# On Explicit Constructions of Extremely Depth Robust Graphs

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### 11 — Abstract -

A directed acyclic graph G = (V, E) is said to be (e, d)-depth robust if for every subset  $S \subseteq V$  of 12  $|S| \leq e$  nodes the graph G - S still contains a directed path of length d. If the graph is (e, d)-depth-13 robust for any e, d such that  $e + d \leq (1 - \epsilon)|V|$  then the graph is said to be  $\epsilon$ -extreme depth-robust. 14 In the field of cryptography, (extremely) depth-robust graphs with low indegree have found numerous 15 applications including the design of side-channel resistant Memory-Hard Functions, Proofs of Space 16 and Replication and in the design of Computationally Relaxed Locally Correctable Codes. In these 17 applications, it is desirable to ensure the graphs are locally navigable, i.e., there is an efficient 18 19 algorithm GetParents running in time polylog |V| which takes as input a node  $v \in V$  and returns the set of v's parents. We give the first explicit construction of locally navigable  $\epsilon$ -extreme depth-robust 20 graphs with indegree  $O(\log |V|)$ . Previous constructions of  $\epsilon$ -extreme depth-robust graphs either 21 had indegree  $\tilde{\omega}(\log^2 |V|)$  or were not explicit. 22

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# **1** Introduction

A depth-robust graph G = (V, E) is a directed acyclic graph (DAG) which has the property 34 that for any subset  $S \subseteq V$  of at most e nodes the graph G - S contains a directed path of 35 length d, i.e., there is a directed path  $P = v_0, \ldots, v_d$  such that  $(v_i, v_{i+1}) \in E$  for each i < d36 and  $v_i \in V \setminus S$  for each  $i \leq d$ . As an example the complete DAG  $K_N = (V = [N], E =$ 37  $\{(i, j) : 1 \le i < j \le n\}$  has the property that it is (e, d)-depth-robust for any integers e, d38 such that  $e + d \leq N$ . Depth-robust graphs have found many applications in cryptography 39 including the design of data-independent Memory-Hard Functions (e.g., [1, 3]), Proofs of 40 Space [9], Proofs of Replication [15, 11] and Computationally Relaxed Locally Correctable 41 Codes [7]. In many of these applications it is desirable to construct depth-robust graphs with 42 low-indegree (e.g., indeg(G) = O(1) or  $indeg(G) = O(\log N)$ ) and we also require that the 43 graphs are *locally navigable*, i.e., given any node  $v \in V = [N]$  there is an efficient algorithm 44 GetParents(v) which returns the set  $\{u: (u, v) \in E\}$  containing all of v's parent nodes in time 45  $O(\operatorname{polylog} N)$ . It is also desirable that the graph is (e, d)-depth robust for e, d as large as 46 possible, e.g., the cumulative pebbling cost of a graph can be lower bounded by the product 47 ed and in the context of Memory-Hard Functions we would like to ensure that the cumulative 48 pebbling cost is as large as possible [5, 3]. Some cryptographic constructions rely on an even 49 stronger notion called  $\epsilon$ -extreme depth-robust graphs G = (V, E) which have the property of 50 being (e, d)-depth-robust for any integers e, d such that  $e + d \leq (1 - \epsilon)N$ , e.g., see [15, 14]. 51 Erdős, Graham, and Szemeredi [10] gave a randomized construction of (e, d)-depth-robust 52 graphs with  $e, d = \Omega(N)$  and maximum indegree  $O(\log N)$ . Alwen, Blocki, and Harsha [2] 53 modified this construction to obtain a locally navigable construction of (e, d)-depth-robust 54 graphs with constant indegree 2 for  $e = \Omega(N/\log N)$  and  $d = \Omega(N)$ . For any constant 55  $\epsilon > 0$ , Schnitger [17] constructed ( $e = \Omega(N), d = \Omega(N^{1-\epsilon})$ )-depth-robust graphs with 56 constant indegree — the indegree  $\mathsf{indeg}(G)$  does increase as  $\epsilon$  gets smaller. These results are 57 essentially tight as any DAG G which is  $\left(\frac{N \cdot i \cdot \operatorname{indeg}(G)}{\log N}, \frac{N}{2^i}\right)$ -reducible<sup>1</sup> for any  $i \ge 1$  [1, 18]. 58 If  $indeg(G) = o(\log N)$  then the graph cannot be (e, d)-depth robust with  $e, d = \Omega(N)$  and 59 similarly if  $indeg(G) = \Theta(1)$  plugging in  $i = O(\log \log N)$  demonstrates that G cannot be 60

61  $(e = \omega(N \log \log N / \log N), d = \omega(N))$ -depth-robust.

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All of the above constructions are randomized and do not yield explicit constructions of 63 depth-robust graphs. For example, the DRSample construction of [2] actually describes a 64 65 randomized distribution over graphs and proves that a graph sampled from the distribution is (e, d)-depth-robust with high probability. Testing whether a graph is actually (e, d)-depth-66 robust is computationally intractable [8, 6] so we cannot say that a particular sampled graph 67 is depth-robust with 100% certainty. In fact, it might be possible for a dishonest party to 68 build a graph G = (V, E) which looks like an honestly sampled depth-robust graph but 69 actually contains a small (secret) depth-reducing set  $S \subseteq V$ , i.e., such that G - S does not 70 contain any long paths. Thus, in many cryptographic applications one must assume that the 71 underlying depth-robust graphs were generated honestly. 72

<sup>73</sup> Li [13] recently gave an explicit construction of constant-indegree depth-robust graphs, <sup>74</sup> i.e., for any  $\epsilon > 0$ , Li constructs a family of graphs  $\{G_{N,\epsilon}\}$  such that each  $G_{N,\epsilon}$  has N nodes,

<sup>&</sup>lt;sup>1</sup> If a DAG G is not (e, d)-depth-robust we say that it is (e, d)-reducible, i.e., there exists some set  $S \subseteq V$  of size e such that G - S contains no directed path of length d.

constant indegree, and is  $(\Omega(N^{1-\epsilon}), \Omega(N^{1-\epsilon}))$ -depth-robust. The construction of Li [13] is 75 also locally navigable, but the graphs are not as depth-robust as we would like. Mahmoody, 76 Moran, and Vadhan [14] gave an explicit construction of an  $\epsilon$ -extreme depth-robust graph 77 for any constant  $\epsilon > 0$  using the Zig-Zag Graph Product constructions of [16]. However, the 78 maximum indegree is as large as  $\mathsf{indeg}(G) \leq \log^3 N$ . Alwen, Blocki, and Pietrzak [4] gave a 79 tighter analysis of [10] showing that the randomized construction of [10] yields  $\epsilon$ -extreme 80 depth-robust graphs with  $indeg(G) = O(\log N)$  although their randomized construction is 81 not explicit nor was the graph shown to be locally navigable. 82

# **1.1 Our Contributions**

We give explicit constructions of  $\epsilon$ -extreme depth-robust graphs with maximum indegree 84  $O(\log N)$  for any constant  $\epsilon > 0$  and we also give explicit constructions of  $(e = \Omega(N/\log N))$ , 85  $d = \Omega(N)$ -depth-robust graphs with maximum indegree 2. Both constructions are explicit 86 and locally navigable. In fact, our explicit constructions also satisfy a stronger property 87 of being  $\delta$ -local expanders. A  $\delta$ -local expander is a directed acyclic graph G which has 88 the following property: for any  $r, v \ge 0$  and any subsets  $X \subseteq A = [v, v + r - 1]$  and 89  $Y \subseteq B = [v+r, v+2r-1]$  of at least  $|X|, |Y| \geq \delta r$  nodes the graph G contains an edge (x, y)90 with  $x \in X$  and  $y \in Y$ . We remark that the construction of Computationally Relaxed Locally 91 Correctable Codes [7] relies on a family of  $\delta$ -local expanders which is a strictly stronger 92 property than depth-robustness — for any  $\epsilon > 0$ , there exists a constant  $\delta > 0$  such that any 93  $\delta$ -local expander automatically becomes  $\epsilon$ -extreme depth-robust [4]. 94

# **95** 1.2 Our Techniques

We first provide explicit, locally navigable, constructions of  $\delta$ -bipartite expander graphs 96 with constant indegree for any constant  $\delta > 0$ . A bipartite graph G = ((A, B), E) with 97 |A| = |B| = N is a  $\delta$ -bipartite expander if for any  $X \subseteq A$  and  $Y \subseteq B$  of size  $|X|, |Y| \ge \delta N$  the 98 bipartite graph G contains at least one edge  $(x, y) \in E$  with  $x \in X$  and  $y \in Y$ . The notion of 99 a  $\delta$ -bipartite expander is related to, but distinct from, classical notions of a graph expansion, 100 e.g., we say that G is an (N, k, d)-expander if  $\mathsf{indeg}(G) \leq k$  and for every subset  $X \subseteq A$  (resp. 101  $Y \subseteq B$  we have  $|\mathsf{N}(X)| \ge (1 + d - d|X|/N)|X|$  (resp.  $|\mathsf{N}(Y)| \ge (1 + d - d|Y|/N)|Y|$ ), where 102 N(X) is defined to be all of the neighbors of X, i.e.,  $N(X) \doteq \{y \in B : \exists x \in X \text{ s.t. } (x, y) \in E\}.$ 103 (Notation: We use N(X) (resp. N) to denote the neighbors of nodes in X (resp. number of 104 nodes in a graph/bipartition).) Erdös, Graham, and Szemeredi [10] argued that a random 105 degree  $k_{\delta}$  bipartite graph will be a  $\delta$ -bipartite expander with non-zero probability where the 106 constant  $k_{\delta}$  depends only on  $\delta$ . As a building block, we rely on an explicit, locally navigable, 107 construction of  $(n = m^2, k = 5, d = (2 - \sqrt{3})/4)$ -expander graphs for any integer m due to 108 Gabber and Galil [12]. For any constant  $\delta > 0$  we show how any (N, k, d)-expander graph 109 G with d < 0.5 and  $k = \Theta(1)$  can be converted into a  $\delta$ -bipartite expander graph G' with 110 N nodes and maximum indegree  $indeg(G') = \Theta(1)$ . Intuitively, the construction works by 111 "layering"  $\ell = \Theta(1)$  copies of the (N, k, d)-expander graphs and then "compressing" the layers 112 to obtain a bipartite graph G' with maximum indegree  $k' \leq k^{\ell}$  — paths from the bottom 113 layer to the top layer are compressed to individual edges. 114

<sup>115</sup> The depth-robust graph construction of Erdös et al. [10] uses  $\delta$ -bipartite expanders as a <sup>116</sup> building block. By swapping out the randomized (non-explicit) construction of  $\delta$ -bipartite <sup>117</sup> expanders with our explicit and locally navigable construction, we obtain a family of explicit <sup>118</sup> and locally navigable depth-robust graphs. Furthermore, for any  $\epsilon > 0$  we can apply the <sup>119</sup> analysis of Alwen et al. [4] to obtain explicit constructions of  $\epsilon$ -extreme depth-robust graphs

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<sup>120</sup> by selecting the constant  $\delta > 0$  accordingly. Finally, we can apply a standard indegree <sup>121</sup> reduction gadget of Alwen et al. [3] to obtain an  $(e = N/\log N, d = \Omega(N))$ -depth-robust <sup>122</sup> graph with indegree 2.

# 123 **2** Preliminaries

We use  $[N] = \{1, \ldots, N\}$  to denote the set of all integers between 1 and N and we typically 124 use V = [N] to denote the set of nodes in our graph. It is often convenient to assume that 125  $N = 2^n$  is a power of 2. Given a graph G = (V = [N], E) and a subset  $S \subseteq [N]$  we use G - S to 126 denote the graph obtained by deleting all nodes in S and removing any incident edges. Fixing 127 a directed graph G = (V = [N], E) and a node  $v \in V$ , we use  $\mathsf{parents}(v) = \{u : (u, v) \in E\}$ 128 to denote the parents of node v and we let  $indeg(G) = \max_{v \in [N]} |parents(v)|$  denote the 129 maximum indegree of any node in G. We say a DAG G is (e, d)-reducible if there exists a 130 subset  $S \subseteq [N]$  of  $|S| \leq e$  nodes such that G - S contains no directed path of length d. If G 131 is not (e, d)-reducible we say that G is (e, d)-depth-robust. 132

<sup>133</sup> We introduce the notion of a  $\delta$ -bipartite expander graph where the concept was first <sup>134</sup> introduced by [10] and used as a building block to construct depth-robust graphs. Note <sup>135</sup> that the specific name " $\delta$ -bipartite expander" was not used in [10]. We follow the notation <sup>136</sup> of [2, 4].

▶ Definition 1. A directed bipartite graph G = ((A, B), E) with |A| = |B| = N is called a δ-bipartite expander if and only if for any subset  $X \subseteq A, Y \subseteq B$  of size  $|X| \ge \delta N$  and  $|Y| \ge \delta N$  there exists an edge between X and Y.

<sup>140</sup> ► Remark 2. Observe that if G = ((A, B), E) is a δ-bipartite expander then for any subset <sup>141</sup>  $X \subseteq A$  with  $|X| \ge \delta N$  we must have  $|\mathsf{N}(X)| > (1 - \delta)N$  where  $\mathsf{N}(X) = \{y \in B : \exists x \in X \text{ s.t. } (x, y) \in E\}$  denotes the neighbors of X. If this were not the case then we could take <sup>143</sup>  $Y = B \setminus \mathsf{N}(X)$  and we have  $|Y| \ge \delta N$  and, by definition of Y, we have no edges between X <sup>144</sup> and Y contradicting the assumption that G is a δ-bipartite expander.

▶ Definition 3. A directed bipartite graph G = ((A, B), E) with |A| = |B| = N is called an (N, k, d)-expander if  $|E| \le kN$  and for every subset  $X \subseteq A$  (resp.  $Y \subseteq B$ ) we have  $|N(X)| \ge \left[1 + d\left(1 - \frac{|X|}{N}\right)\right] |X|$  (resp.  $|N(Y)| \ge \left[1 + d\left(1 - \frac{|Y|}{N}\right)\right] |Y|$ ) where  $N(X) = \{y \in I \}$ B :  $\exists x \in X$  s.t.  $(x, y) \in E\}$  (resp.  $N(Y) = \{x \in A : \exists y \in B \text{ s.t. } (x, y) \in E\}$ ).

Gabber and Galil [12] gave explicit constructions of  $(N = m^2, k = 5, d = (2 - \sqrt{3})/5)$ expanders. Lemma 4 highlights the relationship between  $\delta$ -bipartite expanders and the more classical notion of (N, k, d)-expanders.

▶ Lemma 4. Let 0 < d < 1 and let  $\delta = \frac{(d+2)-\sqrt{d^2+4}}{2d}$ . If a directed bipartite graph G = ((A, B), E) with |A| = |B| = N is an (N, k, d)-expander for d < 1 then G is a δ-bipartite expander.

**Proof.** Consider an arbitrary subset  $X \subseteq A$  with  $|X| \ge \delta N$  and let  $Y = B \setminus N(X)$ . We want to argue that  $|Y| < \delta N$  or equivalently  $|N(X)| > (1-\delta)N$ . Without loss of generality, we may assume that |X| < N (otherwise we have N(X) = B since  $|N(X)| \ge (1 + d(1 - |X|/N))|X| =$ |X| = N). Since G is an (N, k, d)-expander, we have that  $|N(X)| \ge \left[1 + d\left(1 - \frac{|X|}{N}\right)\right]|X| =$ 

 $-\frac{d}{N}|X|^2 + (d+1)|X|$ . Hence, for  $N > |X| \ge \delta N$ , we have that

$$|\mathsf{N}(X)| \ge -\frac{d}{N}|X|^2 + (d+1)|X|$$
$$> -\frac{d}{N}(\delta N)^2 + (d+1)\delta N$$

$$> -\frac{\alpha}{N}(\delta N)^2 + (d+1)$$

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 $\geq (1-\delta)N,$ 

where the middle inequality follows from the observation that when d < 1, the function 164  $f(x) = -\frac{d}{N}x^2 + (d+1)x$  is an increasing function over the range  $0 \le x \le N$  and the last 165 inequality follows from the choice of  $\delta = \frac{(d+2)-\sqrt{d^2+4}}{2d}$  since  $d \ge \frac{1-2\delta}{\delta-\delta^2}$ . Now fixing an arbitrary 166 subset  $Y \subseteq B$  with  $|Y| \ge \delta N$  and setting  $X = A \setminus N(Y)$ , a symmetric argument shows that 167  $|X| < \delta N$ . Thus, G is a  $\delta$ -bipartite expander. 168

#### 3 Explicit Constructions of $\delta$ -Bipartite Expanders 169

In this section, we give an explicit (locally navigable) construction of a  $\delta$ -bipartite expander 170 graph for any constant  $\delta > 0$ . As a building block, we start with an explicit construction 171 of  $(N = m^2, k = 5, d = (2 - \sqrt{3})/4)$ -expander due to Gabber and Galil [12]. Applying 172 Lemma 4 above this gives us a  $\delta$ -bipartite expander with  $\delta \approx 0.492$  whenever  $N = m^2$ . To 173 construct depth-robust graphs we need to construct  $\delta$ -bipartite expanders for much smaller 174 values of  $\delta$  and for arbitrary values of N, i.e., not just when  $N = m^2$  is a perfect square. 175 We overcome the first challenge by layering the  $(N = m^2, k, d)$ -expanders of [12] to obtain 176  $\delta$ -bipartite expanders for arbitrary constants  $\delta > 0$  — the indegree increases as  $\delta$  approaches 177 0. We overcome the second issues simply by truncating the graph, i.e., if G is a  $\delta/2$ -bipartite 178 expander with 2N nodes then we can discard up to N/2 sources and N/2 sinks and the 179 remaining graph will still be a  $\delta$ -expander. 180

#### 3.1 Truncation 181

By layering the (N, k, d)-expanders of Gabber and Galil [12] we are able to obtain a family 182  $\{G_{m,\delta}\}_{m=1}^{\infty}$  of  $\delta$ -bipartite expanders for any constant  $\delta > 0$  such that  $G_m$  has  $N = m^2$ 183 nodes on each side of the bipartition and constant indegree. However, our constructions of 184 depth-robust graphs will require us to obtain a family  $\{H_{N,\delta}\}_{N=1}^{\infty}$  of  $\delta$ -bipartite expanders 185 such that  $H_{N,\delta}$  has N nodes on each side of the bipartition and constant indegree. In 186 this section, we show how the family  $\{H_{N,\delta}\}_{N=1}^{\infty}$  can be constructed by truncating graphs 187 from the family  $\{G_{m,\delta}\}_{m=1}^{\infty}$ . Furthermore, if the construction of  $G_{m,\delta}$  is explicit and locally 188 navigable then so is  $H_{N,\delta}$ . 189

For each N we define  $m(N) := \min_{m:m^2 > N}$  to be the smallest positive integer m such 190 that  $m^2 \ge N$ . We first observe that for all integers  $N \ge 1$  we have  $m(N)^2 \ge N \ge m(N)^2/2$ . 191

 $\triangleright$  Claim 5. For all N > 1 we have  $m(N)^2 > N > m(N)^2/2$ . 192

**Proof.** The fact that  $m(N)^2 \ge N$  follows immediately from the definition of m(N). For the 193 second part it is equivalent to show that  $m(N)^2/N \leq 2$  for all  $N \geq 1$ . The ratio  $m(N)^2/N$ 194 is maximized when  $N = (m-1)^2 + 1$  for some  $m \ge 1$ . Thus, it suffices to show that  $\frac{m^2}{(m-1)^2+1} \le 2$  for all  $m \ge 1$  or equivalently  $1 + \frac{2(m-1)}{(m-1)^2+1} \le 2$ . The function  $f(m) = \frac{2(m-1)}{(m-1)^2+1}$ 195 196 is maximized at m = 2 in which case f(2) = 1. For all  $m \ge 2$  we have  $1 + \frac{2(m-1)}{(m-1)^2+1} \le 2$  and 197 when m = 1 we have  $1 + \frac{2(m-1)}{(m-1)^2+1} = 1 \le 2$  so the claim follows. 198

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Suppose that for any constant  $\delta > 0$  we are given an explicit locally navigable fam-199 ily  $\{G_{m,\delta}\}_{m=1}^{\infty}$  of  $\delta$ -bipartite expanders with  $G_{m,\delta} = \{(A_{m,\delta} = \{X_1, \ldots, X_{m^2}\}, B_{m,\delta} = \{(A_{m,\delta} = \{X_1, \ldots, X_{m^2}\}, B_{m,\delta} = \{X_1, \ldots,$ 200  $\{Y_1, \ldots, Y_{m^2}\}, E_{m,\delta}\}$  with edge set  $E_{m,\delta} = \{(X_i, Y_j) : i \in \mathsf{GetParents}(m, \delta, j) \land j \le m^2\}$ 201 defined by an algorithm  $GetParents(m, \delta, j)$ . We now define the algorithm  $GetParentsTrunc(N, \delta, j) =$ 202  $\mathsf{GetParents}(m(N), \delta/2, j) \cap \{1, \dots, N\}$  and we define  $H_{m,\delta} = ((A'_{N,\delta} = \{a_1, \dots, a_N\}, B'_{N,\delta} = \{a_1, \dots, a_N\}, B'_{N,\delta})$ 203  $\{b_1, \ldots, b_N\}, E'_{N,\delta}\}$  with edge set  $E'_{N,\delta} = \{(a_i, b_j) : i \in \mathsf{GetParentsTrunc}(N, \delta, j) \land j \leq N\}$ . 204 Intuitively, we start with a  $\delta/2$ -bipartite expander  $G_{m,\delta/2}$  with  $N' = m(N)^2$  nodes on each 205 side of the partition and drop  $N' - N \le N'/2$  nodes from each side of the bipartition to obtain 206  $H_{m,\delta}$ . Clearly, if GetParents can be evaluated in time  $O(\operatorname{polylog} m)$  then GetParentsTrunc 207 can be evaluated in time  $O(\operatorname{polylog} N)$ . Thus, the family  $\{H_{N,\delta}\}_{N=1}^{\infty}$  is explicit and locally 208 navigable. Finally, we claim that  $H_{m,\delta}$  is a  $\delta$ -bipartite expander. 209

▶ Lemma 6. Assuming that  $G_{m,\delta}$  is a  $\delta$ -bipartite expander for each  $m \ge 1$  and  $\delta > 0$ , the graph  $H_{m,\delta}$  is a  $\delta$ -bipartite expander for each  $m \ge 1$  and  $\delta > 0$ .

**Proof.** Consider two sets  $X \subseteq \{1, ..., N\}$  and  $Y \subseteq \{1, ..., N\}$  and set m = m(N). If  $|X| \ge \delta N$  and  $|Y| \ge \delta N$  then by Claim 5 we have  $|X| \ge (\delta/2)m^2$  and  $|Y| \ge (\delta/2)m^2$ . Thus, since  $G_{m,\delta/2}$  is a  $\delta/2$ -bipartite expander and  $X, Y \subseteq \{1, ..., m^2\}$  there must be some pair  $(i, j) \in X \times Y$  with  $i \in \text{GetParents}(m, \delta/2, j)$ . Since  $i \le N$  we also have  $i \in$ GetParentsTrunc $(N, \delta, j) = [N] \cap \text{GetParents}(m, \delta/2, j)$ . Thus, the edge  $(a_i, b_j)$  still exists in the truncated graph  $H_{m,\delta}$ . It follows that  $H_{m,\delta}$  is a  $\delta$ -bipartite expander.

In the remainder of this section, we will focus on constructing  $G_{m,\delta}$ . In the next subsection, we first review the construction of  $(N = m^2, k = 5, d = (2 - \sqrt{3})/4)$ -expanders due to Gabber and Galil [12].

# **3.2** Explicit (N, k, d)-Expander Graphs

Let  $P_m \doteq \{0, 1, \dots, m-1\} \times \{0, 1, \dots, m-1\}$  be the set of pairs of integers (x, y) with  $0 \le x, y \le m-1$ . We can now define the family of bipartite graphs  $G_m = ((A_m, B_m), E_m)$ where  $A_m = \{X_{i,j} = (i, j) : (i, j) \in P_m\}$  and  $B = \{Y_{i,j} = (i, j) : (i, j) \in P_m\}$ . The edge set  $E_m$  is defined using the following 5 permutations on  $P_m$ :

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$$\sigma_0(x,y) = (x,y),$$

- 227  $\sigma_1(x,y) = (x,x+y),$
- 228  $\sigma_2(x,y) = (x, x+y+1),$
- 229  $\sigma_3(x,y) = (x+y,y),$
- $\sigma_4(x,y) = (x+y+1,y),$

where the operation + is modulo m. Now we can define the edge set  $E_m$  as

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$$E_m = \{(X_{i',j'}, Y_{i,j}) : \exists \ 0 \le k \le 4 \text{ such that } \sigma_k(i',j') = (i,j)\}.$$

Gabber and Galil [12] proved that the graph  $G_m$  is a (N, k, d)-expander with  $N = m^2$ nodes on each side of the biparition  $(A_m / B_m)$ , k = 5, and  $d = (2 - \sqrt{3})/4$ .

It will be convenient to encode nodes using integers between 1 and  $N = m^2$  instead of pairs in  $P_m$ . define  $\operatorname{PairToInt}_m(x,y) = xm + y + 1$ , a bijective function mapping pairs  $(x,y) \in \{0,1,\ldots,m-1\} \times \{0,1,\ldots,m-1\}$  to integers  $\{1,\ldots,m^2\}$  along with the inverse mapping  $\operatorname{IntToPair}_m(z) = \left(\lfloor \frac{z-1}{m} \rfloor, (z-1) \mod m\right)$ . We can then redefine the permutations over the set  $\{1,\ldots,m^2\}$  as follows  $\sigma'_j(z) = \operatorname{PairToInt}_m(\sigma_j(\operatorname{IntToPair}_m(z)))$  and we can (equivalently) redefine  $G_m = ((A_m, B_m), E_m)$  where  $A_m = \{X_1, \dots, X_{m^2}\}$ ,  $B_m = \{Y_1, \dots, Y_{m^2}\}$  and  $E_m = \{(X_i, Y_j) : 1 \le j \le m^2 \land i \in \mathsf{GetParentsGG}(m, j)\}$ . Here,  $\mathsf{GetParentsGG}(m, j) = \{\sigma'_0(j), \sigma'_1(j), \sigma'_2(j), \sigma'_3(j), \sigma'_4(j)\}.$ 

## 244 3.3 Amplification via Layering

Given that we have constructed explicit  $\delta$ -bipartite expanders with constant indegree for 245 a fixed  $\delta > 0$ , we will construct explicit  $\delta$ -bipartite expanders with constant indegree for 246 any arbitrarily small  $\delta > 0$ . The construction is recursive. As our base case we define 247  $G_m^0 = G_m = ((A_m, B_m), E_m)$  where  $A_m = \{X_1, \dots, X_{m^2}\}, B_m = \{Y_1, \dots, Y_{m^2}\}$  and 248  $E_m = \{(X_i, Y_j) : 1 \le j \le m^2 \land i \in \mathsf{GetParentsGG}(m, j)\}$  as the  $(N = m^2, k = 5, d =$ 249  $(2-\sqrt{3})/4$ )-expander of Gabber and Galil [12] and we define GetParentsLayered<sup>1</sup>(m, j) =250 GetParentsGG(m, j). We can then define  $G_m^{i+1} = ((A_m, B_m), E_m^{i+1})$  where  $A_m = \{X_1, \dots, X_{m^2}\}$ , 251  $B_m = \{Y_1, \dots, Y_{m^2}\} \text{ and } E_m^{i+1} = \{(X_i, Y_j) : 1 \le j \le m^2 \land i \in \mathsf{GetParentsLayered}^{i+1}(m, j)\}$ where  $\mathsf{GetParentsLayered}^{i+1}(m, j) = \bigcup_{j' \in \mathsf{GetParentsGG}(m, j)} \mathsf{GetParentsLayered}^i(m, j')$ . Intuit-252 253 ively, we can form the graph  $G_m^i$  by stacking *i* copies of the graph  $G_m$  and forming a new 254 bipartite graph by collapsing all of the intermediate layers. See Figure 1 for an illustration. 255



**Figure 1** (a) One copy of an (N, k, d)-expander. Here, we remark that each input node has exactly k edges such that the total number of edges is kN. (b) Stack the graph  $\ell$  times to get a graph with  $(\ell + 1)$  layers. The snaked edges from the third to  $\ell^{th}$  layer indicates that there are connected paths between the nodes. (c) Generate a new bipartite graph by collapsing all of the intermediate layers. A node u on the bottom layer  $I_1$  has an edge to a node v on the top layer  $O_{\ell}$  if and only if there is a path in the original graph.

We note that  $|\text{GetParentsLayered}^{i+1}(m, j)| \leq k \times |\text{GetParentsLayered}^{i}(m, j)| \leq k^{i+1}$ . Theorem 7 tells us that amplification by layering yields a  $\delta$ -bipartite expander. In particular, there is a constant  $L_{\delta}$  such that  $G_m^i$  is a  $\delta$ -bipartite expander whenever  $i \geq L_{\delta}$ . By our previous observation this graph has indegree at most  $k^{L_{\delta}}$  which is a constant since k and  $L_{\delta}$ are both constants.

▶ **Theorem 7.** For any constant  $\delta > 0$ , there exists a constant  $L_{\delta}$  such that for any  $i \ge L_{\delta}$ the graph  $G_m^i$  is a  $\delta$ -bipartite expander with  $N = m^2$  nodes on each side of the partition.

Proof. Fix any subset  $Y^0 \subseteq [N]$  of size  $|Y^0| \ge \delta N$ . Let  $Y^1 \doteq \bigcup_{j \in Y^0} \mathsf{GetParentsGG}(m, j)$ , and

## 12:8 On Explicit Constructions of Extremely Depth Robust Graphs

- recursively define  $Y^{i+1} \doteq \bigcup_{j \in Y^i} \mathsf{GetParentsGG}(m, j)$ . Since  $Y^i = \bigcup_{j \in Y^0} \mathsf{GetParentsLayered}^i(m, j)$ ,
- it suffices to argue that  $|Y^i| > (1-\delta)N$  whenever  $i \ge L_{\delta} \doteq \left\lceil \frac{\log((1-\delta)/\delta)}{\log(1+d\delta)} \right\rceil + 1$ . To see this,
- we note that for each  $i \ge 0$ , either
- <sup>267</sup> (1)  $|Y^i|$  has already reached the target size  $(1 \delta)N$ , or
- (2)  $|Y^{i+1}| \ge \left[1 + d\left(1 \frac{|Y^i|}{N}\right)\right] |Y^i| \ge (1 + d\delta)|Y^i|$  since GetParentsGG defines an (N, k, d)expander.
- It follows that  $|Y^{i+1}| \geq \min\{(1-\delta)N, (1+d\delta)^i \delta N\}$ . Now we want to find i such that
- $(1+d\delta)^i \delta N = (1-\delta)N$ ; solving the equation we have  $i = \frac{\log((1-\delta)/\delta)}{\log(1+d\delta)}$ . Thus, for  $i = L_{\delta} 1$
- we have  $|Y^i| \ge (1-\delta)N$  and for  $i \ge L_{\delta}$  we have  $|Y^i| > (1-\delta)N$ . Thus, for  $i \ge L_{\delta}$  the graph
- $G_m^i$  is a  $\delta$ -bipartite expander, i.e., for any subsets  $X, Y \subseteq [N]$  of size  $|X| \ge \delta N = \delta m^2$  we
- must have  $\left|X \cap \bigcup_{j \in Y} \mathsf{GetParentsLayered}^i(m, j)\right| > 0$  as long as  $i \ge L_{\delta}$ .

# <sup>275</sup> **3.4** Final Construction of $\delta$ -Bipartite Expanders

Based on the proof of Theorem 7, we can define  $L_{\delta} \doteq \left\lceil \frac{\log((1-\delta)/\delta)}{\log(1+d\delta)} \right\rceil + 1$ ,  $G_{m,\delta} \doteq G_m^{L_{\delta}}$ , and obtain  $H_{N,\delta}$  by truncating the graph  $G_{m(N),\delta/2}$ . The edges are defined by the procedure GetParentsBE $(N, \delta, j) \doteq [N] \cap$ GetParentsLayered  $L_{\delta/2}(m(N), j)$  — the procedure GetParentsBE is short for "Get Parents Bipartite Expander". Formally, we have  $H_{N,\delta} = ((A_N = \{a_1, \ldots, a_N\}, B_N = \{b_1, \ldots, b_N\}), E_{N,\delta})$  where  $E_{N,\delta} = \{(a_i, b_j) : i \in$ GetParentsBE $(N, \delta, j)\}$ .

**Corollary 8.** Fix any constant  $\delta > 0$  and define  $L_{\delta} = \left\lceil \frac{\log((1-\delta)/\delta)}{\log(1+d\delta)} \right\rceil + 1$ . The graph  $G_m^{L_{\delta}}$  is a  $\delta$ -bipartite expander and the graph  $H_{N,\delta}$  is a  $\delta$ -bipartite expander for any integers  $m, N \ge 1$ .

**Proof.** By Theorem 7  $G_m^{L_{\delta}}$  is a  $\delta$ -bipartite expander. To see that  $H_{N,\delta}$  is a  $\delta$ -bipartite expander we simply note that  $G_{m(N),\delta/2}$  is a  $\delta/2$ -bipartite expander and apply Lemma 6.

# <sup>205</sup> **4** Explicit Constructions of Depth Robust Graphs

We are now ready to present our explicit construction of a depth-robust graph. For any  $N = 2^n$  we define the graph  $G(\delta, N) = ([N], E(\delta, N))$  with edge set  $E(\delta, N) = \{(u, v) : v \in [N] \land u \in \mathsf{GetParentsEGS}(\delta, v, N)\}$ . The procedure  $\mathsf{GetParentsEGS}(\delta, v, N)$  to compute the edges of  $G(\delta, N)$  relies on the procedure  $\mathsf{GetParentsEGS}(\delta, v, N)$  to compute the edges of  $G(\delta, N)$  relies on the procedure  $\mathsf{GetParentsBE}$  which computes the edges of our underlying bipartite expander graphs. We remark that our construction is virtually identical to the construction of [10] except that the underlying bipartite expanders are replaced with our explicit constructions from the last section.

**Algorithm 1** GetParentsEGS $(\delta, v, N)$ 

1: procedure GETPARENTSEGS( $\delta, v, N$ ) 2:  $P = \{v - 4n, ..., v - 1\}$ for t = 1 to  $\lceil \log_2 v \rceil$  do 3:  $m = |v/2^t|$ 4:  $x = v \mod 2^t$ 5: $B = \text{GetParentsBE}(2^t, L_{\delta/5}, x+1)$ 6: for  $y \in B$  do 7:  $P = P \cup \{(m-i)2^t + y : 1 \le i \le \min\{m, 10\}\}\$ 8: return  $P \cap \{1, ..., N\}$ 9:

Note that for any constant  $\delta > 0$  and any integer  $n \ge 1$ , the graph  $G(\delta, N)$  defined by GetParentsEGS $(\delta, \cdot, N)$  has  $N = 2^n$  nodes and maximum indeg indeg $(G(\delta, N)) = O(n) = O(\log N)$ .

Erdös, Graham, and Szemeredi [10] showed that the graph  $G(\delta, N)$  is a  $\delta$ -local expander as long as the underlying bipartite graphs are  $\delta/5$ -bipartite expanders.

**Theorem 9** ([10]). For any  $\delta > 0$  the graph  $G(\delta, N)$  is a  $\delta$ -local expander.

Theorem 10 says that any  $\delta$ -local expander is also  $(e, d = N - e \frac{1+\gamma}{1-\gamma})$ -depth-robust for any constant  $\gamma > 2\delta$ . The statement of Theorem 10 is implicit in the analysis of Alwen et al. [4]. We include the proof for completeness.

**Theorem 10.** Let  $0 < \delta < 1/4$  be a constant and let  $\gamma > 2\delta$ . Any  $\delta$ -local expander on Nnodes is  $(e, d = N - e\frac{1+\gamma}{1-\gamma})$ -depth-robust for any  $e \leq N$ .

**Proof.** Let G be a  $\delta$ -local expander with  $\delta < 1/4$  and  $\gamma > 2\delta$  and let  $S \subseteq [N]$  denote an arbitrary subset of size |S| = e. To show that G - S has a path of length  $d = N - e \frac{1+\gamma}{1-\gamma}$  we rely on two lemmas (Lemma 11, Lemma 12) due to Alwen et al. [4]. We first introduce the notion of a  $\gamma$ -good node. A node  $x \in [N]$  is  $\gamma$ -good under a subset  $S \subseteq [N]$  if for all r > 0we have  $|I_r(x) \setminus S| \ge \gamma |I_r(x)|$  and  $|I_r^*(x) \setminus S| \ge \gamma |I_r^*(x)|$ , where  $I_r(x) = \{x - r - 1, ..., x\}$  and  $I_r^*(x) = \{x + 1, ..., x + r\}.$ 

<sup>310</sup> ► Lemma 11 ([4, 10]). Let G = (V = [N], E) be a δ-local expander and let  $x < y \in [N]$  both <sup>311</sup> be γ-good under  $S \subseteq [N]$  then if  $\delta < \min(\gamma/2, 1/4)$  then there is a directed path from node x <sup>312</sup> to node y in G - S.

▶ Lemma 12 ([4]). For any DAG G = ([N], E) and any subset  $S \subseteq [N]$  of nodes at least  $N - |S| \frac{1+\gamma}{1-\gamma}$  of the remaining nodes in G are  $\gamma$ -good with respect to S.

Applying Lemma 12 at least  $d = N - e \frac{1+\gamma}{1-\gamma}$  nodes  $v_1, \ldots, v_d$  are  $\gamma$ -good with respect to S. Without loss of generality, we can assume that  $v_1 < v_2 < \ldots < v_d$ . Applying Lemma 11 for each  $i \leq d$ , there is a directed path from  $v_i$  to  $v_{i+1}$  in G - S. Concatenating all of these paths we obtain one long directed path containing all of the nodes  $v_1, \ldots, v_d$ . Thus, G - Scontains a directed path of length  $d = N - e \frac{1+\gamma}{1-\gamma}$ .

As an immediate corollary of Theorem 9 and Theorem 10 we have

Solution **Corollary 13.** Let  $0 < \delta < 1/4$  be a constant and let  $\gamma > 2\delta$  then the graph  $G(\delta, N)$  is (e, d = N −  $e\frac{1+\gamma}{1-\gamma}$ )-depth-robust for any  $e \leq N$ .

# 323 4.1 Explicit Extreme Depth-Robust Graphs

We also obtain explicit constructions of  $\epsilon$ -extreme depth-robust graphs which have found applications in constructing Proofs of Space and Replication [15], Proofs of Sequential Work [14], and in constructions of Memory-Hard Functions [4].

▶ Definition 14 ([4]). For any constant  $\epsilon > 0$ , a DAG G with N nodes is  $\epsilon$ -extreme depth-robust if and only if G is (e, d)-depth-robust for any  $e + d \leq (1 - \epsilon)N$ .

When we set  $\delta_{\epsilon}$  appropriately the graph  $G(\delta_{\epsilon}, N = 2^n)$  is  $\epsilon$ -extremely depth robust.

So ► Corollary 15. Given any constant  $\epsilon > 0$  we define  $\delta_{\epsilon}$  to be the unique value such that  $1 + \epsilon = \frac{1+2.1\delta_{\epsilon}}{1-2.1\delta_{\epsilon}}$  if  $\epsilon \le 1/3$  and  $\delta_{\epsilon} = \delta_{1/3}$  for  $\epsilon > 1/3$ . For any integer  $n \ge 1$  the graph  $G(\delta_{\epsilon}, N = 2^n)$  is  $\epsilon$ -extreme depth robust.

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**Proof.** Set  $\gamma = 2.1\delta_{\epsilon}$  and observe that  $\delta_{1/3} \leq 0.07 \leq 1/4$  and for  $\epsilon < 1/3$  we have  $\delta_{\epsilon} \leq \delta_{1/3} \leq 1/4$  so we can apply Corollary 13 to see that  $G(\delta_{\epsilon}, N = 2^n)$  is  $(e, d = N - e\frac{1+2.1\delta_{\epsilon}}{1-2.1\delta_{\epsilon}})$ depth robust for any  $e \leq N$ . Since  $\frac{1+2.1\delta_{\epsilon}}{1-2.1\delta_{\epsilon}} = (1+\epsilon)$  it follows that the graph is  $\epsilon$ -extreme depth robust.

# 337 4.2 Depth-Robust Graphs with Constant Indegree

In some applications it is desirable to ensure that our depth-robust graphs have constant 338 indegree. We observe that we can apply a result of Alwen et al. [3] to transform the 339 DAG  $G(\delta, N) = (V = [N], E(\delta, N))$  with maximum indegree  $\beta = \beta_{\delta, N}$  into a new DAG 340  $H_{\delta,N} = ([N] \times [\beta], E'(\delta, N))$  with  $N' = 2N\beta$  nodes and maximum indegree 2. Intuitively, 341 the transformation reduces the indegree by replacing every node  $v \in [N]$  from  $G(\delta, N)$  with a 342 path of  $2\beta$  nodes  $(v, 1), \ldots, (v, 2\beta)$  and distributing the incoming edges accross this path. In 343 particular, if v has incoming edges from nodes  $v_1, \ldots, v_\beta$  in  $G(\delta, N)$  then for each  $i \leq \beta$  we 344 will add an edge from the node  $(v_i, 2\beta)$  to the node (v, i). This ensures that each node (v, i)345 has at most two incoming edges. Formally, the algorithm  $\mathsf{GetParentsLowIndeg}(\delta, v', N)$  takes 346 as input a node v' = (v, i) and (1) initializes  $P' = \{(v, i-1)\}$  if  $i > 1, P' = \{(v-1, 2\beta)\}$  if 347 i = 1 and v > 1 and  $P' = \{\}$  otherwise, (2) computes  $P = \mathsf{GetParentsEGS}(\delta, v, N)$ , (3) sets 348 u = P[i] to be the *i*th node in the set P, and (4) returns  $P' \cup \{(u, 2\beta)\}$ . It is easy to verify 349 that the algorithm GetParentsLowIndeg runs in time polylog N. 350

**Corollary 16.** Let  $0 < \delta < 1/4$  be a constant and let  $\gamma > 2\delta$  then the graph  $H_{\delta,N}$  is ( $e, d = N\beta - e\beta \frac{1+\gamma}{1-\gamma}$ ) depth-robust for any  $e \leq N$ .

Proof. (Sketch) Alwen et al. [3] showed that applying the indegree reduction procedure above to any (e, d)-depth-robust graph with maximum indegree  $\beta$  yields a  $(e, d\beta)$ -depth-robust graph. The claim now follows directly from Theorem 9 and Theorem 10.

# 356 **5** Conclusion

We give the first explicit construction of  $\epsilon$ -extreme depth-robust graphs G = (V = [N], E)357 with indegree  $O(\log N)$  which are locally navigable. Applying an indegree reduction gadget 358 of Alwen et al. [3] we also obtain the first explicit and locally navigable construction of 359  $(\Omega(N/\log N), \Omega(N))$ -depth-robust graphs with constant indegree. Our current constructions 360 are primarily of theoretical interest and we stress that we make no claims about the practicality 361 of the constructions as the constants hidden by the asymptotic notation are large. Finding 362 explicit and locally navigable constructions of  $(c_1 N / \log N, c_2 N)$ -depth-robust graphs with 363 small indegree for reasonably large constants  $c_1, c_2 > 0$  is an interesting and open research 364 challenge. Similarly, finding explicit and locally navigable constructions of  $\epsilon$ -extreme depth-365 robust graphs G = (V = [N], E) with indegree  $c_{\epsilon} \log N$  for smaller constants  $c_{\epsilon}$  remains an 366 important open challenge. 367

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