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1	Less surface sea ice melt in the CESM2 improves Arctic sea ice simulation with minimal
2	non-polar climate impacts
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5 6	Jennifer E. Kay ^{1,2} , Patricia DeRepentigny ^{1,3} , Marika M. Holland ⁴ , David A. Bailey ⁴ , Alice K. DuVivier ⁴ , Ed Blanchard-Wrigglesworth ⁵ , Clara Deser ⁴ , Alexandra Jahn ^{1,3} , Hansi Singh ⁶ , Madison
7	M. Smith ⁵ , Melinda A. Webster ⁷ , Jim Edwards ⁴ , Sun-Seon Lee ^{8,9} , Keith B. Rodgers ^{8,9} , and Nan
8	Rosenbloom ⁴
9	
10	¹ Department of Atmospheric and Oceanic Sciences, University of Colorado, Boulder, CO
11 12	² Cooperative Institute for Research in Environmental Science, University of Colorado, Boulder, CO
13	³ Institute of Arctic and Alpine Research, University of Colorado, Boulder, CO
14	⁴ National Center for Atmospheric Research, Boulder, CO
15	⁵ University of Washington, Seattle, WA
16	⁶ University of Victoria, British Columbia, Canada
17	⁷ University of Alaska Fairbanks, AK
18	⁸ Center for Climate Physics, Institute for Basic Science, Busan, South Korea
19	⁹ Pusan National University, Busan, South Korea
20	
21	Corresponding author: Jennifer E. Kay (jennifer.e.kay@colorado.edu)
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27	Key Points:
28	Decreasing surface melt decreases late-summer Arctic sea ice cover biases and delays
29	transition to an ice-free Arctic Ocean
30 31	• Internal variability limits value of sea ice trends and sea ice sensitivity as metrics to constrain model performance under similar global warming
32 33	 Increasing sea ice thickness and area has negligible impacts on non-polar climate and climate change

34 Abstract

35

36 This study isolates the influence of sea ice mean state on pre-industrial climate and transient 37 1850-2100 climate change within a fully coupled global model: The Community Earth System 38 Model version 2 (CESM2). The CESM2 sea ice model physics is modified to increase surface 39 albedo, reduce surface sea ice melt, and increase Arctic sea ice thickness and late summer cover. 40 Importantly, increased Arctic sea ice in the modified model reduces a present-day late-summer 41 ice cover bias. Of interest to coupled model development, this bias reduction is realized without 42 degrading the global simulation including top-of-atmosphere energy imbalance, surface 43 temperature, surface precipitation, and major modes of climate variability. The influence of these sea ice physics changes on transient 1850-2100 climate change is compared within a large initial 44 45 condition ensemble framework. Despite similar global warming, the modified model with thicker Arctic sea ice than CESM2 has a delayed and more realistic transition to a seasonally ice free 46 47 Arctic Ocean. Differences in transient climate change between the modified model and CESM2 are challenging to detect due to large internally generated climate variability. In particular, two 48 49 common sea ice benchmarks - sea ice sensitivity and sea ice trends - are of limited value for 50 comparing models with similar global warming. More broadly, these results show the importance 51 of a reasonable Arctic sea ice mean state when simulating the transition to an ice-free Arctic 52 Ocean in a warming world. Additionally, this work highlights the importance of large initial 53 condition ensembles for credible model-to-model and observation-model comparisons.

54

56 Plain Language Summary

57

58 Satellite observations available from 1979 to present show dramatic Arctic sea ice loss. As a 59 result, projecting when the Arctic Ocean may become ice free and the resulting impacts is of 60 broad interest to those living in the Arctic and beyond. Climate models are the main tool for making such future projections. Yet, projecting sea ice loss is hard because it is affected by multiple 61 factors that are often impossible to disentangle including physical processes, unpredictable 62 63 climate variability, and differences in climate drivers. Unique to this work, we analyze the influence 64 of the sea ice surface melt while also controlling for all other confounding factors such as the amount of global warming and unpredictable climate variability. Our work demonstrates that under 65 similar global warming, surface melt affects the timing of an ice-free Arctic Ocean. Specifically, 66 67 simulations with less surface melt and more sea ice transition to an ice-free Arctic Ocean later. From the perspective of model development and transient climate change, we also found sea ice 68 69 amounts and the timing towards an ice-free Arctic have negligible influence on warming, precipitation, and sea level pressure outside of the polar regions. 70

71 **1. Motivation and Study Goals**

72 Satellite-observed Arctic Ocean sea ice cover decreases over the last few decades are a 73 visible manifestation of human-caused climate change. Earth system models cannot reproduce this observed ice loss with natural forcing alone (e.g., Kirchmeier-Young et al. 2017, Kay et al. 74 75 2011). While models can reproduce the sign of observed multi-decadal Arctic sea ice area trends, 76 these same models exhibit differing Arctic sea ice loss rates and timing (Swart et al. 2015, Notz, 77 D & SIMIP Community 2020). Why do Arctic sea ice loss rates differ between model simulations? 78 Given similar global warming, two factors are important to consider. First, mean state matters: 79 models with thicker Arctic sea ice tend to exhibit less ice area loss but more ice volume loss than 80 models with thinner sea ice (e.g., Massonnet et al. 2018, Holland et al. 2010, Bitz 2008). Second, 81 internally generated climate variability influences differences in Arctic sea ice loss timing and 82 trends (e.g., Notz, D & SIMIP Community 2020, England et al. 2019, Jahn et al. 2016, Swart et 83 al. 2015, Notz 2015, Wettstein and Deser 2014, Kay et al. 2011). In fact, recent work emphasizes 84 that internal variability dominates over emissions scenario in affecting projected sea ice loss over 85 the upcoming 2-3 decades, including the timing of the first ice-free Arctic Ocean in late summer 86 (e.g., Bonan et al., 2021, DeRepentigny et al. 2020, Jahn 2018, Sigmond et al. 2018).

87

88 Sea ice mean state influences transient sea ice response to climate forcing. Indeed, mean 89 sea ice thickness has well-known foundational influences on vertical sea ice thermodynamics 90 (Bitz and Roe 2004, Holland et al. 2006). The two dominant feedbacks internal to sea ice - the 91 positive sea ice albedo feedback and the negative ice-thickness growth feedback - strengthen 92 when sea ice thins. Sea ice loss in models with a wide range of complexities show the importance 93 of sea ice mean thickness to thermodynamic sea ice growth and loss. In addition, mean sea ice 94 thickness affects sea ice variability and predictability. When sea ice thins, ice area variability 95 increases, ice thickness variability decreases, and predictor relationships change in location, 96 nature, and magnitude (e.g., Holland et al. 2019, Mioduszewski et al. 2018, Swart et al. 2015, 97 Holland and Stroeve 2011, Blanchard Wrigglesworth et al. 2011, Kay et al. 2011).

98

While the importance of sea ice mean state is uncontroversial, the potential to constrain the mean state and reduce projection uncertainty remains unclear. Recent work by Massonnet et al. (2018) used regression to quantify the relationship between Arctic sea ice mean state and transient loss rates in a multi-model ensemble (Coupled Model Intercomparison Project version 5 (CMIP5), Taylor et al. 2012). While the relationships between mean state and linear changes in March sea ice volume and September sea ice area were weak, they were statistically significant. 105 The study arrived at two important conclusions. First, given the importance of mean state and in 106 particular sea ice thickness mean state, models with a biased mean sea ice thickness should be 107 guestioned and potentially not used for future projections. Second, it is currently not possible to 108 observationally constrain the sea ice thickness mean state due to the lack of long-term and 109 reliable observations. This second conclusion is especially striking, is consistent with a recent 110 community analysis that questioned the accuracy of sea ice thickness observations (e.g., Notz, 111 D. & SIMIP Community 2020), and leaves many open questions: 1) How reliable is reliable 112 enough? 2) How long of an observational record is needed? 3) Does tuning to observed sea ice 113 extent/area help constrain sea ice thickness? Model tuning is necessary (e.g., Mauritsen et al. 114 2012), and best accomplished when constrained by available observations, especially when the 115 mean state influences transient response as may be the case for sea ice.

116

117 Even if the sea ice mean state can be observationally constrained, internally generated 118 climate variability obscures the influence of the mean state on the transient sea ice response. 119 Having many realizations that show the same response increases confidence that a signal results 120 from a sea ice thickness difference and not from internally generated climate variability. As a 121 result, large initial-condition ensembles are needed to quantify the influence of mean sea ice state 122 on sea ice projections. While such ensembles are becoming more standard practice and more 123 broadly available with CMIP-class models (e.g., Deser et al. 2020), sensitivity tests using large 124 ensembles as a control are rare. In particular, a targeted experiment that isolates the influence of 125 sea ice mean state on climate change and variability in a CMIP-class model with a large ensemble 126 has not been done.

127

128 In this study, we build on previous work by isolating the influence of the sea ice mean state129 on climate. We focus on two research questions:

- Does sea ice mean state influence the rate and timing of transient anthropogenically
 forced sea ice change? In particular, does thicker Arctic sea ice lead to slower sea ice
 loss and a later transition to seasonally ice-free conditions in transient projections for
 the 21st century?
- What is the impact of sea ice mean state on key global climate variables (surface temperature, precipitation, and sea level pressure)? Specifically, can we detect the influence of sea ice mean state on pre-industrial climate and 1850-2100 transient climate change and variability in both polar and non-polar regions?

138 To answer our research questions, we modify the sea ice model within an earth system 139 model to increase surface albedo, reduce surface melt, and increase the mean state sea ice. We 140 then quantify the influence of the sea ice mean state differences on mean and transient climate 141 change using a large initial condition ensemble as a control. Working within this numerical 142 simulation framework, we can isolate differences in transient projections that arise from sea ice 143 mean state alone. While we present results from both poles, we focus more on the Arctic where 144 the parameter changes have a larger impact and reduce a model bias. We find that with thicker 145 sea ice, the transition to an ice-free Arctic Ocean is delayed. In addition, the impacts of sea ice 146 tuning on non-polar climate are small. While our results rely on one model, our analysis provides 147 guidance for future modeling development efforts, especially those that hope to optimize their 148 simulation of transient Arctic sea ice loss.

149

150 **2. Methods**

151 **2.1 Model simulations and comparison strategies**

152 We use a well-documented state-of-the-art global climate model: the Community Earth 153 System Model version 2 (CESM2) with the Community Atmosphere Model version 6 (CAM6) 154 (Danabasoglu et al. 2020). CESM2-CAM6, hereafter shortened to simply CESM2, is an attractive 155 model to use for two reasons. First, comprehensive simulations exist for CESM2 as a part of the 156 Coupled Model Intercomparison Project version 6 (CMIP6) (Eyring et al. 2016) and a recently 157 released large initial-condition ensemble, hereafter referred to as the CESM2-LE (Rodgers et al. 158 2021). Second, CESM2 has a mean state Arctic sea ice bias. When compared to present-day 159 observations, CESM2 has insufficient late summer Arctic sea ice cover, a bias that has been 160 attributed to the sea ice being too thin (Danabasoglu et al. 2020 Figure 17g, DuVivier et al. 2020). 161 The consequences of this CESM2 thin Arctic sea ice bias for transient sea ice change have been 162 documented in DeRepentigny et al. (2020). For example, the 11 CESM2 CMIP6 transient 163 historical simulations have ice-free late summer conditions in the Arctic as early as 2010, which 164 is inconsistent with satellite observations even when accounting for internal variability.

165

Inspired to remedy the CESM2 thin Arctic sea ice bias and assess its impact on the global climate system, we created CESM2-lessmelt. CESM2-lessmelt is identical to CESM2 except for two parameter modifications made within the thermodynamics of the sea ice model. The sea ice model in CESM2 (CICE 5.1.2; Hunke et al. 2015) uses a multiple-scattering Delta-Eddington radiative transfer parameterization which relies on the specification of inherent optical properties (Briegleb and Light 2007). These optical properties can be adjusted to change the albedo of snow172 covered sea ice. In CESM2-lessmelt, we increased the albedo of snow on sea ice by increasing 173 the r snw parameter from 1.25 to 1.5 standard deviations. This r snw parameter change 174 decreases the dry snow grain radius from 187.5 µm to 125 µm. In addition, we changed the dt mlt 175 parameter such that the melt onset temperature increases by 0.5 °C from -1.5 °C to -1.0 °C. This 176 melt onset temperature determines when the snow grain radius starts to grow from a dry snow 177 value to a melting snow value. Both CESM2-lessmelt parameter changes were implemented to 178 increase snow albedo, reduce sea ice melt, and increase the mean state sea ice thickness. Both 179 parameter changes were made globally and thus affect sea ice in both hemispheres. Finally, both 180 parameter changes are within the observational uncertainty provided by in situ observations from 181 Surface Heat Budget of the Arctic Ocean (SHEBA).

182

183 In this work, we compare simulations with constant pre-industrial control climate 184 conditions. For CESM2, we use the multi-century CMIP6 1850 pre-industrial control run. For 185 CESM2-lessmelt, we ran a 550-year-long CESM2-lessmelt 1850 pre-industrial control run. The 186 CESM2-lessmelt control was branched from year 881 of the CESM2 CMIP6 control. As a sanity 187 check, we assessed global metrics of energy conservation and climate stability in the CESM2-188 lessmelt control and compared it to the CESM2 control during overlapping years. The global mean 189 surface temperature is 0.16 K lower in CESM2-lessmelt (288.18 K) than in CESM2 (288.34 K). 190 The top-of-model energy imbalance in both models is small: -0.02 Wm⁻² for CESM2-lessmelt and 191 0.07 Wm⁻² for CESM2. Correspondingly, ocean temperature drift is smaller in CESM2-lessmelt 192 than in CESM2. Overall, both models exhibit small drift in their global mean surface temperature 193 and top-of-model energy imbalance. Thus, both CESM2 model versions meet basic energy 194 conservation and stability criteria for global coupled modeling science.

195

196 In addition to pre-industrial control comparisons, we also compare simulations of 1850-197 2100 transient climate change under the same CMIP6 forcing. For CESM2, we use the first 50 198 ensemble members of the CESM2-LE. As described in Rodgers et al. (2021), members 1-50 199 share the same transient CMIP6 forcing: historical (1850-2014) and the SSP3-7.0 future scenario 200 (2015-2100) (O'Neill et al. 2016). For CESM2-lessmelt, we ran a 4-member mini ensemble using 201 the same historical and SSP3-7.0 CMIP6 forcing as CESM2-LE members 1-50. The first CESM2-202 lessmelt ensemble member started at year 1181 of the CESM2-lessmelt 1850 pre-industrial 203 control run and was run from 1850 to 2100. Three additional CESM2-lessmelt ensemble members 204 were run from 1920 to 2100 with initial conditions from the first CESM2-lessmelt ensemble 205 member perturbed by round-off (10⁻¹⁴ K) differences in air temperature.

206 As all transient ensemble members analyzed in this work share the same forcing, we 207 assume each ensemble member is an equally likely estimate of the transient climate response. 208 This "equally likely" assumption is justified in the Supporting Information (Text S1, Figures S1-209 S5). This assumption enables us to statistically quantify differences between CESM2-LE and 210 CESM2-lessmelt. Given the differences in ensemble size, we use bootstrapping to statistically 211 assess when the 4 CESM2-lessmelt ensemble members are distinct from the first 50 members 212 of the CESM2-LE. Bootstrapping, or randomly resampling to generate statistics, requires no 213 distribution assumptions.

214

215 Finally, it is important to note a feature of all transient model simulations analyzed here. 216 Namely, the CMIP6 historical forcing includes a stark increase in the inter-annual variability of 217 biomass burning emissions during the satellite era of wildfire monitoring 1997-2014 (Fasullo et al. 218 2021, DeRepentigny et al. 2021). This discontinuity leads to excessive surface warming in the 219 northern hemisphere extratropics (Fasullo et al. 2021). It also contributes to 1997-2010 Arctic sea 220 ice loss followed by a 2010-2025 Arctic sea ice recovery (DeRepentigny et al. 2021). While 221 several CMIP6 models show impacts from this discontinuity, the CESM2 has a particularly 222 pronounced response. In this work, we use this discontinuity as an opportunity to assess the 223 influence of sea ice mean state on the sea ice response to a short-term radiative forcing.

224

225 3. Results

226 3.1. Pre-industrial sea ice in CESM2 and CESM2-lessmelt

227 Comparison of pre-industrial sea ice volume and cover monthly mean values show 228 CESM2-lessmelt has more sea ice than CESM2 in both hemispheres (Figure 1). In the Arctic, sea ice volume in CESM2-lessmelt exceeds that in CESM2 during all months (Figure 1a). In contrast, 229 230 Arctic sea ice cover differences have a distinct seasonal cycle with large late summer differences 231 and small winter differences (Figure 1b). In the Antarctic, CESM2-lessmelt has larger sea ice 232 cover and volume than CESM2 in all months (Figure 1c,d). Monthly mean volume differences 233 between CESM2 and CESM2-lessmelt are larger in the Arctic (30% greater in CESM2-lessmelt) 234 than in the Antarctic (8% greater in CESM2-lessmelt). Larger sea ice changes in the Arctic than 235 in the Antarctic are unsurprising because CESM2 and CESM2-lessmelt differ in their surface melt. 236 Unlike in the Arctic, surface melt in the Antarctic is negligible. Almost all Antarctic sea ice melts 237 from below.

239 Spatially, the largest sea ice cover differences occur at the summer sea ice edge 240 where/when the sea ice can expand/contract without influence of land barriers and ocean 241 circulation. At the late summer seasonal minimum, the CESM2-lessmelt sea ice edge expands 242 equatorward at the sea ice margin in both hemispheres (Figure 2). Yet, this late summer 243 expansion in CESM2-lessmelt is not zonally uniform. In the Arctic, the largest late summer ice 244 concentration increases in CESM2-lessmelt occur north of Russia in the East Siberian Sea 245 (Figure 2b). In contrast, only modest late summer sea ice expansion happens in the North Atlantic. 246 In the Antarctic, the largest magnitude late summer sea ice concentration expansion equatorward 247 occurs off the coast east of the Weddell Sea (Figure 2d). Changes in late-summer Antarctic sea 248 ice concentration are otherwise small, likely due to the lack of sea ice at the seasonal minimum. 249 At the seasonal maximum in late winter, Arctic concentrations differences are small due to the 250 land barriers and the ocean heat convergence that controls the sea ice edge (Figure 3a-b; Bitz et 251 al. 2005). In the Antarctic, the late winter sea ice edge has a zonally non-uniform response with 252 some regions exhibiting sea ice concentration increases and others exhibiting sea ice 253 concentration decreases (Figure 3c-d). In particular, there is slightly less sea ice cover in CESM2-254 lessmelt than in CESM2 in the Ross Sea. Non-zonally asymmetric sea ice differences 255 demonstrate the importance of both thermodynamic and dynamic responses to the sea ice 256 parameter changes made in CESM2-lessmelt.

257

258 Sea ice thickness comparisons are also of interest, especially in the Arctic where thicker 259 late winter sea ice can lead to less late summer sea ice loss. Unlike the concentration differences 260 that manifested at the sea ice edge, sea ice thickness differences at the late winter seasonal 261 maximum occur throughout the sea ice pack (Figure 4). Late-winter sea ice thicknesses are at 262 least 0.5 m greater in CESM2-lessmelt than in CESM2 throughout the central Arctic basin (Figure 263 4b). Antarctic sea ice thickness differences are much smaller, generally less than 0.25 meters 264 (Figure 4d). The largest differences in the Antarctic occur off the west side of the Antarctic 265 Peninsula in the Bellingshausen Sea.

266

To quantify processes underlying the mean state differences between the two CESM2 model variants, we next compare their sea ice mass tendencies. In addition to analyzing the total sea ice mass tendency, we also decompose this total tendency into contributions from dynamic and thermodynamic processes. Dynamic mass tendencies result from advection of ice into or out of a grid cell. Thermodynamic mass tendencies result from the sum of basal ice growth, ice growth in supercooled open water, transformation of snow to sea ice, surface melting, lateral melting, basal melting and evaporation/sublimation. See DuVivier et al. (2020), Singh et al (2021), and Bailey (2020) for more information about these diagnostics including their application to evaluate CESM2 sea ice. Consistent with a balanced mean state and negligible model drift, the annual mean tendency terms differences are small (not shown). Yet, substantial differences in the sea ice mass tendency terms occur during both the growth season and the melt season in both hemispheres in response to the parameter changes made in CESM2-lessmelt.

279

280 Arctic sea ice mass tendency diagnostics show CESM2 and CESM2-lessmelt differences 281 result from both thermodynamics and dynamics (Figure 5). During the melt season, CESM2-282 lessmelt has less Arctic thermodynamic sea ice mass loss than CESM2. This thermodynamic sea 283 ice mass loss difference is consistent with a higher snow albedo in CESM2-lessmelt than in 284 CESM2. CESM2-lessmelt also has less thermodynamic Arctic sea ice mass gain than CESM2 285 during the growth season due to the negative ice-thickness growth feedback (Bitz and Roe, 2004). 286 These opposing seasonal influences on thermodynamic tendency terms are consistent with 287 thicker Arctic sea ice in CESM2-lessmelt than in CESM2. Dynamical sea ice tendency terms 288 dominate at the sea ice edge and during the growth season, and result primarily from the same 289 ice velocity transporting thicker ice. With its thicker sea ice, CESM2-lessmelt has more ice export 290 out of and more ice transport within the Arctic basin than CESM2. When more ice is moved into 291 a region where sea ice can melt, thermodynamic mass tendencies and dynamic mass tendencies 292 compensate.

293

294 We next evaluate sea ice mass tendencies for CESM2 and CESM2-lessmelt in the 295 Antarctic (Figure 6). Positive dynamic mass tendencies increase sea ice away from the Antarctic 296 coast in all seasons. This dynamically driven sea ice mass increases result from wind-driven 297 transport of sea ice away from the Antarctic coast. During the growth season, thermodynamically-298 driven sea ice mass gains occur near the coast, which in turn increases dynamically-driven sea 299 ice mass gains away from the coast. When compared to CESM2, CESM2-lessmelt has more 300 dynamical mass gain associated with this wind-driven sea ice advection in all seasons. As in the 301 Arctic, these CESM2 - CESM-lessmelt differences result primarily from sea ice thickness 302 changes with a similar sea ice velocity field. During the melt season, sea ice mass loss due to 303 thermodynamics is less in CESM2-lessmelt than in CESM2. Yet, the growth season in the 304 Antarctic differs from that in the Arctic. Unlike in the Arctic, the Antarctic has little multi-year ice 305 and thus minimal ice-thickness growth feedback. Also unlike the Arctic, the Antarctic gains mass 306 through snow-ice formation.

307 **3.2. Influence of sea ice tuning on pre-industrial global climate**

308 Overall, CESM2-lessmelt and CESM2 have statistically significant differences in surface 309 air temperature, precipitation, and sea level pressure at both poles (Figure 7). In contrast, impacts 310 on non-polar climate are small and not statistically significant. Where CESM2-lessmelt has more 311 sea ice than CESM2, the Arctic and Antarctic surface are both cooler in CESM2-lessmelt than in 312 CESM2, especially in non-summer seasons. Demonstrating the importance of sea ice to polar 313 surface temperatures, Ross Sea air temperatures increased in CESM2-lessmelt when compared 314 to CESM2, consistent with sea ice concentration and thickness decreases from CESM2-lessmelt 315 to CESM2 in this region (Figure 3d, Figure 4d). Generally speaking, precipitation differences 316 between CESM2 and CESM2-lessmelt followed surface temperature differences. The relatively 317 cooler CESM2-lessmelt atmosphere converges less moisture and has less precipitation, 318 especially in Fall in the Arctic. Despite this precipitation reduction. CESM2-lessmelt has 10% more 319 snow on Arctic sea ice in spring than CESM2, which is in better agreement with observations 320 (Webster et al., 2021). More snow on sea ice in CESM2-lessmelt than in CESM2 results from a 321 CESM2-lessmelt having a larger sea ice platform to collect snow than CESM2, especially during 322 the peak snowfall season (Fall). Overall, polar sea level pressure differences are generally small 323 and not statistically significant. One notable exception are statistically significant sea level 324 pressure differences between CESM2 and CESM2-lessmelt during Arctic Fall, including the well-325 known atmospheric circulation response to boundary layer thermal forcing (e.g., Deser et al. 326 2010). Here, boundary layer cooling in CESM2-lessmelt leads to a local high SLP response in 327 autumn (baroclinic vertical structure).

328

329 In addition to mean climate state, we also assessed climate variability differences arising 330 from the different sea ice mean states in CESM2 and CESM2-lessmelt. In brief, climate variability 331 differences between the multi-century CESM2 and CESM2-lessmelt pre-industrial control runs 332 are small and not statistically significant. Major modes of climate variability, such as those plotted 333 in the Climate Variability Diagnostics Package (Phillips et al 2020), are unchanged between 334 CESM2 and CESM2-lessmelt pre-industrial control runs. Similarly, differences in inter-annual 335 seasonal surface temperature, sea level pressure, and precipitation standard deviations are small 336 and not statistically significant (Figure S6).

337

338 3.3. Transient (1850-2100) sea ice evolution in CESM2 and CESM2-lessmelt

339 Present-day (1979-2014) monthly hemispheric mean differences (Figure 8) resemble 340 corresponding pre-industrial control differences (Figure 1). In the Arctic, CESM2-lessmelt has

341 more present-day sea ice volume than CESM2 in every month (Figure 8a). Moreover, CESM2-342 lessmelt also has more present-day Arctic sea ice cover than CESM2 in all months, with the 343 largest differences during the melt season and especially in late summer (Figure 8b-c). Overall, 344 the Arctic sea ice mean state is closer to observations in CESM2-lessmelt than in CESM2. Of 345 particular note, additional present-day late summer Arctic sea ice cover brings CESM2-lessmelt 346 closer to observations than CESM2. While present-day hemispheric multi-decadal Arctic sea ice 347 volume observations are not available (Massonnet et al. 2018), reductions in late-summer sea ice 348 cover biases may suggest CESM2-lessmelt has a more realistic sea ice volume than CESM2. 349 Like in the Arctic, present-day Antarctic sea ice differences between CESM2 and CESM2-350 lessmelt are also qualitatively similar to the pre-industrial control (Figure S7). But unlike in the 351 Arctic, both CESM2 variants have substantial Antarctic mean state biases without consistent bias 352 reduction from CESM2 to CESM2-lessmelt. Given similar Antarctic sea ice biases, relatively 353 modest Antarctic mean state sea ice changes, and the inability of CESM2 and CESM2-lessmelt 354 to reproduce observed Antarctic sea trends (Figure S8), we focus on the Arctic for the remainder 355 of the transient sea ice comparisons.

356

357 Arctic maps reveal that the sea ice in CESM2 and CESM2-lessmelt evolves differently 358 from the present-day into the 21st century (Figure 9). While both CESM2 and CESM2-lessmelt 359 have their greatest present-day (1979-2014) late winter sea ice thicknesses and late summer sea 360 ice concentrations north of Greenland and the Canadian Archipelago, CESM2-lessmelt has more 361 sea ice throughout much of the Arctic Ocean than CESM2 (Figure 9a-d). Notably, September 362 Arctic sea ice concentrations are substantially greater in CESM2-lessmelt than in CESM2 (Figure 363 9c). Equally important, the present-day March sea ice is 0.5+ meters thicker in CESM2-lessmelt 364 than in CESM2 over most of the central Arctic Ocean (Figure 9d). By 2030-2049, Arctic sea ice 365 differences between CESM2-lessmelt and CESM2 remain for late-summer September 366 concentration but are small for late winter March thickness (Figure 9e-f). Large 2030-2049 late 367 summer ice cover differences occur because despite starting the melt season with similar March 368 sea ice thickness distributions, less melt occurs in CESM2-lessmelt than in CESM2. This 369 difference in 2030-2049 summer melt is consistent with higher albedo in CESM2-lessmelt than in 370 CESM2. By 2050-2069, CESM2 and CESM2-lessmelt have similar small September sea ice 371 concentrations (Figure 9g). Consistent with a transition to a seasonally ice-free Arctic, March sea 372 ice thicknesses are also similar in 2050-2069 over much of the Arctic Ocean (Figure 9h). In fact, 373 the only regions where 2050-2069 differences between CESM2-lessmelt and CESM2 persist are

along the coast of Northern Greenland and the far North Eastern portions of the Canadianarchipelago.

376

377 While ensemble means provide the most robust assessment of the differences in CESM2 378 and CESM2-lessmelt, ensemble mean values are not physically realized quantities, mute internal 379 variability, and thus should not be compared as equals with observed timeseries and trends. 380 Instead, each individual CESM2-LE or CESM2-lessmelt ensemble member's time evolution 381 should be treated as equally likely and the observations should be treated as the single real world 382 ensemble member. Consistent with time-averaged ensemble mean comparisons (Figure 8), 383 September Arctic sea ice extent in all four CESM2-lessmelt ensemble members (Figure 10b) is a better match to 1979-2020 observations than any of the 50 CESM2-LE ensemble members 384 385 (Figure 10a). Up until ice-free conditions are reached, CESM2-lessmelt ensemble members have 386 more September sea ice extent than almost all of the CESM2-LE ensemble members. Unlike sea 387 ice amount, 20-year linear trends in September Arctic sea ice in CESM2-LE, CESM2-lessmelt, and observations largely overlap (Figure 10c). In other words, CESM2-lessmelt and CESM2-LE 388 389 trends are both consistent with observed trends. Due to ensemble size differences, the spread in 390 CESM2-lessmelt trends is smaller than the spread in CESM2-LE trends. Thus, even though 391 CESM2-lessmelt trends are more negative than observed trends with end dates of 2001-2006, 392 this may simply be the consequence of ensemble size differences. As introduced in section 2.1 393 and in DeRepentigny et al. (2021), the individual ensemble members show sea ice loss 394 accelerates around the turn of the 21st century and then the sea ice recovers in the early 21st 395 century due to the prescribed biomass burning emissions in CMIP6 forcing.

396

Continuing with the equally likely framework in mind, we next assess common metrics 397 398 used for sea ice model evaluation: sea ice sensitivity and the timing of a seasonally ice-free Arctic 399 (Figure 11). These metrics illustrate the challenge of large internally driven variability for 400 differentiating between CESM2-lessmelt and CESM2-LE and comparing them to our single 401 observed reality. For September 1979-2014 Arctic sea ice extent trends, there is substantial 402 spread across the CESM2-LE members (Figure 11a). Despite this large CESM2-LE spread, the 403 observations and the CESM2-lessmelt ensemble members are on the smaller trend side of the 404 distribution. Notably, the observations and one CESM2-lessmelt ensemble member are outside 405 of the CESM2-LE spread. Similarly, the sea ice sensitivity per global mean warming appears 406 larger in CESM2-lessmelt with three out of four ensemble members outside of the spread of the 407 CESM2-LE (Figure 11b). Given the single observed reality and the 4 CESM2-lessmelt members,

the spread in CESM2-LE sea ice trends and global mean warming is large and humbling.
Assuming any individual ensemble member is equally likely, the large spread in these metrics
provide limited value as a model comparison metric for evaluating CESM2-LE and CESM2lessmelt because the CESM2-lessmelt ensemble is so small (4 members).

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413 Internal variability also has a strong imprint on the timing of a first seasonally ice-free Arctic 414 Ocean. Indeed, the CESM2-LE exhibits a 38 year spread in this metric with years ranging from 415 2007 to 2045 (Figure 11c). While the spread in the CESM2-lessmelt first ice-free Arctic year is 416 small (2041 to 2057), the 4 CESM2-lessmelt first ice-free years barely overlap with the 50 CESM2-417 LE first ice-free years. Bootstrapping the CESM2-LE ice-free dates shows the two distributions 418 are statistically different at the 95% confidence level. In other words, the thicker and more 419 extensive Arctic sea ice in CESM2-lessmelt delays the timing of an ice free Arctic when compared 420 to CESM2-LE. While the delay of the first ice-free Arctic is statistically significant, the large 421 internally generated variability still limits its predictability by decades. The spread in ice-free years 422 in the first 50 members of the CESM2-LE is made especially large and early by the accelerated 423 sea ice decline associated with the CMIP6 biomass burning emissions (DeRepentigny et al. 424 2021).

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426 We next use ensemble means to quantify forced response differences between CESM-427 LE and CESM2-lessmelt (Figure 12). To make consistent forced response comparisons, we 428 bootstrap the 50 CESM2-LE members to generate statistics that are consistent with ensembles 429 with only four members. With these bootstrapped values, we can statistically assess when 430 CESM2-lessmelt and CESM2-LE differ while accounting for differences in ensemble size. For 431 example, if the CESM2-lessmelt ensemble mean lies outside of the 95% confidence limits of 432 sample statistics generated randomly by selecting 4 members of the CESM2-LE many times (here 433 1,000 times), the forced response differences are statistically significant. Comparing the 434 ensemble means consistent with four ensemble members, we find that CESM2-lessmelt has more 435 September sea ice extent (Figure 12a) and more March sea ice volume (Figure 12b). 436 Interestingly, twenty-year trends in September sea ice extent and March sea ice volume are 437 statistically indistinguishable in CESM2-lessmelt and CESM2-LE with the exception of three 438 periods (Figure 12c-d). The first exception is for the period with trend end dates ~2010 during the 439 biomass burning forcing discontinuity. During this time period, the CESM2-lessmelt has less 440 negative sea ice extent trends and more negative sea ice volume trends than the CESM2-LE. 441 This first exception is consistent with the thicker sea ice in CESM2-lessmelt being more resilient 442 to ice cover changes but more sensitive to ice volume changes due to a weaker thickness-ice 443 growth feedback. The second time period when there are trend differences occurs in the 2060s 444 and 2070s. This exception occurs because CESM2-lessmelt still has ice to lose while CESM2-LE 445 is ice-free already in September (Figure 11). As a result, CESM2-lessmelt has more negative 446 September sea ice extent trends than CESM2-LE during the 2060s and 2070s. Similar trend 447 differences associated with timing differences to an ice-free Arctic are seen in October and 448 August, but shifted later in the 21st century (not shown). The last time period is for trend end dates 449 around 1970 when the volume trends in CESM2-lessmelt are larger than those in CESM2-LE.

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451 We finish comparing the 1850-2100 transient sea ice evolution by contrasting interannual 452 sea ice variability in CESM2-lessmelt and CESM2-LE. As was done for means, we bootstrap the 453 CESM2-LE to create variability estimates consistent with an ensemble with only 4 members. 454 Consistent with previous work (Goosse et al. 2009, Mioduszewski et al. 2019), we find Arctic sea 455 ice cover variability strongly depends on the mean sea ice thickness in CESM2-LE and CESM2-456 lessmelt (Figure S9). Overall, September sea ice extent interannual variability is smaller in CESM2-lessmelt than in CESM2-LE until the middle of the 21st century. Smaller September sea 457 458 ice variability in CESM2-lessmelt is especially seen during the turn of the century forced sea ice 459 decline (20 year trends ending ~2010). After the 2040s, CESM2-lessmelt has more year-to-year 460 September sea ice extent variability than CESM2-LE because CESM2-lessmelt transitions to a 461 seasonally ice-free Arctic later than CESM2-LE.

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463 **3.4. Influence of sea ice mean state on transient climate change**

464 We next assess the impact of the differing CESM2-LE and CESM2-lessmelt 1850-2100 465 sea ice evolution on transient climate change more broadly. In the end, we focus on surface 466 warming for two reasons. First, climate impacts often scale with surface warming. As a result, assessing where/when warming differences occur provides a foundation for assessing if the 467 468 CESM2-LE and CESM2-lessmelt sea ice evolution differences impact climate change and 469 variability more broadly. Second, we investigated other climate variables such as precipitation 470 and sea level pressure and found that differences in the transient climate response in CESM-LE 471 and CESM2-lessmelt were small and not statistically significant (e.g., Figure S10). One exception 472 was smaller 21st century winter Arctic precipitation increases in CESM2-lessmelt than in CESM2-473 LE. This exception is consistent with Clausius–Clapeyron relation, namely a reduced water vapor 474 increase associated with less warming in CESM2-lessmelt than in CESM2-LE.

476 When plotted as anomalies, the 1850-2100 evolution of the global mean surface 477 temperature anomaly in CESM2-LE and CESM2-lessmelt are indistinguishable (Figure 13a). Both 478 CESM2 model variants are consistent with the observed global air surface temperature anomaly 479 evolution (Hansen et al. 2010, Rohde and Hausfather 2020). When plotted as absolute values, 480 the global mean surface temperature is lower in CESM2-lessmelt than CESM2-LE (Figure 13b). 481 This absolute temperature difference between the two CESM2 variants remains constant over the 482 entire 1850-2100 period. Given the challenges of observing the absolute global mean temperature 483 and the spread due to internal variability, it is unclear if CESM2-LE or CESM2-lessmelt provides 484 a more realistic representation of global mean temperature. Moreover, the spatial pattern of 485 seasonal warming in CESM2-lessmelt and CESM2 is statistically indistinguishable aside from two 486 notable and sizable exceptions in the Arctic (Figure 14). First, CESM2-lessmelt warms more than 487 CESM2-LE along the sea ice edge during Fall, particularly in the Pacific sector. This larger 488 warming occurs because CESM2-lessmelt has more sea ice to lose in these regions than 489 CESM2-LE (Figure 2b). Second, CESM2-LE warms more than CESM-lessmelt in the central 490 Arctic Ocean during winter. This difference arises because CESM2-LE has thinner sea ice than 491 CESM2-lessmelt. Finally, the Atlantic Meridional Overturning Circulation (AMOC) weakens 492 slightly (<10%) more in the CESM2-lessmelt members than in the CESM2-LE leading to small 493 differences in the North Atlantic warming hole.

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While the total zonal mean warming over the period 1920-1939 to 2080-2099 is remarkably similar in CESM2 and CESM2-lessmelt, when that warming happens differs between the two model variants in the Arctic. Indeed, comparisons of zonal mean warming rates in CESM2 and CESM2-lessmelt show differences in the Arctic warming rates in all seasons except summer (Figure 15). In particular, CESM2-LE has large non-summer surface Arctic warming rates earlier than CESM2-lessmelt. These larger early warming rates in CESM2-LE results from an earlier transition towards an ice-free Arctic Ocean in CESM2-LE than in CESM2-lessmelt.

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503 **4. Summary and Discussion**

This study assesses the influence of sea ice mean state on simulated climate change and variability in a state-of-the-art global coupled climate model. Novel and new here, a large 50member large ensemble is leveraged as a control for assessing the new small 4-member ensemble with more mean state sea ice, especially in the Arctic. As large initial condition ensembles are generally run after model releases, we address a question that is unanswerable during model development: Do differences in the sea ice mean state alter the ensemble spread 510 of realized transient climate change? Our results re-enforce that a realistic Arctic mean state is 511 critical to simulating a realistic transition to an ice-free Arctic Ocean. Specifically, simulations with 512 the same global warming but more Arctic sea ice have a later transition to a late summer ice-free Arctic over the 21st century. These results demonstrate starting with a reasonable mean state is 513 514 important for trusting model-projected timing towards an ice-free Arctic Ocean in a warming world. 515 Important for climate projections and model development more generally, the sea ice differences 516 examined here had negligible impacts outside the polar regions. It is important to emphasize that 517 the magnitude of the sea ice influence on polar and non-polar climate is similar to recent inter-518 model comparison studies (e.g., Screen et al. 2018, Smith et al. 2021). Yet, the context here is 519 different. Specifically, the differences between CESM2 and CESM2-lessmelt outside of the Arctic 520 are small in the context of model development/climate impacts, especially for transient climate 521 change with multiple ensemble members. The model configuration presented here (CESM2-522 lessmelt) is a viable model for coupled model experimentation. We anticipate and advise the use 523 of CESM2-lessmelt for prediction and hypothesis-driven experiments focused on the Arctic.

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525 Interestingly, many commonly used metrics to benchmark sea ice simulations provide 526 limited value in this study. Assuming any individual ensemble member is equally likely, many 527 metrics struggle to differentiate between the thicker (CESM2-lessmelt) and thinner (CESM2) sea 528 ice model variants examined here. For example, this study reinforces previous work showing a 529 two decade uncertainty in the timing of an ice-free Arctic due to internally generated variability 530 (Jahn et al. 2016, Notz 2015). Here, we find an almost four decade uncertainty in the timing of an 531 ice-free Arctic in the first 50 members of the CESM2-LE due to the confluence of CMIP6 biomass 532 burning forcing and thin CESM2 Arctic sea ice. In addition, sea ice sensitivity (i.e., sea ice change 533 scaled by global warming) exhibits large spread in the first 50 CESM2-LE members and thus 534 provides limited value as an observational constraint or a robust model comparison metric to 535 CESM2-lessmelt. Finally, linear 20-year sea ice area trends were similar between CESM2 and 536 CESM2-lessmelt ensemble members. That said, CESM2-lessmelt is consistent the observed 537 trend while CESM2-LE is not when trends longer than 20 years are considered (e.g., 1979-2014 538 following Notz, D. and the SIMIP Community (2020)). The fact that many commonly used metrics 539 provide limited differentiation in this study is sobering and merits emphasis. Internal variability is 540 large and must be measured and accounted for when comparing model ensemble size, as was 541 done here. Of course, these findings are not entirely surprising given similar global warming in 542 CESM2 and CESM2-lessmelt. In other words, global warming cannot be used as constraint on 543 simulated sea ice trends or sensitivity in this study. In fact, the mean state differences probed

here were not large enough to cause Arctic sea ice trend differences for the same amount of global warming. As a result, this work does not refute previous work showing that global warming (e.g., Mahlstein and Knutti 2012, Roach et al. 2020, Notz, D. & SIMIP Community 2020, Horvat 2021) can constrain sea ice change, and can help illustrate when models have the right Arctic sea ice trends for the wrong reasons (e.g., Rosenblum and Eisenman 2017). In summary, the similarity between CESM2 and CESM2-lessmelt found here provides further evidence that global warming exerts strong controls on Arctic sea ice trends.

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552 We end by discussing lessons learned for simulation of sea ice in a global coupled climate 553 modeling framework. We began this study by reducing sea ice surface melt in a pre-industrial 554 control simulation in search of a stable model configuration with more Arctic Ocean sea ice volume 555 and late summer Arctic Ocean sea ice cover. The parameter modifications implemented in 556 CESM2-lessmelt were specifically targeted to reduce summer melt in the Arctic where surface 557 melt dominates. Unlike the Arctic, Antarctic sea ice melt is dominated by bottom melt. Thus, we 558 anticipated and found relatively small differences in the Antarctic sea ice mean state as a result 559 of our parameter modifications. After obtaining a stable multi-century control run, we then ran 560 transient 1850-2100 simulations with no additional changes. What emerged in the transient 561 simulations was influenced both by the mean state and by feedbacks in CESM2, and was a 562 surprise to us. Indeed, our success in obtaining more realistic transition to an ice-free Arctic state 563 with CESM2-lessmelt suggests that sea ice thickness and late summer cover are important 564 targets for sea ice in coupled model development. In contrast, attention to and tuning of Arctic 565 sea ice area alone is generally insufficient. That said, sea ice area expansion is important to 566 monitor and model development should focus on parameters and physics that lead to credible 567 sea ice area distributions. The North Atlantic is especially important to monitor as when sea ice 568 expands to completely cover the ocean there, it can shut down North Atlantic deep water formation, and derail global coupled earth system model development as discussed in 569 570 Danabasoglu et al. (2020).

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Figure 1. Seasonal cycle in CESM2 and CESM2-lessmelt 1850 preindustrial control runs: a) Arctic sea ice volume, b) Arctic sea ice area and extent, c) Antarctic sea ice volume, d) Antarctic sea ice area and extent. Values are overlapping 200-year averages (years 911-1110 of the CESM2 CMIPC 1850 pres industrial control runs)

- 781 CMIP6 1850 pre-industrial control run).
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- **Figure 2.** Late summer sea ice concentration in preindustrial control runs: a) September Arctic
- 786 CESM2-lessmelt, b) Difference September Arctic (CESM2-lessmelt CESM2), c) February
- 787 Antarctic CESM2-lessmelt, d) Difference February Antarctic (CESM2-lessmelt CESM2). Values
- are overlapping 200-year averages as in Figure 1. *Note: Nonlinear color scale used to emphasize*
- 789 *low ice concentrations.*
- 790



- 791
- 792 **Figure 3.** Late winter sea ice concentration in preindustrial control runs: a) CESM2-tuned ice
- 793 March Arctic, b) Difference March Arctic, c) CESM2-lessmelt September Antarctic, d) Difference
- 794 September Antarctic. Values are overlapping 200-year averages as in Figure 1. *Note: Nonlinear*
- color scale used to emphasize low ice concentrations.
- 796



- **Figure 4.** Late winter sea ice thickness in preindustrial control runs: a) CESM2 March Arctic, b)
- 799 CESM2-lessmelt March Arctic, c) CESM2 September Antarctic, d) CESM2-lessmelt September
- 800 Antarctic. Values are overlapping 200-year averages as in Figure 1. *Note: Nonlinear color scale*
- *used to emphasize thin ice categories.*



Figure 5. Arctic sea ice mass tendency terms for the melt season [AMJJAS] (left), and the
growth season [ONDJFM] (right). For each season, the top row is tendency due to dynamics
(sidmassdyn), the middle row is tendency due to thermodynamics (sidmassth), and the bottom
row is their sum. All differences are CESM2-lessmelt minus CESM2. Values are overlapping 200-

808 year averages as in Figure 1.



- 810
- 811 Figure 6. Antarctic sea ice mass tendency terms for the melt season [ONDJFM] (left), and the
- 812 growth season [AMJJAS] (right). For each season, the top row is tendency due to dynamics
- 813 (sidmassdyn), the middle row is tendency due to thermodynamics (sidmassth), and the bottom
- row is their sum. All differences are CESM2-lessmelt minus CESM2. Values are overlapping 200-
- 815 year averages as in Figure 1.
- 816



818 Figure 7. Global maps of pre-industrial control differences (CESM2-lessmelt minus CESM2) by

- 819 **season.** Top row shows surface temperature (K). Middle row shows total precipitation (%
- difference). Bottom row shows sea level pressure (mb). Grey stippling shows regions that are
- 821 <u>not</u> statistically different at the 95% confidence level using a 2-sided t-test. Values are
- 822 overlapping 200-year averages as in Figure 1.
- 823



<sup>Jan Feb Mar Apr May Jun Jul Aug Sep Oct Nov Dec
 Figure 8. Present-day (1979-2014) ensemble mean seasonal cycle in CESM2-LE and CESM2</sup>

826 lessmelt: a) Arctic sea ice volume, b) Arctic sea ice extent, c) Arctic sea ice area. Observations

- are from NSIDC sea ice index with pole filling (Fetterer et al. 2017).
- 828



830 Figure 9. Ensemble mean Arctic sea ice maps: a) Present-day (1979-2014) CESM2-lessmelt

- 831 September concentration, b) as in a) but for March thickness, c-d) as in a-b) but for the
- 832 CESM2-lessmelt minus CESM2-LE difference, e-f) as in c-d) but for 2030-2049, g-h) as in c-d)
- 833 but for 2050-2069
- 834
- 835





837 Figure 10. Arctic September sea ice extent transient evolution: a) CESM2-LE 1850-2100

timeseries, b) CESM2-lessmelt 1850-2100 timeseries, c) 20-year trends in CESM2-LE, CESM2-

839 lessmelt, and observations with end years of 1999-2049. Observations are from NSIDC sea ice

840 index (Fetterer et al. 2017) with area pole-filling.

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844 Figure 11. Arctic sea ice comparison metrics: a) September sea ice trends, b) sea ice sensitivity

845 defined as the change in September sea ice extent per degree of change in global mean

846 surface temperature (dSIE/dGMST), and c) year of first seasonally ice-free Arctic Ocean. Sea

ice extent trend and sensitivity calculations follow protocol and years (1979-2014) used for
evaluation of CMIP6 by SIMIP Community (2020). In a) and b), the observations are shown as a

red dashed line and the CESM2 Large Ensemble is shown as a box indicating the interquartile

range, a line inside the box indicating the median, and whiskers to show the minimum and

851 maximum across all ensemble members. In c), a seasonally ice-free Arctic Ocean occurs when

the September sea ice extent first falls below 1 million sq. km.



854

Figure 12. CESM2-LE and CESM2-lessmelt Arctic sea ice: a) September extent ensemble mean

1850-2100 timeseries, b) March volume ensemble mean 1850-2100 timeseries, c) September

extent ensemble mean 20-year trends, d) March volume ensemble mean trends. Grey shading
 shows 95% confidence intervals on trends calculated by bootstrapping CESM2-LE ensemble

858 shows 95% confidence intervals on trends calculated by bo859 means with 4 members 1,000 times.



Figure 13. Transient evolution global annual mean surface air temperature in CESM2-LE and
 CESM2-lessmelt: a) anomaly from 1951-1980, b) absolute value. Observations are from
 GISTEMP (Hansen et al. 2010) and BEST (Rohde and Hausfather, 2020).

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Figure 14. Ensemble mean surface temperature change (2080-2099 minus 1920-1939) by 868 869 season: a) DJF CESM2-lessmelt, b) DJF Difference (CESM2-lessmelt minus CESM2-LE), c-d) as in 870 a-b) but for MAM, e-f) as in a-b) but for JJA, c-d) as in g-h) but for SON. Stippling on difference maps indicates where differences between CESM2-lessmelt and CESM2-LE ensemble means are 871 872 *not* statistically significant at the 95% confidence level.



- 874
- Figure 15. Zonal ensemble mean surface warming rate (deg C/20 years) by season: a) DJF 875
- 876 CESM2-lessmelt, b) DJF difference (CESM2-lessmelt minus CESM2-LE), c-d) as in a-b) but for
- 877 MAM, e-f) as in a-b) but for JJA, c-d) as in g-h) but for SON. Warming rates are calculated using
- 878 20 years and the start year plotted on the vertical axis.