

# Padé approximants to $B \rightarrow \pi \ell \nu_\ell$ and $B_s \rightarrow K \ell \nu_\ell$ and determination of $|V_{ub}|$

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(Received 22 October 2021; accepted 14 December 2021; published 29 December 2021)

In light of the first observation of the semileptonic decay  $B_s^0 \rightarrow K^- \mu^+ \nu_\mu$  by the LHCb Collaboration, we revisit the determination of the Cabibbo-Kobayashi-Maskawa parameter  $|V_{ub}|$  from exclusive semileptonic  $B$ -meson decays. A controlled theoretical input on the Standard Model  $B \rightarrow \pi$  and  $B_s \rightarrow K$  vector and scalar form factors from Lattice QCD in the large  $q^2$  region, in combination with experimental measurements of the differential  $B \rightarrow \pi \ell \nu_\ell$  and  $B_s^0 \rightarrow K^- \mu^+ \nu_\mu$  branching ratio distributions, has allowed us to determine  $|V_{ub}| = 3.86(11) \times 10^{-3}$  and  $|V_{ub}| = 3.58(9) \times 10^{-3}$  from the analyses of the individual decay channels, respectively, and  $|V_{ub}| = 3.68(5) \times 10^{-3}$  from a simultaneous analysis of both decays, which is only a 1.4% error and differs by  $1.8\sigma$  with respect to the value from inclusive determinations  $|V_{ub}| = 4.25(12)_{-14}^{+15}(23) \times 10^{-3}$ . Our results are based on the use of Padé approximants to the participating form factors, highlighting the importance of the decay  $B_s \rightarrow K \mu \nu_\mu$  in complementing the traditional  $B \rightarrow \pi \ell \nu_\ell$  one in the exclusive determination of  $|V_{ub}|$  and allowing us to obtain, to the best of our knowledge, the first correlated results for the  $B \rightarrow \pi$  and  $B_s \rightarrow K$  vector and scalar form factors. We hope that our study strengthens the case for precise measurements of the differential  $B_s \rightarrow K \ell \nu_\ell$  decay rate with a finer resolution of the  $q^2$  bins, as it would definitely allow achieving more conclusive results for  $|V_{ub}|$ .

DOI: [10.1103/PhysRevD.104.114041](https://doi.org/10.1103/PhysRevD.104.114041)

## I. INTRODUCTION

The Cabibbo-Kobayashi-Maskawa (CKM) matrix describes quark flavor-changing transitions in the Standard Model (SM). The elements of the CKM matrix, denoted by  $V_{ij}$  for a transition of a  $j$ -type quark to a  $i$ -type ones, are fundamental parameters of the SM, and knowledge of their magnitude with high accuracy is absolutely mandatory for precise SM tests. The CKM matrix is unitary in the SM; i.e., it satisfies  $\sum_i V_{ij} V_{ik}^* = \delta_{jk}$  and  $\sum_j V_{ij} V_{kj}^* = \delta_{ik}$ . Violations of unitarity are evidence of physics beyond the Standard Model (BSM). Each particular matrix element can be determined from multiple processes, and if the SM predictions do not imply identical values of the particular element, that could also be a hint for non-SM

physics. Of course, to unravel such BSM's evidences requires precision calculations of the SM.

There are many processes where one can test the CKM matrix and extract its elements. Among them, purely leptonic weak decays, e.g.,  $P^- \rightarrow \ell^- \bar{\nu}_\ell$  with  $P = \{\pi, K, D, B\}$ , offer (in general) a theoretically clean environment for the determination of the CKM elements more advantageous than the semileptonic ones,<sup>1</sup> where the decay rates depend on hadronic information that is encoded in form factors. In addition, both leptonic and semileptonic decays offer an opportunity to test lepton flavor universality as  $\ell$  can be  $e, \mu$ , or  $\tau$ . The current status of the magnitude of the CKM matrix elements and future prospects for improving their determination can be found in the Particle Data Group [2] as well in the Flavour Lattice Averaging Group (FLAG) report [1] (see also Ref. [3]).

In this paper, we concentrate on  $|V_{ub}|$ , one of the least-known CKM elements which governs the strength of  $b \rightarrow u$  transitions, and we consider only exclusive processes. Among the three possible  $B$ -meson leptonic channels

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<sup>1</sup>The only hadronic input required in leptonic decays are the decay constants of the decaying mesons, which are well calculated in Lattice QCD [1].

to obtain exclusive determinations of  $|V_{ub}|$ , the only available experimental input comes from  $B \rightarrow \tau \nu_\tau$ , since the partial decay rates to  $e$  and  $\mu$  have not been measured yet. However, the averaged experimental measurements [1] from *BABAR*,  $\text{BR}(B \rightarrow \tau \nu_\tau) = 1.79(48) \times 10^{-4}$ , and *Belle*,  $\text{BR}(B \rightarrow \tau \nu_\tau) = 0.91(22) \times 10^{-4}$ , both coming from averaging different  $\tau$ -reconstruction channels, do not agree well and have large errors (about 25%). These measurements yield  $|V_{ub}|f_B = 0.72(9)$  MeV and  $|V_{ub}|f_B = 1.01(14)$  MeV [1], respectively, which can be used to extract  $|V_{ub}|$  when combined with Lattice QCD predictions of the  $B$ -meson decay constant  $f_B$ . As an example, using  $f_B = 192.0(4.3)$  MeV from a  $N_f = 2 + 1$  flavor gauge-field ensemble [1], one gets  $|V_{ub}| = 5.26(12)(73) \times 10^{-3}$  from the *BABAR* measurement and  $|V_{ub}| = 3.75(8)(47) \times 10^{-3}$  from the *Belle* one, where the first uncertainty comes from the error in  $f_B$  and the second one from experimental considerations. The discrepancy between these two results is manifest. This means, in practice, that a reliable determination of  $|V_{ub}|$  from leptonic decays will only be possible with the new and more precise data expected from *Belle-II* [4].

Currently, the most precise determination of  $|V_{ub}|$  comes from charmless semileptonic  $B$ -meson decays, using exclusive or inclusive methods. Inclusive determinations rely on the operator product expansion and perturbative QCD applied to  $B \rightarrow X_\mu \ell \bar{\nu}_\ell$  observables, while the exclusive one require knowledge of the participating form factors. The most competitive exclusive determination of  $|V_{ub}|$  is obtained from the decay channel  $B \rightarrow \pi \ell \nu_\ell$ , which has generally exhibited a tension with inclusive determinations (see Ref. [3] for a history of the comparison). More specifically, the experimental  $B \rightarrow \pi \ell \nu_\ell$  observable depends upon known quantities,  $|V_{ub}|$ , that we would like to determine, and the  $B \rightarrow \pi$  form factors, that we need to describe and extrapolate to  $q^2 = 0$  to obtain that  $|V_{ub}|$ . While QCD light-cone sum rules have been used to calculate the value of the vector form factor at  $q^2 = 0$  with certain error [5], precise Lattice QCD simulations are available in the energy region close to the maximum momentum transfer to the leptons,  $17 \text{ GeV}^2 < q^2 < 26 \text{ GeV}^2$  from the HPQC Collaboration [6], the RBC and UKQCD (RBC/UKQCD) Collaborations [7], and the Fermilab Lattice and MILC (FNAL/MILC) Collaborations [8]. Several representations have been proposed for the form factor interpolation between these two regimes, including dipolelike functions [9,10], the so called  $z$ -expansion parametrizations [11,12], and more recently Padé approximants [13]. These parametrizations can be used to obtain  $|V_{ub}|$  via a simultaneous fit of the Lattice QCD form factor calculations and the partial branching ratios experimental data [14–18]. The  $q^2$  dependence of the form factor is thus fixed at small  $q^2$  by data, which due to phase-space suppression have poor

access to the large- $q^2$  region, and at large  $q^2$  by the Lattice simulations, which has a larger uncertainty than the experiment at small  $q^2$  due to the extrapolation. The theoretical uncertainties on the form factors were the dominant source error in  $|V_{ub}|$  until the 2015 FNAL/MILC results [8], which brought the QCD error to the same level as the experimental one. In the intermediate energy region around  $q^2 \sim 20 \text{ GeV}^2$ , both the experimental and Lattice QCD errors are similar in size. This region is decisive for determining  $|V_{ub}|$  with precision and can be employed to estimate the individual contributions from experimental and Lattice data.

The semileptonic  $B_s \rightarrow K \ell \nu_\ell$  also depends on the CKM element  $|V_{ub}|$ . The only difference with respect to the decay  $B \rightarrow \pi \ell \nu_\ell$  is that in  $B_s \rightarrow K \ell \nu_\ell$  the light spectator quark is a strange quark instead of an up or down quark as in the former process. The  $B_s \rightarrow K$  form factors have been simulated on the Lattice by the HPQCD Collaboration [19], the RBC/UKQCD Collaborations [7], the ALPHA Collaboration [20], and more recently by the FNAL/MILC Collaborations [21]. As in the  $B \rightarrow \pi \ell \nu_\ell$  case, these calculations can be used to extract  $|V_{ub}|$  when combined with experimental measurements for  $B_s \rightarrow K \ell \nu_\ell$ , which can play an important role in reassessing the result and addressing the current exclusive versus inclusive  $|V_{ub}|$  puzzle; while this discrepancy is unlikely to be due to new physics [22], different strategies aimed at solving this and other challenges in semileptonic  $B$  decays have arisen [23]. Recently, the first experimental data on  $B_s \rightarrow K \ell \nu_\ell$  became available by the LHCb Collaboration, which measured the partial branching ratio distribution in two regions of  $q^2$  [24]. In our work, we use these data to determine  $|V_{ub}|$  and illustrate the potential of a combined analysis of the decays  $B \rightarrow \pi \ell \nu_\ell$  and  $B_s \rightarrow K \ell \nu_\ell$ . The decay  $B_s \rightarrow K \ell \nu_\ell$  is also expected to be studied at the *Belle-II* experiment [4], where the  $e^+e^-$  collisions would yield a cleaner environment than the LHC. Other processes offering interesting information on  $|V_{ub}|$ , but not considered in our analysis, include the  $B_{c4}$  [25] and the baryonic  $\Lambda_b \rightarrow p \ell \bar{\nu}_\ell$  decays [26,27].

This paper is structured as follows. The hadronic matrix element and the participating vector and scalar form factors are defined in Sec. II, where the differential decay distribution in terms of the latter is also given. In Sec. III, we determine  $|V_{ub}|$  and the corresponding form factor parameters from fits to the  $B \rightarrow \pi \ell \nu_\ell$  and  $B_s \rightarrow K \mu \nu_\mu$  experimental measurements on the differential branching ratio distribution combined with the Lattice QCD theoretical information on the form factors. In Secs. III A and III B, we first perform individual studies of both decays separately, and after that, in Sec. III C, we perform a simultaneous analysis including all available experimental and theoretical information on both exclusive decays. The outputs of our fits are then used in Sec. IV to calculate some

interesting phenomenological observables such as total decay rates,  $\tau$ -to- $\mu$  ratio of differential decay rates, and the forward-backward asymmetry. We close with an outlook in Sec. V.

## II. DECAY AMPLITUDE AND FORM FACTORS

In the SM, the amplitude for the exclusive semileptonic decays  $B \rightarrow \pi \ell \nu_\ell$  is given by

$$i\mathcal{M} = \frac{G_F V_{ub}}{\sqrt{2}} L_\mu H^\mu, \quad (1)$$

where  $G_F$  is the Fermi constant, and  $V_{ub}$  is the participating element of the CKM matrix. In Eq. (1), the leptonic currents have the structure

$$L_\mu = \bar{u}(p_\nu) \gamma_\mu (1 - \gamma^5) v(p_\ell), \quad (2)$$

while the hadronic matrix element can be decomposed in terms of allowed Lorentz structures and two form factors encoding the hadronic information,

$$\begin{aligned} H_\mu &= \langle \pi(p_\pi) | \bar{u} \gamma_\mu b | B(p_B) \rangle \\ &= \left( p_B + p_\pi - q \frac{m_B^2 - m_\pi^2}{q^2} \right)_\mu f_+(q^2) \\ &\quad + \frac{m_B^2 - m_\pi^2}{q^2} q_\mu f_0(q^2), \end{aligned} \quad (3)$$

where  $q_\mu = (p_B - p_\pi)_\mu = (p_\ell + p_{\nu_\ell})_\mu$  is the transferred momentum to the dilepton pair. The  $q^2$  functions  $f_+(q^2)$  and  $f_0(q^2)$  are, respectively, the vector and scalar form factors corresponding to the exchange of  $J^P = 1^-$  and  $0^+$  particles in case there is a nonresonant background. These two form factors satisfy a kinematical constraint,

$$f_+(0) = f_0(0), \quad (4)$$

which eliminates the (spurious) pole at  $q^2 = 0$  in Eq. (3).

In terms of these form factors, the dilepton mass squared distribution reads

$$\begin{aligned} \frac{d\Gamma(B \rightarrow \pi \ell \nu_\ell)}{dq^2} &= \frac{G_F^2 |V_{ub}|^2 \lambda^{1/2}(m_B^2, m_\pi^2, q^2)}{128 m_B^3 \pi^3 q^2} \left( 1 - \frac{m_\ell^2}{q^2} \right)^2 \\ &\quad \times \left\{ m_\ell^2 (m_B^2 - m_\pi^2)^2 |f_0(q^2)|^2 + \frac{2q^2}{3} \lambda(m_B^2, m_\pi^2, q^2) \left( 1 + \frac{m_\ell^2}{2q^2} \right) |f_+(q^2)|^2 \right\}, \end{aligned} \quad (5)$$

where  $\lambda(x, y, z) = (x + y - z)^2 - 4xy$  is the Kallen function. For the decay  $B_s \rightarrow K \ell \nu_\ell$ , the distribution is that of Eq. (5) but replacing  $m_B \rightarrow m_{B_s}$ ,  $m_\pi \rightarrow m_K$  and the  $B \rightarrow \pi$  form factors by the  $B_s \rightarrow K$  ones.

The present best knowledge of the vector and scalar  $B \rightarrow \pi$  and  $B_s \rightarrow K$  form factors are obtained from Lattice QCD calculations in the large- $q^2$  region, which are then extrapolated to the full kinematic range, i.e.,  $0 < q^2 < (m_B - m_\pi)^2$ , using parametrizations based on resonance-exchange ideas [28–31] or the  $z$  expansion [12]. As shown in [13], these parametrizations are in a form or another of a certain kind of Padé approximant, which we use in this work. Here, we only briefly review them, referring to Refs. [13,32] for further details.

Padé approximants (PA in what follows) to a given function are ratios of two polynomials (with degrees  $M$  and  $N$ , respectively),

$$P_N^M(q^2) = \frac{\sum_{j=0}^M a_j (q^2)^j}{\sum_{k=0}^N b_k (q^2)^k} = \frac{a_0 + a_1 q^2 + \dots + a_M (q^2)^M}{1 + b_1 q^2 + \dots + b_N (q^2)^N}, \quad (6)$$

with coefficients determined after imposing a set of a accuracy-through-order conditions with the function  $f(q^2)$  one wants to approximate,

$$f(q^2) - P_N^M(q^2) = \mathcal{O}(q^2)^{M+N+1}. \quad (7)$$

In our case, the key point is to realize that the form factors  $f_{+,0}(q^2)$  are Stieltjes functions, which are functions that can be represented by an integral form defined as [32]

$$f(q^2) = \int_0^{1/R} \frac{d\phi(u)}{1 - uq^2}, \quad (8)$$

where  $\phi(u)$  is any bounded and nondecreasing function. By defining  $R = s_{\text{th}} = (m_B + m_\pi)^2$ , or  $(m_{B_s} + m_K)^2$  for  $B_s \rightarrow K \ell \nu_\ell$ , identifying  $d\phi(u) = \frac{1}{\pi} \frac{\text{Im}f(1/u)}{u} du$ , and making the change of variables  $u = 1/s$ , Eq. (8) returns a dispersive form factor representation,

$$f(q^2) = \frac{1}{\pi} \int_{s_{\text{th}}}^\infty ds' \frac{\text{Im}f(s')}{s' - q^2 - i\epsilon}, \quad (9)$$

where  $q^2$  is the invariant mass of the lepton pair. Since  $f(q^2)$ , and its imaginary part, is created by the vector current,  $\text{Im}f(s)$  is a positive function [ $\text{Im}f(s) = \pi\rho(s)$  and  $\rho(s)$  the spectral function]. The requirement of  $\phi(u)$  to be nondecreasing is fulfilled, and the convergence of PA to  $f(q^2)$  is guaranteed.

Whenever information on resonance contributions to those form factors is available, for example, the position of the resonance in the complex  $q^2$  plane, it can be easily included in the definition of the PA by forcing the poles of the approximant to lie exactly at the position of the resonance. When the  $N$  poles are included in advance, the PA is called Padé-Type  $T_N^M$ , while when  $K < N$  poles are fixed and the rest  $N - K$  are left free, it is called the Partial-Padé approximant,  $P_{K,N-K}^M$ . In the present case, where  $B^*(1^-)$  resonance is known and can be nicely parametrized with the narrow-width approximation (the resonances lie in the real axis), we also consider such PA extensions.

In the present work, we are going to use Padé theory extensively to parametrize both  $B \rightarrow \pi$  and  $B_s \rightarrow K$  vector and scalar form factors in order to extrapolate the large- $q^2$  region's calculations obtained from Lattice QCD to the full kinematic range and, in particular, at  $q^2 = 0$ . An advantage of the Padé method in front of other parametrizations is the monitoring of unitary violations. While the unitary constraint in  $z$  parametrizations is rather vague, with PA it is crystal clear [13,33,34]; PA to Stieltjes functions are also Stieltjes functions. All PA poles must be real. The presence of complex-conjugated poles and/or zeros when approximating Stieltjes functions is a notorious violation of convergence, possible only if unitary violation is present in data (which is a non-Stieltjes property). We explore this property in the present work which extends and thus supersedes our previous attempt in Ref. [13].

### III. $|V_{ub}|$ DETERMINATIONS

#### A. Fits to the decay $B \rightarrow \pi \ell \nu_\ell$

We start performing fits to the  $B \rightarrow \pi \ell \nu_\ell$  differential branching ratio distribution experimental measurements combined with the  $B \rightarrow \pi$  form factor Lattice QCD simulated data. To that end, we minimize the following  $\chi^2$ -like function:

$$\chi_{B\pi}^2 = N \left( \frac{\chi_{\text{data}}^2}{N_{\text{data}}} + \frac{\chi_{\text{Lattice}}^2}{N_{\text{Lattice}}} \right), \quad (10)$$

where  $N_{\text{data}}$  is the number of experimental points,  $N_{\text{Lattice}}$  the number of the Lattice form factor  $q^2$  points, and  $N = N_{\text{data}} + N_{\text{Lattice}}$ . The above definition ensures the  $\chi^2$  function with a smaller number of points is well represented in  $\chi_{B\pi}^2$  and is not overridden by that with a larger number of points. The individual  $\chi^2$  functions in Eq. (10) are given by

$$\chi_{\text{data}}^2 = \sum_{i,j=1}^{13} \Delta_i^{\text{data}} (\text{Cov}_{ij}^{\text{data}})^{-1} \Delta_j^{\text{data}}, \quad (11)$$

where

$$\Delta_k^{\text{data}} = \left( \frac{\Delta B}{\Delta q^2} \right)_k^{\text{data}} - \frac{\tau_{B^0}}{\Delta q_k^2} \int_{q_k^{\text{low}}}^{q_k^{\text{high}}} dq^2 \frac{d\Gamma}{dq^2}, \quad (12)$$

TABLE I. Central values, uncertainties, and correlation matrix for the  $B \rightarrow \pi$  vector and scalar form factors,  $f_{+,0}^{B\pi}(q^2)$ , generated at three representative values of  $q^2$  from the FLAG results [1] and used in our fits in Eqs. (10) and (18).

			Correlation matrix				
			$f_+^{B\pi}$			$f_0^{B\pi}$	
Form factor	$q^2$ (GeV <sup>2</sup> )	Central values	18	22	26	18	22
$f_+^{B\pi}$	18	1.007(48)	1	0.615	0.129	0.586	0.151
	22	1.967(52)		1	0.382	0.170	0.245
	26	6.332(256)			1	0.306	0.221
$f_0^{B\pi}$	18	0.413(25)				1	0.734
	22	0.588(21)					1

and

$$\chi_{\text{Lattice}}^2 = \sum_{i,j=1}^5 (f_{+,0}^{\text{Lattice}}(q^2) - P_N^M(q^2))_i (\text{Cov}_{ij}^{\text{Lattice}})^{-1} \times (f_{+,0}^{\text{Lattice}}(q^2) - P_N^M(q^2))_j. \quad (13)$$

For the fit, we use the spectrum (and correlation) in 13 bins of  $q^2$  ( $N_{\text{data}} = 13$ ) from the HFLAV group [35], which results from the average of the four most precise measurements of the differential  $B \rightarrow \pi \ell \nu_\ell$  decay rate from BABAR [15,16] and Belle [17,18], the theoretical prediction of the partial decay rate Eq. (5), and the  $B^0$ -meson lifetime  $\tau_{B^0}$ . For the Lattice QCD information on the shape of the vector and scalar form factors, contained in  $f_{+,0}^{\text{Lattice}}(q^2)$  in Eq. (13), we use the results from the FLAG group [1], which are given in their Table 41. However, these are presented as a formula, resulting from fits to a  $z$  parametrization with five fit parameters, rather than as synthetic data for several values of  $q^2$ . For our analysis, we have generated synthetic data at three representative values of  $q^2$  from their  $z$  fits. In particular, we have generated, respectively, three and two data points for the vector and scalar form factors ( $N_{\text{Lattice}} = 5$ ), which we gather in Table I and use in our fits.<sup>2</sup>

<sup>2</sup>Although synthetic data can be easily generated from the  $z$ -parametrization results, choosing the number of points and the  $q^2$  leading to an optimal description of the form factors is not as straightforward. In our case, we can generate five data points at most, as it would be inconsistent to generate more synthetic data than the independent coefficients of the  $z$  fit; if more are generated, the resulting correlation matrix has zero eigenvalues, which implies a noninvertible covariance matrix. We have checked that a  $z$  fit with five parameters to the data given in Table I yields the results of the Table 41 from FLAG [1]. In our opinion, it would be more beneficial if the Lattice form factor calculations would be made available at some representative  $q^2$  values along with the corresponding bin-to-bin correlation, apart from the parametrization coefficients of the  $z$  fit, such that the results can be independently parametrized without assumptions on the functional form of the form factors.



TABLE II. Best fit values and uncertainties for the output quantities of our  $\chi^2_{B\pi}$  fits, Eq. (10), for Padé sequences of types  $P_1^M$  and  $P_2^M$ .

Parameter	Element of the $P_1^M$ sequence				Element of the $P_2^M$ sequence			
	$P_1^0$	$P_1^1$	$P_1^2$	$P_1^3$	$P_2^0$	$P_2^1$	$P_2^2$	$P_2^3$
$ V_{ub}  \times 10^3$	2.47(6)	3.66(10)	3.85(11)	3.86(11)	3.85(11)	3.88(11)	3.86(12)	3.86(12)
$a_0^+$	0.398(7)	0.245(8)	0.253(8)	0.240(11)	0.246(7)	0.248(7)	0.244(7)	0.242(10)
$a_1^+ \times 10^3$	...	7.9(4)	2.8(1.4)	8.1(3.3)	...	-1.9(1.4)	-3.5(9)	-2.5(4.5)
$a_2^+ \times 10^4$	...	...	2.4(6)	-3.3(3.3)	...	...	-1.7(8)	-2.5(2.4)
$a_3^+ \times 10^5$	...	...	...	1.7(1.0)	...	...	...	0.2(9)
$m_{B^*(1^-)} \text{ pole(s) [GeV]}$	5.26	5.29	5.31	5.33	5.32 & 7.11	5.34 & 6.40	†	†
$a_1^0 \times 10^2$	-1.3(1)	-0.2(1)	-0.5(1)	-0.4(1)	-0.4(1)	-0.5(1)	-0.5(1)	-0.5(1)
$m_{B^*(0^+)} \text{ pole [GeV]}$	5.17	5.72	5.45	5.43	5.47	5.39	5.38	5.38
$\chi^2_{\text{data}}[N_{\text{data}} = 13]$	157.07	12.64	11.51	11.92	10.76	11.87	10.80	10.90
$\chi^2_{\text{Lattice}}[N_{\text{Lattice}} = 5]$	18.19	5.15	1.72	0.67	1.53	0.75	0.42	0.34
$(\chi^2_{\text{data}} + \chi^2_{\text{Lattice}})/\text{d.o.f.}$	13.48	1.48	1.20	1.26	0.95	1.05	1.02	1.12

TABLE III. Best fit values and uncertainties for the output quantities of our  $\chi^2_{B\pi}$  fits, Eq. (10), for Padé sequences of types  $T_1^M$  and  $P_{1,1}^M$ .

Parameter	Element of the $T_1^M$ sequence				Element of the $P_{1,1}^M$ sequence			
	$T_1^0$	$T_1^1$	$T_1^2$	$T_1^3$	$P_{1,1}^0$	$P_{1,1}^1$	$P_{1,1}^2$	$P_{1,1}^3$
$ V_{ub}  \times 10^3$	2.19(5)	3.55(9)	3.87(11)	3.85(11)	3.85(11)	3.87(11)	3.86(11)	3.85(11)
$a_0^+$	0.445(6)	0.246(8)	0.256(7)	0.241(9)	0.245(7)	0.248(7)	0.247(8)	0.243(11)
$a_1^+ \times 10^3$	...	9.1(3)	1.5(1.2)	7.7(2.7)	...	-1.3(9)	-1.3(8)	3.5(11.4)
$a_2^+ \times 10^4$	...	...	3.2(5)	-2.7(2.3)	...	...	-0.3(1.0)	-1.9(3.3)
$a_3^+ \times 10^5$	...	...	...	1.5(6)	...	...	...	0.9(2.0)
$m_{B^*(1^-)} \text{ pole(s) (GeV)}$	= 5.325	= 5.325	= 5.325	= 5.325	= 5.325 & 7.03	= 5.325 & 6.64	= 5.325 & 6.46	= 5.325 & 8.97
$a_1^0 \times 10^2$	-1.9(1)	-0.4(1)	-0.5(1)	-0.4(1)	-0.4(1)	-0.5(1)	-0.4(1)	-0.4(1)
$m_{B^*(0^+)} \text{ pole (GeV)}$	4.78	5.57	5.36	5.44	5.45	5.43	5.44	5.44
$\chi^2_{\text{data}}[N_{\text{data}} = 13]$	182.19	17.21	13.64	11.65	11.27	11.26	10.95	11.17
$\chi^2_{\text{Lattice}}[N_{\text{Lattice}} = 5]$	41.05	11.53	1.93	0.78	1.57	1.04	1.15	0.92
$(\chi^2_{\text{data}} + \chi^2_{\text{Lattice}})/\text{d.o.f.}$	15.95	2.21	1.30	1.13	0.92	0.95	1.01	1.10

For the dominant vector form factor, we start fitting with Padé sequences of the types  $P_1^M(q^2)$  and  $P_2^M(q^2)$ , where the poles are left free to be fitted, and we reach, respectively,  $M = 3$  and  $M = 2$  as the best approximants with the current data. The results of the fits for  $|V_{ub}|$  and the fitted coefficients are presented in Table II for the two Padé sequences.<sup>3</sup> In the table, the poles denoted by the symbol † are Froissart doublet poles.<sup>4</sup> We also show the coefficients of the  $P_1^1(q^2)$  approximants used for the description of the scalar form factor, which provides an optimal description of

the data.<sup>5</sup> The latter contains only two free parameters,  $a_1^0$  and the effective  $m_{B^*(0^+)} \text{ pole}$ , as in our fits the constraint at  $q^2 = 0$ , i.e.,  $f_+^{B \rightarrow \pi}(0) = f_0^{B \rightarrow \pi}(0)$  [cf. Eq. (4)], has been implemented explicitly through  $a_0^+ = a_0^0$ . Had we fit with sequences of the types  $T_1^M(q^2)$  and  $P_{1,1}^M(q^2)$ , where the  $B^*(1^-)$  pole is fixed to the PDG mass,  $m_{B^*(1^-)} = 5.325 \text{ GeV}$  [2], we would have reached, respectively,  $M = 3$  and  $M = 2$  as the best approximants and obtained the results collected in Table III. In Fig. 1 we provide a graphical account of the convergence pattern for  $|V_{ub}|$  and  $f_+^{B \rightarrow \pi}(0)$  resulting from the four types of sequences we have considered. The stability observed for these quantities is quite reassuring. The values obtained for the individual  $\chi^2$  functions,  $\chi^2_{\text{data}}$  and  $\chi^2_{\text{Lattice}}$ , imply a good quality of the fits.

<sup>3</sup>In the table, the element  $P_2^3(q^2)$  is only shown for illustration.

<sup>4</sup>The element  $P_2^2(q^2)$  [also  $P_2^3(q^2)$ ] has complex-conjugate poles with a small imaginary which are paired up by a close by zero in the numerator, thus becoming effectively a defect, also called the Froissart doublet. These poles lie within the radius of convergence, indicating a certain degree of unitarity violation in the data [13], since their presence is forbidden when dealing with Stieltjes functions.

<sup>5</sup>We have also tried a  $P_1^2(q^2)$  approximant for the scalar form factor and found no impact on  $|V_{ub}|$ .

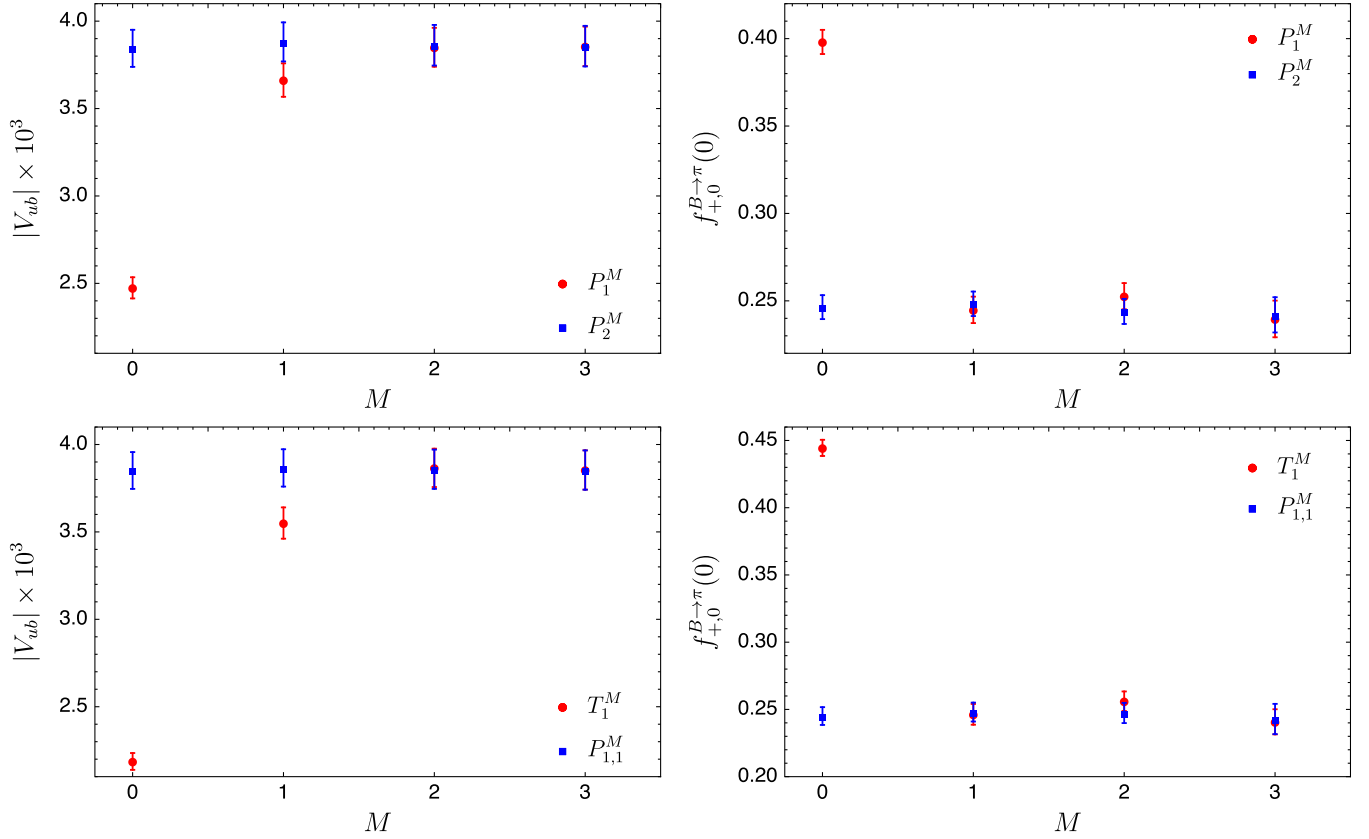


FIG. 1. Convergence pattern of the  $P_1^M$  and  $P_2^M$  (upper panels) and  $T_1^M$  and  $P_{1,1}^M$  (lower panels) sequences for  $|V_{ub}|$  and  $f_{+,0}^{B \rightarrow \pi}(0)$  resulting from our fits in Tables II and III.

Furthermore, we note that the approximants with two poles yield excellent values for the quantity  $(\chi^2_{\text{data}} + \chi^2_{\text{Lattice}})/\text{d.o.f.}$ . In terms of the latter, our best fit<sup>6</sup> is obtained with a  $P_{1,1}^2$  approximant, which yields

$$|V_{ub}| = 3.86(11) \times 10^{-3}, \quad (14)$$

although the values of  $|V_{ub}|$  obtained with the other approximants are almost identical as it can be seen in the tables. For our best fit,  $P_{1,1}^2$ , the quoted uncertainty on  $|V_{ub}|$  is 2.9% [cf. Eq. (14)], and we gather the resulting fit parameters along with the correlation matrix in Table VIII of the Appendix. Our  $|V_{ub}|$  value in Eq. (14) is larger and slightly more precise than the FNAL/MILC result,  $|V_{ub}| = 3.72(16) \times 10^{-3}$  [8], and the FLAG reported value,  $|V_{ub}| = 3.73(14) \times 10^{-3}$  [1]. The reason for that is due to the adopted  $\chi^2$  fit function in Eq. (10), which we consider as more democratic. In addition, this procedure has an impact on the comparison with respect to  $|V_{ub}|$  determinations from inclusive decays  $B \rightarrow X_u \ell \nu_\ell$ ,

<sup>6</sup>Our best fit is defined as the last approximant of a given sequence with all parameters different from zero at a one sigma distance and with  $\chi^2/\text{d.o.f.}$  closer to one.

$|V_{ub}| = 4.25(12)_{-14}^{+15}(23) \times 10^{-3}$  [2], with which our values differ by only  $1.35\sigma$ . In Fig. 2, we show the differential branching ratio distribution (left plot) and the outputs for the vector and scalar form factors (right plot) resulting from our preferred fit  $P_{1,1}^2$ .

Had we performed an analysis including only the vector form factor Lattice data into the fit,<sup>7</sup> we would have reached  $M = 2$  and obtained the results shown in Table IV.<sup>8</sup> Note that  $|V_{ub}|$  in this fit,  $|V_{ub}| = 3.65(11) \times 10^{-3}$ , shifts by about  $\sim 1.3\sigma$  downwards with respect to the value given in Eq. (14),  $|V_{ub}| = 3.86(11) \times 10^{-3}$ , obtained with the scalar form factor Lattice data taken into account. The origin of this shift stems from the fact that the FLAG value for  $f_{+,0}^{B \rightarrow \pi}(0)$  resulting from a standalone  $z$  fit to the vector form factor,  $f_{+,0}^{B \rightarrow \pi}(0) = 0.288(87)$  [1], which is the most relevant input for the extraction of  $|V_{ub}|$ , shifts by about  $1.2\sigma$  upwards with respect to their  $z$  fits including the scalar form

<sup>7</sup>For this fit, we have taken the limit  $m_\ell \rightarrow 0$  in Eq. (5) and used the synthetic data from Table IX of the Appendix, which have been generated from the FLAG standalone  $z$  fit to the vector form factor given in Eq. (224) in [1].

<sup>8</sup>As a matter of example, in this table, we only report  $P_1^M$  approximants. Similar results and conclusions are obtained using the other approximants considered in Tables II and III.

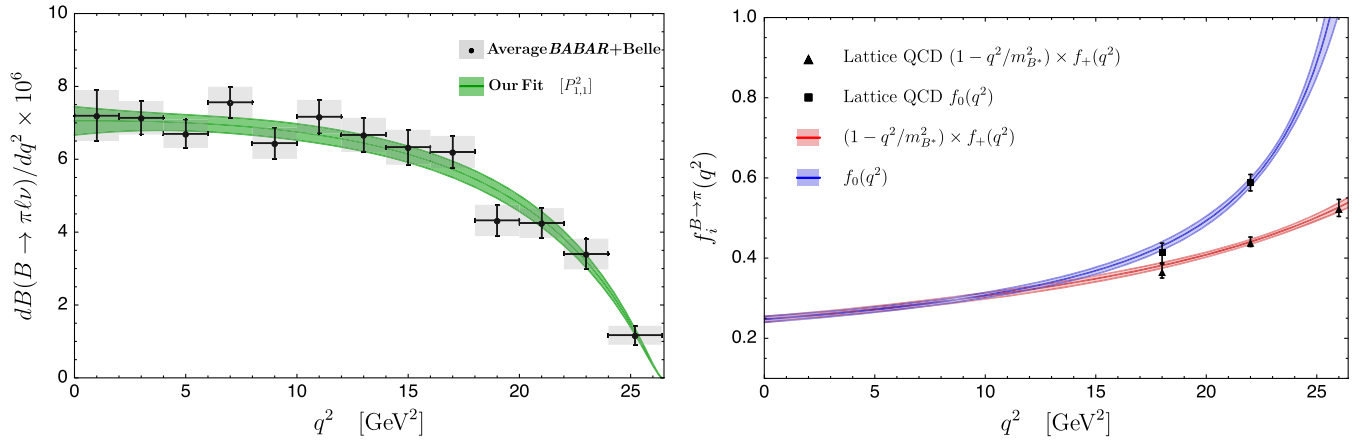


FIG. 2. Left: averaged *BABAR* and *Belle*  $B \rightarrow \pi \ell \nu$  differential branching ratio distribution (gray) [35] as compared to our  $P_{1,1}^2$  result (green) obtained in combined fits as presented in Table III. Right: output for the  $B \rightarrow \pi$  vector (red) and scalar (blue) form factors.

factor,  $f_+^{B \rightarrow \pi}(0) = 0.139(90)$  [1], which is obtained with the restriction  $f_+^{B \rightarrow \pi}(0) = f_0^{B \rightarrow \pi}(0)$ . In this case, our  $|V_{ub}|$  value is found to be in line with the HFLAV result,  $|V_{ub}| = 3.70(10)(12) \times 10^{-3}$  [35], obtained from  $z$  fits with the vector form factor only; our central value is slightly smaller due to the form adopted in Eq. (10).

### B. Fits to the decay $B_s \rightarrow K \ell \nu_\ell$

For the determination of  $|V_{ub}|$  from the decay  $B_s \rightarrow K \ell \nu_\ell$ , we follow a strategy similar to that of the previous section for  $B \rightarrow \pi \ell \nu$ , using recent experimental information on the decay spectrum together with the form factors shape information from theory given by the Lattice QCD Collaborations.

The RBC/UKQCD Lattice Collaboration provides its results for both the vector and scalar form factors as synthetic, correlated data at three representative  $q^2$  values

TABLE IV. Best fit values, uncertainties, and correlation matrix for the output quantities of our  $\chi_{B\pi}^2$  fits, Eq. (10), obtained from the averaged  $B \rightarrow \pi \ell \nu_\ell$  *BABAR* and *Belle* experimental data [35] in combination with the Lattice QCD vector form factor simulations [36].

Parameter	Element of the Padé sequence			
	$P_1^0$	$P_1^1$	$P_1^2$	$P_1^3$
$ V_{ub}  \times 10^3$	2.40(6)	3.56(9)	3.65(11)	3.66(11)
$a_0^+$	0.409(6)	0.251(8)	0.256(8)	0.260(11)
$a_1^+ \times 10^3$	...	8.3(4)	5.8(1.4)	3.5(3.5)
$a_2^+ \times 10^4$	...	...	1.2(7)	3.5(3.3)
$a_3^+ \times 10^6$	...	...	...	-6.6(9.4)
$m_{B^*(1^-)} \text{ pole [GeV]}$	5.28	5.31	5.33	5.32
$\chi_{\text{data}}^2 [N_{\text{data}} = 13]$	163.01	14.82	11.80	11.84
$\chi_{\text{Lattice}}^2 [N_{\text{Lattice}} = 3]$	5.80	0.004	0.16	0.05
$(\chi_{\text{data}}^2 + \chi_{\text{Lattice}}^2)/\text{d.o.f.}$	11.25	1.06	0.92	0.99

in Tables VI and IX of Ref. [7], while the FNAL/MILC Lattice Collaboration presents theirs as a formula resulting from fits to a  $z$ -expansion parametrization with eight fit coefficients, which are given in Table X of Ref. [21]. For our study, we have generated synthetic data of the latter at four representative values of  $q^2$  from their  $z$  fits. In particular, we have generated four and three data points for the vector and scalar form factors, respectively, which we collect in Table V.<sup>9</sup> We next use these results, which can be combined with the binned branching ratio LHCb measurements,  $\text{BR}(B_s \rightarrow K^- \mu^+ \nu_\mu) = 0.36(2)(3) \times 10^{-4}$  for  $q^2 < 7 \text{ GeV}^2$  and  $\text{BR}(B_s \rightarrow K^- \mu^+ \nu_\mu) = 0.70(5)(6) \times 10^{-4}$  for  $q^2 > 7 \text{ GeV}^2$  [24], to determine  $|V_{ub}|$ .

The form of the  $\chi^2$  function to be minimized, analogous to that of Eq. (10) for  $B \rightarrow \pi$ , is given by

$$\chi_{B,K}^2 = N \left( \frac{\chi_{\text{LHCb}}^2}{N_{\text{LHCb}}} + \frac{\chi_{\text{RBC/UKQCD}}^2}{N_{\text{RBC/UKQCD}}} + \frac{\chi_{\text{FNAL/MILC}}^2}{N_{\text{FNAL/MILC}}} \right), \quad (15)$$

where  $N_{\text{LHCb}} = 2$  is the number of experimental points, while  $N_{\text{RBC/UKQCD}} = 6$  and  $N_{\text{FNAL/MILC}} = 7$  are the number of the RBC/UKQCD and FNAL/MILC Lattice points, respectively, and  $N = N_{\text{LHCb}} + N_{\text{RBC/UKQCD}} + N_{\text{FNAL/MILC}}$ . The first term in Eq. (15),

$$\chi_{\text{LHCb}}^2 = \sum_{i=1}^2 (\text{BR}_i^{\text{exp}} - \text{BR}_i^{\text{th}})^2 / \sigma_{\text{BR}_i^{\text{exp}}}^2, \quad (16)$$

contains the information of the LHCb experimental measurements of the branching ratio in the (uncorrelated) low and high  $q^2$  regions, and  $\text{BR}_i^{\text{exp}}$  is the measured branching

<sup>9</sup>At most, we can generate seven data points, as it would be inconsistent to generate more data than the independent coefficients of the  $z$  fit; if more are generated, the resulting covariance matrix is not invertible.

TABLE V. Central values, uncertainties, and correlation matrix for the  $B_s \rightarrow K$  vector and scalar form factors,  $f_{+,0}^{B_s \rightarrow K}(q^2)$ , generated at four representative values of  $q^2$  from the FNAL/MILC results [21] and used in our fits in Eqs. (15) and (18).

Form factor	$q^2$ (GeV <sup>2</sup> )	Central values	Correlation matrix						
			$f_{+}^{B_s K}$				$f_0^{B_s K}$		
			17	19	21	23	17	19	21
$f_{+}^{B_s K}$	17	0.9268(428)	1	0.9572	0.7571	0.3615	0.6943	0.6749	0.5862
	19	1.2460(441)		1	0.9096	0.5890	0.5778	0.6214	0.6071
	21	1.7530(516)			1	0.8653	0.3985	0.5057	0.5726
	23	2.6593(820)				1	0.1885	0.3161	0.4235
$f_0^{B_s K}$	17	0.4219(196)					1	0.9499	0.7716
	19	0.4991(153)						1	0.9267
	21	0.5974(136)							1

TABLE VI. Best fit values and uncertainties for the output quantities of our  $\chi^2_{B_s K}$  fits, Eq. (15), for the various Padé sequences.

Parameter	Padé element			
	$P_1^3$	$P_2^2$	$T_1^3$	$P_{1,1}^3$
$ V_{ub}  \times 10^3$	3.58(8)	3.60(9)	3.58(8)	3.58(9)
$a_0^+$	0.214(5)	0.214(5)	0.214(5)	0.214(5)
$a_1^+ \times 10^3$	7.02(40)	1.12(65)	7.02(40)	6.70(5.40)
$a_2^+ \times 10^4$	-0.55(23)	0.16(20)	-0.50(14)	-0.48(46)
$a_3^+ \times 10^5$	1.12(14)	...	1.10(13)	1.04(96)
$m_{B^{*(1^-)}} \text{ pole(s) [GeV]}$	5.32	5.33 & 6.83	= 5.325	= 5.325 & 29.5
$m_{B^{*(0^+)}} \text{ pole [GeV]}$	5.70	5.69	5.70	5.70
$\chi^2_{\text{LHCb}}[N_{\text{LHCb}} = 2]$	0.14	0.20	0.14	0.15
$\chi^2_{\text{RBC/UKQCD}}[N_{\text{RBC/UKQCD}} = 6]$	3.25	3.17	3.21	3.21
$\chi^2_{\text{FNAL/MILC}}[N_{\text{FNAL/MILC}} = 7]$	4.89	5.00	4.95	4.94
$(\chi^2_{\text{LHCb}} + \chi^2_{\text{RBC/UKQCD}} + \chi^2_{\text{FNAL/MILC}})/\text{d.o.f.}$	1.03	1.05	0.92	1.03

ratio and  $\sigma_{BR_i}^{\text{exp}}$  the corresponding uncertainty in the  $i$ th bin, while the second and third terms include the theoretical information on the form factors from Lattice through a  $\chi^2$  function of the form

$$\chi^2_{\text{Lattice}} = \sum_{i,j=1}^{N_{\text{Lattice}}} (f_{+,0}^{\text{Lattice}}(q^2) - f_{+,0}(q^2))_i (\text{Cov}_{ij}^{\text{Lattice}})^{-1} \times (f_{+,0}^{\text{Lattice}}(q^2) - f_{+,0}(q^2))_j. \quad (17)$$

Table VI summarizes the best fit values for  $|V_{ub}|$  and the form factor parameters for the various Padé sequences. These fits have been performed using a  $P_1^0$  approximant for the scalar form factor and taking the  $f_{+}^{B_s \rightarrow K}(0) = f_0^{B_s \rightarrow K}(0)$  restriction into account [cf. Eq. (4)], thus having the  $m_{B^{*(0^+)}}$  pole as the only free parameter in the scalar sector.<sup>10</sup>

<sup>10</sup>We have also tried  $P_1^1$  and  $P_1^2$  approximants for the scalar form factors and found that the fit parameters remain stable.

The values of the  $\chi^2$  functions reported in the tables imply a very good quality of the fits. For the single pole Padé sequences  $P_1^M$  and  $T_1^M$ , we find the fits stabilize for  $M = 3$ , and the obtained  $|V_{ub}|$  value,  $|V_{ub}| = 3.58(8) \times 10^{-3}$ , has an uncertainty of 2.2%. For the sequences with two poles, we reach  $P_2^2$  and  $P_{1,1}^3$  and obtain  $|V_{ub}| = 3.60(9) \times 10^{-3}$  and  $|V_{ub}| = 3.58(9) \times 10^{-3}$ , respectively, which is a 2.5% error. As seen, the values for  $|V_{ub}|$  obtained with the various approximants are almost identical and carry uncertainties that tend to be slightly smaller than those from  $B \rightarrow \pi \ell \nu$  [cf. Eq. (14)] due to the accurate  $B_s \rightarrow K$  Lattice form factor predictions which dominate the fits.<sup>11</sup> In terms of the quantity  $(\chi^2_{\text{LHCb}} + \chi^2_{\text{RBC/UKQCD}} + \chi^2_{\text{FNAL/MILC}})/\text{d.o.f.}$ , the

<sup>11</sup>Note that strange quarks are easier to deal with computationally in Lattice QCD than up and down quarks and generally yield smaller errors [21].



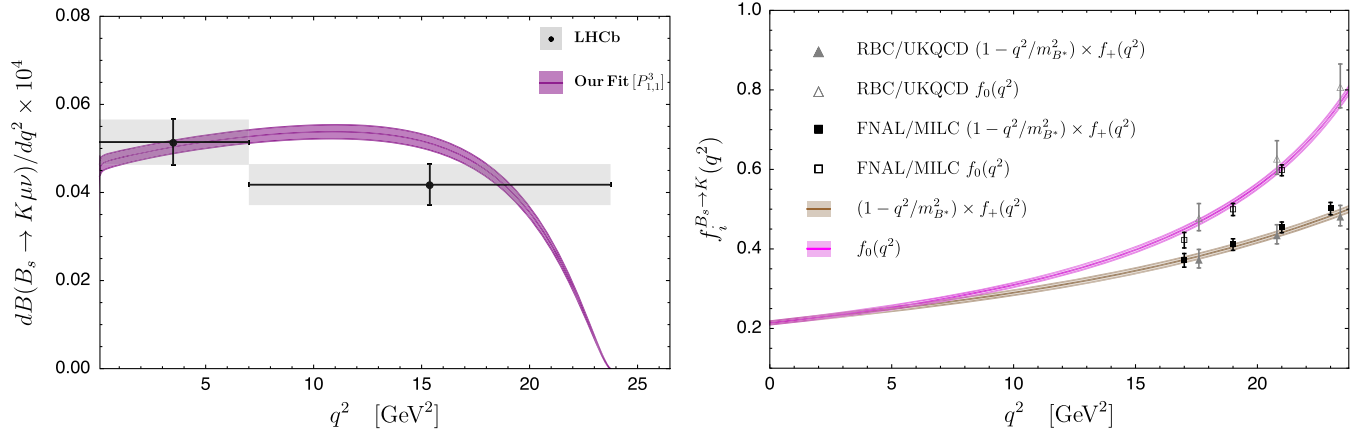


FIG. 3. Left: LHCb  $B_s \rightarrow K^- \mu^+ \nu_\mu$  differential branching ratio distribution (gray) [24] as compared to our best fit result (purple) obtained in combined fits as presented in Table VI; the two LHCb data points are placed in the middle of each bin and have been divided by the bin width. Right: Output for the  $B_s \rightarrow K$  vector (brown) and scalar (magenta) form factors compared to the Lattice QCD data of Ref. [7] and Table V.

approximants  $P_1^3$  and  $P_{1,1}^3$  yield the best fits.<sup>12</sup> These values for  $|V_{ub}|$  represent a shift of about  $(1.8 - 2)\sigma$  downwards with respect to the value  $|V_{ub}| = 3.86(11) \times 10^{-3}$  determined from the decay  $B \rightarrow \pi \ell \nu_\ell$  [cf. Eq. (14)]. Despite the differing results, we note that an important aspect to improve the compatibility results for  $|V_{ub}|$  is the binned measurement of the  $B_s \rightarrow K \ell \nu_\ell$  differential branching ratio distribution and most importantly its low energy region, which fixes the  $q^2$  dependence of the form factors at low energies. In this sense, the experimental information is presently limited to the two LHCb experimental points, which are rather thick for an accurate extraction of the functional behavior of the form factors, especially at low energies. Therefore, new and more precise measurements of the decay rate with a thinner resolution of the  $q^2$  bins will definitely allow one obtain more conclusive results from the  $B_s \rightarrow K \ell \nu_\ell$  decay.

A graphical account of our fit with the  $P_{1,1}^3$  approximant is presented in Fig. 3 for the differential branching ratio distribution (left plot) and the output for the vector and scalar form factors (right plot), while the resulting parameters and correlation matrix of this fit are given Table X of the Appendix.

### C. Combined fits to the decays $B \rightarrow \pi \ell \nu_\ell$ and $B_s \rightarrow K \ell \nu_\ell$

In the previous Secs. III A and III B, we have extracted  $|V_{ub}|$  and the corresponding form factor parameters from individual fits to the decays  $B \rightarrow \pi \ell \nu_\ell$  and  $B_s \rightarrow K^- \mu^+ \nu_\mu$  experimental data combined with the Lattice QCD information on the corresponding vector and scalar form factors. In this section, we explore the potential of performing

simultaneous fits to all experimental and theoretical information on both exclusive decays to determine  $|V_{ub}|$ . For that, we proceed in a similar fashion as in the previous cases, Eqs. (10) and (15), and minimize the following  $\chi^2$  function,

$$\chi^2 = N \left( \frac{\chi_{BABAR+Belle}^2}{N_{BABAR+Belle}} + \frac{\chi_{FLAG}^2}{N_{FLAG}} + \frac{\chi_{LHCb}^2}{N_{LHCb}} + \frac{\chi_{RBC/UKQCD}^2}{N_{RBC/UKQCD}} + \frac{\chi_{FNAL/MILC}^2}{N_{FNAL/MILC}} \right), \quad (18)$$

where the first two terms contain the information on the decay  $B \rightarrow \pi \ell \nu_\ell$  channel, while the three others include that of the  $B_s \rightarrow K^- \mu^+ \nu_\mu$  channel, with  $N_{BABAR+Belle} = 13, N_{FLAG} = 5, N_{LHCb} = 2, N_{RBC/UKQCD} = 6, N_{FNAL/MILC} = 7$ , and  $N = N_{BABAR+Belle} + N_{FLAG} + N_{LHCb} + N_{RBC/UKQCD} + N_{FNAL/MILC}$ . This definition equally weights each data set and prevents sets with a smaller data points, such as the  $B_s \rightarrow K \ell \nu_\ell$  spectra, from being dominated by sets with a larger data points, such as the  $B \rightarrow \pi \ell \nu_\ell$  spectra.

As in the preceding sections, we have tried various Padé sequences. Here, however, we only show our results for  $|V_{ub}|$  and the form factor parameters resulting from the partial Padé sequence  $P_{1,1}^M$ , which yielded the best fit results in our previous individual analyses. We reach  $M = 2$  and  $M = 3$  for the  $B \rightarrow \pi$  and  $B_s \rightarrow K$  vector form factors, respectively. The resulting fit parameters and the correlation matrix are presented in Table VII,<sup>13</sup> which have been obtained taking into account the restrictions  $f_+^{B \rightarrow \pi}(0) = f_0^{B \rightarrow \pi}(0)$  and  $f_+^{B_s \rightarrow K}(0) = f_0^{B_s \rightarrow K}(0)$

<sup>12</sup>Note that the second pole of the approximant  $P_{1,1}^3$  is placed far away from the origin, and it thus behaves as a  $P_1^3$ .

<sup>13</sup>In the table, we use  $c_i$  to denote the Padé approximant fit parameters of the  $B_s \rightarrow K$  form factors.

TABLE VII. Best fit values, uncertainties, and correlation matrix for the output quantities of our  $\chi^2$  fits, Eq. (18), obtained from a combined fit to the averaged  $B \rightarrow \pi \ell \nu_\ell$  BABAR and Belle [35] and the  $B_s \rightarrow K^- \ell^+ \nu_\ell$  LHCb [24] experimental data in combination with the Lattice QCD  $B \rightarrow \pi$  [1] and  $B_s \rightarrow K$  [7,21] vector and scalar form factors simulations.

Parameter	Central value	Correlation matrix												
$ V_{ub}  \times 10^3$	3.68(5)	1	-0.404	0.086	0.221	-0.185	0.082	-0.082	-0.610	-0.239	0.138	-0.150	0.203	-0.386
$a_0^+$	0.255(5)		1	-0.432	0.500	-0.405	-0.745	-0.564	0.246	0.096	-0.056	0.061	-0.082	0.156
$a_1^+ \times 10^3$	-1.36(60)			1	0.055	-0.331	0.186	0.048	-0.053	-0.021	0.012	-0.013	0.018	-0.033
$a_2^+ \times 10^4$	-0.66(68)				1	-0.957	-0.750	-0.821	-0.135	-0.053	0.031	-0.033	0.045	-0.085
$m_{B^{*(1^-)}} \text{ pole(s) (GeV)}$	5.325 & 6.24					1	0.685	0.775	0.113	0.044	-0.026	0.028	-0.038	0.071
$a_1^0 \times 10^2$	-0.46(6)						1	0.962	-0.050	-0.020	0.011	-0.012	0.017	-0.032
$m_{B^{*(0^+)}} \text{ pole(s) (GeV)}$	5.45							1	0.050	0.020	-0.011	0.012	-0.017	0.032
$c_0^+$	0.211(3)								1	-0.052	0.095	-0.046	0.030	0.765
$c_1^+ \times 10^3$	4.96(2.32)									1	-0.975	0.968	-0.992	-0.121
$c_2^+ \times 10^4$	-0.37(26)										1	-0.994	0.989	0.185
$c_3^+ \times 10^5$	0.81(43)											1	-0.990	-0.115
$m_{B^{*(1^-)}} \text{ pole(s) (GeV)}$	5.325 & 12.13												1	0.088
$m_{B^{*(0^+)}} \text{ pole(s) (GeV)}$	5.69													1

simultaneously. The value for the quantity  $(\chi^2_{BABAR+Belle} + \chi^2_{FLAG} + \chi^2_{LHCb} + \chi^2_{RBC/UKQCD} + \chi^2_{FNAL/MILC})/\text{d.o.f.} = 1.08$  indicates a good quality of the fit. The resulting value for  $|V_{ub}|$  from the combined analysis is found to be

$$|V_{ub}| = 3.68(5) \times 10^{-3}, \quad (19)$$

which is only a 1.4% error.

We would like to note, on the one hand, that our  $|V_{ub}|$  result in Eq. (19) corresponds to the most precise determination of  $|V_{ub}|$  to date and that this value is shifted about  $1.4\sigma$  downwards with respect to  $|V_{ub}| = 3.86(11) \times 10^{-3}$  extracted from  $B \rightarrow \pi \ell \nu_\ell$  alone (cf. Table II) and about  $1\sigma$  upwards with respect to  $|V_{ub}| = 3.58(9) \times 10^{-3}$  obtained from the individual analysis of the  $B_s \rightarrow K \ell \nu_\ell$  channel (cf. Table VI). On the other hand, our determination is far more precise than both the leptonic  $B \rightarrow \tau \nu_\tau$ ,  $|V_{ub}| = 4.01(9)(63) \times 10^{-3}$  [1], and the inclusive,  $|V_{ub}| = 4.25(12)^{+15}_{-14}(23) \times 10^{-3}$  [2], determinations and that the tension between our  $|V_{ub}|$  result in Eq. (19) and the latter is of about  $1.8\sigma$ .<sup>14</sup> The results given in Table VII correspond, to the best of our knowledge, to the first correlated results between the  $B \rightarrow \pi$  and  $B_s \rightarrow K$  form factors, which can serve as guidance for those Lattice collaborations that are planning to make available the full theoretical correlation between form factors for different process in their final results [21]. The results of the combined fit are plotted in Fig. 4 for the differential  $B \rightarrow \pi \ell \nu_\ell$  (left plot) and  $B_s \rightarrow K^- \mu^+ \nu_\mu$  (right plot) branching ratio distributions and

<sup>14</sup>Here,  $3.4\sigma$  if the inclusive determination  $|V_{ub}| = 4.32(12)^{+12}_{-13} \times 10^{-3}$  [37] is considered instead, and  $1.5\sigma$  with respect to the preliminary value  $|V_{ub}| = 4.06(9)(16)(15) \times 10^{-3}$  in [38].

in Fig. 5 for the corresponding vector and scalar form factors. Concerning the form factor values at  $q^2 = 0$ , we obtain

$$f_{+,0}^{B\pi}(0) = 0.255(5), \quad f_{+,0}^{B_s K}(0) = 0.211(3), \quad (20)$$

which can be compared with the following output values:  $f_{+,0}^{B\pi}(0) = 0.253(11)$  [8] and  $f_{+,0}^{B_s K}(0) = 0.135(50)$  [21] from the FNAL/MILC Lattice Collaborations;  $f_{+,0}^{B\pi}(0) = 0.26^{+0.04}_{-0.03}$  [39] and  $f_{+,0}^{B_s K}(0) = 0.30^{+0.04}_{-0.03}$  [40],  $f_{+,0}^{B\pi}(0) = 0.301(23)$  and  $f_{+,0}^{B_s K}(0) = 0.336(23)$  [41], and  $f_{+,0}^{B\pi}(0) = 0.252^{+0.019}_{-0.028}$  [5] from light-cone sum rules;  $f_{+,0}^{B\pi}(0) = f_{+,0}^{B_s K}(0) = 0.26^{+0.04}_{-0.03} \pm 0.02$  from perturbative QCD [42]; and  $f_{+,0}^{B_s K}(0) = 0.284(14)$  from relativistic quark model [43]. See also the predictions for  $f_{+,0}^{B\pi}(0)$  of Refs. [44–46] obtained using another framework of light-cone sum rules.

Finally, in Fig. 6, we present results for the quantity

$$R_i(q^2) = \frac{f_i^{B_s K}(q^2)}{f_i^{B\pi}(q^2)} - 1, \quad (21)$$

with  $i = +, 0$ , which provides a measure of  $SU(3)$  breaking.<sup>15</sup> As seen, while the results for  $R_+(q^2)$  (cyan) and  $R_0(q^2)$  (purple) are similar at low energies ( $q^2 \lesssim 5 \text{ GeV}^2$ ),  $R_0(q^2)$  is larger than  $R_+(q^2)$  at higher energies, and the deviations from unity are consistent with the simple counting  $(m_s - m_d)/\Lambda_{\text{QCD}} \sim 20\%$ .

<sup>15</sup>In the  $SU(3)$  limit, i.e.,  $m_d = m_s$ , the  $B \rightarrow \pi$  and  $B_s \rightarrow K$  form factors should be identical [47].

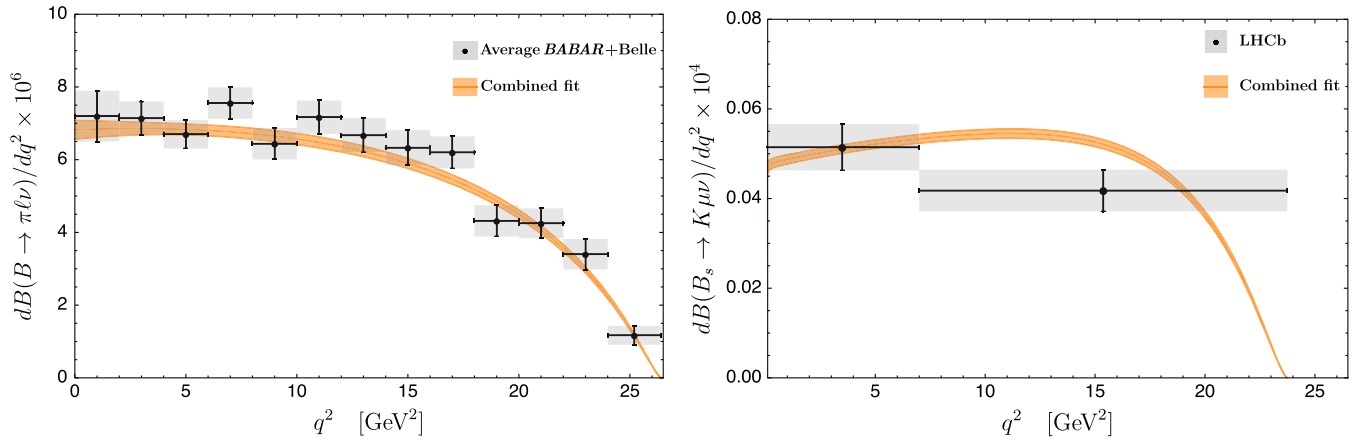


FIG. 4. Averaged *BABAR* and *Belle*  $B \rightarrow \pi \ell \nu$  (left) [35] and *LHCb*  $B_s \rightarrow K^- \mu^+ \nu_\mu$  (right) [24] differential branching ratio distributions (gray) as compared to our best fit result (orange) obtained in combined fits to both decays as presented in Table VII. The two *LHCb* data points are placed in the middle of each bin and have been divided by the bin width.

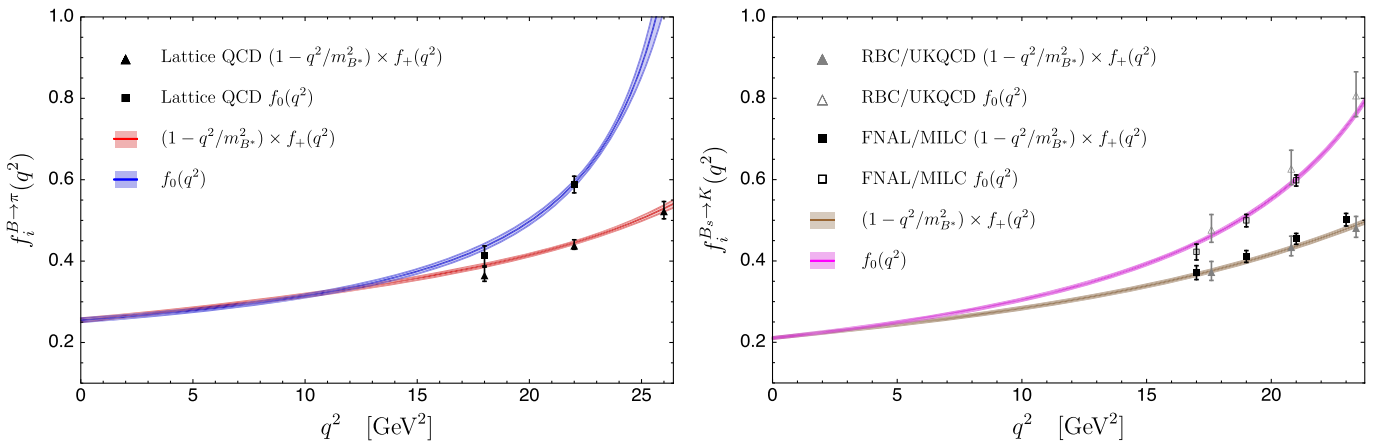


FIG. 5. Lattice QCD data for the  $B \rightarrow \pi$  (left plot) and  $B_s \rightarrow K$  (right plot) vector and scalar form factors compared to our best fit results obtained in combined fits as presented in Table VII.

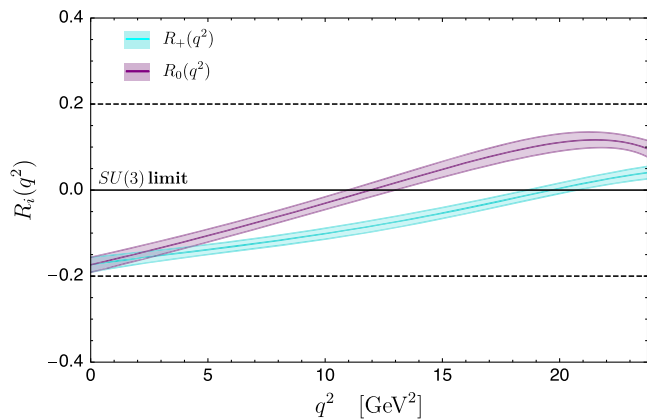


FIG. 6.  $SU(3)$ -breaking ratios  $R_+(q^2)$  (cyan) and  $R_0(q^2)$  (purple) [cf. Eq. (21)] using our determinations of the  $B \rightarrow \pi$  and  $B_s \rightarrow K$  vector and scalar form factors from Table VII.

#### IV. PHENOMENOLOGICAL APPLICATIONS

As a benefit of our results of Table VII, we provide calculations for different phenomenological observables such as total decay rates, ratio of  $\tau$ -to- $\mu$  differential decay rates or the forward-backward asymmetry, and its normalized version.

Integrating the differential decay rates [cf. Eq. (5)] over the kinematically allowed  $q^2$  ranges and dividing by  $|V_{ub}|^2$ , we obtain

$$\Gamma(B \rightarrow \pi \mu \nu_\mu)/|V_{ub}|^2 = 6.90(16) \text{ ps}^{-1}, \quad (22)$$

$$\Gamma(B \rightarrow \pi \tau \nu_\tau)/|V_{ub}|^2 = 4.55(9) \text{ ps}^{-1}, \quad (23)$$

$$\Gamma(B_s \rightarrow K \mu \nu_\mu)/|V_{ub}|^2 = 5.31(13) \text{ ps}^{-1}, \quad (24)$$

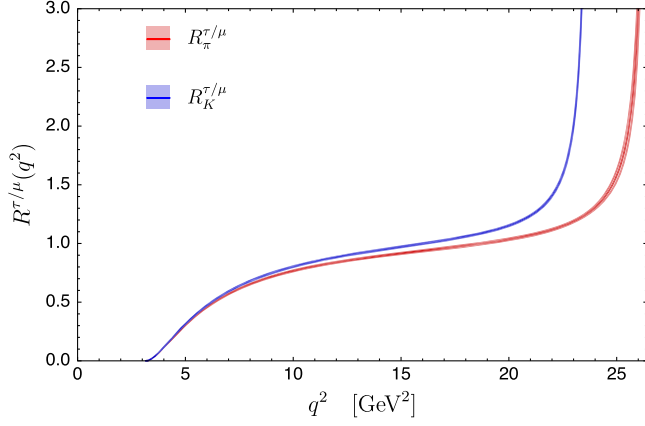


FIG. 7. Standard Model ratio of differential  $\tau$ -to- $\mu$  decay rates, Eq. (26), using our determinations of the  $B \rightarrow \pi$  and  $B_s \rightarrow K$  vector and scalar form factors from Table VII.

$$\Gamma(B_s \rightarrow K \tau \nu_\tau) / |V_{ub}|^2 = 3.70(8) \text{ ps}^{-1}, \quad (25)$$

with errors of only about 2%.

The  $\tau$ -to- $\mu$   $q^2$ -dependent ratio of differential decay rates,

$$\mathcal{R}_{\pi(K)}^{\tau/\mu}(q^2) = \frac{d\Gamma(B_{(s)} \rightarrow \pi(K) \tau \nu_\tau) / dq^2}{d\Gamma(B_{(s)} \rightarrow \pi(K) \mu \nu_\mu) / dq^2}, \quad (26)$$

and its integrated form,

$$R_{\pi(K)}^{\tau/\mu} = \frac{\int_{m_\tau^2}^{(m_{B_{(s)}} - m_{\pi(K)})^2} dq^2 d\Gamma(B_{(s)} \rightarrow \pi(K) \tau \nu_\tau) / dq^2}{\int_{m_\mu^2}^{(m_{B_{(s)}} - m_{\pi(K)})^2} dq^2 d\Gamma(B_{(s)} \rightarrow \pi(K) \mu \nu_\mu) / dq^2}, \quad (27)$$

can be used for precise Standard Model test that is independent of  $|V_{ub}|$ . Figure 7 shows our predictions for Eq. (26) using our  $B \rightarrow \pi \ell \nu_\ell$  and  $B_s \rightarrow K \mu \nu_\mu$  form factor outputs from Table VII, while our numerical predictions for Eq. (27) are found to be

$$R_{\pi}^{\tau/\mu} = 0.660(5), \quad (28)$$

$$R_K^{\tau/\mu} = 0.697(3), \quad (29)$$

which are only 1% error. These values are found to be in agreement with, but more precise than,  $R_{\pi}^{\tau/\mu} = 0.69(19)$  and  $R_K^{\tau/\mu} = 0.77(12)$  from Ref. [7] and  $R_K^{\tau/\mu} = 0.77(6)$  from Ref. [21].<sup>16</sup>

Concerning the forward-backward asymmetry,  $A_{FB}$ , it is a quantity sensitive to the mass of the final-state charged lepton and its theoretical expression is given by

<sup>16</sup>In [21], the value  $R_K^{\tau/\mu} = 0.836(34)$  is reported, which corresponds to taking  $m_\tau^2$  as the lower limit of integration in the denominator of Eq. (27).

$$\begin{aligned} A_{FB}^{B_{(s)} \rightarrow \pi(K) \ell \nu_\ell}(q^2) &\equiv \left( \int_0^1 - \int_{-1}^0 \right) d \cos \theta_\ell \frac{d^2 \Gamma(B_{(s)} \rightarrow \pi(K) \ell \nu_\ell)}{dq^2 d \cos \theta_\ell} \\ &= \frac{G_F^2 |V_{ub}|^2}{32 \pi^3 m_{B_{(s)}}} \left( 1 - \frac{m_\ell^2}{q^2} \right)^2 |\vec{p}_{\pi(K)}|^2 \\ &\quad \times \frac{m_\ell^2}{q^2} (m_{B_{(s)}}^2 - m_{\pi(K)}^2) \text{Re}[f_+(q^2) f_0(q^2)], \end{aligned} \quad (30)$$

where  $\theta_\ell$  is the angle between the charged lepton and the  $B_{(s)}$ -meson momenta in the  $q^2$  rest frame. In Fig. 8, we show our predictions for  $A_{FB}$  using our best fit results from VII. Integrating over the corresponding kinematic  $q^2$  ranges and dividing by  $|V_{ub}|^2$ , we obtain

$$\int_{m_\mu^2}^{(m_{B_{(s)}} - m_\pi)^2} dq^2 A_{FB}^{B \rightarrow \pi \mu \nu}(q^2) / |V_{ub}|^2 = 0.034(1) \text{ ps}^{-1}, \quad (31)$$

$$\int_{m_\tau^2}^{(m_{B_{(s)}} - m_\pi)^2} dq^2 A_{FB}^{B \rightarrow \pi \tau \nu}(q^2) / |V_{ub}|^2 = 1.16(3) \text{ ps}^{-1}, \quad (32)$$

$$\int_{m_\mu^2}^{(m_{B_s} - m_K)^2} dq^2 A_{FB}^{B_s \rightarrow K \mu \nu}(q^2) / |V_{ub}|^2 = 0.0255(6) \text{ ps}^{-1}, \quad (33)$$

$$\int_{m_\tau^2}^{(m_{B_s} - m_K)^2} dq^2 A_{FB}^{B_s \rightarrow K \tau \nu}(q^2) / |V_{ub}|^2 = 0.99(2) \text{ ps}^{-1}, \quad (34)$$

with errors of about 3%. While these values are in general agreement with, but more precise than, those in Ref. [7], our results show a difference of about  $1.5\sigma$  with [21]; see also Ref. [48] for recent calculations of these observables.

Finally, the normalized forward-backward asymmetry,

$$\begin{aligned} \bar{A}_{FB}^{B_{(s)} \rightarrow \pi(K) \ell \nu_\ell}(q^2) &\equiv \frac{\int_{m_\ell^2}^{(m_{B_{(s)}}^2 - m_{\pi(K)}^2)^2} dq^2 A_{FB}^{B_{(s)} \rightarrow \pi(K) \ell \nu_\ell}(q^2)}{\int_{m_\ell^2}^{(m_{B_{(s)}}^2 - m_{\pi(K)}^2)^2} dq^2 d\Gamma(B_{(s)} \rightarrow \pi(K) \ell \nu_\ell) / dq^2}, \end{aligned} \quad (35)$$

is an interesting observable as it is independent of  $|V_{ub}|$ . Our predictions are shown in Fig. 9, whereas integrating Eq. (35) over the allowed  $q^2$  ranges we find

$$\bar{A}_{FB}^{B \rightarrow \pi \mu \nu} = 0.0049(1), \quad (36)$$

$$\bar{A}_{FB}^{B \rightarrow \pi \tau \nu} = 0.255(1), \quad (37)$$

$$\bar{A}_{FB}^{B_s \rightarrow K \mu \nu} = 0.0048(1), \quad (38)$$

$$\bar{A}_{FB}^{B_s \rightarrow K \tau \nu} = 0.2684(9), \quad (39)$$



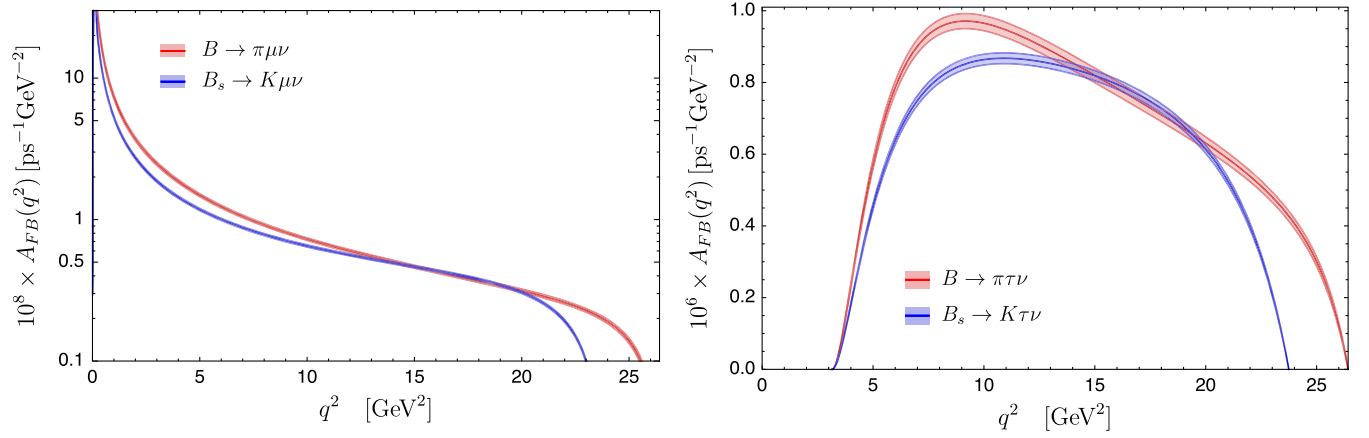


FIG. 8. Predictions for the forward-backward asymmetry, Eq. (30), for  $B \rightarrow \pi \mu \nu$  and  $B_s \rightarrow K \mu \nu$  (left) and  $B \rightarrow \pi \tau \nu$  and  $B_s \rightarrow K \tau \nu$  (right), using our fit results from Table VII.

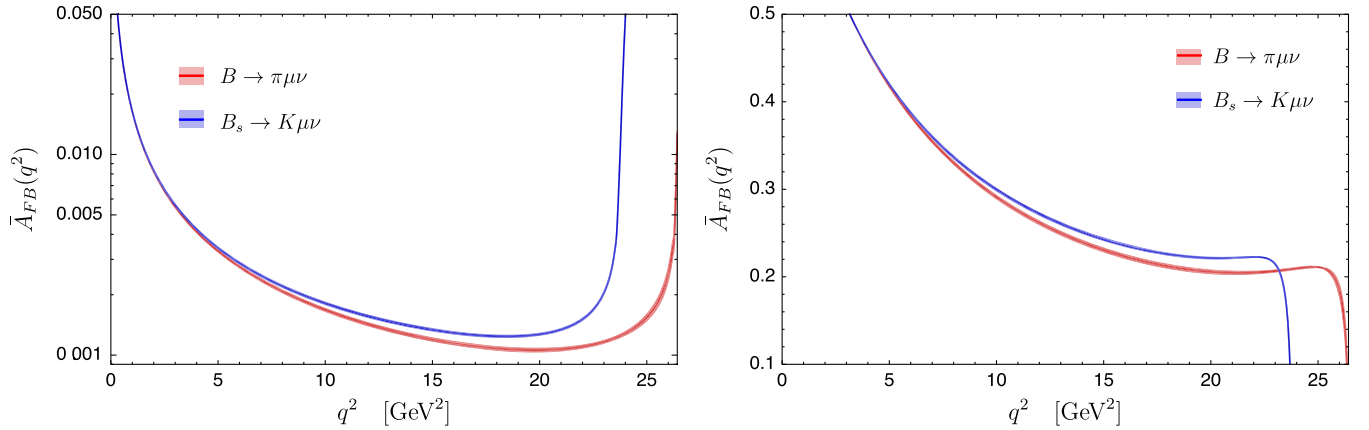


FIG. 9. Predictions for the normalized forward-backward asymmetry, Eq. (35), for  $B \rightarrow \pi \mu \nu$  and  $B_s \rightarrow K \mu \nu$  (left) and  $B \rightarrow \pi \tau \nu$  and  $B_s \rightarrow K \tau \nu$  (right), using our fit results from Table VII.

with errors of about 2% and 1% for  $\mu$  and  $\tau$ , respectively. While these values are found to be in agreement with Ref. [7], our results are more precise. With respect to [21], our results differ by about  $\sim 1.6$ – $2.1\sigma$  for  $\bar{A}_{FB}^{B_s \rightarrow K \mu \nu}$  and  $\bar{A}_{FB}^{B_s \rightarrow K \tau \nu}$ , respectively.

## V. OUTLOOK

In this work, we have explored the role of the decay  $B_s \rightarrow K \ell \nu_\ell$  in complementing the traditional channel  $B \rightarrow \pi \ell \nu_\ell$  in the determination of the CKM element  $|V_{ub}|$ . The motivation of this study is the first reported measurement of the branching ratio of the decay  $B_s \rightarrow K^- \mu^+ \nu_\mu$  by the LHCb Collaboration [24], making this analysis of timely interest.

Our analysis has been based on the method of Padé approximants to the corresponding form factors and proceeded in three steps. First, we used the most precise measurements of the differential  $B \rightarrow \pi \ell \nu_\ell$  branching ratio distribution given by BABAR and Belle, along with the

Lattice QCD calculations of the  $B \rightarrow \pi$  vector and scalar form factors, to extract  $|V_{ub}|$  from a combined fit which makes use of both information sets in a democratic way. As a result of this exercise, we have obtained  $|V_{ub}| = 3.86(11) \times 10^{-3}$  [cf. Eq. (14)], together with the form factor parameters and their correlation matrix collected in Table VIII of the Appendix. We note that our result for  $|V_{ub}|$  differs only by about  $1.35\sigma$  with the determination from inclusive decays  $B \rightarrow X_u \ell \nu_\ell$ ,  $|V_{ub}| = 4.25(12)_{-14}^{+15}(23) \times 10^{-3}$  [2],<sup>17</sup> confirming the trend of obtaining higher values of  $|V_{ub}|$  from recent exclusive  $B \rightarrow \pi \ell \nu_\ell$  determinations [49,50]. Second, we have determined  $|V_{ub}|$  from the decay  $B_s \rightarrow K \ell \nu_\ell$  performing combined fits to the experimental LHCb data and Lattice input on the  $B_s \rightarrow K$  form factors. Our fits yield  $|V_{ub}| = 3.58(9) \times 10^{-3}$  and the form factor parameters and

<sup>17</sup>Here,  $1.2\sigma$  with respect to the preliminary value  $|V_{ub}| = 4.06(9)(16)(15) \times 10^{-3}$  [38].

their correlation matrix given in Table VI. This is a relevant result, as the central  $|V_{ub}|$  value from  $B_s \rightarrow K\ell\nu_\ell$  suffers a shift of about  $1.9\sigma$  downwards with respect to the one obtained from  $B \rightarrow \pi\ell\nu_\ell$ , thus increasing the difference with respect to the determination from inclusive decays to  $2.1\sigma$ . We traced back this difference to the impact of existing experimental data used in each channel; Lattice input in form factors in both channels tend to yield values for  $|V_{ub}|$  around  $3.6 \times 10^{-3}$  while experimental data seem to prefer higher values of around  $|V_{ub}| = 3.9(9) \times 10^{-3}$ . Since experimental data for the  $B_s \rightarrow K$  is scarce, that channel is dominated by Lattice input thus confronting the  $B \rightarrow \pi$  one. Third, and last, we have performed a simultaneous analysis to all available experimental and Lattice QCD information on both  $B \rightarrow \pi\ell\nu_\ell$  and  $B_s \rightarrow K\ell\nu_\ell$  decays. The resulting fit yields  $|V_{ub}| = 3.68(5) \times 10^{-3}$ , which is a 1.4% error and differs by only  $1.8\sigma$  from the inclusive value. We note that in the application of Padé approximants to experimental data, only a few elements of the Padé sequence can be reached due to the limited precision of the data, which would introduce a systematical uncertainty. In order to have an estimate of this error, one can take the difference of central values of the element where we have stopped the sequence and the preceding one. Our best fits are achieved with Padé sequences with two poles, for which the systematic error is negligible due to the fast convergence of the sequences (cf. Table III and Fig. 1); other procedures would introduce systematic errors rather *ad hoc*.

The process of performing a combined fit to both decays also tests for their compatibility, and the result is a  $|V_{ub}|$  that stays  $\sim 1\sigma$  away from the  $|V_{ub}|$  results extracted from the individual decay modes. In this sense, more precise measurements of the differential  $B_s \rightarrow K\ell\nu_\ell$  decay distribution with a finer resolution of the  $q^2$  bins will help achieve more conclusive results. Our value is presented and compared with other determinations using different methods and fitted data sets in Fig. 10. As seen, our value is the most precise to date. The coefficients of the Padé approximants for the  $B \rightarrow \pi$  and  $B_s \rightarrow K$  form factors are given in Table VII together with their correlation matrix. The latter represents, to the best of our knowledge, the first correlated results for these form factors. As a benefit of our analysis, in Sec. IV, we have calculated different phenomenological observables such as total decay rates, ratio of  $\tau$ -to- $\mu$  differential decay rates or the forward-backward asymmetry, and its normalized version, with an accuracy of few %.

On the experimental side, the decay  $B_s \rightarrow K\ell\nu_\ell$  is expected to be studied at the Belle-II experiment [4], which due to the  $e^+e^-$  collisions offers a cleaner environment than the LHCb. Belle II will collect a large numbers of  $B_s$ -meson pairs and, although measurements of the  $B_s \rightarrow K\ell\nu_\ell$  rates are not expected to reach the experimental accuracy of their results for  $B \rightarrow \pi\ell\nu_\ell$  [54], more precise measurements will clearly help improve the

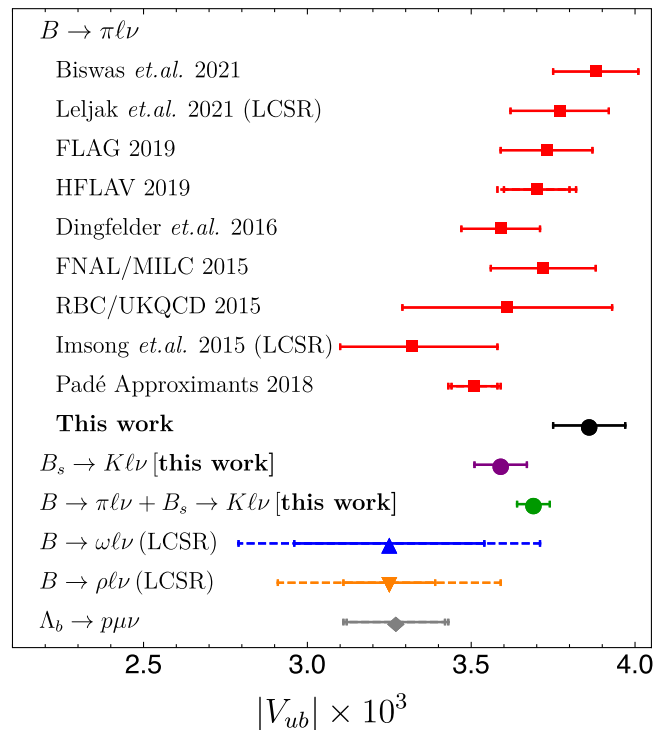


FIG. 10. Status of  $|V_{ub}|$  determinations from exclusive  $B \rightarrow \pi\ell\nu_\ell$  decays (red squares) including Biswas *et al.* [49], Leljak *et al.* [50], FLAG 2019 [1], HFLAV 2019 [37], Dingfelder and Mannel [51], FNAL/MILC 2015 [8], RBC/UKQCD [7], Imsong *et al.* [52], Padé approximants [13], and this work (black circle), from  $B_s \rightarrow K\ell\nu_\ell$  (this work, purple circle), from a combination of  $B \rightarrow \pi\ell\nu_\ell$  and  $B_s \rightarrow K\ell\nu_\ell$  decays (this work, green circle), from  $B \rightarrow \omega\ell\nu_\ell$  (upward blue triangle), and  $B \rightarrow \rho\ell\nu_\ell$  (downward orange triangle) [53], and from  $\Lambda_b \rightarrow p\mu\nu_\mu$  (gray diamond) LHCb [26].

determination of  $|V_{ub}|$ . On the Lattice front, there are plans to reduce the contributions from the dominant sources of statistical [7] and systematic [21,55] uncertainties in upcoming form factor calculations, as well as making the full correlation matrix between the  $B \rightarrow \pi$  and  $B_s \rightarrow K$  form factors available [21,56]. These, and other improvements, will allow one to obtain form factors with percent level precision and hence allow for exclusive  $|V_{ub}|$  determinations with improved precision.

## ACKNOWLEDGMENTS

The work of S. G-S. has been supported in part by the National Science Foundation under Grant No. PHY-2013184 and the U.S. Department of Energy under Grant No. DE-FG02-87ER40365. P.M. and C.R. have received funding from the Spanish Ministry of Science and Innovation (Grant No. PID2020-112965 GB-I00/AEI/10.13039/501100011033) and from the Agency for Management of University and Research Grants of the Government of Catalonia (Project SGR 1069).

## APPENDIX: FIT RESULTS AND FORM FACTOR SIMULATIONS

TABLE VIII. Best fit values, uncertainties, and correlation matrix for the output quantities of our best  $\chi^2_{B\pi}$  fit, Eq. (10), obtained with the Padé element  $P^2_{1,1}$  (cf. Table III).

Parameter	Central value		Correlation matrix					
$ V_{ub}  \times 10^3$	3.86(11)	1	-0.571	0.035	0.324	-0.251	0.139	-0.121
$a_0^+$	0.247(8)		1	-0.374	0.341	-0.298	-0.719	-0.473
$a_1^+ \times 10^3$	-1.3(8)			1	0.028	-0.297	0.220	0.105
$a_2^+ \times 10^4$	-0.3(1.0)				1	-0.958	-0.681	-0.795
$m_{B^*(1^-)} \text{ pole(s) (GeV)}$	= 5.325 & 6.46					1	0.633	0.747
$a_1^0 \times 10^2$	-0.4(1)						1	0.943
$m_{B^*(0^+)} \text{ pole(s) (GeV)}$	5.44							1

TABLE IX. Central values, errors, and correlation matrix for the  $B \rightarrow \pi$  vector form factor,  $f_+(q^2)$ , generated at three representative values of  $q^2$  from the FLAG [1] results and used in our fits in Table IV.

Form factor	$q^2$ (GeV <sup>2</sup> )	Central values	Correlation matrix		
			$f_+^{B\pi}$		
			18	22	26
$f_+^{B\pi}$	18	1.102(44)	1	0.757	0.563
	22	1.964(54)		1	0.400
	26	5.848(226)			1

TABLE X. Best fit values, uncertainties, and correlation matrix for the output quantities of our best  $\chi^2_{B,K}$  fit, Eq. (15), obtained with the Padé element  $P^3_{1,1}$  (cf. Table VI).

Parameter	Central value		Correlation matrix					
$ V_{ub}  \times 10^3$	3.58(9)	1	-0.674	-0.332	0.168	-0.254	0.306	-0.445
$a_0^+$	0.214(5)		1	0.035	0.056	0.004	-0.028	0.784
$a_1^+ \times 10^3$	6.70(5.40)			1	-0.945	0.982	-0.997	-0.061
$a_2^+ \times 10^4$	-0.48(46)				1	-0.988	0.963	0.149
$a_3^+ \times 10^5$	1.04(96)					1	-0.992	-0.077
$m_{B^*(1^-)} \text{ pole(s) (GeV)}$	= 5.325 & 29.5						1	0.037
$m_{B^*(0^+)} \text{ pole(s) (GeV)}$	5.70							1

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