 1.4. Let $\mathcal{I}_Y \subset \mathcal{O}_X$ be the ideal sheaf of Y . By Lemma 3.6, $\mathcal{I}_Y = \mathcal{O}_X(-D)\mathcal{J}$ where $D > 0$ and $\text{codim}(\text{supp}(\mathcal{O}_X/\mathcal{J})) \geq 2$. The natural morphism of \mathcal{O}_Y -modules

$$\frac{\mathcal{O}_X}{\mathcal{O}_X(-D)\mathcal{J}} \rightarrow \frac{\mathcal{O}_X}{\mathcal{O}_X(-D)} \oplus \frac{\mathcal{O}_X}{\mathcal{J}}$$

is an isomorphism away from the support of $\mathcal{O}_X/\mathcal{J}$. Moreover, we have that $\text{vol}_{\mathcal{L}}(\mathcal{O}_X/\mathcal{O}_X(-D)) = \text{vol}(\mathcal{L}|_D)$ exists as a limit by Proposition 5.1 and $\text{vol}_{\mathcal{L}}(\mathcal{O}_X/\mathcal{J}) = 0$ by [5, Proposition 1.31]. Thus, by Corollary 3.2, the volume of \mathcal{L} exists as a limit and

$$\text{vol}(\mathcal{L}) = \text{vol}(\mathcal{L}|_D).$$

□

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