

Omicron BA.2 (B.1.1.529.2): High Potential for Becoming the Next Dominant Variant

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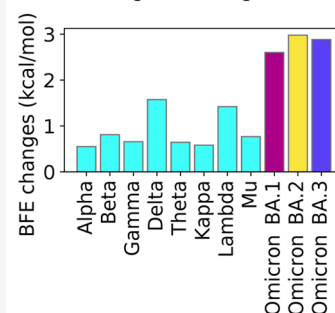
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Supporting Information

ABSTRACT: The Omicron variant has three subvariants: BA.1 (B.1.1.529.1), BA.2 (B.1.1.529.2), and BA.3 (B.1.1.529.3). BA.2 is found to be able to alarmingly reinfect patients originally infected by Omicron BA.1. An important question is whether BA.2 or BA.3 will become a new dominating “variant of concern”. Currently, no experimental data has been reported about BA.2 and BA.3. We construct a novel algebraic topology-based deep learning model to systematically evaluate BA.2’s and BA.3’s infectivity, vaccine breakthrough capability, and antibody resistance. Our comparative analysis of all main variants, namely, Alpha, Beta, Gamma, Delta, Lambda, Mu, BA.1, BA.2, and BA.3, unveils that BA.2 is about 1.5 and 4.2 times as contagious as BA.1 and Delta, respectively. It is also 30% and 17-fold more capable than BA.1 and Delta, respectively, to escape current vaccines. Therefore, we project that Omicron BA.2 is on a path to becoming the next dominant variant. We forecast that like Omicron BA.1, BA.2 will also seriously compromise most existing monoclonal antibodies. All key predictions have been nearly perfectly confirmed before the official publication of this work.

BA.2 has the highest infectivity due to its strongest binding to ACE2



On November 26, 2021, the World Health Organization (WHO) declared the Omicron variant (B.1.1.529) of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) initially discovered in South Africa a variant of concern (VOC). Within a few days (i.e., December 1, 2021), an artificial intelligence (AI) model predicted the Omicron variant to be about 2.8 times as infectious as the Delta variant; have a near 90% likelihood to escape current vaccines; and severely compromise the efficacy of monoclonal antibodies (mAbs) developed by Eli Lilly, Regeneron, AstraZeneca, and many others, except for GlaxoSmithKline’s sotrovimab.¹ Subsequent experiments confirm Omicron’s high infectivity,^{2,3} high vaccine breakthrough rate,^{4,5} and severe antibody escape rate.^{6–8} The U.S. Food and Drug Administration (FDA) halted the use of mAbs from Eli Lilly and Regeneron in January 2022. Because of its combined effects of high infectivity and high vaccine breakthrough rate, the Omicron variant is far more transmissible than the Delta variant and has rapidly become the dominant variant in the world.

Omicron has three lineages, BA.1 (B.1.1.529.1), BA.2 (B.1.1.529.2), and BA.3 (B.1.1.529.3), which were first detected in November 2021 in South Africa.⁹ Among them, the BA.1 lineage is the preponderant one that has ousted Delta. Compared to the reference genome reported in Wuhan, Omicron BA.1 has a total of 60 mutations on nonstructure protein 3 (NSP3), NSP4, NSP5, NSP6, NSP12, NSP14, S protein, envelope protein, membrane protein, and nucleocapsid protein. Among them, 32 mutations are on the spike (S) protein, the main antigenic target of antibodies generated by either infection or vaccination. Fifteen of these mutations affect the receptor-binding domain (RBD), whose binding with host

angiotensin-converting enzyme 2 (ACE2) facilitates viral cell entry during the initial infection.¹⁰ BA.2 shares 32 mutations with BA.1 but has 28 distinct ones. On the RBD, BA.2 has four unique mutations and 12 shared with BA.1. In contrast, the Delta variant has only two RBD mutations. BA.3 shares most of its mutations with BA.1 and BA.2, except for one on NSP6 (A88V). It also has 15 RBD mutations, but none is distinct from that of BA.1 and BA.2. Nationwide Danish data from late December 2021 and early January 2022 indicate that Omicron BA.2 is inherently substantially more transmissible than BA.1 and capable of vaccine breakthrough.¹¹ Israel reported a handful of cases of patients who were infected with original Omicron BA.1 strain and have been reinfecting with BA.2 within a short period.¹² Although BA.2 did not cause worse illness than the original Omicron BA.1 strain, its reinfection is very alarming. It means the antibodies generated from the early Omicron BA.1 infection were evaded by the BA.2 strain. It is imperative to know whether BA.2 will become the next dominant strain to reinfect the world population.

Studies show that binding free energy (BFE) between the S RBD and the ACE2 is proportional to the viral infectivity.^{10,13,14} In July 2020, natural selection favoring more infectious variants was discovered as the fundamental law of biology that governs

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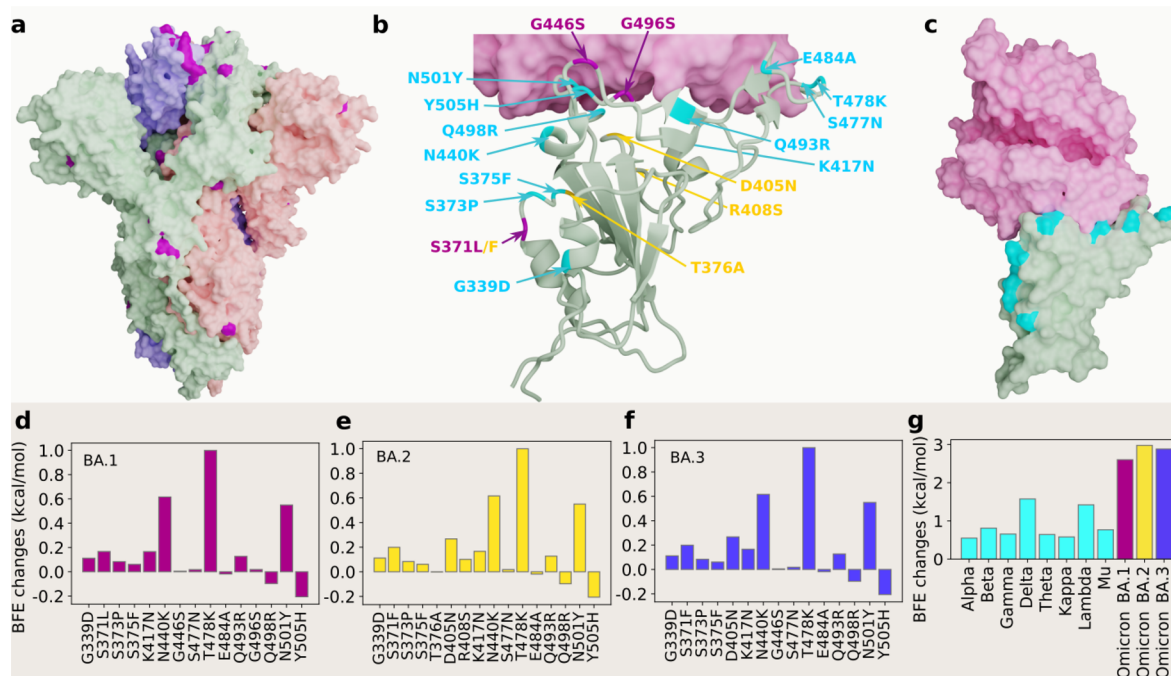


Figure 1. 3D structures of Omicron strains, their ACE2 complexes, and their mutation-induced BFE changes. (a) Spike protein (PDB: 7WK2³) with Omicron mutations marked in magenta. (b) BA.1 and BA.2 RBD mutations at the RBD–ACE interface (PDB: 7T9L²⁴). The shared 12 mutations are labeled in cyan, BA.1 mutations are marked with magenta, and distinct BA.2 mutations are plotted in yellow. (c) Structure of the RBD–ACE2 complex with mutations on cyan spots. (d, e, and f) BFE changes induced by mutations of Omicron BA.1, BA.2, and BA.3, respectively. (g) Comparison of predicted mutation-induced BFE changes for a few SARS-CoV-2 variants.

SARS-CoV-2 transmission and evolution,¹⁵ including the occurrence of Alpha, Beta, Gamma, Delta, and Omicron variants. Natural selection in SARS-CoV-2 mutations was confirmed beyond doubt in April 2021.¹⁶ Two vital RBD mutation sites, N501 and L452, that later appeared in all main variants, Alpha, Beta, Delta, Gamma, Delta, Epsilon, Theta, Kappa, Lambda, Mu, and Omicron, were also accurately predicted in July 2020.¹⁵ These discoveries and predictions may not be achievable via experimental means.

Currently (i.e., February 10, 2022), there are no experimental results about the infectivity, vaccine breakthrough, and antibody resistance of BA.2 and BA.3.¹⁷ In this work, we present a comprehensive analysis of Omicron BA.2 and BA.3's potential of becoming the next prevailing SARS-CoV-2 variant. Our study focuses on the S protein RBD, which is essential for virus cell entry.^{18–20} The RBD is not only crucial for viral infectivity but also essential for vaccines and antibody protections. An antibody that can disrupt the RBD–ACE2 binding would directly neutralize the virus.^{21–23} We integrate tens of thousands of mutational and deep mutational data, biophysics, and algebraic topology to construct an AI model. We systematically investigate the binding free energy (BFE) changes of an RBD–ACE2 complex structure and a library of 185 structures of RBD–antibody complexes induced by the RBD mutations of Alpha, Beta, Gamma, Delta, Lambda, Mu, BA.1, BA.2, and BA.3 to reveal their infectivity, vaccine-escape potential, and antibody resistance. Using our comparative analysis, we unveil that the Omicron BA.2 variant is about 1.5 times as infectious as BA.1 and about 4.2 times as contagious as the Delta variant. It also has a 30% higher potential than BA.1 to escape existing vaccines. Therefore, we project the Omicron BA.2 is on a path to becoming the next dominant variant.

Infectivity. The binding affinity of the ACE2 and RBD complex plays a crucial role in determining the infectivity of SARS-CoV-2. Figure 1a shows the three-dimensional (3D) structure of Omicron BA.1.³ At the RBD, Omicron BA.1, BA.2, and BA.3 share 12 RBD mutations, i.e., G339D, S373P, S375F, K417N, N440K, S477N, T478K, E484A, Q493R, Q498R, N501Y, and Y505H as shown in Figure 1b. However, BA.1 has distinct RBD mutations S371L, G446S, and G496S; BA.2 has S371F, T376A, D405N, and R408S; and BA.3 has S371F, D405N, and G446S. Panels d, e, and f of Figure 1 present the BFE changes of the RBD–ACE2 complex induced by the RBD mutations of Omicron BA.1, BA.2, and BA.3, respectively. The larger the positive BFE change, the higher infectivity will be. The BFE change is calculated by our deep learning model as discussed in Materials and Methods and the Supporting Information. Because natural selection favors those mutations that strengthen the viral infectivity,¹⁵ the most contagious variant will become dominant in a population under the same competing condition. The accumulated BFE changes are summarized in Figure 1g. A comparison is given to other main SARS-CoV-2 variants Alpha, Beta, Gamma, Delta, Theta, Kappa, Lambda, and Mu. The Delta variant had the highest BFE change among the earlier variants and was the most infectious variant before the occurrence of the Omicron variant, which explains its dominance in 2021. Omicron BA.1, BA.2, and BA.3 have BFE changes of 2.60, 2.98, and 2.88 kcal/mol, respectively, which are much higher than those of other major SARS-CoV-2 variants. Among them, Omicron BA.2 is the most infectious variant and is about 20 and 4.2 times as infectious as the original SARS-CoV-2 and the Delta variant, respectively. Our model predicts that BA.2 is about 1.5 times as contagious as BA.1, which is the same as that reported in an initial study.¹² Another report confirms that Omicron BA.2 is more contagious

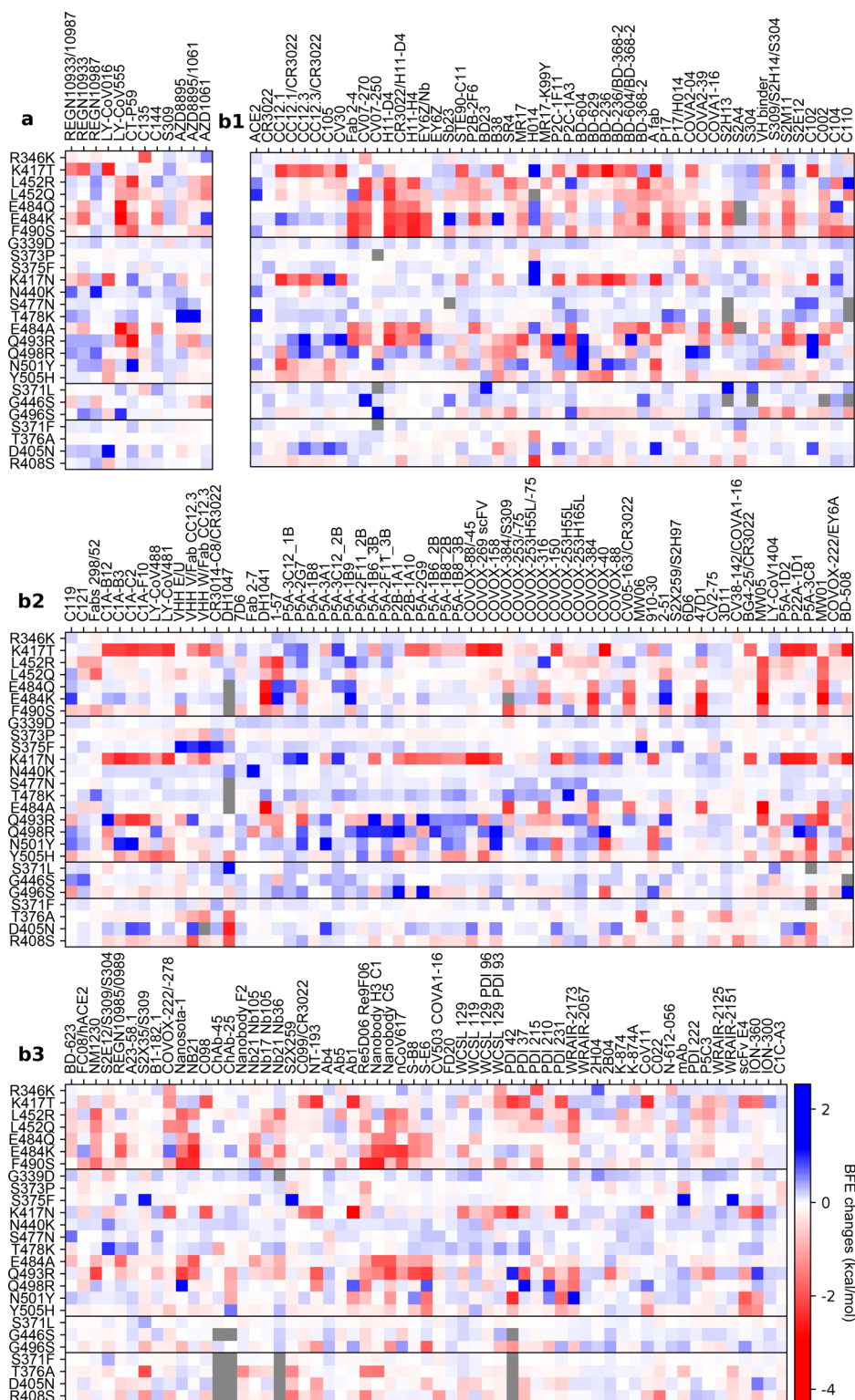


Figure 2. Illustration of mutation-induced BFE changes of 185 antibody–RBD complexes and an ACE2–RBD complex. Positive changes strengthen the binding, while negative changes weaken the binding. (a) Heat map for 12 antibody–RBD complexes in various stages of drug development. (b1) Heat map for ACE2–RBD and antibody–RBD complexes. (b2 and b3) Heat map for antibody–RBD complexes. The first 7 mutations are associated with earlier SARS-CoV-2 variants. The next 12 mutations are shared among BA.1, BA.2, and BA.3 strains. The next 3 mutations are distinct to BA.1, and the final bunch of 4 mutations belong to BA.2. Gray color stands for no predictions because of incomplete structures.

than BA.1.¹¹ Therefore, Omicron BA.2 may eventually replace the original Omicron strain BA.1 worldwide.

Vaccine Breakthrough. Omicron BA.1 is well-known for its ability to escape current vaccines.^{5,6} Its 15 mutations at the

RBD enable it to not only strengthen its infectivity by a stronger binding to human ACE2 but also create mismatches for most direct neutralization antibodies generated from vaccination or prior infection. Although BA.1, BA.2, and BA.3 share 12 RBD

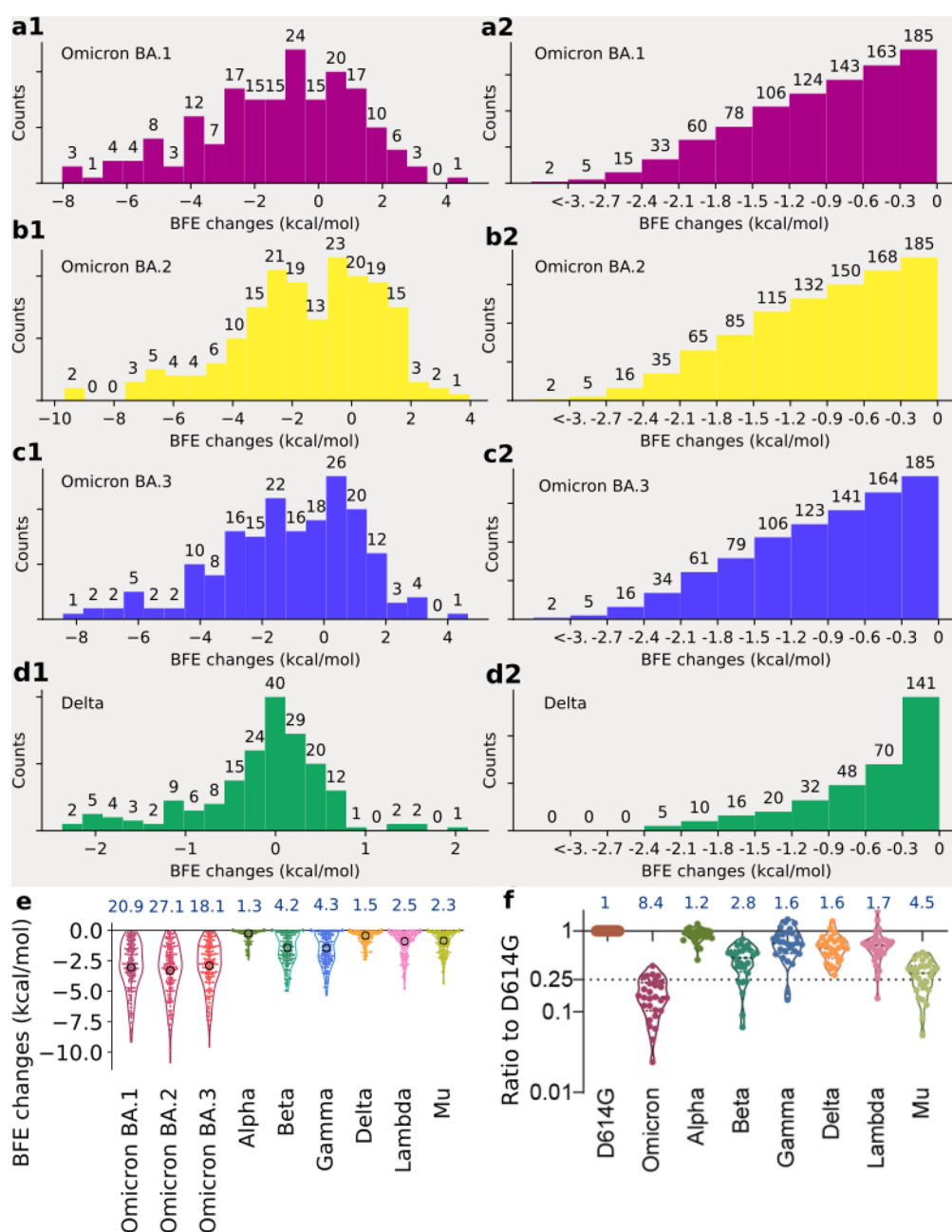


Figure 3. Analysis of variant mutation-induced BFE changes of ACE2–RBD and 185 antibody–RBD complexes. (a1, b1, c1, and d1) The distributions (counts) of accumulated BFE changes induced by Omicron BA.1, BA.2, BA.3, and Delta mutations, respectively, for 185 antibody–RBD complexes. For each case, there are more mutation-weakened complexes than mutation-strengthened complexes. (a2, b2, c2, and d2) Numbers of antibody–RBD complexes regarded as disrupted by BA.1, BA.2, BA.3, and Delta mutations, respectively, under different thresholds ranging from 0 kcal/mol, -0.3 kcal/mol, to <-3 kcal/mol. (e) Accumulated negative BFE changes induced by BA.1, BA.2, BA.3, Alpha, Beta, Delta, Gamma, Lambda, and Mu mutations for 185 antibody–RBD complexes. For each variant, the number on the top is the fold of binding affinity reduction computed by $e^{-\text{BFE change}_{\text{average}}}$, where $\text{BFE change}_{\text{average}}$ marked by a circle, is the mean value of negative BFE changes for 185 antibody–RBD complexes. (f) Comparison of neutralization activity against Omicron (BA.1), Alpha, Beta, Delta, Gamma, Lambda, and Mu variants based on 28 convalescent sera.⁵ For each variant, the number on the top is the ratio of neutralization ED_{50} compared to the reference strain D614G.

mutations, BA.1 has 3 additional RBD mutations, BA.2 has 4 additional RBD mutations, and BA.3 has one mutation that is the same as that of BA.1's additional ones and two mutations that are the same as those of BA.2's additional ones. Therefore, it is important to understand their vaccine-escape potentials. Currently, no experimental result has been reported about the vaccine-breakthrough capability of BA.2 and BA.3.

Experimental analysis of the variant vaccine-escape capability over the world's populations is subject to many uncertainties.

Different vaccines may stimulate different immune responses and antibodies for the same person. Different individuals may have different immune responses and antibodies from the same vaccine because of their different races, gender, age, and underlying medical conditions. Uncontrollable experimental conditions and different experimental methods may also contribute to uncertainties. Consequently, it is impossible to accurately characterize a variant's vaccine-escape capability (or rate) over the world's populations.

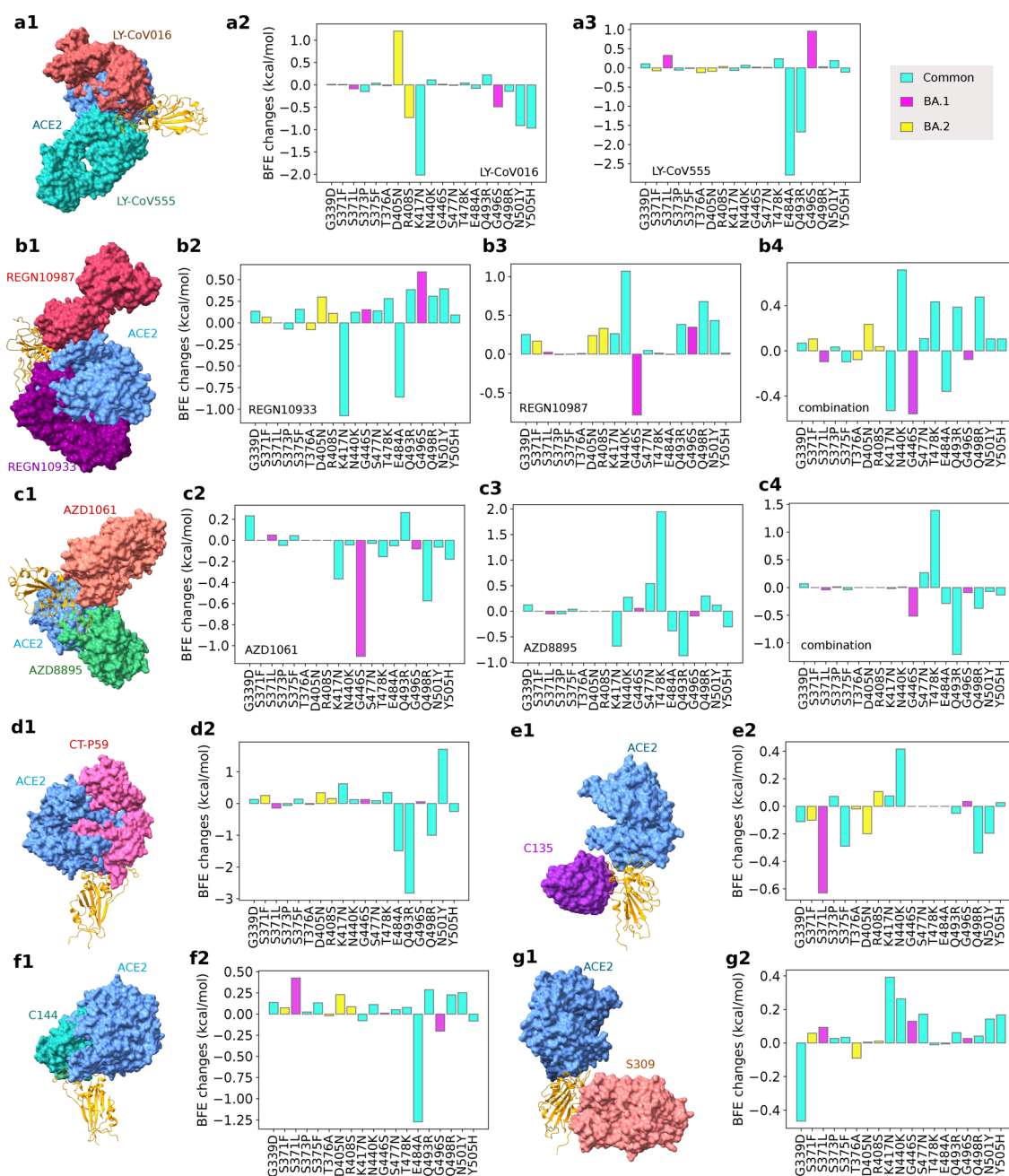


Figure 4. Illustration of Omicron BA.1 and BA.2 RBD mutational impacts on clinical mAbs. Panels a1, b1, c1, d1, e1, f1, and g1 depict the 3D structures of antibody–RBD complexes of Eli Lilly LY-CoV555 (PDB ID: 7KMG²⁶) and LY-CoV016 (PDB ID: 7C01²⁷), Regeneron REGN10987 and REGN10933 (PDB ID: 6XDG²⁸), AstraZeneca AZD1061 and AZD8895 (PDB ID: 7L7E²⁹), Celltrion CT-P59 (aka Regdanvimab, PDB ID: 7CM4), Rockefeller University C135 (PDB ID: 7K8Z) and C144 (PDB ID: 7K90), and GlaxoSmithKline S309 (PDB ID: 6WPS), respectively. In all plots, the ACE2 structure is aligned as a reference. Omicron BA.1 and BA.2 RBD mutation-induced BFE changes (kcal/mol) are given in panels a2 and a3 for Eli Lilly mAbs; b2, b3 and b4 for Regeneron mAbs; c2, c3, and c4 for AstraZeneca mAbs; d2 for Celltrion CT-P59; e2 and f2 for Rockefeller University mAbs; and g2 for GlaxoSmithKline S309. Cyan bars label the BFE changes induced by 12 RBD mutations shared by BA.1, BA.2, and BA.3 subvariants. Magenta bars mark the BFE changes induced by three additional BA.1 RBD mutations. Yellow bars denote the BFE changes induced by four additional BA.2 RBD mutations.

In our work, we take an integrated approach to understanding the intrinsic vaccine-escape capability of SARS-CoV-2 variants. We collect a library of 185 known antibody and S protein complexes and analyze the mutational impact on the binding of these complexes.^{1,25} The results in terms of mutation-induced BFE changes serve as the statistical ensemble analysis of the Omicron subvariants' vaccine-breakthrough potentials. This molecular-level analysis becomes very useful when it is systematically applied to a series of variants.

Figure 2a,b1,b2,b3 depicts the BFE changes of ACE2–RBD and 185 antibody–RBD complexes induced by the RBD mutations from SARS-CoV-2 variants. The first bunch of 7 mutations is associated with Alpha, Beta, Gamma, Delta, Lambda, and Mu. The second bunch of 12 mutations is shared among BA.1, BA.2, and BA.3. The next bunch of 3 mutations is associated with BA.1. The last bunch of 4 mutations belongs to BA.2. Binding-strengthening mutations give rise to positive BFE changes, while binding-weakening mutations lead to negative

BFE changes. Obviously, shared Omicron mutations K417N, E484A, and Q493R are very disruptive to many antibodies. BA.1 mutation G496S is also quite disruptive among BA.1's unique mutations. BA.2 mutations T376A, D405N, and R408S may reduce the efficacy of many antibodies. Apparently, these complexes are significantly impacted by Omicron BA.1, BA.2, and BA.3 RBD mutations. Overall, Figure 2 shows more negative BFE changes than positive ones, suggesting Omicron BA.1, BA.2, and BA.3 mutations enable the breakthrough of current vaccines.

Statistical analysis of the BFE changes of 185 antibody–RBD complexes induced by BA.1, BA.2, BA.3, and Delta RBD mutations is presented in Figure 3, and analysis of Alpha, Beta, Gamma, Lambda, and Mu is presented in Figure S2. Accumulated BFE changes are provided in Figure 3a1,b1,c1. Obviously, all Omicron subvariants have more negative accumulated BFE changes than positive ones, showing their antibody resistance. Among them, BA.2's distribution is extended to a wider negative domain, showing its strongest antibody resistance. In contrast, Delta variant's statistics is given in Figure 3d1, showing a smaller domain of distribution.

As discussed earlier, it is difficult to obtain a variant's true vaccine-escape rate over the world's populations. However, a molecular-based comparative analysis can offer desirable information. Panels a2, b2, c2, and 3d2 of Figure 3 depict the number of antibody–RBD complexes that are regarded as disrupted by BA.1, BA.2, BA.3, and Delta mutations, respectively, under different thresholds ranging from 0 kcal/mol, -0.3 kcal/mol, to <-3 kcal/mol. Previously, a -0.3 kcal/mol threshold was used,¹ which gives rise to 163, 168, and 164 disrupted antibody–RBD complexes, respectively, for BA.1, BA.2, and BA.3. The corresponding rates of potential vaccine breakthrough are 0.88, 0.91, and 0.89 for BA.1, BA.2, and BA.3, respectively. Therefore, BA.2 is slightly more antibody-resistant than BA.1. As a reference, the Delta variant may disrupt 70 out of 185 antibody–RBD complexes, suggesting a vaccine-breakthrough rate of 0.37.

It is interesting to compare our analysis with experimental results.⁵ In Figure 3f, the sensitivity of 28 serum samples from COVID-19 convalescent patients infected with an earlier SARS-CoV-2 strain (D614G) was tested against pseudotyped Omicron, Alpha, Beta, Gamma, Delta, Lambda, and Mu.⁵ The results indicate the Omicron (BA.1) and Delta variants have 8.4 and 1.6 fold reductions, respectively, to the mean neutralization ED50 of these sera compared with the D614G reference strain. Figure 3e presents a comparison of accumulated negative BFE changes for variants Omicron BA.1, BA.2, BA.3, Alpha, Beta, Delta, Gamma, Lambda, and Mu. For each antibody–RBD complex, we consider only disruptive effects by setting positive BFE changes to zero and sum over RBD mutations (e.g., 15 mutations for Omicron BA.1 and 2 for Delta) to obtain the accumulated negative BFE change. As such, we have 185 accumulated negative BFE changes for each variant. We use the mean of these 185 values to compute the fold of affinity reduction, which can be compared for different variants against the original virus reported in Wuhan (BFE change_{average} = 0). The RBD mutations of the Delta variant cause a 1.5-fold reduction in the neutralization capability. In the same setting, Omicron BA.1, BA.2, and BA.3 may respectively lead to about 21-, 27-, and 18-fold increases in their vaccine-breakthrough capabilities. As such, BA.2 is about 30% more capable to escape existing vaccines than BA.1 and 17 times more than the Delta variant. Our prediction has a correlation coefficient of 0.9 with

the experiment. With its highest infectivity and highest vaccine-escape potential, Omicron BA.2 is set to overtake Omicron BA.1 in infecting the world population.

Antibody Resistance. The design and discovery of mAbs are part of an important achievement in combating COVID-19. Unfortunately, like vaccines, mAbs are prone to viral mutations, particularly antibody-resistant ones. Early studies predicted that Omicron BA.1 would compromise the anti-COVID-19 mAbs developed by Eli Lilly, Regeneron, AstraZeneca, Celltrion, and Rockefeller University.¹ However, Omicron BA.1's impact on GlaxoSmithKline's mAb, called sotrovimab, was predicted to be mild.¹ These predictions have been confirmed, and the FDA has halted the use of Eli Lilly and Regeneron's COVID-19 mAbs. Currently, GlaxoSmithKline's sotrovimab is the only antibody-drug authorized in the United States for the treatment of COVID-19 patients infected by the Omicron variant. An important question is whether sotrovimab remains effective for the BA.2 subvariant that might drive a new wave of infections in the world's population.

In this work, we further analyze the efficacy of these mAbs for BA.2 and BA.3. Our studies focus on Omicron subvariants' RBD mutations, which appear to be optimized by the virus to evade host antibody protection and infect the host cell. Figure 4 provides a comprehensive analysis of the BFE changes of various antibody–RBD complexes induced by Omicron BA.1, BA.2, and BA.3. Because BA.3 subvariant's RBD mutations are subsets of those of BA.1 and BA.2, we present only 19 unique RBD mutations. Impacts of 12 shared RBD mutations are labeled with cyan, those of three additional BA.1 RBD mutations are marked with magenta, and those of four additional BA.2 RBD mutations are plotted in yellow. Panels a1, b1, c1, d1, e1, f1, and g1 in Figure 4 depict 3D antibody–RBD complexes for mAbs from Eli Lilly (LY-CoV016 and LY-CoV555), Regeneron (REGN10933, REGN10987, and REGN10933/10987), AstraZeneca (AZD1061 and AZD8895), Celltrion (CT-P59), Rockefeller University (C135, C144), and GlaxoSmithKline (S309), respectively. The ACE2 is included in these plots as a reference.

Figure 4a2,a3 shows that LY-CoV016 is disrupted by shared mutation K417N and LY-CoV555 is weakened by shared mutations E484A and Q493R. Additional mutations from BA.2 may not significantly affect Eli Lilly mAbs. However, if BA.2 becomes dominant, Eli Lilly mAbs would still be ineffective.

The impacts of BA.1 and BA.2 mutations on Regeneron's mAbs are illustrated in Figure 4b2,b3,b4. REGN10933 is undermined by shared mutations N417K and E484A. REGN10987 is disrupted by BA.1 mutation G446S. The antibody cocktail is undermined by shared Omicron mutations as well, which implies Regeneron's mAbs would still be compromised should Omicron BA.2 become a dominant SRAS-CoV-2 subvariant.

BA.1 and BA.2's impacts on AstraZeneca's AZD1061 and AZD8895 are demonstrated in Figure 4c2,c3,c4. It is noted that BA.1 mutation G446S has a disruptive effect on AZD1061. AZD8895 is weakened by two shared mutations. The AZD1061–AZD8895 combination is also disrupted by shared mutation Q493R. Therefore, the efficacy of AstraZeneca's mAbs would be reduced should BA.2 prevail in world populations.

As shown in Figure 4d2, Celltrion's mAb CT-P59 is prone to shared mutations Q493R and E484A. BA.2 mutations may not bring additional destruction. However, the shared mutations pose a threat to Celltrion's mAb, which implies its efficacy would not be restored should BA.2 prevail.

Figure 4e2,f2 presents BA.1 and BA.2's mutational impacts on Rockefeller University's mAbs. C135 is mainly disrupted by Omicron BA.1, and its C144 is made ineffective by shared mutation E484A. Therefore, C135 might become effective if BA.2 dominates.

Finally, we plot mutational impacts on antibody S309's binding with RBD in Figure 4g2. Antibody S309 is the parent antibody for Sotrovimab developed by GlaxoSmithKline and Vir Biotechnology, Inc. The final structure of Sotrovimab is not available. It is seen from the figure that there is a considerable disruptive BFE change of -0.47 kcal/mol, although the rest of the BFE changes are mostly positive. Therefore, we expect a significant effect from Omicron BA.2 on sotrovimab.

It is interesting to understand why S309 is the only antibody that is not too seriously affected by Omicron variants. Figure 4 shows that all mAbs that compete with the human ACE2 for the receptor-binding motif (RBM) are seriously compromised by Omicron subvariants because most of the RBD mutations locate at the RBM. A possible reason is that Omicron subvariants had optimized RBD mutations at the RBM to strengthen the viral infectivity and evade the direct neutralization antibodies. Consequently, all mAbs that target RBM are seriously compromised by Omicron subvariants. Figure 4e1,g1 shows that antibodies C135 and S309 do not directly compete with ACE2 for the RBM. However, C135 is still very close to the RBM and significantly weakened by some Omicron mutations. In contrast, S309 may be further away from the RBM and escapes from Omicron's RBD mutations.

Materials and Methods. The deep learning model is designed for predicting mutation-induced BFE changes of the binding between protein–protein interactions. A series of three steps consists of training data preparation, feature generations, and deep neural network training and prediction (see Figure S2). Here, we briefly discuss each step, and we provide more details in the Supporting Information. Readers are also directed to the literature^{15,30,31} for more details about the validation of the deep learning model.

First, the deep learning model was extensively validated with experimental BFE changes and next-generation sequencing data. SKEMPI 2.0³² is a benchmark BFE change data set, on which the early version of the current deep learning model was validated,³³ showing the best performance. Additionally, SARS-CoV-2 related data sets, i.e., the mutational scanning data of the ACE2–RBD complex^{34–36} and the CTC-445.2–RBD complex,³⁶ are used. The next step is to prepare the features. This requires a variety of biochemical, biophysical, and mathematics features from protein–protein interaction (PPI) complex structures, such as surface areas, partial charges, van der Waals interaction, Coulomb interactions, pH values, electrostatics, persistent homology, graph theory, etc.^{15,33} A detailed list and descriptions of these features are provided in the Supporting Information. In the following, the key idea of the element-specific and site-specific persistent homology is illustrated briefly. As the persistent homology^{37,38} introduced as a useful tool for data analysis for scientific and engineering applications, it is further applied to molecular studies.^{30,39} For 3D structures, atoms are modeled as vertices in a point cloud. Then edges, faces, etc. can be constructed as simplices σ which form simplicial complexes X . Groups $C_k(X)$, $k = 0, 1, 2, 3$ are sets of all chains of k th dimension, which is defined as a finite sum of simplices as $\sum_i \alpha_i \sigma_i^k$ with coefficients α_i . The boundary operator ∂_k , therefore, maps $C_k(X) \rightarrow C_{k-1}(X)$ as

$$\partial_k \sigma^k = \sum_{i=0}^k (-1)^i [v_0, \dots, \hat{v}_i, \dots, v_k] \quad (1)$$

where $\sigma^k = \{v_0, \dots, v_k\}$ and $[v_0, \dots, \hat{v}_i, \dots, v_k]$ is a $(k-1)$ -simplex excluding v_i with $\partial_{k-1} \partial_k = 0$. The chain complex is given as

$$\dots \xrightarrow{\partial_{k+1}} C_k(X) \xrightarrow{\partial_k} C_{k-1}(X) \xrightarrow{\partial_{k-1}} \dots \xrightarrow{\partial_2} C_1(X) \xrightarrow{\partial_1} C_0(X) \xrightarrow{\partial_0} 0 \quad (2)$$

The k th homology group H_k is defined by $H_k = Z_k/B_k$ where $Z_k = \ker \partial_k = \{c \in C_k | \partial_k c = 0\}$ and $B_k = \text{im } \partial_{k+1} = \{\partial_{k+1} c | c \in C_{k+1}\}$. Thus, the Betti numbers can be defined by the ranks of the k th homology group H_k . Persistent homology can be devised to track Betti numbers through a filtration where β_0 describes the number of connected components, β_1 provides the number of loops, and β_2 is the number of cavities. Therefore, using persistent homology, the atoms of 3D structures are grouped according to their elements, as well as the atoms from the binding site of antibodies and antigens. The interactions and their impacts on PPI complex bindings are characterized by the topological invariants, which are further implemented for machine learning training.

Lastly, a deep learning algorithm, artificial or deep neural networks (ANNs or DNNs), is used to tackle the features with data sets for training and predictions.³¹ A trained SARS-CoV-2-specific model is available at TopNetmAb, while the early model, which integrates convolutional neural networks (CNNs) with gradient-boosting trees (GBTs), was trained only on the SKEMPI 2.0 data set with a high accuracy.³³

Recent work with predictions from TopNetmAb is highly consistent with experimental results.^{25,31,40} One should note that the aforementioned SARS-CoV-2-related deep mutational data sets are crucial for prediction accuracy. The Pearson correlation of our predictions for the binding of CTC-445.2 and RBD with experimental data is 0.7.^{31,36} Meanwhile, a Pearson correlation of 0.8 is observed for the predictions of clinical trial antibodies against SARS-CoV-2 induced by emerging mutations in the same work³¹ compared to the natural log of experimental escape fractions.⁴¹ Moreover, the prediction of single mutations L452R and N501Y for the ACE2–RBD complex have a perfect consistency with experimental luciferase data.^{31,42} More detailed validations are in the Supporting Information.

Note Added in Proof. Since the publication of this manuscript in ArXiv on February 10, 2022,⁴³ some experimental results have become available. One study presented neutralizing antibody responses of BA.1 and BA.2 variants against the parental strain found in Wuhan (WA1/2020).⁴⁴ Figure 5 shows neutralizing antibody responses from vaccinated persons infected by SARS-CoV-2 variants. The study reported that the BA.2 variant is about 1.3 fold as capable as BA.1 (or about 30% higher capability) to escape vaccines, which is exactly what we predicted earlier.

Additionally, three other preprints present experimental results of SARS-CoV-2 BA.2 in its reproduction and antibody resistance.^{45–47} In the study of cell culture experiments, the replication ratio of BA.2 is higher than that of BA.1, as well as fusogenic activity.⁴⁵ In the same study, the estimated relative effective reproduction number of BA.2 is 40% more than that of BA.1 by statistical analysis. As for antibody therapy experimental data, a study shows a huge decreasing in the efficacy of antibody resistance for REGN10933, REGN10987, LY-CoV016, LY-CoV555, and their combinations, which is consistent with our predictions.^{46,47} For antibodies AZD1061, AZD8895, and their

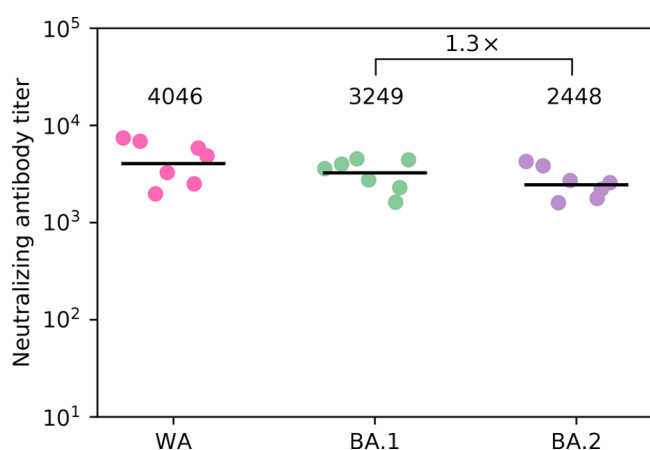


Figure 5. Neutralizing antibody responses among SARS-CoV-2 infected persons with vaccinations reported in ref 44. WA stands for USA-WA1/2020 strain.

combinations, the reduced efficacy is observed for AZD1061 and ACD8895, while their combination has a relative small decrease, which makes the cocktail partially retain its neutralizing ability.⁴⁶ These experimental results are in excellent consistency with our earlier predictions about the efficacy of AZD1061 and AZD8895 and their cocktail.

Finally, in its weekly update published on March 22, 2022,⁴⁸ the WHO reported that BA.2 has taken over as the dominant variant circulating worldwide, which again confirms our predictions.

Conclusion. The Omicron variant has three subvariants: BA.1, BA.2, and BA.3. Omicron BA.1 surprised the scientific community by its large number of mutations, particularly those on the spike (S) protein receptor-binding domain (RBD), which enable its unusual infectivity and high ability to evade antibody protections induced by viral infection and vaccination. Viral RBD interacts with host angiotensin-converting enzyme 2 (ACE2) to initiate cell entry and infection and is a major target for vaccines and monoclonal antibodies (mAbs). Omicron BA.1 exploits its 15 RBD mutations to strengthen its infectivity and disrupt mAbs generated by prior viral infection or vaccination. Omicron BA.2 and BA.3 share 12 RBD mutations with BA.1 but differ by 4 and 3 RBD mutations, respectively, suggesting potentially serious threats to human health. However, no experimental result has been reported for Omicron BA.2 and BA.3, although BA.2 is found to be able to alarmingly reinfect patients originally infected by Omicron BA.1.¹² In this work, we present deep learning predictions of BA.2's and BA.3's potential to become another dominating variant. Using an intensively tested deep learning model trained with tens of thousands of experimental data, we investigate Omicron BA.2's and BA.3's RBD mutational impacts on the RBD–ACE2 binding complex to understand their infectivity and a library of 185 antibodies to shed light on their threats to vaccines and existing mAbs. We unveil that BA.2 is about 1.5 and 4.2 times as contagious as BA.1 and Delta, respectively. It is also 30% and 17-fold more capable than BA.1 and Delta, respectively, to escape current vaccines. It is predicted to undermine most existing mAbs. We forecast Omicron BA.2 will become another prevailing variant by infecting populations with or without antibody protection.

■ ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acs.jpclett.2c00469>.

Analysis of variant mutation-induced BFE changes for Alpha, Beta, Gamma, Lambda, and Mu variants (extension of Figure 3); supplementary methods of feature generation; supplementary machine/deep learning methods; supplementary validation (PDF)

Supplementary Data: antibody BFE changes induced by Omicron subvariant mutations and the list of antibodies targeting S protein RBD with corresponding PDB IDs (ZIP)

Transparent Peer Review report available (PDF)

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Notes

The authors declare no competing financial interest.

The 185 antibody–RBD complexes and the predictions of BFE changes induced by mutations are given in the Supporting Information. The machine learning model, TopNetTree, can be found at TopNetmAb. Feature generation, mathematical methods, and machine/deep learning methods with detailed descriptions are given in the sections S3 and S4 of the Supporting Information followed the validation in section S5 of the Supporting Information.

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