1	Nanobiotechnology enabled approaches for wastewater based
2	epidemiology
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4	Asifur Rahman <sup>a</sup> , Seju Kang <sup>a</sup> , Wei Wang <sup>a</sup> , Aditya Garg <sup>b</sup> , Ayella Maile-Moskowitz <sup>a</sup> , and
5	Peter J. Vikesland <sup>a*</sup>
6	
7	<sup>a</sup> Department of Civil and Environmental Engineering, Virginia Tech, Blacksburg, VA
8	24061
9	<sup>b</sup> Department of Electrical and Computer Engineering, Virginia Tech, Blacksburg, VA
10	24061
11	
12	*Corresponding author: <u>pvikes@vt.edu</u>
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### 14 Abstract

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The impacts of the ongoing coronavirus pandemic highlight the importance of 16 17 environmental monitoring to inform public health safety. Wastewater based epidemiology (WBE) has drawn interest as a tool for analysis of biomarkers in wastewater networks. Wide scale 18 19 implementation of WBE requires a variety of field deployable analytical tools for real-time 20 monitoring. Nanobiotechnology enabled sensing platforms offer potential as biosensors capable 21 of highly efficient and sensitive detection of target analytes. This review provides an overview of 22 the design and working principles of nanobiotechnology enabled biosensors and recent progress 23 on the use of biosensors in detection of biomarkers. In addition, applications of biosensors for 24 analysis of the severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) virus are 25 highlighted as they relate to the potential expanded use of biosensors for WBE-based monitoring. Finally, we discuss the opportunities and challenges in future applications of biosensors in WBE 26 27 for effective monitoring and investigation of public health threats.

### Keywords

- Wastewater-based epidemiology; Nanobiotechnology; Biosensors; Biomarkers; SARS-COV-2;
- COVID-19; Nucleic acid based diagnostic tools; SERS; Electrochemical sensing.

### **Graphical Abstract**



- 41 **1. Introduction**
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43 Early detection and assessment of the threat of pollutants in drinking water and wastewater 44 systems are immensely important from the standpoint of public health and safety. The application 45 of environmental sensing for real-time monitoring of changes in biomarkers (e.g., chemicals, 46 pathogens, metabolites, etc.) can help in the implementation of countermeasures and mitigate the 47 risk of public health outbreaks. Wastewater has been examined as a potential discharge source of 48 illicit drugs to elucidate collective drug usage levels within a community since the early 2000s [1]. 49 The idea of obtaining population information from biomarkers curated from concentrations found 50 in wastewater has grown into the field of wastewater-based epidemiology (WBE). WBE has 51 expanded from primarily looking at drug use in a community to many other aspects surrounding 52 community health, including heavy metal exposure, infectious diseases, and the prevalence of 53 antibiotic resistance genes (ARGs) [2]. Most recently WBE has been used by research groups 54 across the world to track patterns and outbreaks of COVID-19 as a tool against the pandemic [3].

55 The use of appropriate analytical tools is necessary for the precise quantification of 56 biomarkers in wastewater at environmentally relevant concentrations. As WBE continues to 57 develop as a field, so does the challenge of detecting biomarkers with both high sensitivity and 58 low detection limits. Nanobiotechnology enabled biosensors are sensing platforms that can be 59 modified with target specific recognition elements (e.g., antibodies, proteins, enzymes, etc.) that 60 have biochemical affinity towards target analytes (e.g., chemicals, pathogens, DNA/RNA, etc.) 61 [4]. These interactions between the target and the probe molecules can modify the unique optical, 62 electrical, magnetic, and other properties of the system which can be used for analyte detection 63 and quantification [4]. Advantages, such as low-cost, straightforward application and rapid detection of nanobiotechnology enabled sensing platforms can potentially be used to develop 64 point-of-use sensors for real-time field monitoring of analytes in water and wastewater. 65

This paper provides an overview of the existing and emerging nanobiotechnology enabled sensing platforms. Initially, we summarize the types of biomarkers present in wastewater as potential WBE targets and introduce biosensor technologies for potential applications in WBE. Then, we review the current state-of-the-science of biosensing technologies involving indirect biosensing platforms (polymerase chain reaction (PCR), loop-mediated isothermal amplification (LAMP), genome sequencing, and clustered regularly interspaced short palindromic repeats (CRISPR)) as well as surface enhanced Raman scattering (SERS) based approaches and electrical biosensors. In addition, recent progress in the application of these biosensors in water and wastewater analysis, including applications related to COVID-19 are highlighted. Finally, we discuss potential avenues for future research and development of nanobiotechnology enabled sensing platforms for expanded use in WBE.

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# 2. Wastewater-based epidemiology targets

80 Analysis of different biomarkers present in wastewater collection networks can inform 81 policy making decisions and emergency responses to public health crises, such as the propagation 82 of infectious agents and the prevalence of drug use in a community. WBE has been used as a 83 powerful tool for real-time monitoring and analysis of a variety of biomarkers in wastewater. For 84 example, the presence of viral (e.g., SARS-CoV-2) genomes in wastewater provides promise for 85 better understanding of the spread of infectious disease within a population [5]. The monitoring of 86 phthalate metabolites in wastewater can be used as an economic alternative for estimating human 87 exposure to phthalates [6]. The target classes of biomarkers in wastewater consist of inorganic and 88 organic chemicals, microbes and other pollutants are summarized in Table 1.

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### 3. Nanobiotechnology enabled sensors

92 Nanobiotechnology merges nanotechnology and biotechnology for applications in life 93 sciences. Research in nanobiotechnology has evolved from molecular imaging techniques and 94 drug delivery into the rapidly evolving area of biosensing applications. Fig. 1 illustrates the basic methodology involved in biosensor development. Biosensors are usually designed and 95 96 implemented after considering potential biomarkers as target analytes for detection and 97 quantification (Fig. 1) The design of sensors, at the basic level, involves (i) the use of a material or combinations of materials with unique properties to make nanocomposites, or 98 99 nanobiocomposites; (ii) the use of recognition elements for target specific binding; and (iii) a 100 signal transduction method (Fig. 1). For nanobiotechnology enabled sensors, indirect sensing 101 platforms using nucleic acid based diagnostic tools (i.e., PCR, LAMP, genome sequencing, 102 CRISPR) are sometimes miniaturized in microfluidic or paper-based chips for analyte detection. 103 For example, Wang et al. detected methicillin-resistant Staphylococcus aureus (MRSA) at 10 fg 104  $\mu L^{-1}$  with a magnetic bead based microfluidic system with integrated LAMP technology for

105 amplification of target MRSA DNA [18]. The target analytes interact with recognition elements 106 (e.g., proteins, aptamers, antibodies, etc.) and generate a detectable signal via a signal transduction 107 method (e.g., optical, electrical, magnetic, etc.). The implementation of biosensors involves one or 108 a combination of different physical, chemical, and biological techniques (Fig. 1). The following 109 sections discuss in detail the detection mechanisms and the latest progress in biosensing 110 applications of sensing platforms using nucleic acid based diagnostic tools, SERS based sensing, 111 and electrical/electrochemical based approaches. Key information on the sensors discussed herein 112 is summarized in Table 2.

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## 4. 'Indirect' Sensor Platforms using Nucleic Acid Based Diagnostic Tools

The robust applicability of biomolecular analyses is appealing for WBE. Nucleic acids extracted from wastewater can provide information on biological identity and function, which can then be used to investigate the prevalence, the spread, and the scale of infectious agents in the sewer catchment. This information can be used as an early warning system for recurrent largescale epidemics. In addition, monitoring the prevalence of ARGs and mobile gene elements (MGEs) in wastewater plays a significant role in keeping track of the spread of antimicrobial resistance (AMR) [19].

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## 124 **4.1 Polymerase chain reaction (PCR)**

PCR-based techniques are the most commonly used and reliable biomolecular analytical 126 127 tools to detect nucleic acids. In brief, PCR uses Tag polymerase to amplify a target DNA strand 128 through replication using multiple thermal cycles. For the detection of RNA, an additional step of 129 reverse transcription (RT) is required. Quantitative PCR (qPCR) has become the gold standard 130 PCR approach as it enables real-time monitoring of gene amplification using an intercalating 131 fluorescence dye that binds to double-stranded DNA. The recent development of droplet digital 132 PCR (ddPCR) that relies upon the partitioning of several PCR reactions into reaction droplets 133 increases the scalability and sensitivity of the PCR platform. It has been reported that ddPCR has 134 better sensitivity and lower probability of false negatives for SARS-CoV-2 detection in clinical 135 samples than qPCR [20].

136 The PCR platform has been successfully used for wastewater surveillance of SARS-CoV-137 2 [21-23]. Curtis et al. compared the concentrations of SARS-CoV-2 RNA in wastewater from 138 grab and 24-hour composite samples using RT-qPCR [23]. The result showed the low variability 139 of SARS-CoV-2 RNA concentrations in wastewater via these two sampling approaches. Pecson 140 et al. found that 80% of recovery-corrected concentrations of SARS-CoV-2 RNA in wastewater 141 across a total of eight sample concentration methods fell within the error of 1.15 log<sub>10</sub> copies/L 142 [21]. This result suggests that with recovery-correction that there was no significant impact of a 143 solid removal step and selection of a concentration method on the measurement. Another study 144 conducted using RT-ddPCR from wastewater treatment plants (WWTPs) in Southeastern Virginia 145 determined that wastewater loading changes arising from the Virginia phase reopening and rainfall 146 events could increase the uncertainty in SARS-CoV-2 surveillance [22].

To monitor the spread of AMR, a variety of ARGs and MGEs in wastewater have been detected using qPCR. For example, five ARGs: *tet*A, *tet*W, *sul*I, *sul*II, *bla*TEM were detected in wastestreams from six WWTPs in different swine farms [24]. Caucci et al. investigated the seasonality of ARG concentrations in wastewater and found higher levels in autumn and winter coincide with higher rates of overall antibiotic prescriptions [25].

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# 4.2 Loop-mediated isothermal amplification (LAMP)

155 LAMP is a simple, rapid, and sensitive biomolecular platform for the detection of nucleic 156 acids. LAMP uses four (or six) different primers that bind to six (or eight) distinct regions of a 157 target DNA fragment for subsequent gene replication using Bst polymerase. LAMP has been 158 shown to have a simpler and higher efficiency of amplification than PCR [26]. Compared to *Taq* 159 polymerase, Bst polymerase is active under various inhibitory conditions. In addition, LAMP can 160 amplify the gene within 30-60 mins at a constant temperature in the 60-70 °C range. Owing to 161 such advantages, LAMP is not constrained by the availability of thermocyclers and is more field-162 deployable than PCR with higher rapidity. Huang et al. reported a colorimetric RT-LAMP 163 approach that was effective for the detection of SARS-CoV-2 RNA in clinical samples, with a 164 detection sensitivity of 80 copies of viral RNA/mL of sample [27]. LAMP was successfully applied 165 for the detection of human specific-mitochondrial DNA (mtDNA) from untreated wastewater in 166 the field (Fig. 2A) [28]. mtDNA is a model population biomarker reflecting the presence of 167 carcinogenesis. The detection limit of LAMP in this study was 40 copies per reaction volume.

Recently, direct detection of SARS-CoV-2 RNA in wastewater was achieved using RT-qLAMP
[29]. The results showed that even in a region with a low number of confirmed cases (e.g., 1-10
per 100,000 people), positive detection was confirmed using RT-qLAMP. This result demonstrates
that LAMP-based detection can directly detect SARS-CoV-2 in wastewater while avoiding viral
concentration and RNA extraction steps.

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# 4.3 Genome sequencing

175 Next generation sequencing (NGS) enables rapid and large-scale whole-genome 176 sequencing that can be applied to sequence WBE targets. Several NGS based platforms have been 177 applied for WBE. Illumina MiSeq provides short read (typically 100-150 base pairs in length) 178 DNA sequencing and data analysis and has enabled metatranscriptomic sequencing of wastewater 179 to investigate SARS-CoV-2 variants [30]. First, the targeted region of SARS-CoV-2 RNA was 180 amplified using RT-PCR and the amplicon was sequenced using Illumina MiSeq with single-181 nucleotide sensitivity. The result illustrates that viral genotypes from wastewater sequencing can 182 provide information about how transmission is occurring in advance of that detected by clinical 183 sequencing.

184 To increase the scalability of NGS, a short DNA fragment (barcode) is attached to the amplified target region of the gene during PCR or other amplification processes. The process, 185 186 called DNA barcoding, allows for easy identification using the barcode library after DNA 187 sequencing. A highly scalable SARS-CoV-2 detection method was introduced using barcoded RT-188 LAMP products, which were sequenced using Illumina MiSeq (Fig. 2B) [31]. Nanopore 189 sequencing is an emerging NGS platform that enables real-time analysis of extremely long-reads 190 of DNA fragments exceeding 20 kilobases (kb) in length. Nanopore sequencing uses multiple-191 nanopore channels in a membrane that is immersed into electrolyte solution where the magnitude 192 of the electric current can be measured. The duration of ion current blockage events induced by 193 passing DNA differs depending upon base identity and can be used in their identification. 194 Recently, a multiplexed highly scalable platform combining LAMP and nanopore sequencing 195 (LAMPore) was developed for detection of SARS-CoV-2 RNA in clinical samples [32]. This 196 platform succeeded in rapid testing of 96 clinical samples in under 2 hours. With the advantage of 197 high scalability and single base-resolution, DNA sequencing techniques have great potential for 198 WBE.

### 199 4.4 Detection using Clusters of regularly interspaced short palindromic repeats 200 (CRISPR)

201 The CRISPR-associated (CRISPR-Cas) system has adaptive immunity against invading 202 nucleic acids. CRISPR-Cas system enzymes (e.g., Cas9, Cas12, Cas13) have been used as 203 nucleases for detection of nucleic acid. Such enzymes are activated upon recognition of target 204 RNA/DNA and engage in collateral cleavage (i.e., indiscriminate cutting) of non-target nucleic 205 acid. A CRISPR-Cas based detection platform, termed Specific High-sensitivity Enzymatic 206 Reporter un-LOCKing (SHERLOCK), was introduced for nucleic acid detection combined with 207 isothermal pre-amplification with Cas13 [33]. The collateral cleavage of reporter RNA (quenched 208 fluorescence linked by sequence of RNA) by activated Cas13 allowed real-time detection of Zika 209 and Dengue viruses. CRISPR-Cas systems have also shown multiplexed detection with orthogonal 210 CRISPR enzymes: PsmCas13b, LwaCas13a, CcaCas13b for ssRNA targets and AsCas12a for 211 dsDNA target (Fig. 2C) [34]. The CRISPR-Cas platforms show high sensitivity for point-care-use 212 detection of Pseudomonas aeruginosa [35] and SARS-CoV-2 [36] using a lateral flow biosensor, 213 implying great potential for WBE targets.

### 214 5. SERS based sensing

215 SERS is a rapidly evolving technique for biosensing applications. In SERS, the inelastic light scattering of a target molecule is greatly enhanced by a factor of up to  $10^{12}$  or higher, thereby 216 217 making single molecule detection a possibility [37]. This phenomenon occurs when target 218 molecules are adsorbed onto plasmonic metal nanoparticles such as gold (Au) or silver (Ag) and 219 enhanced Raman scattering occurs due to the localized surface plasmon resonance (LSPR) of the 220 particles. SERS has gained wide interest due to its ultrasensitive detection limits and relatively 221 simple implementation. Continuous progress in the development of nanocomposite materials and 222 nanolithography have driven forward the development of a wide range of SERS substrates. As a 223 result, SERS based approaches have proven to be robust and reliable for biosensing and 224 environmental sensing applications.

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226 5.1 Liquid SERS techniques

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228 Dried droplets of analytes are still widely used for SERS given their ease of preparation 229 and signal acquisition. However, the drying process can sometimes be detrimental to cells and

230 poses challenges for dynamic studies of particle interactions. SERS of biomolecules in controlled 231 liquid environments, or liquid SERS, is often desired due to greater control over experimental 232 conditions, cell viability, and the study of physical, chemical, and plasmonic interactions between 233 target molecules and SERS probes. Previous studies have demonstrated high SERS signal 234 intensities for liquid SERS platforms with low Raman background. Liquid SERS has been quite 235 effective for detection of both Gram negative (Escherichia coli and Serratia marcescens) and 236 Gram positive (Staphylococcus aureus and Staphylococcus epidermidis) bacteria using Au 237 nanorod probes (Fig. 3A) [38]. The use of SERS reporter molecules, such as malachite green 238 isothiocyanate (MGITC) or 4-(1H-pyrazol-4-yl)-pyridine (PPY), is often done to tag target 239 molecules with a unique label [37]. SERS spectra of adenovirus, rhinovirus, and human 240 immunodeficiency virus (HIV) were collected previously by dropping small volumes (0.5-1  $\mu$ L) 241 of these viruses on a substrate consisting of Ag nanorod arrays [39]. A SERS-based aptasensor was developed by functionalizing colloidal AgNPs with olegonucleotides for detection of SARS-242 243 CoV-2 in water at  $5.5 \times 10^4$  TCID50/mL level [40]. A portable handheld Raman system was used 244 to detect influenza A virus using 10 µL of sample in water applied to Ag nanorod substrates [41].

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## 5.2 Paper-based SERS sensors

Cellulose paper-based nanomaterials are often used as SERS substrates. The flexible and porous structure of paper-based substrates enables fabrication of plasmonic nanostructures and induces interaction with a wide range of analytes. Properties such as high tensile strength, biocompatibility, and the low cost of paper substrates allow for development of cost-effective and widely applicable biosensors.

251 Paper based SERS sensors can be differentiated based on direct contact and flow-based 252 measurements. Direct contact-based SERS sensors have nanostructures that are either synthesized 253 within the paper or post-decorated onto the paper surfaces [42]. For a deposited droplet on the 254 substrate or a substrate submerged into sample solution, target molecules interact with the 255 nanostructures and SERS signals are generated. However, for wastewater matrices where different 256 types of contaminants (e.g., metals, organics, microbes, etc.) are present, paper sensors can be 257 functionalized with specific recognition elements (e.g., proteins, antibodies, aptamers) for specific 258 binding and detection [4]. Recently, SARS-CoV-2 spike proteins were detected at the  $\sim 250$  nM 259 level by applying 10 µL of sample to oligonucleotide aptamers and Ag colloids immobilized onto 260 polytetrafluoroethylene (PTFE) membrane filters [43]. In addition, Au coated polyethylene

naphthalate (PEN) polymer substrate have been modified with aptamer DNA for detection of
influenza A H1N1 virus at a 97 PFU/mL detection limit [44].

263 Lateral flow and vertical flow assays are commonly used in paper-based SERS sensors. 264 Typically, samples are loaded onto a sample pad and flow, via capillary force, towards the 265 conjugation pad, where the target molecules interact with SERS probes (Fig. 3B) [45]. The target 266 molecule-SERS probe complex is captured by recognition elements on the test line and the 267 acquired SERS signals can be used for quantification. Unlike direct contact mode, flow-based 268 SERS devices do not embed nanostructures on the surface of the paper devices. Instead, the 269 nanoparticles are initially prepared and modified with a recognition element for specific binding 270 to the analytes and then labelled with a reporter molecule for readout. The obtained SERS signals 271 arise from the Raman reporter rather than the analytes. The Raman reporter and the recognition 272 element enable high sensitivity and specificity, respectively. In addition, multiple analytes can be 273 detected in one analysis run by immobilizing different recognition elements and Raman reporters 274 [46].

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## 6 **5.3 SERS microfluidic sensors**

Microfluidics, which integrates all analytical procedures on a chip, offers numerous 278 279 advantages, such as low sample consumption, precise control, fast response, and high efficiency. 280 Continuous flow platforms and segmented flow platforms are the two most common categories of 281 SERS microfluidic sensors. One type of continuous flow platform is a built-in nanostructured 282 microfluidic device, which consists of an inlet, an outlet, and pre-created nanoarrays within the 283 microchannels. After the analytes are injected into the channels, the highly-designed plasmonic 284 nanostructures specifically bind to the target analytes for SERS detection. This setup has been 285 applied successfully as an effective disease-monitoring system (Fig. 3C,3D) [47]. Another 286 commonly used technique is colloidal nanoparticle-based microfluidics, where mixing between 287 the analytes and nanoparticles is the greatest challenge. Passive and active mixers are usually 288 introduced to enhance the mixing process. The design of micromixers has been described in detail 289 previously [48]. In a segmented flow platform, the flow of the mixed sample and nanoparticles is 290 separated by an immiscible fluid or gas phase. Segmented flow in microfluidics has multiple 291 advantages, such as increased interfacial area, enhanced mixing, and minimal sample dosage. The 292 microchannel in segmented flow microfluidics can be made hydrophobic to minimize sample

retention and effectively decrease cross-contamination. By encapsulating single prostate cancer cells and SERS nanoprobes in water-in-oil droplets, we previously identified cell-to-cell and intracellular variability in the expression of glycans on the cell membrane [49]. An Au-Ag coated GaN substrate in a microfluidic device was modified with antibodies for SERS detection of hepatitis B virus antigen at 0.01 IU/mL [50].

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# 5.4 Magnetic separation and SERS detection

300 301 Magnetically assisted SERS employs magnetic nanomaterials to capture, isolate, and 302 enrich target molecules that can be interrogated using SERS nanoprobes. The surface of magnetic nanoparticles can be functionalized using inorganic materials (e.g., Au, Ag, etc.) or analyte specific 303 304 biomolecules (e.g., antibodies, proteins, DNA, etc.), which enables the design of magnetic SERS 305 tags of a wide range of properties. Iron-based nanoparticles (e.g.,  $Fe^0$ ,  $Fe_3O_4$ ,  $\gamma$ - $Fe_2O_3$ ) are widely 306 used as magnetic nanomaterials for biosensing applications due to their ease of synthesis and 307 biocompatibility. Recently, Wang et al. used Ag coated Fe<sub>3</sub>O<sub>4</sub> (Ag@Fe<sub>3</sub>O<sub>4</sub>) nanoparticles as 308 magnetic SERS tags in a SERS based lateral flow immunoassay (LFIA) for ultrasensitive detection 309 of influenza A H1N1 virus (up to 50 PFU/mL) and human adenovirus (up to 10 PFU/mL) (Fig. 310 **3**E) [46] Functionalized magnetic nanoparticles are often used to specifically bind to the target 311 (i.e., bacteria, virus, ARGs) in solution and the target-NP conjugate can be isolated via a magnetic 312 field. Furthermore, Au or Ag nanoparticles can be combined with magnetic particles to form a 313 sandwich-type SERS assay for biosensing [37]. 314

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# 6. Electrical/combined approaches to sensing

317 Electronic biochemical sensors are devices that transduce signals arising from target 318 molecules in the biochemical system into electrical signals [51]. Compared with spectroscopic 319 sensing techniques, electrical biosensing can be performed with simple and portable 320 instrumentation that requires only low power and are easy to operate, thus enabling on-site sensing 321 capability. Electrical measurements are unaffected by factors such as sample turbidity or 322 interference from fluorescing compounds, which can significantly impact spectroscopic data 323 quality. In the last two decades, the use of nanoscale electronic transducers such as noble metal 324 nanoparticles, silicon nanowires, and carbonaceous nanomaterials (graphene, carbon nanotubes)

325 have enabled ultrasensitive and selective detection of target molecules due to the unique intrinsic 326 properties of the nanomaterials employed [51]. These properties include 1) a high surface to 327 volume ratio enabling superior physical and electronic properties, 2) size compatibility with 328 biomolecules, and 3) easy and stable surface functionalization of the nanomaterial surface for 329 biochemical sensing [52,53]. Here we cover two prominent electrical biosensing techniques: field 330 effect transistors (FETs) and electrochemical sensors and we will discuss the possibility of 331 combining electrochemical and spectroscopic modalities in a single platform for the detection of 332 target analytes using WBE.

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# 6.1 Field effect transistor (FET) sensing

335 FET nanosensors rely upon measurement of the change in conductance that occurs upon 336 binding of a target analyte to a nanoscale transducer [52]. FET nanosensors are functionalized with 337 a recognition element (antibodies, aptamers) that selectively bind to the target molecules in the 338 biochemical system. Due to the electrostatic charge possessed by the trapped target molecule, the 339 charge at the FET surface is tuned which leads to a change in carrier density. Accordingly, 340 molecular binding events tune the electrical conductivity, which can be monitored in real time 341 enabling ultrasensitive and selective detection capability [52]. The applicability of FET 342 nanosensors for biomarker detection has been described previously. For example, Seo et al 343 demonstrated a FET nanobiosensor using graphene transducers modified with an antibody specific 344 for the SARS-CoV-2 spike protein. SARS-CoV-2 in clinical samples was detected with a detection limit of  $2.42 \times 10^2$  copies/mL (Fig. 4A) [54]. Despite the success of FET nanosensors for 345 346 ultrasensitive and selective detection of target analytes, their potential remains underexplored for 347 WBE due to potential limitations such as the Debye screening effect in physiological environments. 348

### 349 6.2 Electrochemical sensing

350 Electrochemical sensors measure voltage or current changes that occur due to an electron 351 transfer reaction between the electrode surface and a target analyte or intermediate. The emergence 352 of nanostructured electrode surfaces has enabled ultrasensitive detection of target analytes with 353 long-term operational stability [53]. Different electrochemical analytical methods can be used for the transduction of target analytes including: 1) Voltammetric or amperometric methods that measure the change of current by techniques (e.g., cyclic voltammetry (CV) and differential pulse voltammetry (DPV)), and 2) impedimetric methods that measure the change in impedance by electrochemical impedance spectroscopy (EIS). Several electrochemical sensors with nanostructured electrode surfaces functionalized with recognition elements have already been developed for the detection of population and health biomarkers via WBE [4].

360 As noted previously, paper based electrochemical devices have recently gained attention 361 because of the attractive properties of paper [55]. Paper based electrochemical sensors have been 362 demonstrated in the literature for the detection of health biomarkers (e.g., dopamine), inorganic 363 toxic contaminants (e.g., Pd and Cd in sea water) and organic toxic contaminants (e.g., nerve 364 agents in wastewater) [55]. Recently, a paper based electrochemical sensor chip made of graphene 365 and gold nanoparticles conjugated with antisense oligonucleotides was developed for the rapid 366 detection of SARS-CoV-2 viral RNA with a detection limit of 6.9 copies/µL (Fig. 4B) [56]. These 367 portable, disposable, and low-cost paper based electrochemical sensing platforms with 1) 368 nanoscale electronic transducers for ultrasensitive and selective sensing and 2) integrated 369 microfluidics for sample processing have huge potential for on-site detection of target molecules 370 via WBE.

## 371 6.3 Spectroelectrochemical (SEC) sensing

372 Both electrochemical and spectroscopic sensing approaches have demonstrated highly 373 sensitive and selective detection of target analytes. However, combining the two methods in a 374 single platform, SEC sensing, can enable unique advantages [56]. First, access to complementary and uncoupled information is provided from the two sensing modalities, which neither of the 375 376 respective techniques provides in isolation, thus leading to a richer set of data [57]. Second, the 377 interaction between the target molecules and the metallic transducers can be regulated via changing 378 the electrochemical potential to improve the performance of the spectroscopic sensing modality. 379 For example, electrochemical SERS (EC-SERS) devices, where electrochemical potentials are 380 applied on the metallic surface of the SERS substrates, have demonstrated improved sensing 381 performance relative to conventional SERS substrates due to electrode potential dependent 382 changes at the metal-molecule interface, including: 1) electrostatic adsorption of low-affinity 383 target molecules, 2) potential dependent orientation of adsorbed molecules for the alignment of 384 the vibration modes and local plasmonic fields, and 3) the photon-driven charge transfer 385 enhancement between the metal structure and adsorbed molecule [58]. Various spectroscopic 386 techniques such as SERS and surface enhanced infrared absorption spectroscopy (SEIRAS) have 387 been combined with electrochemistry for the detection of DNA, proteins, bacteria, and health 388 biomarkers (e.g., uric acid, 6-thiouric acid) [57]. For example, Au nanodot modified indium tin 389 oxide (ITO) substrates were used for SEC detection of hepatitis C virus-RNA at 264.5 IU/mL [59]. 390 A SEC immunoassay was developed using primary antibodies to capture the hemagglutinin (HA) 391 protein from the H5N1 avian influenza A virus [60]. Then methylene blue-labeled secondary 392 H5N1 antibodies were adsorbed to the target for sub picomolar detection using a single-mode, 393 electro-active, integrated optical waveguide (SM-EA-IOW) device [60].

394 SEC sensing remains an evolving field and improved understanding of the SEC 395 mechanisms and further exploration of the various SEC techniques for sensing applications is 396 required. With further development, SEC sensing techniques such as EC-SERS, that provide 397 synergistic electrochemical and spectroscopic information with high detection sensitivity, can be 398 successfully implemented for the monitoring of target analytes via WBE.

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### 400 **7. Conclusions and future directions**

401 Nanobiotechnology enabled sensors offer great advantages, such as miniaturization of the 402 detection assay, multiplex detection, and device portability. This review highlighted the rapidly 403 expanding research on indirect sensing methods using nucleic acid based diagnostic tools, and 404 methods based on signal transduction, such as optical and electrochemical signals. Key 405 information on the various sensing platforms is presented in **Table 3**, which summarizes their 406 applicability for WBE applications. For efficient operation in inhibitory conditions presented in 407 complex sample matrices (e.g., wastewater, biofluids, etc.), target specific recognition elements 408 are often used to modify biosensors (Table 2). Furthermore, deployment of biosensors based on a 409 specific detection technique or combining multiple techniques can be used for reliable detection 410 and monitoring of biomarkers in the complex environments of water and wastewater systems. The 411 simplicity and reliability of these methods offer great potential for future application in WBE.

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The disruption to public health and health care systems around the world caused by the COVID-19 pandemic has shown the importance of early detection and diagnosis of public health 415 outbreaks. Improved monitoring of biomarkers in wastewater networks is necessary for 416 maximizing the benefits of WBE. Nanobiotechnology enabled sensing platforms have great 417 potential for the development of field deployable point-of-use (POU) sensor networks for realtime monitoring of biomarkers in wastewater. However, there remains challenges for 418 419 implementation. Biosensors need further development to operate with increased efficiency, 420 multiplex-functionality and flexibility in the complex matrix of wastewater where there are 421 different types of biomarkers present. The nano and biomaterials required for sensor design need 422 to be stable in all operating and storage conditions to ensure proper functioning of the biosensors. 423 There needs to be standardized and established analytical procedures for detection of analytes to 424 endure reproducibility and reliability of methods. Further research and development to overcome 425 these challenges are necessary to ensure wide implementation of biosensors in real-world 426 environments.

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WBE targets	Representative contaminants	Ref
Inorganic ions		[7,8]
heavy metals ions	Cd, Cr, Cu, Hg, Ni, Pb, Zn	
nonmetallic ions	sulfate, phosphate, chloride, perchlorate, nitrate, nitrite, fluoride, arsenate	
Organic chemicals		[9-11]
pesticides	atrazine, carbendazim, diazinon, diuron, glyphosate, isoproturon	
pharmaceuticals and personal care products (PPCPs) endocrine	ibuprofen, caffeine, ciprofloxacin, metronidazole, musk ketone, triclosan, octocrylene	
disruptors compounds (EDCs)	estrone, bisphenol A, progesterone, estriol, 17-β-estradiol	
polycyclic aromatic hydrocarbons (PAHs)	anthracene, acenaphthene, fluoranthene, fluorene, naphthalene	
surfactants	linear alkylbenzene, secondary alkane sulfonate, alkyl sulfate, perfluorooctanoic acid	
Industry emitted	acridine orange, Sudan I, neutral red, methylene blue,	
synthetic dyes	rhodamine B, malachite green	
Pathogens and		[5,12-15]
biomolecules		
Microorganisms	<i>Escherichia coli</i> , fecal coliforms, <i>Legionella spp</i> ., antibiotic resistant bacteria	
Viruses	coronavirus, adenovirus, noroviruses, hepatitis A virus, sapovirus	
pathogenic genetic material	pathogenic DNA/RNA	
Antibiotic resistance genes	blaKPC, blaSHV, ermB, mefAE, sul1, vanA, intI1	
Other chemicals		[16,17]
disinfection by products (DBPs) microplastics	trihalomethanes, haloacetic acids, haloacetonitriles, haloacetamides	

**Table 1**. Main classes and representatives of WBE targets.

Type of biomarker	<b>Recognition element</b>	Output Signal	Sample Matrix	Limit of detection (LOD)	Ref.
Bacterial (MRSA) DNA	Aptamer	Optical/magnetic	Clinical sample	10 fg/µL	[18]
Viral RNA (SARS-CoV-2)	Aptamer	Optical	Clinical sample	-	[20, 31]
Viral RNA (SARS-CoV-2)	Aptamer	Optical	Wastewater	14.6, 2, and 2.18 copies/20 µL for SARS-	[22]
				CoV-2 N1, N2, and N3	
Viral RNA (SARS-CoV-2)	Aptamer	Optical	Wastewater	58 copies/100 mL	[23]
DNA (ARGs)	Aptamer	Optical	Wastewater	-	[24-25]
Viral RNA (SARS-CoV-2)	Aptamer	Optical	Clinical sample	80 copies/mL	[27]
DNA (mtDNA)	Aptamer	Optical	Wastewater	40 copies/20 μL	[28]
Viral RNA (SARS-CoV-2)	Aptamer	Optical	Wastewater	-	[29-30]
Viral RNA (SARS-CoV-2)	Aptamer	Electrical	Clinical sample	-	[32]
Bacteria (P. aeruginosa)	Aptamer	Optical	Cell medium extracts	1 CFU/mL	[35]
Viral RNA (SARS-CoV-2)	Aptamer	Optical	Clinical sample	10 copies / 10 µL	[36]
Antibiotic Resistant Bacteria	Antibody, protein	Optical/magnetic	DI water	$10^1 \mathrm{CFU/mL}$	[37]
Bacteria	Nanomaterial (Au nanorods)	Optical	DI water	-	[38]
Virus (adenovirus,	Nanomaterial (Ag nanorod	Optical	DI water	100 PFU/mL	[39]
rhinovirus, and HIV)	arrays)				
Viral RNA (SARS-CoV-2)	Aptamer	Optical	DI water	$5.5 \times 10^4 \text{ TCID}_{50}/\text{mL}$	[40]
Viral protein (SARS-CoV-2)	Aptamer	Optical	DI water	250 nM	[43]
Virus (H1N1)	Aptamer	Optical	DI water	97 PFU/mL	[44]
Protein biomarker	Antibody	Optical	Blood plasma	0.86 ng/mL	[45]
Virus (H1N1, adenovirus)	Antibody	Optical/magnetic	PBS, blood,	50 PFU/mL (H1N1),	[46]
			serum, and sputum	10 PFU/mL (adenovirus)	
Virus (H5N2, HPIV 3)	Aligned carbon nanotube	Optical	Clinical sample	$10^2 \text{ EID}_{50}/\text{mL}$ (50% egg infective dose	[47]
				per microliter)	
Human prostate cells	Wheat germ agglutinin	Optical	Cell medium	-	[49]
Virus (Hep B)	Antibody	Optical	Human blood plasma	0.01 IU/mL	[50]
Virus (SARS-CoV-2)	Antibody	FET	Culture medium and	$1.6 \times 10^1$ PFU/mL in culture medium,	[54]
			clinical samples	$2.42 \times 10^2$ copies/ml in clinical samples	
Viral RNA (SARS-CoV-2)	DNA probe	Electrochemical	Clinical sample	6.9 copies/µL	[56]
Viral RNA (Hep C)	Peptide	SEC	10 mM PBS	264.5 IU/mL	[59]
Viral protein (H5N1)	Primary and secondary	SEC	Clinical samples	4 ng/mL, or 77 pM	[60]
	antibodies				

**Table 2.** Summary of previous studies on the application of biosensors.

Technique	Advantages	Disadvantages	Potential for WBE Applications	Challenges in implementation	Ref.
Indirect sensing (PCR, LAMP, genome sequencing and CRISPR)	Most commonly used for detecting nucleic acids; Precise and sensitive detection; Established protocols and standards.	Require centralized facilities, specialized equipment, and trained personnel; High cost; Time	Established methods for nucleic acid detection; Detection of SARS-CoV-2 RNA; Analysis of complex matrices (e.g., wastewater, biofluids).	False negatives; Interpretation of findings in terms of disease propagation and human health risks; Variability of strains in samples vs reference strains.	[20], [26], [36], [61]
SERS based sensing (liquid SERS, paper- based SERS, microfluidic SERS, magnetic SERS)	Rapid, highly sensitive and low-cost detection; Wide range of SERS nanotags are already available; Great potential for field deployment.	Requires plasmonic substrates; Nanomaterial and SERS tag orientation induce large variability in scattering response.	Single molecule detection capability; Detection at environmentally relevant concentrations; Low-cost SERS active substrates for wastewater monitoring; Field diagnosis using handheld Raman systems.	Heterogeneity of SERS substrates; Weak SERS signals and similarity of SERS profiles of biomolecules require additional data analysis; Reproducibility, detection at sub nanomolar concentrations in complex media (e.g., wastewater, biofluids).	[37], [42], [62]
Electrical approaches (FET sensing, electrochemical sensing)	Rapid, highly sensitive, low cost and real-time detection; Simple and portable instrumentation; Electrical signals unaffected by factors such as sample turbidity or interference from fluorescing compounds.	Low stability and reproducibility in physiological environments; Reduced sensitivity and specificity due to non-specific adsorption of interfering species.	Detection at environmentally relevant concentrations; Easy lab on a chip integration due to low power requirements; Portable instrumentation and compatibility with microfabrication technology for on-site analysis; Real-time detection with simple operation.	Operation in complex media (e.g., wastewater, biofluids) has several challenges including non- specific adsorption of interfering molecules, Debye screening effect in FET nanosensors, and stability of electrochemical signals under changing physiological conditions.	[51], [52], [53], [63]
Combined approaches (SEC sensing)	Highly sensitive and selective due to simultaneous acquisition of complementary electrochemical and spectroscopic data; Improved spectroscopic modality (e.g., SERS).	Requires advanced understanding of SEC mechanisms for accurate data interpretation; Incident light beam can affect the electrochemical results.	Single molecule detection capability; Overlapping signals of interfering molecules can be resolved using complementary data allowing detection in complex media (e.g., wastewater, biofluids).	Reproducibility of devices (e.g., EC-SERS substrates); Complex data interpretation and analysis; Improvement and miniaturization of instrumentation for on-site analysis	[57], [58], [64]

**Table 3.** Summarized key information on the applicability of different sensing platforms.



653	Fig. 1. Schematic illustration of the components involved when designing nanobiotechnology-
654	enabled sensors. At first, the potential biomarker of interest is selected for detection. Next comes
655	the sensor design step. The design of biosensor involves the selection of core materials, target
656	specific recognition elements and one or more signal transduction methods. The nucleic acid based
657	diagnostic tools can be applied for both indirect sensing using a separate instrument (e.g.,
658	amplification of target genes for subsequent detection), or direct sensing by incorporating the tools
659	into the sensor platform. Finally, sensor is deployed using an implementation technique. (image
660	created with <u>BioRender.com</u> )





Fig. 2. (A) The workflow of extraction and detection of the genomic population biomarker, 667 mtDNA, in wastewater using LAMP and lateral flow device (Reprinted with permission from 668 [28]); (B) The illustration of the highly scalable detection of SARS-CoV-2 in the swab samples 669 using Illumina sequencing of combinatorial RT-LAMP-PCR barcoded amplicons (Reprinted with 670 671 permission from [31]); (C) Four-channel multiplexed CRISPR-Cas system for detection of nucleic acids with orthogonal CRISPR enzymes: PsmCas13b, LwaCas13a, CcaCas13b, and AsCas12a for 672

dsDNA target (Reprinted with permission from [34]). 673

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Fig. 3. (A) Detection of bacteria using a liquid SERS platform (Reprinted with permission from 678 679 [38]); (B) Illustration showing the detection of the protein biomarker, neuron specific enolase (NSE) in blood plasma using a paper based lateral flow strip (PLFS) immunoassay (Reprinted with 680 681 permission from [45]); (C) a microfluidic platform for the capture of avian influenza A viruses 682 from clinical samples and rapid label-free SERS identification (Reprinted with permission from 683 [47]); (D) The captured viruses on the chip are (i) immunostained, then (ii) propagated via cell culture and are finally (iii) genome sequenced for identification of subtypes (Reprinted with 684 permission from [47]); (E) Application of a SERS based lateral flow immunoassay (LFIA) for 685 686 detection of Influenza A H1N1 virus and human adenovirus (Reprinted with permission from 687 [46]).

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Fig. 4. (A) The illustration of the detection of SARS-CoV-2 via FET nanobiosensors with 692 graphene transducers modified with an antibody specific for the SARS-CoV-2 spike protein 693

694 (Reprinted with permission from [54]); (B) The illustration of the rapid detection of SARS-CoV-695 2 viral RNA using an electrochemical sensor made of graphene and gold nanoparticles modified

with antisense oligonucleotides (Reprinted with permission from [56]). 696