



# Microbial communities (bacteria, archaea and eukaryotes) in a temperate estuary during seasonal hypoxia

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**ABSTRACT:** Eutrophication and hypoxia markedly alter trophic dynamics and nutrient cycling in estuarine water columns, but little is known about the microbial communities that drive and interact with these changes. Here we studied microbial plankton (bacteria, archaea, protists and micro-metazoans) in a large temperate estuary where bottom hypoxia occurs every summer due to warmer temperatures, stratification, and oxidation of organic matter fueled by nutrient enrichment. We used high-throughput sequencing of the 16S and 18S rRNA genes (V4 region) and quantified multiple abiotic and biotic factors in surface and bottom waters during the summer of 2019. The conditions associated with the intensification of hypoxia in bottom waters as the summer progressed were linked to significant changes in the diversity, community structure and potential functioning of microbial communities. Under maximum hypoxia (dissolved oxygen concentration: 0.9–3.1 mg l<sup>-1</sup>), there were increased proportions of ammonia-oxidizing archaea (AOA), bacterivorous and parasitic protists, and copepod nauplii. Sequence proportions of AOA (*Nitrosopumilus*) and nitrite-oxidizing bacteria (*Nitrospinaceae*) were significantly correlated with the concentration of oxidized N species (nitrite plus nitrate, which peaked at 14.4 µM) and the proportions of nauplii DNA sequences and biomass. Our data support a tight coupling of biogeochemical and food web processes, with rapid oxidation of ammonia and accumulation of oxidized N species as hypoxia intensifies during the summer.

**KEY WORDS:** Microbial plankton · Prokaryotes · Protists · DNA · Deoxygenation · Eutrophication · Long Island Sound

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## 1. INTRODUCTION

Globally, marine ecosystems are experiencing interacting stressor conditions, including warming, acidification and deoxygenation (Gobler & Baumann 2016, Breitburg et al. 2018). Low or no dissolved oxygen (DO) in the water (hypoxia or anoxia, respectively) results from natural processes in the 'oxygen

minimum zones' (OMZs) that occur in western continental margins with persistent nutrient-rich upwelling (Helly & Levin 2004, Stramma et al. 2008) or in a variety of systems such as semi-enclosed seas, river-dominated shelves, fjords and hydrodynamically constrained estuaries (Fennel & Testa 2019). An additional cause for oxygen loss in coastal areas is anthropogenic nutrient enrichment, which has mag-

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nified deoxygenation in the past 60 yr (Diaz & Rosenberg 2008, Zhang et al. 2010). Deoxygenation is also intensified globally by climate change, mainly because warming decreases both oxygen solubility and the ventilation of the water column due to intensified stratification (Altieri & Gedan 2015, Schmidtke et al. 2017, Breitburg et al. 2018). On the other hand, coastal hypoxia stimulates water and sediment biogeochemical processes that release powerful greenhouse gases (nitrous oxide and methane), potentially leading to positive feedbacks on climate change (Naqvi et al. 2010, Voss et al. 2013).

In estuaries, hypoxia (and in some cases anoxia) can result from the combined effects of nitrogen pollution from agriculture, urbanization and atmospheric deposition, as well as geological and climatic factors that influence residence times, vertical mixing and re-oxygenation of bottom waters (Hagy et al. 2004, Howarth et al. 2011, Fennel & Testa 2019). In temperate eutrophic estuaries, hypoxia occurs in summer, when stratification provides the best light conditions for primary production above the pycnocline, while it limits the re-oxygenation of bottom waters. Increased N loads fuel phytoplankton growth, with excess cells settling and being decomposed by microbial activities that consume DO. If DO demands by pelagic and benthic processes exceed re-oxygenation of bottom waters by photosynthesis and/or mixing, hypoxia occurs (Crump et al. 2007).

Hypoxia thresholds are defined at DO concentrations between 2 and 4.6 mg l<sup>-1</sup>, with values being adjusted based on key negative outcomes in different systems (Steckbauer et al. 2011, Breitburg et al. 2018). The most well-known biological effect of hypoxia is the episodic mortality, sometimes massive, of fish and benthic invertebrates (Vaquer-Sunyer & Duarte 2008, Breitburg et al. 2009). In these 'dead zones' for macroorganisms, recurrence of severe events and chronic exposure to mild hypoxia can prevent full population recovery, chronically displace organisms towards more oxygenated waters, and cause progressive deterioration of fisheries and overall ecosystem functioning (Breitburg et al. 2009, Steckbauer et al. 2011). Studies on the relationship between hypoxia and the structure of pelagic food webs have focused on primary producers and top consumers, with reports of reduced energy transfer under hypoxia (Baird et al. 2004). As important trophic links, mesozooplankton have been considered in hypoxia research (Keister & Tuttle 2013, Hauss et al. 2016, Seibel et al. 2016, Ekau et al. 2010), and effects that range from mortality to prey avoidance are documented for copepods (Marcus et al.

2004, Ludsins et al. 2009, Elliott et al. 2013). Much less is known about the influence of deoxygenation on smaller plankton.

Microbial communities form the base of aquatic food webs and drive major biogeochemical cycles, thus playing crucial roles in the environment. Major changes in microbial community structure and function are expected as a consequence of ocean warming, acidification and deoxygenation, but many gaps in the knowledge remain and multiple interactive factors make it difficult to predict net outcomes (Caron & Hutchins 2013, Hutchins & Fu 2017, Cavicchioli et al. 2019). Most of what is known on the relationships between DO variations and microbial plankton is from naturally occurring OMZs and anoxic basins, where the sharpest community variations correlate with changes in redox potential across the water column (e.g. Stoeck et al. 2010, Edgcomb et al. 2011, Wright et al. 2012, Bertagnolli & Stewart 2018, Torres-Beltrán et al. 2018, De La Iglesia et al. 2020). However, changes in microbial communities and feedbacks with trophic and biogeochemical dynamics likely differ in coastal systems where hypoxia is seasonal, is highly influenced by cultural eutrophication, and develops in shallow water columns tightly coupled to benthos and sediment. Known effects of coastal eutrophication on microbial plankton include shifts in phytoplankton composition from diatom to dinoflagellate and nanoflagellate dominance, as well as a higher prevalence of harmful algal blooms (Kemp et al. 2005, Verity & Borkman 2010, López Abbate et al. 2015, Reed et al. 2016) and increased proportions of bacterivorous protists and bacterivory rates (Stauffer et al. 2013, Tadonlélé et al. 2016). In parallel, prokaryotic metabolism progressively switches from the oxygen-requiring processes that lead to hypoxia (aerobic respiration, nitrification) to anaerobic reductive processes such as denitrification, methanogenesis and sulfate reduction (Baird et al. 2004, Crump et al. 2007, Rabouille et al. 2008, Hewson et al. 2014). Still, the complexity of biological and abiotic processes in diverse coastal ecosystems, especially in estuaries, makes it difficult to elucidate the relationships between hypoxia and microbial plankton communities.

Prokaryotic and eukaryotic communities are tightly interconnected by trophic interactions, energy flux and nutrient recycling in the microbial food web, but both components have rarely been studied concurrently in seasonally hypoxic estuaries. In one of the few examples, a metatranscriptome analysis of microbial communities (0.2–64 µm) in the Chesapeake Bay

estuary (Northeast USA) indicated potential taxonomic and functional shifts with depth and from spring to late summer, with higher expression of photoautotrophy genes in surface waters versus genes involved in respiration, DNA recycling and regulation in deep oxic and anoxic waters (Hewson et al. 2014). In the present paper, we study another large temperate estuary of the Northeast USA, Long Island Sound (LIS), which consistently experiences eutrophication and summer hypoxia (Anderson & Taylor 2001, Capriulo et al. 2002). We simultaneously assessed changes in community structure based on the V4 region of the 16S and 18S rRNA genes to characterize the diversity and taxonomic composition of bacteria, archaea and microbial eukaryotes before and during maximum hypoxia. We also generated metagenome predictions based on bacterial and archaeal sequences (Douglas et al. 2020) to evaluate potential functional shifts in relation to environmental conditions. We hypothesized that, in parallel to hypoxia intensification, there are significant changes in (1) the microbial community structure, with a switch from bacterial to archaeal prevalence, and from phytoplankton and grazers (e.g. copepod nauplii) to bacterivore protists, and (2) the genetic potential for oxygen-sensitive processes, such as nitrogen-cycling reactions.

## 2. MATERIALS AND METHODS

### 2.1. Study area

LIS is a 3400 km<sup>2</sup>, semi-enclosed estuary surrounded by New York City and its metropolitan area in the west and the suburban areas of Long Island and Connecticut in the east (Fig. 1A). It is narrower on its western boundary with the East River, where it is also most impacted by urban wastewaters, and wider on its east boundary with the Atlantic Ocean. The discharges of several rivers define the circulation, with fresher surface waters leaving the Sound and saline bottom waters flowing in (Wilson et al. 2008). This circulation pattern, along with seasonal warming, contributes to weak but persistent summer stratification. Due to both nutrient enrichment and stratification, the 20–40 m deep, westernmost section of LIS (the Narrows, or WLIS) experiences hypoxia in the bottom 10–20 m of the water column every summer (Wilson et al. 2008, Gobler & Baumann 2016).

Despite improvements in wastewater treatment processes that have led to measurable shrinkage in the area and volume of hypoxic water (Whitney & Vlahos 2021), seasonal hypoxia persists in WLIS. The

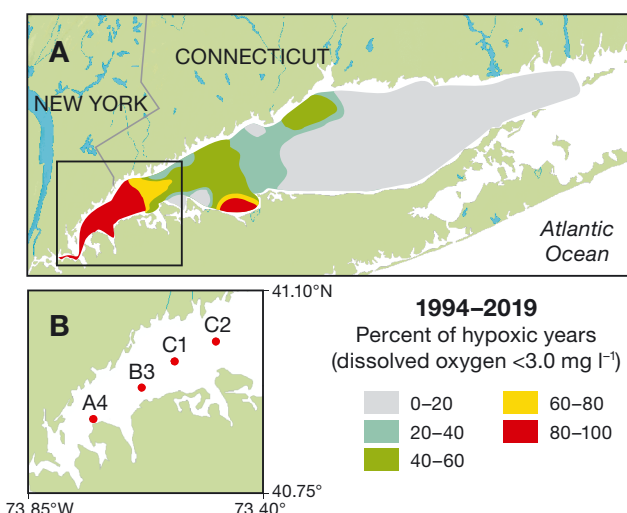


Fig. 1. Long Island Sound. (A) Distribution of hypoxia from 1994 to 2019 (data from the Connecticut Department of Energy and Environmental Protection). (B) Stations sampled for the present study

Connecticut Department of Energy and Environmental Protection (CT DEEP) has reported hypoxia, defined as DO levels below 3 mg l<sup>-1</sup>, in areas that varied from 80 to 1020 km<sup>2</sup> during the last 25 summers (Fig. 1A; CT DEEP 2020). For the present study, we collected samples along with the CT DEEP Water Quality Monitoring Program in summer 2019. During that year, the hypoxic area reached 230 km<sup>2</sup>; it started to develop during the second half of July, had maximum intensity during the first half of August and dissipated by the beginning of September (CT DEEP 2020).

### 2.2. Sampling and quantification of environmental parameters

Sampling was conducted on board the R/V 'Dempsey' in WLIS at the onset of hypoxia (July 15) and during maximum hypoxia (August 12) in 2019 (Fig. 1B, Table S1 in the Supplement at [www.int-res.com/articles/suppl/a088p061\\_supp.xlsx](http://www.int-res.com/articles/suppl/a088p061_supp.xlsx)). At each station, water clarity was measured with a Secchi disk, and vertical profiles of salinity, temperature, pH and DO were measured with a conductivity, temperature, depth instrument (CTD) mounted on a rosette. Niskin bottles were used to collect water at 2 depths, defined as 'surface' (2 m below surface) and 'bottom' (5 m above bottom).

For quantification of dissolved inorganic nutrients (nitrate plus nitrite = NO<sub>x</sub><sup>-</sup>, ammonium = NH<sub>4</sub><sup>+</sup> and orthophosphate = PO<sub>4</sub><sup>3-</sup>; Stns A4 and C1 only), water samples were collected in triplicate in 1 l Nalgene bot-

bles, then filtered through 0.7  $\mu\text{m}$  pore-size glass fiber (GF/F) filters (Whatman). These and all other filtrations mentioned below were done under gentle (5 mm Hg) vacuum. Filtrate from each replicate was collected in scintillation vials, frozen ( $-20^{\circ}\text{C}$ ), then analyzed colorimetrically within 28 d using a Lachat 8500+ series autoanalyzer following standard methods (Zimmerman & Keefe 1991, Grasshoff et al. 1999). Water samples were also filtered on to GF/F filters and stored in the dark at  $-20^{\circ}\text{C}$  until fluorometric quantification of chlorophyll *a* (chl *a*) concentration as a proxy of phytoplankton biomass (Welschmeyer 1994).

For quantification of microzooplankton biomass (primarily heterotrophs 20–200  $\mu\text{m}$  long), 0.5 l samples were preserved with non-acid Lugol's solution (2% final concentration). The whole volume was then settled down for counting and measuring ciliates, dinoflagellates, copepod nauplii (larger stages up to 450  $\mu\text{m}$  long were also included) and rotifers in an inverted microscope. Volume conversion factors were applied to estimate carbon content for protists, and a length-based regression was used for micro-metazoans (Putt & Stoecker 1989, Lessard 1991, Godhantaraman & Uye 2003).

For DNA sequencing, 0.5 l samples were carefully pre-screened through a 200  $\mu\text{m}$  mesh to remove large organisms, then filtered sequentially through 2 and 0.2  $\mu\text{m}$  pore-size polycarbonate membrane filters (Millipore). To prevent cross-contamination among samples, filtration columns were pre-rinsed at least 3 times with sample water. At the end of the sampling on July 15, a negative control was generated by sequentially passing sterile water through the filtration columns and then a 0.2  $\mu\text{m}$  filter. All filters were placed in sterile lysis buffer (100 mM NaCl, 0.5% SDS, Tris-EDTA at pH 8) and stored at  $-20^{\circ}\text{C}$  until DNA extraction.

### 2.3. DNA extraction, PCR amplification and sequencing

DNA was extracted with the Fecal/Soil Microbiome kit D6012 (Zymo Research). An additional negative control (lysis buffer) was generated to check for contamination during laboratory procedures. DNA samples were quantified with the Qubit DNA broad range assay (Life Technologies).

For DNA extracted from 0.2 or 2  $\mu\text{m}$  filters, the V4 region of the 16S or 18S rRNA gene (referred to as 16S or 18S from here onwards) was amplified with the primers 515F–806R (Apprill et al. 2015, Parada et al. 2016) or TAREuk454FWD1–TAREukREV3 (Stoeck

et al. 2010), respectively. The 16S primers were customized for Illumina dual indexing of amplicons in a single PCR step (Kozich et al. 2013). The 18S primers included an overhang, and a second PCR was needed for dual indexing (Lange et al. 2014). Reactions included 10 ng of DNA and the following reagents (Promega GoTaq<sup>®</sup> PCR Core Systems): 5.0  $\mu\text{l}$  5X buffer, 2.5  $\mu\text{l}$   $\text{MgCl}_2$ , 0.7  $\mu\text{l}$  dNTPs (10 mM), 0.25  $\mu\text{l}$  Taq polymerase, and 1  $\mu\text{l}$  of each primer (10  $\mu\text{M}$ ). For 16S, PCR conditions were:  $95^{\circ}\text{C}$  for 3.5 min, 30 cycles of  $95^{\circ}\text{C}$  for 30 s,  $50^{\circ}\text{C}$  for 30 s and  $72^{\circ}\text{C}$  for 90 s, and final extension at  $72^{\circ}\text{C}$  for 10 min. For 18S, conditions for the first PCR were:  $95^{\circ}\text{C}$  for 2 min, 10 cycles of  $95^{\circ}\text{C}$  for 10 s,  $53^{\circ}\text{C}$  for 30 s and  $72^{\circ}\text{C}$  for 30 s, followed by 15 cycles of  $95^{\circ}\text{C}$  for 10 s,  $48^{\circ}\text{C}$  for 30 s and  $72^{\circ}\text{C}$  for 30 s, and final extension at  $72^{\circ}\text{C}$  for 2 min; and for the second PCR:  $95^{\circ}\text{C}$  for 3.5 min, 8 cycles of  $95^{\circ}\text{C}$  for 30 s,  $50^{\circ}\text{C}$  for 30 s and  $72^{\circ}\text{C}$  for 90 s, and final extension at  $72^{\circ}\text{C}$  for 10 min.

Triplicate PCR products per sample were pooled before quantification and visualization with QIAxcel DNA Fast Analysis (Qiagen). Pools were normalized based on expected amplicon length and DNA concentration, then combined with the QIAgility liquid handling robot, and cleaned with the Gene Read Size Selection kit (Qiagen). Paired-end sequencing was done in a single run with the MiSeq instrument and the 2x250 base pair kit v. 2 (Illumina) at the Microbial Analysis, Resources and Services (MARS) facility, University of Connecticut. The filtering and extraction controls were amplified with both primer sets and sequenced along with the samples. These negative controls yielded a negligible number of reads and sequence compositions significantly different from the samples (Table S1). The ZymoBIOMICS Microbial Community DNA Standard D6305/D6306 (Zymo Research) was amplified with the 16S primer set, sequenced, and checked for the expected even distribution of amplicon relative abundances. Sequencing failed for 3 samples (Table S1).

### 2.4. Bioinformatic and statistical analyses

The 16S and 18S raw datasets are available in the NCBI Sequence Read Archive (accession number: PRJNA770032). Sequences were demultiplexed and quality-filtered in BaseSpace (Illumina). Subsequent processing was done in QIIME 2 (Bolyen et al. 2019). After primer trimming with the cutadapt plugin (Martin 2011), sequence quality was evaluated to select parameters for the next step. Denoising, dereplication and chimera removal were done with DADA2 (Calla-

han et al. 2016). Taxonomic classification of amplicon sequence variants (ASVs) used a naive Bayes classifier (Pedregosa et al. 2011) trained on V4-trimmed versions of SILVA v. 132 for 16S (Quast et al. 2013) and PR<sup>2</sup> v. 4.12 for 18S (Guillou et al. 2013; ranks above phylum were updated based on Adl et al. 2019 and Burki et al. 2020). ASVs annotated as chloroplast or mitochondrion sequences were eliminated.

Annotation of functional potential based on the 16S ASVs was done with PICRUST2 (Douglas et al. 2020). This method generates metagenome predictions based on phylogenetic placement of 16S rRNA gene sequences in a reference framework of bacterial and archaeal genomes. Functional predictions based on Enzyme Commission numbers (ECs), KEGG orthologs (KOs) and MetaCyc metabolic pathways (MPs) were done under default conditions with a nearest-sequenced taxon index cut-off of 2 (Douglas et al. 2020). Differences in the relative contribution of KOs and MPs between sample groups were estimated as the log<sub>2</sub> of fold-changes, and KOs of interest were mapped with KEGG Mapper (Kanehisa & Sato 2020). Although PICRUST2 or similar methods are not available for eukaryotic microbes, taxonomy and function are well correlated in protists (Ramond et al. 2019). Thus, functional assumptions for protists were based on taxonomy (Adl et al. 2019, Burki et al. 2020).

Multivariate and statistical analyses were done in QIIME2 (Bolyen et al. 2019) and in R v. 3.6.3 (R Core Team 2020) using the *vegan* package (Oksanen et al. 2019). Sequence data were rarefied to the minimum sampling depth. Environmental data were standardized to scale each variable to a zero mean and unit variance. Alpha-diversity was computed as observed ASV richness and Shannon index, and differences between sampling depths and periods were tested with pairwise *t*-tests. Beta-diversity was evaluated with principal coordinates analyses (PCoA) computed with qualitative (Jaccard, unweighted Unifrac) and semi-quantitative (Bray-Curtis, weighted Unifrac) index matrices; because all metrics yielded comparable outputs, only the Bray-Curtis results are shown. PCoAs were also computed with Bray-Curtis matrices based on PICRUST2 outputs (ECs, KOs and MPs); because the 3 ordinations were almost identical, only the MP results are shown. The significance of sample groups was evaluated with ANOSIM using 999 permutations (Clarke 1993). Pairwise correlations between selected variables were tested with Pearson's coefficient (R). The correlation between Bray-Curtis dissimilarity (sequence) and Euclidean distance (environmental) matrices was tested with the Mantel test using 999 permutations (Legendre & Legendre 2012).

The subsets of environmental variables that best correlated with sequence-based beta-diversity were identified with BIO-ENV (Clarke & Ainsworth 1993).

### 3. RESULTS

#### 3.1. General abiotic and biotic factors

We studied WLIS waters at the onset of hypoxia (July) and during maximum hypoxia (August) (Table S1, Fig. 2). Temperature and salinity ranged from 17.0 to 23.3°C and 23.3 to 27.6, respectively. Vertical profiles show the typical summer stratification that limits vertical mixing (Fig. 2A,B), thus favoring lower DO in bottom waters (Fig. 2C). DO ranged from 4.6 to 9.4 mg l<sup>-1</sup> in surface waters during both periods. In bottom waters, this parameter ranged from 4.1 to 4.8 mg l<sup>-1</sup> in July, except for a value of 2.5 mg l<sup>-1</sup> in the westmost station. In August, bottom waters were hypoxic or borderline hypoxic in all sampled stations (0.9–3.1 mg l<sup>-1</sup>), with the westmost station showing the minimum DO recorded in 2019 (CT DEEP 2020).

Among the inorganic nutrients quantified, NO<sub>x</sub><sup>-</sup> showed the most variable values, with concentrations <0.1 µM in July surface waters and up to 14.4 µM in August bottom waters. The concentrations of NH<sub>4</sub><sup>+</sup> (0.1–2.6 µM) and PO<sub>4</sub><sup>3-</sup> (0.3–2.9 µM) were generally lower in surface than in bottom waters (Table S1). Reflecting the known eutrophication gradient in WLIS, water clarity increased from west to east (1.2–2.0 m), while chl *a* concentration and microzooplankton biomass generally decreased towards the east (Fig. 2D,E). Chl *a* had similar concentrations in July and August, but higher values in surface (6.6–18.8 µg l<sup>-1</sup>) than bottom waters (1.2–4.7 µg l<sup>-1</sup>). Microzooplankton biomass was also higher in surface (10.7–122.6 µg C l<sup>-1</sup>) than bottom waters (0.4–2.5 µg C l<sup>-1</sup>, except for the westmost value of 20.3 µg C l<sup>-1</sup> during both sampling periods), but reached values 1 order of magnitude higher in August than in July (Fig. 2E). Dinoflagellates dominated microzooplankton biomass, except for the prevalence of ciliates in the westmost station during July and 1 bottom sample in August, and nauplii in the remaining August bottom samples (Fig. 2F).

#### 3.2. Taxonomic diversity of bacteria, archaea and microeukaryotes

We obtained 1920 and 1314 final ASVs based on 16S and 18S, respectively. Considering both datasets,



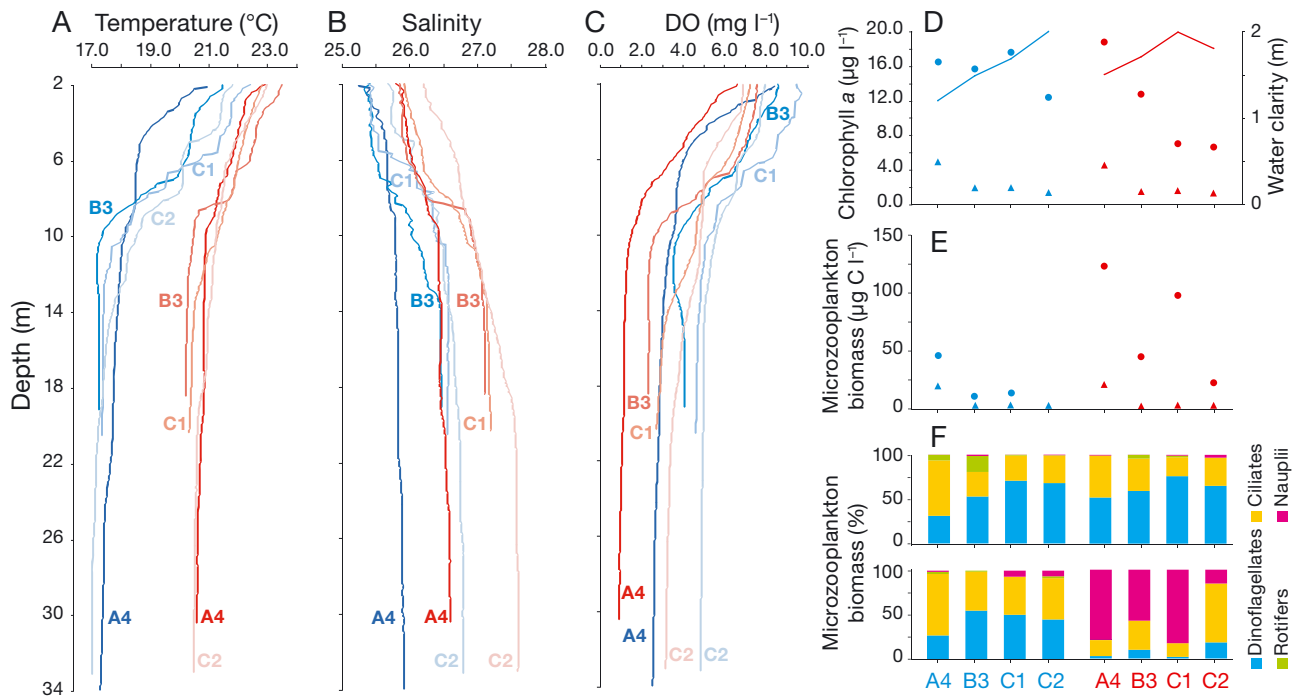
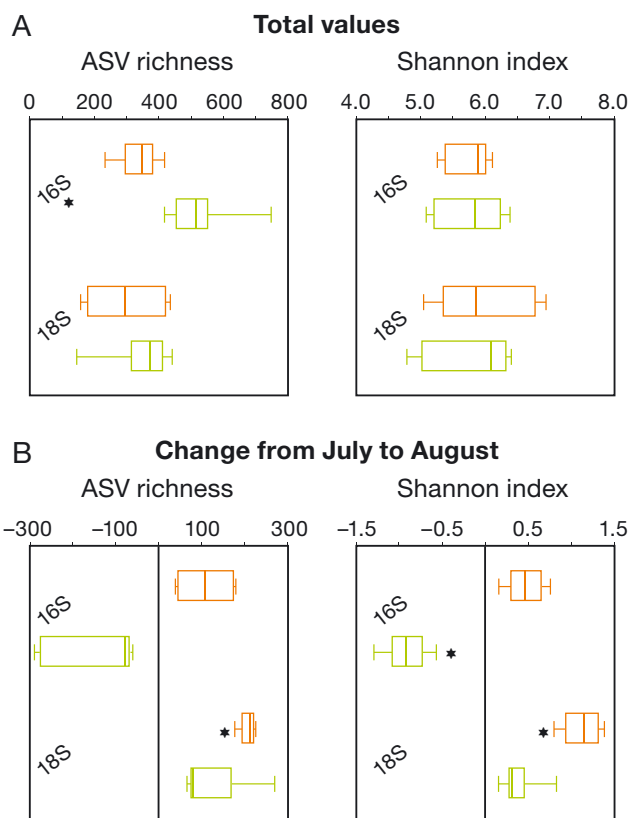


Fig. 2. General abiotic and biotic conditions in July (red palette) and August (blue palette) at Stns A4, B3, C1 and C2. (A–C) Vertical profiles showing the thermohaline stratification of the water column and the decrease of dissolved oxygen (DO) in bottom waters. (D,E) West-to-east gradient of eutrophication as indicated by water clarity (line) and surface (circle) and bottom (triangle) plankton estimates. (F) Microzooplankton proportions in surface (upper panel) and bottom (lower panel) waters. (A–D) Data from the Connecticut Department of Energy and Environmental Protection



alpha-diversity overlapped in ranges of observed ASV richness (141–747) and Shannon index (4.7–6.9) (Fig. 3A). The only significant difference between sampling depths corresponded to 16S ASV richness, which was higher in bottom than surface waters. Alpha-diversity also presented some significant changes from July to August (Fig. 3B). In surface waters, alpha-diversity increased from July to August, although this change was only significant for 18S. In bottom waters, 18S-based alpha-diversity also increased, but not significantly. On the other hand, 16S-based alpha-diversity decreased from July to August in bottom waters, and this change was significant in terms of the Shannon index.

The 16S dataset was dominated by the bacterial phyla *Proteobacteria* (mainly *Alphaproteobacteria*,

Fig. 3. Alpha-diversity based on 16S and 18S sequences in surface (orange) and bottom (green) waters. (A) Total values for each marker and depth (July and August values are pooled). (B) Change from July to August. ASV: amplicon sequence variants. Each boxplot shows the median (thick line), interquartile (box) and range (whiskers). \*Significant differences (pairwise *t*-test; *p* < 0.01): (A) between surface and bottom waters or (B) from July to August

39%, and *Gammaproteobacteria*, 22%), *Bacteroidetes* (11%) and *Actinobacteria* (8%), and the archaeal phylum *Thaumarchaeota* (7%) (Fig. 4A, Table S2). The taxonomic composition differed under maximum hypoxia (August, bottom) versus the rest of the samples, with a decreased proportion of most bacterial phyla (25%) and an increase in *Thaumarchaeota* (21%) and *Nitrospinae* (2%) under maximum hypoxia. Other changes in relative abundance were not associated with time but rather with sampling depth, specifically a 6% decrease of *Cyanobacteria* in bottom versus surface waters during both sampling periods.

The 18S dataset was dominated by Dinophyceae dinoflagellates (38%) and Diatomea (16%), followed by Syndiniales dinoflagellates (10%) and Copepoda (9%) (Fig. 4B, Table S2). The taxonomic composition differed under maximum hypoxia versus the rest of the samples mainly by an increase in Copepoda (26%), Kathablepharidacea (2%) and Bigyra (3%). Other changes in relative abundance were linked to sampling depth, specifically decreases in Diatomea (17%) and Chlorophyta (6%) and an increase in Syndiniales (10%) in bottom versus surface waters during both sampling periods.

Beta-diversity analyses supported differences in both prokaryotic and eukaryotic communities across samples (Fig. 4C,D). ANOSIM indicated significant differences for samples collected during maximum hypoxia (August, bottom) versus the rest of the samples (16S:  $R = 0.67$ ,  $p = 0.005$ ; 18S:  $R = 0.56$ ,  $p = 0.001$ ). The communities also differed significantly for samples grouped by date and depth (16S:  $R = 0.88$ ,  $p = 0.001$ ; 18S:  $R = 0.99$ ,  $p = 0.001$ ).

### 3.3. Distribution of dominant ASVs

Among the ASVs that dominated the 16S data (Fig. 5A), some had high relative abundances ( $>5\%$ , maximum: 22%) in all or most samples (e.g. 2 ASVs assigned to the *Alphaproteobacteria*, SAR11 clade Ia), while others showed decreased proportions under maximum hypoxia (e.g. 2 ASVs affiliated to *Alphaproteobacteria* SAR116 and *Gammaproteobacteria* SAR86 with proportions up to 5% in non-hypoxia, but lower than 1% in most hypoxic samples). Instead, the dominant ASV under maximum hypoxia (which peaked at a 29% contribution and corresponded to the *Thaumarchaeota* genus *Nitro-*

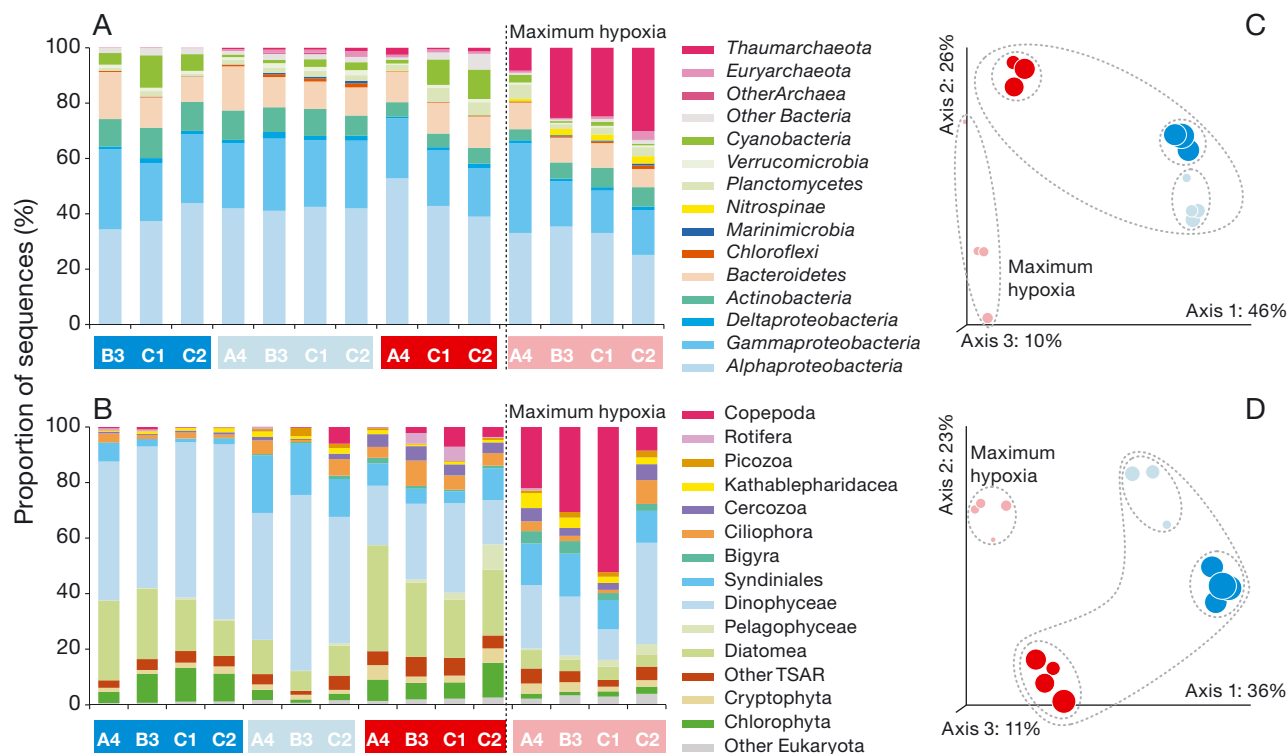


Fig. 4. Community structure based on (A,C) 16S and (B,D) 18S sequences from samples collected in July (blue palette) and August (red palette) in surface (darker) and bottom (clearer) waters. (A,B) Relative abundances of major taxonomic groups (see also Table S2). (C,D) Principal coordinates analyses based on Bray-Curtis dissimilarity among samples. The percentages of variance explained by each axis are shown. Samples are indicated by bubbles size-scaled based on dissolved oxygen (0.9–9.4 mg l<sup>-1</sup>). Dotted shapes indicate sample groups that showed significant differences based on ANOSIM (see Section 3.2)

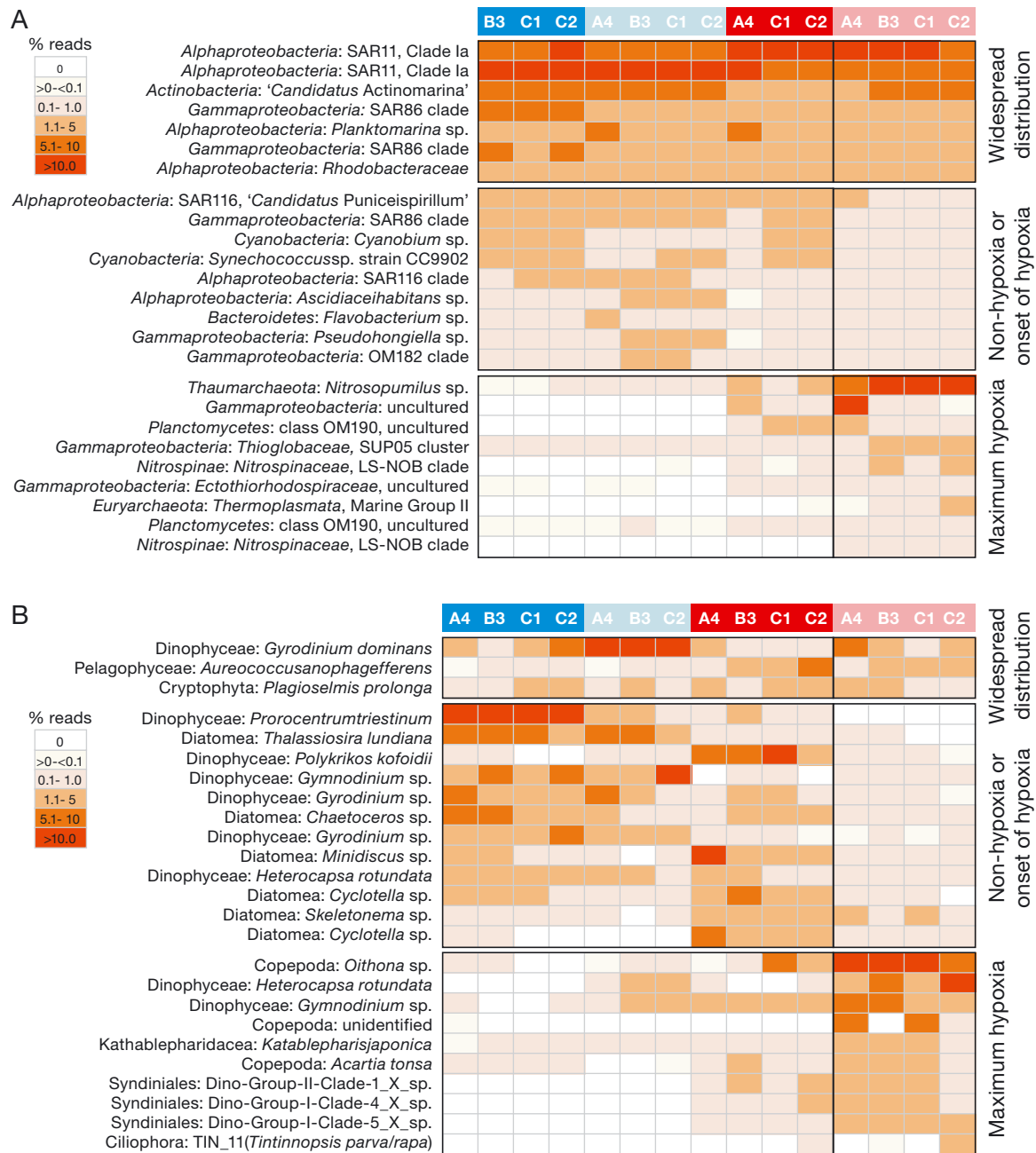


Fig. 5. Distribution of the dominant (A) 16S and (B) 18S amplicon sequence variants (ASVs) in samples collected in July (blue palette) and August (red palette) in surface (darker) and bottom (clearer) waters. Heatmaps (orange palette) based on relative abundances out of total reads per sample. The shown ASVs represent 65% and 50% of the total 16S and 18S reads, respectively, and are partitioned based on their prevalence under maximum hypoxia, the rest of the samples or everywhere

*sopumilus*) was much less prevalent in the rest of the samples. Other ASVs were detected exclusively under maximum hypoxia (e.g. within the *Nitrospinae*, *Nitrospinaceae* LS-NOB clade and the *Euryarchaeota*, *Thermoplasmata* Marine Group II).

Among the ASVs that dominated the 18S data (Fig. 5B), only 3 (identified as the dinoflagellate *Gy-*

*rodinium dominans*, the pelagophyte *Aureococcus anophagefferens* and the cryptophyte *Plagioselmis prolonga*) were homogeneously distributed across samples. The most prevalent ASVs under maximum hypoxia were found in much lower proportions or were not detected in the rest of the samples, and vice versa. For example, one ASV identified as the



copepod *Oithona* sp. represented up 41% of the reads in August bottom waters, but contributed 0–6% in the rest of the samples. In contrast, one ASV identified as the dinoflagellate *Prorocentrum triestinum* was not detected under hypoxia but was present in all other samples, with a proportion up to 26%.

There were ASVs restricted by sampling date rather than oxygenation level (Fig. 5). For example, there were ASVs only detected in August based on either 16S (e.g. one ASV identified as an uncultured *Gammaproteobacteria* and another one affiliated to *Planctomycetes*, class OM190) or 18S (3 ASVs classified in the Syndiniales, Dino-Group-I or Dino-Group-II).

### 3.4. Predictions of functional diversity of bacteria and archaea

Functional potential based on the 16S dataset (PICRUST2 analysis) included mostly matches to housekeeping pathways, such as metabolism of carbohydrates, amino acids, fatty acids and nucleotides (Table S3). The samples collected during maximum hypoxia (August, bottom) displayed a higher heterogeneity in MPs compared to the rest of the samples (Fig. 6A), and ANOSIM indicated significant differences between both sample groups ( $R = 0.95$ ,  $p = 0.001$ ).

Compared to the rest of the samples, the MPs most overrepresented under maximum hypoxia included anaerobic or archaea-specific processes, such as synthesis of cofactors (e.g. coenzyme F420, a redox-active compound in archaeal methanogenesis), a reductive acetyl CoA pathway (a type of  $\text{CO}_2$  fixation in the strictly anaerobic homoacetogenic bacteria) and nitrifier denitrification (Fig. 6B). The MPs most underrepresented under maximum hypoxia included mainly aerobic bacterial processes for fatty acid biosynthesis, degradation of carbohydrates and aromatic compounds, and oxidation of C1-compounds (a  $\text{CO}_2$ -producing process in methylotrophic bacteria).

### 3.5. Correlations between community structure and environmental factors

Alpha-diversity values based on 16S or 18S ASV richness or Shannon index were generally not correlated to the environmental variables we measured (not shown). Only 16S ASV richness showed signifi-

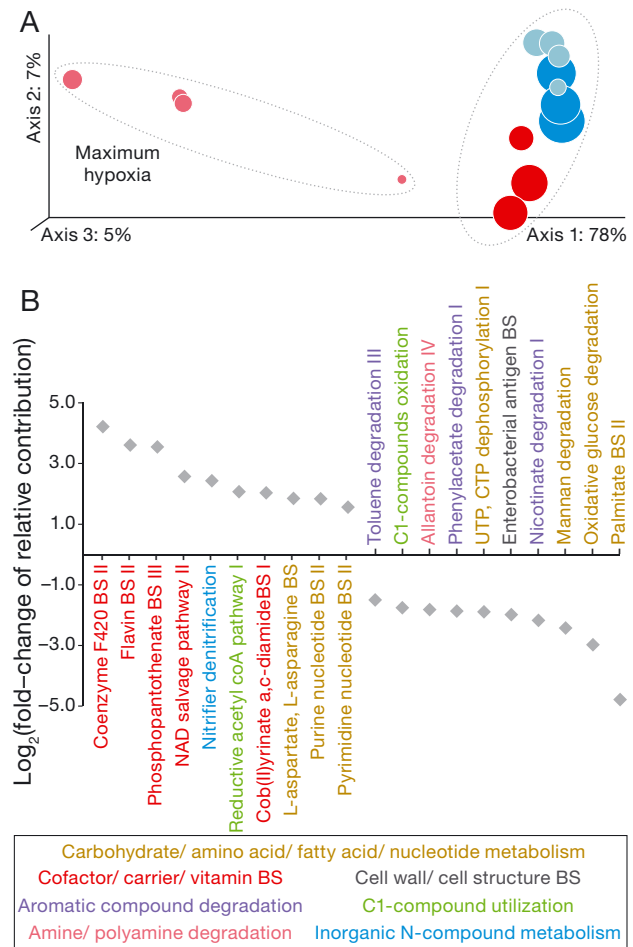


Fig. 6. Predicted functional diversity of bacteria and archaea. (A) Principal coordinates analysis of 16S-derived metabolic pathways (MPs); explanations as in Fig. 4C. (B) Top 10 MPs over- and underrepresented under maximum hypoxia as compared to the rest of the samples. BS: biosynthesis. Pathways according to <https://metacyc.org/>

cant and inverse relationships with temperature ( $R = -0.80$ ,  $p < 0.01$ ), chl *a* concentration ( $R = -0.68$ ,  $p < 0.01$ ) and, less significantly, with DO ( $R = -0.56$ ,  $p < 0.05$ ).

Beta-diversity matrices based on 16S, 16S-based MPs or 18S datasets (Figs. 4C,D & 6A) were significantly correlated ( $p < 0.05$ ) with the environmental variables we measured (Mantel test; Table 1). The combination of temperature, salinity and/or DO best explained the distributions of prokaryote and eukaryote communities across all samples (BIO-ENV; Table 1). When the analyses were restricted to samples with available nutrient data, then nutrient concentrations (most frequently  $\text{NO}_x^-$ ) were best correlated with community structure (BIO-ENV; Table 1).

Table 1. Relationship between community structure and environmental variables. Comparisons based on 16S and 18S amplicon sequence variants and 16S-based metabolic pathways (MPs)

Data-set	Mantel test		BIO-ENV test
	R	p	Top 2 subsets of variables that best correlate with beta-diversity
16S <sup>a</sup>	0.49	0.003	Temperature + Salinity Temperature + Salinity + Dissolved oxygen
16S <sup>b</sup>	0.35	0.063	Temperature + Nitrate plus nitrite + Orthophosphate Nitrate plus nitrite + Orthophosphate
MPs <sup>a</sup>	0.31	0.022	Salinity Salinity + Dissolved oxygen
MPs <sup>b</sup>	0.32	0.180	Nitrate plus nitrite Nitrate plus nitrite + Orthophosphate
18S <sup>a</sup>	0.50	0.002	Temperature + Salinity + Dissolved oxygen Temperature + Salinity + Dissolved oxygen + Chlorophyll <i>a</i>
18S <sup>b</sup>	0.68	0.003	Temperature + Salinity + Nitrate plus nitrite + Ammonium + Orthophosphate Salinity + Nitrate plus nitrite + Ammonium + Orthophosphate

Datasets include: <sup>a</sup>all samples or <sup>b</sup>only samples with available nutrient data (see Table S1). R = Pearson's coefficient

#### 4. DISCUSSION

Our results show that the conditions associated with the intensification of hypoxia in the bottom waters of WLIS during summer 2019 correlated with significant changes in the diversity, community structure and potential functioning of microbial communities (Figs. 3–6, Table 1). Because of increased temperatures, seasonal stratification, and eutrophication, this area experiences hypoxia every summer. Although the hypoxic episode was weaker in 2019 compared to previous years, it was sufficient to cause quantifiable changes in the taxonomic structure of the microbial food web and to potentially influence nutrient recycling in the water column. Our results suggest that the detected impacts on bacteria, archaea and microeukaryotes were likely not due to deoxygenation per se, but rather a consequence of the overall conditions resulting from the interaction of physical, chemical and biological factors in the area as summer progressed (Table 1). One constraint of this study is that the limited number of discrete

samples does not capture fine-scale spatial and temporal variations in oxygenation levels. Still, we detected significant shifts in microbial communities that are consistent with our hypothesized increases in the proportions of archaea and bacterivore protists and changes in the potential for oxygen-sensitive metabolisms during hypoxia.

##### 4.1. Changes in prokaryotic diversity and community structure

The diversity and taxonomic composition of bacteria and archaea changed significantly between non-hypoxic and hypoxic conditions. ASV richness was significantly lower in the well-oxygenated surface waters than in the bottom during the 2 periods investigated (Fig. 3A), and thus overall ASV richness was inversely correlated with DO ( $R = -0.56$ ,  $p < 0.05$ ). An increase in prokaryotic diversity as DO levels decrease agrees with findings in other hypoxic estuaries, such as the Hood Canal in the Northwest USA (Spietz et al. 2015) and the Changjiang estuary in China (Wu et al. 2019), or across an oceanic OMZ (eastern tropical North Pacific Ocean; Beman & Carolan 2013), and it may be explained by habitat heterogeneity across the oxycline, where contiguous niches overlap. In WLIS bottom waters, however, intensification of hypoxia as summer progressed was associated with a decrease in prokaryotic alpha-diversity (Fig. 3B).

The prokaryotic community structure was dominated by typically marine and estuarine lineages. The fact that the dominant ASVs were relatively abundant in all our samples (Fig. 5A) reflects the tolerance to changes in oxygenation in these lineages, including ASVs within the *Alphaproteobacteria* SAR11 clade Ia, *Rhodobacteraceae* (including *Planktomarina*) and the *Gammaproteobacteria* SAR86. These lineages are ubiquitous in normoxic estuarine and marine waters and are also common under hypoxia (Crump et al. 2007, Wright et al. 2012, Spietz et al. 2015). This uniform distribution of dominant ASVs contrasts with the partitioning of sequences among phyla, which was quite homogeneous in non-hypoxia but distinct under maximum hypoxia (Fig. 4A). Thus, except under maximum hypoxia, the significant differences among sample groups by date and depth (Fig. 4C) were mostly due to replacement of non-dominant ASVs within the same phyla. Another exception corresponds to *Cyanobacteria* (represented mostly by the ubiquitous *Synechococcales* genera *Cyanobium* and *Synechococcus*), which did not show an influence of hypoxia, but instead of depth, as ex-

pected, given the influence of light availability on photosynthesis (Fig. 4A). Overall, while date- and depth-specific factors likely influenced distribution patterns, the main change in community structure was between maximum hypoxia and the rest of the samples (Fig. 4C).

The main change in 16S-based community composition as hypoxia intensified was an increase in archaeal proportions (Fig. 4A). While the proportions of several of the most abundant ASVs (all bacterial) decreased sharply, the most conspicuous increase in relative abundance under maximum hypoxia corresponded to a single ASV classified within the *Thaumarchaeota* genus *Nitrosopumilus* (Fig. 5A). This ASV has a 100 % BLAST match (E-value <  $10^{-100}$ ) to >1000 sequences in NCBI GenBank, including strains of *N. maritimus* isolated from a tropical marine fish tank (Walker et al. 2010), Puget Sound in the Northwest USA (Qin et al. 2017) and the Pacific Ocean (Ahlgren et al. 2017), as well as environmental sequences from hypoxic waters of the Gulf of Mexico (Tolar et al. 2013). *Nitrosopumilus* is among the dominant archaeal genera in hypoxic waters (e.g. Smith et al. 2013, Parsons et al. 2015, Zou et al. 2020) and the main ammonia-oxidizers in marine and estuarine environments (Damashek & Francis 2018, Santoro et al. 2019).

Bacteria that we detected almost exclusively under maximum hypoxia are characteristic of low oxygen conditions (Fig. 5A). The *Planctomycetes* class OM190 has been reported in hypoxic sediment (Ye et al. 2016). The *Thioglobaceae* SUP05 cluster (*Gammaproteobacteria*) is the most abundant bacterial group in suboxic and anoxic waters according to a meta-analysis of diverse OMZs (Wright et al. 2012). The *Nitrospinaceae* uncultured LS-NOB clade (*Nitrospinae*) is related to the genus *Nitrospina*, which we also detected exclusively under hypoxia, but in low proportions. This genus is among the most common nitrite-oxidizing bacteria (NOB) in hypoxic waters of estuaries (Spietz et al. 2015, Hou et al. 2018) and OMZs (Wright et al. 2012, Lückner et al. 2013, Sun et al. 2019).

In agreement with the shift in taxonomic composition under maximum hypoxia, there was a clear, significant differentiation in potential functional diversity versus the rest of the samples (Fig. 6A). On the PCoA, samples under maximum hypoxia are much more spread out on the axis 1 compared to all the other samples, thus reflecting a wider variety of MPs (Fig. 6A). In low-oxygen waters, aerobic and anaerobic microbial processes co-occur as a consequence of gradients in oxygen sensitivity and biochemical adaptations to energy substrates (Bertagnolli & Stew-

art 2018). Even though we did not detect anoxia in WLIS, DO was low enough to favor taxa that potentially have genes for 2 anaerobic reductive pathways, methanogenesis and homoacetogenesis (Fig. 6B), possibly associated to particles resuspended from anoxic sediment or forming anoxic microniches (Smith et al. 2013). We also detected potential changes in N-cycling pathways during maximum hypoxia (see Section 4.3). Functional predictions based on 16S sequences are limited by the power of this gene to resolve ecologically different units and by the relatively low availability of reference genomes from environmental prokaryotes (Douglas et al. 2020). Still, this approach can provide preliminary insights on potential metabolic and biogeochemical differences under distinct environmental conditions (e.g. Ward et al. 2017, Quero et al. 2020), as observed here in hypoxia versus normoxia. With a different set of limitations, metatranscriptomic profiles of microbial plankton in Chesapeake Bay confirmed a switch from aerobic to anaerobic metabolism along with summer deoxygenation of bottom waters, although differences were better explained by depth than DO (Hewson et al. 2014).

#### 4.2. Changes in microeukaryotic community structure

Microeukaryotic alpha-diversity did not change significantly as hypoxia intensified in bottom waters, contrary to the decrease we observed for prokaryotes (Fig. 3) or that is usually observed for fish and benthic animals (Vaquer-Sunyer & Duarte 2008). For protists, strong reductions in abundance and diversity (richness and evenness) were reported after an episodic but more extreme hypoxia event in a semi-enclosed human-made harbor in the Southwest USA coast (Stauffer et al. 2013). It is possible that the hypoxic conditions in WLIS were not strong enough to significantly change protist diversity.

Like prokaryotes, the microeukaryotic community consisted predominantly of typical estuarine and marine lineages, but only 3 ASVs were both dominant and widespread in all samples (Fig. 5B). These included the microzooplankton *Gyrodinium dominans*, the picoplankton alga *Aureococcus anophagefferens* (which is known to form harmful algal blooms in Long Island embayments; Gobler et al. 2011), and the nanoplankton alga *Plagioselmis prolunga* (which was recently proven to be a life stage of *Teleaulax amphioxeia*, the chloroplast source for the mixotrophic, red tide ciliate *Mesodinium rubrum*;

Altenburger et al. 2020). The ASVs that dominated under hypoxia or in the rest of the samples showed more limited distributions, thus suggesting a lower metabolic flexibility compared to prokaryotes (see Section 4.1). The significant differences in beta-diversity between maximum hypoxia and the rest of the samples (Fig. 5D) are both due to changes in ASV distribution (Fig. 5B) and composition of major taxa (Fig. 4B), although sampling date and depth had a stronger influence than for prokaryotes.

Under non-hypoxia, sequences corresponding to phytoplankton and grazers prevailed. A higher prevalence of diatoms and chlorophytes in surface than in bottom waters is not surprising due to light availability for photosynthesis (Fig. 4B, Table S2); this is also consistent with higher chl *a* levels in the surface (Fig. 2D). The constitutive mixotroph *Prorocentrum triestinum* also prevailed in surface waters, especially in July (Fig. 5B), consistent with observations of *Prorocentrum* blooms during this period (D. I. Greenfield unpubl. data). While not evident during our survey, this species can form red tides that lead to hypoxia in eutrophic coastal waters (Ndhlovu et al. 2017). The prevailing grazers in surface waters and also in July bottom waters were dinoflagellates, especially *Gyrodinium* spp. and *Polykrikos kofoidii*. Their grazing pressure, however, was likely insufficient to consume the summer primary production, given that hypoxia intensified in August as expected due to phytoplankton decomposition.

Elucidating the functional diversity of eukaryotic microbial communities presents many challenges (reviewed by Santoferrara et al. 2020) and lags behind compared to prokaryotes. Still, we observed changes in eukaryotic sequence proportions that suggest a switch from autotrophy to heterotrophy dominance under maximum hypoxia. The main shift in community structure was a decreased contribution in diatoms and primarily autotrophic dinoflagellates (except for the known or potential constitutive mixotrophs *Heterocapsa rotundata* and *Gymnodinium* sp., respectively). Instead, there was an increase in the relative abundance of heterotrophic nano- and picoflagellates (e.g. *Katablepharis japonica*) and copepods (e.g. *Oithona* sp., *Acartia tonsa*) (Figs. 4B & 5B, Table S2). The increased proportions of heterotrophic nano- and picoflagellates, including the Bigyra MAST-6 and MAST-12, suggests increased bacterivory (Massana et al. 2014), as expected, given the potentially increased prokaryotic abundances associated with decomposition activity during hypoxia. On the other hand, we did not detect bacterivorous ciliates typical of hypoxic conditions (e.g. *Uronema*;

Dolan & Coats 1991, Stauffer et al. 2013) or any known anaerobic ciliate (Fenchel & Finlay 1991).

While the increased proportions of copepod sequences in August may follow a seasonal signal, maximum contributions in bottom waters (Figs. 4B & 5B) were unexpected, given that these microcrustaceans are generally less abundant under hypoxia (Keister et al. 2000, Roman et al. 2012, Elliott et al. 2013). Because of known biases in relative abundances based on 18S rRNA gene sequences (e.g. due to multicellularity and multiple copies of rRNA genes per cell; Santoferrara 2019), we corroborated by microscopy that copepod biomass increased from non-hypoxic to hypoxic conditions, both in relative (Fig. 2F) and absolute values (from 0.3 to 4.9  $\mu\text{g C l}^{-1}$  on average). Neither of the 2 methods, however, allow us to determine if the detected copepods (nauplii and other stages smaller than 200–450  $\mu\text{m}$ ) were alive at the time of sampling. Studies using a vital stain have concluded that most *A. tonsa* nauplii under hypoxic conditions in Chesapeake Bay are dead, possibly trapped after egg hatching in bottom waters (Elliott et al. 2013). Others, instead, have proposed that taxon-specific differences and regional adaptations may allow some estuarine copepod species to use hypoxic waters as a refuge from predators (Auel & Verheye 2007, Ludsins et al. 2009). Species within *Oithona* are even proposed as indicators of eutrophication and hypoxia, given that they can proliferate in estuaries under degraded conditions (e.g. in Puget Sound; Keister & Tuttle 2013). Either alive or dead, the increased proportion of copepods can have important consequences on carbon export and N cycling under hypoxia (see Section 4.3).

Syndiniales had higher proportions in bottom waters (Fig. 4B), and some ASVs detected only in August were among the dominant ones under maximum hypoxia (Fig. 5B). Syndiniales are parasitic dinoflagellates that infect a wide range of planktonic hosts, from other dinoflagellates and ciliates to copepods and other zooplankton, which results in their frequent dominance of environmental DNA sequences (Skovgaard 2014). For example, Syndiniales Groups I and II were among the prevailing protists in a seasonally deoxygenated fjord of the Northwest Canada coast (Saanich Inlet), with the highest relative abundances in suboxic and anoxic waters (Torres-Beltrán et al. 2018). Based on rDNA:rRNA ratios, the same study suggested that some Syndiniales OTUs corresponded to inactive sinking cells, while others were from cells actively parasitizing or being ingested by other organisms in deep waters. The Syndiniales ASVs that dominated in WLIS under



hypoxia also correspond to Groups I and II, and 2 are closely related to *Amoebophrya* and *Euduboscquella* (Group II clade 1 and Group I clade 4, respectively). Species in *Amoebophrya* and *Euduboscquella* can cause mortality rates high enough to control phytoplankton blooms (including harmful algal blooms; Chambouvet et al. 2008) and microzooplankton populations (Coats & Heisler 1989), respectively, in estuarine waters. Thus, one possibility is that parasitism contributes to organic carbon release by cell lysis and plays an important role in the planktonic food web, possibly exceeding mortality by zooplankton grazing if/when they are impaired by hypoxia.

### 4.3. Potential changes in the N cycle

Pollution with N compounds and their subsequent reactions define the trajectory of eutrophication and hypoxia in estuaries. The N cycle is a complex network of processes that are driven by bacteria and archaea that oxidize or reduce N species in their energy metabolism (Ward 2013, Kuypers et al. 2018) and is influenced by feedbacks with plankton and DO. In brief, excess  $\text{NH}_4^+$  and  $\text{NO}_3^-$  (the dominant forms of reactive N in estuaries) are assimilated as phytoplankton biomass, with the resulting organic N eventually being remineralized into  $\text{NH}_4^+$  and then sequentially oxidized to  $\text{NO}_2^-$  and  $\text{NO}_3^-$  by nitrification at the expense of DO; if DO is low enough in the bottom waters or underlying sediments,  $\text{NO}_x^-$  are reduced by either denitrification to N gases lost to the atmosphere ( $\text{N}_2\text{O}$ ,  $\text{N}_2$ ) or dissimilatory nitrate reduction to  $\text{NH}_4^+$  that is effectively recycled in the estuary (Damashek & Francis 2018). Disentangling these processes requires rate measurements not done in the present study, but discrete data on N nutrients and microbial communities can provide snapshots of potential N metabolism in the water. Complementing our nutrient data with monthly estimates by CT DEEP shows that the concentrations of  $\text{NH}_4^+$  and  $\text{NO}_x^-$  were relatively stable in WLIS during summer 2019, except for the maximum  $\text{NO}_x^-$  value we detected in August bottom waters (Fig. A1 in the Appendix). Because our data showed concurrent increases in the concentration of  $\text{NO}_x^-$  and

the proportion of N-cycling prokaryotes during maximum hypoxia (Table S1, Figs. 4A, 5A & 6B), we looked specifically at predicted N-cycling pathways in our PICRUST2 annotation of 16S sequences (Fig. 7). Given the limitations of this approach (see last paragraph of Section 4.1), our preliminary results need confirmation with direct quantification of N-cycling genes and their expression. Still, these data allow us to explore potential N transformations that can be important in estuarine waters but have been studied much less than in sediments (Damashek & Francis 2018), and to generate hypotheses to be tested with more appropriate tools (e.g. qPCR) at a higher spatial-temporal resolution.

The main difference in functional potential between hypoxic and non-hypoxic conditions in our study did not correspond to classical N pathways according to PICRUST2 (Fig. 7). Instead, the potential for nitrifier denitrification was over-represented under maximum hypoxia (Figs. 6B & 7). In this path-

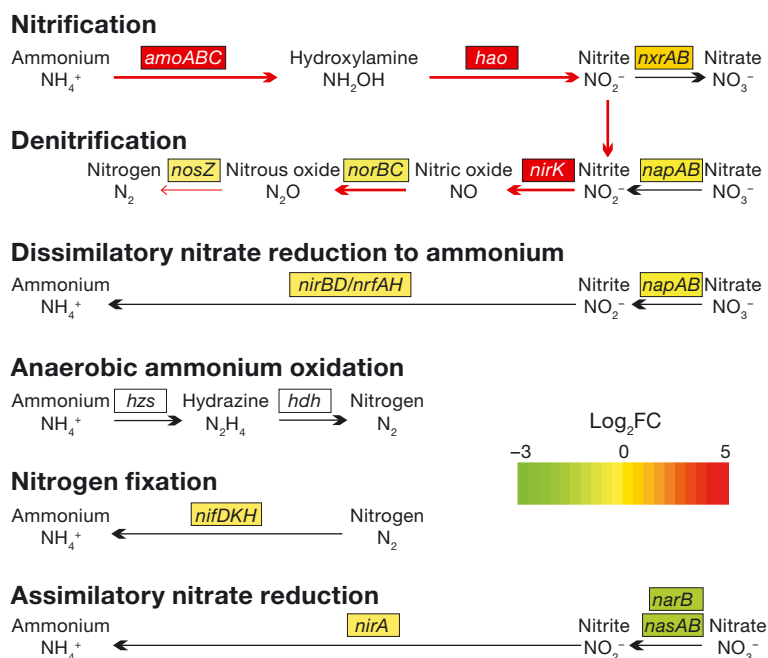


Fig. 7. Predicted bacterial and archaeal genes for metabolism of inorganic nitrogen compounds based on PICRUST2 annotations. Genes are colored based on predicted over- and underrepresentation in maximum hypoxia as compared to the rest of the samples; FC: fold-change of the relative contribution of KEGG orthologs (KOs) in each sample group. Red arrows: the nitrifier denitrification pathway. Genes in white are not predictable by this method, but taxa known to have them were not found in our data. Predicted genes: *amoABC*: ammonia monooxygenase; *hao*: hydroxylamine dehydrogenase; *nrxAB*: nitrite oxidoreductase; *napAB*: nitrate reductase; *nirK*: nitrite reductase (NO-forming); *norBC*: nitric oxide reductase; *nosZ*: nitrous oxide reductase; *nirBD*: nitrite reductase (NADH-forming); *nrfAH*: nitrite reductase (cytochrome *c*-552); *hzs*: hydrazine synthase; *hdh*: hydrazine dehydrogenase; *nifDKH*: nitrogenase; *narB*: ferredoxin-nitrate reductase; *nasAB*: assimilatory nitrate reductase; *nirA*: ferredoxin-nitrite reductase



way, ammonia (available in the water mostly as  $\text{NH}_4^+$ ) is oxidized to  $\text{NO}_2^-$ , which is then reduced to nitric oxide (NO) and nitrous oxide ( $\text{N}_2\text{O}$ ) and, only partially, to  $\text{N}_2$  (Ritchie & Nicholas 1972, Wragg-Mönnig et al. 2018). Although nitrifier denitrification is technically classified as anaerobic respiration, it is speculated to function in the detoxification of  $\text{NO}_2^-$  produced by nitrification rather than in anaerobic energy production (Stein & Arp 1998, Beaumont et al. 2002), and it is more active in hypoxia than anoxia (Zhu et al. 2013, Kozłowski et al. 2014). Of the taxa known to perform nitrifier denitrification, we only found the ammonia-oxidizing bacteria (AOB) *Nitrosomonas* (*Gammaproteobacteria*), which we detected almost exclusively under maximum hypoxia but in negligible proportions (<0.02%; not shown). However, the genome of the ammonia-oxidizing archaea (AOA) *Nitrosopumilus maritimus*, which had increased proportions under maximum hypoxia (Figs. 4A & 5A), includes genes that may participate in this pathway (*amoABC*, hypothetical *hao* and *nirK*; Walker et al. 2010). This explains the over-representation of these genes under maximum hypoxia in our annotations (Fig. 7) and suggests potentially increased ammonia oxidation coupled with  $\text{NO}_2^-$  reduction to NO under these conditions. We did not detect a simultaneous increase in potential for biotic reduction of NO to  $\text{N}_2\text{O}$  (Fig. 7), but this reaction can happen abiotically in marine waters (Zhu-Barker et al. 2015). While the mechanisms are not fully understood, AOA (mainly the order *Nitrosopumilales*) are the main contributors to AO-associated  $\text{N}_2\text{O}$  production in coastal and oceanic waters (Santoro et al. 2011, 2019, Löscher et al. 2012, Trimmer et al. 2016), and their activity is important in the global production of this powerful greenhouse gas (Prosser et al. 2020).

Alternatively, ammonia oxidation by *Nitrosopumilus* AOA may be coupled with oxidation of  $\text{NO}_2^-$  to  $\text{NO}_3^-$ , which completes the classical nitrification pathway. However, our data supported only a moderate increase in potential for this reaction (*nxrAB* genes; Fig. 7) by the *Nitrospinaeae* NOB detected under hypoxia (Figs. 4A & 5A). Decoupling of  $\text{NH}_4^+$  and  $\text{NO}_2^-$  oxidation has been found experimentally at temperatures between 20 and 30°C in estuarine waters, resulting in  $\text{NO}_2^-$  accumulation during summer (Schaefer & Hollibaugh 2017). Thus, the summer peak of  $\text{NO}_x^-$  in bottom waters that we detected in August (maximum: 14.4  $\mu\text{M}$ ; Table S1), in agreement with much higher proportions of AOA than NOB (Figs. 4A & 5A), may have been in the form of  $\text{NO}_2^-$  and not as the expected  $\text{NO}_3^-$ .

Canonical denitrification, dissimilatory nitrate reduction to ammonium (DNRA), and anaerobic ammonium oxidation (anammox) were not supported by our data, as expected under non-anoxic conditions, even though low expression levels for denitrification and DNRA genes have been detected in hypoxic waters of Chesapeake Bay (Hewson et al. 2014, Eggleston et al. 2015). Coincidentally, we detected potential for denitrification and DNRA, but it was quantitatively small (not shown) and it did not change with oxygenation level (Fig. 7). The later also applies to  $\text{N}_2$  fixation and assimilatory nitrate reduction, although the potential for reduction of  $\text{NO}_3^-$  to  $\text{NO}_2^-$  (*narB* and *nasAB* genes) was higher in non-hypoxic versus hypoxic conditions (Fig. 7), at least partially matching the distribution of *Cyanobacteria* (Fig. 4A).

Our data also provide insights on the coupling between biogeochemical and food web processes and its feedback with hypoxia. The increased proportions of AOA (*Nitrosopumilus*) and NOB (*Nitrospinaeae*) could be related to multiple factors, such as differential grazing that may favor these lineages at low DO levels or intensified dynamics of N species during the summer. Increases in the relative abundance of *Nitrosopumilus* and *Nitrospinaeae* co-occurred with increased proportions of copepod nauplii and increased concentration of  $\text{NO}_x^-$  in August bottom waters (Figs. 2F, 4 & 5, Table S1). This suggests that N passed through phytoplankton and then copepods before remineralization to  $\text{NH}_4^+$  and subsequent oxidation to  $\text{NO}_x^-$ . Copepods stimulate nitrification mainly via excretion of  $\text{NH}_4^+$  and dissolved organic N (Valdés et al. 2018), or via decomposition if they are dead. Thus, *Nitrosopumilus* and *Nitrospinaeae* could have been stimulated by copepods, as suggested by the sequence proportions of the 2 genera being positively and significantly correlated with the proportion of copepod sequences and biomass we estimated ( $R > 0.66$ ,  $p < 0.01$ ). The proportions of *Nitrosopumilus*, *Nitrospinaeae* and Copepoda sequences also correlated positively and significantly with the concentration of  $\text{NO}_x^-$  ( $R > 0.98$ ,  $p < 0.01$ ), but not  $\text{NH}_4^+$ . This suggests that, although hypoxia was at its summer maximum, DO was enough for remineralization of organic N and rapid oxidation of  $\text{NH}_4^+$ , thus resulting in the observed accumulation of  $\text{NO}_x^-$  in August bottom waters (Table S1, Fig. A1 in the Appendix). These preliminary results agree with the expectation of active recycling of C and N in WLIS in the summer, with excess primary production stimulating grazers, which in turn stimulate the bacterial and archaeal N-oxidizing metabolisms that contribute to hypoxia.

## 5. CONCLUSIONS

As oxygen-limited aquatic regions rapidly expand around the world, knowledge on the microbial communities that interact with decreased DO is important to predict future scenarios under global anthropogenic changes. Among the various types of environments experiencing deoxygenation, we studied a temperate estuary that undergoes summer hypoxia due to cultural eutrophication. Our results complement existing knowledge by providing a holistic view of the microbial communities (bacteria, archaea and microbial eukaryotes) that form the base of the food web and drive important biogeochemical cycles. In surface waters, N pollution fuels phytoplankton, which in turn stimulates grazers such as ciliates, dinoflagellates and copepods. As phytoplankton blooms saturate the waters, the relative importance of grazers decreases and algal organic matter sediments into deeper waters. This promotes decomposition and aerobic respiration by both decomposers (Bacteria and Archaea) and bacterivore protists. Our data also support an important role of parasitism in C recycling and a tight coupling of  $\text{NH}_4^+$  remineralization with its oxidation to  $\text{NO}_2^-$  by AOA (and less markedly to  $\text{NO}_3^-$  by NOB). Ammonia and  $\text{NO}_2^-$  oxidation are the only known aerobic links from the  $\text{NH}_4^+$  produced by remineralization to the  $\text{NO}_x^-$  substrates of denitrification, which in anaerobiosis leads to N loss as  $\text{N}_2$  and  $\text{N}_2\text{O}$  (Damashek & Francis 2018). Alternatively,  $\text{NO}_x^-$  may be effectively recycled to  $\text{NH}_4^+$  by DNRA under anaerobic conditions. Wind patterns that cause intermittent mixing and ventilation of sub-pycnocline waters (O'Donnell et al. 2008) could also bring  $\text{NO}_3^-$  and  $\text{NH}_4^+$  to depths with ideal light conditions, where these nutrients reinforce eutrophication (and thus hypoxia). While some pieces of this puzzle are regularly studied in estuaries with different DO levels, the complete dynamics (including the links between microbial plankton and geochemistry in both water and sediments) urgently needs clarification to predict feedbacks between water deoxygenation and greenhouse gas dynamics in these environments.

**Authors' contributions.** L.F.S. and G.B.M. conceived the study. L.F.S. and S.A.S. collected and processed DNA samples. D.I.G. collected and processed nutrient samples. L.F.S. led the project, analyzed the data and wrote the paper. All authors read, edited, and approved the paper.

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## Appendix.

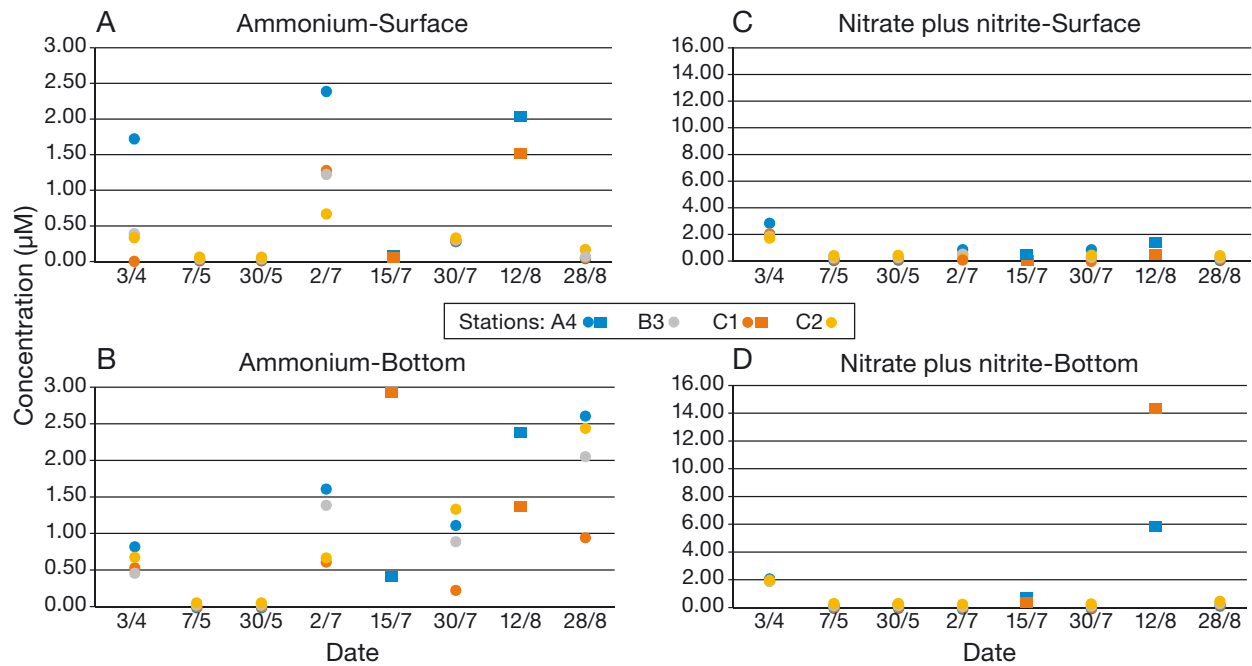


Fig. A1. Concentrations of (A,B) ammonium and (C,D) nitrate plus nitrite in (A,C) surface and (B,D) bottom waters of western Long Island Sound during summer 2019. Quantifications by the Connecticut Department of Energy and Environmental Protection (circles) and the present study (squares). Dates are dd/mm

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