The 2021 Pacific Northwest heat wave and associated blocking: meteorology and the role of an upstream cyclone as a diabatic source of wave activity

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Key Points:

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7	• A strong atmospheric blocking preceded the Pacific Northwest heat wave in late
8	June 2021, setting up a heat-trapping stable stratification
9 10	• An upstream cyclogenesis provided a critical diabatic source of wave activity flux, which converged downstream to create the block
11 12	• When the upstream diabatic forcing is artificially reduced, the reconstructed block- ing weakens dramatically and shifts downstream

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13 Abstract

We investigate the meteorological and dynamical conditions that led to the extreme heat 14 in the Pacific Northwest from late June to early July 2021. The extreme heat was pre-15 ceded by an upper-level atmospheric blocking that snatched a warm pool of air from lower 16 latitudes. A heat-trapping stable stratification ensued within the blocking anticyclone, 17 raising the surface temperatures significantly. An upper-tropospheric wave breaking and 18 the concomitant surface cyclogenesis off the coast of Alaska initiated the block forma-19 tion. The regional local wave activity budget reveals that a localized diabatic source as-20 sociated with this storm critically contributed to an enhanced the zonal wave activity 21 flux downstream, whose convergence over Canada drove the blocking. A simple recon-22 struction based on the observed wave activity budget predicts a 41 percent reduction in 23 strength and a 10-degree eastward displacement of the block when the upstream diabatic 24 source is reduced by just 30 percent. 25

²⁶ Plain Language Summary

From late June to early July 2021, an unprecedented heat wave enveloped the Pa-27 cific Northwest, causing over 1000 deaths. We investigate the meteorological condition 28 and physical processes responsible for this event. Persistent meandering of the upper-29 level jet stream (blocking anticyclone) established a warm, stagnant column of air over 30 the Pacific Northwest, which suppressed convection and trapped heat near the surface. 31 Somewhat counterintuitively, the blocking anticyclone itself grew out of a cyclone that 32 developed upstream (Gulf of Alaska) a few days prior: the heat released during the for-33 mation of clouds in this storm played an essential role in strengthening the blocking an-34 ticyclone downstream and the subsequent heat wave. To the extent that the condensa-35 tion of moisture enhances blocking anticyclones in summer, we can expect them and as-36 sociated heat waves to intensify as climate warms and the atmosphere contains more wa-37 ter vapor. 38

39 1 Introduction

The heat wave that enveloped the Pacific Northwest from late June through early July 2021 delivered unprecedented temperatures to the normally cool region — 108°F (42°C) in Seattle, 116°F (47°C) in Portland — and claimed over 1000 lives mostly in British Columbia (AON, 2021). One preliminary study puts it in a 1-in-1000 years event category (Philip et al., 2021). As with most heat waves in the midlatitudes (Pfahl & Wernli, 2012; Fang & Lu, 2020), the event was associated with an anomalous behavior of the jet stream (atmospheric blocking).

In this study, we address (i) the dynamics that led to the formation of an unusu-47 ally strong blocking anticyclone and (ii) how the blocking anticyclone drove extreme sur-48 face temperatures during this particular event. Both topics have been studied extensively 49 in a broader context. For example, backward trajectory studies (e.g. Zschenderlein et 50 al., 2019) identified subsidence in the free troposphere, as well as the prolonged down-51 ward solar radiation, as a key ingredient for extreme surface heat inside a persistent block-52 ing anticyclone. Soil moisture feedback was also a significant contributor to past major 53 heat events in Europe (Whan et al., 2015; Black et al., 2004). We will show that dur-54 ing the Pacific Northwest event of 2021 the formation of an upper-level blocking preceded 55 the extreme surface temperatures by 2-3 days, demonstrating a top-down thermodynamic 56 control of blocking on the surface temperatures. The warm air mass inside the block was 57 created upstream and its arrival capped convection over land and raised surface temper-58 atures to an unusual level. 59

The mechanism of block formation is a major topic in its own right. Synoptic eddies migrating along the jet stream have long been thought of as feeding and maintenance

mechanisms of blocks (Shutts, 1983; Mullen, 1987; H. Nakamura & Wallace, 1993; Luo, 62 2005; Yamazaki & Itoh, 2013; N. Nakamura & Huang, 2018). More recently, a greater 63 attention has been paid to the effects of upstream latent heating that strengthen block-64 ing anticyclones downstream (Madonna et al., 2014; Methven, 2015; Pfahl et al., 2015; 65 Steinfeld & Pfahl, 2019; Steinfeld et al., 2020). We will analyze the regional budget of 66 local wave activity (LWA) (Huang & Nakamura, 2016, 2017, hereafter HN16 and HN17) 67 to elucidate the dynamics behind the block formation that preceded the extreme heat. In particular, we will highlight the diabatic source of wave activity associated with an 69 upstream cyclogenesis that contributed significantly to this unusually strong block. Our 70 work complements previous trajectory-based studies (Pfahl et al., 2015; Steinfeld & Pfahl, 71 2019) to gain insight on the role of diabatic heating in blocking episodes. While the event 72 lasted into July, we focus on the period leading up to the peak surface temperatures at 73 the end of June. The demise and persistence of the event will be a topic of future study. 74 The next section briefly describes the data and the wave activity diagnostic formalism. 75 Section 3 summarizes the meteorological evolution during the event, followed by the wave 76 activity diagnostic in Section 4. We conclude with a summary in Section 5. 77

⁷⁸ 2 Data and the wave activity diagnostic formalism

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All data used in this study are derived from 6-hourly ERA5 reanalysis provided on 37 pressure levels with $1^{\circ} \times 1^{\circ}$ horizontal resolution (Hersbach et al., 2020). (Only for Fig. 2b we use hourly data.) The diagnostic framework follows the prescription of HN16 and HN17 (see also N. Nakamura & Huang, 2018; Valva & Nakamura, 2021, and Supporting Information of the present article). To quantify the jet stream's meander and identify blocks we use LWA, which measures the meridional displacement of quasigeostrophic potential vorticity (QGPV), q, from a zonally symmetric reference state

$$A(\lambda,\phi,z,t) = -\frac{a}{\cos\phi} \int_0^{\Delta\phi} q_e(\lambda,\phi+\phi',z,t)\cos(\phi+\phi')d\phi',$$
(1)

where (λ, ϕ, z, t) specifies longitude, latitude, pressure pseudoheight and time, a is planetary radius and q_e is the QGPV field relative to its reference state value at ϕ

$$q_e(\lambda, \phi + \phi', z, t) = q(\lambda, \phi + \phi', z, t) - q_{\text{REF}}(\phi, z, t).$$
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The reference state q_{REF} is obtained by zonalizing the wavy QGPV field through an area preserving map (N. Nakamura & Solomon, 2010). In Eq. (1), $\phi + \Delta \phi(\lambda, \phi, z, t)$ specifies the meridional location of the wavy QGPV contour whose value equals $q_{\text{REF}}(\phi, z, t)$.

The main draw for using LWA to quantify the waviness of the jet stream is that it possesses a relatively simple budget evaluable from data. In particular, the column budget of LWA is governed by (HN16, HN17)

$$\frac{\partial}{\partial t}\langle A\rangle\cos\phi = \underbrace{-\frac{1}{a\cos\phi}\frac{\partial\langle F_{\lambda}\rangle}{\partial\lambda}}_{(\mathrm{II})}\underbrace{-\frac{1}{a\cos\phi}\frac{\partial}{\partial\phi'}\langle F_{\phi'}\cos(\phi+\phi')\rangle}_{(\mathrm{III})}\underbrace{+\frac{f\cos\phi}{H}\left(\frac{v_{e}\theta_{e}}{\partial\tilde{\theta}/\partialz}\right)_{z=0}}_{(\mathrm{III})}\underbrace{+\langle\dot{A}\rangle\cos\phi}_{(\mathrm{IV})}$$
(3)

where *H* is a constant scale height, *f* is the Coriolis parameter, and $\langle \cdots \rangle$ denotes densityweighted vertical average. Terms (I) and (II) on the RHS represent the zonal and meridional convergence of the column averaged wave activity flux. (See Supporting Information for the expressions for $\langle F_{\lambda} \rangle$ and $\langle F_{\phi'} \rangle$.) Term (III) is the vertical wave activity flux at the base of the atmosphere, where the meridional velocity and potential temperature are partitioned as $v_e = v$ and

$$\theta_e(\lambda, \phi + \phi', z, t) = \theta(\lambda, \phi + \phi', z, t) - \theta_{\text{REF}}(\phi, z, t).$$
(4)

Here θ_{REF} is inverted hemispherically from q_{REF} (Supporting Information). Term (IV) represents sources and sinks of wave activity associated with nonadvective processes and

is evaluated as the residual of the budget. The primary contributors to Term (IV) are 106 (i) cross-isentropic mass transport associated with latent heating, which leads to a net 107 creation of QGPV anomalies and hence positive values (Madonna et al., 2014; Bueler 108 & Pfahl, 2017) and (ii) mixing and friction which leads to negative values (N. Nakamura 109 & Zhu, 2010). Since Term (IV) is evaluated as the residual of the budget, it also absorbs 110 analysis errors in the other terms. No attempt will be made to quantify uncertainties 111 arising from these errors but the diagnosed structure of Term (IV) strongly suggests that 112 it captures the effects of diabatic heating and mixing (see Fig. 3d below.) 113

¹¹⁴ 3 Meteorology

Figure 1 summarizes atmospheric conditions over the North Pacific/North Amer-115 ican sector for 22-30 June 2021. Each row is a synopsis at 00 UTC (4 pm in the Pacific 116 Northwest). On 22 June, the 250 hPa geopotential height and wind speed show an en-117 hanced jet stream in the western Pacific around 40°N (column a). The jet is much weaker 118 in the eastern Pacific, creating strong diffuence. The zonal variation of the jet speed is 119 due partly to the zonally varying summertime sea surface temperatures (SSTs), which 120 enforce relatively weak meridional temperature gradients in the eastern Pacific, both in 121 the upper troposphere (450 hPa, columns b) and near surface (column c), leading to a 122 generally weaker jet stream aloft. A diffluent jet sets up a favorable condition for block 123 formation in the eastern Pacific (e.g. N. Nakamura & Huang, 2018). 124

On 24 June the jet stream buckles, initiating anticyclonic wave breaking. A tongue 125 of warm air intrudes northward at 450 hPa. As we will see later (Figs. 3e and 3f), this 126 feature coincides with surface cyclogenesis off the coast of Alaska and we argue that it 127 is part of a 'warm conveyor belt' (WCB) (Madonna et al., 2014), although the presence 128 of moist ascent is only implicit here. By 26 June the jet stream develops a large mean-129 der and forms a quasi-stationary anticyclone over the Pacific Northwest with a signa-130 ture of an Omega block (Woollings et al., 2018, Fig. 1). The tongue of warm air at 450 131 hPa rolls up to become a part of the blocking anticyclone. Similar evolution is also ob-132 served during winter blocks over Europe (e.g. H. Nakamura, 1994, Fig. 1). The upper 133 tropospheric ridge and the associated warm core remain stationary until 30 June and 134 gradually move downstream afterward (not shown). The block matures between 26-27 135 June, when the peak 250 hPa geopotential height reaches well over 11000 m, which we 136 found in the top 0.01 percentile of all June-August values at 49°N based on 1979-2021 137 ERA5 reanalysis. 138

Until 26 June, 2-m temperature (column c) shows hotspots mostly in the south-139 ern part of western North America, where the land surfaces are dry. The peak temper-140 atures gradually shift northward thereafter, and by 30 June they align with the location 141 of the block. The highest surface temperatures in the region were reported between 28 142 June and 1 July (AON, 2021). Therefore, there appears a 2-3 day lag between the mat-143 uration of the block and the occurrence of the peak surface temperatures. Column d of 144 Fig. 1 shows vertical cross sections of potential temperature at $49^{\circ}N$ during the same 145 period. They capture the emergence of an upper-level warm core associated with the block 146 around 120°W 24-26 June. Subsequently the isentropes in the region move down, as high-147 lighted by the 320 K contour, creating a vertical column of very warm air. 148

Figure 2a samples vertical potential temperature profiles at 119°W 49°N (east of 149 Vancouver, BC), approximately at the center of the block, for 24-30 June. All profiles 150 are sampled at 4 pm local time. On 24 June (before blocking), potential temperature 151 is well mixed in the convective boundary layer up to z = 4 km (dotted curve). The ar-152 rival of the block on 26 June significantly raises potential temperature above 3 km (dashed 153 curve). The overlying warm air caps the convective boundary layer at a lower altitude, 154 decreasing its depth. Meanwhile, the diurnal cycle of surface heat fluxes is dominated 155 by the downward solar radiation and the upward sensible heat flux due to a persistent 156

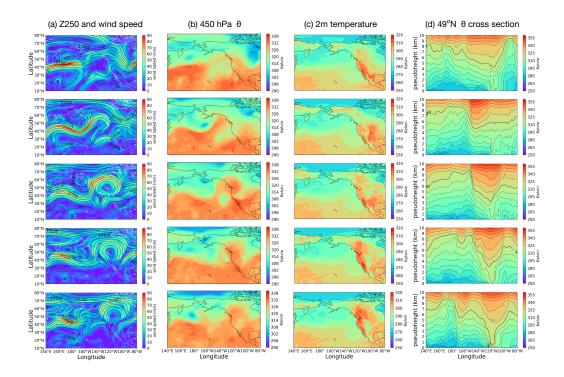


Figure 1. Circulation and temperature over North Pacific and North America 22-30 June 2021. Rows are, from top to bottom, 22, 24, 26, 28, 30 June at 00 UTC. Column a: 250 hPa geopotential height (contours in meters) and wind speed. Column b: 450 hPa potential temperature. Column c: 2-m temperature. Column d: vertical cross sections of potential temperature at 49° N (contour interval = 5 K). The 320-K isentrope is highlighted. The vertical axis is pressure pseudoheight with H = 8 km (450 hPa = 6.4 km). Data source: ERA5 (Figs. 1-4).

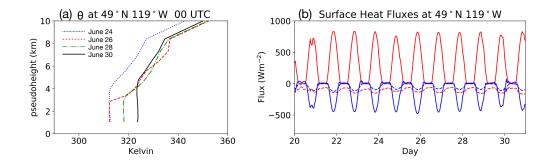


Figure 2. (a)Vertical profiles of potential temperature at $119^{\circ}W 49^{\circ}N$. The vertical axis is pressure pseudoheight with H = 8 km. Only values above the ground are shown. Dotted-blue: June 24. Dashed-red: June 26, Dot-dashed-green: June 28. Solid-black: June 30. All profiles are sampled at 00 UTC (4 pm local). (b) Time series of surface heat fluxes at $119^{\circ}W 49^{\circ}N$ for 20-30 June 2021. Solid red: net solar radiation. Dashed-red: net infrared radiation. Solid blue: sensible heat flux. Dashed-blue: latent heat flux. The fluxes are positive downward.

fair-weather condition and dry soil, and it does not change significantly during this time
(Fig. 2b). (There is a slight decrease in the upward sensible heat flux as the air warms.)
Because of the reduced depth of the convective boundary layer, daytime heating raises
potential temperature of the boundary layer by 12 K in 4 days until it deepens again,
while the profile in the free troposphere remains nearly steady (dot-dashed and solid curves
in Fig. 2a).

The above analysis demonstrates that the extreme heat at surface was a thermo-163 dynamic response of the lower troposphere to an anomalously stable stratification aloft 164 set up by the block and heating from below. The sudden increase in potential temper-165 ature in the free troposphere around 26 June (Fig. 2a) is consistent with the notion that 166 this heat was transported from elsewhere rather than created in situ. Indeed, column 167 b of Fig. 1 suggests that the warm air inside the block originated from lower latitudes 168 in the upstream, although it is unclear how much of that warmth is attributable to la-169 tent heating. Meanwhile, the subsidence inside the blocking anticyclone is likely impor-170 tant for maintaining the high column temperature against radiative cooling and for press-171 ing down the base of warm air to suppress convection. In comparison, near-surface hor-172 izontal advection of temperature is deemed weak in the center of the block (Zschenderlein 173 et al., 2019). 174

Previous studies based on trajectory analyses suggest that air parcels experience 175 substantial latent heating in the WCB of an extratropical cyclone (Madonna et al., 2014; 176 Methven, 2015) and some of them end up in a blocking anticyclone downstream (Pfahl 177 et al., 2015; Steinfeld & Pfahl, 2019). These studies also show that latent heating pro-178 duces a significant amount of negative QGPV anomaly in the upper troposphere, an es-179 sential ingredient for blocking anticyclones. In the next section we examine the regional 180 LWA budget and identify key processes that formed the block, including an upstream 181 diabatic source of wave activity. 182

¹⁸³ 4 Regional wave activity budget

Here we apply the LWA diagnostic outlined in Section 2 for the formative stage of the block. To visualize the increase in LWA associated with the block, we integrate Eq. (3) from 20 to 26 June 00 UTC and diagnose the budget term-by-term. Figure 3a shows a map of the LHS, i.e., the change in column LWA from 20 to 26 June. The largest increase centers around the Pacific Northwest, roughly the location of the blocking anticyclone (Fig, 1 column a). The other maps show the time integrals of Term (III) (Fig. 3b), Terms (I)+(II) (Fig. 3c) and Term (IV) (Fig. 3d). The sum of Figs. 3b, 3c and 3d equals Fig. 3a (note a different color scale for Fig. 3a). Figure 3c and 3d also overlay the change in the 6-day average horizontal wave activity flux vector, $(\langle F_{\lambda} \rangle, \langle F_{\phi'} \rangle)$, from the previous 6-day period (14-20 June).

Except over the eastern Pacific, Term (III) is small (Fig. 3b). The dipole pattern in the eastern Pacific reflects the fact that in this region the perturbation potential temperature θ_e [Eq. (4)] is everywhere negative near the surface because of low SSTs. Therefore the Term (III) in Eq. (3) will be negative where the wind is southerly ($v_e > 0$) and positive where it is northerly ($v_e < 0$). The dipole pattern arises from a persistent anticyclonic circulation in this region during the period.

The block-related change in LWA is largely due to Terms (I) and (IV). Contribu-200 tions from Term (II) prove also weak in the regions of interest (see Fig. S1), so the sig-201 nal in Fig. 3c largely comes from Term (I). Figure 3c shows predominantly positive val-202 ues (i.e. wave activity flux is convergent) over the western Canada. There are large neg-203 ative values (divergence) south of Alaska, and also broadly off the west coast of North 204 America. The convergence of wave activity flux over Canada is compensated to a large 205 degree by negative values of Term (IV) (Fig. 3d), presumably from dissipation of wave 206 activity due to mixing and friction. Then there are very large positive values of Term 207 (IV) off the coast of Alaska, which more than compensate the negative values of Terms 208 (I), (II), (III) combined in the same region. This coincides with the location of the WCB 209 of a cyclone that formed in this region 23-24 June (marked 'W' in Fig. 3, located between 210 the surface low and high pressure centers. Figures 3e and 3f show, respectively, the out-211 going longwave radiation (OLR) at the top of the atmosphere and column water (exclud-212 ing vapor) overlaid with sea level pressure for 23 June. Both the minimum OLR and the 213 maximum column water depict tall, comma-shaped clouds associated with a cyclone, in 214 good agreement with the location of the local maximum in Term (IV) (Fig. 3d) and the 215 WCB (Fig. 1 column b, second panel). Although we have not evaluated Term (IV) di-216 rectly from heating rate, we believe that the large positive values south of Alaska arose 217 from diabatic heating associated with moist ascent along the WCB (Madonna et al., 2014; 218 Bueler & Pfahl, 2017). 219

Figures 3c and 3d also show enhanced eastward wave activity fluxes over the Pa-220 cific during this period. The enhancement is particularly pronounced in 45-60°N, and 221 east of the Gulf of Alaska. All this suggests that latent heating south of Alaska was a 222 significant source of wave activity flux downstream, which then converged over the west-223 ern Canada to form a block. The eastward flux of wave activity is the main reason why 224 LWA increased mostly over the western North America, significantly downstream of the 225 source region (the Gulf of Alaska). Although the location of the block is somewhat south 226 of the peak of flux convergence, the observed LWA budget at the center of the block still 227 fits the above description: at $118^{\circ}W 49^{\circ}N$, the 6-day change in column LWA is 54.1 ms⁻¹. 228 and contributions from Terms (I)-(IV) are $102.2, -10.2, 1.0, -38.9 \text{ ms}^{-1}$, respectively. There-229 fore about 40 percent of the flux convergence is compensated by frictional loss [negative 230 values of Term (IV)] to produce the observed LWA change. 231

The process of block maturation is further elucidated in the Hovmöller diagrams 232 of column LWA (Fig. 4a), zonal LWA flux (Fig. 4b), flux convergence [Terms (I)+(II), 233 Fig. 4c] and residual [Term (IV), Fig. 4d] at 49°N. Column LWA has a quasistationary 234 maximum around 235°E (125°W). This reflects waviness in low-altitude QGPV arising 235 from large land-sea thermal contrast across the coastline. However, LWA increases sig-236 nificantly toward the end of June as the block forms (Fig. 4a). Prior to this, there is a 237 broad enhancement of eastward wave activity flux in the upstream (Fig. 4b). The en-238 hancement entails two distinct stages, labeled A and B. Stage A is characterized by a 239 strong, but migratory maximum in flux with a corresponding flux convergence (Fig. 4c). 240

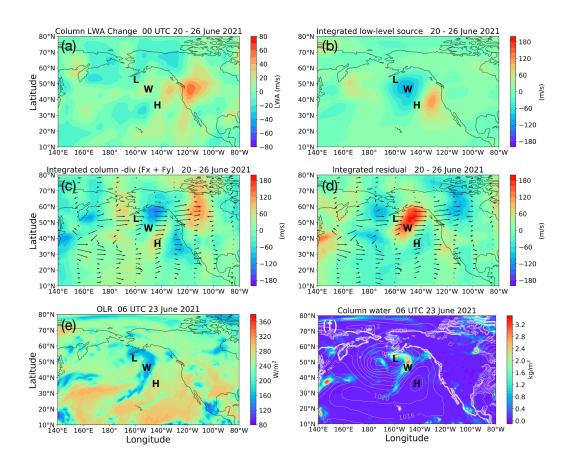


Figure 3. (a) Map of the LHS of Eq. (3) integrated from 20 to 26 June 2021 00 UTC. (b) Same as (a) but for Term (III). (c) Same as (b) but for Terms (I)+(II). See Fig. S1 in the Supporting Information for Term (II). (d) Same as (c) but for Term (IV). Arrows in (c) and (d) indicate the change in the 6-day average $(\langle F_{\lambda} \rangle, \langle F_{\phi'} \rangle)$ from the previous 6 days (14-20 June). The longest arrow is 40 m²s⁻². For (a)-(d), a 10 degree running mean is applied in longitude to suppress noise. Note the different color scale for (a). (e) Outgoing longwave radiation at the top of the atmosphere at 06 UTC 23 June 2021. (f) Same as (e) but for column water (excluding vapor) and sea level pressure (in hPa). Labels L, H, and W indicate, respectively, the locations of surface low pressure, high pressure, and warm conveyor belt at 06 UTC 23 June 2021 (panel f).

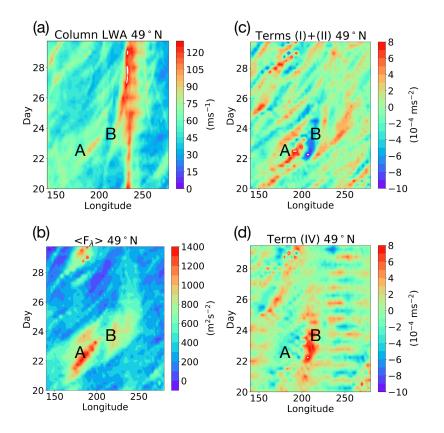


Figure 4. (a) Hovmöller diagram of column LWA at 49°N for 20-30 June 2021 00 UTC. (b) Same as (a) but for the column mean zonal wave activity flux $\langle F_{\lambda} \rangle$. (c) Same as (b) but for Terms (I)+(II) in Eq. (3). (d) Same as (c) but for Term (IV). A 10 degree running mean is applied in longitude for (c) and (d). The regularly spaced zonal striping in 225-270°E in (d) reflects the diurnal cycle in land-surface heating. Labels A and B indicate enhanced downstream transmission of wave activity and a wave activity source associated with cyclogenesis, respectively.

Since the convergence is short-lived at a given location, it does not increase LWA sig-241 nificantly (Fig. 4a). Here the increased flux simply reflects an enhanced jet speed (top 242 left panel of Fig. 1). Stage B, on the other hand, is initiated by a local diabatic source 243 that spans 22-24 June between 200-220°E (140-160°W, Fig. 4d). This coincides with a 244 strong flux divergence and a weak but persistent convergence immediately downstream 245 (Fig. 4c). LWA that exits the region of divergence accumulates in the region of conver-246 gence, evidenced in Fig. 4a as a track of LWA emerges east of 200°E and eventually merges 247 with the existing maximum at the Pacific Northwest. LWA achieves a peak intensity af-248 ter the merger, 27-28 June. 249

To roughly estimate the effect of the upstream diabatic source of wave activity on the downstream blocking, we integrate Eq. (3) at $\phi = 49^{\circ}$ N with a modified forcing. To this end, we first diagnose the zonal transport velocity $C(\lambda, t)$ and the diabatic forcing coefficient $\gamma(\lambda, t)$ for 20-26 June from the observed $\langle F_{\lambda} \rangle$, $\langle A \rangle$, $\langle \dot{A} \rangle$, using the following relations:

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 $\langle F_{\lambda} \rangle = C \langle A \rangle \cos \phi, \quad \langle \dot{A} \rangle = \gamma \langle A \rangle, \quad \phi = 49^{\circ} \mathrm{N}.$ (5)

We then modify γ such that any positive value in 200-220°E is decreased by 30 percent during 22-24 June. The change, $\Delta \gamma(\lambda, t)$, represents an artificial reduction of diabatic forcing in the region of cyclogenesis. Assuming that *C*, Terms (II) and (III) will not change,

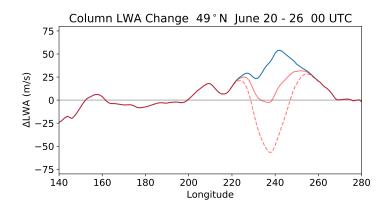


Figure 5. Blue: observed change in column LWA between 20 and 26 June 2021 00 UTC at 49°N. Solid-red: reconstructed change in column LWA with 70 percent of positive diabatic forcing in 200-220°E during 22-24 June. Dashed-red: Same as solid-red but with positive diabatic forcing completely suppressed in 200-220°E during 22-24 June.

we may estimate the downstream influence of the perturbed forcing by rewriting Eq. (3) for the LWA perturbation (see Supporting Information):

$$\frac{\partial}{\partial t}\Delta\langle A\rangle = -\frac{1}{a\cos\phi}\frac{\partial\left(C\Delta\langle A\rangle\right)}{\partial\lambda} + (\gamma + \Delta\gamma)\Delta\langle A\rangle + \langle A\rangle\Delta\gamma, \quad \phi = 49^{\circ}N. \tag{6}$$

We integrate Eq. (6) between 20-26 June from a zero initial condition. $(C, \langle A \rangle, \gamma \text{ and})$ 262 $\Delta\gamma$ are interpolated in time and we also add a small numerical diffusion.) The blue curve 263 in Fig. 5 shows the observed change in column LWA between 20 and 26 June. The solid 264 red curve is the predicted change for the same period with the modified forcing. The peak 265 value is reduced by 41 percent and its location is displaced 10 degrees eastward (from 266 54.1 ms⁻¹ at 242°E to 31.8 ms⁻¹ at 252°E). When positive γ in 200-220°E is completely 267 suppressed during 22-24 June, the change in LWA over the Pacific Northwest turns vastly 268 negative (red dashed curve): instead of forming a block, the jet stream would become much less wavy. Although the assumptions made in Eq. (6) largely discard nonlinear-270 ity in the response, this simple thought experiment allows estimates of the diabatic ef-271 fects on an observed blocking with a sole constraint on the wave activity budget an 272 economical alternative to the use of a full climate model (e.g. Steinfeld et al., 2020). 273 274

²⁷⁵ 5 Conclusions

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We have identified the chain of events that led to the unusually strong Pacific North-276 west heat wave in late June - early July 2021: (i) cyclogenesis and associated wave break-277 ing over the Gulf of Alaska (23-24 June), (ii) formation of a blocking anticyclone over 278 the Pacific Northwest (24-27 June), and (iii) subsequent heating of surface (27-30 June). 279 Our study suggests strong causal links between them: latent heating within the cyclone 280 created an anomalous wave activity flux, which seeded the blocking anticyclone in the 281 immediate downstream; and the stable stratification within the block suppressed con-282 vection and raised surface temperature. The evaluation of soil moisture feedback (Whan 283 et al., 2015) is left for future study. 284

The accumulation of the wave activity flux along the jet stream has long been recognized as formation and maintenance mechanisms of blocks (Shutts, 1983; Mullen, 1987; H. Nakamura & Wallace, 1993; Luo, 2005; Yamazaki & Itoh, 2013; N. Nakamura & Huang, 2018), and the role of upstream cyclogenesis has also been reported for winter blocks (Colucci, 1985). These mechanisms were still at play in the 2021 event, but the diabatic injection
of wave activity in the WCB region of an upstream cyclone played a distinctive role in
the development of the intense blocking anticyclone downstream. Our result complements
previous studies that suggest the influence of upstream latent heating on blocking based
on trajectory analyses and climate model simulations (Pfahl et al., 2015; Steinfeld & Pfahl,
2019; Steinfeld et al., 2020). The LWA-based approach is particularly suited for the attribution of dynamical sources that contribute to the formation of a block.

The present analysis alone is insufficient to quantify the influence of climate change on the extreme events like this. However, to the extent that latent heating contributes to the strength of summer blocks and associated extreme heat, the severity of similar events will likely increase as the atmosphere warms and is loaded with more water vapor. Since the eastern North Pacific/Gulf of Alaska is a favorable location for block formation (Woollings et al., 2018), the risk for extreme heat in the Pacific Northwest will likely follow suit.

303 6 Open Research

ERA5 reanalysis data may be downloaded from https://doi.org/10.24381/cds .bd0915c6. The python code to compute LWA is found here: https://doi.org/10.5281/ zenodo.6366563

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