| 1  | Real-time dynamic simulation for highly accurate                                    |
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| 2  | spatiotemporal brain deformation from impact  |
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| 5  | Shaoju Wu <sup>1</sup> , Wei Zhao <sup>1</sup> , Songbai Ji <sup>1,2*</sup>         |
| 6  | <sup>1</sup> Department of Biomedical Engineering, Worcester Polytechnic Institute, |
| 7  | Worcester, MA   |
| 8  | <sup>2</sup> Department of Mechanical Engineering, Worcester Polytechnic Institute, |
| 9  | Worcester, MA   |
| 10 |   |
| 11 | * <u>Corresponding author:</u>  |
| 12 | Dr. Songbai Ji  |
| 13 | 60 Prescott Street  |
| 14 | Department of Biomedical Engineering  |
| 15 | Worcester Polytechnic Institute   |
| 16 | Worcester, MA 01506, USA  |
| 17 | <u>sji@wpi.edu;</u> (508) 831-4956  |
| 18 |   |
| 19 | Resubmission: January 12, 2022  |

# 20 Abstract

21 Real-time dynamic simulation remains a significant challenge for spatiotemporal data of high dimension and 22 resolution. In this study, we establish a transformer neural network (TNN) originally developed for natural 23 language processing and a separate convolutional neural network (CNN) to estimate five-dimensional (5D) 24 spatiotemporal brain-skull relative displacement resulting from impact (isotropic spatial resolution of 4 mm 25 with temporal resolution of 1 ms). Sequential training is applied to train (N=5184 samples) the two neural 26 networks for estimating the complete 5D displacement across a temporal duration of 60 ms. We find that 27 TNN slightly but consistently outperforms CNN in accuracy for both displacement and the resulting voxel-28 wise four-dimensional (4D) maximum principal strain (e.g., root mean squared error (RMSE) of ~1.0% vs. 29  $\sim 1.6\%$ , with coefficient of determination,  $R^2 > 0.99$  vs. > 0.98, respectively, and normalized RMSE (NRMSE) 30 at peak displacement of 2–3%, based on an independent testing dataset; N=314). Their accuracies are similar 31 for a range of real-world impacts drawn from various published sources (dummy, helmet, football, soccer, 32 and car crash; average RMSE/NRMSE of  $\sim 0.3 \text{ mm}/\sim 4-5\%$  and average  $R^2$  of  $\sim 0.98$  at peak displacement). 33 Accuracy in strain rate is also illustrated in one case (NRMSE of 7.8% and  $R^2$  of 0.91). Sequential training 34 is effective for allowing instantaneous estimation of 5D displacement with high accuracy, although TNN 35 poses a heavier computational burden in training. This work enables efficient characterization of the 36 intrinsically dynamic brain strain in impact critical for downstream multiscale axonal injury model 37 simulation. This is also the first application of TNN in biomechanics and biomedical engineering, which 38 offers important insight into how real-time dynamic simulations can be achieved across diverse engineering 39 fields.

40

41 Keywords: dynamic simulation, transformer neural network, convolutional neural network, concussion,

42 traumatic brain injury, Worcester Head Injury Model

# 44 Introduction

Dynamic simulation is ubiquitous across diverse engineering fields [1]. This type of simulation models time-varying behavior of a system described by differential equations solved by the simulation to obtain state variables over time. Unlike a static simulation in which output only relies on the current input, the entire response history including prior inputs, internal variables and outputs is also critical for the current output in a dynamic simulation. Therefore, achieving real-time dynamic simulation remains a significant challenge, especially for high-dimensional data [2,3].

51 In computational biomechanics, artificial neural networks are often used to substantially improve 52 dynamic simulation efficiency. For example, a fully connected neural network was used to speed up the Total 53 Lagrangian Explicit Dynamics algorithm in soft tissue dynamic simulation [4], achieving real-time 54 performance in flexible multibody dynamics [5]. More sophisticated long short-term memory (LSTM) [6] 55 and sparse autoencoder [7] neural networks are also employed to approximate time-series data and to generate 56 thermodynamics-aware reduced-order models, respectively. More recently, a three-dimensional (3D) 57 convolutional neural network (CNN) was developed to process dynamic axial crushing typically used in 58 vehicle crashworthiness applications [8]. The success of these studies inspires further explorations of how 59 modern neural networks can be employed to facilitate diverse dynamic simulations.

60 In the field of biomechanical mechanism of traumatic brain injury (TBI), head injury models are 61 also widely used to simulate dynamic head impact [9]. The model discretizes the brain's spatial domain to 62 assemble a large system of equations according to nonlinear and viscoelastic material properties of the brain 63 and tissue boundary conditions. For a given head impact, explicit time integration is often used to model the 64 nonlinear transient event, in which a time increment is solved relatively efficiently but the time increment 65 must be small enough (i.e., typically on the order of  $10^{-7}$  s for the brain due to its material properties and 66 millimeter spatial resolution) to ensure accuracy [10]. As a result, it requires hours [11-13] or days [14,15]67 to simulate a typical head impact of  $\sim 100$  ms, even on a high-performance computing platform. The poor 68 impact simulation efficiency precludes the use of head injury models for large-scale TBI studies or adoption 69 for injury prediction on the sports field.

70 Previous studies in TBI

71 To substantially reduce impact simulation runtime, reduced order models oversimplify the whole 72 brain as a single unit to approximate peak maximum principal strain (MPS), regardless of the anatomical 73 location or time of occurrence [16,17]. In contrast, a pre-computation technique idealizes arbitrary impact 74 rotational kinematic profiles into triangular shapes, which allows for efficient interpolation or extrapolation 75 of element-wise MPS based on a large pre-computed database [18]. The latter approach was extended to a 76 CNN to instantly estimate regional [19] and whole-brain [20] MPS with high accuracy for impacts in contact 77 sports, and, importantly, without any simplification to impact profile. Recently, the technique was further 78 extended to automotive head impacts [21], where impact kinematic profiles are generally more complex with 79 much longer durations than those in contact sports (e.g., <100 ms vs. 300-500 ms). The CNN technique 80 conceptualizes a time-varying head impact kinematic profile as a 2D image, which allows for synchronous 81 capture of the temporal variation of impact kinematics along the three anatomical axes known to be important 82 to brain strain [22,23]. It is notably more advantageous and robust than previous efforts, as no simplification 83 to either the head injury model, kinematic input, or response output is necessary.

Nevertheless, a limitation with prior studies is that they focus on "static" peak MPS achieved as maximum values but ignore its intrinsically dynamic characteristics, where minimum principal strain or compression [24] as well as strain rate [25] are also important to neuronal injury. Dynamic tissue strain is also critical for microscale injury models as it serves as input to drive the deformation of individual axons [26]. Although such history information is available from model simulation, this requires substantial simulation runtime and, thus, is infeasible to handle a large number of impacts such as those in contact sports.

Therefore, the goal of this study is to further extend our previous work to rapidly estimate the entire spatiotemporal brain strains, beyond the spatially detailed but "static" peak strains of the whole brain [20]. This would allow utilizing the complete brain strain dynamics for future TBI investigations, such as to enable multiscale axonal injury model simulations [26], to produce strain and strain rate features for machine learning in injury prediction [27], and to develop cumulative injury risks based on tissue strain and strainrate from many head impacts [28]. The techniques developed here may also offer insight into how they can be extended to real-time dynamic simulation in diverse problems and broad engineering fields [29,30].

# 97 Deep learning models for spatiotemporal data

98 To date, neural network architectures for modeling spatiotemporal data are mostly based on either 99 recurrent neural network (RNN; e.g., video-based force estimation in robot-assisted surgery [31]) or CNN 100 (e.g., to process both spatial and temporal information in surgical video analysis [32]). A Deep learning 101 architecture combining both CNN and RNN via LSTM was also used for video-based gesture recognition 102 [33]. Applications of deep learning models for high-dimensional spatiotemporal data have also emerged. For 103 example, CNN models with four-dimensional (4D) filters were developed for CT image reconstruction [34] 104 and segmentation [35]. Sparse convolution was proposed for 3D video-based segmentation [36]. Based on 105 the RGB video images, temporal CNN was used for surgical force prediction [37].

A potential limitation with RNN and CNN is that they may not be well suited to handle long-range dependencies. For RNNs, they suffer from vanishing gradient when using gradient-based approaches and backpropagation for training [38]. For CNNs, they suffer from limited receptive fields of convolutional filters, which are defined as the region of input space that generates output features [39]. This may put them at a disadvantage when modeling transient dynamic head impact as brain spatiotemporal responses depend on the entire history of impact loading. In addition, RNNs are also not well suited for parallel training as they need to process the sequence data recursively, which decreases efficiency [40].

113

# Transformer neural network (TNN)

114 A breakthrough in modeling sequential data is the transformer neural network (TNN) originally 115 developed for natural language processing (NLP) [41]. It employs a self-attention mechanism to learn the 116 feature at each element by calculating a weighted sum of features using pair-wise affinities across all elements 117 within a single sequence [42]. TNN is found to be more effective than RNN and CNN in modeling long-118 range data with higher efficiency due to the ability for parallelization [40]. Recently, TNNs have been 119 successfully applied to computer vision (e.g., object detection [43,44] and image recognition [45,46]) and 120 medical imaging (e.g., brain tumor and spleen segmentation [39] and multi-modal brain image classifications 121 [47]).

To the best of our knowledge, nevertheless, TNN has not been employed in biomechanical engineering, including impact biomechanics such as traumatic head impact simulation. Given that impactinduced brain strain depends on the complete history of head kinematics serving as simulation input [22,23], we hypothesize that a TNN is also effective in learning and predicting the spatiotemporal evolution of brain deformation. In addition, to compare TNN with the more commonly employed CNN for estimating highdimensional spatiotemporal data, we also extend our previous CNN model [20] to rapidly estimate spatiotemporal brain deformation, as a comparison.

129

# 130 Five-dimensional (5D) spatiotemporal displacement

FE model simulation generates node-wise displacement from which element-wise strain is derived, the latter of which is typically the response of interest. A displacement in a 3D space is a 1×3 vector while a strain tensor is a 3×3 symmetric matrix with six unique components. Therefore, we choose displacement for training and prediction as it approximately halves the amount of data to handle. Only relative brain-skull displacement (simulated displacement subtracting the rigid-body skull motion) is relevant to brain strain. When the relative brain-skull displacement field is generated at voxel corner nodes of an image volume, voxel-wise strain can be easily determined with high efficiency (details described later and in [48]).

Even with this arrangement, a 5D displacement field (a 3D voxelized image volume with two additional dimensions for displacement components and time, respectively) is necessary. This poses a significant challenge for neural network training due to the high spatial and temporal resolution (on the order of mm and ms, respectively) and the number of training samples (thousands). Therefore, we further adopt a sequential training strategy [49] and a multi-task neural network architecture to reduce computational burden. Our main contributions are:

Develop a TNN and a separate CNN to estimate 5D spatiotemporal relative brain-skull
 displacement field, from which we derive a 4D strain field. This significantly improves over
 the previous work limited to a static 3D distribution of peak MPS [20] that ignores the dynamic
 nature of brain strain. To the best of our knowledge, this is the first application of TNN in
 biomechanical engineering, including in injury biomechanics and traumatic brain injury.

Adopt a sequential training strategy and multi-task TNN/CNN to separate the dynamic event
 into multiple intervals, which reduce the high demand of computing resources for training the

entire data at once. After training, a single neural network is available to estimate the complete
5D spatiotemporal displacement on any computer including lower-end laptops.

- Finally, we choose to train and estimate relative brain-skull displacement resampled on a
   voxelized isotropic grid instead of element-wise strain tensor directly. This not only reduces
   the amount of data to handle but also provides voxelized displacement and strain in a standard
   image format to greatly facilitate multimodal analysis and data sharing in the future [48].
- 157 Methods:
- 158 Anisotropic Worcester Head Injury Model:

159 All head impacts were previously simulated using the anisotropic Worcester Head Injury Model 160 (WHIM) Version1.0 (Fig. 1; [50]). The model was created with high mesh quality and geometrical accuracy 161 based on high-resolution T1-weighted magnetic resonance image (MRI) of a concussed athlete [51]. In total, 162 the model contains 56.6 k nodes and 55.1 k hexahedral elements for the brain with an average element size 163 of 3.3±0.79 mm. It adopts a hyper-viscoelastic material model of the brain and further incorporates anisotropy 164 of the white matter based on whole-brain tractography [52]. Specifically, an Ogden material model is used 165 to prescribe the hyperelasticity of the entire brain, including gray and white matter [51]. The viscoelasticity 166 is described by a two-term Prony series [50], with the dimensionless relaxation modulus and time constants 167 drawn from an *in vivo* shearing dynamic test at a large range of frequency [53]. The white matter anisotropy 168 is implemented via the Holzapfel-Gasser-Ogden (HGO) constitutive model, which allows incorporating fiber 169 orientation and dispersion parameters based on tractography fractional anisotropy directly into the strain 170 energy function.

Details of the material parameters and their values have been reported in previous publications for the brain [50] and other components such as the falx, tentorium, dura, cerebrospinal fluid, etc. [51]. For the brain, the initial (and equivalently, the long-term) shear stiffness value has been calibrated to yield a comparable elementwise peak strain magnitude relative to the previous isotropic WHIM V1.0 [50]. Both the isotropic [51] and anisotropic [50] WHIMs have been extensively validated in terms of relative brain-skull displacement in cadaveric impacts and in strain across a wide-range of blunt impact conditions (high- and 177 mid-rate cadaveric impacts and *in vivo* head rotations). They both achieve an average peak strain magnitude 178 ratio (simulation vs. experiment) of  $0.94\pm0.30$  based on marker-based strains in 12 cadaveric impacts [54]. 179 A ratio of  $1.00\pm0.00$  would indicate an identical peak response relative to experiment (albeit errors in 180 experimental data, themselves, should not be ignored). The head coordinate system was chosen such that the 181 posterior-to-anterior, right-to-left, and inferior-to-superior directions corresponded to the *x*, *y*, and *z* 182 directions, respectively.

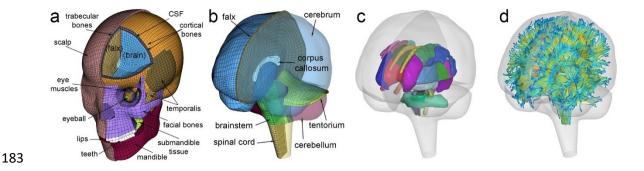


Fig. 1. The exterior features (a) and intracranial components (b) of the anisotropic Worcester Head Injury
Model (WHIM, V1.0) showing 50 deep white matter regions of interest (c) and a subset of white matter
tractography fibers color-coded by their fractional anisotropy values (d).

187

# 188 Data preprocessing:

189 A total of N=5184 impacts previously simulated were used in this study for training [20]. For 190 impacts potential of causing mild TBI without significant skull deformation such as those in contact sports, 191 it is common to simplify the skull as a rigid body [55]. Consequently, impact location and directionality 192 become irrelevant to brain deformation simulation because the head kinematic motion is fully described by 193 the skull linear acceleration and rotational acceleration (or equivalently, velocity), which are often prescribed 194 at the head center of gravity. Given that linear acceleration generates little strain due to the brain's near 195 incompressibility property as confirmed in multiple head injury models [23,56], only head rotational 196 kinematics are necessary for head impact simulation.

In this study, impact rotational kinematics were generated through data augmentation based on
impacts measured in American college football, boxing, and mixed martial arts (N=110; 6 batches) [57] and

199 those reconstructed in the laboratory (N=53; 8 batches) [58]. For the former, video confirmation was used to 200 verify that each recording indeed corresponded to a true positive head impact rather than a spurious event. 201 This ensured that the augmented training data were realistic. Data augmentation involved permuting head 202 rotational velocity  $(v_{rat})$  components about the three anatomical directions (3!=6; each batch generates six times of data) with further random perturbation of the rotational axis and random scaling of  $v_{rot}$  peak 203 204 magnitudes, as detailed previously [19]. The augmented data were targeted to uniformly sample rotational 205 peak velocity magnitude in the range of 2-40 rad/s [20], relevant to the vast majority of real-world impacts 206 in contact sports [59].

207 All impacts had a head rotational azimuth angle,  $\theta$ , (determined at the time when the resultant  $v_{rat}$ was at peak [60]) constrained to one half of the sampling range  $([-90^{\circ}, 90^{\circ}] \text{ vs. } [-180^{\circ}, 180^{\circ}])$  due to 208 209 WHIM symmetry about the mid-sagittal plane [19]. This maximized the use of head impact profiles for 210 generating unique brain responses (i.e., essentially, halved the amount of data required). All impact profiles 211 had a duration of 100 ms. For each impact, head  $v_{rot}$  and acceleration  $(a_{rot})$  profiles were concatenated (with 212 the latter scaled to 1% to ensure a comparable data range) as neural network input. Combining the two 213 channels of signals was found to improve accuracy in estimating strain spatial distribution [20]. The 214 simulated relative brain-skull displacements (3 components along the x, y, and z anatomical directions) were 215 resampled onto a voxelized isotropic grid of 4 mm × 4 mm × 4 mm resolution, with a temporal resolution of 216 1 ms. The output for each simulated impact of 100 ms was a 5D matrix of size of  $46 \times 35 \times 36 \times 3 \times 101$ . A 3D 217 brain mask was finally applied to exclude non-brain areas, which reduced the output from 173.9 k 218  $(46 \times 35 \times 36 \times 3)$  to 71.1 k at each time frame.

Fig. 2 reports the peak magnitudes of relative brain-skull displacement (after subtracting rigid-body skull motion) and MPS of the whole brain averaged from the entire dataset at each time frame. To focus on larger strain magnitudes most relevant to injury and to reduce computational burden, we empirically limited the training and prediction to the range of 31<sup>st</sup> to 90<sup>th</sup> time frames, for a total of 60 ms duration at a temporal resolution of 1 ms. This was sufficient for performance verification using an independent dataset (N=314; details below).

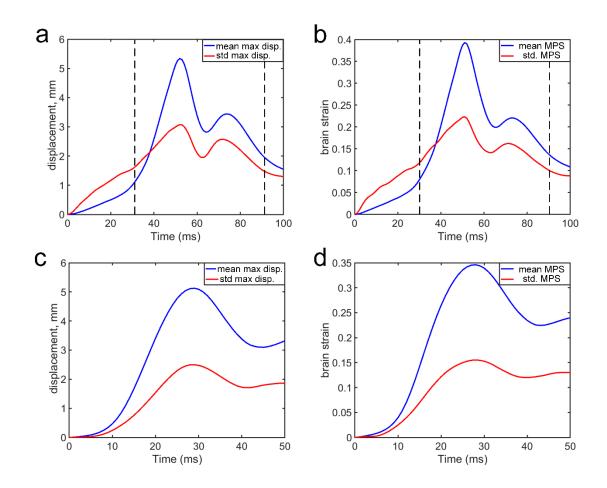


Figure 2. Peak relative brain-skull displacement magnitude (a; in mm) and MPS (b) averaged from the entire
training dataset (N=5184) at a temporal resolution of 1 ms (for a duration of 100 ms). Empirically, only data
within 31–90 ms were utilized for training and estimation. The corresponding relative brain-skull
displacement magnitude (c; in mm) and MPS (b) for an independent testing dataset (N = 314).

225

# 231 Baseline training for multiple independent models

It was infeasible to use the entire dataset to train a single TNN or CNN to predict the complete 60 time frames (from 31<sup>st</sup> to 90<sup>th</sup>; **Fig. 2**) on our computing platform (Linux 256 GB for CPU, 32 GB for Nvidia V100 GPU). Therefore, the impact duration was empirically divided into 6 intervals of 10 consecutive time frames. For each interval, a baseline TNN or CNN model was independently trained to predict displacement within each time interval. An empirical batch size of 40 and 500 epochs were used to train baseline TNN models. For CNN models, a batch size of 256 and 400 epochs were used, following the previous study [20].
The following loss function was used:

239 
$$l_k = \frac{1}{3} \sum_{i=1}^{3} \left( \frac{1}{N} \sum_{j=1}^{N} \left( x_{ij} - y_{ij} \right)^2 \right), \qquad (1)$$

240 
$$loss_{task} = \frac{1}{M} \sum_{k=1}^{M} w_k * l_k$$
, (2)

where  $x_{ij}$  and  $y_{ij}$  are the estimated and directly simulated displacements for the  $i^{th}$  component (i=1, 2, and241 3, for a total of 3 displacement components) and the  $j^{th}$  training sample (total of N), respectively;  $l_k$  and  $w_k$ 242 are the loss and the corresponding weighting factor in  $k^{th}$  time frame; M is the number of consecutive time 243 frames (10 for each baseline model); and finally, task is the given assigned "task" representation from 1 to 244 6, where task 1 indicated the time interval from 81st to 90th , task 2 indicated 71st to 80th, until task 6 for 245 interval from 31<sup>st</sup> to 40<sup>th</sup>. The task representation followed a reverse order of the time interval sequence to 246 247 facilitate sequential training (below). For simplicity, all the weighting factors were set to 1.0 (i.e., equal 248 weighting). A 5-fold cross-validation was used to test the performance based on predicted displacement 249 components. Specifically, the dataset was randomly divided into five approximately equal folds. Four folds 250 were combined for training while the remaining fold was used for testing. The process was repeated five 251 times so that each fold was tested exactly once, and their average performances were reported.

252

# 253 Sequential training for a single model

To train a single TNN or CNN model without substantial memory requirement, a sequential training strategy [49] was used, along with a corresponding multi-task network architecture. The basic idea was to mix training samples from the previous training tasks or time intervals into the current one while limiting the total training sample size (**Fig. 3**).

Intuitively, the longer the input time history is, it is likely more challenging to train and maintain a high accuracy. However, displacement and strain from later time frames are typically of larger values than the initial ones (**Fig. 2**), and are, thus, more relevant to brain injury. Therefore, we chose a reverse order of time intervals for sequential training to ensure that later stage predictions were sufficiently accurate.

Specifically, the baseline model corresponding to the last time interval (i.e., task 1 of 81st to 90th ms) served 262 263 as the starting point, because it had the longest time input history or input size than the first time interval (i.e., 264 task 6 of 31<sup>st</sup> to 40<sup>th</sup>) of the shortest history. For the second training task of the preceding time interval, samples from both time intervals were mixed and divided into training and testing samples. The sample size 265 266 for the second task was effectively doubled compared to the baseline. For subsequent tasks of the preceding 267 time intervals, however, the total sample size was maintained a constant, with the current interval always 268 having half of the samples (split to training and testing) while the percentages from previous tasks following 269 the formulars shown in the figure (Fig. 3). This strategy ensured that more "recent" tasks will have more 270 training/testing samples while more "distant" tasks will have less, as they have participated in training/testing 271 less or more often, respectively.

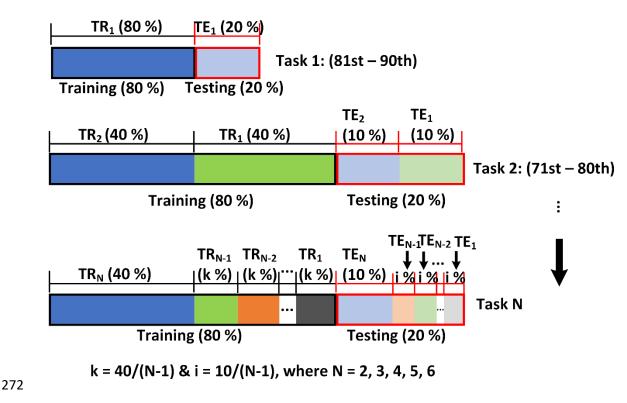


Figure 3. Illustration of the sequential training strategy with a 5-fold cross validation (training (TR) and
testing (TE) split by 80% and 20%, respectively). In all tasks, only selected temporal intervals from the entire
impact cases (N=5184) are used for training and testing.

# 277 Multi-task TNN and CNN architectures:

278 To enable sequential training, it was necessary to provide the specific task as input. A multi-head 279 encoder TNN designed for NLP [41] was adapted. Since the TNN requires the input to be a 1D vector, the 3 280 channels of  $v_{rot}$  and the corresponding 3 channels of  $a_{rot}$  were first reformatted into a matrix format of 281  $6 \times 101$  (0 to 100 ms) before being reshaped into a vector. Using a similar approach previously developed for 282 CNN [49], a  $1 \times 6$  vector was used to represent the 6 training tasks. With trial and error, the optimized TNN 283 architecture (Fig. 3) starts with an input layer of size of 612 (a 1D vector for concatenated input of 6×101, 284 and 1×6 for task representation), followed by a standard 1D positional encoding (with 64 embedded 285 dimensions) and two identical layers of Transformer encoder. They are followed by one fully connected layer 286 for linear projection [41] with encoder output ( $612 \times 64$ ) as input and a linearly projected 1D vector as output 287 (612×1 units). This is followed by another fully connected layer with 618 units for input (612 units from the 288 previous layer and another fresh 1×6 task identifiers to ensure unambiguous representation, as output from 289 the Transformer encoder may have altered the values of the previous task representation). Finally, 71.1 k 290 units are used for displacement output using a linear activation function. Using a subset of data, the number 291 of TNN encoder layers was also determined to best balance performance and computational resources, and 292 to avoid any potential underfitting. In contrast, overfitting was mitigated by using dropout and early stopping 293 [21,61].

Given that the current output depends on the past and current input but not on future input, we explicitly applied a binary mask in input to avoid influence from "future" information [62] (i.e., setting  $v_{rot}$ and  $a_{rot}$  to zero for (*i*+1)-st ms and beyond when predicting displacement at the *i*-th ms).

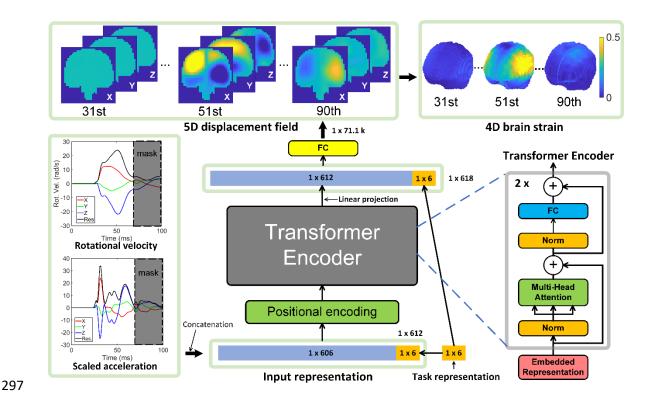
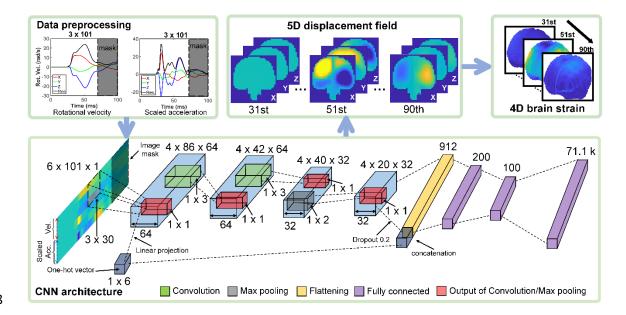


Fig. 3. The overall framework of the multi-task TNN architecture to predict time-dependent relative brainskull displacement, from which brain strain and strain rate are calculated subsequently. A binary mask is
applied to the kinematic input to avoid influence from "future" information. FC: fully connected layer.

For multi-task CNN, the previous architecture [20] was modified so that the number of units in the last fully connected layer matched with the number of displacement component outputs. Similar to the TNN, each baseline CNN model was targeted to train 10 consecutive time frames with the same loss functions (Eqns. 1 and 2) and with a binary mask applied to input [62]. A one-hot task (1×6) representing a learned bias [49] was added to each CNN filter output of the first convolution layer through linear projection. Then, the same task vector was concatenated into the input of the first fully connected layer (**Fig. 4**).



308

309 Fig. 4. CNN architecture adapted for multi-task training to predict time-dependent relative brain-skull310 displacement. At a given time frame, future information in input is masked.

# 312 Voxel-wise brain strain from voxelized relative brain-skull displacement field

313 When a nodal displacement field is resampled onto a regular grid lattice of size of  $p \times q \times r$  (e.g., 314 *via* scattered interpolation), a voxel-wise strain field at the lattice centroids of size of  $(p-1) \times (q-1) \times$ 315 (r-1) can be obtained. A voxel is a special 8-noded hexahedral element whose displacement can be 316 represented by the weighted average of nodal displacements,  $u_i$ , according to shape functions,  $N_i(\xi, \eta, \zeta)$ :

317 
$$\mathbf{u} = \sum_{i=1}^{8} N_i(\xi, \eta, \zeta) \, \boldsymbol{u}_i \,. \tag{1}$$

318 To derive voxel-wise strain, the deformation gradient, **F**, is calculated as:

319 
$$\mathbf{F} = \mathbf{I} + \nabla \mathbf{u} = \mathbf{I} + \frac{\partial \mathbf{u}}{\partial \mathbf{x}} = \mathbf{I} + \frac{\partial \mathbf{u}}{\partial \mathbf{z}} \frac{\partial \mathbf{z}}{\partial \mathbf{x}} = \mathbf{I} + \frac{\partial \mathbf{u}}{\partial \mathbf{z}} \mathbf{J}^{-1} , \qquad (2)$$

where  $X_i$  are the voxel corner nodal coordinates,  $\Xi = \Xi(\xi, \eta, \zeta)$  are their corresponding nodal coordinates in the natural coordinate system, I is an identity matrix, and  $J = \frac{\partial X}{\partial \Xi}$  is the Jacobian matrix. In this study, we calculated engineering strain following the finite strain theory. The following hold:

$$V = \sqrt{\mathbf{F} \times \mathbf{F}'}, \qquad (3)$$

$$\boldsymbol{\varepsilon} = \mathbf{V} - \mathbf{I} \,. \tag{4}$$

325 where V is the left stretch tensor in the current configuration, and  $\varepsilon$  is the strain tensor of interest [10]. For 326 an isotropic voxel whose nodes are regularly positioned, J degenerates into an identity matrix, I (with a 327 proper linear scaling). This greatly simplifies the strain tensor calculation. The MPS is the maximum eigen 328 value of the strain tensor [63]. The customized strain calculation was verified against Abaqus [10] to yield 329 identical results. More details of the displacement voxelization scheme and extensive accuracy assessment 330 are reported recently [48]. In this study, brain-skull displacements from FE model simulation were resampled 331 into an isotropic 4 mm  $\times$  4 mm  $\times$  4 mm voxelized image volume at every time frame (of temporal resolution 332 of 1 ms).

324

# 334 Performance evaluations

# 335 Cross-validation

A 5-fold cross-validation was used to evaluate performance for estimated displacement magnitude and the corresponding MPS with the directly simulated counterparts in terms of root mean squared error (RMSE) and coefficient of determination ( $R^2$ ) averaged from all testing samples at every time frame. We chose to report RMSE instead of a normalized version for objective accuracy evaluation due to the small magnitudes of displacements at the early phase of impact (**Fig. 2**). Performances were compared between TNN and CNN and between their baseline and sequential training strategies.

## 342 Independent testing

The TNN and CNN were then re-trained using the entire training dataset to further conduct an independent testing (N=314), using both the baseline and sequential training. This impact dataset was measured in high-school football using mouthguard with video confirmation of true positive impact [64]. Each impact has a duration of 50 ms. To satisfy the TNN/CNN input requirement, shifting and replicated padding [19] were used so that the impact profile occurred in the range of 31<sup>st</sup>-80<sup>th</sup> ms.

The TNN/CNN models developed here instantly produce the spatiotemporal brain strains, which can be used to derive peak, "static" MPS. Therefore, we further compared performance against our previous work for either scalar, peak MPS [19] or MPS distribution [20] of the whole brain. The estimated 5D displacement was used to generate 4D voxel-wise strain (Eqns. 1–4), which was further used to produce a scalar, zero-dimensional (0D) 95<sup>th</sup>-percentile peak MPS of the whole brain or 3D voxel-wise peak MPS. To ensure fair comparison, the previous CNN models [19,20] were re-trained using the same impact cases as adopted in this study. The same independent dataset (N=314) was used for evaluation.

An extra step may be necessary when evaluating the independent dataset because the head rotational azimuth angle,  $\theta$ , was not constrained (vs. constrained to  $||\theta|| < 90^{\circ}$  for the training dataset). For impacts with  $\theta$  outside of the sampling range (i.e.,  $||\theta|| > 90^{\circ}$ ), displacement *x*, *y*, and *z* components were mirrored about the mid-sagittal plane. The *y* component was further negated (i.e., multiplied by -1) to produce a symmetrical displacement field about the mid-sagittal plane (**Fig. 6**) before comparing with the TNN/CNN estimated counterparts.

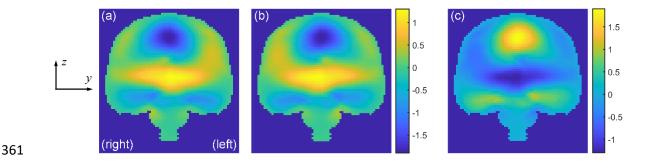


Fig. 6. For impacts with rotational azimuth angle outside of the sampling range (i.e.,  $||\theta|| > 90^{\circ}$ , determined at the time when the resultant  $v_{rot}$  reached the peak), displacement *x*, *y*, and *z* components are mirrored about the mid-sagittal plane (**a** and **b**). The *y* component is further negated (i.e., multiplied by -1; **c**). This will produce a symmetrical relative brain-skull displacement field about the mid-sagittal plane.

366

## 367 Independent testing using a variety of representative impacts

368 We further evaluated 11 representative impacts from a variety of published sources, including 369 impacts in football [51,65], soccer [66], dummy [27,60,67,68] and helmet [55] tests, as well as those 370 reconstructed in car crashes [69]. The estimation accuracy was limited to the first 60 ms with significant non-371 zero  $v_{rot}$ .

# **373** Attention weights

374 Attention weights from the TNN self-attention layer have shown to offer some model 375 interpretability, such as in pattern analysis in NLP [70] and computer vision [45]. We used an idealized head impact for exploration. A triangulated head  $a_{rot}$  profile (peak magnitude of 4500 rad/s<sup>2</sup> and impulse duration 376 377 of 10 ms [18]) was used as input to generate spatiotemporal relative brain-skull displacement. The 378 acceleration and corresponding velocity profiles were shifted so that they started from 31<sup>st</sup> ms, and replicated 379 padding was used to extend to 100 ms as TNN required. The resulting heatmaps of normalized attention 380 weights [70] were compared (for simplicity, only weights in the second layer are shown, as those in the first 381 layer were rather noisy).

### **382 Data Analysis**

383 Cross-validation and independent testing were used to evaluate TNN/CNN accuracies, using either 384 baseline or sequential training and based on either relative brain-skull displacement or MPS. For the 385 independent testing, we also reported normalized RMSE (NRMSE) at the time of maximum relative 386 displacement (as normalized by the maximum displacement) for a more comprehensive evaluation. The resulting 4D MPS for the independent dataset was further used to generate a scalar, 0D peak MPS or 3D 387 "static" peak MPS for comparison with the two previous CNN models [19,20]. In addition, we showcased 388 389 how a 4D field of MPS strain-rate can be conveniently generated. For further accuracy assessment, 11 390 representative impact cases from diverse published sources were also employed. Finally, we explored how 391 TNN attention weights were used for brain deformation prediction.

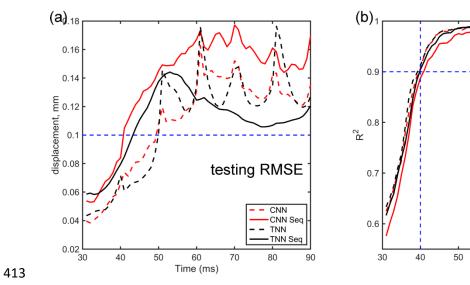
All neural networks were implemented in Pytorch [71] and trained with adaptive moment estimation (adam) optimizer with a learning rate of 0.0001. It took a full day for each baseline TNN training and a full week for sequential training (training time for the second task was doubled; Intel Xeon E5-2698 with 256 GB and V100 GPU with 32 GB). In contrast, each CNN baseline training required ~5 hours and ~35 hours for sequential training. For both TNN and CNN, predicting a full 5D displacement field required <0.1 sec on any computer including lower-end laptops without GPU. At each time point, producing the corresponding 3D voxelwise strain took 2 sec with parallelization. A forward difference method was used for strain rate
calculation, which took <0.1 sec for the entire 4D image volume. All data analyses were conducted in</li>
MATLAB (R2020a; Mathworks, Natick, MA).

401

# 402 **Results:**

# 403 5-fold cross-validation

Fig. 7 compares the testing performances of TNN and CNN in terms of RMSE and  $R^2$  aggregated from the 404 405 five folds. For both displacement and MPS, TNN outperformed CNN with generally smaller RMSE and consistently higher  $R^2$ . For TNN, sequential training led to a larger RMSE in early time frames (e.g., <50 406 ms) but smaller RMSE in later time frames (e.g., >60 ms), with little difference in  $R^2$ . For CNN, however, 407 sequential training generally degraded performance compared to the baseline (higher RMSE and lower  $R^2$ ). 408 409 For both TNN and CN,  $R^2$  was relatively poor for the first 10 ms (<0.9) with small RMSE as many impact 410 cases had not yet started to deform the brain in the early time frames (e.g., Fig. 8 for response at 31<sup>st</sup> ms). 411 However, for the majority of time frames, TNN sequential training consistently had a testing RMSE <1% in MPS with  $R^2$  consistently >0.90. 412



testing R<sup>2</sup>

CNN

TNN

80

60

Time (ms)

70

CNN Se

TNN Se

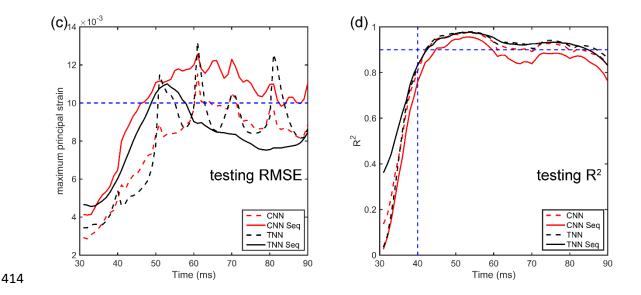
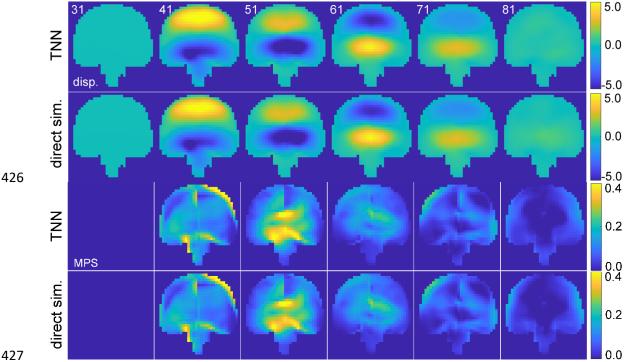


Fig. 7. Summary of average RMSE and  $R^2$  combined from the 5-fold cross-validation testing datasets using TNN and CNN, with either the baseline or sequential training strategy, for displacement magnitude (**a** and **b**) and MPS (**c** and **d**). The relatively poorer  $R^2$  in the first 10 ms was mainly because the displacement magnitudes were low in the early stage of impact with small RMSE as well.

Fig. 8 compares the TNN-estimated displacement and MPS (with sequential training) with those from direct model simulation for a representative impact. Both TNN and CNN, with either sequential or baseline training, produced visually indistinguishable results. At the beginning of the sequence, the brain had not started deforming. The peak MPS value occurred in the middle of the prediction time window, which significantly subsided near the end of the time window.





428 Fig. 8. TNN-estimated and directly simulated displacement (y-component showing in-plane motion) in the 429 coronal plane (top two rows; in mm) and the corresponding MPS at 6 discrete time points (bottom rows). 430 Discontinuity in MPS near the mid-sagittal plane due to the falx is evident for this predominantly coronal 431 impact, which also leads to high strains in the corpus callosum (at time of 51 ms). The corresponding head 432 impact  $v_{rot}$  and  $a_{rot}$  profiles are provided in the Supplementary material (Fig. S1).

#### 434 Independent testing

Fig. 9 reports RMSE and  $R^2$  for displacement magnitude and MPS using the independent testing 435 dataset from 31st ms to 80th ms (for a total duration of 50 ms). The TNN achieved a maximum RMSE of ~1% 436 with  $R^2 > 0.99$  for MPS, whereas the CNN had a maximum RMSE of ~1.6% with  $R^2 > 0.98$  for MPS. The 437 maximum  $R^2$  for displacement was close to 1.0 for both TNN and CNN. At the time of peak displacement, 438 the TNN had an NRMSE of 2.1-2.7% (baseline vs. sequential), while the CNN had an NRMSE of 3.4-3.5%439 440 (baseline vs. sequential). Fig. 10 compares TNN-estimated displacement and MPS (with sequential training) 441 with those from direct simulation at 5 distinct time points.

Finally, the MPS strain rate is also compared for this case using TNN sequential training for illustration (**Fig. 11**), due to the relevance to injury [25]. The average RMSE, NMRSE, and  $R^2$  across all time points were 1.650 s<sup>-1</sup>, 12.4%, and 0.824, respectively. The best performances at a selected time point were 1.572 s<sup>-1</sup>, 7.8%, and 0.906, respectively.

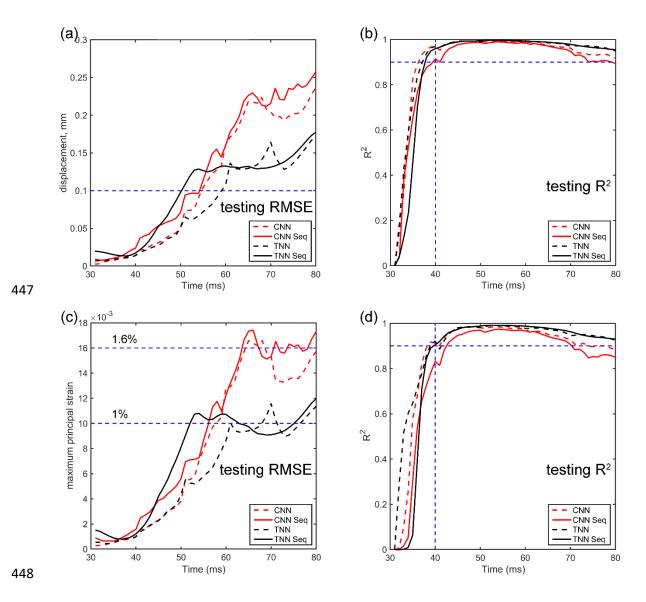


Fig. 9. Summary of average RMSE and  $R^2$  from independent testing using TNN and CNN for displacement magnitude (**a** and **b**), with either the baseline or sequential training strategy. The resulting MPS (**c** and **d**) are directly calculated from the voxelized displacement field.

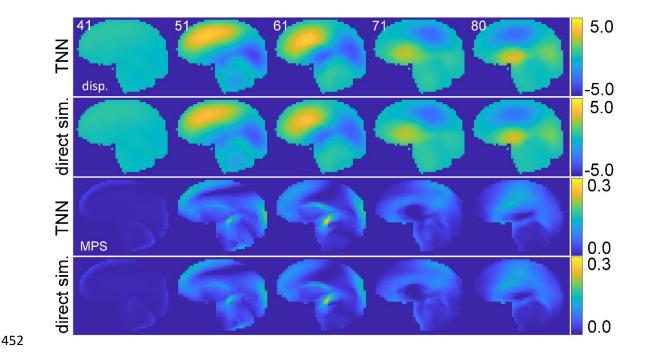


Fig. 10. TNN-estimated (virtually the same with those from CNN, and thus, the latter are not shown) and directly simulated out-of-plane y-displacement in the sagittal plane (top two rows; in mm) and the corresponding MPS at 5 discrete time points (bottom rows). The impact was a largely oblique head rotation in the independent testing dataset unseen by the training process. The corresponding head impact  $v_{rot}$  and  $a_{rot}$  profiles are provided in the Supplementary material (Fig. S2).

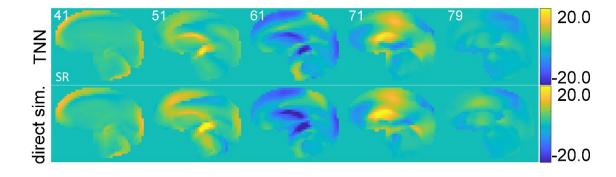


Fig. 11. Comparisons of MPS strain rate (SR; in s<sup>-1</sup>) between TNN estimation (sequential training) and those
derived from direct WHIM simulation for the same selected impact case as in Fig. 10. The strain rate was
obtained by forward difference along the temporal direction; thus, the last time frame for SR was at 79<sup>th</sup> ms.

- 463 Tables 1 and 2 compare the performances when estimating 0D or 3D peak MPS with the same independent
- 464 dataset (N=314). Baseline TNNs consistently performed the best, although improvement was slight for most
- 465 cases, except relative to 3D peak MPS ( $R^2$  of 0.977 vs. 0.897).
- 466
- 467 Table 1. Performance comparisons for a scalar, 0D peak MPS of the whole brain using an independent testing

dataset (N=314) relative to a previous CNN model. Bold indicates best performances.

| 0D peak<br>MPS        | TNN<br>(Sequential) | TNN (Baseline) | CNN<br>(Sequential) | CNN (Baseline) | CNN [19] |
|-----------------------|---------------------|----------------|---------------------|----------------|----------|
| RMSE                  | 0.024               | 0.013          | 0.025               | 0.024          | 0.015    |
| <i>R</i> <sup>2</sup> | 0.973               | 0.991          | 0.965               | 0.971          | 0.962    |

469

470 Table 2. Performance comparisons for voxel-wise 3D peak MPS relative to another previous CNN model.

471 Bold indicates best performances.

| 3D peak<br>MPS        | TNN<br>(Sequential) | TNN (Baseline) | CNN<br>(Sequential) | CNN (Baseline) | CNN [20] |
|-----------------------|---------------------|----------------|---------------------|----------------|----------|
| RMSE                  | 0.015               | 0.011          | 0.016               | 0.014          | 0.015    |
| <i>R</i> <sup>2</sup> | 0.971               | 0.977          | 0.956               | 0.965          | 0.897    |

472

# 473

474 *Performance for 11 representative impact cases from various sources* 

475**Table 3** reports performances for 11 impacts from various published sources. Only performances476when displacements reached peak are reported for simplicity. The ranges of RMSE and  $R^2$  for TNN were4770.103-0.560 and 0.919-0.998, respectively. For CNN, they were 0.077-0.788 and 0.926-0.994, respectively.478Except for case 10 (car crash), all  $R^2$  values were >0.95, with the highest of 0.998. Detailed performances at479every time frame and for baseline models are in the Supplementary material (Figs. S3-S5). For some cases,480the CNN could have a poor performance at some time points in terms of  $R^2$ . However, the TNN appeared481more robust, especially with the sequential training.

**Table 3.** Performance comparisons in terms of RMSE (mm), NRMSE (in percentage; at peak displacement), and  $R^2$  for TNN/CNN sequential training when the estimated maximum displacement is at peak for 11 impacts selected from various published sources. Impact type and peak resultant  $v_{rot}$  (rad/s) and  $a_{rot}$ (krad/s<sup>2</sup>) are also shown. More detailed performance comparisons at each time frame and for baseline TNN and CNN models are reported in the Supplementary material. HS, high school; CL: college; Bold indicates minimum or maximum for range.

| Case #  | Impact type         | v <sub>rot</sub> | a <sub>rot</sub> | RMSE / NRMSE / R <sup>2</sup> | RMSE / NRMSE / R <sup>2</sup>      |
|---------|---------------------|------------------|------------------|-------------------------------|------------------------------------|
| (Ref)   |                     |                  |                  | (TNN Seq.)                    | (CNN Seq.)                         |
| 1 [51]  | HS football         | 35.2             | 5.19             | 0.239 / <b>2.5%</b> / 0.996   | 0.409 / 4.3% 0.984                 |
| 2 [51]  | HS football         | 55.64            | 6.23             | 0.446 / 3.2% / 0.992          | <b>0.788</b> / 5.6% / 0.974        |
| 3 [60]  | Dummy               | 30.80            | 7.70             | 0.507 / 4.8% / 0.962          | 0.461 / 4.3% / 0.961               |
| 4 [55]  | Helmet              | 24.63            | 5.23             | 0.201 / 3.3% / <b>0.998</b>   | 0.133 / 2.2% / <b>0.994</b>        |
| 5 [27]  | Dummy               | 34.20            | 6.40             | 0.248 / 2.8% / 0.994          | 0.151 / <b>1.7%</b> / <b>0.994</b> |
| 6 [65]  | CL football         | 12.55            | 1.69             | 0.107 / 2.7% / 0.989          | 0.244 / 6.2% / 0.984               |
| 7 [67]  | Dummy               | 24.55            | 3.15             | 0.163 / 3.4% / 0.987          | 0.287 / 6.1% / 0.968               |
| 8 [68]  | Dummy <sup>\$</sup> | 41.98            | 3.06             | 0.339 / 4.7% / 0.953          | 0.119 / <b>1.7%</b> / <b>0.994</b> |
| 9 [66]  | Soccer              | 7.56 *           | 0.39             | 0.103 / 7.2% / 0.975          | <b>0.077</b> / 5.4% / 0.981        |
| 10 [69] | Car crash           | 20.22 *          | 3.82             | 0.568 / 8.3% / 0.919          | 0.592 / <b>8.7%</b> / <b>0.926</b> |
| 11 [69] | Car crash           | 77.63            | 6.05             | 0.339 / 3.1% / 0.990          | 0.438 / 4.0% / 0.984               |
| Mean    |                     | 33.18            | 4.45             | 0.296 (0.158) /               | 0.336 (0.224) /                    |
| (std.)  |                     | (19.91)          | (2.22)           | 4.2% (1.9%) /                 | 4.6% (2.2%) /                      |
|         |                     |                  |                  | 0.978 (0.024)                 | 0.977 (0.020)                      |

489 <sup>§</sup> Only resultant profile available, which was applied to simulate a sagittal rotation

490 \* Peak  $v_{rot}$  values within the first 60 ms.

491

#### 492 Attention weights

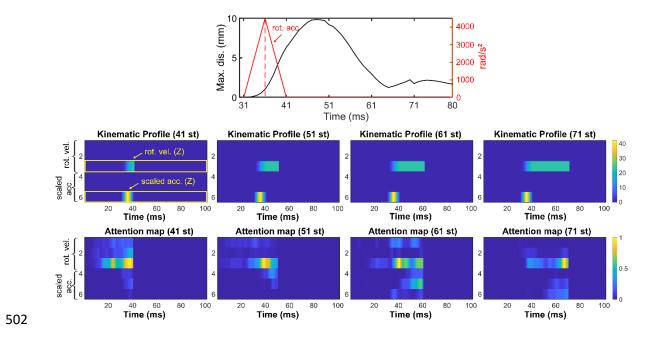
**493** Fig. 12 illustrates TNN attention weights for an idealized axial rotation over time. Earlier (41<sup>st</sup>-61<sup>st</sup>), peak

494  $v_{rot}$  (peaked at 41<sup>st</sup> ms) always had relatively higher weights, indicating its importance in determining brain

deformation. This agreed with the previous biomechanical investigation [18], where it was shown that the

- 496 peak  $v_{rot}$ , not  $a_{rot}$ , was important for brain strain in a single-axis rotation. Later, (61<sup>st</sup> and 71<sup>st</sup> time frames),
- 497 the  $v_{rot}$  magnitude at the current time (vs. that at the peak) also showed high weights. Displacement at these

time frames already subsided (Fig. 12, top), suggesting the time lag between peak  $v_{rot}$  and current time was important for brain deformation. This was not surprising due to brain's unique viscoelasticity properties. Nevertheless, some noise was also evident, as non-zero weights also occurred in channels corresponding to the *x*- and *y*-axes not relevant to the simulated brain deformation.



**Fig. 12.** Heatmaps of normalized attention weights at 4 time points. Top: head axial  $a_{rot}$  impulse and the corresponding maximum brain-skull relative displacement over time. Middle: masked kinematic profiles in a 6×101 image format. Bottom: corresponding normalized attention weights. A higher attention is around peak velocity in earlier time frames, which shift towards the current velocity magnitude at later times. This suggests the importance of the time lag between brain deformation and the rotational impulse resulting from viscoelasticity.

509

# 510 **Discussion:**

511 In this study, we developed a transformer (TNN) and a convolutional neural network (CNN) to 512 estimate spatiotemporal deformation of the brain in impact in (near) real-time and with high accuracy, 513 achieving an  $R^2$  of up to 1.0 for displacement (**Fig. 9b**). In terms of MPS, they achieved an RMSE of ~1.0% and ~1.6% with  $R^2 > 0.99$  and >0.98, respectively, and NRMSE of 2–3% at peak displacement when using impacts in the independent testing dataset (**Fig. 9**). The TNN was slightly but consistently more accurate than CNN, especially at later time frames (e.g., see RMSE after 50<sup>th</sup> ms in cross-validation (**Fig. 7**) and after 60<sup>th</sup> ms in independent testing (**Fig. 9**).

518 Similarly, the TNN slightly outperformed CNN when comparing 0D and 3D peak MPS as well (Table 1 and Table 2). The previous CNN for 3D peak MPS [20] was notably less accurate ( $R^2$  of 0.897 vs. 519 520 0.977 here; Table 2). This may be the result of lacking temporal correlation among brain voxels as they 521 reached their respective peak MPS at different times, which precluded using a binary mask to avoid influence 522 from "future" information. This contrasted with the scalar, 0D peak strain (Table 2), as no temporal 523 correlation was necessary for a single brain voxel. By explicitly modeling the temporal correlation among 524 voxels, both TNN and CNN developed here achieved a high estimation accuracy. The binary mask (Fig. 4 525 and Fig. 5) indeed improved accuracy. For example, when using the CNN to estimate displacement field at the  $41^{\text{st}}$  ms, applying a mask improved  $R^2$  from 0.93 to 0.95, and RMSE decreased from 0.08 to 0.06. 526

527 The TNN and CNN also retained similar accuracies across a range of real-world impacts from a 528 variety of published sources (dummy, helmet, football, soccer, and car crash). Both peak vrot and 529  $a_{rat}$  in these additional independent test cases had a rather large range (e.g., from 7.56 rad/s in soccer to 77.63 rad/s in car crash, and from 0.39 krad/s<sup>2</sup> to 7.70 krad/s<sup>2</sup> in dummy test; Table 3). With sequential 530 531 training, both TNN and CNN achieved an average RMSE and NRMSE of ~0.3 mm and ~4%, respectively, 532 with an average  $R^2$  of ~0.98, when the relative brain-skull displacements achieved peak values. These high 533 accuracies suggest the potential broad applications of the two neural networks developed here for future real-534 world applications.

Nevertheless, the soccer impact and a car crash impact (cases #9 and #10) seemed to have relatively poorer performances for both TNN and CNN, likely due to their larger differences in impact kinematics relative to those in the training dataset derived from contact sports. While the soccer impact was also from contacts sports, their peak rotational velocity and acceleration were lower than most other sports (**Table 3**). Car crash impacts are also found to have different features in kinematics than those in contact sports (e.g., generally more complex head motion with longer duration [21]). The accuracy differences among the cases were more pronounced when evaluating the complete temporal evolution of predicted displacements (Figs. S3–S5). While TNN mostly maintained a comparable performance throughout the time frames, the CNN, especially with sequential training at later time frames, had some poor  $R^2$  at some time points for certain cases. This was consistent with its relatively poorer performance in cross-validation (Fig. 7) and independent testing (Fig. 9), which may have been the result of limited receptive fields for the CNN architecture [39].

546 Regardless, the TNN accuracy improvement was marginal relative to CNN overall. This was 547 somewhat in conflict with the notion that TNN is notably superior in mimicking long-range relationships 548 [41,43]. A possible contributor to the high CNN accuracy here may be related to the brain's viscoelasticity, 549 which limits the brain mechanical responses to depend strongly only on "recent" loading history. As 550 illustrated in Fig. 12 (top), there was a ~14 ms delay in brain deformation relative to the  $a_{rot}$  impulse for this 551 particular impact, and the peak deformation subsided after  $\sim 15$  ms due to energy loss. Therefore, there is a 552 finite length of impact loading history important for brain deformation at the current time frame, for which 553 the CNN achieved sufficient accuracy. This observation may have important implications when extending 554 the current work to automotive head impacts [21] typically of a much longer impact duration (300–500 ms 555 vs. 100 ms here). The CNN may achieve sufficient estimation accuracy without a heavy computational 556 burden in training as found with TNN (35 hours vs. a full week via sequential training for a 60 ms time 557 interval). Therefore, the CNN developed in this study may be a more suitable neural network architecture for 558 automotive head impacts.

#### 559 Sequential training

Reproducing spatiotemporal data of high dimension and resolution while at the same time, in realtime, is inherently challenging due to the large data size but limited computational resources. In this study, we chose a relatively coarser spatial resolution (of 4 mm) while retaining a high temporal resolution (of 1 ms) to preserve fidelity for strain rate calculation. This was illustrated in **Fig. 11** using TNN with sequential training. The NRMSE increased from 2.7% for MPS to 7.8% for SR, which was expected due to the additional temporal differentiation from MPS that would amplify error. It is possible to further improve SR prediction accuracy by using MPS or SR directly as the training dataset, with the caveat of losing information on the detailed strain or strain rate tensor. Alternatively, components of strain or strain rate tensors can also be useddirectly as training dataset, which may be feasible for a smaller subregion.

569 Due to constraint of limited computational resources, it was not feasible to train a single TNN/CNN 570 model on our computing hardware. Therefore, sequential training [49] was adopted to limit the training 571 sample size by essentially reusing samples from the previous training tasks. In both cross-validation (Fig. 7) and independent testing (Fig. 9), there was virtually no difference in performance in terms of  $R^2$  for TNN, 572 573 but it slightly degraded for CNN compared to the baseline models. However, the relative performance 574 comparison was inconclusive in terms of RMSE (e.g., higher RMSE early and lower or comparable RMSE 575 later for TNN with sequential training, while higher or comparable RMSE for CNN with sequential training). 576 In addition, we chose to start the sequential training from the last time interval of the longest loading history, 577 where its NRMSE was found to be higher than that in the first interval with the shortest history. This 578 retrospectively justified the use of the reverse order in sequential training.

We also made the choice of training and predicting an impact duration of 60 ms so that to focus on larger brain deformation of higher strains that are more relevant to brain injury. Other *ad hoc* choices regarding the number of time intervals and the length of each interval were mostly to maximize the GPU memory usage in each training task while minimizing the number of training sessions for the multi-task models. This was especially important for the TNN. Nevertheless, when adopting the techniques for other dynamic simulations, these hyperparameters should be adjusted accordingly to maximize efficiency.

# 585 Comparison with other related work

586 TNN models for NLP and computer vision usually have a much larger training dataset to allow 587 inclusion of many encoder layers (e.g., 300 million images with 12-32 layers for the Vision Transformer 588 [45]). In contrast, our TNN model only has 2 encoder layers due to the relatively small training dataset so 589 that to avoid overfitting. However, our dataset from FE simulation was noise-free and the output brain 590 displacements among neighboring voxels were also highly correlated, both spatially and temporally. These 591 characteristics helped reduce the complexity of our neural networks. In contrast, data for NLP or computer 592 vision applications often involve large variations in text structure and semantics [72] or in image resolution 593 and object size [73], respectively.

When comparing with other CNN models for spatiotemporal data estimation, previous models often require high dimensional filters to process high-dimensional input. For example, 4D CNN filters were incorporated to process 4D spatiotemporal CT [34] and 4D OCT data [74]. In contrast, our problem only utilizes a 2D "static image" of a relatively low dimension to represent head impact kinematics, which does not require high-dimensional filters.

#### 599 5D relative displacement field

600 Predicting a 5D displacement field not only significantly reduces data size, but also enables 601 convenient reconstruction of a voxel-wise strain tensor field. This is important to establish dynamic strains 602 along white matter fiber tracts necessary to drive microscale axonal injury models [26]. The voxelized 603 displacement field and resulting voxel-wise strain/strain tensor in a medical image format may be especially 604 useful in promoting multimodal biomechanical analysis [50,75], where mesh-image mismatch is common 605 that would prevent direct information exchange. By resampling the displacement according to a co-registered 606 medical image volume at the voxel corner nodes, voxel-wise strain at voxel centroids can be easily obtained 607 to eliminate mesh-voxel mismatch. Given that a voxel is a special type of hexahedral element, a high 608 efficiency is achieved because the Jacobian matrix (Eqn. 2) degenerates into an identity matrix in strain tensor 609 calculation [48]. Nevertheless, it was important to use the relative brain-skull displacement in this study, 610 rather than that directly from impact simulation in the global coordinate system. The latter contained rigid-611 body skull motion typically of a larger magnitude, which would dominate the neural network response to 612 yield a poor estimation accuracy of brain strain (verified but not shown).

### 613 Implications

This work has important implications across diverse engineering fields. First, the real-time efficiency and highly accurate estimation of brain strains from the TNN/CNN developed here improve our own previous work (**Tables 1** and **2**) [19,20]. They could enable a head injury model to serve as an active monitoring tool for head impacts in diverse contact sports. As impact sensors are now widely deployed, they provide the necessary input for instantaneous feedback of detailed brain strains. This could improve concussion risk mitigation strategies and to reduce the incidence and severity of concussion. 620 Second, this study also opens a new avenue to efficiently study the intrinsic dynamics of brain strain 621 in TBI. Until recently, this information has not been utilized in conventional injury studies, but it is important 622 to characterize local neuronal tissue loading environment critical to drive multiscale axonal injury models 623 [26]. This may allow uncovering the underlying pathological changes causal of brain injury [76]. The 624 comprehensive characterization of strain and the resulting strain rate would also allow conveniently 625 generating "dynamic" features of brain responses that could improve injury prediction performance than peak, 626 "static" features. The image representation of brain strain/strain rate may also greatly facilitates correlation 627 with neuroimaging [77,78], without complications from mesh-image mismatch [50].

Finally, the TNN and CNN models developed here may have broad implications for tissue dynamic simulations in diverse biomechanical fields, including the spectrum of injury biomechanics such as the head/brain, neck, extremities, and the whole body [63], various surgical simulations for computer-aided surgery [79,80], complex dynamic musculoskeletal architectures [81], and other broad engineering field [29,30]. Time series data are commonly used as input to these problems, similarly to the head kinematics employed here. A data-driven, real-time dynamic simulation may ultimately enable a model for routine clinical use that cannot be otherwise achieved.

### 635 Limitations

636 A limitation of the study was that the resampled displacement/strain at a relatively coarse spatial 637 resolution (4 mm voxel vs. 3.3 mm average brain element size) due to computing hardware constraint. This 638 limitation may be addressed by training a TNN/CNN for a targeted brain region at a finer spatial resolution, 639 such as in the corpus callosum. In this case, transfer learning may be utilized to facilitate training and to 640 reduce computational burden. Since all impact simulations assumed a rigid body skull and entirely relied on 641 head rotational velocity and acceleration, the TNN/CNN predictions may not be extended to situations of 642 significant skull deformation such as in severe head injury with skull fracture. Nevertheless, for mild car 643 crash impacts where rigid body skull assumption remains valid, more such cases in training are necessary to 644 improve their prediction accuracy (Table 3; [21]).

Explainable deep learning models are useful to provide insights into the decision-making process
(e.g., acute intracranial hemorrhage detection [82] and Alzheimer's disease classification [83]). Nevertheless,

| 647 | we only investigated the TNN normalized attention weights with an idealized impact (Fig. 12), which largely |  |  |  |  |  |
|-----|---|--|--|--|--|--|
| 648 | agreed with expected brain biomechanical interpretation. However, there was still unexpected/unexplainable  |  |  |  |  |  |
| 649 | noise.  | noise. In addition, our current CNN architecture does not generate a channel-wise attention map, which |  |  |  |  |
| 650 | preclu  | ded the investigation into its decision-making process. Explaining how the TNN/CNN makes the           |  |  |  |  |
| 651 | predict   | ion for an arbitrary head impact is outside the scope of the current work and will be explored in the  |  |  |  |  |
| 652 | future.   |  |  |  |  |  |
| 653 | Acknowledgement:  |  |  |  |  |  |
| 654 |   | Funding is provided by the NIH Grant R01 NS092853 and the NSF award under grant No. 2114697.           |  |  |  |  |
| 655 | References:   |  |  |  |  |  |
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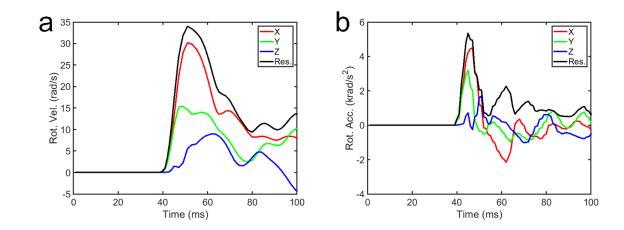
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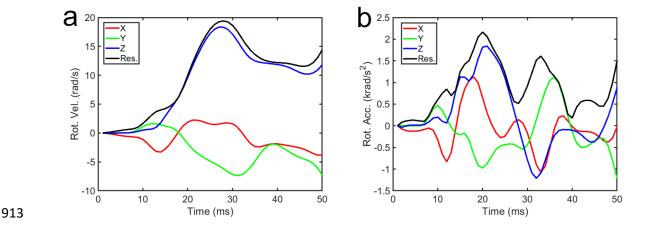
# 905 Supplementary materials:

- 906 Fig. S1: impact kinematic profiles for a selected case used in cross-validation.
- 907 Fig. S2: impact kinematic profiles for a selected case used in independent testing.
- 908 Fig. S3–S5: Performances for 11 independent test cases from various published sources.

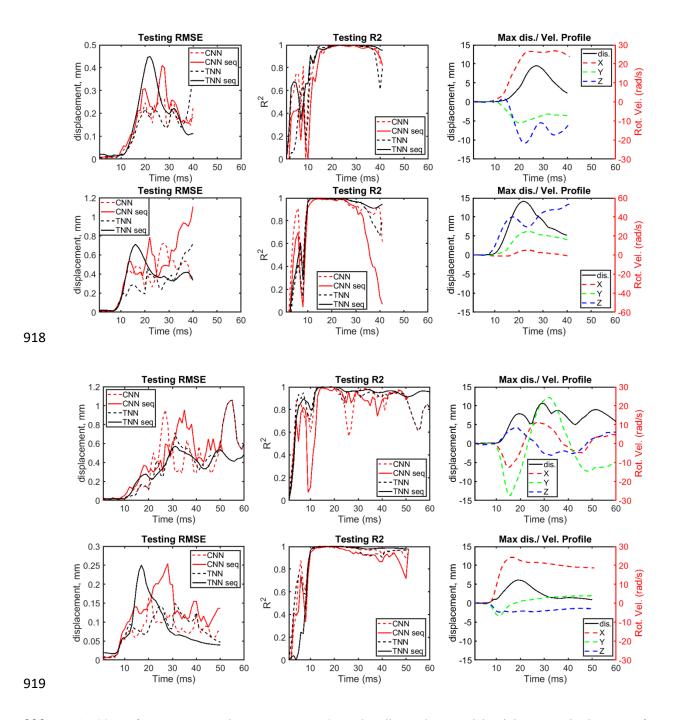


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910 Fig. S1. (a)  $v_{rot}$  and (b)  $a_{rot}$  profiles for a representative impact used to cross-validate displacement 911 prediction performance of the TNN/CNN models shown in Fig. 8 in the main paper.



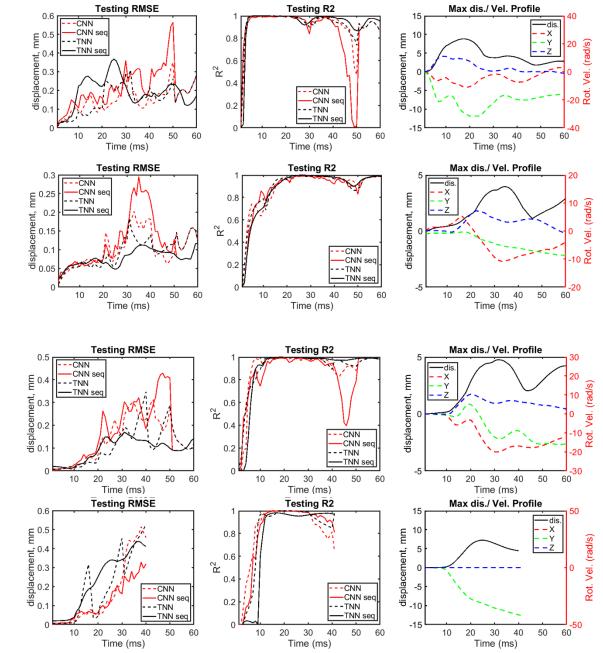
914 Fig. S2. (a)  $v_{rot}$  and (b)  $a_{rot}$  profiles for a representative impact in the independent testing dataset used to 915 evaluate displacement prediction performances of the TNN/CNN models shown in Fig. 10 in the main paper.



916 The profiles were further shifted and padded before serving as input to the TNN/CNN for displacement

917 estimation.

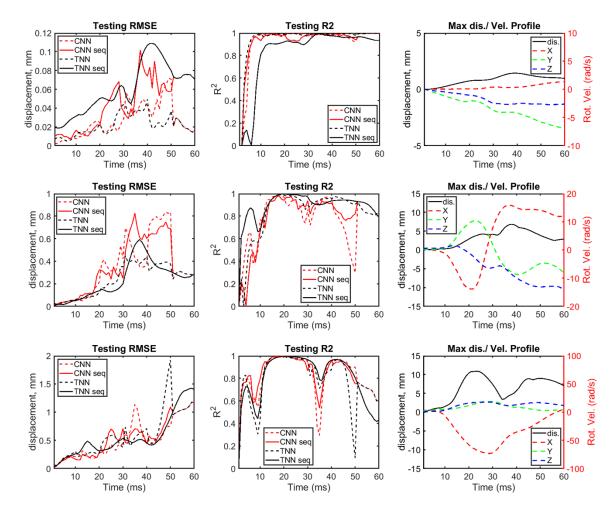
920 Fig. S3. Performance comparisons among TNN/CNN baseline and sequential training strategies in terms of 921 RMSE and  $R^2$  for selected impact Cases 1 through 4 (Table 3). The corresponding maximum displacement 922 magnitude over time is also provided together with the three rotational velocity components.



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925 Fig. S4. Performance comparisons among TNN/CNN baseline and sequential training strategies in terms of 926 RMSE and  $R^2$  for selected impact Cases 5 through 8 (Table 3). The corresponding maximum displacement 927 magnitude over time is also provided together with the three rotational velocity components.



929Fig. S5. Performance comparisons among TNN/CNN baseline and sequential training strategies in terms of930RMSE and  $R^2$  for selected impact Cases 9 through 11 (Table 3). The corresponding maximum displacement931magnitude over time is also provided together with the three rotational velocity components.