

1 **The role of a weakened Atlantic meridional overturning circulation in**
2 **modulating marine heatwaves in a warming climate**

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20 **Key Points:**

- 21 • A. The AMOC slowdown has an insignificant effect on global MHWs over the past
22 four decades except those at the NAWH.
- 23 • B. The effect of the AMOC on MHWs will become significant in broad areas in the
24 North Atlantic and North Pacific by the end of current century.
- 25 • C. The NAWH region would reach a near-permanent MHW state over 2061-2100
26 without a slowdown of the AMOC.
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44 **Abstract**

45 We explore the effect of Atlantic meridional overturning circulation (AMOC) slowdown
46 on global marine heatwaves (MHWs) under anthropogenic warming by maintaining AMOC
47 strength in climate model simulations throughout the twenty-first century. The AMOC
48 slowdown has an insignificant effect on global MHWs during the past four decades, except
49 those over the North Atlantic warming hole (NAWH) where the weakened AMOC reduces
50 the occurrence and duration of MHWs by about half by creating a cooler mean-state sea
51 surface temperature. As the AMOC continues weakening in current century, its effect
52 becomes significant on MHWs in the North Atlantic and Pacific Oceans. The weakened
53 AMOC induces a bipolar-seesaw like change in MHW frequencies, with more frequent
54 MHWs in the Southern Hemisphere, but less frequent MHWs in the North Hemisphere
55 except over the NAWH. The reason for the exception is that the NAWH region would enter a
56 near-permanent MHW state without an AMOC slowdown.

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59 **Plain Language Summary**

60 In this study, we examine how the weakened Atlantic meridional overturning
61 circulation (AMOC) affects global MHWs under greenhouse gas warming. We conduct a
62 climate model experiment by keeping AMOC magnitude constant throughout the twenty-first
63 century and compare this experiment with a parallel AMOC weakening case. We find that the
64 AMOC effect on MHWs is insignificant over most of global oceans during the past four
65 decades. The only exception happens to a region to the south of Greenland that is so-called
66 the North Atlantic warming hole (NAWH). The weakened AMOC creates a cooler mean-
67 state sea surface temperature in this region than the others, which helps reduce the occurrence
68 and duration of MHWs there. Since the magnitude of AMOC weakening is expected to be
69 larger as time goes on, the AMOC effect on MHWs will become significant later in the
70 twenty-first century, especially in the North Atlantic and North Pacific. The weakened
71 AMOC will make MHWs more frequent in the Southern Hemisphere, but less frequent in the
72 North Hemisphere except in the NAWH region. The reason for the exception is that, if the
73 AMOC were not to slow down, MHWs would occur in the NAWH region almost all year
74 round.

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86 **1. Introduction**

87 Marine heatwaves (MHWs) are characterized by prolonged periods of extreme warm sea
88 surface temperatures (SSTs). During past two decades, several prominent MHWs have been
89 reported in various regions of world's ocean, such as those in the Mediterranean Sea in 2003
90 (Garrabou et al., 2009) and 2006 (Bensoussan et al., 2010), off Western Australia in 2011
91 (Feng et al., 2013; Benthuisen et al., 2014), the Northwest Atlantic in 2012 (Mills et al., 2013;
92 Chen et al., 2014, 2015), in the Tasman Sea in 2015–2016 (Oliver et al., 2017), in the East
93 China Sea in 2016 (Tan & Cai, 2018) and in the Northeast Pacific in 2013–2015 (Bond et al.,
94 2015; Di Lorenzo & Mantua, 2016) and 2019 (Amaya et al., 2020). These extreme events
95 have caused substantial impacts on marine ecosystems (Peterson et al., 2017; Krumhardt et al.
96 2017; Smale et al., 2019) and socio-economically important fisheries (Pershing et al., 2015;
97 Greene, 2016). Understanding the causes of such extreme events and unraveling the
98 underlying dynamics, thereby, is of central importance for adaptation and sustainability under
99 rapid climate change (Oliver et al., 2019).

100 Multiple physical drivers have been suggested to produce MHWs on various spatio-
101 temporal scales (Holbrook et al., 2019, 2020; Oliver et al., 2021). For instance, persistent
102 blocking highs over ocean and associated local air–sea interactions and feedbacks have been
103 indicated as the trigger of the MHW events in the Northeast Pacific and Northwest Atlantic
104 (Bond et al., 2015; Schlegel et al., 2021). The Madden–Julian Oscillation and El Niño–
105 Southern Oscillation are important to the generation of the MHWs in the Indo-Pacific Oceans
106 on intraseasonal and interannual timescales, respectively (Maloney & Kiehl, 2002; Jacox et
107 al., 2016; Holbrook et al. 2021). On decadal timescales, the North Pacific Gyre Oscillation,
108 North Atlantic Oscillation, Pacific Decadal Oscillation and Atlantic Multidecadal Oscillation
109 could significantly modulate the MHWs in the Pacific and Atlantic Oceans (Di Lorenzo &
110 Mantua, 2016; Scannell et al., 2016; Oliver et al., 2018).

111 Beyond above physical drivers, however, one gap remains in our understanding of the
112 physics of MHWs: the role of the long-term weakening of the Atlantic meridional
113 overturning circulation (AMOC). As a vital ocean circulation system, the AMOC has been
114 found in a weakening state during the past several decades from RAPID array measurements
115 and satellite altimetry (Frajka-Williams, 2015; Smeed et al., 2018). The AMOC weakening is
116 even evident since the middle-to-late last century based on multi-proxy reconstructions
117 (Rahmstorf et al., 2015; Thornalley et al., 2018) during the past millennium. While whether
118 this deceleration of the Atlantic overturning is already happening remains debatable, these
119 direct and indirect observations raise the possibility of a rapid AMOC slowdown (Weaver et
120 al., 2012) or even shutdown (Liu et al., 2017) in the near future, as supported by state-of-art
121 climate model projections (Figure S1a). The centurial AMOC slowdown could impose global
122 impacts on various climate components in the Earth's system (Liu et al., 2020). Nevertheless,
123 the role of this long-term AMOC slowdown in global MHWs has yet been found, which thus
124 serves as the focus of this study.

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126 **2. Data and Methods**

127 **2.1. Observations and model simulations**

128 We use the Daily Optimum Interpolation Sea Surface Temperature (OISST) version 2.1
129 (v2.1) from the National Oceanic and Atmospheric Administration (Banzon et al., 2020;
130 Huang et al., 2021a,b). The data have a spatial resolution of $0.25^\circ \times 0.25^\circ$ and are available
131 since September 1, 1981. We adopt OISST data from 1982 to 2019 to examine the observed
132 MHWs over global oceans.

133 We employ a fully coupled climate model, the National Center for Atmospheric
134 Research Community Climate System Model version 4 (CCSM4) of a nominal 1-degree
135 resolution (Gent et al., 2011) that consists of the Community atmosphere Model version 4,
136 the Community Land Model version 4, the sea ice component version 4, and the Parallel
137 Ocean Program version 2. We use CCSM4 historical and Representative Concentration
138 Pathway 8.5 (RCP8.5) simulations with five ensemble members (AMOC_free thereafter). By
139 defining the AMOC strength as the maximum of the annual mean stream function below 500
140 m in the North Atlantic, we find CCSM4 simulates an AMOC slowdown since the 1980s
141 under the historical and RCP8.5 scenarios, as consistent with many other climate models
142 (Figure S1a,b). Parallel to the AMOC_free simulation, we conduct a sensitivity experiment
143 (AMOC_fx thereafter) using CCSM4 with five ensemble members in which freshwater is
144 extracted from the North Atlantic deep-water formation region (Figure 1c) such that the
145 AMOC strength is well maintained in a warming climate throughout the twenty-first century
146 (experimental setup detailed in Liu et al., 2020). The difference between the AMOC_free and
147 AMOC_fx simulations reveals the climate impact of AMOC slowdown.

148 **2.2. Detection of marine heatwaves**

149 Based on Hobday et al. (2016), a MHW is defined as an event in which daily SST
150 exceeds the local seasonal threshold (i.e., the 90th percentile of daily SST for the same day
151 during the climatology period) for at least 5 consecutive days within a given sea area. Two
152 events with an interruption of less than 3 days are considered as one MHW event. In this
153 study, we delve into the MHWs during the past four decades as well as the last four decades
154 of the current century, so the climatological periods for MHW detection are 1981-2020 and
155 2061-2100, respectively. For either reference period, the daily SST climatology and the 90th
156 percentile threshold corresponding to each day are calculated from the AMOC_free
157 simulation using the daily SST for all years within 11 days centered on that day, and the
158 results obtained are then smoothed for 31 days.

159 We depict the characteristics of MHWs such as frequency, duration and intensity from
160 the AMOC_free and AMOC_fx simulations. Frequency is defined as the number of events
161 per year; duration is defined as the time between the start date and end date of the event; and
162 intensity is defined as the maximum intensity of each MHW, i.e., the maximum SST anomaly
163 (SSTA) relative to the seasonally varying climate mean over the duration of the event. We
164 apply the Student's t-test to the difference of the MHW characteristics between two suites of
165 simulations to examine the statistical significance of AMOC impacts on MHWs.

166 **3. Results**

169 We first analyze the global MHWs during the past four decades (1981-2020) in the
170 AMOC_free simulation. We find high-intensity MHWs of long duration mainly in the eastern
171 tropical Pacific (Figure 1a) with an average MHW duration of about 70 days. These MHWs
172 are heavily influenced by ENSO variability (Gupta et al., 2020; Holbrook et al., 2020). We
173 also find short-lived, high-intensity MHWs in the western boundary current extension regions
174 (e.g., the Gulf Stream and Kuroshio extension, c.f. Chen et al., 2014; Oliver et al., 2018) with
175 an average duration of only about 40 days. Over a global scale, CCSM4 generally well
176 captures the major characteristics of observed global MHWs during the past four decades
177 (Figure S2). The model, however, simulates an overall longer MHW duration, a lower annual
178 mean MHW frequency and a weaker MHW intensity when compared to observations, which
179 is a common issue in MHW simulations by non-eddy-resolving models (Pilo et al. 2019).
180 Here, we also conjecture that the discrepancy between model and observation stems from the
181 fact that in observation the daily SST climatology results from this sole realization. While in
182 model a five-member ensemble mean daily SST climatology is employed for MHW
183 detections in different ensemble members so that some short-duration MHWs are difficult to
184 be determined or potentially mixed with intense long-duration MHWs. This discrepancy,
185 however, does not affect our study of AMOC impacts on MHWs. Moreover, the ensemble
186 approach in model simulations helps minimize the effect of climate variability and allows for
187 a better representation of daily SST climatology in a warming climate.

188 We then compare the global MHWs between the AMOC_free and AMOC_fx
189 simulations to assess the effect of AMOC slowdown on MHWs (Figure 1). During the past
190 four decades, the weakened AMOC leads to a reduction in MHW duration and intensity
191 generally over a global scale (Figure 1c,i), whilst the extent of reduction could be affected by
192 model biases in MHW simulations especially in the western boundary current regions where
193 mesoscale eddies are pivotal in driving SST extremes (Pilo et al. 2019). The weakened
194 AMOC also helps diminish the frequency of MHWs in the Northern Hemisphere, but
195 enhance the frequency of those in the Southern Hemisphere (Figure 1f), which is akin to a so-
196 called “bipolar seesaw” pattern (Stocker and Johnsen 2003; Rathore et al. 2020). The AMOC
197 induced changes in MHWs are generally insignificant when compared to the changes due to
198 natural climate variability. This is likely owing to the relatively small amplitude of AMOC
199 slowdown during this period (Figure S1b). One exception occurs in the North Atlantic to the
200 south of Greenland where ocean circulation plays an essential role in modulating SST (Li et
201 al., 2020). In this so-called North Atlantic warming hole (NAWH) region (Drijfhout et al.
202 2012; Liu & Federov 2019), the weakened AMOC significantly alters the duration and
203 frequency of MHWs (Figure S3e,f). Particularly, the AMOC slowdown generates a reduced
204 northward oceanic heat transport and hence a net heat transport divergence in the subpolar
205 North Atlantic, resulting in an abated ocean heat storage and a surface warming minimum
206 there (Liu et al., 2020). Under the relative cooler mean-state SST (Figure S3e), the annual
207 average numbers of occurrence and duration of MHWs in the NAWH region have dropped
208 by about half between 1981 and 2020 (Figure 1g,h).

209 We further investigate the AMOC effect on global MHWs in future climate (Figure 2).
210 During 2061-2100, the AMOC slowdown brings about a decrease in MHW durations in the

211 Northern Hemisphere but an increase in the Southern Hemisphere (Figure 2c). The AMOC
212 effect is significant over a much broader area than that during 1981-2020—not only in the
213 NAWH region but also in the low latitudes in the Atlantic, the mid- to high-latitudes in the
214 North Pacific and the Arctic—which is likely owing to the larger decline of the AMOC
215 strength towards the end of the twenty-first century (Figure S1b). The weakened AMOC also
216 induces a reminiscent change of bipolar-seesaw in MHW frequency, giving rise to more
217 frequent MHWs in the Southern Hemisphere but less frequent MHWs in the North
218 Hemisphere except over the NAWH (Figure 2c). By the end of the twenty-first century, the
219 NAWH south of Greenland remains the most sensitive area for MHWs to be affected by the
220 AMOC. If the AMOC were not to slow down, the NAWH region would reach a near-
221 permanent MHW state (Oliver et al., 2019) over 2061-2100 in which MHWs would have
222 ultra-long durations (Figure 2b) and reduced annual frequencies (Figure 2e) as well as
223 enlarged magnitudes (Figure 2h).

224 We further illustrate the AMOC effect on regional warm SST extremes from a
225 perspective of the probability density function (PDF). We select four “hot spots” in the
226 Northern Hemisphere where MHWs have occurred during past decades covering the Gulf of
227 Maine, the northern Mediterranean Sea, the East China Sea and the California Current region,
228 and also, the NAWH that is highly sensitive to AMOC changes (Figure 3a). For each region,
229 we calculate the PDF of daily SSTA that is relative to the daily SST climatology within a
230 referenced period with an interval of 0.1°C . We define the 90th percentile of SSTA PDF to
231 indicate warm extremes or MHWs and compare the SSTA PDFs between the AMOC_free
232 and AMOC_fx simulations to assess the AMOC effect on warming extremes in these regions.

233 We discover that the weakened AMOC generally has a minor impact on the warm
234 extremes in the historical MHW “hot spots” during 1981-2020 (Figure 3b,d,h,j). Though it
235 induces a small shift ($\leq 0.1^{\circ}\text{C}$) in the mode of SSTA PDFs toward their lower tails in the “hot
236 spots”, the weakened Atlantic overturning does not markedly alter the shape of SSTA PDFs
237 and hence the likelihood of MHW occurrence in these regions. On the other hand, the
238 weakened AMOC causes a large shift of the PDF towards its lower tail (with a mode
239 displacement by about -0.2°C) for the SSTA over the NAWH, leading to a large reduction of
240 the area under the curve for the PDF beyond the 90th percentile (Figure 3f). This means, via a
241 local mean-state SST cooling (Figure S3), the weakened AMOC diminishes the likelihood of
242 MHW occurrence in the NAWH over the past four decades.

243 During 2061-2100, the strong AMOC weakening prompts a large shift of SSTA PDFs
244 towards their lower tails and hence a reduction in the likelihood of MHW occurrence in all
245 five regions, especially over the NAWH (Figure 3c,e,g,i,k). This result is consistent with the
246 bipolar-seesaw like change in global MHW frequency in our previous analysis (Figure 2f)
247 and highlights the significant AMOC impact on MHW frequency in the North Atlantic and
248 Pacific Oceans by the end of the twenty-first century.

249 Besides, we explore the evolution patterns of global average of MHW characteristics
250 during 1981-2020 and 2061-2100. The global MHWs in the AMOC_free simulation show an
251 overall trend of longer duration, higher frequency and stronger intensity during the past four
252 decades (Figure 4a,c,e) primarily due to the influence of anthropogenic warming (Figure S3a-

d, also c.f. Frölicher et al., 2018; Laufkötter et al., 2020). On the other hand, the AMOC_fx simulation shows highly similar evolution patterns of MHW duration, frequency and intensity to those in the AMOC_free simulation, indicative of an insignificant impact of AMOC slowdown on most MHWs over global oceans during this period (Figure 4a,c,e). However, as the AMOC continues weakening, its impacts on MHWs become significant from the global mean perspective. During 2061-2100, the global averages of MHW duration, frequency and intensity in the AMOC_fx simulation are generally much larger than those in the AMOC_free simulation (Figure 4b,d,f). For instance, if the AMOC were not to weaken during the twenty-first century, the average duration of global MHWs will prolong by 10-35 days. Most of this AMOC impact comes from the Northern Hemisphere (Figure S4) instead of the Southern Hemisphere (Figure S5). It also merits attention that the AMOC impact on MHW frequency seems muted after mid-2080s when the average frequency of global MHWs in the AMOC_fx simulation gets very close to that in the AMOC_free simulation (Figure 4e). This result can be explained by the near-permanent MHW state over the NAWH if there were to be a lack of AMOC slowdown, which would induce a local decrease of MHW frequency compensating the increase of MHW frequency in many other regions in the Northern Hemisphere (Figure 2e).

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271 **4. Summary and Conclusions**

272 In this study, we have analyzed the global MHW characteristics since 1980s and
273 explored the effect of centurial AMOC slowdown on MHWs in the context of global
274 warming by controlling the AMOC strength through CCSM4 experiments. We find that the
275 AMOC slowdown leads to a reminiscent change of bipolar-seesaw in global MHW frequency,
276 appearing as less and more frequent MHWs in the Northern and Southern Hemispheres.
277 Compared to natural climate variability, the AMOC effect on MHWs is insignificant during
278 the past four decades over the global oceans except in the NAWH region where the weakened
279 AMOC leads to a divergence of meridional oceanic heat transport and a relative cooler mean-
280 state SST, helping cut the annual occurrence and duration of MHWs by about half. This
281 feature is further illustrated by the PDF changes of regional SSTAs. Accompanying with a
282 continuous AMOC slowdown in the current century, the AMOC effect on MHWs becomes
283 significant over a much broader area in the Northern Hemisphere during 2061-2100, which
284 covers the Gulf of Maine, the northern Mediterranean Sea, the East China Sea and the
285 California Current region. The NAWH region would reach a near-permanent MHW state if
286 the AMOC were not to weaken, which would lead to a local decrease of MHW frequency
287 against the increase of MHW frequency in many other regions in the Northern Hemisphere.
288 As a result, the global averages of MHW frequency are highly similar between the
289 AMOC_free and AMOC_fx simulations.

290 Above results are based on 1-degree CCSM4 simulations. Nevertheless, it has been
291 suggested that high-resolution climate models with with 0.1-degree oceans could better
292 represent temperature changes in coastal regions (e.g., Saba et al., 2016) and also likely better
293 simulate AMOC structure (Hirschi et al., 2020). It has also been shown that the model of 0.1-
294 degree ocean exhibits improvements in global and regional MHW simulations when

295 compared with the model of 1-degree ocean (Pilo et al. 2019). To this end, the AMOC impact
 296 on global MHWs is expected to be further tested using high-resolution climate models.

297

298 **Acknowledgments and data**

299 This work is supported by grants to W.L. from U.S. National Science Foundation (AGS-
 300 2053121 and OCE-2123422). W.L. is also supported by the Alfred P. Sloan Foundation as a
 301 Research Fellow and by the Hellman Fellows Fund as a Hellman Fellow. We would like to
 302 thank Neil Holbrook and another anonymous reviewer for their insightful reviews. We also
 303 would like to thank Jian Zhao for beneficial discussions. The OISST v2.1 data are available
 304 at <https://www.ncdc.noaa.gov/oisst/optimum-interpolation-sea-surface-temperature-oisst-v21>
 305 The calculation of MHW intensity, duration and frequency follows Oliver et al. (2018) and
 306 Holbrook, et al. (2019), and the code for calculation is available at

307 https://github.com/ecjoliver/MHW_Drivers

308 Data of CCSM4 and other CMIP5 historical and RCP8.5 simulations are available at

309 <https://esgf-node.llnl.gov/projects/cmip5/>

310 The AMOC_fx experiment is performed by modifying the source code of CCSM4 based on
 311 Liu et al. (2020), and the source code of CCSM4 is available at

312 <https://www.cesm.ucar.edu/models/ccsm4.0/>

313 The data to generate Figures 1-4 are available at zenodo. DOI: 10.5281/zenodo.5559774

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316 **References**

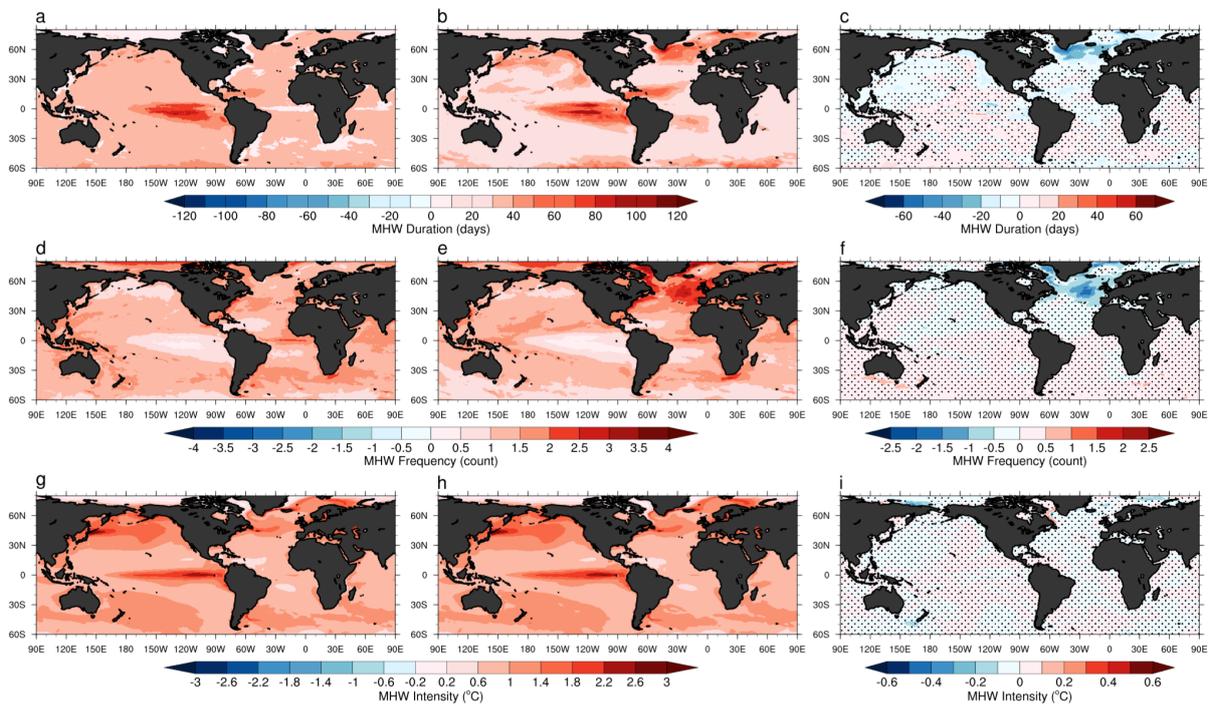
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489 **Figures**

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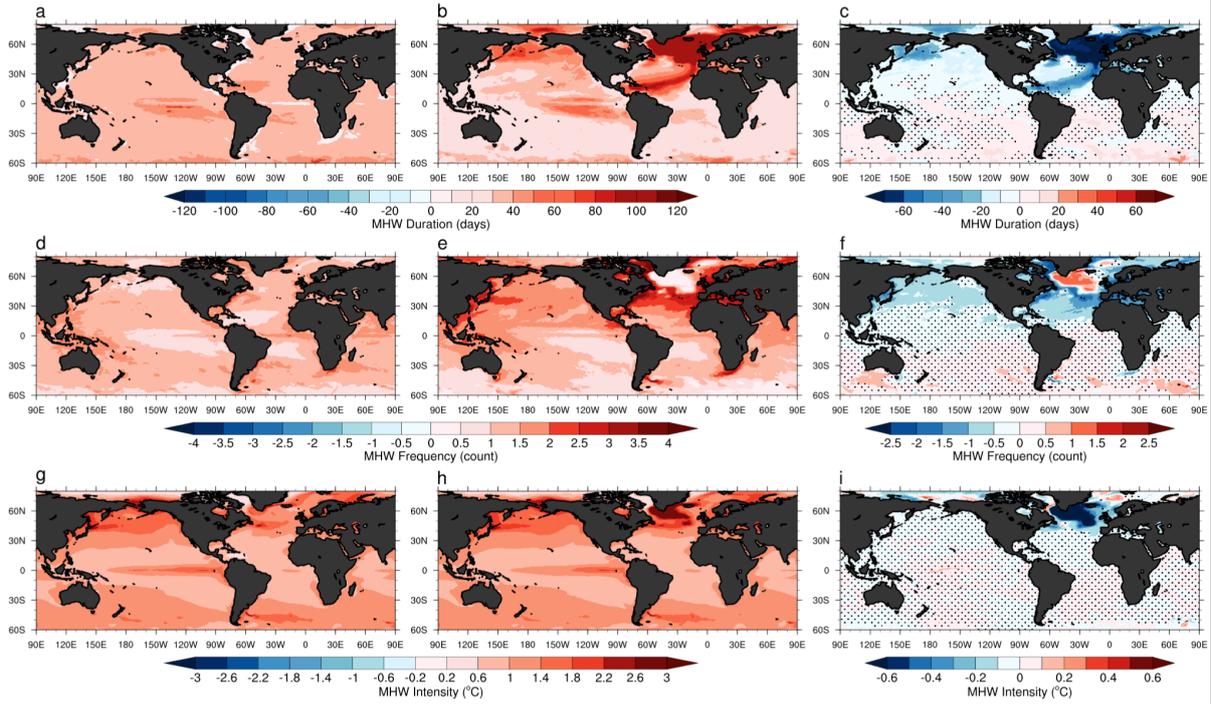
491 **Figure 1.** (top row) Durations of global marine heatwaves (MHWs) for the ensemble means
 492 of CCSM4 (a) AMOC_free and (b) AMOC_fx simulations during 1981–2020 as well as (c)
 493 the difference between the two (a minus b). (middle row) Similar to the top row but for
 494 annual mean MHW frequencies. (bottom row) Similar to the top row but for MHW
 495 intensities. Dotted indicates that the change is not significantly different from zero at the 95%
 496 level.

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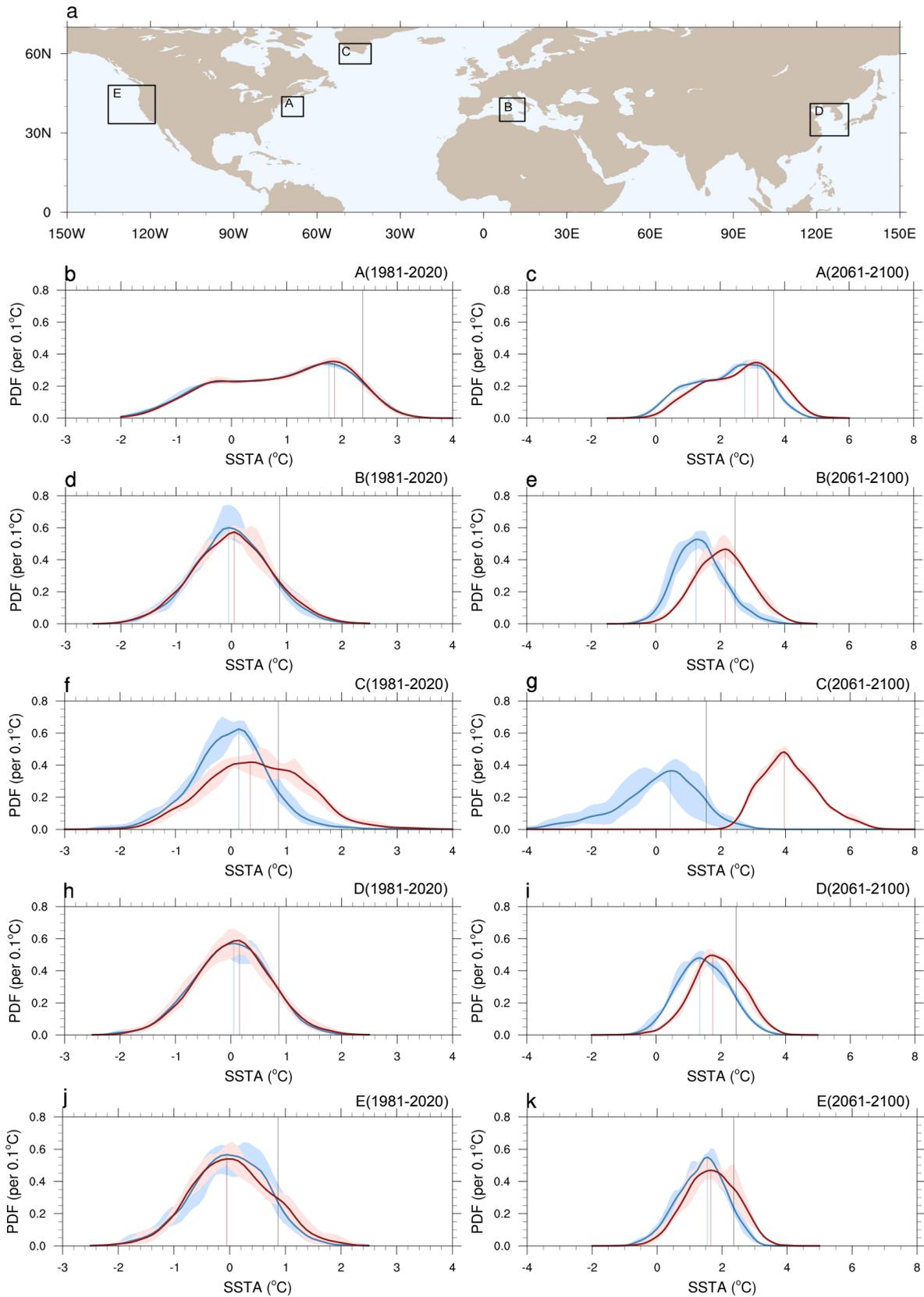
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Figure 2. Similar to Figure 1 but for the period of 2061-2100.



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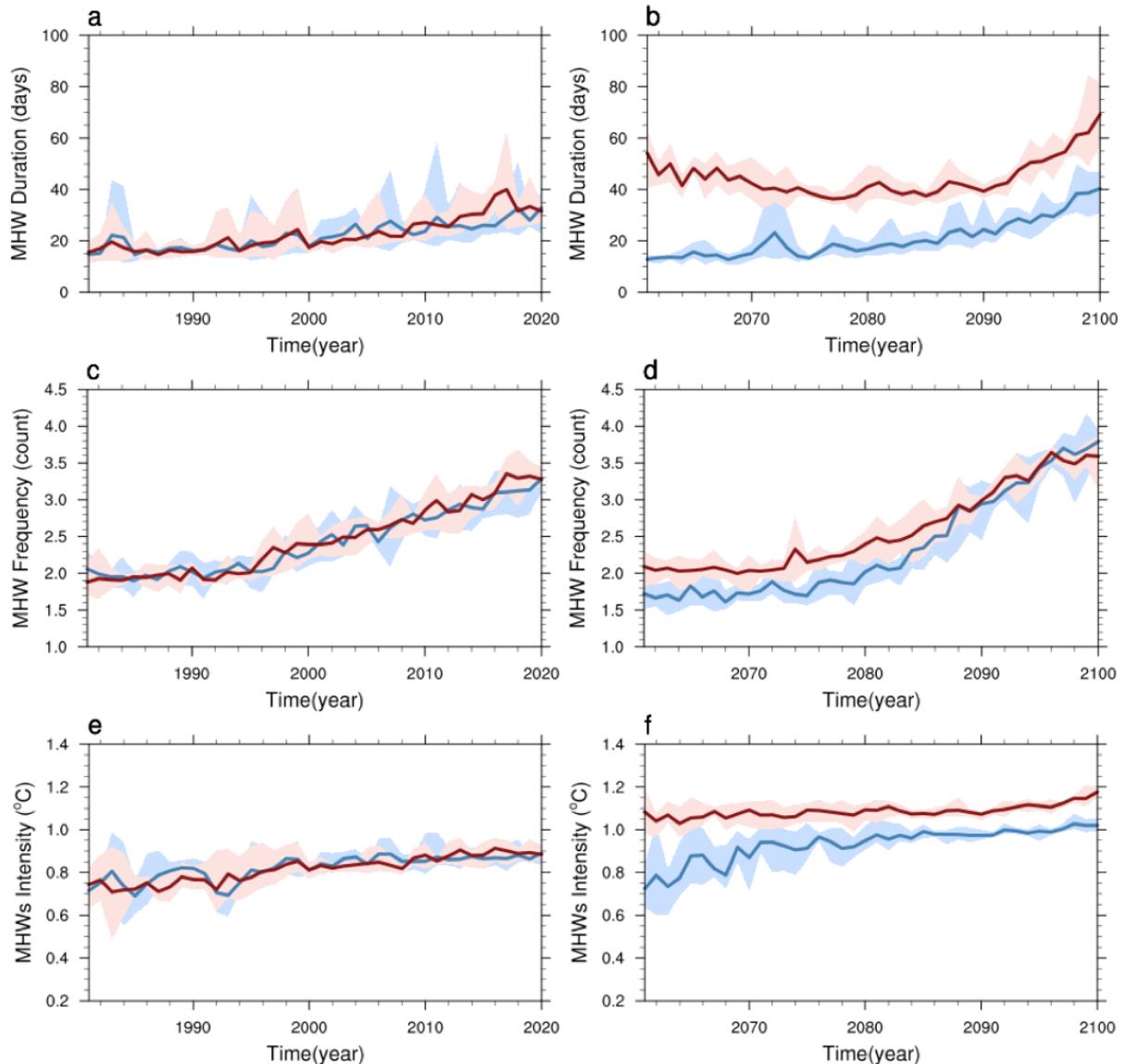
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Figure 3. (a) Four “hot spots” of historical MHWs covering [A] the Gulf of Maine, [B] the northern Mediterranean Sea, [D] the East China Sea and [E] the California Current region as well as [C] the North Atlantic warming hole (NAWH) region. (b-k) Probability density functions (PDFs) of sea surface temperature anomaly (SSTA) in these regions during the

508 periods of (b,d,f,h,j) 1981-2020 and (c,e,g,i,k) 2061-2100 from CCSM4 AMOC_free
509 (ensemble mean, blue solid; mode of the ensemble PDF, blue dashed; ensemble spread, light
510 blue shading) and AMOC_fx (ensemble mean, red solid; mode of the ensemble PDF, red
511 dashed; ensemble spread, light red shading) simulations. For each region, the SSTA is relative
512 to daily SST climatology during either period. The ensemble spread is calculated as one
513 standard deviation of ensemble members. The 90th percentile of the PDF from the
514 AMOC_free simulation is denoted by gray dashed line.

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Figure 4. (top row) Global averages of MHW duration from CCSM4 (a) AMOC_free (ensemble mean, blue solid; ensemble spread, light blue shading) and (b) AMOC_fx (ensemble mean, red solid; ensemble spread, light red shading) simulations during 1981–2020. (middle row) Similar to the top row but for global averages of annual mean MHW frequency. (bottom row) Similar to the top row but for global averages of MHW intensity. The ensemble spread is calculated as one standard deviation of ensemble members.