Coding theory package for Macaulay2

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ABSTRACT: In this *Macaulay*2 package we implement a type of object called a `LinearCode`. We implement functions that compute basic parameters and objects associated with a linear code, such as generator and parity check matrices, the dual code, length, dimension, and minimum distance, among others. We implement a type of object called an `EvaluationCode`, a construction which allows users to study linear codes using tools of algebraic geometry and commutative algebra. We implement functions to generate important families of linear codes, such as Hamming codes, cyclic codes, Reed–Solomon codes, Reed–Muller codes, Cartesian codes, monomial–Cartesian codes, and toric codes. In addition, we implement functions for the syndrome decoding algorithm and locally recoverable code construction, which are important tools in applications of linear codes.

1. INTRODUCTION. Coding theory has been extensively studied since 1948, when Claude Shannon [1948] proved in his seminal paper that linear codes can be used to reliably transmit information from a single source to a single receiver through a noisy channel. Since then, coding theory has found many important engineering applications. For example, coding theory has been used in designing reliable data storage systems, radio communication protocols, and in the emerging field of quantum computers. Coding theory has close ties with many areas in mathematics including linear algebra, commutative algebra, algebraic geometry, and combinatorics.

In this note we introduce the new [Macaulay2] package called `CodingTheory`. The goal of this package is to provide a range of functions for constructing linear and evaluation codes over finite fields, and for computing some of their main properties. To this aim, we implement two types of objects, `LinearCode` and `EvaluationCode`. The package also includes implementations of functions for generating important families of linear codes like Hamming codes, cyclic codes, Reed–Solomon codes, Reed–Muller codes, Cartesian codes, monomial-Cartesian codes and toric codes. It also has functions for the syndrome decoding algorithm and locally recoverable codes.

The organization of this note is as follows. In Section 2 we describe various ways to construct a linear code over a finite field using the *CodingTheory* package. In Section 3 we show how to compute the main parameters of a linear code: length, dimension, and minimum distance. We also illustrate how to

compute some of the main algebraic objects associated with linear codes, such as generator and parity check matrices, dual codes, etc. In Section 4 we give a brief introduction to evaluation codes and describe some functions implemented to study these objects. In Section 5 we explain how to create some of the most studied families of linear codes, including Hamming codes, cyclic codes, Reed–Solomon codes, and Reed–Muller codes. Finally, we give instructions on how to create locally recoverable codes.

In this paper we do not attempt to fully explain every function distributed in this package. For a detailed explanation of all functions in the package, we refer to the *Macaulay*2 help page which can be accessed by running

```
i1: viewHelp CodingTheory
```

More information about basics of coding theory can be found in [Huffman and Pless 2003; MacWilliams and Sloane 1977; van Lint 1999]. Constructions of codes using commutative algebra as evaluation codes can be seen in [Carvalho et al. 2017; Gold et al. 2005; Hansen 2000; Little and Schenck 2006; Martínez-Bernal et al. 2017; 2018; Rentería-Márquez et al. 2011; Rentería and Tapia-Recillas 1997; Ruano 2007; Soprunov and Soprunova 2009; Soprunov 2013]. Excellent references for the theory of vanishing ideals and their properties are [Cox et al. 1992; Villarreal 2015].

2. CONSTRUCTING LINEAR CODES. Let $\mathbb{F}_q$ be a finite field with q elements. Mathematically, a *linear code* is defined as a vector subspace $C \subseteq \mathbb{F}_q^n$ and it is often specified by a *generator matrix*, which is a $k \times n$ matrix G with entries in $\mathbb{F}_q$ whose k rows form a basis for C. In *Macaulay*2, a linear code is defined as an $\mathbb{F}_q$-submodule of $\mathbb{F}_q^n$ using the constructor `linearCode`. This constructor is an instance of the `LinearCode` type. There are various ways to use the command `linearCode`. For example, one can use this command to construct a linear code $C \subseteq \mathbb{F}_q^n$ by specifying a generator matrix G of C (Example 2.1). Alternatively, one can use the command `linearCode` to construct a linear code $C \subseteq \mathbb{F}_q^n$ by indicating the finite field $\mathbb{F}_q$ and a list L of elements of $\mathbb{F}_q^n$ that span C (Example 2.2). More details and equivalent ways to use the constructor `linearCode` are given next.

Inputs:

- F = GF(q), a finite field with q elements
- n, r, p, positive integers with p prime
- G, a matrix with entries in GF(q)
- L, a list of elements of GF(q)^n

Usage:

- `linearCode(G)`
- `linearCode(F,L)`
- `linearCode(F,n,L)`
- `linearCode(p,r,n,L)`

In the next examples we construct a simple binary linear code $C \subseteq \mathbb{F}_2^4$ with generator matrix $G = \begin{pmatrix} 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \end{pmatrix}$ using equivalent versions of the command `linearCode`.

Example 2.1.

```
i1 : F = GF(2);
i2 : L = {{1,1,0,0},{0,0,1,1}};
i3 : G = matrix apply(L,codeword->apply(codeword,entry->sub(entry,F)));
```

```
i4 : C = linearCode(G);

i5 : C.GeneratorMatrix
o5 = | 1 1 0 0 |
     | 0 0 1 1 |
```

Note that in Example 2.1 it was necessary to coerce the entries of each vector in the list L into elements of F = GF(2). An equivalent way to do this is to pass the field GF(q) to the `matrix` constructor or to use the constructor `linearCode(GF(q),L)`.

Example 2.2.

```
i1 : L = {{1,1,0,0},{0,0,1,1}};

i2 : C = linearCode(GF(2),L);

i3 : C.GeneratorMatrix
o3 = | 1 1 0 0 |
     | 0 0 1 1 |
```

The set $\mathbb{F}_q^*$ of nonzero elements of a finite field $\mathbb{F}_q$ is a multiplicative cyclic group ([Huffman and Pless 2003, Theorem 3.3.1]). A generator of $\mathbb{F}_q^*$ is called a *primitive element* of $\mathbb{F}_q$. One way to refer to a primitive element of a finite field is by specifying a symbol using the `Variable` option of the constructor `GF`. In the next example we illustrate how to define a linear code $C \subseteq \mathbb{F}_{11}^{10}$ with generator matrix

$$G = \begin{pmatrix} 1 & a^1 & a^2 & \cdots & a^9 \\ 1 & a^2 & a^4 & \cdots & (a^2)^9 \\ 1 & a^3 & (a^3)^2 & \cdots & (a^3)^9 \\ 1 & a^4 & (a^4)^2 & \cdots & (a^4)^9 \end{pmatrix},$$

where a is a primitive element of $\mathbb{F}_{11}$. In *Macaulay2*, $a = 2$.

Example 2.3.

```
i1 : F = GF(11,Variable => a);

i2 : G = matrix table({1,2,3,4},
                 {1,a,a^2,a^3,a^4,a^5,a^6,a^7,a^8,a^9},(i,j)->j^i);

i3 : C = linearCode(G);

i4 : C.GeneratorMatrix
o4 = | 1  2   4   -3 5   -1 -2 -4 3   -5 |
     | 1  4   5   -2 3   1  4  5  -2  3  |
     | 1  -3  -2  -5 4   -1 3  2  5   -4 |
     | 1  5   3   4  -2  1  5  3  4   -2 |
```

In $\mathbb{F}_q^n$ there is a standard inner product defined for all $x = (x_1, \ldots, x_n)$ and $y = (y_1, \ldots, y_n)$ in $\mathbb{F}_q^n$ as $x \cdot y = x_1 y_1 + \cdots + x_n y_n$. Given a linear code $C \subseteq \mathbb{F}_q^n$, the *dual* or *orthogonal code* of C with respect to this inner product is the linear code $C^\perp$ defined as

$$C^\perp = \{x \in \mathbb{F}_q^n : x \cdot c = 0 \text{ for all } c \in C\}.$$

A generator matrix of $C^\perp$ is called a *parity check matrix* for C. Since $(C^\perp)^\perp = C$, another common way to mathematically define C is by specifying one of its parity check matrices. By using the command `linearCode` and the `ParityCheck` option of this command, we can define a linear code $C \subseteq \mathbb{F}_q^n$ by either specifying a parity check matrix H of C or a list L of elements of $\mathbb{F}_q^n$ that span $C^\perp$.

In the next example, we define a linear code $C \subseteq \mathbb{F}_9^5$ whose dual code $C^\perp$ is generated by the set $\{(1, 0, a, 0, 0), (0, 1, a+1, 1, 0), (1, 1, 1, a, 0)\} \subseteq \mathbb{F}_9^5$, where a is a primitive element of $\mathbb{F}_9$. In *Macaulay2*, $a \in \mathbb{F}_9$ satisfies $a^2 = a + 1$.

Example 2.4.

```
i1 : F = GF(9,Variable => a);

i2 : L = {{1,0,a,0,0},{0,a,a+1,1,0},{1,1,1,a,0}};

i3 : C = linearCode(F,L,ParityCheck => true);

i4 : C.GeneratorMatrix
o4 = | a-1 0 a+1 1 0 |
     | 0   0 0   0 1 |

i5 : C.ParityCheckMatrix
o5 = | 1 0 a    0 0 |
     | 0 a a+1  1 0 |
     | 1 1 1    a 0 |
```

Although the dual code of a linear code can be constructed using the command `dualCode` implemented in the *CodingTheory* package, the `ParityCheck` option of the command `linearCode` also allows us to construct the dual of a linear code. In the next example we construct the dual of the linear code in Example 2.3.

Example 2.5.

```
i1 : F = GF(11,Variable => a);

i2 : G = matrix table({1,2,3,4},
                      {1,a,a^2,a^3,a^4,a^5,a^6,a^7,a^8,a^9},(i,j)->j^i);

i3 : D = linearCode(G,ParityCheck => true);

i4 : D.GeneratorMatrix
o4 = | 1   -3 5  3   1 0 0 0 0 0 |
     | -3 -1 4  -4  0 1 0 0 0 0 |
     | 4   -4 -3 5   0 0 1 0 0 0 |
     | -5 -3 4  4   0 0 0 1 0 0 |
     | -4 -4 -1 3   0 0 0 0 1 0 |
     | -3 5  3  1   0 0 0 0 0 1 |
```

3. BASIC PARAMETERS OF LINEAR CODES. The dimension k and length n are basic parameters of a linear code $C \subseteq \mathbb{F}_q^n$. The *information rate* of a linear code is defined as k/n. Another parameter of a linear code $C \subseteq \mathbb{F}_q^n$ is the *minimum distance*, which is defined as

$$w_H(C) := \min\{\|c\| : c \in C, \ c \neq 0\},$$

where $\|c\|$ denotes the Hamming weight of $c \in \mathbb{F}_q^n$, that is, $\|c\|$ is the number of nonzero entries in c. This parameter is important in determining the error-correcting capability of C; the higher the minimum distance, the more errors the code can detect and correct (see [Huffman and Pless 2003]). While the dimension and the length of linear code are computationally easy to determine, it is known that computing the minimum distance of an arbitrary linear code is an NP-hard problem [Vardy 1997]. For this task, the function `minimumWeight` is provided.

In *Macaulay2*, the space $\mathbb{F}_q^n$ has been called the *ambient module* or *ambient space* of C. The field $\mathbb{F}_q$ is called the *alphabet* or *field* of C. The elements of C are referred to as *codewords*. The input in all the

following commands implemented in the `CodingTheory` package is always a linear code C:

- `dim C`
- `field C`
- `C.AmbientModule`
- `length C`
- `codewords C`
- `minimumWeight C`
- `alphabet C`
- `C.Generators`
- `informationRate C`
- `ambientSpace C`
- `C.GeneratorMatrix`
- `C.ParityCheckMatrix`

Example 3.1.

```
i1 : L = {{1,1,0,0},{0,0,1,1}};

i2 : C = linearCode(GF(4),L);

i3 : dim C
o3 : 2

i4 : length C
o4 = 4

i5 : alphabet C
o5 = {0, a, a + 1, 1}

i6 : ambientSpace C
          4
o6 = (GF 4)

i7 : field C
o7 = GF 4

i8 : minimumWeight C
o8 = 2

i9 : codewords C
o9 = {{1, 1, 1, 1}, {1, 1, a, a}, {a, a, 1, 1}, {a, a, a, a},
     ----------------------------------------------------------
      {a + 1, a + 1, a, a}, {a + 1, a + 1, 1, 1}, {1, 1, a + 1, a + 1},
     ----------------------------------------------------------
      {a, a, a + 1, a + 1}, {a + 1, a + 1, a + 1, a + 1}, {1, 1, 0, 0},
     ----------------------------------------------------------
      {0, 0, 1, 1}, {0, 0, a, a}, {a, a, 0, 0}, {a + 1, a + 1, 0, 0},
     ----------------------------------------------------------
      {0, 0, a + 1, a + 1}, {0, 0, 0, 0}}
```

4. EVALUATION CODES. Let $P = \{a_1, \ldots, a_n\}$ be a subset of $\mathbb{F}_q^m$. Consider a finite-dimensional subspace $\mathcal{S} \subset \mathbb{F}_q[X_1, \ldots, X_m]$ of the ring of polynomials over $\mathbb{F}_q$ in m variables. The *evaluation map*

$$\mathrm{ev}_{\mathcal{S}} : \mathcal{S} \to \mathbb{F}_q^{|P|}, \quad f \mapsto (f(a_1), \ldots, f(a_n)),$$

defines a linear map of $\mathbb{F}_q$-vector spaces. The image of $\mathrm{ev}_{\mathcal{S}}$ in $\mathbb{F}_q^{|P|}$, denoted by $C_P(\mathcal{S})$, is the *evaluation code* on the set P corresponding to $\mathcal{S}$. The *vanishing ideal* of P, denoted by $I(P)$, is the ideal in $\mathbb{F}_q[X_1, \ldots, X_n]$ of all polynomials that vanish on P. A key observation that allows the use of commutative algebra in studying evaluation codes is that the kernel of the evaluation map $\mathrm{ev}_{\mathcal{S}}$ is precisely $\mathcal{S} \cap I(P)$.

An evaluation code $C_P(\mathcal{S})$ is implemented in *Macaulay2* as an object of type `EvaluationCode`. However, the object `C.LinearCode` is a linear code in *Macaulay2*. This has been done in this way because there are more objects associated with an evaluation code than with a linear code. For instance, the vanishing ideal associated to the set P plays an important role when finding and estimating parameters of the code, so it is convenient to be able to access it.

There are many constructions of evaluation codes for specific choices of the set P and the subspace $\mathcal{S}$. These include Reed–Muller codes, Cartesian, monomial Cartesian codes, toric codes, and evaluation codes from graphs. We refer to [Carvalho et al. 2017; Hansen 2000; Little and Schenck 2006; López et al. 2014; Martínez-Bernal et al. 2017; Rentería-Márquez et al. 2011; Ruano 2007; Soprunov and Soprunova 2009] for details on how these codes are defined and what properties they have from coding theory, commutative algebra, and algebraic geometry perspectives.

Some functions implemented in the *CodingTheory* package for various constructions of evaluation codes and associated algebraic objects are the following:

Inputs:

- `I`, an ideal
- `d,r`, positive integers
- `F=GF(q)`, a finite field with `q` elements
- `P`, a list of points in `F`ᵐ
- `S`, a list of polynomials in `m` variables

- `M`, an integer matrix
- `L`, a list of subsets of `F`
- `v`, a list of `m` positive integers
- `MI`, an incident matrix of a graph

Usage:

- `evaluationCode(F,P,S)`
- `toricCode(F,M)`
- `cartesianCode(F,L,d)`
- `orderCode(F,P,v,d)`
- `evCodeGraph(F,MI,S)`

- `vNumber(I)`
- `footPrint(d,r,I)`
- `hYp(d,r,I)`
- `genMinDisIdeal(d,r,I)`
- `vasconcelosDegree(d,r,I)`

The input `S` above is a list of polynomials that span the subspace

$$\mathcal{S} \subset \mathbb{F}_q[X_1, \ldots, X_m].$$

The mathematical definitions of the functions in the second column of the previous list can be found in [Cooper et al. 2020]. The following example shows how to construct an evaluation code in *Macaulay*2 using the *CodingTheory* package.

Example 4.1.

```
i1 : F=GF(4,Variable=>a); R=F[x,y,z];
i3 : P={{0,0,0},{1,0,0},{0,1,0},{0,0,1},{1,1,1},{a,a,a}};
i4 : S={x+y+z,a+y*z^2,z^2,x+y+z+z^2};
i5 : C=evaluationCode(F,P,S);
i6 : (C.LinearCode).GeneratorMatrix
o6 = | 0 1 1 1 1   a   |
     | a a a a a+1 a+1 |
     | 0 0 0 1 1   a+1 |
     | 0 1 1 0 0   1   |
```

```
i7 : length C.LinearCode
o7 = 6

i8 : dim C.LinearCode
o8 = 3

i9 : C.Points
o9 = {{0, 0, 0}, {1, 0, 0}, {0, 1, 0}, {0, 0, 1}, {1, 1, 1}, {a, a, a}}

i10 : C.VanishingIdeal
                       2    2                      2    2
o10 = ideal (x*z + y*z, y  + z  + y + z, x*y + y*z, x  + z  + x + z,
      ------------------------------------------------------------
       3          2
      z  + (a +1)z  + a*z)
```

5. FAMILIES OF LINEAR CODES.   Various important families of linear codes have been defined through the development of coding theory. These include Hamming codes, cyclic codes, Reed–Solomon codes, Reed–Muller codes, etc. The mathematical definitions of these and many other families of codes can be found in [Huffman and Pless 2003; MacWilliams and Sloane 1977; van Lint 1999].

   The following functions have been implemented in the *CodingTheory* package to construct some of these important families of codes.

Inputs:

- `n, k, d, m, s`, positive integers
- `F = GF(q)`, a finite field with q elements
- `g(x)`, a polynomial in `F[x]`
- E, a list of elements of F
- L, a list of vectors in F^m

Usage:

- `hammingCode(q,s)`
- `cyclicCode(F,g(x),n)`
- `zeroCode(F,n)`
- `reedSolomonCode(F,E,s)`
- `repetitionCode(F,n)`
- `universeCode(F,n)`
- `reedMullerCode(q,m,d)`
- `randomCode(F,n,k)`
- `zeroSumCode(F,n)`

Example 5.1.

```
i1 : C = hammingCode(2,3);

i2 : C.GeneratorMatrix
o2 = | 1 1 1 1 0 0 0 |
     | 1 1 0 0 1 0 0 |
     | 0 1 1 0 0 1 0 |
     | 1 0 1 0 0 0 1 |

i3 : F = GF(5); R = F[x]; g = x-1; C = cyclicCode(F,g,6);

i7 : C.GeneratorMatrix
o7 = | -1 1  0  0  0  0 |
     | 0  -1 1  0  0  0 |
     | 0  0  -1 1  0  0 |
     | 0  0  0  -1 1  0 |
     | 0  0  0  0  -1 1 |

i8 : C = reedSolomonCode(GF(5),{1,2,3},3);

i9 : (C.LinearCode).GeneratorMatrix
o9 = | 1 1  1  |
     | 1 2  -2 |
     | 1 -1 -1 |
```

6. APPLICATIONS OF LINEAR CODES.   An important aspect in coding theory is *decoding*, which is used when information is transmitted trough a noisy channel. In a few words the idea is the following. Take a vector $c \in C$. Change the value of some of the entries of c to obtain a new vector v. Decoding the vector v means to recover the vector c when only v and C are given. Detailed treatment of decoding algorithms can be found in [Huffman and Pless 2003]. Another, more recent application of coding theory is found in distributed and cloud storage systems. The idea is to use *locally recoverable codes*, which are linear codes with the property that every entry can be recovered from a few other entries. For more information on locally recoverable codes, see [Tamo and Barg 2014].

Some of the most important functions implemented in the *CodingTheory* package that can be used for applications of coding theory are the following:

Inputs:

- C, a linear code over `GF(q)`

- v, a vector in the ambient space of C

- {q,n,k,r}, where q is a prime power, and n, k, and r are positive integers

- L, a list of pairwise disjoint subsets of `GF(q)`

Usage:

- syndromeDecode(C,v,minimumWeight(C))

- LocallyRecoverableCode({q,n,k,r},L,a polynomial)

Example 6.1.

```
i1 : C = hammingCode(2,3);

i2 : msg = matrix {{1,0,1,0}};

i3 : v = msg*(C.GeneratorMatrix)
o3 = | 0 1 0 1 0 1 0 |

i4 : err = matrix take(random entries basis source v, 1)
o4 = | 0 0 0 0 1 0 0 |

i5 : received = transpose(transpose (v+err))
o5 = | 0 1 0 1 1 1 0 |

i6 : transpose syndromeDecode(C, transpose recieved, 3)
o6 = | 0 1 0 1 0 1 0 |
```

SUPPLEMENT.   The online supplement contains version 1.0 of *CodingTheory*.

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