

# Work-in-Progress: Strong APA Scheduling in a Real-Time Operating System

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## ABSTRACT

Arbitrary processor affinities are used in multiprocessor systems to specify the processors on which a task can be scheduled. However, affinity constraints can prevent some high priority real-time tasks from being scheduled, while lower priority tasks execute. This paper presents an implementation and evaluation of the Strong Arbitrary Processor Affinity scheduling on a real-time operating system, an approach that not only respects user-defined affinities, but also supports migration of a higher priority task to allow execution of a task limited by affinity constraints. Results show an improvement in response and turnaround times of higher priority tasks.

## CCS CONCEPTS

• Computer systems organization → Real-time operating systems.

## KEYWORDS

RTOS, RTEMS, SMP, APA Scheduling

## 1 INTRODUCTION

In symmetric multiprocessing (SMP) systems, each processor has direct access to system resources and is treated as an independent unit by the operating system (OS). Many OSs using SMP also allow setting a *processor affinity* for a task, i.e., the set of processors that can execute it. Affinity scheduling reduces task migrations and can result in better performance. However, it can also affect the system's schedulability: a task can miss its deadline if all processors in its affinity set are executing higher priority tasks, even if there is an idle processor in the affinity set of a higher priority task.

In this work, we present the implementation of an arbitrary processor affinity (APA) scheduler on the Real-Time Executive for Multiprocessor Systems (RTEMS) that allows shifting of tasks without violating original affinity restrictions, thus improving the response-time and turnaround times for higher priority tasks. RTEMS is an SMP-supported POSIX-compliant real-time OS that supports task affinity through its SMP framework from the application layer using *rtems\_task\_set\_affinity()* [1]. In contrast, the Priority Affinity

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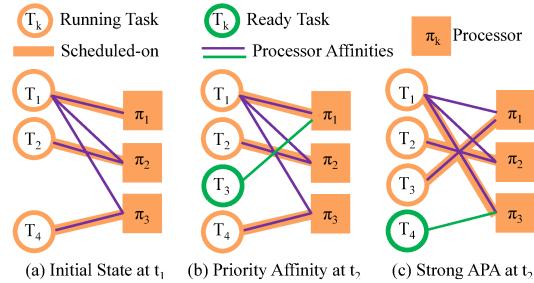
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**Figure 1: Priority Affinity vs. Strong APA Scheduling:** At time  $t_1$  (a),  $T_1, T_2$  and  $T_4$  are assigned to  $\pi_1, \pi_2$ , and  $\pi_3$  respectively. (b) Weak APA:  $T_3$  is blocked by  $T_1$  executing on  $\pi_1$ . (c) Strong APA:  $T_1$  migrates to  $\pi_3$ , blocks  $T_4$  and  $T_3$  gets  $\pi_1$

Scheduler in RTEMS guarantees a task is scheduled on a processor in its affinity set, but does not migrate higher priority tasks executing on a processor in the affinity set of a newly arrived task.

Affinity scheduling has multiple use cases such as providing security against cache side-channel attacks and maintaining cache locality. Migration allows an arriving task to dislodge a running task from its current processor to another processor in its affinity. In Weak APA, a task cannot be scheduled if all processors in its affinity are executing higher-priority tasks. Strong APA allows the scheduling of a lower priority task with affinity constraints by dislodging higher-priority tasks to other processors in their affinity set [2]. In this work, we consider a system with a set of  $n$  real-time tasks that run on a set of  $m$  identical processors  $\Pi = \{\pi_1, \dots, \pi_m\}$ . Each task  $T_i$  ( $1 \leq i \leq n$ ) has a user-defined affinity  $\alpha_i \subseteq \Pi$ , a scheduler node  $N_i$ , and a priority  $prio_i$ . Fig. 1 illustrates the difference between Weak APA and Strong APA scheduling with  $n = 4$  and  $m = 3$ . Priorities are in decreasing order (i.e.,  $T_1$  has highest priority).  $\alpha_1, \alpha_2, \alpha_3$  and  $\alpha_4$  are  $\{\pi_1, \pi_2, \pi_3\}, \{\pi_2\}, \{\pi_1\}$ , and  $\{\pi_3\}$ , respectively.  $T_1, T_2, T_4$  are released at time  $t_1$ , and  $T_3$  at time  $t_2$  ( $t_2 > t_1$ ).

## 2 STRONG APA SCHEDULER ON RTEMS

At a task arrival or departure, the Strong APA scheduler uses task reachability to update the scheduled task set.

*Definition 2.1.* A *minimum reachable unit* is a triplet  $U_i^j = \langle T_i, \pi_k, T_j \rangle$  consisting of a *source task*  $T_i$ , a *destination task*  $T_j$ , and a processor  $\pi_k$  such that  $\pi_k \in \alpha_i \cap \alpha_j$ . A task  $T_j$  is called a *reachable task* from  $T_i$ , if there exists an ordered reachability set  $R_{i,j} = \{U_i^a, U_a^b, \dots, U_c^d, U_d^j\}$ , such that the ordered set  $|R_{i,j}| > 0$  and  $\{a, b, \dots, c, d\} \in [1, n]$ .

**Task Arrival:** When a task  $T_i$  arrives, the scheduler finds  $R_{i,lo}$ , such that  $\forall U_a^b \in R_{i,lo}$ ,  $T_b$  is executing on processor  $\pi_k \in U_a^b$ , and  $T_{lo}$  is the lowest priority scheduled reachable task. The handling of task

**Algorithm 1** \_Scheduler\_strong\_APAs.Enqueue

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<b>Input:</b> $T_i$	<b>Output:</b> $stat$
1: $cpu\_to\_preempt \leftarrow \text{nil}$ , $front \leftarrow 0$ , $rear \leftarrow -1$	
2: $prio_{lo} \leftarrow \text{HIGHEST\_PRIORITY\_IN\_SYSTEM}$	
3: <b>for</b> $\pi_i \in \alpha_i$ <b>do</b>	
4:   queue[ $++rear$ ] $\leftarrow \pi_i$ , mark $\pi_i$ as visited	
5:   preempting_node( $\pi_i$ ) $\leftarrow N_i$	
6: <b>while</b> $front \leq rear$ <b>do</b>	
7: $\pi_{cur} \leftarrow \text{queue}[front + 1]$ , $T_{cur} \leftarrow \text{Task running on } \pi_{cur}$	
8: <b>if</b> $prio_{cur} < prio_{lo}$ <b>then</b>	
9: $prio_{lo} \leftarrow prio_{cur}$ , $cpu\_to\_preempt \leftarrow \pi_{cur}$	
10: <b>for</b> $\pi_t \in \alpha_{cur}$ <b>do</b>	
11: <b>if</b> $T_{cur} \neq \text{Idle\_task}$ <b>and</b> $\pi_t$ not visited <b>then</b>	
12:       queue[ $++rear$ ] $\leftarrow \pi_t$ , mark $\pi_t$ as visited	
13:       preempting_node( $\pi_t$ ) $\leftarrow N_{cur}$	
14: <b>if</b> ( $prio_{lo} > prio_i$ ) <b>then</b> $stat \leftarrow \text{Add } N_i \text{ to Ready Queue}$	
15: <b>else</b>	
16: $N_{preempt} \leftarrow \text{preempting\_node}(cpu\_to\_preempt)$	
17: <b>while</b> $N_{preempt} \neq N_i$ <b>do</b>	
18: $next\_cpu\_to\_preempt \leftarrow \text{cpu running } N_{preempt}$	
19: $\text{Preempt}(cpu\_to\_preempt, N_{preempt})$	
20: $cpu\_to\_preempt \leftarrow next\_cpu\_to\_preempt$	
21: $N_{preempt} \leftarrow \text{preempting\_node}(cpu\_to\_preempt)$	
22: $stat \leftarrow \text{Add } N_i \text{ to Scheduled Queue}$	

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arrival is shown in Alg. 1. If  $prio_{lo} < prio_i$ , the scheduler preempts  $T_{lo}$  and allocates the processor from the first element of  $R_{i,lo}$  to  $T_i$  by shifting the tasks along the path based on  $R_{i,lo}$ . On  $T_i$ 's arrival, the scheduler calls *\_Scheduler\_strong\_APAs.Enqueue()* which maintains a queue of processors initialized with  $\alpha_i$  (lines 2 to 5). To find  $T_{lo}$ , for each processor  $\pi_{cur}$  in the queue, if the task  $T_{cur}$  running on  $\pi_{cur}$  is the lowest priority task seen so far, then  $\pi_{cur}$  is marked for preemption. Further, if  $T_{cur}$  is not the idle task, then each processor  $\pi_t \in \alpha_{cur}$  is inserted to the queue and  $N_{cur}$  marked as the preempting node for  $\pi_t$  (lines 6 to 13). Once  $T_{lo}$  is identified, if its priority is higher than  $T_i$ 's, then  $T_i$  is enqueued to the ready queue (line 13). Otherwise  $T_i$  is assigned a processor by backtracking from  $T_{lo}$ 's processor: *cpu\_to\_preempt* (lines 15 to 21).

**Task Departure:** When task ( $T_{depart}$ ) departs processor ( $\pi_{depart}$ ), Alg. 2 finds  $R_{depart,hi}$ , such that  $\forall U_a^b \in R_{depart,hi}$ ,  $T_a$  is executing on processor  $\pi_k \in U_b^a$ , and  $T_{hi}$  is the highest priority ready reachable task. The scheduler schedules  $T_{hi}$  and allocates a task to  $\pi_{depart}$  by shifting the tasks along the path based on  $R_{depart,hi}$ .

A queue of processors is maintained and initialized with  $\pi_{depart}$ . For each processor  $\pi_{frt}$  in the queue, the function identifies any task  $T_{cur}$  in the system that has  $\pi_{frt}$  in its affinity. If  $T_{cur}$  is scheduled, then the processor that it is executing on,  $\pi_{cur}$ , is inserted into the queue. Else, if  $T_{cur}$  is the highest priority ready task witnessed so far, it is marked as ( $T_{hi}$ ). In both cases,  $\pi_{frt}$  is marked as the cpu that  $N_{cur}$  would preempt (lines 3 to 10). Once  $T_{hi}$  is identified, it is allocated a processor by backtracking through the path from  $T_{hi}$  to  $\pi_{depart}$ , allocating a task to  $\pi_{depart}$  as well (lines 12 to 17).

**Evaluation and Preliminary Results.** We evaluated the Strong APA implementation on QEMU with ARM target *realview-pbx-a9*.

**Algorithm 2** \_Scheduler\_strong\_APAs.Schedule\_highest\_ready

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<b>Input:</b> $T_{depart}$ , $\pi_{depart}$
1: $front \leftarrow 0$ , $rear \leftarrow 0$ , $queue[rear] \leftarrow \pi_{depart}$
2: $prio_{hi} \leftarrow \text{LOWEST\_PRIORITY\_IN\_SYSTEM}$
3: <b>while</b> $front \leq rear$ <b>do</b>
4: $\pi_{frt} \leftarrow queue[front + 1]$
5: <b>for</b> each task $T_{cur}$ such that $\pi_{frt} \in \alpha_{cur}$ <b>do</b>
6: <b>if</b> $T_{cur}$ is scheduled <b>then</b>
7: $\pi_{cur} \leftarrow \text{Processor } T_{cur} \text{ is executing on}$
8:       queue[ $++rear$ ] $\leftarrow \pi_{cur}$ , $N_{cur}.to\_preempt \leftarrow \pi_{frt}$
9: <b>else if</b> $prio_{cur} > prio_{hi}$ <b>then</b> $prio_{hi} = prio_{cur}$
10: $T_{hi} \leftarrow T_{cur}$ ; $N_{cur}.to\_preempt \leftarrow \pi_{frt}$
11: $cur\_node \leftarrow N_{hi}$ , $cur\_cpu \leftarrow N_{cur\_node}.to\_preempt$
12: <b>while</b> $cur\_cpu \neq \pi_{depart}$ <b>do</b>
13: $next\_node \leftarrow \text{Node for task scheduled on } cur\_cpu$
14: $\text{Preempt}(cur\_cpu, cur\_node)$
15: $cur\_node \leftarrow next\_node$
16: $cur\_cpu \leftarrow N_{cur\_node}.to\_preempt$
17: $\text{Preempt}(cur\_cpu, cur\_node)$

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Task	Avg. Response time(in $\mu$ s)		Avg. Turnaround time(in $\mu$ s)	
	PA	SAPA	PA	SAPA
1	141.05	306.95	3086783.75	3230183.83
2	207.95	614.78	3197499.71	3136835.88
3	3088997.91	198.45	6373255.57	3169505.46
4	134.72	325.54	3175646.44	6160782.63

**Table 1: Response Time and Turnaround Time**

We compared the average response time and average turnaround time of the tasks under Priority Affinity (PA) and Strong APA (SAPA) scheduling. Table 1 shows average times over 100 runs. We note a stark difference in the response time of  $T_3$  due to the fact that  $T_3$  was blocked by  $T_1$  in PA scheduling, while SAPA allowed  $T_1$  to migrate to  $\pi_3$ , allowing  $T_3$  to execute. Similarly, the average turnaround time demonstrates that  $T_3$  is scheduled when it arrives with SAPA scheduling and  $T_4$ , which is the lower priority task, was blocked until  $T_1$  finished its execution.

### 3 CONCLUSION AND FUTURE WORK

We presented an implementation of Strong APA scheduling on the RTEMS real-time OS. The evaluation results show that Strong APA scheduling has lower response and turnaround times for higher priority tasks. As a next step, we intend to evaluate context switch overhead due to task migration and the performance of the scheduler over a large task set.

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