

1                   **Relationship between Nano and Macroscale Properties of Post-fire ASTM A36 Steels**

2                   Dharanidharan Arumugam<sup>1</sup>, Dayakar L. Naik<sup>2</sup>, Hizb Ullah Sajid<sup>3</sup>, and Ravi Kiran<sup>4</sup>

3                   **Abstract**

4                   In this study, we investigated the composition and mechanical properties of metallurgical phases  
5                   present in the ASTM A36 steels subjected to post-fire temperatures using nanoindentation testing in  
6                   conjunction with K++ clustering method. The specimens are exposed to target temperatures from  
7                   500°C to 1000°C with an increment of 100°C. We extracted 500°C, 600°C, 700°C, 800°C, 900 °C,  
8                   and 1000°C and two important nanomechanical properties, namely hardness, and Young's modulus  
9                   from the nanoindentation tests and used it as descriptive features for the clustering analysis. Results  
10                   obtained from this analysis show that average volume fraction percentage of ferrite and pearlite was  
11                   84% and 16%, respectively. The results also revealed that the mean hardness values were in the range  
12                   of 2.46 to 3.01 GPa for ferrite and 3.11 to 4.27 GPa for pearlite for the different temperature exposures.  
13                   The Young's modulus of ferrite ranged from 171.7 to 203.3 GPa, whereas the pearlite phase ranged  
14                   from 181.1 to 206.8 GPa for the different temperature exposures. The obtained results also indicated  
15                   the existence of a quadratic correlation between the pearlite's mean nanoindentation hardness and the  
16                   yield and tensile strength of different post-fire ASTM A36 steels. **Keywords:** Clustering; Ferrite;  
17                   Pearlite; Nanoindentation; Phase composition; and Nanohardness.

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<sup>1</sup> Graduate Research Assistant, Dept. of Civil & Environmental Engineering, North Dakota State University, Fargo, ND 58105, email: [d.arumugam@ndsu.edu](mailto:d.arumugam@ndsu.edu)

<sup>2</sup> Research Associate, Dept. of Civil & Environmental Engineering, North Dakota State University, Fargo, ND 58105, email: [dayakarnaik.lavadiya@ndsu.edu](mailto:dayakarnaik.lavadiya@ndsu.edu)

<sup>3</sup>PhD Candidate, Dept. of Civil & Environmental Engineering, North Dakota State University, Fargo, ND 58105, email: [hizbulah.sajid@ndsu.edu](mailto:hizbulah.sajid@ndsu.edu)

<sup>4</sup> Assistant Professor (corresponding author), Dept. of Civil & Environmental Engineering, North Dakota State University, Fargo, ND 58105, email: [ravi.kiran@ndsu.edu](mailto:ravi.kiran@ndsu.edu)

18 **1. Introduction**

19 The crucial part of any alloy development or material design is to systematically understand the  
20 properties of microstructural constituents so that they can be engineered to attain desired properties  
21 at the macroscale. The macroscale material properties are used for the design of structural  
22 components. In the case of structural steels, the mechanical properties such as strength and ductility  
23 can be tailored by changing the composition and sizes of microstructural constituents referred to as  
24 metallurgical phases, namely ferrite, pearlite, bainite, martensite, cementite, and austenite (Campbell  
25 1967; Elwazri et al. 2005; Igwemezie et al. 2016; Jiang et al. 1995; Kumar et al. 2008; Sajid et al.  
26 2020). Alternatively, the composition and size (grain size) of these microstructural constituents also  
27 provide valuable insights into the thermal loading and heat treatment history that structural steels  
28 undergo during production and service life. Hence, understanding and evaluating these  
29 microstructural properties can play a crucial role in post-fire investigations and evaluation of the post-  
30 fire mechanical properties of structural steels (Sajid et al. 2020). Moreover, understanding the post-  
31 fire mechanical properties is integral to determining the post-fire usability of steel structures and  
32 hence determining the post-fire mechanical properties of a wide range of structural steels (mild steels  
33 (Ding et al. 2019; Lu et al. 2016; Outinen and Mäkeläinen 2004; Sajid and Kiran 2018; Sajid et al.  
34 2020; Smith et al. 1981; Zhang et al. 2020), high strength steels (Aziz and Kodur 2016; Chen et al.  
35 2016; Chiew et al. 2014; Kang et al. 2018; Lee et al. 2012; Li et al. 2017; Qiang et al. 2012; Sajid and  
36 Kiran 2019; Sajid et al. 2020; Siwei et al. 2017; Smith et al. 1981; Wang and Lui 2020; Wang et al.  
37 2015; Zhang et al. 2020; Zhu et al. 2021), very high strength steels (Azhari et al. 2015; Qiang et al.  
38 2013), cold-formed and cast steels (Gunalan and Mahendran 2014; Lu et al. 2017; Ren et al. 2020;  
39 Yan et al. 2021), and stainless steels (Ban et al. 2020; Tao et al. 2018)) has been the subject of the  
40 many studies that were conducted in the past decade. An extensive review of the existing literature  
41 on the post-fire mechanical behavior of structural steels suggests that post-fire mechanical properties  
42 of structural steels can be quantified using three different approaches, namely 1) post-fire residual  
43 factors, 2) color-based visual examination, and 3) microstructure-based approach. Post-fire residual  
44 factor equations have been proposed in the literature for different structural steels. These residual  
45 factor equations can be used to accurately estimate the post-fire mechanical properties of structural  
46 steels exposed to a particular temperature that was reached during a fire accident. The existing post-  
47 fire residual factors employ the fire temperature as the main variable, and hence they rely on accurate  
48 knowledge of temperatures reached during fire accidents. The visual examination approach facilitates  
49 the determination of fire temperatures based on the surface oxide colors of post-fire structural steels  
50 (Colwell and Babic 2012). Surface oxides exhibit different colors at different temperatures, and hence  
51 these colors can be used as an approximate indication of temperatures reached during a fire accident.  
52 The temperatures obtained from the visual examination can be used in conjunction with the post-fire  
53 residual factor equations to estimate the post-fire mechanical properties of structural steels. The visual  
54 examination approach is subjective, and the temperatures obtained may change with the steel  
55 exposure time and conditions at room temperature. The microstructural approach overcomes the  
56 limitations of the first two approaches, and it can be used to determine the post-fire mechanical

57 properties using the composition and sizes of the metallurgical phases that are present in the structural  
58 steels after fire accidents (Sajid et al. 2020). The microstructure-based approach can be used to  
59 accurately predict the post-fire mechanical properties of structural steels without the knowledge of  
60 temperatures that were reached during fire accidents.

61 The microstructure-based approach for post-fire mechanical properties estimation relies on accurate  
62 evaluation of composition and sizes of metallurgical phases that are present in the structural steels.  
63 The metallurgical phases in structural steels are often identified through optical methods or electron  
64 backscatter diffraction techniques in conjunction with well-established iron-iron carbide ( $Fe-Fe_3C$ )  
65 constitutional diagrams, and these methods are proven to yield reliable results (Kamaya 2009; Krauss  
66 2015; Leng 2009; Sajid et al. 2020; Schwartz et al. 2009). The grain sizes corresponding to each  
67 metallurgical phase can be obtained using ASTM E112 (2013) specifications. The accuracy of these  
68 techniques relies on the proper preparation of specimens and lighting conditions of the micrographs.  
69 Apart from these techniques, the characterization of microstructures using nanoindentation tests has  
70 shown promising results in the quantitative evaluation of materials such as metals, films, etc. (Bahr  
71 et al. 1998; Gain and Zhang 2020; Menčík et al. 1997; Oliver and Pharr 1992; Schuh 2006; Tsui et  
72 al. 1996; Tuninetti et al. 2021). Currently, the nanoindentation properties of metallurgical phases in  
73 materials can only be determined with the prior knowledge of existing metallurgical phases in the  
74 material. In addition to that, the location of the indentations needs to be mapped with microstructural  
75 imaging to ascertain the properties of individual metallurgical phases. Alternatively, the material  
76 section to be characterized is homogenized with a single metallurgical phase to identify the nano or  
77 micro properties (Li et al. 2020). These techniques are both labor-intensive and time-consuming and,  
78 in some cases, not viable. Interestingly, with the help of machine learning techniques and by utilizing  
79 the nanoindentation values alone, it is possible to characterize the nano-level properties of the  
80 microstructures present in the materials. In the case of structural steels, nanoindentation tests can  
81 facilitate the evaluation of the nanoscale properties of metallurgical phases, including hardness and  
82 Young's modulus. Moreover, nanoindentation test data can also be used to estimate the composition  
83 of metallurgical phases that are present in the structural steels, which can subsequently facilitate the  
84 determination of post-fire mechanical properties.

85 This study aims to quantify the metallurgical compositions and nanomechanical properties of phases  
86 present in post-fire ASTM A36 steels as a function of fire exposure temperatures using  
87 nanoindentation and clustering techniques. Furthermore, the relationship between the nano and  
88 macroscale properties are investigated for post-fire ASTM A36 steels. This manuscript is organized  
89 as follows: the nanoindentation procedure employed is explained in Section 2, details pertaining to  
90 the dataset is described in Section 3, a brief explanation of the clustering technique is provided in  
91 Section 4, the validation and impacts of results are discussed in Section 5, and the important  
92 conclusions arrived in the study is provided in Section 6.

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95 **2. Experimental procedure**

96 In this study, the nanoindentation properties of ASTM A36 steel specimens after exposure to six  
97 different high temperatures (500°C, 600°C, 700°C, 800°C, 900 °C, and 1000°C) are evaluated. In  
98 addition, the nanoindentation tests are also conducted for the as-received steel specimens. The  
99 nanoindentation tests for as-received and post-fire steel specimens are conducted at room  
100 temperature. The chosen target temperatures are normally experienced by the structural steels during  
101 fire accidents (Sajid and Kiran 2018), and thus the determination of the microstructural properties of  
102 these post-fire steel specimens would provide valuable information about the post-fire performance  
103 of the ASTM A36 and similar grade steels. The target exposure temperatures employed in this study  
104 are also deemed to capture the variation of phase compositions and their properties in ASTM A36  
105 steel as a function of temperature (Sajid et al. 2020). All the test specimens, except the one extracted  
106 from the as-received steel, are first heated to the target temperature in an electric furnace with a  
107 constant heating rate of 10 °C/min ( $\pm 2$  °C/min) with a soaking time of 2 hours at the target  
108 temperature followed by cooling to the room temperature by placing them outside the oven. An initial  
109 temperature of 100 °C was selected before ramping up the temperature to a selected target  
110 temperature. The specimens were left to cool naturally in open air at room temperature (27 °C) and it  
111 took about 1 hour for specimens to revert to room temperature. More details regarding the preparation  
112 of specimen can be found here(Sajid and Kiran 2018; Sajid and Kiran 2019; Sajid et al. 2020).  
113 Microstructural images of test specimens are then acquired using Amscope® optical microscope at  
114 50X magnification to study the microstructure of these air-cooled specimens. The microstructural  
115 images showed that all the air-cooled steel specimens and the as-received steel specimen had ferrite-  
116 pearlite microstructure and agreed with the previous study conducted by the authors (Naik et al. 2019).  
117 For illustration purposes, the microstructural images of the as-received steel specimen and the  
118 specimen air-cooled from 500°C are presented in Fig. 1. After the microstructural examination, nano-  
119 indentation testing is performed on all the specimens.

120 Nanoindentation has now become a preferred technique to evaluate the mechanical properties of bulk  
121 materials and thin films at the micron or sub-micron scale (De Bono et al. 2017; Nguyen et al. 2018;  
122 Oliver and Pharr 1992; Pham and Kim 2017; Schwarm et al. 2017; Tatar 2021; Tatar et al. 2019; Zhu  
123 and Xuan 2010). In nanoindentation, an indenter is used to penetrate the surface of the materials or  
124 films. The relationship between the load applied by the indenter and the resulting indentation depth  
125 allows us to determine the elastic and plastic properties of the material. Indenters can be of different  
126 geometries such as spherical, conical, cubical, and pyramidal, and they can also be made up of  
127 different materials such as diamond, titanium, tungsten, silicon, and/ or steel (Mann 2005). Berkovich  
128 (three-sided pyramid shape with a face angle of 65.3° with respect to the vertical indentation axis),  
129 Vickers (four-sided pyramid with a face angle of 68°), Knoop (four-sided pyramid with asymmetrical  
130 faces), and cube corner (three-sided pyramid with a face angle of 35.3°) are some of the commonly  
131 used nanoindenters (Sagadevan and Murugesen 2014). Among the various nanoindenters, Berkovich  
132 indentors are widely used for their constant area to depth ratio (which makes the measured hardness  
133 independent of load), sharpness (which leads to measurement of smaller testing volume), and ease of

134 manufacturability (Liu et al. 2014). The nanoindentations in the current study are performed using  
 135 Hysitron TI980 triboindenter nanomechanical system, which employs a standard three-sided pyramid  
 136 Berkovich nanoindenter with diamond probe tip for the nanoindentation. The indentations are made  
 137 over a grid that consisted of  $15 \times 15$  indentation points, totaling 225 indentations, with a spacing of 35  
 138  $\mu\text{m}$ , as shown in Fig. 2. To obtain the volume fraction from the surface distribution of the phases and  
 139 to identify the individual phase properties, the grid design is formulated based on the Gedanken  
 140 experiment (Pham and Nguyen 2021). As per the Gedanken experiment, nanoindentation depth  
 141 should be lesser than  $1/10^{\text{th}}$  of the characteristic size of the microstructure and also, the spacing of the  
 142 indentations ( $l$ ) should be greater than  $D/\sqrt{N}$  to avoid any interference from neighboring indentation  
 143 points and act as an unbiased statistical measure, where  $D$  refers to the characteristic size of the  
 144 microstructure and  $N$  refers to the total number of indentation points. ASTM A36 steel specimens  
 145 used in our current experiment contain only two metallurgical phases, namely ferrite and pearlite and  
 146 their average sizes are in the range of  $9\text{--}13 \mu\text{m}$  and  $3\text{--}4 \mu\text{m}$ , respectively. The nanoindentation depths  
 147 observed in our experiments are well within the range of 250 nm which is less than  $1/10^{\text{th}}$  of the  
 148 characteristic size of the microstructure and  $35 \mu\text{m}$  the spacing adopted is way greater than  $D/\sqrt{N}$   
 149 which is  $0.9 \mu\text{m}$  ( $13 \mu\text{m}/\sqrt{225}$ ). The nanoindentation test is performed by employing the standard  
 150 quasi-static approach wherein the load is applied and removed with a constant displacement rate. A  
 151 load-penetration depth (displacement) curve is generated at the end of the nanoindentation process by  
 152 plotting incremental penetration depths against the indenter load applied measured using a transducer.  
 153 The load-penetration depth curve obtained for both the phases of the as-received ASTM A36 steel  
 154 specimen is presented in Fig. 3b. A typical load-penetration depth curve of a nanoindentation test is  
 155 also shown in Fig. 3a for the purpose of illustration, and it is clear from Fig. 3b that the obtained  
 156 nanoindentation curve is qualitatively similar to the ones obtained for other metallic materials  
 157 (Gadelrab et al. 2012; Mazaheri et al. 2015; Schwarm et al. 2017). As shown in Fig. 3b the  
 158 nanoindentation is performed till a maximum displacement of 250 nm is reached. After that, the  
 159 material is unloaded till the load reaches zero and the depth corresponding to the zero-load stage is  
 160 called the final indentation depth  $h_f$ . The unloading part of the curve was fitted with a power relation  
 161 provided in Eq.1 to obtain the stiffness value,  $S = dP/dh$  – which is the slope of the initial tangent  
 162 drawn at the start of the unloading curve.

$$P = \alpha (h - h_f)^m \quad (1)$$

163 where,  $\alpha, m$  are fitting parameters,  $h_f$  is the final indentation depth,  $P$  and  $h$  are instantaneous load  
 164 and indentation depth, respectively. Finally, the two material properties of interest, hardness and  
 165 Young's modulus of the steel phases in the nanoindented domain, are determined from these  
 166 parameters using Oliver-Pharr's method (Oliver and Pharr 1992; Tsui et al. 1996).

167 Hardness values for the ferrite and pearlite phases present in ASTM A36 steel are determined based  
 168 on the maximum load and the projected contact area and is computed from the following expression

$$H = P_{\max} / A_c \quad (2)$$

169 where,  $A_c$  is the projected contact area of the indenter at the peak load ( $P_{max}$ ) and it is a function of  
 170 contact depth,  $h_c$ . Both these parameters were calculated using Oliver-Pharr's method. The  
 171 expression to calculate the contact depth,  $h_c$  is written as follows.

$$h_c = h_{max} - \gamma P_{max}/S \quad (3)$$

172 Here,  $h_{max}$  is the maximum indentation depth and  $S$  is stiffness or slope of the unloading curve (refer  
 173 Fig. 3a). The parameter,  $\gamma = 0.75$  for sharp indenters.

174 The reduced Young's modulus is calculated from the projected contact area,  $A_c$  and stiffness value,  
 175  $S$ , of the unloading curve using the following expression:

$$E_r = \frac{\sqrt{\pi}}{2\sqrt{A_c}} S \quad (4)$$

176 This reduced Young's modulus is a composite modulus representing the stiffness of both the material  
 177 and indenter, and it is related to the Young's modulus of the material as follows

$$\frac{1}{E_r} = \frac{1 - \nu^2}{E} + \frac{1 - \nu_i^2}{E_i} \quad (5)$$

178 where,  $E$  and  $\nu$  are Young's modulus and Poisson's ratio of the material, respectively, and  $E_i$  and  $\nu_i$   
 179 are Young's modulus and Poisson's ratio of the indenter. For Berkovich diamond indenter tip,  $E_i$  is  
 180  $1140 \text{ GPa}$  and  $\nu_i$  is 0.07. Poisson's ratio of the ASTM A36 steel used in this study is 0.3 (Shen 2019).  
 181 The Young's modulus values of the phases of the ASTM A36 steel were then calculated from the  
 182 reduced Young's modulus by substituting the above-stated values in Eq. 5.

183 The distribution of the hardness and Young's modulus values obtained in the nanoindentation tests  
 184 for ASTM steels air-cooled from various elevated temperatures, including the as-received steel, are  
 185 plotted in the form of contours and the contours for target temperatures of  $27^\circ\text{C}$ ,  $500^\circ\text{C}$ , and  $900^\circ\text{C}$   
 186 are presented in Fig. 4. The higher valued regions in nanoindentation hardness contours are  
 187 conceivably the regions of pearlite colonies because pearlite, a laminar mixture of ferrite and iron  
 188 carbides, usually have higher hardness than ferrite due to the presence of iron carbides (Debehets et  
 189 al. 2014).

### 190 3. ASTM A36 Nanoindentation Dataset

191 A master dataset was constructed from the data obtained from the nanoindentation experiments  
 192 (described in section 2). The dataset was denoted by  $\mathcal{D} \in \mathbb{R}^{p \times q}$ , where  $p$  indicates the total number  
 193 of observations and  $q$  indicates the number of descriptive features. Every row of the dataset  $\mathcal{D}$  is  
 194 referred to as an instance vector,  $\mathbf{x}_j = (x_{j1}, \dots, x_{jq})$ , where  $x_{j1}, \dots, x_{jq}$  were the descriptive feature  
 195 values. Here,  $j$  ranges from 1 to  $p$ . In our case, the total ( $p$ ) number of observations obtained from  
 196 the experiments was 1575 with a subset of 225 observations corresponding to each ASTM A36  
 197 metallographic specimen air-cooled from one of the six different elevated temperatures and one at  
 198 room temperature. The two descriptive features are the two nanoindentation properties, namely

199 Young's modulus and hardness determined from the nanoindentation testing at a grid point. It is  
200 important to highlight that the generated dataset was 'unlabeled' as it did not contain any  
201 response/target variables.

202 **4. Research Methodology**

203 Clustering analysis is a type of unsupervised machine learning technique that deals with unlabeled  
204 datasets with no target features (1978; Everitt et al. 2001; MacQueen 1967; Rui and Wunsch 2005).  
205 It is a data mining tool used to learn structures or embedded patterns present in a dataset. Clustering  
206 algorithms divide and group the instances or data points in a given dataset into a number of subsets  
207 in such a way that the similarity (based on certain measures) between the instances that belong to the  
208 same subset is high, and the similarity between the instances of different subsets is low. Centroid-  
209 based clustering (Bezdek et al. 1984; Li and Wu 2012; Lloyd 1982; Ostrovsky et al. 2006) construct  
210 groups based on the proximity of instances to the cluster centroids, density-based clustering (Ester et  
211 al. 1996; Kriegel et al. 2011; Sander et al. 1998) employing density threshold to delineate the groups  
212 and, hierarchical clustering (Defays 1977; Griffiths et al. 1984; Sibson 1973), which recursively  
213 partition the data into tree clusters based on the hierarchical order are some of the popular approaches  
214 used for clustering of data.

215 'K-means' is one of the widely used centroid-based clustering techniques. It was first proposed,  
216 though with a different name, by Forgy in 1965 (Lloyd 1982; MacQueen 1967). Among many  
217 partition techniques, K-means is preferred for its faster convergence and easy implementation (Li and  
218 Wu 2012; Ostrovsky et al. 2006). K-means is also suitable when knowledge about the number of  
219 clusters in which a dataset will be partitioned is available, and the dataset is less noisy or having only  
220 a few outliers. K-means is an iterative algorithm that partitions a given data set,  $\mathcal{D} \in \mathbb{R}^{p \times q}$  with  $p$   
221 instances (experimental observations) and  $q$  descriptive features, into  $K$  predefined clusters,  
222  $(\mathcal{D}_1, \mathcal{D}_2, \dots, \mathcal{D}_k)$ . Initially, hardness and Young's modulus of the microstructure obtained from the  
223 nanoindentations were tried as the descriptors. However, the preliminary analysis indicated the lack  
224 of discriminatory power of Young's modulus in constructing the clusters, thus excluded from the  
225 analysis, and only the nanoindentation hardness of the microstructure was used as a descriptive  
226 features. This is due to the fact that Young's modulus of ferrite does not significantly differ with the  
227 pearlite Young's modulus (Freitas et al. 2009; Hutasoit et al. 2013; Li et al. 2019; Watanabe et al.  
228 2011). This is also clearly seen in Fig. 9a where the mean values of Young's modulus of ferrite and  
229 pearlite phases obtained in the clustering analysis are nearly same at all the post-fire temperatures.  
230 The partitioned clusters do not share any instances between them. The algorithm starts with a random  
231 selection of  $K$  number of instances in the dataspace to represent the centroids of the  $K$  predefined  
232 clusters. For our case,  $K$  was taken as 2 as the instances can only belong to one of two metallurgical  
233 phases present in the steel specimens.

234 K-means, in its original form, is sensitive to the initial centroids and may form poor clusters if the  
235 randomly selected centroids are not far from each other. To overcome this problem, an alternative  
236 seeding technique augmented with K-means algorithm, called K-means++ was proposed in the

237 literature (Arthur and Vassilvitskii 2006). The current study utilized this approach for seeding, and  
238 the initial centroids are selected in such a way that they are far away from each other. After initializing  
239 the centroids, the instances closer to those centroids are identified and associated with those centers  
240 which then are formed into individual clusters. The closeness of the instances from the seeded  
241 centroid is evaluated based on the choice of centroidal measure. Euclidean distance is generally used  
242 when the centroid is based on the arithmetic mean of instances in a cluster, and Manhattan distance  
243 is usually employed as a proximity measure when the median of the points in a cluster is chosen as a  
244 centroid. In the current study, we used Euclidean distance to measure the closeness of the instances.  
245 Based on the newly formed clusters, a new set of centroids are calculated, and the process is repeated.  
246 This recursion is continued until the intra-class variance between the clusters is minimized and the  
247 optimal clusters are identified. The flowchart of the research methodology adopted in this study is  
248 provided in Fig. 5.

## 249 **5. Results and Discussion**

### 250 **5.1. Mean hardness and Young's modulus of ferrite and pearlite phases**

251 The volume fractions of the clusters resulted from the K++ means clustering (described in section 4)  
252 are depicted in Fig. 6 for the different heat-treated ASTM A36 steel specimens, and the values ranged  
253 from 79% to 89% for cluster A and 11% to 21% for cluster B. In an earlier study conducted by the  
254 authors (Naik et al. 2019), the metallurgical phases present in these post-fire ASTM A36 steel sections  
255 and their composition were identified using a novel texture recognition machine learning  
256 classification approach. The published study reported phase compositions for ASTM A36 steel  
257 sections air-cooled from the elevated temperatures employed in the current study. This comparison  
258 between the results published by Sajid. et al., 2020 (Sajid et al. 2020), and the current investigation  
259 is presented in Fig. 7. The comparison clearly indicates that cluster A corresponds to the ferrite phase  
260 and cluster B corresponds to the pearlite phase. Hence, the proportion of instances corresponding to  
261 each cluster A and cluster B represents the phase compositions of ferrite and pearlite present in the  
262 ASTM A36 steel. With this proposed approach, we estimated the average volume fractions of ferrite  
263 and pearlite as 84% and 16%, respectively. Another significance of the results is that nanoindentation  
264 properties such as hardness and Young's modulus of ferrite and pearlite phases and their variation  
265 over the different heat exposures can now be understood. It is clear from Fig. 6 that increase in the  
266 temperature exposure of the steel does not affect the phase composition of the steel significantly. The  
267 mean hardness values of ferrite and pearlite phases of the ASTM A36 steel specimens and the  
268 coefficient variation of its distribution calculated from the analysis are shown in Fig. 8. As we can  
269 see in the Fig. 8a, that the mean hardness of pearlite is higher than the ferrite for all the temperature  
270 exposures. The mean hardness of ferrite initially decreases when the exposed temperature increases  
271 till the exposed temperature is 700°C. But after 700°C, the mean hardness it shows a sharp increase  
272 and falls again at 1000°C. Interestingly, the variation of the pearlite exhibits the similar trend except  
273 for the sharp increase observed at 500°C. The plot of coefficient of variation of hardness values  
274 presented in Fig. 8b indicates that the pearlite phase shows a wider variation of hardness values  
275 compared to the ferrite phase. The results showed that the mean hardness value of ferrite is ranged  
276 from 2.46 to 3.01 GPa, whereas the pearlite phase is ranged from 3.11 to 4.27 GPa for different

277 temperature exposures. These nano-indentation hardness values are in agreement with the previous  
278 studies, and the comparison of these values with these existing studies is summarised in Table 1.

279 Similarly, the mean Young's modulus values of ferrite and pearlite phases and the coefficient  
280 variation of its distribution of different air-cooled ASTM A36 steel specimens are plotted and shown  
281 in Fig. 9. As we can see from Fig. 9a, the mean Young's moduli of ferrite phase is slightly lesser  
282 than that of pearlite's moduli at all the temperature exposures. Nevertheless, the differences in the  
283 mean Young's modulus between the two phases are found to be not statistically significant which  
284 and pearlite phase do not show any significant statistical differences between them which is in  
285 agreement with the existing literature (Freitas et al. 2009; Hutasoit et al. 2013; Li et al. 2019;  
286 Watanabe et al. 2011). However, the mean Young's modulus of ferrite is slightly lesser than that of  
287 pearlite's moduli. Also, the trend of the variation of mean Young's modulus with respect to the post-  
288 fire temperature of the ferrite phase is remarkably similar to that of the pearlite phase. The mean  
289 Young's moduli of ferrite range from 183.8 to 225.0 GPa, whereas the pearlite phase ranges from  
290 195.8 to 231.2 GPa for different temperature exposures. The coefficient of variation of the distribution  
291 of Young's modulus values ranges from 0.031 to 0.047, which is significantly lesser than the variation  
292 range of nanoindentation hardness values which is 0.049 to 0.111.

293 To understand the nature of the distribution of both nanoindentation hardness and Young's modulus  
294 values, histograms, and Q-Q plots are constructed for both ferrite and pearlite phases separately for  
295 all the different elevated temperatures. Fig. 10-13 show histograms and Q-Q plots for ferrite and  
296 pearlite phases of steel specimens subjected to target temperatures of 27°C, 500°C, and 900°C. These  
297 plots indicate the distribution of nanoindentation hardness values roughly follow a normal distribution  
298 with pronounced deviations in the end quartiles. These deviations, though still present in the  
299 distribution of Young's modulus values, are less pronounced, and the normal distribution fits fairly  
300 well. The deviations from the normal distribution are more pronounced in the pearlite phase compared  
301 to the ferrite phase. This may be due to the fewer sampling points available in capturing the  
302 distribution.

### 303 **5.2. Correlation of nano properties with macroscale properties of ASTM A36 steel**

304 The relationship between nanoindentation hardness of ferrite and pearlite phases of ASTM A36 steels  
305 exposed to different elevated temperatures and their corresponding macroscale properties such as  
306 yield strength, tensile strength, and ductility were investigated. The macroscale properties were  
307 obtained from our previous study performed on the post-fire ASTM A36 steels [6]. The scattered  
308 plots between the nanoindentation hardness of the ferrite phase and the corresponding macroscale  
309 properties are provided in Fig. 14. These plots do not show any observable trends between the ferrite's  
310 nanoindentation hardness and any of the macroscale properties. The determined Pearson coefficient  
311 values for ferrite's nanoindentation hardness vs. yield strength, ferrite's nanoindentation hardness vs.  
312 tensile strength, and ferrite's nanoindentation hardness vs. ductility are 0.077, 0.291 and 0.021,  
313 respectively. These low correlation values further illustrate the lack of any correlation between the  
314 ferrite's nanoindentation hardness and any of the macroscale properties. Fig. 14 shows the scattered  
315 plots between the nanoindentation hardness of pearlite and the corresponding macroscale properties.  
316 The lack of linear association between the nanoindentation hardness and the macroscale properties  
317 are observed in these plots too. However, the relation between pearlite's nanoindentation hardness  
318 and yield strength and the relation between pearlite's nanoindentation hardness and tensile strength

319 shows a nonlinear trend. When fitted with a quadratic regression curve, these two relations yielded  
320 an R-squared value of 0.76, which suggests the existence of a nonlinear relationship between  
321 nanoindentation hardness of pearlite and yield strength and between nanoindentation hardness of  
322 pearlite and tensile strength of the metal. The nonlinear relationships are given as follows,

$$YS = -290.8\bar{H}_p^2 + 2121.4\bar{H}_p - 3481.6 \quad (6)$$

$$TS = -205.2\bar{H}_p^2 + 1511.7\bar{H}_p - 2268.7 \quad (7)$$

323 where, YS and TS refers to the yield strength and tensile strength of post-fire steels, respectively and  
324  $\bar{H}_p$  refers to the mean hardness of pearlite.

325 In the case of multi-phase steels, the yield and ultimate strength are found to be strongly correlated to  
326 the volume fraction and properties of the harder phases like martensite in many studies (Choi et al.  
327 2009; Srivastava et al. 2015; Wang et al. 2014). A strong correlation observed between the  
328 macroscopic yield and ultimate strength of ASTM A36 steel and the harder pearlite phase in this  
329 study confirms this trend reported in previously published literature. Furthermore, it is important to  
330 note that other popularly used structural steel grades like ASTM A572 and ASTM A992 also have  
331 ferrite-pearlite microstructure and hence the results obtained in this study can also be qualitatively  
332 extended to such steels [6, 47].

333 Ductility of structural steels will depend on the stress state and history, size, shape and distribution of  
334 material (Kiran and Khandelwal 2013; Kiran and Khandelwal 2014; Sajid and Kiran 2018) and  
335 surface defects in addition to the contrast in the metallurgical phase properties (Choi et al. 2009;  
336 Srivastava et al. 2015). With this, no strong correlation between ductility and metallurgical phase  
337 properties is expected. This trend is confirmed in the current study as observed in Fig. 14c and Fig.  
338 15c where no significant correlation between hardness values of both ferrite and pearlite with  
339 macroscopic mechanical properties is observed. In this context, it is worthwhile to recall that the  
340 ductility of structural steels (ASTM A36, A572 and A992) is found not to depend on metallurgical  
341 phase volumes and grain sizes as noted in a previous study [6].

## 342 5. Conclusions

343 The important conclusions of this study are:

- 344 1. The phase compositions evaluated using the proposed nanoindentation and clustering technique  
345 revealed that the post-fire ASTM A36 steel specimens had 79% to 89% of ferrite and 11% to 21%  
346 of pearlite which is consistent with a previously published metallurgical study (Sajid et al. 2020).
- 347 2. The mean hardness of ferrite ranged from 2.46 to 3.01 GPa, while Young's modulus ranged from  
348 183.8 to 225.0 GPa for different temperature exposures. On the other hand, the mean hardness of  
349 pearlite ranged from 3.11 to 4.27 GPa, while Young's modulus ranged from 195.8 to 231.2 GPa  
350 for different temperature exposures considered in this study. In other words, pearlite is harder and  
351 slightly stiffer when compared to ferrite in post-fire ASTM A36 steels. The nano properties of  
352 ferrite and pearlite in post-fire ASTM A36 are close to the ferrite and pearlite properties in other  
353 steels that are reported in the existing literature, which is summarized in Table 2.

354 3. The coefficient of variation of Young's modulus ranged from 0.031 to 0.047, which is  
355 significantly lesser than the variation range of nanoindentation hardness which is 0.049 to 0.111.  
356 The higher coefficient of variation of nanoindentation hardness might be due to the varying  
357 compositions of iron carbide present in pearlite colonies and the presence of ferrite-pearlite  
358 boundaries. Moreover, these factors did not contribute to a significant variation of Young's  
359 modulus because of the presence of iron carbides in pearlite (mixture of ferrite and iron carbides)  
360 does not alter the Youngs modulus of pearlite and the Youngs modulus of both ferrite and pearlite  
361 are numerically close.

362 4. Scattered plots drawn between the macroscale properties of the tested ASTM A36 steel  
363 specimens, namely yield strength, and tensile strength, and nanoindentation hardness indicated a  
364 quadratic correlation between the pearlite's mean nanoindentation hardness and the yield strength  
365 of different post-fire ASTM A36 steels. This quadratic correlation was also observed between  
366 pearlite's mean nanoindentation hardness and the tensile strength of the post-fire steel specimens.

367 5. No correlation between ferrite's nanoindentation hardness and the macroscale properties is  
368 observed. Furthermore, the ductility of the post-fire steel specimens does not show any correlation  
369 with the mean hardness of both ferrite and pearlite phases.

370 6. The proposed clustering of nanoindentation data can reveal the metallurgical phase compositions  
371 and nanoindentation properties simultaneously and hence can be a valuable tool to quantify the  
372 nano and macroscale property relationships.

373 **Data Availability Statement**

374 Some or all data, models, or code that support the findings of this study are available from the  
375 corresponding author upon reasonable request.

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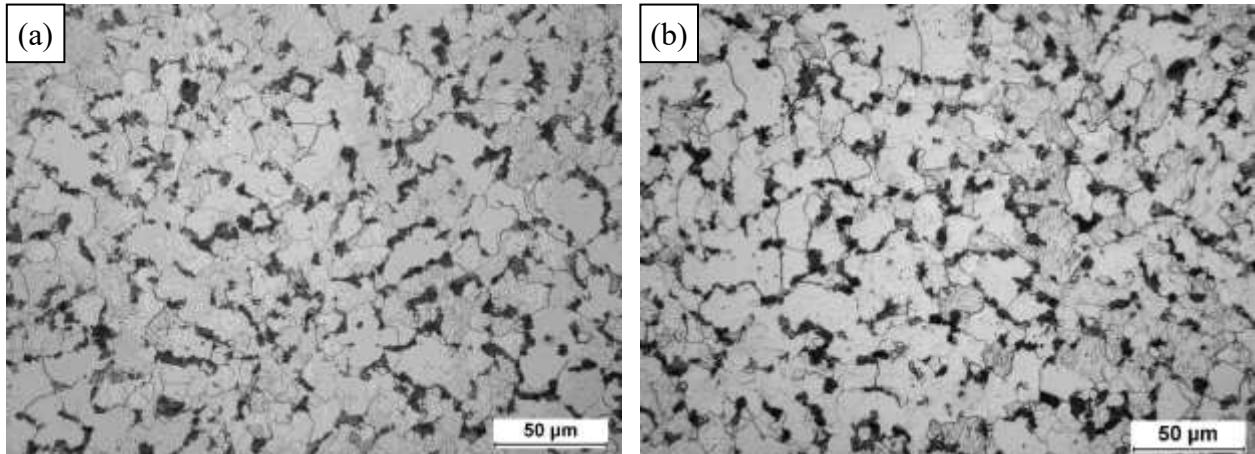
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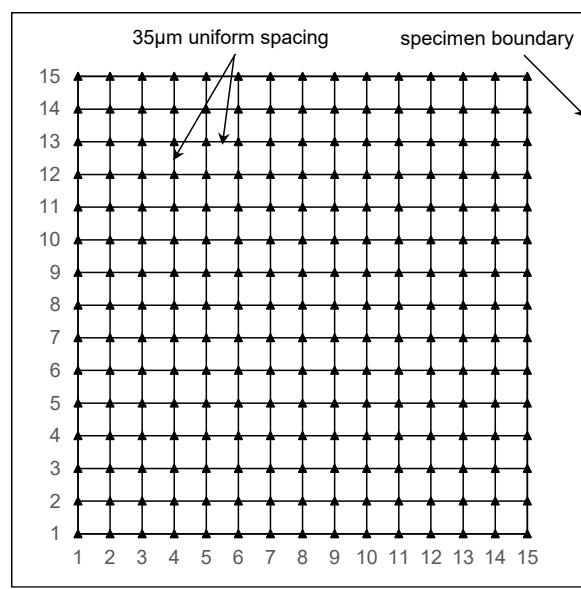
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## Figures



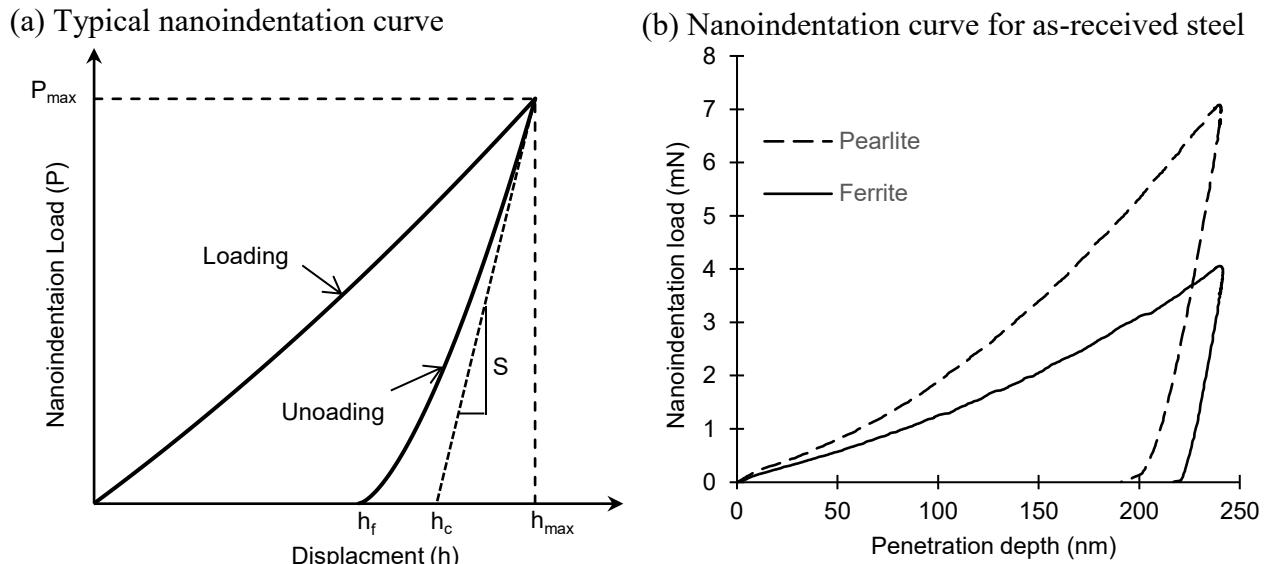
624  
625 Fig. 1: Microstructures of ASTM A36 steel obtained at (a) room temperature, and (b) air-cooled  
626 from 500°C (dark regions: pearlite, light grey regions: ferrite) obtained using inverted metallurgical  
627 microscope.

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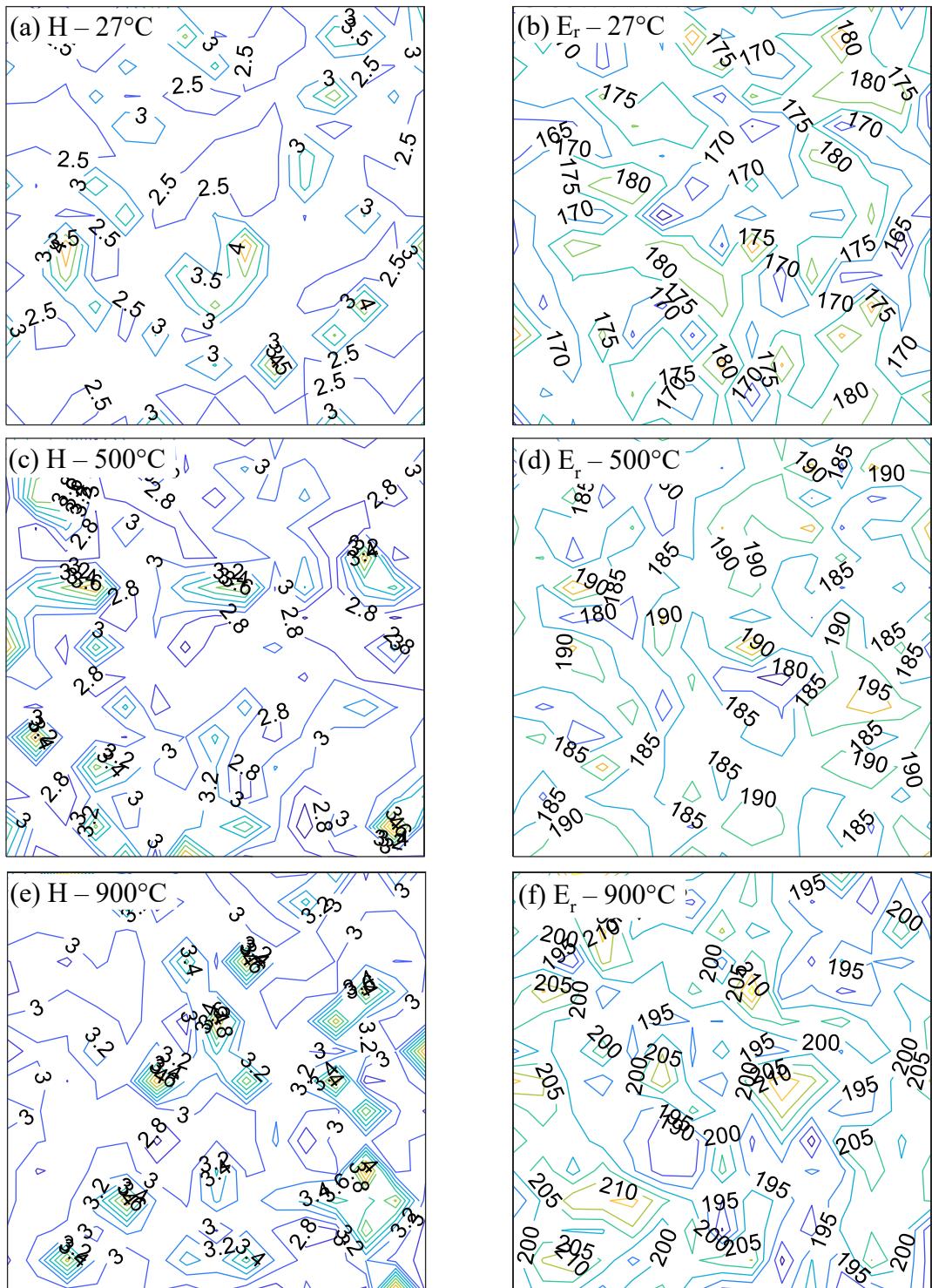
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630 Fig. 2: A layout of nanoindentations performed on ASTM A36 specimens. The layout consists of  
 631  $15 \times 15$  grid points with a spacing of  $35\mu\text{m}$  in both directions. In total, 225 nanoindentations  
 632 performed on each specimen.



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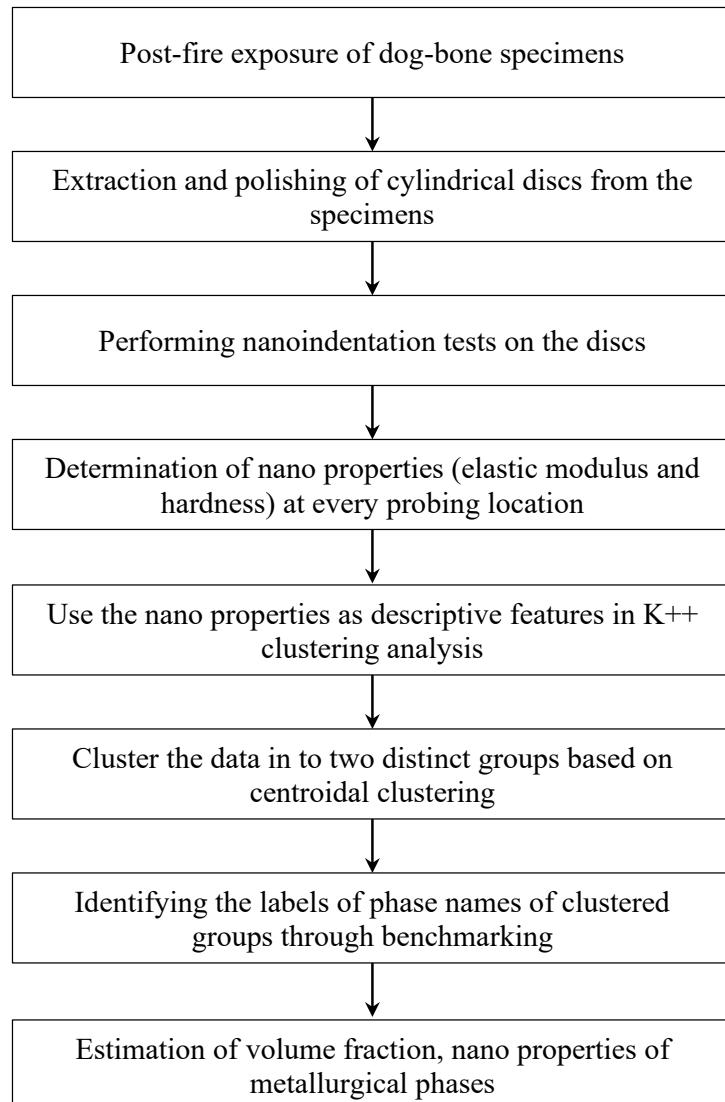
635 Fig. 3: a) Typical relationship between nanoindentation load and displacement of penetration depth  
 636 b) Nanoindentation curve obtained in the current study for as-received ASTM A36  
 637 steel specimen.



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639 Fig. 4: Contour maps of nanoindentation values – hardness (H), GPa and reduced Young's modulus  
 640 ( $E_r$ ), GPa – of A36 steel a & b) as received, air cooled from c & d) 500°C and e & f) 900°C.

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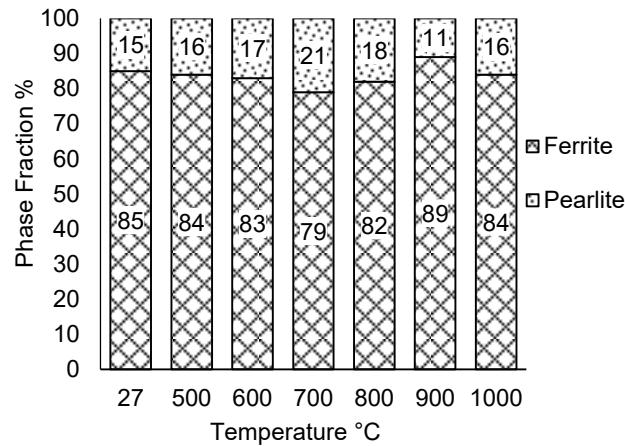


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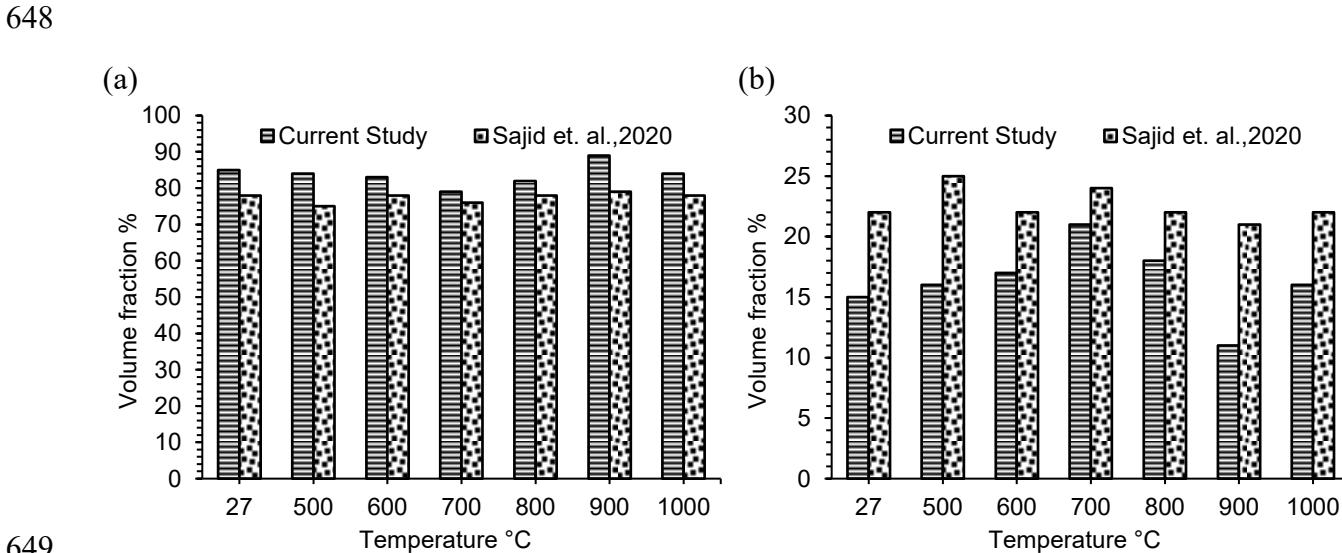
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Fig. 5: Flow chart of the research methodology employed in this study

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645  
646 Fig. 6: Prediction of volume fraction of ferrite and pearlite metallurgical phases in post-fire A36  
647 steel using K-means++ clustering on nanoindentation data.



649  
650 Fig. 7: Comparison of volume fraction of (a) ferrite and (b) pearlite metallurgical phases in post-fire  
651 A36 steel using K-means++ clustering on nanoindentation data with the reference literature (Sajid  
652 et al., 2020(Sajid et al. 2020)).

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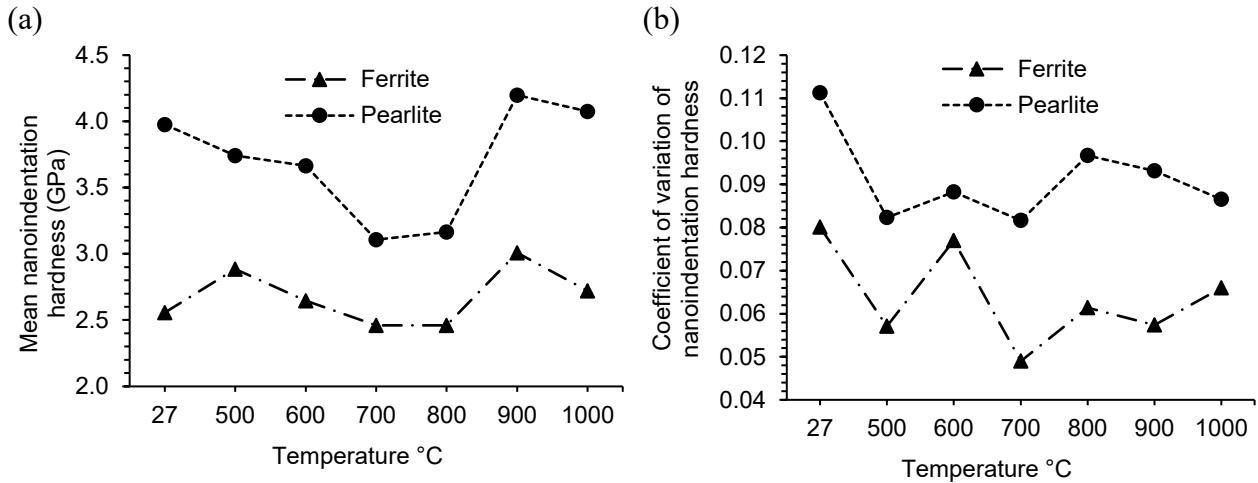
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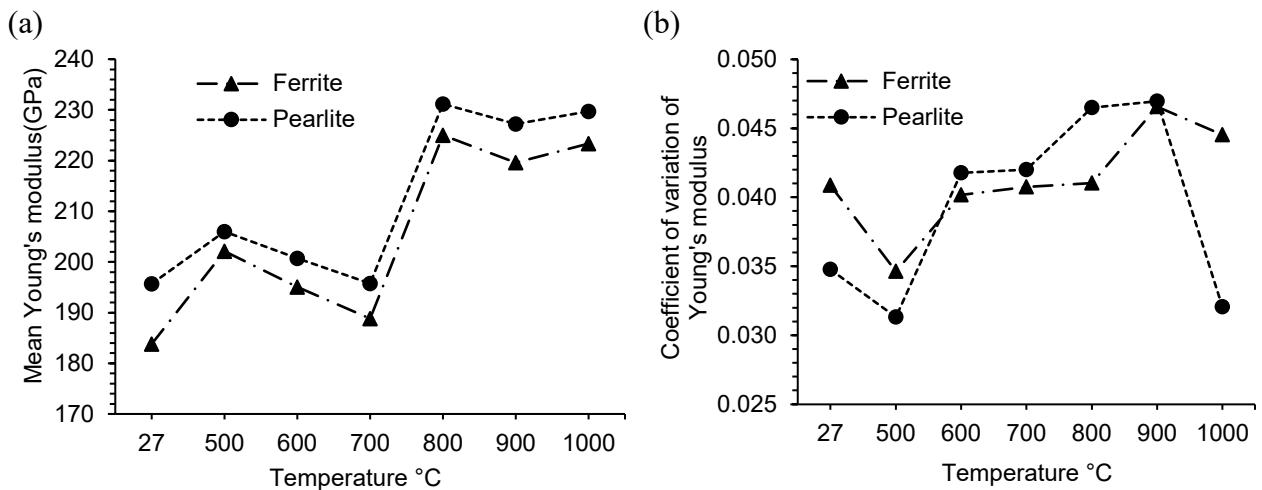
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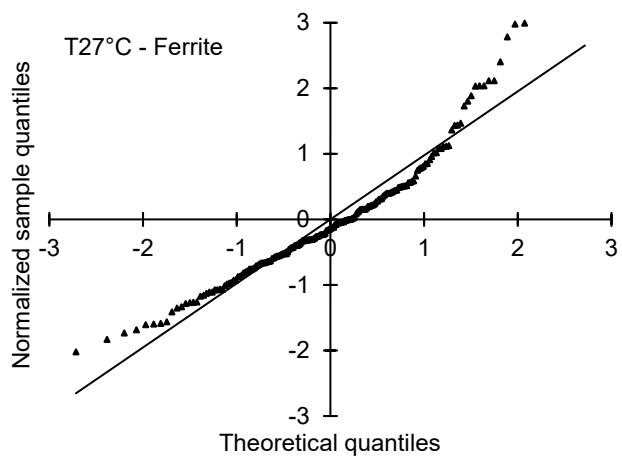
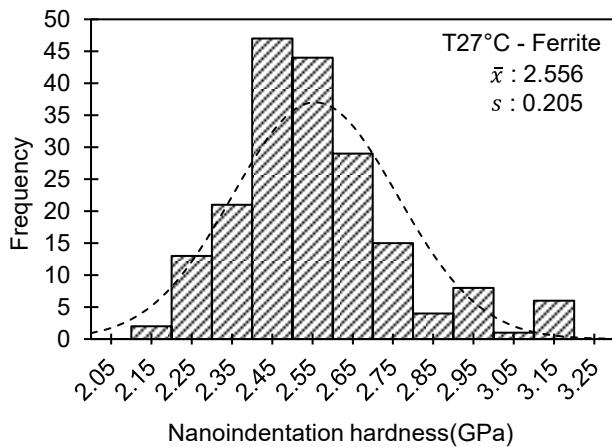


659  
660 Fig. 8: (a) Mean nanoindentation hardness and (b) coefficient of variation of nanoindentation  
661 hardness of ferrite and pearlite phases predicted by clustering techniques for ASTM A36 steel  
662 specimens air-cooled from various elevated temperatures.

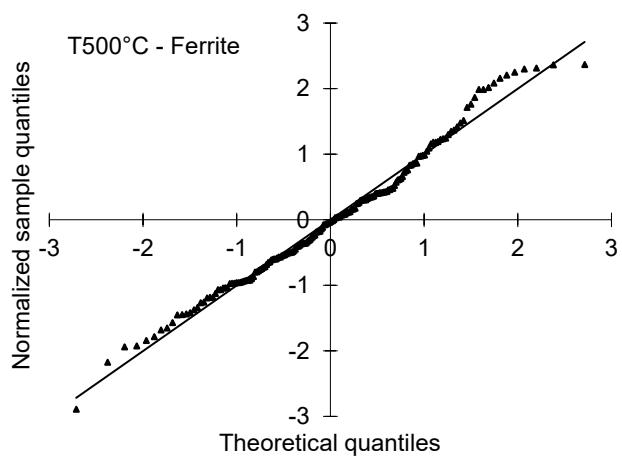
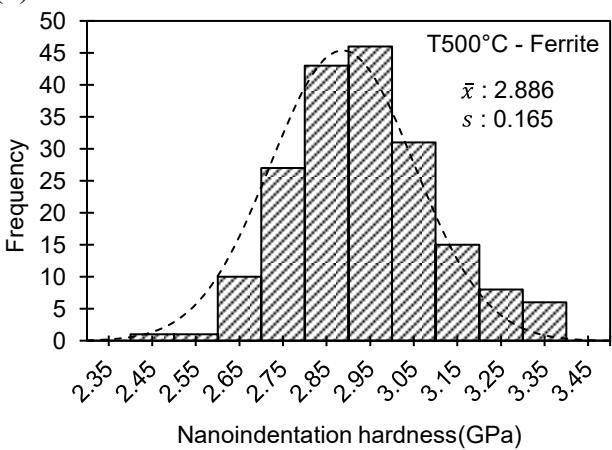


664  
665 Fig. 9: (a) Mean Young's modulus and (b) coefficient of variation of Young's modulus of ferrite  
666 and pearlite phases predicted by clustering techniques for ASTM A36 steel specimens air-cooled  
667 from various elevated temperature.

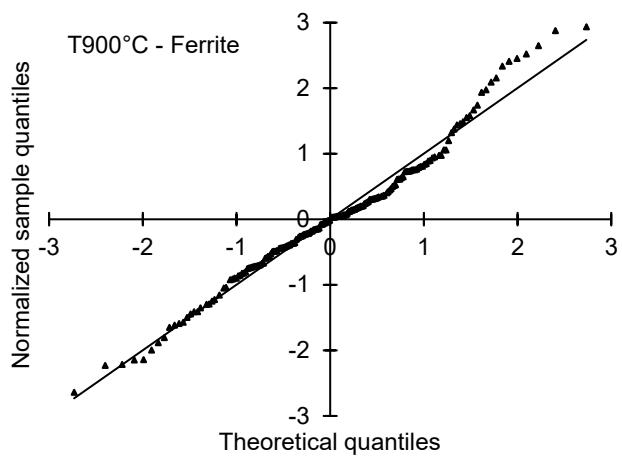
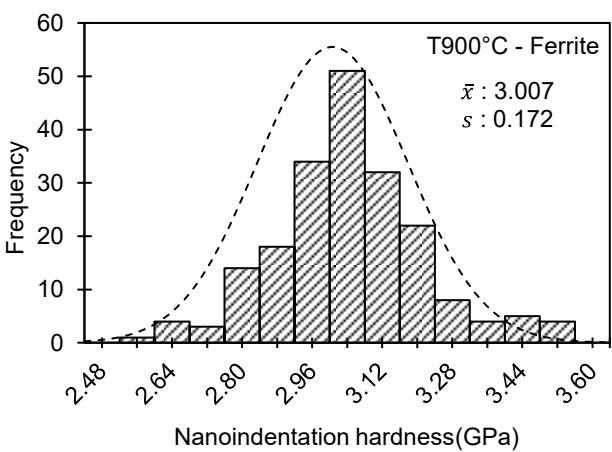
(a) AC-27°C: Ferrite



(b) AC-500°C: Ferrite



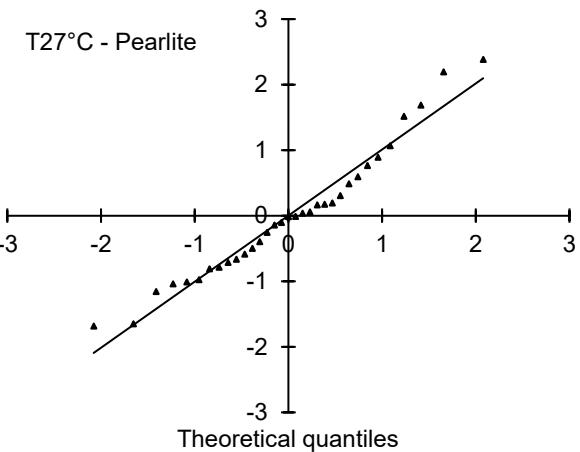
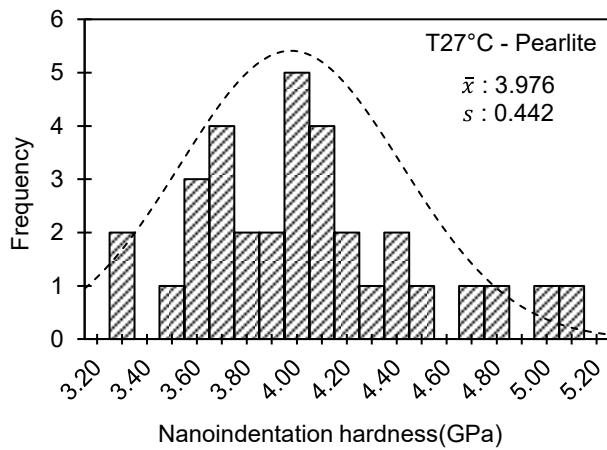
(c) AC-900°C: Ferrite



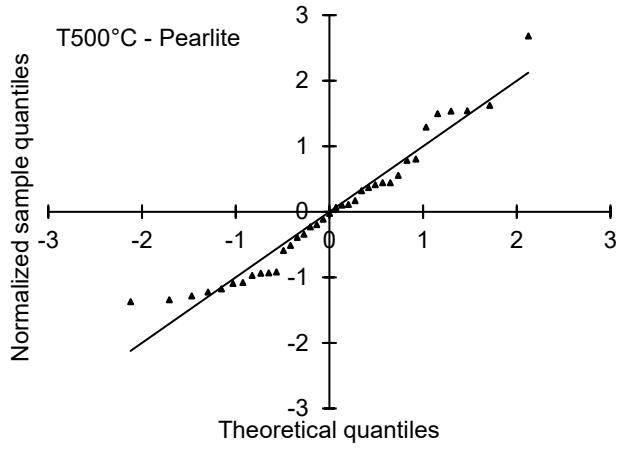
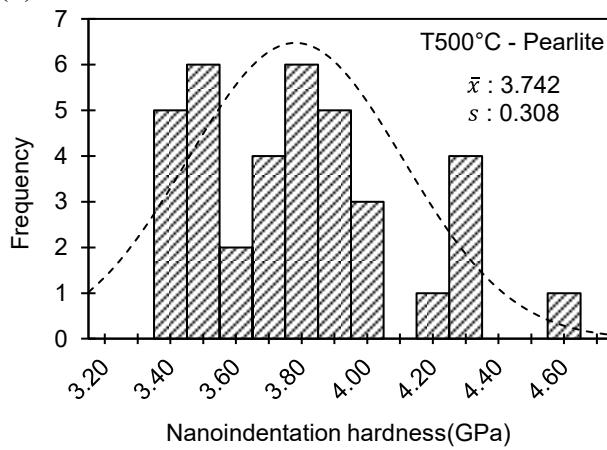
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674 Fig. 10: Histogram and Q-Q plot of nanoindentation hardness of ferrite phase for A36 steel a) as  
 675 received, air-cooled from b) 500° and c) 900°C. The probability plot shows the hardness values are  
 676 almost distributed normally. The mean hardness value of ferrite ranges from 2.46 to 3.01 GPa and  
 677 the coefficient of variation ranges from 0.049 to 0.080.

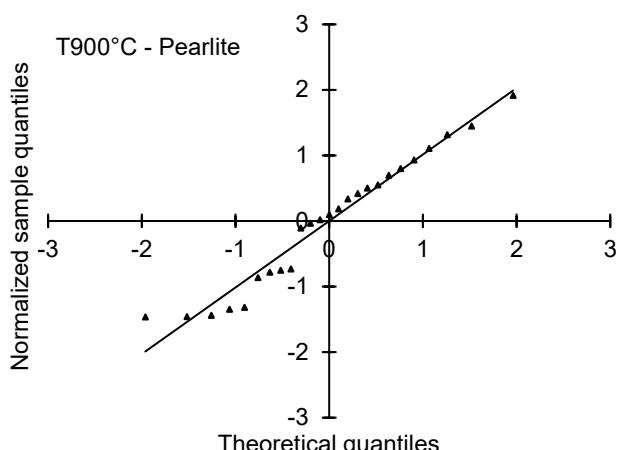
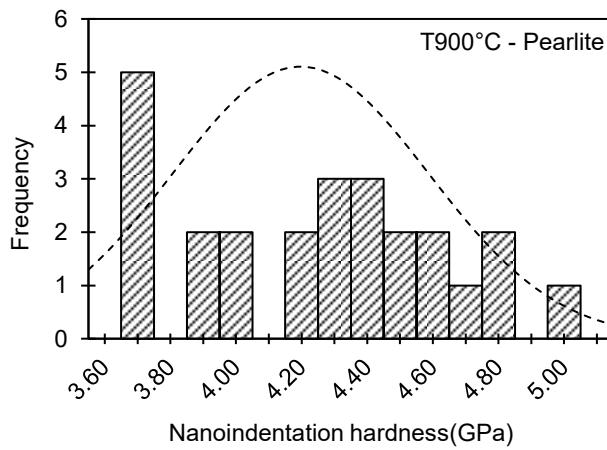
(a) AC-27°C: Pearlite



(b) AC-500°C: Pearlite



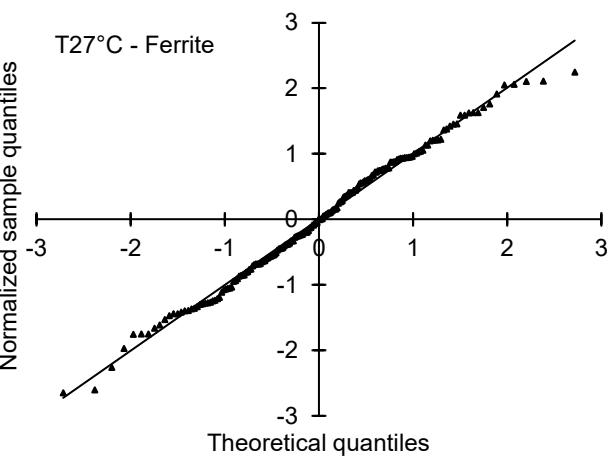
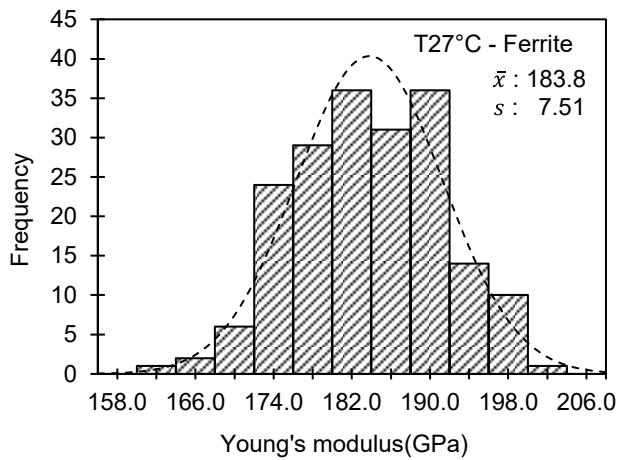
(c) AC-900°C: Pearlite



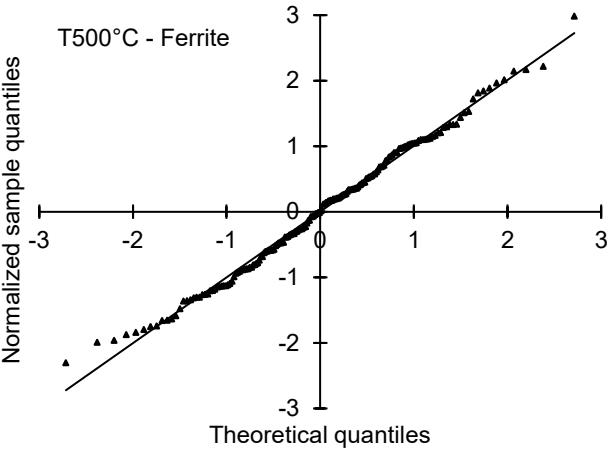
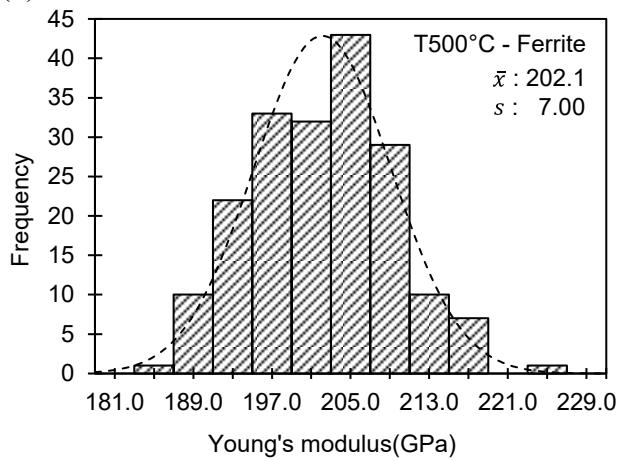
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679 Fig. 11: Histogram and probability plot of nanoindentation hardness of pearlite phase for A36 steel  
 680 at a) as received, air-cooled from b) 500°C and c) 900°C. The mean hardness value of pearlite  
 681 ranges from 3.11 to 4.20 GPa and the coefficient of variation ranges from 0.082 to 0.111.

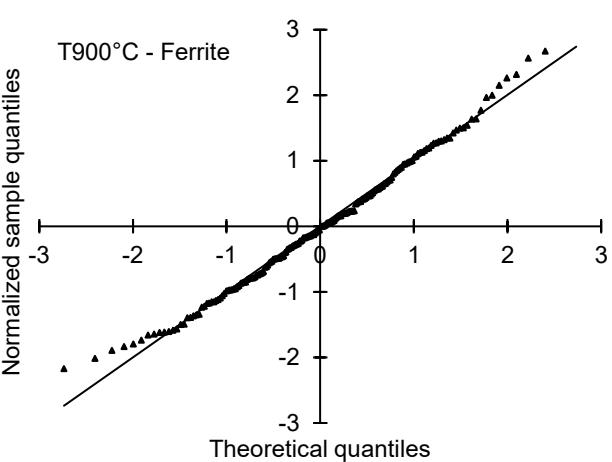
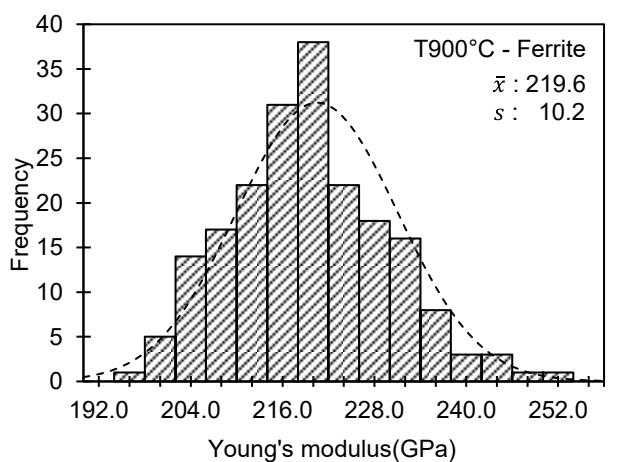
(a) AC-27°C: Ferrite



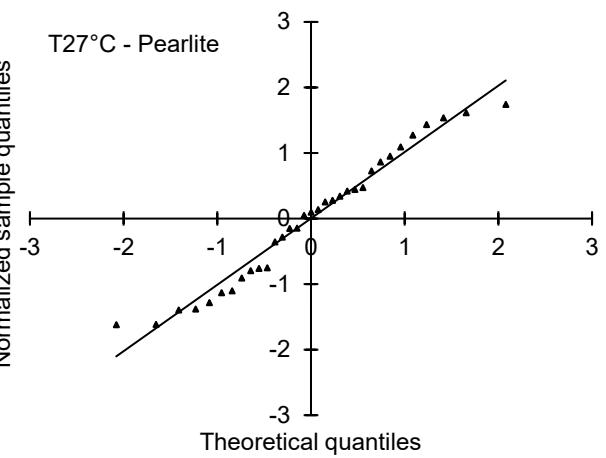
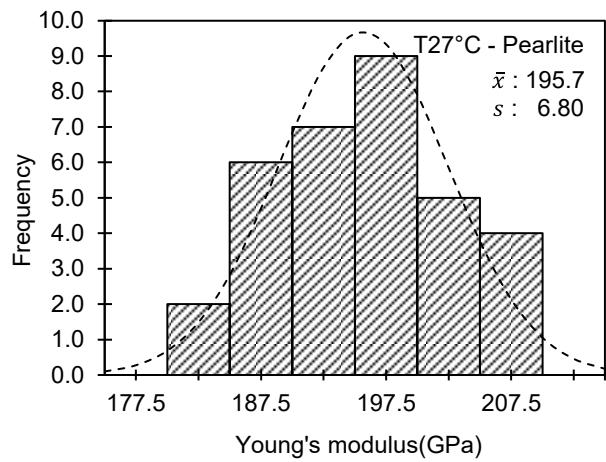
(b) AC-500°C: Ferrite



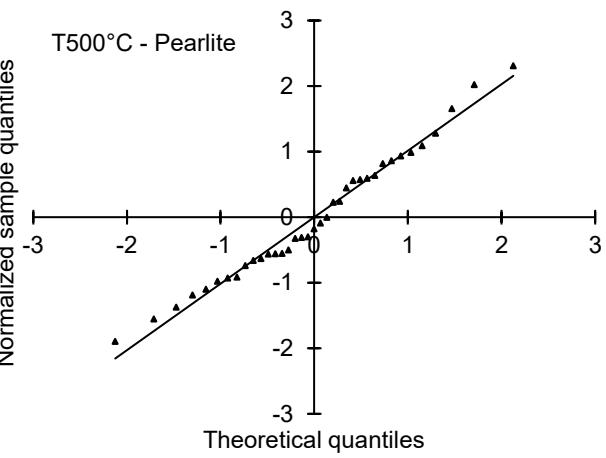
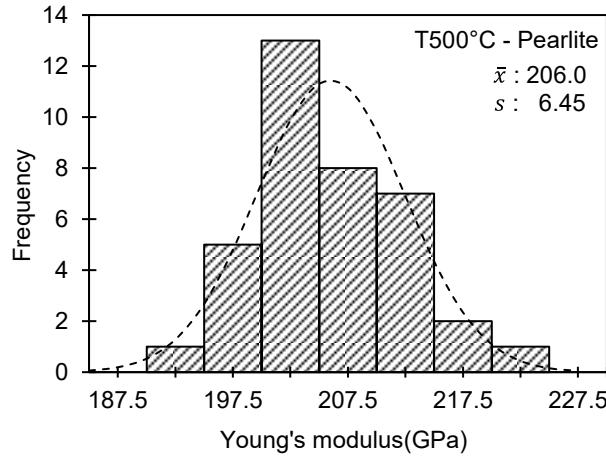
(c) AC-900°C: Ferrite



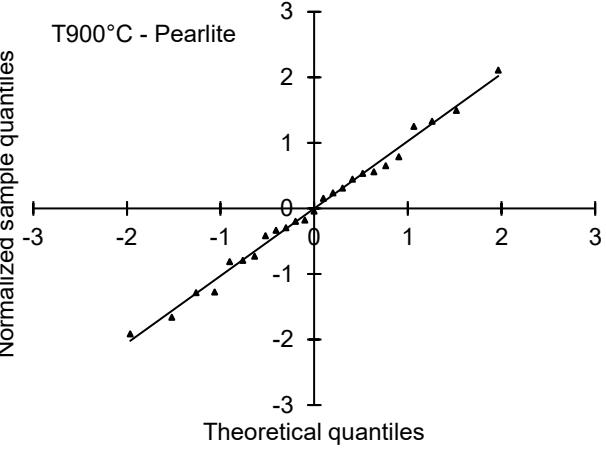
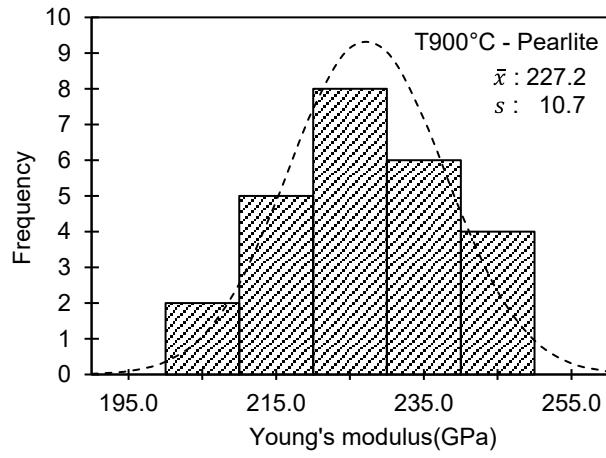
(a) AC-27°C: Pearlite



(b) AC-500°C: Pearlite



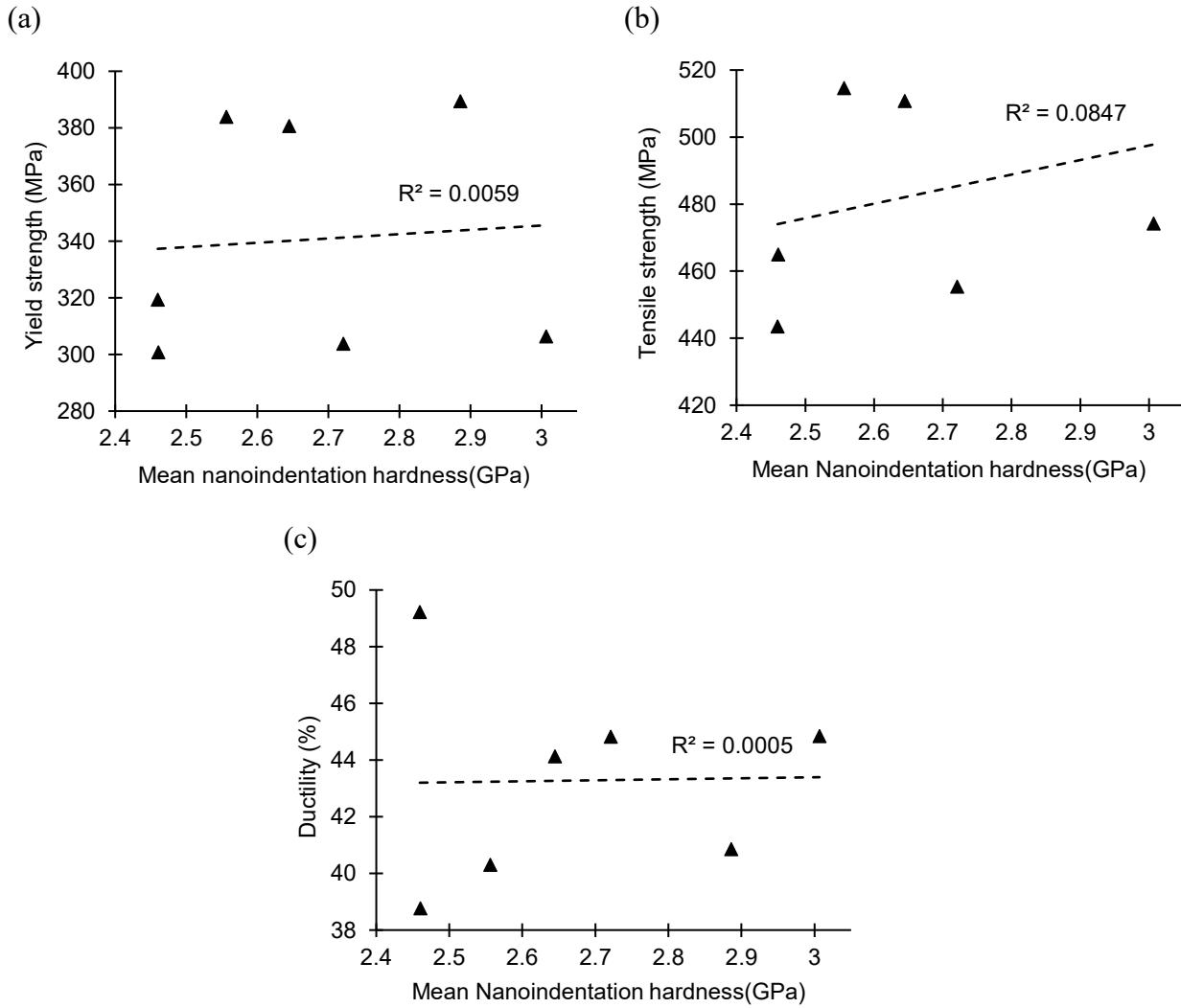
(c) AC-900°C: Pearlite



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Fig. 13: Histogram and probability plot of Young's modulus of pearlite phase for A36 steel at a) as received, air-cooled from b) 500°C and c) 900°C. The mean hardness value of pearlite ranges from 689 195.8 to 231.2 GPa and the coefficient of variation ranges from 0.031 to 0.047



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694 Fig. 14: Scattered plots and the linear regression fits for nanoindentation hardness (GPa) of ferrite  
 695 phase and (a) yield strength (MPa), (b) tensile strength (MPa), and (c) ductility of ASTM A36 steels  
 696 exposed to various elevated temperatures

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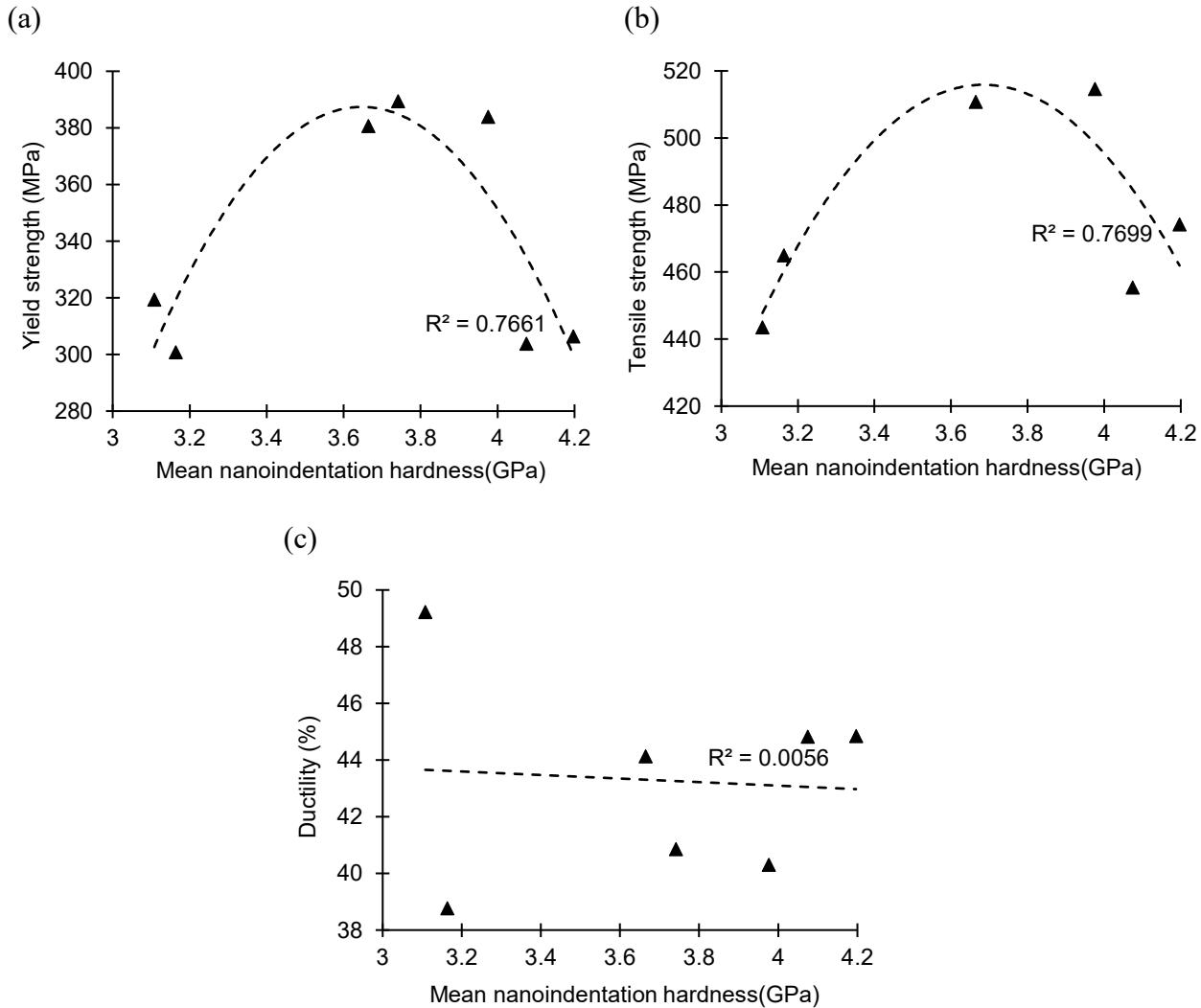
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708 Fig. 15: Scattered plots and the regression fits for nanoindentation hardness (GPa) of ferrite phase  
 709 and (a) yield strength (MPa), (b) tensile strength (MPa), and (c) ductility of ASTM A36 steels  
 710 exposed to various elevated temperatures.

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719      Table 1. A comparison of nanoindentation hardness values (GPa) of ferrite and pearlite reported  
 720      in the literature

Reference	Material	Ferrite	Pearlite	Martensite	Austenite
Chen et al. 2008 (Chen et al. 2008)	TRIP steel	2.98	-	-	-
Hernandez et al. 2010 (Baltazar Hernandez et al. 2010)	Spot welded dual-phase steel	3.00	-	7.20	-
Gadelrab et al. 2012 (Gadelrab et al. 2012)	Duplex stainless steel	3.75	-	-	3.19
Pham et al. 2014 (Pham et al. 2014)	SS400 steel weld zone (bm)	2.49	4.10	-	-
Taylor et al. 2014 (Taylor et al. 2014)	DP980 steel	3.98	-	6.46	-
Pham and Kim 2015 (Pham and Kim 2015)	SM490 steel weld zone (bm)	2.72	4.06	-	-
Mazaheri et al. 2015 (Mazaheri et al. 2015)	Dual-phase steel	1.99-3.12	-	3.59-4.44	-
Schwarm et al. 2017 (Schwarm et al. 2017)	ASTM A351 stainless steel	5.00	-	-	4.80
Current Study	ASTM A36 steel	2.46-3.01	3.11-4.20	-	-

721      Note: bm – base metal.