

# Vibration Analysis of a Piezoelectric Ultrasonic Atomizer to Control Atomization Rate

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**Abstract:** In this work a mechanical vibrational analysis of an ultrasonic atomizer is carried out to control its atomization mass transfer rate. An ultrasonic atomizer is a device constructed with a piezoelectric ring coupled to a metallic circular thin plate with micro-apertures. The mechanism of mass transfer by atomization is a complex phenomenon to model because of the coupling effect between fluid transfer and dynamic mechanics controlled by a piezoelectric vibrating ring element. Here, the effect of the micro-apertures shape of the meshed thin plate coupled to a piezoelectric ring during vibration, as well as the resonance frequency modes, are numerically studied using finite element analysis and compared with theoretical and experimental results. Good correlations between predicted and experimental results of resonant frequencies and atomization rates were found.

**Keywords:** ultrasonic atomizer; piezoelectric; micro-apertures; resonant frequency; atomization rate; finite element analysis

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## 1. Introduction

Piezoelectric materials (such as lead zirconate titanate (PZT) transducers) have found broad applications in areas such as elastic vibration sensing [1], force sensing in robotics [2], ultrasonic measurements of airflow in ducts [3], ultrasonic cleaning in energy harvesting technologies, among others. Piezoelectric materials are still being studied to achieve better performance with lower cost and low energy requirements [4]. Important uses of piezoelectric devices include an ultrasonic atomizer for medical inhalation therapy, combustion with liquid fluid, and printed circuits, among others, where the actuator converts a liquid to atomized particles [5].

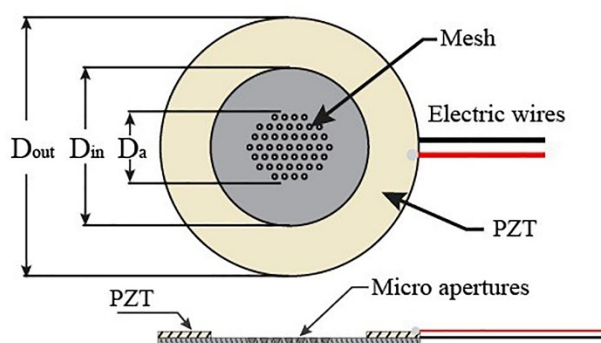
In particular, an ultrasonic atomization device is composed of a piezoelectric ceramic and a metal cover plate. It uses the piezoelectric effect and converts electrical energy into mechanical energy at a high-frequency resonance causing the breakup of the liquid structure [6, 7, 8].

More recently, ultrasonic atomizers are being considered as replacements for pneumatic pumps for soft robotics actuation through atomization and vaporization [9]. Soft robots have recently received attention due to its flexible adaptability and the low risk of damaging the work environment and the objects they handle. Many soft robots require small external compressors or pumps for driving the actuator. Actuator miniaturization could be achieved with the use of an ultrasonic atomizer. For example, Lee and Loh [10] proposed a soft inflatable 1-DOF (degree of freedom) robot. It has a soft bellows structure that includes a lower chamber with an embedded ultrasonic atomizer of only 15 mm in diameter and a heater to evaporate the atomized liquid and to control the vertical

displacement of the soft robot. In this system, the modes of vibration and resonance frequencies have a direct effect on the displacement and actuation speed. Thus, there is a need to develop a more comprehensive vibration analysis and mesh properties to have an optimum control of the soft robot compliance control.

Tuning of the frequency and vibration parameters is a main objective in the design and optimization of an ultrasonic transducer. There are conventional methods to calculate the modes in free vibration of a plate, but they are limited to plates that have a continuous homogenous structure without changes in cross-section. Also, there are methods to numerically approximate the modes of vibration, for example, using the plane wave expansion method [11]; however, it can be computationally complex for inhomogeneous models. In addition, the coupling effect of piezoelectric energy with mechanical energy needs to be considered, making the theoretical analysis and its general solution difficult to obtain. With the increase in computational capacity, numerical approximations of the piezoelectric phenomena can be studied using finite element (FE) methods.

A typical structure of an ultrasonic atomizer is described in Figure 1. A main component of the system is the mesh (i.e., light gray section), which is formed by a thin plate with a thickness of  $50\ \mu\text{m}$  and micro-perforations. The holes are distributed within a circular area with a diameter  $D_a$ . The ring is the piezoelectric actuator (e.g., PZT) with a thickness of about  $0.6\ \text{mm}$ . A potential difference (voltage) is applied to the piezoelectric ring across the thickness using a signal generator.  $D_{in}$  and  $D_{out}$  are the inner and outer diameter of the piezoelectric ring, respectively, and  $D_a$  is the diameter of the disperser or mesh.



**Figure 1.** Schematic diagram of a typical ultrasonic atomizer device indicating the location mesh and its micro-apertures distribution.

The objectives of this work are to first characterize the dynamic behavior of the complete piezoelectric ring and meshed thin plate using FE modeling. Second, the effect of the shape of the micro-apertures on the resonant frequency spectra of the vibrating thin plate is investigated. Last, numerical simulation results of atomization rate as a function of frequency response and voltage are verified using experimental tests. The proposed parametric results could allow to predict and control the atomization rate that can be used to improve the performance of a soft actuation robot.

## 2. Ultrasonic Atomizer Fundamentals

An ultrasonic atomizer features a thin plate with micro-apertures (mesh) that is coupled to a vibrating PZT at the interface between two media. The first is the external medium, where the plate is in contact with the atmosphere, while the second is internal and is the chamber in which the liquid is contained. When the device is in operation, the mesh experiences small and periodic mechanical deformations. This periodic movement

releases energy into the liquid in contact, which breaks the surface tension of the liquid and produces droplets that are ejected, thereby producing atomization. A pumping effect is achieved when the liquid ejects through the apertures to produce a homogeneous size of droplets and making the atomization process more controllable [12]. A mechanical model to explain atomization should consider the dynamic deformation of the structure (i.e., PZT ring and mesh) as a function of applied voltage and frequency. Here, we first analyze the piezoelectric mechanical phenomena before coupling them to a meshed thin plate.

### 2.1. Piezoelectricity theory

Piezoelectric materials are a type of dielectric materials that can be polarized, and they respond in the presence of an electric field or mechanical stress. The piezoelectric effect is the generation of an electric charge due to an external force. Initially, the molecules of negative and positive charges are positioned so that the overall material is electrically neutral. However, when an external mechanical stress is applied, the internal structure can be deformed, thus causing displacement of the positive and negative centers of the molecule. As a result, small electrical dipoles are generated.

According to the linear theory of piezoelectricity [13], the linear constitutive relationship to identify the coupling between mechanical stress, mechanical strain, electric field, and electric displacement are given as:

$$\sigma = [C^E] \cdot \varepsilon - [e] \cdot E, \quad (1)$$

$$D = [e]^T \cdot \varepsilon + [\xi^S] \cdot E \quad (2)$$

where the superscript  $S$  indicates that the values are measured at constant strain, and the superscript  $E$  means that they are measured at constant electric field. In addition,  $\sigma$  is the stress tensor,  $D$  is the electric displacement vector,  $\varepsilon$  is the strain tensor,  $E$  is the electric field,  $[C^E]$  is the elastic constant at constant electric field,  $[e]$  is the piezoelectric stress coefficients, and  $[\xi^S]$  is the dielectric tensor at constant mechanical strain. The conventional polarized ferroelectric ceramics used in ultrasonic transducers are governed by the constitutive expressions given in Equation (1) and (2) and by the equations of mechanical and electrical balance, respectively, as such:

$$\rho \ddot{u} = \nabla \cdot \sigma, \quad (3)$$

$$\nabla \cdot D = 0 \quad (4)$$

To complete the description of the problem, the equations mentioned above are complemented by appropriate boundary conditions. The behavior of the PZT controls the vibration modes of the thin plate, and these deformations give rise to the atomization process [14]. There are three different vibration modes in a piezoelectric hollow-disc (ring) polarized in the thickness direction, which are thickness where the displacements of the upper and lower surfaces are in opposite phase, radial where the inner and outer walls of the ring vibrate in phase and wall thickness direction where the inner and outer walls of the ring vibrate in opposite phase. Since the outer radius is larger than the thickness in a thin ring, radial motion is induced due to Poisson's ratio. Therefore, the first modes are radial modes governed by radial boundary conditions. In this vibration mode, the inner and outer surfaces of the ring vibrate in phase, and this movement is transmitted to the thin plate [15, 16].

### 2.2. Approximate plate theory

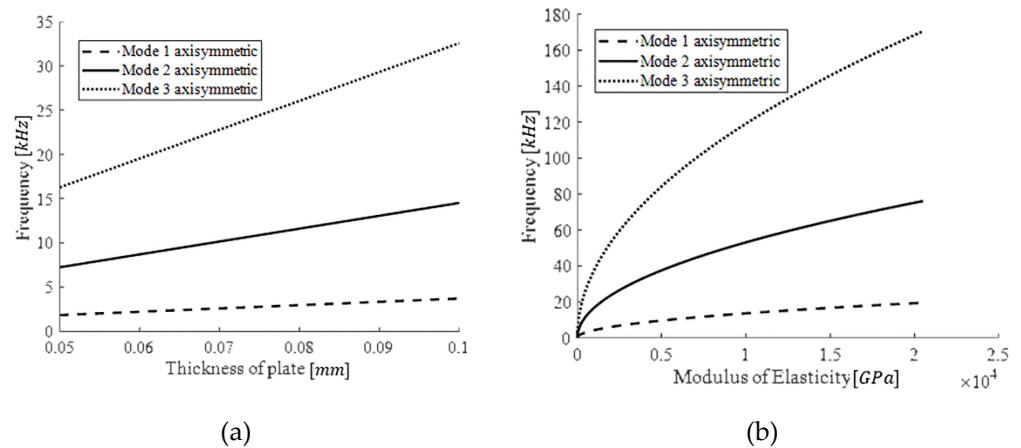
It has been demonstrated that the mechanical vibrating characteristics of the meshed circular thin plate in an ultrasonic atomizer controls rate atomization [17]. There are two kind of vibrations that can be used to study the mechanical behavior of a homogeneous

circular thin plate, namely, free and forced vibration. In case of the atomizer, the theoretical study is focused on a circular thin plate clamped on its edge. The analytical solution using plate theory gives the natural frequencies [18]:

$$f_{nm} = \frac{\alpha h}{2\pi R^2} \sqrt{\frac{E}{12\rho(1-\nu^2)}}, \quad (5)$$

where  $R$  is the radius of a circular plate with thickness  $h$ ,  $\rho$  is the density,  $E$  is the Young's modulus,  $\nu$  is the Poisson's ratio, and  $\alpha$  is a constant that depends on the number of nodal diameters (diameter lines that remain without displacement ( $n$ )) and the number of nodal circles (concentric circumferences without displacement ( $m$ )).

Figure 2 shows the behavior of the natural frequencies as a function of thickness of the plate as well as the elasticity modulus, respectively, for these modes. The frequency dependence on thickness is linear while a nonlinear behavior is observed as a function of the elastic modulus. It is expected that the addition of the micro-apertures to a homogeneous plate can have an inverse effect on the elastic modulus according to the rule of mixtures [19]. Using the volume fraction of the openings, the results show a decrease in the effective elastic modulus as function of the size of the micro-openings and affecting the dynamic response of the system. Therefore, a more complete dynamic and mechanical model of the atomizer should include the aperture shape and distribution of micro-holes on the meshed thin plate.



**Figure 2.** Frequencies calculated as a function of (a) plate thickness and (b) Young's modulus for the first three axisymmetric modes.

However, including these variables makes the system too complex to be easily calculated through analytical methods. Thus, an FE model might provide a better approximation to understand the mechanical behavior of the atomizer and its effect on the atomization rate.

### 3. Finite Element Analysis

The dynamic performance of the piezoelectric atomizer and its vibration optimization were studied using FE analysis. The device was considered as a multi-degree-of-freedom continuum system, which can be represented in matrix notation as [15]:

$$[M]\ddot{\mathbf{u}} + [C]\dot{\mathbf{u}} + [K]\mathbf{u} = \mathbf{F} \quad (6)$$

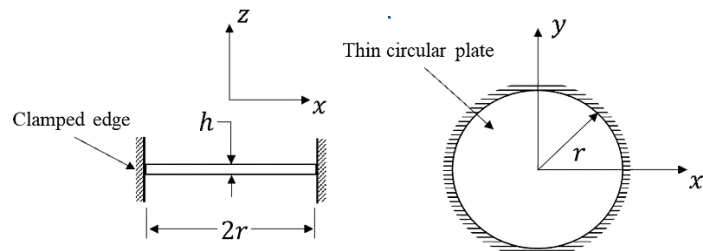
where  $\mathbf{u}$ ,  $\dot{\mathbf{u}}$ , and  $\ddot{\mathbf{u}}$  represent the vector of displacement, velocity, and acceleration, respectively, for every node,  $[M]$  is the mass matrix,  $[C]$  is the damping matrix,  $[K]$  is the stiffness matrix, and  $\mathbf{F}$  is the external excitation force. Two types of analysis were

performed, namely, free and forced vibration. The modal (free) analysis is an eigenvalue problem assuming no external forces  $F = 0$ . A forced harmonic analysis can also be carried out by applying a frequency sweep for different applied input voltages to the piezoelectric ring.

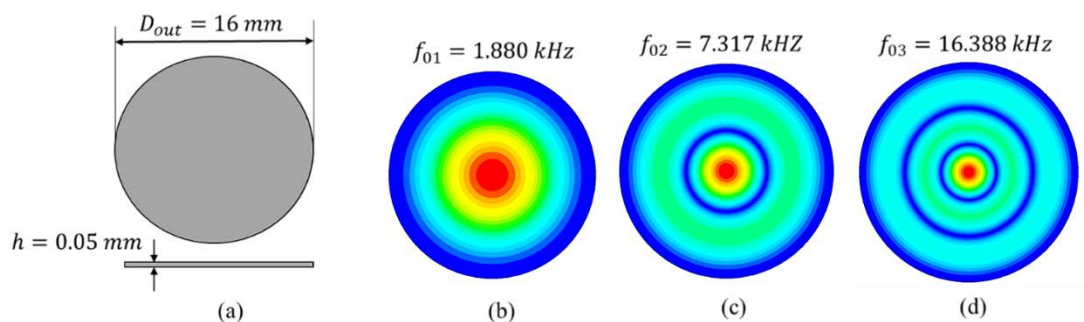
As a first example, a numerical modal analysis on a stainless-steel circular thin plate was carried out. The mechanical properties of the plate are given in Table 1. In these calculations, 16,746 elements (SOLID186 with 20-nodes that exhibit quadratic displacement in ANSYS) were used. The boundary conditions of the thin plate are clamped at the edge to the piezoelectric ring, as is shown in Figure 3. Thus, the displacements in the circumferential area were assumed to be zero ( $u_x = u_y = u_z = 0$  at  $r = 8 \text{ mm}$ ). The simulation was carried out using an FE model implemented in ANSYS; the pseudocode for the analysis is given in the appendix A1. Figure 4 shows the results for the lowest axisymmetric modes when the largest displacement is at the center of the plate. To check the validity of the calculations, Figure 5 shows the first nine resonant frequencies using the modal analysis of a single, clamped, circular, and homogeneous thin plate. These results are compared with the theoretical approximation given by Equation (5). The results shows that the FE analysis only deviates by  $\sim 0.12\%$  in average from the theoretical predictions.

**Table 1.** Mechanical and geometric parameters of the piezoceramic vibrating mesh atomizer reported in references [6, 20].

Material	Young's modulus [GPa]	Density [ $\text{kg/m}^3$ ]	Poisson's ratio	Inner/Outer Diameter [mm]	Thickness [mm]
PZT		7500	0.32	8/16	0.63
Stainless steel	186.8	7980	0.31	16	0.05



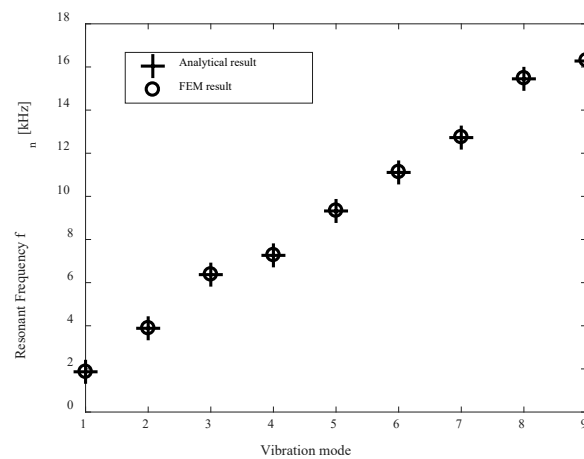
**Figure 3.** Clamped circular plate used for the FEM study.



**Figure 4.** (a) Geometry used for the FEM modal analysis; (b), (c) and (d) are contour plots of the first three axisymmetric vibrational mode estimated for the homogeneous thin plate.

The mechanical behavior of the thin plate is expected to be affected by the loading from the liquid that will be atomized. However, the theoretical and experimental results show that the shape of the vibration modes is not modified; that is, the presence of liquid loading only shifts the values of the resonant frequencies with invariant shape of the vibration modes [21].

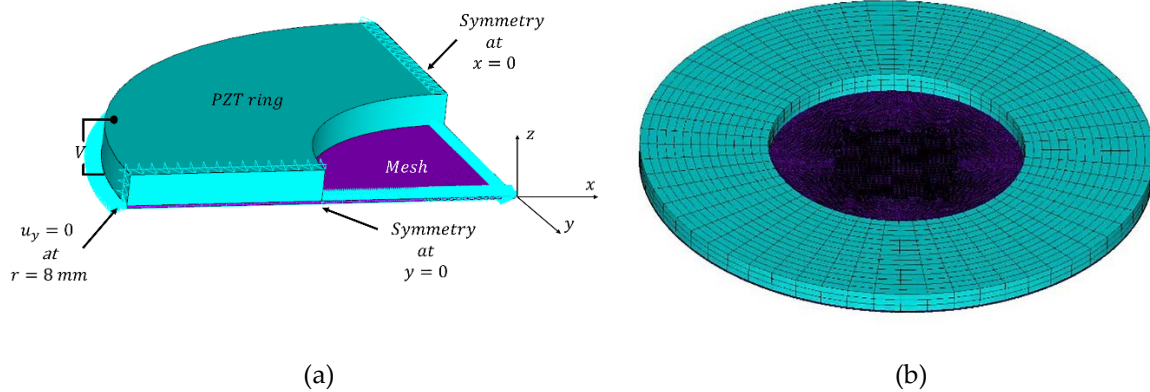
In a preliminary numerical harmonic analysis (see description of the pseudocode in the appendix A), a stainless-steel circular thin plate coupled to a piezoelectric ring was modeled. The mechanical, piezoelectric, and physical properties of the materials are given in Tables 1 and 2. The thin plate was modeled as a deformable plate using fully integrated elements with 20 nodes. Due to the symmetry of the model, only a one-quarter model was needed, thus, reducing the number of grids and the overall computational demand (see Figure 6) [22].



**Figure 5.** Comparison between analytical and FEM results of resonant frequency of vibration modes from a clamped circular plate.

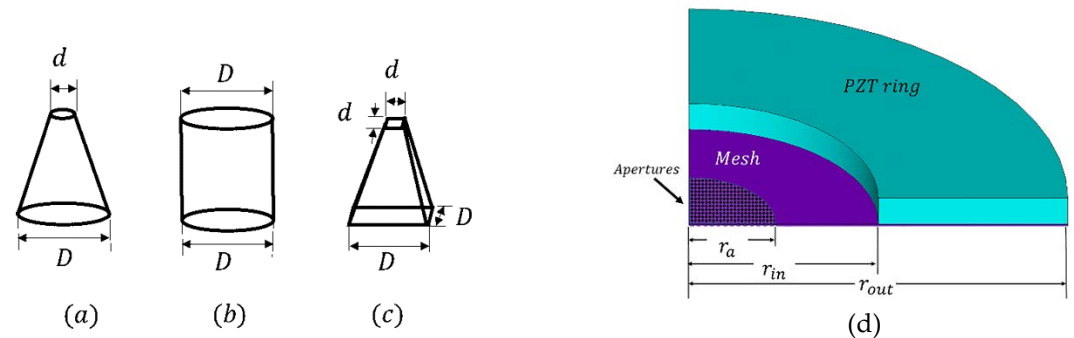
Table 2. Piezoelectrical properties of PZT ring [6].

Dielectric constants [ $10^{-9}C/Vm$ ]	Piezoelectric constant [ $C/m^2$ ]	Elastic constant [ $GPa$ ]
$\epsilon_{11} = \epsilon_{22} = 6.45$	$e_{13} = e_{23} = -5.2$	$C_{11} = C_{22} = 139$
$\epsilon_{33} = 5.61$	$e_{33} = 15.1$	$C_{12} = C_{21} = 77.8$
	$e_{52} = e_{61} = 12.7$	$C_{13} = C_{31} = 74.3$
		$C_{33} = 115$
		$C_{44} = C_{55} = C_{66} = 30.6$



**Figure 6.** FEM Model showing (a) sectional view of the atomizer and boundary conditions, (b) mesh of the system.

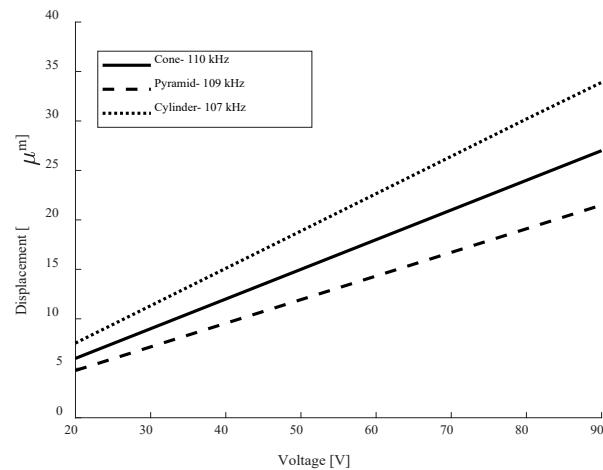
Next, micro-apertures with three different geometrical shapes: cylindrical, pyramidal, and conical were added to the model of the circular thin plate to form a meshed thin plate (thin plated with micro-apertures), which was then coupled to the piezoelectric ring. The meshed plate contained 551 micro-apertures with the dimensions shown in Figure 7 where  $d = 10 \mu\text{m}$  and  $D = 80 \mu\text{m}$ . These were distributed over the thin plate following a rectangular array.



**Figure 7.** Geometrical shape of the micro-apertures of (a) conical, (b) cylindrical, and (c) pyramidal holes. (d) Section view of a solid model of the atomizer disc with micro-apertures on the meshed thin plate.

A forced harmonic analysis with a sinusoidal variation of the voltage was performed on this system as described in Figure 6. The resonant frequencies of the device and the maximum out-of-plane displacements of the mesh were recorded for the three micro-aperture shape geometries. Figure 8 shows a summary of results of the estimated out-of-plane displacement measured at the center of the thin plate against the voltage amplitude applied to the piezoelectric ring when the device was driven at their resonant frequency. The results indicate that the displacement increased linearly with applied voltage for all three types of aperture shapes. The results show only a small change in the resonance frequency which seem to be correlated to loss of density due to the volume removed by micro-apertures in the thin plate as predicted by the plate theory (Equation (5)). Thus, cylindrical openings with a constant diameter have the largest volume and also resonance frequency followed by the conical and pyramidal. However, in this work, our focus will be on the conical aperture because of the valveless pumping effect reported in the literature and its better atomization performance [23].





**Figure 8.** Displacement against voltage amplitude.

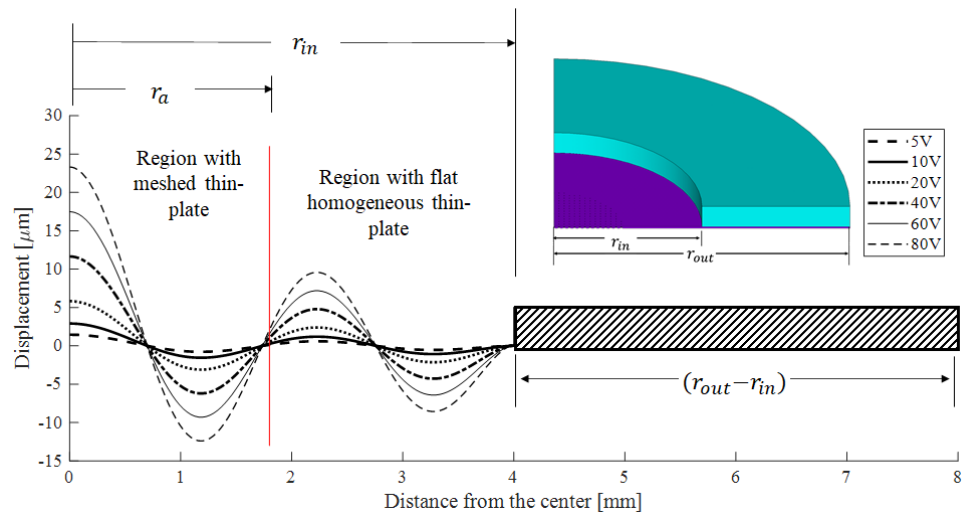
Table 3 shows the result of FEM analysis with a frequency sweep while applying 80V to the PZT ring. A cross section view of the vibration mode shape at resonance frequency is also shown. These results are obtained using the model of meshed thin plate with conical shape apertures. The results give the first five vibration modes. The subscript “s” indicates that the mode was identified as axisymmetric. In all cases the largest out-of-plane displacements were identified in the center of the meshed thin plate with a maximum found of 110 kHz mode, the value is close to those reported for an ultrasonic atomizer with similar materials reported in reference [11].

**Table 3.** Summary of the first five vibration modes, shapes and amplitudes calculated with FEA.

Symmetric Modes	Frequency [kHz]	Shape	Max. Positive displacement [μm]	Max. Negative displacement [μm]
1	$f_{1s} = 5$		1.18	-0.67
2	$f_{2s} = 20$		2.09	-4.62
3	$f_{3s} = 28$		6.71	-17.1
4	$f_{4s} = 62$		7.76	-18.5
5	$f_{6s} = 110$		24	-10.7

Figure 9 provides details of the distribution of displacement through the disk for the axisymmetric vibration mode  $f_{6s}$  obtained at a frequency at 110 kHz and using an applied voltage in the range of [5 – 80 V]. The location of the largest displacement is around the center of the thin plate where the micro-apertures are located. This value of displacement corresponds to the frequency tuning value with the best performance of the atomizer found in our experimental results (discussed in the experimental section). The modelling methodology for the atomizer dynamic performance will then be used to study the control of the atomization rate by correlating it to the maximum displacements as a function of frequency and voltage amplitudes.

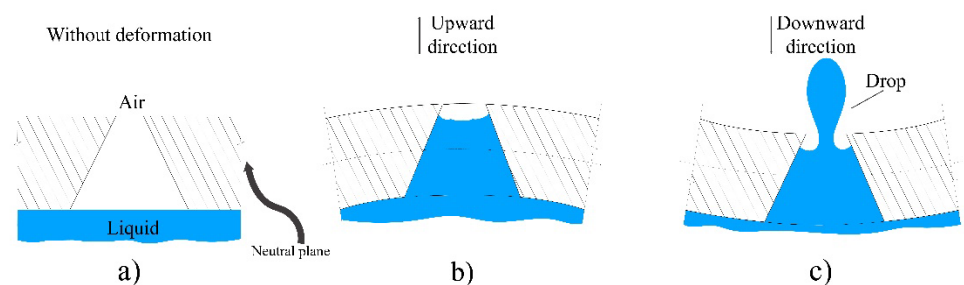




**Figure 9.** Distribution of displacements along the cross section of the thin plate for a 110 kHz (axisymmetric mode) resonance frequency as a function of voltage.

#### 4. Model of Atomization Volume Flow

In mesh atomization, the atomizer releases energy into the liquid to break the surface tension and to allow the liquid droplets to escape from the surface. To control the droplet size distribution and to make the atomization process more controllable, micro-apertures are added to form a meshed thin plate driven by a piezoelectric ring. In Maehara *et al.* [14], the atomizer performance as a function of the number of micro-apertures was studied. A rough approximation of the deformation for the lowest symmetric modes can be found following the thin plate theory [24]. The coordinate system assumes that the neutral surface is in the plane  $xy$ , perpendicular to the  $z$  axis. The flow in the micro-tapered aperture is closely connected with the chamber volume change given by the deformation of the thin plate [25].



**Figure 10.** Dynamic deformation of micro aperture with a conical shape.

In Cai *et al.* [12], the atomization rate is studied focusing on the effect of the volume change at the liquid chamber (container) due to the deformation of the thin plate during vibration at the lowest vibration mode. Also, the contribution by the small deformation of the micro-apertures of the mesh during vibration to the mass flow is studied for that mode. This idea is illustrated in Figure 10, where the conical micro-aperture deforms by the global deformation of the thin plate. During the periodic vibration, any point on the

non-neutral surface moves in two possible conditions. First, the point is bent in the upward direction and then is released back to equilibrium, followed by a compressed state to its lower limit and back to equilibrium. This mechanism acts as a micro-pump, promoting the generation of atomized drops [26].

Thus, the total flow could have two contributions, namely, one from the global volume change of the thin plate ( $q_{va}$ ) and another possible from the micro-aperture volume change ( $q_{vc}$ ), which could then be expressed as:

$$Q = q_{va} + q_{vc} \approx (n\Delta V_D + \Delta V_S) f \xi \quad (7)$$

where  $\Delta V_D$  is the change in the volume in the micro-aperture during vibration, that can be obtained by considering the deformation of the neutral surface and the estimation of a triple integral to calculate the change of volume of the micro cone aperture.  $\Delta V_S$  is the corresponding change in volume in the chamber created by the thin plate vibration,  $f$  is the oscillation frequency of the plate, and  $\xi$  is a pressure loss coefficient described below. The volume of the atomized liquid displaced by the mechanical oscillation of the plate for the lowest vibration mode is given by ([12]):

$$\Delta V_S = \frac{\pi h r_{out}^2}{2} \quad (8)$$

where  $r_{out}$  is the radius of the plate and  $h$  is the thickness of the thin plate. However, since the vibrational modes studied in this work are of higher order, there is a non-homogeneous displacement distribution across the radius. In this case, numerical integration techniques were used to approximate the displaced volume as will be described later. The effective pressure loss coefficient,  $\xi$ , is expressed as:

$$\xi = \frac{\xi_{Dd} - \xi_{Dn}}{2 + \xi_{Dn} + \xi_{Dd}} \quad (9)$$

where  $\xi_{Dd}$  is the pressure loss coefficient related to the diffuser effect, and  $\xi_{Dn}$  is related to the nozzle effect. To relate volume changes to mass flow, it is necessary to consider the resistance of the flow through the conical aperture. In a dynamic cycle, the cone aperture acts as nozzle or as diffuser, and the difference between the two flow resistances determines the flow rate. In Zhang *et al.* [23], empirical curves of cone angle against diffuser and nozzle pressure loss coefficients on a macroscopic level are given. Pressure loss coefficient is a dimensionless number to characterize the pressure loss in a hydraulic system, which involves pressure loss by friction and by changes in the aperture geometry. In our case, the micro-apertures have a half angle of  $35^\circ$ , which, from tables reported in Q. Yan *et al.* [27], give a loss factor of  $\xi_{Dd} = 0.62$  and  $\xi_{Dn} = 0.49$  when the cone acts as diffuser and as nozzle, respectively. An equation for the net volume flow rate when the throat of the tapered aperture is exposed to the air and the flared side is in contact with the liquid was also reported by Q. Yan *et al.* [27]. The equation involves the change in volume of the apertures and the change in volume of the liquid chamber, where  $n$  is the number of apertures and  $f$  is the vibration frequency of the PZT.

## 5. Experimental Setup

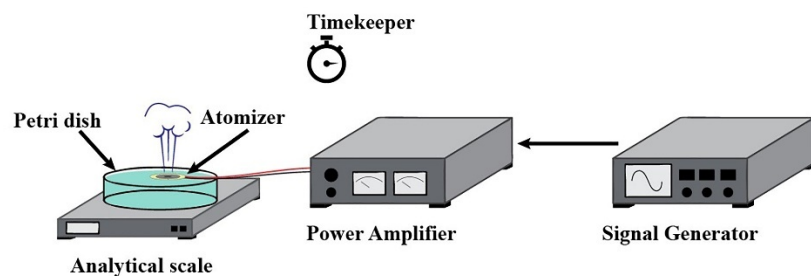
A commercial vibrating mesh atomizer was used for the experiments. The physical properties of the atomizer device are described in Table 4. The mesh atomizer consists of a piezoelectric ring and a thin metal plate meshed with conical holes. The atomizer was positioned so that the bottom layer of the mesh was in contact with the liquid stored in a

small container. As the ring vibrates, small droplets were ejected into the air through the meshed thin plate.

**Table 4.** Atomizer physical properties.

Physical property	Value
Outer diameter of PZT ring	$D_{out} = 16 \text{ mm}$
Inner diameter of PZT ring	$D_{in} = 8 \text{ mm}$
Diameter of stainless-steel disk	$D_{out} = 16 \text{ mm}$
Diameter of apertures zone	$D_a = 3.6 \text{ mm}$
Thickness of thin plate	$h = 0.05 \text{ mm}$
Thickness of PZT ring	$h_{PZT} = 0.63 \text{ mm}$
Resonant frequency	$f_r = 110 \text{ kHz}$
Number of apertures	$n = 551$
Large / Small diameter of cone aperture	$D_l = 80 \mu\text{m} / D_s = 10 \mu\text{m}$

The experimental setup to measure the atomization rate is shown in Figure 11. The AC voltage was produced with a power amplifier (Electronics & Innovation 500S06), which was used to modulate the amplitude of the atomizer vibrations. To find the resonant frequency, a signal generator (Keysight 33210A) with a frequency sweep modulation in the range from 1 to 150 kHz was used. The atomizer was placed on the surface of water inside a plastic petri dish. This petri dish was placed above a scale (Mettler Toledo ME204E) to measure the weight loss over time. The weight was measured every 5 s for 60 s to calculate the average of atomization rate.

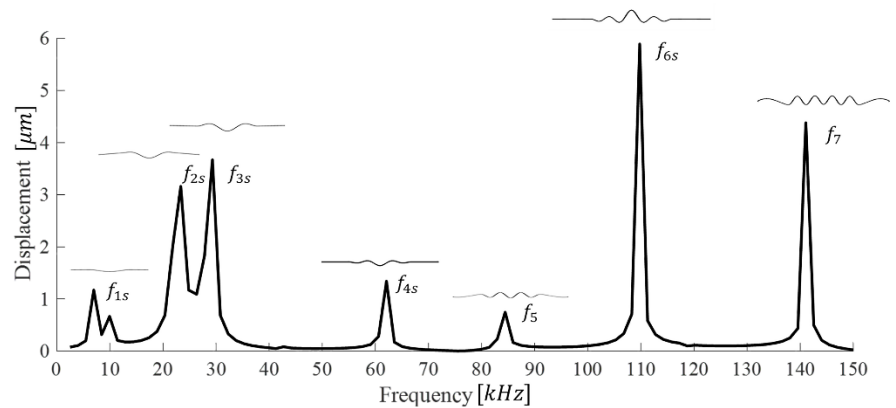


**Figure 11.** Scheme of the atomization rate measurements.

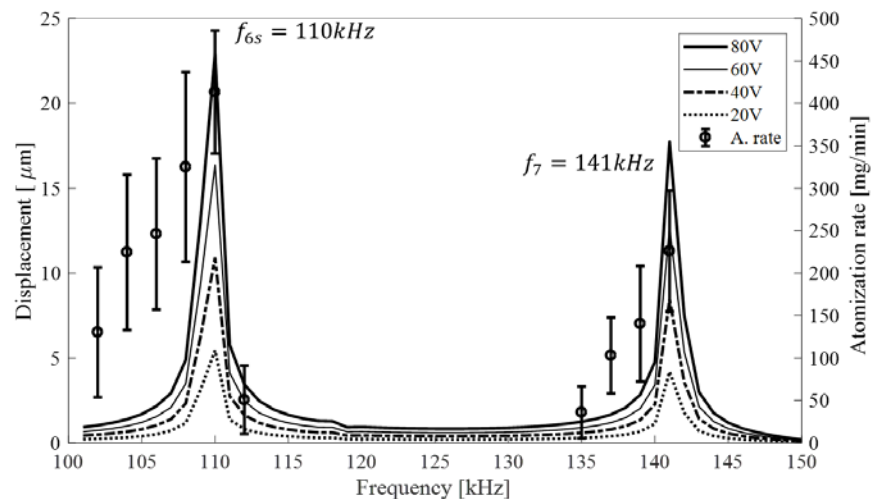
## 6. Results and Discussion

Numerical FEM simulations of the atomizer were performed, the vibration modes and the resonant frequencies were determined using forced harmonic analysis (as described in appendix A2). In particular, a numerical frequency sweep of the system using the physical properties described in Table 5 was carried out, and the mesh atomizer surface displacements with conical apertures corresponding to different frequencies were estimated and plotted in Figure 12. The first five resonant frequencies were estimated and after further analysis, the vibrational modes with the largest out-of-plane displacement were located two resonance frequencies at about 110 kHz ( $f_{6S}$ ) and 140 kHz ( $f_7$ ).

In Figure 13, The numerical simulation findings (line plots) at various voltage levels (20 to 80 V) are compared with experimental results of atomization rate obtained by a frequency sweep over the range from 100 kHz to 150 kHz. The small discrepancies were expected, as the PZT ring was bonded to the metal mesh using epoxy, and wires were soldered to the system. Overall, the peak atomization rates match closely with the resonant frequencies from the numerical simulations, with the maxima occurring at frequencies around 110 kHz and 141 kHz. From these results, it is possible to observe that the resonance frequency is not altered with the voltage change, only the amplitude of the central displacement is affected.



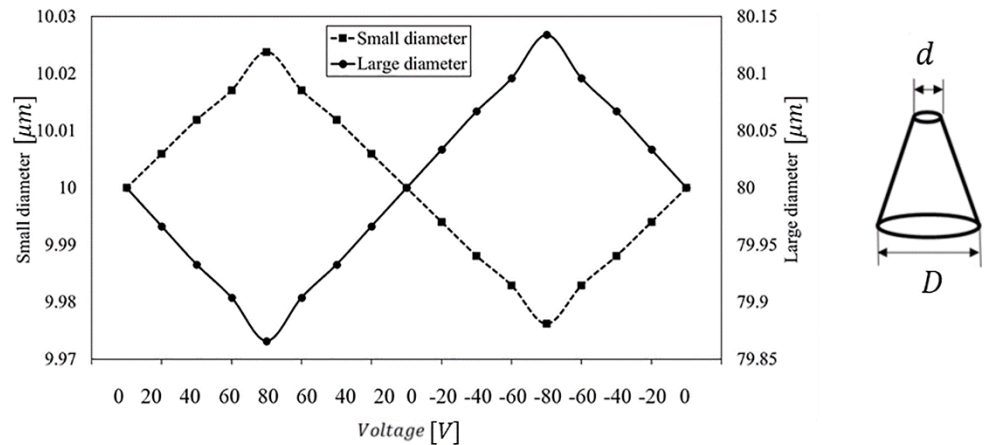
**Figure 12.** Numerical results of frequency sweep for 20 V obtained by FEM. A schematic of the frequency mode cross-section is shown above every detected frequency.



**Figure 13.** Comparison between frequency sweep FEM results for different voltages and the experimental results of atomization rate.

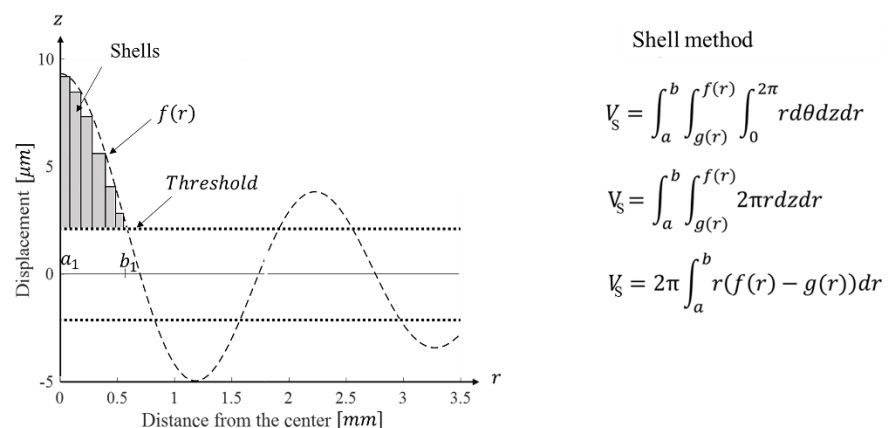
The volume generated by the global deformation of the thin plate ( $\Delta V_s$ ), as well as the additional contribution of the micro-aperture deformations ( $\Delta V_D$ ), were also numerically estimated. The maximum deformation of the micro-aperture located near the center of the thin plate was measured directly from FEM at 110 kHz for values of voltage in the range [-80, 80] V as shown in Figure 14. A symmetric cyclic behavior of the small ( $D_{s1}$ ) and large ( $D_{l1}$ ) diameters was found; however, these changes are very small (about  $0.025 \mu\text{m}$ )

with respect to their initial value at rest. Thus, its contribution to the total value change ( $\Delta V_D$ ) was not found to be significant.



**Figure 14.** FEM estimation of the maximum micro-aperture variation as a function of cycling change in applied voltage.

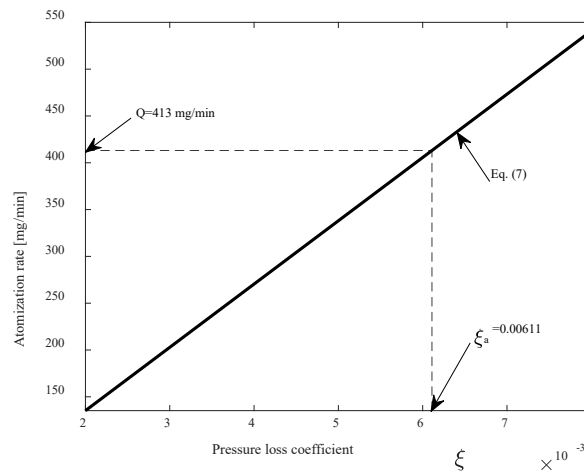
Also, the global liquid volume displaced by the thin plate was calculated based on the FEM results (Figure 15). The distribution of the particle displacement at the surface of the thin plate as given by FEM was calculated by using the shell method of integration [28]. An illustration of the integration method considering the limits ( $a_n$ ,  $b_n$ ) is described in Figure 16. This method allows obtaining volumes generated by rotating an area between any two functions  $f(r)$  and  $g(r)$ , where  $f(r)$  is the displacement curve and  $g(r)$  is a threshold.



**Figure 15:** Approximation of the volume caused by the out of plane displacement distribution. On the left, the shell method for integration of the solid of revolution about the z-axis is described. The function  $f(r)$  is integrated along  $r$  with its value subtracted by the threshold function  $g(r)$ .

According to our experimental findings, atomization was not detected below 20 V. Therefore, the displacement amplitude (or volume variation) at that value was used as a threshold ( $\Delta V_s^{th}$ ). Thus, the effective volume used for numerical results was given as

$\Delta V_S^{eff} = \Delta V_S - \Delta V_S^{th}$ . The changes in volume due to deformation of the apertures ( $\Delta V_D$ ) was neglected for the calculations of the atomization rate, since it was very small compared to the total volume change  $\Delta V_S^{eff}$ . On the other hand, the pressure loss coefficient ( $\xi$ ) is difficult to accurately assess its value, since the actual micro-apertures are manufactured by micro-abrasion electroforming and drilling techniques, which in practice gives irregular conical shapes and edges [7]. Thus, in order to obtain an estimate of the approximate value of loss coefficient ( $\xi_a$ ), correlated to the experimental atomization rate (413 mg/min) obtained at 80 V and 110 kHz, the following procedure was implemented. Figure 16 shows the linear relation (solid line) between the atomization rate and the pressure loss coefficient  $\xi$  as given in Equation (7). By using this linear estimate, an approximate value of  $\xi_a = 6.11 \times 10^{-3}$  corresponding to the experimentally determined atomization rate ( $Q = 413 \text{ mg/min}$ ) was estimated. The value was found to be smaller than the theoretical one ( $\xi = 4.18 \times 10^{-2}$ ) for an ideal conical shape micro-aperture, but this can be a consequence of the actual conditions found in the micro-apertures of the experimental ultrasonic atomizer.



**Figure 16.** Approximation of an effective pressure loss coefficient using experimental results.

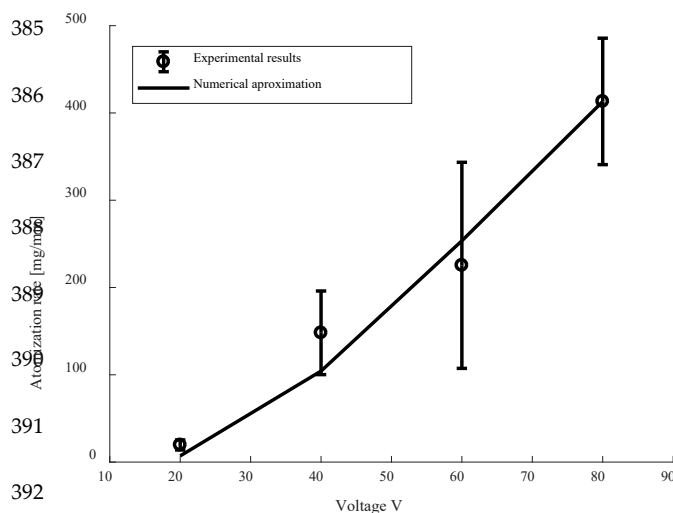
Using the found loss coefficient ( $\xi_a$ ), an approximation of the atomization rate as a function of voltage can be obtained using Equation (7). The variations of volume ( $\Delta V_D^{eff}, \Delta V_S$ ) were calculated for every displacement distribution of the thin plate as given by the FEM at the resonance frequency of 110 kHz. For these calculations the loss coefficient was kept constant.

Using these estimations, the results of atomization rate measurements at the resonant frequency of 110 kHz were compared with numerical calculations. As it was shown, at this frequency the displacement amplitude distribution of the vibrational mode is rather complex with a harmonic decreasing spatial distribution as a function of the thin plate radius. Thus, it is reasonable to assume that the total deformation between compression and tension cycles is expected to contribute to the atomization rate. In Figure 17, the obtained results show a good correlation between the experimental (scatter points) and numerical predictions (solid line) by FEM. The predicted atomization rate is nearly linear with a minimum at 20 V, which is the preset threshold. A small rate is observed below 40V which we attribute to the set constant threshold, thus indicating that the shape of the mode is not affect by the voltage only its amplitude.

The proposed approach assumes that the coefficient  $\xi_a$  is constant for all voltage values and that the distribution of aperture openings during the dynamic cycles is homogenous over all area whilst in reality it depends on the vibrational mode behavior. Despite of these considerations, it is interesting to notice that that the predictions predict well the experimental results. The predicted behavior appears to follow the experimental

results with a roughly linear behavior indicating a directly proportional relationship between the voltage and the out-of-plane displacements through the center of the thin plate which controls the atomization rate and can be used to optimize it.

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**Figure 17.** Total atomization rate vs voltage using  $\xi_a$ , result using as reference a threshold in the calculation of the displaced volume.

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## 7. Conclusions

The purpose of this article is based on three main aspects; First, a comparison is developed between numerical results (FEM) and analytical results. Second, the effect of aperture shape on vibration was investigated. Third, a numerical approximation to the atomization rate based on the combination of experimental and numerical results. The finite element analysis provided a method to determine the vibration modes of the complete system (meshed thin plate coupled to a PZT) in response to an applied harmonic voltage, which is a complex problem to solve analytically. The behavior of the atomizer system for three types of apertures was analyzed. The results show that the resonant frequency is only slightly affected by the shape of the aperture with a larger response for the conical aperture when compared with pyramidal. In all cases, the maximum out of plane displacement shows a linear behavior with applied voltage. Numerical simulations of out-of-plane displacement distribution were used to obtain an approximation of the volume change generated by the dynamic oscillations at resonant frequency. The experimental results also demonstrated that a minimum threshold voltage was required to achieve atomization. A prediction of the atomization rate was determined considering the effect of mass transfer, vibration analysis and geometry of the aperture. The results were compared with experimental results and a good correlation was found. The study indicate that FEM provides correct information of the mechanical behavior occurring during the ultrasonic atomizing process. The results could allow to optimize the atomization parameters by finding the optimum driving frequency and voltage for a specific design of the meshed thin-plate coupled to a PZT. The next step in our research could be to implement the results for soft actuation optimization of a soft robotic system.

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editing, Esteban Guerra-Bravo, Arturo Baltazar and Kenneth Loh. All authors have read and agreed to the published version of the manuscript.

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## Appendix A

Pseudocode for the modal and harmonic analysis implemented in ANSYS to solve the single thin-plate and the meshed thin-plate coupled to a PZT ring systems problems.

### Define initial material and geometry parameter

Define Engineering data Young's modulus ( $E$ ), Poisson's ratio ( $\nu$ ), density ( $\rho$ ) and element type (Solid-186).

Attach geometry (circular plate described before).

Apply mesh controls (discretize the domain).

#### A1: Modal analysis:

1. Analysis settings: Set *Analysis Type* > *New Analysis* > *Modal*.
2. Define mode extraction method: *Reduce method* (eigen-solver on  $([K] - \omega^2[M]) = 0$  to find the frequency roots  $\omega^2$ ) and specify the number ( $N > 0$ ) of modes to find.
3. Apply loads and support conditions,  $u_x = u_y = u_z = 0$  in the edge of the plate.
4. Solve the system.

#### A2: Harmonic analysis:

1. Define the contact regions between the PZT ring and the plate (contact type = *Bonded always*).
2. Apply loads and supports conditions,  $u_x = u_y = u_z = 0$  in the edge of the plate and a harmonic voltage  $V = \{V_{max}e^{i\phi}\}e^{i\Omega t}$ , where  $\phi$  is the phase shift,  $\Omega$  is the frequency of excitation. The voltage is applied as is shown in Figure 7.
3. Define the frequency range  $\Delta\Omega = (f_{max} - f_{min})/n$ , where  $n$  is the number of intervals.
4. Define solution method: *Superposition method* and solve the harmonic equation  $(-\Omega^2[M] + i\Omega[C] + [K])\{u_1 + iu_2\} = \{V_1 + iV_2\}$ . For a linear system one can express the displacements  $u$  as a linear combination of mode shapes.

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