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## 5 The effect of building retrofit measures on CO<sub>2</sub> emissions reduction – A case study 6 with U.S. medium office buildings

7 Yingli Lou<sup>a</sup>, Yizhi Yang<sup>a</sup>, Yunyang Ye<sup>b</sup>, Wangda Zuo<sup>a,c,\*</sup>, Jing Wang<sup>a</sup>

8 <sup>a</sup> Department of Civil, Environmental and Architectural Engineering, University of Colorado Boulder,  
9 Boulder, CO 80309, U.S.A.

10 <sup>b</sup> Pacific Northwest National Laboratory, Richland, WA 99354, U.S.A.

11 <sup>c</sup> National Renewable Energy Laboratory, Golden, CO 80401, U.S.A

12 \* Corresponding author. Email address: [Wangda.Zuo@colorado.edu](mailto:Wangda.Zuo@colorado.edu) (Wangda Zuo)

13 **Abstract**

14 Building retrofits have great potential to reduce CO<sub>2</sub> emissions since buildings are responsible for 36%  
15 of emissions in the United States. Several existing studies have examined the effect of building retrofit  
16 measures on CO<sub>2</sub> emission reduction. However, these studies oversimplified emission factors of electricity  
17 by adopting constant annual emission factors. This study uses hourly emission factors of electricity to  
18 analyze the effect of building retrofit measures on emission reduction using U.S. medium office buildings  
19 as an example. We analyzed the CO<sub>2</sub> emission reduction effects of eight building retrofit measures that  
20 related to envelope and mechanical systems in five locations: Tampa, San Diego, Denver, Great Falls, and  
21 International Falls. The main findings are: (1) estimating CO<sub>2</sub> emission reduction with constant emission  
22 factors overestimates the emission reduction for most measures in San Diego, while it underestimates the  
23 emission reduction for most measures in Denver and International Falls; (2) The same retrofit measure may  
24 have different effects on CO<sub>2</sub> emission reduction depending on the climate. For instance, *improving lighting*  
25 *efficiency* and *improving equipment efficiency* have less impact in emission reduction in cold climates than  
26 hot climates; and (3) The most energy efficient measure may not be the most efficient emission measure.  
27 For example, in Great Falls, the most energy efficient measure is *improving equipment efficiency*, but the  
28 most efficient emission measure is *improving heating efficiency*.

29 **Keywords:** CO<sub>2</sub> emissions, Building, Retrofit, Building energy model, Simulation

30 **1. Introduction**

31 The United States (U.S.) is the second-largest contributor to CO<sub>2</sub> emissions [1] and reducing emissions  
32 in the U.S. is necessary to mitigate the risk of catastrophic climate change. Intergovernmental Panel on  
33 Climate Change (IPCC) declared that the CO<sub>2</sub> emissions humans spew into the atmosphere leads to climate

34 change. By the end of the 21<sup>st</sup> century, the current CO<sub>2</sub> emissions will cause global warming to around 1.5–  
35 2 °C if we do not drastically limit CO<sub>2</sub> emissions by mid-century and beyond [2]. Global warming is  
36 associated with many physical and biological damages, such as receding glaciers, bleached corals,  
37 acidifying oceans, killer heat waves, and hurricanes [3][4][5]. The U.S. outlined a pathway to reduce CO<sub>2</sub>  
38 emissions by 50% below 2005 levels by 2030 [6], and 80% below 2005 levels by 2050 [7].

39 Buildings are critical for emission reduction because the U.S. buildings sector accounted for 36% of  
40 energy-related CO<sub>2</sub> emissions [8]. At present, there are plenty of buildings have poor energy performance  
41 and lead to a bulk of CO<sub>2</sub> emissions [9][10]. Most of these buildings will still be in function until 2025 or  
42 even 2050 [11]. Retrofitting existing buildings is crucial for emission reduction in the U.S. Langevin et al.  
43 [12] found that the combination of aggressive efficiency measures, electrification, and high renewable  
44 energy penetration can reduce CO<sub>2</sub> emissions in the U.S. building sector by 72%–78% relative to 2005  
45 levels.

46 Several existing studies have examined the CO<sub>2</sub> emission reduction effect of building retrofit measures.  
47 In the case study conducted by Tettey et al. [13], CO<sub>2</sub> emission reduction is about 6–8% when the building  
48 insulation material is changed from rock wool to cellulose fiber. Murray et al. [14] treated CO<sub>2</sub> emission  
49 factors of electricity as an uncertainty variable and investigated the optimal set of building measures to  
50 minimize emissions for the Swiss building stock. An average CO<sub>2</sub> emission factor of electricity in Spain  
51 was adopted by Garriga et al. [15] to study the optimal carbon-neutral retrofit of residential communities  
52 in Barcelona, Spain. Huang et al. analyzed the CO<sub>2</sub> emission payback periods of external overhang shading  
53 in a university campus in Hong Kong [16]. An average emission factor of electricity in recent years in Hong  
54 Kong was adopted in this research. An average emission factor of electricity in the last five years in Finland  
55 was used by Niemelä et al. [17] to determine the cost-optimal renovation from the CO<sub>2</sub> emission reduction  
56 potential perspectives. Life-cycle CO<sub>2</sub> emission reduction of retrofit measures in new commercial buildings  
57 was studied by Kneifel and a state-level annual emission factor of electricity was adopted in this study [18].

58 However, the CO<sub>2</sub> emission factor of electricity is oversimplified in existing studies and a constant  
59 factor throughout the whole year is adopted. In fact, the emission factors can potentially change every day,  
60 even every hour, especially in areas with a high renewable energy penetration [19][20][21]. For example,  
61 if solar power generation is prevalent in one area, CO<sub>2</sub> emission factors of electricity will be low during the  
62 daytime and high at nighttime. If a region has extensive hydropower generation, emission factors of  
63 electricity will be lower during the rainy season than the dry season. As a result, using a constant average  
64 emission factor may underestimate or overestimate the emission reduction of some building retrofit  
65 measures.

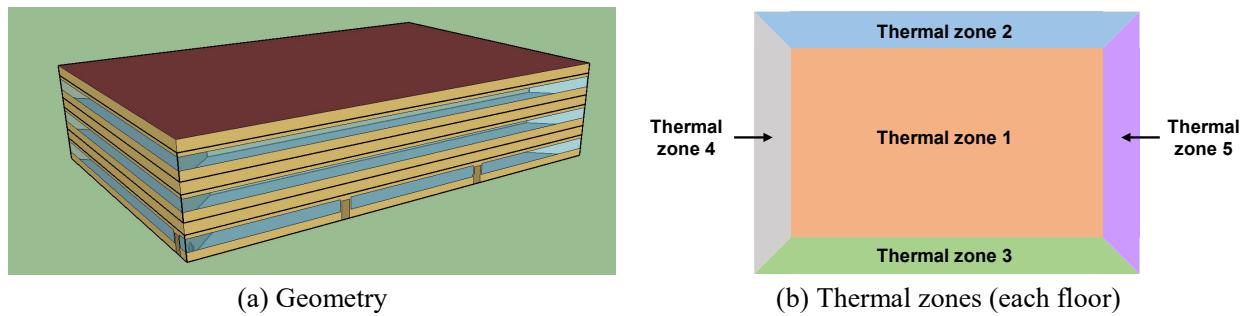
66 The above literature review shows that there is a lack of study on the emission reduction of building  
67 retrofit measures with dynamically changing electricity emission factors. Existing research adopted a  
68 constant emission factor, while electricity emission factors are dynamically changing. The impact of  
69 electricity emission factors on building emissions is significant since electricity is the major energy source  
70 of buildings. Therefore, it is crucial to investigate the emission reduction difference between using  
71 dynamically changing emission factors and a constant factor.

72 In this study, hourly CO<sub>2</sub> emission factors of electricity are adopted to analyze the effect of building  
73 retrofit measures on emission reduction. U.S. medium office buildings are used as an example in this study.

74 This paper is organized as follows: Section 2 introduces the design of the case study including location  
 75 selection, building retrofit measures selection, and the method to estimate the emission reduction effect of  
 76 individual measures. Section 3 presents the hourly CO<sub>2</sub> emission reduction by applying individual measures  
 77 using one location as an example. And the annual CO<sub>2</sub> emission reduction effect of individual measures in  
 78 all locations is analyzed in Section 3. Section 4 discusses the impact of climates on emission reduction  
 79 effect, the difference between energy efficient measures and emission efficient measures, and the difference  
 80 between using the hourly CO<sub>2</sub> emission factors of electricity and the annual factor. Finally, interesting  
 81 findings are concluded in Section 5.

## 82 2. Study Design

83 This section first introduces studied locations and building retrofit measures. Then, we introduce the  
 84 method to estimate the CO<sub>2</sub> emission reduction effect of individual measures. To support commercial and  
 85 residential building energy codes and standards, the U.S. Department of Energy (DOE) has been dedicating  
 86 to the development of prototype building models. The prototype models include 16 commercial building  
 87 types in 19 climate locations (16 in the U.S. and 3 international locations) for different editions of ASHRAE  
 88 Standard 90.1 and IECC. Those models are widely used to investigate energy saving  
 89 [22][23][24][25][26][27], power consumption [28][29], and emission reduction [18]. And the results based  
 90 on these models are also accepted by the community. Therefore, this study adopted DOE Commercial  
 91 Prototype Building Models for medium office buildings [30] to estimate CO<sub>2</sub> emissions. Fig. 1 shows the  
 92 geometry and thermal zones of the model, which has a rectangular shape with three stories. Each story  
 93 contains five thermal zones. Table 1 summarizes the key model parameters.



95 Fig. 1. building model Geometry and thermal zones of the prototype medium office building model

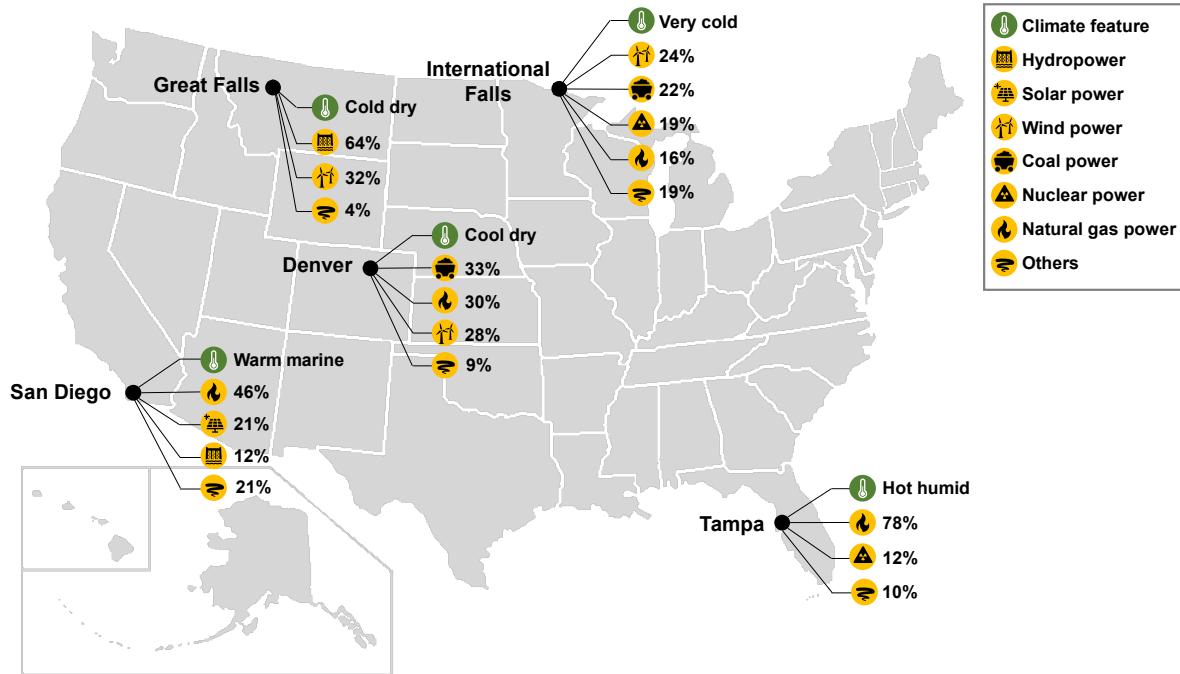
96 Table 1. Key parameters of the prototype medium office building model

Parameter Name	Value
Total floor area	4982 m <sup>2</sup> (49.91 m × 33.27 m × 3)
Aspect ratio	1.5
Number of floors	3
Window-to-wall ratio	33%
Floor-to-floor height	3.96 m
Envelope type	Exterior walls: steel-frame walls Roof: insulation above deck
HVAC system type	Heating: gas furnace inside the packaged air conditioning unit

Parameter Name	Value
	Cooling: packaged air conditioning unit Terminal Units: VAV terminal box with damper and electric reheating coil
Service water heating type	Storage tank using natural gas as fuel

97     2.1. *Location selection*

98     The selected locations should cover different climates and compositions of electricity generation. Using  
99     this principle, five locations are selected: (1) Tampa, Florida; (2) San Diego, California; (3) Denver,  
100    Colorado; (4) Great Falls, Montana; and (5) International Falls, Minnesota. As shown in Fig. 2, they  
101    represent five different climates (from hot humid to very cold). Their compositions of electricity generation  
102    vary from fossil fuel dominated (e.g., Tampa) to renewable energy dominated (e.g., Great Falls). The  
103    consumption of fossil fuel, like coal and natural gas, produces direct CO<sub>2</sub> emissions, while the consumption  
104    of renewable energy, like hydropower, solar power, wind power, and nuclear, doesn't produce direct  
105    emissions.



Note: Climate features are obtained from [30]; compositions of electricity generation are obtained from [31].

107     Fig. 2. Locations selection for the case study

108     2.2. *Building retrofit measure selection*

109     This subsection introduces building retrofit measures that are examined in this study. Existing research  
110    has provided a rich set of building retrofit measures for U.S. commercial buildings [32][33][27][34][35][36].  
111    Based on our previous research [23][22], eight building retrofit measures for U.S. medium office buildings  
112    are included in this study, as shown in Table 2. Based on literatures [22], these eight building retrofit  
113    measures potentially have significant impacts on the CO<sub>2</sub> emissions for medium office buildings across

114 different climate feature locations. The abbreviation for each measure will be used in the rest of this paper.  
 115 The values of model inputs will be introduced in Section 2.3.

116 Table 2. Building retrofit measures examined in the case study

No.	Building Retrofit Measure	Abbreviation	Model Input
1	Add wall insulation	WALL	Wall insulation R-value
2	Add roof insulation	ROOF	Roof insulation R-value
3	Replace windows	WINDOW	Window U-factor, Window SHGC
4	Replace interior lights with higher efficiency lights	LIGHT	Lighting power density
5	Replace office equipment with higher efficiency equipment	EQUIP	Plug load density
6	Replace cooling coil with higher efficiency coil	COOLING	Nominal coefficient of performance (COP)
7	Replace heating burner with higher efficiency burner	HEATING	Burner efficiency
8	Replace service hot water system with higher-efficiency system	SWH	Heater thermal efficiency

117 *2.3 CO<sub>2</sub> emission reduction*

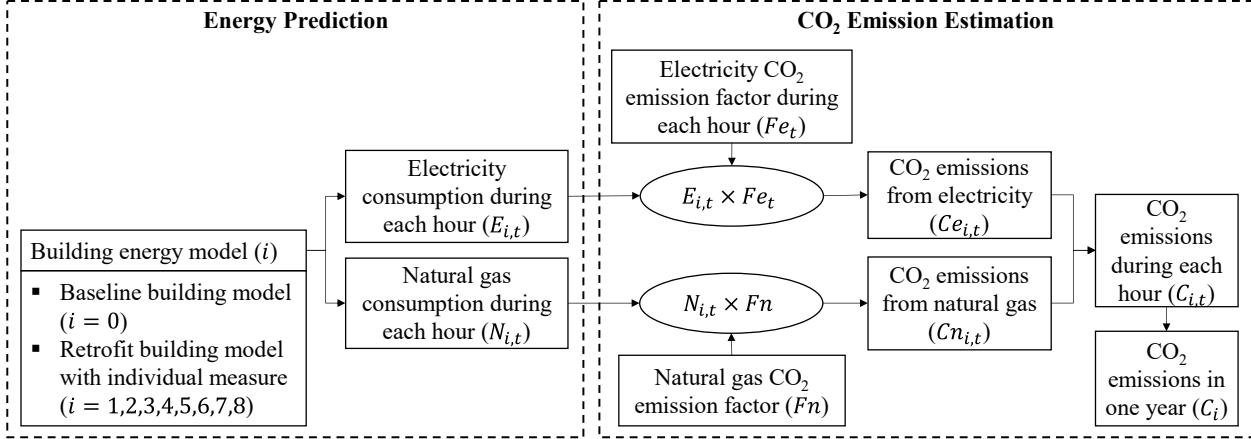
118 The CO<sub>2</sub> emission reduction effect of the individual measure ( $R_i$ ) can be obtained using the following  
 119 formula:

$$R_i = \frac{C_0 - C_i}{C_0} \times 100\%, \quad i = 1, 2, 3, 4, 5, 6, 7, 8, \quad (1)$$

120 where,  $C_0$  is CO<sub>2</sub> emissions of baseline building model; and  $C_i$  is CO<sub>2</sub> emissions of retrofit building  
 121 model by applying the retrofit measure  $i$ . The  $C_0$  and  $C_i$  can be obtained using the following formula,  
 122 which is also illustrated in Fig. 3.

$$C_i = \sum_{t=1}^n C_{i,t} = \sum_{t=1}^n (Ce_{i,t} + Cn_{i,t}) = \sum_{t=1}^n (E_{i,t} \times Fe_t + N_{i,t} \times Fn), \quad (2)$$

123 where,  $C_{i,t}$  is CO<sub>2</sub> emissions at time  $t$  for the building with retrofit measure  $i$ . For the baseline building,  
 124  $i = 0$ . The  $n$  is the total number of hours in a year, which is 8784 in this study. The  $Ce_{i,t}$  is CO<sub>2</sub> emissions  
 125 from electricity at time  $t$  for the building with retrofit measure  $i$ . The  $Cn_{i,t}$  is CO<sub>2</sub> emissions from natural  
 126 gas at time  $t$  for the building with retrofit measure  $i$ . The  $E_{i,t}$  is electricity consumption at time  $t$  for the  
 127 building with retrofit measure  $i$ . The  $Fe_t$  is electricity CO<sub>2</sub> emission factor at time  $t$ .  $N_{i,t}$  is natural gas  
 128 consumption at time  $t$  for the building with retrofit measure  $i$ .  $Fn$  is natural gas emission factor, which is a  
 129 constant value.



131

Fig. 3. Workflow to estimate the CO<sub>2</sub> emissions of a building

132 The model input values of baseline models are based on ASHRAE Standard 90.1-2007 [37]. The model  
 133 input values of retrofit models are based on the Advanced Energy Design Guide 50% Energy Savings [38].  
 134 Table 3 shows the model input values of baseline models and retrofit models, which result in 45 models (5  
 135 locations × (1 baseline model + 8 retrofit models)). The objective of this study is to investigate the emission  
 136 reduction effect due to building retrofit measures on different locations. Therefore, the embodied emissions  
 137 of building retrofit measures are not involved in this study.

138

Table 3. Model input values of baseline models and retrofit models

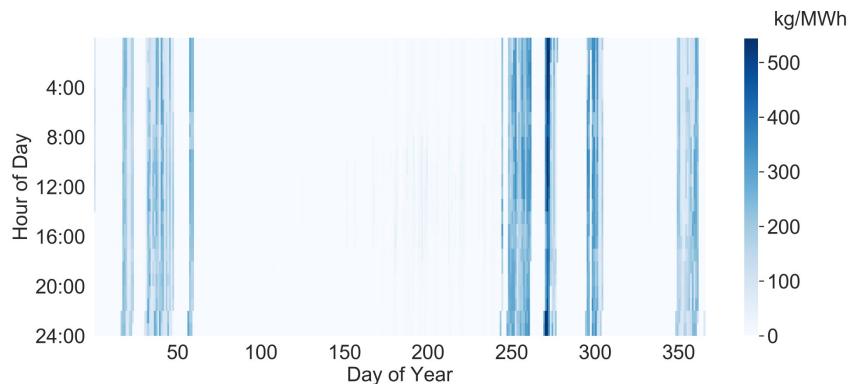
Model Input	Unit	Tampa		San Diego		Denver		Great Falls		International Falls	
		Base <sup>1</sup>	Retr <sup>2</sup>	Base <sup>1</sup>	Retr <sup>2</sup>						
Wall insulation R-value	m <sup>2</sup> -K/W	1.04	2.75	1.71	2.75	2.37	4.19	2.37	4.76	2.37	4.76
Roof insulation R-value	m <sup>2</sup> -K/W	3.47	4.52	3.47	4.52	3.47	5.50	3.47	5.50	3.47	6.29
Window U-factor	W/m <sup>2</sup> -K	4.09	2.56	3.52	2.33	2.73	1.99	2.73	1.99	2.38	1.87
Window SHGC	-	0.25	0.25	0.25	0.25	0.4	0.26	0.4	0.35	0.45	0.40
Lighting power density	W/m <sup>2</sup>	10.76	8.07	10.76	8.07	10.76	8.07	10.76	8.07	10.76	8.07
Plug load density	W/m <sup>2</sup>	8.07	5.92	8.07	5.92	8.07	5.92	8.07	5.92	8.07	5.92
Nominal COP	-	3.23	3.37	3.23	3.37	3.23	3.37	3.23	3.37	3.23	3.37
Burner efficiency	-	0.80	0.90	0.80	0.90	0.80	0.90	0.80	0.90	0.80	0.90
Heater thermal efficiency	-	0.81	0.90	0.81	0.90	0.81	0.90	0.81	0.90	0.81	0.90

139 <sup>1</sup>Base: Baseline model (Source: ASHRAE Standard 90.1-2007 [37])140 <sup>2</sup>Retr: Retrofit model (Source: AEDG 50% Energy Savings [38])141 *2.3.1. Energy prediction*

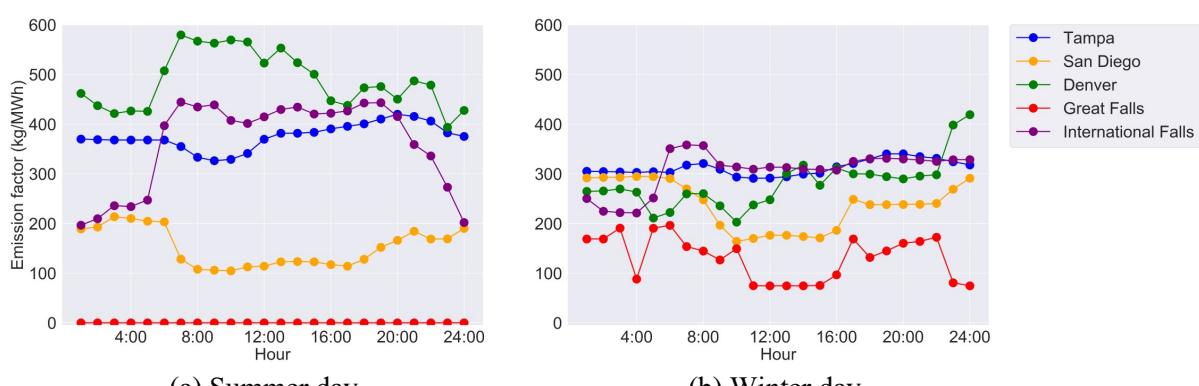
142 As shown in Fig. 3, this study predicts energy consumption for (1) baseline building models and (2)  
 143 retrofit building models by adopting individual measures. In this study, the baseline models are the DOE  
 144 Commercial Prototype Building Models for medium office buildings [30], which were introduced in the  
 145 beginning of Section 2. Retrofit models are the updated baseline models by adopting the individual  
 146 measures listed in Table 2. The model input values of individual measures are listed in Table 3. Two types  
 147 of data are extracted after model simulation: (1) hourly electricity consumption ( $E_{i,t}$ ) and (2) hourly natural  
 148 gas consumption ( $N_{i,t}$ ).

149 2.3.2. *CO<sub>2</sub> emission estimation*

150 Using the electricity and gas consumption data obtained in the subsection 2.3.1, this subsection  
 151 introduces the method to estimate CO<sub>2</sub> emissions of baseline models and retrofit models. As shown in Fig.  
 152 3, CO<sub>2</sub> emissions from electricity are calculated by multiplying hourly electricity consumption with hourly  
 153 emission factors of electricity, and CO<sub>2</sub> emissions from natural gas are calculated by multiplying hourly  
 154 natural gas consumption with one natural gas emission factor. Hourly CO<sub>2</sub> emission factors of electricity  
 155 are obtained from the National Renewable Energy Laboratory (NREL) website [31]. The emission factor  
 156 in each hour is the average values of emission factors during that hour. For example, Fig. 4 shows the hourly  
 157 emission factors of electricity in Great Falls. The horizontal axis in Fig. 4 represents each day of the year.  
 158 Vertical axis represents each hour of the day. The shade of the color represents the magnitude of the value  
 159 in a specific hour on one day. Fig. 5 shows hourly emission factors of electricity on two typical days  
 160 (summer day: 2020-06-19 and winter day 2020-12-21) for the five studied locations. Hourly emission  
 161 factors of electricity in Great Falls during the summer are almost always zero because there is abundant  
 162 hydropower during that time. The natural gas emission factor is a fixed value in the whole year for five  
 163 studied locations, which is 180 kg/MWh [39].



165 Fig. 4. Hourly CO<sub>2</sub> emission factors of electricity in Great Falls  
 166



168 (a) Summer day

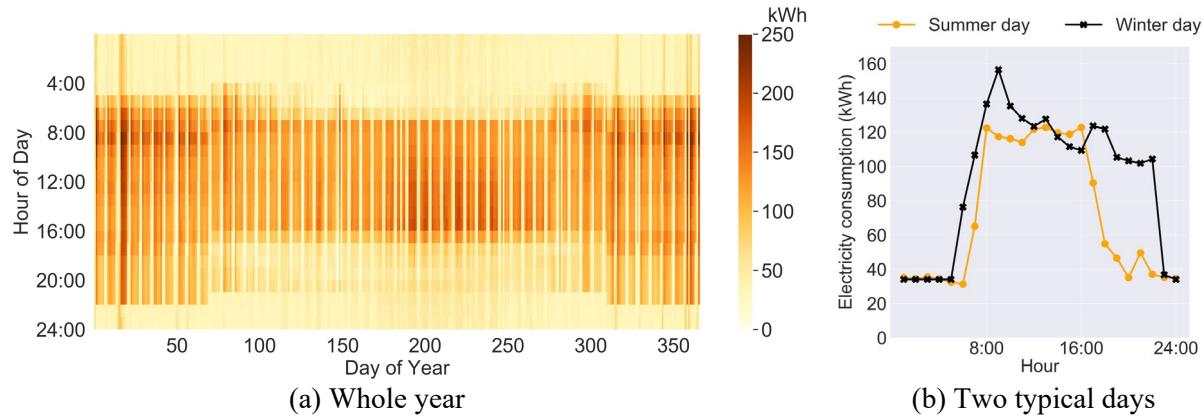
169 (b) Winter day

Fig. 5. Hourly CO<sub>2</sub> emission factors of electricity on two typical days

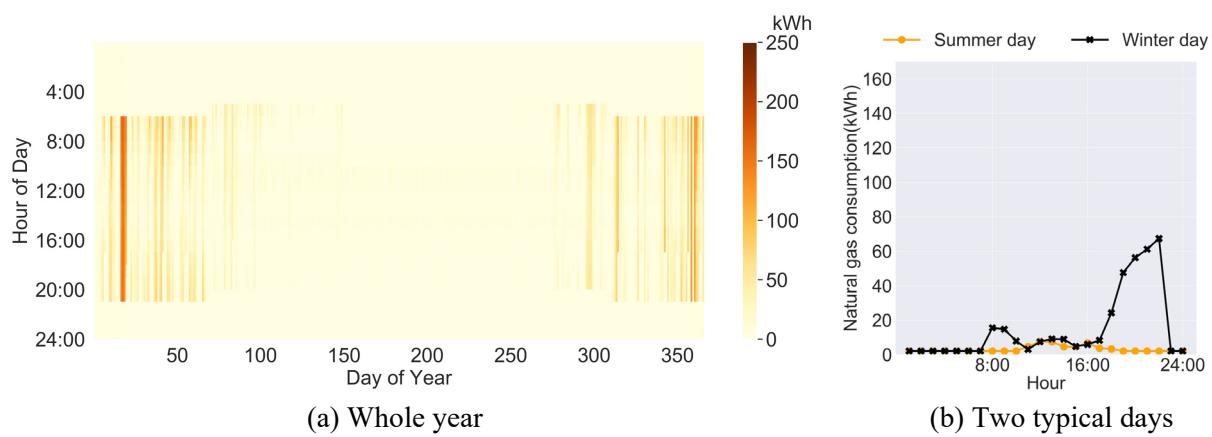
170 **3. Results**

171 *3.1. Energy prediction*

172 This subsection shows the prediction results of hourly electricity and natural gas consumption in 2020  
 173 for the baseline models and retrofit models. We use the baseline model in Great Falls as an example to  
 174 illustrate the hourly electricity and natural gas consumption, as shown in Fig. 6 and Fig. 7. To make the two  
 175 types of energy consumption comparable, the unit of natural gas consumption is converted from MJ to kWh.  
 176 Fig. 6 (a) and Fig. 7 (a) shows that the electricity consumption is much higher than the natural gas  
 177 consumption in Great Falls. Electricity consumption is relatively even throughout the year, while natural  
 178 gas consumption primarily concentrates in winter. Fig. 6 (a) and Fig. 7 (a) also shows that there is a periodic  
 179 change in the electricity and natural gas consumption: electricity and natural gas consumption is intensive  
 180 during the workday, while they are almost zero over the weekend. Fig. 6 (b) and Fig. 7 (b) shows that  
 181 electricity consumption is concentrated from 7:00 to 22:00 in winter and 8:00 to 16:00 in summer; natural  
 182 gas consumption is concentrated from 8:00 to 22:00 in winter and almost no consumption in summer.



184  
 185 Fig. 6. Hourly electricity consumption of the baseline model in Great Falls



187  
 188 Fig. 7. Hourly natural gas consumption of the baseline model in Great Falls

189 *3.2. CO<sub>2</sub> emission estimation*

190 Based on the hourly electricity and natural gas consumption predicted in subsection 3.1, hourly CO<sub>2</sub>  
 191 emissions of baseline models and retrofit models in five locations can be obtained using equation (2). Here

192 we use Great Falls as an example to discuss the relationship between energy consumptions and CO<sub>2</sub>  
 193 emissions. The hourly CO<sub>2</sub> emissions of the baseline model in Great Falls is shown in Fig. 8. There are  
 194 some interesting findings in two different time scales for Great Falls.

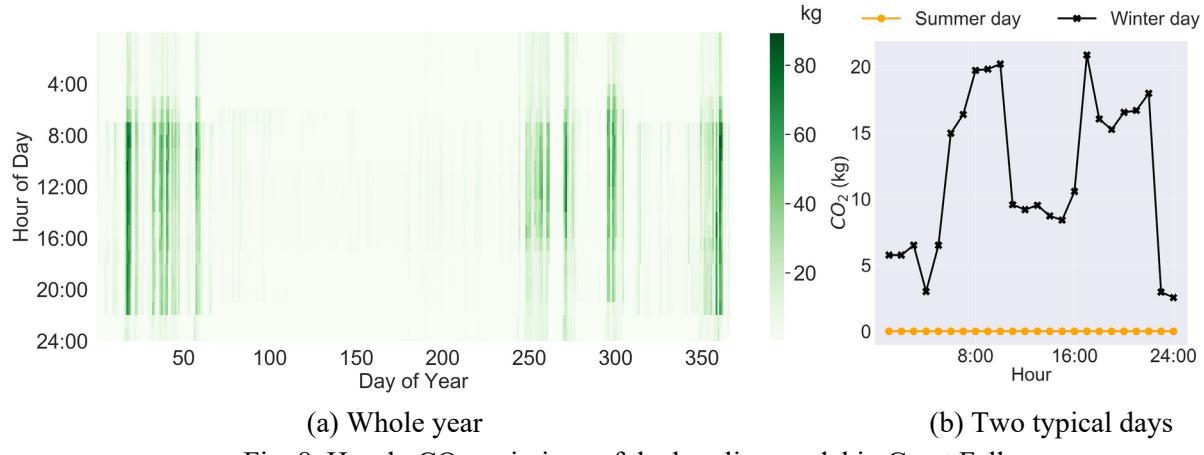
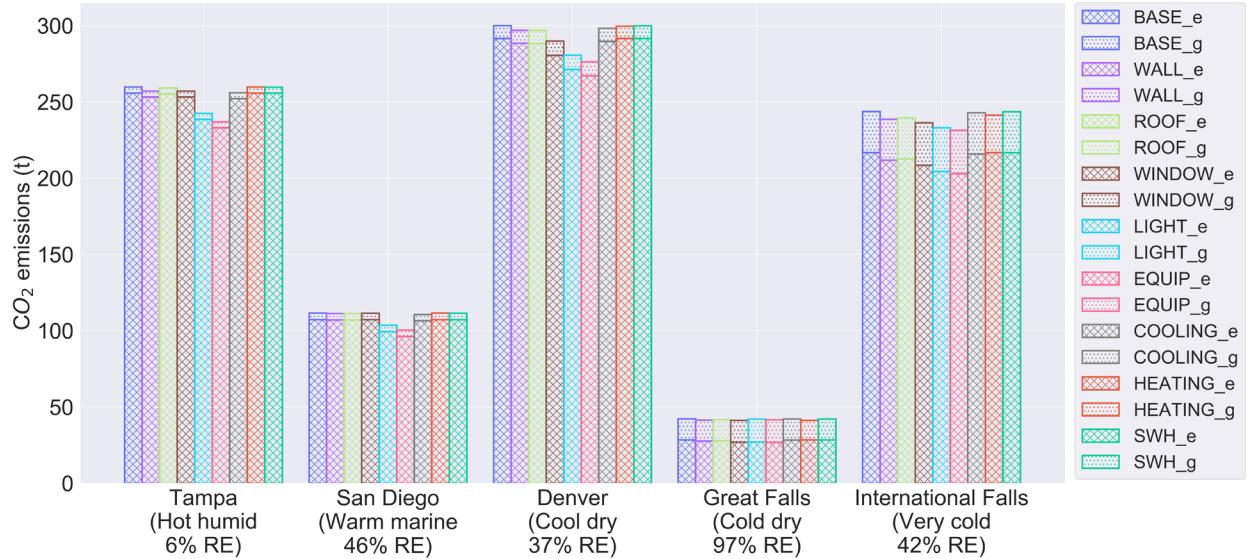


Fig. 8. Hourly CO<sub>2</sub> emissions of the baseline model in Great Falls

198 For a period of one year, the change of CO<sub>2</sub> emissions is not consistent with the energy consumption.  
 199 The emissions in Great Falls mainly occur on some days during winter while almost always zero during  
 200 summer. On the contrary, Fig. 6 (a) shows that electricity consumption is intensive during the whole year  
 201 in Great Falls. This inconsistency is due to time-variant emission factors: hourly CO<sub>2</sub> emission factors of  
 202 electricity in Great Falls are almost always zero during summer and high in winter, as shown in Fig. 4. As  
 203 a result, the emissions from electricity consumption in summer are almost always zero despite the amount  
 204 of electricity consumption. Emissions from natural gas are also almost always zero during summer due to  
 205 low natural gas consumption as shown in Fig. 7. Therefore, total CO<sub>2</sub> emissions in Great Falls during  
 206 summer are almost always zero.

207 For a period of one whole day in winter, the variation of CO<sub>2</sub> emissions (Fig. 8) is consistent with  
 208 energy consumption (Fig. 6 and Fig. 7): emissions from the building mainly happen during the daytime, as  
 209 shown in Fig. 8, and energy consumption from the building also mainly happens during the daytime, as  
 210 shown in Fig. 6 and Fig. 7. This is because hourly emission factors of electricity in Great Falls on one whole  
 211 day are relative constant (Fig. 4) and the natural gas emission factor is a constant value. It is worth noting  
 212 this phenomenon may not occur for other locations, such as San Diego, where electricity is largely provided  
 213 by solar.

214 Fig. 9 shows the annual CO<sub>2</sub> emissions of baseline building models and retrofit building models in five  
 215 studied locations. “MEASURE\_e” represents emissions from electricity and “MEASURE\_g” represents  
 216 emissions from natural gas. There are some interesting findings among different locations.



Note: Renewable energy (RE) penetration is obtained from [31].

Fig. 9. Annual CO<sub>2</sub> emissions of baseline models and retrofit models

First, the CO<sub>2</sub> emissions in San Diego and Great Falls are much lower than the other three locations. This is because San Diego and Great Falls have high renewable energy penetration, which is 46% and 97% respectively.

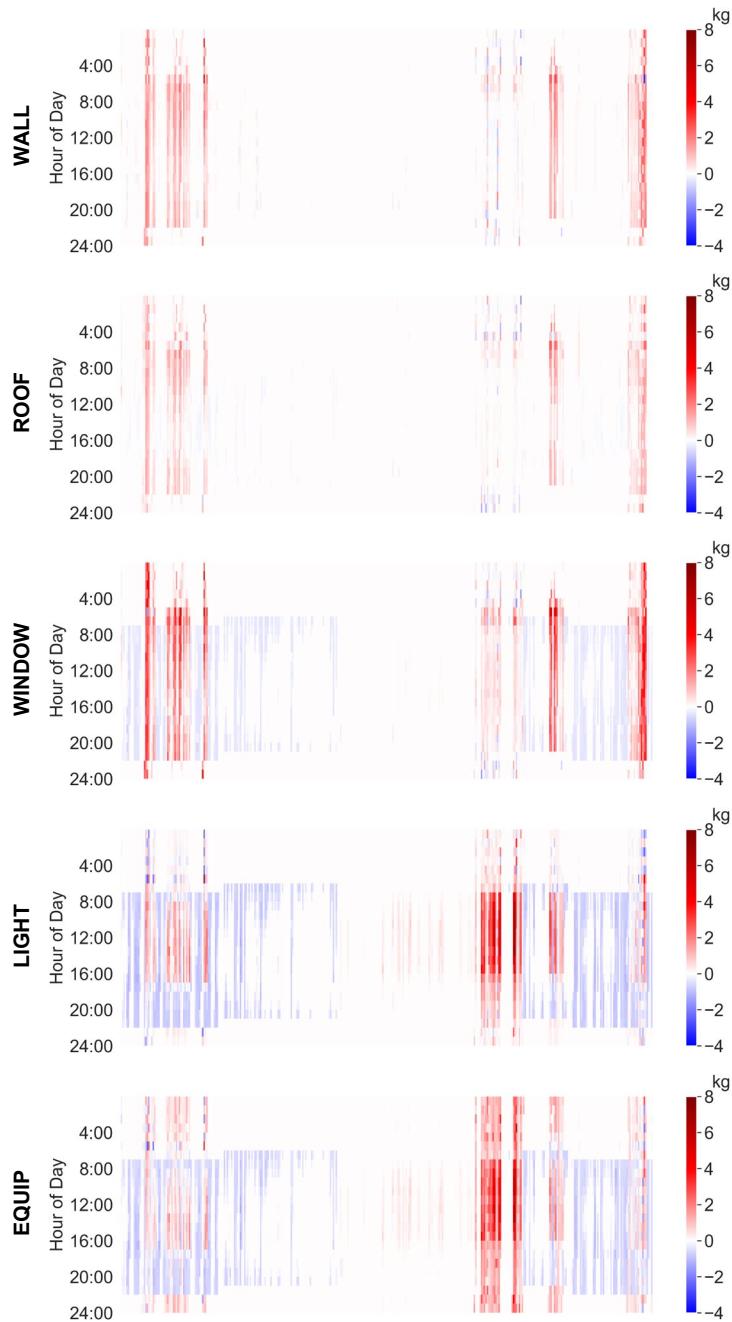
Moreover, International Falls has the largest CO<sub>2</sub> emissions from natural gas, followed by Great Falls, Denver, San Diego, and Tampa. CO<sub>2</sub> emissions from natural gas increase as the climate gets colder since natural gas is used for heating. When the climate gets colder, heating loads increase accordingly [40][41]. So, natural gas consumption for heating increases when the climate gets colder, which leads to the increase of CO<sub>2</sub> emissions.

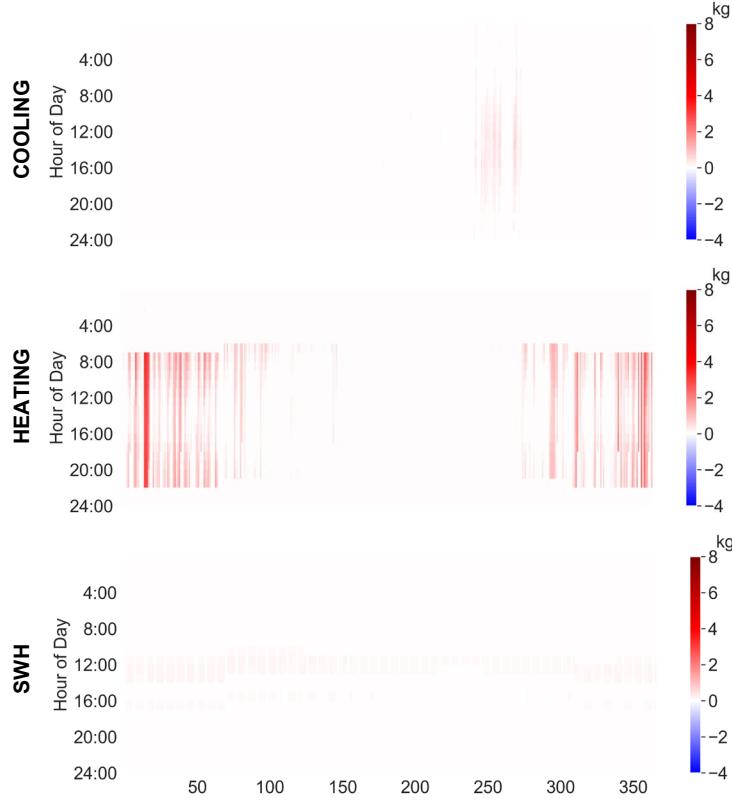
The CO<sub>2</sub> emissions from natural gas only account for a small part of total emissions in Tampa, San Diego, Denver, and International Falls, but they account for more than 30% of total emissions in Great Falls, as shown in Fig. 9. One of the reasons is that natural gas consumption in Great Falls is large due to the cold climate feature mentioned above. Another reason is that hourly emission factors of electricity in Great Falls are very low due to the high penetration of hydropower and wind power.

### 3.3. *CO<sub>2</sub> emission reduction*

CO<sub>2</sub> emission reduction by applying individual measures can be obtained by subtracting emissions of the retrofit building from emissions of the baseline building. For example, CO<sub>2</sub> emission reductions by applying individual measures in Great Falls are shown in Fig. 10. Red means this measure reduces emissions, while blue indicates the increase of emissions. Fig. 10 shows that: (1) building retrofit measures in Great Falls reduce CO<sub>2</sub> emissions in winter due to the high emission factors of electricity; (2) HEATING reduces CO<sub>2</sub> emissions more significantly than the other seven measures since natural gas is used for heating; (3) COOLING hardly reduces CO<sub>2</sub> emissions since emission factors of electricity in summer are almost zero when cooling is needed; (4) SWH also has little impact on CO<sub>2</sub> emissions because only a little amount of energy is used for service water heating; (5) by improving the efficiency, LIGHT and EQUIP reduce

242 electricity consumption and related internal heat gain. This can reduce the cooling load in the cooling season  
 243 but increase the heating load in the heating season. As a result, they reduce CO<sub>2</sub> emissions in the spring and  
 244 fall when cooling is still needed and electricity comes from fossil fuel, and they increase CO<sub>2</sub> emissions  
 245 when natural gas is used for heating; and (6) by reducing the solar heat gain and increasing insulation,  
 246 WINDOW reduces the cooling load but increases the heating load. Therefore, it reduces CO<sub>2</sub> emissions in  
 247 the spring and fall, and increases CO<sub>2</sub> emissions when heating is needed.

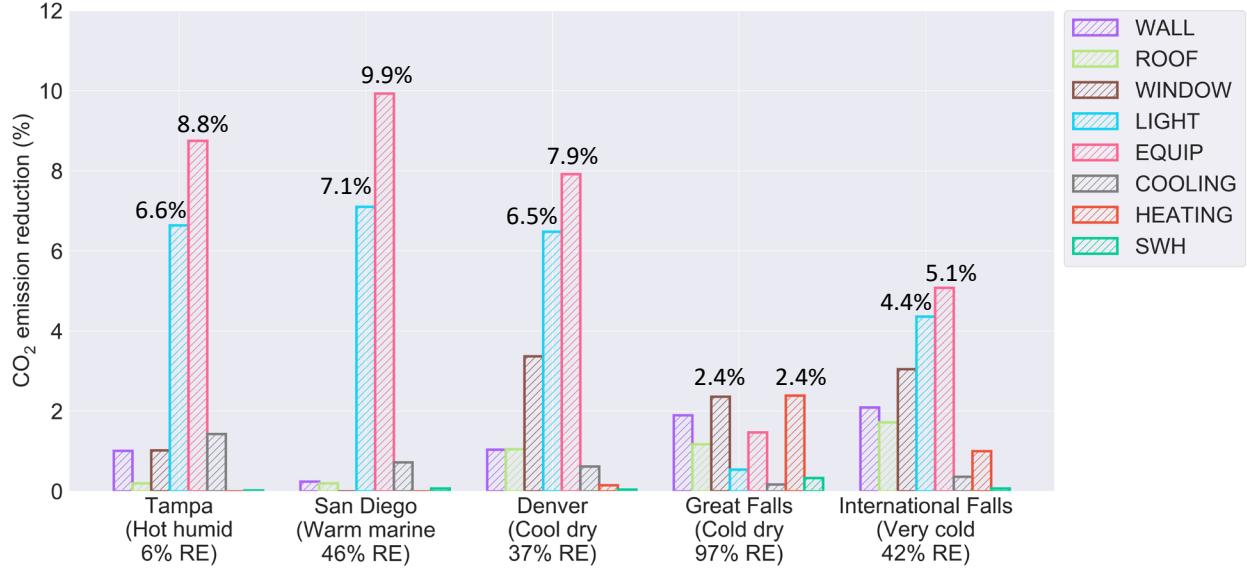




248 Fig. 10. CO<sub>2</sub> emission reduction by applying individual measures in Great Falls

249 The relative reduction of each measure is calculated using the CO<sub>2</sub> emission reduction effect ( $R_i$ )  
 250 defined in equation (1). The results are shown in Fig. 11. The difference of  $R_i$  is small in cold locations  
 251 (within 2.4% for Great Falls and within 5.1% for International Falls). The difference of  $R_i$  is relatively large  
 252 in the other three locations (from 7.9% in Denver to 9.9% in San Diego) since EQUIP and LIGHT have  
 253 significant impacts on  $R_i$ . The reason for this phenomenon is explained in Section 4. The EQUIP and  
 254 LIGHT are the top two emission efficient measures in four locations except Great Falls where the top two  
 255 are HEATING and WINDOWS.

256



Note: Renewable energy (RE) penetration is obtained from [31].

258

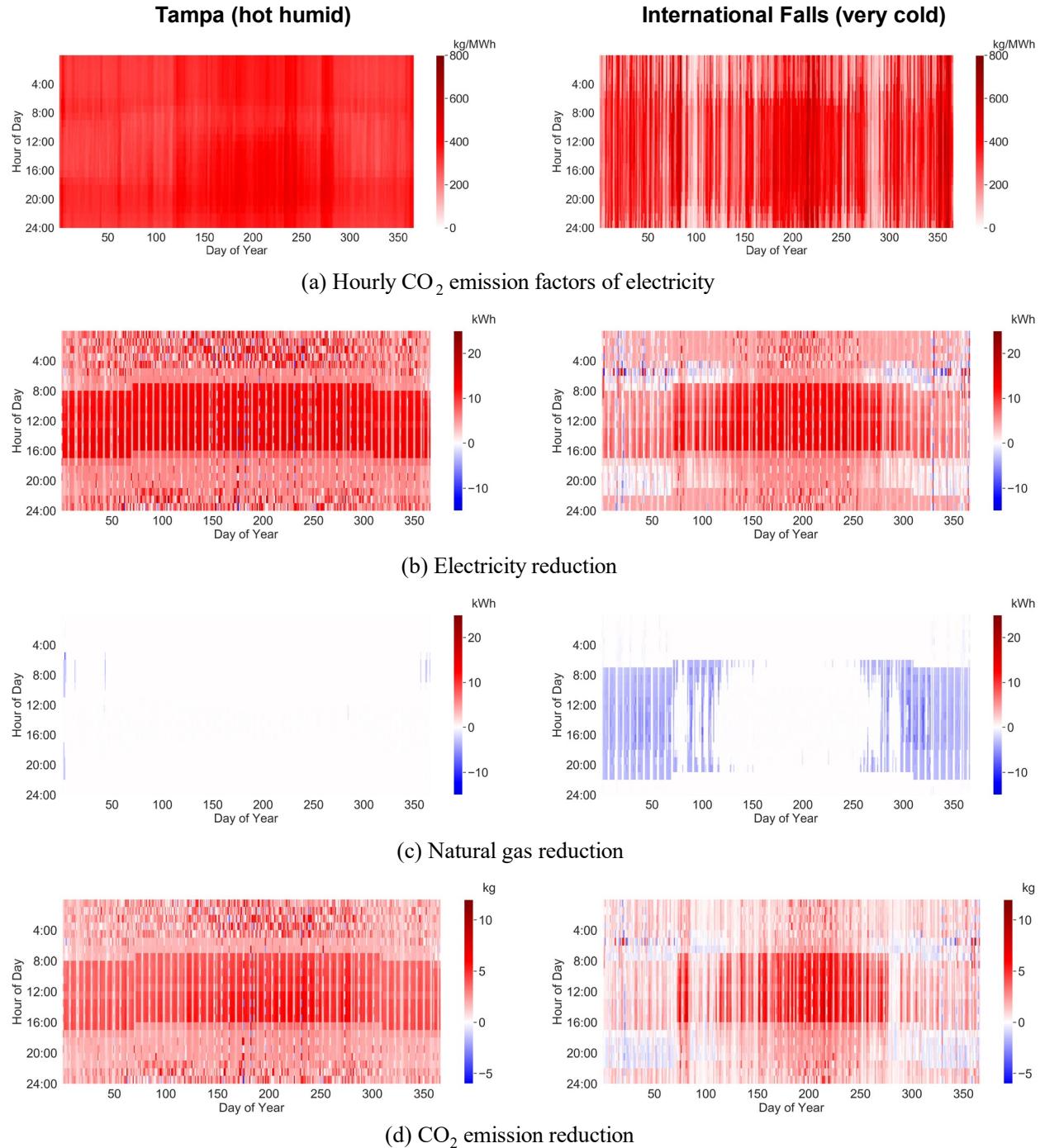
Fig. 11. CO<sub>2</sub> emission reduction effect ( $R_i$ ) by applying individual measures

259 **4. Discussion**

260 *4.1. Impact of climates on CO<sub>2</sub> emission reduction*

261 In cold climates, improving lighting efficiency and improving equipment efficiency are less effective  
 262 in emission reduction than hot climates. Fig. 11 shows that the CO<sub>2</sub> emission reduction effects of LIGHT  
 263 and EQUIP in International Falls (cold climate) are 4.4% and 5.1% respectively, while they are 6.6% and  
 264 8.8% respectively in Tampa (hot climate).

265 Using EQUIP as an example, Fig. 12. shows the hourly CO<sub>2</sub> emission factors of electricity, the  
 266 reduction of electricity consumption, the reduction of natural gas consumption, and the reduction of CO<sub>2</sub>  
 267 emissions in Tampa and International Falls. Both locations have similar emission factors in electricity  
 268 generation (Fig. 12 a). However, the reduction of electricity consumption by applying EQUIP is more  
 269 effective in hot climates, such as Tampa (Fig. 12 b), since it also reduces the cooling load due to the reduced  
 270 internal heat gain from the equipment. For cold climates, like International Falls, additional heating will be  
 271 needed when internal heat gain resulted from equipment is reduced. This also leads to an increase of gas  
 272 consumption in the cold climate location, as shown in Fig. 12 (c). As a combined effect, Fig. 12 (d) shows  
 273 larger emission reduction resulted by improving efficiency of equipment in Tampa than International Falls.



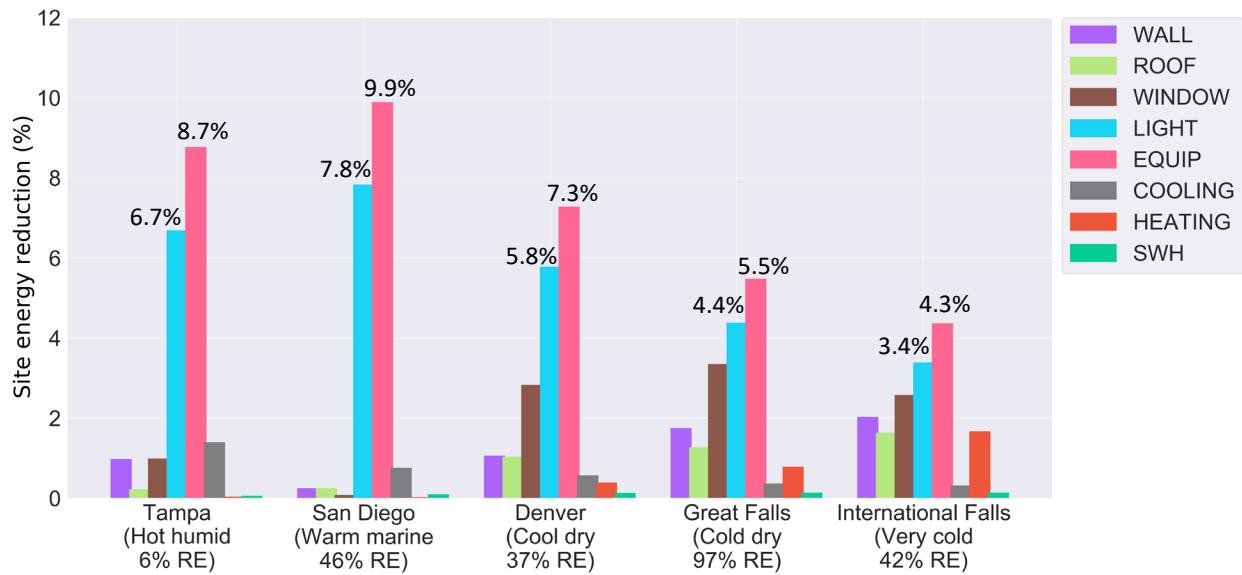
275 Fig. 12. Energy and CO<sub>2</sub> emission reduction by applying EQUIP in hot and cold locations

276 *4.2. Measures to reduce energy and emissions*

277 Due to the variability of CO<sub>2</sub> emission factors, the most energy efficient measure is not necessarily the  
 278 most efficient emission measure. For instance, the most energy efficient measure in Great Falls is EQUIP  
 279 (Fig. 13) while the most efficient emission measure is HEATING (Fig. 11). Improving equipment efficiency  
 280 reduces electricity consumption and related internal heat gain. This can reduce cooling loads but increase

heating loads. Therefore, improving equipment efficiency in Great Falls mainly reduces electricity consumption in summer. However, this large energy reduction does not lead to corresponding emission reduction because electricity in Great Falls in summer mainly comes from hydropower with zero emissions. On the contrary, natural gas is used for heating in Great Falls, improving heating efficiency can directly reduce emissions so that it becomes the most efficient emission measure.

A different example is San Diego, whose most efficient emission measure is the same as the most energy efficient measure: EQUIP, as shown in Fig. 11 and Fig. 13. There are two reasons. First, San Diego has little heating needs. Therefore, the emission reduction effect of HEATING is minimal. Second, only 46% of electricity comes from renewable energy. As a comparison, Great Falls gets 97% of its electricity from renewable energy. Thus, reducing electricity consumption by adopting efficient equipment can still lead to a good amount of emission reduction in San Diego.



Note: Renewable energy (RE) penetration is obtained from [31].

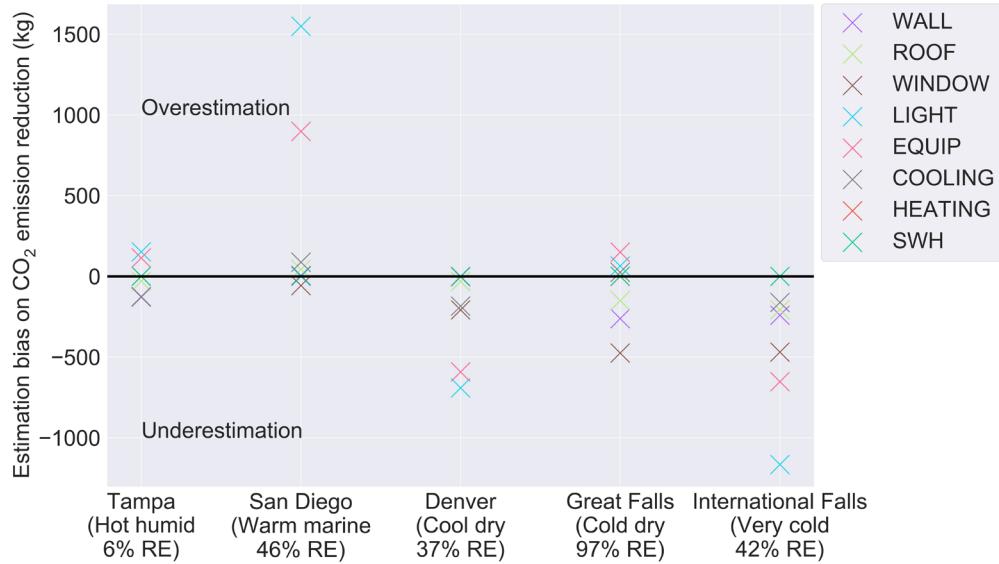
Fig. 13. Site energy reduction by applying individual measures

If a location doesn't have high renewable energy penetration of electricity generation, it is suggested to select energy efficient measures for emission reduction because emission efficient measures are same as energy efficient measures. For example, improving the efficiency of electric equipment and lighting are suggested retrofit measures. If a location has high renewable energy penetration of electricity generation, it is suggested to select retrofit measures that can reduce fossil fuel consumption for emission reduction. For example, improving heating efficiency is a suggested retrofit measure for buildings that natural gas is used for heating.

#### 4.3. Impact of using hourly CO<sub>2</sub> emission factor

By comparing the CO<sub>2</sub> emission reduction difference between using our method and the existing method (adopting constant annual factor on the current year grid emissions), we find that estimating CO<sub>2</sub> emission reduction with the constant annual emission factor will overestimate or underestimate the

305 reduction. Fig. 14 shows the estimation bias on emission reductions using the constant emission factor by  
 306 comparing with the one using hourly factors.



Note: Renewable energy (RE) penetration is obtained from [31].

308 Fig. 14. Estimation bias on CO<sub>2</sub> emission reduction using the annual emission factor

309 To quantitatively compare the difference of emission reduction by using hourly emission factors and  
 310 constant emission factor, Table 4 shows the CO<sub>2</sub> emission reduction by using these two methods and their  
 311 difference. Fig. 14 and Table 4 shows that using the constant emission factor tends to overestimate the  
 312 emission reduction in San Diego (up to 1550 kg), underestimate in Denver (up to 692 kg) and International  
 313 Falls (up to 1165 kg), both over- or underestimating in Tampa and Great Falls. The largest difference occurs  
 314 in San Diego and the smallest difference in Tampa.

315 Table 4. CO<sub>2</sub> emission reduction by using hourly emission factors and a constant emission factor

Location	Retrofit Measures	Emission Reduction using Hourly Emission Factors (kg)	Emission Reduction using A Constant Emission Factor (kg)	Emission Reduction Difference (kg)
Tampa	WALL	2618	2490	-128
	ROOF	525	495	-30
	WINDOW	2647	2521	-126
	LIGHT	17252	17404	152
	EQUIP	22739	22853	114
	COOLING	3717	3591	-126
	HEATING	8	8	0
	SWH	48	48	0
San Diego	WALL	265	271	6
	ROOF	219	262	43
	WINDOW	0	-57	-57
	LIGHT	7919	9469	1550

Location	Retrofit Measures	Emission Reduction using Hourly Emission Factors (kg)	Emission Reduction using A Constant Emission Factor (kg)	Emission Reduction Difference (kg)
Denver	EQUIP	11066	11965	899
	COOLING	802	890	88
	HEATING	1	2	1
	SWH	82	82	0
Great Falls	WALL	3110	3107	-3
	ROOF	3136	3105	-31
	WINDOW	10126	9918	-208
	LIGHT	19457	18765	-692
	EQUIP	23753	23161	-592
	COOLING	1851	1667	-184
	HEATING	438	438	0
	SWH	123	123	0
International Falls	WALL	801	539	-262
	ROOF	493	343	-150
	WINDOW	998	523	-475
	LIGHT	228	292	64
	EQUIP	622	772	150
	COOLING	72	97	25
	HEATING	1010	1010	0
	SWH	141	141	0

316

317        As shown in Fig. 2, San Diego has plenty of solar power during the daytime, thus, hourly CO<sub>2</sub> emission  
 318 factors during daytime are lower than both the hourly emission factors during nighttime and the annual  
 319 factor (Fig. 5). This will lead to an overestimated emission for energy used in the daytime if the annual  
 320 factor is adopted. As a result, it will also overestimate the emission reduction for the proposed energy  
 321 efficiency measures since they mainly reduce energy consumption in the daytime.

322        On the contrary, hourly emission factors in Denver and International Falls during daytime are higher  
 323 than both the hourly emission factors at nighttime and the annual factors (Fig. 5). Since electricity  
 324 consumption mainly occurs during the day, applying annual emission factors to the reduced electricity  
 325 consumption will underestimate the CO<sub>2</sub> emission reduction.

326 As shown in Fig. 2, Tampa's electricity source is dominated by natural gas (78%) and nuclear (12%),  
327 which leads to relative constant hourly emission factors (Fig. 5). Thus, using hourly or annual emission  
328 factors only results in a relatively small difference in the predicted emission reduction.

329 Although estimating CO<sub>2</sub> emission reduction with the constant annual emission factor can produce  
330 biases, it takes less time for data collection and processing. The existing method (adopting annual factor)  
331 is still applicable for locations where fossil fuel is dominated because using constant annual emission factor  
332 in these locations only produce minor biases. However, our proposed method (adopting hourly factors) is  
333 suggested for locations where renewable energy is dominated because using constant annual emission factor  
334 in these locations leads to large biases.

## 335 5. Conclusion

336 This study analyzed the CO<sub>2</sub> emission reduction of building retrofit measures that related to envelope  
337 and mechanical systems in five locations: Tampa, San Diego, Denver, Great Falls, and International Falls.  
338 Instead of using the constant annual CO<sub>2</sub> emission factor of electricity, this study adopted hourly emission  
339 factors. We found that using the constant emission factor cause estimation bias: it overestimates the  
340 emission reduction for most measures in San Diego, while it underestimates the reduction for most  
341 measures in Denver and International Falls. Another finding is that the same retrofit measure may have  
342 different CO<sub>2</sub> emission reduction depending on the climates: improving lighting and equipment efficiency  
343 has less impact on CO<sub>2</sub> emission reduction in cold climates than hot climates. Furthermore, the most energy  
344 efficient measure is not necessarily the most efficient emission measure: in Great Falls, the most energy  
345 efficient measure is improving equipment efficiency, but the most efficient emission measure is improving  
346 heating efficiency. Those finding are applicable only for medium office that natural gas is used for heating  
347 and electricity is used for cooling.

348 The innovation and contribution of this study mainly lie in the following two aspects. Firstly, it reveals  
349 that hourly emission factors should be adopted in CO<sub>2</sub> emission reduction analysis for locations where  
350 renewable energy is dominated. Secondly, the method of estimating CO<sub>2</sub> emission reduction of building  
351 retrofit measures proposed in Section 2.3 can be applied to other building retrofit cases. Using this workflow,  
352 future studies can estimate their CO<sub>2</sub> emission reductions by providing electricity emission factors together  
353 with their estimated building energy consumptions and retrofit measures.

354 This study analyzes the CO<sub>2</sub> emission reduction effect of building retrofit measures based on one-year  
355 simulation data. However, the composition of electricity generation may change over time, and CO<sub>2</sub>  
356 emission factors will change accordingly. Thus, if a building retrofit measure reduces electricity  
357 consumption, emission reduction resulting from it may change over time. With the increased penetration  
358 of renewable energy in electricity generation, the annual reduction of emissions due to the building retrofits  
359 will likely decrease. Since the effects of building retrofit measures will last for a few decades, it would be  
360 interesting to study the CO<sub>2</sub> emission reduction effect of building retrofit measures over a longer time frame.

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