

**Sara M. Smith**

Department of Mechanical and  
Aerospace Engineering,  
Old Dominion University,  
Norfolk, VA 23529

**Justine Marin**

Department of Mechanical and  
Aerospace Engineering,  
Old Dominion University,  
Norfolk, VA 23529

**Amari Adams**

Department of Mechanical and  
Aerospace Engineering,  
Old Dominion University,  
Norfolk, VA 23529

**Keith West**

Department of Mechanical and  
Aerospace Engineering,  
Old Dominion University,  
Norfolk, VA 23529

**Zhili Hao**

Department of Mechanical and  
Aerospace Engineering,  
Old Dominion University,  
Norfolk, VA 23529

# Radial and Axial Motion of the Initially Tensioned Orthotropic Arterial Wall in Arterial Pulse Wave Propagation

*With the arterial wall modeled as an initially tensioned thin-walled orthotropic tube, this study aims to analyze radial and axial motion of the arterial wall and thereby reveal the role of axial motion and two initial tensions of the arterial wall in arterial pulse wave propagation. By incorporating related clinical findings into the pulse wave theory in the literature, a theoretical study is conducted on arterial pulse wave propagation with radial and axial wall motion. Since the Young wave is excited by pulsatile pressure and is examined in clinical studies, commonly measured pulsatile parameters in the Young wave are expressed in terms of pulsatile pressure and their values are calculated with the well-established values of circumferential elasticity ( $E_\theta$ ) and initial tension ( $T_{\theta 0}$ ) and assumed values of axial elasticity ( $E_x$ ) and initial tension ( $T_{x0}$ ) at the ascending aorta and the carotid artery. The corresponding values with the exclusion of axial wall motion are also calculated. Comparison of the calculated results between inclusion and exclusion of axial wall motion indicates that (1) axial wall motion does not affect radial wall motion and other commonly measured pulsatile parameters, except wall shear stress; (2) axial wall motion is caused by wall shear stress and radial wall displacement gradient with a factor of  $(T_{x0}-T_{\theta 0})$ , and enables axial power transmission through the arterial wall; and (3) while radial wall motion reflects  $E_\theta$  and  $T_{\theta 0}$ , axial wall motion reflects  $E_x$  and  $(T_{x0}-T_{\theta 0})$ . [DOI: 10.1115/1.4053863]*

**Keywords:** arterial wall, orthotropic, elasticity, initial tension, radial motion, axial motion, pulse wave propagation, atherosclerosis

## 1 Introduction

Atherosclerosis alters arterial wall mechanical properties, thereby affecting pulse wave propagation in arteries and ultimately causing heart disease. In clinical studies, different pulsatile parameters at an artery are measured for the early detection of atherosclerosis [1–6]. To date, the majority of clinical measures are focused on the radial motion of the arterial wall and have shed great insights into its physiological implications. However, these clinical measures remain limited for the detection of subclinical atherosclerosis [1–6]. In recent years, the axial displacement amplitude of the arterial wall is found to be comparable with its radial displacement amplitude (e.g., 0.5 mm versus 0.6 mm at the carotid artery [4]) [1–6]. A recent clinical study finds that axial motion of the arterial wall is a more sensitive measure of subclinical atherosclerosis, and correlates with cardiovascular (CV) risk factors differently, as compared with radial-motion-based clinical measures [3]. Meanwhile, the studies on excised arteries find that axial initial tension in the arterial wall affects arterial wall remodeling and growth and plays an important role in vascular homeostasis [7,8]. Circumferential initial tension in the arterial wall is also found to affect the pulse wave propagation velocity [9]. Yet, in recent decades, the theories and related numerical models on arterial pulse wave propagation are focused on the governing equations of blood flow in an artery and include only radial motion of the arterial wall as a boundary condition, and thus are unsuitable to examine the axial motion and two initial

tensions of the arterial wall for their role in arterial pulse wave propagation [10].

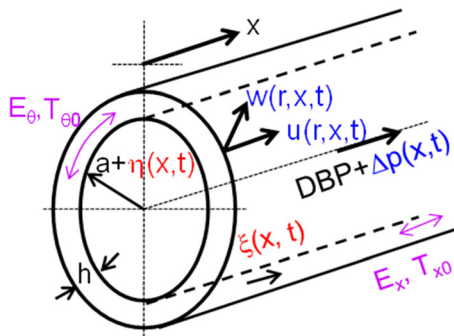
A long history exists on studying the problem of pulsatile blood flow in arteries. In the 1950–1960s, a large body of literature was established that examines this problem with radial and axial motion of the arterial wall [11–15]. Among them, Womersley [11] established the theoretical framework by treating blood flow as incompressible, Newtonian fluid, and the arterial wall as a thin-walled isotropic tube. Based on this framework, many other factors, including various tube models for the arterial wall [14], were considered for their influence on arterial pulse wave propagation. For instance, Atabek [12] treated the arterial wall as a thin-walled orthotropic tube and considered its two initial tensions, and Mirsky [13] treated the arterial wall as a thick-walled orthotropic tube but did not consider the two initial tensions. Note that all these theories on arterial pulse wave propagation assume that the arterial wall mechanical properties do not vary along its thickness. Axial displacement of the arterial wall was not measurable in vivo and was considered to be negligible at that time, as compared with its radial displacement [11–15]. Therefore, these theories did not pan out for clinical studies later on, and the theories considering the solely radial motion of the arterial wall have become dominant [10]. Meanwhile, various constitutive models of the arterial wall are also developed that consider three layers and different biological components (e.g., elastin and collagen) in the arterial wall along its thickness [16,17]. These constitutive models are aimed to examine the relation of mechanical function to biological function in the arterial wall. Given that clinical studies measure the arterial wall motion at one point along the wall thickness to represent the collective behavior of the arterial wall at an artery site [1–6], these constitutive models are impractical for clinical application.

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To reveal the role of axial wall motion and the two initial tensions in arterial pulse wave propagation with mathematical simplicity, we treat the arterial wall as an initially tensioned thin-walled orthotropic tube and thus adopt the theory developed by Atabek [12]. In Atabek's theory [12], a total of nine arterial wall mechanical properties are needed to define the contribution of the arterial wall to pulse wave propagation. Given that only four pulsatile parameters (i.e., pulsatile blood pressure, axial blood flow rate, radial wall motion, and axial wall motion) [1,2] are independent measurables at an artery in clinical studies, such a large number of wall properties make the theory impractical for clinical application. The relation of wave velocity and wave transmission to Womersley number was examined under different assumed values of the nine wall properties, but the influence of axial wall motion on commonly measured pulsatile parameters at an artery was not studied, and pulsatile parameters enabled by axial wall motion were not explored either [12]. Three axial constraints were considered to reduce the unpractically large axial wall displacement, which is inconsistent with the well-accepted theory on radial wall motion [12]. As compared with Atabek's work [12], the original contributions of this study include: (1) we incorporate related clinical findings into the Atabek's theory and remove axial constraints from it so that only four arterial wall mechanical properties: elasticity and initial tension in the axial and circumferential directions, are needed to define the contribution of the arterial wall to pulse wave propagation; (2) axial elasticity is utilized to factor in axial constraints and adjust axial wall displacement, consistent with the circumferential elasticity for radial wall displacement; (3) with inclusion and exclusion of arterial wall motion, we derive theoretical expressions for wave velocity, commonly measured pulsatile parameters, and pulsatile parameters enabled by axial wall motion, and calculate their values at the ascending aorta (AA) and the carotid artery (CA); and (4) comparison of the calculated values at the two arteries between inclusion and exclusion of axial wall motion are conducted to reveal the role of axial wall motion and the two initial tensions and associated physiological implications.

## 2 Arterial Pulse Wave Propagation Theory With Radial and Axial Motion of the Arterial Wall in the Literature

This section presents the theory developed by Atabek [12]. The arterial wall is initially tensioned in the axial direction, due to its anatomy [7,8], and in the circumferential direction, due to diastolic blood pressure (DBP). As such, the arterial wall is modeled as an initially tensioned thin-walled orthotropic tube. As shown in Fig. 1(a), the arterial wall geometry includes the inner radius  $a$  at DBP and thickness  $h$ . The arterial wall has elasticity  $E_\theta$  and initial tension per unit length  $T_{\theta 0} = \text{DBP} \times a$  in the circumferential direction, and elasticity  $E_x$  and initial tension per unit length  $T_{x0}$  in the



**Fig. 1 Schematics of an artery: arterial wall geometries: inner radius  $a$  at DBP and thickness  $h$ ; arterial wall mechanical properties:  $E_x$ ,  $T_{x0}$ ,  $E_\theta$ , and  $T_{\theta 0}$ ; three pulsatile parameters in blood flow:  $w(r, x, t)$ ,  $u(r, x, t)$ , and  $\Delta p(x, t)$ ; and two pulsatile parameters in the arterial wall:  $\eta(x, t)$  and  $\xi(x, t)$**

axial direction ( $x$ -axis). During the time  $t$  of a pulse cycle, the arterial wall at a fixed axial-position  $x$  undergoes radial and axial motion, and thus has two pulsatile parameters: radial displacement  $\eta(x, t)$  and axial displacement  $\xi(x, t)$ . The blood flow in the artery is assumed to be an incompressible, Newtonian fluid, and has three pulsatile parameters: radial blood flow velocity  $w(r, x, t)$ , axial blood flow velocity  $u(r, x, t)$ , and pulsatile blood pressure  $\Delta p(r, x, t)$ , where the radial coordinate  $r$  varies within  $r \in (0, a)$ .

Three fundamental assumptions for arterial pulse wave propagation [11–12] are that (1) The five pulsatile parameters are axisymmetric and small perturbations; (2) Change of the inner radius of the arterial wall is negligible during arterial wall motion so that the conditions and stresses at the blood-wall interface are calculated at  $r = a$ ; and (3) The inner radius of the arterial wall is much smaller than the pulse wavelength  $\lambda$  ( $a \ll \lambda$ ) and thus  $\Delta p(r, x, t)$  is independent of  $r$  and becomes  $\Delta p(x, t)$  [11,12].

The governing equations of blood flow in an artery include the continuity equation and the Navier–Stokes equations in the radial ( $r$ -axis) and axial ( $x$ -axis) directions [11]

$$\frac{\partial w}{\partial r} + \frac{w}{r} + \frac{\partial u}{\partial x} = 0 \quad (1a)$$

$$\rho_b \frac{\partial w}{\partial t} = -\frac{\partial \Delta p}{\partial r} + \mu \left( \frac{\partial^2 w}{\partial r^2} + \frac{1}{r} \frac{\partial w}{\partial r} - \frac{w}{r^2} + \frac{\partial^2 w}{\partial x^2} \right) \quad (1b)$$

$$\rho_b \frac{\partial u}{\partial t} = -\frac{\partial \Delta p}{\partial x} + \mu \left( \frac{\partial^2 u}{\partial r^2} + \frac{1}{r} \frac{\partial u}{\partial r} + \frac{\partial^2 u}{\partial x^2} \right) \quad (1c)$$

where  $\rho_b$  and  $\mu$  denote the blood density and viscosity, respectively. The solution to Eq. (1) is the wave expressions for  $w$ ,  $u$ , and  $\Delta p$  [11]

$$w = \left[ -\Delta p_0 \frac{\beta_0^2 r}{2\mu \alpha_0^2} + B \frac{\beta_0}{\alpha_0 J_0(\alpha_0)} J_1(\alpha_0 r/a) \right] \cdot e^{i(\omega t - kx)} \quad (2a)$$

$$u = \left[ -\Delta p_0 \frac{\beta_0 a}{\mu \alpha_0^2} + B \frac{J_0(\alpha_0 r/a)}{J_0(\alpha_0)} \right] \cdot e^{i(\omega t - kx)} \quad (2b)$$

$$\Delta p = \Delta p_0 \cdot e^{i(\omega t - kx)} \quad (2c)$$

where  $\alpha_0^2 = i^3 \alpha^2$  with  $\alpha = a\sqrt{\rho_b \omega / \mu}$  being Womersley number and  $\beta_0 = i a \omega / c = i \beta$  [11];  $\omega$  and  $k$  are the angular frequency of the heart rate and the wave number, respectively; and  $c$  is the wave velocity.

The governing equations of the arterial wall for its radial and axial motion are [12]

$$\rho h \frac{\partial^2 \eta}{\partial t^2} = \left\{ \Delta p - 2\mu \cdot \frac{\partial w}{\partial r} \right\}_{r=a} + T_{\theta 0} \cdot \frac{\eta}{a^2} + T_{x0} \cdot \frac{\partial^2 \eta}{\partial x^2} - \frac{E_\theta h}{1 - \nu_\theta \nu_x} \cdot \left\{ \frac{\eta}{a^2} + \frac{\nu_x}{a} \cdot \frac{\partial \xi}{\partial x} \right\} \quad (3a)$$

$$(\rho h + M) \frac{\partial^2 \xi}{\partial t^2} + C \frac{\partial \xi}{\partial t} + K \xi = -\mu \left\{ \frac{\partial u}{\partial r} + \frac{\partial w}{\partial x} \right\}_{r=a} + \frac{\gamma E_\theta h}{1 - \nu_\theta \nu_x} \cdot \left\{ \frac{\partial^2 \xi}{\partial x^2} + \frac{\nu_\theta}{a} \cdot \frac{\partial \eta}{\partial x} \right\} + \frac{T_{x0} - T_{\theta 0}}{a} \cdot \frac{\partial \eta}{\partial x} \quad (3b)$$

where  $\rho$ ,  $\nu_\theta$ , and  $\nu_x$  denote the density, circumferential Poisson's ratio, and axial Poisson's ratio of the arterial wall, respectively; and  $\gamma = E_x / E_\theta$ . Representing the tethering effect of neighboring tissue outside the arterial wall,  $M$ ,  $C$ , and  $K$ , are inertial, damping, and elastic constraints, respectively, in the axial direction. The first

term on the right side of Eq. (3b) is wall shear stress (wss)  $\tau_w$ . No-slip conditions at the blood-wall interface demand the blood flow velocities be equal to the arterial wall velocities in the radial and axial directions at the blood-wall interface

$$w_{r=a} = \frac{\partial \eta}{\partial t} \quad (3c)$$

$$u_{r=a} = \frac{\partial \xi}{\partial t} \quad (3d)$$

In Eq. (3), there are nine mechanical properties of the arterial wall:  $E_\theta$ ,  $T_{\theta 0}$ ,  $v_\theta$ ,  $E_x$ ,  $T_{x0}$ ,  $v_x$ ,  $M$ ,  $C$ , and  $K$ . Note that the wall density is not included here, since it is commonly assumed constant in clinical studies and has a negligible role in affecting arterial pulse wave propagation.

The wave expressions for  $\eta$  and  $\xi$  are

$$\eta = \eta_0 \cdot e^{i(\omega t - kx)} \quad (4a)$$

$$\xi = \xi_0 \cdot e^{i(\omega t - kx)} \quad (4b)$$

Four constant unknowns:  $\Delta p_0$ ,  $B$ ,  $\eta_0$ , and  $\xi_0$ , are involved in the five pulsatile parameters in Eqs. (2) and (4). Substituting Eqs. (2) and (4) into Eq. (3) leads to a  $4 \times 4$  matrix equation with a vector of the four constant unknowns. A nonzero solution for the four constant unknowns demands that the determinant of the  $4 \times 4$  matrix in the equation be equal to zero, leading to the frequency equation that determines wave velocity and wave transmission in the Young wave and the Lamb wave in an artery. Since the Young wave is excited by pulsatile pressure [15,18], the rest three constant unknowns are further expressed in terms of  $\Delta p_0$ , based on the  $4 \times 4$  matrix equation [12]. With the neglect of  $M$ ,  $C$ , and  $K$ , the axial wall displacement was found to be extremely large, as compared with the radial wall displacement. Thus,  $M$ ,  $C$ , and  $K$  were included for reducing the axial wall displacement. With different assumed values of the nine wall properties, the relation of the wave velocity and wave transmission in the two waves versus the Womersley number was examined in Ref. [12].

### 3 Improved Arterial Pulse Wave Propagation Theory With Radial and Axial Motion of the Arterial Wall

**3.1 The Governing Equations of the Arterial Wall Modified With Related Clinical Findings.** Although in vitro studies found that the arterial wall may be considered incompressible

[19], Poisson's ratio of the arterial wall is assumed to be zero in obtaining the value of  $E_\theta$  in clinical studies [1–6]. The great similarity in the measured waveforms of  $\Delta p(x, t)$  and  $\eta(x, t)$  at an artery [10] might indicate that the contribution of  $v_x \cdot \partial \xi / \partial x$  to  $\eta(x, t)$  is negligible in Eq. (3a). Thus, it is reasonable to assume  $v_x = 0$ . As compared with the rest terms, the inertial term, the  $T_{x0}$ -associated term, and the  $\partial w / \partial r$ -associated term are small quantities, and then Eq. (2a) is reduced to

$$0 = \{\Delta p\}_{r=a} - (E_\theta h - T_{\theta 0}) \cdot \frac{\eta}{a^2} \quad (5)$$

Although neighboring tissue outside the arterial wall is present, no radial constraints are considered to represent its tethering effect on the radial wall displacement when the value of  $E_\theta$  is derived from the measured  $\Delta p$ - $\eta$  relation in clinical studies [1–6]. Thus, the value of  $E_\theta$  factors in the tethering effect of neighboring tissue in the radial direction. Similarly, it is reasonable to factor the tethering effect of neighboring tissue on the axial direction in the value of  $E_x$ , which is consistent with  $E_\theta$  for the radial wall displacement. By removing the axial constraints, Eq. (3b) is rewritten as

$$\begin{aligned} \rho h \frac{\partial^2 \xi}{\partial t^2} &= \gamma E_\theta h \cdot \frac{\partial^2 \xi}{\partial x^2} - \tau_w + \frac{\gamma v_\theta + \tau_{x0} - \tau_{\theta 0}}{a} E_\theta h \cdot \frac{\partial \eta}{\partial x} \quad \text{with} \\ \tau_w &= \mu \left\{ \frac{\partial u}{\partial r} + \frac{\partial w}{\partial x} \right\}_{r=a} \end{aligned} \quad (6)$$

Note that  $\tau_w$  and the  $\partial \eta / \partial x$  term with a factor of  $(\gamma v_\theta + \tau_{x0} - \tau_{\theta 0})$  are the two sources for  $\xi(x, t)$ . By adjusting the value of  $\tau_{x0}$ ,  $\gamma v_\theta$  can be factored into  $(\tau_{x0} - \tau_{\theta 0})$ , without altering  $\xi(x, t)$ . Then, it is also assumed that  $v_\theta = 0$  and Eq. (6) is reduced to

$$\rho h \frac{\partial^2 \xi}{\partial t^2} = \gamma E_\theta h \cdot \frac{\partial^2 \xi}{\partial x^2} - \tau_w + \frac{\tau_{x0} - \tau_{\theta 0}}{a} E_\theta h \cdot \frac{\partial \eta}{\partial x} \quad (7)$$

Based on Eqs. (5) and (7), only four arterial wall mechanical properties:  $E_\theta$ ,  $T_{\theta 0}$ ,  $E_x$ , and  $T_{x0}$ , are needed to define the contribution of the arterial wall to arterial pulse wave propagation.

**3.2 Solution.** Substituting the wave expressions for the five pulsatile parameters into Eqs. (3c), (3d), (5), and (7) leads to the following  $4 \times 4$  matrix equation with a vector of the four constant unknowns:

$$\begin{bmatrix} -\frac{\beta_0 a}{\mu \alpha_0^2} & 1 & 0 & -i\omega \\ -\frac{\beta_0^2 a}{2\mu \alpha_0^2} & \frac{1}{2} \beta_0 F_{10} & -i\omega & 0 \\ 1 & 0 & (\tau_{\theta 0} - 1) \frac{E_\theta h}{a^2} & 0 \\ -\frac{\beta_0^3}{2\alpha_0^2} & \frac{\mu \alpha_0^2}{2a} F_{10} & -(\tau_{x0} - \tau_{\theta 0}) \frac{E_\theta h \beta_0}{a^2} & \frac{\gamma E_\theta h \beta_0^2}{a^2} + \rho h \omega^2 \end{bmatrix} \begin{bmatrix} \Delta p_0 \\ B \\ \eta_0 \\ \xi_0 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \end{bmatrix} \quad (8)$$

where

$$\tau_{\theta 0} = T_{\theta 0} / (E_\theta h), \quad \tau_{x0} = T_{x0} / (E_\theta h), \quad F_{10} = \frac{2J_1(\alpha_0)}{\alpha_0 J_0(\alpha_0)} \quad (9)$$

Note that  $\tau_{x0}$  and  $\tau_{\theta 0}$  are normalized initial tensions, and  $F_{10}$  is due to fluid-loading and takes complex values.

Assigning the determinant of the  $4 \times 4$  matrix in Eq. (8) to zero gives rise to the frequency equation that determines wave velocities in an artery

$$\begin{aligned} & \{F_{10}(2\tau_{x0} - \tau_{\theta 0} - 1) - 2(1 - F_{10})(1 - \tau_{\theta 0})K - 4\gamma\} \frac{c_0^2}{c^2} \\ & + 4(1 - F_{10}) \cdot \{(1 - \tau_{\theta 0})\gamma\} \frac{c_0^4}{c^4} + F_{10} + 2K = 0 \end{aligned} \quad (10)$$

where

$$c_0 = \sqrt{\frac{E_0 h}{2\rho_b a}}, \quad K = \frac{\rho h}{\rho_b a} \quad (11)$$

Equation (10) is a quadratic equation of  $c_0^2/c^2$ , indicating the existence of the two waves: The Young wave and Lamb wave [13–15]. The two roots of Eq. (10) correspond to the Young wave ( $c_1$ ) and the Lamb wave ( $c_2$ ). The wave velocity (or phase velocity) of the Young wave and the Lamb wave become real( $c_1$ ) and real( $c_2$ ), respectively. The wave transmission per wavelength is then calculated as  $\exp(-2\pi Y/X)$ , with  $c = X + Yi$  (Note:  $X$  and  $Y$  take real values).

Removal of  $F_{10}$ ,  $\tau_{x0}$ , and  $\tau_{\theta 0}$  in Eq. (8) separates the Young wave and the Lamb wave and leads to the wave velocities of uncoupled radial motion and axial motion of the arterial wall, respectively,

$$c_1 = c_0 = \sqrt{\frac{E_0 h}{2\rho_b a}} = PWV \quad (\text{uncoupled Young wave}) \quad (12a)$$

$$c_2 = \sqrt{\frac{E_x}{\rho}} = c_L \quad (\text{Uncoupled Lamb wave}) \quad (12b)$$

Note that  $c_1$  is reduced to the Moens–Korteweg formula for pulse wave velocity (PWV), which is commonly used in clinical studies [1–6,10], and  $c_2$  is reduced to longitudinal elastic wave velocity  $c_L$  [18].

**3.3 Commonly Measured Pulsatile Parameters in the Young Wave.** In clinical studies, all the measured pulsatile parameters are considered in the Young wave. Although the Lamb wave was measured on excised arteries [20], very little effort has been taken on measuring the Lamb wave in clinical studies. Therefore, only the Young wave is analyzed here. In terms of  $\Delta p_0$ , the rest three constant unknowns can be derived from Eq. (8)

$$\eta_0 = \frac{a}{2c^2 \rho_b} (1 + mF_{10}) \Delta p_0, \quad \xi_0 = \frac{(1 + m)}{i\omega c \rho_b} \Delta p_0, \quad B = \frac{m}{c \rho_b} \Delta p_0 \quad (13)$$

where

$$m = \frac{\frac{c^2}{c_0^2} + (\tau_{\theta 0} - 1)}{(1 - \tau_{\theta 0}) F_{10}} \quad (14)$$

Note that  $\Delta p_0$ ,  $\eta_0$ , and  $\xi_0$  represent the amplitudes of pulsatile pressure, and radial displacement and axial displacement of the arterial wall, respectively.

In clinical studies,  $\Delta p(x, t)$  and  $\eta(x, t)$  are commonly measured for  $E_0$  at an artery [1–6]. Additionally, blood flow rate  $Q(x, t)$ , axial power transmission through blood flow  $P_{\text{blood}}(x, t)$ , and wall shear stress  $\tau_w(x, t)$  at an artery are also measured [10]. Their theoretical expressions in terms of  $\Delta p(x, t)$  are derived

$$Q = \int_0^a u 2\pi r dr = \frac{\pi a^2}{c \rho_b} (1 + mF_{10}) \cdot \Delta p \quad (15)$$

$$P_{\text{blood}} = \Delta p \cdot Q = \frac{\pi a^2}{c \rho_b} (1 + mF_{10}) \cdot \Delta p^2 \quad (16)$$

$$\tau_w = \frac{a\omega}{2c} \cdot imF_{10} \cdot \Delta p \quad (17)$$

**3.4 Pulsatile Parameters Enabled by Axial Wall Motion in the Young Wave.** Inclusion of  $\xi(x, t)$  implies that wall shear stress  $\tau_w(x, t)$  and the  $\partial\eta/\partial x$  term with a factor of  $(\tau_{x0} - \tau_{\theta 0})$  in Eq. (7) can transmit power  $P_{\text{wss}}(x, t)$  and  $P_{\text{tension}}(x, t)$ , respectively, into the arterial wall

$$P_{\text{wss}} = \tau_{w0} 2\pi a \dot{\xi} / (ik) = \left[ \frac{\mu\omega}{\rho_b c^2} + imF_{10} \right] \cdot \frac{\pi a^2 (1 + m)}{ic \rho_b} \Delta p^2 \quad (18)$$

$$P_{\text{tension}} = -2\pi a (T_{x0} - T_{\theta 0}) \frac{(1 + mF_{10})}{2c^3 \rho_b^2} (1 + m) \Delta p^2 \quad (19)$$

Meanwhile, the inclusion of  $\xi(x, t)$  also implies that there is axial power transmission  $P_{\text{wall}}(x, t)$  through the arterial wall

$$P_{\text{wall}} = E_x \frac{\partial \xi}{\partial x} 2\pi a h \dot{\xi} = -2\pi a h E_x \frac{(1 + m)^2}{c^3 \rho_b^2} \Delta p^2 \quad (20)$$

## 4 Arterial Pulse Wave Theory With Exclusion of Axial Wall Motion

To illustrate how the inclusion of  $\xi(x, t)$  affects the Young wave, the theory on arterial pulse wave propagation with  $\xi(x, t) = 0$  is presented here for comparison.

**4.1 Inclusion of Radial Fluid-Loading and Circumferential Initial Tension.** With  $\xi(x, t) = 0$ , the governing equation for  $\eta(x, t)$  and the two no-slip conditions at the blood-wall interface become

$$0 = \{\Delta p\}_{r=a} - (E_0 h - T_{\theta 0}) \cdot \frac{\eta}{a^2} \quad (21a)$$

$$w_{r=a} = \frac{\partial \eta}{\partial t} \quad (21b)$$

$$u_{r=a} = 0 \quad (21c)$$

Equation (21b) represents radial fluid-loading. Since  $\xi(x, t)$  is excluded, axial fluid-loading,  $\tau_w$  in Eq. (7), is omitted. Exclusion of  $\xi(x, t)$  does not vary the wave expressions for  $w$ ,  $u$ , and  $\Delta p$  in Eq. (2). Substituting Eqs. (2) and (4a) into Eq. (21) leads to a  $3 \times 3$  matrix equation with a vector of the three constant unknowns:  $\Delta p_0$ ,  $B$ , and  $\eta_0$ :

$$\begin{bmatrix} -\frac{\beta_0 a}{\mu \alpha_0^2} & 1 & 0 \\ -\frac{\beta_0^2 a}{2\mu \alpha_0^2} & \frac{1}{2} \beta_0 F_{10} & -i\omega \\ 1 & 0 & (\tau_{\theta 0} - 1) \frac{E_0 h}{a^2} \end{bmatrix} \begin{Bmatrix} \Delta p_0 \\ B \\ \eta_0 \end{Bmatrix} = \begin{Bmatrix} 0 \\ 0 \\ 0 \end{Bmatrix} \quad (22)$$

Assigning the determinant of the  $3 \times 3$  matrix in Eq. (22) to zero provides the frequency equation

$$c^2 = \frac{E_0 h}{2\rho_b a} (1 - F_{10})(1 - \tau_{\theta 0}) \quad (23)$$

where  $F_{10}$  results from radial fluid-loading. Accordingly, there is only the Young wave with the wave velocity

$$c = c_0 \sqrt{(1 - F_{10})(1 - \tau_{\theta 0})} \quad (24)$$



**Table 1 Related values of the mechanical properties and geometries at the AA and the CA [13,21,22]**

Parameter		Symbol	CA	AA
Arterial wall	Pulsatile pressure amplitude	$\Delta p_0$	48 mmHg	40 mmHg
	Radius	$a$	3.3 mm	13 mm
	Thickness	$h$	0.62 mm	2 mm
	Elasticity	$E_\theta$	771 kPa	400 kPa
	Density	$\rho$	1055 kg/m <sup>3</sup>	
Blood	Density	$\rho_b$	1055 kg/m <sup>3</sup>	
	Viscosity	$\mu$	0.004 Pa·s	

Exclusion of  $\xi(x, t)$  eliminates the Lamb wave and leads to  $m = -1$  in the expressions for  $\eta_0$  and  $B$  in Eq. (13) and the expressions for  $Q(x, t)$ ,  $P_{\text{blood}}(x, t)$ , and  $\tau_w(x, t)$  in Eqs. (15)–(17).

**4.2 Exclusion of Radial Fluid-Loading and Circumferential Initial Tension.** When  $w(x, t)$  in Eq. (1b), friction force in Eq. (1c), and  $T_{\theta 0}$  in Eq. (21a) are all neglected, Eqs. (1a), (1c), and (21a) form the three governing equations for obtaining the Moens–Korteweg formula for PWV [3]

$$c = c_0 = \sqrt{\frac{E_\theta h}{2\rho_b a}} = \text{PWV} \quad (25)$$

Note that PWV has a real value, and the wave transmission becomes one. The expression for  $\eta_0$  in Eq. (13) and the expressions for  $Q(x, t)$  and  $P_{\text{blood}}(x, t)$  in Eqs. (15) and (16) are still applicable with  $mF_{10} = 0$ .

## 5 Results and Discussion

**5.1 Calculated Results at the Ascending Aorta and the Carotid Artery.** Attached to the left ventricle, the AA carries high physiological relevance to the left ventricle, but its clinical measurements are uncommon, due to difficult access [2]. The CA is the most commonly measured artery and carries higher clinical values [1–6]. Thus, we apply the developed theories in Secs. 3 and 4 to these two arteries to examine how the inclusion of  $\xi(x, t)$  affects the Young wave. Table 1 summarizes the well-established values at the two arteries [13,21,22]. The value of  $E_\theta$  is obtained with Poisson’s ratio being assumed zero and neglect of  $\xi(x, t)$ . Note that  $T_{\theta 0} = \text{DBP} \times a$  and  $\tau_{\theta 0}$  is fixed at 0.1 at the two arteries [12]. Although many studies have recognized orthotropic elasticity in the arterial wall, the suggested values of  $E_x$  swing from being a fraction of  $E_\theta$  [23] to being above  $E_\theta$  [24]. Thus, we vary  $\gamma = E_x/E_\theta$  at different values for its influence on the Young wave. Since the values of  $\xi_0$  and  $\eta_0$  at the CA are found to be comparable in clinical studies [1–6],  $\gamma$  is varied until achieving comparable values for them. Similarly, there are no well-accepted values on  $\tau_{x0}$ . We assume  $\tau_{x0} = 0.1$  to examine the sole contribution of  $\tau_w(x, t)$  to  $\xi(x, t)$ , and  $\tau_x = 0.2$  to examine the combined

**Table 2 The influence of axial motion  $\xi(x, t)$  on pulse wave propagation at the AA**

(a) Wave velocity and transmission of the Young and Lamb waves									
	Radial motion and axial motion						Radial motion only		
	$\tau_{\theta 0} = 0.1, \tau_{x0} = 0.1$			$\tau_{\theta 0} = 0.1, \tau_{x0} = 0.2$			$m = -1$		$mF_{10} = 0$
	$\gamma = 1/3$	$\gamma = 1$	$\gamma = 3$	$\gamma = 1/3$	$\gamma = 1$	$\gamma = 3$	$\tau_{\theta 0} = 0.1$	$\tau_{\theta 0} = 0.0$	$\tau_{\theta 0} = 0.0$
Real( $c_1$ ) (m/s)	4.93	4.93	4.93	4.97	4.94	4.93	4.93	5.20	5.400
Exp( $-2\pi Y_1/X_1$ )	0.7576	0.7748	0.7793	0.7944	0.7846	0.7824	0.7814	0.7814	1.0
Real( $c_2$ ) (m/s)	9.94	17.22	29.82	9.87	17.18	29.80	—	—	—
Exp( $-2\pi Y_2/X_2$ )	0.5715	0.5587	0.5555	0.5449	0.5517	0.5533	—	—	—
(b) Amplitudes of commonly measured pulsatile parameters in the Young wave									
	Radial motion and axial motion						Radial motion only		
	$\tau_{\theta 0} = 0.1, \tau_{x0} = 0.1$			$\tau_{\theta 0} = 0.1, \tau_{x0} = 0.2$			$m = -1$		$mF_{10} = 0$
	$\gamma = 1/3$	$\gamma = 1$	$\gamma = 3$	$\gamma = 1/3$	$\gamma = 1$	$\gamma = 3$	$\tau_{\theta 0} = 0.1$	$\tau_{\theta 0} = 0.0$	$\tau_{\theta 0} = 0.0$
$\eta_0$ (mm)	1.252	1.252	1.252	1.252	1.252	1.252	1.252	1.127	1.127
$Q$ (m <sup>3</sup> /s)	$5.04 \times 10^{-4}$	$5.04 \times 10^{-4}$	$5.04 \times 10^{-4}$	$5.08 \times 10^{-4}$	$5.05 \times 10^{-4}$	$5.05 \times 10^{-4}$	$5.04 \times 10^{-4}$	$4.79 \times 10^{-4}$	$4.97 \times 10^{-4}$
$P_{\text{blood}}$ (watt)	2.690	2.690	2.690	2.710	2.695	2.692	2.690	2.552	2.649
$\tau_w$ (Pa)	6.178	5.895	5.822	5.064	5.592	5.727	5.789	5.492	0
(c) Amplitudes of pulsatile parameters related to axial motion in the Young wave									
	Radial motion and axial motion								
	$\tau_{\theta 0} = 0.1, \tau_{x0} = 0.1$			$\tau_{\theta 0} = 0.1, \tau_{x0} = 0.2$					
	$\gamma = 1/3$	$\gamma = 1$	$\gamma = 3$	$\gamma = 1/3$	$\gamma = 1$	$\gamma = 3$			
$\tau_w$ (Pa)	6.178	5.895	5.822	5.064	5.592	5.727			
The $\partial\eta/\partial x$ term (Pa)	0.00	0.00	0.00	12.173	12.238	12.255			
$P_{\text{wss}}$ (Pa)	$2.81 \times 10^{-2}$	$7.38 \times 10^{-3}$	$2.29 \times 10^{-3}$	$3.67 \times 10^{-2}$	$1.10 \times 10^{-2}$	$3.53 \times 10^{-3}$			
$P_{\text{tension}}$ (Pa)	0.00	0.00	0.00	$8.82 \times 10^{-2}$	$2.40 \times 10^{-2}$	$7.54 \times 10^{-3}$			
$P_{\text{wall}}$ (watt)	$3.48 \times 10^{-2}$	$7.88 \times 10^{-3}$	$2.34 \times 10^{-3}$	$8.61 \times 10^{-2}$	$1.92 \times 10^{-2}$	$5.71 \times 10^{-3}$			
$\xi_0$ (mm)	11.307	3.106	0.978	17.842	4.857	1.527			
Ratio of $\eta_0/\xi_0$	0.111	0.403	1.280	0.070	0.258	0.820			

**Table 3 The influence of axial motion  $\xi(x, t)$  on pulse wave propagation at the CA**

(a) Wave velocity and transmission of the Young and Lamb waves											
	Radial motion and axial motion								Radial motion only		
	$\tau_{\theta 0}=0.1, \tau_{x0}=0.1$				$\tau_{\theta 0}=0.1, \tau_{x0}=0.2$				$m=-1$		$mF_{10}=0$
	$\gamma=1/3$	$\gamma=1$	$\gamma=3$	$\gamma=20$	$\gamma=1/3$	$\gamma=1$	$\gamma=3$	$\gamma=20$	$\tau_{\theta 0}=0.1$	$\tau_{\theta 0}=0.0$	$\tau_{\theta 0}=0.0$
Real ( $c_1$ ) (m/s)	6.43	6.64	6.67	6.68	6.72	6.70	6.69	6.68	6.68	7.04	8.29
Transmission	0.2069	0.2950	0.3215	0.3322	0.2347	0.3084	0.3261	0.3329	0.3340	0.3340	1.0
Real ( $c_2$ ) (m/s)	11.48	19.35	33.34	85.94	11.00	19.16	33.25	85.91	—	—	—
Transmission	0.5125	0.3633	0.3335	0.3228	0.4541	0.3476	0.3288	0.3221	—	—	—

(b) Amplitudes of commonly measured pulsatile parameters in the Young wave											
	Radial motion and axial motion								Radial motion only		
	$\tau_{\theta 0}=0.1, \tau_{x0}=0.1$				$\tau_{\theta 0}=0.1, \tau_{x0}=0.2$				$m=-1$		$mF_{10}=0$
	$\gamma=1/3$	$\gamma=1$	$\gamma=3$	$\gamma=20$	$\gamma=1/3$	$\gamma=1$	$\gamma=3$	$\gamma=20$	$\tau_{\theta 0}=0.1$	$\tau_{\theta 0}=0.0$	$\tau_{\theta 0}=0.0$
$\eta_0$ (m)	0.162	0.162	0.162	0.162	0.162	0.162	0.162	0.162	0.162	0.146	0.146
$Q$ (m <sup>3</sup> /s)	$2.23 \times 10^{-5}$	$2.27 \times 10^{-5}$	$2.28 \times 10^{-5}$	$2.28 \times 10^{-5}$	$2.32 \times 10^{-5}$	$2.29 \times 10^{-5}$	$2.28 \times 10^{-5}$	$2.28 \times 10^{-5}$	$2.28 \times 10^{-5}$	$2.16 \times 10^{-5}$	$2.50 \times 10^{-5}$
$P_{\text{blood}}$ (watt)	0.142	0.145	0.146	0.146	0.148	0.147	0.146	0.146	0.146	0.138	0.160
$\tau_{\omega}$ (Pa)	6.282	5.140	4.891	4.799	5.492	4.913	4.821	4.789	4.783	4.538	0

(c) Amplitudes of pulsatile parameters related to axial motion in the Young wave										
	Radial motion and axial motion									
	$\tau_{\theta 0}=0.1, \tau_{x0}=0.1$				$\tau_{\theta 0}=0.1, \tau_{x0}=0.2$					
	$\gamma=1/3$	$\gamma=1$	$\gamma=3$	$\gamma=20$	$\gamma=1/3$	$\gamma=1$	$\gamma=3$	$\gamma=20$		
$\tau_{\omega}$ (Pa)	6.282	5.140	4.891	4.799	5.492	4.913	4.821	4.789		
The $\partial\eta/\partial x$ term (Pa)	0	0	0	0	2.672	2.703	2.712	2.716		
$P_{\text{wss}}$ (Pa)	$2.87 \times 10^{-2}$	$6.09 \times 10^{-3}$	$1.78 \times 10^{-3}$	$2.54 \times 10^{-4}$	$1.92 \times 10^{-2}$	$4.00 \times 10^{-3}$	$1.19 \times 10^{-3}$	$1.71 \times 10^{-4}$		
$P_{\text{tension}}$ (Pa)	0	0	0	0	$9.34 \times 10^{-3}$	$2.20 \times 10^{-3}$	$6.69 \times 10^{-4}$	$9.68 \times 10^{-5}$		
$P_{\text{wall}}$ (watt)	$3.39 \times 10^{-2}$	$6.46 \times 10^{-3}$	$1.82 \times 10^{-3}$	$2.54 \times 10^{-4}$	$1.76 \times 10^{-2}$	$2.98 \times 10^{-3}$	$8.28 \times 10^{-4}$	$1.16 \times 10^{-4}$		
$\xi_0$ (mm)	33.198	8.452	2.590	0.376	24.429	5.762	1.751	0.253		
Ratio of $\eta_0/\xi_0$	0.005	0.019	0.063	0.431	0.007	0.028	0.093	0.640		

**Table 4 The influence of axial motion  $\xi(x, t)$  on pulse wave propagation at the AA with the increased blood viscosity 0.006 Pa·s**

(a) Wave velocity and transmission of the Young and Lamb waves						
	Radial motion and axial motion					
	$\tau_{\theta 0}=0.1, \tau_{x0}=0.1$			$\tau_{\theta 0}=0.1, \tau_{x0}=0.2$		
	$\gamma=1/3$	$\gamma=1$	$\gamma=3$	$\gamma=1/3$	$\gamma=1$	$\gamma=3$
Real( $c_1$ ) (m/s)	4.88	4.89	4.89	4.93	4.90	4.89
Exp( $-2\pi Y_1/X_1$ )	0.7039	0.7280	0.7344	0.7461	0.7393	0.7379
Real( $c_2$ ) (m/s)	9.69	16.78	29.05	9.59	16.73	29.02
Exp( $-2\pi Y_2/X_2$ )	0.5286	0.5109	0.5065	0.4984	0.5031	0.5041

(b) Amplitudes of commonly measured pulsatile parameters in the Young wave						
	Radial motion and axial motion					
	$\tau_{\theta 0}=0.1, \tau_{x0}=0.1$			$\tau_{\theta 0}=0.1, \tau_{x0}=0.2$		
	$\gamma=1/3$	$\gamma=1$	$\gamma=3$	$\gamma=1/3$	$\gamma=1$	$\gamma=3$
$\eta_0$ (mm)	1.252	1.252	1.252	1.252	1.252	1.252
$Q$ (m <sup>3</sup> /s)	$5.00 \times 10^{-4}$	$5.00 \times 10^{-4}$	$5.00 \times 10^{-4}$	$5.05 \times 10^{-4}$	$5.01 \times 10^{-4}$	$5.01 \times 10^{-4}$
$P_{\text{blood}}$ (watt)	2.667	2.667	2.667	2.691	2.674	2.669
$\tau_{\omega}$ (Pa)	7.714	7.281	7.170	6.340	6.910	7.054

(c) Amplitudes of pulsatile parameters related to axial motion in the Young wave						
	Radial motion and axial motion					
	$\tau_{\theta 0}=0.1, \tau_{x0}=0.1$			$\tau_{\theta 0}=0.1, \tau_{x0}=0.2$		
	$\gamma=1/3$	$\gamma=1$	$\gamma=3$	$\gamma=1/3$	$\gamma=1$	$\gamma=3$
$\tau_{\omega}$ (Pa)	7.714	7.281	7.170	6.340	6.910	7.054
The $\partial\eta/\partial x$ term (Pa)	0	0	0	12.256	12.337	12.357
$P_{\text{wss}}$ (Pa)	$4.26 \times 10^{-2}$	$1.10 \times 10^{-2}$	$3.39 \times 10^{-3}$	$4.39 \times 10^{-2}$	$1.28 \times 10^{-2}$	$4.10 \times 10^{-3}$
$P_{\text{tension}}$ (Pa)	0	0	0	$8.49 \times 10^{-2}$	$2.29 \times 10^{-2}$	$7.17 \times 10^{-3}$
$P_{\text{wall}}$ (watt)	$5.24 \times 10^{-2}$	$1.17 \times 10^{-2}$	$3.46 \times 10^{-3}$	$8.03 \times 10^{-2}$	$1.76 \times 10^{-2}$	$5.21 \times 10^{-3}$
$\xi_0$ (mm)	13.814	3.767	1.184	17.173	4.629	1.452
Ratio of $\eta_0/\xi_0$	0.091	0.332	1.057	0.073	0.270	0.862

contribution of  $\tau_{\omega}(x, t)$  and the  $\partial\eta/\partial x$  term with a factor of  $(\tau_{x0} - \tau_{\theta 0})$  to  $\xi(x, t)$ . The heart rate used in the calculation is 75 beats per minute (bpm).

Table 2 summarizes the calculated results at the AA. As shown in Table 2(a), when only  $\eta(x, t)$  is considered, the inclusion of radial fluid-loading reduces the wave velocity and wave transmission in the Young wave;  $\tau_{\theta 0}=0.1$  (or DBP) further reduces the wave velocity but does not affect the Young wave transmission. Inclusion of  $\xi(x, t)$  does not affect the wave velocity and transmission in the Young wave at different values of  $E_x$  and  $\tau_{x0}$ , but causes the Lamb wave. The Lamb wave velocity increases with  $E_x$ , but does not vary with  $\tau_{x0}$ . The Lamb wave transmission does not vary with either  $E_x$  or  $\tau_{x0}$ . While the Lamb wave velocity is higher than the Young wave velocity, the Lamb wave transmission is lower than the Young wave transmission.

As shown in Table 2(b), when only  $\eta(x, t)$  is considered, the inclusion of radial fluid-loading does not affect  $\eta_0$ , but reduces  $Q$  and  $P_{\text{blood}}$ ;  $\tau_{\theta 0}=0.1$  increases  $\eta_0$ ,  $Q$ ,  $P_{\text{blood}}$ , and  $\tau_{\omega}$ . Inclusion of  $\xi(x, t)$  does not affect  $\eta_0$ ,  $Q$ , and  $P_{\text{blood}}$ . When  $\gamma=1/3$ ,  $\tau_{\omega}$  is relatively high and decreases with  $\tau_{x0}$ . In contrast, when  $\gamma \geq 1$ , the influence of  $\xi(x, t)$  on  $\tau_{\omega}$  is largely negligible, at the two values of  $\tau_{x0}$ . As shown in Table 2(c), the inclusion of  $\xi(x, t)$  allows  $\tau_{\omega}$  and the  $\partial\eta/\partial x$  term in Eq. (7) to transmit power into the arterial wall. When  $(\tau_{x0} - \tau_{\theta 0})=0$ , the  $\partial\eta/\partial x$  term becomes zero and  $P_{\text{wall}}$  is very close to  $P_{\text{wss}}$ . When  $(\tau_{x0} - \tau_{\theta 0}) \neq 0$ , the  $\partial\eta/\partial x$  term is not zero, but  $P_{\text{wall}}$  is not simply a sum of  $P_{\text{wss}}$  and  $P_{\text{tension}}$ , due to a phase shift between them.  $\xi_0$  decreases with  $E_x$  but increases with

$\tau_{x0}$ . Similarly,  $P_{\text{wall}}$ ,  $P_{\text{wss}}$ , and  $P_{\text{tension}}$  all decrease with  $E_x$  but increase with  $\tau_{x0}$ . When  $\gamma=3$ ,  $\eta_0$  and  $\xi_0$  are comparable at  $\tau_{x0}=0.1$  and 0.2.

Table 3 summarizes the calculated results at the CA. As shown in Table 3(a), when only  $\eta(x, t)$  is considered, the inclusion of radial fluid-loading reduces the wave velocity and wave transmission in the Young wave;  $\tau_{\theta 0}=0.1$  (or DBP) further reduces the wave velocity but does not affect the wave transmission in the Young wave. The influence of  $\xi(x, t)$  on the Young wave velocity is overall negligible, at different values of  $E_x$  and  $\tau_{x0}$ . The influence of  $\xi(x, t)$  on the Young wave transmission depends on  $\gamma$ . When  $\gamma=1/3$ , the inclusion of  $\xi(x, t)$  greatly reduces the Young wave transmission. However, when  $\gamma \geq 1$ , the inclusion of  $\xi(x, t)$  does not affect the Young wave transmission. While the Lamb wave velocity increases with  $E_x$ , but does not vary with  $\tau_{x0}$ . When  $\gamma \geq 1$ , the Lamb wave transmission does not vary with either  $E_x$  or  $\tau_{x0}$ . The Lamb wave transmission is much higher at  $\gamma=1/3$  than that at  $\gamma \geq 1$ , but the influence of  $\tau_{x0}$  on the Lamb wave transmission is largely negligible.

As shown in Table 3(b), when only  $\eta(x, t)$  is considered, the inclusion of radial fluid-loading does not affect  $\eta_0$ , but reduces  $Q$  and  $P_{\text{blood}}$ ;  $\tau_{\theta 0}=0.1$  improves  $\eta_0$ ,  $Q$ , and  $P_{\text{blood}}$ . Inclusion of  $\xi(x, t)$  does not affect  $\eta_0$ ,  $Q$ , and  $P_{\text{blood}}$ . When  $\gamma=1/3$ , the inclusion of  $\xi(x, t)$  increases  $\tau_{\omega}$  but  $\tau_{\omega}$  decreases with  $\tau_{x0}$ . When  $\gamma \geq 1$ , the inclusion of  $\xi(x, t)$  does not affect  $\tau_{\omega}$  much. As shown in Table 3(c), when  $(\tau_{x0} - \tau_{\theta 0})=0$ ,  $P_{\text{wall}}$  is very close to  $P_{\text{wss}}$ . When  $(\tau_{x0} - \tau_{\theta 0}) \neq 0$ , the  $\partial\eta/\partial x$  term is nonzero,  $P_{\text{wall}}$  is not simply the

**Table 5 The influence of axial motion  $\xi(x, t)$  on pulse wave propagation at the CA with the increased blood viscosity 0.006 Pa·s**

(a) Wave velocity and transmission of the Young and Lamb waves								
	Radial motion and axial motion							
	$\tau_{\theta 0}=0.1, \tau_{x0}=0.1$				$\tau_{\theta 0}=0.1, \tau_{x0}=0.2$			
	$\gamma=1/3$	$\gamma=1$	$\gamma=3$	$\gamma=20$	$\gamma=1/3$	$\gamma=1$	$\gamma=3$	$\gamma=20$
real( $c_1$ ) (m/s)	5.95	6.32	6.38	6.40	6.27	6.40	6.41	6.41
Transmission	0.1245	0.2014	0.2290	0.2404	0.1373	0.2121	0.2329	0.2410
Real( $c_2$ ) (m/s)	11.13	18.38	31.56	81.23	10.61	18.15	31.44	81.19
Transmission	0.5568	0.3549	0.3133	0.2986	0.5092	0.3376	0.3081	0.2979

(b) Amplitudes of commonly measured pulsatile parameters in the Young wave								
	Radial motion and axial motion							
	$\tau_{\theta 0}=0.1, \tau_{x0}=0.1$				$\tau_{\theta 0}=0.1, \tau_{x0}=0.2$			
	$\gamma=1/3$	$\gamma=1$	$\gamma=3$	$\gamma=20$	$\gamma=1/3$	$\gamma=1$	$\gamma=3$	$\gamma=20$
$\eta_0$ (m)	0.162	0.162	0.162	0.162	0.162	0.162	0.162	0.162
$Q$ ( $\text{m}^3/\text{s}$ )	$2.11 \times 10^{-5}$	$2.19 \times 10^{-5}$	$2.20 \times 10^{-5}$	$2.21 \times 10^{-5}$	$2.21 \times 10^{-5}$	$2.22 \times 10^{-5}$	$2.21 \times 10^{-5}$	$2.21 \times 10^{-5}$
$P_{\text{blood}}$ (watt)	0.135	0.140	0.141	0.141	0.141	0.142	0.141	0.141
$\tau_{\omega}$ (Pa)	8.195	6.550	6.168	6.028	7.407	6.280	6.084	6.016

(c) Amplitudes of pulsatile parameters related to axial motion in the Young wave								
	Radial motion and axial motion							
	$\tau_{\theta 0}=0.1, \tau_{x0}=0.1$				$\tau_{\theta 0}=0.1, \tau_{x0}=0.2$			
	$\gamma=1/3$	$\gamma=1$	$\gamma=3$	$\gamma=20$	$\gamma=1/3$	$\gamma=1$	$\gamma=3$	$\gamma=20$
$\tau_{\omega}$ (Pa)	8.195	6.550	6.168	6.028	7.41	6.280	6.084	6.016
The $\partial\eta/\partial x$ term (Pa)	0.000	0.000	0.000	0.000	2.804	2.795	2.803	2.805
$P_{\text{wss}}$ (Pa)	$3.99 \times 10^{-2}$	$8.82 \times 10^{-3}$	$2.56 \times 10^{-3}$	$3.63 \times 10^{-4}$	$2.93 \times 10^{-2}$	$6.09 \times 10^{-3}$	$1.78 \times 10^{-3}$	$2.54 \times 10^{-4}$
$P_{\text{tension}}$ (Pa)	0.000	0.000	0.000	0.000	$1.11 \times 10^{-2}$	$2.71 \times 10^{-3}$	$8.21 \times 10^{-4}$	$1.19 \times 10^{-4}$
$P_{\text{wall}}$ (watt)	$4.56 \times 10^{-2}$	$9.29 \times 10^{-3}$	$2.60 \times 10^{-3}$	$3.64 \times 10^{-4}$	$2.62 \times 10^{-2}$	$4.66 \times 10^{-3}$	$1.29 \times 10^{-3}$	$1.79 \times 10^{-4}$
$\xi_0$ (mm)	37.466	9.953	3.051	0.442	29.075	7.090	2.150	0.311
Ratio of $\eta_0/\xi_0$	0.004	0.016	0.053	0.366	0.0056	0.0229	0.0754	0.5218

sum of  $P_{\text{wss}}$  and  $P_{\text{tension}}$ .  $\xi_0$  decreases with both  $E_x$  and  $\tau_{x0}$ . Similarly,  $P_{\text{wall}}$ ,  $P_{\text{wss}}$ , and  $P_{\text{tension}}$  all decrease with both  $E_x$  and  $\tau_{x0}$ . When  $\gamma=20$ ,  $\eta_0$  and  $\xi_0$  are comparable at  $\tau_{x0}=0.1$  and 0.2.

**5.2 Comparison Between the Ascending Aorta and the Carotid Artery.** Comparison of Tables 2(a) and 3(a) shows that the Young wave velocity increases from the AA to the CA, mainly due to the increasing  $E_\theta$ , since the ratio of  $h/a$  does not vary much between the two arteries. Similarly, the Lamb wave velocity also increases from the AA to the CA, at the same value of  $\gamma$ . As expected, the Young wave transmission and the Lamb wave transmission at the AA are much higher than that at the CA, simply due to the large size of the AA. It is interesting to note that the Young wave transmission is much higher than the Lamb wave transmission at the AA, but the Young wave transmission and the Lamb wave transmission become comparable at the CA.

Although  $\eta_0$ ,  $Q$ , and  $P_{\text{blood}}$  at the AA are much larger than their counterparts at the CA, the values (a few Pa) of  $\tau_w$  at the two arteries are similar and the values ( $\sim 40$  kPa) of circumferential stress  $\sigma_{\theta\theta}=E_\theta\eta_0/a$  at the two arteries are also similar. Interestingly, when  $\eta_0$  and  $\xi_0$  have comparable values at each artery, the values of axial stress  $\sigma_{xx}=E_x\partial\xi/\partial x$  at the two arteries are both about a few kPa.

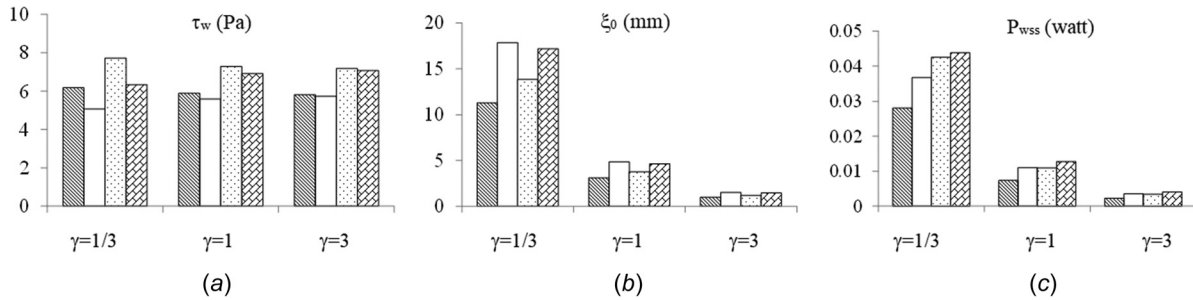
In vitro studies have found that  $E_x$  and  $T_{x0}$  vary at different arteries [23], but the in vivo values of  $E_x$  and  $T_{x0}$  at the two arteries are unclear. Clinical studies have found that  $\eta_0$  and  $\xi_0$  are

comparable at the CA [1–6], but how  $\xi_0$  is compared with  $\eta_0$  at the AA is unknown yet. To achieve comparable  $\eta_0$  and  $\xi_0$ ,  $E_x$  needs to be 3 times and 20 times of  $E_\theta$  at the AA and the CA, respectively, at the assumed values of  $\tau_{x0}$ . When  $\eta_0$  and  $\xi_0$  are comparable,  $P_{\text{blood}}$  is about three orders of magnitude higher than  $P_{\text{wall}}$  at the two arteries. Thus, blood flow is the dominant medium carrier of axial power transmission at the two arteries.

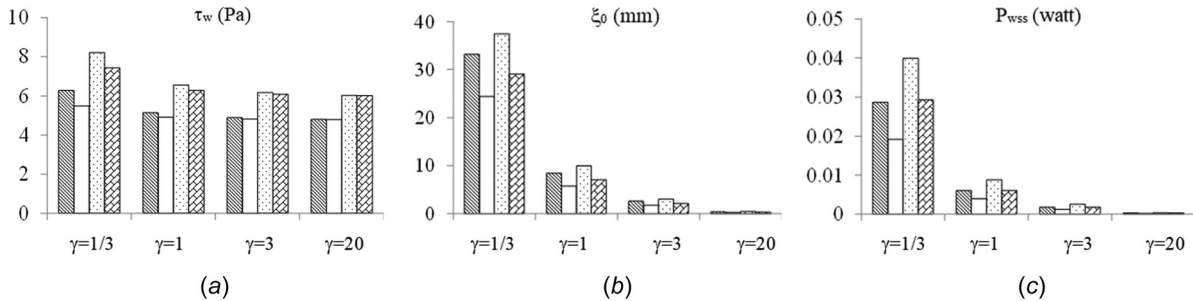
**5.3 Influence of Blood Viscosity.** To examine how blood viscosity affects arterial pulse wave propagation, blood viscosity is increased from 0.004 Pa·s to 0.006 Pa·s and the calculated results at the two arteries are summarized in Tables 4 and 5. At the AA, the increased blood viscosity does not affect the wave velocity in both waves, but moderately reduces the wave transmission in both waves. At the CA, the increased blood viscosity does not affect the wave velocity in both waves, either. However, the increased blood viscosity reduces the Young wave transmission at the CA to a larger extent than at the AA and does not affect the Lamb wave transmission much.

At the AA, the increased blood viscosity does not affect  $\eta_0$ ,  $Q$ , and  $P_{\text{blood}}$ . At the CA, the increased blood viscosity does not affect  $\eta_0$ , but affects  $Q$  and  $P_{\text{blood}}$  to some extent.  $\tau_{\omega}$  is noticeably increased by the increased blood viscosity at the two arteries, with  $\tau_{\omega}$  being increased at the CA to a larger extent than at the AA. When  $(\tau_{x0}-\tau_{\theta 0})=0$ , only  $\tau_{\omega}$  contributes to  $\xi_0$ , with the latter being also increased at the two arteries. Accordingly, both  $P_{\text{wss}}$





**Fig. 2 Comparison of (a) wall shear stress (b) axial displacement (c) power transmitted into the arterial wall by wall shear stress at the AA under different values of  $\gamma$ , initial tensions, and blood viscosity (legends:  $\tau_{\theta 0} = \tau_{x0} = 0.1$ ,  $\mu = 0.004 \text{ Pa}\cdot\text{s}$ ,  $\tau_{\theta 0} = 0.1$ ,  $\tau_{x0} = 0.2$ ,  $\mu = 0.004 \text{ Pa}\cdot\text{s}$ ,  $\tau_{\theta 0} = \tau_{x0} = 0.1$ ,  $\mu = 0.006 \text{ Pa}\cdot\text{s}$ ,  $\tau_{\theta 0} = 0.1$ ,  $\tau_{x0} = 0.2$ ,  $\mu = 0.006 \text{ Pa}\cdot\text{s}$ )**



**Fig. 3 Comparison of (a) wall shear stress (b) axial displacement (c) power transmitted into the arterial wall by wall shear stress at the CA under different values of  $\gamma$ , initial tensions, and blood viscosity (legends:  $\tau_{\theta 0} = \tau_{x0} = 0.1$ ,  $\mu = 0.004 \text{ Pa}\cdot\text{s}$ ,  $\tau_{\theta 0} = 0.1$ ,  $\tau_{x0} = 0.2$ ,  $\mu = 0.004 \text{ Pa}\cdot\text{s}$ ,  $\tau_{\theta 0} = \tau_{x0} = 0.1$ ,  $\mu = 0.006 \text{ Pa}\cdot\text{s}$ ,  $\tau_{\theta 0} = 0.1$ ,  $\tau_{x0} = 0.2$ ,  $\mu = 0.006 \text{ Pa}\cdot\text{s}$ )**

and  $P_{\text{wall}}$  are also increased. When  $(\tau_{x0} - \tau_{\theta 0}) \neq 0$ , the  $\partial\eta/\partial x$  term is not zero. Due to a phase shift between them, the  $\partial\eta/\partial x$  term and  $\tau_{\omega}$  contribute differently to  $\xi_0$ . At the value of  $(\tau_{x0} - \tau_{\theta 0}) = 0.2$ , the increased blood viscosity does not affect  $\xi_0$  and  $P_{\text{wall}}$  at the AA but increases  $\xi_0$  and  $P_{\text{wall}}$  at the CA.

As shown in Tables 2–5, since the Young wave velocity,  $\eta_0$ ,  $Q$ , and  $P_{\text{blood}}$  are not affected much by the inclusion of  $\xi(x, t)$ , at the considered values of  $\gamma$  and  $\tau_{\theta 0}$ , no graphs are plotted for their dependence on  $\gamma$  and  $\tau_{\theta 0}$ . Since  $\tau_{\omega}$ ,  $\xi_0$ , and  $P_{\text{wss}}$  are directly associated with  $\xi(x, t)$  and are also affected by  $\gamma$  and  $\tau_{\theta 0}$ , the dependence of  $\tau_{\omega}$ ,  $\xi_0$ , and  $P_{\text{wall}}$  on  $\gamma$ ,  $\tau_{\theta 0}$ , and blood viscosity at the AA and the CA are plotted in Figs. 2 and 3, respectively. At the two arteries, when  $\gamma = 1/3$ ,  $\tau_{\theta 0}$  has a greater influence on  $\tau_{\omega}$ ,  $\xi_0$ , and  $P_{\text{wall}}$ , but when  $\gamma \geq 1$ , the influence of  $\tau_{\theta 0}$  on them becomes slight. While  $\tau_{\omega}$  is not affected much by  $\gamma$ ,  $\xi_0$  and  $P_{\text{wall}}$  are greatly reduced by increased  $\gamma$ . Overall, increased blood viscosity increases  $\tau_{\omega}$ ,  $\xi_0$ , and  $P_{\text{wall}}$ .

#### 5.4 Role of Axial Wall Motion and Two Initial Tensions.

While the Young wave is excited by pulsatile pressure in blood flow, the Lamb wave is excited by the axial wall [15,18]. Whether  $\xi$ ,  $\tau_{\omega}$ , and  $P_{\text{wall}}$  in the Lamb wave are comparable to their counterparts in the Young wave is unclear. It is known that  $\eta$ ,  $\Delta p$ ,  $Q$ , and  $P_{\text{blood}}$  in the Lamb wave are negligible, as compared with their counterparts in the Young wave [15,18]. As such, these four commonly measured pulsatile parameters are considered to be solely in the Young wave. The calculated results indicate that  $\eta(x, t)$  reflects two properties:  $E_{\theta}$  and  $T_{\theta 0}$ , and is not affected by  $\xi(x, t)$ , since  $Q$  and  $P_{\text{blood}}$  are closely related to  $\eta(x, t)$ , they are not affected by  $\xi(x, t)$  much. This validates that clinical studies can interpret these pulsatile parameters with the arterial pulse wave propagation theory with the exclusion of  $\xi(x, t)$ . Additionally,  $T_{\theta 0}$  (or DBP) has the effect of reducing the wave velocity. This explains the influence of DBP on PWV observed in clinical studies [8].

The calculated results reveal that  $\xi(x, t)$  reflects axial elasticity  $E_x$  and the difference in initial tension:  $(T_{x0} - T_{\theta 0})$ . Thus,  $\xi(x, t)$  and  $\eta(x, t)$  reflect different mechanical properties of the arterial wall. This might explain the reason that  $\xi(x, t)$  and  $\eta(x, t)$  is correlated with CV risk factors differently [3].  $\xi(x, t)$  is found to be a more sensitive measure of subclinical atherosclerosis [3], as compared with  $\eta(x, t)$ , possibly indicating that subclinical atherosclerosis affects  $E_x$  and  $T_{x0}$  to a larger extent than  $E_{\theta}$  and  $T_{\theta 0}$ . Given intersubject variations of atherosclerosis and concomitant-changing nature of various pulsatile parameters in the CV system [1–6], simultaneous examination of radial motion and axial motion of the arterial wall may provide a comprehensive assessment of the arterial wall and improve accuracy in the detection of subclinical atherosclerosis.

In the Young wave, when  $E_x$  is well below  $E_{\theta}$ ,  $\tau_{\omega}$  is affected by the inclusion of  $\xi(x, t)$ ; when  $E_x$  is equal to or well above  $E_{\theta}$ , inclusion of  $\xi(x, t)$  does not affect  $\tau_{\omega}$ . Most importantly, the inclusion of  $\xi(x, t)$  allows  $\tau_{\omega}$  and the  $\partial\eta/\partial x$  term to transmit power into the arterial wall, and consequently, there is axial power transmission  $P_{\text{wall}}$  through the arterial wall. Here, it should be emphasized that although blood flow is the medium carrier of  $P_{\text{blood}}$ ,  $\eta(x, t)$  in the arterial wall dictates the value of  $P_{\text{blood}}$ , given that wave velocity  $c$  in Eq. (16) is determined by  $E_{\theta}$  and  $T_{\theta 0}$ . As such,  $E_{\theta}$  and  $T_{\theta 0}$  dictate  $P_{\text{blood}}$ , and  $E_x$  and  $(T_{x0} - T_{\theta 0})$  dictate  $P_{\text{wall}}$ .

The arterial wall contains two primary types of cells: endothelium cells (EC) and smooth muscle cells (SMC). EC and SMC respond to changes in their local mechanical loading and play an important role in maintaining vascular homeostasis [8]. Despite being much smaller than  $\Delta p$  ( $\sim$  a few kPa),  $\tau_{\omega}$  ( $\sim$  a few Pa) is an important determinant of EC functions [8]. Meanwhile,  $\tau_{\omega}$  affects the axial wall displacement and thus the axial strain in the arterial wall, which is found to also play a role in vascular homeostasis [8]. Similarly, although  $P_{\text{wall}}$  enabled by  $\xi(x, t)$  is well below  $P_{\text{blood}}$ , EC and SMC are subjected to  $\xi(x, t)$  and  $P_{\text{wall}}$ , which might affect EC and SMC functions [7,8].

The AA and the CA both have a tapered geometry along their length. Since the inclusion of  $\xi(x, t)$  does not affect the Young wave velocity, Eq. (23) is rewritten here to examine how their tapered geometry affects the Young wave velocity

$$c = \sqrt{\frac{E_0 h}{2\rho_b} (1 - \tau_{\theta 0}) \frac{1 - F_{10}}{a}} \quad (26)$$

Note that  $F_{10}$  is a function of  $a$ . If  $E_0$ ,  $h$ ,  $\rho_b$ , and  $\tau_{\theta 0}$  are assumed to be the same along the artery length, and  $a$  is assumed to reduce by 20% from the entrance to the end of each artery, the Young wave velocity is increased by 10% and 6.7%, respectively, at the AA and the CA. A high Young wave velocity also translates to high wave reflection [1–6]. As such, the tapered geometry of an artery increases the Young wave velocity and causes higher wave reflection at the artery. These two conclusions are consistent with the related numerical findings with no inclusion of  $\xi(x, t)$  in the literature [25]. Furthermore, the tapered geometry of an artery reduces  $\eta_0$ ,  $Q$ , and  $P_{\text{blood}}$ , but increases  $\tau_{\omega}$  and  $\xi_0$ , as compared with their counterparts at the entrance of an artery. Evidently, the discussion on the tapered geometry of an artery here is rather simplified, without considering the continuity of all the parameters from the entrance to the end of an artery [25].

The two load-bearing components in the arterial wall are elastin and collagen, whose orientations affect the anisotropic mechanical properties of the arterial wall [26,27]. As such, the arterial wall is anisotropic in nature. In reality, the displacement of the arterial wall can be divided into three directions: axial, circumferential, and radial. As such, axial, circumferential, and radial elasticities are collective anisotropic properties of the arterial wall to capture the relations of displacement versus force in each of the three directions, respectively. Since the arterial wall is treated as a thin-walled tube in this study, its radial elasticity and radial displacement are neglected. To account for the radial elasticity of the arterial wall, the arterial wall needs to be treated as a thick-walled tube and its radial displacement can then be added to the governing equations of the arterial wall [13]. How the inclusion of radial elasticity affects pulse wave propagation is found to depend heavily on the assumed values of the three elasticities and their associated Poisson's ratios [13].

**5.5 Study Limitations.** There are four major limitations to this study. The developed theory represents free wave propagation in an infinitely long tube. As such, one limitation is that wave reflection is not considered in the study. It is known that wave reflection may increase or decrease the amplitudes of different pulsatile parameters to some extent [10,25]. Second, a pulsatile parameter is a sum of the fundamental and higher harmonic components with different amplitudes and phase angles [13,28]. This study considers only the fundamental component. While the amplitudes of  $\eta$ ,  $Q$ , and  $P_{\text{blood}}$  are not directly varied with  $\omega$ , the amplitudes of  $\xi$  and  $\tau_{\omega}$  directly vary with  $\omega$ . Third, the ratio of  $h/a$  is 0.15 and 0.19 at the AA and the CA, respectively. Strictly speaking, the two arteries should be treated as a thick-walled tube [13]. Finally, this study does not consider  $\xi$ ,  $\tau_{\omega}$ , and  $P_{\text{wall}}$  in the Lamb wave. Nevertheless, these limitations are not expected to alter the role of  $\xi(x, t)$  and two initial tensions in the Young wave revealed in this study.

## 6 Conclusion

By modeling the arterial wall as an initially tensioned thin-walled orthotropic tube and modifying the governing equations of the arterial wall with related clinical findings, a theoretical study is conducted on arterial pulse wave propagation with radial and axial motion of the arterial wall. In terms of pulsatile pressure, theoretical expressions are derived for commonly measured pulsatile parameters and pulsatile parameters enabled by axial wall motion in the Young wave. Comparison of the calculated values

of wave velocity and these pulsatile parameters between inclusion and exclusion of axial wall motion at the AA and the CA reveals the following role of axial wall motion and the two initial tensions in the Young wave:

- (1) Circumferential initial tension (or DBP) reduces the wave velocity but does not affect the wave transmission.
- (2) Inclusion of axial wall motion does not affect radial wall motion, blood flow rate, and axial power transmission through blood flow.
- (3) Depending on the value of  $\gamma = E_x/E_\theta$ , wall shear stress might be affected by the inclusion of axial wall motion.
- (4) Axial wall motion is caused by wall shear stress and the  $\partial\eta/\partial x$  term with a factor of  $(\tau_{x0} - \tau_{\theta 0})$  and allows axial power transmission through the arterial wall.
- (5) While radial wall motion reflects circumferential elasticity and circumferential initial tension, axial wall motion reflects axial elasticity and difference in initial tension  $(\tau_{x0} - \tau_{\theta 0})$ .

In the future, the four limitations in this study need to be addressed for improving our understanding of the relations of various pulsatile parameters with the four arterial wall mechanical properties, so that the calculated values of pulsatile parameters can be compared with the clinical data for quantitatively validating this theoretical study.

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