

PHYSICS

Interfacial charge transfer and persistent metallicity of ultrathin SrIrO₃/SrRuO₃ heterostructures

Jocienne N. Nelson^{1†}, Nathaniel J. Schreiber², Alexandru B. Georgescu^{3,4}, Berit H. Goodge⁵, Brendan D. Faeth¹, Christopher T. Parzyck¹, Cyrus Zeledon^{2‡}, Lena F. Kourkoutis^{5,6}, Andrew J. Millis^{3,7}, Antoine Georges^{3,8,9,10}, Darrell G. Schlom^{2,6,11}, Kyle M. Shen^{1,6*}

Interface quantum materials have yielded a plethora of previously unknown phenomena, including unconventional superconductivity, topological phases, and possible Majorana fermions. Typically, such states are detected at the interface between two insulating constituents by electrical transport, but whether either material is conducting, transport techniques become insensitive to interfacial properties. To overcome these limitations, we use angle-resolved photoemission spectroscopy and molecular beam epitaxy to reveal the electronic structure, charge transfer, doping profile, and carrier effective masses in a layer-by-layer fashion for the interface between the Dirac nodal-line semimetal SrIrO₃ and the correlated metallic Weyl ferromagnet SrRuO₃. We find that electrons are transferred from the SrIrO₃ to SrRuO₃, with an estimated screening length of $\lambda = 3.2 \pm 0.1$ Å. In addition, we find that metallicity is preserved even down to a single SrIrO₃ layer, where the dimensionality-driven metal-insulator transition typically observed in SrIrO₃ is avoided because of strong hybridization of the Ir and Ru t_{2g} states.

INTRODUCTION

Ultrathin quantum materials present a unique platform for the control of electronic, magnetic, and topological properties. A commonly observed phenomenon in many ultrathin complex oxides and topological semimetals is that a crossover from a metallic to insulating state occurs below a critical thickness (1–3). This presents a potential challenge for realizing ultrathin heterostructures of quantum materials where metallic properties are desirable. Here, we show that this thickness-driven metal-insulator transition can be avoided even in the single unit cell limit in certain ultrathin oxide heterostructures.

We investigate the interface between SrIrO₃ and SrRuO₃, which has been of recent interest due to the observation of the topological Hall effect (4), suggesting the presence of skyrmions induced by Dzyaloshinskii-Moriya interactions (5), and may have potential for spintronic devices (6) due to the large spin Hall effect in SrIrO₃ (7). SrRuO₃ is a correlated Weyl ferromagnet (8), which gives rise to k -space magnetic monopoles in the Berry curvature (9) and for which a chiral anomaly has been recently reported (10). SrIrO₃ is a 5d Dirac nodal-line semimetal (11, 12), formed through the confluence of strong spin-orbit interactions, Coulomb interaction, and octahedral rotations. The topological nature of SrIrO₃ and SrRuO₃ suggest that their properties will be sensitive to the location of the Fermi energy (E_F) with respect to the Dirac nodal line in SrIrO₃ or the Weyl points

in SrRuO₃. Hole doping SrIrO₃, in particular, is an attractive target, because the nodal points are only 50 meV below E_F but has been challenging to achieve in iridates through chemical substitution (13), thus interfacial charge transfer could be a promising alternative. Furthermore, the interplay of magnetism, spin-orbit coupling, electron correlations, and octahedral rotations make accurate theoretical predictions about the SrIrO₃/SrRuO₃ interface challenging.

In this work, heterostructures of n layers of SrIrO₃ are synthesized on bulk-like 20-unit cell-thick SrRuO₃ films by molecular beam epitaxy (MBE), where n is varied from 1 to 8. Using angle-resolved photoemission spectroscopy (ARPES), we investigate the evolution of the electronic structure of the topmost SrIrO₃ layer as it progresses away from the interface. Notably, a bulk-like metallic electronic structure is preserved down to the $n = 1$ limit, allowing us to isolate the impact of interfacial doping, where we find that approximately 0.5 electrons per Ir are transferred from the interfacial SrIrO₃ layer to SrRuO₃, shifting the E_F of SrIrO₃ downward by approximately 80 meV and moving the Dirac node from below to above E_F . This charge transfer is confined within the first two unit cells of SrIrO₃, with an estimated screening length of $\lambda = 3.2 \pm 0.1$ Å. Last, the effective mass of the hole-like bands of SrIrO₃ are reduced with increased hole doping, revealing that the electronic structure of SrIrO₃ does not evolve in a perfectly rigid-band fashion.

RESULTS

Sample synthesis and characterization

SrIrO₃/SrRuO₃ heterostructures were synthesized by MBE. SrRuO₃ films were deposited on LSAT substrates, followed by n layers of SrIrO₃, described in Materials and Methods. Scanning transmission electron microscopy (STEM) images of (SrIrO₃) _{n} /(SrRuO₃)₂₀ heterostructures ($n = 1$ and 2) are shown in Fig. 1 (D and E), demonstrating precise control over the atomic thickness. After growth, samples were transferred through an ultrahigh vacuum manifold (pressure better than 10^{-10} torr) into an analysis chamber where ARPES, x-ray photoemission spectroscopy (XPS), and low-energy electron diffraction (LEED) measurements were performed. Figure 1A shows a

¹Laboratory of Atomic and Solid State Physics, Department of Physics, Cornell University, Ithaca, NY 14853, USA. ²Department of Materials Science and Engineering, Cornell University, Ithaca, NY 14853, USA. ³Center for Computational Quantum Physics, Flatiron Institute, New York, NY 10010, USA. ⁴Department of Materials Science and Engineering, Northwestern University, Evanston, IL 60208, USA. ⁵School of Applied and Engineering Physics, Cornell University, Ithaca, NY 14853, USA. ⁶Kavli Institute at Cornell for Nanoscale Science, Ithaca, NY 14853, USA. ⁷Department of Physics, Columbia University, New York, NY 10027, USA. ⁸Collège de France, 11 place Marcelin Berthelot, 75005 Paris, France. ⁹CPHT, CNRS, Ecole Polytechnique, IP Paris, F-91128 Palaiseau, France. ¹⁰DQMP, Université de Genève, 24 quai Ernest Ansermet, CH-1211 Genève, Suisse. ¹¹Leibniz-Institut für Kristallzüchtung, Max-Born-Str. 2, 12489 Berlin, Germany.

*Corresponding author. Email: kshen@cornell.edu

†Present address: National Renewable Energy Laboratory, Golden, CO 80401, USA.

‡Present address: Pritzker School of Molecular Engineering, University of Chicago, Chicago, IL 60637, USA.

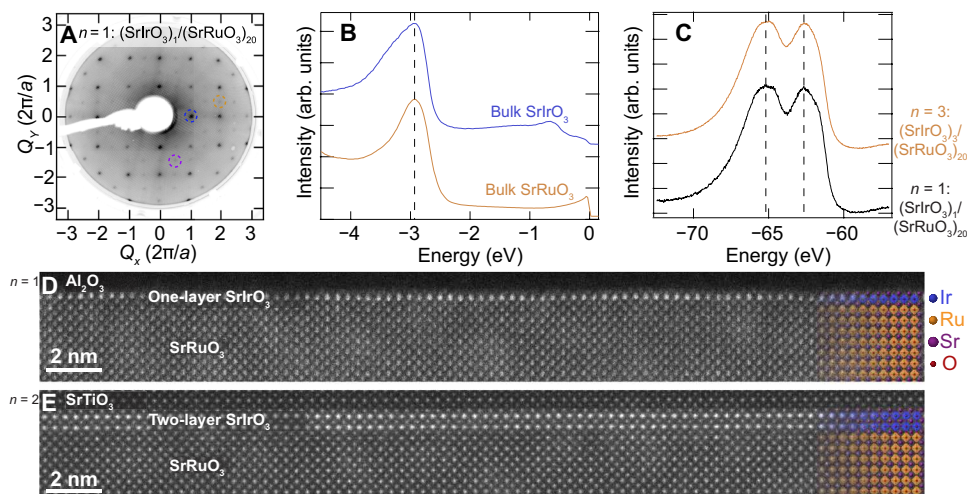


Fig. 1. Interface between SrIrO_3 and SrRuO_3 . (A) LEED measurement of $(\text{SrIrO}_3)_1/(\text{SrRuO}_3)_{20}$ ($n=1$) with 200-eV electrons at 35 K, with the blue circle highlighting the main cubic peaks, purple circle indicating peaks consistent with $\sqrt{2} \times \sqrt{2}$ $R45^\circ$ octahedral rotations, and yellow circle indicating peaks corresponding to $c2 \times 2$ octahedral rotations. (B) Valence band spectra from bulk-like 24-nm-thick SrRuO_3 (yellow) and 8-nm-thick SrIrO_3 (blue) films. (C) XPS measurements of the Ir 4f core levels from $n=1$ (black) and 3 (yellow), with dashed lines in (B) and (C) as guides to the eye. (D and E) High-angle annular dark-field scanning transmission electron microscopy (HAADF-STEM) images of $n=1$ and 2 heterostructures measured along the $[100]_p$ direction, where p indicates the pseudocubic basis. This shows precise heterostructures with smooth and abrupt interfaces and with the SrIrO_3 layers terminated by the expected SrO layer. Samples were capped with either amorphous Al_2O_3 or crystalline SrTiO_3 following ARPES measurements but before removal from UHV.

LEED image of an $n=1$ sample, demonstrating that the SrIrO_3 surface layer exhibits $(0.5, 0.5)$, $(\pm 0.5, 0)$, and $(0, \pm 0.5)$ diffraction spots (referenced in pseudocubic notation). This indicates that it has the same $Pnma$ space group and octahedral rotation pattern ($a^-b^+a^-$, Glazer notation) as that of bulk (fig. S4) (14, 15), although not necessarily with equal magnitude of rotations. In Fig. 1 (B and C), we show valence band and core level (XPS) measurements of the oxygen 2p and Ir 4f states, respectively. The difference in the relative position of the O 2p states has been used to estimate band alignment and charge transfer in oxide perovskite heterostructures (16), but here, the positions of the O 2p states in SrIrO_3 and SrRuO_3 appear to be nearly identical. Furthermore, there is little observable shift in the Ir 4f core levels as a function of the SrIrO_3 layer thickness. Therefore, inferring charge transfer between SrRuO_3 and SrIrO_3 appears to be beyond the capability of conventional core level and valence band spectroscopy used for estimating charge transfer in semiconductors (17). This suggests that only direct measurements of the near- E_F electronic structure by ARPES can detect charge transfer at the heterointerface.

ARPES measurements

In Fig. 2, we present the evolution of the electronic structure of $(\text{SrIrO}_3)_n/(\text{SrRuO}_3)_{20}$ as a function of n , as measured by ARPES. Because of the short photoelectron mean free path (<1 nm) (18), these measurements are predominantly sensitive to only the topmost SrIrO_3 layer, as evidenced by the fact that no distinct SrRuO_3 bands (fig. S5) (19) can be resolved in the near- E_F ($E_B < 1$ eV) region, even for $n=1$. The Fermi surface map of the $n=8$ sample shows small electron pockets composed of Dirac fermions at $(\pi/2, \pi/2)$ and heavy hole pockets at $(\pi, 0)$ (again defined in pseudocubic notation), effectively identical to previous ARPES measurements of thick, bulk-like films of SrIrO_3 (15) and in good agreement with density functional theory (DFT) calculations [$k_z = 0.5\pi/c$, consistent with (15)]. We note that the features centered at $(0, 0)$ and (π, π) shows

weak photoemission intensity likely due to matrix element effects but are present in the ARPES data. With increasing binding energy, the electron pockets shrink and disappear, while the hole pockets expand as determined from intermediate energies and the E versus k dispersion. At $E_B = 80$ meV, because of the weak spectral intensity from the bands that are back-folded because of the bulk in-plane octahedral rotations, this approximates a circular contour. However, as highlighted in white (Fig. 2), the map actually consists purely of square hole pockets. This qualitative behavior is reproduced in the DFT calculations at higher binding energies when taking into account a mass renormalization (m^*/m_b) of 2, consistent with prior dynamical mean field theory calculations (20). This correction is estimated on the basis of the velocity of the linear Dirac dispersion, which forms the electron pockets centered at $(\pi/2, \pi/2)$, where $v_{F,DFT} \sim 2v_F$. We compare DFT and ARPES using the empirical formula $E_B = \frac{E_{DFT}}{2} - 0.035$ eV, which allows for reasonable agreement between DFT and ARPES on the size of the electron and hole pockets at each binding energy. Similar features are also observed for $n=3$ (also $n=5$; see fig. S6), suggesting that an undoped bulk-like electronic structure persists down to 3 SrIrO_3 pseudocubic unit cells from the SrRuO_3 interface. In contrast, ARPES spectra from $n=1$ exhibits a notable departure from the bulk-like $n \geq 3$ heterostructures, with a distinctive FS at E_F that is nearly identical to the intensity maps of bulk-like $n \geq 3$ at a binding energy of $E_B = 80$ meV, indicating a downward shift of the chemical potential, $\Delta\mu$, as the SrRuO_3 interface is approached with preservation of the bulk-like metallic SrIrO_3 state. Notably, no evidence of any gap or suppression of the spectral weight is observed in the $n=1$ sample (Fig. 4E), as might be expected for quasi-two-dimensional iridates, such as the Mott-insulating Sr_2IrO_4 . The $n=2$ heterostructure exhibits behavior intermediate between $n=1$ and 3. This shifting $\Delta\mu$ with decreasing n is also supported by the similarity of the intensity pattern at even higher binding energies (bottom row), where the intensity pattern at $E_B = 370$ meV for the bulk-like $n=8$ sample is closely

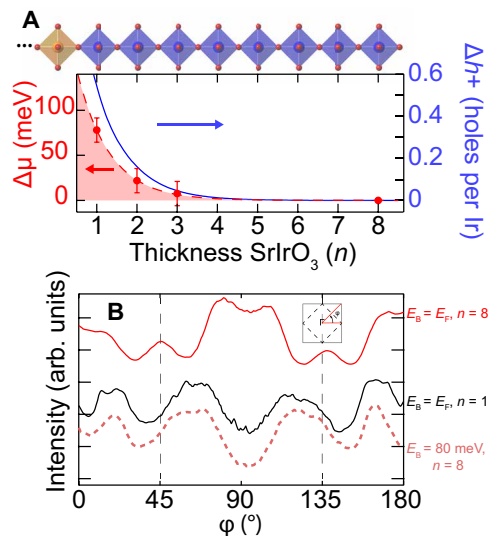


Fig. 3. Charge transfer and chemical potential shift at SrIrO_3 - SrRuO_3 interface. (A) Dependence of the shift of the chemical potential (red) and carrier concentration calculated by DFT (blue) on thickness. This illustrates that the $n \geq 3$ samples are approximately bulk like. (B) Intensity integrated along a circular path around the FS for the $n = 8$ sample (red solid lines), which is shifted vertically for clarity; this contrasts with the integrated intensity from the $n = 1$ FS (black) and the $n = 8$, $E_B = 80$ meV constant energy map (pink dashed lines), which display a similar angular dependence. The inset shows the Brillouin zone with the angle ϕ defined.

a shift of $\Delta\mu = 80$ meV, consistent with Fig. 3. This chemical potential shift pushes the Dirac node at $(\pi/2, \pi/2)$ (located 50 meV below E_F in bulk) slightly above E_F for $n = 1$. The ability to shift the Dirac node closer to E_F without substitutional disorder could be a promising approach to achieve very high mobilities in SrIrO_3 , as has been demonstrated in its sister compound, CaIrO_3 (21), where mobilities greater than $60,000 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ have been reported, ostensibly because of the proximity of the Dirac node to E_F (22). Nevertheless, a more detailed analysis of the hole pocket clearly shows that the electronic structure does not evolve purely in a simple, rigid-band fashion. In Fig. 4F, the dispersions around $(0, \pi)$ - $(0, 0)$ are plotted as a function of n , along with parabolic fits that are vertically shifted to match the top of the parabolas. This clearly demonstrates that the extracted effective mass, m^* ($E = E_0 - \hbar^2 k^2 / 2m^*$), decreases by nearly half when going from the bulk-like $n = 8$ heterostructure ($m^* = 1.6 \pm 0.2 m_e$) to the single layer $n = 1$ heterostructure ($m_1^* = 0.8 \pm 0.1 m_e$), summarized in Fig. 4G. We note that the effective mass of this band along this in-plane direction changes only by 6% as a function of k_z throughout the Brillouin zone.

DISCUSSION

As has now been well established, the rich electronic and magnetic properties of the iridates arise from a complex interplay of spin-orbit coupling, electron correlations, octahedral rotations, and dimensionality. Insulating behavior is generally observed in other quasi-two-dimensional iridates such as Sr_2IrO_4 and $\text{Sr}_3\text{Ir}_2\text{O}_7$ (23, 24) as well as in thin-film heterostructures such as ultrathin SrIrO_3 on SrTiO_3 (25, 26) or SrIrO_3 - SrTiO_3 superlattices (27, 28), raising the obvious question as to why our ultrathin SrIrO_3 heterostructure retain a highly metallic character, even for $n = 1$. The key distinction

is that, in the prior examples, the Ir 5d states are confined to two dimensions, either by double SrO rock salt layers or by an insulating SrTiO_3 substrate/blocking layers. In contrast, here, the SrRuO_3 “substrate” is highly metallic with similar near- E_F t_{2g} states that hybridize with the SrIrO_3 electronic states (11, 29). This prevents quantum confinement, which requires the substrate to have a gap in the available density of states with which the SrIrO_3 states could hybridize, either in an insulator with a full bandgap (e.g., SrTiO_3), a material with a gap along the film-substrate direction [(e.g., Ag/Au(111) heterostructures (30))] or from orbital symmetry considerations (30). In this way, although for $n = 1$ the SrIrO_3 layer is only a single unit cell thick from a structural and chemical perspective, its electronic wave function nevertheless retains a three-dimensional bulk-like character due to its extending into the SrRuO_3 layers beneath.

The hybridization of the Ir 5d states with the Ru t_{2g} states and subsequent preserved three-dimensionality not only explains why a dimensionality-driven metal-insulator transition is avoided but also explains the strong similarity of the ARPES spectra from the $n = 1$ heterostructure to the thicker, bulk-like samples. For instance, the FS map of the $n = 1$ sample is nearly identical to the ARPES intensity map from the bulk-like $n = 8$ sample at $E_B = 80$ meV. However, if the Ir 5d states were confined to two dimensions, then the $n = 1$ or 2 samples should have a radically different electronic structure than bulk SrIrO_3 , perhaps more closely resembling doped Sr_2IrO_4 or $\text{Sr}_3\text{Ir}_2\text{O}_7$ (13, 31–33). Our experimental observations are therefore entirely consistent with a three-dimensional bulk-like electronic structure being preserved even in the $n = 1$ limit. Furthermore, because the terminating layer is SrIrO_3 for all heterostructures, the work function and thus the inner potential and probed k_z value ($k_z = 0.5 \pi/c$) should be the same across all samples, consistent with our experimental observations.

In iridates, it has been established that the octahedral rotations and tilts are also strongly related to the electronic structure through the large spin-orbit coupling. Because SrRuO_3 exhibits a similar lattice constant as SrIrO_3 , the strain is minimized, and the octahedral rotations in SrIrO_3 should be much less perturbed than on materials that impart substantial strain (e.g., SrTiO_3). This is evidenced by the LEED patterns for all samples remaining unchanged from bulk all the way down to $n = 1$ (Fig. 1 and fig. S4), suggesting that both bulk SrIrO_3 and $(\text{SrIrO}_3)_1/(\text{SrRuO}_3)_{20}$ have the same pattern of octahedral rotations as bulk. This is likewise consistent with our DFT calculations for a $(\text{SrRuO}_3)_1/(\text{SrIrO}_3)_1$ superlattice, which displayed very minor changes in bond angles ($< 1^\circ$) relative to bulk SrIrO_3 (note S3). Last, because both SrIrO_3 and SrRuO_3 are nonpolar, the possibility of a polar reconstruction at the interface (e.g., $\text{LaAlO}_3/\text{SrTiO}_3$) can be eliminated.

Therefore, having ruled out quantum confinement/dimensionality, structural modification, and polar discontinuity effects as possibilities, we can then conclude that the observed interfacial charge transfer should be driven by the inherent band alignment between SrIrO_3 and SrRuO_3 . This is consistent with comparisons of the Schottky barrier heights of the $\text{SrRuO}_3/\text{Nb:SrTiO}_3(001)$ (34) and $\text{SrIrO}_3/\text{Nb:SrTiO}_3(001)$ (35), which indicate a small (≈ 30 meV) difference in work functions that would imply a transfer of electrons from the Ir to Ru layers. This is also consistent with the aforementioned DFT calculations on a $(\text{SrRuO}_3)_1/(\text{SrIrO}_3)_1$ superlattice (fig. S8), which also indicate a transfer of electrons from the Ir to the Ru layers. While these differences in work function and charge transfer by DFT are qualitatively consistent with our own conclusions, they are

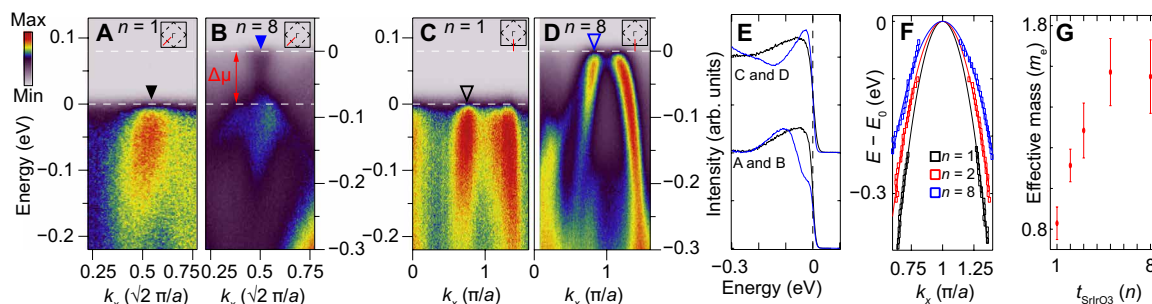


Fig. 4. Evolution of quasiparticle dispersion and effective mass with n . (A and B) Dispersion along $(0,0)-(\pi,\pi)$ for $n=1$ and 8 showing the shifting of the Dirac cone in bulk to above E_F for $n=1$. (C and D) Dispersion along $(0,0)-(0,\pi)$ for $n=1$ and 8 showing the evolution of the quasiparticle dispersion of the hole-like bands. (E) Energy distribution curves at the Fermi crossings in (A) to (D) as indicated by the black and blue triangles. (F) Extracted dispersion along $(0,0)-(0,\pi)$ for $n=1, 2$, and 8 together with a parabolic fit used to extract m^* from the curvature; dispersions are plotted relative to the band maximum. (G) Evolution of m^* with n .

also sufficiently small to underscore the need for high-resolution ARPES to provide a clear picture of the evolution of the electronic structure at the interface.

In summary, we have demonstrated a powerful approach for elucidating the evolution of the low-energy interfacial electron structure in a layer-by-layer fashion in correlated metallic heterostructures using ARPES. Our work reveals that electrons are transferred from SrIrO₃ to SrRuO₃, resulting in hole doping of the closest two SrIrO₃ layers to the SrIrO₃-SrRuO₃ interface, which may have implications to their topological and low-energy properties by pushing the Dirac node of SrIrO₃ close to E_F . Furthermore, we reveal that ultrathin layers of SrIrO₃ on SrRuO₃ unexpectedly do not exhibit a crossover to insulating behavior, as has been observed in layered iridates (Sr₂IrO₄ and Sr₃Ir₂O₇) or ultrathin heterostructures (SrIrO₃ on SrTiO₃ and SrIrO₃-SrTiO₃ superlattices), due to the strong hybridization of the Ir and Ru t_{2g} states. The enhanced metallicity (higher carrier density and lower effective mass) of a single unit cell of SrIrO₃ on SrRuO₃ is notable, given that it runs counter to the typical trend where metallicity is suppressed in ultrathin complex oxides and topological semimetals on insulating substrates [e.g., LaNiO₃ (1), SrRuO₃ (36), and Cd₃As₂ (2)]. The enhanced screening for $n=1$, potentially arising both from the increased carrier density in the Ir $5d$ orbitals and the highly metallic SrRuO₃, could be responsible for the reduced m^* , and possibly a decrease in the effective strength of electron correlations, possibly explaining why the interfacial SrIrO₃ layers do not evolve in a perfectly rigid-band fashion. This opens a new avenue for engineering the properties of complex oxides, topological semimetals, and other quantum materials in the ultrathin limit through the judicious selection of the appropriate interface properties and density of states.

MATERIALS AND METHODS

Thin-film growth

Epitaxial SrRuO₃ thin films 20 layers (approximately 8 nm) thick were grown using a Veeco GEN10 MBE system on single crystalline LSAT substrates at a substrate temperature of 650°C as measured by an optical pyrometer with a measurement wavelength of 1550 nm in a background partial pressure of 4×10^{-6} torr of distilled ozone (80% O₃ + 20% O₂). Strontium was evaporated using a low-temperature effusion cell at a flux of 1.5×10^{13} atom cm⁻² s⁻¹, while ruthenium was evaporated using an electron beam evaporator.

Strontium and ruthenium were codeposited with a Ru/Sr atomic ratio of 1.7-2 starting with an initial nucleation layer of one SrO monolayer before codepositing to avoid formation of ruthenium metal at the interface. Films were then transported in air to a second Veeco GEN10 MBE chamber, where a pristine surface was recovered by annealing the films at 300°C in a background partial pressure of 1×10^{-6} torr of distilled ozone (80% O₃ + 20% O₂) for 30 min (37). Subsequently, the substrate temperature was raised to 660°C (measured by an optical pyrometer with $\lambda = 980$ nm) for the growth of the SrIrO₃ layer. Strontium was supplied by a low-temperature effusion cell using a flux of 3×10^{12} to 1.3×10^{13} atom cm⁻² s⁻¹, and iridium was supplied using an electron beam evaporator. Strontium and iridium were codeposited with an Ir/Sr ratio of 1.2-1.7. All films were capped following measurement before removal from ultrahigh vacuum (UHV) by either amorphous Al₂O₃ or crystalline SrTiO₃. Additional details about the growth and sample characterization may be found in (15, 38) and note S1.

Photoemission measurements

Following SrIrO₃ growth, the samples were immediately transferred through a UHV manifold (pressures better than 10^{-10} torr) to an analysis chamber, where high-resolution ARPES measurements were performed using a VG Scienta R4000 electron analyzer and a VUV5000 helium plasma discharge lamp and monochromator. He I α ($h\nu = 21.2$ eV) photons with an energy resolution of $\Delta E = 11$ meV at a temperature of 15 K were used unless otherwise noted. XPS measurements were performed immediately after ARPES measurements in the same vacuum chamber using a Scienta Omicron DAR 400 twin anode Mg/Al x-ray source using Mg K α $h\nu = 1253.6$ eV photons with an energy resolution of $\Delta E = 0.625$ eV. LEED measurements were performed using a four-grid LEED optics (SPECS ErLEED 150) in a reflection geometry.

STEM images

Cross-sectional STEM specimens were prepared using the standard focused ion beam (FIB) lift-out process on Thermo Scientific Helios G4 UX FIB or an FEI Strata 400 FIB equipped with an OmniProbe AutoProbe 200 nanomanipulator. High-angle annular dark-field STEM (HAADF-STEM) images were acquired on an aberration-corrected 300 keV FEI Titan Themis with a probe convergence semiangle of 21.4 mrad and inner and outer collection angles of 68 and 340 mrad, respectively.

DFT calculations

The calculations were performed using Quantum ESPRESSO. The structures were relaxed imposing in-plane 1.8% compressive lattice strain along the *xy* direction relative to the freely relaxed bulk SrIrO₃. The final electronic calculations are performed using projector augmented wave pseudopotentials, using the Perdew-Burke-Ernzerhof functional and including spin-orbit coupling, a *k*-mesh of $10 \times 10 \times 8$, and 816 eV plane wave cutoff. We did not include an additional Hubbard *U*, as both previous work (15) and our own has found that it is not necessary to reproduce the main features of the FS of bulk SrIrO₃. To obtain the layer-projected FS, we performed a Wannierization of the Ru and Ir *t*_{2g} states around the Fermi level using Wannier90. Our calculated FSs are then obtained by projecting only the Ir *t*_{2g} atoms onto the FS. To best simulate the FS, we found a $k_z = 0.5 \pi/c$ to be optimal, in agreement with previous work (15), and use an energy renormalization of $E_B = \frac{E_{\text{DFT}}}{2} - 0.035$ eV. We found that the (SrRuO₃)₁/(SrIrO₃)₁ superlattice adequately reproduces the main features of the FS. To simulate the (SrRuO₃)₁/(SrIrO₃)₁ superlattice and bulk SrIrO₃, we performed 20 atom $c(2 \times 2) \times 2$ unit cell calculations. While we did perform slab calculations including one layer of SrIrO₃ followed by five layers of SrRuO₃ and vacuum, these were not as easy to interpret in a layer-by-layer basis because of the large number of Wannier functions and their relatively delocalized nature.

SUPPLEMENTARY MATERIALS

Supplementary material for this article is available at <https://science.org/doi/10.1126/sciadv.abj0481>

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- Materials (PARADIM) under Cooperative Agreement no. DMR-2039380 as well as grants no. DMR-1709255 and DMR-2104427. Additional support was provided through the Air Force Office of Scientific Research grants no. FA9550-15-1-0474 and FA9550-21-1-0168. J.N.N., N.J.S., and B.D.F. acknowledge support from the NSF Graduate Research Fellowship under grant no. DGE-1650441. C.T.P. acknowledges support from the Center for Bright Beams, NSF Award no. PHY-1549132 and from PARADIM (DMR-2039380). This research was also funded, in part, by the Gordon and Betty Moore Foundation's EPIQS Initiative through grant nos. GBMF3850 and GBMF9073 to Cornell University. Substrate preparation was performed, in part, at the Cornell NanoScale Facility, a member of the National Nanotechnology Coordinated Infrastructure (NNCI), which is supported by the NSF (grant no. NNCI-2025233). This work made use of the Cornell Center for Materials Research Shared Facilities, which are supported through the NSF MRSEC program (DMR-1719875). The FEI Titan Themis 300 was acquired through no. NSF-MRI-1429155, with additional support from Cornell University, the Weill Institute, and the Kavli Institute at Cornell. The Thermo Fisher Helios G4 UX FIB was acquired with support by NSF no. DMR-2039380. The Flatiron Institute is a division of the Simons Foundation. **Author contributions:** J.N.N. performed the ARPES measurements with assistance from N.J.S. and guidance from K.M.S. J.N.N. and N.J.S. synthesized the thin films with guidance from D.G.S. A.B.G. performed the DFT calculations with guidance from A.G. and A.J.M. B.H.G. performed the STEM imaging with guidance from L.F.K. B.D.F. performed the in situ van der Pauw transport measurements and, along with C.T.P., assisted in the acquisition of photoemission data. C.Z. assisted with the sample characterization. A.B.G., A.J.M., and A.G. helped to pose the problem. J.N.N. and K.M.S. conceived the project and wrote the manuscript with contributions from all authors. K.M.S. supervised the project. **Competing interests:** The authors declare that they have no competing interests. **Data and materials availability:** All data needed to evaluate the conclusions in the paper are present in the paper and/or the Supplementary Materials.

Acknowledgments

Funding: This work was primarily supported through the National Science Foundation (NSF) through the Platform for the Accelerated Realization, Analysis, and Discovery of Interface

Submitted 27 April 2021

Accepted 13 December 2021

Published 4 February 2022

10.1126/sciadv.abj0481

Interfacial charge transfer and persistent metallicity of ultrathin SrIrO/SrRuO heterostructures

Jocienne N. NelsonNathaniel J. SchreiberAlexandru B. GeorgescuBerit H. GoodgeBrendan D. FaethChristopher T. ParzyckCyrus ZeledonLena F. KourkoutisAndrew J. MillisAntoine GeorgesDarrell G. SchlomKyle M. Shen

Sci. Adv., 8 (5), eabj0481. • DOI: 10.1126/sciadv.abj0481

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