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A modified age-structured SIR model for COVID-19 type viruses

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We present a modified age-structured SIR model based on known patterns of social contact and distancing measures within Washington, USA. We find that population age-distribution has a significant effect on disease spread and mortality rate, and contribute to the efficacy of age-specific contact and treatment measures. We consider the effect of relaxing restrictions across less vulnerable age-brackets, comparing results across selected groups of varying population parameters. Moreover, we analyze the mitigating effects of vaccinations and examine the effectiveness of age-targeted distributions. Lastly, we explore how our model can be applied to other states to reflect social-distancing policy based on different parameters and metrics.

The study of the spread of diseases and rumours within networks (social and biological) in order to trace factors that are responsible for or contribute to their occurrence has been done from many different perspectives. Moreover, only recently have graph theory, number theory, and computer science taken researchers to several breakthroughs (e.g. see¹ and references therein). Back in the early 1900s, Ronald Ross produced the first mathematical model of mosquito-borne pathogen transmission using mosquito spatial movement in order to reduce malaria from an area². Some decades later, Kermack and McKendrick³ created the *SIR model*, which categorized people as *Susceptible*, *Infectious* and *Removed*—the model which we shall focus on.

More recently, contact networks were introduced to better represent a community⁴: these are adapted to reflect certain particular characteristics of society, and they have been of much use when doing mathematical modeling of epidemics. In this setting, a social network is modelled as a graph where vertices represent individuals, and edges encode the interactions amongst people: two people are connected by an edge in the graph whenever they are related (and thus an interaction could exist).

Given the recent outbreak of COVID-19, and with views towards applications to future viral outbreaks and marketing strategies, this paper is dedicated to the study of contention strategies with social networks by targeting different clusters within the network in different ways. As highlighted in⁵, the importance of local clustering in networks has been widely recognised, and not much study has been done in this direction until very recently. Moreover, the importance of separating age groups when studying these types of viral spreads is becoming increasingly apparent (see, for example⁶).

Notable differences have been shown to exist in hospitalization and fatality rates among age and gender groups, and thus our interest is on obtaining a modified age-structured SIR model. A first study was done in^{7,8} of “*optimal targeted lockdowns in a multi-group extension of the standard SIR model*”⁷. In particular, it was found that “*among strategies which end with population immunity, strict age-targeted mitigation ones have the potential to greatly reduce mortalities and ICU utilization for natural parameter choices*”⁸. Moreover, the pros and cons between saving lives and improving economic outcomes were studied, showing that better social outcomes are viable by imposing targeted policies: “*Differential lockdowns on groups with differential risks can significantly improve policy trade-offs, enabling large reductions in economic damages or excess deaths*”⁷.

In the present work we take different path from^{7,8} and consider an age-compartment model with a rescaling function completely based on the policy that Washington implements, where the intensity of the social distancing policy is proportional to the ICU occupancy. It should be noted that a modified rescaling could be applied to other states, hence making our model adaptable to other settings, e.g. New York uses metrics including rate of change of total infections in their policy. Moreover, we consider age-specific relaxation policy (e.g. opening schools/work) and vaccine distribution. By applying our model to populations of varied age-distribution, we see the following:

- (I) Following our rescaling function, population age-distribution is directly correlated with increasing peak ICU occupancy and decreasing peak infection count. However, herd immunity threshold is

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- unaffected by the change in population parameters with the same proportion of the population being infected through the course of the epidemic.
- (II) Across all age-distributions, relaxing school and work restrictions has the effect of infecting the same proportion of the population across a smaller time frame, increasing peak ICU occupancy by over to 18% and 51%, respectively. However, such effects are not observed when relaxing restrictions after the initial peak in infections.
 - (III) Administering vaccines at a constant rate lowered the herd immunity threshold, especially among high median age counties, while also reducing mortality rate by 28%. Moreover, strictly prioritizing vaccines to older age-brackets seems extremely effective, lowering ICU occupancy and further reducing mortality rate by 20% while preventing the spread of the virus in the short term.

To illustrate our perspective, we study the available data from the state of Washington, USA⁹, and apply our modified model to this dataset. Our paper is organized as follows: we shall begin by introducing the SIR model in “The SIR model”, and an age structured version following the work in⁸ in “An age-structured SIR model”.

The (age-structured) SIR model

As mentioned previously, the SIR model is a simple model for infectious disease in which the population is divided into three compartments: those susceptible to the disease, those infected with the disease, and those removed from the disease either through death or recovery. Across this paper, we shall assume that those in the removed group are unable to be infected again.

The SIR model. The number of individuals in each group is given by certain functions of time $S(t)$, $I(t)$, $R(t)$, respectively. Moreover, the dynamics of the model are given by the set of ordinary differential equations:

$$\frac{dS}{dt} = -\beta \cdot I \cdot \frac{S}{N}; \quad (1)$$

$$\frac{dI}{dt} = \beta \cdot I \cdot \frac{S}{N} - \gamma \cdot I; \quad (2)$$

$$\frac{dR}{dt} = \gamma \cdot I, \quad (3)$$

which depend on the following parameters:

- the total population N ;
- the transmission rate β , measured as the average number of contacts per person per time, multiplied by the probability of transmission between a infected and susceptible person;
- and the removal rate γ , also given by $1/D$ where D is the length of the period for which a person is infectious.

During the early stages in an epidemic, transmissions between individuals are statistically independent, meaning that the probability that an infectious individual encounters someone no longer susceptible is probabilistically low. Indeed, during the early stages in an epidemic transmission between individuals are statistically independent. Within the model, the *basic reproduction number* R_0 is the number of people an individual is expected to infect, and can be computed given the parameters of the SIR model as $R_0 = \frac{\beta}{\gamma}$.

One should note that the R_0 value is not a biological constant as its value depends on factors such as individual contact patterns. However, the number R_0 of a disease is generally consistent among newly susceptible populations and can be used to predict the trajectory of an epidemic or calibrate the initial conditions of a model. In particular, a value of $R_0 > 1$ indicates a disease will begin to spread in a population if no contention is installed, where a greater R_0 value indicates faster exponential growth. For example, *measles* is known to be one of the most contagious diseases, with $12 \leq R_0 \leq 18$, which means that each measles-infected person may spread the virus to 12 to 18 other individuals in a susceptible population¹⁰. For comparison, the CDC estimates that COVID-19 has an R_0 value of about 5.7 in the United States¹¹, close to that of Polio and Rubella.

An age-structured SIR model. For many diseases such as COVID-19, the effect on different age-groups varies drastically. Dynamical models for COVID-19 have now been studied broadly (e.g., see^{12–14}). Here, however, we shall consider a different type of model: an age structured model in which we compute the age distribution of each compartment in each of the age-brackets 0–9, 10–19, ..., 70–79, and 80+. This separation, in particular, is much more specific than the one used in⁷ and thus allows us to have our results in a more refined way.

For an age-structured model, we must incorporate an age-contact matrix $\mathcal{M}$ describing the rate of contact between each pair of age-brackets. In the present paper, we shall use the same matrix used in⁸ based on data collected by¹⁵ for the United States, shown in Fig. 1 below. In this setting, the values in $\mathcal{M}$ are proportional to the total number of contacts per time between age-brackets, divided by the product of their population sizes. In particular, $\mathcal{M}$ would be a constant matrix if individuals were equally likely to contact each other across all age-brackets.

Following⁸, in our age-structured SIR model we define vector valued functions $S(t)$, $I(t)$, and $R(t)$ representing the age-distribution of the total individuals susceptible, infectious, and removed respectively by letting the i th

Age	0-9	10-19	20-29	30-39	40-49	50-59	60-69	70-79	80+
0-9	19.2	4.8	3.0	7.1	3.7	3.1	2.3	1.4	1.4
10-19	4.8	42.4	6.4	5.4	7.5	5.0	1.8	1.7	1.7
20-29	3.0	6.4	20.7	9.2	7.1	6.3	2.0	0.9	0.9
30-39	7.1	5.4	9.2	16.9	10.1	6.8	3.4	1.5	1.5
40-49	3.7	7.5	7.1	10.1	13.1	7.4	2.6	2.1	2.1
50-59	3.1	5.0	6.3	6.8	7.4	10.4	3.5	1.8	1.8
60-69	2.3	1.8	2.0	3.4	2.6	3.5	7.5	3.2	3.2
70-79	1.4	1.7	0.9	1.5	2.1	1.8	3.2	7.2	7.2
80+	1.4	1.7	0.9	1.5	2.1	1.8	3.2	7.2	7.2

Figure 1. Age-contact matrix $\mathcal{M}$.

coordinate indicate the number of individuals in the i th age-bracket for $1 \leq i \leq 9$. Then, from⁸, the dynamics of the model are given by the following equations:

$$\frac{dS_i}{dt} = -\beta \cdot \frac{S_i}{N} \cdot \sum_{j=1}^n \mathcal{M}_{ij} \cdot I_j \quad (4)$$

$$\frac{dI_i}{dt} = \beta \cdot \frac{S_i}{N} \cdot \sum_{j=1}^n \mathcal{M}_{ij} \cdot I_j - \gamma \cdot I_i \quad (5)$$

$$\frac{dR_i}{dt} = \gamma \cdot I_i \quad (6)$$

Let vector $\mathbf{p}$ denote the proportion of the population in each age-group, and let λ and $\mathbf{v}$ be the dominant eigenvalue and corresponding eigenvector of $\mathcal{M} \cdot \text{diag}(\mathbf{p})$. In the initial state of the epidemic, the growth rate of transmissions follows a steady state, i.e. $I \propto \frac{dI}{dt}$. It is shown in⁸ that in this state, the value of R_0 can be computed as $\frac{\beta \cdot \lambda}{\gamma}$, with the initial infected distributed according to $\mathbf{v}$. Therefore to emulate the R_0 value of COVID-19, we can assign $\beta = \frac{R_0 \cdot \gamma}{\lambda}$ where $\gamma = \frac{1}{14}$, indicating a 14-day infectious period.

Consider the vectors $\mathbf{h} = \{h_1, \dots, h_9\}$, $\mathbf{c} = \{c_1, \dots, c_9\}$, and $\mathbf{m} = \{m_1, \dots, m_9\}$ to be the hospitalization rate, ICU rate among hospitalizations, and mortality rates, respectively for each age-bracket labeled by i . Then, one can compute the vector valued functions $H(t)$, $C(t)$, and $M(t)$ representing the age-distribution of the total individuals hospitalized, in critical care, and deceased respectively through the following differential equations:

$$\frac{dH_i}{dt} = \gamma \cdot h_i \cdot I_i \quad (7)$$

$$\frac{dC_i}{dt} = \gamma \cdot h_i \cdot c_i \cdot I_i \quad (8)$$

$$\frac{dM_i}{dt} = \gamma \cdot m_i \cdot I_i \quad (9)$$

In what follows we shall use the COVID-19 estimates for these values from¹⁶ shown in Fig. 2 to understand the above functions.

Social distancing in Washington State, USA

In what remains of the manuscript, we shall pay special attention to the COVID-19 outbreak that took place in Washington State, USA since January 2020, and use the data available in⁹ to model different Social Distancing strategies (see <https://www.ofm.wa.gov> for the data source). The first confirmed case of the COVID-19 pandemic in the United States was announced in Washington State on January 21, 2020. Five weeks later, on February 29th,

Age	h	c	m
0-9	0.1%	5.0%	0.002%
10-19	0.3%	5.0%	0.006%
20-29	1.2%	5.0%	0.03%
30-39	3.2%	5.0%	0.08%
40-49	4.9%	6.3%	0.15%
50-59	10.2%	12.2%	0.60%
60-69	16.6%	27.4%	2.2%
70-79	24.3%	43.2%	5.1%
80+	27.3%	70.9%	9.3%

Figure 2. COVID-19 age statistics.

Washington also announced the first COVID-19 related death in the country. On March 23, Governor Jay Inslee issued the first stay-at-home order which lasted until the end of May¹⁷.

On May 29th, Inslee announced a [Safe Start](#): a four phased county-by-county reopening plan. The plan allows counties to gradually relax social-distancing measures based on their assessments of health care system readiness, testing capacity and availability, case and contact investigations, and ability to protect high-risk populations. One of the main factors determining a county's reopening procedure is the percentage of ICU beds available in hospitals. Therefore to model the effect of social-distancing policy it is useful to scale the contact matrix $\mathcal{M}$ by a value proportional to this percentage:

$$\mathcal{M} \rightarrow \frac{1}{\lambda \cdot |C|/C_{\max}} \mathcal{M} \quad (10)$$

where $|C|$ is the total number of individuals in critical care while $C_{\max}$ is the ICU capacity which we set to the US average of 34.7 per 100,000 residents. As we mentioned before, this leads to our age-compartment model, utilizing a rescaling function completely based on the policy that Washington implements where the intensity of the social distancing policy is proportional to the ICU occupancy. We refer to $\frac{1}{\lambda \cdot |C|/C_{\max}}$ as the mitigation factor. The constant λ determines the “strictness” of the social-distancing measures. Such reactive mitigation measures have been done before in SIR models (e.g., see¹⁸ with respect to total infected count).

In order to understand the implications of the constant λ , we should note that a larger λ value has the effect of “flattening the curve”, decreasing total case count while also slowing the rate of decline in cases. Moreover, one can see in Fig. 3 the effect of λ on the proportion of the population (with Washington state demographic parameters) infected and in the ICU (I and C respectively). For consistency, we use a λ value of 0.1 in our modeling.

Demographic parameters. The main objective of our model is to investigate the effect of a population's age distribution on the transmission and spread of a virus like COVID-19, which is both highly contagious and largely age-specific in its effect on the population. To aid in our comparison of populations, we select four sample counties of Washington state with varying age-distribution: Jefferson, King, Ferry and Adams (outlined in red in Figs. 4, 5, 6) and apply our model to their demographic parameters.

For each county, we use the same parameters specific to COVID-19, but adjust the initial state of the function $S(t)$ based on the age-distribution of the county, which can be seen in Fig. 4, obtained via the official government's data in⁹.

As shown in Fig. 4, there is significant variation in the age distribution of each county with counties along the west coast such as Jefferson and Clallam with a median age of over 50 years, while counties in central and eastern Washington such as Adams and Whitman with a median age of under 30 years. Due to this variation, we expect an epidemic such as that of COVID-19 to have similar variation in its effect on the population, from rate of spread to mortality rate, and thus warrant different, age-targeted mitigation measures.

In order to understand the the relevance of the mortality rate within our study, there are two other important data points to consider, which are the proportion of the population in each county over the age of 60 and 80, shown in Figs. 5 and 6, respectively. These two population groups represent the age-brackets most vulnerable and are generally a better indicator of the overall population mortality rate.

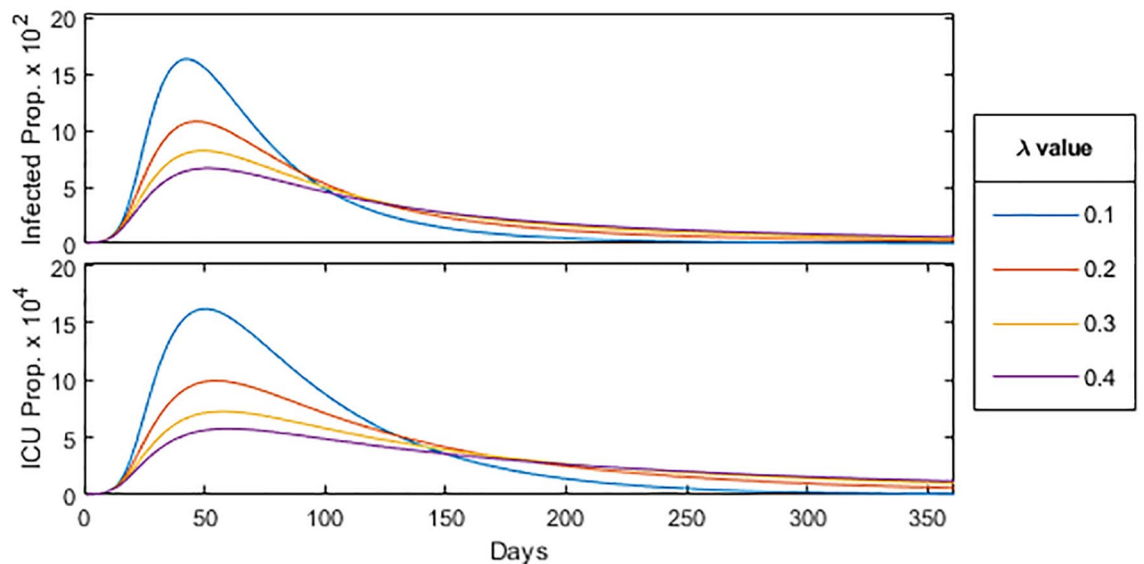


Figure 3. Effect of λ in SIR-model.

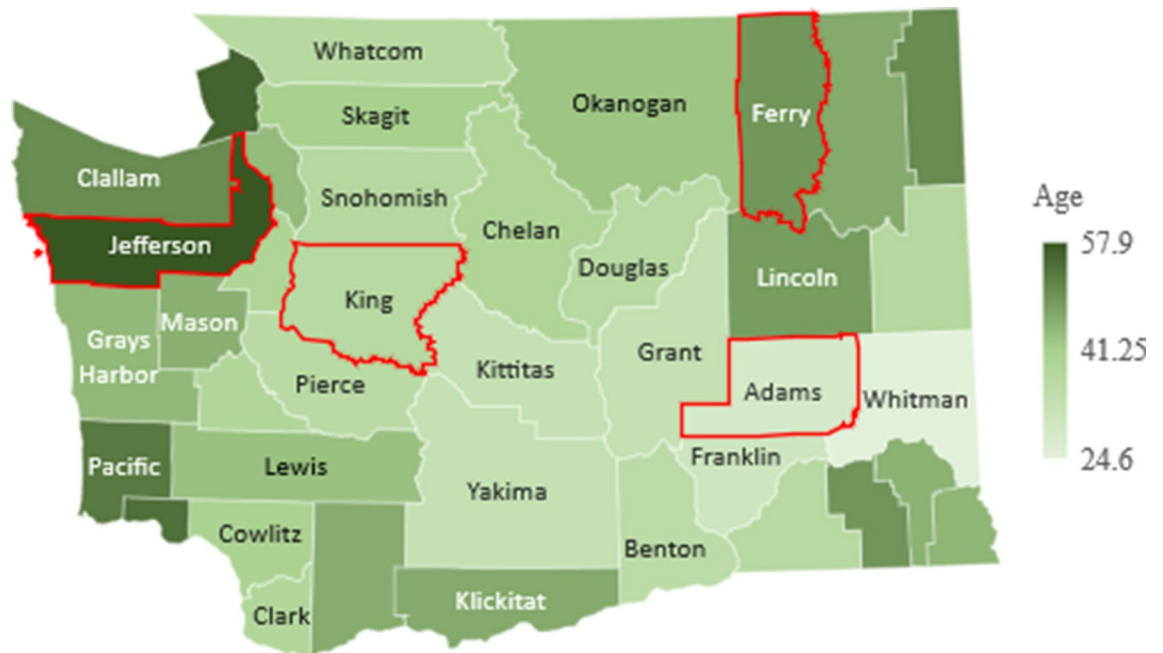


Figure 4. Median age. Figure made with Excel using dataset in⁹.

Model application

As mentioned previously, the four counties of Washington we selected for our model comparison are Adams, King, Ferry, and Jefferson. These counties have median ages of 28.3, 36.8, 49.2, and 57.9, respectively. The complete age distribution of the selected counties are shown in Fig. 7, and in this section we shall apply our SIR model on each of the four selected counties.

In order to understand the relation between the proportion of the population infected and the median age of a county, we consider Fig. 8 which displays the current proportion of the population infected and in intensive care over time for the four selected counties. Mitigation measures are the same as shown in Fig. 3, applied with $\lambda = 0.1$ across all age-brackets homogeneously. In particular, we can see that the proportion of the population infected decreases with increasing median age. This is likely due to a larger mitigation factor (stricter social-distancing policy) associated with the greater ICU population as well as a larger number of total contacts among the younger population from Fig. 1.

The *Herd Immunity Threshold* (HIT) is the critical proportion of the population that must become immune for an epidemic to longer persist. In an SIR model, the HIT value is given by $1 - \frac{1}{R_0} \approx 82\%$ for $R_0 = 5.7$. In order

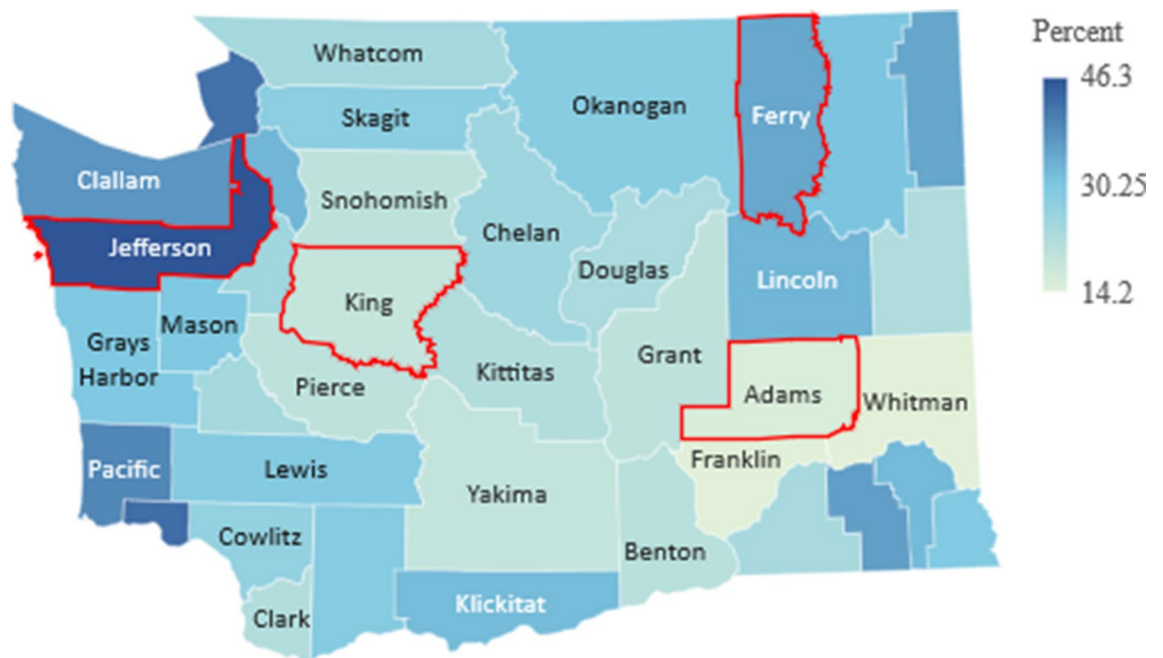


Figure 5. Percent of population over 60. Figure made with Excel using dataset in⁹.

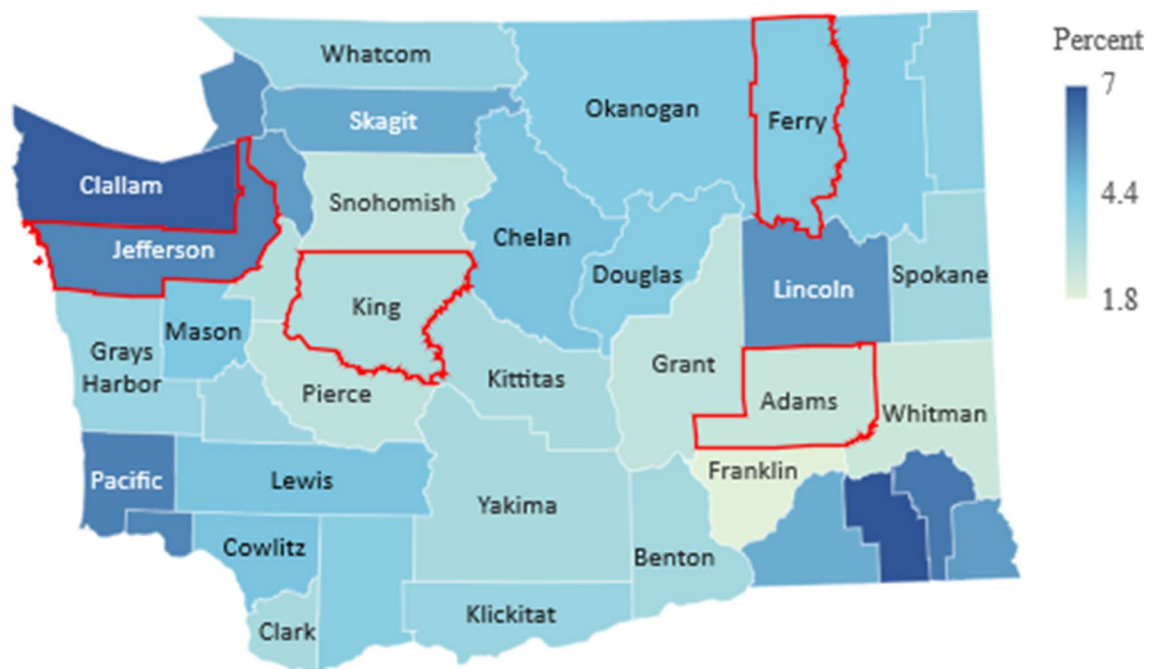


Figure 6. Percent of population over 80. Figure made with Excel using dataset in⁹.

to understand the HIT for our model, we first consider the peak and total proportion infected and in intensive care for each of the four counties, as seen in Fig. 9.

Note that in all four counties, around the same proportion of the population became infected while a significant proportion (about 20%) never became infected throughout the course of the epidemic. Therefore a state of herd immunity was achieved in which a large enough proportion of the population achieved immunity through previous infections, thereby reducing the probability of new infections, eventually halting the spread of the disease.

Since we are interested in understanding effects of mitigation strategies for the less vulnerable population (< 60 years), we consider in Fig. 10 the proportion of each individual age-bracket infected in the epidemic for each county, i.e. the probability that an individual will be infected given their age-bracket. Through our study,

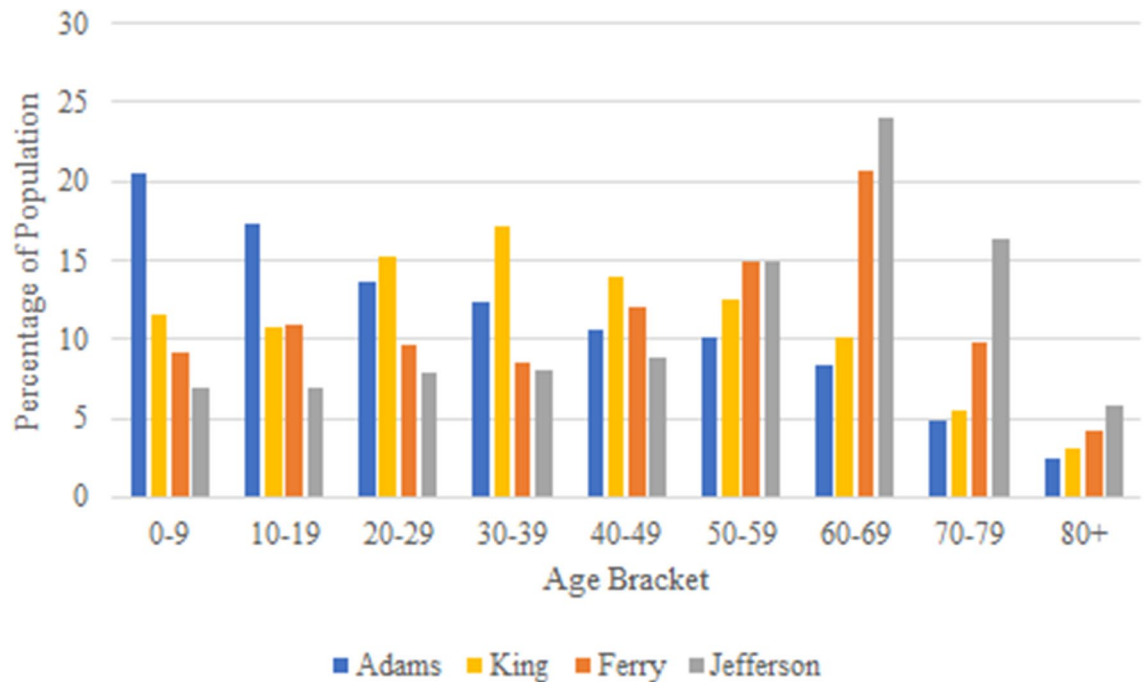


Figure 7. Age distribution of selected counties.

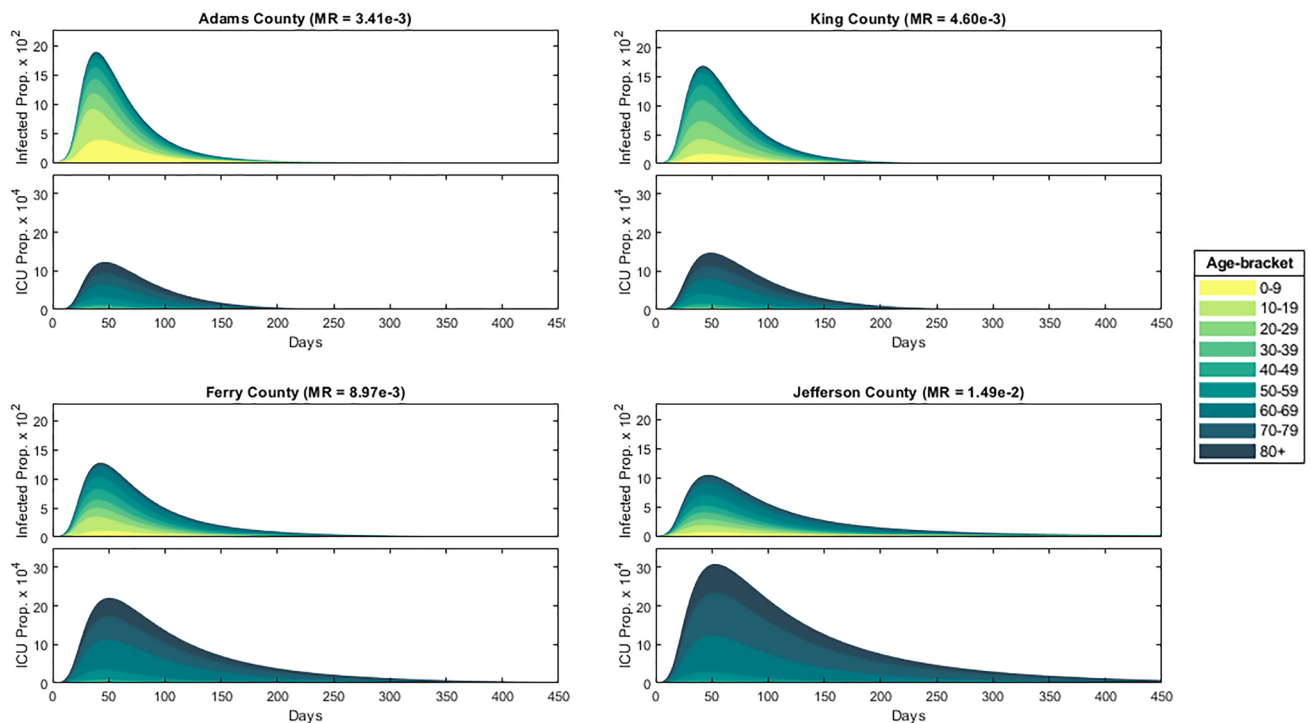


Figure 8. Homogeneous mitigation comparison, where the model was run until the proportion of the population infected fell below 10^{-6} .

we find that for the less vulnerable population (< 60 years), the probability of infection is roughly the same regardless of age-bracket and population age-distribution, as can be seen in Fig. 10.

On the other hand, for the more vulnerable population (≥ 60 years), the probability of infection increases significantly with the median age of the population. As a result, counties such as Ferry and Jefferson not only have a larger vulnerable population, but also have a larger proportion of their vulnerable population infected, which greatly contributes to their mortality rate.

County	Peak Inf	Peak ICU	Total Inf	Total ICU	Mort. Rate
Adams	$1.90 \cdot 10^{-1}$	$1.23 \cdot 10^{-3}$	$7.98 \cdot 10^{-1}$	$7.05 \cdot 10^{-3}$	$3.41 \cdot 10^{-3}$
King	$1.69 \cdot 10^{-1}$	$1.48 \cdot 10^{-3}$	$8.03 \cdot 10^{-1}$	$9.50 \cdot 10^{-3}$	$4.60 \cdot 10^{-3}$
Ferry	$1.28 \cdot 10^{-1}$	$2.21 \cdot 10^{-3}$	$7.78 \cdot 10^{-1}$	$18.5 \cdot 10^{-3}$	$8.97 \cdot 10^{-3}$
Jefferson	$1.05 \cdot 10^{-1}$	$3.09 \cdot 10^{-3}$	$8.13 \cdot 10^{-1}$	$30.8 \cdot 10^{-3}$	$14.9 \cdot 10^{-3}$

Figure 9. County infected/ICU statistics.

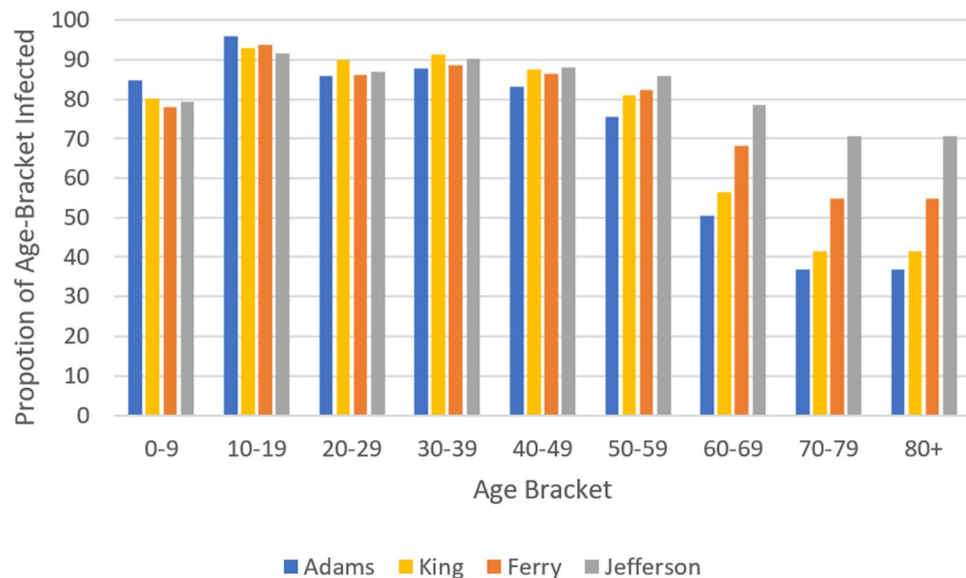


Figure 10. Proportion of age-bracket infected.

Effects of age-specific policy. By shifting the distribution of the infected population away from the vulnerable population, the mortality rate of an epidemic can be reduced significantly. In what follows we shall examine the effect of age-specific policy including partial opening of schools and workplaces that prioritizes and targets the more vulnerable over less vulnerable populations.

We first examine the effects of relaxing school and work restrictions. For each scenario, we choose a relaxed bracket: the part of the population unaffected by the social distancing policy (that scales the contact-matrix by the mitigation-factor).

For example, when relaxing school restrictions, the targeted population is all individuals < 30 years, meaning all contacts amongst this group (the blue group in Fig. 11) will not be subject to restrictions, while the remaining contacts (the red group) will be subject to normal restrictions given by the mitigation-factor. For relaxing work restrictions, a similar group is relaxed, targeting all individuals < 70 years. Note that relaxing work restrictions is applied on top of relaxing school restrictions as the school age-bracket is a subset of the work age-bracket.

Comparing the statistics from the table in Fig. 12 below, to that from the table in Fig. 9 presented before, we find that, on average, peak infections increased by 58% when relaxing schools and increased 160% when relaxing work. In both cases, total infections increased slightly, continuing to remain around the herd immunity threshold of 82% as expected.

The current proportion of the population infected and in intensive care over time with fully relaxed school and work restrictions respectively can be seen in Fig. 13a,b. As infections among the relaxed bracket increased drastically in proportion to the restricted bracket, the mean of the age-distribution of the infected population shifted towards the younger, less vulnerable, bracket by the time the HIT was achieved. As a result, we saw that the mortality rate, on average, decreased by 6.0% when relaxing schools and 12.1% when relaxing work, with this being less pronounced in the greatest median age Jefferson county with a 2.7% and 8.1% reduction respectively.

In contrast to the above, it should also be noted that, on average, peak ICU occupancy increased by 18.9% when relaxing schools and 51.2% when relaxing work, significantly more than the increase in peak infections. Reducing transmissions across the younger age-brackets has the effect of “flattening the curve”: reducing peak infections and ICU occupancy while infecting roughly the same proportion of the population over a larger span of time. Although relaxing school and work restrictions reduced the calculated mortality rate, in practice, increasing peak ICU occupancy by up to 50% can put excessive strain on hospitals that are at full capacity, leading to additional mortalities from a lack of resources needed to treat everyone requiring intensive care.

Age	0-9	10-19	20-29	30-39	40-49	50-59	60-69	70-79	80+
0-9	19.2	4.8	3.0	7.1	3.7	3.1	2.3	1.4	1.4
10-19	4.8	42.4	6.4	5.4	7.5	5.0	1.8	1.7	1.7
20-29	3.0	6.4	20.7	9.2	7.1	6.3	2.0	0.9	0.9
30-39	7.1	5.4	9.2	16.9	10.1	6.8	3.4	1.5	1.5
40-49	3.7	7.5	7.1	10.1	13.1	7.4	2.6	2.1	2.1
50-59	3.1	5.0	6.3	6.8	7.4	10.4	3.5	1.8	1.8
60-69	2.3	1.8	2.0	3.4	2.6	3.5	7.5	3.2	3.2
70-79	1.4	1.7	0.9	1.5	2.1	1.8	3.2	7.2	7.2
80+	1.4	1.7	0.9	1.5	2.1	1.8	3.2	7.2	7.2

Figure 11. Population affected in relaxing school restrictions .

	County	Peak Inf	Peak ICU	Total Inf	Total ICU	Mort. Rate
School relax at day 0	Adams	$3.05 \cdot 10^{-1}$	$1.50 \cdot 10^{-3}$	$8.31 \cdot 10^{-1}$	$6.52 \cdot 10^{-3}$	$3.15 \cdot 10^{-3}$
	King	$2.60 \cdot 10^{-1}$	$1.75 \cdot 10^{-3}$	$8.22 \cdot 10^{-1}$	$8.81 \cdot 10^{-3}$	$4.26 \cdot 10^{-3}$
	Ferry	$2.05 \cdot 10^{-1}$	$2.63 \cdot 10^{-3}$	$7.84 \cdot 10^{-1}$	$17.4 \cdot 10^{-3}$	$8.40 \cdot 10^{-3}$
	Jefferson	$1.67 \cdot 10^{-1}$	$3.60 \cdot 10^{-3}$	$8.20 \cdot 10^{-1}$	$29.9 \cdot 10^{-3}$	$14.5 \cdot 10^{-3}$
Work relax at day 0	Adams	$4.18 \cdot 10^{-1}$	$1.85 \cdot 10^{-3}$	$8.92 \cdot 10^{-1}$	$6.37 \cdot 10^{-3}$	$3.08 \cdot 10^{-3}$
	King	$4.36 \cdot 10^{-1}$	$2.33 \cdot 10^{-3}$	$8.81 \cdot 10^{-1}$	$8.13 \cdot 10^{-3}$	$3.94 \cdot 10^{-3}$
	Ferry	$3.49 \cdot 10^{-1}$	$3.27 \cdot 10^{-3}$	$8.23 \cdot 10^{-1}$	$15.5 \cdot 10^{-3}$	$7.51 \cdot 10^{-3}$
	Jefferson	$3.05 \cdot 10^{-1}$	$4.31 \cdot 10^{-3}$	$8.47 \cdot 10^{-1}$	$28.4 \cdot 10^{-3}$	$13.7 \cdot 10^{-3}$
School relax at day 90	Adams	$1.90 \cdot 10^{-1}$	$1.24 \cdot 10^{-3}$	$8.12 \cdot 10^{-1}$	$7.14 \cdot 10^{-3}$	$3.45 \cdot 10^{-3}$
	King	$1.69 \cdot 10^{-1}$	$1.48 \cdot 10^{-3}$	$8.17 \cdot 10^{-1}$	$9.57 \cdot 10^{-3}$	$4.63 \cdot 10^{-3}$
	Ferry	$1.28 \cdot 10^{-1}$	$2.21 \cdot 10^{-3}$	$7.86 \cdot 10^{-1}$	$18.2 \cdot 10^{-3}$	$8.81 \cdot 10^{-3}$
	Jefferson	$1.05 \cdot 10^{-1}$	$3.09 \cdot 10^{-3}$	$8.16 \cdot 10^{-1}$	$30.2 \cdot 10^{-3}$	$14.6 \cdot 10^{-3}$
Work relax at day 90	Adams	$1.90 \cdot 10^{-1}$	$1.24 \cdot 10^{-3}$	$8.35 \cdot 10^{-1}$	$7.34 \cdot 10^{-3}$	$3.55 \cdot 10^{-3}$
	King	$1.69 \cdot 10^{-1}$	$1.48 \cdot 10^{-3}$	$8.51 \cdot 10^{-1}$	$9.81 \cdot 10^{-3}$	$4.75 \cdot 10^{-3}$
	Ferry	$1.28 \cdot 10^{-1}$	$2.21 \cdot 10^{-3}$	$8.20 \cdot 10^{-1}$	$17.9 \cdot 10^{-3}$	$8.67 \cdot 10^{-3}$
	Jefferson	$1.05 \cdot 10^{-1}$	$3.09 \cdot 10^{-3}$	$8.38 \cdot 10^{-1}$	$29.0 \cdot 10^{-3}$	$14.0 \cdot 10^{-3}$

Figure 12. Age-specific policy statistics.

We also examine the effect of relaxing school and work restrictions after 90 days, roughly a month after the initial peak in infections. Figure 14a,b display the current proportion of the population infected and in intensive care over time with school and work restrictions relaxed at 90 days respectively. The vertical blue line indicates when the restrictions are relaxed for the targeted age-bracket. We find that relaxing school restrictions at 90 days (a) has little effect on the subsequent trajectory of the epidemic for all counties, with no change in peak infections and ICU, and mortality rate increasing by an average of 3.5% compared to constant restrictions in Fig. 9. When relaxing work after 90 days (b), we see a notable change in the trajectory of the epidemic in counties with a higher median age. In Jefferson county, infections reached a new peak of 1.05×10^{-1} , identical to the peak before the relaxation. ICU occupancy in the county also increased for a period of 30 days following the relaxation. Among all counties, the total infected increased by an average of 3.7%, exceeding the HIT for all counties, though the mortality rate remained mostly unaffected, decreasing by an average of 0.5%.

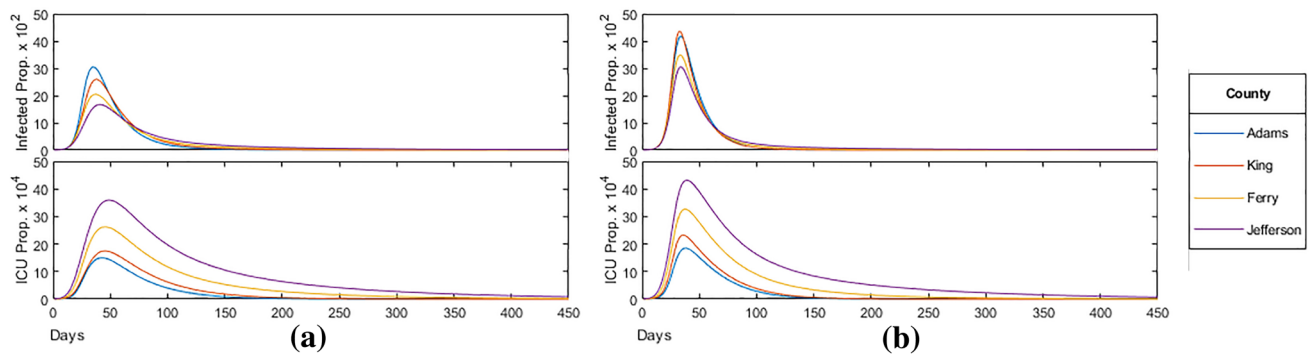


Figure 13. (a) School bracket relaxed (< 30 years); (b) work bracket relaxed (< 60 years).

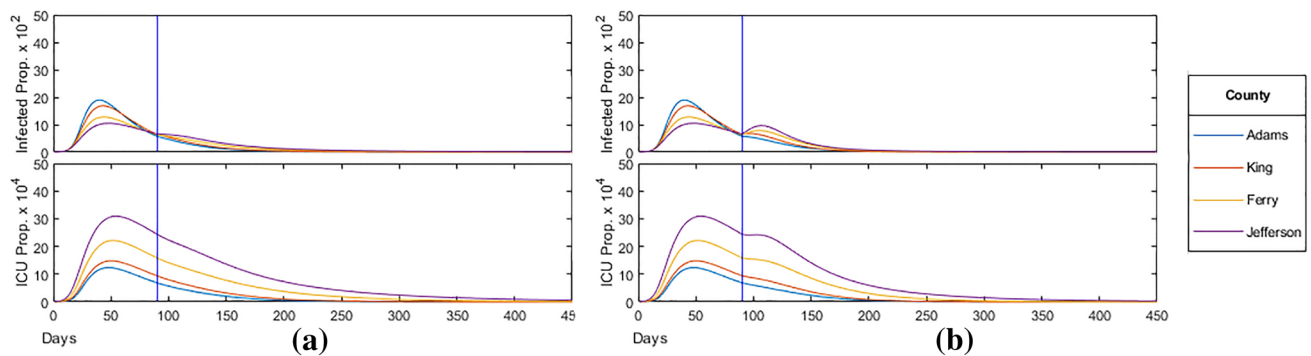


Figure 14. (a) School bracket relaxed (< 30 years) at 90 days; (b) work bracket relaxed (< 60 years) at 90 days.

Effects of age-targeted vaccination. Vaccinations play a critical role in mitigating the effects of an epidemic. By directly preventing the susceptible population from contracting the disease, it is possible to achieve herd immunity in less time and significantly reduce peak infections and mortality rate. An important aspect of vaccines is the strategy considered by a government or society in order to achieve the desired proportion of vaccinated population—and much research has been done in this direction for long time known infections (e.g., for Zika and Hepatitis B, as discussed in^{19,20}) as well as for recent viral outbreaks such as COVID-19 (e.g., see for example²¹).

In what follows, through our *modified age-structured SIR model*, we shall examine the effect of prioritizing certain age-groups in vaccine distribution versus a homogeneous distribution across all age-groups. We model vaccinations by directly transferring individuals from the susceptible and removed groups. In particular, if vector μ represents the number of individuals in each age-bracket vaccinated at each day, then we have the following:

$$\frac{dS_i}{dt} = -\beta \cdot \frac{S_i}{N} \cdot \sum_{j=1}^n \mathcal{M}_{ij} \cdot I_j - \mu_i; \quad (11)$$

$$\frac{dI_i}{dt} = \beta \cdot \frac{S_i}{N} \cdot \sum_{j=1}^n \mathcal{M}_{ij} \cdot I_j - \gamma \cdot I_i; \quad (12)$$

$$\frac{dR_i}{dt} = \gamma \cdot I_i + \mu_i. \quad (13)$$

To select the distribution of vaccines, we define a weight vector ω that represents the priority of each age-bracket in our distribution.

Using the weight vector, we define

$$\mu_i = T \cdot \frac{\omega_i \cdot S_i}{|\omega \odot S|},$$

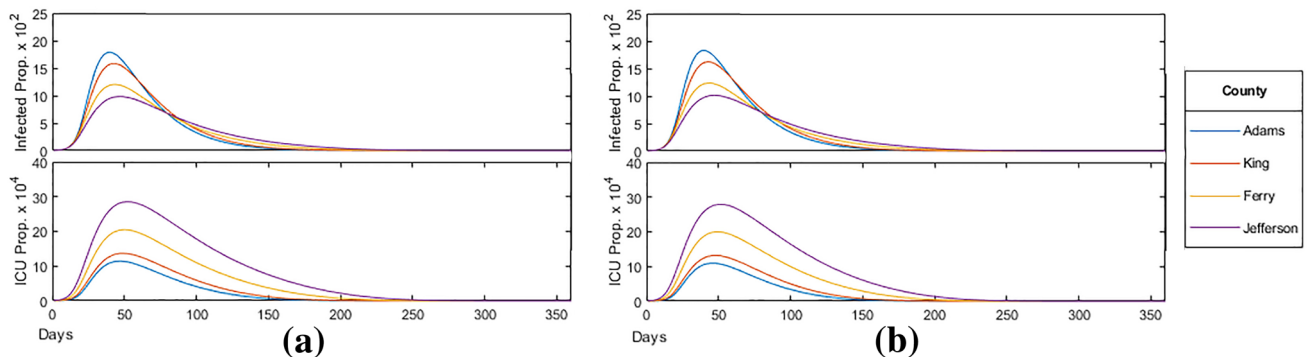
where T is the total number of vaccines administered at each day and $|\omega \odot S|$ is the sum of the elements of vector $\omega \odot S$. Note that when ω is constant, each member of the susceptible population is equally likely to receive a vaccine. The United States produces enough flu vaccines yearly for approximately half of its population²², and thus for our model we shall set $T = N/720$ where N is the population size.

Age	ω_C	ω_M	ω_S
0-9	1	1	1
10-19	1	1	1
20-29	1	1	1
30-39	1	1	1
40-49	1	1	2
50-59	1	2	4
60-69	1	2	8
70-79	1	4	16
80+	1	4	16

(a)

	County	Peak Inf	Peak ICU	Total Inf	Total ICU	Mort. Rate
Homogeneous $\omega = \omega_C$	Adams	$1.79 \cdot 10^{-1}$	$1.15 \cdot 10^{-3}$	$6.92 \cdot 10^{-1}$	$5.51 \cdot 10^{-3}$	$2.66 \cdot 10^{-3}$
	King	$1.59 \cdot 10^{-1}$	$1.37 \cdot 10^{-3}$	$6.81 \cdot 10^{-1}$	$7.23 \cdot 10^{-3}$	$3.50 \cdot 10^{-3}$
	Ferry	$1.21 \cdot 10^{-1}$	$2.05 \cdot 10^{-3}$	$6.08 \cdot 10^{-1}$	$12.7 \cdot 10^{-3}$	$6.12 \cdot 10^{-3}$
	Jefferson	$0.99 \cdot 10^{-1}$	$2.86 \cdot 10^{-3}$	$5.92 \cdot 10^{-1}$	$20.0 \cdot 10^{-3}$	$9.67 \cdot 10^{-3}$
Moderate $\omega = \omega_M$	Adams	$1.83 \cdot 10^{-1}$	$1.10 \cdot 10^{-3}$	$7.12 \cdot 10^{-1}$	$4.98 \cdot 10^{-3}$	$2.41 \cdot 10^{-3}$
	King	$1.62 \cdot 10^{-1}$	$1.32 \cdot 10^{-3}$	$7.03 \cdot 10^{-1}$	$6.55 \cdot 10^{-3}$	$3.17 \cdot 10^{-3}$
	Ferry	$1.24 \cdot 10^{-1}$	$2.01 \cdot 10^{-3}$	$6.30 \cdot 10^{-1}$	$11.7 \cdot 10^{-3}$	$5.67 \cdot 10^{-3}$
	Jefferson	$1.01 \cdot 10^{-1}$	$2.80 \cdot 10^{-3}$	$6.14 \cdot 10^{-1}$	$18.5 \cdot 10^{-3}$	$8.92 \cdot 10^{-3}$
Strict $\omega = \omega_S$	Adams	$1.88 \cdot 10^{-1}$	$1.04 \cdot 10^{-3}$	$7.35 \cdot 10^{-1}$	$4.42 \cdot 10^{-3}$	$2.14 \cdot 10^{-3}$
	King	$1.67 \cdot 10^{-1}$	$1.27 \cdot 10^{-3}$	$7.25 \cdot 10^{-1}$	$5.91 \cdot 10^{-3}$	$2.86 \cdot 10^{-3}$
	Ferry	$1.27 \cdot 10^{-1}$	$1.97 \cdot 10^{-3}$	$6.49 \cdot 10^{-1}$	$11.0 \cdot 10^{-3}$	$5.34 \cdot 10^{-3}$
	Jefferson	$1.03 \cdot 10^{-1}$	$2.77 \cdot 10^{-3}$	$6.31 \cdot 10^{-1}$	$17.6 \cdot 10^{-3}$	$8.50 \cdot 10^{-3}$

(b)

Figure 15. (a) Relative weights of vaccine distribution; (b) ge-targeted vaccine distribution statistics.**Figure 16.** (a) Homogeneous vaccine distribution ($\omega = \omega_C$); (b) moderate priority distribution ($\omega = \omega_M$).

For our age-targeted distributions we provide the higher age-brackets moderate priority with vector ω_M and strict priority with vector ω_S . We let ω_C denote the constant weight vector for the homogeneous (control) distribution. The weight values selected are summarized in the table of Fig. 15a, and the model statistics for each distribution are given in Fig. 15b.

We shall first consider the case of a homogeneous vaccine distribution ($\omega = \omega_C$). In this case, the infected count and ICU occupancy is shown in Fig. 16a. One can see, in particular, that the administration of vaccines had a significant mitigating effect on the epidemic, on average reducing:

- the peak infections by 5.9%, and
- the peak ICU occupancy by 7.2%,

with the reduction being more prominent on higher median age counties. Additionally,

- the mortality rate was reduced by an average of 28.2%;
- the HIT was reduced, especially among high median age counties, with 69.2% of Ferry county and 59.2% of Jefferson county infected in total.

This should be compared to the same analysis done for the moderate vaccine distribution ($\omega = \omega_M$) shown in Fig. 16b.

One may also consider a strict priority vaccine distribution ($\omega = \omega_S$), for which the analysis is shown in Fig. 17 below.

By increasing the relative weight of higher age-brackets, we present a model where we administer more vaccines towards the older and more vulnerable populations. As for general trends, we find that, compared to the ω_C distribution, increasing the priority of older age-brackets one has:

- an increases peak and and number of total infections,
- while a decreasing peak and total number of ICU occupancy.

This trend is expected as prioritizing older age-brackets results in a greater proportion of the younger population susceptible to infection who are more likely to become infected and spread the virus, increasing total infections.

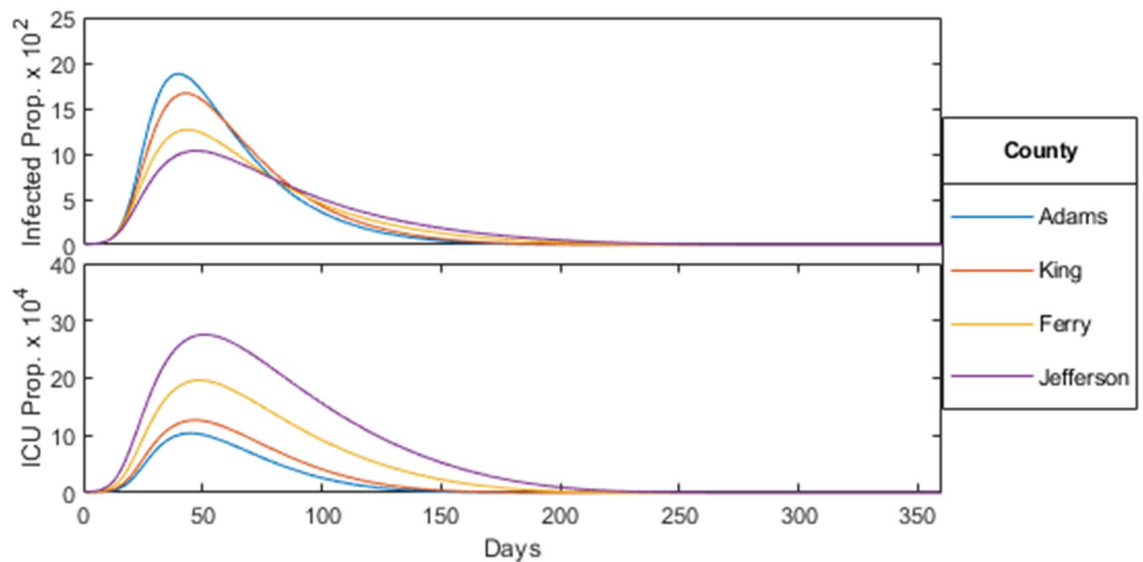


Figure 17. Strict priority distribution ($\omega = \omega_S$).

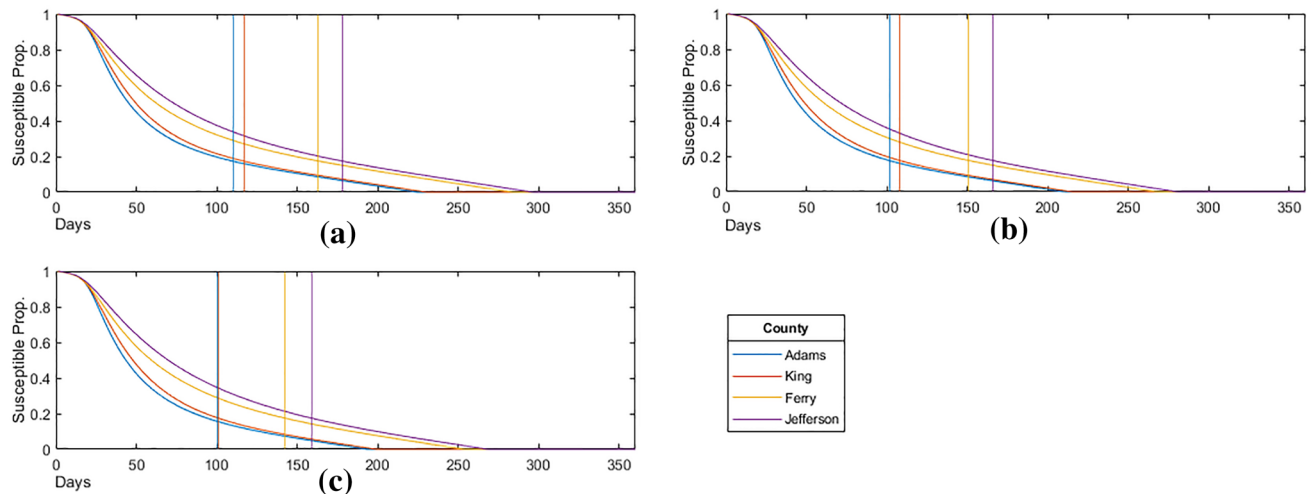


Figure 18. Susceptible proportion for: (a) the homogeneous priority distribution ($\omega = \omega_C$); (b) the moderate priority distribution ($\omega = \omega_M$); (c) the strict priority distribution ($\omega = \omega_S$).

Moreover, by reducing the proportion of the older population susceptible, we also reduce their infections and ICU occupancy, subsequently lowering the mitigation factor. Furthermore, the age-targeted distributions were highly effective in further reducing mortality rate, with an average 8.5% reduction for ω_M and 15.7% reduction for ω_S . In particular, Adams, the low median age county, responded most effectively with a 9.4% and 19.5% reduction in mortality rate for ω_M and ω_S , respectively.

To better understand how vaccinations limit the spread of the epidemic, we consider the proportion of the population susceptible to the virus over time in Fig. 18, where the vertical lines indicate when each proportion susceptible in each corresponding county falls below $(1 - \text{HIT}) = 1/R_0 = 0.175$, summarized in Fig. 19 below.

This is the point at which the spread of the virus no longer persists due to herd immunity, with the remainder of the susceptible population being immune through continued vaccinations. Although it results in greater total infections, we find that age-targeted vaccinations are effective in reducing the time required to achieve herd immunity, with an average 7.3% and 11.6% reduction in days for ω_M and ω_S , respectively.

Conclusion and summary of our work

In the present paper, we have introduced a modified age-structured compartmental SIR model using a function that scales contacts by a factor proportional to the current ICU occupancy in Washington State, USA, which serves to emulate the phased social distancing policy implemented Washington State.

Our modeled epidemic utilizes the same disease parameters of the current COVID-19 pandemic with an R_0 value of 5.7 and corresponding hospitalization, intensive-care, and mortality rates for each age-bracket, shown

County	ω_C	ω_M	ω_S
Adams	110	102	100
King	117	108	101
Ferry	163	151	142
Jefferson	178	166	159

Figure 19. Number of days until herd immunity is achieved.

in Fig. 2. To understand the importance of age-targeted analysis of epidemic's contention, we apply our model across four populations: counties Adams, King, Ferry, and Jefferson of Washington State which possess varying population age distributions shown in Fig. 7 with medians 28.3, 36.8, 49.2, 57.2, respectively.

For our study, we first apply our unaltered model to each of the four counties, plotting the proportion of the population infected and in critical care over time in Fig. 8. Through this, we find that

- (i) as the median age increases, peak infections decrease while peak ICU occupancy and mortality rate increases.

This is due to younger age-brackets producing more contacts and infections over time, as seen in Fig. 1, while the more vulnerable older age-brackets increasing ICU occupancy and placing heavier restrictions according to the rescaling factor. However, the total proportion of the population infected remain near the calculated herd immunity threshold ($1 - \frac{1}{R_0} \approx 82\%$) for all counties. As the herd immunity threshold is determined by disease rather than the population (assuming no external influences on the susceptible population such as through vaccination), we find that the calculated mortality rate is mostly dependent on the age-distribution of the infected population.

We then analyze the effect of age-specific policy such as fully relaxing restrictions on the school bracket (0–29 years) and the work bracket (0–69 years) both at the start of the epidemic (shown in Fig. 13) and after the initial peak in infections at day 90 (shown in Fig. 14). Through our analysis, we found that

- (ii) when relaxing school and work bracket at 0 days, peak infections increased on average by 58% and 160% respectively;
- (iii) while the proportion of the population infected remained around the HIT as expected.

As a result, relaxing restrictions across younger age-brackets lowered the median age of the infected population, leading to a decrease in mortality rate, with the reduction less pronounced in higher median age counties. However, we also saw that

- (iv) peak ICU occupancy increased by an average of 18.9% when relaxing schools and 51.2% when relaxing work, significantly more than the increase in peak infections.

Although the calculated mortality rate decreased, increasing peak ICU occupancy by up to 50% can overload the healthcare capacity in practice leading to additional, preventable deaths. Moreover, we saw that

- (v) relaxing the school bracket after 90 days had little effect on the subsequent trajectory of the epidemic in all counties, with no change in peak infections and ICU occupancy;
- (vi) relaxing the work bracket at the same time had notable effects on high median age counties.

In the particular case of Jefferson, infections reached a new peak, identical to that before the relaxation and ICU occupancy also increased for a period of 30 days. However, for all counties, the mortality rate remained mostly unaffected.

Finally, we analyze the effect of age-targeted vaccine distribution. We model vaccinations by transferring a constant number of individuals from the susceptible to removed groups at each day. Under a normal homogeneous distribution (ω_C), the number of individuals vaccinated in each age-bracket is proportional to the size of its susceptible population. In contrast, in age-targeted distributions, we apply a set of weights as shown in Fig. 15a, so that individuals in certain age-brackets are more likely to become vaccinated, allowing us to target vaccinations towards more vulnerable age-brackets with moderate priority (ω_M) and strict priority (ω_S).

On its own, administrating vaccinations homogeneously as shown in Fig. 16 had a significant mitigating effect on the epidemic with

- (vii) an average 28.2% reduction in mortality rate compared to without vaccinations.

- (viii) the proportion of the population infected falling below the expected HIT, especially among Jefferson with the epidemic infecting only 59.2% of the population.

When applying age-targeted vaccinations as shown in Figs. 16b and 17, we found that

- (iix) peak infections slightly increased while peak ICU occupancy decreased.
- (ix) a reducing mortality rate with an average 8.5% reduction for ω_M and 15.7% reduction for ω_S compared to the homogeneous distribution, with Adams responding most effectively.

The above being due to a larger population of susceptible individuals in the younger age-brackets who are more likely to spread the infection while remaining less at risk for hospitalization. Finally, when plotting the proportion of the population susceptible, as shown in Fig. 19, we found that age-targeted vaccinations also

- (x) reduce the time required for the epidemic to achieve the herd immunity threshold by an average 7.3% for ω_M and 11.6% for ω_S .

Final remarks. To conclude our work, we shall present here different ways in which our model might be expanded, as well as possible directions for future work. To provide better context for the extent of our result's implications, we list a series of key assumptions we have made, and which could be modified in order to expand on our model:

- Our mitigation coefficient to model social distancing policy is based strictly on a single parameter (ICU Occupancy). A more complex or modified mitigation coefficient may produce different results (e.g., one could consider economic factors, such as those studied in²³).
- In our SIR model, we do not consider asymptomatic individuals and the possibility for re-infection (which in the case of COVID-19, one may want to consider²⁴). We have assumed that all individuals within an age-bracket are equally likely to become infected and transmit the disease.
- In our SIR model, the infectious period might be thought of as being too long since there are studies which showed the serial interval of COVID-19 is less than 1 week. hence, as pointed out by one of our reviewers it would be very interesting to expand our model to the case of shorter infectious times (by considering, for example²⁵).

Within our work we assume independence in the policy between different counties and do not consider the movement of individuals between populations, which is something that would be interesting to incorporate. Moreover, one should note that relaxing restrictions immediately affects all targeted age-brackets and has no affect on any contacts including individuals outside of these age-brackets. Finally, we have assume that vaccine production is constant throughout the course of the entire epidemic and we do not consider possible changes in supply and demand: it will be most interesting to incorporate the economic factors involved in vaccine production within an age-targeted study such as ours.

When considering other mitigation coefficients which could be used, we see the following alternatives as potential paths for expanding our work further:

- The infection rate ($\frac{d}{dt}I$) is another metric used to dictate policy in states such as New York and California, which could be considered. Percentage positive tests, measured as the proportion of the population infected ($|I|/N$), is another factor in states such as North Carolina and Georgia used to indicate the extent of disease spread.
- Instead of gradual/proportional restrictions, isolated populations such as those of New Zealand, implemented strict lockdowns within the first cases with the goal of eradicating the disease before any possibility of herd immunity. Stricter policy can be modelled by increasing the λ factor or scaling contacts by a factor of $|I|$ or $|I|^2$ within our model.

Disclaimer. As with all mathematical models that are applied to real world systems, our results are valid only under the model's assumptions. As such, the goal of our research is not to convey specific public health information and risks, but rather be a tool for health strategists for better planning and awareness with respect to policy.

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Author contributions

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Competing interests

The authors declare no competing interests.

Additional information

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