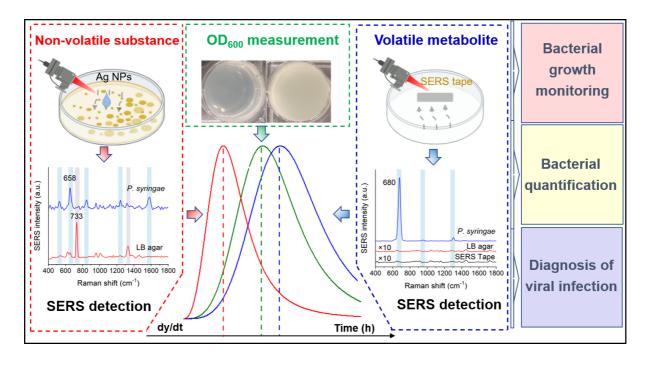
1	Surface enhanced Raman spectroscopy (SERS) of bacterial
2	metabolites for bacterial growth monitoring and diagnosis
3	of viral infection
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5	Wei Wang, <sup>†‡</sup> Seju Kang, <sup>†‡</sup> and Peter J. Vikesland $*^{\dagger \ddagger}$
6	
7	<sup>†</sup> Department of Civil and Environmental Engineering, Virginia Tech, Blacksburg, Virginia
8	24061, United States
9	<sup>‡</sup> Virginia Tech Institute of Critical Technology and Applied Science (ICTAS) Sustainable
10	Nanotechnology Center (VTSuN), Blacksburg, Virginia 24061, United States
11	
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14	*Corresponding Author: Peter J. Vikesland; Email: pvikes@vt.edu.

16 ABSTRACT: Bacterial metabolites are intermediate products of bacterial metabolism and 17 their production reflects metabolic activity. Herein, we report the use of surface enhanced Raman spectroscopy (SERS) for detection of both volatile and non-volatile metabolites and the 18 19 application of this approach for bacterial growth quantification and diagnosis of viral infection. 20 The time dependent SERS signal of the volatile metabolite dimethyl disulfide (DMDS) in the 21 headspace above bacteria growing on an agar plate was detected and quantified. In addition, 22 SERS signals arising from the plate reflected nutrient consumption and production of non-23 volatile metabolites. The measurement of metabolite accumulation can be used for bacterial 24 quantification. In the presence of bacteriophage virus, bacterial metabolism is suppressed, and 25 the relative decrease in SERS intensity reflects the initial virus concentration. Using 26 multivariate analysis, we detect viral infection with a prediction accuracy of 93%. Our SERS 27 based approach for metabolite production monitoring provides new insight towards viral 28 infection diagnosis.

29

30 KEYWORDS: Surface enhanced Raman spectroscopy, detection, metabolite, bacteria, virus
 31 SYNOPSIS: Surface enhanced Raman spectroscopy enables monitoring of volatile and non 32 volatile bacterial metabolites, the quantification of bacterial growth, and diagnosis of viral
 33 infection.

34 Graphical abstract:



#### **36 INTRODUCTION**

37 Bacterial metabolites, the intermediate products of bacterial metabolism, reflect the growth, development, and interactions of bacteria with their environment.<sup>1-3</sup> Bacterial metabolites 38 39 include both soluble and volatile compounds and some of them are highly specific to a given bacterial species.<sup>4</sup> The types and concentrations of metabolites produced are often affected by 40 the environment surrounding a bacterial cell.<sup>5,6</sup> For example, quorum sensing bacteria produce 41 and release autoinducer signaling molecules that regulate gene expression in response to 42 population density fluctuations;<sup>7</sup> and many bacteria produce bacteriocins (e.g., colicin<sup>8</sup>) to 43 control competing species densities when hunting for nutrients or space.<sup>4</sup> Metabolite sensing 44 45 is considered an important requirement for controlling microbial growth and improving disease diagnosis.9,10 46

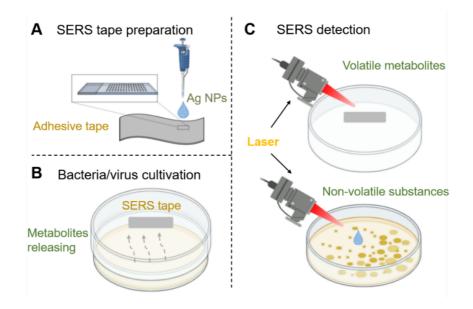
47 Many bacterial metabolites are low molecular weight compounds and accordingly gas 48 chromatography-mass spectrometry (GC-MS), liquid chromatography-MS (LC-MS) and their derivatives are often used for metabolite detection.<sup>11-14</sup> However, despite their accurate and 49 50 robust utility, these conventional methods are complex, time-consuming, and expensive and 51 are inappropriate for rapid point of care or real time measurements. Recently, colorimetric and electrochemical methods have been developed to enable precise *in-situ* metabolite detection.<sup>15-</sup> 52 <sup>19</sup> These newer detection methods are often designed for targeted sensing that may hinder their 53 54 capacity to detect unknown chemical species within complex metabolite mixtures. Accordingly, 55 new strategies for the detection of bacterial metabolites are attracting significant attention.

56 Surface-enhanced Raman spectroscopy (SERS) is an ideal approach for biological sensing 57 due to its high sensitivity, real time response, and capacity for molecular fingerprinting.<sup>20,21</sup> 58 The Raman scattering of an analyte can be significantly enhanced when it is located within the 59 strong electromagnetic field generated by the localized surface plasmon resonance (LSPR) of 60 plasmonic nanoparticles.<sup>22</sup> SERS can be used to capture spectral fingerprints of biomolecules

without the use of labels and can achieve ultrahigh sensitivity.<sup>23</sup> Recently, a number of 61 researchers have used SERS for bacterial metabolite detection.<sup>24-27</sup> SERS substrates can either 62 be placed near bacteria to evaluate intracellular or local extracellular metabolites<sup>27</sup> or can be 63 placed in the headspace to detect gaseous metabolites.<sup>28-30</sup> In-situ SERS of signaling 64 65 metabolites has been obtained using purposely designed substrates to understand both intraspecies communication<sup>27,31</sup> as well as interspecies interactions within complex microbial 66 communities.<sup>32,33</sup> Besides end-point detection, time dependent SERS signals can provide real 67 time information about metabolite production, which can then be used to define metabolic 68 activity.<sup>30,34</sup> These studies have collectively shown that SERS is a promising means for 69 70 metabolite sensing. However, up until now, research has focused more on metabolite detection 71 and only a few papers monitoring of bacterial growth or metabolite quantification.<sup>27</sup>

Since metabolic activities can be affected by environmental conditions, SERS metabolite signals can be used to examine the effects of external stimuli such as antibiotic treatment.<sup>35,36</sup> Bacteriophage viruses are well-recognized elements to bacterial mortality.<sup>37</sup> Following bacteriophage infection, the host bacterial metabolism is altered in favor of viral replication and in the case of lytic viruses may ultimately result in bacterial cell rupture. For this reason, bacteriophages have been suggested as an alternative means of bacterial inactivation in lieu of antibiotics.<sup>38,39</sup>

In the present study, we report that bacterial metabolite SERS signals can be used for bacterial growth monitoring and the diagnosis of viral infection. Instead of focusing on one or two representative metabolites as done previously, we simultaneously detected both volatile and non-volatile metabolites. To do so, we prepared a low-cost plasmonic substrate that could be inverted inside the cover of a Petri dish to passively capture volatile metabolites formed during bacterial cultivation (**Scheme 1**). To detect non-volatile substances, colloidal nanoparticles were directly pipetted onto the culture plate. The collected SERS signals of the metabolites were used to monitor bacterial growth and for bacterial quantification. To diagnose viral infection, variable virus titers were added to the bacterial culture, the resulting SERS signals were recorded, and multivariate analysis was used for classification. The lytic bacteriophage Phi6, a surrogate for enveloped viruses, such as SARS-CoV-2 and influenza virus,<sup>40-42</sup> and its host bacteria *Pseudomonas syringae* (*P. syringae*) were used in these proofof-concept studies. The approach described in this work, based on SERS monitoring of bacterial host metabolites, provides new insight into viral infection diagnosis.



93

94 Scheme 1. Schematic illustration of (A) SERS substrate preparation; (B) bacterial cultivation
95 with/without virus; (C) SERS detection for both volatile and non-volatile metabolites.
96

## 97 EXPERIMENTAL SECTION

98 Materials. Silver nitrate (AgNO<sub>3</sub>, 99%), hydroxylamine hydrochloride (NH<sub>2</sub>OH·HCl, 99%),

99 sodium hydroxide (NaOH,  $\geq$  98.0%), dimethyl disulfide (DMDS,  $\geq$  99%), and 4-

100 mercaptobenzoic acid (4-MBA, 99%) were purchased from Millipore Sigma. Luria-Bertani

- 101 (LB) broth (Miller, powder) and agar (Difco, granulated) were obtained from Fisher Scientific.
- 102 The adhesive tape (Scotch double sided adhesive tape) was purchased from the local market.
- 103 Bacterial stains Pseudomonas syringae pv phaseolicola (P. syringae), Escherichia coli K 12
- 104 (E. coli), and bacteriophages Phi6, MS2 were obtained from Dr. Linsey Marr's group.<sup>40,43</sup>

105 Deionized water from a Milli-Q-plus system was used throughout this work.

106 **Synthesis of colloidal silver nanoparticles (AgNPs) and SERS substrate.** The colloidal 107 suspension of AgNPs was synthesized via a facile and fast preparation method at room 108 temperature.<sup>44</sup> Briefly, 9 mL of 2 mmol/L freshly prepared NH<sub>2</sub>OH·HCl solution (containing 109 3 mmol NaOH) was mixed with 1 mL of 10 mmol/L AgNO<sub>3</sub> in a centrifuge tube. The mixture 110 was then vortexed for 1 min to achieve complete mixing. During the process, the solution was 111 turned to yellow/greenish. The prepared colloidal AgNPs were stored in the dark at 4 °C for 112 future use.

113 The low-cost SERS tape substrate was fabricated by depositing AgNPs on adhesive tape 114 as reported in the literature.<sup>45</sup> Generally, 50  $\mu$ L of the as-prepared AgNPs were uniformly 115 pipetted on the surface of the adhesive tape. After being dried naturally at room temperature, 116 AgNPs were readily immobilized on the tape without other modifications and the aggregation 117 of the nanoparticles generated abundant SERS hot spots, where the highest SERS enhancement 118 occurs.<sup>46</sup>

119 Bacterial cultivation and viral propagation. Before cultivation, LB medium was prepared 120 by dissolving LB broth (containing 10 g of tryptone, 5 g of yeast extract, and 10 g of NaCl) in 121 1 L water. LB agar plates were made by adding 1.5% agar to the prepared LB medium, autoclaved, and then poured into glass Petri dishes before cooling down. Bacterial strain P. 122 123 syringae was steaked onto the LB agar plates and incubated at 25 °C for 48 h. A single colony 124 was transferred to 15 mL of autoclaved LB medium and incubated at 25 °C with agitation (200 125 rpm) for 24 h. The obtained *P. syringae* suspensions were diluted in series and inoculated into 126 LB agar plates and the concentration was obtained by counting colony forming units (CFU) 127 after cultivating for another 48 h.

128 To propagate Phi6, LB soft agar (LB medium with 0.75% agar) was prepared and 129 autoclaved. An aliquot of 4 mL of LB soft agar was pipetted to each culture tube and kept in a 130 50 °C water bath. Then, 200 µL of the P. syringae suspensions and 50 µL of Phi6 stock were added to the culture tubes. The mixture was poured on top of a LB agar plate and cultured at 131 25 °C for 24 h. Phi6 was harvested by scraping soft agar from the plate into a 50 mL centrifuge 132 133 tube with 5 mL of LB medium. The tube was vortexed and centrifuged for 10 min at 1753×g. 134 Bacterial cells and debris were removed from the Phi6 suspension by filtering through a 0.22 µm cellulose acetate membrane. Plaque forming units (PFU) were counted by repeating the 135 136 abovementioned procedure with different Phi6 dilutions. E. coli and MS2 were cultured with 137 the same procedures but at 37 °C.

138 SERS monitoring of bacterial metabolites. The SERS tape was inversely attached inside the 139 cover of a *P. syringae* containing glass Petri dish. The plate was sealed by parafilm and the 140 released volatile metabolites were captured by the substrate. After 24 h incubation, the SERS 141 signals were recorded. The SERS signals of non-volatile substances were obtained by directly 142 pipetting 5 µL of prepared AgNPs onto the culture plate. To evaluate metabolite release and 143 cell growth, the bacterial optical density  $(OD_{600})$  was measured at 10 min intervals and the 144 SERS signals were recorded at 3 h intervals over a 24 h period. To diagnose viral infection, 50 µL of Phi6 (107 PFU/mL or diluted) was pre-added to 200 µL of 109 CFU/mL P. syringae 145 146 suspension. SERS data were then collected after 24 h incubation. All the other procedures were 147 as stated previously.

**Instrumentation.** A field-emission Quanta 600 FEG environmental scanning electron microscope (SEM) was used to observe the morphologies of AgNPs and SERS Tape. Ultraviolet-visible (UV-Vis) spectrophotometer (Cary 5000, Agilent) and dynamic light scattering instruments (DLS, Zetasizer Nano ZS) were used to obtain the UV-Vis spectrum and hydrodynamic diameter of AgNPs, respectively. A microplate reader (Multi-mode, Synergy<sup>TM</sup> HTX) was adapted to measure OD<sub>600</sub>. WITec alpha500R Raman spectrometer (WITec GmbH, Ulm, Germany, spectral resolution =  $\sim$ 3.5 cm<sup>-1</sup>) with a 785 nm laser and a 10× confocal microscope objective was used to obtain the SERS spectra of all the samples. The silicon peak at 520 cm<sup>-1</sup> was used for instrumental calibration before measurement. The signal was collected using a Peltier cooled charge coupled device (CCD) with 300 Groves per mm grating set. For each sample, 400 spectra (20×20; X×Y) were acquired across a 100×100  $\mu$ m<sup>2</sup> area with an integration time of 0.1 s for each point.

160 SERS data analysis. The instrument embedded software (Project Five) was used for spectral 161 cosmic ray removal, Savitzky-Golay smoothing, baseline subtraction, and peak identification. 162 Subsequently, the SERS data was analyzed using MATLAB® 2019b (The MathWorks, USA) 163 to calculate the absolute intensities of the peak of interests and the ratio of different peaks. 164 Multivariate data analysis was also performed in MATLAB. Principal component analysis 165 (PCA) was employed to identify the spectral features within 400 to 1800 cm<sup>-1</sup> during bacterial 166 growth. To diagnose viral infection, 18 spectral features were selected by the software from a 167 total of 3600 spectra (2000 spectra for infected P. syringae and 1600 spectra for normal P. 168 syringae). Support vector machine (SVM) classification with quadratic kernel function was 169 performed to help differentiate multivariate SERS data.

170

# 171 RESULTS AND DISCUSSION

Characterization of AgNPs and SERS tape. AgNPs were synthesized by room temperature 172 hydroxylamine hydrochloride reduction.<sup>44,47</sup> As prepared, spherical AgNPs exhibited high 173 174 uniformity and a TEM determined average size of  $44.8 \pm 8.6$  nm (Figure S1). When dispensed in water, the AgNPs exhibited the expected LSPR band at  $\approx 410 \text{ nm}^{47}$  and a DLS determined 175 average hydrodynamic diameter ( $Z_{ave}$ ) of 79.6  $\pm$  22.8 nm. The SERS tape substrate was 176 fabricated by uniformly depositing AgNP suspension ( $\approx 2 \times 10^9$  NPs/mL) on the sticky layer of 177 commercially available double-sided adhesive tape. Once dried, the AgNPs aggregated and 178 became embedded on the tape surface due to the swelling and deswelling action of the 179

adhesive.<sup>45</sup> After decoration, the morphology of the tape surface changed from smooth and flat
to rough (Figure S2).

In proof-of-concept experiments, the SERS performance of both the AgNPs and the SERS 182 183 tape was evaluated. The responsive, pH sensitive SERS molecule 4-MBA was chosen to verify 184 our methods. AgNPs were mixed with 4-MBA and placed on the surface of aluminum foil as 185 droplets, while the SERS tape dried naturally after 4-MBA solution was added. As shown in 186 Figure 1, both AgNPs and SERS tape showed high SERS performance towards 4-MBA with SERS enhancement factors of  $5 \times 10^7$  and  $3 \times 10^7$ , respectively. The SERS results exhibited large 187 188 spatial variations due to the heterogeneous distribution of hot spots, especially for SERS tape 189 (coefficient of variation (CV) = 46.0%, Figure 1E). The AgNPs self-aggregated on the tape 190 and the aggregation process was uncontrolled, thus resulting in the random spatial distribution of SERS hot spots. We have recently reported on the use of surface enhanced elastic scattering 191 192 as a localized intrinsic internal standard to address hot spot variability and improve SERS reproducibility.<sup>48-50</sup> Succinctly, a pseudo peak at ~77 cm<sup>-1</sup> ( $I_{77}$ ), which is the surface enhanced 193 194 elastic scattering signal, is enhanced within the hot spots. Accordingly, I<sub>77</sub> can be used as an 195 internal standard for hot spot normalization. Following hot spot normalization, the CV of SERS tape declined to 14.1% (Figure 1F), a value comparable to many substrates.<sup>45,51,52</sup> 196 Similarly, the CV of AgNPs alone decreased from 15.2 to 5.9% (Figures 1B and 1C). High 197 198 SERS enhancement, reproducibility, and deployability suggest the promise of SERS tape.

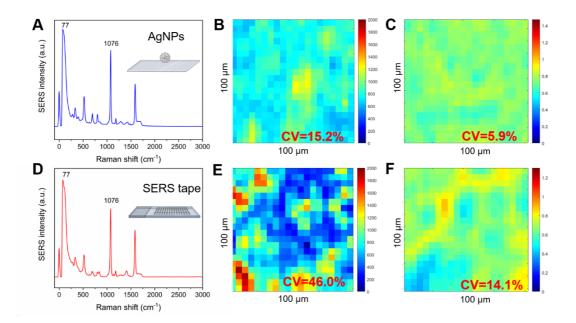


Figure 1. SERS spectra and maps of 4-MBA on AgNPs (A-C) and SERS tape (D-F). (A) and (D) average spectra of 4-MBA; (B) and (E) maps of peak intensity of 1076 cm<sup>-1</sup> ( $I_{1076}$ , CCD cts); (C) and (F) maps of hot spot normalized peak intensity ( $I_{1076}/I_{77}$ ). 400 spectra (20×20, X×Y) were acquired across a 100×100 µm<sup>2</sup> area.

SERS spectra of bacterial metabolites. We detected both volatile and non-volatile 205 206 metabolites. To do so, SERS tape was inversely attached inside the cover of a Petri dish used for *P. syringae* cultivation. Volatile metabolites that partitioned to the headspace of the Petri 207 dish interacted with the SERS tape during P. syringae growth. After a 24 h exposure period, 208 209 the spectra of the SERS tape were recorded. In parallel, AgNPs were directly dropped onto the 210 culture plate and the signals produced by non-volatile substances were detected. As shown in Figure 2A, compared to the fresh SERS tape and SERS tape in the control sample (LB agar 211 plate without bacteria), a very strong peak appeared at ~680 cm<sup>-1</sup> in the headspace above P. 212 syringae. This peak reflects the v(C-S) vibration of methyl sulfide.<sup>29</sup> Previous studies have 213 214 shown that many bacteria fermentatively produce volatile sulfide compounds, such as dimethyl disulfide (DMDS).<sup>53,54</sup> Methyl sulfide is the expected dissociation product of DMDS on Ag 215 and Au surfaces.<sup>30</sup> Less intense peaks at 950, 1305, and 2917 cm<sup>-1</sup> reflect the  $v_s$ (CH<sub>3</sub>) vibration, 216 CH<sub>3</sub>/CH<sub>2</sub> twist, and v(CH)<sub>syn</sub> vibration, respectively.<sup>30,55</sup> These peaks have been reported 217

previously for volatile bacterial metabolites and reflect alkene, alcohol, ketone, or aromatic
compounds in the metabolite mixture (**Table S1**).<sup>28-30,56</sup>

220 The SERS signals of the non-volatile substances detected within the LB agar plates are 221 complex due to their biological origin within bacterial cells that precludes simple identification.<sup>20</sup> In addition, there are several components (protein hydrolysates and nucleobase 222 containing substances) in LB culture medium that contribute to the SERS spectra.<sup>57,58</sup> As 223 shown in Figure 2B, LB medium exhibits peaks at 626 cm<sup>-1</sup> (C-C twisting mode in 224 phenylalanine), 733 cm<sup>-1</sup> (adenine or phosphatidylserine), 957 cm<sup>-1</sup> (phosphate or C=C 225 deformation), 1006 cm<sup>-1</sup> (phenylalanine), 1328 cm<sup>-1</sup> (CH<sub>2</sub>/CH<sub>3</sub> wagging mode present in 226 collagen or purine bases) and 1450 cm<sup>-1</sup> (CH<sub>2</sub>/CH<sub>3</sub> deformation of proteins and lipids).<sup>55,58</sup> 227 228 Following 24 h cultivation of *P. syringae* the majority of the original LB peaks disappeared, 229 while other peaks appeared or increased in intensity (e.g., 658 cm<sup>-1</sup> (guanine), 842 cm<sup>-1</sup> (polysaccharides), and 1582 cm<sup>-1</sup> (phenylalanine, hydroxyproline, tyrosine, etc.)).<sup>55,59</sup> These 230 231 new peaks reflect production of metabolites or changes within the bacteria themselves. To 232 explore the origin of these peaks, we selected an area in a LB agar plate where only a fraction 233 of the selected scan area contained bacterial colonies (Figure S3A). The SERS signals across 234 the full scan area did not significantly differ (Figure S3B), thus suggesting that the peaks did not arise from the bacterial cells. Previous Raman/SERS studies have shown that metabolite 235 signals often dwarf those of bacterial cells.<sup>58</sup> We also cultivated *P. syringae* in LB broth for 24 236 237 h, centrifuged the sample to collect the supernatant, and resuspended the *P. syringae* pellet in 238 PBS (Figure S3C). After resuspension in PBS there were no obvious peaks in the spectrum 239 (Figure S3D). Instead, the SERS spectrum of the supernatant had very similar peaks to the 240 original sample. This evidence collectively suggests that the new SERS peaks measured within the plate result from extracellular metabolites (Table S1) that are produced by bacteria and 241 242 then diffuse within the agar.

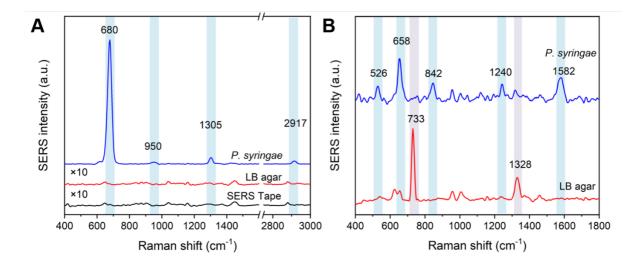


Figure 2. SERS spectra of (A) volatile metabolites in the headspace of *P. syringae* containing
culture plate and control plate after 24 h cultivation, and (B) non-volatile substances in LB agar
plate before and after 24 h cultivation of *P. syringae*. The light blue bars indicate the
characteristic peaks of bacterial metabolites and the light red bars refer to the peaks of LB
medium that disappear following cultivation.

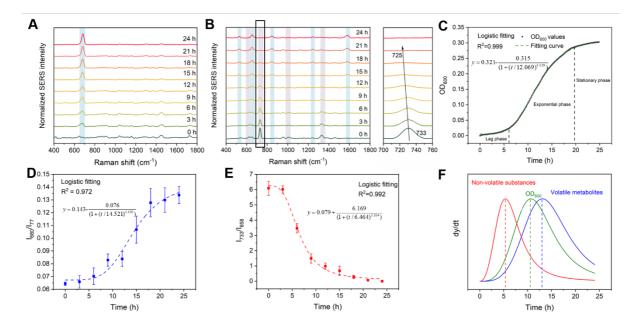
250 **Bacterial growth monitoring.** To explore the kinetics of metabolite production, SERS spectra were recorded at 3 h intervals and the hot spot normalized peak intensity ( $I_{peak of interest}/I_{77}$ ) was 251 used for metabolite quantification. For DMDS, as shown in Figure 3A, *I*<sub>680</sub>/*I*<sub>77</sub> increased with 252 253 time, due to its accumulation in the headspace. After 24 h, the  $I_{680}/I_{77}$  ratio was 0.133, 254 corresponding to a headspace concentration of  $\approx 43 \mu M$  DMDS (Figure S4). For the nonvolatile substances, we utilized PCA to identify the peak features that contributed to the greatest 255 256 differences in the spectra that arise due to bacterial growth (Figure 3B). The loading plot of 257 the first principal component (PC1) indicates that spectral features arising from the LB medium 258 (733, 1328, 1006 and 626 cm<sup>-1</sup>) negatively contributed to the loadings (Figure S5) and that the normalized intensities of these peaks gradually decreased with time (Figure S6) – thus 259 260 indicating consumption. Relative to the phenylalanine peaks (i.e., 1006 and 626 cm<sup>-1</sup>), the 261 peaks associated with purines (i.e., 733 and 1328 cm<sup>-1</sup>) declined considerably within 9 h. The 262 results are consistent with bacterial utilization of exogenous purines as a carbon or nitrogen source.<sup>60,61</sup> Meanwhile, during bacterial growth, the peak initially at  $\sim$ 733 cm<sup>-1</sup>, which 263

primarily reflects adenine, gradually shifted to ~725 cm<sup>-1</sup> before it ultimately disappeared. The 264 peak at 725 cm<sup>-1</sup> reflects hypoxanthine,<sup>62</sup> a typical metabolic intermediate in the formation of 265 nucleic acids, or adenine containing substances<sup>59</sup>, such as flavin adenine dinucleotide (FAD) 266 267 and nicotinamide adenine dinucleotide (NAD). We also examined the normalized intensities of the four peaks that most positively contributed to the PC1 loadings. These peaks reflect 268 production of nucleic acids (658 cm<sup>-1</sup>), carbohydrates (842 cm<sup>-1</sup>), and proteins (526, 1582 cm<sup>-1</sup>) 269 <sup>1</sup>). The most dramatic increase in the four peaks happened between 6-18 h (Figure S6), 270 271 demonstrating production and accumulation of such substances during exponential growth 272 (Figure 3C).

To better reveal the relationship between the kinetics of metabolite production and bacterial growth, a sigmoidal curve (or 4-parameter logistic model) expressed as  $y = m_2 + \frac{m_1 - m_2}{1 + (t/m_3)^{m_4}}$  was used to fit the time dependent data.<sup>63</sup>  $m_1$  and  $m_2$  are the responses

276 (y values) at t=0 and t= $\infty$ , respectively,  $m_3$  is the curve inflection point (the point where bacteria 277 have the maximum growth rate),  $m_4$  is the Hill's slope of the curve (slope that defines the steepness of the curve), and t is the time (min). For DMDS,  $I_{680}/I_{77}$  was the dependent variable. 278 For non-volatile substances, the ratio of the peaks at 733 and 658 cm<sup>-1</sup> ( $I_{733}/I_{658}$ ) was used since 279 the dynamic change in this ratio was larger than the measured change in the  $I_{658}/I_{77}$  or  $I_{733}/I_{77}$ 280 ratios (Figure S7). Accordingly,  $I_{733}/I_{658}$  reflects both non-volatile metabolite production and 281 nutrient consumption. To simplify the calculation, we ignored the peak shift at  $\sim$ 733 cm<sup>-1</sup> and 282  $I_{733}$  represents the intensity of the peak in the range between 725 and 733 cm<sup>-1</sup>. The fitted 283 parameters are listed in Table S2. As shown in Figures 3D and 3E, both  $I_{680}/I_{77}$  for DMDS 284 and  $I_{733}/I_{658}$  for non-volatile substances were well fitted by the logistic growth model ( $R^2=0.972$ ) 285 and 0.992, respectively) and are consistent with the bacterial growth curve ( $R^2$ =0.999). The 286 logistic curves indicate that the detected SERS signals can be directly related to bacterial 287

288 growth. By tracking the rate of change in the signal (r=dy/dt; Figure 3F), where y is  $I_{680}/I_{77}$  or  $I_{733}/I_{658}$  for the SERS signals or OD<sub>600</sub> for bacterial growth, we can see that the rate of change 289 in  $I_{733}/I_{658}$  reached a maximum at ~6 h, followed later by the maximum in OD<sub>600</sub> and then 290 291  $I_{680}/I_{77}$ . This trend is reflected by the respective  $m_3$  values (**Table S2**).  $r(I_{733}/I_{658})$  reflects the synergy between nutrient consumption and the production of non-volatile metabolites. The 292 temporal trends in the rate measurements are consistent with nutrient consumption followed by 293 294 bacterial growth and metabolite production. DMDS diffusion into the plate headspace may also 295 contribute to the lag in its rate curve.



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Figure 3. (A) SERS spectra collected for volatile metabolites at 3 h intervals with the 297 298 intensities normalized by  $I_{77}$ ; (B) SERS spectra collected for non-volatile substances at 3 h 299 intervals with the intensities normalized by  $I_{77}$ , the right panel highlights the shift of peak 733 cm<sup>-1</sup> to 725 cm<sup>-1</sup>; The light blue bars indicate the characteristic peaks of bacterial metabolites 300 while the light red bars refer to the LB medium peaks that decrease; (C) bacterial OD<sub>600</sub> 301 302 measured at 10 min intervals and fitted with logistic growth model; (D) kinetics data of  $I_{680}/I_{77}$ for volatile metabolites fitted with logistic growth model; (E) kinetics data of  $I_{733}/I_{658}$  for non-303 volatile substances fitted with logistic growth model; (F) signal change rate (dy/dt) of each 304 305 curve, v reflects I<sub>680</sub>/I<sub>77</sub> (DMDS), I<sub>733</sub>/I<sub>658</sub> (non-volatile metabolites), or OD<sub>600</sub> (bacterial 306 growth), respectively.

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308 **Bacterial quantification.** Following demonstration that the SERS signals of both volatile and

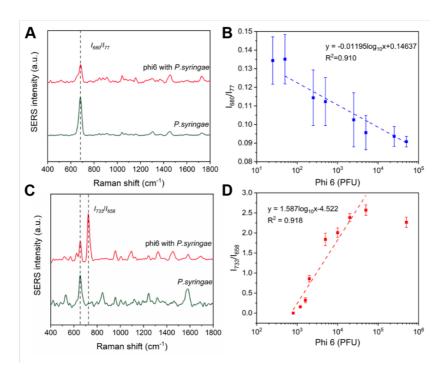
309 non-volatile metabolites can be related to bacterial growth, we next used them to determine the

310 bacterial concentration. We first cultivated different starting concentrations of P. syringae 311 within the LB agar plate, measured the OD<sub>600</sub>, and recorded the SERS signals. As shown in Figure S8A, there was an obvious increase in  $OD_{600}$  when the concentration was above  $10^6$ 312 313 CFU/mL following 24 h cultivation. For lower starting concentrations, the OD<sub>600</sub> signal could 314 not be differentiated from the control. For the DMDS SERS signal, the concentration where there was a significant change in  $I_{680}/I_{77}$  was at 10<sup>7</sup> CFU/mL (Figure S8B), which was 10× 315 316 higher than that based on  $OD_{600}$ . We infer that the relatively low concentration of DMDS (<5 317 nM) when diluted within the headspace increased the detection limit. Compared to OD<sub>600</sub> and 318 the DMDS signal, quantification of non-volatile metabolites  $(I_{733}/I_{658})$  was improved over a lower initial concentration range ( $10^5$  to  $10^8$  CFU/mL). When the concentration of *P. syringae* 319 320 exceeded 10<sup>8</sup> CFU/mL,  $I_{733}/I_{658}$  plateaued. In this case,  $I_{733}/I_{658}$  no longer changed due to the 321 complete consumption of nutrients within LB. The combined SERS signals for both DMDS 322 and nonvolatile substances can be used for bacterial quantification with good performance.

**Diagnosis of viral infections.** In real-world systems, bacterial metabolism can be affected by 323 environmental conditions, especially following viral infection.<sup>64,65</sup> The resulting alteration of 324 bacterial metabolism may result in changes in the SERS signal. P. syringae was exposed to 325 326 lytic bacteriophage Phi6 for 24 h and SERS spectra were collected. As shown in Figure 4A, following incubation with Phi6, no peaks arose for the volatile metabolites of P. syringae. 327 328 Instead, the normalized intensities of the original peaks (e.g.,  $I_{680}/I_{77}$ ) decreased. The higher the 329 initial Phi6 concentration, the greater the decline in the  $I_{680}/I_{77}$  ratio. This result suggests that 330 Phi6 infection impedes DMDS production. The relative decrease in the DMDS SERS intensity 331 can be used for Phi6 quantification. Figure 4B depicts the plot of  $I_{680}/I_{77}$  versus the initial Phi6 332 concentration. When the concentration ranged from 500 PFU to 50,000 PFU, I<sub>680</sub>/I<sub>77</sub> exhibited a good linear relationship versus the logarithm of the bacterial concentration. 333

334 The relationship between the SERS signal and phi6 concentration was more complicated

335 for non-volatile substances. As discussed previously, LB agar had a strong peak at  $\sim$ 733 cm<sup>-1</sup>, which gradually shifted to  $\sim$ 725 cm<sup>-1</sup> and diminished during *P. syringae* cultivation. After 24 336 h, this peak completely disappeared and the peak at 658 cm<sup>-1</sup> dominated. In the presence of 337 338 Phi6, the SERS spectrum reflects an intermediate state between the fresh LB agar plate and 24 h incubated *P. syringae* (Figure 4C). Both peaks at 725-733 cm<sup>-1</sup> and 658 cm<sup>-1</sup> had strong 339 intensities in the presence of Phi6. The peak ratio  $(I_{733}/I_{658})$  changed with the initial Phi6 340 341 concentration and can be used for quantification. A linear relationship between  $I_{733}/I_{658}$  and the logarithm of Phi6 concentration was observed between 1,200 PFU and 50,000 PFU (Figure 342 343 **4D**).



344

Figure 4. (A) SERS spectra of volatile metabolites of uninfected *P. syringae* and Phi6 (50,000 PFU) infected *P. syringae*; (B) the linear relationship between  $I_{680}/I_{77}$  and logarithm of Phi6 concentration (500-50,000 PFU); (C) SERS spectra of nonvolatile substances of *P. syringae* and Phi6 (10,000 PFU) infected *P. syringae*; (B) the linear relationship between  $I_{733}/I_{658}$  and logarithm of Phi6 concentration (1,200-50,000PFU).

351 It should be noted that the peak ratio changes detected for the non-volatile substances are 352 do not uniquely reflect Phi6 infection. As discussed previously, both the cultivation time and 353 the concentration of *P. syringae* can alter the ratio. For example, Phi6 (~10<sup>4</sup> PFU) infected *P*.

syringae (~ $10^9$  CFU/mL) (Figure 5A, curve a) and P. syringae with a lower concentration 354 355 (~10<sup>7</sup> CFU/mL) (Figure 5A, curve b) had similar  $I_{733}/I_{658}$  ratios. It is possible, however, to use the entire SERS spectrum for viral infection diagnosis and unknown sample classification. 356 357 To illustrate, we identified the 18 peaks present in both the *P. syringae* sample and in the Phi6 infected *P. syringae* sample and normalized the spectra using *I*<sub>733</sub>. Assignments of these peaks 358 359 are listed in Table S3. Compared with *P. syringae* alone, the Phi6 infected *P. syringae* sample 360 had an increased peak intensity at 957 cm<sup>-1</sup> (phosphate or C=C deformation), but lower peak 361 intensities at 526 (S-S disulfide stretch in proteins), 842 (glucose), 1092 (C-C skeletal and C-362 O-C stretching from glycosidic link), and 1582 cm<sup>-1</sup> (phenylalanine). Previous studies have 363 shown that compared with uninfected controls, extracellular metabolites in phage infected bacterial samples have decreased concentrations.<sup>64</sup> The decrease suggests that surviving cells 364 365 in the virus-infected cultures consumed materials from the lysed cells to support their 366 metabolism, consequently depleting the selected metabolites and resulting in lower SERS 367 intensities. Such subtle discrepancies cannot be differentiated by visual inspection of the SERS 368 spectra. To achieve improved statistical classification, multivariate analysis was used to analyze the spectroscopic data.<sup>66-68</sup> We used PCA to reduce the dimensionality of the 3600 369 370 spectra collected from Phi6 infected samples and uninfected controls (2000 spectra for Phi6 infected samples and 1600 spectra for uninfected controls). The first nine principal PCs (≈95% 371 372 of total spectral variance) were used for SVM classification. The classification results are 373 summarized in Figure 5B in a confusion matrix form that indicates an overall accuracy of 93% 374 can be achieved using SVM with a quadratic kernel. The sensitivity and specificity were 92.8%375 and 93.3%, respectively, as calculated from the PCA-SVM confusion matrix (Table S4).

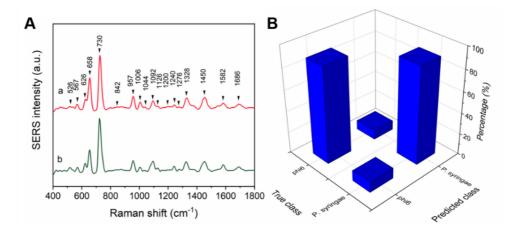


Figure 5. (A) SERS spectra of non-volatile substances of a) 10<sup>4</sup> PFU Phi6 infected 10<sup>9</sup>
CFU/mL *P. syringae*; b), 10<sup>7</sup> CFU/mL *P. syringae*; (B) Histograms of confusion matrix for
PCA-SVM (n = 2000 for 10<sup>4</sup> PFU Phi6 infected 10<sup>9</sup> CFU/mL *P. syringae* and n = 1600 for 10<sup>7</sup>
CFU/mL *P. syringae*).

382 Environmental Implications. This study demonstrates the application of SERS detection of 383 bacterial metabolites for both monitoring bacterial growth and diagnosis of viral infection. This method integrates the SERS signals of volatile metabolites in the headspace above a culture 384 385 plate and non-volatile substances in the plate, thus providing detailed information about 386 nutrient consumption, metabolite production, and bacterial growth. Compared with other 387 conventional analytical methods, the present approach is especially promising for 388 environmental analysis. Firstly, it can enable precise and rapid identification of bacteria 389 without labor-intensive pretreatment work. Bacteria are ubiquitous and different sampling techniques as well as pretreatment methods are often required when sampling each matrix (e.g., 390 391 water, soil, and air). The reported method is based on bacterial culturing and metabolic sensing 392 and therefore, minimal pretreatment was required. The SERS results show comparable 393 quantitative performance to classic culture based optical density measurements. Furthermore, 394 the SERS tape is readily field-deployable, which can overcome sampling difficulties and 395 minimize disturbances caused by the sampling process, especially in confined environments. 396 We applied the same methodology to monitor another bacterium (E. coli) and its bacteriophage 397 MS2. As expected, E. coli exhibits a different SERS pattern from P. syringae (Figure S9A).

398 Such spectral variation suggests that the fingerprint SERS profiles of these metabolites can 399 enable accurate identification of bacterial species. When infected by bacteriophages, the host bacterial metabolism was altered in favor of viral replication and the alterations in the SERS 400 401 signal, when coupled with multivariate analysis, can be used to diagnose viral infections. Our 402 method could be successfully extended to diagnose MS2 infection by monitoring the 403 metabolism of its host bacterium E. coli (Figure S9B). The results for both Phi6 and MS2 404 suggest that we can potentially apply this approach to measure other virus-cell interactions. 405 Compared with traditional PCR, this method is capable to detect viable viruses and the SERS 406 spectra can be collected on-site with the help of a portable Raman spectrometer. The results 407 not only provide an alternative viral sensing method, but also suggest a feasible pathway to 408 examine how environmental stimuli (pH, salinity, temperature, nutrients, antibiotics etc.) affect 409 bacterial growth.

410

#### 411 ASSOCIATED CONTENT

Additional information of SERS substrate characterization, Optical images with SERS results
of *P. syringae* in a scan area, SERS results for DMDS quantification, PCA results, SVM results,
SERS intensities of featured peaks, bacterial quantification results, SERS results for *E. coli* and
MS2, bacterial metabolite list, kinetics fitting results, and SERS peak assignments (Figures S1S9 and Tables S1-S4).

417

### 418 ACKNOWLEDGEMENT

This research was supported by the US National Science Foundation grants OISE-1545756 and
CBET-2029911. Laboratory and instrumentation support was provided by NanoEarth – a node
of the NSF supported NNCI (NSF award number #1542100). Additional support was provided
by the Sustainable Nanotechnology Interdisciplinary Graduate Program (VTSuN IGEP)

423 funded by the Virginia Tech Graduate School.

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