

# PURE and APPLIED ANALYSIS

PAA

JOHN K. HUNTER, JINGYANG SHU AND QINGTIAN ZHANG

GLOBAL SOLUTIONS OF  
A SURFACE QUASIGEOSTROPHIC FRONT EQUATION



vol. 3 no. 3 2021



## GLOBAL SOLUTIONS OF A SURFACE QUASIGEOSTROPHIC FRONT EQUATION

JOHN K. HUNTER, JINGYANG SHU AND QINGTIAN ZHANG

We consider a nonlinear, spatially nonlocal initial value problem in one space dimension on  $\mathbb{R}$  that describes the motion of surface quasigeostrophic (SQG) fronts. We prove that the initial value problem has a unique local smooth solution under a convergence condition on the multilinear expansion of the nonlinear term in the equation, and, for sufficiently smooth and small initial data, we prove that the solution is global.

1. Introduction	403
2. Preliminaries	406
3. Reformulation of the equation	413
4. Energy estimates and local well-posedness	421
5. Global solution for small initial data	437
6. Linear dispersive estimate	439
7. Scaling-Galilean estimate	442
8. Nonlinear dispersive estimate	444
Appendix A. Alternative formulation of the SQG front equation	463
Appendix B. Paradifferential calculus	464
References	469

### 1. Introduction

In this paper, we prove the existence of global small, smooth solutions of the initial value problem

$$\begin{cases} \varphi_t(x, t) + \int_{\mathbb{R}} [\varphi_x(x, t) - \varphi_x(x + \xi, t)] \left\{ \frac{1}{|\xi|} - \frac{1}{\sqrt{\xi^2 + [\varphi(x, t) - \varphi(x + \xi, t)]^2}} \right\} d\xi = 2 \log |\partial_x| \varphi_x(x, t), \\ \varphi(x, 0) = \varphi_0(x), \end{cases} \quad (1-1)$$

where  $\varphi : \mathbb{R} \times \mathbb{R}_+ \rightarrow \mathbb{R}$  is defined for  $x \in \mathbb{R}$ ,  $t \in \mathbb{R}_+$ , and

$$L = \log |\partial_x| \quad (1-2)$$

is the Fourier multiplier operator with symbol  $\log |\xi|$ . Our main result is stated in Theorem 5.1.

This initial value problem describes front solutions of the surface quasigeostrophic (SQG) equation

$$\theta_t + u \cdot \nabla \theta = 0, \quad u = (-\Delta)^{-1/2} \nabla^\perp \theta, \quad (1-3)$$

where  $(-\Delta)^{-1/2}$  is a fractional inverse Laplacian on  $\mathbb{R}^2$  and  $\nabla^\perp = (-\partial_y, \partial_x)$ . The SQG equation arises as a description of quasigeostrophic flows confined to a surface [Lapeyre 2017; Pedlosky 1987]. After the

Hunter was supported by the NSF under grant numbers DMS-1616988 and DMS-1908947.

*MSC2020:* primary 35Q35, 35Q86; secondary 86A10.

*Keywords:* surface quasigeostrophic equation, surface waves, nonlinear dispersive waves, global solutions.

incompressible Euler equation, it is the most physically important member of a family of two-dimensional active scalar problems for  $\theta$  with a divergence-free transport velocity  $u = (-\Delta)^{-\alpha/2} \nabla^\perp \theta$  and  $0 < \alpha \leq 2$ . The case  $\alpha = 2$  gives the vorticity-stream function formulation of the incompressible Euler equation [Majda and Bertozzi 2002], while  $\alpha = 1$  gives the SQG equation.

The SQG equation is also of interest from an analytical perspective because it has similar features to the three-dimensional incompressible Euler equation [Constantin et al. 1994]; in both cases, the question of singularity formation in smooth solutions remains open. The SQG equation has global weak solutions [Marchand 2008; Resnick 1995], and, as for the Euler equation, nonunique weak solutions of the SQG initial value problem may be constructed by convex integration [Buckmaster et al. 2019; Isett and Ma 2021]. The SQG equation also has a nontrivial family of global smooth solutions [Castro et al. 2020].

By SQG front solutions, we mean piecewise-constant solutions of (1-3) with

$$\theta(x, y, t) = \begin{cases} \theta_+ & \text{if } y > \varphi(x, t), \\ \theta_- & \text{if } y < \varphi(x, t), \end{cases}$$

where  $\theta_+$  and  $\theta_-$  are distinct constants, in which  $\theta$  has a jump discontinuity across a front located at  $y = \varphi(x, t)$  with  $x \in \mathbb{R}$ ; in (1-1), the jump is normalized to  $\theta_+ - \theta_- = 2\pi$ . We assume that the front is a graph and do not consider questions related to the breaking or filamentation of the front.

We contrast these front solutions with SQG patches, in which

$$\theta(x, y, t) = \begin{cases} \theta_+ & \text{if } (x, y) \in \Omega(t), \\ 0 & \text{if } (x, y) \notin \Omega(t), \end{cases}$$

where  $\Omega(t) \subset \mathbb{R}^2$  is a bounded, simply connected region with smooth boundary. Contour dynamics equations for the motion of patches in SQG, Euler, and generalized SQG (with arbitrary values of  $0 < \alpha \leq 2$ ) are straightforward to write down, although they require an appropriate regularization of a locally nonintegrable singularity in the Green's function of  $(-\Delta)^{\alpha/2}$  when  $0 < \alpha \leq 1$ . Local well-posedness of the contour dynamics equations for SQG and generalized SQG patches is proved in [Córdoba et al. 2018; Gancedo 2008], and generalized SQG patches in the more locally singular regime  $0 < \alpha < 1$  are studied in [Chae et al. 2012; Khor and Rodrigo 2021a; 2021b].

The boundary of a vortex patch in the Euler equation remains globally smooth in time [Bertozzi and Constantin 1993; Chemin 1993; 1998], but this question remains open for SQG patches. Splash singularities cannot occur in a smooth boundary of an SQG patch [Gancedo and Strain 2014], while numerical results suggest the formation of complex, self-similar singularities in a single patch [Scott and Dritschel 2014; 2019] and a curvature blow up when two patches touch [Córdoba et al. 2005]. Singularity formation in the boundary of generalized SQG patches has been proved in the presence of a rigid boundary when  $\alpha$  is sufficiently close to 2 [Gancedo and Patel 2021; Kiselev et al. 2016; 2017], and a class of nontrivial global smooth solutions for SQG patches is constructed in [Castro et al. 2016a; 2016b; Gómez-Serrano 2019].

When  $0 < \alpha < 1$ , it is straightforward to derive contour dynamics equations for fronts in the same way as one does for patches. In that case, [Córdoba et al. 2019] proves the global well-posedness of the initial-value problem on  $\mathbb{R}$  for small, smooth generalized SQG fronts.

When  $1 \leq \alpha \leq 2$ , additional problems arise in the formulation of contour dynamics equations for fronts as a result of the slow decay of the Green's function and the lack of compact support of  $\theta$ . Front equations, including (1-1), are derived by a regularization procedure in [Hunter and Shu 2018], and a detailed derivation of (1-1) from the SQG equation is given in [Hunter et al. 2020]. Unlike the front equations with  $\alpha \neq 1$ , the SQG front equation requires both “ultraviolet” and “infrared” regularization in the front equation to account for the failure of both local and global integrability of the SQG Green's function  $G(r) = 1/r$  on  $\mathbb{R}$ . This failure leads to the logarithmic derivatives in (1-1), rather than the fractional derivatives that occur for generalized SQG fronts with  $\alpha \neq 1$ .

In the case of spatially periodic fronts with  $x \in \mathbb{T} = \mathbb{R}/2\pi\mathbb{Z}$ , one can write down front equations directly by using the Green's function of  $(-\Delta)^{\alpha/2}$  on the cylinder  $\mathbb{T} \times \mathbb{R}$ . Local well-posedness for spatially periodic SQG front-type equations is proved in [Rodrigo 2005] for  $C^\infty$ -solutions by a Nash–Moser method and in [Fefferman and Rodrigo 2011] for analytic solutions by a Cauchy–Kowalewski method. Almost sharp fronts, across which  $\theta$  is continuous, are studied in [Córdoba et al. 2004; Fefferman et al. 2012; Fefferman and Rodrigo 2012; 2015].

The local well-posedness in Sobolev spaces of a cubically nonlinear approximation of (1-1) for spatially periodic solutions is proved in [Hunter et al. 2018]. In this paper, we consider the fully nonlinear equation (1-1) on  $\mathbb{R}$ . The problem on  $\mathbb{R}$  differs from the problem on  $\mathbb{T}$  in two respects. First, the logarithmic multiplier  $\log |\xi|$  is unbounded at low frequencies, which does not occur on  $\mathbb{T}$  when  $\xi \in \mathbb{Z} \setminus \{0\}$  is discrete and nonzero. Second, the linearized equation on  $\mathbb{R}$  provides dispersive decay, which allows us to get global solutions for sufficiently small, smooth initial data. In this paper, we do not attempt to obtain a sharp regularity result for these solutions.

The general strategy for proving the global existence of small solutions of dispersive equations is to prove an energy estimate together with a dispersive decay estimate. Energy estimates for (1-1) in the usual  $H^s$ -Sobolev spaces lead to a logarithmic loss of derivatives [Hunter and Shu 2018]. However, as shown in [Hunter et al. 2018] for spatially periodic solutions of the cubic approximation, we can obtain good energy estimates in suitably weighted  $H^s$ -spaces by paralinearizing the equation and using the linear dispersive term to control the logarithmic loss of derivatives from the nonlinear term.

The proof of the dispersive estimates is more delicate. The linear part of the equation provides  $t^{-1/2}$  decay for the  $L^\infty$ -norm of the solution, but this is not sufficient to close the global energy estimates for the full equation, since the  $O(t^{-1})$  contribution from the cubically nonlinear term is not integrable in time. We therefore need to analyze the nonlinear dispersive behavior in more detail. We do this by the method of space-time resonances introduced by Germain, Masmoudi and Shatah [Germain 2010; Germain et al. 2009; 2012], together with estimates for weighted  $L_\xi^\infty$ -norms — the so-called  $Z$ -norms — developed by Ionescu and his collaborators [Córdoba et al. 2019; Deng et al. 2017a; 2017b; Ionescu and Pausader 2013; Ionescu and Pusateri 2015; 2016; 2018].

Our  $Z$ -norm estimates in Section 8 involve a detailed frequency-space analysis. The most difficult part is the estimate of the cubically nonlinear terms. In most regions of frequency space, these terms are nonresonant, and we can use integration by parts in either the spatial or temporal frequency variables to estimate the corresponding oscillatory integrals. In regions of space-time resonances, we use the method

of modified scattering to account for the nonlinear, long-time asymptotics of the solutions [Ionescu and Pusateri 2014; Ozawa 1991].

In [Córdoba et al. 2019], where the authors prove global well-posedness of the initial-value problem for the generalized SQG front equation with  $0 < \alpha < 1$ , the linearized equation  $\varphi_t = \partial_x |\partial_x|^{1-\alpha} \varphi$  has a scaling invariance, with dispersion relation  $\tau = \xi |\xi|^{1-\alpha}$ , and it commutes with the vector field  $x \partial_x + (2 - \alpha)t \partial_t$ . This commutation provides a key ingredient in the dispersive estimates. The SQG equation considered here corresponds to the limiting case  $\alpha = 1$ , and its linearized dispersion relation is  $\tau = 2\xi \log |\xi|$ . The linearized equation  $\varphi_t = 2 \log |\partial_x| \varphi_x$  is not scale-invariant, but it has a combined scaling-Galilean invariance and commutes with the scaling-Galilean vector field  $\mathcal{S} = (x + 2t)\partial_x + t\partial_t$ , which we use to obtain dispersive estimates.

This paper is organized as follows. In Section 2, we collect some fundamental facts and estimates that we use later. In Section 3, we expand and paralinearize the nonlinear terms in the evolution equation. In Section 4, we derive weighted energy estimates and prove a local well-posedness result in Theorem 4.1. In Section 5, we state the global existence result in Theorem 5.1. Finally, in Sections 6–8 we carry out the three key steps in the proof of global existence: linear dispersive estimates, scaling-Galilean estimates, and nonlinear dispersive estimates.

## 2. Preliminaries

**2A. Paradifferential calculus.** In this section, we state several lemmas for Fourier multiplier operators that follow from the Weyl paradifferential calculus. Further discussion of the Weyl calculus and paraproducts can be found in [Bahouri et al. 2011; Chemin 1998; Hörmander 1985; Taylor 2000].

We denote the Fourier transform of  $f : \mathbb{R} \rightarrow \mathbb{C}$  by  $\hat{f} : \mathbb{R} \rightarrow \mathbb{C}$ , where  $\hat{f} = \mathcal{F}f$  is given by

$$f(x) = \int_{\mathbb{R}} \hat{f}(\xi) e^{i\xi x} d\xi, \quad \hat{f}(\xi) = \frac{1}{2\pi} \int_{\mathbb{R}} f(x) e^{-i\xi x} dx.$$

For  $s \in \mathbb{R}$ , we denote by  $H^s(\mathbb{R})$  the space of Schwartz distributions  $f$  with  $\|f\|_{H^s} < \infty$ , where

$$\|f\|_{H^s} = \left[ \int_{\mathbb{R}} (1 + |\xi|^2)^s |\hat{f}(\xi)|^2 d\xi \right]^{1/2}.$$

Throughout this paper, we use  $A \lesssim B$  to mean there is a constant  $C$  such that  $A \leq CB$ , and  $A \gtrsim B$  to mean there is a constant  $C$  such that  $A \geq CB$ . We use  $A \approx B$  to mean that  $A \lesssim B$  and  $B \lesssim A$ .

Let  $\chi : \mathbb{R} \rightarrow \mathbb{R}$  be a smooth function such that

$$\chi \text{ is supported in the interval } \{\xi \in \mathbb{R} \mid |\xi| \leq \frac{1}{10}\}, \text{ and } \chi(\xi) = 1 \text{ on } \{\xi \in \mathbb{R} \mid |\xi| \leq \frac{3}{40}\}. \quad (2-1)$$

If  $f$  is a Schwartz distribution and  $a : \mathbb{R} \times \mathbb{R} \rightarrow \mathbb{C}$  is a symbol, then we define a Weyl paraproduct  $T_a f$  by

$$\mathcal{F}[T_a f](\xi) = \int_{\mathbb{R}} \chi\left(\frac{|\xi - \eta|^2}{1 + |\xi + \eta|^2}\right) \tilde{a}\left(\xi - \eta, \frac{\xi + \eta}{2}\right) \hat{f}(\eta) d\eta, \quad (2-2)$$

where  $\tilde{a}(\xi, \eta)$  denotes the partial Fourier transform of  $a(x, \eta)$  with respect to  $x$ . For  $r_1, r_2 \in \mathbb{N}_0 = \mathbb{N} \cup \{0\}$ , we define a normed symbol space by

$$\begin{aligned} \mathcal{M}_{(r_1, r_2)} &= \{a : \mathbb{R} \times \mathbb{R} \rightarrow \mathbb{C} \mid \|a\|_{\mathcal{M}_{(r_1, r_2)}} < \infty\}, \\ \|a\|_{\mathcal{M}_{(r_1, r_2)}} &= \sup_{(x, \xi) \in \mathbb{R}^2} \left\{ \sum_{\alpha=0}^{r_1} \sum_{\beta=0}^{r_2} (1 + |\xi|)^\beta |\partial_x^\alpha \partial_\xi^\beta a(x, \xi)| \right\}. \end{aligned} \quad (2-3)$$

The following lemma is proved in Appendix B.

**Lemma 2.1.** *Let  $s \in \mathbb{R}$ . If  $a \in \mathcal{M}_{(1,1)}$  and  $f \in H^s(\mathbb{R})$ , then  $T_a f \in H^s(\mathbb{R})$  and*

$$\|T_a f\|_{H^s} \lesssim \|a\|_{\mathcal{M}_{(1,1)}} \|f\|_{H^s}.$$

Next, we prove some commutator estimates. We denote by  $\log_+ |\partial_x|$  the Fourier multiplier with symbol

$$\log_+ |\xi| = \begin{cases} \log |\xi| & \text{for } |\xi| > 1, \\ 0 & \text{for } |\xi| \leq 1. \end{cases}$$

**Lemma 2.2.** *Let  $s \in \mathbb{R}$ . Suppose that  $f \in H^s(\mathbb{R})$ ,  $a \in \mathcal{M}_{(2,1)}$ , and  $b \in \mathcal{M}_{(1,2)}$ . Then*

$$\|[\partial_x, T_a]f\|_{H^s} \lesssim \|a\|_{\mathcal{M}_{(2,1)}} \|f\|_{H^s}, \quad (2-4)$$

$$\|[\log_+ |\partial_x|, T_a]f\|_{H^s} \lesssim \|a\|_{\mathcal{M}_{(2,1)}} \|f\|_{H^{s-1}}, \quad (2-5)$$

$$\|[x, T_b]f\|_{H^s} \lesssim \|b\|_{\mathcal{M}_{(1,2)}} \|f\|_{H^s}, \quad (2-6)$$

$$\|x T_b f - T_{xb} f\|_{H^s} \lesssim \|b\|_{\mathcal{M}_{(1,2)}} \|f\|_{H^s}. \quad (2-7)$$

*Proof.* (1) We have  $[\partial_x, T_a] = T_{\partial_x a}$ , so (2-4) follows from Lemma 2.1.

(2) Next, we prove (2-5). By the definition (2-2) of the Weyl paraproduct, we have for  $\xi \neq 0$  that

$$\begin{aligned} \mathcal{F}[\log_+ |\partial_x| T_a v](\xi) &= \log_+ |\xi| \int_{\mathbb{R}} \chi\left(\frac{|\xi - \eta|^2}{1 + |\xi + \eta|^2}\right) \tilde{a}\left(\xi - \eta, \frac{\xi + \eta}{2}\right) \hat{v}(\eta) d\eta \\ &= \int_{\mathbb{R}} \log_+ |\xi - \eta + \eta| \chi\left(\frac{|\xi - \eta|^2}{1 + |\xi + \eta|^2}\right) \tilde{a}\left(\xi - \eta, \frac{\xi + \eta}{2}\right) \hat{v}(\eta) d\eta. \end{aligned} \quad (2-8)$$

If  $(\xi, \eta)$  belongs to the support of  $\chi(|\xi - \eta|^2 / (1 + |\xi + \eta|^2))$ , then we claim that

$$\left| \frac{\xi - \eta}{\eta} \right| \leq \frac{17}{18} \quad \text{when } |\eta| \geq 2. \quad (2-9)$$

To prove this claim, we observe that

$$\frac{|\xi - \eta|^2}{1 + |\xi + \eta|^2} \leq \frac{1}{10}$$

implies that

$$9 \left| \frac{\xi - \eta}{\eta} - \frac{2}{9} \right|^2 \leq \frac{40}{9} + \frac{1}{\eta^2} \leq \frac{169}{36},$$

and it follows that

$$\left| \frac{\xi - \eta}{\eta} \right| \leq \left| \frac{\xi - \eta}{\eta} - \frac{2}{9} \right| + \frac{2}{9} \leq \frac{17}{18}.$$

We introduce a smooth cutoff function  $\iota(\eta)$  supported in  $\{|\eta| \leq 3\}$  with  $\iota(\eta) = 1$  on  $\{|\eta| \leq 2\}$ . In view of (2-9), when  $|\eta| > 2$  we can use

$$\log |\xi - \eta + \eta| = \log |\eta| + \log \left| 1 + \frac{\xi - \eta}{\eta} \right|,$$

and we obtain from (2-8) that, for  $|\xi| > 1$ ,

$$\begin{aligned} \mathcal{F}[\log_+ |\partial_x| T_a f](\xi) &= \int_{\mathbb{R}} (1 - \iota(\eta)) \left[ \log |\eta| + \log \left| 1 + \frac{\xi - \eta}{\eta} \right| \right] \chi \left( \frac{|\xi - \eta|^2}{1 + |\xi + \eta|^2} \right) \tilde{a} \left( \xi - \eta, \frac{\xi + \eta}{2} \right) \hat{f}(\eta) d\eta \\ &\quad + \log_+ |\xi| \int_{\mathbb{R}} \iota(\eta) \chi \left( \frac{|\xi - \eta|^2}{1 + |\xi + \eta|^2} \right) \tilde{a} \left( \xi - \eta, \frac{\xi + \eta}{2} \right) \hat{f}(\eta) d\eta. \end{aligned}$$

We also have

$$\mathcal{F}[T_a \log_+ |\partial_x| f](\xi) = \int_{\mathbb{R}} \log_+ |\eta| \chi \left( \frac{|\xi - \eta|^2}{1 + |\xi + \eta|^2} \right) \tilde{a} \left( \xi - \eta, \frac{\xi + \eta}{2} \right) \hat{f}(\eta) d\eta.$$

By taking the difference of the previous two equations, we get

$$\begin{aligned} \mathcal{F}[\log_+ |\partial_x| T_a f](\xi) - \mathcal{F}[T_a \log_+ |\partial_x| f](\xi) &= \int_{\mathbb{R}} (1 - \iota(\eta)) \left[ \log \left| 1 + \frac{\xi - \eta}{\eta} \right| \right] \chi \left( \frac{|\xi - \eta|^2}{1 + |\xi + \eta|^2} \right) \tilde{a} \left( \xi - \eta, \frac{\xi + \eta}{2} \right) \hat{f}(\eta) d\eta \\ &\quad + \int_{\mathbb{R}} \iota(\eta) (\log_+ |\xi| - \log_+ |\eta|) \chi \left( \frac{|\xi - \eta|^2}{1 + |\xi + \eta|^2} \right) \tilde{a} \left( \xi - \eta, \frac{\xi + \eta}{2} \right) \hat{f}(\eta) d\eta. \end{aligned} \quad (2-10)$$

The integrand in the first integral on the right-hand side of (2-10) is supported on

$$\left\{ (\xi, \eta) \mid |\eta| > 2, \frac{|\xi - \eta|}{|\eta|} < \frac{17}{18} \right\}.$$

Thus, if  $\mathcal{P}(\xi, \eta)$  is a smooth cutoff function supported in a small neighborhood of this set and equal to 1 on the set, then the first integral can be written as

$$\int_{\mathbb{R}} \mathcal{P}(\xi, \eta) \left[ \frac{\eta}{\xi - \eta} \log \left| 1 + \frac{\xi - \eta}{\eta} \right| \right] \chi \left( \frac{|\xi - \eta|^2}{1 + |\xi + \eta|^2} \right) (\xi - \eta) \tilde{a} \left( \xi - \eta, \frac{\xi + \eta}{2} \right) \left[ \frac{1 - \iota(\eta)}{\eta} \hat{f}(\eta) \right] d\eta.$$

We define

$$\tilde{A}(\zeta_1, \zeta_2) = \frac{2\zeta_2 - \zeta_1}{2i\zeta_1} \log \left| 1 + \frac{2\zeta_1}{2\zeta_2 - \zeta_1} \right| \widetilde{\partial_1 a}(\zeta_1, \zeta_2) \mathcal{P} \left( \zeta_2 + \frac{\zeta_1}{2}, \zeta_2 - \frac{\zeta_1}{2} \right),$$

so that

$$A(x, \zeta_2) = \frac{\partial_x^{-1}}{2} (2\zeta_2 + i\partial_x) \log |1 - 2i\partial_x (2\zeta_2 + i\partial_x)^{-1}| \mathcal{P} \left( \zeta_2 - \frac{i\partial_x}{2}, \zeta_2 + \frac{i\partial_x}{2} \right) \partial_x a(x, \zeta_2).$$

Then the first integral on the right-hand-side of (2-10) can be written in terms of a paradifferential operator with symbol  $A$  as

$$\int_{\mathbb{R}} \chi\left(\frac{|\xi - \eta|^2}{1 + |\xi + \eta|^2}\right) \tilde{A}\left(\xi - \eta, \frac{\xi + \eta}{2}\right) \left[ \frac{1 - \iota(\eta)}{\eta} \hat{f}(\eta) \right] d\eta = \mathcal{F}[T_A g](\xi), \quad g = \mathcal{F}^{-1}\left[\frac{1 - \iota}{\eta} \hat{f}\right].$$

By Lemma 2.1, we have

$$\|T_A g\| \lesssim \|A\|_{\mathcal{M}_{(1,1)}} \|g\|_{H^s} \lesssim \|A\|_{\mathcal{M}_{(1,1)}} \|f\|_{H^{s-1}}.$$

Because of the cutoff function  $\mathcal{P}$ , we see that the support of  $\tilde{A}(\xi_1, \xi_2)$  is contained in

$$|2\xi_2 - \xi_1| > 4, \quad \left| \frac{2\xi_1}{2\xi_2 - \xi_1} \right| < \frac{17}{18}.$$

So  $\partial_1 a(\cdot, \xi_2) \mapsto A(\cdot, \xi_2)$  is a zeroth-order pseudodifferential operator. By carrying out a dyadic decomposition and using Bernstein's inequality [Bahouri et al. 2011], we obtain that

$$\|A\|_{\mathcal{M}_{(1,1)}} \lesssim \|a\|_{\mathcal{M}_{(2,1)}}.$$

It follows that the first term on the right-hand side of (2-10) satisfies the estimate (2-5).

For the second term on the right-hand side of (2-10), the cutoff functions  $\chi, \iota$  ensure that  $|\xi| < 6$ ,  $|\eta| < 3$ . Therefore we have the  $H^s$ -estimate

$$\begin{aligned} & \left\| (1 + |\xi|^2)^{s/2} \int_{\mathbb{R}} \iota(\eta) (\log_+ |\xi| - \log_+ |\eta|) \chi\left(\frac{|\xi - \eta|^2}{1 + |\xi + \eta|^2}\right) \tilde{a}\left(\xi - \eta, \frac{\xi + \eta}{2}\right) \hat{f}(\eta) d\eta \right\|_{L_{\xi}^2} \\ & \lesssim \left\| (1 + |\xi|^2)^{s/2} \log_+ |\xi| \int_{\mathbb{R}} \chi\left(\frac{|\xi - \eta|^2}{1 + |\xi + \eta|^2}\right) \tilde{a}\left(\xi - \eta, \frac{\xi + \eta}{2}\right) [\iota(\eta) \hat{f}(\eta)] d\eta \right\|_{L_{\xi}^2} \\ & \quad + \left\| (1 + |\xi|^2)^{s/2} \int_{\mathbb{R}} \chi\left(\frac{|\xi - \eta|^2}{1 + |\xi + \eta|^2}\right) \tilde{a}\left(\xi - \eta, \frac{\xi + \eta}{2}\right) [\iota(\eta) \log_+ |\eta| \hat{f}(\eta)] d\eta \right\|_{L_{\xi}^2} \\ & \lesssim \left\| \int_{\mathbb{R}} \chi\left(\frac{|\xi - \eta|^2}{1 + |\xi + \eta|^2}\right) \tilde{a}\left(\xi - \eta, \frac{\xi + \eta}{2}\right) [\iota(\eta) \hat{f}(\eta)] d\eta \right\|_{L_{\xi}^2} \\ & \quad + \left\| \int_{\mathbb{R}} \chi\left(\frac{|\xi - \eta|^2}{1 + |\xi + \eta|^2}\right) \tilde{a}\left(\xi - \eta, \frac{\xi + \eta}{2}\right) [\iota(\eta) \log_+ |\eta| \hat{f}(\eta)] d\eta \right\|_{L_{\xi}^2} \\ & = \|T_a g\|_{L^2} + \|T_a h\|_{L^2}, \end{aligned}$$

where

$$g = \mathcal{F}^{-1}[\iota \hat{f}], \quad h = \mathcal{F}^{-1}[\iota \log_+ |\eta| \hat{f}],$$

and Lemma 2.1 implies that the second term also satisfies (2-5).

(3) To prove (2-6), we compute that

$$\begin{aligned} & \mathcal{F}[[x, T_b]f](\xi) \\ & = i \partial_{\xi} \widehat{T_b f}(\xi) - \widehat{T_b(xf)}(\xi) \\ & = i \int_{\mathbb{R}} \partial_{\xi} \left[ \chi\left(\frac{|\xi - \eta|^2}{1 + |\xi + \eta|^2}\right) \tilde{b}\left(\xi - \eta, \frac{\xi + \eta}{2}\right) \right] \hat{f}(\eta) d\eta - i \int_{\mathbb{R}} \chi\left(\frac{|\xi - \eta|^2}{1 + |\xi + \eta|^2}\right) \tilde{b}\left(\xi - \eta, \frac{\xi + \eta}{2}\right) \partial_{\eta} \hat{f}(\eta) d\eta. \end{aligned}$$

We rewrite the first integral above as

$$\begin{aligned}
& \int_{\mathbb{R}} \partial_{\xi} \left[ \chi \left( \frac{|\xi - \eta|^2}{1 + |\xi + \eta|^2} \right) \tilde{b} \left( \xi - \eta, \frac{\xi + \eta}{2} \right) \right] \hat{f}(\eta) d\eta \\
&= \int_{\mathbb{R}} (\partial_{\xi_1} + \partial_{\xi_2}) \left[ \chi \left( \frac{|\xi_1 - \eta|^2}{1 + |\xi_2 + \eta|^2} \right) \tilde{b} \left( \xi_1 - \eta, \frac{\xi_2 + \eta}{2} \right) \right] \Big|_{\xi_1 = \xi_2 = \xi} \hat{f}(\eta) d\eta \\
&= \int_{\mathbb{R}} (2\partial_{\xi_2} - \partial_{\eta}) \left[ \chi \left( \frac{|\xi_1 - \eta|^2}{1 + |\xi_2 + \eta|^2} \right) \tilde{b} \left( \xi_1 - \eta, \frac{\xi_2 + \eta}{2} \right) \right] \Big|_{\xi_1 = \xi_2 = \xi} \hat{f}(\eta) d\eta \\
&= \int_{\mathbb{R}} 2\partial_{\xi_2} \left[ \iota \chi \left( \frac{|\xi_1 - \eta|^2}{1 + |\xi_2 + \eta|^2} \right) \tilde{b} \left( \xi_1 - \eta, \frac{\xi_2 + \eta}{2} \right) \right] \Big|_{\xi_1 = \xi_2 = \xi} \hat{f}(\eta) + \left[ \chi \left( \frac{|\xi - \eta|^2}{1 + |\xi + \eta|^2} \right) \tilde{b} \left( \xi - \eta, \frac{\xi + \eta}{2} \right) \right] \partial_{\eta} \hat{f}(\eta) d\eta.
\end{aligned}$$

It follows that

$$\begin{aligned}
\mathcal{F}[x, T_b]f &= 2i \int_{\mathbb{R}} \partial_{\xi_2} \left[ \chi \left( \frac{|\xi_1 - \eta|^2}{1 + |\xi_2 + \eta|^2} \right) \tilde{b} \left( \xi_1 - \eta, \frac{\xi_2 + \eta}{2} \right) \right] \Big|_{\xi_1 = \xi_2 = \xi} \hat{f}(\eta) d\eta \\
&= 2i \int_{\mathbb{R}} \frac{2|\xi - \eta|^2(\xi + \eta)}{[1 + |\xi + \eta|^2]^2} \chi' \left( \frac{|\xi - \eta|^2}{1 + |\xi + \eta|^2} \right) \tilde{b} \left( \xi - \eta, \frac{\xi + \eta}{2} \right) \hat{f}(\eta) d\eta \\
&\quad + i \int_{\mathbb{R}} \chi \left( \frac{|\xi - \eta|^2}{1 + |\xi + \eta|^2} \right) \partial_2 \tilde{b} \left( \xi - \eta, \frac{\xi + \eta}{2} \right) \hat{f}(\eta) d\eta. \quad (2-11)
\end{aligned}$$

From (2-9), in the support of the cutoff function  $\chi$  we have

$$\frac{1}{18}|\eta| \leq |\xi| \leq \frac{35}{18}|\eta| \quad \text{when } |\eta| > 2, \quad \text{and} \quad |\xi| < 6 \quad \text{when } |\eta| < 2.$$

Thus, the first integral on the right-hand-side of (2-11) satisfies

$$\begin{aligned}
& \left\| (1 + |\xi|^2)^{s/2} \int_{\mathbb{R}} \frac{2|\xi - \eta|^2(\xi + \eta)}{[1 + |\xi + \eta|^2]^2} \chi' \left( \frac{|\xi - \eta|^2}{1 + |\xi + \eta|^2} \right) \tilde{b} \left( \xi - \eta, \frac{\xi + \eta}{2} \right) \hat{f}(\eta) d\eta \right\|_{L_{\xi}^2} \\
& \lesssim \left\| \int_{\mathbb{R}} \frac{2|\xi - \eta|^2(\xi + \eta)}{[1 + |\xi + \eta|^2]^2} \chi' \left( \frac{|\xi - \eta|^2}{1 + |\xi + \eta|^2} \right) \tilde{b} \left( \xi - \eta, \frac{\xi + \eta}{2} \right) [\iota(\eta) \hat{f}(\eta)] d\eta \right\|_{L_{\xi}^2} \\
& \quad + \left\| \int_{\mathbb{R}} \frac{2|\xi - \eta|^2(\xi + \eta)}{[1 + |\xi + \eta|^2]^2} \chi' \left( \frac{|\xi - \eta|^2}{1 + |\xi + \eta|^2} \right) \tilde{b} \left( \xi - \eta, \frac{\xi + \eta}{2} \right) [(1 - \iota(\eta))(1 + |\eta|^2)^{s/2} \hat{f}(\eta)] d\eta \right\|_{L_{\xi}^2}.
\end{aligned}$$

These terms can be expressed in terms of a Weyl pseudodifferential operator  $B^w$  in (B-1) with symbol

$$B(x, \xi) = \frac{4\xi \partial_x}{(1 + 4\xi^2)^2} \chi' \left( \frac{-\partial_x^2}{1 + 4\xi^2} \right) b(x, \xi).$$

Using Theorem B.2 and Bernstein's inequality, we then get that

$$\begin{aligned}
\left\| (1 + |\xi|^2)^{s/2} \int_{\mathbb{R}} \frac{2|\xi - \eta|^2(\xi + \eta)}{[1 + |\xi + \eta|^2]^2} \chi' \left( \frac{|\xi - \eta|^2}{1 + |\xi + \eta|^2} \right) \tilde{b} \left( \xi - \eta, \frac{\xi + \eta}{2} \right) \hat{f}(\eta) d\eta \right\|_{L_{\xi}^2} &\lesssim \|B\|_{\mathcal{M}_{(1,1)}} \|f\|_{H^s} \\
&\lesssim \|b\|_{\mathcal{M}_{(1,1)}} \|f\|_{H^s}.
\end{aligned}$$

The second integral on the right-hand-side of (2-11) is the paraproduct  $\mathcal{F}[T_{\partial_2 b} f]$ . By using Lemma 2.1 and the previous estimate, we then obtain (2-6).

(4) To prove (2-7), we compute that

$$\begin{aligned} & \mathcal{F}(x T_b f - T_{x b} f) \\ &= i \int_{\mathbb{R}} \partial_{\xi} \left[ \chi \left( \frac{|\xi - \eta|^2}{1 + |\xi + \eta|^2} \right) \tilde{b} \left( \xi - \eta, \frac{\xi + \eta}{2} \right) \right] \hat{f}(\eta) d\eta - i \int_{\mathbb{R}} \left[ \chi \left( \frac{|\xi - \eta|^2}{1 + |\xi + \eta|^2} \right) \partial_1 \tilde{b} \left( \xi - \eta, \frac{\xi + \eta}{2} \right) \right] \hat{f}(\eta) d\eta \\ &= i \int_{\mathbb{R}} \left[ \partial_{\xi} \chi \left( \frac{|\xi - \eta|^2}{1 + |\xi + \eta|^2} \right) \tilde{b} \left( \xi - \eta, \frac{\xi + \eta}{2} \right) + \frac{1}{2} \chi \left( \frac{|\xi - \eta|^2}{1 + |\xi + \eta|^2} \right) \partial_2 \tilde{b} \left( \xi - \eta, \frac{\xi + \eta}{2} \right) \right] \hat{f}(\eta) d\eta. \end{aligned}$$

The first term satisfies

$$\left\| \int_{\mathbb{R}} \partial_{\xi} \chi \left( \frac{|\xi - \eta|^2}{1 + |\xi + \eta|^2} \right) \tilde{b} \left( \xi - \eta, \frac{\xi + \eta}{2} \right) \hat{f}(\eta) d\eta \right\|_{H^s} \lesssim \|b\|_{\mathcal{M}_{(1,1)}} \|f\|_{H^s},$$

and the second term satisfies

$$\left\| \int_{\mathbb{R}} \chi \left( \frac{|\xi - \eta|^2}{1 + |\xi + \eta|^2} \right) \partial_2 \tilde{b} \left( \xi - \eta, \frac{\xi + \eta}{2} \right) \hat{f}(\eta) d\eta \right\|_{H^s} \lesssim \|b\|_{\mathcal{M}_{(1,2)}} \|f\|_{H^s},$$

which proves (2-7).  $\square$

Finally, writing  $D = -i \partial_x$ , we give an expansion of the operator  $|D| = |\partial_x|$  acting on paraproducts; see [Li 2019].

**Lemma 2.3.** *Let  $s \in \mathbb{R}$ ,  $s \geq 2$ . If  $a \in \mathcal{M}_{(3,1)}$  and  $f \in H^s(\mathbb{R})$ , then*

$$|D|^s T_a f = T_a |D|^s f + s T_{D a} |D|^{s-2} D f + \mathcal{R},$$

where  $\mathcal{R}$  satisfies

$$\|\mathcal{R}\|_{L^2} \lesssim \|a\|_{\mathcal{M}_{(3,1)}} \|f\|_{H^{s-2}(\mathbb{R})},$$

and  $D a$  means that the differential operator  $D$  acts on the function  $x \mapsto a(x, \xi)$  for fixed  $\xi$ .

*Proof.* By the definition of the Weyl paraproduct

$$\begin{aligned} \mathcal{F}(|D|^s T_a f)(\xi) &= |\xi|^s \int_{\mathbb{R}} \chi \left( \frac{|\xi - \eta|^2}{1 + |\xi + \eta|^2} \right) \tilde{a} \left( \xi - \eta, \frac{\xi + \eta}{2} \right) \hat{f}(\eta) d\eta \\ &= \int_{\mathbb{R}} |\xi - \eta + \eta|^s \chi \left( \frac{|\xi - \eta|^2}{1 + |\xi + \eta|^2} \right) \tilde{a} \left( \xi - \eta, \frac{\xi + \eta}{2} \right) \hat{f}(\eta) d\eta, \end{aligned}$$

where  $\tilde{a}$  denotes the partial Fourier transform of  $a$  in the first variable. The low-frequency part satisfies the remainder estimate, so it can be absorbed into  $\mathcal{R}$ , and we only need to consider the high-frequency part with  $|\eta| > 2$ . In that case, (2-9) is satisfied on the support of  $\chi(|\xi - \eta|^2 / (1 + |\xi + \eta|^2))$ . Define  $b(x) = (1 + x)^s - 1 - sx$ . Then

$$|\xi - \eta + \eta|^s = |\eta|^s \left| 1 + \frac{\xi - \eta}{\eta} \right|^s = |\eta|^s \left[ 1 + s \frac{\xi - \eta}{\eta} + b \left( \frac{\xi - \eta}{\eta} \right) \right].$$

In the expression for  $\mathcal{F}[|D|^s T_a f]$ , we get

$$\mathcal{F}[|D|^s T_a f](\xi) = \int_{\mathbb{R}} |\eta|^s \left[ 1 + s \frac{\xi - \eta}{\eta} + b \left( \frac{\xi - \eta}{\eta} \right) \right] \chi \left( \frac{|\xi - \eta|^2}{1 + |\xi + \eta|^2} \right) \tilde{a} \left( \xi - \eta, \frac{\xi + \eta}{2} \right) \hat{f}(\eta) d\eta.$$

Then we only need to estimate

$$\int_{\mathbb{R}} |\eta|^2 b \left( \frac{\xi - \eta}{\eta} \right) \chi \left( \frac{|\xi - \eta|^2}{1 + |\xi + \eta|^2} \right) \tilde{a} \left( \xi - \eta, \frac{\xi + \eta}{2} \right) [(1 - \iota(\eta)) |\eta|^{s-2} \hat{f}(\eta)] d\eta. \quad (2-12)$$

Define the symbol  $A$  by

$$\tilde{A}(\xi_1, \xi_2) = \left| \frac{2\xi_2 - \xi_1}{2} \right|^2 \left( 1 - \iota \left( \frac{2\xi_2 - \xi_1}{2} \right) \right) b \left( \frac{2\xi_1}{2\xi_2 - \xi_1} \right) \tilde{a}(\xi_1, \xi_2).$$

Then (2-12) can be viewed as a paradifferential operator with symbol  $A$ . By considering the supports of  $\chi, \iota$  and using Bernstein's inequality, we see that

$$\|A\|_{\mathcal{M}_{(1,1)}} \lesssim \|a\|_{\mathcal{M}_{(3,1)}}.$$

The result then follows by applying Lemma 2.1 to (2-12).  $\square$

**2B. Fourier multipliers.** Let  $\psi : \mathbb{R} \rightarrow [0, 1]$  be a smooth function supported in  $[-\frac{8}{5}, \frac{8}{5}]$  and equal to 1 in  $[-\frac{5}{4}, \frac{5}{4}]$ . For any  $k \in \mathbb{Z}$ , we define

$$\begin{aligned} \psi_k(\xi) &= \psi(\xi/2^k) - \psi(\xi/2^{k-1}), & \psi_{\leq k}(\xi) &= \psi(\xi/2^k), & \psi_{\geq k}(\xi) &= 1 - \psi(\xi/2^{k-1}), \\ \tilde{\psi}_k(\xi) &= \psi_{k-1}(\xi) + \psi_k(\xi) + \psi_{k+1}(\xi), \end{aligned} \quad (2-13)$$

and denote by  $P_k$ ,  $P_{\leq k}$ ,  $P_{\geq k}$ , and  $\tilde{P}_k$  the Fourier multiplier operators with symbols  $\psi_k$ ,  $\psi_{\leq k}$ ,  $\psi_{\geq k}$ , and  $\tilde{\psi}_k$ , respectively. Notice that  $\psi_k(\xi) = \psi_0(\xi/2^k)$ ,  $\tilde{\psi}_k(\xi) = \tilde{\psi}_0(\xi/2^k)$ .

It is easy to check that

$$\|\psi_k\|_{L^2} \approx 2^{k/2}, \quad \|\psi'_k\|_{L^2} \approx 2^{-k/2}. \quad (2-14)$$

We will need the following interpolation lemma, whose proof can be found in [Ionescu and Pusateri 2016].

**Lemma 2.4.** *For any  $k \in \mathbb{Z}$  and  $f \in L^2(\mathbb{R})$ , we have*

$$\|\widehat{P_k f}\|_{L^\infty}^2 \lesssim \|P_k f\|_{L^1}^2 \lesssim 2^{-k} \|\hat{f}\|_{L_\xi^2} [2^k \|\partial_\xi \hat{f}\|_{L_\xi^2} + \|\hat{f}\|_{L_\xi^2}].$$

We will also use an estimate for multilinear Fourier multipliers proved in [Ionescu and Pusateri 2015]. Before stating the estimate, we introduce some notation.

We define a norm on symbols  $\kappa : \mathbb{R}^d \rightarrow \mathbb{C}$  by

$$\|\kappa\|_{S^\infty} = \|\mathcal{F}^{-1} \kappa\|_{L^1},$$

and define the symbol class

$$S^\infty = \{\kappa : \mathbb{R}^d \rightarrow \mathbb{C} \mid \kappa \text{ continuous and } \|\kappa\|_{S^\infty} < \infty\}. \quad (2-15)$$

Given  $\kappa \in S^\infty$ , we define a multilinear operator  $M_\kappa$  acting on Schwartz functions  $f_1, \dots, f_m \in \mathcal{S}(\mathbb{R})$  by

$$M_\kappa(f_1, \dots, f_m)(x) = \int_{\mathbb{R}^m} e^{ix(\xi_1 + \dots + \xi_m)} \kappa(\xi_1, \dots, \xi_m) \hat{f}_1(\xi_1) \dots \hat{f}_m(\xi_m) d\xi_1 \dots d\xi_m.$$

**Lemma 2.5.** (i) If  $\kappa_1, \kappa_2 \in S^\infty$ , then  $\kappa_1 \kappa_2 \in S^\infty$ .

(ii) Suppose that  $1 \leq p_1, \dots, p_m \leq \infty$ ,  $1 \leq p \leq \infty$ , satisfy

$$\frac{1}{p_1} + \frac{1}{p_2} + \dots + \frac{1}{p_m} = \frac{1}{p}.$$

If  $\kappa \in S^\infty$ , then

$$\|M_\kappa\|_{L^{p_1} \times \dots \times L^{p_m} \rightarrow L^p} \lesssim \|\kappa\|_{S^\infty}.$$

(iii) Assume  $p, q, r \in [1, \infty]$  satisfy

$$\frac{1}{p} + \frac{1}{q} + \frac{1}{r} = 1,$$

and  $m \in S_{\eta_1, \eta_2}^\infty L_\xi^\infty$ . Then, for any  $f \in L^p(\mathbb{R})$ ,  $g \in L^q(\mathbb{R})$ , and  $h \in L^r(\mathbb{R})$ ,

$$\left\| \int_{\mathbb{R}^2} m(\eta_1, \eta_2, \xi) \hat{f}(\eta_1) \hat{g}(\eta_2) \hat{h}(\xi - \eta_1 - \eta_2) d\eta_1 d\eta_2 \right\|_{L_\xi^\infty} \lesssim \|m\|_{S_{\eta_1, \eta_2}^\infty L_\xi^\infty} \|f\|_{L^p} \|g\|_{L^q} \|h\|_{L^r}.$$

In particular, using interpolation, we can estimate the  $S^\infty$ -norm of a symbol  $m(\eta_1, \eta_2)$  in  $C_c^\infty$  by

$$\|m\|_{S^\infty} \lesssim \|m\|_{L^1}^{1/4} \|\partial_{\eta_i}^2 m\|_{L^1}^{1/2} \|\partial_{\eta_1}^2 \partial_{\eta_2}^2 m\|_{L^1}^{1/4}, \quad \text{where } i = 1, 2. \quad (2-16)$$

### 3. Reformulation of the equation

**3A. Expansion of the equation.** In this section, we expand the nonlinearity in the SQG front equation

$$\varphi_t(x, t) + \int_{\mathbb{R}} [\varphi_x(x, t) - \varphi_x(x + \zeta, t)] \left\{ \frac{1}{|\zeta|} - \frac{1}{\sqrt{\zeta^2 + [\varphi(x, t) - \varphi(x + \zeta, t)]^2}} \right\} d\zeta = 2 \log |\partial_x| \varphi_x(x, t) \quad (3-1)$$

for fronts with small slopes  $|\varphi_x| \ll 1$ . As we will show, (3-1) can be rewritten as

$$\begin{aligned} \varphi_t(x, t) - \sum_{n=1}^{\infty} \frac{c_n}{2n+1} \partial_x \int_{\mathbb{R}^{2n+1}} \mathbf{T}_n(\boldsymbol{\eta}_n) \hat{\varphi}(\eta_1, t) \hat{\varphi}(\eta_2, t) \dots \hat{\varphi}(\eta_{2n+1}, t) e^{i(\eta_1 + \eta_2 + \dots + \eta_{2n+1})x} d\boldsymbol{\eta}_n \\ = 2 \log |\partial_x| \varphi_x(x, t), \end{aligned} \quad (3-2)$$

where  $\boldsymbol{\eta}_n = (\eta_1, \eta_2, \dots, \eta_{2n+1})$ , and

$$\mathbf{T}_n(\boldsymbol{\eta}_n) = \int_{\mathbb{R}} \frac{\prod_{j=1}^{2n+1} (1 - e^{i\eta_j \zeta})}{|\zeta|^{2n+1}} d\zeta, \quad c_n = \frac{\sqrt{\pi}}{\Gamma(\frac{1}{2} - n) \Gamma(n+1)}. \quad (3-3)$$

We remark that  $c_n = O(n^{-1/2})$  as  $n \rightarrow \infty$ .

In fact, if we expand the nonlinearity in (3-1) around  $\varphi_x(x, t) = 0$ , we find that

$$\begin{aligned} \int_{\mathbb{R}} \left[ \frac{\varphi_x(x, t) - \varphi_x(x + \zeta, t)}{|\zeta|} - \frac{\varphi_x(x, t) - \varphi_x(x + \zeta, t)}{\sqrt{\zeta^2 + (\varphi(x, t) - \varphi(x + \zeta, t))^2}} \right] d\zeta \\ = - \sum_{n=1}^{\infty} c_n \int_{\mathbb{R}} \frac{[\varphi_x(x, t) - \varphi_x(x + \zeta, t)] \cdot [\varphi(x, t) - \varphi(x + \zeta, t)]^{2n}}{|\zeta|^{2n+1}} d\zeta \\ = - \sum_{n=1}^{\infty} \frac{c_n}{2n+1} \partial_x \int_{\mathbb{R}} \left[ \frac{\varphi(x, t) - \varphi(x + \zeta, t)}{|\zeta|} \right]^{2n+1} d\zeta. \end{aligned}$$

Writing

$$f_n(x) = \int_{\mathbb{R}} \left[ \frac{\varphi(x) - \varphi(x + \zeta)}{|\zeta|} \right]^{2n+1} d\zeta, \quad \varphi(x) = \int_{\mathbb{R}} \hat{\varphi}(\eta) e^{i\eta x} d\eta,$$

we have

$$f_n(x) = \int_{\mathbb{R}^{2n+1}} \mathbf{T}_n(\boldsymbol{\eta}_n) \hat{\varphi}(\eta_1) \hat{\varphi}(\eta_2) \cdots \hat{\varphi}(\eta_{2n+1}) e^{i(\eta_1 + \eta_2 + \cdots + \eta_{2n+1})x} d\boldsymbol{\eta}_n,$$

which gives (3-2).

Isolating the lowest-degree nonlinear term in (3-2), which is cubic, we can also write (3-1) as

$$\begin{aligned} \varphi_t(x, t) + \frac{1}{6} \partial_x \int_{\mathbb{R}^3} \mathbf{T}_1(\eta_1, \eta_2, \eta_3) \hat{\varphi}(\eta_1, t) \hat{\varphi}(\eta_2, t) \hat{\varphi}(\eta_3, t) e^{i(\eta_1 + \eta_2 + \eta_3)x} d\eta_1 d\eta_2 d\eta_3 + \mathcal{N}_{\geq 5}(\varphi)(x, t) \\ = 2 \log |\partial_x| \varphi_x(x, t), \quad (3-4) \end{aligned}$$

where  $\mathcal{N}_{\geq 5}(\varphi)$  denotes the nonlinear terms of quintic degree or higher:

$$\mathcal{N}_{\geq 5}(\varphi)(x, t) = - \sum_{n=2}^{\infty} \frac{c_n}{2n+1} \partial_x \int_{\mathbb{R}^{2n+1}} \mathbf{T}_n(\boldsymbol{\eta}_n) \hat{\varphi}(\eta_1, t) \hat{\varphi}(\eta_2, t) \cdots \hat{\varphi}(\eta_{2n+1}, t) e^{i(\eta_1 + \eta_2 + \cdots + \eta_{2n+1})x} d\boldsymbol{\eta}_n. \quad (3-5)$$

Equation (3-4) will be used in Section 8 in order to carry out nonlinear dispersive estimates, where the main difficulty is controlling the slowest decay in time caused by the lowest-degree, cubic nonlinearity.

In Appendix A, we evaluate the integrals in (3-3) and show that we can write (3-2) in the alternative form

$$\varphi_t + \partial_x \left\{ \sum_{n=1}^{\infty} \sum_{\ell=1}^{2n+1} (-1)^{\ell+1} d_{n,\ell} \varphi^{2n-\ell+1} \partial_x^{2n} \log |\partial_x| \varphi^{\ell} \right\} = 2 \log |\partial_x| \varphi_x, \quad (3-6)$$

where the constants  $d_{n,\ell}$  are given in (A-4). We will not use (3-6) in this paper since it makes sense classically only for  $C^\infty$ -solutions and does not make explicit the fact that, owing to a cancellation of derivatives, the nonlinear flux in (3-6) involves at most logarithmic derivatives of  $\varphi$ . However, we remark that if the quintic and higher-order terms in (3-6) are neglected, then the equation becomes

$$\varphi_t + \frac{1}{2} \partial_x \left\{ \varphi^2 \log |\partial_x| \varphi_{xx} - \varphi \log |\partial_x| (\varphi^2)_{xx} + \frac{1}{3} \log |\partial_x| (\varphi^3)_{xx} \right\} = 2 \log |\partial_x| \varphi_x,$$

which is the cubic approximation for the front equation that is derived in [Hunter and Shu 2018] and analyzed in [Hunter et al. 2018].

**3B. Paralinearization of the equation.** In this section, we paralinearize the SQG front equation (3-2) and put it in a form that allows us to make weighted energy estimates. This form extracts a nonlinear term  $\log |\partial_x| (T_{B^{\log[\varphi]}} \varphi)$  from the flux that is responsible for the logarithmic loss of derivatives in the dispersionless equation.

We use Weyl paradifferential calculus to decompose the nonlinearity in (3-1). In the following, we use  $C(n, s)$  to denote a positive constant depending only on  $n$  and  $s$ , which may change from line to line, and  $L = \log |\partial_x|$  is defined in (1-2).

**Proposition 3.1.** *Suppose that  $\varphi(\cdot, t) \in H^s(\mathbb{R})$  with  $s \geq 4$  and  $\|\varphi_x\|_{W^{3,\infty}} + \|\log |\partial_x| \varphi_x\|_{W^{2,\infty}}$  is sufficiently small. Then (3-1) can be written as*

$$\varphi_t + \partial_x T_{B^0[\varphi]} \varphi + \mathcal{R}(\varphi) = \log |\partial_x| [(2 - T_{B^{\log[\varphi]}}) \varphi]_x, \quad (3-7)$$

where the symbols  $B^0[\varphi]$  and  $B^{\log[\varphi]}$  are given by the following multilinear expansions in  $\varphi_x$ :

$$\begin{aligned} B^{\log[\varphi]}(\cdot, \xi) &= \sum_{n=1}^{\infty} B_n^{\log[\varphi]}(\cdot, \xi), \quad B^0[\varphi](\cdot, \xi) = \sum_{n=1}^{\infty} B_n^0[\varphi](\cdot, \xi), \\ B_n^{\log[\varphi]}(\cdot, \xi) &= -\mathcal{F}_{\xi}^{-1} \left\{ 2c_n \int_{\mathbb{R}^{2n}} \delta \left( \zeta - \sum_{j=1}^{2n} \eta_j \right) \prod_{j=1}^{2n} \left[ i \eta_j \hat{\varphi}(\eta_j) \chi \left( \frac{(2n+1)\eta_j}{\xi} \right) \right] d\hat{\eta}_n \right\}, \\ B_n^0[\varphi](\cdot, \xi) &= \mathcal{F}_{\xi}^{-1} \left\{ 2c_n \int_{\mathbb{R}^{2n}} \delta \left( \zeta - \sum_{j=1}^{2n} \eta_j \right) \prod_{j=1}^{2n} \left[ i \eta_j \hat{\varphi}(\eta_j) \chi \left( \frac{(2n+1)\eta_j}{\xi} \right) \right] \int_{[0,1]^{2n}} \log \left| \sum_{j=1}^{2n} \eta_j s_j \right| d\hat{s}_n d\hat{\eta}_n \right\}. \end{aligned} \quad (3-8)$$

Here,  $c_n$  is given by (3-3),  $\delta$  is the delta-distribution,  $\chi$  is the cutoff function in (2-1),  $\hat{\eta}_n = (\eta_1, \eta_2, \dots, \eta_{2n})$ , and  $\hat{s}_n = (s_1, \dots, s_{2n})$ . The operators  $T_{B^{\log[\varphi]}}$  and  $T_{B^0[\varphi]}$  are self-adjoint and their symbols satisfy the estimates

$$\begin{aligned} \|B^{\log[\varphi]}\|_{\mathcal{M}_{(j,2)}} &\lesssim \sum_{n=1}^{\infty} C(n, s) |c_n| \|\varphi_x\|_{W^{j,\infty}}^{2n}, \quad j = 2, 3, \\ \|B^0[\varphi]\|_{\mathcal{M}_{(2,2)}} &\lesssim \sum_{n=1}^{\infty} C(n, s) |c_n| (\|\log |\partial_x| \varphi_x\|_{W^{2,\infty}}^{2n} + \|\varphi_x\|_{W^{2,\infty}}^{2n}), \end{aligned} \quad (3-9)$$

while the remainder term  $\mathcal{R}$  satisfies

$$\|\mathcal{R}(\varphi)\|_{H^s} \lesssim \|\varphi\|_{H^s} \left\{ \sum_{n=1}^{\infty} C(n, s) |c_n| (\|\varphi_x\|_{W^{3,\infty}}^{2n} + \|\log |\partial_x| \varphi_x\|_{W^{2,\infty}}^{2n}) \right\}, \quad (3-10)$$

where the constants  $C(n, s)$  have at most exponential growth in  $n$ .

*Proof.* We define

$$f_n(x) = \int_{\mathbb{R}^{2n+1}} \mathbf{T}_n(\eta_n) \hat{\varphi}(\eta_1) \hat{\varphi}(\eta_2) \cdots \hat{\varphi}(\eta_{2n+1}) e^{i(\eta_1 + \eta_2 + \cdots + \eta_{2n+1})x} d\eta_n.$$

In view of (3-2) and the commutator estimate (2-5), we only need to prove that

$$-\sum_{n=1}^{\infty} \frac{c_n}{2n+1} \partial_x f_n(x) = \partial_x T_{B^0[\varphi]} \varphi + \partial_x [(T_{B^{\log[\varphi]}}) \log |\partial_x| \varphi] + \mathcal{R},$$

where  $\mathcal{R}$  satisfies (3-10), and to do this it suffices to prove for each  $n$  that

$$\begin{aligned} \frac{c_n}{2n+1} \partial_x f_n(x) &= -\partial_x T_{B_n^0[\varphi]} \varphi - \partial_x [(T_{B_n^{\log[\varphi]}}) \log |\partial_x \varphi|] + \mathcal{R}_n, \\ \|\mathcal{R}_n\|_{H^s} &\lesssim C(n, s) |c_n| (\|\varphi_x\|_{W^{2,\infty}}^{2n} + \|\log |\partial_x \varphi_x|\|_{W^{2,\infty}}^{2n}) \|\varphi\|_{H^s}. \end{aligned}$$

By symmetry, we can assume that  $|\eta_{2n+1}|$  is the largest frequency in the expression of  $f_n$ . Then

$$\begin{aligned} &\frac{c_n}{2n+1} \partial_x f_n(x) \\ &= c_n \partial_x \int_{\substack{|\eta_{2n+1}| \geq |\eta_j| \\ \text{for all } j=1, \dots, 2n}} \mathbf{T}_n(\boldsymbol{\eta}_n) \hat{\varphi}(\eta_1) \hat{\varphi}(\eta_2) \cdots \hat{\varphi}(\eta_{2n+1}) e^{i(\eta_1 + \eta_2 + \cdots + \eta_{2n+1})x} d\boldsymbol{\eta}_n \\ &= c_n \partial_x \int_{\mathbb{R}} \int_{\substack{|\eta_j| \leq |\eta_{2n+1}| \\ \text{for all } j=1, \dots, 2n}} \mathbf{T}_n(\boldsymbol{\eta}_n) \hat{\varphi}(\eta_1) \hat{\varphi}(\eta_2) \cdots \hat{\varphi}(\eta_{2n}) e^{i(\eta_1 + \eta_2 + \cdots + \eta_{2n})x} d\hat{\boldsymbol{\eta}}_n \hat{\varphi}(\eta_{2n+1}) e^{i x \eta_{2n+1}} d\eta_{2n+1}. \end{aligned} \quad (3-11)$$

To proceed, we split the above integral into two parts corresponding to the lower and higher frequencies of  $\eta_{2n+1}$ . Define  $\mathbf{U}_n(\boldsymbol{\eta}_n) = \mathbf{T}_n(\boldsymbol{\eta}_n) \chi(\eta_{2n+1})$  and  $\mathbf{A}_n(\boldsymbol{\eta}_n) = \mathbf{T}_n(\boldsymbol{\eta}_n) - \mathbf{U}_n(\boldsymbol{\eta}_n)$ . For the lower-frequency part, we have

$$\begin{aligned} &\partial_x \int_{\mathbb{R}} \int_{\substack{|\eta_j| \leq |\eta_{2n+1}| \\ \text{for all } j=1, \dots, 2n}} \mathbf{U}_n(\boldsymbol{\eta}_n) \hat{\varphi}(\eta_1) \hat{\varphi}(\eta_2) \cdots \hat{\varphi}(\eta_{2n}) e^{i(\eta_1 + \eta_2 + \cdots + \eta_{2n})x} d\hat{\boldsymbol{\eta}}_n \hat{\varphi}(\eta_{2n+1}) e^{i x \eta_{2n+1}} d\eta_{2n+1} \\ &= \partial_x \int_{\mathbb{R}} \int_{\substack{|\eta_j| \leq |\eta_{2n+1}| \\ \text{for all } j=1, \dots, 2n}} \chi(\eta_{2n+1}) \int_{\mathbb{R}} \frac{\prod_{j=1}^{2n+1} (1 - e^{i \eta_j \zeta})}{|\zeta|^{2n+1}} d\zeta \hat{\varphi}(\eta_1) \hat{\varphi}(\eta_2) \cdots \hat{\varphi}(\eta_{2n}) \\ &\quad \cdot e^{i(\eta_1 + \eta_2 + \cdots + \eta_{2n})x} d\hat{\boldsymbol{\eta}}_n \hat{\varphi}(\eta_{2n+1}) e^{i x \eta_{2n+1}} d\eta_{2n+1} \\ &= \partial_x \int_{\mathbb{R}} \mathbf{A}_n(x, \eta_{2n+1}) \hat{\varphi}(\eta_{2n+1}) e^{i x \eta_{2n+1}} d\eta_{2n+1}, \end{aligned}$$

where the symbol  $\mathbf{A}_n$  is defined by

$$\mathbf{A}_n(x, \eta_{2n+1}) = \int_{\substack{|\eta_j| \leq |\eta_{2n+1}| \\ \text{for all } j=1, \dots, 2n}} \chi(\eta_{2n+1}) \int_{\mathbb{R}} \frac{\prod_{j=1}^{2n+1} (1 - e^{i \eta_j \zeta})}{|\zeta|^{2n+1}} d\zeta \hat{\varphi}(\eta_1) \hat{\varphi}(\eta_2) \cdots \hat{\varphi}(\eta_{2n}) e^{i(\eta_1 + \eta_2 + \cdots + \eta_{2n})x} d\hat{\boldsymbol{\eta}}_n.$$

Using an  $L^2$ -boundedness theorem for pseudodifferential operators [Boulkhemair 1999, Theorem 1.1], Lemma 2.5, and the compact support of the cutoff functions, we obtain

$$\begin{aligned} &\left\| \partial_x \int_{\mathbb{R}} \mathbf{A}_n(x, \eta_{2n+1}) \hat{\varphi}(\eta_{2n+1}) e^{i x \eta_{2n+1}} d\eta_{2n+1} \right\|_{L^2} \\ &\lesssim \sum_{i, j \leq 1} \|\partial_x^i \partial_{\eta_{2n+1}}^j \mathbf{A}_n\|_{L^\infty} \|\varphi\|_{L^2} \\ &\lesssim \left\| \frac{\mathbb{I}_n(\hat{\boldsymbol{\eta}}_n, \eta_{2n+1}) \chi(\eta_{2n+1})}{\prod_{j=1}^{2n} (1 + |\eta_j|)} \prod_{j=1}^{2n} (1 + |\eta_j|) \int_{\mathbb{R}} \frac{\prod_{j=1}^{2n+1} (1 - e^{i \eta_j \zeta})}{|\zeta|^{2n+1}} d\zeta \right\|_{S_{\hat{\boldsymbol{\eta}}_n}^\infty L_{\eta_{2n+1}}^\infty} \|\varphi\|_{W^{1,\infty}}^{2n} \|\varphi\|_{L^2}, \end{aligned}$$

where

$$\mathbb{I}_n(\hat{\boldsymbol{\eta}}_n, \eta_{2n+1}) = \begin{cases} 1 & \text{if } |\eta_j| \leq |\eta_{2n+1}| \text{ for } j = 1, \dots, 2n, \\ 0 & \text{otherwise.} \end{cases} \quad (3-12)$$

Thus, the lower-frequency part satisfies the estimate (3-10), and this term can be absorbed in  $\mathcal{R}$  in (3-7).

Next, we consider the higher-frequency part in (3-11), which we write as

$$c_n \partial_x \int_{\mathbb{R}} \int_{\substack{|\eta_j| \leq |\eta_{2n+1}| \\ \text{for all } j=1, \dots, 2n}} \Lambda_n(\eta_n) \prod_{j=1}^{2n} \left\{ \chi \left( \frac{(2n+1)\eta_j}{\eta_{2n+1}} \right) + \left[ 1 - \chi \left( \frac{(2n+1)\eta_j}{\eta_{2n+1}} \right) \right] \right\} \hat{\varphi}(\eta_j) \cdot e^{i(\eta_1 + \eta_2 + \dots + \eta_{2n})x} d\hat{\eta}_n \hat{\varphi}(\eta_{2n+1}) e^{ix\eta_{2n+1}} d\eta_{2n+1}. \quad (3-13)$$

We expand the product in the above integral, and consider two cases depending on whether a term in the expansion contains only factors of  $\chi$  or contains at least one factor  $1 - \chi$ . In the first case, the frequency  $\eta_{2n+1}$  is much larger than all of the other frequencies, and we can extract a logarithmic derivative acting on the highest frequency; in the second case at least one other frequency is comparable to  $\eta_{2n+1}$ , and we get a remainder term by distributing derivatives on comparable frequencies.

**Case I:** When we take only factors of  $\chi$  in the expansion of the product in (3-13), we get the integral

$$c_n \partial_x \int_{\mathbb{R}} \int_{\substack{|\eta_j| \leq |\eta_{2n+1}| \\ \text{for all } j=1, \dots, 2n}} \Lambda_n(\eta_n) \prod_{j=1}^{2n} \chi \left( \frac{(2n+1)\eta_j}{\eta_{2n+1}} \right) \hat{\varphi}(\eta_j) e^{i(\eta_1 + \eta_2 + \dots + \eta_{2n})x} d\hat{\eta}_n \hat{\varphi}(\eta_{2n+1}) e^{ix\eta_{2n+1}} d\eta_{2n+1}. \quad (3-14)$$

From (3-3), we can write  $\Lambda_n = [1 - \chi(\eta_{2n+1})] \mathbf{T}_n$  as an integral with respect to  $s_n = (s_1, s_2, \dots, s_{2n+1})$ ,

$$\begin{aligned} \Lambda_n(\eta_n) &= -(1 - \chi(\eta_{2n+1})) \int_{\mathbb{R}} \operatorname{sgn} \zeta \int_{[0,1]^{2n+1}} \prod_{j=1}^{2n+1} i\eta_j e^{i\eta_j s_j \zeta} ds_n d\zeta \\ &= 2(-1)^n (1 - \chi(\eta_{2n+1})) \left( \prod_{j=1}^{2n+1} \eta_j \right) \int_{[0,1]^{2n+1}} \frac{1}{\sum_{j=1}^{2n+1} \eta_j s_j} ds_n \\ &= 2(1 - \chi(\eta_{2n+1})) \left( \prod_{j=1}^{2n} (i\eta_j) \right) \int_{[0,1]^{2n}} \log \left| 1 + \sum_{j=1}^{2n} \frac{\eta_j}{\eta_{2n+1}} s_j \right| - \log \left| \sum_{j=1}^{2n} \frac{\eta_j}{\eta_{2n+1}} s_j \right| d\hat{s}_n \\ &= 2(1 - \chi(\eta_{2n+1})) \log |\eta_{2n+1}| \cdot \prod_{j=1}^{2n} (i\eta_j) - 2 \left( \prod_{j=1}^{2n} (i\eta_j) \right) \int_{[0,1]^{2n}} \log \left| \sum_{j=1}^{2n} \eta_j s_j \right| d\hat{s}_n \\ &\quad + (1 - \chi(\eta_{2n+1})) \left( \prod_{j=1}^{2n} (i\eta_j) \right) \int_{[0,1]^{2n}} \log \left| 1 + \sum_{j=1}^{2n} \frac{\eta_j}{\eta_{2n+1}} s_j \right| d\hat{s}_n. \end{aligned}$$

Substitution of this expression into (3-14) gives the three terms

$$c_n \partial_x \int_{\mathbb{R}} \int_{\substack{|\eta_j| \leq |\eta_{2n+1}| \\ \text{for all } j=1, \dots, 2n}} \Lambda_n^{\log}(\eta_n) \prod_{j=1}^{2n} \chi \left( \frac{(2n+1)\eta_j}{\eta_{2n+1}} \right) \hat{\varphi}(\eta_j) e^{i(\eta_1 + \eta_2 + \dots + \eta_{2n})x} d\hat{\eta}_n \hat{\varphi}(\eta_{2n+1}) e^{ix\eta_{2n+1}} d\eta_{2n+1}, \quad (3-15)$$

$$c_n \partial_x \int_{\mathbb{R}} \int_{\substack{|\eta_j| \leq |\eta_{2n+1}| \\ \text{for all } j=1, \dots, 2n}} \Lambda_n^0(\eta_n) \prod_{j=1}^{2n} \chi \left( \frac{(2n+1)\eta_j}{\eta_{2n+1}} \right) \hat{\varphi}(\eta_j) e^{i(\eta_1 + \eta_2 + \dots + \eta_{2n})x} d\hat{\eta}_n \hat{\varphi}(\eta_{2n+1}) e^{ix\eta_{2n+1}} d\eta_{2n+1}, \quad (3-16)$$

$$c_n \partial_x \int_{\mathbb{R}} \int_{\substack{|\eta_j| \leq |\eta_{2n+1}| \\ \text{for all } j=1, \dots, 2n}} \mathbf{\Lambda}_n^{\leq -1}(\boldsymbol{\eta}_n)(\boldsymbol{\eta}_n) \prod_{j=1}^{2n} \chi\left(\frac{(2n+1)\eta_j}{\eta_{2n+1}}\right) \hat{\varphi}(\eta_j) e^{i(\eta_1 + \eta_2 + \dots + \eta_{2n})x} d\hat{\boldsymbol{\eta}}_n \hat{\varphi}(\eta_{2n+1}) e^{ix\eta_{2n+1}} d\eta_{2n+1}, \quad (3-17)$$

where

$$\begin{aligned} \mathbf{\Lambda}_n^{\log}(\boldsymbol{\eta}_n) &= 2(1 - \chi(\eta_{2n+1})) \log |\eta_{2n+1}| \cdot \prod_{j=1}^{2n} (i\eta_j), \\ \mathbf{\Lambda}_n^0(\boldsymbol{\eta}_n) &= -2(1 - \chi(\eta_{2n+1})) \left( \prod_{j=1}^{2n} (i\eta_j) \right) \int_{[0,1]^{2n}} \log \left| \sum_{j=1}^{2n} \eta_j s_j \right| d\hat{\boldsymbol{s}}_n, \\ \mathbf{\Lambda}_n^{\leq -1}(\boldsymbol{\eta}_n) &= 2(1 - \chi(\eta_{2n+1})) \left( \prod_{j=1}^{2n} (i\eta_j) \right) \int_{[0,1]^{2n}} \log \left| 1 + \sum_{j=1}^{2n} \frac{\eta_j}{\eta_{2n+1}} s_j \right| d\hat{\boldsymbol{s}}_n. \end{aligned}$$

We claim that the terms (3-15) and (3-16) can be rewritten as

$$-\partial_x T_{B_n^{\log}[\varphi]} \log_+ |\partial_x| \varphi + \mathcal{R}_1 \quad \text{and} \quad -\partial_x T_{B_n^0[\varphi]} \varphi + \mathcal{R}_2, \quad (3-18)$$

where  $\mathcal{R}_1$  and  $\mathcal{R}_2$  satisfy the estimate (3-10). Indeed,

$$\begin{aligned} &\mathcal{F}[\partial_x T_{B_n^{\log}[\varphi]} \log_+ |\partial_x| \varphi](\xi) \\ &= -2c_n i \xi \int_{\mathbb{R}} \chi\left(\frac{|\xi - \eta|^2}{1 + |\xi + \eta|^2}\right) \log_+ |\eta| \int_{\mathbb{R}^{2n}} \delta\left(\xi - \eta - \sum_{j=1}^{2n} \eta_j\right) \prod_{j=1}^{2n} \left[ i\eta_j \hat{\varphi}(\eta_j) \chi\left(\frac{2(2n+1)\eta_j}{\xi + \eta}\right) \right] d\hat{\boldsymbol{\eta}}_n \hat{\varphi}(\eta) d\eta, \end{aligned}$$

while the Fourier transform of (3-15) is

$$\begin{aligned} &2c_n i \xi \int_{\mathbb{R}} \int_{\substack{|\eta_j| \leq |\eta_{2n+1}| \\ \text{for all } j=1, \dots, 2n}} \delta\left(\xi - \sum_{j=1}^{2n+1} \eta_j\right) (1 - \chi(\eta_{2n+1})) \log |\eta_{2n+1}| \\ &\quad \cdot \prod_{j=1}^{2n} \left[ \chi\left(\frac{(2n+1)\eta_j}{\eta_{2n+1}}\right) (i\eta_j) \hat{\varphi}(\eta_j) \right] d\hat{\boldsymbol{\eta}}_n \hat{\varphi}(\eta_{2n+1}) d\eta_{2n+1}. \end{aligned}$$

The difference of the above two integrals is

$$\begin{aligned} &2c_n i \xi \int_{\mathbb{R}^{2n+1}} \delta\left(\xi - \sum_{j=1}^{2n+1} \eta_j\right) \log |\eta_{2n+1}| \\ &\quad \cdot \left[ \mathbb{I}_n(\hat{\boldsymbol{\eta}}_n, \eta_{2n+1}) \prod_{j=1}^{2n} \chi\left(\frac{(2n+1)\eta_j}{\eta_{2n+1}}\right) (i\eta_j) \hat{\varphi}(\eta_j) (1 - \chi(\eta_{2n+1})) \right. \\ &\quad \left. - \chi\left(\frac{|\xi - \eta_{2n+1}|^2}{1 + |\xi + \eta_{2n+1}|^2}\right) \mathbf{1}_{|\eta_{2n+1}| > 1} \prod_{j=1}^{2n} \left( i\eta_j \hat{\varphi}(\eta_j) \chi\left(\frac{2(2n+1)\eta_j}{\xi + \eta_{2n+1}}\right) \right) \right] d\hat{\boldsymbol{\eta}}_n \hat{\varphi}(\eta_{2n+1}) d\eta_{2n+1}, \quad (3-19) \end{aligned}$$

where  $\mathbb{I}_n$  is given by (3-12).

When  $\boldsymbol{\eta}_n$  satisfies

$$|\eta_j| \leq \frac{1}{40} \frac{1}{2n+1} |\eta_{2n+1}| \quad \text{for } j = 1, 2, \dots, 2n, \quad (3-20)$$

we have  $\mathbb{I}_n = 1$  and  $\chi((2n+1)\eta_j/\eta_{2n+1}) = 1$ . In addition, since  $\xi = \sum_{j=1}^{2n+1} \eta_j$ , we have

$$\frac{|\xi - \eta_{2n+1}|^2}{1 + |\xi + \eta_{2n+1}|^2} \leq \frac{|\xi - \eta_{2n+1}|}{|\xi + \eta_{2n+1}|} = \frac{|\sum_{j=1}^{2n} \eta_j|}{|\sum_{j=1}^{2n} \eta_j + 2\eta_{2n+1}|} \leq \frac{\frac{1}{40}|\eta_{2n+1}|}{\left(2 - \frac{1}{40}\right)|\eta_{2n+1}|} = \frac{1}{79} < \frac{3}{40},$$

$$\frac{2(2n+1)|\eta_j|}{|\xi + \eta_{2n+1}|} \leq \frac{\frac{1}{20}|\eta_{2n+1}|}{\left(2 - \frac{1}{40}\right)|\eta_{2n+1}|} = \frac{2}{79} < \frac{3}{40},$$

so

$$\chi\left(\frac{|\xi - \eta_{2n+1}|^2}{1 + |\xi + \eta_{2n+1}|^2}\right) = 1, \quad \chi\left(\frac{2(2n+1)\eta_j}{\xi + \eta_{2n+1}}\right) = 1.$$

Therefore the integrand of (3-19) is supported outside the set (3-20), and there exists  $j_1 \in \{1, \dots, 2n\}$ , such that

$$|\eta_{j_1}| > \frac{1}{40} \frac{1}{2n+1} |\eta_{2n+1}|.$$

Since  $|\eta_{2n+1}|$  is the largest frequency, we see that  $|\eta_{j_1}|$  and  $|\eta_{2n+1}|$  are comparable in the error term. Therefore, the  $H^s$ -norm of (3-19) is bounded by

$$\|\varphi\|_{H^s} C(n, s) |c_n| (\|\varphi_x\|_{W^{3,\infty}}^{2n} + \|L\varphi_x\|_{W^{2,\infty}}^{2n}).$$

It follows that (3-15) can be written as in (3-18). A similar calculation applies to (3-16).

Next, we estimate the symbols  $B_n^{\log}[\varphi]$  and  $B_n^0[\varphi]$ . First, we notice that they are real-valued, so that  $T_{B_n^{\log}[\varphi]}$  and  $T_{B_n^0[\varphi]}$  are self-adjoint. Again, without loss of generality, we assume  $|\eta_{2n}| = \max_{1 \leq j \leq 2n} |\eta_j|$  and observe that

$$\begin{aligned} & \int_{[0,1]^{2n}} \log \left| \sum_{j=1}^{2n} \eta_j s_j \right| d\hat{s}_n \\ &= \log |\eta_{2n}| + \int_{[0,1]^{2n-1}} \left\{ \left( \sum_{j=1}^{2n-1} \frac{\eta_j}{\eta_{2n}} s_j \right) \log \left| 1 + \frac{1}{\sum_{j=1}^{2n-1} (\eta_j/\eta_{2n}) s_j} \right| + \log \left| 1 + \frac{1}{\sum_{j=1}^{2n-1} (\eta_j/\eta_{2n}) s_j} \right| - 1 \right\} ds_{n-1} \\ &= \log |\eta_{2n}| + O(1). \end{aligned}$$

Thus, using Young's inequality, we obtain from (3-8) the estimate (3-9), where the constants  $C(n, s)$  have at most exponential growth in  $n$ .

To estimate the third term (3-17), we observe that on the support of the functions  $\chi((2n+1)\eta_j/\eta_{2n+1})$ , we have

$$\frac{|\eta_j|}{|\eta_{2n+1}|} \leq \frac{1}{10(2n+1)}.$$

Since  $s_j \in [0, 1]$ , a Taylor expansion gives

$$|\Lambda_n^{\leq -1}(\eta_n)| \lesssim \frac{[\prod_{j=1}^{2n} |\eta_j|][\sum_{j=1}^{2n} |\eta_j|]}{|\eta_{2n+1}|}.$$

Therefore the  $H^s$ -norm of (3-17) is bounded by  $C(n, s) |c_n| \|\varphi\|_{H^s} \|\varphi_x\|_{W^{2,\infty}}^{2n}$ , where  $C(n, s)$  has at most exponential growth in  $n$ .

**Case II:** When there is at least one factor of the form  $1 - \chi$  in the expansion of the product in the integral (3-13), we get a term of the form

$$f_{(n)}(x) = c_n \partial_x \int_{\mathbb{R}} \int_{\substack{|\eta_j| \leq |\eta_{2n+1}| \\ \text{for all } j=1, \dots, 2n}} \Lambda_n(\eta_n) \prod_{k=1}^{\ell} \left[ 1 - \chi\left(\frac{(2n+1)\eta_{j_k}}{\eta_{2n+1}}\right) \right] \prod_{k=\ell+1}^{2n} \chi\left(\frac{(2n+1)\eta_{j_k}}{\eta_{2n+1}}\right) \cdot \left( \prod_{j=1}^{2n} \hat{\varphi}(\eta_j) \right) e^{i(\eta_1 + \eta_2 + \dots + \eta_{2n})x} d\hat{\varphi}(\eta_{2n+1}) e^{i x \eta_{2n+1}} d\eta_{2n+1}, \quad (3-21)$$

where  $1 \leq \ell \leq 2n$  is an integer, and  $\{j_k : k = 1, \dots, 2n\}$  is a permutation of  $\{1, \dots, 2n\}$ .

We know  $1 - \chi((2n+1)\eta_{j_1}/(\eta_{2n+1}))$  is compactly supported on

$$\frac{|\eta_{j_1}|}{|\eta_{2n+1}|} \geq \frac{3}{40(2n+1)}.$$

By assumption,  $\eta_{2n+1}$  has the largest absolute value, so

$$\frac{3}{40(2n+1)} |\eta_{2n+1}| \leq |\eta_{j_1}| \leq |\eta_{2n+1}|,$$

meaning that the frequencies  $|\eta_{j_1}|$  and  $|\eta_{2n+1}|$  are comparable.

Without loss of generality, we assume that  $|\eta_{j_1}| \leq |\eta_{j_2}| \leq \dots \leq |\eta_{j_{2n}}| \leq |\eta_{2n+1}|$ , define  $\eta_{j_{2n+1}} = \eta_{2n+1}$ , and, using (3-3), split the integral for  $\Lambda_n$  into three parts:

$$\Lambda_n(\eta_n) = \Lambda_n^{\text{low}}(\eta_n) + \sum_{k=1}^{2n} \Lambda_n^{\text{med},(k)}(\eta_n) + \Lambda_n^{\text{high}}(\eta_n),$$

where

$$\Lambda_n^{\text{low}}(\eta_n) = [1 - \chi(\eta_{2n+1})] \int_{|\eta_{2n+1}\zeta| < 2} \frac{\prod_{j=1}^{2n+1} (1 - e^{i\eta_j \zeta})}{\zeta^{2n+1}} \operatorname{sgn} \zeta d\zeta, \quad (3-22)$$

$$\Lambda_n^{\text{med},(k)}(\eta_n) = [1 - \chi(\eta_{2n+1})] \int_{2/|\eta_{j_{k+1}}| \leq |\zeta| \leq 2/|\eta_{j_k}|} \frac{\prod_{j=1}^{2n+1} (1 - e^{i\eta_j \zeta})}{\zeta^{2n+1}} \operatorname{sgn} \zeta d\zeta, \quad (3-23)$$

$$\Lambda_n^{\text{high}}(\eta_n) = [1 - \chi(\eta_{2n+1})] \int_{|\eta_{j_1}\zeta| > 2} \frac{\prod_{j=1}^{2n+1} (1 - e^{i\eta_j \zeta})}{\zeta^{2n+1}} \operatorname{sgn} \zeta d\zeta. \quad (3-24)$$

To estimate (3-22), we notice that

$$|\Lambda_n^{\text{low}}(\eta_n)| \leq \prod_{k=1}^{2n+1} |\eta_k| \cdot \int_{|\eta_{2n+1}\zeta| < 2} \left( \prod_{k=1}^{2n+1} \frac{|1 - e^{i\eta_k \zeta}|}{|\eta_k \zeta|} \right) d\zeta \leq C(n, s) \left( \prod_{k=1}^{2n} |\eta_{j_k}| \right).$$

For each  $1 \leq k \leq 2n$ , we consider two cases. If  $k \neq 2n$ , we estimate (3-23) as

$$\begin{aligned} |\Lambda_n^{\text{med},(k)}(\eta_n)| &\leq \prod_{\ell=1}^k |\eta_{j_\ell}| \cdot \int_{2/|\eta_{j_{k+1}}| \leq |\zeta| \leq 2/|\eta_{j_k}|} \left( \prod_{\ell=1}^k \frac{|1 - e^{i\eta_{j_\ell} \zeta}|}{|\eta_{j_\ell} \zeta|} \right) \cdot \frac{\prod_{\ell=k+1}^{2n+1} |1 - e^{i\eta_{j_\ell} \zeta}|}{|\zeta|^{2n+1-k}} d\zeta \\ &\leq 2^{2n+1-k} \prod_{\ell=1}^k |\eta_{j_\ell}| \cdot \int_{2/|\eta_{j_{k+1}}| \leq |\zeta| \leq 2/|\eta_{j_k}|} |\zeta|^{-2n-1+k} d\zeta \\ &\leq \frac{2}{2n-k} (|\eta_{j_k}|^{2n-k} + |\eta_{j_{k+1}}|^{2n-k}) \prod_{\ell=1}^k |\eta_{j_\ell}| \leq 2 \left( \prod_{k=1}^{2n} |\eta_{j_k}| \right). \end{aligned}$$

If  $k = 2n$ , we have

$$|\Lambda_n^{\text{med},(k)}(\eta_n)| \leq 2 \prod_{\ell=1}^{2n} |\eta_{j_\ell}| \cdot \int_{2/|\eta_{j_{2n+1}}| \leq |\zeta| \leq 2/|\eta_{j_{2n}}|} \frac{1}{|\zeta|} d\zeta = 4 \prod_{\ell=1}^{2n} |\eta_{j_\ell}| \cdot \log \left| \frac{\eta_{j_{2n+1}}}{\eta_{j_{2n}}} \right| \leq C(n, s) \prod_{\ell=1}^{2n} |\eta_{j_\ell}|,$$

where the last line follows from the fact that  $|\eta_{j_{2n}}|$  and  $|\eta_{j_{2n+1}}|$  are comparable.

As for (3-24), we have

$$\begin{aligned} |\Lambda_n^{\text{high}}(\eta_n)| &\leq |\eta_{j_1}| \int_{|\eta_{j_1}\zeta| > 2} \left( \prod_{k=2}^{2n+1} \frac{|1 - e^{i\eta_{j_k}\zeta}|}{|\zeta|} \right) \cdot \frac{|1 - e^{i\eta_{j_1}\zeta}|}{|\eta_{j_1}\zeta|} d\zeta \\ &\leq 2^{2n} |\eta_{j_1}| \int_{|\eta_{j_1}\zeta| > 2} \frac{d\zeta}{|\zeta|^{2n}} \leq \frac{4}{2n-1} \left( \prod_{k=1}^{2n} |\eta_{j_k}| \right). \end{aligned}$$

Collecting these estimates, we find that

$$|\Lambda_n(\eta_n)| \leq C(n, s) \left( \prod_{k=1}^{2n} |\eta_{j_k}| \right).$$

Using the  $L^2$ -boundedness theorem for pseudodifferential operators, we can bound the  $H^s$ -norm of  $f_{(n)}$  in (3-21) by

$$\|f_{(n)}\|_{H^s} \lesssim \sum_{j,k=0,1} \|\partial_x^j \partial_{\eta_{2n+1}}^k \mathbf{P}_n\|_{L_{x,\eta_{2n+1}}^\infty} \|\varphi\|_{H^s},$$

where

$$\begin{aligned} \mathbf{P}_n(x, \eta_{2n+1}) &= \left( i \sum_{j=1}^{2n+1} \eta_j \right) \int_{\mathbb{R}^{2n}} \mathbb{I}_n(\hat{\eta}_n, \eta_{2n+1}) \mathbf{T}_n(\eta_n) \prod_{k=1}^{\ell} \left[ 1 - \chi \left( \frac{(2n+1)\eta_{j_k}}{\eta_{2n+1}} \right) \right] \\ &\quad \cdot \prod_{k=\ell+1}^{2n} \chi \left( \frac{(2n+1)\eta_{j_k}}{\eta_{2n+1}} \right) \prod_{j=1}^{2n} \hat{\varphi}(\eta_j) e^{i(\eta_1 + \eta_2 + \dots + \eta_{2n})x} d\hat{\eta}_n. \end{aligned}$$

Considering the support of the cutoff functions, we therefore have

$$\|f_{(n)}\|_{H^s} \lesssim \|\varphi\|_{H^s} \left( \sum_{n=1}^{\infty} C(n, s) |c_n| \|\varphi_x\|_{W^{2,\infty}}^{2n} \right).$$

So we have proved that the equation can be written as

$$\varphi_t + \partial_x T_{B^0[\varphi]} \varphi + \mathcal{R}(\varphi) = 2 \log |\partial_x| \varphi_x - [(T_{B^{\log}[\varphi]}) \log_+ |\partial_x| \varphi]_x.$$

Then the proposition follows by the commutator estimate (2-5) and the fact that  $\mathbf{1}_{|\xi| < 1} \partial_x \log |\partial_x|$  is bounded from  $H^s(\mathbb{R})$  to  $H^s(\mathbb{R})$ .  $\square$

#### 4. Energy estimates and local well-posedness

In this section, we prove a local well-posedness result for the initial value problem (1-1), together with a criterion for the continuation of solutions, which is given in the next theorem. For simplicity, we consider only integer norms with  $s \in \mathbb{N}$ , and we do not seek a result with optimal regularity. We recall

that  $L = \log |\partial_x|$  denotes the operator in (1-2), and, from Theorem B.3, there exists a constant  $\nu > 0$  such that

$$\|T_a\|_{L^2 \rightarrow L^2} \leq \nu \|a\|_{\mathcal{M}_{(1,1)}} \quad \text{for all } a \in \mathcal{M}_{(1,1)}. \quad (4-1)$$

**Theorem 4.1.** *Let  $s \geq 7$  be an integer and  $\nu > 0$  the uniform constant in (4-1). There exists a constant  $\tilde{C} > 0$ , depending only on  $s$ , such that the following statements hold. Suppose  $0 < C_0 < \min\{\frac{1}{4}, \frac{1}{4\nu}\}$ ,  $C_M > 0$  are constants and  $\varphi_0 \in H^s(\mathbb{R})$  satisfies*

$$\|B^{\log}[\varphi_0]\|_{\mathcal{M}_{(1,1)}} < C_0, \quad \sum_{n=1}^{\infty} \tilde{C}^n |c_n| (\|\varphi_{0x}\|_{W^{3,\infty}}^{2n} + \|L\varphi_{0x}\|_{W^{2,\infty}}^{2n}) < C_M,$$

where  $c_n$  is defined in (3-3) and  $B^{\log}[\varphi_0]$  is defined in (3-8). Then there exists a maximal time of existence  $0 < T_{\max} \leq \infty$ , depending only on  $C_0$ ,  $C_M$ , and  $s$  such that the initial value problem (1-1) has a unique solution with  $\varphi \in C([0, T_{\max}); H^s(\mathbb{R}))$  that satisfies

$$\|B^{\log}[\varphi(t)]\|_{\mathcal{M}_{(1,1)}} < 2C_0, \quad \sum_{n=1}^{\infty} \tilde{C}^n |c_n| (\|\varphi_x(t)\|_{W^{3,\infty}}^{2n} + \|L\varphi_x(t)\|_{W^{2,\infty}}^{2n}) < 2C_M \quad \text{for all } t \in [0, T_{\max}).$$

If  $T_{\max} < \infty$ , then

$$\lim_{t \uparrow T_{\max}} \|B^{\log}[\varphi(\cdot, t)]\|_{\mathcal{M}_{(1,1)}} = 2C_0 \quad \text{or} \quad \lim_{t \uparrow T_{\max}} \sum_{n=1}^{\infty} \tilde{C}^n |c_n| (\|\varphi_x(t)\|_{W^{3,\infty}}^{2n} + \|L\varphi_x(t)\|_{W^{2,\infty}}^{2n}) = 2C_M. \quad (4-2)$$

For any  $0 < T < T_{\max}$ , the solution map  $U : H^s(\mathbb{R}) \rightarrow C([0, T]; H^s(\mathbb{R}))$  defined by  $U : \varphi_0 \mapsto \varphi$  is continuous. Moreover, if  $0 \leq r < s - 1$  is an integer and  $\varphi, \psi \in C([0, T]; H^s(\mathbb{R}))$  are solutions of (1-1) with initial data  $\varphi(\cdot, 0) = \varphi_0$ ,  $\psi(\cdot, 0) = \psi_0$ , then

$$\|\varphi(\cdot, t) - \psi(\cdot, t)\|_{H^r} \leq C \|\varphi_0 - \psi_0\|_{H^r} \quad \text{for all } t \in [0, T], \quad (4-3)$$

where  $C$  is a constant depending on  $\|\varphi_0\|_{H^r}$ ,  $\|\psi_0\|_{H^r}$ ,  $C_0$ ,  $C_M$ ,  $\tilde{C}$ , and  $\tilde{r}$ , with  $\tilde{r} = \max(r + 2, 5)$ .

This local well-posedness theorem is sufficient to continue solutions so long as the criterion in (4-2) is not satisfied, which allows us to obtain global solutions with small initial data. The range of  $C_0$  is not optimal and may be extended by more careful estimates. However, our proof method is not suitable for large data because it depends on a multilinear expansion of the nonlinear term. We will not address the question of local well-posedness for large data in the present paper.

As noted in the Introduction, standard  $H^s$ -estimates for (1-1) do not close, so we introduce homogeneous and nonhomogeneous weighted energies

$$E^{(s)}[\varphi](t) = \int_{\mathbb{R}} |D|^s \varphi(x, t) \cdot (2 - T_{B^{\log}[\varphi]})^{2s+1} |D|^s \varphi(x, t) \, dx, \quad \tilde{E}^{(s)}[\varphi](t) = \sum_{j=0}^s E^{(j)}[\varphi](t). \quad (4-4)$$

The solutions we construct satisfy  $\|B^{\log}[\varphi]\|_{\mathcal{M}_{(1,1)}} < \frac{1}{2\nu}$ , so  $(2 - T_{B^{\log}[\varphi]})$  is a positive, self-adjoint operator on  $L^2$ , and these weighted energies are equivalent to the standard  $H^s$ -energies.

Theorem 4.1 is proved in the following subsections, where we use  $F$  to denote an increasing, continuous, positive function, which might change from line to line.

**4A. Linearized equation and energy estimates.** We begin by studying a linearization of (3-7). Given functions  $\varphi_0(x)$ ,  $u(x, t)$ , and  $\Upsilon(x, t)$ , we consider the linear initial value problem

$$\varphi_t + \partial_x T_{B^0[u]} \varphi + \Upsilon(x, t) = L[(2 - T_{B^{\log}[u]})\varphi]_x, \quad \varphi(x, 0) = \varphi_0(x), \quad (4-5)$$

and define linearized energies for this equation by

$$E_u^{(s)}[\varphi](t) = \int_{\mathbb{R}} |D|^s \varphi(x, t) \cdot (2 - T_{B^{\log}[u]})^{2s+1} |D|^s \varphi(x, t) \, dx, \quad \tilde{E}_u^{(s)}[\varphi](t) = \sum_{j=0}^s E_u^{(j)}[\varphi](t). \quad (4-6)$$

In order to derive energy estimates for (4-5), we first state a lemma.

**Lemma 4.2.** *Let  $s \in \mathbb{N}$  and  $T > 0$ . Suppose that  $u \in C([0, T]; W^{3,\infty}(\mathbb{R}))$  with  $u_t \in C([0, T]; W^{2,\infty}(\mathbb{R}))$ , and  $\psi \in C^1([0, T]; L^2(\mathbb{R}))$ . Then*

$$\partial_t (2 - T_{B^{\log}[u]})^s \psi = (2 - T_{B^{\log}[u]})^s \psi_t - s (2 - T_{B^{\log}[u]})^{s-1} T_{\partial_t B^{\log}[u]} \psi + \mathcal{R}_2(\psi, u),$$

where the remainder term satisfies

$$\|\mathcal{R}_2(\psi, u)\|_{H^k} \lesssim \|\psi\|_{H^k} \left\{ \sum_{n=1}^{\infty} C(n, s) |c_n| (\|u_x\|_{W^{1,\infty}}^{2n} + \|u_{xt}\|_{W^{1,\infty}}^{2n}) \right\} \quad \text{for all } k \in \mathbb{N},$$

for constants  $C(n, s)$  with at most exponential growth in  $n$ .

*Proof.* Since  $s$  is an integer, we can calculate the time derivative as

$$\begin{aligned} \partial_t (2 - T_{B^{\log}[u]})^s \psi &= (2 - T_{B^{\log}[u]})^s \psi_t - T_{\partial_t B^{\log}[u]} (2 - T_{B^{\log}[u]})^{s-1} \psi \\ &\quad - (2 - T_{B^{\log}[u]}) T_{\partial_t B^{\log}[u]} (2 - T_{B^{\log}[u]})^{s-2} \psi - \cdots - (2 - T_{B^{\log}[u]})^{s-1} T_{\partial_t B^{\log}[u]} \psi. \end{aligned}$$

By Lemma 2.1, the equivalence of symbol norms in (B-2), and the symbol estimates in Proposition 3.1, we get that

$$\begin{aligned} \|[T_{\partial_t B^{\log}[u]}, (2 - T_{B^{\log}[u]})]f\|_{H^k} &\lesssim \|f\|_{H^k} \|\partial_t B^{\log}[u]\|_{\mathcal{M}_{(1,1)}} \|B^{\log}[u]\|_{\mathcal{M}_{(1,1)}} \\ &\lesssim \|f\|_{H^k} \left\{ \sum_{n=1}^{\infty} C(n, s) |c_n| (\|u_x\|_{W^{1,\infty}}^{2n} + \|u_{xt}\|_{W^{1,\infty}}^{2n}) \right\}. \end{aligned}$$

Taking  $f = (2 - T_{B^{\log}[u]})^{s-2} \psi, (2 - T_{B^{\log}[u]})^{s-3} \psi, \dots, (2 - T_{B^{\log}[u]}) \psi$  and applying the above estimate repeatedly, we obtain the conclusion.  $\square$

We then get energy estimates and an existence result for the linearized initial value problem (4-5).

**Proposition 4.3.** *Let  $s \geq 2$  be an integer, let  $C_M > 0$ ,  $T > 0$  be constants, and  $0 < C_0 < \frac{1}{4v}$ , where  $v$  is a positive constant from Theorem B.3. Then there exists a constant  $\tilde{C} > 0$ , depending only on  $s$ , such that the following statements hold. Suppose that*

$$\varphi_0 \in H^s(\mathbb{R}), \quad \Upsilon \in L^\infty([0, T]; H^s(\mathbb{R})), \quad u \in L^\infty([0, T]; W^{4,\infty}(\mathbb{R})),$$

with  $u_t, Lu_x \in L^\infty([0, T]; W^{2,\infty}(\mathbb{R}))$ , and for all  $t \in [0, T]$

$$\|B^{\log}[u(t)]\|_{\mathcal{M}_{(1,1)}} < 2C_0, \quad \sum_{n=1}^{\infty} \tilde{C}^n |c_n| (\|u_x(t)\|_{W^{3,\infty}}^{2n} + \|Lu_x(t)\|_{W^{2,\infty}}^{2n} + \|u_{tx}(t)\|_{W^{1,\infty}}^{2n}) < 2C_M.$$

Then the initial value problem (4-5) has a unique solution  $\varphi \in C([0, T]; H^s(\mathbb{R}))$ . Moreover, the linearized energy (4-6) satisfies

$$\begin{aligned} \tilde{E}_u^{(s)}[\varphi](t) \leq \tilde{E}_u^{(s)}[\varphi_0] + \int_0^t F(\|u_x(\tau)\|_{W^{3,\infty}} + \|u_{tx}(\tau)\|_{W^{1,\infty}} + \|Lu_x(\tau)\|_{W^{2,\infty}}) \\ \cdot \{(\|u_x(\tau)\|_{W^{3,\infty}} + \|u_{tx}(\tau)\|_{W^{1,\infty}} + \|Lu_x(\tau)\|_{W^{2,\infty}})^2 \|\varphi(\tau)\|_{H^s}^2 \\ + \|\Upsilon(\tau)\|_{H^s} \|\varphi(\tau)\|_{H^s}\} d\tau, \end{aligned} \quad (4-7)$$

where  $F$  is an increasing, continuous, positive function such that

$$\begin{aligned} F(\|u_x(\tau)\|_{W^{3,\infty}} + \|u_{tx}(\tau)\|_{W^{1,\infty}} + \|Lu_x(\tau)\|_{W^{2,\infty}}) \\ \approx \sum_{n=0}^{\infty} \tilde{C}^n |c_n| (\|u_x\|_{W^{3,\infty}}^{2n} + \|u_{tx}\|_{W^{1,\infty}}^{2n} + \|Lu_x\|_{W^{2,\infty}}^{2n}). \end{aligned} \quad (4-8)$$

*Proof.* The existence and uniqueness of solutions of (4-5) follow from energy estimates and a duality argument, which we outline briefly.

We write the linearized equation in (4-5) as  $A\varphi = -\Upsilon$ , where the operator  $A$  is given by

$$A = \partial_t - \partial_x L(2 - T_{B^{\log[u]}}) + \partial_x T_{B^0[u]}.$$

The formal adjoint of  $A$  is

$$A^* = -\partial_t + (2 - T_{B^{\log[u]}}) \partial_x L - T_{B^0[u]} \partial_x.$$

For  $T > 0$ , we define a space  $\mathcal{E}$  of test functions by

$$\mathcal{E} = \{w \in C^\infty([0, T]; H^\infty(\mathbb{R})) \mid w(x, T) = 0\}$$

and for  $w \in \mathcal{E}$ ,  $f \in A^*\mathcal{E}$  consider the equation  $A^*w = f$ , or

$$-\partial_t w + (2 - T_{B^{\log[u]}}) \partial_x L w - T_{B^0[u]} \partial_x w = f.$$

Applying the operator  $T_{1/(2-B^{\log[u]})}$  to this equation, we get that

$$-T_{1/(2-B^{\log[u]})} \partial_t w + \partial_x L w - T_{B^0[u]/(2-B^{\log[u]})} \partial_x w = T_{1/(2-B^{\log[u]})} f + \mathcal{R}', \quad (4-9)$$

where, in view of the commutator estimate in Theorem B.6, the remainder term  $\mathcal{R}'$  satisfies

$$\|\mathcal{R}'\|_{L^2} \lesssim \left( \left\| \frac{1}{2 - B^{\log[u]}} \right\|_{\mathcal{M}_{(2,2)}} + \|B^0[u]\|_{\mathcal{M}_{(2,2)}} \right) \|w\|_{L^2}.$$

Multiplying (4-9) by  $w$ , integrating with respect to  $x$  over  $\mathbb{R}$ , and rewriting the result, we have

$$\begin{aligned} \frac{d}{dt} \int_{\mathbb{R}} w T_{1/(2-B^{\log[u]})} w dx + \int_{\mathbb{R}} w [T_{B^0[u]/(2-B^{\log[u]})}, \partial_x] w dx \\ = \int_{\mathbb{R}} \{w T_{\partial_t(1/(2-B^{\log[u]}))} w - 2w T_{1/(2-B^{\log[u]})} f - 2w \mathcal{R}'\} dx. \end{aligned}$$

By the assumptions on  $u$ , the  $\mathcal{M}_{(1,1)}$ -norm of the symbol  $1/(2 - B^{\log[u]})$  is positive and bounded away from zero. In fact, since  $\|B^{\log[u]}\|_{\mathcal{M}_{(1,1)}} < 2C_0$  and  $\|2 - B^{\log[u]}\|_{\mathcal{M}_{(1,1)}} > 2 - 2C_0$  for  $C_0 < \frac{1}{4}$ , we

get from the definition in (2-3) of the  $\mathcal{M}_{(1,1)}$ -norm that

$$\begin{aligned} & \left\| \frac{1}{(2 - B^{\log}[u])} \right\|_{\mathcal{M}_{(1,1)}} \\ & \leq \left\| \frac{1}{(2 - B^{\log}[u])} \right\|_{L_{x,\xi}^\infty} + \left\| \frac{1}{(2 - B^{\log}[u])^2} \right\|_{L_{x,\xi}^\infty} \|B^{\log}[u]\|_{\mathcal{M}_{(1,1)}} + 2 \left\| \frac{1}{(2 - B^{\log}[u])^3} \right\|_{L_{x,\xi}^\infty} \|B^{\log}[u]\|_{\mathcal{M}_{(1,1)}}^2 \\ & < \frac{1}{2 - 2C_0} + \frac{2C_0}{(2 - 2C_0)^2} + \frac{2(2C_0)^2}{(2 - 2C_0)^3} = \frac{1 - C_0 + 2C_0^2}{2(1 - C_0)^3} < \frac{1 + \frac{1}{8}}{2\left(\frac{3}{4}\right)^3} = \frac{4}{3}. \end{aligned}$$

Since

$$\frac{1}{2 - B^{\log}[u]} = \frac{1}{2} + \frac{B^{\log}[u]}{2(2 - B^{\log}[u])},$$

we also have

$$\left\| \frac{1}{(2 - B^{\log}[u])} \right\|_{\mathcal{M}_{(1,1)}} \geq \frac{1}{2} - \frac{1}{2} \left\| \frac{1}{(2 - B^{\log}[u])} \right\|_{\mathcal{M}_{(1,1)}} \|B^{\log}[u]\|_{\mathcal{M}_{(1,1)}} > \frac{1}{2} - \frac{1}{2} \cdot \frac{4}{3} \cdot \frac{1}{2} = \frac{1}{6}.$$

Thus, the integral  $\int_{\mathbb{R}} w T_{1/(2-B^{\log}[u])} w \, dx$  is equivalent to  $\|w\|_{L^2}^2$ . Integrating the previous equation over the time interval  $[t, T]$ , where  $0 \leq t \leq T$ , and using the commutator estimate in Lemma 2.3, we obtain

$$\|w(t)\|_{L^2} \lesssim \int_t^T \left\| \frac{1}{2 - B^{\log}[u]} \right\|_{\mathcal{M}_{(2,2)}} \|w(s)\|_{L^2} + \|B^0[u]\|_{\mathcal{M}_{(2,2)}} \|w(s)\|_{L^2} + \left\| \frac{1}{2 - B^{\log}[u]} \right\|_{\mathcal{M}_{(2,2)}} \|f(s)\|_{L^2} \, ds.$$

Then, by Gronwall's inequality,

$$\|w(t)\|_{L^2} \lesssim \int_0^T e^{\int_s^t \|1/(2-B^{\log}[u])\|_{\mathcal{M}_{(2,2)}} + \|B^0[u]\|_{\mathcal{M}_{(2,2)}} \, d\tau} \left\| \frac{1}{2 - B^{\log}[u]} \right\|_{\mathcal{M}_{(2,2)}} \|f(s)\|_{L^2} \, ds \leq C \int_0^T \|f(s)\|_{L^2} \, ds,$$

where  $C$  is a constant related to  $u$ . It follows that

$$\|w(t)\|_{L^2}^2 \leq C \int_0^T \|A^* w(s)\|_{L^2}^2 \, ds \quad \text{for every } w \in \mathcal{E} \text{ and all } t \in [0, T], \quad (4-10)$$

which implies that  $A^*$  is one-to-one on  $\mathcal{E}$ .

The distributional form of (4-5) is

$$\int_0^T (\varphi, A^* w)_{L^2} \, dt + (\varphi_0, w(0))_{L^2} = \int_0^T (-\Upsilon, w)_{L^2} \, dt \quad \text{for all } w \in \mathcal{E}.$$

We define a linear form  $\mathfrak{L} : A^* \mathcal{E} \rightarrow \mathbb{R}$  by

$$\mathfrak{L}(A^* w) = \int_0^T (-\Upsilon, w)_{L^2} \, dt - (\varphi_0, w(0))_{L^2}.$$

Using the Cauchy–Schwarz inequality and (4-10), we have

$$|\mathfrak{L}(A^* w)|^2 \lesssim (T \|\Upsilon\|_{L^2(0,T;L^2)}^2 + \|\varphi_0\|_{L^2}^2) \|A^* w\|_{L^2(0,T;L^2)}^2,$$

and  $\mathfrak{L}$  extends to a continuous form on  $L^2(0, T; L^2)$  by the Hahn–Banach theorem. The Riesz representation theorem and the density of  $A^* \mathcal{E}$  in  $L^2(0, T; L^2)$  then imply that there exists a unique  $\varphi \in L^2(0, T; L^2)$  such that

$$\mathfrak{L}(A^* w) = \int_0^T (\varphi(t), A^* w(t))_{L^2} dt.$$

Thus, we have proved the existence of a unique weak solution of (4-5) in  $L^2(0, T; L^2)$ . The  $H^s$ -regularity of solutions can be obtained by applying the above process to the equation for  $\partial_x^s \varphi$  with appropriately weighted  $L^2$ -norms.

To derive the energy estimates, we apply the operator  $|D|^s$  to the equation in (4-5) to get

$$|D|^s \varphi_t + \partial_x |D|^s T_{B^0[u]} \varphi + |D|^s \Upsilon = \partial_x L |D|^s [(2 - T_{B^{\log}[u]}) \varphi]. \quad (4-11)$$

Using Lemma 2.3 and Proposition 3.1, we find that

$$\begin{aligned} |D|^s [(2 - T_{B^{\log}[u]}) \varphi] &= 2|D|^s \varphi - |D|^s (T_{B^{\log}[u]} \varphi) \\ &= 2|D|^s \varphi - T_{B^{\log}[u]} |D|^s \varphi + s T_{\partial_x B^{\log}[u]} |D|^{s-2} \varphi_x + \mathcal{R}_2, \end{aligned}$$

where

$$\|\partial_x \mathcal{R}_2\|_{L^2} \lesssim \left( \sum_{n=1}^{\infty} C(n, s) |c_n| \|u_x\|_{W^{3,\infty}}^{2n} \right) \|\varphi\|_{H^{s-1}}.$$

Thus, after absorbing a low-frequency part into the remainder, we can write the right-hand side of (4-11) as

$$\partial_x L |D|^s [(2 - T_{B^{\log}[u]}) \varphi] = \partial_x \log_+ |\partial_x| [(2 - T_{B^{\log}[u]}) |D|^s \varphi + s T_{\partial_x B^{\log}[u]} |D|^{s-2} \varphi_x] + \mathcal{R}_3,$$

where

$$\|\mathcal{R}_3\|_{L^2} \lesssim \left( \sum_{n=1}^{\infty} C(n, s) |c_n| \|u_x\|_{W^{3,\infty}}^{2n} \right) \|\varphi\|_{H^{s-1}}.$$

Applying  $(2 - T_{B^{\log}[u]})^s$  to (4-11) and using Lemma 2.2 to commute  $(2 - T_{B^{\log}[u]})^s$  with  $\partial_x$  and  $\log_+ |\partial_x|$ , we obtain that

$$\begin{aligned} (2 - T_{B^{\log}[u]})^s |D|^s \varphi_t + (2 - T_{B^{\log}[u]})^s \partial_x |D|^s T_{B^0[u]} \varphi + (2 - T_{B^{\log}[u]})^s |D|^s \Upsilon \\ &= (2 - T_{B^{\log}[u]})^s \partial_x \log_+ |\partial_x| [(2 - T_{B^{\log}[u]}) |D|^s \varphi + s T_{\partial_x B^{\log}[u]} |D|^{s-2} \varphi_x] + (2 - T_{B^{\log}[u]})^s \mathcal{R}_3 \\ &= \log_+ |\partial_x| \{(2 - T_{B^{\log}[u]})^s \partial_x [(2 - T_{B^{\log}[u]}) |D|^s \varphi + s T_{\partial_x B^{\log}[u]} |D|^{s-2} \varphi_x]\} + \mathcal{R}_4 \\ &= \log_+ |\partial_x| \{(2 - T_{B^{\log}[u]})^{s+1} |D|^s \varphi_x - (s+1)(2 - T_{B^{\log}[u]})^s T_{\partial_x B^{\log}[u]} |D|^s \varphi\} + \mathcal{R}_4 \\ &= \partial_x L \{(2 - T_{B^{\log}[u]})^{s+1} |D|^s \varphi\} + \mathcal{R}_5, \end{aligned} \quad (4-12)$$

where  $\|\mathcal{R}_4\|_{L^2} + \|\mathcal{R}_5\|_{L^2} \lesssim (\sum_{n=1}^{\infty} C(n, s) |c_n| \|u_x\|_{W^{3,\infty}}^{2n}) \|\varphi\|_{H^s}$ .

By Lemma 4.2, with  $\psi = |D|^s \varphi$ , the time derivative of  $E_u^{(s)}(t)$  in (4-6) is

$$\begin{aligned} \frac{d}{dt} E_u^{(s)}[\varphi](t) &= - \int_{\mathbb{R}} (2s+1) |D|^s \varphi \cdot (2 - T_{B^{\log}[u]})^{2s} T_{\partial_t B^{\log}[u]} |D|^s \varphi dx \\ &\quad + 2 \int_{\mathbb{R}} |D|^s \varphi \cdot (2 - T_{B^{\log}[u]})^{2s+1} |D|^s \varphi_t dx + \int_{\mathbb{R}} \mathcal{R}_2 \cdot |D|^s \varphi dx. \end{aligned} \quad (4-13)$$

We will estimate each of the terms on the right-hand side of (4-13), where the second term requires the most work.

The first term on the right-hand side of (4-13) can be estimated by

$$\left| \int_{\mathbb{R}} (2s+1) |D|^s \varphi \cdot (2 - T_{B^{\log[u]}})^{2s} T_{\partial_t B^{\log[u]}} |D|^s \varphi \, dx \right| \lesssim \left( \sum_{n=1}^{\infty} C(n, s) |c_n| (\|u_x\|_{W^{1,\infty}}^{2n} + \|u_{tx}\|_{W^{1,\infty}}^{2n}) \right) \|\varphi\|_{H^s}^2.$$

Using Lemma 4.2, we can estimate the third term on the right-hand side of (4-13) by

$$\int_{\mathbb{R}} \mathcal{R}_2 \cdot |D|^s \varphi \, dx \lesssim \left( \sum_{n=1}^{\infty} C(n, s) |c_n| (\|u_x\|_{W^{2,\infty}}^{2n} + \|u_{tx}\|_{W^{1,\infty}}^{2n}) \right) \|\varphi\|_{H^s} \|\varphi\|_{H^{s-1}}.$$

To estimate the second term on the right-hand side (4-13), we multiply (4-12) by  $(2 - T_{B^{\log[u]}})^{s+1} |D|^s \varphi$ , integrate the result with respect to  $x$ , and use the self-adjointness of  $(2 - T_{B^{\log[u]}})^{s+1}$ , which gives

$$\int_{\mathbb{R}} |D|^s \varphi \cdot (2 - T_{B^{\log[u]}})^{2s+1} |D|^s \varphi_t \, dx = \text{I} + \text{II} + \text{III} + \text{IV},$$

where

$$\begin{aligned} \text{I} &= - \int_{\mathbb{R}} |D|^s \varphi \cdot (2 - T_{B^{\log[u]}})^{2s+1} |D|^s \partial_x T_{B^0[\varphi]} \varphi \, dx, \\ \text{II} &= \int_{\mathbb{R}} (2 - T_{B^{\log[u]}})^{s+1} |D|^s \varphi \cdot \partial_x L (2 - T_{B^{\log[u]}})^{s+1} |D|^s \varphi \, dx, \\ \text{III} &= \int_{\mathbb{R}} (2 - T_{B^{\log[u]}})^{s+1} |D|^s \varphi \cdot \mathcal{R}_5 \, dx, \\ \text{IV} &= \int_{\mathbb{R}} |D|^s \varphi \cdot (2 - T_{B^{\log[u]}})^{2s+1} |D|^s \Upsilon \, dx. \end{aligned}$$

We have  $\text{II} = 0$ , since  $\partial_x L$  is skew-symmetric, and

$$\text{III} \lesssim \left( \sum_{n=1}^{\infty} C(n, s) |c_n| \|u_x\|_{W^{3,\infty}}^{2n} \right) \|\varphi\|_{H^s}^2, \quad \text{IV} \lesssim F(\|u_x\|_{W^{3,\infty}}) \|\varphi\|_{H^s} \|\Upsilon\|_{H^s},$$

since  $\|\mathcal{R}_5\|_{L^2} \lesssim \left( \sum_{n=1}^{\infty} C(n, s) |c_n| \|u_x\|_{W^{3,\infty}}^{2n} \right) \|\varphi\|_{H^s}$  and  $(2 - T_{B^{\log[u]}})^{s+1}$  is bounded on  $L^2$ .

**Term I estimate:** We write  $\text{I} = -\text{I}_a + \text{I}_b$ , where

$$\begin{aligned} \text{I}_a &= \int_{\mathbb{R}} |D|^s \varphi \cdot (2 - T_{B^{\log[u]}})^{2s+1} \partial_x T_{B^0[u]} |D|^s \varphi \, dx, \\ \text{I}_b &= \int_{\mathbb{R}} |D|^s \varphi \cdot (2 - T_{B^{\log[u]}})^{2s+1} \partial_x [T_{B^0[u]}, |D|^s] \varphi \, dx. \end{aligned}$$

By a commutator estimate and (3-9), the second integral satisfies

$$|\text{I}_b| \lesssim \left( \sum_{n=1}^{\infty} C(n, s) |c_n| (\|u_x\|_{W^{2,\infty}}^{2n} + \|L u_x\|_{W^{2,\infty}}^{2n}) \right) \|\varphi\|_{H^s}^2.$$

To estimate the first integral, we write it as

$$\text{I}_a = \text{I}_{a1} - \text{I}_{a2},$$

where

$$\begin{aligned} I_{a_1} &= \int_{\mathbb{R}} |D|^s \varphi \cdot [(2 - T_{B^{\log}[u]})^{2s+1}, \partial_x] (T_{B^0[u]} |D|^s \varphi) \, dx, \\ I_{a_2} &= \int_{\mathbb{R}} |D|^s \varphi_x \cdot (2 - T_{B^{\log}[u]})^{2s+1} (T_{B^0[u]} |D|^s \varphi) \, dx. \end{aligned}$$

**Term  $I_{a_1}$  estimate:** A Kato–Ponce type commutator estimate and (3-9) gives

$$|I_{a_1}| \lesssim \left( \sum_{n=1}^{\infty} C(n, s) |c_n| (\|u_x\|_{W^{2,\infty}}^{2n} + \|Lu_x\|_{W^{1,\infty}}^{2n}) \right) \|\varphi\|_{H^s}^2.$$

**Term  $I_{a_2}$  estimate:** We have

$$\begin{aligned} I_{a_2} &= \int_{\mathbb{R}} (T_{B^0[u]} |D|^s \varphi) \cdot \{ \partial_x ((2 - T_{B^{\log}[u]})^{2s+1} |D|^s \varphi) - [\partial_x, (2 - T_{B^{\log}[u]})^{2s+1}] |D|^s \varphi \} \, dx \\ &= - \int_{\mathbb{R}} \partial_x (T_{B^0[u]} |D|^s \varphi) \cdot (2 - T_{B^{\log}[u]})^{2s+1} |D|^s \varphi \, dx \\ &\quad - \int_{\mathbb{R}} (T_{B^0[u]} |D|^s \varphi) \cdot [\partial_x, (2 - T_{B^{\log}[u]})^{2s+1}] |D|^s \varphi \, dx \\ &= - \int_{\mathbb{R}} (T_{B^0[u]} |D|^s \varphi_x + [\partial_x, T_{B^0[u]}] |D|^s \varphi) \cdot (2 - T_{B^{\log}[u]})^{2s+1} |D|^s \varphi \, dx \\ &\quad - \int_{\mathbb{R}} (T_{B^0[u]} |D|^s \varphi) \cdot [\partial_x, (2 - T_{B^{\log}[u]})^{2s+1}] |D|^s \varphi \, dx. \quad (4-14) \end{aligned}$$

Using commutator estimates and (3-9), we get that

$$\begin{aligned} \|[\partial_x, T_{B^0[u]}] |D|^s \varphi\|_{L^2} &\lesssim \left( \sum_{n=1}^{\infty} C(n, s) |c_n| (\|u_x\|_{W^{2,\infty}}^2 + \|Lu_x\|_{W^{2,\infty}}^2) \right) \|\varphi\|_{H^s}, \\ \|[\partial_x, (2 - T_{B^{\log}[u]})^{2s+1}] |D|^s \varphi\|_{L^2} &\lesssim \left( \sum_{n=1}^{\infty} C(n, s) |c_n| (\|u_x\|_{W^{2,\infty}}^{2n}) \right) \|\varphi\|_{H^s}, \\ \|\partial_x [(2 - T_{B^{\log}[u]})^{2s+1}, T_{B^0[u]}] |D|^s \varphi\|_{L^2} &\lesssim \left( \sum_{n=1}^{\infty} C(n, s) |c_n| (\|u_x\|_{W^{2,\infty}}^{2n} + \|Lu_x\|_{W^{2,\infty}}^{2n}) \right) \|\varphi\|_{H^s}. \end{aligned}$$

Since  $T_{B^0[u]}$  is self-adjoint, we can rewrite (4-14) as

$$I_{a_2} = -I_{a_2} + \mathcal{R}_6,$$

with

$$|\mathcal{R}_6| \lesssim \left( \sum_{n=1}^{\infty} C(n, s) |c_n| (\|u_x\|_{W^{2,\infty}}^{2n} + \|Lu_x\|_{W^{2,\infty}}^{2n}) \right) \|\varphi\|_{H^s}^2,$$

and we conclude that

$$|I_{a_2}| \lesssim \left( \sum_{n=1}^{\infty} C(n, s) |c_n| (\|u_x\|_{W^{2,\infty}}^{2n} + \|Lu_x\|_{W^{2,\infty}}^{2n}) \right) \|\varphi\|_{H^s}^2.$$

This completes the estimate of the terms on the right-hand side of (4-13). Collecting the above estimates and using the interpolation inequalities for  $E_u^{(0)}$  and  $E_u^{(s)}$ , we obtain (4-7).

Finally, by Proposition 3.1, we observe that the coefficients  $C(n, s) > 0$  grow at most exponentially with  $n$ . Thus, there exists a sufficiently large constant  $\tilde{C}(s) > 0$  such that  $C(n, s) \leq \tilde{C}(s)^n$ . The series in (4-8) then converges whenever  $\|u_x\|_{W^{3,\infty}} + \|u_{tx}\|_{W^{1,\infty}} + \|Lu_x(\tau)\|_{W^{2,\infty}}$  is sufficiently small, and we can choose  $F$  to be an increasing, continuous, positive function that satisfies (4-8).  $\square$

In particular, setting  $u = \varphi$  in Proposition 4.3, and using the continuity of  $\varphi$  in time, we get the following a priori estimate for (1-1).

**Proposition 4.4.** *Let  $s \geq 5$  be an integer and let  $0 < C_0 < \frac{1}{4v}$ , where  $v$  is a positive constant from Theorem B.3. Let  $C_M > 0$  be a constant. Then there exist constants  $\tilde{C} > 0$ , depending only on  $s$ , and  $T > 0$  such that the following statements hold. If  $\varphi_0 \in H^s(\mathbb{R})$  satisfies*

$$\|B^{\log}[\varphi_0]\|_{\mathcal{M}_{(1,1)}} < C_0, \quad \sum_{n=1}^{\infty} \tilde{C}^n |c_n| (\|\partial_x \varphi_0\|_{W^{3,\infty}}^{2n} + \|L \partial_x \varphi_0\|_{W^{2,\infty}}^{2n}) < C_M,$$

then the solution  $\varphi \in C([0, T]; H^s(\mathbb{R}))$  of (1-1) with initial data  $\varphi(\cdot, 0) = \varphi_0$  satisfies

$$\begin{aligned} \tilde{E}^{(s)}[\varphi](t) &\leq \tilde{E}^{(s)}[\varphi_0] + \int_0^t (\|\varphi_x(\tau)\|_{W^{3,\infty}} + \|L\varphi_x(\tau)\|_{W^{2,\infty}})^2 \\ &\quad \cdot F(\|\varphi_x(\tau)\|_{W^{3,\infty}} + \|L\varphi_x(\tau)\|_{W^{2,\infty}}) \tilde{E}^{(s)}[\varphi](\tau) d\tau, \end{aligned} \quad (4-15)$$

$$\|B^{\log}[\varphi(t)]\|_{\mathcal{M}_{(1,1)}} < 2C_0, \quad \sum_{n=1}^{\infty} \tilde{C}^n |c_n| (\|\varphi_x(t)\|_{W^{3,\infty}}^{2n} + \|L\varphi_x(t)\|_{W^{2,\infty}}^{2n}) < 2C_M$$

for all  $t \in [0, T]$ . In (4-15),  $\tilde{E}^{(s)}$  is defined in (4-4), and  $F(\cdot)$  is an increasing, continuous, nonnegative function such that

$$F(\|\varphi_x\|_{W^{3,\infty}} + \|L\varphi_x\|_{W^{2,\infty}}) \approx \sum_{n=0}^{\infty} \tilde{C}^n |c_n| (\|\varphi_x\|_{W^{3,\infty}}^{2n} + \|L\varphi_x\|_{W^{2,\infty}}^{2n}).$$

**4B. Iteration scheme.** Given a function  $u$  satisfying the conditions in Proposition 4.3 and  $\varphi_0 \in H^s(\mathbb{R})$ , we define a map  $\mathbf{G} : u \mapsto \varphi$ , where  $\varphi \in L^\infty([0, T]; H^s(\mathbb{R}))$  is the solution of the initial value problem

$$\varphi_t + \partial_x T_{B^0[u]} \varphi + \mathcal{R}(u) = L[(2 - T_{B^{\log}[u]})\varphi]_x, \quad \varphi(x, 0) = \varphi_0(x),$$

with the same remainder  $\mathcal{R}(\cdot)$  as the one in (3-7).

We then construct a sequence  $\{\varphi^{(i)}\}$  of approximate solutions of (1-1) by

$$\varphi^{(0)}(x, t) = \varphi_0(x), \quad \varphi^{(i)} = \mathbf{G}(\varphi^{(i-1)}) \quad \text{for } i \in \mathbb{N}. \quad (4-16)$$

For sufficiently small  $T > 0$ , we will prove that this sequence is bounded in  $L^\infty([0, T]; H^s(\mathbb{R}))$  and Cauchy in  $L^\infty([0, T]; H^3(\mathbb{R}))$ , which implies that its limit is a local solution of the initial value problem (1-1).

To begin with, we identify a bounded subset  $X_T$  of  $L^\infty([0, T]; H^s(\mathbb{R}))$  that  $\mathbf{G}$  maps into itself.

**Proposition 4.5** (boundedness). *Let  $s \geq 6$ . Suppose that  $0 < C_0 < \min\{\frac{1}{4}, \frac{1}{4v}\}$ ,  $C_M > 0$ ,  $\bar{C} > 0$  are constants and  $\varphi_0 \in H^s(\mathbb{R})$  satisfies*

$$\|B^{\log}[\varphi_0]\|_{\mathcal{M}_{(1,1)}} < C_0, \quad \sum_{n=1}^{\infty} \tilde{C}^n |c_n| (\|\varphi_{0x}\|_{W^{3,\infty}}^{2n} + \|L\varphi_{0x}\|_{W^{2,\infty}}^{2n}) < C_M, \quad \|\varphi_0\|_{H^s} \leq \bar{C}.$$

Define

$$X_T = \left\{ u \in L^\infty([0, T]; H^s(\mathbb{R})) \mid u_t, Lu_x \in L^\infty([0, T]; W^{2,\infty}(\mathbb{R})), \right. \\ \left. \|u\|_{L^\infty([0, T]; H^s(\mathbb{R}))} \leq 2 \cdot \frac{(2+2C_0)^{s+1/2}}{\min\{1, (2-2C_0)^{s+1/2}\}} \bar{C}, \right. \\ \left. \sum_{n=1}^{\infty} \tilde{C}^n |c_n| (\|u_x(t)\|_{W^{3,\infty}}^{2n} + \|Lu_x(t)\|_{W^{2,\infty}}^{2n} + \|u_{tx}(t)\|_{W^{1,\infty}}^{2n}) < 2C_M, \right. \\ \left. \|B^{\log}[u(t)]\|_{\mathcal{M}_{(1,1)}} < 2C_0 \text{ for all } t \in [0, T], u(0) = \varphi_0 \right\}.$$

Then there exists  $T > 0$  such that  $\mathbf{G} : X_T \rightarrow X_T$ .

*Proof.* Taking  $\Upsilon = \mathcal{R}(u)$  in Proposition 4.3 and using (3-10), we obtain that

$$\tilde{E}_u^{(s)}[\varphi](t) \leq \tilde{E}_u^{(s)}[\varphi_0] + \int_0^t (\|u_x(\tau)\|_{W^{3,\infty}} + \|u_{tx}(\tau)\|_{W^{1,\infty}} + \|Lu_x(\tau)\|_{W^{2,\infty}})^2 \\ \cdot F(\|u_x(\tau)\|_{W^{3,\infty}} + \|u_{tx}(\tau)\|_{W^{1,\infty}} + \|Lu_x(\tau)\|_{W^{2,\infty}}) (\|\varphi(\tau)\|_{H^s}^2 + \|u(\tau)\|_{H^s}^2) d\tau,$$

where  $F$  is a positive continuous function. Since  $u \in X_T$ , by Sobolev embedding, there exists a constant  $C_1 > 0$ , which depends only on  $\tilde{C}, \bar{C}, C_0, C_M$ , such that

$$\|u_x(\tau)\|_{W^{3,\infty}} + \|u_{tx}(\tau)\|_{W^{1,\infty}} + \|Lu_x(\tau)\|_{W^{2,\infty}} \leq C_1.$$

Since  $\|\varphi_0\|_{H^s} \leq \bar{C}$ , we have  $\tilde{E}_u^{(s)}[\varphi_0] \leq (2+2vC_0)^{2s+1} \bar{C}^2$ , and

$$\min\{1, (2-2vC_0)^{2s+1}\} \|\varphi(\cdot, t)\|_{H^s}^2 \leq \tilde{E}_u^{(s)}[\varphi](t) \leq (2+2vC_0)^{2s+1} v \|\varphi(\cdot, t)\|_{H^s}^2, \quad (4-17)$$

where  $v$  is the constant in (4-1).

Writing  $\tilde{E}_u^{(s)}[\varphi](t) = \tilde{E}_u^{(s)}(t)$ , we therefore get that

$$\tilde{E}_u^{(s)}(t) \leq (2+2vC_0)^{2s+1} \bar{C}^2 \\ + \int_0^t C_1^2 F(C_1) \left[ \max\{1, (2-2vC_0)^{-2s-1}\} \tilde{E}_u^{(s)}(\tau) + 4 \cdot \frac{(2+2vC_0)^{2s+1}}{\min\{1, (2-2vC_0)^{2s+1}\}} \bar{C}^2 \right] d\tau.$$

Thus, when

$$T < \frac{1}{4C_1^2 F(C_1)} \min[1, (2-2vC_0)^{2s+1}],$$

we have

$$\|\tilde{E}_u^{(s)}(t)\|_{L^\infty(0,T)} \leq (2+2vC_0)^{2s+1} \bar{C}^2 + \frac{1}{4} \|\tilde{E}_u^{(s)}(t)\|_{L^\infty(0,T)} + (2+2vC_0)^{2s+1} \bar{C}^2,$$

and it follows that

$$\|\tilde{E}_u^{(s)}(t)\|_{L^\infty(0,T)} \leq \frac{8}{3} \cdot (2+2vC_0)^{2s+1} \bar{C}^2.$$

Then, from (4-17), we get that

$$\|\varphi(t)\|_{L^\infty(0,T; H^s(\mathbb{R}))} \leq 2 \cdot \frac{(2+2vC_0)^{s+1/2}}{\min\{1, (2-2vC_0)^{s+1/2}\}} \bar{C}.$$

Using the equation for  $\varphi$ , we see that  $\|\varphi_t(t)\|_{H^{s'}}$  is bounded for any  $0 < s' < 5$ , and  $\|\varphi_{tt}(t)\|_{H^{s''}}$  is bounded for any  $0 < s'' < 4$ . Thus  $\|\varphi(t)\|_{H^{s'}}$  and  $\|\varphi_t(t)\|_{H^{s''}}$  are continuous in  $t$ . By Sobolev embedding and the symbol estimates in Proposition 3.1,

$$\|\partial_t B^{\log}[\varphi]\|_{\mathcal{M}_{(j,2)}} \lesssim \sum_{n=1}^{\infty} C(n, s) |c_n| \|\varphi_x\|_{W^{j,\infty}}^{2n-1} \|\varphi_{tx}\|_{W^{j,\infty}} \leq C_2(C_M, C_0, s), \quad j = 2, 3,$$

for some constant  $C_2(C_M, C_0, s) > 0$  depending on  $C_M$ ,  $C_0$ , and  $s$ . Therefore,

$$\|B^{\log}[\varphi(t)]\|_{\mathcal{M}_{(1,1)}} \leq \|B^{\log}[\varphi(0)]\|_{\mathcal{M}_{(1,1)}} + \int_0^t \|B^{\log}[\varphi(\tau)]\|_{\mathcal{M}_{(1,1)}} d\tau \leq C_0 + t C_2(C_M, C_0, s),$$

and by taking  $T < C_0/(C_2(C_M, C_0, s))$ , we obtain  $\|B^{\log}[\varphi(t)]\|_{\mathcal{M}_{(1,1)}} < 2C_0$ .

Next, for  $t \in [0, T]$ , we observe that

$$\begin{aligned} & \sum_{n=1}^{\infty} \tilde{C}^n |c_n| (\|\varphi_x(t)\|_{W^{3,\infty}}^{2n} + \|L\varphi_x(t)\|_{W^{2,\infty}}^{2n} + \|\varphi_{tx}(t)\|_{W^{1,\infty}}^{2n}) \\ & \leq \sum_{n=1}^{\infty} \tilde{C}^n |c_n| \left[ (\|\varphi_x(0)\|_{W^{3,\infty}} + \int_0^t \|\varphi_{tx}(\tau)\|_{W^{3,\infty}} d\tau)^{2n} + (\|L\varphi_x(0)\|_{W^{2,\infty}} \right. \\ & \quad \left. + \int_0^t \|L\varphi_{tx}(\tau)\|_{W^{2,\infty}} d\tau)^{2n} + \left( \|\varphi_{tx}(0)\|_{W^{1,\infty}} + \int_0^t \|\varphi_{tx}(\tau)\|_{W^{1,\infty}} d\tau \right)^{2n} \right] \\ & \leq \sum_{n=1}^{\infty} \tilde{C}^n |c_n| (\|\varphi_x(0)\|_{W^{3,\infty}}^{2n} + \|L\varphi_x(0)\|_{W^{2,\infty}}^{2n} + \|\varphi_{tx}(0)\|_{W^{1,\infty}}^{2n}) + T C_3(C_M, C_0, s) \end{aligned}$$

for some constant  $C_3(C_M, C_0, s) > 0$  depending on  $C_M$ ,  $C_0$  and  $s$ . Since

$$\sum_{n=1}^{\infty} \tilde{C}^n |c_n| (\|\varphi_x(0)\|_{W^{3,\infty}}^{2n} + \|L\varphi_x(0)\|_{W^{2,\infty}}^{2n} + \|\varphi_{tx}(0)\|_{W^{1,\infty}}^{2n}) < C_M,$$

we obtain that when  $T < C_M/(C_3(C_M, C_0, s))$ ,

$$\sum_{n=1}^{\infty} \tilde{C}^n |c_n| (\|\varphi_x(t)\|_{W^{3,\infty}}^{2n} + \|L\varphi_x(t)\|_{W^{2,\infty}}^{2n} + \|\varphi_{tx}(t)\|_{W^{1,\infty}}^{2n}) \leq 2C_M.$$

By combining the above arguments, we find that for

$$T = \min \left\{ \frac{1}{4C_1^2 F(C_1)} \min[1, (2 - 2vC_0)^{2s+1}], \frac{C_0}{C_2(C_M, C_0, s)}, \frac{C_M}{C_3(C_M, C_0, s)} \right\}$$

we have that  $\mathbf{G} : X_T \rightarrow X_T$ . □

Next, we prove that  $\mathbf{G}$  is a contraction with respect to a low norm.

**Proposition 4.6** (contraction). *For sufficiently small  $T > 0$ , the map  $\mathbf{G} : X_T \rightarrow X_T$  defined above is a contraction with respect to  $\|\cdot\|_{L_t^{\infty} H_x^3}$ .*

*Proof.* For  $u, v \in X_T$ , let  $\varphi$  and  $\psi$  be solutions of the equations

$$\begin{aligned} \varphi_t + \partial_x T_{B^0[u]} \varphi + \mathcal{R}(u) &= L[(2 - T_{B^{\log}[u]})\varphi]_x, \\ \psi_t + \partial_x T_{B^0[v]} \psi + \mathcal{R}(v) &= L[(2 - T_{B^{\log}[v]})\psi]_x, \end{aligned}$$

with the same initial data. Taking the difference of these equations, we get

$$\begin{aligned} & (\varphi - \psi)_t + \partial_x T_{B^0[u]}(\varphi - \psi) \\ &= L[(2 - T_{B^{\log}[u]})(\varphi - \psi)]_x + \partial_x(T_{B^0[v]} - T_{B^0[u]})\psi - L[(T_{B^{\log}[u]} - T_{B^{\log}[v]})\psi]_x + \mathcal{R}(v) - \mathcal{R}(u). \end{aligned}$$

Applying Proposition 4.3 with

$$\Upsilon = -\partial_x(T_{B^0[v]} - T_{B^0[u]})\psi + L[(T_{B^{\log}[u]} + T_{B^{\log}[v]})\psi]_x + \mathcal{R}(u) - \mathcal{R}(v),$$

we obtain that, for  $k \leq 3$ ,

$$\begin{aligned} & \frac{d}{dt} \int_{\mathbb{R}} |D|^k (\varphi - \psi) (2 - T_{B^{\log}[u]})^{2k+1} |D|^k (\varphi - \psi) dx \\ & \lesssim (\|u_x\|_{W^{3,\infty}} + \|u_{tx}\|_{W^{1,\infty}} + \|Lu_x\|_{W^{2,\infty}})^2 F_1(\|u_x\|_{W^{3,\infty}} + \|u_{tx}\|_{W^{1,\infty}} + \|Lu_x\|_{W^{2,\infty}}) \|\varphi - \psi\|_{H^k}^2 \\ & \quad + F_2(\|u_x\|_{W^{3,\infty}} + \|u_{tx}\|_{W^{1,\infty}} + \|Lu_x\|_{W^{2,\infty}} + \|v_x\|_{W^{3,\infty}} + \|v_{tx}\|_{W^{1,\infty}} + \|Lv_x\|_{W^{2,\infty}}) \\ & \quad \cdot \|u - v\|_{H^4} \|\varphi - \psi\|_{H^k} \|\psi_x\|_{W^{k,\infty}}, \end{aligned}$$

where  $F_1, F_2$  are positive, continuous functions. Since  $\varphi = \psi$  at  $t = 0$ , we have, by Gronwall's inequality,

$$\|(\varphi - \psi)(t)\|_{H^k} \lesssim \int_0^t e^{\int_{\tau}^t F_1(\dots)(s) ds} F_2(\dots)(\tau) \|(u - v)(\cdot, \tau)\|_{H^3} \|\psi_x(\cdot, \tau)\|_{W^{k,\infty}} d\tau,$$

where  $(\dots)$  denotes the same arguments as above.

By the  $H^s$ -energy estimate in Proposition 4.3 with  $s \geq 5$ , the function

$$e^{\int_{\tau}^t F_1(\dots)(s) ds} F_2(\dots)(\tau)$$

is bounded by a positive constant  $C(C_M, C_0, s)$  on  $[0, T]$  for  $k \leq 3$ . Thus, by taking  $T < 1/C(C_M, C_0, s)$ , we deduce that

$$\|\varphi - \psi\|_{L^{\infty}(0, T; H^3)} \leq \lambda \|u - v\|_{L^{\infty}(0, T; H^3)}$$

for some  $0 < \lambda < 1$ . □

Proposition 4.6 implies that for any  $s \geq 6$  and  $\varphi_0 \in H^s(\mathbb{R})$  that satisfies the conditions in Proposition 4.5, the sequence  $\{\varphi^{(i)}\}$  defined in (4-16), which share a common life span  $[0, T]$  with  $T$  is only related to the constants  $C_M, C_0, s$ , is a Cauchy sequence with respect to  $\|\cdot\|_{L_t^{\infty} H_x^3}$ . In fact, for any  $0 < \epsilon < 1$ , there is a positive integer  $N = \log_{\lambda}(1 - \lambda)\epsilon/(3\bar{C})$  such that, for all  $i > j > N$ ,

$$\begin{aligned} \|\varphi^{(i)} - \varphi^{(j)}\|_{L_t^{\infty} H_x^3} & \leq \|\varphi^{(i)} - \varphi^{(i-1)}\|_{L_t^{\infty} H_x^3} + \dots + \|\varphi^{(j+1)} - \varphi^{(j)}\|_{L_t^{\infty} H_x^3} \\ & \leq (\lambda^{i-N-1} + \dots + \lambda^{j-N}) \|\varphi^{(N+1)} - \varphi^{(N)}\|_{L_t^{\infty} H_x^3} \\ & \leq (\lambda^{i-N-1} + \dots + \lambda^{j-N}) \lambda^N \|\varphi^{(1)} - \varphi^{(0)}\|_{L_t^{\infty} H_x^3} \leq \frac{3\bar{C}}{1-\lambda} \lambda^N < \epsilon. \end{aligned}$$

So  $\varphi = \lim_{j \rightarrow \infty} \varphi^{(j)}$  exists in  $L^{\infty}([0, T]; H^3(\mathbb{R}))$ . From Proposition 4.5, the sequence is bounded in  $L^{\infty}([0, T]; H^s(\mathbb{R}))$ , and it follows by a weak compactness argument that  $\varphi \in L^{\infty}([0, T]; H^s(\mathbb{R}))$ . Hence,  $\varphi$  is a fixed point of  $\mathbf{G}$  and a solution of (1-1).

**4C. Continuity in time.** Next, we prove that the solution just constructed is a continuous function of time with values in  $H^s$ . First, we notice that  $\varphi_t \in L^\infty([0, T]; H^{s'}(\mathbb{R}))$  for any  $s' < s - 1$ , which implies that  $\varphi \in C([0, T]; H^{s'}(\mathbb{R}))$ .

The equation is time-reversible and translation-invariant in time, so it suffices to prove that

$$\lim_{t \rightarrow 0+} \|\varphi(t) - \varphi(0)\|_{H^s} = 0.$$

Since  $\|\varphi(t)\|_{H^s}$  is bounded on  $[0, T]$  and  $\varphi(t) \rightarrow \varphi(0)$  strongly in  $H^{s'}$ , the weak  $H^s$ -limit of any convergent subsequence is unique, and we see that  $\varphi(t)$  converges to  $\varphi(0)$  in the weak  $H^s$ -topology. To show convergence in the strong  $H^s$ -topology, we only need to prove the norm-convergence

$$\lim_{t \rightarrow 0+} \|\varphi(t)\|_{H^s} = \|\varphi(0)\|_{H^s}. \quad (4-18)$$

Writing the weighted energy in (4-4) as  $\tilde{E}^{(s)}(t) = \tilde{E}^{(s)}[\varphi](t)$ , we have from the a priori estimate in Proposition 4.4 that

$$\begin{aligned} & \tilde{E}^{(s)}(0) - \int_0^t (\|\varphi_x(\tau)\|_{W^{3,\infty}} + \|L\varphi_x(\tau)\|_{W^{2,\infty}})^2 F(\|\varphi_x(\tau)\|_{W^{3,\infty}} + \|L\varphi_x(\tau)\|_{W^{2,\infty}}) \tilde{E}^{(s)}(\tau) d\tau \\ & \lesssim \tilde{E}^{(s)}(t) \lesssim \tilde{E}^{(s)}(0) + \int_0^t (\|\varphi_x(\tau)\|_{W^{3,\infty}} + \|L\varphi_x(\tau)\|_{W^{2,\infty}})^2 F(\|\varphi_x(\tau)\|_{W^{3,\infty}} + \|L\varphi_x(\tau)\|_{W^{2,\infty}}) \tilde{E}^{(s)}(\tau) d\tau, \end{aligned}$$

and by Gronwall's inequality,

$$\begin{aligned} & \tilde{E}^{(s)}(0) e^{-\int_0^t (\|\varphi_x(\tau)\|_{W^{3,\infty}} + \|L\varphi_x(\tau)\|_{W^{2,\infty}})^2 F(\|\varphi_x(\tau)\|_{W^{3,\infty}} + \|L\varphi_x(\tau)\|_{W^{2,\infty}}) d\tau} \\ & \leq \tilde{E}^{(s)}(t) \leq \tilde{E}^{(s)}(0) e^{\int_0^t (\|\varphi_x(\tau)\|_{W^{3,\infty}} + \|L\varphi_x(\tau)\|_{W^{2,\infty}})^2 F(\|\varphi_x(\tau)\|_{W^{3,\infty}} + \|L\varphi_x(\tau)\|_{W^{2,\infty}}) d\tau}. \end{aligned}$$

Using the notation in (4-6), we define an equivalent weighted norm on  $H^s$  by

$$\|\varphi\|_{H_w^s} = (\tilde{E}_{\varphi(0)}^{(s)}[\varphi](t))^{1/2}.$$

Then

$$\lim_{t \rightarrow 0+} \tilde{E}_{\varphi(0)}^{(s)}(t) = \lim_{t \rightarrow 0+} \tilde{E}^{(s)}(t) = \tilde{E}_{\varphi(0)}^{(s)}(0),$$

so (4-18) holds, which proves that  $\varphi \in C([0, T]; H^s(\mathbb{R}))$ .

**4D. Lipschitz continuous dependence on  $H^s$ .** To prove the stability estimate (4-3), we suppose that  $s \geq 7$  is an integer, and  $\varphi, \psi \in C([0, T]; H^s(\mathbb{R}))$  are solutions of (1-1) with initial data  $\varphi_0$  and  $\psi_0$ , respectively. Then

$$\begin{aligned} & \varphi_t + \partial_x T_{B^0[\varphi]} \varphi + \mathcal{R}(\varphi) = L[(2 - T_{B^0[\varphi]})\varphi]_x, \quad \varphi(0) = \varphi_0, \\ & \psi_t + \partial_x T_{B^0[\psi]} \psi + \mathcal{R}(\psi) = L[(2 - T_{B^0[\psi]})\psi]_x, \quad \psi(0) = \psi_0. \end{aligned}$$

Taking the difference of these equations, we obtain an equation for  $\varphi - \psi$ :

$$\begin{aligned} & (\varphi - \psi)_t + \partial_x T_{B^0[\varphi]}(\varphi - \psi) + \mathcal{R}(\varphi) - \mathcal{R}(\psi) \\ & = L[(2 - T_{B^0[\varphi]})(\varphi - \psi)]_x - \partial_x T_{B^0[\varphi] - B^0[\psi]} \psi - L[(T_{B^0[\varphi] - B^0[\psi]})\psi]_x. \quad (4-19) \end{aligned}$$

Using (3-8), we compute that

$$\begin{aligned} & B_n^{\log}[\varphi](\cdot, \xi) - B_n^{\log}[\psi](\cdot, \xi) \\ &= -\mathcal{F}_\xi^{-1} \left\{ 2c_n \int_{\mathbb{R}^{2n}} \delta\left(\xi - \sum_{j=1}^{2n} \eta_j\right) \sum_{k=1}^{2n} \prod_{j=1}^{k-1} \left[ i\eta_j \hat{\varphi}(\eta_j) \chi\left(\frac{(2n+1)\eta_j}{\xi}\right) \right] \left[ i\eta_k (\hat{\varphi}(\eta_k) - \hat{\psi}(\eta_k)) \chi\left(\frac{(2n+1)\eta_k}{\xi}\right) \right] \right. \\ &\quad \left. \cdot \prod_{j=k+1}^{2n} \left[ i\eta_j \hat{\psi}(\eta_j) \chi\left(\frac{(2n+1)\eta_j}{\xi}\right) \right] d\hat{\eta}_n \right\}. \end{aligned}$$

By an argument similar to the one in Proposition 3.1, we get the following symbol estimates:

$$\|B_n^{\log}[\varphi] - B_n^{\log}[\psi]\|_{\mathcal{M}_{(j,2)}} \lesssim C(n, s) |c_n| \sum_{k=1}^{2n} \|\varphi_x\|_{W^{j,\infty}}^{k-1} \|\varphi_x - \psi_x\|_{W^{j,\infty}} \|\psi_x\|_{W^{j,\infty}}^{2n-k}, \quad j = 2, 3,$$

so that

$$\|B^{\log}[\varphi] - B^{\log}[\psi]\|_{\mathcal{M}_{(j,2)}} \lesssim \sum_{n=1}^{\infty} C(n, s) |c_n| \sum_{k=1}^{2n} \|\varphi_x\|_{W^{j,\infty}}^{k-1} \|\varphi_x - \psi_x\|_{W^{j,\infty}} \|\psi_x\|_{W^{j,\infty}}^{2n-k}, \quad j = 2, 3.$$

Similarly, we have

$$\begin{aligned} & \|B^0[\varphi] - B^0[\psi]\|_{\mathcal{M}_{(2,2)}} \\ & \lesssim \sum_{n=1}^{\infty} C(n, s) |c_n| \sum_{k=1}^{2n} (\|L\varphi_x\|_{W^{2,\infty}}^{k-1} \|L(\varphi - \psi)_x\|_{W^{2,\infty}} \|L\psi_x\|_{W^{2,\infty}}^{2n-k} + \|\varphi_x\|_{W^{2,\infty}}^{k-1} \|(\varphi - \psi)_x\|_{W^{2,\infty}} \|\psi_x\|_{W^{2,\infty}}^{2n-k}), \end{aligned}$$

and

$$\begin{aligned} \|\mathcal{R}(\varphi) - \mathcal{R}(\psi)\|_{H^s} & \lesssim \|\varphi - \psi\|_{H^s} \left\{ \sum_{n=1}^{\infty} C(n, s) |c_n| \sum_{k=1}^{2n} (\|L\varphi_x\|_{W^{2,\infty}}^{k-1} \|L(\varphi - \psi)_x\|_{W^{2,\infty}} \|L\psi_x\|_{W^{2,\infty}}^{2n-k} \right. \\ & \quad \left. + \|\varphi_x\|_{W^{2,\infty}}^{k-1} \|(\varphi - \psi)_x\|_{W^{2,\infty}} \|\psi_x\|_{W^{2,\infty}}^{2n-k}) \right\}. \end{aligned}$$

We apply  $\partial_x^r$  to (4-19) for  $\varphi - \psi$ , and carry out weighted energy estimates for the homogeneous energies  $E_\varphi^{(r)}[\varphi - \psi]$  defined by (4-4). We then get that

$$\begin{aligned} & \frac{d}{dt} E_\varphi^{(r)}[\varphi - \psi](t) \\ & \leq (\|\varphi_x\|_{W^{3,\infty}} + \|\psi_x\|_{W^{3,\infty}})^2 \mathcal{P}_1(\|\varphi\|_{H^r}, \|\psi\|_{H^r}) E_\varphi^{(r)}[\varphi - \psi](t) \\ & \quad + (\|\varphi_x\|_{W^{3,\infty}} + \|\psi_x\|_{W^{3,\infty}}) \mathcal{P}_2(\|\varphi\|_{H^r}, \|\psi\|_{H^r}) \|L\psi(t)\|_{H^{r+1}} \|(\varphi - \psi)(t)\|_{H^r} \|(\varphi - \psi)(t)\|_{H^5}, \quad (4-20) \end{aligned}$$

where  $\mathcal{P}_1$  and  $\mathcal{P}_2$  are positive polynomials. Here we have used the estimates

$$\|\partial_x^{r+1} L T_{B^{\log}[\varphi] - B^{\log}[\psi]} \psi\|_{L^2} \lesssim \|\partial_x^{r+1} L \psi\|_{L^2} \sum_{n=1}^{\infty} C(n, s) |c_n| \sum_{k=1}^{2n} \|\varphi_x\|_{W^{3,\infty}}^{k-1} \|\varphi_x - \psi_x\|_{W^{2,\infty}} \|\psi_x\|_{W^{3,\infty}}^{2n-k},$$

and

$$\begin{aligned} \|\partial_x^{r+1} T_{B^0[\varphi] - B^0[\psi]} \psi\|_{L^2} & \lesssim \|\partial_x^{r+1} \psi\|_{L^2} \sum_{n=1}^{\infty} C(n, s) |c_n| \sum_{k=1}^{2n} (\|L\varphi_x\|_{W^{2,\infty}}^{k-1} \|L(\varphi - \psi)_x\|_{W^{2,\infty}} \|L\psi_x\|_{W^{2,\infty}}^{2n-k} \\ & \quad + \|\varphi_x\|_{W^{2,\infty}}^{k-1} \|(\varphi - \psi)_x\|_{W^{2,\infty}} \|\psi_x\|_{W^{2,\infty}}^{2n-k}). \end{aligned}$$

Thus, if  $5 \leq r < s - 1$ , Gronwall's inequality implies that

$$\begin{aligned} \tilde{E}_\varphi^{(r)}[\varphi - \psi](t) &\leq \tilde{E}_\varphi^{(r)}[\varphi - \psi](0) \exp \int_0^t (\|\varphi\|_{H^r} + \|\psi\|_{H^r})^2 \mathcal{P}_1(\|\varphi\|_{H^r}, \|\psi\|_{H^r}) \\ &\quad + (\|\varphi\|_{H^r} + \|\psi\|_{H^r}) \mathcal{P}_2(\|\varphi\|_{H^r}, \|\psi\|_{H^r}) \|L\psi\|_{H^{r+1}} dt. \end{aligned}$$

On the other hand, when  $0 \leq r < 5$ ,

$$\begin{aligned} &\frac{d}{dt} \tilde{E}_\varphi^{(r)}[\varphi - \psi](t) \\ &\leq (\|\varphi\|_{H^5} + \|\psi\|_{H^5})^2 \mathcal{P}_1(\|\varphi\|_{H^5}, \|\psi\|_{H^5}) \tilde{E}_\varphi^{(r)}[\varphi - \psi](t) \\ &\quad + \|B^0[\varphi] - B^0[\psi]\|_{L^2} \|\psi\|_{H^5} \|\varphi - \psi\|_{H^r} + \|B^{\log}[\varphi] - B^{\log}[\psi]\|_{L^2} \|L\psi\|_{H^5} \|\varphi - \psi\|_{H^r} \\ &\leq \tilde{E}_\varphi^{(r)}[\varphi - \psi](t) \{(\|\varphi\|_{H^5} + \|\psi\|_{H^5})^2 \mathcal{P}_1(\|\varphi\|_{H^5}, \|\psi\|_{H^5}) + \|L\psi\|_{H^5} \mathcal{P}_2(\|\varphi\|_{H^5}, \|\psi\|_{H^5}) (\|\varphi\|_{H^5} + \|\psi\|_{H^5})\}, \end{aligned}$$

and Gronwall's inequality implies that

$$\begin{aligned} \tilde{E}_\varphi^{(r)}[\varphi - \psi](t) &\leq \tilde{E}_\varphi^{(r)}[\varphi - \psi](0) \exp \int_0^t \{(\|\varphi\|_{H^5} + \|\psi\|_{H^5})^2 \mathcal{P}_1(\|\varphi\|_{H^5}, \|\psi\|_{H^5}) \\ &\quad + \|L\psi\|_{H^5} \mathcal{P}_2(\|\varphi\|_{H^5}, \|\psi\|_{H^5}) (\|\varphi\|_{H^5} + \|\psi\|_{H^5})\} dt. \end{aligned}$$

Here we have used the fact that  $(E_\varphi^{(r)})^{1/2}$  and the  $H^r$ -norm are equivalent, as in (4-17).

In either case, the stability estimate (4-3) follows.

**4E. Continuous dependence on  $H^s$ .** Assume  $s \geq 7$ , and suppose that  $\varphi \in C([0, T]; H^s(\mathbb{R}))$  is a solution of (1-1) with initial data  $\varphi(0) = \varphi_0$ .

For  $\epsilon > 0$ , define a smoothing operator  $J_\epsilon$  by

$$J_\epsilon f(x) = \mathcal{F}^{-1}[\nu(\epsilon \cdot) \hat{f}(\cdot)](x),$$

where  $\nu(\xi)$  is a smooth bump function supported on  $|\xi| \leq 2$  and equal to 1 on  $|\xi| \leq 1$ .

Let  $\varphi^{(\epsilon)}$  be a smooth approximate solution for  $\varphi$  with initial data

$$\varphi^{(\epsilon)}(0) = \varphi_0^{(\epsilon)}, \quad \varphi_0^{(\epsilon)} = J_\epsilon \varphi_0,$$

so that  $\|\varphi_0 - \varphi_0^{(\epsilon)}\|_{H^s} \rightarrow 0$  as  $\epsilon \rightarrow 0+$ . Note that the smoothed initial data also satisfy the conditions

$$\|B^{\log}[J_\epsilon \varphi_0]\|_{\mathcal{M}_{(1,1)}} < C_0, \quad \sum_{n=1}^{\infty} \tilde{C}^n |c_n| (\|\partial_x J_\epsilon \varphi_0\|_{W^{3,\infty}}^{2n} + \|L \partial_x J_\epsilon \varphi_0\|_{W^{2,\infty}}^{2n}) < C_M.$$

Since the life span  $T$  is only related to the constants  $C_0, C_M, s$ , the solutions  $\varphi^{(\epsilon)}$  and  $\varphi$  share the common life span  $[0, T]$ .

We shall prove that

$$\sup_{t \in [0, T]} \|\varphi(t) - \varphi^{(\epsilon)}(t)\|_{H^s} \rightarrow 0 \quad \text{as } \epsilon \rightarrow 0+. \quad (4-21)$$

Taking  $\psi = \varphi^{(\epsilon)}$  and  $r = s$  in (4-20) in the above stability argument, we obtain that

$$\begin{aligned} \frac{d}{dt} E_\varphi^{(s)}[\varphi - \varphi^{(\epsilon)}](t) \\ \leq (\|\varphi\|_{H^s} + \|\varphi^{(\epsilon)}\|_{H^s})^2 \mathcal{P}_1(\|\varphi\|_{H^s}, \|\varphi^{(\epsilon)}\|_{H^s}) E_\varphi^{(s)}[\varphi - \varphi^{(\epsilon)}](t) \\ + (\|\varphi\|_{H^s} + \|\varphi^{(\epsilon)}\|_{H^s}) \mathcal{P}_2(\|\varphi\|_{H^s}, \|\varphi^{(\epsilon)}\|_{H^s}) \|L\varphi^{(\epsilon)}\|_{H^{s+1}} \|(\varphi - \varphi^{(\epsilon)})(t)\|_{H^s} \|(\varphi - \varphi^{(\epsilon)})(t)\|_{H^s}. \end{aligned}$$

Notice that

$$\begin{aligned} \|L\varphi^{(\epsilon)}\|_{H^{s+1}} &\lesssim |\epsilon^{-1} \log \epsilon| \cdot \|\varphi^{(\epsilon)}\|_{H^s}, \\ |\epsilon^{-1} \log \epsilon| \|(\varphi - \varphi^{(\epsilon)})(t)\|_{H^s} &\lesssim |\epsilon^{-2}| \|(\varphi - \varphi^{(\epsilon)})(t)\|_{H^s} \lesssim \|(\varphi - \varphi^{(\epsilon)})(t)\|_{H^s}. \end{aligned}$$

Therefore, since  $s \geq 7$  and  $\|\varphi^{(\epsilon)}\|_{H^s} \leq \|\varphi\|_{H^s}$ , we have

$$\begin{aligned} \frac{d}{dt} E_\varphi^{(s)}[\varphi - \varphi^{(\epsilon)}](t) &\leq \|\varphi\|_{H^s}^2 \mathcal{P}_1(\|\varphi\|_{H^s}, \|\varphi^{(\epsilon)}\|_{H^s}) E_\varphi^{(s)}[\varphi - \varphi^{(\epsilon)}](t) \\ &\quad + \|\varphi\|_{H^s}^2 \mathcal{P}_2(\|\varphi\|_{H^s}, \|\varphi^{(\epsilon)}\|_{H^s}) \|(\varphi - \varphi^{(\epsilon)})(t)\|_{H^s}^2. \end{aligned}$$

Thus, we obtain

$$E_\varphi^{(s)}[\varphi - \varphi^{(\epsilon)}](t) \leq E_\varphi^{(s)}[\varphi - \varphi^{(\epsilon)}](0) \exp \int_0^t \|\varphi\|_{H^s}^2 (\mathcal{P}_1 + \mathcal{P}_2)(\|\varphi\|_{H^s}, \|\varphi^{(\epsilon)}\|_{H^s}) d\tau, \quad (4-22)$$

which implies that (4-21) holds.

Finally, suppose that  $\{\varphi_{0,n} \mid n \in \mathbb{N}\}$  is a sequence in  $H^s(\mathbb{R})$  with  $\varphi_{0,n} \rightarrow \varphi_0$  in  $H^s(\mathbb{R})$  as  $n \rightarrow \infty$ , and  $\varphi_n \in C([0, T_n]; H^s(\mathbb{R}))$  are solutions of (1-1) with initial data  $\varphi_{0,n}$ . By Sobolev embedding,

$$\begin{aligned} \lim_{n \rightarrow \infty} \|B^{\log}[\varphi_{0,n}]\|_{\mathcal{M}_{(1,1)}} &= \|B^{\log}[\varphi_0]\|_{\mathcal{M}_{(1,1)}} < C_0, \\ \lim_{n \rightarrow \infty} \sum_{k=1}^{\infty} \tilde{C}^k |c_k| (\|\partial_x \varphi_{0,n}\|_{W^{3,\infty}}^{2k} + \|L \partial_x \varphi_{0,n}\|_{W^{2,\infty}}^{2k}) &= \sum_{k=1}^{\infty} \tilde{C}^k |c_k| (\|\partial_x \varphi_0\|_{W^{3,\infty}}^{2k} + \|L \partial_x \varphi_0\|_{W^{2,\infty}}^{2k}) < C_M. \end{aligned}$$

Therefore, there exists  $N_1 \in \mathbb{N}$  such that for  $n > N_1$  we have

$$\|B^{\log}[\varphi_{0,n}]\|_{\mathcal{M}_{(1,1)}} < C_0, \quad \sum_{k=1}^{\infty} \tilde{C}^k |c_k| (\|\partial_x \varphi_{0,n}\|_{W^{3,\infty}}^{2k} + \|L \partial_x \varphi_{0,n}\|_{W^{2,\infty}}^{2k}) < C_M.$$

Hence, the  $\varphi_n$  share the same life span as  $\varphi$  for  $n > N_1$ .

Denote by  $\varphi^{(\epsilon)}$  and  $\varphi_n^{(\epsilon)}$  the solutions with initial data  $J_\epsilon \varphi_0$  and  $J_\epsilon \varphi_{0,n}$ , respectively. Then

$$\|\varphi - \varphi_n\|_{H^s} \leq \|\varphi - \varphi^{(\epsilon)}\|_{H^s} + \|\varphi^{(\epsilon)} - \varphi_n^{(\epsilon)}\|_{H^s} + \|\varphi_n^{(\epsilon)} - \varphi_n\|_{H^s}.$$

Fix  $\delta > 0$ . In view of (4-22) and the fact that the  $H^s$ -norms of  $\|\varphi_n\|$  are uniformly bounded, by taking  $\epsilon$  small enough, we can make

$$\sup_{t \in [0, T]} \|\varphi(t) - \varphi^{(\epsilon)}(t)\|_{H^s} < \frac{1}{3}\delta, \quad \sup_{t \in [0, T]} \|\varphi_n^{(\epsilon)}(t) - \varphi_n(t)\|_{H^s} < \frac{1}{3}\delta \quad \text{for all } n \in \mathbb{N}.$$

The stability estimate (4-3) with  $r = s$  implies that there exists  $N_2 > N_1$  such that

$$\sup_{t \in [0, T]} \|\varphi^{(\epsilon)} - \varphi_n^{(\epsilon)}\|_{H^s} < \frac{1}{3}\delta \quad \text{for all } n > N_2.$$

It follows that

$$\sup_{t \in [0, T]} \|\varphi - \varphi_n\|_{H^s} < \delta \quad \text{for all } n > N_2,$$

and since  $\delta > 0$  is arbitrary, we conclude that

$$\lim_{n \rightarrow \infty} \|\varphi - \varphi_n\|_{C([0, T]; H^s)} = 0,$$

which proves the continuous dependence of the solution map on  $H^s$ .

## 5. Global solution for small initial data

Beginning with this section, we address the global well-posedness of (1-1) with small initial data. From now on, we fix the parameter values

$$s = 1200, \quad r = 1, \quad p_0 = 10^{-4}. \quad (5-1)$$

The front equation (1-1) is invariant under the transformation

$$x \mapsto \lambda(x + 2 \log |\lambda|t), \quad t \mapsto \lambda t, \quad \varphi \mapsto \lambda \varphi.$$

The scaling-Galilean part of this transformation is generated by the vector field

$$\mathcal{S} = (x + 2t)\partial_x + t\partial_t, \quad (5-2)$$

and the linearized equation  $\varphi_t = 2 \log |\partial_x| \varphi_x$  commutes with  $\mathcal{S}$  (see Lemma 7.1). We also introduce the notation

$$h(x, t) = e^{-2t\partial_x \log |\partial_x|} \varphi(x, t), \quad \hat{h}(\xi, t) = e^{-2it\xi \log |\xi|} \hat{\varphi}(\xi, t) \quad (5-3)$$

for the function  $h$  obtained by removing the action of the linearized evolution group on  $\varphi$ . When convenient, we write  $h(\cdot, t) = h(t)$ ,  $\varphi(\cdot, t) = \varphi(t)$ .

Our global existence theorem is as follows.

**Theorem 5.1.** *Let  $s, r, p_0$  be defined as in (5-1). There exists a constant  $0 < \bar{\epsilon} \ll 1$  such that if  $\varphi_0 \in H^s(\mathbb{R})$  satisfies*

$$\|\varphi_0\|_{H^s} + \|x\partial_x \varphi_0\|_{H^r} \leq \epsilon_0$$

*for some  $0 < \epsilon_0 \leq \bar{\epsilon}$ , then there exists a unique global solution  $\varphi \in C([0, \infty); H^s(\mathbb{R}))$  of (1-1). Moreover, this solution satisfies*

$$\|\varphi(t)\|_{H^s} + \|\mathcal{S}\varphi(t)\|_{H^r} \lesssim \epsilon_0(t+1)^{p_0},$$

*where  $\mathcal{S}$  is the vector field defined in (5-2).*

To prove this theorem, given the local existence theory, we only need to show that the criterion (4-2) in Theorem 4.1 is never satisfied. In particular, Lemma 5.3 below, together with the symbol estimates in Proposition 3.1, guarantees that the life-span of solutions can be extended to infinity.

In order to derive the global a priori estimates, we introduce the  $Z$ -norm of a function  $f \in L^2(\mathbb{R})$ , defined by

$$\|f\|_Z = \|(|\xi| + |\xi|^{r+4})\hat{f}(\xi)\|_{L_\xi^\infty}, \quad (5-4)$$

and prove the global bounds in Theorem 5.1 by use of the following bootstrap argument.

**Proposition 5.2** (bootstrap). *Let  $T > 1$  and suppose that  $\varphi \in C([0, T]; H^s)$  is a solution of (1-1), where the initial data satisfies*

$$\|\varphi_0\|_{H^s} + \|x\partial_x\varphi_0\|_{H^r} \leq \epsilon_0$$

for some  $0 < \epsilon_0 \ll 1$ . If there exists  $\epsilon_0 \ll \epsilon_1 \lesssim \epsilon_0^{1/3}$  such that the solution satisfies

$$(t+1)^{-p_0}(\|\varphi(t)\|_{H^s} + \|\mathcal{S}\varphi(t)\|_{H^r}) + \|\varphi\|_Z \leq \epsilon_1$$

for every  $t \in [0, T]$ , then the solution satisfies an improved bound

$$(t+1)^{-p_0}(\|\varphi(t)\|_{H^s} + \|\mathcal{S}\varphi(t)\|_{H^r}) + \|\varphi\|_Z \lesssim \epsilon_0.$$

We call the assumptions in Proposition 5.2 the *bootstrap assumptions*. To prove the proposition, we establish the following lemmas, most of whose proofs are deferred to the following sections. As before, we let  $L$  denote the Fourier multiplier (1-2).

**Lemma 5.3** (sharp pointwise decay). *Under the bootstrap assumptions,*

$$\||\partial_x|^{r+2}\varphi_x(t)\|_{L^\infty} + \|L\varphi_x(t)\|_{L^\infty} \lesssim \epsilon_1(t+1)^{-1/2}.$$

**Lemma 5.4** (scaling-Galilean estimate). *Under the bootstrap assumptions,*

$$(t+1)^{-p_0}\|\mathcal{S}\varphi(t)\|_{H^r} \lesssim \epsilon_0.$$

**Lemma 5.5.** *Under the bootstrap assumptions,*

$$(t+1)^{-p_0}(\|\varphi(t)\|_{H^s} + \|x\partial_x\varphi(t)\|_{H^r}) \lesssim \epsilon_0.$$

*Proof.* Recall the energy estimate (4-15)

$$\tilde{E}^{(s)}(t) \lesssim \tilde{E}^{(s)}(0) e^{\int_0^t F(\|\varphi_x(\tau)\|_{W^{3,\infty}} + \|L\varphi_x(\tau)\|_{W^{2,\infty}})(\|\varphi_x(\tau)\|_{W^{3,\infty}} + \|L\varphi_x(\tau)\|_{W^{2,\infty}})^2 d\tau}.$$

From Lemma 5.3, we have

$$\begin{aligned} F(\|\varphi_x(\tau)\|_{W^{3,\infty}} + \|L\varphi_x(\tau)\|_{W^{2,\infty}}) &\lesssim 1, \\ \|\varphi_x(\tau)\|_{W^{3,\infty}} + \|L\varphi_x(\tau)\|_{W^{2,\infty}} &\lesssim (t+1)^{-1/2}\epsilon_1, \end{aligned}$$

which implies that

$$\tilde{E}^{(s)}(t) \lesssim \epsilon_0^2(t+1)^{C\epsilon_1^2}$$

for some constant  $C$ , so once  $\epsilon_1^2 \ll p_0$ , we have

$$(t+1)^{-p_0} \|\varphi\|_{H^s} \lesssim \epsilon_0.$$

Next, we observe that we can use  $\|\mathcal{S}\varphi\|_{H^r}$  to control  $\|x\partial_x h\|_{H^r}$ . It follows from (5-3), the definition of  $\mathcal{S}$ , and (3-2) that

$$\begin{aligned} \mathcal{F}_x[x\partial_x h](\xi) &= -\partial_\xi(\xi\hat{h}(\xi)) = -\hat{h}(\xi) - \xi\partial_\xi\hat{h}(\xi), \\ \xi\partial_\xi\hat{h}(\xi, t) &= \xi e^{-2it\xi \log|\xi|}(-2it(\log|\xi|+1)\hat{\varphi}(\xi, t) + \partial_\xi\hat{\varphi}(\xi, t)) \\ &= e^{-2it\xi \log|\xi|}[\xi\partial_\xi\hat{\varphi}(\xi, t) - (2it\xi - 1)\hat{\varphi}(\xi, t) - t\hat{\varphi}_t(\xi, t) - t\hat{\mathcal{N}}(\xi, t) - \hat{\varphi}(\xi, t)] \\ &= e^{-2it\xi \log|\xi|}[-\widehat{\mathcal{S}\varphi}(\xi, t) - \hat{\varphi}(\xi, t) - t\hat{\mathcal{N}}(\xi, t)], \end{aligned} \tag{5-5}$$

where  $\mathcal{N}$  denotes the nonlinear term in (3-2), which satisfies the estimate

$$\||\partial_x|^j \mathcal{N}\|_{L^2} \lesssim \sum_{n=1}^{\infty} (\|\varphi_x\|_{W^{3,\infty}}^{2n} + \|L\varphi_x\|_{W^{2,\infty}}^{2n}) \|\varphi\|_{H^{j+2}} \quad \text{for all } j = 0, \dots, r. \tag{5-6}$$

By the bootstrap assumptions, Lemma 5.3, and Lemma 5.4 we then find that

$$(t+1)^{-p_0} \|x\partial_x h(t)\|_{H^r} \lesssim \epsilon_0,$$

and the same estimate holds for  $\varphi$  in view of (5-3).  $\square$

**Lemma 5.6** (nonlinear dispersive estimate). *Under the bootstrap assumptions, the solution of (1-1) satisfies*

$$\|\varphi(t)\|_Z \lesssim \epsilon_0.$$

Proposition 5.2 then follows by combining Lemmas 5.3–5.6. Lemma 5.3 will be proved in Section 6, Lemma 5.4 will be proved in Section 7, and Lemma 5.6 will be proved in Section 8.

## 6. Linear dispersive estimate

In this section, we prove a dispersive estimate for the linearized evolution operator  $e^{2t\partial_x \log|\partial_x|}$  defined in (5-3) and use it to prove Lemma 5.3. We recall that  $P_k$  and  $\tilde{P}_k$  are the frequency-localization operators with symbols  $\psi_k$  and  $\tilde{\psi}_k$ , respectively (see (2-13)).

**Lemma 6.1.** *For  $t > 0$  and  $f \in L^2$ , we have the linear dispersive estimates*

$$\|e^{2t\partial_x \log|\partial_x|} P_k f\|_{L^\infty} \lesssim (t+1)^{-1/2} 2^{k/2} \|\widehat{P_k f}\|_{L_\xi^\infty} + (t+1)^{-3/4} 2^{-k/4} [\|P_k(x\partial_x f)\|_{L^2} + \|\tilde{P}_k f\|_{L^2}]. \tag{6-1}$$

*Proof.* Using the inverse Fourier transform, we can write the solution as

$$e^{2t\partial_x \log|\partial_x|} P_k f = \int_{\mathbb{R}} e^{ix\xi + 2i(\xi \log|\xi|)t} \psi_k(\xi) \hat{f}(\xi) d\xi.$$

Since

$$\partial_\xi e^{ix\xi + 2i(\xi \log|\xi|)t} = [ix + 2it(\log|\xi| + 1)] e^{ix\xi + 2i(\xi \log|\xi|)t}, \tag{6-2}$$

we can integrate by parts to get

$$\begin{aligned}
& \|e^{2t\partial_x \log |\partial_x|} P_k f\|_{L^\infty} \\
&= \left\| \int_{\mathbb{R}} e^{ix\xi + 2i(\xi \log |\xi|)t} \hat{f}(\xi) \psi_k(\xi) d\xi \right\|_{L^\infty} \\
&= \left\| \int_{\mathbb{R}} \frac{1}{ix + 2it(\log |\xi| + 1)} \partial_\xi e^{ix\xi + 2i(\xi \log |\xi|)t} \hat{f}(\xi) \psi_k(\xi) d\xi \right\|_{L^\infty} \\
&= \left\| \int_{\mathbb{R}} e^{ix\xi + 2i(\xi \log |\xi|)t} \partial_\xi \left( \frac{1}{ix + 2it(\log |\xi| + 1)} \hat{f}(\xi) \psi_k(\xi) \right) d\xi \right\|_{L^\infty} \\
&= \left\| \int_{\mathbb{R}} e^{ix\xi + 2i(\xi \log |\xi|)t} \left( \frac{-2it}{\xi [ix + 2it(\log |\xi| + 1)]^2} \hat{f}(\xi) \psi_k(\xi) + \frac{1}{ix + 2it(\log |\xi| + 1)} \psi_k(\xi) \partial_\xi \hat{f}(\xi) \right. \right. \\
&\quad \left. \left. + \frac{1}{ix + 2it(\log |\xi| + 1)} \hat{f}(\xi) \psi'_k(\xi) \right) d\xi \right\|_{L^\infty}.
\end{aligned}$$

(1) If  $|ix + 2it(\log |\xi| + 1)| \gtrsim (t+1)$ , we use (2-14) and get

$$\begin{aligned}
\|e^{2t\partial_x \log |\partial_x|} P_k f\|_{L^\infty} &\lesssim \frac{1}{t+1} \int_{\mathbb{R}} |\xi^{-1} \hat{f}(\xi) \psi_k(\xi)| + |\psi_k(\xi) \partial_\xi \hat{f}(\xi)| + |\hat{f}(\xi) \psi'_k(\xi)| d\xi \\
&\lesssim \frac{1}{t+1} [2^{-k/2} \|\widehat{P_k f}\|_{L_\xi^2} + 2^{-k/2} \|P_k \mathcal{F}^{-1}(\xi \partial_\xi \hat{f})\|_{L^2} + 2^{-k/2} \|\widetilde{P_k f}\|_{L^2}].
\end{aligned}$$

Then (6-1) follows when  $(t+1)^{-1} \lesssim 2^k$ . Otherwise, when  $t+1 \lesssim 2^{-k}$ , we have

$$\|e^{2t\partial_x \log |\partial_x|} P_k f\|_{L^\infty} \lesssim 2^k \|\widehat{P_k f}\|_{L_\xi^\infty} \lesssim (t+1)^{-1/2} 2^{k/2} \|\widehat{P_k f}\|_{L_\xi^\infty}.$$

(2) Next we prove estimates for the case when  $|ix + 2it(\log |\xi| + 1)| \ll (t+1)$ . Let

$$\xi_0^\pm = \pm e^{-1-x/2t}$$

be the solutions of  $x + 2t(\log |\xi| + 1) = 0$ . Since  $\psi_k$  is supported in an annulus with radius around  $2^k$ , we only need to consider the case when  $|\xi_0^\pm| \approx 2^k$  and  $\psi_k$  is supported on the neighborhood of the stationary phase point  $\xi_0^\pm$ . We decompose the integral and estimate it as

$$\left| \int_{\mathbb{R}} e^{ix\xi + 2i(\xi \log |\xi|)t} \hat{f}(\xi) \psi_k(\xi) d\xi \right| \lesssim \sum_{l \leq k+N} [|J_l^+| + |J_l^-|],$$

with

$$J_l^\pm = \int_{\mathbb{R}} e^{ix\xi + 2i(\xi \log |\xi|)t} \hat{f}(\xi) \psi_k(\xi) \mathbf{1}_{\pm}(\xi) \psi_l(\xi - \xi_0^\pm) d\xi,$$

where  $\mathbf{1}_\pm$  is the indicator function supported on  $\mathbb{R}_\pm$  and  $N$  is large enough that the support of  $\psi_k$  is covered by the set  $\bigcup_{l \leq k+N} \{\xi \mid \psi_l(\xi - \xi_0^\pm) = 1\}$ .

When  $2^l \leq 2^{k/2}(t+1)^{-1/2}$ , we have

$$\sum_{2^l \leq 2^{k/2}(t+1)^{-1/2}} |J_l^\pm| \lesssim \sum_{2^l \leq 2^{k/2}(t+1)^{-1/2}} 2^l \|\widehat{P_k f}\|_{L^\infty} \leq 2^{k/2}(t+1)^{-1/2} \|\widehat{P_k f}\|_{L^\infty}.$$

When  $2^{k/2}(t+1)^{-1/2} \leq 2^l \leq 2^{k+N}$ , since  $|\xi - \xi_0| \approx 2^l$  and  $|\xi_0| \approx 2^k$ , we get the estimate

$$x + 2t(\log|\xi| + 1) = 2t \log \left| \frac{\xi}{\xi_0} \right| \approx 2t \log \left| 1 \pm \frac{2^l}{2^k} \right|.$$

Using (6-2) and integration by parts, we have

$$\begin{aligned} |J_l^\pm| &\lesssim \frac{2^{k-l}}{(t+1)} \int_{\mathbb{R}} \{ (|\partial_\xi \hat{f}(\xi)| + 2^{-l} |\hat{f}(\xi)|) \psi_l(\xi - \xi_0^\pm) + |\hat{f}(\xi) \psi_k(\xi) \psi'_l(\xi - \xi_0^\pm)| \} d\xi \\ &\lesssim \frac{2^{k-l}}{(t+1)} \|\hat{f}\|_{L^\infty} + \frac{2^{k-l/2}}{(t+1)} \|\partial_\xi \hat{f}\|_{L^2}. \end{aligned}$$

Then we take the sum of  $J_l$  over  $2^l \geq 2^{k/2}(t+1)^{-1/2}$  to get the estimates (6-1).  $\square$

*Proof of Lemma 5.3.* After splitting into high-frequency and low-frequency parts, it suffices to bound the terms

$$\left\| \sum_{k \leq 0} P_k L \varphi_x \right\|_{L^\infty}, \quad \left\| \sum_{k > 0} P_k \partial_x^{3+\epsilon} \varphi \right\|_{L^\infty}.$$

Take the function  $f$  in Lemma 6.1 to be  $L \partial_x h$ . Since  $e^{2t \partial_x \log |\partial_x|}$  and  $P_k$  commute, and

$$x \partial_x^2 L h = \partial_x(x \partial_x L h) - \partial_x L h = \partial_x[x \partial_x, L]h + \partial_x L(x \partial_x h) - \partial_x L h = -\partial_x h + \partial_x L(x \partial_x h) - \partial_x L h,$$

we have that

$$\begin{aligned} \|P_k L \partial_x \varphi\|_{L^\infty} &\lesssim (t+1)^{-1/2} \|\mathcal{F}(P_k L |\partial_x|^{3/2} \varphi)\|_{L_\xi^\infty} \\ &\quad + (t+1)^{-3/4} [2^{(3/4)k} \|P_k L(x \partial_x h)\|_{L^2} + 2^{(3/4)k} (1+|k|) \|P_k h\|_{L^2} + \|\tilde{P}_k(|\partial_x|^{3/4} L \varphi)\|_{L^2}]. \end{aligned}$$

It follows from (5-5) that

$$\|P_k(x \partial_x h)\|_{L^2} \lesssim \|P_k \varphi\|_{L^2} + \|P_k \mathcal{S} \varphi\|_{L^2} + t \|P_k \mathcal{N}\|_{L^2}.$$

We first observe that  $k \leq 0$  automatically leads to  $(t+1)^{-1/4+p_0} 2^{(3/4)k} |k| \lesssim 1$ , and then we have

$$\begin{aligned} \|P_k L \partial_x \varphi\|_{L^\infty} &\lesssim (t+1)^{-1/2} 2^{k/2} |k| \|\psi_k(\xi) |\xi| \hat{\varphi}(\xi)\|_{L_\xi^\infty} \\ &\quad + (t+1)^{-1/2-p_0} [\|\tilde{P}_k \varphi\|_{L^2} + \|P_k \mathcal{S} \varphi\|_{L^2} + t \|P_k \mathcal{N}\|_{L^2}]. \quad (6-3) \end{aligned}$$

Summing over for  $k \leq 0$ , using (5-6), the bootstrap assumptions, and (6-3) in the corresponding ranges of  $k$ , and we obtain that

$$\left\| \sum_{k \leq 0} P_k L \varphi_x \right\|_{L^\infty} \lesssim \epsilon_1 (t+1)^{-1/2}.$$

To estimate  $\|P_k |\partial_x|^{r+2} \varphi_x\|_{L^\infty}$ , we take the function  $f$  in Lemma 6.1 to be  $|\partial_x|^{r+2} h_x$  and obtain

$$\begin{aligned} \|P_k |\partial_x|^{r+2} \varphi_x\|_{L^\infty} &\lesssim (t+1)^{-1/2} \|\mathcal{F}(P_k |\partial_x|^{r+7/2} \varphi)\|_{L_\xi^\infty} \\ &\quad + (t+1)^{-3/4} [2^{-k/4} \|P_k(x |\partial_x|^{r+4} h)\|_{L^2} + \|\tilde{P}_k(|\partial_x|^{r+7/4} \varphi_x)\|_{L^2}]. \end{aligned}$$

Using

$$-x|\partial_x|^{r+4}h = [x\partial_x, \partial_x|\partial_x|^{r+2}]h + \partial_x|\partial_x|^{r+2}(x\partial_x h) = -(r+3)\partial_x|\partial_x|^{r+2}h + \partial_x|\partial_x|^{r+2}(x\partial_x h),$$

and (5-5), we get that

$$\begin{aligned} & \|P_k|\partial_x|^{r+2}\varphi_x\|_{L^\infty} \\ & \lesssim (t+1)^{-1/2}\|\psi_k(\xi)|\xi|^{r+7/2}\hat{\varphi}(\xi)\|_{L_\xi^\infty} \\ & \quad + (t+1)^{-3/4}2^{(3/4)k}[\|\partial_x|^{r+2}\tilde{P}_k\varphi\|_{L^2} + \|\partial_x|^{r+2}P_k\mathcal{S}\varphi\|_{L^2} + \|\partial_x|^{r+2}P_k\varphi\|_{L^2} + t\|\partial_x|^{r+2}P_k\mathcal{N}\|_{L^2}]. \end{aligned}$$

For  $k \in \mathbb{Z}_+$  and  $(t+1)^{-1/4+p_0}2^{(r+11/4)k} \lesssim 1$ , we have

$$\begin{aligned} \|P_k|\partial_x|^{r+2}\varphi_x\|_{L^\infty} & \lesssim (t+1)^{-1/2}2^{-k/2}\|\psi_k(\xi)|\xi|^{r+4}\hat{\varphi}(\xi)\|_{L_\xi^\infty} \\ & \quad + (t+1)^{-1/2-p_0}[\|\tilde{P}_k\varphi\|_{L^2} + \|P_k\mathcal{S}\varphi\|_{L^2} + t\|P_k\mathcal{N}\|_{L^2}]. \end{aligned} \quad (6-4)$$

Finally, for  $k \in \mathbb{Z}_+$  and  $(t+1)^{-1/4+p_0}2^{(r+11/4)k} \gtrsim 1$ , we have

$$\begin{aligned} \|P_k\partial_x^{r+2}\varphi_x\|_{L^\infty} & \lesssim \|\xi|^{r+3}\psi_k(\xi)\hat{\varphi}(\xi)\|_{L_\xi^1} \lesssim \|\xi|^{r+3-s}\psi_k(\xi)\|_{L^2}\|\tilde{P}_k\varphi\|_{H^s} \\ & \lesssim 2^{(r+3-s+1/2)k}\|\tilde{P}_k\varphi\|_{H^s} \lesssim (t+1)^{-(s-7/2-r)(1-4p_0)/(11+4r)}\|\tilde{P}_k\varphi\|_{H^s}. \end{aligned} \quad (6-5)$$

Summing over  $k \in \mathbb{Z}_+$ , using (5-6), the bootstrap assumptions, and (6-4)–(6-5) in the corresponding ranges of  $k$ , we obtain that

$$\left\| \sum_{k>0} P_k|\partial_x|^{r+2}\varphi_x \right\|_{L^\infty} \lesssim \epsilon_1(t+1)^{-1/2},$$

which completes the proof.  $\square$

## 7. Scaling-Galilean estimate

In this section, we prove the scaling-Galilean estimate in Lemma 5.4.

First, we summarize some commutator identities for the scaling-Galilean operator  $\mathcal{S}$  defined in (5-2) and  $L = \log|\partial_x|$ . The straightforward proofs follow by use of the Fourier transform and are omitted.

**Lemma 7.1.** *Let  $\varphi(x, t)$  be a Schwartz distribution on  $\mathbb{R}^2$  such that  $L\varphi(x, t)$  is a Schwartz distribution. Then*

$$\begin{aligned} [\mathcal{S}, \partial_x]\varphi & = -\partial_x\varphi, \quad [\mathcal{S}, L]\varphi = -\varphi, \quad [\mathcal{S}, L\partial_x]\varphi = -\varphi_x - L\partial_x\varphi, \\ [\mathcal{S}, \partial_t]\varphi & = -2\partial_x\varphi - \partial_t\varphi, \quad [\mathcal{S}, \partial_t - 2L\partial_x]\varphi = -\partial_t\varphi + 2L\partial_x\varphi. \end{aligned}$$

Next, we prove a weighted energy estimate for  $\mathcal{S}\varphi$ .

*Proof of Lemma 5.4.* Applying  $\mathcal{S}$  to (3-7) and using Lemma 7.1, we get

$$(\mathcal{S}\varphi)_t - 2L\partial_x(\mathcal{S}\varphi) + \partial_x T_{B^0[\varphi]}\mathcal{S}\varphi + L[T_{B^{\log[\varphi]}}\mathcal{S}\varphi]_x + \mathcal{S}\mathcal{R} = \text{commutators},$$

where the commutators are

$$\partial_x[\mathcal{S}, T_{B^0[\varphi]}]\varphi, \quad [\mathcal{S}, \partial_x]T_{B^0[\varphi]}\varphi, \quad [\mathcal{S}, L\partial_x](T_{B^{\log[\varphi]}}\varphi), \quad L\partial_x([\mathcal{S}, T_{B^{\log[\varphi]}}]\varphi).$$

The first commutator can be written as

$$\begin{aligned}
[\mathcal{S}, T_{B^0[\varphi]}]\varphi &= [(x+2t)\partial_x + t\partial_t, T_{B^0[\varphi]}]\varphi \\
&= (x+2t)\partial_x T_{B^0[\varphi]}\varphi - T_{B^0[\varphi]}[(x+2t)\partial_x\varphi] + t\partial_t T_{B^0[\varphi]}\varphi - T_{B^0[\varphi]}(t\partial_t\varphi) \\
&= (x+2t)T_{\partial_x B^0[\varphi]}\varphi + [(x+2t), T_{B^0[\varphi]}]\partial_x\varphi + T_{t\partial_t B^0[\varphi]}\varphi \\
&= T_{(x+2t)\partial_x B^0[\varphi]}\varphi + (xT_{\partial_x B^0[\varphi]}\varphi - T_{x\partial_x B^0[\varphi]}\varphi) + [x, T_{B^0[\varphi]}]\partial_x\varphi + T_{t\partial_t B^0[\varphi]}\varphi.
\end{aligned}$$

By the commutator estimates in Lemma 2.2 and Theorem B.4, we obtain for  $0 \leq k \leq r$  that

$$\begin{aligned}
\|\partial_x[\mathcal{S}, T_{B^0[\varphi]}]\varphi\|_{H^k} &\lesssim \|[x, T_{B^0[\varphi]}]\partial_x\varphi\|_{H^{k+1}} + \|xT_{\partial_x B^0[\varphi]}\varphi - T_{x\partial_x B^0[\varphi]}\varphi\|_{H^{k+1}} + \|T_{\mathcal{S}B^0[\varphi]}\varphi_x\|_{H^k} \\
&\lesssim \|B^0[\varphi]\|_{\mathcal{M}_{(1,2)}}\|\varphi\|_{H^{k+2}} + \|B^0[\varphi]\|_{\mathcal{M}_{(2,2)}}\|\varphi\|_{H^{k+1}} + \|\mathcal{S}B^0[\varphi]\|_{\mathcal{L}_1^2}\|\varphi_x\|_{W^{k+1,\infty}}.
\end{aligned}$$

Using (3-9), together with Lemma 2.5 and similar estimates for  $\|\mathcal{S}B^0[\varphi]\|_{\mathcal{L}_1^2}$ , we find that

$$\|\partial_x[\mathcal{S}, T_{B^0[\varphi]}]\varphi\|_{H^k} \lesssim F(\|L\varphi_x\|_{W^{2,\infty}} + \|\varphi_x\|_{W^{2,\infty}})(\|L\varphi_x\|_{W^{2,\infty}} + \|\varphi_x\|_{W^{2,\infty}})\|\varphi_x\|_{W^{r+1,\infty}}(\|\mathcal{S}\varphi\|_{H^r} + \|\varphi\|_{H^s}).$$

Similarly, we have

$$\begin{aligned}
\|L\partial_x([\mathcal{S}, T_{B^{\log}[\varphi]}]\varphi)\|_{H^k} &\lesssim F(\|L\varphi_x\|_{W^{2,\infty}} + \|\varphi_x\|_{W^{2,\infty}})(\|L\varphi_x\|_{W^{2,\infty}} + \|\varphi_x\|_{W^{2,\infty}})\|\varphi_x\|_{W^{r+1,\infty}}(\|\mathcal{S}\varphi\|_{H^r} + \|\varphi\|_{H^s}).
\end{aligned}$$

By Lemma 7.1, Lemma 2.1, and (3-9), the second and third commutators satisfy

$$\begin{aligned}
\|[\mathcal{S}, \partial_x]T_{B^0[\varphi]}\varphi\|_{H^k} &= \|T_{B^0[\varphi]}\varphi\|_{H^{k+1}} \\
&\lesssim F(\|L\varphi_x\|_{W^{2,\infty}} + \|\varphi_x\|_{W^{2,\infty}})(\|L\varphi_x\|_{W^{2,\infty}} + \|\varphi_x\|_{W^{2,\infty}})^2\|\varphi\|_{H^{k+1}},
\end{aligned}$$

$$\begin{aligned}
\|[\mathcal{S}, L\partial_x](T_{B^{\log}[\varphi]}\varphi)\|_{H^k} &= \|(T_{B^{\log}[\varphi]}\varphi)_x + L\partial_x(T_{B^{\log}[\varphi]}\varphi)\|_{H^k} \\
&\lesssim F(\|L\varphi_x\|_{W^{1,\infty}} + \|\varphi_x\|_{W^{1,\infty}})(\|L\varphi_x\|_{W^{1,\infty}} + \|\varphi_x\|_{W^{1,\infty}})^2(\|\varphi\|_{H^{k+1}} + \|L\varphi\|_{H^{k+1}}).
\end{aligned}$$

Thus, the evolution equation for  $\mathcal{S}\varphi$  can be written as

$$(\mathcal{S}\varphi)_t + \partial_x T_{B^0[\varphi]}\mathcal{S}\varphi + \mathcal{R}_{\mathcal{S}} = L[(2 - T_{B^{\log}[\varphi]})\mathcal{S}\varphi],$$

where the remainder  $\mathcal{R}_{\mathcal{S}}$  satisfies

$$\|\mathcal{R}_{\mathcal{S}}\|_{H^k} \lesssim (\|\varphi_x\|_{W^{2,\infty}} + \|L\varphi_x\|_{W^{2,\infty}})^2(\|\mathcal{S}\varphi\|_{H^r} + \|\varphi\|_{H^{r+1}} + \|L\varphi\|_{H^{r+1}}).$$

As in (4-4), we define a weighted energy for  $\mathcal{S}\varphi$  by

$$\begin{aligned}
E_{\mathcal{S}}^{(j)}(t) &= \int_{\mathbb{R}} |D|^j \mathcal{S}\varphi(x, t) \cdot (2 - T_{B^{\log}[\varphi]})^{2j+1} |D|^j \mathcal{S}\varphi(x, t) \, dx, \quad j = 0, 1, \dots, r, \\
\widetilde{E}_{\mathcal{S}}^{(r)}(t) &= \sum_{j=0}^r E_{\mathcal{S}}^{(j)}(t),
\end{aligned}$$

and repeat estimates similar to the ones in the proof of Proposition 4.3 to get

$$\begin{aligned}
\frac{d}{dt} E_{\mathcal{S}}^{(j)}(t) &\lesssim F(\|L\varphi_x\|_{W^{2,\infty}} + \|\varphi_x\|_{W^{2,\infty}})(\|L\varphi_x\|_{W^{2,\infty}} + \|\varphi_x\|_{W^{3,\infty}})^2 \|\mathcal{S}\varphi\|_{H^j}^2 \\
&\quad + (\|\varphi_x\|_{W^{2,\infty}} + \|L\varphi_x\|_{W^{2,\infty}})^2 (\|\mathcal{S}\varphi\|_{H^r} + \|\varphi\|_{H^{r+1}} + \|L\varphi\|_{H^{r+1}}) \|\mathcal{S}\varphi\|_{H^j}.
\end{aligned}$$

Using Lemma 5.3 and the equivalence of  $\tilde{E}_{\mathcal{S}}^{(r)}$  and  $\|\mathcal{S}\varphi\|_{H^r}^2$  when  $\|2 - T_{B^{\log[\varphi]}}\|_{L^2 \rightarrow L^2}$  is bounded away from zero, we find by integrating in  $t$  that

$$\tilde{E}_{\mathcal{S}}^{(r)}(t) \lesssim \epsilon_0^2 (t+1)^{2p_0},$$

which proves the lemma.  $\square$

## 8. Nonlinear dispersive estimate

In this section, we prove the estimate

$$(|\xi| + |\xi|^{r+4})|\hat{\varphi}(\xi, t)| \lesssim \epsilon_0 \quad \text{for all } \xi \in \mathbb{R}, \quad (8-1)$$

which establishes Lemma 5.6 for the  $Z$ -norm  $\|\varphi\|_Z$  defined in (5-4).

Using the interpolation result in Lemma 2.4, we first prove in Section 8A that the estimate (8-1) holds for sufficiently large and small  $|\xi|$ . In Section 8B, we introduce a logarithmic phase shift into the solution which is used later to absorb the effects of the space-time resonances. The main part of the section is a detailed analysis of the nonresonant and resonant interactions between different Fourier components of the solution, which is carried out in Sections 8C–8G. A detailed flow-chart of the cases considered is given in Figure 1.

To classify the cubic resonances between frequencies  $\xi - \eta_1 - \eta_2, \eta_1, \eta_2$  into  $\xi$ , where  $\xi, \eta_1, \eta_2 \in \mathbb{R}$ , we introduce the phase

$$\Phi(\xi, \eta_1, \eta_2) = 2(\xi - \eta_1 - \eta_2) \log |\xi - \eta_1 - \eta_2| + 2\eta_1 \log |\eta_1| + 2\eta_2 \log |\eta_2| - 2\xi \log |\xi|. \quad (8-2)$$

The space resonances satisfy  $\partial_{\eta_1} \Phi = \partial_{\eta_2} \Phi = 0$ , which implies that the frequencies  $\xi - \eta_1 - \eta_2, \eta_1, \eta_2$  have the same linearized group velocity. It is straightforward to check that the only space resonances are

$$(\xi - \eta_1 - \eta_2, \eta_1, \eta_2) = (-\xi, \xi, \xi), (\xi, -\xi, \xi), \text{ or } (\xi, \xi, -\xi), \quad (8-3)$$

$$(\xi - \eta_1 - \eta_2, \eta_1, \eta_2) = \left(\frac{1}{3}\xi, \frac{1}{3}\xi, \frac{1}{3}\xi\right). \quad (8-4)$$

The time resonances satisfy  $\Phi = 0$ , which implies that the time frequencies of  $\xi - \eta_1 - \eta_2, \eta_1, \eta_2$  are in resonance with the time frequency of  $\xi$ . This condition is satisfied by the resonances (8-3), which are space-time resonances, but not by (8-4), which is a space resonance. There are additional time resonances of the form  $\xi = \xi - \eta + \eta$ , but they are not space resonances for  $\xi \neq \eta$ , so they require no further analysis.

**8A. Large and small frequencies.** When  $|\xi| < (t+1)^{-p_0}$ , Lemma 2.4, the bootstrap assumptions, Lemma 5.4, and the conservation of  $\|\varphi\|_{L^2}$ , imply that

$$\begin{aligned} |(|\xi| + |\xi|^{r+4})\hat{\varphi}(\xi, t)|^2 &\lesssim (|\xi| + |\xi|^{r+4})^2 |\xi|^{-1} \|\hat{\varphi}\|_{L_{\xi}^2} (\|\xi\| \|\partial_{\xi} \hat{\varphi}\|_{L_{\xi}^2} + \|\hat{\varphi}\|_{L_{\xi}^2}) \\ &\lesssim (|\xi| + |\xi|^{r+4}) \|\varphi\|_{L^2} (\|\mathcal{S}\varphi\|_{L^2} + \|\varphi\|_{L^2}) \lesssim \epsilon_0^2. \end{aligned}$$

Let  $p_1 = 10^{-6}$ . When  $|\xi| \geq (t+1)^{p_1}$ , similarly from Lemma 2.4 and the bootstrap assumptions we get

$$\begin{aligned} |(|\xi| + |\xi|^{r+4})\hat{\varphi}(\xi, t)|^2 &\lesssim \frac{(|\xi| + |\xi|^{r+4})^2}{|\xi|^{s+1}} \|\varphi\|_{H^s} (\|\mathcal{S}\varphi\|_{L^2} + \|\varphi\|_{L^2}) \\ &\lesssim |\xi|^{2r+7-s} (t+1)^{2p_0} \epsilon_0^2 \lesssim |\xi|^{2r+7-s+2p_0/p_1} \epsilon_0^2 \lesssim \epsilon_0^2, \end{aligned}$$

since  $2r+7-s+2p_0/p_1 < 0$  for the parameter values in (5-1).

Thus, we only need to consider the frequency range  $(t+1)^{-p_0} \leq |\xi| \leq (t+1)^{p_1}$ . In the following, we fix  $\xi$  in this range, and use  $\mathfrak{d}$  to denote a smooth cutoff function such that

$$\begin{aligned} \mathfrak{d}(\xi, t) &= 1 \text{ on } \{(\xi, t) \mid (t+1)^{-p_0} \leq |\xi| \leq (t+1)^{p_1}\}, \\ \mathfrak{d}(\xi, t) &\text{ is supported on a small neighborhood of } \{(\xi, t) \mid (t+1)^{-p_0} \leq |\xi| \leq (t+1)^{p_1}\}. \end{aligned} \quad (8-5)$$

**8B. Modified scattering.** Taking the Fourier transform of (3-4), we obtain that

$$\begin{aligned} \hat{\varphi}_t(\xi, t) + \frac{i\xi}{6} \iint_{\mathbb{R}^2} T_1(\eta_1, \eta_2, \xi - \eta_1 - \eta_2) \hat{\varphi}(\xi - \eta_1 - \eta_2, t) \hat{\varphi}(\eta_1, t) \hat{\varphi}(\eta_2, t) d\eta_1 d\eta_2 \\ + \widehat{\mathcal{N}_{\geq 5}(\varphi)}(\xi, t) = 2i\xi \log |\xi| \hat{\varphi}(\xi, t), \end{aligned} \quad (8-6)$$

where  $\mathcal{N}_{\geq 5}(\varphi)$  is given by (3-5), and, from (A-3),

$$\begin{aligned} T_1(\eta_1, \eta_2, \eta_3) &= -\eta_1^2 \log |\eta_1| - \eta_2^2 \log |\eta_2| - \eta_3^2 \log |\eta_3| - (\eta_1 + \eta_2 + \eta_3)^2 \log |\eta_1 + \eta_2 + \eta_3| \\ &\quad + \{(\eta_1 + \eta_2)^2 \log |\eta_1 + \eta_2| + (\eta_1 + \eta_3)^2 \log |\eta_1 + \eta_3| + (\eta_2 + \eta_3)^2 \log |\eta_2 + \eta_3|\}. \end{aligned} \quad (8-7)$$

We can also write (8-6) in terms of  $\hat{h} = e^{-2it\xi \log |\xi|} \hat{\varphi}$  defined in (5-3) as

$$\begin{aligned} \hat{h}_t(\xi, t) + \frac{i\xi}{6} \iint_{\mathbb{R}^2} T_1(\eta_1, \eta_2, \xi - \eta_1 - \eta_2) e^{it\Phi(\xi, \eta_1, \eta_2)} \hat{h}(\xi - \eta_1 - \eta_2, t) \hat{h}(\eta_1, t) \hat{h}(\eta_2, t) d\eta_1 d\eta_2 \\ + e^{-2it\xi \log |\xi|} \widehat{\mathcal{N}_{\geq 5}(\varphi)}(\xi, t) = 0, \end{aligned} \quad (8-8)$$

where  $\Phi$  is defined in (8-2).

Nonlinearity leads to a cumulative frequency shift in the long-time behavior of the Fourier components of the solution due to space-time resonances of the form  $\xi = \xi + \xi - \xi$ . To account for this effect, we use the method of modified scattering and introduce a phase correction

$$\Theta(\xi, t) = \frac{\pi i \xi |\xi|}{3} [T_1(\xi, \xi, -\xi) + T_1(\xi, -\xi, \xi) + T_1(-\xi, \xi, \xi)] \int_0^t \frac{|\hat{\varphi}(\xi, \tau)|^2}{\tau + 1} d\tau. \quad (8-9)$$

This phase correction is generic in cubically nonlinear dispersive equations and grows logarithmically in time; see [Córdoba et al. 2019; Ifrim and Tataru 2015; 2016; Ionescu and Pusateri 2015]. We then let

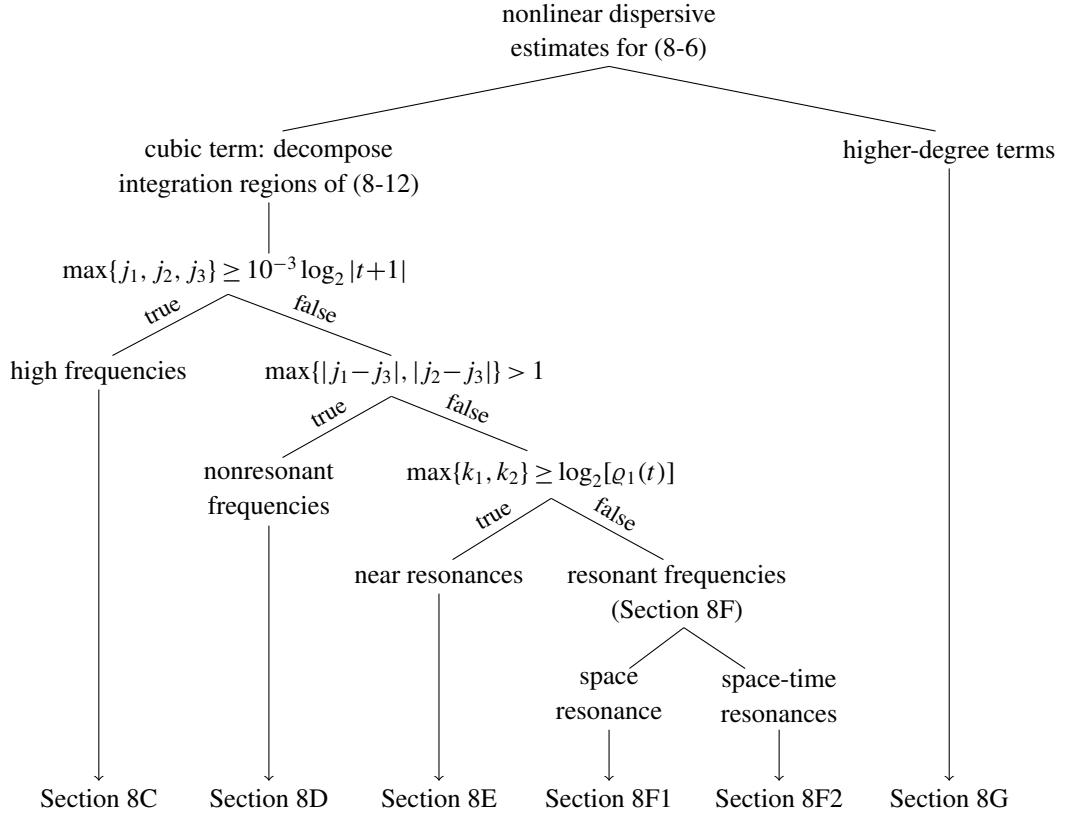
$$\hat{v}(\xi, t) = e^{i\Theta(\xi, t)} \hat{h}(\xi, t).$$

Using (8-8) and (8-9), we find that

$$\hat{v}_t(\xi, t) = e^{i\Theta(\xi, t)} [\hat{h}_t(\xi, t) + i\Theta_t(\xi, t) \hat{h}(\xi, t)] = U(\xi, t) - e^{-2it\xi \log |\xi|} e^{i\Theta(\xi, t)} \widehat{\mathcal{N}_{\geq 5}(\varphi)}(\xi, t), \quad (8-10)$$

where

$$\begin{aligned} U(\xi, t) &= e^{i\Theta(\xi, t)} \left\{ -\frac{i\xi}{6} \iint_{\mathbb{R}^2} T_1(\eta_1, \eta_2, \xi - \eta_1 - \eta_2) e^{it\Phi(\xi, \eta_1, \eta_2)} \hat{h}(\xi - \eta_1 - \eta_2) \hat{h}(\eta_1) \hat{h}(\eta_2) d\eta_1 d\eta_2 \right. \\ &\quad \left. + \frac{\pi i \xi |\xi|}{3} [T_1(\xi, \xi, -\xi) + T_1(\xi, -\xi, \xi) + T_1(-\xi, \xi, \xi)] \frac{|\hat{h}(\xi, t)|^2 \hat{h}(\xi, t)}{t+1} \right\}. \end{aligned} \quad (8-11)$$



**Figure 1.** Plan of Section 8. Here  $(j_1, j_2, j_3) \in \mathbb{Z}^3$  are the dyadic blocks in the cubic decomposition (8-12), and  $\varrho_1(t)$  is defined in (8-23).

Then we get from (8-10) that

$$\begin{aligned} \|\varphi\|_Z &= \|(|\xi| + |\xi|^{r+4})\hat{\varphi}(\xi, t)\|_{L_\xi^\infty} = \|(|\xi| + |\xi|^{r+4})\hat{v}(\xi, t)\|_{L_\xi^\infty} \\ &\lesssim \int_0^t \{ \|(|\xi| + |\xi|^{r+4})U(\xi, \tau)\|_{L_\xi^\infty} + \|(|\xi| + |\xi|^{r+4})\widehat{\mathcal{N}_{\geq 5}(\varphi)}(\xi, \tau)\|_{L_\xi^\infty} \} d\tau. \end{aligned}$$

We will estimate the cubic terms in  $U$  in Sections 8C–8F and the higher-degree terms involving  $\widehat{\mathcal{N}_{\geq 5}(\varphi)}$  in Section 8G. We do not need to consider the terms in  $U$  that involve the phase correction until we come to an analysis of the space-time resonances in Section 8F.

Suppressing the dependence of  $\hat{h}(\xi, t)$  on  $t$ , we carry out a dyadic decomposition of the integral in the expression (8-11) for  $U$ , and write it as a sum over  $(j_1, j_2, j_3) \in \mathbb{Z}^3$  of terms of the form

$$\iint_{\mathbb{R}^2} T_1(\eta_1, \eta_2, \xi - \eta_1 - \eta_2) e^{it\Phi(\xi, \eta_1, \eta_2)} \hat{h}_{j_1}(\eta_1) \hat{h}_{j_2}(\eta_2) \hat{h}_{j_3}(\xi - \eta_1 - \eta_2) d\eta_1 d\eta_2. \quad (8-12)$$

Here,  $h_j = P_j h$ , where  $P_j$  is the Fourier multiplier with symbol  $\psi_j$  defined in (2-13). In the following subsections, we estimate this integral in various regions of frequency space.

In Section 8C, we estimate the integral for high frequencies (at least one of  $j_1, j_2, j_3$  is large). In Section 8D, we estimate the integral for nonresonant frequencies, using oscillatory integral estimates with respect to the frequency variables together with multilinear estimates to get sufficient time decay.

In Section 8E, we consider frequencies that are close to the resonant frequencies. In that case, the bounds for the multilinear symbols are worse, so we cannot obtain sufficient time decay by the method used for the nonresonant frequencies. We resolve this issue by an additional dyadic decomposition centered at each resonant point and a refinement of the symbol estimates.

Finally, in Section 8F, we consider frequencies that are at the space resonance or space-time resonances. For the space resonance, we estimate the integral in a region about the space resonance point that shrinks in time, using an oscillatory integral estimate with respect to time and the equation to eliminate the time derivative of the solution. For the space-time resonances, we take advantage of the modified scattering phase correction and estimate the integral on shrinking regions about the space-time resonance points.

As a checklist for the complete discussion of all cases, the plan for the rest of Section 8 is displayed in Figure 1.

**8C. High frequencies.** When  $\max\{j_1, j_2, j_3\} \geq 10^{-3} \log_2 |t + 1|$ , we can estimate the nonlinear terms (8-12) by using Lemma 2.5, with the  $L^\infty$ -norm placed on the lowest-derivative term. There are, in total,  $r + 6 = 13$  derivatives shared by three factors of  $\varphi$ . Thus, we can ensure that the term with least derivatives has at most four derivatives, with or without a logarithmic derivative.

To be more specific, introducing the cutoff function  $\mathfrak{d}$  in (8-5), using Hölder's inequality, Sobolev embedding, and the bootstrap assumptions, we obtain the estimate

$$\begin{aligned} & \left\| \xi(|\xi| + |\xi|^{r+4}) \mathfrak{d}(\xi, t) \iint_{\mathbb{R}^2} \mathbf{T}_1(\eta_1, \eta_2, \xi - \eta_1 - \eta_2) e^{it\Phi(\xi, \eta_1, \eta_2)} \hat{h}_{j_1}(\eta_1) \hat{h}_{j_2}(\eta_2) \hat{h}_{j_3}(\xi - \eta_1 - \eta_2) d\eta_1 d\eta_2 \right\|_{L_\xi^\infty} \\ & \lesssim (t + 1)^{(r+8-s)10^{-3}} \|\varphi_{\min}\|_{L^2} (\|\varphi_{\text{med}}\|_{L^\infty} + \|L\partial_x \varphi_{\text{med}}\|_{W^{r,\infty}}) \|\varphi_{\max}\|_{H^s} \\ & \lesssim (t + 1)^{(r+8-s)10^{-3}} \|\varphi_{j_1}\|_{H^s} \|\varphi_{j_2}\|_{H^s} \|\varphi_{j_3}\|_{H^s}, \end{aligned}$$

where max, med, min represent the maximum, median, and minimum of  $j_1, j_2, j_3$ . From (5-1), we have  $(r + 8 - s)10^{-3} < -1.1$ , so the right-hand-side is summable over  $j_1, j_2, j_3$ , and the sum is integrable for  $t \in (0, \infty)$ .

**8D. Nonresonant frequencies.** We now only need to consider when  $\max\{j_1, j_2, j_3\} < 10^{-3} \log_2(t + 1)$ . The regions  $|j_1 - j_3| > 1$  and  $|j_2 - j_3| > 1$  correspond to nonresonant frequencies. Without loss of generality, we assume  $|j_1 - j_3| > 1$ .

Notice that by (8-2), we have

$$\partial_{\eta_1} \Phi = 2 \log |\eta_1| - 2 \log |\xi - \eta_1 - \eta_2|. \quad (8-13)$$

Since  $|\eta_1|$  and  $|\xi - \eta_1 - \eta_2|$  are in different dyadic blocks, we have

$$||\eta_1| - |\xi - \eta_1 - \eta_2|| \gtrsim \max\{|\eta_1|, |\xi - \eta_1 - \eta_2|\}.$$

Therefore,  $|\partial_{\eta_1} \Phi| \gtrsim 1$ .

After integrating by parts, we have

$$\begin{aligned} \iint_{\mathbb{R}^2} T_1(\eta_1, \eta_2, \xi - \eta_1 - \eta_2) e^{it\Phi(\xi, \eta_1, \eta_2)} \hat{h}_{j_1}(\eta_1) \hat{h}_{j_2}(\eta_2) \hat{h}_{j_3}(\xi - \eta_1 - \eta_2) d\eta_1 d\eta_2 \\ = \iint_{\mathbb{R}^2} \frac{T_1(\eta_1, \eta_2, \xi - \eta_1 - \eta_2)}{it\partial_{\eta_1}\Phi(\xi, \eta_1, \eta_2)} \partial_{\eta_1} e^{it\Phi(\xi, \eta_1, \eta_2)} \hat{h}_{j_1}(\eta_1) \hat{h}_{j_2}(\eta_2) \hat{h}_{j_3}(\xi - \eta_1 - \eta_2) d\eta_1 d\eta_2 \\ = -W_1 - W_2 - W_3, \end{aligned}$$

where

$$\begin{aligned} W_1(\xi, t) &= \iint_{\mathbb{R}^2} \partial_{\eta_1} \left[ \frac{T_1(\eta_1, \eta_2, \xi - \eta_1 - \eta_2)}{it\partial_{\eta_1}\Phi(\xi, \eta_1, \eta_2)} \right] e^{it\Phi(\xi, \eta_1, \eta_2)} \hat{h}_{j_1}(\eta_1) \hat{h}_{j_2}(\eta_2) \hat{h}_{j_3}(\xi - \eta_1 - \eta_2) d\eta_1 d\eta_2, \\ W_2(\xi, t) &= \iint_{\mathbb{R}^2} \left[ \frac{T_1(\eta_1, \eta_2, \xi - \eta_1 - \eta_2)}{it\partial_{\eta_1}\Phi(\xi, \eta_1, \eta_2)} \right] e^{it\Phi(\xi, \eta_1, \eta_2)} \hat{h}_{j_1}(\eta_1) \hat{h}_{j_2}(\eta_2) \partial_{\eta_1} \hat{h}_{j_3}(\xi - \eta_1 - \eta_2) d\eta_1 d\eta_2, \\ W_3(\xi, t) &= \iint_{\mathbb{R}^2} \left[ \frac{T_1(\eta_1, \eta_2, \xi - \eta_1 - \eta_2)}{it\partial_{\eta_1}\Phi(\xi, \eta_1, \eta_2)} \right] e^{it\Phi(\xi, \eta_1, \eta_2)} \partial_{\eta_1} \hat{h}_{j_1}(\eta_1) \hat{h}_{j_2}(\eta_2) \hat{h}_{j_3}(\xi - \eta_1 - \eta_2) d\eta_1 d\eta_2. \end{aligned}$$

In order to estimate these terms, we note from (8-7) that

$$\begin{aligned} \partial_{\eta_1} [T_1(\eta_1, \eta_2, \xi - \eta_1 - \eta_2)] &= -2\{\eta_1 \log |\eta_1| - (\eta_1 + \eta_2) \log |\eta_1 + \eta_2| \\ &\quad + (\xi - \eta_1) \log |\xi - \eta_1| - (\xi - \eta_1 - \eta_2) \log |\xi - \eta_1 - \eta_2|\}, \\ \partial_{\eta_2} [T_1(\eta_1, \eta_2, \xi - \eta_1 - \eta_2)] &= -2\{\eta_2 \log |\eta_2| - (\eta_1 + \eta_2) \log |\eta_1 + \eta_2| \\ &\quad + (\xi - \eta_2) \log |\xi - \eta_2| - (\xi - \eta_1 - \eta_2) \log |\xi - \eta_1 - \eta_2|\}. \end{aligned} \quad (8-14)$$

**Estimate of  $W_1$ :** Since

$$\|W_1\|_{L_x^\infty} \lesssim \|\mathcal{F}^{-1}(W_1)\|_{L^1}, \quad (8-15)$$

it suffices to estimate the  $L_x^1$ -norm of

$$\iint_{\mathbb{R}^3} e^{i\xi x} \partial_{\eta_1} \left[ \frac{T_1(\eta_1, \eta_2, \xi - \eta_1 - \eta_2)}{it\partial_{\eta_1}\Phi(\xi, \eta_1, \eta_2)} \right] e^{it\Phi(\xi, \eta_1, \eta_2)} \hat{h}_{j_1}(\eta_1) \hat{h}_{j_2}(\eta_2) \hat{h}_{j_3}(\xi - \eta_1 - \eta_2) d\eta_1 d\eta_2 d\xi.$$

Notice that by (8-13)

$$\partial_{\eta_1} \frac{T_1(\eta_1, \eta_2, \xi - \eta_1 - \eta_2)}{\partial_{\eta_1}\Phi(\xi, \eta_1, \eta_2)} = \kappa_1(\eta_1, \eta_2, \xi - \eta_1 - \eta_2) - \frac{\kappa_2(\eta_1, \eta_2, \xi - \eta_1 - \eta_2)}{2},$$

where

$$\begin{aligned} \kappa_1(\eta_1, \eta_2, \eta_3) &= \frac{\partial_{\eta_1} T_1(\eta_1, \eta_2, \eta_3) - \partial_{\eta_3} T_1(\eta_1, \eta_2, \eta_3)}{\log |\eta_1| - \log |\eta_3|}, \\ \kappa_2(\eta_1, \eta_2, \eta_3) &= T_1(\eta_1, \eta_2, \eta_3) \frac{1/\eta_1 + 1/\eta_3}{(\log |\eta_1| - \log |\eta_3|)^2}. \end{aligned}$$

Making a change of variable  $\eta_3 = \xi - \eta_1 - \eta_2$ , we need to estimate the trilinear form

$$\frac{1}{it} \iiint_{\mathbb{R}^3} e^{i\xi x} [\kappa_1(\eta_1, \eta_2, \eta_3) + \kappa_2(\eta_1, \eta_2, \eta_3)] \hat{\varphi}_{j_1}(\eta_1) \hat{\varphi}_{j_2}(\eta_2) \hat{\varphi}_{j_3}(\eta_3) d\eta_1 d\eta_2 d\eta_3,$$

with symbol

$$[\kappa_1(\eta_1, \eta_2, \eta_3) + \kappa_2(\eta_1, \eta_2, \eta_3)] \psi_{j_1}(\eta_1) \psi_{j_2}(\eta_2) \psi_{j_3}(\eta_3).$$

According to Lemma 2.5, this trilinear operator is bounded on  $L^2 \times L^2 \times L^\infty \rightarrow L^1$  by

$$\begin{aligned} & \|[\kappa_1(\eta_1, \eta_2, \eta_3) + \kappa_2(\eta_1, \eta_2, \eta_3)]\psi_{j_1}(\eta_1)\psi_{j_2}(\eta_2)\psi_{j_3}(\eta_3)\|_{S^\infty} \\ & \lesssim \left( \|\partial_{\eta_1} \mathbf{T}_1(\eta_1, \eta_2, \eta_3)\tilde{\psi}_{j_1}(\eta_1)\tilde{\psi}_{j_2}(\eta_2)\tilde{\psi}_{j_3}(\eta_3)\|_{S^\infty} \right. \\ & \quad \left. + \|\partial_{\eta_3} \mathbf{T}_1(\eta_1, \eta_2, \eta_3)\tilde{\psi}_{j_1}(\eta_1)\tilde{\psi}_{j_2}(\eta_2)\tilde{\psi}_{j_3}(\eta_3)\|_{S^\infty} \right) \cdot \left\| \frac{\psi_{j_1}(\eta_1)\psi_{j_2}(\eta_2)\psi_{j_3}(\eta_3)}{\log|\eta_1| - \log|\eta_3|} \right\|_{S^\infty} \\ & \quad + \left( \left\| \frac{\mathbf{T}_1(\eta_1, \eta_2, \eta_3)}{\eta_1} \tilde{\psi}_{j_1}(\eta_1)\tilde{\psi}_{j_2}(\eta_2)\tilde{\psi}_{j_3}(\eta_3) \right\|_{S^\infty} \right. \\ & \quad \left. + \left\| \frac{\mathbf{T}_1(\eta_1, \eta_2, \eta_3)}{\eta_3} \tilde{\psi}_{j_1}(\eta_1)\tilde{\psi}_{j_2}(\eta_2)\tilde{\psi}_{j_3}(\eta_3) \right\|_{S^\infty} \right) \cdot \left\| \frac{\psi_{j_1}(\eta_1)\psi_{j_2}(\eta_2)\psi_{j_3}(\eta_3)}{(\log|\eta_1| - \log|\eta_3|)^2} \right\|_{S^\infty}. \quad (8-16) \end{aligned}$$

In the following lemmas, we prove  $S^\infty$ -estimates for these symbols.

**Lemma 8.1.** *Suppose that  $|j_1 - j_3| > 1$ . Then for any  $m \in \mathbb{Z}_+$ ,*

$$\left\| \frac{1}{(\log|\eta_1| - \log|\eta_3|)^m} \psi_{j_1}(\eta_1)\psi_{j_2}(\eta_2)\psi_{j_3}(\eta_3) \right\|_{S^\infty} \lesssim 1.$$

*Proof.* By the definition of the  $S^\infty$ -norm (2-15) and the definition of  $\psi_k$  (2-13), we have that

$$\begin{aligned} & \left\| \frac{\psi_{j_1}(\eta_1)\psi_{j_2}(\eta_2)\psi_{j_3}(\eta_3)}{(\log|\eta_1| - \log|\eta_3|)^m} \right\|_{S^\infty} \\ & = \left\| \iiint_{\mathbb{R}^3} \frac{\psi_{j_1}(\eta_1)\psi_{j_2}(\eta_2)\psi_{j_3}(\eta_3)}{(\log|\eta_1| - \log|\eta_3|)^m} e^{i(y_1\eta_1 + y_2\eta_2 + y_3\eta_3)} d\eta_1 d\eta_2 d\eta_3 \right\|_{L^1} \\ & = \iiint_{\mathbb{R}^3} \left| \iiint_{\mathbb{R}^3} \frac{\psi_0(2^{-j_1}\eta_1)\psi_0(2^{-j_2}\eta_2)\psi_0(2^{-j_3}\eta_3)}{(\log|\eta_1| - \log|\eta_3|)^m} e^{i(y_1\eta_1 + y_2\eta_2 + y_3\eta_3)} d\eta_1 d\eta_2 d\eta_3 \right| dy_1 dy_2 dy_3 \lesssim 1, \end{aligned}$$

where the last inequality comes from oscillatory integral estimates, using the facts that  $|j_1 - j_3| > 1$  and the support of  $\psi_0$  is  $[-\frac{8}{5}, -\frac{5}{8}] \cup [\frac{5}{8}, \frac{8}{5}]$ .  $\square$

For the estimates of the other symbols in (8-16), we have the following lemma.

**Lemma 8.2.** *For any  $j_1, j_2, j_3 \in \mathbb{Z}$ , we have*

$$\|\partial_{\eta_1} \mathbf{T}_1(\eta_1, \eta_2, \eta_3)\tilde{\psi}_{j_1}(\eta_1)\tilde{\psi}_{j_2}(\eta_2)\tilde{\psi}_{j_3}(\eta_3)\|_{S^\infty} \lesssim 2^{\max\{j_2, j_3\}}, \quad (8-17)$$

$$\|\mathbf{T}_1(\eta_1, \eta_2, \eta_3)\tilde{\psi}_{j_1}(\eta_1)\tilde{\psi}_{j_2}(\eta_2)\tilde{\psi}_{j_3}(\eta_3)\|_{S^\infty} \lesssim 2^{\max\{j_1, j_2, j_3\} + \min\{j_1, j_2, j_3\}}, \quad (8-18)$$

and

$$\left\| \frac{\mathbf{T}_1(\eta_1, \eta_2, \eta_3)}{\eta_1} \tilde{\psi}_{j_1}(\eta_1)\tilde{\psi}_{j_2}(\eta_2)\tilde{\psi}_{j_3}(\eta_3) \right\|_{S^\infty} \lesssim 2^{\max\{j_2, j_3\}}. \quad (8-19)$$

Furthermore, since  $\mathbf{T}_1$  is symmetric, we also have

$$\|\partial_{\eta_3} \mathbf{T}_1(\eta_1, \eta_2, \eta_3)\tilde{\psi}_{j_1}(\eta_1)\tilde{\psi}_{j_2}(\eta_2)\tilde{\psi}_{j_3}(\eta_3)\|_{S^\infty} \lesssim 2^{\max\{j_1, j_2\}},$$

$$\left\| \frac{\mathbf{T}_1(\eta_1, \eta_2, \eta_3)}{\eta_3} \tilde{\psi}_{j_1}(\eta_1)\tilde{\psi}_{j_2}(\eta_2)\tilde{\psi}_{j_3}(\eta_3) \right\|_{S^\infty} \lesssim 2^{\max\{j_1, j_2\}}.$$

*Proof.* (1) We prove (8-17) first. Using inverse Fourier transform in  $(\eta_1, \eta_2, \eta_3)$ , we obtain

$$\begin{aligned} & \mathcal{F}^{-1}[\partial_{\eta_1} \mathbf{T}_1(\eta_1, \eta_2, \eta_3) \tilde{\psi}_{j_1}(\eta_1) \tilde{\psi}_{j_2}(\eta_2) \tilde{\psi}_{j_3}(\eta_3)] \\ &= \iiint_{\mathbb{R}^3} e^{i(y_1\eta_1 + y_2\eta_2 + y_3\eta_3)} \partial_{\eta_1} \left[ \int_{\mathbb{R}} \frac{\prod_{j=1}^3 (1 - e^{i\eta_j \zeta})}{|\zeta|^3} d\zeta \right] \tilde{\psi}_{j_1}(\eta_1) \tilde{\psi}_{j_2}(\eta_2) \tilde{\psi}_{j_3}(\eta_3) d\eta_1 d\eta_2 d\eta_3 \\ &= \iiint_{\mathbb{R}^3} \left[ \int_{\mathbb{R}} \frac{-i\zeta e^{i\eta_1(\zeta+y_1)} (e^{iy_2\eta_2} - e^{i\eta_2(\zeta+y_2)}) (e^{iy_3\eta_3} - e^{i\eta_3(\zeta+y_3)})}{|\zeta|^3} d\zeta \right] \tilde{\psi}_{j_1}(\eta_1) \tilde{\psi}_{j_2}(\eta_2) \tilde{\psi}_{j_3}(\eta_3) d\eta_1 d\eta_2 d\eta_3 \\ &= \int_{\mathbb{R}} \frac{-i\zeta}{|\zeta|^3} \cdot [\mathcal{F}^{-1}[\tilde{\psi}_{j_1}](y_1 + \zeta) \cdot [\mathcal{F}^{-1}[\tilde{\psi}_{j_2}](y_2) - \mathcal{F}^{-1}[\tilde{\psi}_{j_2}](\zeta + y_2)] \cdot [\mathcal{F}^{-1}[\tilde{\psi}_{j_3}](y_3) - \mathcal{F}^{-1}[\tilde{\psi}_{j_3}](\zeta + y_3)]] d\zeta. \end{aligned}$$

Notice that

$$\begin{aligned} |\mathcal{F}^{-1}[\tilde{\psi}_{j_1}](y_1 + \zeta)| &= 2^{j_1} |\mathcal{F}^{-1}[\tilde{\psi}_0](2^{j_1}(y_1 + \zeta))|, \\ |\mathcal{F}^{-1}[\tilde{\psi}_{j_2}](y_2) - \mathcal{F}^{-1}[\tilde{\psi}_{j_2}](\zeta + y_2)| &= 2^{j_2} |\mathcal{F}^{-1}[\tilde{\psi}_0](2^{j_2}y_2) - \mathcal{F}^{-1}[\tilde{\psi}_0](2^{j_2}(\zeta + y_2))|, \\ |\mathcal{F}^{-1}[\tilde{\psi}_{j_3}](y_3) - \mathcal{F}^{-1}[\tilde{\psi}_{j_3}](\zeta + y_3)| &= 2^{j_3} |\mathcal{F}^{-1}[\tilde{\psi}_0](2^{j_3}y_3) - \mathcal{F}^{-1}[\tilde{\psi}_0](2^{j_3}(\zeta + y_3))|, \end{aligned}$$

and that

$$\begin{aligned} \int_{\mathbb{R}} |\mathcal{F}^{-1}[\tilde{\psi}_0](2^{j_1}(y_1 + \zeta))| dy_1 &\lesssim 2^{-j_1}, \\ \int_{\mathbb{R}} |\mathcal{F}^{-1}[\tilde{\psi}_{j_2}](2^{j_2}y_2) - \mathcal{F}^{-1}[\tilde{\psi}_{j_2}](2^{j_2}(\zeta + y_2))| dy_2 &\lesssim \min\{2^{-j_2}, |\zeta|\}, \\ \int_{\mathbb{R}} |\mathcal{F}^{-1}[\tilde{\psi}_{j_3}](2^{j_3}y_3) - \mathcal{F}^{-1}[\tilde{\psi}_{j_3}](2^{j_3}(\zeta + y_3))| dy_3 &\lesssim \min\{2^{-j_3}, |\zeta|\}. \end{aligned}$$

Therefore, we have

$$\begin{aligned} & \|\mathcal{F}^{-1}[\partial_{\eta_1} \mathbf{T}_1(\eta_1, \eta_2, \eta_3) \tilde{\psi}_{j_1}(\eta_1) \tilde{\psi}_{j_2}(\eta_2) \tilde{\psi}_{j_3}(\eta_3)]\|_{L^1} \\ & \lesssim \int_{\mathbb{R}} \frac{1}{|\zeta|^2} 2^{j_2+j_3} \min\{2^{-j_2}, |\zeta|\} \min\{2^{-j_3}, |\zeta|\} d\zeta \\ &= 2^{j_2+j_3} \left( \int_{|\zeta| > \max\{2^{-j_2}, 2^{-j_3}\}} \frac{1}{|\zeta|^2} 2^{-j_2-j_3} d\zeta \right. \\ & \quad \left. + \int_{\min\{2^{-j_2}, 2^{-j_3}\} < |\zeta| < \max\{2^{-j_2}, 2^{-j_3}\}} \frac{1}{|\zeta|} \min\{2^{-j_2}, 2^{-j_3}\} d\zeta + \int_{|\zeta| < \min\{2^{-j_2}, 2^{-j_3}\}} 1 d\zeta \right) \\ & \lesssim 2^{\max\{j_2, j_3\}}. \end{aligned}$$

(2) Next, we prove (8-18) and (8-19). The estimate of (8-18) is similar to (8-17). We first use the inverse Fourier transform and write

$$\begin{aligned} & \mathcal{F}^{-1}[\mathbf{T}_1(\eta_1, \eta_2, \eta_3) \tilde{\psi}_{j_1}(\eta_1) \tilde{\psi}_{j_2}(\eta_2) \tilde{\psi}_{j_3}(\eta_3)] \\ &= \iiint_{\mathbb{R}^3} e^{i(y_1\eta_1 + y_2\eta_2 + y_3\eta_3)} \left[ \int_{\mathbb{R}} \frac{\prod_{j=1}^3 (1 - e^{i\eta_j \zeta})}{|\zeta|^3} d\zeta \right] \tilde{\psi}_{j_1}(\eta_1) \tilde{\psi}_{j_2}(\eta_2) \tilde{\psi}_{j_3}(\eta_3) d\eta_1 d\eta_2 d\eta_3 \\ &= \iiint_{\mathbb{R}^3} \left[ \int_{\mathbb{R}} \frac{(e^{iy_1\eta_1} - e^{i\eta_1(\zeta+y_1)}) (e^{iy_2\eta_2} - e^{i\eta_2(\zeta+y_2)}) (e^{iy_3\eta_3} - e^{i\eta_3(\zeta+y_3)})}{|\zeta|^3} d\zeta \right] \tilde{\psi}_{j_1}(\eta_1) \tilde{\psi}_{j_2}(\eta_2) \tilde{\psi}_{j_3}(\eta_3) d\eta_1 d\eta_2 d\eta_3 \\ &= \int_{\mathbb{R}} \frac{1}{|\zeta|^3} [\mathcal{F}^{-1}[\tilde{\psi}_{j_1}](y_1) - \mathcal{F}^{-1}[\tilde{\psi}_{j_1}](\zeta + y_1)] \cdot [\mathcal{F}^{-1}[\tilde{\psi}_{j_2}](y_2) - \mathcal{F}^{-1}[\tilde{\psi}_{j_2}](\zeta + y_2)] \\ & \quad \cdot [\mathcal{F}^{-1}[\tilde{\psi}_{j_3}](y_3) - \mathcal{F}^{-1}[\tilde{\psi}_{j_3}](\zeta + y_3)] d\zeta. \end{aligned}$$

Taking the  $L^1$ -norm, we obtain

$$\begin{aligned}
& \|\mathcal{F}^{-1}[\mathbf{T}_1(\eta_1, \eta_2, \eta_3)\tilde{\psi}_{j_1}(\eta_1)\tilde{\psi}_{j_2}(\eta_2)\tilde{\psi}_{j_3}(\eta_3)]\|_{L^1} \\
& \lesssim \int_{\mathbb{R}} 2^{j_1+j_2+j_3} \frac{1}{|\zeta|^3} \min\{2^{-j_1}, |\zeta|\} \min\{2^{-j_2}, |\zeta|\} \min\{2^{-j_3}, |\zeta|\} d\zeta \\
& \lesssim \int_{|\zeta| > \max\{2^{-j_1}, 2^{-j_2}, 2^{-j_3}\}} \frac{1}{|\zeta|^3} d\zeta + \int_{|\zeta| < \min\{2^{-j_1}, 2^{-j_2}, 2^{-j_3}\}} 2^{j_1+j_2+j_3} d\zeta \\
& \quad + \int_{\min\{2^{-j_1}, 2^{-j_2}, 2^{-j_3}\} < |\zeta| < \max\{2^{-j_1}, 2^{-j_2}, 2^{-j_3}\}} 2^{\text{med}\{j_1, j_2, j_3\} + \min\{j_1, j_2, j_3\}} \frac{1}{|\zeta|} d\zeta \\
& \quad + \int_{\text{med}\{2^{-j_1}, 2^{-j_2}, 2^{-j_3}\} < |\zeta| < \max\{2^{-j_1}, 2^{-j_2}, 2^{-j_3}\}} 2^{\min\{j_1, j_2, j_3\}} \frac{1}{|\zeta|^2} d\zeta \\
& \lesssim 2^{2\min\{j_1, j_2, j_3\}} + 2^{\max\{j_1, j_2, j_3\} + \text{med}\{j_1, j_2, j_3\}} + 2^{\max\{j_1, j_2, j_3\} + \min\{j_1, j_2, j_3\}} + 2^{\min\{j_1, j_2, j_3\} + \text{med}\{j_1, j_2, j_3\}} \\
& \lesssim 2^{\max\{j_1, j_2, j_3\} + \min\{j_1, j_2, j_3\}},
\end{aligned}$$

which proves (8-18).

As for (8-19), we define

$$\tilde{\psi}_k(\eta) = \sum_{j=k-3}^{k+3} \psi_j(\eta).$$

Then it follows from the support of  $\psi_k$  and the fact that  $\psi_k$  forms a partition of unity that

$$\begin{aligned}
& \frac{\mathbf{T}_1(\eta_1, \eta_2, \eta_3)}{\eta_1} \tilde{\psi}_{j_1}(\eta_1) \tilde{\psi}_{j_2}(\eta_2) \tilde{\psi}_{j_3}(\eta_3) \\
& \quad = [\mathbf{T}_1(\eta_1, \eta_2, \eta_3) \tilde{\psi}_{j_1}(\eta_1) \tilde{\psi}_{j_2}(\eta_2) \tilde{\psi}_{j_3}(\eta_3)] \cdot \left[ \frac{1}{\eta_1} \tilde{\psi}_{j_1}(\eta_1) \tilde{\psi}_{j_2}(\eta_2) \tilde{\psi}_{j_3}(\eta_3) \right].
\end{aligned}$$

By Lemma 2.5, we have

$$\begin{aligned}
& \left\| \frac{\mathbf{T}_1(\eta_1, \eta_2, \eta_3)}{\eta_1} \tilde{\psi}_{j_1}(\eta_1) \tilde{\psi}_{j_2}(\eta_2) \tilde{\psi}_{j_3}(\eta_3) \right\|_{S^\infty} \\
& \lesssim \|\mathbf{T}_1(\eta_1, \eta_2, \eta_3) \tilde{\psi}_{j_1}(\eta_1) \tilde{\psi}_{j_2}(\eta_2) \tilde{\psi}_{j_3}(\eta_3)\|_{S^\infty} \left\| \frac{\tilde{\psi}_{j_1}(\eta_1) \tilde{\psi}_{j_2}(\eta_2) \tilde{\psi}_{j_3}(\eta_3)}{\eta_1} \right\|_{S^\infty}. \quad (8-20)
\end{aligned}$$

In view of (8-18), we only need to estimate the second term. To this end, we have

$$\left\| \frac{1}{\eta_1} \tilde{\psi}_{j_1}(\eta_1) \tilde{\psi}_{j_2}(\eta_2) \tilde{\psi}_{j_3}(\eta_3) \right\|_{S^\infty} = \left\| \int_{\mathbb{R}} \eta_1^{-1} \tilde{\psi}_{j_1}(\eta_1) e^{i\eta_1 y_1} d\eta_1 \mathcal{F}^{-1}[\tilde{\psi}_{j_2}](y_2) \mathcal{F}^{-1}[\tilde{\psi}_{j_3}](y_3) \right\|_{L^1} \lesssim 2^{-j_1}.$$

Therefore, by (8-20) and considering all the possible relations between  $j_1$ ,  $j_2$ , and  $j_3$ , we obtain (8-19).  $\square$

Applying the above lemmas to (8-16) and (8-15), we obtain

$$\|W_1\|_{L_\xi^\infty} \lesssim (t+1)^{-1} [\|\partial_x \varphi_{\max\{j_1, j_2\}}\|_{L^\infty} \|\varphi_{j_3}\|_{L^2} \|\varphi_{\min\{j_1, j_2\}}\|_{L^2} + \|\partial_x \varphi_{\max\{j_2, j_3\}}\|_{L^\infty} \|\varphi_{j_1}\|_{L^2} \|\varphi_{\min\{j_2, j_3\}}\|_{L^2}].$$

Since the two terms are symmetric in  $j_1$  and  $j_3$ , it suffices to estimate one of them, as the other one is similar. We use Lemma 6.1 and get

$$\begin{aligned} & \|\partial_x \varphi_{\max\{j_1, j_2\}}\|_{L^\infty} \\ & \lesssim (t+1)^{-1/2} \|\xi|^{3/2} \hat{h}_{\max\{j_1, j_2\}}\|_{L_\xi^\infty} + (t+1)^{-3/4} [\|\partial_x|^{3/4} P_{\max\{j_1, j_2\}}(x \partial_x h)\|_{L^2} + \|\partial_x|^{3/4} h_{\max\{j_1, j_2\}}\|_{L^2}]. \end{aligned}$$

Therefore,

$$\begin{aligned} \|W_1\|_{L_\xi^\infty} & \lesssim (t+1)^{-3/2} (\mathbf{1}_{\max\{j_1, j_2\} \leq 0} 2^{(1/2)\max\{j_1, j_2\}} \|\xi| \hat{h}_{\max\{j_1, j_2\}}\|_{L_\xi^\infty} \\ & \quad + \mathbf{1}_{\max\{j_1, j_2\} > 0} 2^{(-3/2-r)\max\{j_1, j_2\}} \|\xi|^{r+3} \hat{h}_{\max\{j_1, j_2\}}\|_{L_\xi^\infty}) \|\varphi_{j_3}\|_{L^2} \|\varphi_{\min\{j_1, j_2\}}\|_{L^2} \\ & \quad + (t+1)^{-7/4} [\|\partial_x|^{3/4} P_{\max\{j_1, j_2\}}(x \partial_x h)\|_{L^2} + \|\partial_x|^{3/4} h_{\max\{j_1, j_2\}}\|_{L^2}] \|\varphi_{j_3}\|_{L^2} \|\varphi_{\min\{j_1, j_2\}}\|_{L^2} \\ & \quad + (t+1)^{-3/2} (\mathbf{1}_{\max\{j_2, j_3\} \leq 0} 2^{(1/2)\max\{j_2, j_3\}} \|\xi| \hat{h}_{\max\{j_2, j_3\}}\|_{L_\xi^\infty} \\ & \quad \quad + \mathbf{1}_{\max\{j_2, j_3\} > 0} 2^{(-3/2-r)\max\{j_2, j_3\}} \|\xi|^{r+3} \hat{h}_{\max\{j_2, j_3\}}\|_{L_\xi^\infty}) \|\varphi_{j_1}\|_{L^2} \|\varphi_{\min\{j_2, j_3\}}\|_{L^2} \\ & \quad + (t+1)^{-7/4} [\|\partial_x|^{3/4} P_{\max\{j_2, j_3\}}(x \partial_x h)\|_{L^2} + \|\partial_x|^{3/4} h_{\max\{j_2, j_3\}}\|_{L^2}] \|\varphi_{j_1}\|_{L^2} \|\varphi_{\min\{j_2, j_3\}}\|_{L^2} \\ & \lesssim (t+1)^{-3/2} (\mathbf{1}_{\max\{j_1, j_2\} \leq 0} 2^{(1/2)\max\{j_1, j_2\}} + \mathbf{1}_{\max\{j_1, j_2\} > 0} 2^{(-3/2-r)\max\{j_1, j_2\}}) \\ & \quad \cdot \|h_{\max\{j_1, j_2\}}\|_Z \|\varphi_{j_3}\|_{L^2} \|\varphi_{\min\{j_1, j_2\}}\|_{L^2} \\ & \quad + (t+1)^{-7/4} [\|\partial_x|^{3/4} P_{\max\{j_1, j_2\}}(x \partial_x h)\|_{L^2} + \|\partial_x|^{3/4} h_{\max\{j_1, j_2\}}\|_{L^2}] \|\varphi_{j_3}\|_{L^2} \|\varphi_{\min\{j_1, j_2\}}\|_{L^2} \\ & \quad + (t+1)^{-3/2} (\mathbf{1}_{\max\{j_2, j_3\} \leq 0} 2^{(1/2)\max\{j_2, j_3\}} + \mathbf{1}_{\max\{j_2, j_3\} > 0} 2^{(-3/2-r)\max\{j_2, j_3\}}) \\ & \quad \cdot \|h_{\max\{j_2, j_3\}}\|_Z \|\varphi_{j_1}\|_{L^2} \|\varphi_{\min\{j_2, j_3\}}\|_{L^2} \\ & \quad + (t+1)^{-7/4} [\|\partial_x|^{3/4} P_{\max\{j_2, j_3\}}(x \partial_x h)\|_{L^2} + \|\partial_x|^{3/4} h_{\max\{j_2, j_3\}}\|_{L^2}] \|\varphi_{j_1}\|_{L^2} \|\varphi_{\min\{j_2, j_3\}}\|_{L^2}. \end{aligned}$$

**Estimate of  $W_2$  and  $W_3$ :** We rewrite  $W_2$  as

$$\iint_{\mathbb{R}^2} \left[ \frac{\mathbf{T}_1(\eta_1, \eta_2, \xi - \eta_1 - \eta_2)}{it \partial_{\eta_1} \Phi(\xi, \eta_1, \eta_2) (\xi - \eta_1 - \eta_2)} \right] e^{it\Phi(\xi, \eta_1, \eta_2)} \hat{h}_{j_1}(\eta_1) \hat{h}_{j_2}(\eta_2) [(\xi - \eta_1 - \eta_2) \partial_{\eta_1} \hat{h}_{j_3}(\xi - \eta_1 - \eta_2)] d\eta_1 d\eta_2.$$

In view of the multilinear estimate Lemma 2.5, we need to estimate the  $S^\infty$ -norm of the symbol

$$\frac{\mathbf{T}_1(\eta_1, \eta_2, \eta_3)}{(\log |\eta_1| - \log |\eta_3|) \eta_3} \psi_{j_1}(\eta_1) \psi_{j_2}(\eta_2) \tilde{\psi}_{j_3}(\eta_3).$$

In a similar way to the estimates of  $W_1$ , using Lemmas 8.1 and 8.2, we obtain

$$\|W_2\|_{L_\xi^\infty} \lesssim (t+1)^{-1} \|\partial_x \varphi_{\max\{j_1, j_2\}}\|_{L^\infty} \|\xi \partial_\xi \hat{h}_{j_3}\|_{L_\xi^2} \|\varphi_{\min\{j_1, j_2\}}\|_{L^2}.$$

Using Lemma 6.1, we have

$$\begin{aligned} \|W_2\|_{L_\xi^\infty} & \lesssim (t+1)^{-3/2} (\mathbf{1}_{\max\{j_1, j_2\} \leq 0} 2^{(1/2)\max\{j_1, j_2\}} + \mathbf{1}_{\max\{j_1, j_2\} > 0} 2^{(-3/2-r)\max\{j_1, j_2\}}) \\ & \quad \cdot \|h_{\max\{j_1, j_2\}}\|_Z \|\xi \partial_\xi \hat{h}_{j_3}\|_{L_\xi^2} \|\varphi_{\min\{j_1, j_2\}}\|_{L^2} \\ & \quad + (t+1)^{-7/4} [\|\partial_x|^{3/4} P_{\max\{j_1, j_2\}}(x \partial_x h)\|_{L^2} + \|\partial_x|^{3/4} h_{\max\{j_1, j_2\}}\|_{L^2}] \|\xi \partial_\xi \hat{h}_{j_3}\|_{L_\xi^2} \|\varphi_{\min\{j_1, j_2\}}\|_{L^2}. \end{aligned}$$

Similarly, we have

$$\begin{aligned} \|W_3\|_{L_\xi^\infty} &\lesssim (t+1)^{-3/2} (\mathbf{1}_{\max\{j_2, j_3\} \leq 0} 2^{(1/2)\max\{j_2, j_3\}} + \mathbf{1}_{\max\{j_2, j_3\} > 0} 2^{(-3/2-r)\max\{j_2, j_3\}}) \\ &\quad \cdot \|h_{\max\{j_2, j_3\}}\|_Z \|\xi \partial_\xi \hat{h}_{j_1}\|_{L_\xi^2} \|\varphi_{\min\{j_2, j_3\}}\|_{L^2} \\ &\quad + (t+1)^{-7/4} [\|\partial_x|^{3/4} P_{\max\{j_2, j_3\}}(x \partial_x h)\|_{L^2} + \|\partial_x|^{3/4} h_{\max\{j_2, j_3\}}\|_{L^2}] \|\xi \partial_\xi \hat{h}_{j_1}\|_{L_\xi^2} \|\varphi_{\min\{j_2, j_3\}}\|_{L^2}. \end{aligned}$$

In conclusion, for nonresonant frequencies we have shown that

$$\begin{aligned} &\left\| \xi(|\xi| + |\xi|^{r+4}) \mathfrak{d}(\xi, t) \iint_{\mathbb{R}^2} \mathbf{T}_1(\eta_1, \eta_2, \xi - \eta_1 - \eta_2) e^{it\Phi(\xi, \eta_1, \eta_2)} \hat{h}_{j_1}(\eta_1) \hat{h}_{j_2}(\eta_2) \hat{h}_{j_3}(\xi - \eta_1 - \eta_2) d\eta_1 d\eta_2 \right\|_{L_\xi^\infty} \\ &\lesssim (t+1)^{(r+5)p_1} (\|W_1\|_{L_\xi^\infty} + \|W_2\|_{L_\xi^\infty} + \|W_3\|_{L_\xi^\infty}) \\ &\lesssim (t+1)^{-3/2+(r+5)p_1} (\|\varphi_{j_1}\|_{L^2} \|\varphi_{\min\{j_2, j_3\}}\|_{L^2} + \|\xi \partial_\xi \hat{h}_{j_1}\|_{L_\xi^2} \|\varphi_{\min\{j_2, j_3\}}\|_{L^2}) \\ &\quad \cdot (\mathbf{1}_{\max\{j_2, j_3\} \leq 0} 2^{(1/2)\max\{j_2, j_3\}} + \mathbf{1}_{\max\{j_2, j_3\} > 0} 2^{(-3/2-r)\max\{j_2, j_3\}}) \|h_{\max\{j_2, j_3\}}\|_Z \\ &\quad + (t+1)^{-3/2+(r+5)p_1} (\|\varphi_{j_3}\|_{L^2} \|\varphi_{\min\{j_1, j_2\}}\|_{L^2} + \|\xi \partial_\xi \hat{h}_{j_3}\|_{L_\xi^2} \|\varphi_{\min\{j_1, j_2\}}\|_{L^2}) \\ &\quad \cdot (\mathbf{1}_{\max\{j_1, j_2\} \leq 0} 2^{(1/2)\max\{j_1, j_2\}} + \mathbf{1}_{\max\{j_1, j_2\} > 0} 2^{(-3/2-r)\max\{j_1, j_2\}}) \|h_{\max\{j_1, j_2\}}\|_Z \\ &\quad + (t+1)^{-7/4+(r+5)p_1} \left[ (\|\partial_x|^{3/4} P_{\max\{j_1, j_2\}}(x \partial_x h)\|_{L^2} + \|\partial_x|^{3/4} h_{\max\{j_1, j_2\}}\|_{L^2}) \|\varphi_{j_3}\|_{L^2} \|\varphi_{\min\{j_1, j_2\}}\|_{L^2} \right. \\ &\quad + (\|\partial_x|^{3/4} P_{\max\{j_2, j_3\}}(x \partial_x h)\|_{L^2} + \|\partial_x|^{3/4} h_{\max\{j_2, j_3\}}\|_{L^2}) \|\varphi_{j_1}\|_{L^2} \|\varphi_{\min\{j_2, j_3\}}\|_{L^2} \\ &\quad + (\|\partial_x|^{3/4} P_{\max\{j_1, j_2\}}(x \partial_x h)\|_{L^2} + \|\partial_x|^{3/4} h_{\max\{j_1, j_2\}}\|_{L^2}) \|\xi \partial_\xi \hat{h}_{j_3}\|_{L_\xi^2} \|\varphi_{\min\{j_1, j_2\}}\|_{L^2} \\ &\quad \left. + (\|\partial_x|^{3/4} P_{\max\{j_2, j_3\}}(x \partial_x h)\|_{L^2} + \|\partial_x|^{3/4} h_{\max\{j_2, j_3\}}\|_{L^2}) \|\xi \partial_\xi \hat{h}_{j_1}\|_{L_\xi^2} \|\varphi_{\min\{j_2, j_3\}}\|_{L^2} \right]. \end{aligned}$$

By the bootstrap assumptions and Lemma 5.5, the right-hand side is summable for  $j_1, j_2, j_3$  and the sum is integrable for  $t \in (0, \infty)$ .

**8E. Close to resonance.** When

$$\max\{j_1, j_2, j_3\} < 10^{-3} \log_2(t+1), \quad |j_3 - j_2| \leq 1, \quad |j_3 - j_1| \leq 1, \quad (8-21)$$

in (8-12), we need to consider the following two cases:

(i) The frequencies  $\eta_1, \eta_2$  and  $\xi - \eta_1 - \eta_2$  have the same sign. By the definition of the multiplier  $P_j$  and the cutoff function  $\psi$ , we can assume that

$$\frac{5}{8}2^{j_1} \leq |\eta_1| \leq \frac{8}{5}2^{j_1}, \quad \frac{5}{8}2^{j_2} \leq |\eta_2| \leq \frac{8}{5}2^{j_2}, \quad \frac{5}{8}2^{j_3} \leq |\xi - \eta_1 - \eta_2| \leq \frac{8}{5}2^{j_3},$$

and therefore

$$\frac{5}{8}(2^{j_1} + 2^{j_2} + 2^{j_3}) \leq |\xi| \leq \frac{8}{5}(2^{j_1} + 2^{j_2} + 2^{j_3}).$$

This corresponds to the region near the space resonance  $\eta_1 = \eta_2 = \xi - \eta_1 - \eta_2 = \frac{1}{3}\xi$  in (8-4).

(ii) The frequencies  $\eta_1, \eta_2$  and  $\xi - \eta_1 - \eta_2$  do not have the same sign. This corresponds to the region near the space-time resonances  $(\eta_1, \eta_2) = (\xi, \xi), (\xi, -\xi)$ , or  $(-\xi, \xi)$  in (8-3). Since the symbol  $\mathbf{T}'_1(\eta_1, \eta_2, \eta_3)$  is symmetric in  $\eta_1, \eta_2$ , and  $\eta_3$ , it suffices to consider (8-12) in the region near  $(\xi, \xi)$ .

To estimate (8-12) in the region (8-21), we decompose the region further. Writing  $(\xi_1, \xi_2, \xi_3) = (\xi, \xi, -\xi)$  or  $(\frac{1}{3}\xi, \frac{1}{3}\xi, \frac{1}{3}\xi)$ , we decompose (8-21) using the additional cutoff functions  $\psi_{k_1}(\eta_1 - \xi_1)$  and

$\psi_{k_2}(\eta_2 - \xi_2)$ . Since

$$\sum_{(k_1, k_2) \in \mathbb{Z}^2} \psi_{k_1}(\eta_1 - \xi_1) \psi_{k_2}(\eta_2 - \xi_2) = 1,$$

we can write the integral (8-12) as

$$\iint_{\mathbb{R}^2} \mathbf{T}_1(\eta_1, \eta_2, \xi - \eta_1 - \eta_2) e^{it\Phi(\xi, \eta_1, \eta_2)} \hat{h}_{j_1}(\eta_1) \hat{h}_{j_2}(\eta_2) \hat{h}_{j_3}(\xi - \eta_1 - \eta_2) \cdot \left[ \sum_{k_1=-\infty}^{\max\{j_1, j_3\}+1} \psi_{k_1}(\eta_1 - \xi_1) \right] \cdot \left[ \sum_{k_2=-\infty}^{\max\{j_2, j_3\}+1} \psi_{k_2}(\eta_2 - \xi_2) \right] d\eta_1 d\eta_2,$$

where

$$\left[ \sum_{k_1=-\infty}^{\max\{j_1, j_3\}+1} \psi_{k_1}(\eta_1 - \xi_1) \right] \cdot \left[ \sum_{k_2=-\infty}^{\max\{j_2, j_3\}+1} \psi_{k_2}(\eta_2 - \xi_2) \right] = 1$$

on the support of  $\hat{h}_{j_1}(\eta_1) \hat{h}_{j_2}(\eta_2) \hat{h}_{j_3}(\xi - \eta_1 - \eta_2)$ . Thus, we need to consider

$$\iint_{\mathbb{R}^2} \mathbf{T}_1(\eta_1, \eta_2, \xi - \eta_1 - \eta_2) e^{it\Phi(\xi, \eta_1, \eta_2)} \hat{h}_{j_1}(\eta_1) \hat{h}_{j_2}(\eta_2) \hat{h}_{j_3}(\xi - \eta_1 - \eta_2) \cdot \psi_{k_1}(\eta_1 - \xi_1) \psi_{k_2}(\eta_2 - \xi_2) d\eta_1 d\eta_2. \quad (8-22)$$

In this subsection, we restrict our attention to

$$k_1 \geq \log_2[\varrho_1(t)] \quad \text{or} \quad k_2 \geq \log_2[\varrho_1(t)],$$

where

$$\varrho_1(t) = (t + 1)^{-0.49}. \quad (8-23)$$

The case of  $k_1 < \log_2[\varrho_1(t)]$  and  $k_2 < \log_2[\varrho_1(t)]$ , related to the resonant frequencies, will be discussed in Section 8F.

Since these expressions are symmetric in  $\eta_1$  and  $\eta_2$ , we assume without loss of generality that  $j_1 \geq k_1 \geq \log_2[\varrho_1(t)]$ . The other case can be discussed in a similar way.

Integrating by parts, we can write (8-22) as

$$\begin{aligned} & \iint_{\mathbb{R}^2} \frac{\mathbf{T}_1(\eta_1, \eta_2, \xi - \eta_1 - \eta_2)}{2it(\log|\eta_1| - \log|\xi - \eta_1 - \eta_2|)} \partial_{\eta_1} e^{it\Phi(\xi, \eta_1, \eta_2)} \hat{h}_{j_1}(\eta_1) \hat{h}_{j_2}(\eta_2) \hat{h}_{j_3}(\xi - \eta_1 - \eta_2) \\ & \quad \cdot \psi_{k_1}(\eta_1 - \xi_1) \psi_{k_2}(\eta_2 - \xi_2) d\eta_1 d\eta_2 \\ &= \frac{i}{2t} (V_1 + V_2 + V_3 + V_4), \end{aligned}$$

where

$$V_1(\xi, t) = \iint_{\mathbb{R}^2} \partial_{\eta_1} \left[ \frac{\mathbf{T}_1(\eta_1, \eta_2, \xi - \eta_1 - \eta_2)}{\log|\eta_1| - \log|\xi - \eta_1 - \eta_2|} \right] e^{it\Phi(\xi, \eta_1, \eta_2)} \hat{h}_{j_1}(\eta_1) \hat{h}_{j_2}(\eta_2) \hat{h}_{j_3}(\xi - \eta_1 - \eta_2) \cdot \psi_{k_1}(\eta_1 - \xi_1) \psi_{k_2}(\eta_2 - \xi_2) d\eta_1 d\eta_2,$$

$$V_2(\xi, t) = \iint_{\mathbb{R}^2} \left[ \frac{\mathbf{T}_1(\eta_1, \eta_2, \xi - \eta_1 - \eta_2)}{\log|\eta_1| - \log|\xi - \eta_1 - \eta_2|} \right] e^{it\Phi(\xi, \eta_1, \eta_2)} \partial_{\eta_1} \hat{h}_{j_1}(\eta_1) \hat{h}_{j_2}(\eta_2) \hat{h}_{j_3}(\xi - \eta_1 - \eta_2) \cdot \psi_{k_1}(\eta_1 - \xi_1) \psi_{k_2}(\eta_2 - \xi_2) d\eta_1 d\eta_2,$$

$$\begin{aligned}
V_3(\xi, t) &= \iint_{\mathbb{R}^2} \left[ \frac{\mathbf{T}_1(\eta_1, \eta_2, \xi - \eta_1 - \eta_2)}{\log |\eta_1| - \log |\xi - \eta_1 - \eta_2|} \right] e^{it\Phi(\xi, \eta_1, \eta_2)} \hat{h}_{j_1}(\eta_1) \hat{h}_{j_2}(\eta_2) \partial_{\eta_1} \hat{h}_{j_3}(\xi - \eta_1 - \eta_2) \\
&\quad \cdot \psi_{k_1}(\eta_1 - \xi_1) \psi_{k_2}(\eta_2 - \xi_2) d\eta_1 d\eta_2, \\
V_4(\xi, t) &= \iint_{\mathbb{R}^2} \left[ \frac{\mathbf{T}_1(\eta_1, \eta_2, \xi - \eta_1 - \eta_2)}{\log |\eta_1| - \log |\xi - \eta_1 - \eta_2|} \right] e^{it\Phi(\xi, \eta_1, \eta_2)} \hat{h}_{j_1}(\eta_1) \hat{h}_{j_2}(\eta_2) \hat{h}_{j_3}(\xi - \eta_1 - \eta_2) \\
&\quad \cdot \partial_{\eta_1} \psi_{k_1}(\eta_1 - \xi_1) \psi_{k_2}(\eta_2 - \xi_2) d\eta_1 d\eta_2.
\end{aligned}$$

**Estimate of  $V_1$ :** We first denote the symbol for  $V_1$  as

$$\begin{aligned}
&m(\eta_1, \eta_2, \xi) \\
&= \frac{-2}{\log |\eta_1| - \log |\xi - \eta_1 - \eta_2|} \cdot [\eta_1 \log |\eta_1| - (\eta_1 + \eta_2) \log |\eta_1 + \eta_2| \\
&\quad + (\xi - \eta_1) \log |\xi - \eta_1| - (\xi - \eta_1 - \eta_2) \log |\xi - \eta_1 - \eta_2|] \\
&- \frac{\eta_1^{-1} + (\xi - \eta_1 - \eta_2)^{-1}}{(\log |\eta_1| - \log |\xi - \eta_1 - \eta_2|)^2} \cdot [-\eta_1^2 \log |\eta_1| - \eta_2^2 \log |\eta_2| - \eta_3^2 \log |\eta_3| \\
&\quad - (\eta_1 + \eta_2 + \eta_3)^2 \log |\eta_1 + \eta_2 + \eta_3| + (\eta_1 + \eta_2)^2 \log |\eta_1 + \eta_2| \\
&\quad + (\eta_1 + \eta_3)^2 \log |\eta_1 + \eta_3| + (\eta_2 + \eta_3)^2 \log |\eta_2 + \eta_3|].
\end{aligned}$$

After the change of variables  $v_i = \eta_i - \xi_i$ ,  $i = 1, 2$ , it suffices to estimate

$$\left\| \iint_{\mathbb{R}^2} m(v_1 + \xi_1, v_2 + \xi_2, \xi) e^{it\Phi(\xi, v_1 + \xi_1, v_2 + \xi_2)} \hat{h}_{j_1}(v_1 + \xi_1) \hat{h}_{j_2}(v_2 + \xi_2) \hat{h}_{j_3}(\xi_3 - v_1 - v_2) \right. \\
\left. \cdot \psi_{k_1}(v_1) \psi_{k_2}(v_2) dv_1 dv_2 \right\|_{L_\xi^\infty}.$$

Using Lemma 2.5, we have

$$\begin{aligned}
\|V_1\|_{L_\xi^\infty} &\lesssim \|\chi_{j_1, j_3}^{k_1, k_2}(v_1, v_2, \xi) m(v_1 + \xi_1, v_2 + \xi_2, \xi)\|_{S_{v_1, v_2}^\infty L_\xi^\infty} \\
&\quad \cdot \|\hat{\varphi}_{j_1}(v_1 + \xi_1) \psi_{k_1}(v_1)\|_{L_{v_1}^2 L_\xi^\infty} \|\hat{\varphi}_{j_2}(v_2 + \xi_2) \psi_{k_2}(v_2)\|_{L_{v_2}^2 L_\xi^\infty} \|\varphi_{j_3}\|_{L^\infty},
\end{aligned}$$

where

$$\chi_{j_1, j_3}^{k_1, k_2}(v_1, v_2, \xi) = \tilde{\psi}_{k_1}(v_1) \tilde{\psi}_{k_2}(v_2) \tilde{\psi}_{j_1}(v_1 + \xi_1) \tilde{\psi}_{j_2}(v_2 + \xi_2) \tilde{\psi}_{j_3}(\xi_3 - v_1 - v_2) \chi(\xi).$$

(i) If  $(\xi_1, \xi_2, \xi_3) = (\frac{1}{3}\xi, \frac{1}{3}\xi, \frac{1}{3}\xi)$ , since  $S^\infty$ -norm is rotational and scaling invariant, setting  $w_1 = v_1$ ,  $w_2 = -2v_1 - v_2$ , and using (2-16), we have

$$\begin{aligned}
&\|\chi_{j_1, j_3}^{k_1, k_2}(v_1, v_2, \xi) m(v_1 + \xi_1, v_2 + \xi_2, \xi)\|_{S_{v_1, v_2}^\infty L_\xi^\infty} \\
&= \|\chi_{j_1, j_3}^{k_1, k_2}(w_1, -2w_1 - w_2, \xi) m(w_1 + \xi_1, -2w_1 - w_2 + \xi_2, \xi)\|_{S_{w_1, w_2}^\infty L_\xi^\infty} \\
&\lesssim \|\chi_{j_1, j_3}^{k_1, k_2}(w_1, -2w_1 - w_2, \xi) m(w_1 + \xi_1, -2w_1 - w_2 + \xi_2, \xi)\|_{L_{w_1 w_2}^1 L_\xi^\infty}^{1/4} \\
&\quad \cdot \|\partial_{w_1}^2 [\chi_{j_1, j_3}^{k_1, k_2}(w_1, -2w_1 - w_2, \xi) m(w_1 + \xi_1, -2w_1 - w_2 + \xi_2, \xi)]\|_{L_{w_1 w_2}^1 L_\xi^\infty}^{1/2} \\
&\quad \cdot \|\partial_{w_1}^2 \partial_{w_2}^2 [\chi_{j_1, j_3}^{k_1, k_2}(w_1, -2w_1 - w_2, \xi) m(w_1 + \xi_1, -2w_1 - w_2 + \xi_2, \xi)]\|_{L_{w_1 w_2}^1 L_\xi^\infty}^{1/4} \\
&\lesssim (1 + |j_1|) (2^{j_1} 2^{j_1})^{1/4} (2^{-j_1 - 2k_1} 2^{j_1})^{1/2} (2^{-j_1 - 3k_1 - k_2} 2^{j_1})^{1/4} \\
&= (1 + |j_1|) \cdot 2^{j_1/2 - (7k_1 + k_2)/4} \leq (1 + |j_1|) \cdot 2^{j_1/2 - k_1 - k_2},
\end{aligned}$$

where we have used the estimate

$$\begin{aligned} \left| \frac{\chi_{j_1, j_3}^{k_1, k_2}}{\log|w_1 + \frac{1}{3}\xi| - \log|\frac{1}{3}\xi + w_1 + w_2|} \right| &\lesssim 2^{j_1 - k_1}, \\ \left| \chi_{j_1, j_3}^{k_1, k_2} \partial_{w_1}^2 \frac{1}{\log|w_1 + \frac{1}{3}\xi| - \log|\frac{1}{3}\xi + w_1 + w_2|} \right| &\lesssim 2^{3(j_1 - k_1)} 2^{2(-2j_1 + k_1)} = 2^{-j_1 - k_1}, \\ \left| \chi_{j_1, j_3}^{k_1, k_2} \partial_{w_1}^2 \partial_{w_2}^2 \frac{1}{\log|w_1 + \frac{1}{3}\xi| - \log|\frac{1}{3}\xi + w_1 + w_2|} \right| &\lesssim 2^{5(j_1 - k_1)} 2^{-2j_1} 2^{2(-2j_1 + k_1)} = 2^{-j_1 - 3k_1}. \end{aligned}$$

Therefore, using (2-14), (6-1), and (8-21), we obtain

$$\begin{aligned} \|V_1\|_{L_\xi^\infty} &\lesssim (1 + |j_1|) 2^{j_1/2 - k_1 - k_2 - j_3} (t+1)^{-1} \|\hat{\varphi}_{j_1}(v_1 + \xi_1) \psi_{k_1}(v_1)\|_{L_{v_1}^2 L_\xi^\infty} \|\hat{\varphi}_{j_2}(v_2 + \xi_2) \psi_{k_2}(v_2)\|_{L_{v_2}^2 L_\xi^\infty} \|\partial_x \varphi_{j_3}\|_{L^\infty} \\ &\lesssim (1 + |j_1|) 2^{-j_1/2 - k_1/2 - k_2/2} \|\psi_{k_1} \hat{\varphi}_{j_1}\|_{L_\xi^\infty} \|\psi_{k_2} \hat{\varphi}_{j_2}\|_{L_\xi^\infty} \\ &\quad \cdot \{(t+1)^{-3/2} \||\xi|^{3/2} \hat{h}_{j_3}^{k_1, k_2}\|_{L_\xi^\infty} + (t+1)^{-7/4} [\||\partial_x|^{3/4} P_{j_3}^{k_1, k_2}(x \partial_x h)\|_{L^2} + \||\partial_x|^{3/4} h_{j_3}^{k_1, k_2}\|_{L^2}]\}. \quad (8-24) \end{aligned}$$

(ii) If  $(\xi_1, \xi_2, \xi_3) = (\xi, \xi, -\xi)$ , we use (2-16) to obtain

$$\begin{aligned} &\|\chi_{j_1, j_3}^{k_1, k_2}(v_1, v_2, \xi) m(v_1 + \xi_1, v_2 + \xi_2, \xi)\|_{S_{v_1, v_2}^\infty L_\xi^\infty} \\ &\lesssim \|\chi_{j_1, j_3}^{k_1, k_2}(v_1, v_2, \xi) m(v_1 + \xi_1, v_2 + \xi_2, \xi)\|_{L_{v_1 v_2}^1}^{1/4} \|\partial_{v_1}^2 [\chi_{j_1, j_3}^{k_1, k_2}(v_1, v_2, \xi) m(v_1 + \xi_1, v_2 + \xi_2, \xi)]\|_{L_{v_1 v_2}^1}^{1/2} \\ &\quad \cdot \|\partial_{v_1}^2 \partial_{v_2}^2 [\chi_{j_1, j_3}^{k_1, k_2}(v_1, v_2, \xi) m(v_1 + \xi_1, v_2 + \xi_2, \xi)]\|_{L_{v_1 v_2}^1}^{1/4} \\ &\lesssim (1 + |j_1|) (2^{j_1 + k_1} 2^{j_1})^{1/4} (2^{-j_1 - k_1} 2^{j_1})^{1/2} (2^{-j_1 - 2k_1 - k_2} 2^{j_1})^{1/4} \\ &= (1 + |j_1|) \cdot 2^{j_1/2 - k_2}, \end{aligned}$$

where we have used the estimates

$$\begin{aligned} \left| \frac{\chi_{j_1, j_3}^{k_1, k_2}}{\log|v_1 + \xi| - \log|-\xi - v_1 - v_2|} \right| &\lesssim 2^{j_1 - k_2}, \\ \left| \chi_{j_1, j_3}^{k_1, k_2} \partial_{v_1}^2 \frac{1}{\log|v_1 + \xi| - \log|-\xi - v_1 - v_2|} \right| &\lesssim 2^{3(j_1 - k_2)} 2^{2(-2j_1 + k_2)} = 2^{-j_1 - k_2}, \\ \left| \chi_{j_1, j_3}^{k_1, k_2} \partial_{v_1}^2 \partial_{v_2}^2 \frac{1}{\log|v_1 + \xi| - \log|-\xi - v_1 - v_2|} \right| &\lesssim 2^{5(j_1 - k_2)} 2^{-2j_1} 2^{2(-2j_1 + k_2)} = 2^{-j_1 - 3k_2}. \end{aligned}$$

Therefore, using (2-14), (6-1), and (8-21)

$$\begin{aligned} \|V_1\|_{L_\xi^\infty} &\lesssim (1 + |j_1|) 2^{j_1/2 - j_3 - k_2} (t+1)^{-1} \|\hat{\varphi}_{j_1}(v_1 + \xi_1) \psi_{k_1}(v_1)\|_{L_{v_1}^2 L_\xi^\infty} \|\hat{\varphi}_{j_2}(v_2 + \xi_2) \psi_{k_2}(v_2)\|_{L_{v_2}^2 L_\xi^\infty} \|\partial_x \varphi_{j_3}\|_{L^\infty} \\ &\lesssim (1 + |j_1|) 2^{-j_1/2 + k_1/2 - k_2/2} \|\psi_{k_1} \hat{\varphi}_{j_1}\|_{L_\xi^\infty} \|\psi_{k_2} \hat{\varphi}_{j_2}\|_{L_\xi^\infty} \\ &\quad \cdot \{(t+1)^{-3/2} \||\xi|^{3/2} \hat{h}_{j_3}\|_{L_\xi^\infty} + (t+1)^{-7/4} [\||\partial_x|^{3/4} P_{j_3}(x \partial_x h)\|_{L^2} + \||\partial_x|^{3/4} h_{j_3}\|_{L^2}]\}. \quad (8-25) \end{aligned}$$

**Estimates of  $V_2 - V_4$ :** The estimates for  $V_2 - V_4$  are similar to  $V_1$ . We omit the details here. The resulting estimates are as follows.

The symbol for  $V_2$ – $V_4$  can be estimated as

$$\begin{aligned} \left\| \chi_{j_1, j_3}^{k_1, k_2}(\nu_1, \nu_2, \xi) \frac{T'_1(\xi_1 + \nu_1, \xi_2 + \nu_2, \xi_3 - \nu_1 - \nu_2)}{\log |\xi_1 + \nu_1| - \log |\xi_3 - \nu_1 - \nu_2|} \right\|_{S_{\nu_1 \nu_2}^\infty L_\xi^\infty} \\ \lesssim (2^{j_1+k_1} 2^{2j_1})^{1/4} (2^{-j_1-k_1} 2^{2j_1})^{1/2} (2^{-j_1-2k_2-k_1} 2^{2j_1})^{1/4} \\ = (1 + |j_1|) \cdot 2^{3j_1/2 - k_2}. \end{aligned}$$

We then have the following estimates:

$$\begin{aligned} \|V_2\|_{L_\xi^\infty} &\lesssim (1 + |j_1|) 2^{-j_1/2 + k_1/2 - k_2} \|\eta_1 \partial_{\eta_1} \hat{\phi}_{j_1}(\eta_1)\|_{L_{\eta_1}^2} \|\psi_{k_2} \hat{\phi}_{j_2}\|_{L_\xi^\infty} \\ &\quad \cdot \{(t+1)^{-3/2} \||\xi|^{3/2} \hat{h}_{j_3}\|_{L_\xi^\infty} + (t+1)^{-7/4} [\||\partial_x|^{3/4} P_{j_3}(x \partial_x h)\|_{L^2} + \||\partial_x|^{3/4} h_{j_3}\|_{L^2}]\}, \end{aligned} \quad (8-26)$$

$$\begin{aligned} \|V_3\|_{L_\xi^\infty} &\lesssim (1 + |j_1|) 2^{-j_1/2 + k_1/2 - k_2} \|\eta_3 \partial_{\eta_3} \hat{\phi}_{j_3}(\eta_3)\|_{L_{\eta_3}^2} \|\psi_{k_2} \hat{\phi}_{j_2}\|_{L_\xi^\infty} \\ &\quad \cdot \{(t+1)^{-3/2} \||\xi|^{3/2} \hat{h}_{j_1}\|_{L_\xi^\infty} + (t+1)^{-7/4} [\||\partial_x|^{3/4} P_{j_1}(x \partial_x h)\|_{L^2} + \||\partial_x|^{3/4} h_{j_1}\|_{L^2}]\}, \end{aligned} \quad (8-27)$$

$$\begin{aligned} \|V_4\|_{L_\xi^\infty} &\lesssim (1 + |j_1|) 2^{j_1/2 - k_2} \|\psi_{k_1} \hat{\phi}_{j_1}\|_{L_\xi^\infty} \|\psi_{k_2} \hat{\phi}_{j_2}\|_{L_\xi^\infty} \\ &\quad \cdot \{(t+1)^{-3/2} \||\xi|^{3/2} \hat{h}_{j_3}\|_{L_\xi^\infty} + (t+1)^{-7/4} [\||\partial_x|^{3/4} P_{j_3}(x \partial_x h)\|_{L^2} + \||\partial_x|^{3/4} h_{j_3}\|_{L^2}]\}. \end{aligned} \quad (8-28)$$

Finally, we sum over  $\log_2[\varrho_1(t)] \leq k_1, k_2 \leq \max\{j_1, j_3\} + 1$ , and combine the estimates (8-24)–(8-28) to get

$$\begin{aligned} &\left\| \xi (|\xi| + |\xi|^{r+4}) \mathfrak{d}(\xi, t) \iint_{\mathbb{R}^2} T'_1(\eta_1, \eta_2, \xi - \eta_1 - \eta_2) e^{i A t \Phi(\xi, \eta_1, \eta_2)} \hat{h}_{j_1}(\eta_1) \hat{h}_{j_2}(\eta_2) \hat{h}_{j_3}(\xi - \eta_1 - \eta_2) \right. \\ &\quad \left. \cdot \left[ \sum_{k_1=\log_2[\varrho_1(t)]}^{\max\{j_1, j_3\}+1} \psi_{k_1}(\eta_1 - \xi_1) \right] \cdot \left[ \sum_{k_2=\log_2[\varrho_1(t)]}^{\max\{j_2, j_3\}+1} \psi_{k_2}(\eta_2 - \xi_2) \right] d\eta_1 d\eta_2 \right\|_{L_\xi^\infty} \\ &\lesssim (1 + |j_1|) [\max\{j_1, j_3\} - \log_2[\varrho_1(t)]]^2 (t+1)^{(r+4)p_1[\varrho_1(t)]-1} \\ &\quad \cdot [\||\xi| \psi_{k_1} \hat{\phi}_{j_1}\|_{L_\xi^\infty} \||\xi| \psi_{k_2} \hat{\phi}_{j_2}\|_{L_\xi^\infty} + \|\eta_1 \partial_{\eta_1} \hat{\phi}_{j_1}(\eta_1)\|_{L_{\eta_1}^2} \||\xi| \psi_{k_2} \hat{\phi}_{j_2}\|_{L_\xi^\infty} + \||\xi| \psi_{k_1} \hat{\phi}_{j_1}\|_{L_\xi^\infty} \|\eta_2 \partial_{\eta_2} \hat{\phi}_{j_2}(\eta_2)\|_{L_{\eta_2}^2}] \\ &\quad \cdot \{(t+1)^{-3/2} \||\xi|^{3/2} \hat{h}_{j_3}\|_{L_\xi^\infty} + (t+1)^{-7/4} [\||\partial_x|^{3/4} P_{j_3}(x \partial_x h)\|_{L^2} + \||\partial_x|^{3/4} h_{j_3}\|_{L^2}]\}. \end{aligned}$$

The right-hand side is summable with respect to  $j_1, j_2, j_3$  under  $|j_3 - j_2| \leq 1$  and  $|j_3 - j_1| \leq 1$ , since we can write

$$\||\xi|^{3/2} \hat{h}_j\|_{L_\xi^\infty} \lesssim (\mathbf{1}_{j \leq 0} 2^{j/2} + \mathbf{1}_{j > 0} 2^{(-r-3/2)j}) \|h_j\|_Z,$$

and the resulting sum is integrable for  $t \in (0, \infty)$  due to (8-23).

**8F. Resonant frequencies.** In this section, we estimate (8-22) in the region

$$|j_1 - j_3| \leq 1, \quad |j_2 - j_3| \leq 1, \quad k_1 < \log_2[\varrho_1(t)], \quad k_2 < \log_2[\varrho_1(t)],$$

after summing over  $(k_1, k_2)$ .

If  $m < \log_2 \varrho_1(t) \leq m + 1$  for  $m \in \mathbb{Z}$ , then  $-\infty < k_i \leq m$  for  $i = 1, 2$ , and

$$\sum_{k_i=-\infty}^m \psi_{k_i}(\xi) \quad \text{is supported in } \{\xi \in \mathbb{R} \mid |\xi| < \frac{8}{5} \cdot 2^m\}.$$

Thus, after summing over  $(k_1, k_2)$ , we only need to consider (8-22) with a cutoff function in the integrand of the form

$$\mathfrak{b}(\xi, \eta_1, \eta_2, t) = \psi\left(\frac{\eta_1 - \xi_1}{\varrho(t)}\right) \cdot \psi\left(\frac{\eta_2 - \xi_2}{\varrho(t)}\right), \quad (8-29)$$

where the function  $\varrho(t)$  is defined by

$$\varrho(t) = 2^m \quad \text{if } 2^m < \varrho_1(t) \leq 2^{m+1}.$$

In particular, from (8-23), we have

$$\frac{1}{2}(t+1)^{-0.49} \leq \varrho(t) < (t+1)^{-0.49}. \quad (8-30)$$

The point

$$(\xi_1, \xi_2) \in \left\{ \left( \frac{1}{3}\xi, \frac{1}{3}\xi \right), (\xi, \xi), (\xi, -\xi), (-\xi, \xi) \right\}$$

is one of the resonance points in (8-3)–(8-4). We therefore need to estimate (8-22) with the cutoff function (8-29) replacing  $\psi_{k_1}(\eta_1 - \xi_1)\psi_{k_2}(\eta_2 - \xi_2)$ , in which case the integral is taken over one of the following four disjoint sets:

$$\begin{aligned} A_1 &= \left\{ (\eta_1, \eta_2) \mid \left| \eta_1 - \frac{1}{3}\xi \right| < \frac{8}{5}\varrho(t), \left| \eta_2 - \frac{1}{3}\xi \right| < \frac{8}{5}\varrho(t) \right\}, \\ A_2 &= \left\{ (\eta_1, \eta_2) \mid \left| \eta_1 - \xi \right| < \frac{8}{5}\varrho(t), \left| \eta_2 - \xi \right| < \frac{8}{5}\varrho(t) \right\}, \\ A_3 &= \left\{ (\eta_1, \eta_2) \mid \left| (\eta_1 - \xi) \right| < \frac{8}{5}\varrho(t), \left| \eta_2 - (-\xi) \right| < \frac{8}{5}\varrho(t) \right\}, \\ A_4 &= \left\{ (\eta_1, \eta_2) \mid \left| \eta_1 - (-\xi) \right| < \frac{8}{5}\varrho(t), \left| \eta_2 - \xi \right| < \frac{8}{5}\varrho(t) \right\}. \end{aligned}$$

The regions  $A_1, A_2, A_3, A_4$  are discs centered at  $(\frac{1}{3}\xi, \frac{1}{3}\xi)$ ,  $(\xi, \xi)$ ,  $(\xi, -\xi)$ , and  $(-\xi, \xi)$ , respectively. The region  $A_1$  corresponds to space resonances  $\xi = \frac{1}{3}\xi + \frac{1}{3}\xi + \frac{1}{3}\xi$ , while  $A_2, A_3, A_4$  correspond to space-time resonances  $\xi = \xi + \xi - \xi$ .

**8F1. Space resonances.** When  $(\eta_1, \eta_2) \in A_1$ , we can expand  $\mathbf{T}_1/\Phi$  around  $(\xi, \frac{1}{3}\xi, \frac{1}{3}\xi)$  as

$$\frac{\mathbf{T}_1(\eta_1, \eta_2, \xi - \eta_1 - \eta_2)}{\Phi(\xi, \eta_1, \eta_2)} = \left( \frac{1}{2} - \frac{2 \log 2}{3 \log 3} \right) \xi + O\left( \left| \eta_1 - \frac{\xi}{3} \right|^2 + \left| \eta_2 - \frac{\xi}{3} \right|^2 \right). \quad (8-31)$$

For  $m \in \mathbb{Z}$ , let  $t_m = 2^{-m/0.49} - 1$  denote the time such that  $\log_2 \varrho_1(t_m) = m$ , and for  $t \in [0, \infty)$ , let  $M(t) \in \mathbb{Z}$  be the negative integer such that  $M(t) < \log_2 \varrho_1(t) \leq M(t) + 1$ . Then  $\varrho(t)$  and the cut-off function  $\mathfrak{b}(\xi, \eta_1, \eta_2, t)$  in (8-29) are discontinuous at  $t = t_m$ . After writing

$$e^{i\tau\Phi(\xi, \eta_1, \eta_2)} = \frac{1}{i\Phi(\xi, \eta_1, \eta_2)} [\partial_\tau e^{i\tau\Phi(\xi, \eta_1, \eta_2)}],$$

and integrating by parts with respect to  $\tau$  in each time interval between the time discontinuities, we get

$$\begin{aligned} \int_0^t i\xi \iint_{\mathbb{R}^2} \mathbf{T}_1(\eta_1, \eta_2, \xi - \eta_1 - \eta_2) e^{i\tau\Phi(\xi, \eta_1, \eta_2)} \hat{h}_{j_1}(\eta_1, \tau) \hat{h}_{j_2}(\eta_2, \tau) \hat{h}_{j_3}(\xi - \eta_1 - \eta_2, \tau) \mathfrak{b}(\xi, \eta_1, \eta_2, \tau) d\eta_1 d\eta_2 d\tau \\ = J_1 - \int_0^t J_2(\tau) d\tau, \end{aligned}$$

where

$$\begin{aligned}
J_1 &= \iint_{\mathbb{R}^2} \xi \frac{\mathbf{T}_1(\eta_1, \eta_2, \xi - \eta_1 - \eta_2)}{\Phi(\xi, \eta_1, \eta_2)} \hat{h}_{j_1}(\eta_1, \tau) \hat{h}_{j_2}(\eta_2, \tau) \hat{h}_{j_3}(\xi - \eta_1 - \eta_2, \tau) \\
&\quad \cdot e^{i\tau\Phi(\xi, \eta_1, \eta_2)} \mathbf{b}(\xi, \eta_1, \eta_2, \tau) d\eta_1 d\eta_2 \Big|_{\tau=t}^{t=t_{M(t)}} \\
&+ \sum_{m=M(t)+1}^0 \iint_{\mathbb{R}^2} \xi \frac{\mathbf{T}_1(\eta_1, \eta_2, \xi - \eta_1 - \eta_2)}{\Phi(\xi, \eta_1, \eta_2)} \hat{h}_{j_1}(\eta_1, \tau) \hat{h}_{j_2}(\eta_2, \tau) \hat{h}_{j_3}(\xi - \eta_1 - \eta_2, \tau) \\
&\quad \cdot e^{i\tau\Phi(\xi, \eta_1, \eta_2)} \mathbf{b}(\xi, \eta_1, \eta_2, \tau) d\eta_1 d\eta_2 \Big|_{\tau=t_m}^{t=t_{m-1}}, \\
J_2(\tau) &= \xi \iint_{\mathbb{R}^2} \frac{\mathbf{T}_1(\eta_1, \eta_2, \xi - \eta_1 - \eta_2)}{\Phi(\xi, \eta_1, \eta_2)} e^{i\tau\Phi(\xi, \eta_1, \eta_2)} \\
&\quad \cdot \partial_\tau [\hat{h}_{j_1}(\eta_1, \tau) \hat{h}_{j_2}(\eta_2, \tau) \hat{h}_{j_3}(\xi - \eta_1 - \eta_2, \tau)] \mathbf{b}(\xi, \eta_1, \eta_2, \tau) d\eta_1 d\eta_2.
\end{aligned}$$

For  $J_1$ , we have from (8-31) that

$$\begin{aligned}
&\left| (|\xi| + |\xi|^{r+4}) \iint_{\mathbb{R}^2} \mathbf{b}(\xi, \eta_1, \eta_2, t) \xi \frac{\mathbf{T}_1(\eta_1, \eta_2, \xi - \eta_1 - \eta_2)}{\Phi(\xi, \eta_1, \eta_2)} \hat{h}_{j_1}(\eta_1, \tau) \hat{h}_{j_2}(\eta_2, \tau) \hat{h}_{j_3}(\xi - \eta_1 - \eta_2, \tau) \right. \\
&\quad \left. \cdot e^{i\tau\Phi(\xi, \eta_1, \eta_2)} d\eta_1 d\eta_2 \right| \\
&\lesssim \left| (|\xi| + |\xi|^{r+4}) \iint_{\mathbb{R}^2} \mathbf{b}(\xi, \eta_1, \eta_2, t) \xi^2 \hat{h}_{j_1}(\eta_1, \tau) \hat{h}_{j_2}(\eta_2, \tau) \hat{h}_{j_3}(\xi - \eta_1 - \eta_2, \tau) e^{i\tau\Phi(\xi, \eta_1, \eta_2)} d\eta_1 d\eta_2 \right| \\
&\quad + (|\xi| + |\xi|^{r+4}) \iint_{\mathbb{R}^2} \mathbf{b}(\xi, \eta_1, \eta_2, t) [\varrho(\tau)]^2 |\hat{h}_{j_1}(\eta_1, \tau) \hat{h}_{j_2}(\eta_2, \tau) \hat{h}_{j_3}(\xi - \eta_1 - \eta_2, \tau)| d\eta_1 d\eta_2 \\
&\lesssim (\tau + 1)^{2p_0 + (r+3)p_1} \|\xi \hat{h}_{j_1}\|_{L_\xi^\infty} \|\xi \hat{h}_{j_2}\|_{L_\xi^\infty} \|\xi \hat{h}_{j_3}\|_{L_\xi^\infty} ([\varrho(\tau)]^2 + [\varrho(\tau)]^4).
\end{aligned}$$

Notice that for  $A_1$ , the number of summations over  $j_1, j_2, j_3$  in  $J_1$  and over  $m$  are of the order  $\log(t+1)$ . Therefore, the right-hand side of this inequality is uniformly bounded for  $\tau \geq 0$  after summing over  $j_1, j_2, j_3$ .

After taking the time derivative, the term  $J_2$  can be written as a sum of three terms:

$$\begin{aligned}
&\xi \iint_{\mathbb{R}^2} \frac{\mathbf{T}_1(\eta_1, \eta_2, \xi - \eta_1 - \eta_2)}{\Phi(\xi, \eta_1, \eta_2)} e^{i\tau\Phi(\xi, \eta_1, \eta_2)} [\partial_\tau \hat{h}_{j_1}(\eta_1, \tau) \hat{h}_{j_2}(\eta_2, \tau) \hat{h}_{j_3}(\xi - \eta_1 - \eta_2, \tau)] \mathbf{b}(\xi, \eta_1, \eta_2, \tau) d\eta_1 d\eta_2, \\
&\xi \iint_{\mathbb{R}^2} \frac{\mathbf{T}_1(\eta_1, \eta_2, \xi - \eta_1 - \eta_2)}{\Phi(\xi, \eta_1, \eta_2)} e^{i\tau\Phi(\xi, \eta_1, \eta_2)} [\hat{h}_{j_1}(\eta_1, \tau) \partial_\tau \hat{h}_{j_2}(\eta_2, \tau) \hat{h}_{j_3}(\xi - \eta_1 - \eta_2, \tau)] \mathbf{b}(\xi, \eta_1, \eta_2, \tau) d\eta_1 d\eta_2, \\
&\xi \iint_{\mathbb{R}^2} \frac{\mathbf{T}_1(\eta_1, \eta_2, \xi - \eta_1 - \eta_2)}{\Phi(\xi, \eta_1, \eta_2)} e^{i\tau\Phi(\xi, \eta_1, \eta_2)} [\hat{h}_{j_1}(\eta_1, \tau) \hat{h}_{j_2}(\eta_2, \tau) \partial_\tau \hat{h}_{j_3}(\xi - \eta_1 - \eta_2, \tau)] \mathbf{b}(\xi, \eta_1, \eta_2, \tau) d\eta_1 d\eta_2.
\end{aligned}$$

Notice that by (8-8), and the bootstrap assumptions and Lemma 5.3, we have

$$\begin{aligned}
\|\partial_t \hat{h}\|_{L_\xi^\infty} &\lesssim \left\| \xi \iint_{\mathbb{R}^2} \mathbf{T}_1(\eta_1, \eta_2, \xi - \eta_1 - \eta_2) e^{i\tau\Phi(\xi, \eta_1, \eta_2)} \hat{h}(\xi - \eta_1 - \eta_2) \hat{h}(\eta_1) \hat{h}(\eta_2) d\eta_1 d\eta_2 \right\|_{L_\xi^\infty} + \|\widehat{\mathcal{N}_{\geq 5}(\varphi)}\|_{L_\xi^\infty} \\
&\lesssim \|\partial_x\{\varphi^2 \log |\partial_x| \varphi_{xx} - \varphi \log |\partial_x| (\varphi^2)_{xx} + \frac{1}{3} \log |\partial_x| (\varphi^3)_{xx}\}\|_{L^1} + \|\mathcal{N}_{\geq 5}(\varphi)\|_{L^1} \\
&\lesssim \|\varphi\|_{H^s}^2 \sum_{j=0}^\infty (\|\varphi_x\|_{W^{3,\infty}}^{2j+1} + \|L\varphi_x\|_{W^{3,\infty}}^{2j+1}) \lesssim \epsilon_1^3 (t+1)^{2p_0-1/2}.
\end{aligned}$$

Therefore, we obtain

$$\begin{aligned} |(|\xi| + |\xi|^{r+4})J_2(\tau)| &\lesssim \sum \|h_{\ell_1}\|_Z \|\partial_\tau \hat{h}_{\ell_2}\|_{L_\xi^\infty} \|h_{\ell_3}\|_Z [\varrho(\tau)]^2 \\ &\lesssim \epsilon_1^3 (\tau + 1)^{p_0-1/2} [\varrho(\tau)]^2 \sum \|h_{\ell_1}\|_Z \|h_{\ell_3}\|_Z, \end{aligned}$$

where we sum over all permutations  $(\ell_1, \ell_2, \ell_3)$  of  $(j_1, j_2, j_3)$  in the space resonance region  $A_1$ . Again, we notice that the number of summations is of order  $\log(\tau + 1)$ , and the resulting sum is integrable for  $\tau \in (1, \infty)$ .

**8F2. Space-time resonances.** We now use modified scattering to consider the term in (8-11) given by

$$\begin{aligned} \iint_{A_2 \cup A_3 \cup A_4} &\frac{i\xi}{6} \mathbf{b}(\xi, \eta_1, \eta_2, t) \mathbf{T}_1(\eta_1, \eta_2, \xi - \eta_1 - \eta_2) e^{it\Phi(\xi, \eta_1, \eta_2)} \hat{h}(\xi - \eta_1 - \eta_2) \hat{h}(\eta_1) \hat{h}(\eta_2) d\eta_1 d\eta_2 \\ &- \frac{\pi i \xi |\xi|}{3(t+1)} [\mathbf{T}_1(\xi, \xi, -\xi) + \mathbf{T}_1(\xi, -\xi, \xi) + \mathbf{T}_1(-\xi, \xi, \xi)] |\hat{h}(t, \xi)|^2 \hat{h}(t, \xi). \end{aligned} \quad (8-32)$$

The estimates for  $A_2$ ,  $A_3$ , and  $A_4$  are similar, so we only present the details for the  $A_2$  integral. The corresponding integral for  $A_2$  in (8-32) can be decomposed into

$$\begin{aligned} &\frac{i\xi}{6} \iint_{A_2} e^{it\Phi(\xi, \eta_1, \eta_2)} \mathbf{b}(\xi, \eta_1, \eta_2, t) \\ &\cdot [\mathbf{T}_1(\eta_1, \eta_2, \xi - \eta_1 - \eta_2) \hat{h}_{j_1}(\eta_1) \hat{h}_{j_2}(\eta_2) \hat{h}_{j_3}(\xi - \eta_1 - \eta_2) - \mathbf{T}_1(\xi, \xi, -\xi) |\hat{h}(\xi)|^2 \hat{h}(\xi)] d\eta_1 d\eta_2 \end{aligned} \quad (8-33)$$

and

$$\frac{i\xi}{6} \mathbf{T}_1(\xi, \xi, -\xi) |\hat{h}(t, \xi)|^2 \hat{h}(t, \xi) \left[ \iint_{A_2} e^{it\Phi(\xi, \eta_1, \eta_2)} \psi\left(\frac{\eta_1 - \xi}{\varrho(t)}\right) \cdot \psi\left(\frac{\eta_2 - \xi}{\varrho(t)}\right) d\eta_1 d\eta_2 - \frac{2\pi |\xi|}{t+1} \right]. \quad (8-34)$$

The estimates for (8-33) are achieved by a Taylor expansion and (8-14)

$$\begin{aligned} &\left| (|\xi| + |\xi|^{r+4}) \frac{i\xi}{6} \iint_{A_2} e^{it\Phi(\xi, \eta_1, \eta_2)} \mathbf{b}(\xi, \eta_1, \eta_2, t) [\mathbf{T}_1(\eta_1, \eta_2, \xi - \eta_1 - \eta_2) \hat{h}_{j_1}(\eta_1) \hat{h}_{j_2}(\eta_2) \hat{h}_{j_3}(\xi - \eta_1 - \eta_2) \right. \\ &\quad \left. - \mathbf{T}_1(\xi, \xi, -\xi) |\hat{h}(\xi)|^2 \hat{h}(\xi)] d\eta_1 d\eta_2 \right| \\ &\lesssim (|\xi| + |\xi|^{r+4}) |\xi| \iint_{A_2} \left| \partial_{\eta_1} [\mathbf{T}_1(\eta_1, \eta_2, \xi - \eta_1 - \eta_2) \hat{h}_{j_1}(\eta_1) \hat{h}_{j_2}(\eta_2) \hat{h}_{j_3}(\xi - \eta_1 - \eta_2)] \right|_{\eta_1 = \eta'_1(\xi - \eta_1)} \\ &\quad + \left| \partial_{\eta_2} [\mathbf{T}_1(\eta_1, \eta_2, \xi - \eta_1 - \eta_2) \hat{h}_{j_1}(\eta_1) \hat{h}_{j_2}(\eta_2) \hat{h}_{j_3}(\xi - \eta_1 - \eta_2)] \right|_{\eta_2 = \eta'_2(\xi - \eta_2)} \right| d\eta_1 d\eta_2 \\ &\lesssim (t+1)^{(r+3)p_1} \|\xi \hat{\varphi}_{j_1}\|_{L_\xi^\infty} \|\xi \hat{\varphi}_{j_2}\|_{L_\xi^\infty} \|\xi \hat{\varphi}_{j_3}\|_{L_\xi^\infty} [\varrho(t)]^3 + \sum \|\xi \hat{\varphi}_{\ell_1}\|_{L_\xi^\infty} \|\xi \hat{\varphi}_{\ell_2}\|_{L_\xi^\infty} \|\mathcal{S}\varphi_{\ell_3}\|_{H^r} [\varrho(t)]^{5/2}, \end{aligned}$$

where  $(\eta'_1, \eta'_2)$  in the first inequality is some point on the line segment connecting  $(\xi, \xi)$  and  $(\eta_1, \eta_2)$ , and the summation in the second inequality is over permutations  $(\ell_1, \ell_2, \ell_3)$  of  $(j_1, j_2, j_3)$ . Taking a summation over  $j_1, j_2, j_3$  and using the estimates in the above subsections together with the time decay of  $\varrho(t)$  in (8-30), we see that this term is integrable in time and is bounded by a constant multiple of  $\epsilon_0^2$ .

As for (8-34), it suffices to estimate

$$\begin{aligned} & \left| (|\xi| + |\xi|^{r+4}) \frac{i\xi}{6} T_1(\xi, \xi, -\xi) |\hat{h}(t, \xi)|^2 \hat{h}(t, \xi) \left[ \iint_{A_2} e^{it\Phi(\xi, \eta_1, \eta_2)} \psi\left(\frac{\eta_1 - \xi}{\varrho(t)}\right) \cdot \psi\left(\frac{\eta_2 - \xi}{\varrho(t)}\right) d\eta_1 d\eta_2 - \frac{2\pi|\xi|}{t} \right] \right| \\ & \lesssim \|(|\xi| + |\xi|^{r+4}) \hat{\varphi}(\xi)\|_{L_\xi^\infty} \|\xi \hat{\varphi}(\xi)\|_{L_\xi^\infty} \|(|\xi| + |\xi|^3) \hat{\varphi}(\xi)\|_{L_\xi^\infty} \\ & \quad \cdot \left\| \iint_{A_2} e^{it\Phi(\xi, \eta_1, \eta_2)} \psi\left(\frac{\eta_1 - \xi}{\varrho(t)}\right) \cdot \psi\left(\frac{\eta_2 - \xi}{\varrho(t)}\right) d\eta_1 d\eta_2 - \frac{2\pi|\xi|}{t} \right\|_{L_\xi^\infty} \\ & \lesssim \|\varphi\|_Z^3 \left\| \iint_{A_2} e^{it\Phi(\xi, \eta_1, \eta_2)} \psi\left(\frac{\eta_1 - \xi}{\varrho(t)}\right) \cdot \psi\left(\frac{\eta_2 - \xi}{\varrho(t)}\right) d\eta_1 d\eta_2 - \frac{2\pi|\xi|}{t} \right\|_{L_\xi^\infty}. \end{aligned}$$

Writing  $(\eta_1, \eta_2) = (\xi + \zeta_1, \xi + \zeta_2)$ , we find from (8-2) that

$$\Phi(\xi, \eta_1, \eta_2) = -\frac{\zeta_1 \zeta_2}{\xi} + O\left(\frac{\zeta_1^3 + \zeta_2^3}{\xi^2}\right) = -\frac{\zeta_1 \zeta_2}{\xi} + O([\varrho(t)]^3 (t+1)^{2p_0}).$$

Since  $p_0 = 10^{-4}$  and  $\varrho(t)$  satisfies (8-30), the error term is integrable in time, so we now only need to estimate

$$J_3 = \left\| \iint_{\mathbb{R}^2} e^{-it\zeta_1 \zeta_2 / \xi} \psi\left(\frac{\zeta_1}{\varrho(t)}\right) \cdot \psi\left(\frac{\zeta_2}{\varrho(t)}\right) d\zeta_1 d\zeta_2 - \frac{2\pi|\xi|}{t} \right\|_{L_\xi^\infty}. \quad (8-35)$$

Making the change of variables

$$\zeta_1 = \sqrt{\frac{|\xi|}{t}} x_1, \quad \zeta_2 = \sqrt{\frac{|\xi|}{t}} x_2$$

in (8-35) and using the fact that  $|\xi| \leq (t+1)^{p_1}$ , we find that

$$J_3 \leq \frac{(t+1)^{p_1}}{t} \left\| \iint_{\mathbb{R}^2} e^{-ix_1 x_2} \psi\left(\frac{\sqrt{|\xi|}}{\sqrt{t} \varrho(t)} x_1\right) \cdot \psi\left(\frac{\sqrt{|\xi|}}{\sqrt{t} \varrho(t)} x_1\right) dx_1 dx_2 - 2\pi \right\|_{L_\xi^\infty}. \quad (8-36)$$

The integral identity

$$\int_{\mathbb{R}} e^{-ax^2 - bx} dx = \sqrt{\frac{\pi}{a}} e^{b^2/(4a)} \quad \text{for all } a, b \in \mathbb{C} \text{ with } \Re a > 0$$

gives that

$$\iint_{\mathbb{R}^2} e^{-ix_1 x_2} e^{-x_1^2/B^2} e^{-x_2^2/B^2} dx_1 dx_2 = \sqrt{\pi} B \int_{\mathbb{R}} e^{-x_2^2/B^2} e^{-B^2 x_2^2/4} dx_2 = 2\pi + O(B^{-1}) \quad \text{as } B \rightarrow \infty,$$

and therefore

$$\iint_{\mathbb{R}^2} e^{-ix_1 x_2} \psi\left(\frac{x_1}{B}\right) \psi\left(\frac{x_2}{B}\right) dx_1 dx_2 = 2\pi + O(B^{-1/2}) \quad \text{as } B \rightarrow \infty. \quad (8-37)$$

Using (8-37) with  $B = \sqrt{t} \varrho(t) / \sqrt{|\xi|} = O(t^{0.01-p_0/2})$  in (8-36) then yields

$$J_3 \lesssim \frac{(t+1)^{5p_1/4}}{t^{1.005}}.$$

Since  $p_1 = 10^{-6}$ , the right-hand side decays faster in time than  $1/t$ , which implies that (8-34) is integrable in time and bounded by a constant multiple of  $\epsilon_0^3$ .

Putting all the above estimates together, we conclude that

$$\int_0^\infty \|(|\xi| + |\xi|^{r+4})U(\xi, t)\|_{L_\xi^\infty} dt \lesssim \epsilon_0.$$

**8G. Higher-degree terms.** In this subsection, we prove that

$$\|(|\xi| + |\xi|^{r+4})\widehat{\mathcal{N}_{\geq 5}(\varphi)}\|_{L_\xi^\infty}$$

is integrable in time. We begin by proving an estimate for the symbol  $\mathbf{T}_n$ . We have

$$\begin{aligned} & \mathcal{F}^{-1}[\mathbf{T}_n(\eta_1, \eta_2, \dots, \eta_{2n+1})\psi_{j_1}(\eta_1)\psi_{j_2}(\eta_2)\cdots\psi_{j_{2n+1}}(\eta_{2n+1})] \\ &= \iiint_{\mathbb{R}^{2n+1}} e^{i(y_1\eta_1 + y_2\eta_2 + \cdots + y_{2n+1}\eta_{2n+1})} \left[ \int_{\mathbb{R}} \frac{\prod_{j=1}^{2n+1} (1 - e^{i\eta_j \zeta})}{|\zeta|^{2n+1}} d\zeta \right] \psi_{j_1}(\eta_1)\psi_{j_2}(\eta_2)\cdots\psi_{j_{2n+1}}(\eta_{2n+1}) d\eta_n \\ &= \iiint_{\mathbb{R}^{2n+1}} \left[ \int_{\mathbb{R}} \frac{(e^{iy_1\eta_1} - e^{i\eta_1(\zeta+y_1)})\cdots(e^{iy_{2n+1}\eta_{2n+1}} - e^{i\eta_{2n+1}(\zeta+y_{2n+1})})}{|\zeta|^{2n+1}} d\zeta \right] \psi_{j_1}(\eta_1)\cdots\psi_{j_{2n+1}}(\eta_{2n+1}) d\eta_n \\ &= \int_{\mathbb{R}} \frac{1}{|\zeta|^{2n+1}} [\mathcal{F}^{-1}[\psi_{j_1}](y_1) - \mathcal{F}^{-1}[\psi_{j_1}](\zeta+y_1)] \cdots [\mathcal{F}^{-1}[\psi_{j_{2n+1}}](y_{2n+1}) - \mathcal{F}^{-1}[\psi_{j_{2n+1}}](\zeta+y_{2n+1})] d\zeta, \end{aligned}$$

and it follows that

$$\begin{aligned} & \|\mathcal{F}^{-1}[\mathbf{T}_n(\eta_1, \eta_2, \dots, \eta_{2n+1})\psi_{j_1}(\eta_1)\psi_{j_2}(\eta_2)\cdots\psi_{j_{2n+1}}(\eta_{2n+1})]\|_{L^1} \\ & \lesssim \int_{\mathbb{R}} 2^{j_1+\cdots+j_{2n+1}} \frac{1}{|\zeta|^{2n+1}} \min\{2^{-j_1}, |\zeta|\} \min\{2^{-j_2}, |\zeta|\} \cdots \min\{2^{-j_{2n+1}}, |\zeta|\} d\zeta. \end{aligned}$$

Let  $\ell_1, \ell_2, \dots, \ell_{2n+1}$  be a permutation of  $j_1, j_2, \dots, j_{2n+1}$  satisfying  $2^{-\ell_1} \leq 2^{-\ell_2} \leq \cdots \leq 2^{-\ell_{2n+1}}$ . Then

$$\begin{aligned} & \|\mathcal{F}^{-1}[\mathbf{T}_n(\eta_1, \eta_2, \dots, \eta_{2n+1})\psi_{j_1}(\eta_1)\psi_{j_2}(\eta_2)\cdots\psi_{j_{2n+1}}(\eta_{2n+1})]\|_{L^1} \\ & \lesssim \int_{|\zeta| > 2^{-\ell_{2n+1}}} \frac{1}{|\zeta|^{2n+1}} d\zeta + \int_{2^{-\ell_{2n}} < |\zeta| < 2^{-\ell_{2n+1}}} \frac{2^{\ell_1}}{|\zeta|^{2n}} d\zeta \\ & \quad + \cdots + \int_{2^{-\ell_1} < |\zeta| < 2^{-\ell_2}} \frac{2^{\ell_1+\cdots+\ell_{2n}}}{|\zeta|} d\zeta + \int_{|\zeta| < 2^{-\ell_1}} 2^{\ell_1+\cdots+\ell_{2n+1}} d\zeta \\ & \lesssim 2^{\ell_2+\cdots+\ell_{2n+1}}. \end{aligned}$$

Therefore, by Lemma 2.5, we have

$$\|(|\xi| + |\xi|^{r+4})\widehat{\mathcal{N}_{\geq 5}(\varphi)}\|_{L_\xi^\infty} \lesssim (t+1)^{(r+4)p_1} \|\mathcal{N}_{\geq 5}(\varphi)\|_{L^1} \lesssim \|\varphi\|_{H^1}^2 \sum_{n=2}^{\infty} (\|\varphi_x\|_{L^\infty}^{2n-1} + \|L\varphi_x\|_{L^\infty}^{2n-1}).$$

Using the dispersive estimate Lemma 5.3, we see that the right-hand-side is integrable in  $t$ , which leads to

$$\int_0^\infty \|(|\xi| + |\xi|^{r+3})\widehat{\mathcal{N}_{\geq 5}(\varphi)}\|_{L_\xi^\infty} dt \lesssim \epsilon_0.$$

This completes the proof of Theorem 5.1.

## Appendix A: Alternative formulation of the SQG front equation

We first prove an algebraic identity that will be used in deriving (3-6).

**Lemma A.1.** *Let  $N \geq 2$  be an integer. Then for any integer  $1 \leq p \leq N-1$  and any  $\eta_j \in \mathbb{R}$ ,  $j = 1, 2, \dots, N$ ,*

$$\sum_{\ell=1}^N \sum_{1 \leq m_1 < m_2 < \dots < m_\ell \leq N} (-1)^\ell (\eta_{m_1} + \eta_{m_2} + \dots + \eta_{m_\ell})^p = 0. \quad (\text{A-1})$$

*Proof.* A general term in the expansion of left-hand-side of (A-1) is proportional to

$$\eta_1^{\alpha_1} \eta_2^{\alpha_2} \cdots \eta_N^{\alpha_N}, \quad (\text{A-2})$$

where  $\alpha_1, \alpha_2, \dots, \alpha_N$  are nonnegative integers such that  $\alpha_1 + \alpha_2 + \dots + \alpha_N = p$ . It suffices to show that the coefficients of the monomials (A-2) are zero. Let  $1 \leq M \leq N-1$  denote the number of nonzero terms in the list  $(\alpha_1, \alpha_2, \dots, \alpha_N)$ . Using the multinomial theorem, we see that the coefficient of (A-2) is

$$\binom{p}{\alpha_1, \dots, \alpha_N} \cdot \sum_{j=0}^{N-M} (-1)^{M+j} \binom{N-M}{j} = \binom{p}{\alpha_1, \dots, \alpha_N} \cdot (-1)^M (1-1)^{N-M} = 0. \quad \square$$

To compute  $\mathbf{T}_n(\eta_n)$  in (3-3), we first expand the product

$$\begin{aligned} \Re \prod_{j=1}^{2n+1} (1 - e^{i\eta_j \zeta}) &= 1 + \sum_{\ell=1}^{2n+1} \sum_{1 \leq m_1 < m_2 < \dots < m_\ell \leq 2n+1} (-1)^\ell \cos((\eta_{m_1} + \eta_{m_2} + \dots + \eta_{m_\ell})\zeta) \\ &= \sum_{\ell=1}^{2n+1} \sum_{1 \leq m_1 < m_2 < \dots < m_\ell \leq 2n+1} (-1)^{\ell+1} [1 - \cos((\eta_{m_1} + \eta_{m_2} + \dots + \eta_{m_\ell})\zeta)]. \end{aligned}$$

We replace the integral over  $\mathbb{R}$  in (3-3) by an integral over  $\mathbb{R} \setminus (-\epsilon, \epsilon)$ , where  $\epsilon \ll 1$ , and decompose the expression for  $\mathbf{T}_n$  into a sum of terms of the form

$$\begin{aligned} \int_{\epsilon < |\zeta| < \infty} \frac{1 - \cos(\eta\zeta)}{|\zeta|^{2n+1}} d\zeta &= \int_{\epsilon < |\zeta| \leq 1/|\eta|} \frac{1 + \sum_{j=1}^n (-1)^j (\eta\zeta)^{2j}/(2j)! - \cos(\eta\zeta)}{|\zeta|^{2n+1}} d\zeta + \int_{|\zeta| > 1/|\eta|} \frac{1 - \cos(\eta\zeta)}{|\zeta|^{2n+1}} d\zeta \\ &\quad - \sum_{j=1}^n \frac{(-1)^j \eta^{2j}}{(2j)!} \int_{\epsilon < |\zeta| \leq 1/|\eta|} \frac{1}{|\zeta|^{2n-2j+1}} d\zeta + o(1), \\ &= C_{n,1} \eta^{2n} - \sum_{j=1}^n \frac{(-1)^j \eta^{2j}}{(2j)!} \int_{\epsilon < |\zeta| \leq 1/|\eta|} \frac{1}{|\zeta|^{2n-2j+1}} d\zeta + o(1), \end{aligned}$$

where

$$C_{n,1} = \int_{|\theta| \leq 1} \frac{1 + \sum_{j=1}^n (-1)^j (\theta)^{2j}/(2j)! - \cos(\theta)}{|\theta|^{2n+1}} d\theta + \int_{|\theta| > 1} \frac{1 - \cos(\theta)}{|\theta|^{2n+1}} d\theta$$

is some constant that depends only on  $n$ .

We have

$$\sum_{j=1}^n \frac{(-1)^j \eta^{2j}}{(2j)!} \int_{\epsilon < |\zeta| \leq 1/|\eta|} \frac{1}{|\zeta|^{2n-2j+1}} d\zeta = C_{n,2} \eta^{2n} + \sum_{j=1}^{n-1} C_{n,3}^{j,\epsilon} \eta^{2j} + C_{n,4} \eta^{2n} \log |\eta|,$$

where

$$C_{n,2}^\epsilon = \sum_{j=1}^{n-1} \frac{(-1)^{j+1}}{(n-j)(2j)!} + 2 \frac{(-1)^{n+1} \log \epsilon}{(2n)!}, \quad C_{n,3}^{j,\epsilon} = \frac{(-1)^j \epsilon^{2j-2n}}{(n-j)(2j)!}, \quad C_{n,4} = 2 \frac{(-1)^{n+1}}{(2n)!}.$$

Thus, we conclude that

$$\int_{\epsilon < |\zeta| \leq 1/|\eta|} \frac{1 - \cos(\eta\zeta)}{|\zeta|^{2n+1}} d\zeta = (C_{n,1} - C_{n,2}^\epsilon) \eta^{2n} - \sum_{j=1}^{n-1} C_{n,3}^{j,\epsilon} \eta^{2j} - C_{n,4} \eta^{2n} \log |\eta|.$$

We use these results in the expression for  $T_n$  and take the limit as  $\epsilon \rightarrow 0^+$ . The singularity at  $\epsilon = 0$  does not enter into the final result because of the cancellation in Lemma A.1, and we find that

$$T_n(\eta_n) = 2 \frac{(-1)^{n+1}}{(2n)!} \sum_{\ell=1}^{2n+1} \sum_{1 \leq m_1 < m_2 < \dots < m_\ell \leq 2n+1} (-1)^\ell (\eta_{m_1} + \dots + \eta_{m_\ell})^{2n} \log |\eta_{m_1} + \eta_{m_2} + \dots + \eta_{m_\ell}|. \quad (\text{A-3})$$

It follows that

$$f_n = 2 \frac{(-1)^n}{(2n)!} \sum_{\ell=1}^{2n+1} \binom{2n+1}{\ell} (-1)^\ell \varphi^{2n-\ell+1} \partial^{2n} \log |\partial|(\varphi^\ell).$$

Therefore, we conclude that

$$\begin{aligned} & \int_{\mathbb{R}} \left[ \frac{\varphi_x(x, t) - \varphi_x(x + \zeta, t)}{|\zeta|} - \frac{\varphi_x(x, t) - \varphi_x(x + \zeta, t)}{\sqrt{\zeta^2 + (\varphi(x, t) - \varphi(x + \zeta, t))^2}} \right] d\zeta \\ &= - \sum_{n=1}^{\infty} \frac{2c_n(-1)^n}{\Gamma(2n+2)} \partial_x \left\{ \sum_{\ell=1}^{2n+1} \binom{2n+1}{\ell} (-1)^\ell \varphi^{2n-\ell+1}(x, t) \partial_x^{2n} \log |\partial|(\varphi^\ell(x, t)) \right\} \\ &= \sum_{n=1}^{\infty} \sum_{\ell=1}^{2n+1} (-1)^{\ell+1} d_{n,\ell} \partial_x \{ \varphi^{2n-\ell+1}(x, t) \partial_x^{2n} \log |\partial|(\varphi^\ell(x, t)) \}, \end{aligned}$$

where

$$d_{n,\ell} = \frac{2\sqrt{\pi}}{\left| \Gamma\left(\frac{1}{2} - n\right) \right| \Gamma(\ell+1) \Gamma(2n+2-\ell) \Gamma(n+1)} > 0. \quad (\text{A-4})$$

Using this expansion in (3-1), we get (3-6).

## Appendix B: Paradifferential calculus

In this appendix, we use the Weyl calculus [Lerner 2010] to prove some estimates for Weyl paraproducts.

**B.1. Weyl operators.** The Weyl quantization of a symbol  $a : \mathbb{R} \times \mathbb{R} \rightarrow \mathbb{C}$  is the operator  $a^w$  defined by

$$(a^w f)(x) = \frac{1}{2\pi} \iint_{\mathbb{R}^2} e^{i(x-y)\xi} a\left(\frac{x+y}{2}, \xi\right) f(y) dy d\xi = \int_{\mathbb{R}} \mathcal{F}_2^{-1} a\left(\frac{x+y}{2}, x-y\right) f(y) dy,$$

where  $\mathcal{F}_i a$  denotes the Fourier transform of  $a(x_1, x_2)$  with respect to the  $i$ -th variable ( $i = 1, 2$ ). The Fourier transform of  $a^w f$  can be written as

$$\begin{aligned} \mathcal{F}(a^w f)(\xi) &= \frac{1}{2\pi} \iiint_{\mathbb{R}^3} e^{i(x-y)\eta - ix\xi} a\left(\frac{x+y}{2}, \eta\right) f(y) dy d\eta dx \\ &= \int_{\mathbb{R}} \mathcal{F}_1^{-1} a\left(\xi - \eta, \frac{\xi + \eta}{2}\right) \hat{f}(\eta) d\eta. \end{aligned} \quad (\text{B-1})$$

For  $m \in \mathbb{R}$ , we have the symbol class

$$S_{1,0}^m = \{a(x, \xi) \in C^\infty(\mathbb{R} \times \mathbb{R}) \mid \sup_{\xi \in \mathbb{R}} \|\partial_\xi^\alpha \partial_x^\beta a(\cdot, \xi)\|_{L^\infty} \leq C_{\alpha\beta} (1 + |\xi|)^{m-|\alpha|} \text{ for all } \alpha, \beta \in \mathbb{N}_0\}.$$

For integers  $r_1, r_2 \geq 0$ , we define a symbol norm by

$$M_{r_1, r_2}^m(a) = \max_{0 \leq \alpha \leq r_2} \sup_{\xi \in \mathbb{R}} \|(1 + |\xi|)^{\alpha-m} \partial_\xi^\alpha a(\cdot, \xi)\|_{W^{r_1, \infty}},$$

and introduce a class of symbols with finite regularity

$$\Gamma_{r_1, r_2}^m = \{a : \mathbb{R} \times \mathbb{R} \rightarrow \mathbb{C} \mid M_{r_1, r_2}^m(a) < \infty\}.$$

We note that if  $\mathcal{M}_{(r_1, r_2)}$  is the symbol class defined in (2-3), then

$$\|(1 + |\xi|)^{-m} a(x, \xi)\|_{\mathcal{M}_{(r_1, r_2)}} \approx M_{r_1, r_2}^m(a). \quad (\text{B-2})$$

In particular,  $\mathcal{M}_{(r_1, r_2)} = \Gamma_{r_1, r_2}^0$ .

**B.2. Paradifferential operators.** Recall from Section 2 that  $\chi : \mathbb{R} \rightarrow \mathbb{R}$  is a smooth function supported in the interval  $\{\xi \in \mathbb{R} \mid |\xi| \leq \frac{1}{10}\}$  and equal to 1 on  $\{\xi \in \mathbb{R} \mid |\xi| \leq \frac{3}{40}\}$ . If  $f : \mathbb{R} \rightarrow \mathbb{C}$  and  $a : \mathbb{R} \times \mathbb{R} \rightarrow \mathbb{C}$  is a symbol, then the Weyl paraproduct  $T_a f$  in (2-2) is defined by

$$\mathcal{F}[T_a f](\xi) = \int_{\mathbb{R}} \chi\left(\frac{|\xi - \eta|^2}{1 + |\xi + \eta|^2}\right) \tilde{a}\left(\xi - \eta, \frac{\xi + \eta}{2}\right) \hat{f}(\eta) d\eta.$$

Introducing the notation

$$\sigma_a(\cdot, \xi_2) = \mathcal{F}_1\left[\chi\left(\frac{|\xi_1|^2}{1 + 4|\xi_2|^2}\right) \tilde{a}(\xi_1, \xi_2)\right],$$

we can also write

$$\mathcal{F}[T_a f](\xi) = \int_{\mathbb{R}} \mathcal{F}_1^{-1} \sigma_a\left(\xi - \eta, \frac{\xi + \eta}{2}\right) \hat{f}(\eta) d\eta.$$

Comparing this result with (B-1), we see that  $T_a = \sigma_a^w$ .

**Lemma B.1.** *If  $a \in \Gamma_{r_1, r_2}^m$ , then  $\sigma_a \in \Gamma_{r_1, r_2}^m$  and  $M_{r_1, r_2}^m(\sigma_a) \lesssim M_{r_1, r_2}^m(a)$ .*

*Proof.* To prove that  $\sigma_a \in \Gamma_{r_1, r_2}^m$ , we write

$$\partial_{\xi_2}^\alpha \partial_x^\beta \sigma_a(x, \xi_2) = \sum_{i_1+i_2=\alpha} c_{i_1, i_2, \alpha} \mathcal{F}_{\xi_1} \left[ \partial_{\xi_2}^{i_1} \chi\left(\frac{|\xi_1|^2}{1 + 4|\xi_2|^2}\right) \partial_{\xi_2}^{i_2} \tilde{\partial}_x^\beta a(\xi_1, \xi_2) \right],$$

where the  $c_{i_1, i_2, \alpha}$  are multinomial coefficients.

For each term, by Young's inequality,

$$\begin{aligned}
& \left| (1 + |\zeta_2|)^\alpha \mathcal{F}_{\zeta_1} \left[ \partial_{\zeta_2}^{i_1} \chi \left( \frac{|\zeta_1|^2}{1 + 4|\zeta_2|^2} \right) \partial_{\zeta_2}^{i_2} \widetilde{\partial_x^\beta} a(\zeta_1, \zeta_2) \right] \right| \\
&= \left| \mathcal{F}_{\zeta_1} \left[ (1 + |\zeta_2|)^{i_1} \partial_{\zeta_2}^{i_1} \chi \left( \frac{|\zeta_1|^2}{1 + 4|\zeta_2|^2} \right) \right] [(1 + |\zeta_2|)^{i_2} \partial_{\zeta_2}^{i_2} \partial_x^\beta a(-x, \zeta_2)] \right| \\
&\leq \left\| \mathcal{F}_{\zeta_1} \left[ (1 + |\zeta_2|)^{i_1} \partial_{\zeta_2}^{i_1} \chi \left( \frac{|\zeta_1|^2}{1 + 4|\zeta_2|^2} \right) \right] (x, \zeta_2) \right\|_{L_x^1} \|(1 + |\zeta_2|)^{i_2} \partial_{\zeta_2}^{i_2} \partial_x^\beta a(-x, \zeta_2)\|_{L_x^\infty}.
\end{aligned}$$

Using Faà di Bruno's formula, a general term of  $(1 + |\zeta_2|)^{i_1} \partial_{\zeta_2}^{i_1} \chi(|\zeta_1|^2 / (1 + 4|\zeta_2|^2))$  is a linear combination of the terms of the form

$$(1 + |\zeta_2|)^{i_1} \chi^{(m_1 + \dots + m_{i_1})} \left( \frac{|\zeta_1|^2}{1 + 4|\zeta_2|^2} \right) \prod_{\ell=1}^{i_1} \left[ \partial_{\zeta_2}^\ell \left( \frac{|\zeta_1|^2}{1 + 4|\zeta_2|^2} \right) \right]^{m_\ell},$$

where  $m_\ell \in \mathbb{N}_0$  satisfies  $\sum_{\ell=1}^{i_1} \ell m_\ell = i_1$ .

Bernstein's inequality implies that

$$\left\| \mathcal{F}_{\zeta_1} \left[ (1 + |\zeta_2|)^{i_1} \partial_{\zeta_2}^{i_1} \chi \left( \frac{|\zeta_1|^2}{1 + 4|\zeta_2|^2} \right) \right] \right\|_{L_x^1} \lesssim \left\| \int_{\mathbb{R}} e^{-ix\zeta_1} \left( (1 + 4|\zeta_2|^2)^{i_1/2} \partial_{\zeta_2}^{i_1} \chi \left( \frac{|\zeta_1|^2}{1 + 4|\zeta_2|^2} \right) \right) d\zeta_1 \right\|_{L_x^1} \lesssim 1,$$

since the middle term in this inequality is supported on the set  $\{(\zeta_1, \zeta_2) \mid |\zeta_1| \lesssim \sqrt{1 + 4|\zeta_2|^2}\}$ . Therefore, we have that

$$\sum_{\alpha \leq r_2, \beta \leq r_1} \|(1 + |\zeta_2|)^\alpha \partial_{\zeta_2}^\alpha \partial_x^\beta \sigma_a(x, \zeta_2)\|_{L_x^\infty} \lesssim \sum_{\alpha \leq r_2, \beta \leq r_1} \sum_{i \leq \alpha} \|(1 + |\zeta_2|)^i \partial_{\zeta_2}^i \partial_x^\beta a(-x, \zeta_2)\|_{L_x^\infty} \lesssim (1 + |\zeta_2|)^m,$$

so  $M_{r_1, r_2}^m(\sigma_a) \lesssim M_{r_1, r_2}^m(a)$ . □

**B.3.  $H^s$  estimates.** The next theorem follows from [Boulkhemair 1999, Theorem 1.2].

**Theorem B.2.** *Let  $m \in \mathbb{R}$ . If  $a \in \Gamma_{1,1}^m$ , then the Weyl operator  $a^w : H^s(\mathbb{R}) \rightarrow H^{s-m}(\mathbb{R})$  with symbol  $a$  is bounded and its operator norm is bounded by  $M_{1,1}^m(a)$ .*

Using Lemma B.1 and the fact that  $T_a = \sigma_a^w$ , we then get the following estimate for Weyl paraproducts.

**Theorem B.3.** *If  $a \in \Gamma_{1,1}^m$ , then the Weyl paraproduct operator  $T_a : H^s(\mathbb{R}) \rightarrow H^{s-m}(\mathbb{R})$  is bounded for all  $m, s \in \mathbb{R}$ , and*

$$\|T_a f\|_{H^{s-m}} \leq \nu M_{1,1}^m(a) \|f\|_{H^s},$$

where  $\nu > 0$  is a constant independent of  $a$ .

In particular, setting  $m = 0$  and using the fact that  $M_{1,1}^0(a) \approx \|a\|_{\mathcal{M}_{(1,1)}}$ , we get Lemma 2.1.

**B.4.  $L^\infty$ - $L^2$  estimates.** We also need some estimates in which we bound  $\|T_a f\|_{L^2}$  by  $\|f\|_{L^\infty}$ .

**Theorem B.4.** Let  $p(\xi) = |\xi|^k$ ,  $k \geq 0$  or  $p(\xi) = |\xi|^k \log |\xi|$ ,  $k \geq 1$ . Assume  $f \in L^\infty(\mathbb{R})$  with  $p(\partial_x) \partial_x f \in L^\infty(\mathbb{R})$ , and  $a(x, \xi)$  is a function such that  $\|a\|_{\mathcal{L}_1^2} < \infty$ , where

$$\|a\|_{\mathcal{L}_1^2} := \sup_{\xi} (\|a(\cdot, \xi)\|_{L^2} + \|\partial_\xi a(\cdot, \xi)\|_{L^2}).$$

Then we have

$$\|p(\partial_x) T_a f\|_{L^2} \lesssim (\|f\|_{L^\infty} + \|p(\partial_x) \partial_x f\|_{L^\infty}) \|a\|_{\mathcal{L}_1^2}.$$

*Proof.* Recall that

$$T_a f(x) = \sigma_a^w f(x) = \int_{\mathbb{R}} \mathcal{F}_2^{-1} \sigma_a \left( \frac{x+y}{2}, x-y \right) f(y) dy = \int_{\mathbb{R}} \chi \left( \frac{|\xi-\eta|^2}{1+|\xi+\eta|^2} \right) \tilde{a} \left( \xi-\eta, \frac{\xi+\eta}{2} \right) \hat{f}(\eta) d\eta.$$

We split  $T_a f$  into a low-frequency part

$$\int_{\mathbb{R}} \mathcal{F}_2^{-1} \sigma_a \left( \frac{x+y}{2}, x-y \right) [\iota(i \partial_y) f(y)] dy = \int_{\mathbb{R}} \iota(\eta) \chi \left( \frac{|\xi-\eta|^2}{1+|\xi+\eta|^2} \right) \tilde{a} \left( \xi-\eta, \frac{\xi+\eta}{2} \right) \hat{f}(\eta) d\eta$$

and a high-frequency part

$$\int_{\mathbb{R}} \mathcal{F}_2^{-1} \sigma_a \left( \frac{x+y}{2}, x-y \right) [(1-\iota(i \partial_y)) f(y)] dy = \int_{\mathbb{R}} (1-\iota(\eta)) \chi \left( \frac{|\xi-\eta|^2}{1+|\xi+\eta|^2} \right) \tilde{a} \left( \xi-\eta, \frac{\xi+\eta}{2} \right) \hat{f}(\eta) d\eta.$$

Here, the cutoff function  $\iota$  is the same as the one defined in the proof of Lemma 2.2.

The integrand in the low-frequency part is supported in  $|\xi| < 6$ ,  $|\eta| < 2$ . Thus,  $|\xi + \eta| < 10$  and  $|\xi - \eta| < 10$  on its support, so we can put a cutoff function  $\iota(\frac{1}{5}(\xi + \eta)) \iota(\frac{1}{5}(\xi - \eta))$  into the integral without changing its value:

$$\begin{aligned} & \int_{\mathbb{R}} \mathcal{F}_2^{-1} \sigma_a \left( \frac{x+y}{2}, x-y \right) [\iota(i \partial_y) f(y)] dy \\ &= \int_{\mathbb{R}} \iota(\eta) \chi \left( \frac{|\xi-\eta|^2}{1+|\xi+\eta|^2} \right) \iota \left( \frac{\xi+\eta}{5} \right) \iota \left( \frac{\xi-\eta}{5} \right) \tilde{a} \left( \xi-\eta, \frac{\xi+\eta}{2} \right) \hat{f}(\eta) d\eta. \end{aligned}$$

Therefore, defining  $b(x, \xi) = \iota(\frac{1}{5}i \partial_x) \iota(\frac{2}{5}\xi) a(x, \xi)$ , we have

$$\begin{aligned} & \int_{\mathbb{R}} \mathcal{F}_2^{-1} \sigma_a \left( \frac{x+y}{2}, x-y \right) [\iota(i \partial_y) f(y)] dy = \int_{\mathbb{R}} \iota(\eta) \chi \left( \frac{|\xi-\eta|^2}{1+|\xi+\eta|^2} \right) \tilde{b} \left( \xi-\eta, \frac{\xi+\eta}{2} \right) \hat{f}(\eta) d\eta \\ &= \int_{\mathbb{R}} \mathcal{F}_2^{-1} \sigma_b \left( \frac{x+y}{2}, x-y \right) [\iota(i \partial_y) f(y)] dy. \end{aligned}$$

So we obtain

$$\begin{aligned} & \left\| p(\partial_x) \int_{\mathbb{R}} \mathcal{F}_2^{-1} \sigma_b \left( \frac{x+y}{2}, x-y \right) [\iota(i \partial_y) f(y)] dy \right\|_{L_x^2} \lesssim \left\| \int_{\mathbb{R}} \mathcal{F}_2^{-1} \sigma_b \left( \frac{x+y}{2}, x-y \right) [\iota(i \partial_y) f(y)] dy \right\|_{L_x^2} \\ & \lesssim \|\iota(i \partial_y) f(y)\|_{L_y^\infty} \left\| \mathcal{F}_2^{-1} \sigma_b \left( \frac{x+y}{2}, x-y \right) \right\|_{L_y^1 L_x^2} \\ &= \|\iota(i \partial_y) f(y)\|_{L_y^\infty} \left\| \mathcal{F}_2^{-1} \sigma_b \left( x - \frac{z}{2}, z \right) \right\|_{L_z^1 L_x^2}, \end{aligned}$$

where the last term satisfies

$$\begin{aligned}
\left\| \mathcal{F}_2^{-1} \sigma_b \left( x - \frac{z}{2}, z \right) \right\|_{L_z^1 L_x^2} &= \left\| \mathcal{F}_\xi^{-1} \mathcal{F}_{\xi_1} \left[ \chi \left( \frac{|\xi_1|^2}{1+4|\xi|^2} \right) \tilde{b}(\xi_1, \xi) \right] \left( x - \frac{z}{2}, z \right) \right\|_{L_z^1 L_x^2} \\
&= \left\| \mathcal{F}_\xi^{-1} \mathcal{F}_{\xi_1} \left\{ (1 - \partial_\xi^2)^{1/2} \left[ \chi \left( \frac{|\xi_1|^2}{1+4|\xi|^2} \right) \tilde{b}(\xi_1, \xi) \right] \right\} \left( x - \frac{z}{2}, z \right) \frac{1}{(1+z^2)^{1/2}} \right\|_{L_z^1 L_x^2} \\
&\lesssim \left\| \mathcal{F}_\xi^{-1} \mathcal{F}_{\xi_1} \left\{ (1 - \partial_\xi^2)^{1/2} \left[ \chi \left( \frac{|\xi_1|^2}{1+4|\xi|^2} \right) \tilde{b}(\xi_1, \xi) \right] \right\} (x, z) \right\|_{L_z^2 L_x^2} \\
&= \left\| (1 - \partial_\xi^2)^{1/2} \left[ \chi \left( \frac{|\xi_1|^2}{1+4|\xi|^2} \right) \tilde{b}(\xi_1, \xi) \right] (\xi_1, \xi) \right\|_{L_\xi^2 L_{\xi_1}^2} \lesssim \|b\|_{L_x^2 H_\xi^1} \lesssim \|a\|_{\mathcal{L}_1^2}.
\end{aligned}$$

For the high-frequency part, we make a dyadic decomposition of  $f$ , after which we mainly need to estimate

$$\begin{aligned}
\int_{\mathbb{R}} \mathcal{F}_2^{-1} \sigma_a \left( \frac{x+y}{2}, x-y \right) [(1 - \iota(i \partial_y)) \psi_k(i \partial_y) f(y)] dy \\
= \int_{\mathbb{R}} (1 - \iota(\eta)) \chi \left( \frac{|\xi - \eta|^2}{1 + |\xi + \eta|^2} \right) \tilde{a} \left( \xi - \eta, \frac{\xi + \eta}{2} \right) \psi_k(\eta) \hat{f}(\eta) d\eta.
\end{aligned}$$

When  $|\eta| > 2$ , we have

$$\frac{1}{18} |\eta| \leq |\xi| \leq \frac{35}{18} |\eta|, \quad \frac{1}{2} |\eta| \leq |\xi + \eta| \leq \frac{40}{9} |\eta|$$

on the support of the cutoff function  $\chi(|\xi - \eta|^2/(1 + |\xi + \eta|^2))$ . Therefore  $|\eta| \approx |\xi + \eta| \approx |\xi| \approx 2^k$  on the support, and, since  $|\eta| > 2$ , we only need to consider  $k \geq 0$ .

By the Hölder inequality and a change of coordinates,

$$\begin{aligned}
&\left\| p(\partial_x) \int_{\mathbb{R}} \mathcal{F}_2^{-1} \sigma_a \left( \frac{x+y}{2}, x-y \right) [(1 - \iota(i \partial_y)) f_k(y)] dy \right\|_{L_x^2} \\
&\lesssim 2^{-k} \|p(\partial_x) \partial_x f_k\|_{L^\infty} \left\| \mathcal{F}_\xi^{-1} \mathcal{F}_{\xi_1} \left[ \chi \left( \frac{|\xi_1|^2}{1+4|\xi|^2} \right) \tilde{a}(\xi_1, \xi) \psi_k(\xi) \right] \left( \frac{x+y}{2}, x-y \right) \right\|_{L_y^1 L_x^2} \\
&\lesssim 2^{-k} \|p(\partial_x) \partial_x f\|_{L^\infty} \left\| \mathcal{F}_\xi^{-1} \mathcal{F}_{\xi_1} \left[ \chi \left( \frac{|\xi_1|^2}{1+4|\xi|^2} \right) \tilde{a}(\xi_1, \xi) \psi_k(\xi) \right] \left( x + \frac{z}{2}, z \right) \right\|_{L_z^1 L_x^2}.
\end{aligned}$$

The last term satisfies

$$\begin{aligned}
&\left\| \mathcal{F}_\xi^{-1} \mathcal{F}_{\xi_1} \left[ \chi \left( \frac{|\xi_1|^2}{1+4|\xi|^2} \right) \tilde{a}(\xi_1, \xi) \psi_k(\xi) \right] \left( x + \frac{z}{2}, z \right) \right\|_{L_z^1 L_x^2} \\
&= \left\| \mathcal{F}_\xi^{-1} \mathcal{F}_{\xi_1} \left\{ (1 - \partial_\xi^2)^{1/2} \left[ \chi \left( \frac{|\xi_1|^2}{1+4|\xi|^2} \right) \tilde{a}(\xi_1, \xi) \psi_k(\xi) \right] \right\} \left( x + \frac{z}{2}, z \right) \frac{1}{(1+z^2)^{1/2}} \right\|_{L_z^1 L_x^2} \\
&\lesssim \left\| \mathcal{F}_\xi^{-1} \mathcal{F}_{\xi_1} \left\{ (1 - \partial_\xi^2)^{1/2} \left[ \chi \left( \frac{|\xi_1|^2}{1+4|\xi|^2} \right) \tilde{a}(\xi_1, \xi) \psi_k(\xi) \right] \right\} (x, z) \right\|_{L_z^2 L_x^2}
\end{aligned}$$

$$\begin{aligned}
&\lesssim \left\| \partial_\xi \left[ \chi \left( \frac{|\xi_1|^2}{1+4|\xi|^2} \right) \tilde{a}(\xi_1, \xi) \psi_k(\xi) \right] \right\|_{L_{\xi_1}^2 L_\xi^2} + \left\| \chi \left( \frac{|\xi_1|^2}{1+4|\xi|^2} \right) \tilde{a}(\xi_1, \xi) \psi_k(\xi) \right\|_{L_{\xi_1}^2 L_\xi^2} \\
&\lesssim \left\| \frac{8|\xi||\xi_1|^2}{(1+4|\xi|^2)^2} \chi' \left( \frac{|\xi_1|^2}{1+4|\xi|^2} \right) \tilde{a}(\xi_1, \xi) \psi_k(\xi) \right\|_{L_{\xi_1}^2 L_\xi^2} + \left\| \chi \left( \frac{|\xi_1|^2}{1+4|\xi|^2} \right) \partial_\xi \tilde{a}(\xi_1, \xi) \psi_k(\xi) \right\|_{L_{\xi_1}^2 L_\xi^2} \\
&\quad + \left\| \chi \left( \frac{|\xi_1|^2}{1+4|\xi|^2} \right) \tilde{a}(\xi_1, \xi) \partial_\xi \psi_k(\xi) \right\|_{L_{\xi_1}^2 L_\xi^2} + \left\| \chi \left( \frac{|\xi_1|^2}{1+4|\xi|^2} \right) \tilde{a}(\xi_1, \xi) \psi_k(\xi) \right\|_{L_{\xi_1}^2 L_\xi^2} \\
&\lesssim 2^{-k/2} \|a(x, \xi)\|_{L_x^2 L_\xi^\infty} + 2^{k/2} \|\partial_\xi a(x, \xi)\|_{L_x^2 L_\xi^\infty} + 2^{-k/2} \|a(x, \xi)\|_{L_x^2 L_\xi^\infty} + 2^{k/2} \|a(x, \xi)\|_{L_x^2 L_\xi^\infty}.
\end{aligned}$$

Summing these inequalities over  $k \geq 0$ , we obtain that

$$\left\| p(\partial_x) \int_{\mathbb{R}} \mathcal{F}_2^{-1} \sigma_a \left( \frac{x+y}{2}, x-y \right) [(1 - \iota(i \partial_y)) f(y)] dy \right\|_{L_x^2} \lesssim \|p(\partial_x) \partial_x f\|_{L^\infty} \|a\|_{\mathcal{L}_1^2}.$$

The theorem then follows by combining the low- and high-frequency estimates.  $\square$

**B.5. Composition.** Finally, we state a commutator estimate for Weyl paraproducts. The composition of two symbols  $a$  and  $b$  is defined by

$$a \# b(x, \xi) = \iint_{\mathbb{R}^2} e^{-iy\eta} a(x, \xi + \eta) b(y + x, \xi) dy d\eta.$$

The following theorem is from [Lerner 2010, Theorem 2.3.7].

**Theorem B.5** (composition). *Let  $a_1 \in S_{1,0}^{m_1}$  and  $a_2 \in S_{1,0}^{m_2}$ . Then*

$$a_1 \# a_2 - a_1 a_2 - \frac{1}{2i} \{a_1, a_2\} \in S_{1,0}^{m_1+m_2-2},$$

where  $\{a_1, a_2\} = \partial_\xi a_1 \partial_x a_2 - \partial_\xi a_2 \partial_x a_1$  is the Poisson bracket.

Using Theorem B.3, we therefore obtain the following estimate.

**Theorem B.6.** *Let  $a \in \Gamma_{3,3}^{m_1}$ ,  $b \in \Gamma_{3,3}^{m_2}$ , and  $f \in H^s(\mathbb{R})$ . Then*

$$T_a T_b f = T_{ab} f + \frac{1}{2i} T_{\{a,b\}} f + \mathcal{R},$$

where  $\{a, b\} = \partial_2 a \cdot \partial_1 b - \partial_2 b \cdot \partial_1 a$  is the Poisson bracket of  $a$  and  $b$ , and the remainder  $\mathcal{R}$  satisfies

$$\|\mathcal{R}\|_{H^{s-(m_1+m_2-2)}} \lesssim M_{3,3}^{m_1}(a) M_{3,3}^{m_2}(b) \|f\|_{H^s}.$$

In addition,

$$\|[T_a, T_b]\|_{H^{s-(m_1+m_2-1)}} \lesssim M_{2,2}^{m_1}(a) M_{2,2}^{m_2}(b) \|f\|_{H^s}.$$

## References

- [Bahouri et al. 2011] H. Bahouri, J.-Y. Chemin, and R. Danchin, *Fourier analysis and nonlinear partial differential equations*, Grundlehren der Mathematischen Wissenschaften **343**, Springer, 2011. MR Zbl
- [Bertozzi and Constantin 1993] A. L. Bertozzi and P. Constantin, “Global regularity for vortex patches”, *Comm. Math. Phys.* **152**:1 (1993), 19–28. MR Zbl
- [Boulkhemair 1999] A. Boulkhemair, “ $L^2$  estimates for Weyl quantization”, *J. Funct. Anal.* **165**:1 (1999), 173–204. MR Zbl

[Buckmaster et al. 2019] T. Buckmaster, S. Shkoller, and V. Vicol, “Nonuniqueness of weak solutions to the SQG equation”, *Comm. Pure Appl. Math.* **72**:9 (2019), 1809–1874. MR Zbl

[Castro et al. 2016a] A. Castro, D. Córdoba, and J. Gómez-Serrano, “Existence and regularity of rotating global solutions for the generalized surface quasi-geostrophic equations”, *Duke Math. J.* **165**:5 (2016), 935–984. MR Zbl

[Castro et al. 2016b] A. Castro, D. Córdoba, and J. Gómez-Serrano, “Uniformly rotating analytic global patch solutions for active scalars”, *Ann. PDE* **2**:1 (2016), art. id. 1. MR Zbl

[Castro et al. 2020] A. Castro, D. Córdoba, and J. Gómez-Serrano, *Global smooth solutions for the inviscid SQG equation*, Mem. Amer. Math. Soc. **1292**, Amer. Math. Soc., Providence, RI, 2020. MR Zbl

[Chae et al. 2012] D. Chae, P. Constantin, D. Córdoba, F. Gancedo, and J. Wu, “Generalized surface quasi-geostrophic equations with singular velocities”, *Comm. Pure Appl. Math.* **65**:8 (2012), 1037–1066. MR Zbl

[Chemin 1993] J.-Y. Chemin, “Persistance de structures géométriques dans les fluides incompressibles bidimensionnels”, *Ann. Sci. École Norm. Sup. (4)* **26**:4 (1993), 517–542. MR Zbl

[Chemin 1998] J.-Y. Chemin, *Perfect incompressible fluids*, Oxford Lecture Series in Mathematics and its Applications **14**, The Clarendon Press, New York, 1998. MR Zbl

[Constantin et al. 1994] P. Constantin, A. J. Majda, and E. G. Tabak, “Singular front formation in a model for quasigeostrophic flow”, *Phys. Fluids* **6**:1 (1994), 9–11. MR Zbl

[Córdoba et al. 2004] D. Córdoba, C. Fefferman, and J. L. Rodrigo, “Almost sharp fronts for the surface quasi-geostrophic equation”, *Proc. Natl. Acad. Sci. USA* **101**:9 (2004), 2687–2691. MR Zbl

[Córdoba et al. 2005] D. Córdoba, M. A. Fontelos, A. M. Mancho, and J. L. Rodrigo, “Evidence of singularities for a family of contour dynamics equations”, *Proc. Natl. Acad. Sci. USA* **102**:17 (2005), 5949–5952. MR Zbl

[Córdoba et al. 2018] A. Córdoba, D. Córdoba, and F. Gancedo, “Uniqueness for SQG patch solutions”, *Trans. Amer. Math. Soc. Ser. B* **5** (2018), 1–31. MR Zbl

[Córdoba et al. 2019] D. Córdoba, J. Gómez-Serrano, and A. D. Ionescu, “Global solutions for the generalized SQG patch equation”, *Arch. Ration. Mech. Anal.* **233**:3 (2019), 1211–1251. MR Zbl

[Deng et al. 2017a] Y. Deng, A. D. Ionescu, and B. Pausader, “The Euler–Maxwell system for electrons: global solutions in 2D”, *Arch. Ration. Mech. Anal.* **225**:2 (2017), 771–871. MR Zbl

[Deng et al. 2017b] Y. Deng, A. D. Ionescu, B. Pausader, and F. Pusateri, “Global solutions of the gravity-capillary water-wave system in three dimensions”, *Acta Math.* **219**:2 (2017), 213–402. MR Zbl

[Fefferman and Rodrigo 2011] C. Fefferman and J. L. Rodrigo, “Analytic sharp fronts for the surface quasi-geostrophic equation”, *Comm. Math. Phys.* **303**:1 (2011), 261–288. MR Zbl

[Fefferman and Rodrigo 2012] C. Fefferman and J. L. Rodrigo, “Almost sharp fronts for SQG: the limit equations”, *Comm. Math. Phys.* **313**:1 (2012), 131–153. MR Zbl

[Fefferman and Rodrigo 2015] C. L. Fefferman and J. L. Rodrigo, “Construction of almost-sharp fronts for the surface quasi-geostrophic equation”, *Arch. Ration. Mech. Anal.* **218**:1 (2015), 123–162. MR Zbl

[Fefferman et al. 2012] C. Fefferman, G. Luli, and J. Rodrigo, “The spine of an SQG almost-sharp front”, *Nonlinearity* **25**:2 (2012), 329–342. MR Zbl

[Gancedo 2008] F. Gancedo, “Existence for the  $\alpha$ -patch model and the QG sharp front in Sobolev spaces”, *Adv. Math.* **217**:6 (2008), 2569–2598. MR Zbl

[Gancedo and Patel 2021] F. Gancedo and N. Patel, “On the local existence and blow-up for generalized SQG patches”, *Ann. PDE* **7**:1 (2021), art. id. 4. MR Zbl

[Gancedo and Strain 2014] F. Gancedo and R. M. Strain, “Absence of splash singularities for surface quasi-geostrophic sharp fronts and the Muskat problem”, *Proc. Natl. Acad. Sci. USA* **111**:2 (2014), 635–639. MR Zbl

[Germain 2010] P. Germain, “Space-time resonances”, *Journées équations aux dérivées partielles* **8** (2010), art. id. 8.

[Germain et al. 2009] P. Germain, N. Masmoudi, and J. Shatah, “Global solutions for 3D quadratic Schrödinger equations”, *Int. Math. Res. Not.* **2009**:3 (2009), 414–432. MR Zbl

[Germain et al. 2012] P. Germain, N. Masmoudi, and J. Shatah, “Global solutions for the gravity water waves equation in dimension 3”, *Ann. of Math.* (2) **175**:2 (2012), 691–754. MR Zbl

[Gómez-Serrano 2019] J. Gómez-Serrano, “On the existence of stationary patches”, *Adv. Math.* **343** (2019), 110–140. MR Zbl

[Hörmander 1985] L. Hörmander, *The analysis of linear partial differential operators, III: Pseudodifferential operators*, *Grundl. Math. Wissen.* **274**, Springer, 1985. MR Zbl

[Hunter and Shu 2018] J. K. Hunter and J. Shu, “Regularized and approximate equations for sharp fronts in the surface quasi-geostrophic equation and its generalizations”, *Nonlinearity* **31**:6 (2018), 2480–2517. MR Zbl

[Hunter et al. 2018] J. K. Hunter, J. Shu, and Q. Zhang, “Local well-posedness of an approximate equation for SQG fronts”, *J. Math. Fluid Mech.* **20**:4 (2018), 1967–1984. MR Zbl

[Hunter et al. 2020] J. K. Hunter, J. Shu, and Q. Zhang, “Contour dynamics for surface quasi-geostrophic fronts”, *Nonlinearity* **33**:9 (2020), 4699–4714. MR Zbl

[Ifrim and Tataru 2015] M. Ifrim and D. Tataru, “Global bounds for the cubic nonlinear Schrödinger equation (NLS) in one space dimension”, *Nonlinearity* **28**:8 (2015), 2661–2675. MR Zbl

[Ifrim and Tataru 2016] M. Ifrim and D. Tataru, “Two dimensional water waves in holomorphic coordinates, II: Global solutions”, *Bull. Soc. Math. France* **144**:2 (2016), 369–394. MR Zbl

[Ionescu and Pausader 2013] A. D. Ionescu and B. Pausader, “The Euler–Poisson system in 2D: global stability of the constant equilibrium solution”, *Int. Math. Res. Not.* **2013**:4 (2013), 761–826. MR Zbl

[Ionescu and Pusateri 2014] A. D. Ionescu and F. Pusateri, “Nonlinear fractional Schrödinger equations in one dimension”, *J. Funct. Anal.* **266**:1 (2014), 139–176. MR Zbl

[Ionescu and Pusateri 2015] A. D. Ionescu and F. Pusateri, “Global solutions for the gravity water waves system in 2d”, *Invent. Math.* **199**:3 (2015), 653–804. MR Zbl

[Ionescu and Pusateri 2016] A. D. Ionescu and F. Pusateri, “Global analysis of a model for capillary water waves in two dimensions”, *Comm. Pure Appl. Math.* **69**:11 (2016), 2015–2071. MR Zbl

[Ionescu and Pusateri 2018] A. D. Ionescu and F. Pusateri, *Global regularity for 2D water waves with surface tension*, *Mem. Amer. Math. Soc.* **1227**, Amer. Math. Soc., Providence, RI, 2018. MR Zbl

[Isett and Ma 2021] P. Isett and A. Ma, “A direct approach to nonuniqueness and failure of compactness for the SQG equation”, *Nonlinearity* **34**:5 (2021), 3122–3162. MR Zbl

[Khor and Rodrigo 2021a] C. Khor and J. L. Rodrigo, “Local existence of analytic sharp fronts for singular SQG”, *Nonlinear Anal.* **202** (2021), art. id. 112116. MR Zbl

[Khor and Rodrigo 2021b] C. Khor and J. L. Rodrigo, “On sharp fronts and almost-sharp fronts for singular SQG”, *J. Differential Equations* **278** (2021), 111–145. MR Zbl

[Kiselev et al. 2016] A. Kiselev, L. Ryzhik, Y. Yao, and A. Zlatoš, “Finite time singularity for the modified SQG patch equation”, *Ann. of Math.* (2) **184**:3 (2016), 909–948. MR Zbl

[Kiselev et al. 2017] A. Kiselev, Y. Yao, and A. Zlatoš, “Local regularity for the modified SQG patch equation”, *Comm. Pure Appl. Math.* **70**:7 (2017), 1253–1315. MR Zbl

[Lapeyre 2017] G. Lapeyre, “Surface quasi-geostrophy”, *Fluids* **2**:1 (2017), art. id. 7.

[Lerner 2010] N. Lerner, *Metrics on the phase space and non-selfadjoint pseudo-differential operators*, *Pseudo-Differential Operators. Theory and Applications* **3**, Birkhäuser, Basel, 2010. MR Zbl

[Li 2019] D. Li, “On Kato–Ponce and fractional Leibniz”, *Rev. Mat. Iberoam.* **35**:1 (2019), 23–100. MR Zbl

[Majda and Bertozzi 2002] A. J. Majda and A. L. Bertozzi, *Vorticity and incompressible flow*, *Cambridge Texts in Applied Mathematics* **27**, Cambridge University Press, 2002. MR Zbl

[Marchand 2008] F. Marchand, “Existence and regularity of weak solutions to the quasi-geostrophic equations in the spaces  $L^p$  or  $\dot{H}^{-1/2}$ ”, *Comm. Math. Phys.* **277**:1 (2008), 45–67. MR Zbl

[Ozawa 1991] T. Ozawa, “Long range scattering for nonlinear Schrödinger equations in one space dimension”, *Comm. Math. Phys.* **139**:3 (1991), 479–493. MR Zbl

[Pedlosky 1987] J. Pedlosky, *Geophysical fluid dynamics*, 2nd ed., Springer, 1987. Zbl

[Resnick 1995] S. G. Resnick, *Dynamical problems in non-linear advective partial differential equations*, Ph.D. thesis, The University of Chicago, 1995, available at <https://www.proquest.com/docview/304242616>. MR

[Rodrigo 2005] J. L. Rodrigo, “On the evolution of sharp fronts for the quasi-geostrophic equation”, *Comm. Pure Appl. Math.* **58**:6 (2005), 821–866. MR Zbl

[Scott and Dritschel 2014] R. K. Scott and D. G. Dritschel, “Numerical simulation of a self-similar cascade of filament instabilities in the surface quasigeostrophic system”, *Phys. Rev. Lett.* **112**:14 (2014), art. id. 144505.

[Scott and Dritschel 2019] R. K. Scott and D. G. Dritschel, “Scale-invariant singularity of the surface quasigeostrophic patch”, *J. Fluid Mech.* **863** (2019), art. id. R2. MR Zbl

[Taylor 2000] M. E. Taylor, *Tools for PDE: pseudodifferential operators, paradifferential operators, and layer potentials*, Mathematical Surveys and Monographs **81**, Amer. Math. Soc., Providence, RI, 2000. MR Zbl

Received 24 Nov 2019. Revised 23 Jun 2021. Accepted 21 Aug 2021.

JOHN K. HUNTER: [jkhunter@ucdavis.edu](mailto:jkhunter@ucdavis.edu)

*Department of Mathematics, University of California, Davis, CA, United States*

JINGYANG SHU: [jyshu@temple.edu](mailto:jyshu@temple.edu)

*Department of Mathematics, Temple University, Philadelphia, PA, United States*

QINGTIAN ZHANG: [qingtian.zhang@mail.wvu.edu](mailto:qingtian.zhang@mail.wvu.edu)

*Department of Mathematics, West Virginia University, Morgantown, WV, United States*

# PURE and APPLIED ANALYSIS

[msp.org/paa](http://msp.org/paa)

## EDITORS-IN-CHIEF

Charles L. Epstein	University of Pennsylvania cle@math.upenn.edu
Maciej Zworski	University of California at Berkeley zworski@math.berkeley.edu

## EDITORIAL BOARD

Sir John M. Ball	University of Oxford ball@maths.ox.ac.uk
Michael P. Brenner	Harvard University brenner@seas.harvard.edu
Charles Fefferman	Princeton University cf@math.princeton.edu
Susan Friedlander	University of Southern California susanfri@usc.edu
Anna Gilbert	University of Michigan annacg@umich.edu
Leslie F. Greengard	Courant Institute, New York University, and Flatiron Institute, Simons Foundation greengard@cims.nyu.edu
Yan Guo	Brown University yan_guo@brown.edu
Boris Hanin	Princeton University bhanin@princeton.edu
Claude Le Bris	CERMICS - ENPC lebris@cermics.enpc.fr
Robert J. McCann	University of Toronto mccann@math.toronto.edu
Michael O'Neil	Courant Institute, New York University oneil@cims.nyu.edu
Galina Perelman	Université Paris-Est Créteil galina.perelman@u-pec.fr
Jill Pipher	Brown University jill_pipher@brown.edu
Johannes Sjöstrand	Université de Dijon johannes.sjöstrand@u-bourgogne.fr
Vladimir Šverák	University of Minnesota sverak@math.umn.edu
Daniel Tataru	University of California at Berkeley tataru@berkeley.edu
Michael I. Weinstein	Columbia University miw2103@columbia.edu
Jon Wilkening	University of California at Berkeley wilken@math.berkeley.edu
Enrique Zuazua	DeustoTech-Bilbao, and Universidad Autónoma de Madrid enrique.zuazua@deusto.es

## PRODUCTION

Silvio Levy (Scientific Editor)  
[production@msp.org](mailto:production@msp.org)

**Cover image:** The figure shows the outgoing scattered field produced by scattering a plane wave, coming from the northwest, off of the (stylized) letters P A A. The total field satisfies the homogeneous Dirichlet condition on the boundary of the letters. It is based on a numerical computation by Mike O'Neil of the Courant Institute.

See inside back cover or [msp.org/paa](http://msp.org/paa) for submission instructions.

The subscription price for 2021 is US \$505/year for the electronic version, and \$565/year (+\$25, if shipping outside the US) for print and electronic. Subscriptions, requests for back issues and changes of subscriber address should be sent to MSP.

Pure and Applied Analysis (ISSN 2578-5885 electronic, 2578-5893 printed) at Mathematical Sciences Publishers, 798 Evans Hall #3840, c/o University of California, Berkeley, CA 94720-3840 is published continuously online. Periodical rate postage paid at Berkeley, CA 94704, and additional mailing offices.

PAA peer review and production are managed by EditFlow® from MSP.

PUBLISHED BY

 **mathematical sciences publishers**

nonprofit scientific publishing

<http://msp.org/>

© 2021 Mathematical Sciences Publishers

# PURE and APPLIED ANALYSIS

vol. 3 no. 3 2021

Global solutions of a surface quasigeostrophic front equation JOHN K. HUNTER, JINGYANG SHU and QINGTIAN ZHANG	403
On the analytic singular support for the solutions of a class of degenerate elliptic operators PAOLO ALBANO and MARCO MUGHETTI	473
A Dirichlet-to-Neumann approach to the mathematical and numerical analysis in waveguides with periodic outlets at infinity SONIA FLISS, PATRICK JOLY and VINCENT LESCARRET	487
Navier–Stokes regularity criteria in sum spaces EVAN MILLER	527
Regular sets and an $\epsilon$ -regularity theorem in terms of initial data for the Navier–Stokes equations KYUNGKEUN KANG, HIDEYUKI MIURA and TAI-PENG TSAI	567