

# A Modeling Approach to Account for Unstable Stratification, Flow Acceleration, and Variable Thermophysical Properties for Supercritical Carbon Dioxide

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## Abstract

A first of its kind reduced-order predictive heat transfer model is developed to account for the effects of unstable stratification, flow acceleration, and variable thermophysical properties for supercritical carbon dioxide. These phenomena govern thermal transport in the proximity of the pseudo-critical point when the applied heating is limited to the bottom wall of the flow channel. The reduced order model assumes two-dimensional thermal transport and involves the iterative solution of the turbulent Prandtl number. The predictions of this model were compared against experimental data. Out of a total of 16 test data sets, each comprising over 200 individual data points, the model was able to predict 14 data sets with a mean average percent error (*MAPE*) of less than 20%. Additionally, a heat transfer design correlation is proposed which can predict the experimental data with a *MAPE* of less than

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22%. The modeling approaches outlined in this work provide an alternative to using CFD to model coupled and counteracting phenomena that governs thermal transport for supercritical fluids in asymmetrically bottom heated ducts.

*Keywords:* Supercritical, Carbon Dioxide, Heat Transfer, Single Wall Heating, Turbulent Flow, Microchannel, Reduced Order Model

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## 1. Introduction and Prior Work

Over the past decades, several heat transfer models have been proposed to predict thermal transport in the proximity of the pseudo-critical point for supercritical fluids [1, 2, 3]. These models were primarily developed to predict heat transfer of supercritical fluids in vertically oriented, macroscale, and uniformly heated geometries—representative of the operating conditions experienced in the nuclear power generation industry [4, 5]. Fluid could either be flowing against or along the direction of the gravity vector. Several authors reported sharp peaks in the wall temperature for supercritical fluid flows in an upward direction, whereas such peaks were absent for fluid flowing in a downward direction. A summary of these results can be found in [1].

The proposed models in [1, 2, 3] attributed these observations to the influence of transverse and axial density gradients in altering the shear stress distribution in the flow field. This change in stress distribution was then related to the heat transfer via the Reynolds analogy. Additionally, these models also account for the variation in the thermophysical properties across the boundary layer by using various property ratio terms, e.g.  $\left(\frac{\mu_{bulk}}{\mu_{wall}}\right)^x$ . While these models have been successful in predicting heat transfer for uniformly heated

vertical geometries, it is unclear if these models apply to applications where the applied heating is non-uniform and non-circular microchannel based geometries are used. These applications can include microchannel based heat exchangers in solar thermal applications [6, 7], thermal management of high heat fluxes [8, 9] or in cooling of gas turbine blades.

In our recent work [10], we designed and fabricated a test section in which the flow channels were subjected to extreme asymmetry in applied heating. Figure 1 shows the schematic of the test section, with dimensions shown in Table 1. Inconel 718 formed the bottom wall of the flow channels while the remainder of the flow channel walls were of a thermally and electrically insulating material, Torlon. The heat flux boundary was applied by Joule heating the Inconel-718 and the resulting surface temperatures were measured using an infrared camera.

Table 1: Dimensions of the microchannel test section from [10]

Nomenclature	Description	Numerical value
$Th_{BW}$	Thickness of the Inconel sheet	254 $\mu\text{m}$
$L_{ch}$	Length of the flow channel	50 mm
$H_{ch}$	Height of the flow channel	600 $\mu\text{m}$
$W_{ch}$	Width of the flow channel	2 mm
$N_{ch}$	Number of flow channels	3
$Th_{SW}$	Thickness of the channel side wall	1.5 mm
$Th_{OE}$	Thickness of the outer edge	1.5 mm
$L_{Inconel}$	Total length of the Inconel sheet	64 mm
$W_{Inconel}$	Total width of the Inconel sheet	13 mm

Using this setup, experiments were conducted for a range of inlet mass

33 flux ( $430 \leq G \leq 800 \text{ kg m}^{-2} \text{ s}^{-1}$ ), applied heat flux ( $5.7 \leq q'' \leq 14.12 \text{ W}$   
 34  $\text{cm}^{-2}$ ), inlet temperature ( $31 \leq T_{in} \leq 32.9 \text{ }^{\circ}\text{C}$ ) and at a reduced pressure  
 35 of 1.04. We found that the existing heat transfer models did not predict  
 36 our experimental data well (Average  $MAPE > 100\%$ ). For these conditions,  
 37 unstable stratification, flow acceleration, and variable thermophysical prop-  
 38 erties governed thermal transport. Two factors can be used to explain the  
 39 inability of existing design correlations to predict the heat transfer for single  
 40 wall heating boundary conditions.

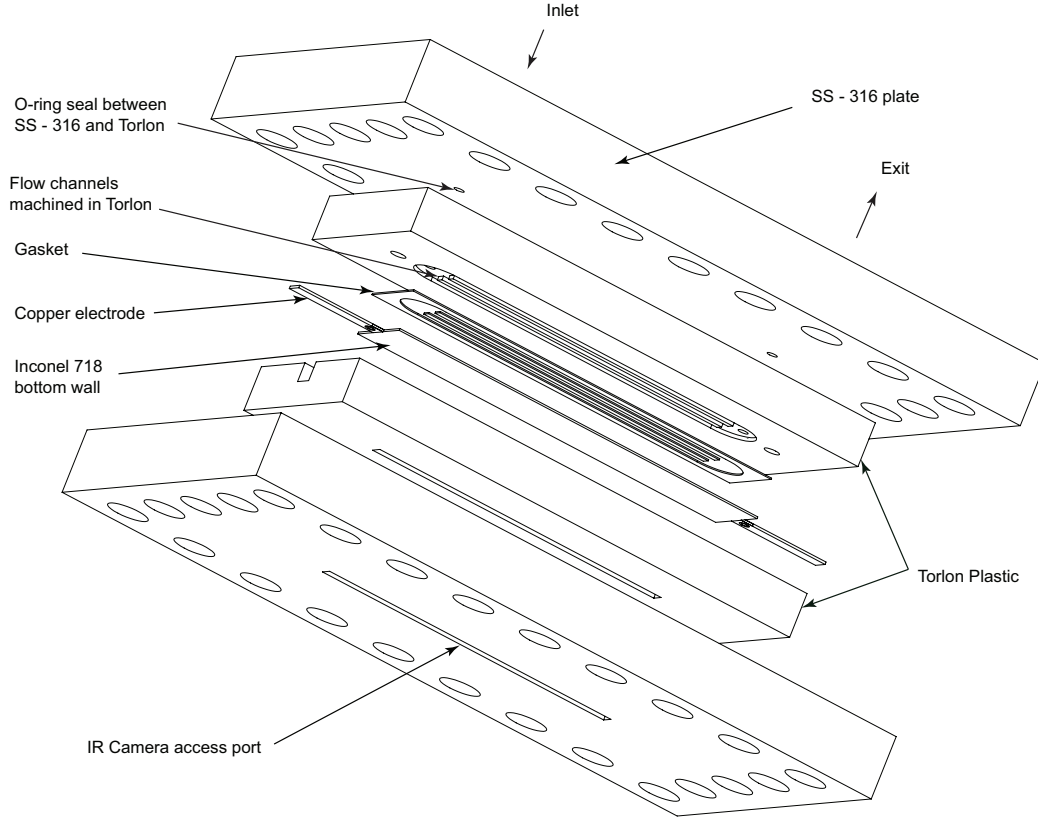


Figure 1: Exploded view of the test section showing sub-components [10].

41 Existing models, developed for uniformly heated ducts, account for un-

42 stable and stable stratification existing simultaneously in the flow channels.  
43 This is not the case when the applied heat flux is limited to a single wall of  
44 the flow channel. Additionally, regardless of the presence of buoyancy or flow  
45 acceleration effects, asymmetric heating can also compromise the predictive  
46 capability of existing models developed for uniform heating. Heat transfer  
47 coefficients associated with a fluid in a channel with a single wall heated can  
48 be lower than the case where all walls are uniformly heated. This has been  
49 reported for sub-critical single-phase turbulent fluid flows [11].

50 With no existing models for asymmetric heating in horizontal operat-  
51 ing conditions, thermal engineers must rely on computational fluid dynamics  
52 (CFD) simulations to help with sizing the heat exchangers. These simula-  
53 tions can be computationally expensive, and more importantly, the results  
54 of such simulations have not been validated against local experimental heat  
55 transfer data. Recently, Nabil and Rattner [9] used CFD to predict heat  
56 transfer for supercritical fluids in asymmetrically heated ducts. Due to the  
57 lack of availability of local heat transfer data, they instead used average ex-  
58 perimental data from our previous study [12] for validation purposes. It is  
59 not clear if these models can accurately predict local heat transfer. Accurate  
60 prediction of local heat transfer is crucial to avoid local hot-spots forma-  
61 tion which can be detrimental for device performance, such as in electronics  
62 cooling applications.

63 To address these shortcomings, this study (1) provides empirical evidence  
64 of buoyancy effects in non-uniformly heated microchannels, which established  
65 the need to model unstable stratification effects in bottom heated microchan-  
66 nels, (2) introduces a reduced order modeling framework that can predict the

67 local heat transfer of  $\text{sCO}_2$  in the proximity of the pseudo-critical point when  
68 the applied heating is limited to the bottom wall of the flow channel, and  
69 (3) presents an easy to implement design correlation that can be used to  
70 size microchannel devices using  $\text{sCO}_2$ . The model and design correlation are  
71 validated using the bottom heated experimental data obtained from the test  
72 section developed in our previous work [10]. The tabulated data used for  
73 comparison are publicly available in compiled form in [13].

74 The model and correlation developed in this study are for single-wall, bot-  
75 tom heating configuration. This is an extreme case for asymmetric heating  
76 and their predictions will provide a conservative estimate for varying degree  
77 of asymmetry in applied heating.

## 78 **2. Evidence for Presence of Buoyancy Effects in Microchannels**

79 In our earlier work [10], transition criteria suggested that buoyancy ef-  
80 fects were expected to be important for certain conditions in horizontal mi-  
81 crochannels, but empirical evidence for the presence of stratification was not  
82 presented. Since the modeling methodology presented in the later sections  
83 will account for these effects, using the same test section, we conducted ad-  
84 ditional experiments to obtain this empirical evidence. The details of these  
85 experiments can be found in Randle and Fronk [14], however a brief summary  
86 is provided here.

87 In these experiments, the test section was operated in a top heated con-  
88 figuration and a bottom heated configuration for the same nominal inlet and  
89 heat flux boundary conditions. The resulting surface temperatures of the  
90 channel wall were measured and the local heat transfer coefficients were cal-

culated. These results are shown in Figure 2. In this comparison, the increase in the heat transfer coefficients in the final 10 mm length of the channel was ignored. This is because the more effective cooling in the exit header region influences the surface temperature in the final portion of the flow channel. Details of the channel design, validation of the experimental approach, and detailed uncertainty analysis are reported in [10].

Generally, the local heat transfer coefficients for the top heated configuration are lower compared to the bottom heated configuration. In the majority of the developed length segment ( $\geq 19$  mm), this deviation is not within experimental uncertainty. On average, the heat transfer coefficients for the top heated configuration are 16% and 13.9% lower than the bottom heated configuration for an applied heat flux of  $7.2 \text{ W cm}^{-2}$  and  $11.1 \text{ W cm}^{-2}$ , respectively. This difference in the heat transfer coefficients for these two operating orientations confirms the presence of stratification and therefore its effects should be accounted for in a mechanistic heat transfer model.

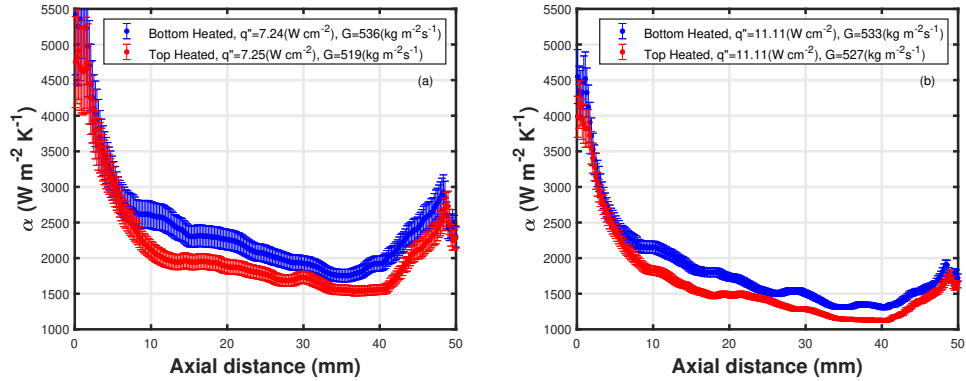


Figure 2: Comparison of local heat transfer coefficients for two different test section orientations. (a) Nominal Mass flux is  $520 \text{ kg m}^{-2} \text{ s}^{-1}$  and nominal heat flux is  $7.2 \text{ W cm}^{-2}$ . (b) Nominal Mass flux is  $520 \text{ kg m}^{-2} \text{ s}^{-1}$  and nominal heat flux is  $11.1 \text{ W cm}^{-2}$ .

### 3. Modeling Methodology

The flow channel geometry with heating limited to a single wall is modeled as flow between two parallel plates. This assumes that the thermal transport in the channel is a two-dimensional phenomena. Figure 3 shows the modeling domain.

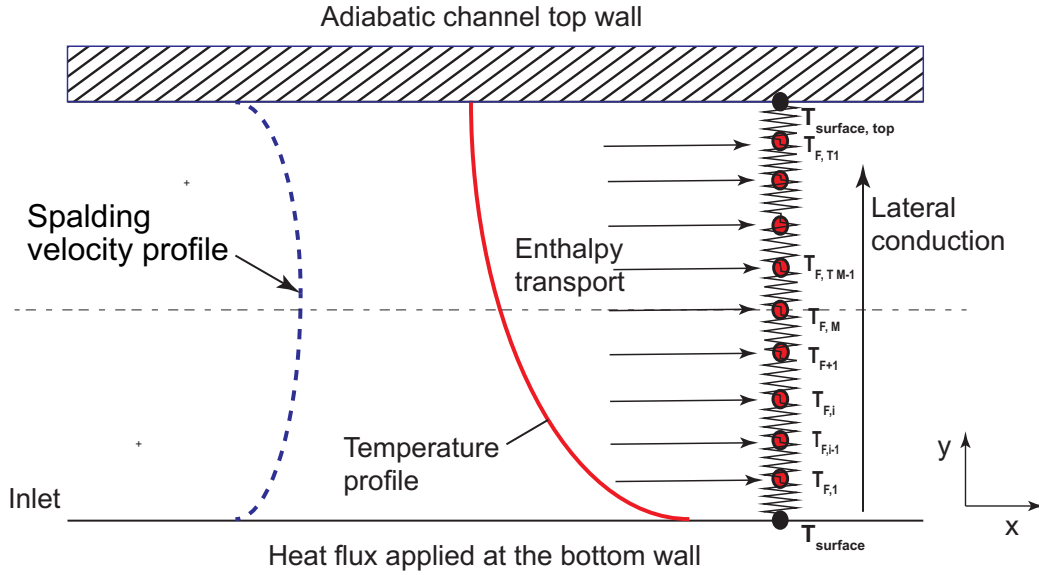


Figure 3: Schematic of the modeled channel flow geometry. This analysis assumes two-dimensional heat transfer between parallel plates.

For this domain, the energy equation for the boundary layer can be greatly simplified by ignoring the effects of axial conduction in the fluid stream and viscous dissipation. Additionally, by assuming steady and fully developed flow conditions, the resulting equation is represented by Eq. (1) — a balance between the enthalpy carried by the fluid stream and the lateral conduction into the fluid stream. Here, the effective conductivity ( $k_{eff}$ ) is accounting for



117 both molecular and turbulent transport mechanisms, as shown in Eq. (2).

$$\rho c u \frac{\delta T}{\delta x} = k_{eff} \frac{\delta^2 T}{\delta y^2} \quad (1)$$

$$k_{eff} = k_{mol} + \frac{\nu \rho c}{Pr_{turb}} \left( \frac{\sigma_m}{\nu} \right) \quad (2)$$

118 The influence of bulk flow acceleration on turbulent thermal conductivity  
 119 can be accounted for by using the Van-Driest expression for eddy diffusivity,  
 120 shown in Eq. (3). In this expression for eddy diffusivity,  $A^+$  is the effective  
 121 viscous sub-layer thickness and is expressed by Eq. (5), and adapted  
 122 from [15]. The effects of the axial pressure gradient on the viscous sub-layer  
 123 thickness are accounted for by  $P^+$ , which is negative for favorable pressure  
 124 gradients.

$$\frac{\sigma_m}{\nu} = \left[ \kappa y^+ \left( 1 - e^{-\frac{y^+}{A^+}} \right) \right]^2 \left| \frac{du^+}{dy^+} \right| \quad (3)$$

$$\frac{du^+}{dy^+} = \frac{1}{1 + \frac{\sigma_m}{\nu}} \quad (4)$$

$$A^+ = \frac{25.0}{7.1 \left[ 4.25(p^+) \right] + 1} \quad (5)$$

$$p^+ = \frac{\mu_{wall} \frac{dP}{dx}}{\rho^{\frac{1}{2}} \tau_s^{\frac{3}{2}}} \quad (6)$$

127 Unstable stratification in the channel will tend to enhance the eddy dif-  
 128 fusivity of heat compared to that of momentum [16]. This enhancement can  
 129 be accounted for by using the appropriate value of the turbulent Prandtl  
 130 number, defined in Eq. (7). The methodology to calculate the turbulent  
 131 Prandtl number is presented in Section 3.2

$$Pr_{turb} = \frac{\frac{\sigma_m}{\nu}}{\frac{\sigma_H}{\nu}} \quad (7)$$

132 Numerical solution of the simplified energy equation requires two bound-  
 133 ary conditions across the y-coordinate (channel height) and an initial con-  
 134 dition in the x-coordinate. The boundary condition at the channel bottom  
 135 wall is that of the uniform heat flux, while an adiabatic boundary condition  
 136 is assumed at the channel top wall. The inlet bulk fluid temperature is used  
 137 as an initial condition in the x-coordinate. With the two-dimensional fluid  
 138 temperature and the channel bottom wall temperature known, the local heat  
 139 transfer coefficient and Nusselt number can be evaluated. The fluid domain  
 140 can be discretized by using a resistance network based approach as shown in  
 141 Figure 3.

142 To model the enthalpy transport through these fluid nodes, the velocity  
 143 distribution in the channel needs to be defined. In this model, it is assumed  
 144 that buoyancy and flow acceleration are not affecting the velocity profile,  
 145 which is consistent with the assumption of hydrodynamically fully developed  
 146 flow conditions. The limitation of this assumption is discussed in Section  
 147 4.1. To obtain the velocity distribution across the flow channel, the turbulent  
 148 velocity profile proposed by Spalding [17] was used. This expression, shown  
 149 in Eq. (8), represents the non-dimensional velocity distribution from the wall  
 150 to the center of the flow channel. By assuming a symmetric velocity profile,  
 151 the same distribution can be assumed to exist in the upper half of the flow  
 152 channel.

$$y^+ = u^+ + 0.11408 \left[ e^{(\kappa u^+)} - 1 - \kappa u^+ - \frac{(\kappa u^+)^2}{2} - \frac{(\kappa u^+)^3}{6} - \frac{(\kappa u^+)^4}{24} \right] \quad (8)$$

153 Using this non-dimensional velocity profile, dimensional velocity through  
 154 each node was calculated by determining the appropriate friction velocity—  
 155 calculated in an iterative fashion. This was done by requiring the calculated

mean velocity, Eq. (9) and the specified mean velocity (based on mass flux), Eq. (10) to be equal to each other. This methodology was adopted from [18].

$$u_{mean} = \frac{1}{H_{eq}} \left[ \sum_{i=1}^N (u[i] L_{cv}[i]) + \sum_{i=1}^{N-1} (u_{tha}[i] L_{cv,tha}[i]) \right] \quad (9)$$

$$u_{mean} = \frac{G_{chan}}{\rho_{in}} \quad (10)$$

A total of 50 nodes were used to span a distance of 461.54  $\mu\text{m}$  (equivalent height between the plates  $D_h = 2 \times H_{eq}$  [19], where  $D_h$  is that of the microchannel). With a total of 50 nodes across the flow channel, the spacing between adjacent nodes in the near wall region, was smaller compared to the thickness of the sub-layer—allowing to obtain a high resolution temperature distribution in that region. Additionally, these nodes were distributed in a logarithmic fashion, with more nodes concentrated in the near wall region where the steepest gradients are to be expected. With the specified uniform heat flux, the wall temperature can be obtained from Eq. (11).

$$q''_{flux} = k_{eff}[1] \left( \frac{T_{w,b} - T_F[1]}{y[1]} \right) \quad (11)$$

The differential equation, used to obtain the axial temperature evolution of the first fluid node is given by Eq. (12)

$$\begin{aligned} \frac{dT_F[1]}{dx} = & \left\{ k_{eff}[1] \left( \frac{T_{w,b} - T_F[1]}{y[1]} \right) \right. \\ & \left. - \left[ \left( \frac{k_{eff}[1] + k_{eff}[2]}{2} \right) \left( \frac{T_F[1] - T_F[2]}{y[2] - y[1]} \right) \right] \right\} \frac{1}{\rho[1] L_{cv}[1] u[1] c_p[1]} \end{aligned} \quad (12)$$

The differential equations for the interior fluid nodes for the bottom half of the channel, located between the first and channel center line node are as

172 follows:

$$\begin{aligned} \frac{dT_F[i]}{dx} = & \left\{ \left[ \left( \frac{k_{eff}[i-1] + k_{eff}[i]}{2} \right) \left( \frac{T_F[i-1] - T_F[i]}{y[i] - y[i-1]} \right) \right] \right. \\ & \left. - \left[ \left( \frac{k_{eff}[i] + k_{eff}[i+1]}{2} \right) \left( \frac{T_F[i] - T_F[i+1]}{y[i+1] - y[i]} \right) \right] \right\} \frac{1}{\rho[i]L_{cv}[i]u[i]c_p[i]} \end{aligned} \quad (13)$$

173 The differential equation for the fluid node located at the channel center line  
174 is as follows:

$$\begin{aligned} \frac{dT_F[N]}{dx} = & \left\{ \left[ \left( \frac{k_{eff}[N-1] + k_{eff}[N]}{2} \right) \left( \frac{T_F[N-1] - T_F[N]}{y[N] - y[N-1]} \right) \right] \right. \\ & \left. - \left( \frac{(k_{eff}[N] + K_{eff,th}[N-1])}{2} \right) \left( \frac{T_F[N] - T_{F,th}[N-1]}{y[N] - y[N-1]} \right) \right\} \frac{1}{\rho[N]L_{cv}[N]u[N]c_p[N]} \end{aligned} \quad (14)$$

175 The differential equations for the interior nodes in the channel top half, with  
176 the direction of heat transfer from bottom to top half, are as follows:

$$\begin{aligned} \frac{dT_{F,th}}{dx} = & \left\{ \left[ \left( \frac{k_{eff,th}[i+1] + k_{eff,th}[i]}{2} \right) \left( \frac{T_{F,th}[i+1] - T_{F,th}[i]}{y[i+1] - y[i]} \right) \right] \right. \\ & \left. - \left( \frac{(k_{eff,th}[i] + K_{eff,th}[i-1])}{2} \right) \left( \frac{T_{F,th}[i] - T_{F,th}[i-1]}{y[i] - y[i-1]} \right) \right\} \frac{1}{\rho_{tha}[i]L_{cv,tha}[i]u_{tha}[i]c_{p,tha}[i]} \end{aligned} \quad (15)$$

177 Finally, the differential equation for the fluid node adjacent to the top wall  
178 of the channel is as follows:

$$\begin{aligned} \frac{dT_{F,th}[1]}{dx} = & \left( \frac{k_{eff,th}[2] + k_{eff,th}[1]}{2} \right) \left( \frac{T_{F,th}[2] - T_{F,th}[1]}{y[2] - y[1]} \right) \times \\ & \frac{1}{u_{tha}[1]L_{cv,tha}[1]\rho_{tha}[1]c_{p,tha}[1]L_{cv,tha}[1]} \end{aligned} \quad (16)$$

179 The adiabatic boundary condition at the channel top wall implies that the  
 180 temperature of the fluid node adjacent to the top wall is equal to the channel  
 181 top wall temperature, i.e.:

$$T_{F,th}[1] = T_{w,t} \quad (17)$$

182 These differential equations can then be integrated to obtain the local  
 183 heat transfer coefficients. In this study, the calculation procedure was carried  
 184 out using the Engineering Equation Solver (EES) [20] platform with the  
 185 channel inlet temperature as the initial condition. During this integration  
 186 process, the temperature dependent thermophysical properties for the current  
 187 integration step are taken from those calculated at the previous step. This  
 188 allows the iterative solver to converge when there is a drastic change in the  
 189 thermophysical properties of sCO<sub>2</sub> in the proximity of the pseudo-critical  
 190 point. After obtaining the thermal profile across the channel, the bulk fluid  
 191 temperature can be calculated as shown in Eq. (20). Using the bulk fluid  
 192 temperature, the local heat transfer coefficient and the Nusselt number is  
 193 obtained according to Eq (21) and (22), respectively.

$$T_F[i] = T_{ch,in} + \int_{x=0}^{x=L_{ch}} \left( \frac{dT_F[i]}{dx} \right) dx \quad (18)$$

$$T_{F,th}[i] = T_{ch,in} + \int_{x=0}^{x=L_{ch}} \left( \frac{dT_{F,th}[i]}{dx} \right) dx \quad (19)$$

$$T_{bulk} = \frac{1}{u_{mean} H_{eq}} \left[ \sum_{i=1}^N (u[i] T_F[i] L_{cv}[i]) + \sum_{i=1}^{N-1} (u_{tha}[i] T_{F,th}[i] L_{cv,tha}[i]) \right] \quad (20)$$

$$\alpha = \frac{q_{flux}}{T_{w,b} - T_{bulk}} \quad (21)$$

$$Nu_{bulk} = \frac{q_{flux} D_h}{k_{bulk} (T_{w,b} - T_{bulk})} \quad (22)$$

### 198 3.1. Evaluation of the Prediction Scheme

199 To gain confidence in the accuracy of the technique implemented in the  
200 previous section, the predicted heat transfer results are compared against a  
201 canonical case with a similar geometry and heat flux boundary conditions.  
202 Here, we consider an asymmetrically heated annulus formed between two  
203 concentric tubes, shown in Figure 4. In this configuration, the bottom wall  
204 of the flow channel, i.e., the inner tube wall, can be heated while the external  
205 tube wall can be kept adiabatic—mimicking the boundary conditions of  
206 interest in the current study. Additionally, in the limiting case of the radius  
207 of the internal tube approaching that of the external tube, the flow in the  
208 annulus can be treated as flow between parallel plates [15].

209 Heat transfer data for this particular configuration were published by  
210 Kays and Leung [21]. They developed a computational scheme to predict the  
211 turbulent heat transfer for a range of annular flow geometries. One of these  
212 cases reported data as  $\frac{r_{outer}}{r_{inner}} \rightarrow 1$  with heating limited to a single wall. These  
213 computational investigations were validated by using experimental data for  
214 air (Prandtl number = 0.7).

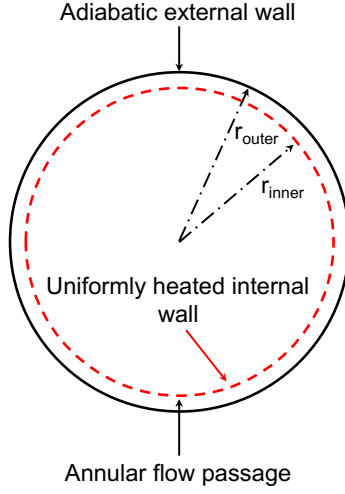


Figure 4: As  $\frac{r_{outer}}{r_{inner}} \rightarrow 1$ , the annular flow geometry approaches that of parallel flat plates.

215 Using the model developed in our study, the local Nusselt numbers for  
 216 sCO<sub>2</sub> were calculated for operating conditions away from the pseudo-critical  
 217 point. For these conditions, no significant density gradients would be present  
 218 in the flow channel, therefore the effects of both buoyancy and flow accelera-  
 219 tion on eddy diffusivity are ignored. A turbulent Prandtl number of 0.9 was  
 220 chosen, the same as that used by Kays and Leung [21] in their calculations.  
 221 All other calculation details were similar to those described in the section 3.  
 222 The inputs used for this evaluation of the model are summarized in Table 2.  
 223 Using these inputs, the model is solved, providing a two-dimensional tem-  
 224 perature distribution across the channel. A few of these temperature profiles  
 225 are shown in Figure 5.

Table 2: Inputs to the predictive model to calculate the local Nusselt number for conditions away from the pseudo-critical point.

Nomenclature	Description	Numerical value
$P_{\text{inlet}}$	Absolute pressure of sCO <sub>2</sub>	8900 kPa
$Re_{\text{inlet}}$	Reynolds number at inlet	$3 \times 10^4$
$T_{\text{pc}}$	Pseudo-critical temperature	39.48°C
$T_{\text{inlet}}$	Channel inlet temperature	45°C
$D_H$	Hydraulic diameter for parallel plates	$2 \times H_{\text{eq}}$
RR	Relative Roughness	0
$\Delta P_{\text{fric}}$	Channel frictional pressure drop	1352 Pa
$Pr_{\text{inlet}}$	Inlet Prandtl number	1.62
$Pr_{\text{turb}}$	Turbulent Prandtl number	0.9



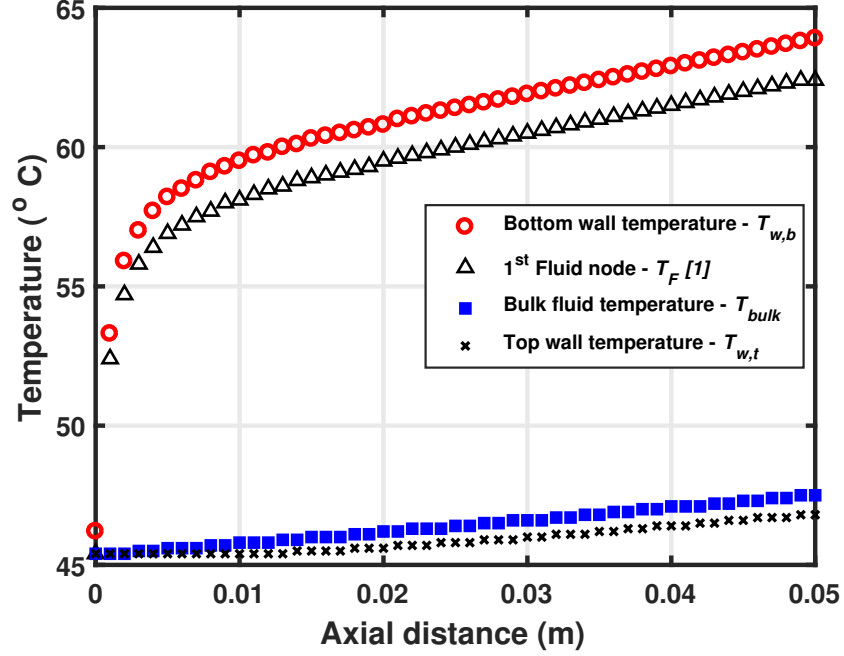


Figure 5: Temperature profiles obtained from the resistance network model developed for flow between parallel plates with asymmetric heating.

226 The Nusselt number data provided by Kays and Leung [21] are a function  
 227 of Prandtl number. This data set was fitted with a third order polynomial and  
 228 then used to obtain the Nusselt number variations along the channel length as  
 229 a function of Prandtl evaluated at the wall temperature. Figure 6 shows the  
 230 the results of the comparison of calculated local Nusselt numbers and those  
 231 reported by Kays and Leung [21]. In the developing region, the maximum  
 232 error between the model and the results of Kays and Leung is 57.1%. This  
 233 difference decreases, on average, to is 3.3% in the fully developed region. The  
 234 maximum deviation observed in the fully developed region is 3.81%. The  
 235 average difference between these is 3.3% in the thermally fully developed  
 236 region, giving credibility to the approach used to setup the predictive model.

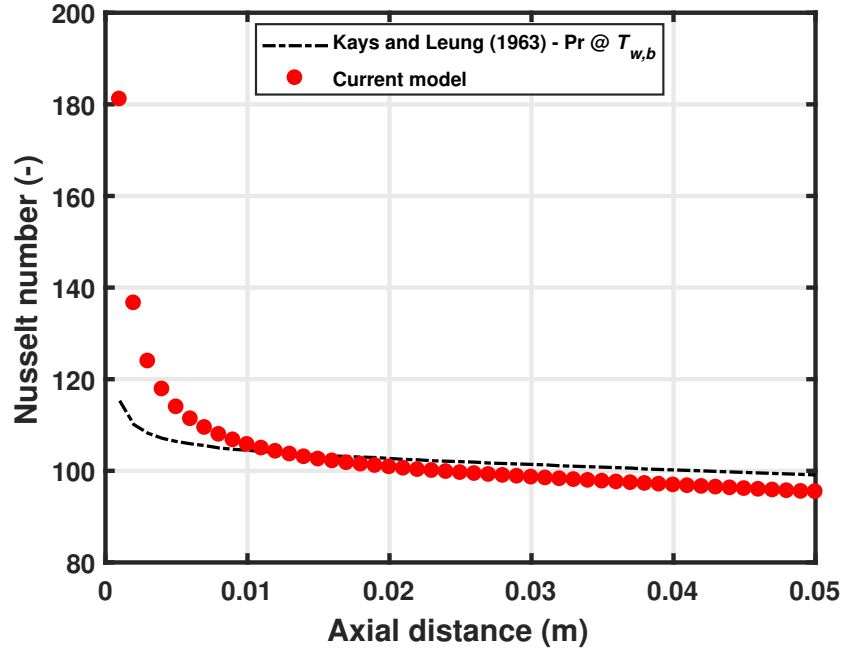


Figure 6: Comparison of local Nusselt numbers. In the thermally developed region ( $> 0.029$  m), the average difference between the two trends is 3.3%.

### 3.2. Determining the Turbulent Prandtl Number

Turbulent Prandtl number can be a function of several flow parameters — velocity and temperature gradients, turbulent shear stress, turbulent heat flux, and stratification. Additionally, turbulent Prandtl number is not constant within the flow field, with the highest values observed close to the wall and can be as high as 2 for air ( $Pr = 0.7$ ) [15]. Several authors have reported using variable values of turbulent Prandtl numbers to model the heat transfer of supercritical carbon dioxide in the proximity of the pseudo-critical point [22, 23, 24]. With many different factors influencing the turbulent Prandtl number, it is not possible to know the exact value before starting the calculation procedure. Therefore, the value of turbulent Prandtl number, used in

248 the bottom half of the channel, was determined in an iterative fashion.

249 The values of the turbulent Prandtl number were changed in the model  
250 until the numerically predicted bulk temperature profile matched those pre-  
251 dicted by a first law balance on the flow channel (within  $0.1^{\circ}\text{C}$ ). This process  
252 is illustrated in the flow chart shown in Figure 7 and can be summarized in  
253 the following steps:

- 254 1. The inputs of heat flux, mass flux, absolute pressure, inlet bulk tem-  
255 perature, pseudo-critical temperature, and an estimate of the turbulent  
256 Prandtl number are entered in the model.
- 257 2. The model solves equations (1) through (22) to obtain the wall tem-  
258 perature and the bulk fluid temperature.
- 259 3. The bulk fluid temperature is compared to that obtained by the first  
260 law balance on the channel.
- 261 4. If the numerically predicted bulk temperature is within  $0.1^{\circ}\text{C}$  of that  
262 obtained by the first law, the calculation procedure proceeds. If this is  
263 not the case, steps 1 through 4 are repeated with an updated value of  
264 the turbulent Prandtl number.
- 265 5. The calculation then proceeds to determine the heat transfer coeffi-  
266 cients and the Nusselt number

267 Since the top half of the channel is not expected to be significantly affected by  
268 unstable stratification, a constant value was used there. Turbulent Prandtl  
269 number varies across the boundary layer and can be as high as 2 in the near  
270 wall region [15]. Therefore, a value of 1.9 was chosen to account for the

271 limiting resistance of the near wall region in the top portion of the channel.  
 272 Tang *et al.* [22] also reported, for supercritical carbon dioxide, increasing  
 273 values of turbulent Prandtl number in the near wall region.

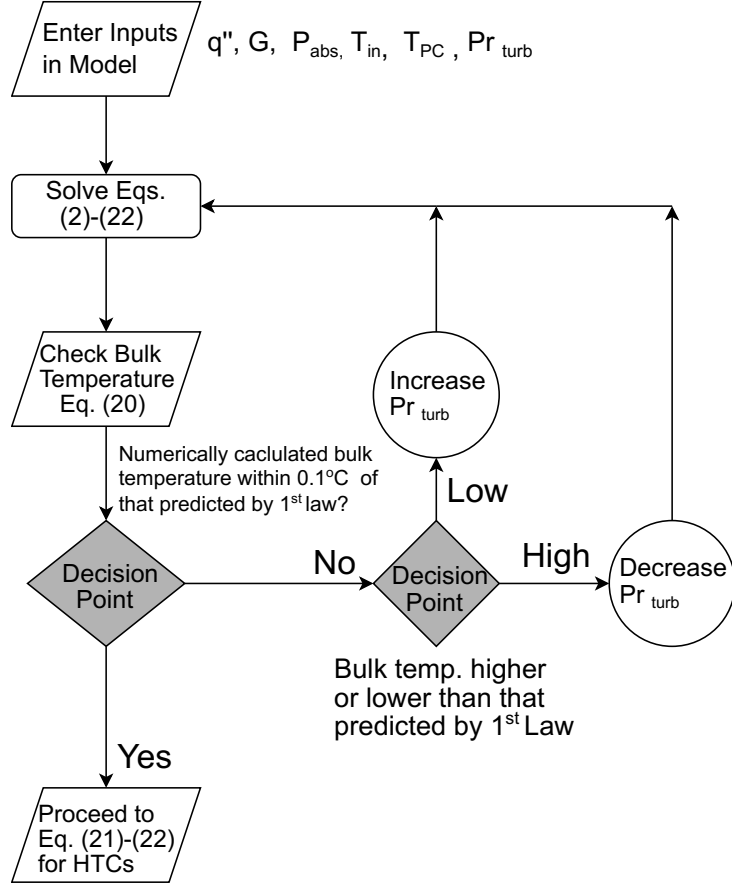


Figure 7: Flow chart illustrating the iterative scheme used to determine the correct turbulent Prandtl number used in the bottom half of the channel.

#### 274 4. Comparison with Experimental Data

275 The predictions of the reduced order model are compared against data  
 276 reported in [10]. In this comparison, the nominal channel mass flux ranges

277 from 700 to 430  $\text{kg m}^{-2} \text{s}^{-1}$  whereas the heat flux ranges from 5.7 to 11.1 W  
278  $\text{cm}^{-2}$ . Unstable stratification and flow acceleration effects are expected to be  
279 present for channel mass fluxes below 500  $\text{kg m}^{-2} \text{s}^{-1}$  and heat flux values  
280 higher than 7  $\text{W cm}^{-2}$ , as predicted by the transition criteria of Petukhov  
281 [25] and Jackson [1].

282 Figure 8 shows the comparison for a nominal channel mass flux of 700  
283  $\text{kg m}^{-2} \text{s}^{-1}$ . The associated values of the turbulent Prandtl number and the  
284 *MAPE* values are shown in Table 3. The comparison was started at an axial  
285 position of 2.4 mm. This was done to avoid comparison with the extremely  
286 high values of the heat transfer coefficients predicted by the model in the very  
287 first time steps of the solution. These very high heat transfer coefficients are  
288 not physical but rather an artifact of the numerical scheme used to solve the  
289 coupled set of ordinary differential equations.

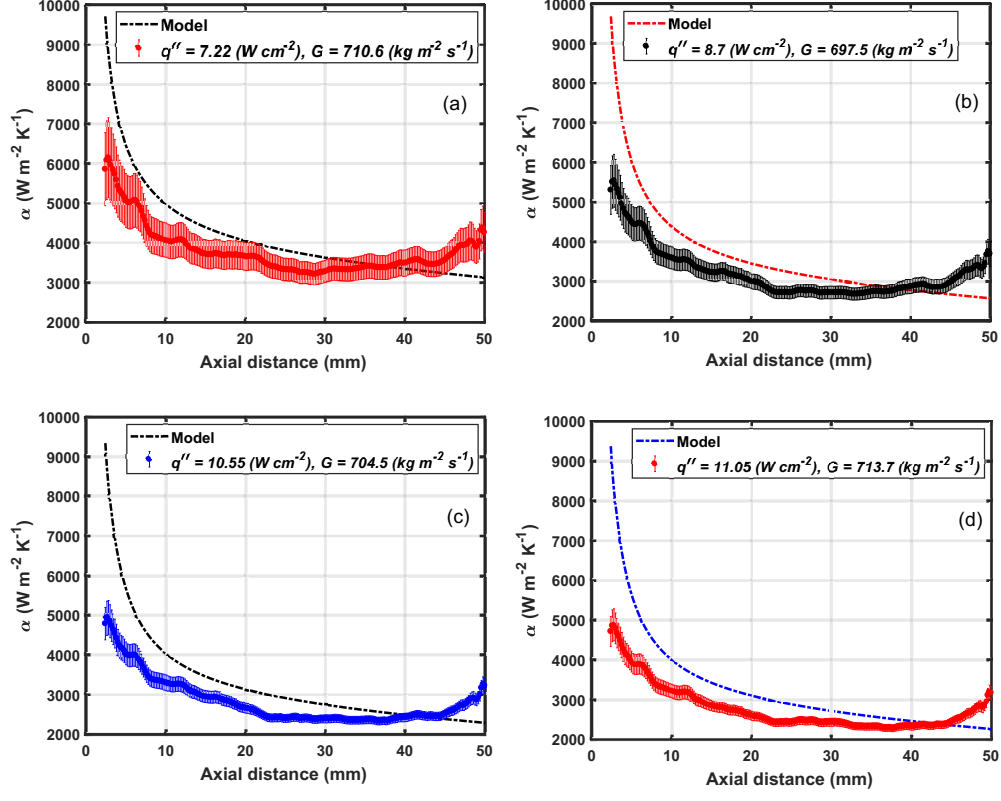


Figure 8: Comparison of the predictions of the reduced order model against the experimental data with a nominal channel mass flux of  $700 \text{ kg m}^{-2} \text{s}^{-1}$  and a nominal inlet temperature of  $32.8^\circ\text{C}$ . The comparison is started at an axial position of  $2.4 \text{ mm}$  instead of  $0 \text{ mm}$ .

Table 3: *MAPE* values and turbulent Prandtl number details for nominal mass flux of 700 kg m<sup>-2</sup> s<sup>-1</sup>.

$G_{chan}$ ( kg m <sup>-2</sup> s <sup>-1</sup> )	$q''$ (W cm <sup>-2</sup> )	Average <i>MAPE</i> %	$Pr_{turb}$ (-)
710.6	7.22	13.9	1.9 IF $\left(\frac{T_{Bulk}}{T_{PC}} < 0.999\right)$ ELSE 1.8
697.5	8.7	16.19	1.45 $\left(\frac{T_{Bulk}}{T_{PC}} > 0.999\right)$
704.4	10.55	17.7	1.15 $\left(\frac{T_{Bulk}}{T_{PC}} > 0.999\right)$
713.7	11.09	18.12	1.1 $\left(\frac{T_{Bulk}}{T_{PC}} > 0.999\right)$

290 The comparison of the model with the experimental data with a nominal  
291 channel mass flux of 460 kg m<sup>-2</sup> s<sup>-1</sup> is shown in Figure 9 and the details of the  
292 *MAPE* and turbulent Prandtl number are summarized in Table 4. Again,  
293 as the heat flux is increased or the ratio,  $\frac{T_{Bulk}}{T_{PC}}$  approaches unity, the values  
294 of the turbulent Prandtl number drop. Additionally, the *MAPE*, for all the  
295 cases in this data set, is below 20 %.

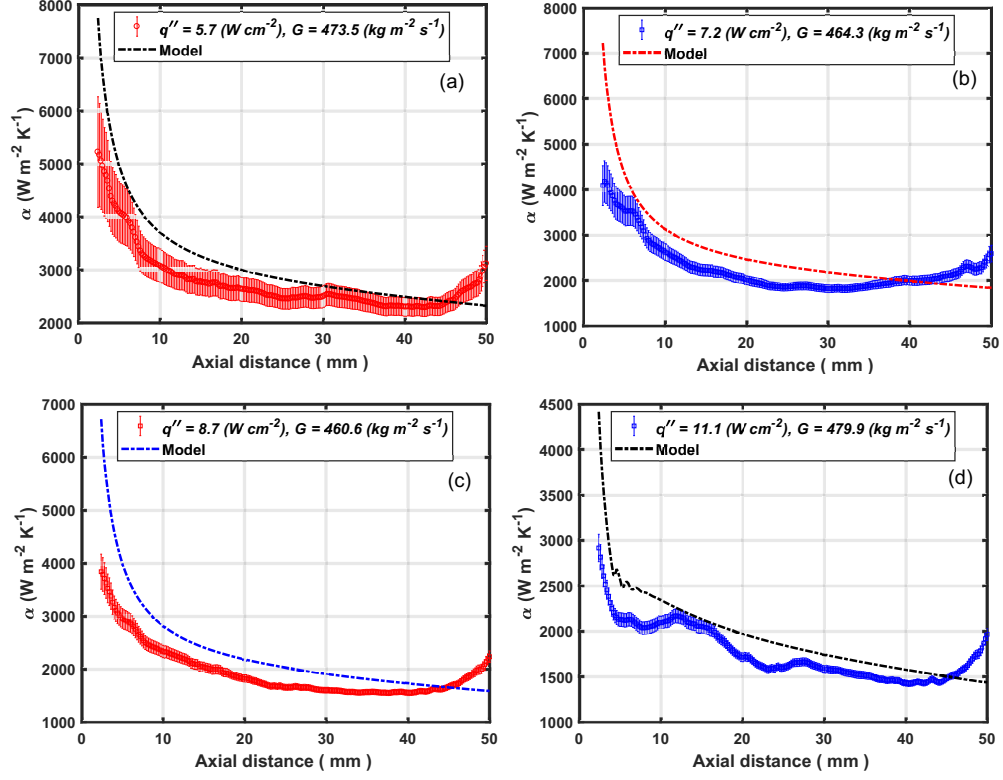


Figure 9: Comparison of the predictions of the reduced order model against the experimental data with a nominal channel mass flux of  $460 \text{ kg m}^{-2} \text{s}^{-1}$  and a nominal inlet temperature of  $32.8^\circ\text{C}$ . The comparison is started at an axial position of 2.4 mm instead of 0 mm.



Table 4: *MAPE* values and turbulent Prandtl number details for nominal mass flux of 460 kg m<sup>-2</sup> s<sup>-1</sup>.

$G_{chan}$ ( kg m <sup>-2</sup> s <sup>-1</sup> )	$q''$ (W cm <sup>-2</sup> )	Average <i>MAPE</i> %	$Pr_{turb}$ (-)
473.5	5.7	13.3	1.4 $\left(\frac{T_{Bulk}}{T_{PC}} > 0.999\right)$
464.3	7.2	17.6	1.05 $\left(\frac{T_{Bulk}}{T_{PC}} > 0.999\right)$
460.6	8.7	18.9	0.8 $\left(\frac{T_{Bulk}}{T_{PC}} > 0.999\right)$
479.9	11.1	11.59	1.8 IF $\left(\frac{T_{Bulk}}{T_{PC}} < 0.999\right)$ ELSE 0.57

296 The predictive capability of the model is compared against the experimen-  
297 tal results with a nominal channel mass flux of 430 kg m<sup>-2</sup> s<sup>-1</sup>. The results  
298 are shown in Figure 10 and the *MAPE* and turbulent Prandtl number values  
299 are summarized in Table 5. The *MAPE* for all the cases in this data set was  
300 below 25%. Additionally, the turbulent Prandtl number values dropped as  
301 the heat flux was increased and the ratio,  $\frac{T_{Bulk}}{T_{PC}}$  approached unity.

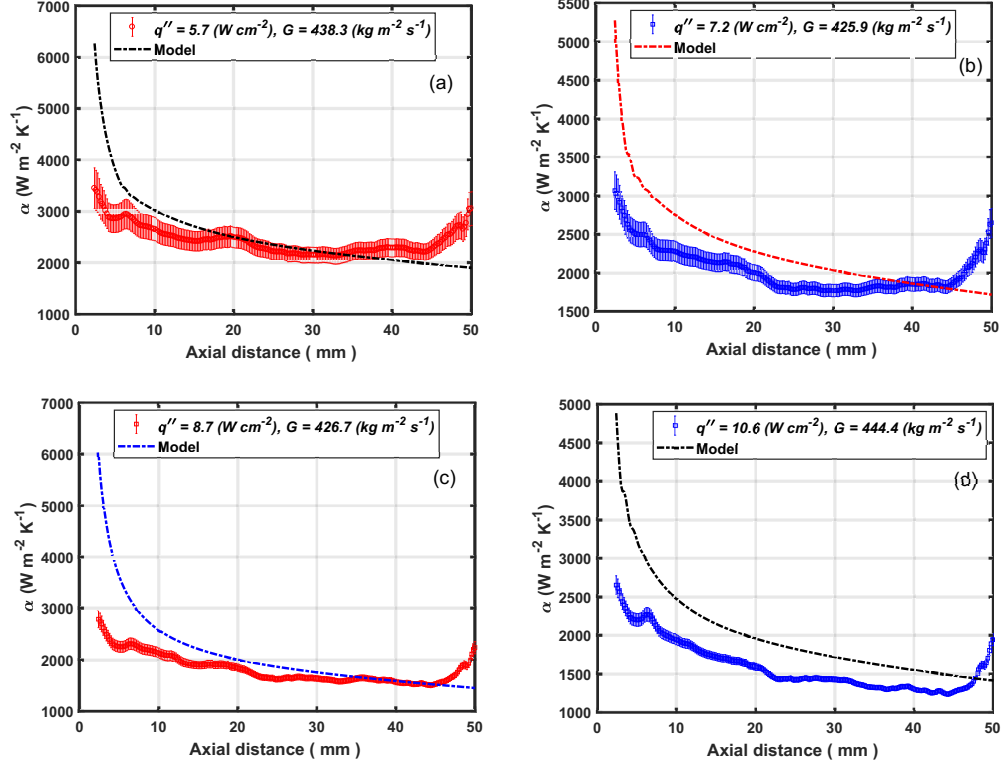


Figure 10: Comparison of the predictions of the reduced order model against the experimental data with a nominal channel mass flux of 430 kg m<sup>-2</sup> s<sup>-1</sup> and a nominal inlet temperature of 32.8°C. The comparison is started at an axial position of 2.4 mm instead of 0 mm.

Table 5: *MAPE* values and turbulent Prandtl number details for nominal mass flux of 430 kg m<sup>-2</sup> s<sup>-1</sup>.

$G_{chan}$ ( kg m <sup>-2</sup> s <sup>-1</sup> )	$q''$ (W cm <sup>-2</sup> )	Average <i>MAPE</i> %	$Pr_{turb}$ (-)
438.3	5.7	12.4	1.9 IF $\left(\frac{T_{Bulk}}{T_{PC}} < 0.999\right)$ ELSE 1.4
425.9	7.2	15.49	1.9 IF $\left(\frac{T_{Bulk}}{T_{PC}} < 0.999\right)$ ELSE 0.89
426.7	8.7	16.11	0.7 $\left(\frac{T_{Bulk}}{T_{PC}} > 0.999\right)$
444.4	10.6	24.7	1.9 IF $\left(\frac{T_{Bulk}}{T_{PC}} < 0.999\right)$ ELSE 0.52

302 The results of the comparison against the final experimental data set are  
303 shown in Figure 11. The *MAPE* and the values of the turbulent Prandtl  
304 number for each individual case in this data set are summarized in Table 6.  
305 Apart from one case, the remaining cases in this data set had *MAPE* values  
306 under 20%. Similar to the cases in the previous subsection, as the heat flux  
307 is increased or the ratio,  $\frac{T_{Bulk}}{T_{PC}}$  approaches unity, the values of the turbulent  
308 Prandtl number drop.

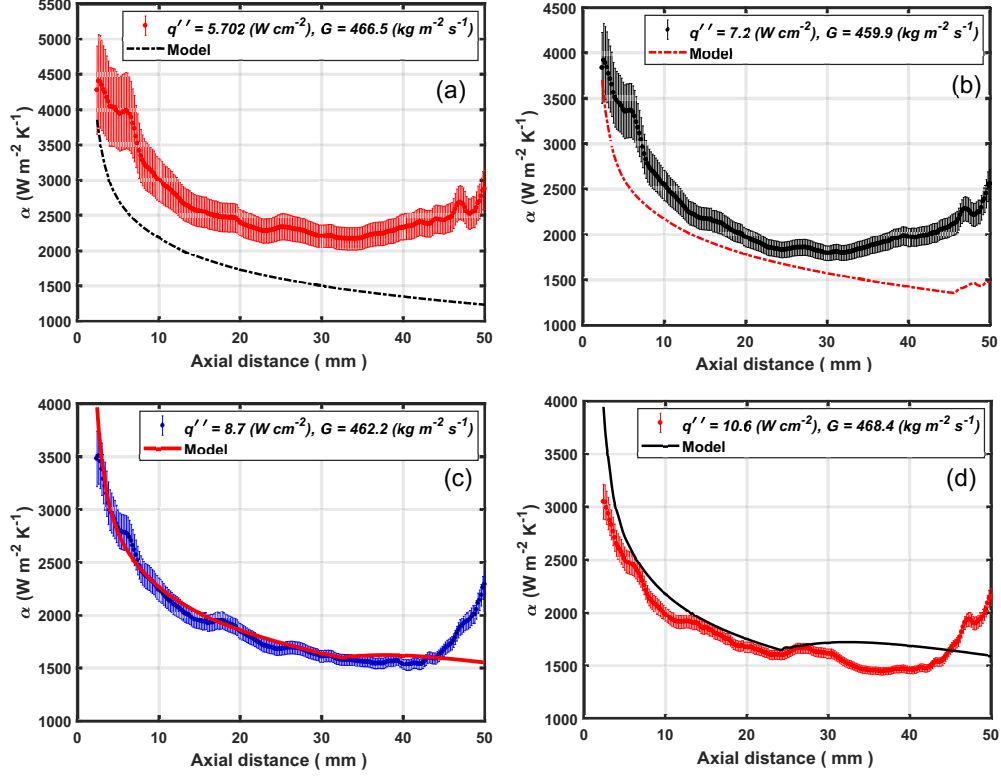


Figure 11: Comparison of the predictions of the reduced order model against the experimental data with a nominal mass flux of  $460 \text{ kg m}^{-2} \text{ s}^{-1}$ .

Table 6: *MAPE* values and turbulent Prandtl number details for test cases with a nominal mass flux of  $460 \text{ kg m}^{-2} \text{ s}^{-1}$ .

$G_{chan}$ ( $\text{kg m}^{-2} \text{ s}^{-1}$ )	$q''$ ( $\text{W cm}^{-2}$ )	Average <i>MAPE</i> %	$Pr_{turb}$ (-)
466.5	5.7	34.18	$2.4 \left( \frac{T_{Bulk}}{T_{PC}} < 0.999 \right)$
459.9	7.2	18.9	$1.3 \text{ IF } \left( \frac{T_{Bulk}}{T_{PC}} < 0.999 \right) \text{ ELSE } 0.9$
462.2	8.7	4.1	$0.85 \text{ IF } \left( \frac{T_{Bulk}}{T_{PC}} < 0.999 \right) \text{ ELSE } 0.75$
468.4	10.6	9.1	$0.6 \text{ IF } \left( \frac{T_{Bulk}}{T_{PC}} < 0.999 \right) \text{ ELSE } 0.5$

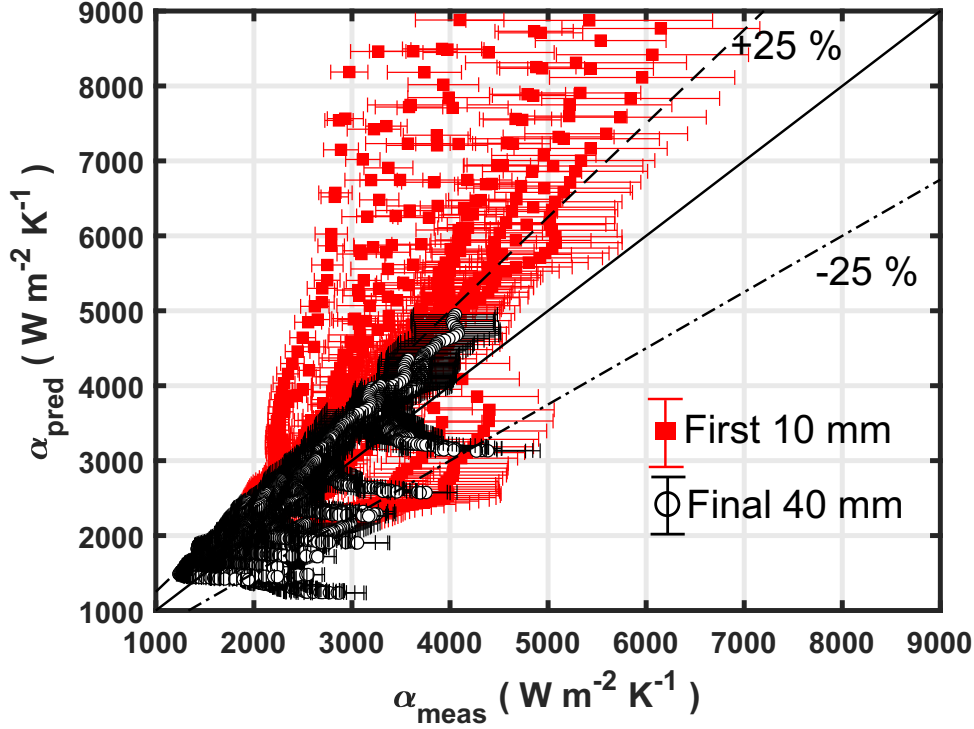


Figure 12: Comparison of the all the experimental data against the predictions of the model. The data has been split into two categories, the first 10 mm of the channel and the final 40 mm. In general, the model is able to predict the data with greater accuracy in the final 40 mm length of the channel

309 Generally, as the applied heat flux increased and the mass flux reduced,  
 310 there was a reduction in the values of the turbulent Prandtl number. Also  
 311 for each case, as the bulk fluid temperature approached the pseudo-critical  
 312 temperature, the value of the turbulent Prandtl number dropped. Values  
 313 specific to each case are tabulated along with the comparison results. A  
 314 decreasing turbulent Prandtl number is an indication that the eddy diffusivity  
 315 of heat is larger than the eddy diffusivity of momentum. Eddy diffusivity

316 of heat is expected to increase as unstable stratification increases [16] and  
317 the molecular Prandtl number becomes larger which explains the observed  
318 reduction in the turbulent Prandtl number. Bazargan and Mohseni [24]  
319 report similar trends in the variations of the turbulent Prandtl number when  
320 buoyancy effects become significant. Additionally, the model developed in  
321 this section is able to predict the data with greater accuracy in the final 40  
322 mm length of the channel. This can be seen in Figure 12.

#### 323 *4.1. Limitations of the Model*

324 Although the current model provides an alternative to using CFD to  
325 model the supercritical heat transfer, there are some limitations inherent in  
326 the approach used. First, this methodology cannot be extended for constant  
327 temperature boundary conditions. For a constant temperature boundary  
328 condition, the turbulent Prandtl number cannot be calculated in an iterative  
329 fashion. Second, it was assumed that buoyancy and flow acceleration are  
330 not influencing the shape of the velocity profile. This is not accurate, par-  
331 ticularly at high heat flux and low mass flux conditions. Mixed convective  
332 effects are known to distort the shape of the velocity profiles [25]. Finally,  
333 the current modeling approach can prove impractical when used to size a  
334 heat sink. Solving for the turbulent Prandtl number in an iterative fashion,  
335 as the operating conditions change, is computationally expensive and time  
336 consuming. On the other hand, a heat transfer correlation, as introduced  
337 below, is straightforward to implement and allows a thermal engineer to size  
338 a heat exchanger with ease.

## 339 5. Correlation Development

340 The correlation proposed here accounts for the following factors:

- 341 1. Developing length
- 342 2. Uniform single wall heating.
- 343 3. Variable thermophysical properties.
- 344 4. Flow acceleration.
- 345 5. Unstable stratification.

346 The correlation uses the Dittus and Boelter correlation [26] as a start-  
347 ing point, which captures the effect of Reynolds and Prandtl numbers on  
348 single-phase turbulent transport. However, due to varying thermophysical  
349 properties across the flow field, using bulk fluid temperature to evaluate  
350 the thermophysical properties is not appropriate. Reynolds number is to be  
351 evaluated as a function of the film temperature whereas the fluid thermal  
352 conductivity used in the Nusselt number should be evaluated as a function  
353 of wall temperature. The Prandtl number will be evaluated as a function of  
354 both the bulk fluid temperature and the wall temperature with the lowest of  
355 these values used in the correlation. Evaluating the thermal conductivity as a  
356 function of wall temperature and choosing the minimum value of the Prandtl  
357 number captures the effects of the near-wall diffusive region on the turbulent  
358 thermal transport. Minimum value of the Prandtl number has been used in  
359 the correlations proposed by Miropolskiy and Shitsman [27] and Ornatsky  
360 *et al.* [28].

361 A reduction in heat transfer coefficients has been reported for single-phase  
 362 sub-critical turbulent flows in asymmetrically heated rectangular ducts [11].  
 363 A maximum reduction of 11% was reported in B.K. Rao's [11] study and  
 364 therefore a constant of 0.89 is applied to the correlation developed in the  
 365 current work. Additionally a factor, adopted from Nellis and Klein [18], is  
 366 used to account for the effect of developing region on the predicted Nusselt  
 367 number. This factor is defined in Eq. (23)

$$F_{dev} = \left[ 1 + \left( \frac{L_{ax}}{D_h} \right)^{-0.7} \right] \quad (23)$$

368 Flow acceleration is a consequence of an axial drop in the bulk fluid  
 369 density under heating conditions. Since density depends upon temperature, if  
 370 the axial temperature distribution is known, the magnitude of the thermally  
 371 induced bulk flow acceleration can be determined. McEligot and Jackson  
 372 [29] proposed a thermal loading parameter that accounts for the effect of  
 373 flow acceleration on heat transfer. It is derived in the following fashion.

374 The bulk fluid temperature distribution inside a heated duct can be ob-  
 375 tained by applying the first law balance on a control volume of length  $dx$ .  
 376 This is shown in Eq. (24).

$$G_{chan}h_x + q''_{wall} = G_{chan}h_{x+dx} \quad (24)$$

$$G_{chan}h_x + q''_{wall} = G_{chan}h_x + G_{chan}\frac{dh_x}{dx}dx \quad (25)$$

$$q''_{wall} = G_{chan}\frac{dh_x}{dx} \quad (26)$$

379 Assuming that the fluid behaves as an ideal gas allows us to approximate  
 380  $dh = c_p dT$ , resulting in Eq. (27) which describes the change in fluid temper-  
 381 ature for given value of channel mass flux and applied heat flux. Since, the



axial density drop depends upon the bulk fluid temperature, this equation is also indicative of the expected flow acceleration for a given value of applied heat flux and channel mass flux. Dividing Eq. (27) by  $T_{bulk}$  on both sides, non-dimensionalizes the equation and results in a non-dimensional parameter,  $q^+$ .

$$\frac{q''_{wall}}{G_{chan}c_p} = dT \quad (27)$$

$$q^+ = \frac{q''_{wall}}{G_{chan}c_pT_{bulk}} \quad (28)$$

With the assumption that the fluid is behaving as an ideal gas, we can substitute Eq. (29) into Eq. (28), resulting in Eq. (30) which is called the thermal loading parameter [29].

$$\beta = \frac{1}{T_{bulk}} \quad (29)$$

$$q^+ = \frac{q''_{wall}\beta}{G_{chan}c_p} \quad (30)$$

Since in the current work, the heat flux is only limited to a single wall of the flow channel, the thermal loading parameter can be modified as shown in Eq. (31) [29]. The ratio of the heated to wetted perimeter accounts for the fact that the wall heat flux is not uniform across the channel periphery. The absolute magnitude of  $q^+$  is indicative of the thermal acceleration expected to be present in the flow field.

$$q^+ = \frac{\beta q''_{wall}}{G_{chan}c_p} \left( \frac{Per_h}{Per_{wet}} \right) \quad (31)$$

Effects of unstable stratification on heat transfer will be accounted for by using the Richardson number as one of the non-dimensional numbers in the

400 correlation. The Richardson number is defined in Eq.(32)

$$401 \quad Ri = \frac{Gr_q}{Re_{film}^2} \quad (32)$$

$$402 \quad Gr_q = \frac{g\bar{\beta}q''_{wall}D_h^4}{\nu^2 k_{bulk}} \quad (33)$$

$$403 \quad \bar{\beta} = \frac{1}{\rho_{film}} \frac{\rho_{bulk} - \rho_{wall}}{T_{wall} - T_{bulk}} \quad (34)$$

404 Since flow acceleration and unstable stratification have the opposite influence  
405 on turbulent thermal transport, a single factor describing this relationship is  
defined in Eq.(35).

$$F_{Acc,Bo} = \frac{Ri}{q^+} \quad (35)$$

406 Based on the above discussion the correlation will take the form shown in  
407 Eq. (36) where  $x$  is an undetermined exponent, correlated from experimental  
408 data. A total of 15 experimental data sets with a unique combination of  
409 channel mass flux and heat flux, each consisting of 242 data points, were used  
410 to determine the value of the empirical exponent,  $x$ . The total error between  
411 the experimentally determined Nusselt number, defined in Eq. (37), and the  
412 one predicted by Eq. (36) was minimized using the method of quadratic  
413 approximations. The average value of  $x$  across all these data sets is 0.036  
414 and therefore, the final form of the correlation is given in Eq. (38).

$$415 \quad Nu_{wall} = F_{dev} \times 0.89 \times 0.023 Re_{film}^{0.8} Pr_{min}^{0.4} F_{Acc,Bo}^x \quad (36)$$

$$416 \quad Nu_{exp} = \frac{\alpha_{exp} D_h}{k_{wall}} \quad (37)$$

$$417 \quad Nu_{wall} = F_{dev} \times 0.89 \times 0.023 Re_{film}^{0.8} Pr_{min}^{0.4} F_{Acc,Bo}^{0.036} \quad (38)$$

418 Experimental data is available which was not used to determine the value  
of the exponent,  $x$ . This data can be used to assess the predictive capability

of the proposed correlation. Four data sets, each with over 200 data points, were used for this comparison. The results of this comparison, and the *MAPE* values for each data set, are shown in Figure 13. For all four data sets, the correlation predicted the experimental data with a *MAPE* of under 22%.

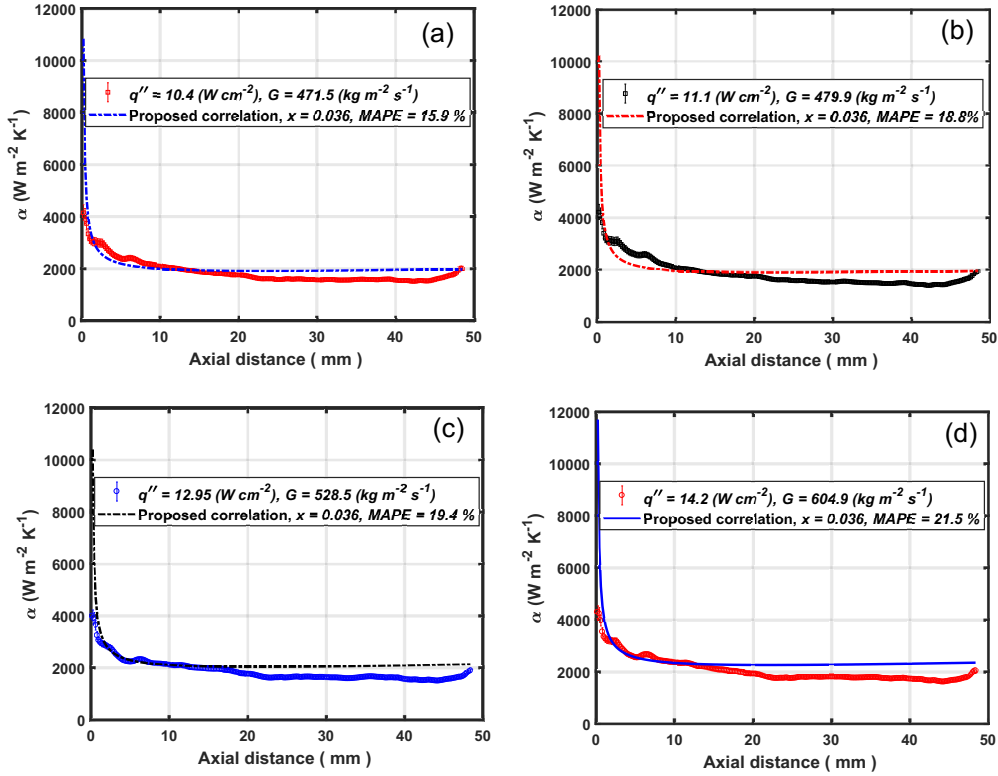


Figure 13: Comparison of the proposed correlation against local experimental data.

## 6. Conclusions

A reduced order model was developed to account for variable thermo-physical property variations, flow acceleration, and unstable stratification. Variable thermophysical property variations across the boundary layer were

427 accounted for by discretizing the resistance network model across and along  
428 the flow channel. Effects of flow channel acceleration were considered by using  
429 the Van-Driest expression for eddy diffusivity. Finally, the effects of unstable  
430 stratification manifested in the decreasing values of turbulent Prandtl num-  
431 ber as the applied heat flux increased and channel mass flux decreased. The  
432 turbulent Prandtl number in the top half of the channel was kept constant  
433 at 1.9 while the one associated with the bottom half was allowed to vary.

434 The reduced order model was able to predict 14 out of 16 cases with a  
435 *MAPE* of less than 20%. Generally, as the applied heat flux was increased  
436 and the channel mass flux reduced, the values of turbulent Prandtl number  
437 used in the model dropped. The lowest value used was 0.5. This is inline  
438 with the observations regarding the behavior of turbulent Prandtl number  
439 for unstable stratification conditions, as reported by Ueda *et al.* [16]. Ad-  
440 ditionally, within the flow channel, as the bulk temperature approached the  
441 pseudo-critical point and the molecular Prandtl number increased, the value  
442 of turbulent Prandtl number dropped.

443 This reduced order model can also be extended to predict the behavior of  
444 supercritical fluids, other than carbon dioxide, for similar operating condi-  
445 tions. However, a major limitation of the current modeling approach is that  
446 the iterative scheme used to calculate the turbulent Prandtl number will not  
447 work for constant temperature boundary condition. Additionally, if mixed  
448 convective effects were to become significant, the use of Spalding velocity  
449 profile might not be justified.

450 This study concludes with proposing a heat transfer design correlation  
451 that can be used to size heat sinks for use in electronics cooling applications.

452 This correlation accounts for the asymmetry in applied heating, unstable  
453 stratification, flow acceleration, and the effects of the developing region on  
454 supercritical heat transfer. The correlation predicted the experimental data  
455 with a *MAPE* of under 22%.

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