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Convergent Evolution in Two Bacterial Replicative Helicase Loaders

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Abstract (120 words)

Dedicated loader proteins play essential roles in bacterial DNA replication by opening ring-shaped DnaB-family helicases and chaperoning ssDNA into a central motor chamber as a prelude to DNA unwinding. Although unrelated in sequence, the *E. coli* DnaC and bacteriophage λ P loaders feature a similar overall architecture: a globular domain linked to an extended lasso/grappling hook element, located at their amino and carboxy termini, respectively. Both loaders remodel a closed DnaB ring into nearly identical right-handed open conformations. The sole element shared by the loaders is a single alpha helix, which binds to the same site on the helicase. Physical features of the loaders establish that DnaC and λ P evolved independently to converge, through molecular mimicry, on a common helicase opening mechanism.

Keywords

DnaB, DnaC, λ P, DciA, DNA Replication, helicase loading, convergent evolution, molecular mimicry.

Introduction (~3100 words)

Specialized Factors Load the DnaB Bacterial Replicative Helicase onto the Replication Origin

The regulated loading of ring-shaped hexameric helicases onto chromosomal origins is an essential feature of DNA replication in all cellular domains of life [1–3]. Helicase deposition requires specialized factors known as helicase loaders, which operate during the initiation of DNA replication [4–8]. In bacteria, several helicase loaders have been studied, including *Escherichia coli* (*E. coli*) DnaC [4], bacteriophage λ P, *Bacillus subtilis* (*B. subtilis*) DnaI [5], and DciA/DopE, a recently described class of proteins which appear in bacteria that lack orthologs of DnaC or DnaI [6,7,9]. Helicase loading is also critical to assembly of eukaryal and archaeal **replisomes** [1,2,8].

The assembly of the bacterial replicative helicase (**Figure 1**), which is known as DnaB in most bacteria or DnaC in *B. subtilis* (henceforth called DnaB), onto origin DNA occurs during the initiation phase of DNA replication [8,10–14]. Our view of replication initiation in bacteria is informed by studies with primary and secondary chromosomes of bacteria, plasmids, and phages [15–27], and have implied the involvement of four classes of factors (**Table 1**): 1) a DNA sequence called a replication origin, where DNA synthesis will begin [13,20,28,29], 2) a replication initiator protein (*E. coli*: DnaA [10,12,30–32], *V. cholerae*: DnaA, RctB [18,21,23,25,33–37], plasmids: RepE, Pi, TrfA [38–40], phage lambda (λ): O [41–49]), 3) a DnaB-family replicative helicase [4,12–14,50], and, finally, 4) a helicase loader (*E. coli*: DnaC [4,10,16,51–55], phage λ : P [43,45–48,56–59], *V. cholerae*: DciA [6,9]). The multi-step process for initiating DNA replication begins with the recognition and binding of multiple copies of the initiator protein to dsDNA sites at the

51 replication origin; once bound, initiator proteins associate into a complex protein-DNA
52 ensemble [4,10,12,19,31,60,61]. One of the outputs of the initiation phase of DNA
53 replication is the melting of an A-T rich segment of the replication origin termed the DNA
54 unwinding element (DUE) by the DnaA or the λ O initiator proteins [13,30,31], an event
55 that provides single DNA strands (ssDNA) as substrates for DnaB loading. DnaB
56 hexamers assemble into two-tiered rings formed by the amino (NTD) and carboxy-
57 terminal domains (CTD) of the helicase; a so-termed 'linker helix' element (LH) connects
58 these domains and packs against another alpha helix, termed the docking helix (DH), of
59 a neighboring DnaB subunit to give rise to a **domain-swapped oligomer** [62–64] (**Figure**
60 **2**). The two DnaB tiers circumscribe an internal chamber into which one of the ssDNA
61 strands from the replication origin will be loaded. One layer is formed out of six NTDs,
62 which assemble into two different 'trimer-of-dimers' configurations that display pseudo-
63 three-fold symmetry. These arrangements arise from alternative packing orientations for
64 NTD dimers, which create several types of subunit interfaces of likely varying stability
65 [62,65]. The CTD tier assembles out of six C-terminal domains (CTD) of DnaB, each of
66 which harbors a **RecA-like ATPase domain**. In contrast to the NTD, the CTD layer
67 exhibits a pseudo-six-fold arrangement, with a single type of interface.

68 Helicase loading onto ssDNA can be conceptually divided into four stages (**Figure**
69 **1**). During assembly, DnaB transitions between three conformations: closed planar, open
70 right-handed spiral, and closed right-handed spiral [62–68]. In addition, the NTD and
71 CTD layers of each of these conformers are found in one of two arrangements: dilated or
72 constricted (**Figures 2A-2B**); these conformers differ on inter-protomer contacts. The
73 isolated hexameric helicase, in both dilated and constricted closed-planar configurations,

populates Stage I. Formation of the helicase•helicase loader complex leads to Stage II, while engagement of the helicase•loader complex with origin-derived ssDNA•initiator (DnaA or λ O) populates Stage III. The ATPase and ssDNA translocation activities of the helicase are suppressed during these latter two stages [56,58,69–71], with the DnaA/replication origin complex also playing a role in loading or positioning the helicase/loader complex using direct contacts between DnaA and DnaB [69–71] (the involvement of contacts between other bacterial replication initiators and DnaB remains to be clarified). Recently, cryogenic electron microscopy (cryo-EM) analyses of two distinct bacterial helicase•loader complexes (*E. coli* DnaB•DnaC and *E. coli* DnaB• λ P, **Figure 1: Stages II-III, and Figure 3**) have shown that the helicase adopts an open right-handed spiral configuration, promoted, and stabilized by interactions with the helicase loaders [65,68]. The transition to Stage IV is accompanied by eviction of the loader and initiator from the complex on DNA, which relieves inhibition of DnaB's activities. Notably, in Stage III, the configuration of the DnaB-bound loader is nearly identical to the closed spiral form seen in the loader-free helicase of Stage IV [65,67].

Helicase loading in bacteria occurs by one of at least two reported mechanisms [72], termed: a) ring breaking, where DnaB hexamers are physically opened [73], and b) ring making, in which hexamers are assembled [5]. It is now clear that both *E. coli* DnaC and phage λ P are ring breakers: each loader binds to and delivers a pre-formed helicase hexamer to its cognate origin [4,43,45–47,56,58,74]. DnaC and λ P are similar in that both are essential for their respective organisms and both bind to ssDNA [56,58,75–77]. λ P also can displace DnaC from *E. coli* DnaB, implying that their respective binding sites overlap [58,74]. These congruencies might imply a common ancestry; however, DnaC

and λ P are unrelated in sequence and enzymatic function (e.g., DnaC is a known ATPase [10,53,75–77], whereas λ P is not [78]). Moreover, although each loading system requires ejection of the loader from the DNA complex for DnaB to transition to the translocation-competent form, eviction occurs by distinct mechanisms. For DnaC, nucleotide dynamics in its **AAA+ ATPase domain**, along with RNA synthesis by the DnaG primase, are significant features in eviction [10,52,53,76,77,79]. By contrast, removal of λ P requires the host DnaK/DnaJ/GrpE chaperone machinery [31–34]. Helicase loading is also a feature during restart of DNA replication after it has prematurely been halted in response to DNA damage and involves a distinct set of proteins (PriA, PriB, PriC, and DnaT); the reader is referred to the literature for a more complete treatment of helicase loading during replication restart [80–82].

Here, we compare recent structures of two bacterial helicase loaders: *E. coli* DnaC [65] and phage λ P [68] bound to the same *E. coli* DnaB helicase (**Figure 3**). This comparison provides an opportunity to understand the mechanisms of the two loaders and to extract central principles associated with DnaB opening and loading onto ssDNA. A recent crystal structure of the interaction domains of DnaB and DnaC [83] comports with the EM analyses of the complete complexes. A new study of DciA•DnaB interactions also points to some conserved elements of helicase opening in this system as well [9]. Further study will be required to establish the mechanistic relationships, if any, between the DciA and *B. subtilis* DnaI/DnaB/DnaD loaders [5,84,85] and the better understood *E. coli* DnaC [65] and λ P [68] systems.

Though unrelated by sequence and fold, *E. coli* DnaC and λ P exhibit analogous global architectures

Inspection of the *E. coli* DnaB•DnaC (BC) and the *E. coli* DnaB•phage λ P (BP) complexes shows that the two loaders engage the carboxy-terminal (CTD) ATPase surface of DnaB to form a three-layered ensemble [65,68,83]. One layer corresponds to an oligomeric form of the helicase loader (hexameric for DnaC and pentameric for λ P), while the second and third correspond to the NTD and CTD tiers of DnaB (**Figures 3A-B**). Although six copies of DnaC are present in the *E. coli* BC complex and five λ P protomers are found in the BP assembly, the stoichiometries seen in the cryo-EM structures do not necessarily preclude the possibility that complexes with fewer copies of the loader might be active in supporting helicase loading. Significantly, each loader adopts a distinct and unrelated structure, yet the monomers of both exhibit a similar overall architecture: a globular domain fused to an extended segment that forms a lasso/grappling hook element (**Figures 3C-D**).

The globular domain of DnaC consists of an AAA+ ATPase module that is fused to a ~75 residue N-terminal segment (**Figure 3C**) [65,83]. The amino-terminal segment of DnaC consists of a long α -helix that extends along the CTD of a DnaB protomer and initiates from a helix-loop-helix element that packs against the LH linker helix from one DnaB subunit and the DH docking helix from another. Notably, the N-terminal segment provides the only contacts between DnaC and the DnaB helicase. The six copies of the globular DnaC AAA+ domain assemble into an open spiral like that seen in related ATPases such as DnaA and archaeal/eukaryal MCM helicases [86–92]. In the absence of ssDNA, five of the six nucleotide-binding sites in DnaC are populated with an ATP

analog (ADP•BeF₃), whereas the sixth (which sits at the gap in the DnaC spiral) engages ADP, likely because its catalytic center lacks important functional contacts from a neighboring protomer. Rationalizing this arrangement of nucleotides is the prior finding that the ATP form of DnaC suppresses DnaB's helicase activity and as it stabilizes the ssDNA complex [52].

For the λ P loader, only the C-terminal ~125 residues were resolved in EM density maps [68]. This region consists of an α -helical globular domain fused to an extended segment of ~45 residues (**Figure 3D**). The λ P extension forms a sub-structure analogous to, but distinct from, that seen in DnaC [65,83], terminating in a single α -helix that packs against the LH and DH elements of two adjacent subunits of DnaB. Both the globular domain and the grappling hook/lasso segment of λ P contact two consecutive subunits of the DnaB hexamer. These interactions are repeated in the five copies of λ P in the BP complex to create an open helical arrangement of loaders; the breached interface of the DnaB hexamer precludes binding of a sixth copy of λ P. The low resolution (4.1 Å) of the BP EM maps in the region of the loader limits analysis of interfaces between λ P protomers; nevertheless, an extensive interface between the five λ P protomers does not appear to form.

***E. coli* DnaC and phage λ P reconfigure DnaB into an open right-handed spiral**

Despite their evolutionarily distinct structures and contacts with DnaB, both DnaC and λ P reconfigure the helicase into highly similar, open-spiral configurations (root mean square deviation (RMSD) of ~2.2 Å, calculated from 2611 C α positions that span the

DnaB hexamer) [65,68]. The DnaB NTDs also both adopt a constricted configuration, albeit with a spiral (as opposed to planar) shape that bears a split between one of the subunit interfaces. The similarity between DnaB in the two loader complexes is also evident from the average helical pitch and twist values of the open spirals in both the NTD (~2.8 Å and 60.0° for BC vs. ~2.6 Å and 59.9° for BP) and CTD layers (~19.3 Å and ~55.3° for BC vs. ~16 Å and ~56° for BP). Changes induced by each loader rupture one of the DnaB subunit interfaces at both the NTD and CTD layers to create openings (15-20 Å) of sufficient size to allow ssDNA access to the internal chamber.

Changes to the helical pitch and twist of the DnaB hexamer within each loader complex combine to alter the configuration of the ssDNA-binding site in the helicase. Superposition of DnaB from each loader complex reveals significant changes in the position of DNA binding residues in comparison to that when DnaB is bound to ssDNA (**Figure 4**) [65]. When bound to ssDNA and the loader, the CTD of each DnaB protomer projects three residues (*E. coli*: R403, E404, G406) on a DNA binding loop into the helicase pore to contact ssDNA. In the loader-only complexes, reconfiguration of the CTD layer shifts the positions of the alpha-carbons of these residues by ~10-30 Å (in BC) or ~5-20 Å (in BP).

The disposition and nucleotide occupancy of the six RecA-type ATPase sites in DnaB are also altered in the complexes with DnaC and λ P [65,68]. ATPase activity by DnaB relies on 'composite' nucleotide binding sites, wherein residues from two adjacent subunits contribute to a single catalytic center [63,64,67,93]. In both helicase-loader complexes, five of the six ATPase sites in DnaB are occupied by ADP, while the sixth, which sits at the breach in the CTD ring, is vacant; when bound to just ssDNA, this

constellation of sites are filled with a nucleoside triphosphate analog (ADP•BeF₃) instead. The alterations in CTD orientation appear to have remodeled the five ADP-filled sites of the loader-bound helicase into non-optimal catalytic configurations as well, although the resolution of the structures prevents a more precise evaluation of these changes [65,68].

Two distinct helicase loader complexes with a shared function

The BC and BP complexes reveal how the evolutionarily distinct structural elements of DnaC and λ P converged on a common helicase-opening strategy [65,68]. In both loader complexes, the lasso/grappling hook segments of DnaC and λ P provide key contacts to opening the DnaB helicase (**Figure 5**). Superposition of the two complexes on a DnaB monomer reveals that the only segment in common between the two loaders is a single α helix at the extreme amino-terminus of DnaC, or the carboxy-terminus of λ P. In both complexes, this helix disrupts interactions between the LH linker helix of one DnaB protomer and the DH docking helix on an adjacent subunit; each DnaB protomer undergoes this interaction save for the one at the breach in the spiral. Insertion of the loader α helix between the DnaB LH and DH elements reconfigures the CTD, and concomitantly the NTD, tiers, from the closed planar to the open spiral form to allow ssDNA to access the internal chamber of DnaB. Notably, in the BC structure, the N-terminal lasso/grappling hook element represents the sole point of contact between DnaC and DnaB; indeed, the isolated region harbors significant capacity to promote helicase loading [66].

It was surprising to find that the AAA+ ATPase domains of DnaC make no contact with DnaB, and thus, play no direct role in helicase opening (**Figure 3A**)[65]. By comparison, the AAA+ ATPases of the evolutionarily related clamp loaders – which open and chaperone the ring-shaped β and PCNA proteins onto DNA to aid polymerase processivity – engage their client clamps directly [94–98]. For DnaC, the ATPase elements appear to play a role in sensing the binding of ssDNA to the helicase and in enhancing the efficiency of the DnaB-opening reaction [66]. AAA+ ATPases are often pre-formed oligomers [99,100], unusually, in solution, DnaC is monomeric [54], however, six copies oligomerize on DnaB in a manner stabilized by ATP [54,65]. Without ssDNA, the nucleotide-binding sites of DnaC in the BC complex are filled with ATP and captured in a configuration that is poised, but sub-optimal for catalysis. After sensing ssDNA, the nucleotides sites on the DnaC oligomer are filled with ADP, as would be expected following hydrolysis. ATP hydrolysis after ssDNA loading does not appear to allow DnaC to dissociate from DnaB but may diminish stability of the DnaC oligomer. Biochemical studies suggest that DnaG recruitment and primer synthesis are needed to promote loss of DnaC from the complex [55,74,101].

In contrast to the modest interface between helicase and loader in the BC complex [65,68], λ P forms an extensive interface with DnaB that encompasses both the globular and lasso/grappling hook segments (**Figure 3B**). λ P is also a monomer in solution and five copies assemble onto DnaB in the BP loader complex [68], however, few contacts between loader subunits are seen. Inspection of the two loader complexes indicate that the positioning of their C-terminal α helices between DnaB's LH and DH elements may be sufficient for opening and that an extensive interface is dispensable for helicase

opening. This has been confirmed for DnaC [66], and we speculate that the extensive interface between the DnaB and the globular domain of λ P may form because of interacting with the opened helicase, rather than as its driver as previously proposed [68]. If so, then, what might be the functional role(s) for the extensive interface between λ P and DnaB? Biochemical studies provide a potential explanation for the structural dichotomy. It is known that λ P can displace DnaC from a preformed BC complex [58]; the extensive interface in the BP complex may aid displacement as part of a biological strategy to appropriate the host replication machinery away from the bacterial chromosome and toward the phage genome. Alternatively, the extensive interface in the BP complex may serve as a functional analogue to the extensive AAA+ interaction between DnaC globular domains in the BC complex. Regardless, in both the BC and BP complexes, overall stability is achieved by oligomerization, but by distinct means [65,68].

Although they feature some global architectural parallels, neither the globular domains of DnaC and λ P nor the extended lasso/grappling hook regions display any similarity in sequence [65,68]. Underscoring the dissimilarity is the opposing chain polarity of the grappling hook segments as they run across the surface of DnaB: DnaC runs N-to-C whereas λ P runs C-to-N (**Figures 3C-3D and Figure 5**). The finding that a single functionally significant α -helix in DnaC and λ P exhibits a divergent protein chain direction confirms their lack of evolutionary kinship and instead reflects a form of **molecular mimicry** that arose through **convergent evolution**. Molecular mimicry in bacterial DNA replication initiation joins other examples from protein synthesis [102–104], gene expression [105], apoptosis [106], host pathogen interactions [107–109], virally encoded proteins[110], and immunity and autoimmunity [111].

254

255 **A recently described protein known as DciA serves as the primary helicase loader**
256 **in bacteria that lack DnaC/DnaI**

257 Outside of DnaC and λ P, Ferat and co-workers have reported that most bacteria
258 lack homologs of DnaC (or the unrelated DnaI loader) and that helicase loading in these
259 organisms instead appears to depend on a distinct protein called DciA [6] (**Table 1**). A
260 structure of DciA from *Vibrio cholerae* (VcDciA) shows that the protein is composed of an
261 ~110 aa N-terminal globular domain followed by a ~40 aa disordered C-terminal segment.
262 Interestingly, the fold of the DciA globular domain is related to the N-terminal domain of
263 the replication initiator, DnaA, as well as the C-terminal domain of the γ/τ /DnaX clamp
264 loader subunit and the FliK flagellar hook-length control protein. VcDciA appears to
265 stimulate the loading of the VcDnaB helicase onto DNA through a DciA₃:DnaB₆
266 intermediate; the LH-DH nexus that is targeted by DnaC and λ P has been suggested to
267 serve as an important point of contact in this complex as well [9]. It has been proposed
268 that VcDnaB may adopt an open spiral in solution and may harbor residues that specify
269 loader preference. Given the widespread nature of the DciA system, additional chapters
270 of the helicase loader story clearly remain to be written.

271

272 **Concluding Remarks**

273 In all cellular organisms, the regulated association of the replicative helicase with
274 replication origins sets the stage for the initiation of DNA replication [1–8] (**Table 1** and
275 **Figure 1**). However, significant differences are now evident in mechanisms by which

origin unwinding and helicase loading take place in bacteria as compared to archaea and eukaryotes. In bacterial replication systems, the current model holds that the initiator protein not only marks an origin for initiation, but also melts that origin, enabling the replicative helicase-loader complex to load onto the resultant ssDNA [8,12,13,31,112]. By contrast, in archaea and eukaryotes, the helicase is loaded by an initiator complex around duplex DNA, which is then subsequently melted by the helicase itself [113–118]. These distinct mechanisms are remarkable given that replication initiation machinery in all three domains of life is predicated on a related AAA+ fold [8,13,86]. Why the two approaches arose during evolution is unclear but may reflect an adaption to the two different families of hexameric helicases – one based on a RecA ATPase fold, and another based on a AAA+ ATPase domain [119–123] – that have been employed to support replication in bacteria as compared to archaea and eukaryotes. Structural analyses of two bacterial loaders bound to the *E. coli* DnaB helicase have for the first time illuminated the rich detail and diversity of helicase-ring opening as well as DNA association (**Figures 2, 3, 4 and Figure 5**). However, despite the insights gained from these models, several fundamental questions about replication initiation and helicase loading remain to be addressed (Outstanding Questions).

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426

Tables, Figures, and Figure legends

| | <i>E. coli</i> | phage λ | <i>V. cholerae</i> |
|------------|----------------|-----------------|--------------------|
| origin DNA | OriC | Ori λ | OriC-I/II |
| initiator | DnaA | O | DnaA/RctB |
| helicase | DnaB | DnaB | DnaB |
| loader | DnaC | P | DciA |

Table 1. Molecules involved in various bacterial DNA replication initiation systems.

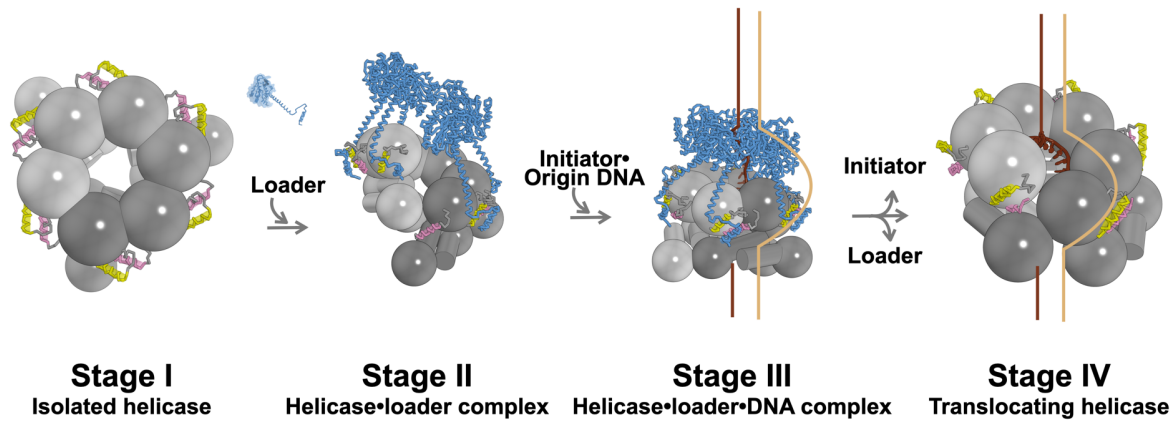


Figure 1. Loading of the Bacterial DnaB Replicative Helicase at a Replication Origin.

The DnaB loading pathway passes through at least four stages (I, II, III, and IV). DnaB sub-domains are depicted according to their overall shape (amino-terminal domain (NTD): a mushroom-like shape; carboxy-terminal domain (CTD): sphere; both in varying shades of gray). The Linker-Helix (LH, pink) and Docking-Helix (DH, yellow) elements are depicted in a ribbon and transparent cylinder representation. The DnaC helicase loader is shown as a blue ribbon. The DNA strands, one of which is included in the central DnaB chamber, and the second excluded, are colored in chocolate brown and light brown, respectively.

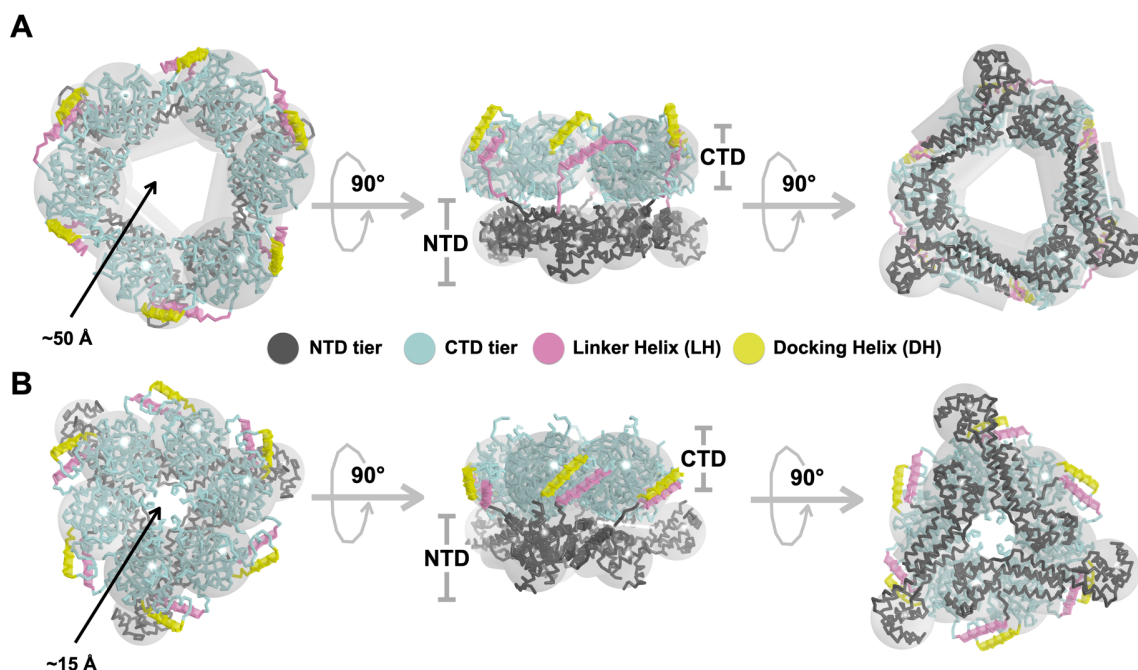


Figure 2. Overview of the DnaB replicative helicase. DnaB adopts at least two distinct configurations, termed dilated (A) and constricted (B) [62–64]. DnaB sub-domains are depicted according to their overall shape (amino-terminal domain (NTD): a mushroom-like shape; carboxy-terminal domain (CTD): sphere; both in varying shades of gray). Superimposed on these shapes of DnaB are ribbon representations, colored in gray and light cyan of the NTD and CTD tiers, respectively, in various poses of the dilated (A, PDB = 2R6A) and constricted (B, PDB = 4NMN) forms of DnaB. The linker and docking helices are depicted as cylinders, and colored pink and yellow, respectively.

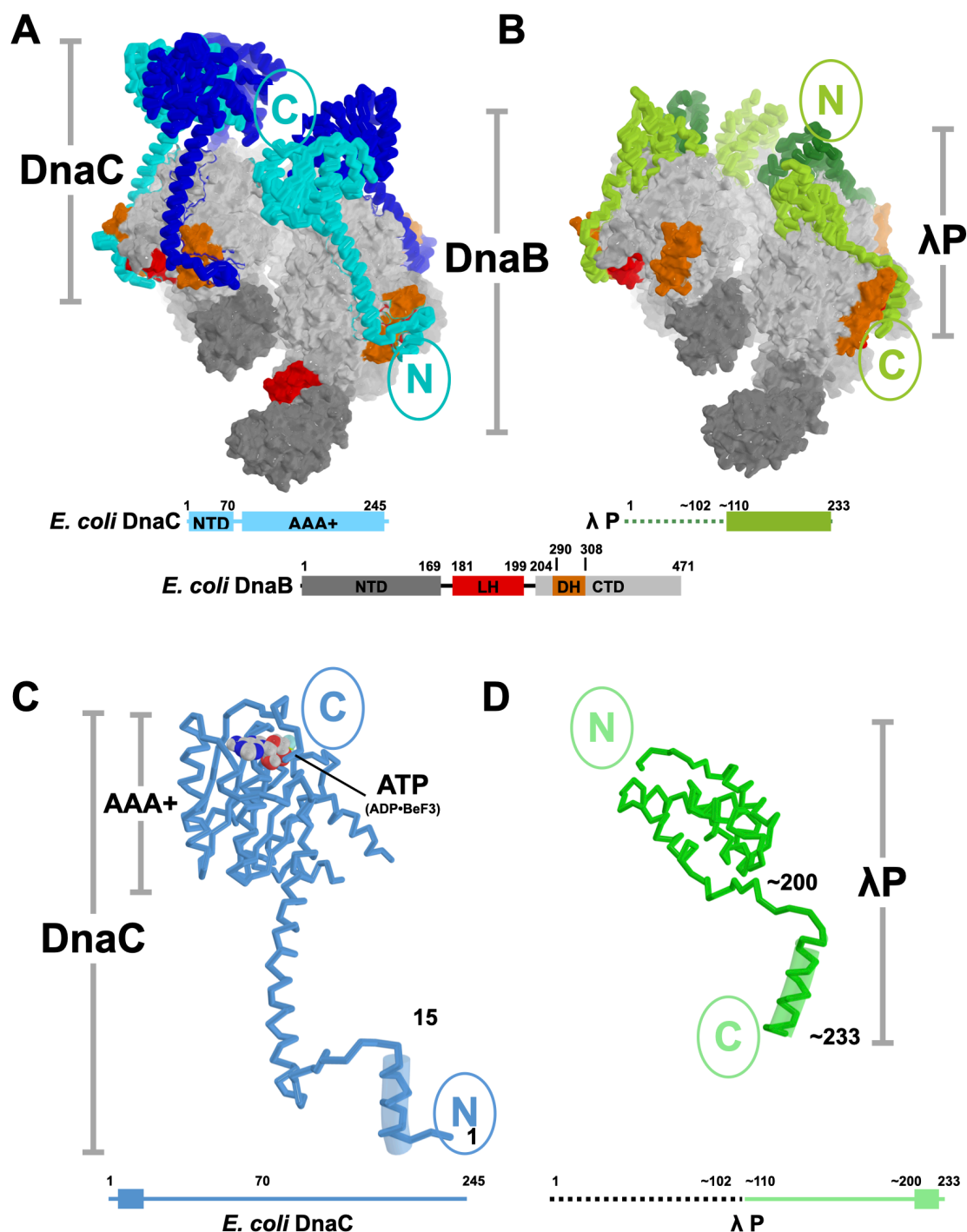


Figure 3. *E. coli* DnaB Complexed with the DnaC (A) and λ P (B) Helicase Loaders. Protomers of the DnaC and λ P helicase loaders are colored in alternating shades of blue (DnaC) and green (λ P). The *E. coli* DnaB helicase in each loader complex is represented in a surface rendering, with the amino-terminal domain (NTD) and the carboxy-terminal domain (CTD) layers colored in darker and lighter shades of gray, respectively. Linker-

helices (LH) and docking-helices (DH) are colored in red and orange, respectively. The DnaB hexamers from the loader complexes are superimposed on the CTD of the protomer at the bottom of the spiral in this pose. The primary sequence of each loader and DnaB is represented as a linear schematic, with salient features annotated and colored to match the molecular representations. Only the carboxy terminal domain of λ P was visible in the EM maps of the BP complex (the missing segment is depicted as a dashed line). The terminal helix of each loader (DnaC: N-terminal; λ P: C-terminal) are depicted as ribbons and transparent cylinders. The amino (N) and carboxy (C) termini of each loader is indicated in each panel.

474

475

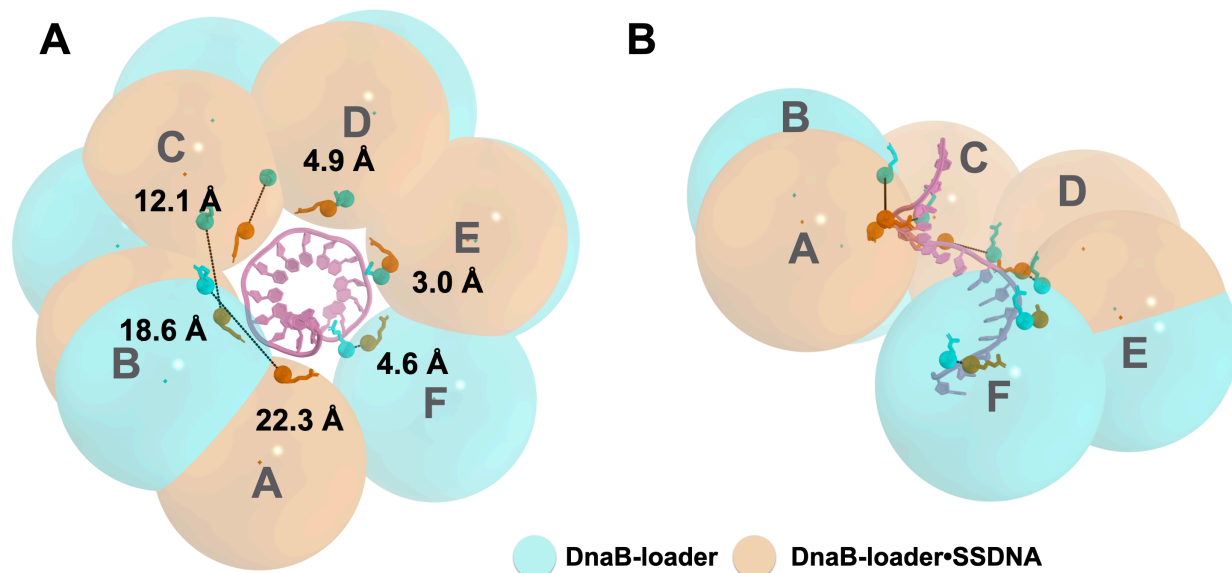


Figure 4. The ssDNA Binding Site is Altered in the Loader Bound Form of DnaB. The BC complex without ssDNA (PDB = 6QEL) was superimposed (RMSD = 0.85 Å) onto the C-terminal domain of chain F of the ssDNA bound complex (PDB = 6QEM). The large spheres represent the CTDs of the BC complex with (light brown) and without (cyan) ssDNA. The alpha carbons of arginine 403, which makes a key contact to ssDNA in the BC complex, are depicted as smaller spheres for the ssDNA complex (brown) and apo complex (dark cyan). Distances between the alpha carbons of arginine 403 from equivalent DnaB subunits are shown. The six chains from the ssDNA-bound complex are identified by letters (A, B, C, etc.). Poses in panels A and B are related by a 90° rotation about the horizontal axis.

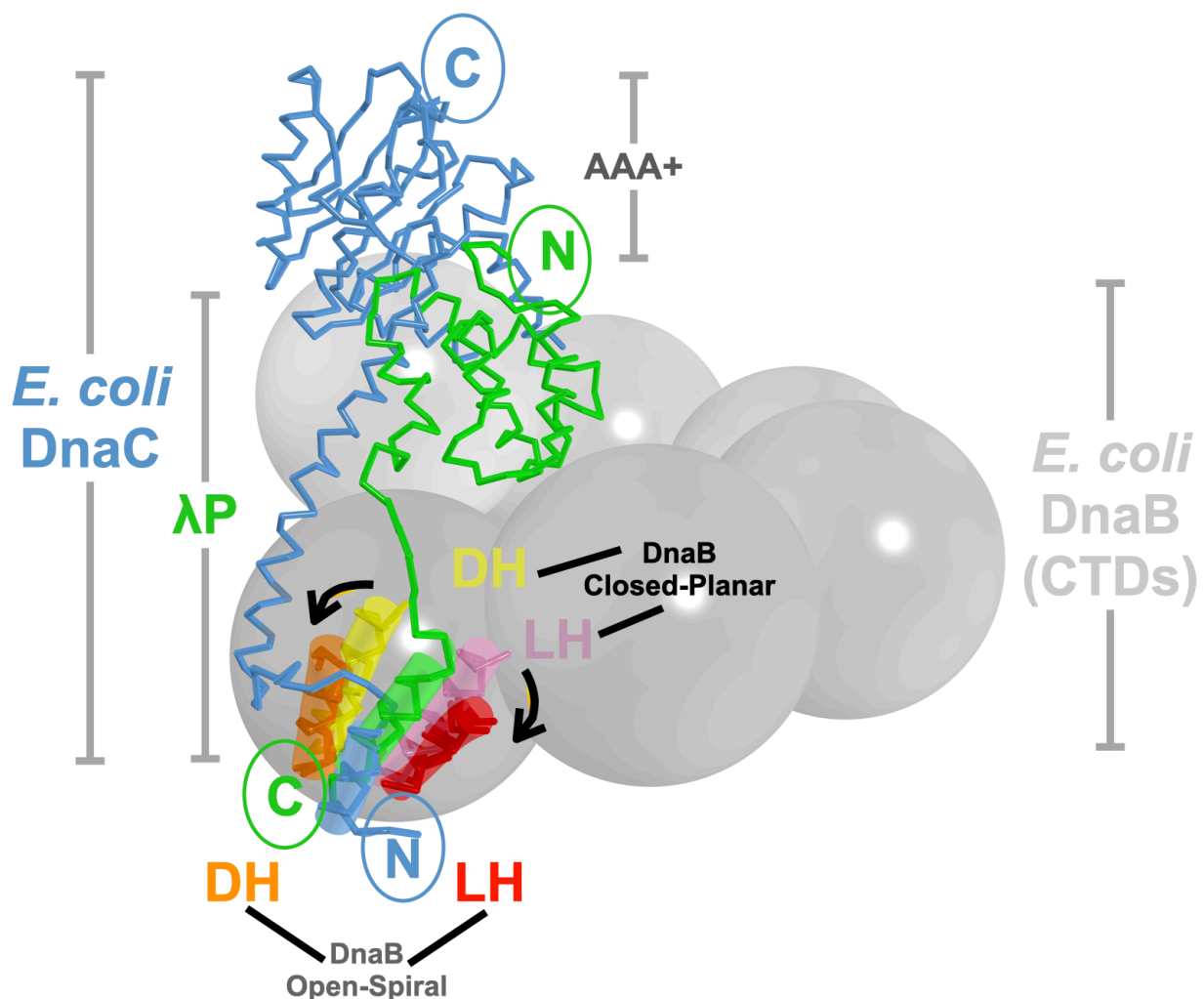


Figure 5. Convergent Evolution/Molecular Mimicry in the Mechanism of Opening of the *E. coli* DnaB Replicative Helicase by the *E. coli* DnaC and λ P Helicase Loaders. The DnaC and λ P helicase loaders are shown in a ribbon representation, colored light blue (DnaC) and green (λ P), with their respective amino and carboxy termini marked. For clarity, only one copy of each loader is shown. The DnaB hexamer from each loader complex is superimposed on the carboxy-terminal domain (CTD) of the protomer at the bottom of the spiral in this pose; the docking helix element was excluded from the alignment to produce an RMSD of 1.3 Å. For clarity, only the CTD tier of DnaB, represented as a set of spheres, colored in varying shades of gray, is shown. The linker (LH) and docking (DH) helices are depicted as a ribbon and transparent cylinder. The LH and DH from the closed-planar form of DnaB are colored yellow and pink, respectively; the corresponding elements from the DnaC and λ P loader-bound DnaB are in orange and red, respectively. The black arrow signifies direction of motion of the LH and DH elements on binding the DnaC and λ P loaders.

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839

Highlights

- The initiation of DNA replication is a tightly regulated process in all cellular domains of life and involves regulated recruitment and assembly of essential factors, including the replicative hexameric helicase complex, to replication origins.

- A crucial step during the replication initiation phase of DNA replication is loading of hexameric, ring-shaped replicative helicases onto DNA.

- In bacteria, the DnaB family of replicative helicases comprise six identical subunits which collectively create a central chamber to bind one of the ssDNA strands of dsDNA. The translocation of DnaB on ssDNA ahead of the DNA polymerase in the replisome separates the two strands to provide substrates for DNA synthesis.

- Recent structure determinations of two bacterial helicase loaders bound to the same DnaB helicase offers an opportunity to extract fundamental principles associated with DnaB opening and loading onto ssDNA.

- *E. coli* DnaC and bacteriophage λ P evolved independently to converge, through molecular mimicry, on a common helicase opening mechanism.

410 **Outstanding Questions**

411 • Is origin melting an emergent property that completely occurs completely through
412 formation of the initiator•DNA complex?

413 • Does the DnaB bacterial replicative helicase also contribute to opening of the
414 replication bubble as seen with the eukaryotic replicative helicase [117]?

415 • What mechanisms ensure the loading of two, and only two, helicases per initiation
416 event?

417 • What mediates helicase loading in opposite orientations?

418 • What mechanisms promote the eviction of the helicase loaders?

419 • What, if any, of the mechanisms implemented by the DciA and DopE loader are
420 in common with those used by DnaC or λ P?

421

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294 Glossary

295 **Replication origin** – DNA sequence on a chromosome where DNA synthesis will begin.
296 In bacteria, replication origins are up to hundreds of base-pairs in length and
297 contain segments that are bound in a duplex state by the DnaA initiator protein, as
298 well as segments that are melted (e.g., the DNA unwinding element (DUE) [124]
299 DnaA trios [125] by the initiator [13,20,28,29].

300 **DnaA** – The bacterial replication initiator protein (*E. coli*: 467 amino acids) is comprised
301 of four structural domains. Domain I harbors a K homology (KH) domain, domain
302 II is a linker element, domain III encompasses the AAA+ ATPase functionality, and
303 domain IV encodes a double-stranded helix-turn-helix DNA binding domain
304 [10,12,30–32].

305 **DnaB** – The replicative helicase found in Gram-negative bacterial (*E. coli*: 471 amino
306 acids) [4,12–14,50]. It is related to the DnaC helicase found in Gram-positive
307 organisms.

308 **DnaC** – The replicative helicase loader found in certain Gram-negative bacteria (*E. coli*:
309 245 amino acids) [4,10,16,51–55]. This analog of this protein in Gram-positive
310 species is DnaI.

311 **Phage λ O** – The replication initiator protein (299 amino acids) used by phage λ . λ O
312 specifically recognizes a series of dsDNA binding sites in the phage λ replication
313 origin [41–49].

314 **Phage λ P** – The helicase loader protein (233 amino acids) used by phage λ to assemble
315 the DnaB helicase at the phage λ replication origin [43,45–48,56–59].

316 **Replisome** – A large (1-2 MDa) multi-protein complex that mediates synthesis of both
317 strands (leading and lagging) of DNA. The replisome consists of 2-3 DNA
318 polymerases, the replicative helicase, the sliding clamp, and the sliding clamp
319 loader. Other proteins such as single-stranded DNA binding protein, gyrase,
320 RNase H, and DNA ligase interface with the replisome to support leading and
321 lagging strand synthesis [126–129].

322 **Domain-swapped oligomer** – An unusual architectural feature of some oligomeric
323 protein ensembles wherein members of the assembly exchange a structural
324 domain in a manner akin to a handshake between two persons. Swapping involves
325 replacement of intra-monomer interactions between two sub-domains with nearly
326 identical inter-monomer contacts. Such an oligomer becomes structurally
327 intertwined because of the domain swapping [130–135].

328 **Phosphate - Loop (P-loop) NTPases** – Together with the Rossmann fold family, P-loop
329 NTPases encompass two major families of nucleotide handling proteins; proteins
330 in this family couple the energy of nucleotide binding and hydrolysis to some
331 chemical or mechanical transformation [123,136–138]. In concert with the crucial
332 role played by ATP and other nucleotides in biology, P-loop NTPases family
333 represent between 10 - 20% of all proteins in genomes in all cellular domains of
334 life [137]. Members of this family share a conserved overall fold consisting of a
335 four- or five-stranded beta-sheet sandwiched between two layers of alpha-helices;

this domain also exhibits two conserved amino acid sequence motifs termed the Walker A and Walker B (so named after John Walker, who first observed them in the F1 ATPases [139,140]). The Walker A sequence motif, which is the P-loop itself, is a glycine-rich loop terminated by a threonine or serine (GxxGxGK[T/S], where x = any residue); the backbone of this element makes a close approach to the β and γ phosphates of ATP, while the lysine and the threonine/serine contact the β phosphate and an associated Mg^{2+} ion, respectively. The Walker B sequence motif is a run of hydrophobic residues terminated by an aspartate residue (hhhhD; h = hydrophobic residue); the Walker B aspartate residue contributes to positioning the Mg ion and its associated water molecules. Sequence and structural analyses of P-loop NTPase family proteins highlight two major sub-divisions: the kinase – GTPase (KG) and the ASCE (additional strand catalytic glutamate) families. The ASCE grouping is further sub-classified into the RecA/F₁-F_o ATPases, AAA+ ATPases, ABC ATPases, nucleic acid helicases, PilT/FtsK ATPases, apoptotic NTPases, and the NACHT ATPases [123,137].

RecA-like ATPases – A sub-class of the ASCE sub-division of the P-loop NTPases. Members of this family adopt oligomeric configurations, and include the RecA recombinase, the DnaB replicative helicase, the F₁ sub-structure of ATP synthase, and the Rho helicase, [114,123,136,137]. All the elements found in the ASCE sub-class are seen in the RecA-like ATPases, as well as some additional structural elements. Amongst these is an arginine finger that enables stimulation of ATP hydrolysis *in trans* of nucleotide bound primarily by a neighboring subunit of the oligomer.

AAA+ ATPases – A sub-class of the ASCE sub-division of the P-loop NTPases. AAA+ (ATPases Associated with various Activities) are a large family of oligomeric, often ring-shaped, motors and switches with crucial functions in DNA replication, transcription, chaperones, proteases, and beyond [89,100,141]. This family of ATPases folds into a two-domain structure, one of which corresponds to the ASCE core domain (the second is a small helical domain). Residues and motifs conserved in this sub-class surround the general volume occupied by nucleotide. ATP binding sites are formed at subunit interfaces. Most of the contacts to bound nucleotide arise from one subunit at the interface, but the binding site is only completed by participation of residues (e.g., arginine finger) from a neighboring subunit.

Convergent evolution – a form of molecular evolution in which unrelated molecules independently evolve similar shapes or properties that reflect intrinsic structural or chemical constraints. Convergent evolution in the active sites of proteins has been documented in several enzymes [108]. It is axiomatic that a common ancestor is not present with examples of convergent evolution; by contrast, a common ancestor is an essential feature of divergent evolution [142,143].

Molecular mimicry – Close structural resemblance between two molecular entities. Mimicry can arise from divergent or convergent evolution [144].

[Click here to view linked References](#)

379 **Text Box:**

380 **Loading Bacterial Hexameric Replicative Helicases onto DNA**

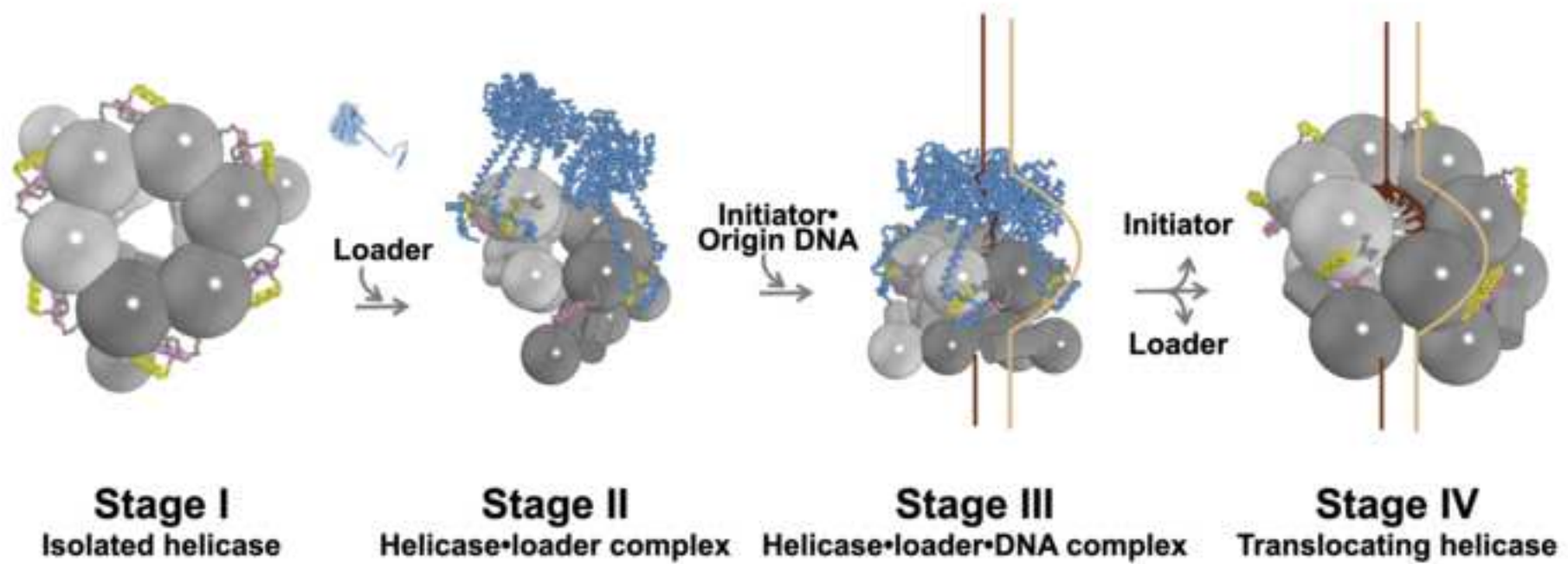
381 **Ring-breakers** – Loading factors that physically open hexameric helicase rings. *E. coli*
382 DnaC and λ P are two examples of replicative helicase loaders that bind to a pre-
383 formed closed DnaB ring and breach one its six subunit interfaces to enable
384 ssDNA to enter an internal chamber.

385 **Ring-makers** – Loading factors that assemble helicase monomers into hexameric rings.
386 *B. subtilis* DnaI is a bacterial helicase loader that is reported to operate in this
387 manner.

388 Mechanisms of bacterial helicase loaders in the DciA/DopE families remain to be
389 established. Distinct loading mechanisms with other hexameric helicases have
390 also been described, including self-regulated ring closure for the transcription
391 terminator Rho ATPase and chaperoned ring-closure for the MCM2-7 complex in
392 eukaryotic DNA replication (reviewed in [114]).

393

| | <i>E. coli</i> | phage λ | <i>V. cholerae</i> |
|------------|----------------|--------------------------------|--------------------|
| origin DNA | OriC | Oriλ | OriC-I/II |
| initiator | DnaA | O | DnaA/RctB |
| helicase | DnaB | DnaB | DnaB |
| loader | DnaC | P | DciA |



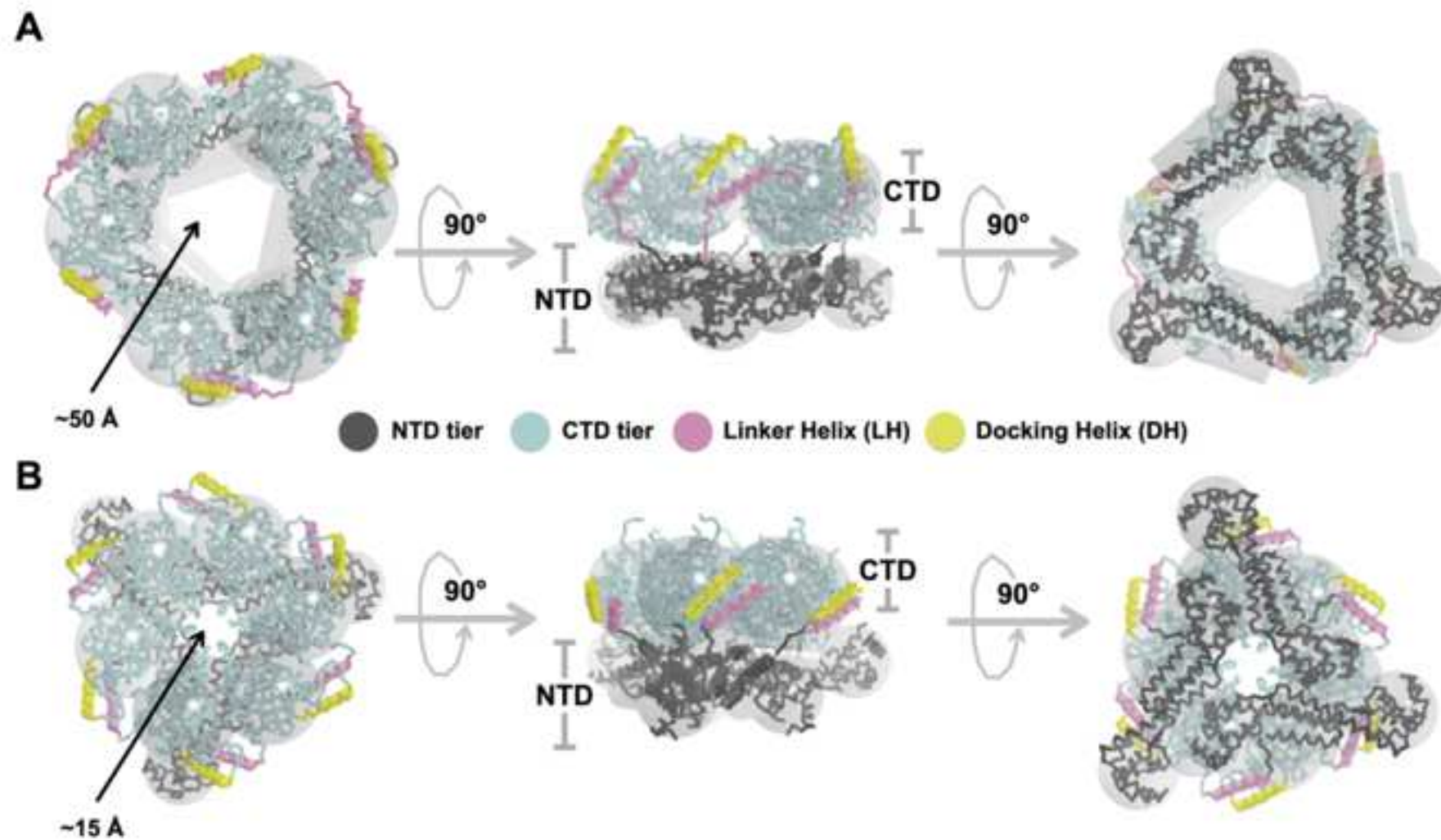
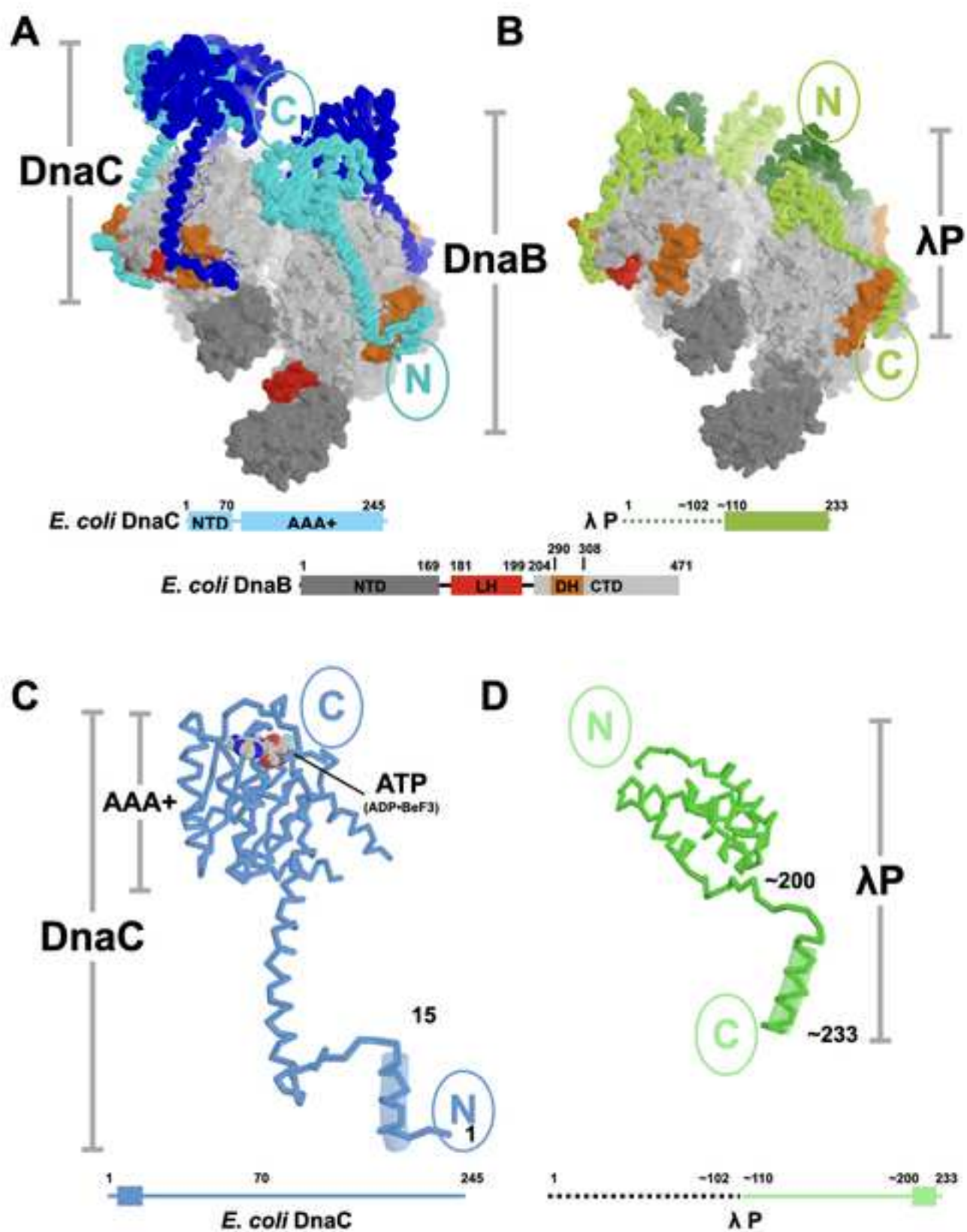
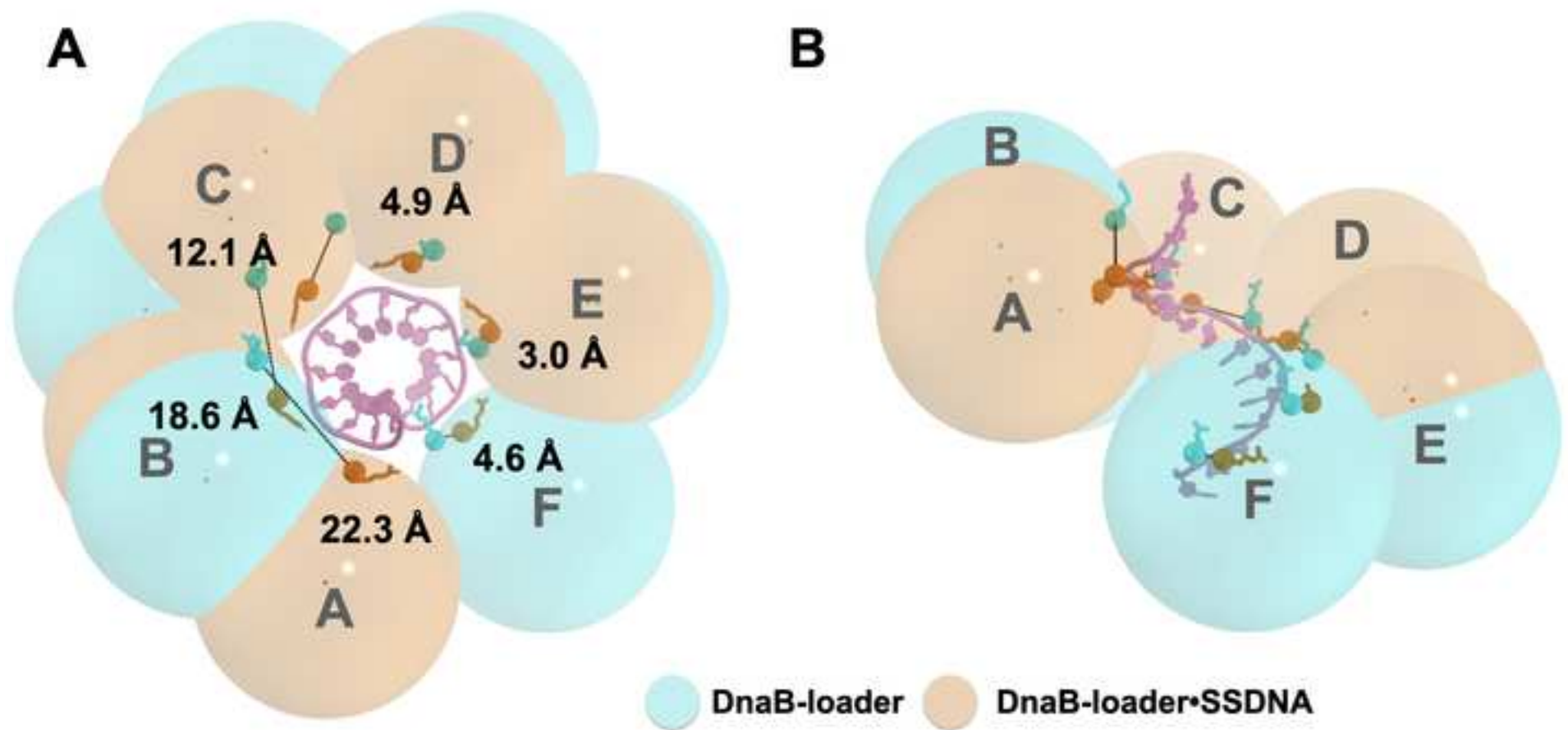
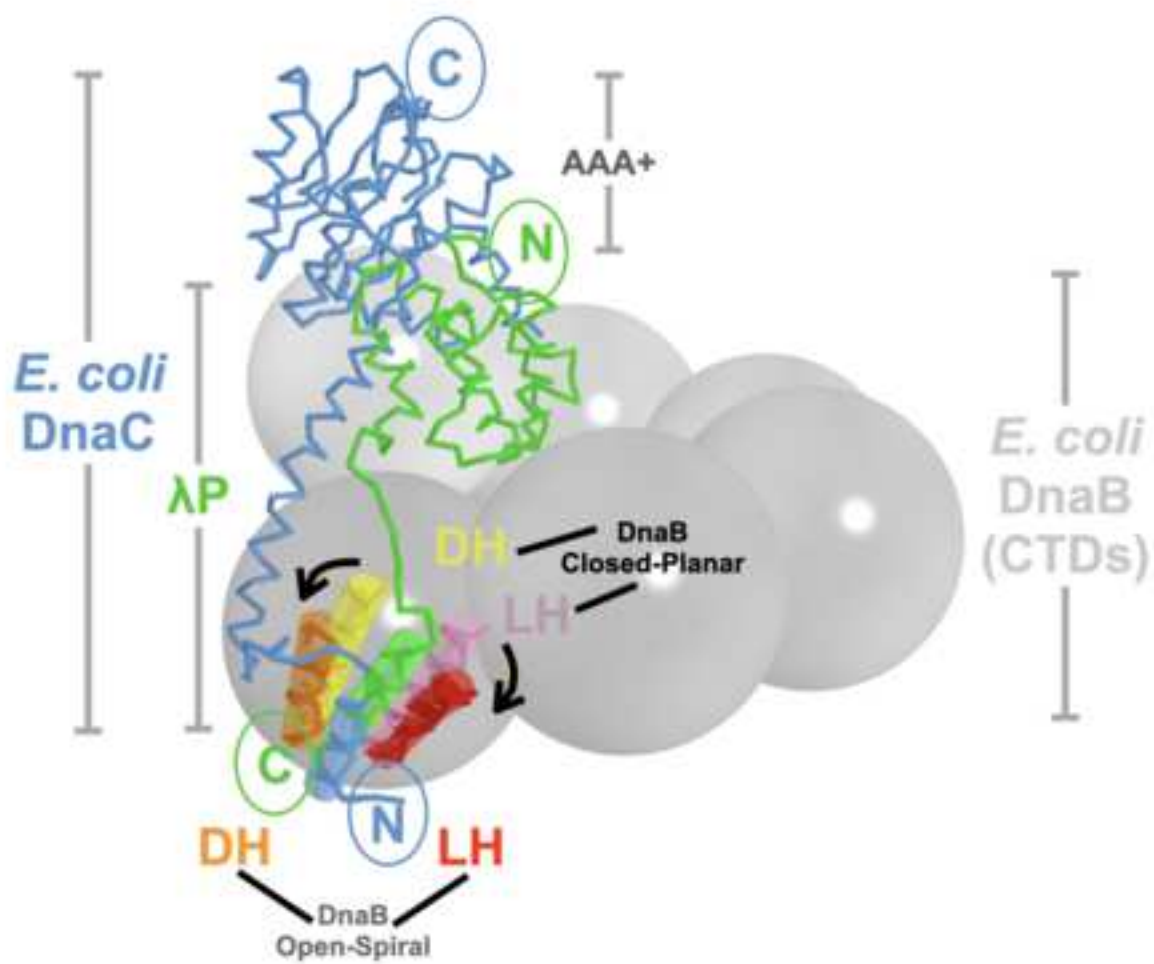


Figure 3

[Click here to access/download;Figure;21-112821-Figure3.png](#)







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1 Convergent Evolution in Two Bacterial Replicative Helicase Loaders

2
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Abstract (120 words)

Dedicated loader proteins play essential roles in bacterial DNA replication by opening ring-shaped DnaB-family helicases and chaperoning ssDNA into a central motor chamber as a prelude to DNA unwinding. Although unrelated in sequence, the *E. coli* DnaC and bacteriophage λ P loaders feature a similar overall architecture: a globular domain linked to an extended lasso/grappling hook element, located at their amino and carboxy termini, respectively. Both loaders remodel a closed DnaB ring into nearly identical right-handed open conformations. The sole element shared by the loaders is a single alpha helix, which binds to the same site on the helicase. Physical features of the loaders establish that DnaC and λ P evolved independently to converge, through molecular mimicry, on a common helicase opening mechanism.

Keywords

DnaB, DnaC, λ P, DciA, DNA Replication, helicase loading, convergent evolution, molecular mimicry.

Introduction (~3100 words)

Specialized Factors Load the DnaB Bacterial Replicative Helicase onto the Replication Origin

The regulated loading of ring-shaped hexameric helicases onto chromosomal origins is an essential feature of DNA replication in all cellular domains of life [1–3]. Helicase deposition requires specialized factors known as helicase loaders, which operate during the initiation of DNA replication [4–8]. In bacteria, several helicase loaders have been studied, including *Escherichia coli* (*E. coli*) DnaC [4], bacteriophage λ P, *Bacillus subtilis* (*B. subtilis*) DnaI [5], and DciA/DopE, a recently described class of proteins which appear in bacteria that lack orthologs of DnaC or DnaI [6,7,9]. Helicase loading is also critical to assembly of eukaryal and archaeal **replisomes** [1,2,8].

The assembly of the bacterial replicative helicase (**Figure 1**), which is known as DnaB in most bacteria or DnaC in *B. subtilis* (henceforth called DnaB), onto origin DNA occurs during the initiation phase of DNA replication [8,10–14]. Our view of replication initiation in bacteria is informed by studies with primary and secondary chromosomes of bacteria, plasmids, and phages [15–27], and have implied the involvement of four classes of factors (**Table 1**): 1) a DNA sequence called a replication origin, where DNA synthesis will begin [13,20,28,29], 2) a replication initiator protein (*E. coli*: DnaA [10,12,30–32], *V. cholerae*: DnaA, RctB [18,21,23,25,33–37], plasmids: RepE, Pi, TrfA [38–40], phage lambda (λ): O [41–49]), 3) a DnaB-family replicative helicase [4,12–14,50], and, finally, 4) a helicase loader (*E. coli*: DnaC [4,10,16,51–55], phage λ : P [43,45–48,56–59], *V. cholerae*: DciA [6,9]). The multi-step process for initiating DNA replication begins with the recognition and binding of multiple copies of the initiator protein to dsDNA sites at the

51 replication origin; once bound, initiator proteins associate into a complex protein-DNA
52 ensemble [4,10,12,19,31,60,61]. One of the outputs of the initiation phase of DNA
53 replication is the melting of an A-T rich segment of the replication origin termed the DNA
54 unwinding element (DUE) by the DnaA or the λ O initiator proteins [13,30,31], an event
55 that provides single DNA strands (ssDNA) as substrates for DnaB loading. DnaB
56 hexamers assemble into two-tiered rings formed by the amino (NTD) and carboxy-
57 terminal domains (CTD) of the helicase; a so-termed 'linker helix' element (LH) connects
58 these domains and packs against another alpha helix, termed the docking helix (DH), of
59 a neighboring DnaB subunit to give rise to a **domain-swapped oligomer** [62–64] (**Figure**
60 **2**). The two DnaB tiers circumscribe an internal chamber into which one of the ssDNA
61 strands from the replication origin will be loaded. One layer is formed out of six NTDs,
62 which assemble into two different 'trimer-of-dimers' configurations that display pseudo-
63 three-fold symmetry. These arrangements arise from alternative packing orientations for
64 NTD dimers, which create several types of subunit interfaces of likely varying stability
65 [62,65]. The CTD tier assembles out of six C-terminal domains (CTD) of DnaB, each of
66 which harbors a **RecA-like ATPase domain**. In contrast to the NTD, the CTD layer
67 exhibits a pseudo-six-fold arrangement, with a single type of interface.

68 Helicase loading onto ssDNA can be conceptually divided into four stages (**Figure**
69 **1**). During assembly, DnaB transitions between three conformations: closed planar, open
70 right-handed spiral, and closed right-handed spiral [62–68]. In addition, the NTD and
71 CTD layers of each of these conformers are found in one of two arrangements: dilated or
72 constricted (**Figures 2A-2B**); these conformers differ on inter-protomer contacts. The
73 isolated hexameric helicase, in both dilated and constricted closed-planar configurations,

populates Stage I. Formation of the helicase•helicase loader complex leads to Stage II, while engagement of the helicase•loader complex with origin-derived ssDNA•initiator (DnaA or λ O) populates Stage III. The ATPase and ssDNA translocation activities of the helicase are suppressed during these latter two stages [56,58,69–71], with the DnaA/replication origin complex also playing a role in loading or positioning the helicase/loader complex using direct contacts between DnaA and DnaB [69–71] (the involvement of contacts between other bacterial replication initiators and DnaB remains to be clarified). Recently, cryogenic electron microscopy (cryo-EM) analyses of two distinct bacterial helicase•loader complexes (*E. coli* DnaB•DnaC and *E. coli* DnaB• λ P, **Figure 1: Stages II-III, and Figure 3**) have shown that the helicase adopts an open right-handed spiral configuration, promoted, and stabilized by interactions with the helicase loaders [65,68]. The transition to Stage IV is accompanied by eviction of the loader and initiator from the complex on DNA, which relieves inhibition of DnaB's activities. Notably, in Stage III, the configuration of the DnaB-bound loader is nearly identical to the closed spiral form seen in the loader-free helicase of Stage IV [65,67].

Helicase loading in bacteria occurs by one of at least two reported mechanisms [72], termed: a) ring breaking, where DnaB hexamers are physically opened [73], and b) ring making, in which hexamers are assembled [5]. It is now clear that both *E. coli* DnaC and phage λ P are ring breakers: each loader binds to and delivers a pre-formed helicase hexamer to its cognate origin [4,43,45–47,56,58,74]. DnaC and λ P are similar in that both are essential for their respective organisms and both bind to ssDNA [56,58,75–77]. λ P also can displace DnaC from *E. coli* DnaB, implying that their respective binding sites overlap [58,74]. These congruencies might imply a common ancestry; however, DnaC

and λ P are unrelated in sequence and enzymatic function (e.g., DnaC is a known ATPase [10,53,75–77], whereas λ P is not [78]). Moreover, although each loading system requires ejection of the loader from the DNA complex for DnaB to transition to the translocation-competent form, eviction occurs by distinct mechanisms. For DnaC, nucleotide dynamics in its **AAA+ ATPase domain**, along with RNA synthesis by the DnaG primase, are significant features in eviction [10,52,53,76,77,79]. By contrast, removal of λ P requires the host DnaK/DnaJ/GrpE chaperone machinery [31–34]. Helicase loading is also a feature during restart of DNA replication after it has prematurely been halted in response to DNA damage and involves a distinct set of proteins (PriA, PriB, PriC, and DnaT); the reader is referred to the literature for a more complete treatment of helicase loading during replication restart [80–82].

Here, we compare recent structures of two bacterial helicase loaders: *E. coli* DnaC [65] and phage λ P [68] bound to the same *E. coli* DnaB helicase (**Figure 3**). This comparison provides an opportunity to understand the mechanisms of the two loaders and to extract central principles associated with DnaB opening and loading onto ssDNA. A recent crystal structure of the interaction domains of DnaB and DnaC [83] comports with the EM analyses of the complete complexes. A new study of DciA•DnaB interactions also points to some conserved elements of helicase opening in this system as well [9]. Further study will be required to establish the mechanistic relationships, if any, between the DciA and *B. subtilis* DnaI/DnaB/DnaD loaders [5,84,85] and the better understood *E. coli* DnaC [65] and λ P [68] systems.

Though unrelated by sequence and fold, *E. coli* DnaC and λ P exhibit analogous global architectures

Inspection of the *E. coli* DnaB•DnaC (BC) and the *E. coli* DnaB•phage λ P (BP) complexes shows that the two loaders engage the carboxy-terminal (CTD) ATPase surface of DnaB to form a three-layered ensemble [65,68,83]. One layer corresponds to an oligomeric form of the helicase loader (hexameric for DnaC and pentameric for λ P), while the second and third correspond to the NTD and CTD tiers of DnaB (**Figures 3A-B**). Although six copies of DnaC are present in the *E. coli* BC complex and five λ P protomers are found in the BP assembly, the stoichiometries seen in the cryo-EM structures do not necessarily preclude the possibility that complexes with fewer copies of the loader might be active in supporting helicase loading. Significantly, each loader adopts a distinct and unrelated structure, yet the monomers of both exhibit a similar overall architecture: a globular domain fused to an extended segment that forms a lasso/grappling hook element (**Figures 3C-D**).

The globular domain of DnaC consists of an AAA+ ATPase module that is fused to a ~75 residue N-terminal segment (**Figure 3C**)[65,83]. The amino-terminal segment of DnaC consists of a long α -helix that extends along the CTD of a DnaB protomer and initiates from a helix-loop-helix element that packs against the LH linker helix from one DnaB subunit and the DH docking helix from another. Notably, the N-terminal segment provides the only contacts between DnaC and the DnaB helicase. The six copies of the globular DnaC AAA+ domain assemble into an open spiral like that seen in related ATPases such as DnaA and archaeal/eukaryal MCM helicases [86–92]. In the absence of ssDNA, five of the six nucleotide-binding sites in DnaC are populated with an ATP

analog (ADP•BeF₃), whereas the sixth (which sits at the gap in the DnaC spiral) engages ADP, likely because its catalytic center lacks important functional contacts from a neighboring protomer. Rationalizing this arrangement of nucleotides is the prior finding that the ATP form of DnaC suppresses DnaB's helicase activity and as it stabilizes the ssDNA complex [52].

For the λ P loader, only the C-terminal ~125 residues were resolved in EM density maps [68]. This region consists of an α -helical globular domain fused to an extended segment of ~45 residues (**Figure 3D**). The λ P extension forms a sub-structure analogous to, but distinct from, that seen in DnaC [65,83], terminating in a single α -helix that packs against the LH and DH elements of two adjacent subunits of DnaB. Both the globular domain and the grappling hook/lasso segment of λ P contact two consecutive subunits of the DnaB hexamer. These interactions are repeated in the five copies of λ P in the BP complex to create an open helical arrangement of loaders; the breached interface of the DnaB hexamer precludes binding of a sixth copy of λ P. The low resolution (4.1 Å) of the BP EM maps in the region of the loader limits analysis of interfaces between λ P protomers; nevertheless, an extensive interface between the five λ P protomers does not appear to form.

***E. coli* DnaC and phage λ P reconfigure DnaB into an open right-handed spiral**

Despite their evolutionarily distinct structures and contacts with DnaB, both DnaC and λ P reconfigure the helicase into highly similar, open-spiral configurations (root mean square deviation (RMSD) of ~2.2 Å, calculated from 2611 C α positions that span the

DnaB hexamer) [65,68]. The DnaB NTDs also both adopt a constricted configuration, albeit with a spiral (as opposed to planar) shape that bears a split between one of the subunit interfaces. The similarity between DnaB in the two loader complexes is also evident from the average helical pitch and twist values of the open spirals in both the NTD (~2.8 Å and 60.0° for BC vs. ~2.6 Å and 59.9° for BP) and CTD layers (~19.3 Å and ~55.3° for BC vs. ~16 Å and ~56° for BP). Changes induced by each loader rupture one of the DnaB subunit interfaces at both the NTD and CTD layers to create openings (15-20 Å) of sufficient size to allow ssDNA access to the internal chamber.

Changes to the helical pitch and twist of the DnaB hexamer within each loader complex combine to alter the configuration of the ssDNA-binding site in the helicase. Superposition of DnaB from each loader complex reveals significant changes in the position of DNA binding residues in comparison to that when DnaB is bound to ssDNA (**Figure 4**) [65]. When bound to ssDNA and the loader, the CTD of each DnaB protomer projects three residues (*E. coli*: R403, E404, G406) on a DNA binding loop into the helicase pore to contact ssDNA. In the loader-only complexes, reconfiguration of the CTD layer shifts the positions of the alpha-carbons of these residues by ~10-30 Å (in BC) or ~5-20 Å (in BP).

The disposition and nucleotide occupancy of the six RecA-type ATPase sites in DnaB are also altered in the complexes with DnaC and λ P [65,68]. ATPase activity by DnaB relies on 'composite' nucleotide binding sites, wherein residues from two adjacent subunits contribute to a single catalytic center [63,64,67,93]. In both helicase-loader complexes, five of the six ATPase sites in DnaB are occupied by ADP, while the sixth, which sits at the breach in the CTD ring, is vacant; when bound to just ssDNA, this

constellation of sites are filled with a nucleoside triphosphate analog (ADP•BeF₃) instead. The alterations in CTD orientation appear to have remodeled the five ADP-filled sites of the loader-bound helicase into non-optimal catalytic configurations as well, although the resolution of the structures prevents a more precise evaluation of these changes [65,68].

Two distinct helicase loader complexes with a shared function

The BC and BP complexes reveal how the evolutionarily distinct structural elements of DnaC and λ P converged on a common helicase-opening strategy [65,68]. In both loader complexes, the lasso/grappling hook segments of DnaC and λ P provide key contacts to opening the DnaB helicase (**Figure 5**). Superposition of the two complexes on a DnaB monomer reveals that the only segment in common between the two loaders is a single α helix at the extreme amino-terminus of DnaC, or the carboxy-terminus of λ P. In both complexes, this helix disrupts interactions between the LH linker helix of one DnaB protomer and the DH docking helix on an adjacent subunit; each DnaB protomer undergoes this interaction save for the one at the breach in the spiral. Insertion of the loader α helix between the DnaB LH and DH elements reconfigures the CTD, and concomitantly the NTD, tiers, from the closed planar to the open spiral form to allow ssDNA to access the internal chamber of DnaB. Notably, in the BC structure, the N-terminal lasso/grappling hook element represents the sole point of contact between DnaC and DnaB; indeed, the isolated region harbors significant capacity to promote helicase loading [66].

It was surprising to find that the AAA+ ATPase domains of DnaC make no contact with DnaB, and thus, play no direct role in helicase opening (**Figure 3A**)[65]. By comparison, the AAA+ ATPases of the evolutionarily related clamp loaders – which open and chaperone the ring-shaped β and PCNA proteins onto DNA to aid polymerase processivity – engage their client clamps directly [94–98]. For DnaC, the ATPase elements appear to play a role in sensing the binding of ssDNA to the helicase and in enhancing the efficiency of the DnaB-opening reaction [66]. AAA+ ATPases are often pre-formed oligomers [99,100], unusually, in solution, DnaC is monomeric [54], however, six copies oligomerize on DnaB in a manner stabilized by ATP [54,65]. Without ssDNA, the nucleotide-binding sites of DnaC in the BC complex are filled with ATP and captured in a configuration that is poised, but sub-optimal for catalysis. After sensing ssDNA, the nucleotides sites on the DnaC oligomer are filled with ADP, as would be expected following hydrolysis. ATP hydrolysis after ssDNA loading does not appear to allow DnaC to dissociate from DnaB but may diminish stability of the DnaC oligomer. Biochemical studies suggest that DnaG recruitment and primer synthesis are needed to promote loss of DnaC from the complex [55,74,101].

In contrast to the modest interface between helicase and loader in the BC complex [65,68], λ P forms an extensive interface with DnaB that encompasses both the globular and lasso/grappling hook segments (**Figure 3B**). λ P is also a monomer in solution and five copies assemble onto DnaB in the BP loader complex [68], however, few contacts between loader subunits are seen. Inspection of the two loader complexes indicate that the positioning of their C-terminal α helices between DnaB's LH and DH elements may be sufficient for opening and that an extensive interface is dispensable for helicase

opening. This has been confirmed for DnaC [66], and we speculate that the extensive interface between the DnaB and the globular domain of λ P may form because of interacting with the opened helicase, rather than as its driver as previously proposed [68]. If so, then, what might be the functional role(s) for the extensive interface between λ P and DnaB? Biochemical studies provide a potential explanation for the structural dichotomy. It is known that λ P can displace DnaC from a preformed BC complex [58]; the extensive interface in the BP complex may aid displacement as part of a biological strategy to appropriate the host replication machinery away from the bacterial chromosome and toward the phage genome. Alternatively, the extensive interface in the BP complex may serve as a functional analogue to the extensive AAA+ interaction between DnaC globular domains in the BC complex. Regardless, in both the BC and BP complexes, overall stability is achieved by oligomerization, but by distinct means [65,68].

Although they feature some global architectural parallels, neither the globular domains of DnaC and λ P nor the extended lasso/grappling hook regions display any similarity in sequence [65,68]. Underscoring the dissimilarity is the opposing chain polarity of the grappling hook segments as they run across the surface of DnaB: DnaC runs N-to-C whereas λ P runs C-to-N (**Figures 3C-3D and Figure 5**). The finding that a single functionally significant α -helix in DnaC and λ P exhibits a divergent protein chain direction confirms their lack of evolutionary kinship and instead reflects a form of **molecular mimicry** that arose through **convergent evolution**. Molecular mimicry in bacterial DNA replication initiation joins other examples from protein synthesis [102–104], gene expression [105], apoptosis [106], host pathogen interactions [107–109], virally encoded proteins[110], and immunity and autoimmunity [111].

254

255 **A recently described protein known as DciA serves as the primary helicase loader**
256 **in bacteria that lack DnaC/DnaI**

257 Outside of DnaC and λ P, Ferat and co-workers have reported that most bacteria
258 lack homologs of DnaC (or the unrelated DnaI loader) and that helicase loading in these
259 organisms instead appears to depend on a distinct protein called DciA [6] (**Table 1**). A
260 structure of DciA from *Vibrio cholerae* (VcDciA) shows that the protein is composed of an
261 ~110 aa N-terminal globular domain followed by a ~40 aa disordered C-terminal segment.
262 Interestingly, the fold of the DciA globular domain is related to the N-terminal domain of
263 the replication initiator, DnaA, as well as the C-terminal domain of the γ/τ /DnaX clamp
264 loader subunit and the FliK flagellar hook-length control protein. VcDciA appears to
265 stimulate the loading of the VcDnaB helicase onto DNA through a DciA₃:DnaB₆
266 intermediate; the LH-DH nexus that is targeted by DnaC and λ P has been suggested to
267 serve as an important point of contact in this complex as well [9]. It has been proposed
268 that VcDnaB may adopt an open spiral in solution and may harbor residues that specify
269 loader preference. Given the widespread nature of the DciA system, additional chapters
270 of the helicase loader story clearly remain to be written.

271

272 **Concluding Remarks**

273 In all cellular organisms, the regulated association of the replicative helicase with
274 replication origins sets the stage for the initiation of DNA replication [1–8] (**Table 1** and
275 **Figure 1**). However, significant differences are now evident in mechanisms by which

origin unwinding and helicase loading take place in bacteria as compared to archaea and eukaryotes. In bacterial replication systems, the current model holds that the initiator protein not only marks an origin for initiation, but also melts that origin, enabling the replicative helicase-loader complex to load onto the resultant ssDNA [8,12,13,31,112]. By contrast, in archaea and eukaryotes, the helicase is loaded by an initiator complex around duplex DNA, which is then subsequently melted by the helicase itself [113–118]. These distinct mechanisms are remarkable given that replication initiation machinery in all three domains of life is predicated on a related AAA+ fold [8,13,86]. Why the two approaches arose during evolution is unclear but may reflect an adaption to the two different families of hexameric helicases – one based on a RecA ATPase fold, and another based on a AAA+ ATPase domain [119–123] – that have been employed to support replication in bacteria as compared to archaea and eukaryotes. Structural analyses of two bacterial loaders bound to the *E. coli* DnaB helicase have for the first time illuminated the rich detail and diversity of helicase-ring opening as well as DNA association (**Figures 2, 3, 4 and Figure 5**). However, despite the insights gained from these models, several fundamental questions about replication initiation and helicase loading remain to be addressed (Outstanding Questions).

Glossary

Replication origin – DNA sequence on a chromosome where DNA synthesis will begin. In bacteria, replication origins are up to hundreds of base-pairs in length and contain segments that are bound in a duplex state by the DnaA initiator protein, as well as segments that are melted (e.g., the DNA unwinding element (DUE) [124] DnaA trios [125] by the initiator [13,20,28,29].

DnaA – The bacterial replication initiator protein (*E. coli*: 467 amino acids) is comprised of four structural domains. Domain I harbors a K homology (KH) domain, domain II is a linker element, domain III encompasses the AAA+ ATPase functionality, and domain IV encodes a double-stranded helix-turn-helix DNA binding domain [10,12,30–32].

DnaB – The replicative helicase found in Gram-negative bacterial (*E. coli*: 471 amino acids) [4,12–14,50]. It is related to the DnaC helicase found in Gram-positive organisms.

DnaC – The replicative helicase loader found in certain Gram-negative bacteria (*E. coli*: 245 amino acids) [4,10,16,51–55]. This analog of this protein in Gram-positive species is DnaI.

Phage λ O – The replication initiator protein (299 amino acids) used by phage λ . λ O specifically recognizes a series of dsDNA binding sites in the phage λ replication origin [41–49].

Phage λ P – The helicase loader protein (233 amino acids) used by phage λ to assemble the DnaB helicase at the phage λ replication origin [43,45–48,56–59].

Replisome – A large (1-2 MDa) multi-protein complex that mediates synthesis of both strands (leading and lagging) of DNA. The replisome consists of 2-3 DNA polymerases, the replicative helicase, the sliding clamp, and the sliding clamp loader. Other proteins such as single-stranded DNA binding protein, gyrase, RNase H, and DNA ligase interface with the replisome to support leading and lagging strand synthesis [126–129].

Domain-swapped oligomer – An unusual architectural feature of some oligomeric protein ensembles wherein members of the assembly exchange a structural domain in a manner akin to a handshake between two persons. Swapping involves replacement of intra-monomer interactions between two sub-domains with nearly identical inter-monomer contacts. Such an oligomer becomes structurally intertwined because of the domain swapping [130–135].

Phosphate - Loop (P-loop) NTPases – Together with the Rossmann fold family, P-loop NTPases encompass two major families of nucleotide handling proteins; proteins in this family couple the energy of nucleotide binding and hydrolysis to some chemical or mechanical transformation [123,136–138]. In concert with the crucial role played by ATP and other nucleotides in biology, P-loop NTPases family represent between 10 - 20% of all proteins in genomes in all cellular domains of life [137]. Members of this family share a conserved overall fold consisting of a four- or five-stranded beta-sheet sandwiched between two layers of alpha-helices;

this domain also exhibits two conserved amino acid sequence motifs termed the Walker A and Walker B (so named after John Walker, who first observed them in the F₁ ATPases [139,140]). The Walker A sequence motif, which is the P-loop itself, is a glycine-rich loop terminated by a threonine or serine (GxxGxGK[T/S], where x = any residue); the backbone of this element makes a close approach to the β and γ phosphates of ATP, while the lysine and the threonine/serine contact the β phosphate and an associated Mg²⁺ ion, respectively. The Walker B sequence motif is a run of hydrophobic residues terminated by an aspartate residue (hhhhD; h = hydrophobic residue); the Walker B aspartate residue contributes to positioning the Mg ion and its associated water molecules. Sequence and structural analyses of P-loop NTPase family proteins highlight two major sub-divisions: the kinase – GTPase (KG) and the ASCE (additional strand catalytic glutamate) families. The ASCE grouping is further sub-classified into the RecA/F₁-F_o ATPases, AAA+ ATPases, ABC ATPases, nucleic acid helicases, PilT/FtsK ATPases, apoptotic NTPases, and the NACHT ATPases [123,137].

RecA-like ATPases – A sub-class of the ASCE sub-division of the P-loop NTPases. Members of this family adopt oligomeric configurations, and include the RecA recombinase, the DnaB replicative helicase, the F₁ sub-structure of ATP synthase, and the Rho helicase, [114,123,136,137]. All the elements found in the ASCE sub-class are seen in the RecA-like ATPases, as well as some additional structural elements. Amongst these is an arginine finger that enables stimulation of ATP hydrolysis *in trans* of nucleotide bound primarily by a neighboring subunit of the oligomer.

AAA+ ATPases – A sub-class of the ASCE sub-division of the P-loop NTPases. AAA+ (ATPases Associated with various Activities) are a large family of oligomeric, often ring-shaped, motors and switches with crucial functions in DNA replication, transcription, chaperones, proteases, and beyond [89,100,141]. This family of ATPases folds into a two-domain structure, one of which corresponds to the ASCE core domain (the second is a small helical domain). Residues and motifs conserved in this sub-class surround the general volume occupied by nucleotide. ATP binding sites are formed at subunit interfaces. Most of the contacts to bound nucleotide arise from one subunit at the interface, but the binding site is only completed by participation of residues (e.g., arginine finger) from a neighboring subunit.

Convergent evolution – a form of molecular evolution in which unrelated molecules independently evolve similar shapes or properties that reflect intrinsic structural or chemical constraints. Convergent evolution in the active sites of proteins has been documented in several enzymes [108]. It is axiomatic that a common ancestor is not present with examples of convergent evolution; by contrast, a common ancestor is an essential feature of divergent evolution [142,143].

Molecular mimicry – Close structural resemblance between two molecular entities. Mimicry can arise from divergent or convergent evolution [144].

379 **Text Box:**

380 **Loading Bacterial Hexameric Replicative Helicases onto DNA**

381 **Ring-breakers** – Loading factors that physically open hexameric helicase rings. *E. coli*
382 DnaC and λ P are two examples of replicative helicase loaders that bind to a pre-
383 formed closed DnaB ring and breach one its six subunit interfaces to enable
384 ssDNA to enter an internal chamber.

385 **Ring-makers** – Loading factors that assemble helicase monomers into hexameric rings.
386 *B. subtilis* DnaI is a bacterial helicase loader that is reported to operate in this
387 manner.

388 Mechanisms of bacterial helicase loaders in the DciA/DopE families remain to be
389 established. Distinct loading mechanisms with other hexameric helicases have
390 also been described, including self-regulated ring closure for the transcription
391 terminator Rho ATPase and chaperoned ring-closure for the MCM2-7 complex in
392 eukaryotic DNA replication (reviewed in [114]).

393

Highlights

- The initiation of DNA replication is a tightly regulated process in all cellular domains of life and involves regulated recruitment and assembly of essential factors, including the replicative hexameric helicase complex, to replication origins.

- A crucial step during the replication initiation phase of DNA replication is loading of hexameric, ring-shaped replicative helicases onto DNA.

- In bacteria, the DnaB family of replicative helicases comprise six identical subunits which collectively create a central chamber to bind one of the ssDNA strands of dsDNA. The translocation of DnaB on ssDNA ahead of the DNA polymerase in the replisome separates the two strands to provide substrates for DNA synthesis.

- Recent structure determinations of two bacterial helicase loaders bound to the same DnaB helicase offers an opportunity to extract fundamental principles associated with DnaB opening and loading onto ssDNA.

- *E. coli* DnaC and bacteriophage λ P evolved independently to converge, through molecular mimicry, on a common helicase opening mechanism.

410 **Outstanding Questions**

411 • Is origin melting an emergent property that completely occurs completely through
412 formation of the initiator•DNA complex?

413 • Does the DnaB bacterial replicative helicase also contribute to opening of the
414 replication bubble as seen with the eukaryotic replicative helicase [117]?

415 • What mechanisms ensure the loading of two, and only two, helicases per initiation
416 event?

417 • What mediates helicase loading in opposite orientations?

418 • What mechanisms promote the eviction of the helicase loaders?

419 • What, if any, of the mechanisms implemented by the DciA and DopE loader are
420 in common with those used by DnaC or λ P?

421

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425 Department of Education (JC: PA200A150068).

426

| | <i>E. coli</i> | phage λ | <i>V. cholerae</i> |
|------------|----------------|-----------------|--------------------|
| origin DNA | OriC | Ori λ | OriC-I/II |
| initiator | DnaA | O | DnaA/RctB |
| helicase | DnaB | DnaB | DnaB |
| loader | DnaC | P | DciA |

Table 1. Molecules involved in various bacterial DNA replication initiation systems.

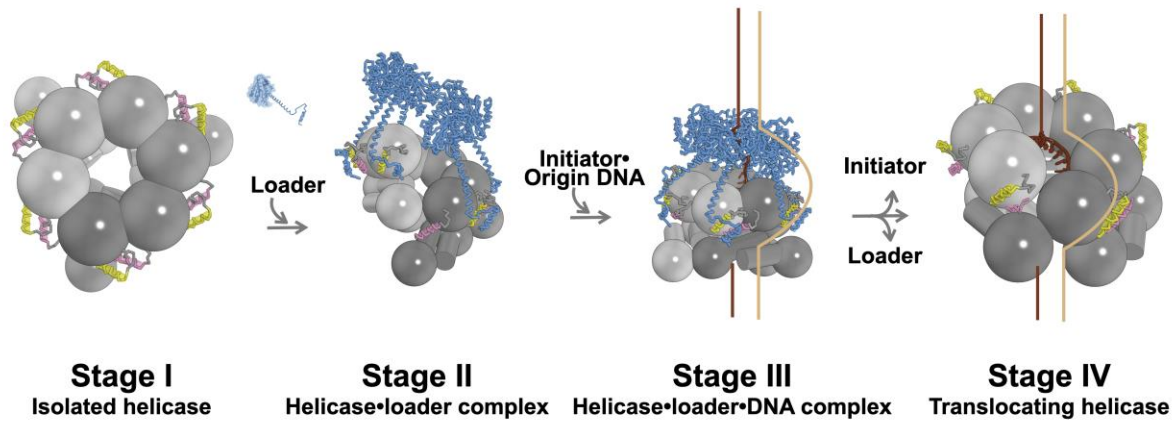


Figure 1. Loading of the Bacterial DnaB Replicative Helicase at a Replication Origin.

The DnaB loading pathway passes through at least four stages (I, II, III, and IV). DnaB sub-domains are depicted according to their overall shape (amino-terminal domain (NTD): a mushroom-like shape; carboxy-terminal domain (CTD): sphere; both in varying shades of gray). The Linker-Helix (LH, pink) and Docking-Helix (DH, yellow) elements are depicted in a ribbon and transparent cylinder representation. The DnaC helicase loader is shown as a blue ribbon. The DNA strands, one of which is included in the central DnaB chamber, and the second excluded, are colored in chocolate brown and light brown, respectively.

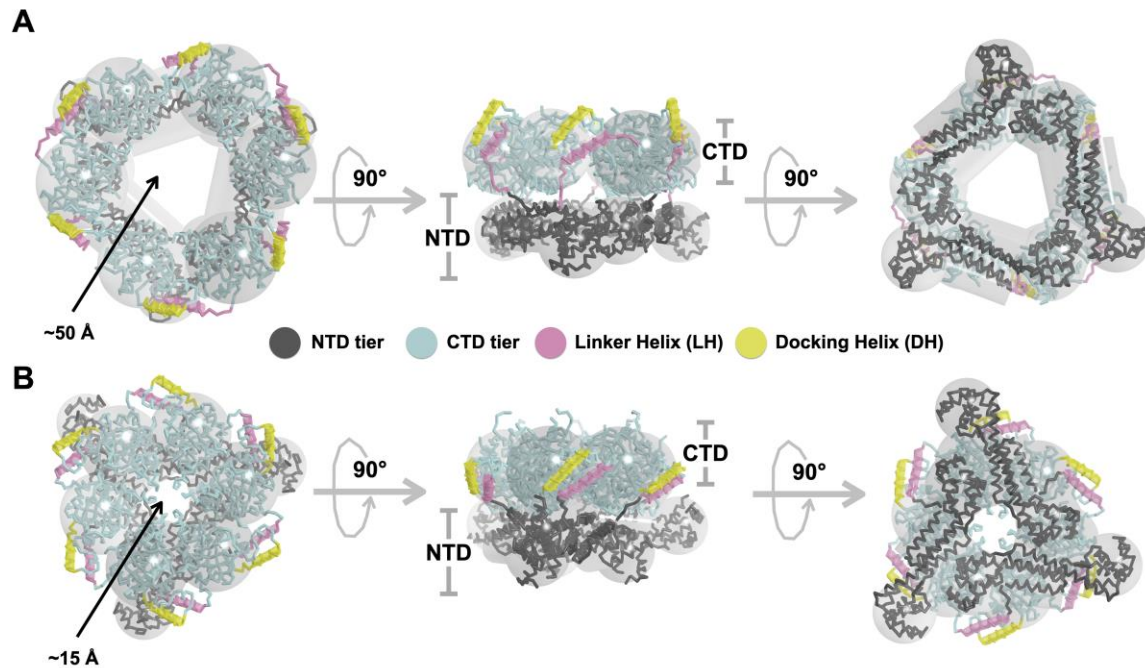


Figure 2. Overview of the DnaB replicative helicase. DnaB adopts at least two distinct configurations, termed dilated (A) and constricted (B) [62–64]. DnaB sub-domains are depicted according to their overall shape (amino-terminal domain (NTD): a mushroom-like shape; carboxy-terminal domain (CTD): sphere; both in varying shades of gray). Superimposed on these shapes of DnaB are ribbon representations, colored in gray and light cyan of the NTD and CTD tiers, respectively, in various poses of the dilated (A, PDB = 2R6A) and constricted (B, PDB = 4NMN) forms of DnaB. The linker and docking helices are depicted as cylinders, and colored pink and yellow, respectively.

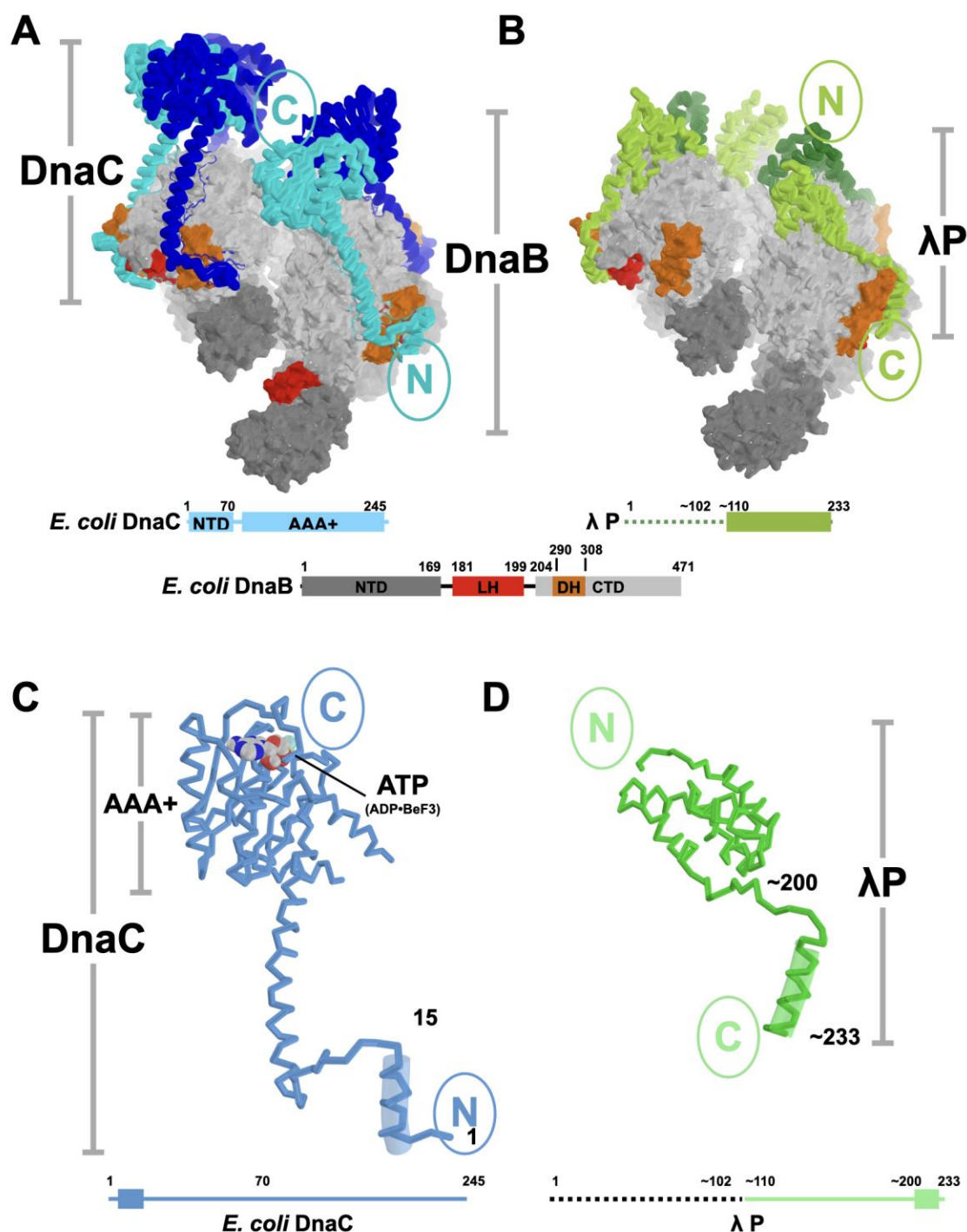


Figure 3. *E. coli* DnaB Complexed with the DnaC (A) and λ P (B) Helicase Loaders. Protomers of the DnaC and λ P helicase loaders are colored in alternating shades of blue (DnaC) and green (λ P). The *E. coli* DnaB helicase in each loader complex is represented in a surface rendering, with the amino-terminal domain (NTD) and the carboxy-terminal domain (CTD) layers colored in darker and lighter shades of gray, respectively. Linker-

464 helices (LH) and docking-helices (DH) are colored in red and orange, respectively. The
465 DnaB hexamers from the loader complexes are superimposed on the CTD of the
466 protomer at the bottom of the spiral in this pose. The primary sequence of each loader
467 and DnaB is represented as a linear schematic, with salient features annotated and
468 colored to match the molecular representations. Only the carboxy terminal domain of λ
469 P was visible in the EM maps of the BP complex (the missing segment is depicted as a
470 dashed line). The terminal helix of each loader (DnaC: N-terminal; λ P: C-terminal) are
471 depicted as ribbons and transparent cylinders. The amino (N) and carboxy (C) termini of
472 each loader is indicated in each panel.

473

474

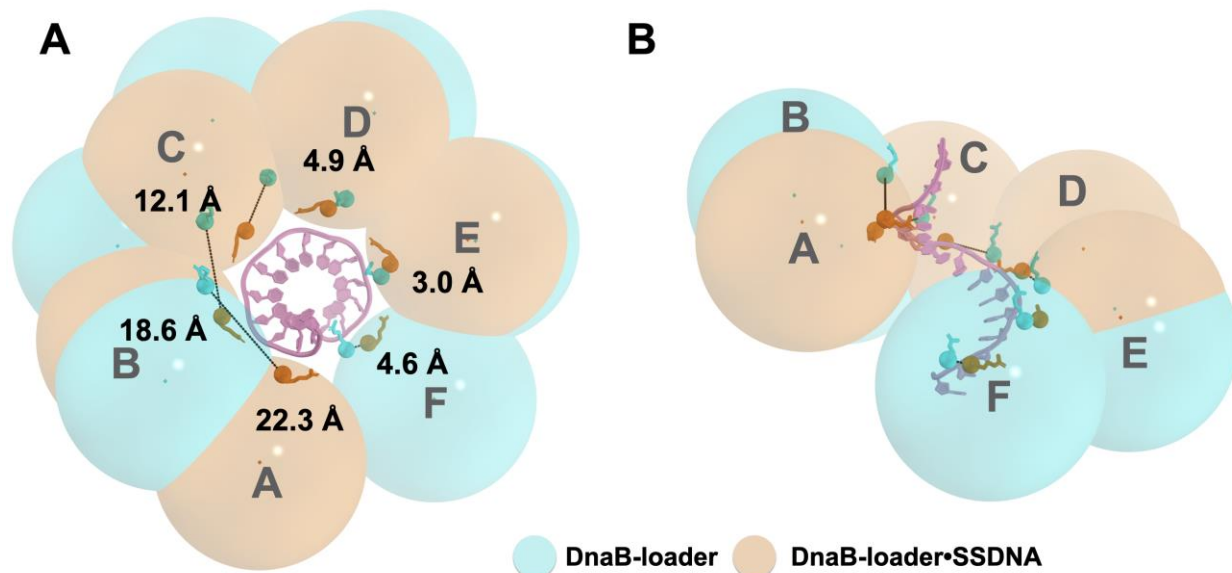


Figure 4. The ssDNA Binding Site is Altered in the Loader Bound Form of DnaB. The BC complex without ssDNA (PDB = 6QEL) was superimposed (RMSD = 0.85 Å) onto the C-terminal domain of chain F of the ssDNA bound complex (PDB = 6QEM). The large spheres represent the CTDs of the BC complex with (light brown) and without (cyan) ssDNA. The alpha carbons of arginine 403, which makes a key contact to ssDNA in the BC complex, are depicted as smaller spheres for the ssDNA complex (brown) and apo complex (dark cyan). Distances between the alpha carbons of arginine 403 from equivalent DnaB subunits are shown. The six chains from the ssDNA-bound complex are identified by letters (A, B, C, etc.). Poses in panels A and B are related by a 90° rotation about the horizontal axis.

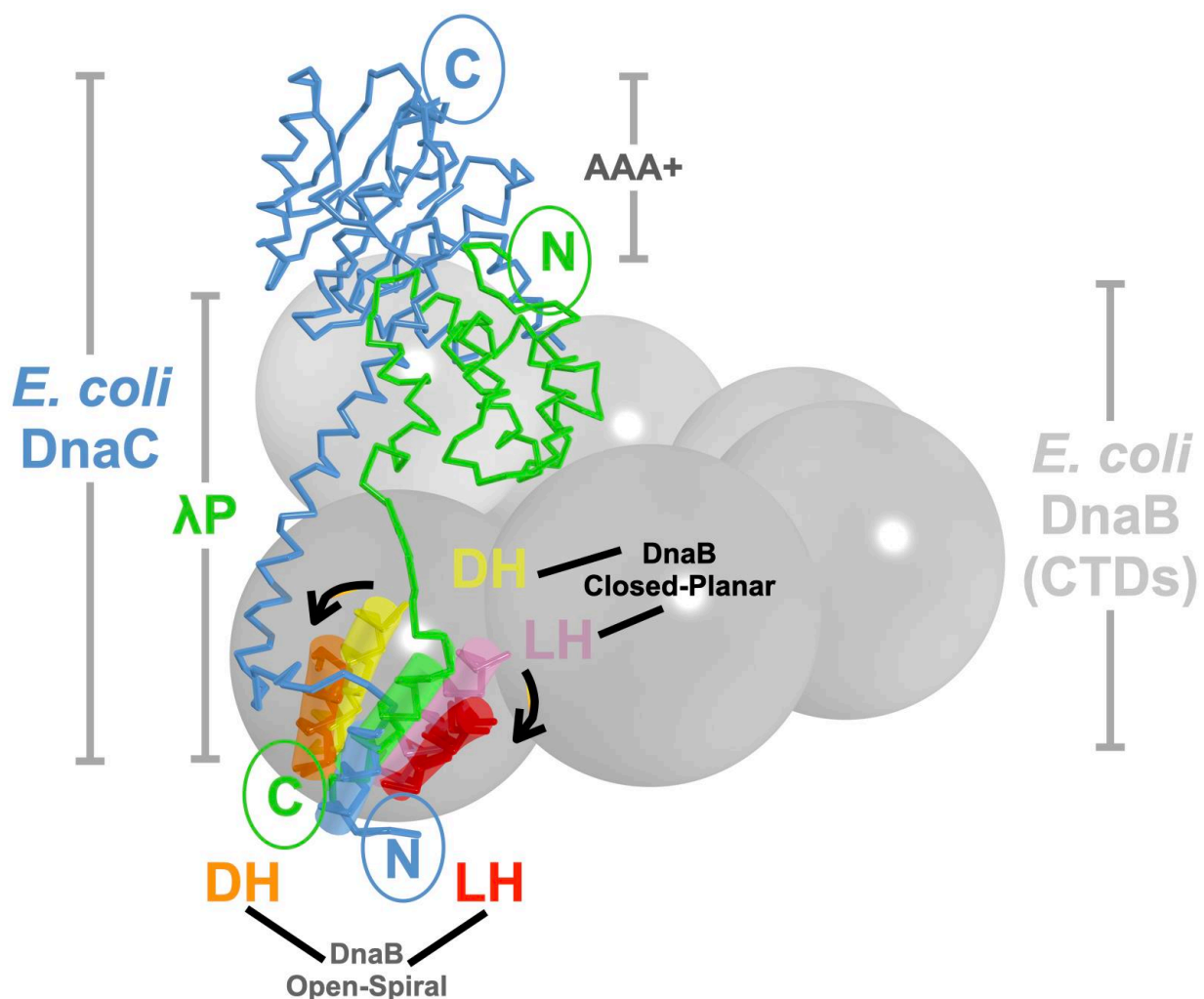


Figure 5. Convergent Evolution/Molecular Mimicry in the Mechanism of Opening of the *E. coli* DnaB Replicative Helicase by the *E. coli* DnaC and λ P Helicase Loaders. The DnaC and λ P helicase loaders are shown in a ribbon representation, colored light blue (DnaC) and green (λ P), with their respective amino and carboxy termini marked. For clarity, only one copy of each loader is shown. The DnaB hexamer from each loader complex is superimposed on the carboxy-terminal domain (CTD) of the protomer at the bottom of the spiral in this pose; the docking helix element was excluded from the alignment to produce an RMSD of 1.3 Å. For clarity, only the CTD tier of DnaB, represented as a set of spheres, colored in varying shades of gray, is shown. The linker (LH) and docking (DH) helices are depicted as a ribbon and transparent cylinder. The LH and DH from the closed-planar form of DnaB are colored yellow and pink, respectively; the corresponding elements from the DnaC and λ P loader-bound DnaB are in orange and red, respectively. The black arrow signifies direction of motion of the LH and DH elements on binding the DnaC and λ P loaders.

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