

Leggett Modes Accompanying Crystallographic Phase Transitions

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Higgs and Goldstone modes, well known in high energy physics, have been realized in a number of condensed matter physics contexts, including superconductivity and magnetism. The Goldstone-Higgs concept is also applicable to and gives rise to new insights on structural phase transitions. Here, we show that the Leggett mode, a collective mode observed in multi-band superconductors, also has an analog in crystallographic phase transitions. Such structural Leggett modes can occur in the phase channel as in the original work of Leggett, *Prog. Theor. Phys.* **36**, 901 (1966). That is, they are antiphase Goldstone modes (anti-phasons). In addition, a new collective mode can also occur in the amplitude channel, an out-of phase (antiphase) Higgs mode, that should be observable in multi-band superconductors as well. We illustrate the existence and properties of these structural Leggett modes using the example of the pyrochlore relaxor ferroelectric, $\text{Cd}_2\text{Nb}_2\text{O}_7$.

I. INTRODUCTION

Spontaneous symmetry breaking is a ubiquitous phenomenon in physics, and is responsible for various collective modes, most famously the well-known Goldstone and Higgs modes. The former refers to fluctuations of the phase of an order parameter, the latter to fluctuations of its amplitude. These modes play a fundamental role in gauge theories of particle physics, and are also important in condensed matter physics. The Goldstone-Higgs phenomenon in high energy physics is a relativistic generalization of the analogous behavior found in superconductors [1]. In superconductors, the Goldstone mode does not occur at zero energy as in a neutral superfluid, but it is pushed to the plasma frequency by coupling to the electromagnetic field [2]. The superconducting Higgs mode is non-trivial to observe since its energy tends to be located near the quasiparticle continuum [3]. Nevertheless, it has been observed in several superconductors [4].

Superconductors can exhibit other collective modes. In particular, a relative phase mode of the order parameters of the two bands of a two-band superconductor was proposed by Leggett [5] and first realized in MgB_2 [6]. While the Goldstone mode is pushed to the plasma frequency by coupling to the electromagnetic field, the Leggett mode maintains charge neutrality and so is not affected by the field [5]. Other modes are known as well, for instance Carlson-Goldman modes in which the superconducting and normal condensates oscillate out of phase [7]. In

addition, in superfluid ^3He a variety of clapping, flapping, and Higgs modes occur because of the high degeneracy of its $\text{SO}(3) \times \text{SO}(3) \times \text{U}(1)$ order parameter space [8, 9].

Structural phase transitions are typically associated with soft phonons [10], and the concepts of Goldstone and Higgs modes have provided new insights into these transitions. A classic example is the pyrochlore $\text{Cd}_2\text{Re}_2\text{O}_7$. Its structural phase transition arises from an instability associated with a doubly degenerate Γ_3^- phonon [11]. This defines a Mexican-hat free energy surface with the top of the hat representing the high temperature cubic phase, and the brim representing the lower symmetry distorted phase. In the Landau free energy, anisotropy terms that warp the brim of the Mexican hat only arise at sixth order, suggesting the existence of a low energy Goldstone mode corresponding to oscillations along the brim. (Since they are not at zero energy because of the warping terms, these are sometimes referred to as pseudo-Goldstone modes). Subsequent Raman scattering experiments [12] exhibited strong evidence for this mode, and its existence has been recently confirmed by diffuse scattering studies [13]. The Higgs mode is also evident from the Raman data, though its interpretation has been challenged by recent pump-probe measurements [14]. After these pioneering studies of $\text{Cd}_2\text{Re}_2\text{O}_7$, Higgs and Goldstone modes have been proposed in a variety of perovskite and other complex oxides [15–20]. Routes to detect them if they are optically silent have also been identified [21].

Here, we investigate whether the Leggett mode can be realized in the structural context, where it could give new insights into the associated structural phase transitions. We begin by developing a minimal Landau model that describes a structural phase transition that is accompanied by a new collective mode, the antiphase Higgs mode (the

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amplitude analog of the Leggett mode), that should also be observable in multi-band superconductors. We then consider a Landau treatment of the ferroelectric transition in the pyrochlore $\text{Cd}_2\text{Nb}_2\text{O}_7$, where we show that both the antiphase Higgs mode and the Leggett mode (the anti-phason) are possible. Finally, we discuss secondary modes that can drive these Leggett modes by coupling to them in the context of pump-probe studies. We conclude by discussing the relevance of our work to other materials, in particular those that involve modes at non-zero wavevectors.

II. MINIMAL LANDAU MODEL

A superconductor is described by an order parameter $\Delta e^{i\phi}$ with an amplitude, Δ , and a phase, ϕ . In a two-band superconductor, one can identify a collective mode, called the Leggett mode, that corresponds to oscillations of the relative phase of the order parameters associated with the two bands, $\phi_1 - \phi_2$ [5]. This mode is an eigenvector of a secular matrix whose eigenvalues are the collective mode energies [22]. In the structural context, the corresponding secular matrix is the force-constant matrix [19], with elements $\varphi_{ij} = \frac{\partial^2 F}{\partial u_i \partial u_j}$ where u_i is the displacement of the i -th ion from its high symmetry position and F is the free energy. For a periodic system with N atoms in the unit cell, this is a $3N \times 3N$ matrix. For our further analysis, we will use a reduced form of the force-constant matrix, $\Phi_{ij} = \frac{\partial^2 F}{\partial q_i \partial q_j}$, where q_i are symmetry-adapted distortion modes [23, 24]. Each q_i is a linear combination of atomic displacements that transform like a particular group representation of the high symmetry phase, and as such describe a collective motion involving multiple ions. For structural phase transitions following Landau theory [25], F is formulated as a polynomial expansion in these q_i . The distortions from the high-symmetry phase are given, in the harmonic limit, by the eigenvectors of the force-constant matrix [26]. The advantage of Landau theory is the reduction of the large force-constant matrix to this smaller one involving only the q_i relevant to the phase transition [19].

To illustrate the structural Leggett mode, we present a simple example in which the phase transition involves only two modes, \mathbf{q} and \mathbf{r} , each from a different two-dimensional group representation. For simplicity, we use a Cartesian basis, \mathbf{q} (q_1, q_2) and \mathbf{r} (r_1, r_2). In a polar basis, $q_i = Q_i \cos(\phi_i)$, etc. In general, in the uncoupled case, each mode would have a different transition temperature, $T_{\mathbf{q}} \neq T_{\mathbf{r}}$. We describe each mode by a Mexican-hat potential, and include a biquadratic coupling between them [27]. In this case, the free energy is given by

$$F = \frac{a_{\mathbf{q}}}{2}(q_1^2 + q_2^2) + \frac{b_{\mathbf{q}}}{4}(q_1^2 + q_2^2)^2 + \frac{a_{\mathbf{r}}}{2}(r_1^2 + r_2^2) + \frac{b_{\mathbf{r}}}{4}(r_1^2 + r_2^2)^2 + \frac{c}{2}(q_1^2 + q_2^2)(r_1^2 + r_2^2), \quad (1)$$

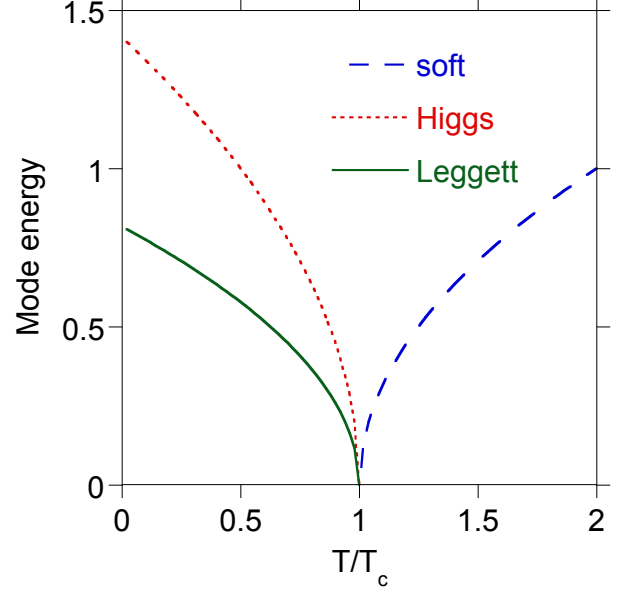


FIG. 1. Mode energies for the minimal Landau model with $a_{\mathbf{q}} = a_{\mathbf{r}}$, $b_{\mathbf{q}} = b_{\mathbf{r}}$, and $c = b_{\mathbf{q}}/2$, so that $T_{\mathbf{q}} = T_{\mathbf{r}} = T_c$. Units are such that $a_{\mathbf{q}0}/T_{\mathbf{q}}$ is set to unity. The Goldstone modes (not shown) are at zero energy.

where the temperature dependence is typically only included in the quadratic terms $a_{\mathbf{q}} \equiv a_{\mathbf{q}0}(T - T_{\mathbf{q}})$ and $a_{\mathbf{r}} \equiv a_{\mathbf{r}0}(T - T_{\mathbf{r}})$. The subspace of the force-constant matrix of interest is now given by

$$\Phi_{ij}(T) = \left. \frac{\partial^2 F}{\partial \phi_i \partial \phi_j} \right|_{\phi_i = \langle \phi_i \rangle, \phi_j = \langle \phi_j \rangle} \quad (2)$$

where $\phi_i \in \{q_1, q_2, r_1, r_2\}$, and $\langle \rangle$ describes the thermodynamic average at a given temperature.

Choosing without loss of generality that $q_1 = \langle q \rangle$, $q_2 = 0$, $r_1 = \langle r \rangle$, $r_2 = 0$, the force-constant matrix then becomes

$$\Phi = \begin{bmatrix} H_{\mathbf{q}} & 2c \langle q \rangle \langle r \rangle & 0 & 0 \\ 2c \langle q \rangle \langle r \rangle & H_{\mathbf{r}} & 0 & 0 \\ 0 & 0 & G_{\mathbf{q}} & 0 \\ 0 & 0 & 0 & G_{\mathbf{r}} \end{bmatrix}, \quad (3)$$

with

$$\begin{aligned} H_{\mathbf{q}} &= a_{\mathbf{q}0}(T - T_{\mathbf{q}}) + 3b_{\mathbf{q}} \langle q \rangle^2 + c \langle r \rangle^2 \\ G_{\mathbf{q}} &= a_{\mathbf{q}0}(T - T_{\mathbf{q}}) + b_{\mathbf{q}} \langle q \rangle^2 + c \langle r \rangle^2 \\ H_{\mathbf{r}} &= a_{\mathbf{r}0}(T - T_{\mathbf{r}}) + 3b_{\mathbf{r}} \langle r \rangle^2 + c \langle q \rangle^2 \\ G_{\mathbf{r}} &= a_{\mathbf{r}0}(T - T_{\mathbf{r}}) + b_{\mathbf{r}} \langle r \rangle^2 + c \langle q \rangle^2. \end{aligned}$$

Here, we use H to indicate Higgs modes, that is amplitude modes with symmetry A_1 , and G to indicate Goldstone modes, that is phase modes whose symmetry depends on the underlying space groups involved.

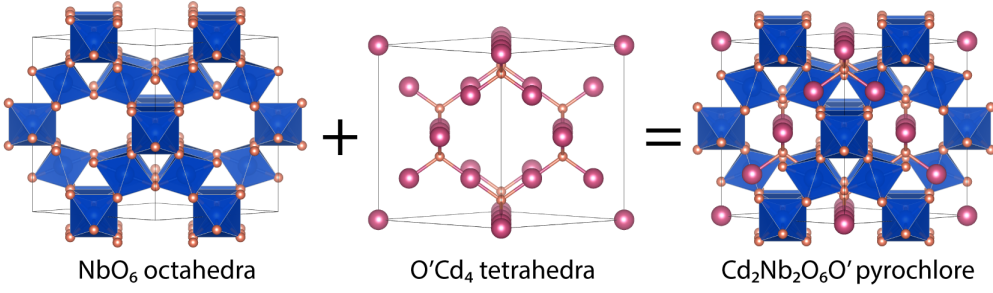


FIG. 2. Crystal structure of cubic $\text{Cd}_2\text{Nb}_2\text{O}_7$ shown to illustrate its two interpenetrating sublattices of NbO_6 octahedra and $\text{O}'\text{Cd}_4$ tetrahedra with Cd (magenta), Nb (blue), and O (orange) ions.

There are no off-diagonal terms coupling the Higgs and Goldstone sectors, but in this simple example, the biquadratic coupling term couples the two Higgs modes, $H_{\mathbf{q}}$ and $H_{\mathbf{r}}$. Because of this coupling, we expect that a new collective mode, the antiphase Higgs (the amplitude analog of the Leggett mode), can exist. To see this, note that the eigenvalues of the force-constant matrix are the square of the collective mode frequencies [28]. That is, the eigenvalues of the upper 2×2 block of the force-constant matrix are

$$\omega_{\pm}^2 = \frac{1}{2} \left(H_{\mathbf{r}} + H_{\mathbf{q}} \pm \sqrt{(H_{\mathbf{r}} - H_{\mathbf{q}})^2 + 16c^2 \langle q \rangle^2 \langle r \rangle^2} \right). \quad (4)$$

The eigenvectors are

$$(\mathbf{u}, \mathbf{v})_{\pm} = \frac{1}{N_{\pm}} \left(1, \frac{\omega_{\pm}^2 - H_{\mathbf{q}}}{2c \langle q \rangle \langle r \rangle} \right), \quad (5)$$

where N_{\pm} is a normalization factor. For small $c \langle q \rangle \langle r \rangle$ relative to $H_{\mathbf{q}} - H_{\mathbf{r}}$, we can think in terms of separate Higgs modes that are weakly coupled. In the limit of large coupling $c \langle q \rangle \langle r \rangle$, however, we obtain an in-phase Higgs mode and an out-of-phase Higgs mode. The latter is the Higgs analog of the Leggett phase mode. These are illustrated in Figure 1 for the simple case where the \mathbf{q} and \mathbf{r} parameters are the same. For this case, it is easy to show that the square of the Higgs mode energy is $-2a_{\mathbf{q}}$ and that of the Leggett mode is $-2a_{\mathbf{q}} \frac{b_{\mathbf{q}} - c}{b_{\mathbf{q}} + c}$.

For its realization, one finds from the above equations that even one-dimensional group representations would produce this antiphase Higgs mode. To determine the mode dispersions requires the addition of gradient terms that typically lead to a quadratic dispersion about the ordering wavevector, unless the mode energy at the ordering vector is at zero energy, in which case the dispersion is linear in momentum instead.

What about the anti-phason, the out-of-phase mode in the phase channel that would be the analog of the superconducting Leggett mode? To obtain this requires coupling of the two Goldstone modes. One can show that such coupling requires terms in Equation 1 that are linear in the two Goldstone variables. In the above example, this would be terms of the form $q_2 r_2$ times Higgs variables ($q_1, r_1, q_1^2, r_1^2, q_1 r_1$, etc.). Although these terms do not exist in the above minimal model, they do exist

in general. Instead of extending our minimal model to the more general case, we instead illustrate anti-phasons using the specific example of $\text{Cd}_2\text{Nb}_2\text{O}_7$.

III. LANDAU THEORY OF $\text{Cd}_2\text{Nb}_2\text{O}_7$

The pyrochlore $\text{Cd}_2\text{Nb}_2\text{O}_7$ is one of the few known stoichiometric materials that exhibits relaxor ferroelectric behavior. Because it is stoichiometric, its phonons, as observed by IR reflectivity and Raman scattering, are well defined. The pyrochlore structure consists of a network of corner sharing NbO_6 octahedra interpenetrated by CdO tetrahedra (or CdO chains depending on how one views it), as shown in Figure 2. Several structural transitions have been observed as a function of temperature, where the symmetry lowers from the high temperature cubic phase ($Fd\bar{3}m$). Of particular interest are the ferroelastic transition at 204 K (which lowers the point group symmetry from $m\bar{3}m$ to mmm , maintaining inversion) and the ferroelectric one at 196 K (which further lowers the point group symmetry to $mm2$) [29]. At much lower temperatures, two other transitions have been reported that are consistent with a monoclinic space group, probably Cc . Based on group-subgroup relations and structural refinements, the accepted phase transition series with decreasing temperature is $Fd\bar{3}m \rightarrow Imma \rightarrow Ima2 \rightarrow Cc$. The complexity of the phase diagram and the fact that the polarization direction is easily reoriented by an external field [29] indicate that the free energy landscape is soft, suggesting that this material is an excellent hunting ground for new collective modes.

Density functional theory (DFT) calculations ($T = 0$ K) show that $Fd\bar{3}m$ is unstable to both Γ_4^- and Γ_5^- distortions (the former being polar in nature). Γ_4^- and Γ_5^- are both primary order parameters for the transition from $Fd\bar{3}m$ to $Ima2$ [30]. In the ferroelectric phase, the minimum Landau subspace is 6×6 , given that both Γ_4^- and Γ_5^- are three-dimensional group representations, meaning $\text{Cd}_2\text{Nb}_2\text{O}_7$ hosts a richer space of possibilities than the minimal Landau model discussed earlier [31]. In addition, as we demonstrate below, more coupling terms exist besides the biquadratic one, a general result not specific to $\text{Cd}_2\text{Nb}_2\text{O}_7$. Before turning to $Ima2$, we first discuss the related $Fdd2$ space group which is simpler to present in a Cartesian basis.

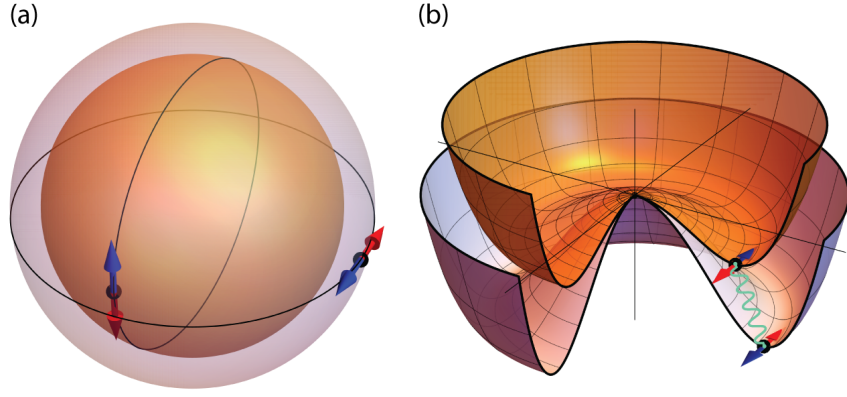


FIG. 3. Illustration of the Leggett phase mode for $Fdd2$ with (a) the free energy surfaces drawn as nested spheres for Γ_4^- and Γ_5^- , whose radii are the amplitudes Q_4 and Q_5 , and (b) the corresponding Mexican-hat potentials when restricting to Cc . In (a) the Cc subspace is indicated by great circles on these spheres (which are in reality warped given that Q_4 and Q_5 depend on the spherical angles). The $Fdd2$ minimum in Cartesian coordinates is $(Q_4, 0, 0)$ on the Γ_4^- sphere and $(0, Q_5, 0)$ on the Γ_5^- sphere. In (b) the vertical axis is energy, with the wavy line indicating the Landau couplings between the two energy surfaces. In both panels, the Goldstone mode corresponds to oscillations about the $Fdd2$ minima on each circle (these minima are indicated by black dots) to one Cc domain on one swing (a red arrow on one surface, a blue arrow on the other) and to another Cc domain on the other swing (a blue arrow on one surface, a red arrow on the other). The higher energy Leggett mode (anti-phason) instead involves oscillations corresponding to antiphase Cc domains, with one swing involving both red arrows and the other swing involving both blue arrows. A similar picture exists for the antiphase Higgs mode, with the arrows pointing along the radial directions instead.

TABLE I. Space groups generated on condensing the (Γ_4^-, Γ_5^-) order parameters along various crystallographic directions in $Cd_2Nb_2O_7$ (generated from Ref. [32]).

Γ_4^-	Γ_5^-	Space Group
(a,0,0)	(0,b,0)	$Fdd2$
(a,a,0)	(0,b,-b)	$Ima2$
(a,a,a)	(b,b,b)	$R3$
(a,b,0)	(0,c,d)	Cc
(a,a,b)	(0,c,-c)	Cm
(a,a,0)	(-c,b,-b)	$C2$

A. $Fdd2$

The six-dimensional subspace formed by Γ_4^- and Γ_5^- defines two free energy surfaces (Figure 3a). These surfaces are three-dimensional generalizations of two Mexican-hat potentials (Figure 3b), and can be considered as nested warped spheres, one for Γ_4^- , the other for Γ_5^- . Each representation condensing along the cubic axis of its respective sphere gives rise to $Fdd2$. Interestingly, $Fdd2$ has been identified as the local structure of $Cd_2Nb_2O_7$ from diffuse scattering studies [33] and is also the global space group upon sulfur doping [34]. But what is evident from both the DFT and structural studies is that there are a number of space groups which are close in energy and provide comparable descriptions of the data. That is, the free energy landscape of these two coupled spheres is relatively flat (i.e., the warping of the spheres is small). DFT calculations indicate that structures where Γ_4^- is dominant

have a slightly lower free energy than ones where Γ_5^- is dominant [34], whereas order parameter-like behavior of the distortion amplitudes as a function of temperature has only been claimed for Γ_5^- based on global structural refinements [35]. Regardless, the net result is that one has two coupled flat energy surfaces, one for Γ_4^- , the other for Γ_5^- , which only differ from each other by a few meV per formula unit [34].

In terms of order parameter directions (Table I), the $Fdd2$ phase corresponds to $(a, 0, 0)|(0, c, 0)$ with $(a, 0, 0)$ being a point on the Γ_4^- sphere and $(0, c, 0)$ on the Γ_5^- sphere, whereas $Ima2$ corresponds to $(a, a, 0)|(0, c, -c)$ instead. Here, a refers to the Γ_4^- order parameter and c to the Γ_5^- one. The lower symmetry space group encompassing these two is Cc , corresponding to $(a, b, 0)|(0, c, d)$, which can be seen to correspond to particular great circles on each sphere (analogous to the Mexican-hat brims of the minimal model). For our purposes here, we will restrict our analysis to these two circles, recognizing that there are also fluctuations orthogonal to them on the spheres corresponding to rhombohedral ($R3$) and other monoclinic (Cm and $C2$) space groups, as well as to other domains of $Fdd2$ and $Ima2$ not represented by these two particular circles (Table II). That is, we consider a reduced 4×4 force-constant matrix. We note that for these flat free energy surfaces, it is often useful to describe each order parameter with spherical/polar coordinates, but for simplicity in the following derivations we will use a Cartesian basis instead.

We obtain the Landau free energy using the INVARIANTS routine [36] (accessible electronically at Ref. [32]), noting that it is important to specify the appropriate

Cc domains for the subspace considered, specifically the order parameter directions $(a, b, 0)|(0, c, d)$. Considering terms to quartic order, we obtain

$$\begin{aligned} F = & \frac{\alpha_4}{2}(a^2 + b^2) + \frac{\alpha_5}{2}(c^2 + d^2) + \frac{\beta_4}{4}(a^2 + b^2)^2 \\ & + \frac{\gamma_4}{4}(a^4 + b^4) + \frac{\beta_5}{4}(c^2 + d^2)^2 + \frac{\gamma_5}{4}(c^4 + d^4) \\ & + \frac{\delta}{2}(a^2 + b^2)(c^2 + d^2) + \epsilon_1(ab)(ad - bc) \\ & + \frac{\epsilon_2}{2}(a^2c^2 + b^2d^2) + \epsilon_3(abcd) + \epsilon_4(cd)(ad - bc). \end{aligned} \quad (6)$$

We note that in polar coordinates, $a = Q_4 \cos(\phi_4)$, $b = Q_4 \sin(\phi_4)$, $c = Q_5 \cos(\phi_5)$, $d = Q_5 \sin(\phi_5)$, where Q_i are the amplitudes and ϕ_i are the phases.

We first consider expanding about an assumed $Fdd2$ free energy minimum, and then consider $Ima2$ next. For $Fdd2$, fluctuations of a and c correspond to Higgs modes (A_1 symmetry), fluctuations of b and d to Goldstone modes (B_2 symmetry) (Table II). Note these Goldstone modes are not at zero energy because of the anisotropy terms γ_i , so they are formally pseudo-Goldstone modes. In addition, the δ (biquadratic) and ϵ_i terms will provide coupling between the two Higgs modes, and the latter also between the two Goldstone modes. There is no coupling between the Higgs and the Goldstone modes, so the 4×4 matrix reduces to two 2×2 blocks: one for the two Higgs modes, one for the two Goldstone modes. This remains true when considering sixth-order terms in the free energy, and also for the full $\Gamma_4^- \oplus \Gamma_5^-$ space. That is, this larger 6×6 matrix reduces to three 2×2 blocks: one in the Higgs sector and the other two in the Goldstone sector. That is, there are no terms coupling the two Goldstone blocks.

Differentiating Equation 6 with respect to a, b, c, d and setting each expression to zero determines these parameters via a set of coupled equations [27]. One can see from these expressions that $b = d = 0$ ($Fdd2$) is an allowed solution to these coupled equations. Whether it is or is not depends on the actual values of the Landau coefficients, but for purposes here we assume that this is the case [37].

The coefficients of the force-constant matrix for each of the modes are determined by $\frac{\partial^2 F}{\partial q_i \partial q_j}$ where q_i are a, b, c, d , evaluated at $a = \langle a \rangle$, $c = \langle c \rangle$, $b = d = 0$. The diagonal elements of the force-constant matrix will give the uncoupled Higgs mode energies (aa and cc elements) and Goldstone mode energies (bb and dd elements). Of interest here are the off-diagonal terms. We find that in this case, there are two non-zero ones. The first is the ac one that couples the two Higgs modes

$$\frac{\partial^2 F}{\partial a \partial c} = 2(\delta + \epsilon_2)(ac). \quad (7)$$

The other is the bd one that couples the two Goldstone modes

$$\frac{\partial^2 F}{\partial b \partial d} = \epsilon_1(a^2) + \epsilon_3(ac) - \epsilon_4(c^2). \quad (8)$$

For each 2×2 block, we denote the diagonal elements corresponding to the uncoupled mode energies as ω_4^2 (aa or bb) and ω_5^2 (cc or dd). Denoting the off-diagonal element in each block as X (ab or cd), one obtains as before coupled mode energies of the form

$$\omega_{\pm}^2 = \frac{\omega_4^2 + \omega_5^2}{2} \pm \sqrt{\frac{(\omega_4^2 - \omega_5^2)^2}{4} + X^2}. \quad (9)$$

The two eigenvectors of this matrix either have the two components in-phase, or out-of-phase, as in Equation 5. The in-phase modes then correspond to Higgs and Goldstone modes, the out-of-phase modes to their Leggett analogs.

To understand the two phase modes, consider a given $Fdd2$ domain, $(a, 0, 0)|(0, c, 0)$. The Goldstone mode would correspond to oscillations along the two circles on the free energy spheres towards one Cc domain $(a, b, 0)|(0, c, d)$ (one swing) and towards another Cc domain $(a, -b, 0)|(0, c, -d)$ (the other swing) as illustrated in Figure 3. The Leggett analog corresponds instead to oscillations towards $(a, b, 0)|(0, c, -d)$ and $(a, -b, 0)|(0, c, d)$. These can be thought of as antiphase domains of Cc that occur at a higher energy since they are penalized by the coupling terms in the Landau free energy. As such, the Leggett phase mode occurs at a higher energy than the Goldstone mode. We therefore denote the Leggett phase mode as an anti-phason. A similar picture applies in the Higgs sector (oscillations along the radial directions in Figure 3). That is, the amplitudes Q_4 and Q_5 oscillate either in-phase or out-of phase, so we can denote the latter as a Leggett amplitude mode, that is an antiphase Higgs mode. Considering the full six-dimensional $\Gamma_4^- \oplus \Gamma_5^-$ space, there are actually two ‘anti-phasons’ and one ‘antiphase Higgs’ mode, as alluded to above.

B. $Ima2$

Although the $Fdd2$ space group is realized upon sulfur doping [34], stoichiometric $\text{Cd}_2\text{Re}_2\text{O}_7$ is thought to be $Ima2$ below the ferroelectric transition. The $Ima2$ case is similar to the $Fdd2$. $Ima2$ corresponds to $a = b$ and $d = -c$. These coordinates represent points on the two circles in Figure 3 that are rotated by 45° relative to $Fdd2$. Therefore, to construct Goldstone and Higgs variables in a Cartesian basis, it is convenient to express F using a 45° rotated coordinate frame instead, that is $\tilde{a} = (a + b)/\sqrt{2}$, $\tilde{b} = (a - b)/\sqrt{2}$, $\tilde{c} = (c - d)/\sqrt{2}$, $\tilde{d} = (c + d)/\sqrt{2}$, so that $Ima2$ corresponds to $\tilde{b} = \tilde{d} = 0$. The resulting

TABLE II. Fluctuations about $Fdd2$ and $Ima2$ derived from Table I. H denotes fluctuations indicated by the blue/red arrows along the specific great circles shown on the spheres in Figure 3. V denotes fluctuations along great circles orthogonal to these circles. Note that the circles are turned 90 degrees between the two surfaces because of the different transformation properties of Γ_4^- and Γ_5^- . R denotes fluctuations along the radial directions of the spheres. For $Fdd2$, the two Cc rows correspond to different Cc domains. Also, the reduction to $C2$ is not shown because it involves an $Fdd2 \rightarrow P1 \rightarrow C2$ path on the spheres in Figure 3.

Space group	Symmetry	Γ_4^-	Γ_5^-	Modes
$Fdd2 \rightarrow Fdd2$	$\Gamma_1 (A_1)$	R	R	Higgs, antiphase Higgs
$Fdd2 \rightarrow Cc$	$\Gamma_4 (B_2)$	H	H	Goldstone, anti-phason
$Fdd2 \rightarrow Cc$	$\Gamma_3 (A_2)$	V	V	Goldstone, anti-phason
$Ima2 \rightarrow Ima2$	$\Gamma_1 (A_1)$	R	R	Higgs, antiphase Higgs
$Ima2 \rightarrow Cc$	$\Gamma_4 (B_2)$	H	H	Goldstone, anti-phason
$Ima2 \rightarrow Cm$	$\Gamma_3 (A_2)$	V		Goldstone
$Ima2 \rightarrow C2$	$\Gamma_2 (B_1)$		V	Goldstone

Landau free energy is:

$$\begin{aligned}
F = & \frac{\alpha_4}{2}(\tilde{a}^2 + \tilde{b}^2) + \frac{\alpha_5}{2}(\tilde{c}^2 + \tilde{d}^2) + \frac{\beta_4}{4}(\tilde{a}^2 + \tilde{b}^2)^2 \\
& + \frac{\gamma_4}{8}(\tilde{a}^4 + \tilde{b}^4 + 6\tilde{a}^2\tilde{b}^2) + \frac{\beta_5}{4}(\tilde{c}^2 + \tilde{d}^2)^2 \\
& + \frac{\gamma_5}{8}(\tilde{c}^4 + \tilde{d}^4 + 6\tilde{c}^2\tilde{d}^2) + \frac{\delta}{2}(\tilde{a}^2 + \tilde{b}^2)(\tilde{c}^2 + \tilde{d}^2) \\
& + \frac{\epsilon_1}{2}(\tilde{a}^2 - \tilde{b}^2)(\tilde{b}\tilde{d} - \tilde{a}\tilde{c}) \\
& + \frac{\epsilon_2}{4}[(\tilde{a}^2 + \tilde{b}^2)(\tilde{c}^2 + \tilde{d}^2) + 4\tilde{a}\tilde{b}\tilde{c}\tilde{d}] \\
& + \frac{\epsilon_3}{4}(\tilde{a}^2 - \tilde{b}^2)(\tilde{d}^2 - \tilde{c}^2) + \frac{\epsilon_4}{2}(\tilde{d}^2 - \tilde{c}^2)(\tilde{b}\tilde{d} - \tilde{a}\tilde{c}).
\end{aligned} \tag{10}$$

One can show that, as before, the only off-diagonal terms of the force-constant matrix that survive are the $\tilde{a}\tilde{c}$ and $\tilde{b}\tilde{d}$ terms. Thus, the force-constant matrix again reduces to two 2×2 blocks and results in a Higgs mode, a Goldstone mode, and the two Leggett modes: an antiphase Higgs mode and an anti-phason. This is straightforward to understand from a study of Figure 3 and Table I as we summarize in Table II.

We now connect these results to those on superconductors. Upon manipulation of the corresponding dynamical matrix in the case of superconductivity [22], one can show that the off-diagonal element for a charge-neutral two-band superconductor is equal to the square root of the product of the two diagonal elements. This results in one mode frequency that is zero (the Goldstone mode) and one whose squared frequency is the sum of the two diagonal elements (the Leggett mode). Besides this distinction, there is no qualitative difference between the superconductivity and structural mode cases. In particular, for both cases, the two components of the eigenvector do not have equal amplitudes. In the superconductivity case,

this is due to the difference in the density of states of the two bands. In the structural case, it is because the uncoupled mode frequencies for Γ_4^- and Γ_5^- differ.

The Leggett analog of the Higgs mode (the antiphase Higgs) has not been explicitly described before. A related mode, however, was predicted recently in time-reversal breaking superconductors [38]. It should be observable as well in multi-band superconductors, and would correspond to out-of-phase oscillations of the gap amplitudes of the two bands. We suggest that the existence of this mode be searched for by appropriate experiments (Raman, pump-probe) on two-band superconductors like MgB_2 . Below, we address its observation in the structural case.

C. Secondary Order Parameters

The secondary order parameters present in $\text{Cd}_2\text{Nb}_2\text{O}_7$ are even-parity ones with symmetry Γ_3^+ and Γ_5^+ . For Cc , this results in a seven-dimensional space: $\Gamma_4^-(a, b) \oplus \Gamma_5^-(c, d) \oplus \Gamma_3^+(e, f) \oplus \Gamma_5^+(g)$. Expanding around $Fdd2$ ($b = d = g = 0$) and $Ima2$ ($b = a, d = -c, f = 0$), no couplings exist between the primary Higgs and Goldstone modes (that is, the ab, ad, bc, cd terms in the force-constant matrix vanish at the $Fdd2$ and $Ima2$ minima). This means that any coupling between these Higgs and Goldstone modes are beyond the harmonic approximation, however higher-order coupling can occur in non-equilibrium situations [19, 21]. At first glance, these secondary modes shift the various primary mode frequencies that would be determined from the smaller 4×4 block. That is, one can in principle reduce this 7×7 matrix to an effective 4×4 one by integrating out e, f, g in the Landau free energy equations, as these secondary order parameters are slaved to the primary ones.

But closer inspection of the form of the primary-secondary mode couplings reveals new opportunities to study the Leggett modes. To see this, note that in the Cc subspace discussed above, one now has the following coupling terms at cubic order since the secondary order parameters have even parity:

$$\begin{aligned}
F_3 = & \eta_1 \left[\frac{1}{\sqrt{3}}(a^2 + b^2)e + (a^2 - b^2)f \right] \\
& + \eta_2 \left[\frac{1}{\sqrt{3}}(c^2 + d^2)e + (c^2 - d^2)f \right] \\
& + \eta_3 [(ac - bd)e - \frac{1}{\sqrt{3}}(ac + bd)f] \\
& + \eta_4(abg) + \eta_5(cdg) + \eta_6[(ad - bc)g]. \tag{11}
\end{aligned}$$

For both $Fdd2$ and $Ima2$, the η_3 term leads to both primary Higgs and Goldstone mode couplings. For $Ima2$, the η_6 term also leads to both primary Higgs and Goldstone couplings. We note that Γ_3^+ and Γ_5^+ are Raman active modes in the cubic phase. Therefore, below the ferroelectric transition, they can be used to drive the primary Leggett modes via these two cubic terms, analogous to nonlinear phononics experiments on perovskites [39] that

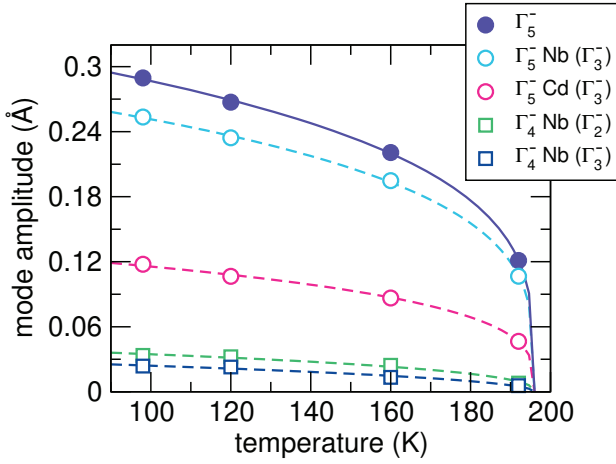


FIG. 4. Decomposition of the *Ima2* structural refinement of $\text{Cd}_2\text{Nb}_2\text{O}_7$ from Ref. [35] using ISODISTORT [24, 32]. Shown is the overall Γ_5^- (T_{2u}) amplitude previously plotted in [35] along with various Γ_4^- (T_{1u}) and Γ_5^- decompositions involving either Nb or Cd ion displacements from their cubic positions, noting that for each ion, Γ_4^- has two submodes Γ_3^- (E_u) and Γ_2^- (A_{2u}) whereas Γ_5^- has only one (Γ_3^-). Curves are proportional to $|T_c - T|^\beta$, where $T_c = 196$ K is the ferroelectric transition temperature and $\beta = 0.27$ is the order parameter exponent.

have been studied theoretically using similar Landau-like equations of motion [40–42]. Note that pump-probe experiments have been instrumental in the study of Leggett modes in superconductors [43].

In the above analysis, we did not include strain (which typically renormalizes the Landau coefficients), and gradient terms which need to be included to address domain walls. One would expect that the collective modes could be significantly modified by domain walls if they are spatially broad enough [44] and present at a high enough density. These effects would be interesting to study in future work.

D. Submodes and Phonons for $\text{Cd}_2\text{Nb}_2\text{O}_7$

In the real crystal, there are multiple force-constant matrix eigenmodes for each symmetry, typically referred to in the literature as ‘submodes’. The full matrix has a size of 66×66 . Restricting the symmetry to C_c , the matrix reduces to 33×33 . Further restriction to Γ_4^- and Γ_5^- , it reduces to 24×24 . In the Landau treatment, each q_i is a particular sum of these submodes with the appropriate group symmetry that is gotten by reducing this larger matrix to the smaller 4×4 matrix. Still, one can ask the question whether this simplification is supported by the data or not. To address this, in Figure 4, we plot the temperature dependence of several relevant submode amplitudes based on the *Ima2* crystal structure refinements of Malcherek *et al.* [35]. We find that the Cd and Nb displacements for both Γ_4^- and Γ_5^- symmetries scale with the overall Γ_5^- order parameter amplitude that

was previously shown in Ref. [35]. This indicates that this simpler Landau description is valid.

We now turn to the phonons. Since the ions involved (Cd, Nb, O) have different masses, there is no one-to-one correspondence between the force-constant modes and the phonons (unless the mode involved only one ion type). As a consequence, a given force-constant mode could involve more than one phonon. However, it has been shown that there is a strong correspondence between single phonons and the Higgs and Goldstone modes in YMnO_3 [19]. Therefore it is probable that such modes for $\text{Cd}_2\text{Nb}_2\text{O}_7$ can also be associated with specific phonons.

To gain further insight, we turn to experimental Raman and infrared (IR) data. In the *Ima2* phase, all phonons are in principle Raman active. Raman data on $\text{Cd}_2\text{Nb}_2\text{O}_7$ find several low lying A_1 and B_2 modes below the ferroelectric transition, three of which soften as the structural phase transition is approached from below [45]. As the amplitude modes have A_1 symmetry and the phase modes have B_2 symmetry, there should be a correlation between our collective modes and the data. That is, it is possible that the two lowest lying A_1 Raman modes corresponds to the Higgs and antiphase Higgs modes. Presumably the lowest lying B_2 mode is the Goldstone mode, with the anti-phason corresponding to a higher energy B_2 mode that has yet to be studied.

The IR data for $\text{Cd}_2\text{Nb}_2\text{O}_7$ are complicated given the presence of seven optic modes of Γ_4^- symmetry in the cubic phase, which further split in the ferroelectric phase. Two of the lower lying IR modes have been interpreted as being coupled (both above and below the transition) due to their temperature dependencies [46]. One of these, referred to as a ‘central’ mode and speculated to be due to hopping of Cd ions among equivalent locations displaced from their cubic positions, is not thought to be of Γ_4^- symmetry given that seven other IR modes of this symmetry are already seen. Its coupling with a higher energy second mode, thought to be a Nb displacement mode of Γ_4^- symmetry, drives this central mode to soften at the ferroelectric transition [46]. Whether this central mode is an A_1 symmetry mode (as typical for an order-disorder transition), or instead a Γ_5^- mode that becomes IR active due to coupling to the second Γ_4^- mode, is worth investigating. If the latter, this would be consistent with the theory we offer above of two coupled modes of Γ_4^- and Γ_5^- symmetry. Moreover, as discussed above, pump-probe studies of $\text{Cd}_2\text{Nb}_2\text{O}_7$ would be instrumental in probing for possible collective modes: Higgs, Goldstone, and their Leggett analogs.

IV. SEARCHING FOR AND OBSERVING LEGGETT MODES

Although we considered the specific example of $\text{Cd}_2\text{Nb}_2\text{O}_7$, the above analysis should be applicable whenever more than one primary order parameter is involved in a phase transition. As such, Leggett modes should

exist in the structural context, and could be identified from a DFT analysis of the force-constant and dynamical (phonon) matrices in comparison to experimental data. We plan to report on this in a future paper that will provide a detailed DFT study of $\text{Cd}_2\text{Nb}_2\text{O}_7$ [47]. In general, analysis of Raman and IR data, including the symmetry of the modes and their temperature dependence, should be helpful in elucidating the presence of Leggett modes. Specifics, including identifying the out-of-phase behavior characteristic of the Leggett modes, could be resolved by pump-probe studies of the phase of the oscillations as a function of time. Moreover, the equations of motion associated with nonlinear phononics involve the same cubic and quartic coupling terms invoked in this paper that provide for the existence of the Leggett modes to begin with. Driving specific modes would then be instrumental in identifying the various collective modes via their couplings to the driven mode.

As for materials, obviously those phase transitions involving more than one primary group representation would be obvious targets for study, including those exhibiting improper and hybrid-improper ferroelectric transitions [48–53]. Although the latter typically involve order parameters with non-zero wavevector, the Landau coupling terms in the free energy are similar and so the considerations presented here should be valid there as well. The existence of low lying modes would be aided by having flat energy surfaces with low transition barriers as considered here. In that context, $\text{Cd}_2\text{Nb}_2\text{O}_7$ consists of corner sharing NbO_6 octahedra in an open framework interpenetrated by CdO tetrahedra that are weakly coupled to the octahedra, implying floppy low energy modes. Going from a cubic phase to a lower symmetry orthorhombic or monoclinic phase is also useful in order to optimize the number of coupling terms in the Landau free energy. This is particularly pervasive in pyrochlores and spinels. Cage-like structures as in skutterudites with their associated floppy modes would also be a good place to search. Much of the work concerning Goldstone and Higgs modes has been done in the context of perovskites, which also involve corner-sharing octahedra, and a number of them exhibit improper ferroelectric transitions as referenced above. Similar considerations to ours would also apply

to ferroelastic transitions. However, a large coupling to strain usually leads to large warping terms (see e.g. in the case of ferroelastic WO_3 [54]). A locally flat energy landscape can sometimes be recovered at Ising-type domain walls (see e.g. for LiNbO_3 [55]). In the same way, locally-confined Leggett modes could be observed.

V. CONCLUSION

We demonstrated via a Landau analysis that structural Leggett modes should exist in the context of displacive transitions when more than one multi-dimensional group representation is involved. These collective modes exist not only in the phase channel as in the original work of Leggett, but also in the amplitude channel, representing a new collective mode, the antiphase Higgs, that should be observable in multi-band superconductors as well. We studied in detail the specific case of the relaxor ferroelectric pyrochlore $\text{Cd}_2\text{Nb}_2\text{O}_7$, which we believe is a promising material to search for such modes. We believe there should be a large group of materials where such modes could exist, and advocate in particular that pump-probe studies would be the most illuminating way to identify and characterize these modes. The study of such modes should give new insights into their associated crystallographic phase transitions.

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