Inclusion-exclusion on Schubert polynomials

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ABSTRACT. We prove that an inclusion-exclusion inspired expression of Schubert polynomials of permutations that avoid the patterns 1432 and 1423 is nonnegative. Our theorem implies a partial affirmative answer to a recent conjecture of Yibo Gao about principal specializations of Schubert polynomials. We propose a general framework for finding inclusion-exclusion inspired expression of Schubert polynomials of all permutations.

1. INTRODUCTION

Schubert polynomials, introduced by Lascoux and Schützenberger in [15], represent cohomology classes of Schubert cycles in the flag variety. They are also multidegrees of matrix Schubert varieties [12] and wield an impressive collection of combinatorial formulas [1, 2, 7, 9, 14, 16, 19, 25]. Yet, only recently have their supports been established as integer points of generalized permutahedra [5, 20]. There has also been several exciting recent developments about the coefficients of Schubert polynomials: (1) they are known to be log-concave along root directions in their Newton polytopes [11]; (2) the set of permutations whose Schubert polynomials have all their coefficients less than or equal to a fixed integer m is closed under pattern containment [6]. Recall that $\pi = \pi_1 \ldots \pi_k \in S_k$ is a pattern of $\sigma = \sigma_1 \ldots \sigma_n \in S_n$ if and only if there are indices $1 \leqslant i_1 < i_2 < \cdots < i_k \leqslant n$ so that the relative order of $\pi_1, \ldots, \pi_k$ and of $\sigma_{i_1}, \ldots, \sigma_{i_k}$ are the same.

1.1. NONNEGATIVE LINEAR COMBINATIONS OF SCHUBERT POLYNOMIALS WITH MONOMIAL COEFFICIENTS.. In this paper we investigate nonnegativity properties of linear combinations of Schubert polynomials with monomial coefficients in $\mathbb{Z}[x_1, \ldots, x_n]$ associated to patterns of a fixed permutation. A first step in this direction is a recent result by Fink, St. Dizier and the first author of the present paper:

THEOREM 1.1. *[6, Theorem 1.2] Fix $\sigma \in S_n$ and let $\pi \in S_{n-1}$ be the pattern of σ with Rothe diagram $D(\pi)$ obtained by removing row k and column σ_k from $D(\sigma)$. Then*

$$(1) \qquad \mathfrak{S}_\sigma(x_1, \ldots, x_n) - M_{\sigma,\pi}(x_1, \ldots, x_n)\mathfrak{S}_\pi(x_1, \ldots, \widehat{x_k}, \ldots, x_n) \in \mathbb{Z}_{\geqslant 0}[x_1, \ldots, x_n]$$

where

$$M_{\sigma,\pi}(x_1, \ldots, x_n) = \left(\prod_{(k,i) \in D(\sigma)} x_k \right) \left(\prod_{(i,\sigma_k) \in D(\sigma)} x_i \right).$$

In particular, Theorem 1.1 implies that the set of permutations whose Schubert polynomials have all their coefficients less than or equal to a fixed integer m is closed under pattern containment.

The first result of this paper is a broad extension of Theorem 1.1 for 1432 and 1423 avoiding permutations:

THEOREM 1.2. *Let $w \in S_n$ be a 1432 and 1423 avoiding permutation and let u be a subword of w. Then*

$$(2) \qquad \sum_{u \leqslant v \leqslant w} (-1)^{|w|-|v|} M_{w,v} \mathfrak{S}_{\operatorname{perm}(v)}(\mathbf{x}_{w^{-1}(v)}) \in \mathbb{Z}_{\geqslant 0}[x_1, \ldots, x_n],$$

where

$$M_{w,v} := \prod_{(i,j) \in D(w) \smallsetminus \widehat{D(w)}|_v} x_i.$$

In Theorem 1.2 we use the relation of containment on words: for words u, v, we say $u \leqslant v$ if u occurs as a subword in v. Moreover, for a word v of length n, $\pi = \operatorname{perm}(v)$ is the permutation in S_n such that the relative order of $\pi_1, \ldots, \pi_n$ and of $v_1, \ldots, v_n$ are the same. For these and other definitions used in Theorems 1.1 and 1.2 see Sections 2 and 3 which lay them out in detail. Here we give an example of Theorem 1.2 for illustration. For $w = 1342$ and $u = 42$ we have $\{v \mid u \leqslant v \leqslant w\} = \{1342, 142, 342, 42\}$, so the alternating sum in (2) becomes

$$M_{w,1342}\mathfrak{S}_{1342}(x_1, x_2, x_3, x_4) - M_{w,142}\mathfrak{S}_{132}(x_1, x_3, x_4) - M_{w,342}\mathfrak{S}_{231}(x_2, x_3, x_4) + M_{w,42}\mathfrak{S}_{21}(x_3, x_4)$$

$$= 1 \cdot (x_1 x_2 + x_1 x_3 + x_2 x_3) - x_2 \cdot (x_1 + x_3) - 1 \cdot (x_2 x_3) + x_2 \cdot (x_3)$$

$$= x_1 x_3,$$

which indeed has nonnegative coefficients. See Figure 3 for an illustration.

An immediate corollary of Theorem 1.2 is the following theorem:

THEOREM 1.3. *Let $w \in S_n$ be a 1432 and 1423 avoiding permutation. If u is a subword of w, then*

$$(3) \qquad \sum_{u \leqslant v \leqslant w} (-1)^{|w|-|v|} \mathfrak{S}_{\operatorname{perm}(v)}(\mathbf{1}) \geqslant 0,$$

where $\mathfrak{S}_{\operatorname{perm}(v)}(\mathbf{1})$ denotes the value of the Schubert polynomial $\mathfrak{S}_{\operatorname{perm}(v)}$ with all its variables set to 1.

Theorem 1.3 is closely related to a recent conjecture of Gao [10, Conjecture 3.2] regarding the principal specialization of Schubert polynomials as we now explain. We also conjecture (Conjecture 5.1) in Section 5 that Theorem 1.3 holds for all permutations $w \in S_n$.

1.2. PRINCIPAL SPECIALIZATIONS OF SCHUBERT POLYNOMIALS.. Macdonald [17, Eq. 6.11] famously expressed the principal specialization $\mathfrak{S}_\sigma(\mathbf{1})$ of the Schubert polynomial $\mathfrak{S}_\sigma$ in terms of the reduced words of σ. Fomin and Kirillov [8] placed this expression in the context of plane partitions for dominant permutations, while after two decades Billey et al. [3] provided a combinatorial proof. In 2017, Stanley [23] considered the asymptotics of $\mathfrak{S}_\sigma(\mathbf{1})$ as well as the role pattern containment plays in its value. The asymptotics question was partially answered by Morales, Pak and Panova [21], while the pattern avoidance question inspired Weigandt [24] and Gao [10], among others, to seek an understanding of $\mathfrak{S}_\sigma(\mathbf{1})$ in terms of the permutation patterns of σ. Weigandt showed that $\mathfrak{S}_\sigma(\mathbf{1}) \geqslant 1 + p_{132}(\sigma)$, where $p_\pi(\sigma)$ is the number of patterns π in the permutation σ, while Gao improved this to $\mathfrak{S}_\sigma(\mathbf{1}) \geqslant 1 + p_{132}(\sigma) + p_{1432}(\sigma)$. Gao conjectured that there exist nonnegative integers c_w, for $w \in S_\infty$, such that

$$\mathfrak{S}_\sigma(\mathbf{1}) = \sum_{\pi \in S_\infty} c_\pi p_\pi(\sigma).$$

Equivalently:

CONJECTURE 1.4. *([10, Conjecture 3.2]) There exist nonnegative integers c_w, for $w \in S_\infty$, such that*

$$\mathfrak{S}_w(\mathbf{1}) = \sum_{v \leqslant w} c_{\operatorname{perm}(v)},$$

where $v \leqslant w$ denotes that v occurs as a subword in w.

It follows readily via inclusion-exclusion that for $w \in S_\infty$:

$$(4) \qquad c_w = \sum_{v \leqslant w} (-1)^{|w|-|v|} \mathfrak{S}_{\operatorname{perm}(v)}(\mathbf{1}).$$

Thus, Theorem 1.3 settles Gao's conjecture 1.4 for 1432 and 1423 avoiding permutations $w \in S_\infty$ when we specialize it to the empty word $u = ()$. Moreover, we also provide a combinatorial interpretation of the numbers c_w for 1432 and 1423 avoiding permutations $w \in S_\infty$:

THEOREM 1.5. *For 1432 and 1423 avoiding permutations $w \in S_\infty$ the value of c_w is the number of diagrams $C \leqslant D(w)$ that cannot be written as $\widehat{C}_{\text{aug}}$ for some $\widehat{C} = \widehat{C}_{k,w_k} \leqslant \widehat{D(w)} = \widehat{D(w)}_{k,w_k}$, $k \in \mathbb{Z}_{>0}$. .*

See Section 3.3 for more details.

1.3. EXTENDING THEOREMS 1.1 & 1.2.

Both Theorem 1.3 and Theorem 1.5 are byproducts of our main Theorem 1.2. It is thus most natural to ask in what generality Theorem 1.2 holds. While Theorem 1.3 is conjectured by Gao to hold for all permutations, Theorems 1.2 and 1.5 as stated do not. Theorem 1.2 fails already for $w = 1432$. However, the reason it fails leads to other possibilities: the monomials $M_{w,v}$ we used to formulate Theorem 1.2 are inspired by Theorem 1.1 and are one of many choices we might have made. While Fink, Mészáros, and St. Dizier [6] only constructed one monomial $M_{\sigma,\pi}$ for the pair of permutations (σ, π) in Theorem 1.1, there is a family of monomials each of which would make (1) true. We are lead to wonder whether for an appropriate choice of such monomials Theorem 1.2 could be generalized to any permutation. We take the first step towards this goal via the following generalization of Theorem 1.1 showing that a family of monomials, including $M_{\sigma,\pi}$ could work:

THEOREM 1.6. *Fix $\sigma \in S_n$ and let $\pi \in S_{n-1}$ be the pattern of σ with Rothe diagram $D(\pi)$ obtained by removing row k and column σ_k from $D(\sigma)$. If $K \in \mathbf{P}_{k,\sigma_k}(D(\sigma))$, then*

$$\mathfrak{S}_\sigma(x_1, \ldots, x_n) - M(x_1, \ldots, x_n)\mathfrak{S}_\pi(x_1, \ldots, \widehat{x_k}, \ldots, x_n) \in \mathbb{Z}_{\geqslant 0}[x_1, \ldots, x_n],$$

where

$$M(x_1, \ldots, x_n) = \prod_{(i,j) \in K} x_i.$$

See Section 4 for the definition of the set of diagrams $\mathbf{P}_{k,\sigma_k}(D(\sigma))$ used in the statement of Theorem 1.6 above and Section 5 for a discussion of how Theorem 1.6 could be used to generalize Theorem 1.2 as well as Conjecture 5.4 examining the strength of Theorem 1.6.

OUTLINE OF THIS PAPER. Section 2 lays out the general background on Schubert polynomials that we rely on. Section 3 contains the setup and proofs of Theorem 1.2, 1.3 and 1.5. Section 4 provides a proof of Theorem 1.6 and its generalization Theorem 4.1, while Section 5 concludes with conjectures and open problems.

2. BACKGROUND ON SCHUBERT POLYNOMIALS

Schubert polynomials were originally defined via divided difference operators. We will instead define them as dual chatacters of flagged Weyl modules for Rothe diagrams. This section follows the exposition of [5, 6].

2.1. DEFINITION OF DUAL CHARACTERS OF FLAGGED WEYL MODULES.

A *diagram* is a sequence $D = (C_1, C_2, \ldots, C_n)$ of finite subsets of $[n]$, called the *columns* of D. We interchangeably think of D as a collection of boxes (i, j) in a grid, viewing an element $i \in C_j$ as a box in row i and column j of the grid. When we draw diagrams, we read the indices as in a matrix: i increases top-to-bottom and j increases left-to-right.

The *Rothe diagram* $D(w)$ of a permutation $w \in S_n$ is the diagram

$$D(w) = \{(i, j) \in [n] \times [n] \mid i < (w^{-1})_j \text{ and } j < w_i\}.$$

Note that Rothe diagrams have the *northwest property*: If $(r, c'), (r', c) \in D(w)$ with $r < r'$ and $c < c'$, then $(r, c) \in D(w)$.

Let $G = \text{GL}(n, \mathbb{C})$ be the group of $n \times n$ invertible matrices over $\mathbb{C}$ and B be the subgroup of G consisting of the $n \times n$ upper-triangular matrices. The flagged Weyl module is a representation $\mathcal{M}_D$ of B associated to a diagram D. The dual character of $\mathcal{M}_D$ has been shown in certain cases to be a Schubert polynomial [13] or a key polynomial [22]. We will use the construction of $\mathcal{M}_D$ in terms of determinants given in [18].

Denote by Y the $n \times n$ matrix with indeterminates y_{ij} in the upper-triangular positions $i \leqslant j$ and zeros elsewhere. Let $\mathbb{C}[Y]$ be the polynomial ring in the indeterminates $\{y_{ij}\}_{i \leqslant j}$. Note that B acts on $\mathbb{C}[Y]$ on the right via left translation: if $f(Y) \in \mathbb{C}[Y]$, then a matrix $b \in B$ acts on f by $f(Y) \cdot b = f(b^{-1}Y)$. For any $R, S \subseteq [n]$, let Y_S^R be the submatrix of Y obtained by restricting to rows R and columns S.

For $R, S \subseteq [n]$, we say $R \leqslant S$ if $\#R = \#S$ and the kth least element of R does not exceed the kth least element of S for each k. For any diagrams $C = (C_1, \ldots, C_n)$ and $D = (D_1, \ldots, D_n)$, we say $C \leqslant D$ if $C_j \leqslant D_j$ for all $j \in [n]$.

DEFINITION 2.1. *For a diagram $D = (D_1, \ldots, D_n)$, the flagged Weyl module $\mathcal{M}_D$ is defined by*

$$\mathcal{M}_D = \mathrm{Span}_{\mathbb{C}} \left\{ \prod_{j=1}^{n} \det \left(Y_{D_j}^{C_j} \right) \,\middle|\, C \leqslant D \right\}.$$

$\mathcal{M}_D$ is a B-module with the action inherited from the action of B on $\mathbb{C}[Y]$.

Note that since Y is upper-triangular, the condition $C \leqslant D$ is technically unnecessary since $\det \left(Y_{D_j}^{C_j} \right) = 0$ unless $C_j \leqslant D_j$. Conversely, if $C_j \leqslant D_j$, then $\det \left(Y_{D_j}^{C_j} \right) \neq 0$.

For any B-module N, the *character* of N is defined by $\mathrm{char}(N)(x_1, \ldots, x_n) = \mathrm{tr}\,(X : N \to N)$, where X is the diagonal matrix $\mathrm{diag}(x_1, x_2, \ldots, x_n)$ with diagonal entries $x_1, \ldots, x_n$, and X is viewed as a linear map from N to N via the B-action. Define the *dual character* of N to be the character of the dual module N^*:

$$\mathrm{char}^*(N)(x_1, \ldots, x_n) = \mathrm{tr}\,(X : N^* \to N^*)$$
$$= \mathrm{char}(N)(x_1^{-1}, \ldots, x_n^{-1}).$$

DEFINITION 2.2. *For a diagram $D \subseteq [n] \times [n]$, let $\chi_D = \chi_D(x_1, \ldots, x_n)$ be the dual character*

$$\chi_D = \mathrm{char}^* \mathcal{M}_D.$$

2.2. RESULTS ABOUT DUAL CHARACTERS OF FLAGGED WEYL MODULES. A special case of dual characters of flagged Weyl modules of diagrams are Schubert polynomials:

THEOREM 2.3 ([13]). *For w a permutation and $D(w)$ its Rothe diagram we have that the Schubert polynomial $\mathfrak{S}_w$ is*

$$\mathfrak{S}_w = \chi_{D(w)}.$$

THEOREM 2.4 (cf. [5, Theorem 7]). *For any diagram $D \subseteq [n] \times [n]$, the monomials appearing in χ_D are exactly*

$$\left\{ \prod_{j=1}^{n} \prod_{i \in C_j} x_i \,\middle|\, C \leqslant D \right\}.$$

THEOREM 2.5 ([6]). *Let $D \subseteq [n] \times [n]$ be a diagram. Fix any diagram $C^{(1)} \leqslant D$ and set*

$$\boldsymbol{m} = \prod_{j=1}^{n} \prod_{i \in C_j^{(1)}} x_i.$$

Let $C^{(1)}, \ldots, C^{(r)}$ be all the diagrams C such that $C \leqslant D$ and $\prod_{j=1}^{n} \prod_{i \in C_j} x_i = \boldsymbol{m}$. Then, the coefficient of $\boldsymbol{m}$ in χ_D is equal to

$$[\boldsymbol{m}]\chi_D = \dim \left(\mathrm{Span}_{\mathbb{C}} \left\{ \prod_{j=1}^{n} \det \left(Y_{D_j}^{C_j^{(i)}} \right) \,\middle|\, i \in [r] \right\} \right).$$

In particular,

$$[\boldsymbol{m}]\chi_D \leqslant \# \left\{ C \leqslant D \,\middle|\, \prod_{(i,j) \in C} x_i = \mathbf{m} \right\}.$$

In light of the last inequality, it is natural to wonder when equality holds. This is what Fan & Guo [4] did:

THEOREM 2.6 ([4]). *Given a diagram $D \subseteq [n] \times [n]$, let*

$$x^D = \prod_{(i,j) \in D} x_i.$$

Then, for a permutation $w \in S_n$,

$$\mathfrak{S}_w(x_1, \ldots, x_n) = \sum_{C \leqslant D(w)} x^C$$

if and only if w avoids the patterns 1432 *and* 1423.

In particular, Theorem 2.6 implies:

COROLLARY 2.7 ([4]). *If $w \in S_n$ avoids the patterns* 1432 *and* 1423, *then the coefficient of $\boldsymbol{m}$ in $\mathfrak{S}_w = \chi_{D(w)}$ is equal to*

$$[\boldsymbol{m}]\mathfrak{S}_w = \# \left\{ C \leqslant D(w) \;\middle|\; \prod_{(i,j)\in C} x_i = \boldsymbol{m} \right\}.$$

3. PROOF OF THEOREMS 1.2, 1.3 AND 1.5

In this section we prove Theorems 1.2, 1.3 and 1.5. We start by giving the necessary definitions and lemmas.

3.1. SETUP FOR THEOREMS 1.2, 1.3 AND 1.5..

DEFINITION 3.1. For words u, v, we write $u \leqslant v$ if u is a subword of v (and $u < v$ if $u \leqslant v$ and $u \neq v$). In other words, $u \leqslant v$ if there is a sequence $1 \leqslant i_1 < \cdots < i_{|u|} \leqslant |v|$ such that $u = v(i_1) \cdots v(i_{|u|})$. The empty word () is a pattern in all words.

EXAMPLE 3.2. Let $u = 792$ and $v = 37952$. Then $u \leqslant v$, because $u = v(2)\,v(3)\,v(5)$.

DEFINITION 3.3. For a word v of length n, let $i_1, i_2, \ldots, i_n$ be indices such that $v(i_1) < v(i_2) < \cdots < v(i_n)$. Then $\mathrm{perm}(v)$ is the permutation that sends $i_j \mapsto j$, that is, $\mathrm{perm}(v) = (i_1 i_2 \cdots i_n)^{-1}$. Equivalently, $\pi = \mathrm{perm}(v)$ is the permutation in S_n such that the relative order of $\pi_1, \ldots, \pi_n$ and of $v_1, \ldots, v_n$ are the same.

EXAMPLE 3.4. Let $v = 37952$. Note $v(5) < v(1) < v(4) < v(2) < v(3)$, so $(i_1, i_2, i_3, i_4, i_5) = (5, 1, 4, 2, 3)$. Thus $\mathrm{perm}(v) = (51423)^{-1} = 24531$. Notice that we can obtain $\mathrm{perm}(v)$ from v by replacing the smallest character of v with 1, the second smallest with 2, and so on.

DEFINITION 3.5. Let $w \in S_n$ and let v be a subword of w. We define

$$\mathbf{x}_{w^{-1}(v)} := \left(x_{w^{-1}(v(1))}, x_{w^{-1}(v(2))}, \ldots, x_{w^{-1}(v(|v|))} \right).$$

EXAMPLE 3.6. Let $w = 134265$ and $v = 3265$. Then

$$\mathbf{x}_{w^{-1}(v)} = \left(x_{w^{-1}(3)}, x_{w^{-1}(2)}, x_{w^{-1}(6)}, x_{w^{-1}(5)} \right) = (x_2, x_4, x_5, x_6).$$

Notice that the resulting indices will always be in ascending order. See Figure 1 for an illustration.
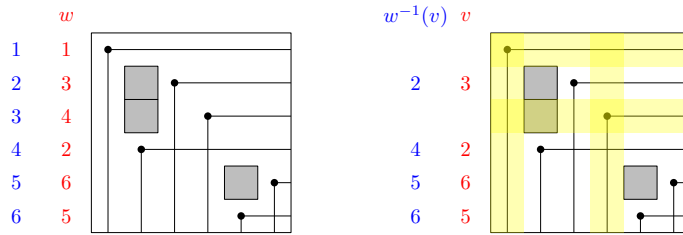

FIGURE 1. The left diagram is the Rothe diagram of the permutation $w = 134265$ (the permutation w is noted in red to the left of the diagram). The row indices are noted in blue to the left of the diagram. The right diagram shows the subword $v = 3265$ of $w = 134265$ graphically: it is obtained by removing the yellow highlighted rows and columns from the Rothe diagram of w. The indices $w^{-1}(v)$ shown in blue to the left of the diagram are simply the row indices corresponding to this graphical presentation of the subword $v = 3265$ of $w = 134265$.

DEFINITION 3.7. Given a diagram $D \subseteq [n] \times [n]$ and sets of indices $K, L \subseteq [n]$ with $\#K = \#L$, let $\widehat{D}\,|_{K,L}$ denote the diagram obtained from D by keeping only the boxes in rows K and columns L:

$$\widehat{D}\,|_{K,L} = \{(i,j) \in D \mid i \in K, j \in L\}.$$

DEFINITION 3.8. Suppose $C \leqslant D(w)$ for some permutation $w \in S_n$. Then, for any subword $v \leqslant w$, we define $\widehat{C}\,|_v$ to be the diagram obtained by keeping only the boxes in the rows corresponding to v. That is, $\widehat{C}\,|_v := \widehat{C}\,|_{K,L}$, where $L = \{v(1), v(2), \ldots, v(|v|)\}$ and $K = \{w^{-1}(v(1)), w^{-1}(v(2)), \ldots, w^{-1}(v(|v|))\}$.

3.2. THEOREM 1.2 AND ITS PROOF..

THEOREM 1.2. *Let* $w \in S_n$ *be a* 1432 *and* 1423 *avoiding permutation and let* u *be a subword of* w. *Then*

$$\text{(5)} \qquad \sum_{u \leqslant v \leqslant w} (-1)^{|w|-|v|} M_{w,v} \mathfrak{S}_{\mathrm{perm}(v)}(\mathbf{x}_{w^{-1}(v)}) \in \mathbb{Z}_{\geqslant 0}[x_1, \ldots, x_n],$$

where

$$M_{w,v} := \prod_{(i,j) \in D(w) \smallsetminus \widehat{D(w)}|_v} x_i.$$

EXAMPLE 3.9. Let $w = 2143$ and $u = 43$. Then

$$\{v \mid u \leqslant v \leqslant w\} = \{2143, 143, 243, 43\},$$

so the alternating sum in (5) becomes

(6)
$$M_{w,2143}\mathfrak{S}_{2143}(x_1, x_2, x_3, x_4) - M_{w,143}\mathfrak{S}_{132}(x_2, x_3, x_4) - M_{w,243}\mathfrak{S}_{132}(x_1, x_3, x_4) + M_{w,43}\mathfrak{S}_{21}(x_3, x_4)$$
$$= 1 \cdot (x_1^2 + x_1 x_2 + x_1 x_3) - x_1 \cdot (x_2 + x_3) - x_1 \cdot (x_1 + x_3) + x_1 \cdot (x_3)$$
$$= 0,$$

which indeed has nonnegative coefficients. See Figure 2 for an illustration.

$1 \cdot \mathfrak{S}_{\mathrm{perm}(2143)}(x_1, x_2, x_3, x_4) \quad - \quad x_1 \cdot \mathfrak{S}_{\mathrm{perm}(143)}(x_2, x_3, x_4) \quad - \quad x_1 \cdot \mathfrak{S}_{\mathrm{perm}(243)}(x_1, x_3, x_4) \quad + \quad x_1 \cdot \mathfrak{S}_{\mathrm{perm}(43)}(x_3, x_4)$
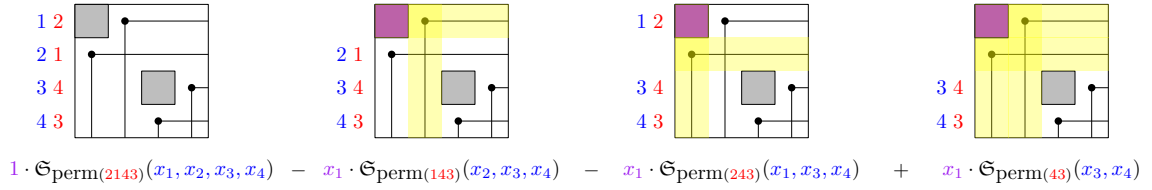
FIGURE 2. The four diagrams in this figure correspond left to right to the subwords $\{v \mid u \leqslant v \leqslant w\} = \{2143, 143, 243, 43\}$ for $w = 2143$ and $u = 43$ as in Example 3.9. These in turn yield the Schubert polynomials in the expression (6). The red numbers on the left of the diagrams signify these subwords; the blue numbers are the row numbers yielding the variables of the corresponding Schubert polynomials in the expression (6). The purple boxes correspond to the boxes of the Rothe diagram of $w = 2143$ that are removed in order to obtain v; graphically these are the boxes struck by yellow if the yellow highlighted rows and columns are extended; the row indices of these boxes yield the monomials $M_{w,v}$.

EXAMPLE 3.10. Let $w = 1342$ and $u = 42$. Then

$$\{v \mid u \leqslant v \leqslant w\} = \{1342, 142, 342, 42\},$$

so the alternating sum in (5) becomes

(7)
$$M_{w,1342}\mathfrak{S}_{1342}(x_1, x_2, x_3, x_4) - M_{w,142}\mathfrak{S}_{132}(x_1, x_3, x_4) - M_{w,342}\mathfrak{S}_{231}(x_2, x_3, x_4) + M_{w,42}\mathfrak{S}_{21}(x_3, x_4)$$
$$= 1 \cdot (x_1 x_2 + x_1 x_3 + x_2 x_3) - x_2 \cdot (x_1 + x_3) - 1 \cdot (x_2 x_3) + x_2 \cdot (x_3)$$
$$= x_1 x_3,$$

which indeed has nonnegative coefficients. See Figure 3 for an illustration.

To aid the proof of Theorem 1.2 we extend Corollary 2.7 to words:

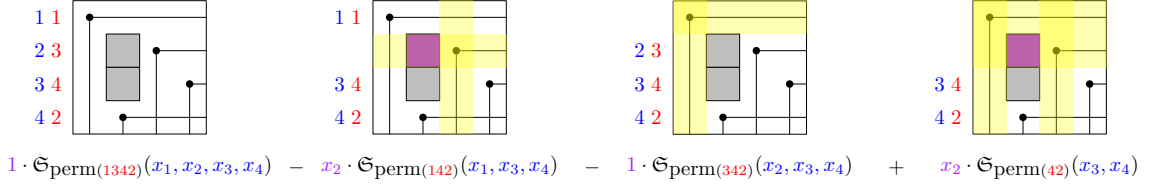$$1 \cdot \mathfrak{S}_{\mathrm{perm}(1342)}(x_1, x_2, x_3, x_4) \quad - \quad x_2 \cdot \mathfrak{S}_{\mathrm{perm}(142)}(x_1, x_3, x_4) \quad - \quad 1 \cdot \mathfrak{S}_{\mathrm{perm}(342)}(x_2, x_3, x_4) \quad + \quad x_2 \cdot \mathfrak{S}_{\mathrm{perm}(42)}(x_3, x_4)$$

FIGURE 3. The four diagrams in this figure correspond left to right to the subwords $\{v \mid u \leqslant v \leqslant w\} = \{1342, 142, 342, 42\}$ for $w = 1342$ and $u = 42$ as in Example 3.10. These in turn yield the Schubert polynomials in the expression (7). The red numbers on the left of the diagrams signify these subwords; the blue numbers are the row numbers yielding the variables of the corresponding Schubert polynomials in the expression (7). The purple boxes correspond to the boxes of the Rothe diagram of $w = 1342$ that are removed in order to obtain v; graphically these are the boxes struck by yellow if the yellow highlighted rows and columns are extended; the row indices of these boxes yield the monomials $M_{w,v}$.

LEMMA 3.11. *Let $w \in S_n$ be a 1432 and 1423 avoiding permutation, and let v be a subword of w. Then the coefficient of $\mathbf{m}$ in $\mathfrak{S}_{\mathrm{perm}(v)}(\mathbf{x}_{w^{-1}(v)})$ is equal to*

$$\#\left\{C \leqslant \widehat{D(w)}\mid_v \;\middle|\; \prod_{(i,j)\in C} x_i = \mathbf{m} \text{ and } C\text{'s boxes all lie in rows } K\right\},$$

where $K = \{w^{-1}(v(1)), w^{-1}(v(2)), \ldots, w^{-1}(v(|v|))\}$.

Proof. Fix $\mathbf{m}$, and let

$$A = \left\{C \leqslant \widehat{D(w)}\mid_v \;\middle|\; \prod_{(i,j)\in C} x_i = \mathbf{m} \text{ and } C\text{'s boxes all lie in rows } K\right\}.$$

If $\mathbf{m}$ is divisible by some x_i where $i \notin K$, then the coefficient of $\mathbf{m}$ in $\mathfrak{S}_{\mathrm{perm}(v)}(\mathbf{x}_{w^{-1}(v)})$ is 0, and no diagram C with boxes only in rows K can ever satisfy $\prod_{(i,j)\in C} x_i = \mathbf{m}$, so $|A| = 0$ and we are done.

Let $k_1 < k_2 < \cdots < k_{|v|}$ be the elements of K. By the previous discussion, we may as well assume that we can write

$$\mathbf{m} = \prod_{i=1}^{|v|} x_{k_i}^{\alpha_i}$$

for some nonnegative integers α_i. Define

$$\mathbf{m}' = \prod_{i=1}^{|v|} x_i^{\alpha_i},$$

which is simply $\mathbf{m}$ under the reindexing $x_{k_i} \mapsto x_i$. Since w is 1432 and 1423 avoiding, so are v and $\mathrm{perm}(v)$, thus by Corollary 2.7, the coefficient of $\mathbf{m}'$ in $\mathfrak{S}_{\mathrm{perm}(v)}(x_1, \ldots, x_{|v|})$ is equal to $|B|$, where

$$B = \left\{C \leqslant D(\mathrm{perm}(v)) \;\middle|\; \prod_{(i,j)\in C} x_i = \mathbf{m}'\right\}.$$

Consider the function $f\colon B \to A$ given by

$$f(C) = \{(k_i, v(j)) \mid (i,j) \in C\}.$$

Notice that the boxes of $f(C)$ all lie in rows K, and since $\prod_{(i,j)\in C} x_i = \mathbf{m}'$, we have $\prod_{(i,j)\in f(C)} x_i = \mathbf{m}$. Furthermore, from the definition of Rothe diagrams, if $(i,j) \in D(\mathrm{perm}(v))$ then $(k_i, v(j)) \in D(w)$, so $f(D(\mathrm{perm}(v))) \leqslant \widehat{D(w)}\mid_v$. For $C, C' \in B$, observe that if $C \leqslant C'$ then $f(C) \leqslant f(C')$, so it follows that $f(C) \leqslant \widehat{D(w)}\mid_v$ for all $C \in B$ and f is well-defined.

f is clearly injective by construction. To see that it is surjective, note that if $C \in A$, then $C \leqslant \widehat{D(w)}\mid_v$, so every box in C is of the form $(k_i, v(j))$ and the diagram

$$C' = \{(i,j) \mid (k_i, v(j)) \in C\}$$

is easily seen to be a member of A, with $f(C') = C$. Therefore,

$$
\begin{aligned}
[\mathbf{m}]\mathfrak{S}_{\mathrm{perm}(v)}(\mathbf{x}_{w^{-1}(v)}) &= [\mathbf{m}]\mathfrak{S}_{\mathrm{perm}(v)}(x_{k_1}, x_{k_2}, \ldots, x_{k_{|v|}}) \\
&= [\mathbf{m}']\mathfrak{S}_{\mathrm{perm}(v)}(x_1, x_2, \ldots, x_{|v|}) \\
&= |B| \\
&= |A|\,.
\end{aligned}
$$

$\square$

Proof of Theorem 1.2. We must show that for every monomial $\mathbf{m}$,

$$
[\mathbf{m}] \sum_{u \leqslant v \leqslant w} (-1)^{|w|-|v|} M_{w,v} \mathfrak{S}_{\mathrm{perm}(v)}(\mathbf{x}_{w^{-1}(v)}) \geqslant 0;
$$

equivalently,

$$
(8) \qquad \sum_{u \leqslant v \leqslant w} (-1)^{|w|-|v|} [\mathbf{m}] M_{w,v} \mathfrak{S}_{\mathrm{perm}(v)}(\mathbf{x}_{w^{-1}(v)}) \geqslant 0.
$$

Fix $\mathbf{m}$. For any subword $v \leqslant w$, let $K_v := \{w^{-1}(v(1)), w^{-1}(v(2)), \ldots, w^{-1}(v(|v|))\}$ (the 'rows corresponding to v'). Using Lemma 3.11, we find that

$$
\begin{aligned}
[\mathbf{m}] M_{w,v} \mathfrak{S}_{\mathrm{perm}(v)}(\mathbf{x}_{w^{-1}(v)}) &= \left[\frac{\mathbf{m}}{M_{w,v}}\right] \mathfrak{S}_{\mathrm{perm}(v)}(\mathbf{x}_{w^{-1}(v)}) \\
&= \#\left\{ C \leqslant \widehat{D(w)}\,|_v \;\middle|\; \prod_{(i,j)\in C} x_i = \frac{\mathbf{m}}{M_{w,v}} \text{ and } C\text{'s boxes all lie in rows } K_v \right\}.
\end{aligned}
$$

Consider the two families of sets

$$
A_v := \left\{ C \leqslant \widehat{D(w)}\,|_v \;\middle|\; \prod_{(i,j)\in C} x_i = \frac{\mathbf{m}}{M_{w,v}} \text{ and } C\text{'s boxes all lie in rows } K_v \right\},
$$

$$
B_v := \left\{ C \leqslant D(w) \;\middle|\; \prod_{(i,j)\in C} x_i = \mathbf{m}, C \smallsetminus (\widehat{C}\,|_v) = D(w) \smallsetminus (\widehat{D(w)}\,|_v) \text{ and } C\text{'s boxes all lie in rows } K_w \right\}.
$$

Since $v \leqslant w$, $K_v \subseteq K_w$, and also the boxes of $D(w) \smallsetminus (\widehat{D(w)}\,|_v)$ all lie in rows $K_w \smallsetminus K_v$. Thus, $D(w) \smallsetminus (\widehat{D(w)}\,|_v)$ is disjoint from every $C \in A_v$, and so there is an obvious injection f from A_v to B_v defined by

$$
f(C) := C \sqcup (D(w) \smallsetminus (\widehat{D(w)}\,|_v)).
$$

We claim f is surjective. Indeed, given $C \in B_v$, the diagram $C' = C \smallsetminus (D(w) \smallsetminus (\widehat{D(w)}\,|_v))$ is easily seen to be a member of A_v, and of course $f(C') = C$.

Therefore,

$$
(9) \qquad [\mathbf{m}] M_{w,v} \mathfrak{S}_{\mathrm{perm}(v)}(\mathbf{x}_{w^{-1}(v)}) = |A_v| = |B_v|\,,
$$

and so it suffices to show that

$$
(10) \qquad \sum_{u \leqslant v \leqslant w} (-1)^{|w|-|v|} |B_v| \geqslant 0.
$$

Notice that, if $u \leqslant v \leqslant v' \leqslant w$, then $B_v \subseteq B_{v'}$, and for all $u \leqslant v, v' \leqslant w$, $B_u \cap B_v = B_{u \wedge v}$, where $u \wedge v$ denotes the maximal word contained in both u and v. Let $I = \{v \mid u \leqslant v \leqslant w \text{ and } |v| = |w| - 1\}$. Then, using inclusion-exclusion, we find that

$$
(11) \qquad \sum_{u \leqslant v \leqslant w} (-1)^{|w|-|v|} |B_v| = |B_w| - \sum_{v_1 \in I} |B_{v_1}| + \sum_{v_1, v_2 \in I} |B_{v_1} \cap B_{v_2}| - \cdots
$$

$$
(12) \qquad = |B_w| - \left| \bigcup_{v \in I} B_v \right|
$$

$$
(13) \qquad = \left| B_w \smallsetminus \bigcup_{v \in I} B_v \right|.
$$

This quantity is necessarily non-negative, as desired. $\square$

By setting all x_i's to 1 in Theorem 1.2 we obtain:

THEOREM 1.3. *Let $w \in S_n$ be a 1432 and 1423 avoiding permutation. If u is a subword of w, then*

$$\sum_{u \leqslant v \leqslant w} (-1)^{|w|-|v|} \mathfrak{S}_{\mathrm{perm}(v)}(\mathbf{1}) \geqslant 0.$$

We conjecture (Conjecture 5.1) that Theorem 1.3 generalizes to all permutations $w \in S_n$.

3.3. **GAO'S CONJECTURE 1.4, THEOREM 1.5 AND ITS PROOF.** Gao [10] defined a sequence of integers $\{c_u\}_{m \geqslant 1, u \in S_m}$ recursively, as follows:

$$(14) \qquad c_w := \mathfrak{S}_w(\mathbf{1}) - 1 - \sum_{|u| < |w|} c_u p_u(w),$$

where $|u| = m$ if $u \in S_m$, and $p_u(w)$ is the number of occurrences of u as a pattern in w.

Gao showed that $c_w = 0$ whenever $w(n) = n$, so the definition of c_w can be extended to all $w \in S_\infty$. In the same paper, he conjectured the following:

CONJECTURE 3.12. *([10, Conjecture 3.2]) We have $c_w \geqslant 0$ for all $w \in S_\infty$.*

Notice that $p_u(w) = \#\{$words v such that $u = \mathrm{perm}(v)$ and $v \leqslant w\}$. Thus, we can rewrite (14) as

$$(15) \qquad c_w = \mathfrak{S}_w(\mathbf{1}) - \sum_{v < w} c_v,$$

where the -1 has been absorbed into the sum as $c_{()}$. Note that this perspective explains the equivalence of Conjectures 1.4 and 3.12.

By inclusion-exclusion, (15) is equivalent to

$$(16) \qquad c_w = \sum_{v \leqslant w} (-1)^{|w|-|v|} \mathfrak{S}_v(\mathbf{1}).$$

Thus, Theorem 1.3 immediately implies:

THEOREM 3.13. *Conjecture 3.12 (equivalently, Conjecture 1.4) holds for 1432 and 1423 avoiding permutations $w \in S_\infty$.*

Moreover, Theorem 1.5 below provides a combinatorial interpretation for c_w when w is 1432 and 1423 avoiding.

DEFINITION 3.14. Given diagrams $C, D \subseteq [n] \times [n]$ and $k, l \in [n]$, let $\widehat{C}_{k,l}$ and $\widehat{D}_{k,l}$ denote the diagrams obtained from C and D by removing any boxes in row k or column l. When the indexes k, l are clear from the context we simply write $\widehat{C}$ and $\widehat{D}$ in place of $\widehat{C}_{k,l}$ and $\widehat{D}_{k,l}$. Fix a diagram D. For each diagram $\widehat{C}$, let its **augmentation** with respect to the diagram D be:
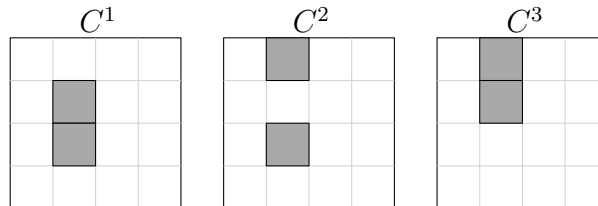
$$\widehat{C}_{\mathrm{aug}} = \widehat{C} \cup \{(k,i) \mid (k,i) \in D\} \cup \{(i,l) \mid (i,l) \in D\} \subseteq [n] \times [n].$$

By tracing the proof of Theorem 1.2 for the case $u = ()$, we can obtain an interpretation of the coefficient of $\mathbf{m}$ in $\sum_{v \leqslant w} (-1)^{|w|-|v|} M_{w,v} \mathfrak{S}_v(\mathbf{x}_{w^{-1}(v)})$ in terms of augmentations of diagrams $\widehat{C} \leqslant \widehat{D(w)}$. In particular, we readily obtain:

THEOREM 1.5. *For 1432 and 1423 avoiding permutations $w \in S_\infty$ the value of c_w is the number of diagrams $C \leqslant D(w)$ that cannot be written as $\widehat{C}_{\mathrm{aug}}$ for some $\widehat{C} = \widehat{C}_{k,w_k} \leqslant \widehat{D(w)} = \widehat{D(w)}_{k,w_k}$, $k \in \mathbb{Z}_{>0}$.*
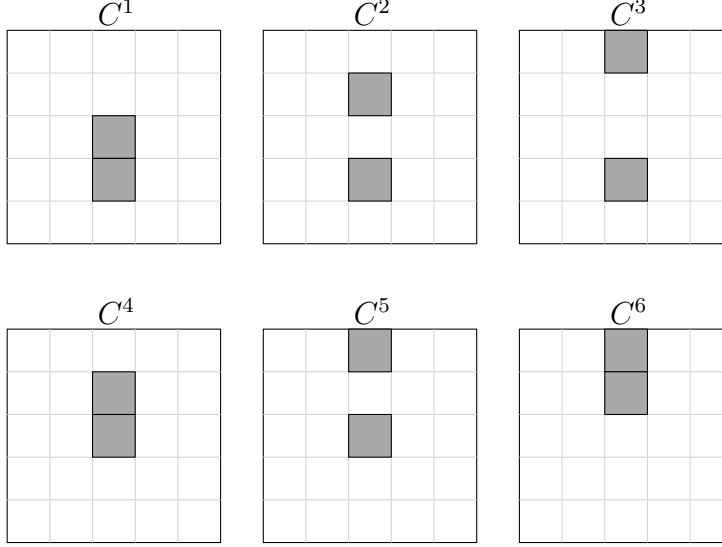
We conclude this section by illustrating Theorem 1.5 for permutations 1342 and 12453.

EXAMPLE 3.15. Computation yields $c_{1342} = 0$. We have that $D(1342) = \{(2,2),(3,2)\}$ and thus the diagrams $C \leqslant D(1342)$ are:

Note that $C^1 = \widehat{C^1}_{\text{aug}}$ with respect to $D(1342)$ with $k = 3, l = 4$ (or with $k = 2, l = 3$); $C^2 = \widehat{C^2}_{\text{aug}}$ with respect to $D(1342)$ with $k = 3, l = 4$; $C^3 = \widehat{C^3}_{\text{aug}}$ with respect to $D(1342)$ with $k = 2, l = 3$. Thus, the number of diagrams $C \leqslant D(1342)$ that cannot be written as $\widehat{C}_{\text{aug}}$ for some $\widehat{C} \leqslant \widehat{D(1342)}$ is 0 yielding $c_{1342} = 0$.

EXAMPLE 3.16. Computation yields $c_{12453} = 1$. We have that $D(12453) = \{(3, 3), (4, 3)\}$ and thus the diagrams $C \leqslant D(12453)$ are:

Note that $C^1 = \widehat{C^1}_{\text{aug}}$ with respect to $D(12453)$ with $k = 4, l = 5$ (or with $k = 3, l = 4$); $C^2 = \widehat{C^2}_{\text{aug}}$ with respect to $D(12453)$ with $k = 4, l = 5$; $C^3 = \widehat{C^3}_{\text{aug}}$ with respect to $D(12453)$ with $k = 4, l = 5$; $C^4 = \widehat{C^4}_{\text{aug}}$ with respect to $D(12453)$ with $k = 3, l = 4$; $C^5 = \widehat{C^5}_{\text{aug}}$ with respect to $D(12453)$ with $k = 3, l = 4$. Note also that C^6 cannot be written as $\widehat{C^6}_{\text{aug}}$ for some $\widehat{C^6} \leqslant \widehat{D(12453)}$. Thus, the number of diagrams $C \leqslant D(12453)$ that cannot be written as $\widehat{C}_{\text{aug}}$ for some $\widehat{C} \leqslant \widehat{D(1342)}$ is 1 yielding $c_{12453} = 1$.

4. PROOF OF THEOREM 1.6

The main result of this section is a generalization of Theorems 1.1 and 1.6:

THEOREM 4.1. *Fix a diagram $D \subseteq [n] \times [n]$ and let $\widehat{D}$ be the diagram obtained from D by removing any boxes in row k or column l. If $K \in \mathbf{P}_{k,l}(D)$, then*

$$\chi_D(x_1, \ldots, x_n) - M(x_1, \ldots, x_n)\chi_{\widehat{D}}(x_1, \ldots, x_{k-1}, 0, x_{k+1}, \ldots, x_n) \in \mathbb{Z}_{\geqslant 0}[x_1, \ldots, x_n],$$

where

$$M(x_1, \ldots, x_n) = \prod_{(i,j) \in K} x_i.$$

Theorem 1.6 is a special case of Theorem 4.1 when D is a Rothe diagram of a permutation and $l = \sigma_k$.

We now proceed to define the set of diagrams $\mathbf{P}_{k,l}(D)$ used in the statement of Theorem 4.1 above.

DEFINITION 4.2. Fix a diagram $D \subseteq [n] \times [n]$ and integers $k, l \in [n]$. Define $\text{Purple}_{k,l}(D)$ to be the set of boxes (i, j) such that:
  - there is some $C \leqslant D$ such that $(i, j) \in C$, but
  - there is no $C \leqslant D$ such that $\widehat{C}_{k,l} \leqslant \widehat{D}_{k,l}$ and $(i, j) \in \widehat{C}_{k,l}$.

DEFINITION 4.3. Fix a diagram $D \subseteq [n] \times [n]$ and integers $k, l \in [n]$. Define $\mathbf{P}_{k,l}(D)$ to be the smallest set satisfying the following:
  - $D \smallsetminus \widehat{D}_{k,l} \in \mathbf{P}_{k,l}(D)$, and
  - if $K \in \mathbf{P}_{k,l}(D)$, $K' \leqslant K$ and $K' \subseteq \text{Purple}_{k,l}(D)$, then $K' \in \mathbf{P}_{k,l}(D)$.

EXAMPLE 4.4. Let $D = D(15243)$, $k = 5$ and $l = 3$. Then $\text{Purple}_{k,l}(D) = \{(1,3), (2,3), (3,3), (4,3)\}$ and $\mathbf{P}_{k,l}(D) = \{\{(2,3), (4,3)\}, \{(1,3), (4,3)\}, \{(2,3), (3,3)\}, \{(1,3), (3,3)\}, \{(1,3), (2,3)\}\}$. As a result, the set of monomials M produced by Theorem 1.6 is $\{x_2 x_4, x_1 x_4, x_2 x_3, x_1 x_3, x_1 x_2\}$. In this case, these are **all** the monomials M for which

$$\chi_D(x_1, x_2, x_3, x_4, x_5) - M(x_1, x_2, x_3, x_4, x_5)\chi_{\widehat{D}}(x_1, x_2, x_3, x_4, 0) \in \mathbb{Z}_{\geqslant 0}[x_1, x_2, x_3, x_4, x_5].$$
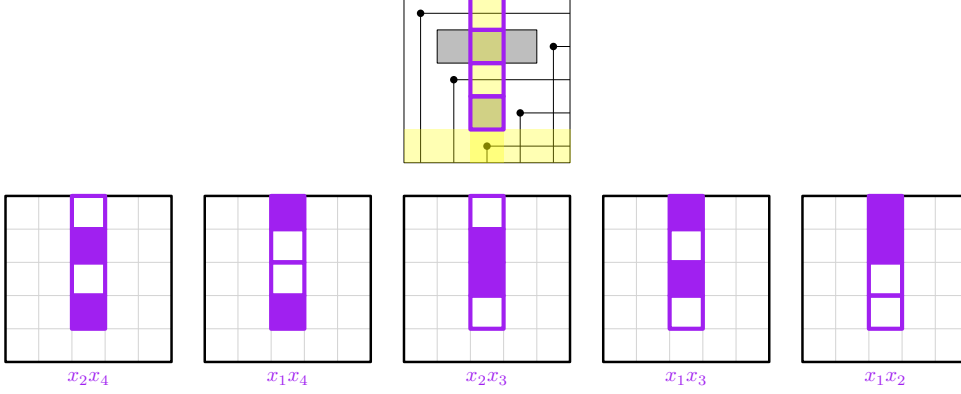
See Figure 4 for an illustration.

FIGURE 4. The diagram in the first row shows the Rothe diagram of the permutation 15243. The yellow highlighted row and column correspond to removing row indexed $k = 5$ and column indexed $l = 3$. The boxes with purple boundary are $\text{Purple}_{k,l}(D) = \{(1,3), (2,3), (3,3), (4,3)\}$. The second row of the figure shows $\mathbf{P}_{k,l}(D) = \{\{(2,3), (4,3)\}, \{(1,3), (4,3)\}, \{(2,3), (3,3)\}, \{(1,3), (3,3)\}, \{(1,3), (2,3)\}\}$ along with the corresponding monomials below each diagram.

EXAMPLE 4.5. Let $D = D(15243)$ and $k = l = 4$. Then $\text{Purple}_{k,l}(D) = \{(1,4), (2,4), (3,3), (4,3)\}$ and $\mathbf{P}_{k,l}(D) = \{\{(2,4), (4,3)\}, \{(1,4), (4,3)\}, \{(2,4), (3,3)\}, \{(1,4), (3,3)\}\}$. As a result, the set of monomials M produced by Theorem 1.6 is $\{x_2 x_4, x_1 x_4, x_2 x_3, x_1 x_3\}$. However,

$$\chi_D(x_1, x_2, x_3, x_4, x_5) - (x_1 x_2)\chi_{\widehat{D}}(x_1, x_2, x_3, 0, x_5) \in \mathbb{Z}_{\geqslant 0}[x_1, x_2, x_3, x_4, x_5],$$

so in this case the monomials prescribed by Theorem 1.6 are not the only monomials that could work. See Figure 5 for an illustration.
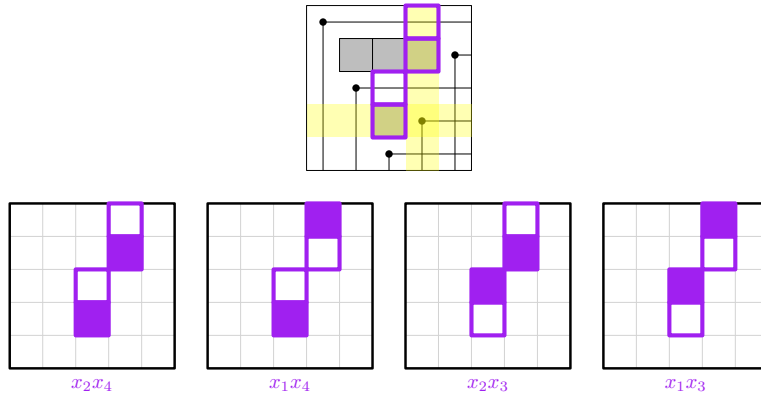

FIGURE 5. The diagram in the first row shows the Rothe diagram of the permutation 15243. The yellow highlighted row and column correspond to removing row indexed $k = 4$ and column indexed $l = 4$. The boxes with purple boundary are $\text{Purple}_{k,l}(D) = \{(1,4), (2,4), (3,3), (4,3)\}$. The second row of the figure shows $\mathbf{P}_{k,l}(D) = \{\{(2,4), (4,3)\}, \{(1,4), (4,3)\}, \{(2,4), (3,3)\}, \{(1,4), (3,3)\}\}$ along with the corresponding monomials below each diagram.

The following lemma follows immediately from the definitions:

LEMMA 4.6. *Let $C, D \subseteq [n] \times [n]$ be diagrams, $k, l \in [n]$, and $K \in \mathbf{P}_{k,l}(D)$. If $\widehat{C}_{k,l} \leqslant \widehat{D}_{k,l}$, then $\widehat{C}_{k,l} \cup K \leqslant D$ and $\widehat{C}_{k,l} \cap K = \varnothing$.*

The following lemma generalizes [6, Lemma 5.7]:

LEMMA 4.7. *Fix a diagram D, integers $k, l \in [n]$, $K \in \mathbf{P}_{k,l}(D)$, and let $\widehat{D}$ denote $\widehat{D}_{k,l}$. Let $\{\widehat{C}^{(i)}\}_{i \in [m]}$ be a set of diagrams with $\widehat{C}^{(i)} \leqslant \widehat{D}$ for each i, and denote $\widehat{C}^{(i)} \cup K$ by $C^{(i)}$ for $i \in [m]$. If the polynomials $\left\{ \prod_{j \in [n]} \det(Y_{D_j}^{C_j^{(i)}}) \right\}_{i \in [m]}$ are linearly dependent, then so are the polynomials $\left\{ \prod_{j \in [n] \smallsetminus \{l\}} \det(Y_{\widehat{D}_j}^{\widehat{C}_j^{(i)}}) \right\}_{i \in [m]}$.*

Proof. We are given that

$$(17) \qquad \sum_{i \in [m]} c_i \prod_{j \in [n]} \det(Y_{D_j}^{C_j^{(i)}}) = 0$$

for some constants $(c_i)_{i \in [m]} \in^m$ not all zero. Since $C^{(i)} = \widehat{C}^{(i)} \cup K$ for $\widehat{C}^{(i)} \leqslant \widehat{D}$ we have that $C_l^{(i)} = K_l$ for every $i \in [m]$. Thus, (17) can be rewritten as

$$(18) \qquad \det(Y_{D_l}^{K_l}) \left(\sum_{i \in [m]} c_i \prod_{j \in [n] \smallsetminus \{l\}} \det(Y_{D_j}^{C_j^{(i)}}) \right) = 0.$$

However, since $\det(Y_{D_l}^{K_l}) \neq 0$, we conclude that

$$(19) \qquad \sum_{i \in [m]} c_i \prod_{j \in [n] \smallsetminus \{l\}} \det(Y_{D_j}^{C_j^{(i)}}) = 0.$$

First consider the case that the only boxes of D in row k or column l are those in D_l. If this is the case then

$$(20) \qquad \prod_{j \in [n] \smallsetminus \{l\}} \det(Y_{\widehat{D}_j}^{\widehat{C}_j^{(i)}}) = \prod_{j \in [n] \smallsetminus \{l\}} \det(Y_{D_j}^{C_j^{(i)}})$$

for each $i \in [m]$. Therefore,

$$(21) \qquad \sum_{i \in [m]} c_i \prod_{j \in [n] \smallsetminus \{l\}} \det(Y_{\widehat{D}_j}^{\widehat{C}_j^{(i)}}) = \sum_{i \in [m]} c_i \prod_{j \in [n] \smallsetminus \{l\}} \det(Y_{D_j}^{C_j^{(i)}}).$$

Combining (19) and (21) we obtain that the polynomials $\left\{ \prod_{j \in [n] \smallsetminus \{l\}} \det(Y_{\widehat{D}_j}^{\widehat{C}_j^{(i)}}) \right\}_{i \in [m]}$ are linearly dependent, as desired.

Now, suppose that there are boxes of D in row k that are not in D_l. Let $j_1 < \cdots < j_p$ be all indices $j \neq l$ such that $D_j = \widehat{D}_j \cup \{k\}$. Then, for each $i \in [m]$ and $q \in [p]$, $C_{j_q}^{(i)} \smallsetminus \widehat{C}_{j_q}^{(i)} = K_{j_q}$. For each $q \in [p]$, let k_q be the only element of K_{j_q}; then (19) implies that

$$(22) \qquad \left[\prod_{q \in [p]} y_{k_q k} \right] \sum_{i \in [m]} c_i \prod_{j \in [n] \smallsetminus \{l\}} \det(Y_{D_j}^{C_j^{(i)}}) = 0.$$

However,

$$(23) \qquad \left[\prod_{q \in [p]} y_{k_q k} \right] \prod_{j \in [n] \smallsetminus \{l\}} \det(Y_{D_j}^{C_j^{(i)}}) = \prod_{j \in [n] \smallsetminus \{l\}} \det(Y_{\widehat{D}_j}^{\widehat{C}_j^{(i)}}),$$

as is seen by Laplace expansion on the k_qth row of $\det(Y_{D_{j_q}}^{C_{j_q}^{(i)}})$, and therefore

$$(24) \qquad \left[\prod_{q \in [p]} y_{k_q k} \right] \sum_{i \in [m]} c_i \prod_{j \in [n] \smallsetminus \{l\}} \det(Y_{D_j}^{C_j^{(i)}}) = \sum_{i \in [m]} c_i \prod_{j \in [n] \smallsetminus \{l\}} \det(Y_{\widehat{D}_j}^{\widehat{C}_j^{(i)}}).$$

Thus, (22) and (24) imply that

$$(25) \qquad \sum_{i \in [m]} c_i \prod_{j \in [n] \smallsetminus \{l\}} \det(Y_{\widehat{D}_j}^{\widehat{C}_j^{(i)}}) = 0,$$

as desired.   □

We are now ready to prove Theorem 4.1:

Proof of Theorem 4.1 Let $M = M(x_1, \ldots, x_n)$. Suppose there is some $K \in \mathbf{P}_{k,l}(D)$ such that

$$M(x_1, \ldots, x_n) = \prod_{(i,j) \in K} x_i.$$

We must show that $[M\mathbf{m}]\chi_D \geqslant [\mathbf{m}]\chi_{\widehat{D}}$ for each monomial $\mathbf{m}$ of $\chi_{\widehat{D}}$ not divisible by x_k. Let $\widehat{\mathcal{C}}$ be the set of diagrams $\widehat{C}$ such that $\widehat{C} \leqslant \widehat{D}$ and $\prod_{(i,j) \in \widehat{C}} x_i = \mathbf{m}$. By Corollary 5.5,

$$[\mathbf{m}]\chi_{\widehat{D}} = \dim \left(\mathrm{Span} \left\{ \prod_{j=1}^{n} \det(Y_{\widehat{D}_j}^{\widehat{C}_j}) \,\middle|\, \widehat{C} \in \widehat{\mathcal{C}} \right\} \right).$$

Let $\mathcal{C} = \{\widehat{C} \cup K \mid \widehat{C} \in \widehat{\mathcal{C}}\}$. By Lemma 4.6, every $C \in \mathcal{C}$ satisfies $C \leqslant D$ and $\prod_{(i,j) \in C} x_i = M\mathbf{m}$, so Corollary 5.5 implies that

$$[M\mathbf{m}]\chi_D \geqslant \dim \left(\mathrm{Span} \left\{ \prod_{j=1}^{n} \det(Y_{D_j}^{C_j^{(i)}}) \,\middle|\, C \in \mathcal{C} \right\} \right).$$

Note the inequality, which is because we have only a subset of the C.

Finally, Lemma 4.7 implies that

$$\dim \left(\mathrm{Span} \left\{ \prod_{j=1}^{n} \det(Y_{D_j}^{C_j^{(i)}}) \,\middle|\, C \in \mathcal{C} \right\} \right) \geqslant \dim \left(\mathrm{Span} \left\{ \prod_{j=1}^{n} \det(Y_{\widehat{D}_j}^{\widehat{C}_j^{(i)}}) \,\middle|\, \widehat{C} \in \widehat{\mathcal{C}} \right\} \right),$$

so $[M\mathbf{m}]\chi_D \geqslant [\mathbf{m}]\chi_{\widehat{D}}$ for each monomial $\mathbf{m}$ of $\chi_{\widehat{D}}$ not divisible by x_k.

                                                                                                □

5. Questions and Conjectures

The results of this paper naturally give rise to the following Conjectures and Questions.

5.1. Extending Theorem 1.3.

Conjecture 5.1. *Let $w \in S_n$. If u is a subword of w, then*

$$\sum_{u \leqslant v \leqslant w} (-1)^{|w|-|v|} \mathfrak{S}_{\mathrm{perm}(v)}(\mathbf{1}) \geqslant 0.$$

Theorem 1.3 confirms the above conjecture for 1432 and 1423 avoiding permutations. A special case of Conjecture 5.1 is Gao's conjecture 1.4. Conjecture 5.1 has been verified by computer for all permutations in S_n for $n \leqslant 8$.

5.2. EXTENDING THEOREM 1.6. The monomials $M(x_1, \ldots, x_n) = \prod_{(i,j) \in K} x_i$ we constructed from diagrams $K \in \mathbf{P}_{k,\sigma_k}(D(\sigma))$ in Theorem 1.6 do not always characterize all monomials for which

$$\mathfrak{S}_\sigma(x_1, \ldots, x_n) - M(x_1, \ldots, x_n)\mathfrak{S}_\pi(x_1, \ldots, \widehat{x_k}, \ldots, x_n) \in \mathbb{Z}_{\geqslant 0}[x_1, \ldots, x_n]$$

holds; recall that $\pi \in S_{n-1}$ is obtained by removing row k and column σ_k of $D(\sigma)$. The following example illustrates this:

EXAMPLE 5.2. For the permutation $\sigma = 1432$ and its pattern $\pi = 132$ (coming from the subword 142 of 1432) obtained by removing row $k = 3$ and column $\sigma_k = 3$ of $D(\sigma)$, the set of monomials of the form $\prod_{(i,j) \in K} x_i$ constructed from diagrams $K \in \mathbf{P}_{3,\sigma_3}(D(\sigma))$ is $\{x_1x_3, x_2x_3\}$, yet the monomial $M(x_1, \ldots, x_n) = x_1x_2$ also yields $\mathfrak{S}_\sigma(x_1, \ldots, x_n) - M(x_1, \ldots, x_n)\mathfrak{S}_\pi(x_1, \ldots, \widehat{x_k}, \ldots, x_n) \in \mathbb{Z}_{\geqslant 0}[x_1, \ldots, x_n]$. In contrast, for $\sigma = 1432$ and its pattern $\pi = 132$ (coming from the subword 143 of 1432) obtained by removing row $k = 4$ and column $\sigma_4 = 2$ of $D(\sigma)$, the set of monomials of the form $\prod_{(i,j) \in K} x_i$ constructed from diagrams $K \in \mathbf{P}_{4,\sigma_4}(D(\sigma))$ is $\{x_1x_2, x_1x_3, x_2x_3\}$ and these are all the monomials for which $\mathfrak{S}_\sigma(x_1, \ldots, x_n) - M(x_1, \ldots, x_n)\mathfrak{S}_\pi(x_1, \ldots, \widehat{x_k}, \ldots, x_n) \in \mathbb{Z}_{\geqslant 0}[x_1, \ldots, x_n]$.

QUESTION 5.3. *Given permutation $\sigma \in S_n$ and its pattern $\pi \in S_{n-1}$ obtained by removing row k and column σ_k of $D(\sigma)$, characterize all monomials $M(x_1, \ldots, x_n)$ for which*

$$\mathfrak{S}_\sigma(x_1, \ldots, x_n) - M(x_1, \ldots, x_n)\mathfrak{S}_\pi(x_1, \ldots, \widehat{x_k}, \ldots, x_n) \in \mathbb{Z}_{\geqslant 0}[x_1, \ldots, x_n]$$

holds.

We conjecture that for 1432 and 1423 avoiding permutations Theorem 1.6 characterizes these monomials:

CONJECTURE 5.4. *For 1432 and 1423 avoiding permutation $\sigma \in S_n$ and its pattern $\pi \in S_{n-1}$ obtained by removing row k and column σ_k of $D(\sigma)$,*

$$\mathfrak{S}_\sigma(x_1, \ldots, x_n) - M(x_1, \ldots, x_n)\mathfrak{S}_\pi(x_1, \ldots, \widehat{x_k}, \ldots, x_n) \in \mathbb{Z}_{\geqslant 0}[x_1, \ldots, x_n]$$

if and only if $M(x_1, \ldots, x_n) = \prod_{(i,j) \in K} x_i$, where $K \in \mathbf{P}_{k,\sigma_k}(D(\sigma))$.

Conjecture 5.4 has been verified by computer for all permutations in S_n for $n \leqslant 8$. We note that there are permutation and pattern pairs $\sigma \in S_n$ and $\pi \in S_{n-1}$, where σ is not 1432 and 1423 avoiding, yet $\mathfrak{S}_\sigma(x_1, \ldots, x_n) - M(x_1, \ldots, x_n)\mathfrak{S}_\pi(x_1, \ldots, \widehat{x_k}, \ldots, x_n) \in \mathbb{Z}_{\geqslant 0}[x_1, \ldots, x_n]$ if and only if $M(x_1, \ldots, x_n) = \prod_{(i,j) \in K} x_i$, where $K \in \mathbf{P}_{k,\sigma_k}(D(\sigma))$. An example is $\sigma = 1423$ and any of its patterns π obtained from $D(\sigma)$ by removing row k and column σ_k ($k \in [4]$).

5.3. EXTENDING THEOREM 1.2. As stated, Theorem 1.2 does not hold for all permutations. However, it is natural to wonder about the following extension:

QUESTION 5.5. *Let $w \in S_n$ and let u be a subword of w. Using the monomials from Theorem 1.6 (or its extension asked for in Problem 5.3) is it possible to pick suitable monomials $m_{w,v} \in \mathbb{Z}[x_1, \ldots, x_n]$ to make the expression*

$$\sum_{u \leqslant v \leqslant w} (-1)^{|w|-|v|} m_{w,v} \mathfrak{S}_{\mathrm{perm}(v)}(\mathbf{x}_{w^{-1}(v)})$$

belong to $\mathbb{Z}_{\geqslant 0}[x_1, \ldots, x_n]$?

Note that a positive answer to Question 5.5 would be an extension of Theorem 1.2 which would readily imply Conjecure 5.1 as well as Gao's Conjecture 1.4.

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