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Evergreen broadleaf greenness and its relationship with leaf flushing, aging, and water

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fluxes

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Abstract

Remote sensing capabilities to monitor evergreen broadleaved vegetation are limited by the low 39 40 temporal variability in the greenness signal. With canopy greenness computed from digital repeat photography (PhenoCam), we investigated how canopy greenness related to seasonal changes in 41 leaf age and traits as well as variation of trees' water fluxes (characterized by sap flow and canopy 42 43 conductance). The results showed sprouting leaves are mainly responsible for the rapid increase in canopy green chromatic coordinate (GCC) in spring. We found statistically significantly 44 differences in leaf traits and spectral properties between different leaf-age leaves with respect 45 compared: mean GCC of young leaves was 0.385 ± 0.010 (mean \pm SD), while for mature and old 46 leaves was 0.369 ± 0.003 , and 0.376 ± 0.004 , respectively. Thus, the temporal dynamics of canopy 47 GCC can be explained by leaf spectral properties and leaf age. Sap flow and canopy conductance 48 are both well explained by a combination of environmental drivers and greenness (96% and 87% 49 of the variance explained, respectively). In particular, air temperature and vapor pressure deficit 50 51 (VPD) explained most of sap flow and canopy conductance variance, respectively. Besides, GCC is an important explanatory variable for variation of canopy conductance may because GCC can 52 represent the leaf ontogeny information. We conclude that PhenoCam GCC can be used to identify 53 54 the new leaf flushing for evergreen broadleaved trees, which carries important information about leaf ontogeny and traits. Thus, it can be helpful for better estimating canopy conductance that can 55 be used to constraining water fluxes. 56

57 Key words: evergreen broadleaved trees; digital repeat photography; PhenoCam; green
58 chromatic coordinate (GCC); leaf age; water fluxes

59 **1. Introduction**

Remote and near-surface spectral observations provide Vegetation Indices (VIs) sensitive to 60 canopy greenness (Tucker, 1979; Huete et al., 2002; Richardson et al., 2009), which can be used 61 to monitor canopy development, phenology, and vegetation functioning (Wu et al., 2009; 62 Migliavacca et al., 2011; Toomey et al., 2015). This linkage between the variation of greenness 63 and vegetation functioning is strong in canopies with a pronounced seasonal dynamics of leaf area 64 index (LAI) and chlorophyll content (Myneni et al., 1997; Zhang et al., 2003) like grassland and 65 deciduous forests. By contrast, evergreen forests, especially those with broadleaf trees, exhibit low 66 temporal variability in their canopy greenness (Moore et al., 2016; Moser et al., 2020), which 67 results in a desynchronization between seasonal changes in canopy-scale greenness and seasonality 68 of vegetation functioning such as carbon and water fluxes (Moore et al., 2016). 69

70 Evergreen broadleaved forest are widely distributed in the tropics and warm temperate regions 71 (Hengl et al., 2018). Among the distribution area, the tree-grass ecosystem (e.g. open woodlands 72 and savannah ecosystems in the subtropical, semi-arid and/or in Mediterranean areas) is one of the most important ecosystem types that host evergreen trees. They are located in semi-arid regions 73 covering between 16-35% of the global land surface (Friedl et al., 2002; Hanan & Hill, 2012) and 74 play an essential role for the global interannual variability of biosphere-atmosphere carbon and 75 water fluxes (Poulter et al., 2014; Ahlström et al., 2015). However, with co-existence of growth 76 forms featuring different functioning and dynamics (i.e. trees and grasses), it is difficult to explore 77 evergreen trees' functioning-greenness relationship in tree-grass ecosystems because of the 78 necessity to separate the variation in trees' greenness (Liu et al., 2017; Perez-Priego et al., 2017; 79 Luo et al., 2018; El-Madany et al., 2021) and vegetation functioning compared to more 80 homogenous forest ecosystems. 81

The development of near-surface observations of plants through digital cameras (Richardson et al., 82 2009), namely PhenoCams, and the establishment of global PhenoCam observation networks 83 84 facilitates the study of not only canopies but also individual trees (Nasahara & Nagai, 2015; Wingate et al., 2015; Brown et al., 2016; Richardson et al., 2018; Seyednasrollah et al., 2019). 85 PhenoCam can easily discriminate individual tree crowns due to their proximity to the observed 86 objects, minimizing the influence of background and understory optical signals (Richardson et al., 87 2007). Therefore, this allows us to monitor the changes in individual trees' VIs and facilitate their 88 linkage with crown structure development and functional dynamics. 89

In recent years, an increasing number of studies have evaluated how PhenoCam green chromatic 90 coordinate (GCC) and other VIs such as camera-based normalized difference vegetation index 91 (CamNDVI) relate to leaf traits (Keenan et al., 2014; Yang et al., 2014) and dynamics of trees' 92 functioning (Luo et al., 2018). These studies sought a biological interpretation of the temporal 93 variability of leaf color changes (Keenan et al., 2014; Yang et al., 2014) or leaf development 94 95 mainly in deciduous forests and evergreen needle forest (Yang et al., 2017; Filippa et al., 2018). However, despite the PhenoCam network growth, only a handful of studies focused on evergreen 96 broadleaved trees, which have different pattern of VI seasonality compared to colder evergreen 97 98 needle forest (Zhao et al., 2012; Nasahara & Nagai, 2015; Lopes et al., 2016; Yan et al., 2019). Besides, to our knowledge, only a few studies have investigated the relationship between greenness 99 and leaf traits (e.g. chlorophyll content and nitrogen content) in evergreen broadleaved trees and 100 mainly focused on the leaf-scale in tropics (Chavana-Bryant et al., 2017; Wu et al., 2017a). They 101 demonstrated that the leaf age is a key factor to affect leaf traits and their spectral properties. 102 However, studies still lack a biologically understanding of the seasonality in canopy greenness and 103

104 its relation with trees' functioning, such as water fluxes especially with high temporal resolution105 (e.g., daily).

In this study, we first aimed to identify the reasons for canopy greenness variation (crowns-based) in the evergreen broadleaved trees of a Mediterranean tree-grass ecosystem and, second to explore the relationship between greenness variation and the changes in leaf traits and trees' water fluxes (characterized by sap flow and canopy conductance). To do so, we combined leaf- and canopyscale greenness measured with field spectrometers and PhenoCams, measurements of leaf traits, tree water fluxes measured with sap flow (SF) meters and derived tree canopy conductance (Gc). Specifically, we intend to answer the following questions:

- (1) What does the measured PhenoCam GCC biologically represent (e.g. the GCC variationrelates to leaf ontogeny)?
- (2) Is the variability in GCC related to leaf traits or other structural properties of the canopy?
- (3) Are the variations in greenness (represented by GCC and CamNDVI) temporally relatedto changes in trees' water fluxes?

118 2. Materials and Methods

119 **2.1 Study area and environmental variables**

The study focuses on a Mediterranean tree-grass ecosystem located in Extremadura, western Spain (Majadas de Tiétar, 39°56′24.68″N, 5°46′28.70″W). This ecosystem, named *dehesa*, consists of a dominant herbaceous (grass) layer combined with approximate 20 tree ha⁻¹ of evergreen oak trees (Bogdanovich *et al.*, 2021), with 98% of trees being Holm oak, i.e. *Quercus ilex* L. (El-Madany *et al.*, 2018; Luo *et al.*, 2020). The average height of trees is 8.9 (±1.0) m, with a mean DBH of 46.4 (± 6.7) cm (El-Madany *et al.*, 2018; Bogdanovich *et al.*, 2021). The study ecosystem has a typical Mediterranean climate: hot and dry summer from mid-May to mid-October, and mild and wet winters from late October to February. The mean annual air temperature is 16.7 °C and annual rainfall approximates 700 mm, typically falling from October to May with a dry summer (Perez-Priego *et al.*, 2017; Luo *et al.*, 2018; El-Madany *et al.*, 2021).

Three closely located EC towers measure the carbon, water, and energy fluxes at three sites in the 130 study ecosystem, and their FLUXNET ID abbreviations are ES-LMa, ES-LM1, and ES-LM2 (Luo 131 et al., 2018). Micrometeorological variables were measured at each EC tower (El-Madany et al. 132 (2018) for details) with 20 Hz time frequency and processed to obtain half-hourly water and energy 133 flux measurements (El-Madany et al., 2018; El-Madany et al., 2020; El-Madany et al., 2021). 134 Meteorological measurements were measured every 5 minutes and average at half-hourly to match 135 the EC temporal resolution. We measured air temperature (Ta; °C), shortwave downwelling 136 radiation (SWDR; W m⁻²), wind speed (WS; m s⁻¹), incoming photosynthetically active radiation 137 (PAR; umol m⁻² s⁻¹), vapor pressure deficit (VPD; hPa), and precipitation (Prec; mm). Volumetric 138 139 soil water content (SWC) was recorded at 0.05, 0.10, 0.20, 0.30, 0.50, 1.00 m below ground with four replicated profiles of sensors (ML2x, Delta-T Devices Ltd, Cambridge, UK) in the footprint 140 of each EC tower (two under the tree canopy and two in the open grassland). We further classified 141 142 the measured SWC under the tree canopy into three different soil depth layers: shallow (soil depth smaller than 0.30 m where roots of herbaceous plants are dominant (Rolo & Moreno, 2012)), 143 medium (0.50 m), and deep (1.00 m). We aggregated the soil moisture measurements at a daily 144 scale for each sensor at different soil depths. Then for each soil depth layer (shallow, medium, and 145 deep), we calculated the mean of daily soil moisture from the six soil moisture sensors. 146 Additionally, average longwave downwelling and upwelling radiation (LWDR and LWUR; Wm⁻ 147

²) was measured every 15 mins on three individual trees close to three EC towers by radiometric towers and used to calculate the surface temperature (T_s ; °C) of trees (see details in section 2.4).

150 **2.2** Canopy and leaf-level spectral measurements for evergreen broadleaved trees

151 2.2.1 Tree crowns GCC from PhenoCam

152 At the top of each EC tower, a north-facing near-infrared-enabled PhenoCam (Stardot NetCam, StarDot Technologies, USA) sampled sequential red, blue, green (RGB), and RGB + near-infrared 153 (NIR) images every half hour (from 10:00 to 14:30 UTC) in JPEG format from March, 2014 (Luo 154 et al., 2018). In the field of view of each camera, we selected six regions of interest (ROIs) 155 corresponding to six tree crowns from each site for further analysis (examples from ES-LM1 site 156 in Figure S1) and for a total of 18 trees in the study area (Figure S1). We limited the ROIs selection 157 to the intermediate distance (approximately 90.3 ± 18.3 m (mean \pm standard deviation (SD))) 158 because of the time series' lower noise (see details in Note S1). The digital numbers (DNs) from 159 blue (B_{DN}), green (G_{DN}), red (R_{DN}), and near-infrared (NIR_{DN}) channels were extracted from 160 pixels in different ROIs from every PhenoCam image and averaged for each ROI (tree crown). 161 GCC was then calculated as the ratio between G_{DN} and the sum of the RGB-DNs for each crown 162 (Eq.1). Daily crown GCC time series were produced from the 90th percentile of GCC at 1-day 163 intervals according to the protocol of PhenoCam Dataset version 2 (Seyednasrollah et al., 2019). 164

165
$$GCC = \frac{G_{DN}}{R_{DN} + G_{DN} + B_{DN}}$$
(1)

166 The GCC from different crowns at each site concurred over the seasonal pattern, being highly 167 correlated to each other (e.g., r > 0.74; Figure S2). Then we calculated the mean GCC from the 168 different tree crowns as site-level GCC. The variation pattern of GCC from the three sites was also 169 highly consistent. Furthermore, we confirmed that the GCC of the 18 selected trees was representative of the trees' GCC in the study area (see details in supplementary material Note S2
and Figure S3, S4). Hence, we obtained daily PhenoCam GCC of the whole study area by
averaging the daily GCC from the three individual sites (for a total of 18 tree crowns).

We also computed the PhenoCam normalized difference vegetation index (CamNDVI; Eq.2).
CamNDVI is considered a good proxy for LAI and biomass (Filippa *et al.*, 2018; Luo *et al.*, 2018).
Compared to GCC, it might carry different information regarding leaf development and therefore
changes in water fluxes of trees. CamNDVI was computed using the same ROIs as for GCC
according to equation 2 using the "phenopix" R package (Filippa *et al.*, 2016):

178
$$CamNDVI = \frac{NIR_{DN} - R_{DN}}{NIR_{DN} + R_{DN}}$$
(2)

where NIR_{DN}' and R_{DN}' are the exposure-adjusted NIR_{DN} and R_{DN} (Petach *et al.*, 2014). CamNDVI
variation was also consistent among different crowns and sites. Hence, daily CamNDVI for the
study area was obtained with the same procedures as for GCC. Because absolute values of
CamNDVI are not comparable to other direct reflectance measurements (Petach *et al.*, 2014;
Filippa *et al.*, 2018; Luo *et al.*, 2018), we rescaled the CamNDVI between 0-1 for a more intuitive
interpretation.

185 2.2.2 Leaf spectral reflectance

Optical measurements at the leaf scale were conducted to support the interpretation of PhenoCam GCC signals at the canopy scale. Holm oak leaves' reflectance factors were measured between 2014 and 2016 in 21 field campaigns, using a plant probe with a leaf clip attached to an ASD spectroradiometer (FieldSpec3, Analytical Spectral Devices Inc., Boulder, CO, USA). Leaves were measured in all the seasons but more intensively in spring when leaves properties change

faster (Pacheco-Labrador et al., 2014). The FieldSpec3 spectral range covers 350-2500 nm. The 191 original spectral resolution and spectral sampling interval are 3.0 and 1.4 nm in the visible (VIS) 192 193 and 10.0 and 2.2 nm in the NIR and beyond. However, the ASD interpolates the spectra at 1 nm step by default. In each campaign, leaves of two different ages were sampled in each tree: current 194 and old leaves (i.e. younger and older than one year, respectively). In practice, this was determined 195 by the position of the leaves with respect to scars in the branch and leaves' underside color 196 (personal communication with Gerardo Moreno). Twelve leaves were collected for each category 197 in each tree, from the upper part of the crown at two principal orientations (i.e. six north leaves 198 and six south leaves). However, as newly flushed leaves have distinct spectral properties 199 (Pacheco-Labrador et al., 2014; González-Cascón et al., 2016; Wu et al., 2017b), we re-classified 200 the leaves into three categories according to leaf ontogeny: young leaves (less than 3 months from 201 sampling date when flushed leaves were measured for the first time), mature leaves (between 3 202 months and 1 year), and old leaves. Next, we convolved ASD leaves' spectra according to 203 204 PhenoCam spectral response function (Figure S5) to compute leaf ASD GCC (GCC_{ASD}) in Eq.1.

205 **2.3 Leaf traits and leaf development**

206 2.3.1 Leaf traits

From 2015 to 2019, we measured leaf traits of the Holm oak trees. We sampled the leaves in different seasons, but more intensively in spring. Leaf chlorophyll content per leaf area (Chl_{ab}, μ g cm⁻²) was estimated by using an empirical relationship established between pigment content and the SPAD chlorophyll meter (SPAD-501, Konica Minolta Inc, Osaka, Japan) at the same site following Gonzalez-Cascon *et al.* (2017). Area-based nitrogen content (N_{area}, mg cm⁻²), leaf nitrogen concentration (%N, mg g⁻¹), and leaf carbon concentration (LCC, mg g⁻¹) were determined using the dry combustion method with a LECO CN-2000 analyzer (65 °C) and leaf area. Water content per area (WCA), leaf mass per area (LMA), and leaf dry-matter content
(LDMC) were also calculated based on the leaf area and fresh and dry leaf weight (Eq.3-5):

216
$$WCA = \frac{(W_f - W_d)}{A_{leaf}}$$
(3)

217
$$LMA = \frac{W_d}{A_{leaf}}$$
(4)

218
$$LDMC = \frac{W_d}{W_f}$$
(5)

where W_f and W_d are fresh and dry leaf weight, respectively. A_{leaf} is the leaf area for fresh leaves. Chl_{ab} was estimated based on the same 12 leaves per leaf age class in each sampled tree where ASD measurements were obtained. For the other biological traits, we sampled 40 current and 40 old leaves per tree in each field campaign to analyze the leaf traits before 2016. From 2016-2019, apart from 12 leaves sampled in the same trees as Chl_{ab}, we randomly sampled 100 additional leaves in the study area to determine those biological traits. Similarly, we classified the leaves as young, mature, and old as described in section 2.2.2.

226 2.3.2 Leaf flushing period

Oak leaf flushing episodes generally occur once and occasionally twice per year. Spring flushing contributes the most of new leaves production (Barbeta & Peñuelas, 2016), whereas autumn flushing happens under adverse climate condition or biotic stress such as drought or caterpillar attack (G. Moreno, R. Gonzalez-Cascon, and M.P. Martín, personal communication, and Luo *et al.* (2020)). This study focused on spring flushing because of its importance and the considerable variation of biochemical and biophysical traits during this period. The timing of spring leaf flushing was evaluated both by visually checking the PhenoCam images and by direct observation in the field. The flushing period was identified as the period between the first and last time new leaves were observed in the PhenoCam images and also considering the first time the leaves where measured with the ASD in annual sampling campaigns. This occurred, on average, when the foliar area of new (young) leaves was larger than 2.7 cm². These two sources of information, used to determine the flushing period, led to consistent estimates (Figure S6a) despite smaller number of trees sampled during field campaigns.

240 2.3.3 Leaf fraction of different leaf age classes in the canopy

The fraction of each leaf type (current (young and mature) and old leaves) was estimated in the 241 field campaigns between 2015-2019. We empirically fit a 2nd-degree polynomial function to 242 predict the temporal variation of the fraction of current leaves as a function of the day of the year 243 (DOY, see details in Note S3 and Figure S7a). Canopy GCC can be represented by using leaf 244 spectral reflectance and leaf fraction in two leaf types: old and current leaves. The latter were 245 246 featured by young or mature leaves, depending on the time after the flushing date. Young leaves' spectra and properties represented the current leaves during the first three months after flushing, 247 and were replaced by the mature leaves afterward (Note S3 and Figure S7b). We adopted this 248 249 simplified approach to represent the leaf fraction of different leaf age classes because:1) most of the new leaves are flushed in the spring (see 2.3.2); 2) the fraction of young leaves was not directly 250 measured in the field, and mature leaves are more similar to old leaves compared to young leaves 251 regarding the spectral properties (Pacheco-Labrador et al., 2014). The estimated leaf age from the 252 periodical field campaigns confirmed the rationality of the changes in leaves fraction estimated 253 from the polynomial function (Note S3 and Figure S8a). 254

255 **2.4 Sap flow and canopy conductance**

256 2.4.1 Daily SF fluxes

Sap flow (SF) meters (SFM1, ICT International Pty Ltd, Armidale, Australia) were installed at 257 258 breast height (1.3m above ground) of six trees in the footprint of each EC tower (18 trees in total). 259 The SFM1 sensor consisted of two 35-mm long temperature probes, each one with two 260 thermocouple junctions at 7.5 mm and 22.5 mm distance from the needle tip that cover variations of the sap flow velocity profile. A heater probe was inserted equidistant (5 mm) from the two 261 temperature probes. Heat pulses, powered with 20 J, were set at the two sampling points at half-262 hourly intervals and their respective sap velocities were computed from the ratio of the average 263 temperature rise of the upper to lower sensor between 60 and 100 s after each heat pulse. In post-264 processing, corrections for probe misalignment, wounding effects (when applicable), and sap 265 velocity determination were applied according to Burgess et al. (2001). To integrate the sap 266 267 velocity profile from the two sampling points, sap flow density (SFD) was weighted by the crosssectional area of sapwood defined within each annulus around the two-point temperature 268 measurements. Total sapwood area was derived from an empirical relationship between tree 269 sapwood area and the diameter at the beast height determined by destructive sampling (See Perez-270 Priego et al., 2017 for more details). In each site, six trees with diameters that covered the range 271 of variations were sampled. The ensemble of the six half-hourly SFD values was used to calculate 272 the mean as an integrative quantity of the overstory water fluxes. In turn, the mean value allowed 273 us to minimize the impact of data gaps due to any sensor failure, which accounted for the 25 % of 274 the raw data set. We used the SFD to approximate the variation pattern of trees' transpiration and 275 the SFD are available from February 2015 to March 2019. As the variation pattern of SFD (referred 276

as SF hereafter) among three sites is consistent, therefore, the SF was averaged across three sitesto derived the average daily SF flux in the whole study area.

279

280 2.4.2 Tree canopy conductance

Canopy conductance (Gc; cm s⁻¹) was estimated using the empirical relationship from Phillips and
Oren (1998) (Eq. 6):

283
$$Gc = \frac{(115.8 + 0.4226 \times Ts) \times SF}{VPD}$$
(6)

where SF is sap flux density (kg cm⁻² hour⁻¹), and VPD (KPa) is vapor pressure deficit as indicated before. Surface temperature (T_s (°C)) of tree canopy was estimated through Stefan–Boltzmann law (Eq.7):

287
$$T_{s} = \sqrt[4]{\frac{LWUR - (1 - \varepsilon)LWDR}{\varepsilon \times \sigma}} - 273.15$$
(7)

288 where LWDR and LWUR (W m⁻²) were measured from the radiometric towers, ε is the emissivity 289 (set to 0.98 (Knauer *et al.*, 2018)) and σ is Stefan–Boltzmann constant (5.67 ×10⁻⁸ W m⁻² K⁻⁴).

290 2.5 Statistical analyses

To biologically interpret the seasonal changes in PhenoCam GCC and understand how leaves of different leaf-age impact the greenness, we first tested the significant differences of GCC_{ASD} among young, mature, and old leaves with the Tukey's honest significant difference test (Tukey's HSD). Age-weighted average GCC_{ASD} was compared with PhenoCam GCC to confirm whether the variation of GCC at the canopy scale could be well represented by considering spectral information from different leaf age classes. Then, we further applied linear regression analysis between leaf traits and GCC_{ASD} to biologically understand the relationship between the variation
of leaf traits and leaf greenness. We conducted this analysis mainly using the available data
between 2014 and 2016.

We used Generalized Additive Models (GAM (Hastie & Tibshirani, 1990)) to analyze the 300 relationship between the trees' water fluxes (specifically for Gc and SF) and greenness (PhenoCam 301 302 GCC and CamNDVI). We used the available data during the period of 2015-2019 to conduct this analysis. Daily Gc (and SF) were used as the response variables, and we ran different GAMs by 303 using daily VIs and environmental factors as predictors: GCC, CamNDVI, SWDR, Ta, WS, 304 SWC_{shallow}, SWC_{medium}, SWC_{deep}, and VPD (only for predicting SF since VPD was used to 305 calculate the Gc). GAM fitting applied penalized regression smoothing splines using "gam ()" 306 function implemented in the mgcv R package (Wood, 2017). We also calculated each variable's 307 variance inflation factor (VIF) to measure how much regression variance was inflated due to 308 multicollinearity in the model. We removed any variables with VIF > 10, keeping GCC, 309 310 CamNDVI, SWDR, Ta, WS, SWC_{shallow}, and SWC_{deep} in the Gc GAM and GCC, CamNDVI, SWDR, VPD, WS, SWC_{shallow}, and SWC_{deep} in the SF model (Table 1). 311

To understand how VIs contribute to the estimation of Gc and SF, we selected four combinations of predictors listed in Table 1 and compared the AIC value of the fitted models. We run a set of environmental predictors, environmental predictors plus GCC, environmental predictors plus CamNDVI, and environmental predictors plus CamNDVI and GCC. The smoothing functions resulting from the best GAM models were used to evaluate the sensitivity of Gc or SF to each predictor.

318 Table 1. Four combinations of predictors in the Generalized Additive Models (GAMs: M1-M4) to

319 understand the contribution of VIs to estimate the canopy conductance (Gc) and sap flux density

320 (SF)¹.

	GCC	CamNDVI	SWDR	Та	WS	VPD	SWC _{shallow}	SWC _{deep}
M1: "No VIs"			Х	X	х	х	х	Х
M2: "GCC"	х		х	x	х	х	х	Х
M3: "CamNDVI"		х	x	x	х	х	х	х
M4: "All VIs"	х	х	x	x	x	х	х	х

¹ The predictors of Gc (grey and orange) and SF (grey and pink) GAM models. Those variables were
 selected for the different GAM models (M1-M4) were marked as "x" in the table.

323 3. Results

324 **3.1 Relationship between PhenoCam, GCC**_{ASD}, and leaf traits

325 3.1.1 Relation between PhenoCam GCC (canopy scale) and GCC_{ASD} (leaf scale)

The PhenoCam GCC significantly increased during the leaf flushing period in the spring. Autumn 326 precipitation also led to an increase in tree greenness, even though the amplitude of this increase 327 was much smaller than in spring (Figure 1). At the leaf scale, GCC_{ASD} from different leaf age 328 classes showed that young leaves had larger GCCASD than mature and old leaves (Figure 2). Field 329 observations confirmed this contrasting leaf color in young and old leaves (Figure S9). GCC_{ASD} 330 was significantly different (p < 0.001) among three age classes (Figure 2): mean GCC_{ASD} was 331 0.385 ± 0.010 (mean \pm SD), 0.369 ± 0.003 , and 0.376 ± 0.004 for young, mature, and old leaves, 332 333 respectively.



Figure 1. Variation of (a) daily PhenoCam green chromatic coordinate (GCC) for evergreen oak trees, (b) daily mean temperature (Ta), and precipitation in the study area. The red dots in panel a) indicate the dates when leaf traits were measured. GCC and Ta time series were smoothed with a 20 days average moving window.



Figure 2. Comparison of green chromatic coordinate (GCC) for leaves of different age classes. (a) GCC_{ASD}
(leaf-level GCC measured by an ASD spectroradiometer) was calculated for young (leaf age <=3 months),

mature (3 months< leaf age <=1 year), and old leaves (leaf age > 1 year). The blue shaded areas indicate the leaf flushing periods visually determined using PhenoCam images. (b) Comparison of GCC_{ASD} between young, mature, and old leaves. The length of each box indicates the interquartile range: the horizontal line inside each box the median, and the bottom and top of the box the first and third quartiles, respectively. Tukey's honest significant difference (Tukey's HSD) test evaluated GCC_{ASD} differences among the three age groups, and letters a, b, and c indicate the significant differences (p < 0.05).

We compared the PhenoCam GCC and the age-weighted average GCC_{ASD} (Figure 3 and Figure 348 S10). The results show that the weighted average GCC_{ASD} captured the temporal variability of 349 PhenoCam GCC (Figure 3a). They had strongly linear relationship ($R^2=0.72$), although age-350 351 weighted average GCC_{ASD} featured higher values (Figure 3c). We found that GCC_{ASD} from current (young and mature) leaves had a much stronger link with PhenoCam GCC compared to old leaves 352 (Figure S10). By considering the fraction of both current and old leaves, the bias in the relationship 353 between the PhenoCam GCC and the weighted average GCCASD was smaller than in the 354 PhenoCam GCC relationships with current or old leaves GCC_{ASD} (mean absolute error (MAE) was 355 0.007, 0.008, and 0.009 for weighted mean, current and old leaves, respectively). PhenoCam GCC 356 was generally negatively related to leaf age, especially between leaf flushing and the end of the 357 year (Figure S8b). 358



Figure 3. Comparison of age-weighted average ASD green chromatic coordinate (GCC_{ASD}) with PhenoCam 360 GCC. (a) Comparison of time series of PhenoCam GCC and age-weighted average GCC_{ASD}. The weight-361 averaged GCC_{ASD} was calculated according to the estimated fraction of new, mature, and old leaves from 362 (b). (b) Estimated fraction of different-age leaves. Leaf age fraction was estimated according to field 363 364 observations (see details in Note S3). The drop of current leaves during leaf flushing was caused by the current leaves being assigned into the old leaves category. The blue shaded area indicates the leaf flushing 365 366 period visually determined by PhenoCam images. (c) Scatterplot of PhenoCam GCC and age-weighted 367 average GCC_{ASD}. The error bars in (c) stand for the standard deviation.

368 3.1.2 Relationship between GCC_{ASD} and leaf traits among different leaf age classes

Leaf traits such as Chl_{ab}, N_{area}, and LMA were significantly lower in young than in mature and old leaves, while WCA was larger in young leaves than in mature and old leaves (Figure 4). In contrast, all these traits were similar or only slightly different between mature and old leaves. This difference in leaf traits (Figure S11) coincide with the distinct optical properties (i.e. green reflectance (Figure S12)) and GCC_{ASD} (Figure 2) in young leaves. The linear relationship between leaf traits and GCC_{ASD} differed among different age classes and traits (Figure 5). We found significant negative linear relationships between GCC_{ASD} and Chl_{ab} or N_{area} in young leaves, while no significant linear relationships were observed for WCA and LMA. Generally, there were no significant relationships between GCC_{ASD} and leaf traits in mature and old leaves, except for the positive linear relationship for GCC_{ASD} and WCA in old leaves (Figure 5c). These leaves also offered lower variability than young leaves in both axes compared (spectraltrait).



Figure 4. Comparison of biological traits (a-d) among different leaf-age classes. Biological traits are chlorophyll content per leaf area (including chlorophyll a, and b: Chl_{ab}), nitrogen content per leaf area (N_{area}), water content per area (WCA), leaf mass per area (LMA) observed among different age classes. The bold lines within the boxes are the medians, and the boxes are the interquartile ranges (Q25, Q75). The black points outside the boxes represent outliers. Tukey's honest significant difference test (Tukey's HSD)

evaluated biological traits differences among the three age groups, and letters a, b, and c indicate the significant differences (p < 0.05).





Figure 5. Relationship between GCC_{ASD} and biological traits for young, mature, and old leaves. Biological 391 392 traits are chlorophyll content per leaf area (including chlorophyll a, and b: Chl_{ab}), nitrogen content per leaf area (Narea), water content per leaf area (WCA), and leaf mass per area (LMA). Young, mature, and old 393 leaves are defined as the leaves younger than 3 months, younger than 1 year but older than 3 months, and 394 older than 1 year, respectively. The shaded area around each regression line represents 95% confidence 395 396 interval. The linear regression lines and their equations and coefficient of determination (R^2) are displayed 397 at the bottom of each panel if the regression is statistically significant. The significances (with p-value in the brackets) of linear regression for different age classes are displayed in the upper right in each panel. 398 The p-value is reported as follows: $*.01 \le p \le .05$, $**.001 \le p \le .01$, and ns when $p \ge .05$. 399

400 **3.2** Relationship between trees' water fluxes and their explanatory variables

401 3.2.1 Temporal variations

Figure 6 shows the temporal variation of trees' greenness and water fluxes for the different periods 402 403 (Figure 6). Both GCC and SF started to increase at the beginning of the year, but they differed 404 largely in the peak timing. GCC peaked in the middle of the flushing period in spring (DOY 127 405 \pm 30 days), whereas SF peaked in summer (DOY 188 \pm 10 days), which was earlier than the period 406 of highest Ta, VPD, and CamNDVI (Figure 6). Compared to GCC, CamNDVI decreased at the 407 beginning of the leaf flushing and then increased until autumn. SWC decreased rapidly before or 408 during the leaf flushing, with the larger changes in the shallow and medium compared to deep soil depth. SWC reached the lowest value in late summer unless some rainfall occurred late in the 409 season (Figure 6). 410



Figure 6. Time series of daily (a) canopy conductance (Gc), (b) SF (sap flux density), (c) PhenoCam green chromatic coordinate (GCC) and normalized difference vegetation index (CamNDVI), (d) meteorological factors (precipitation (Prec), air temperature (Ta), and vapor pressure deficit (VPD)), and (e) soil water content (SWC) of three different depths during 2015-2019 for evergreen oak trees. Gc time series starts in autumn 2015 because canopy surface temperature (Ts) used to calculate Gc was available only since that

417 time. Apart from Prec and SWC, all the variables are smoothed with a 20-day average moving window.
418 The arrows represent the start (dashed) and the peak (solid) of the time series in each growing period (in
419 panel b-f; the details of extraction method can be found in Note S5) between February and August each
420 year. The blue shaded area indicates the leaf flushing period visually determined by PhenoCam images.
421 The red arrow in panel (d) shows the second leaf flush after a caterpillar attack.

422 3.2.2 Relationships between water fluxes and their explanatory variables

The results of the multi-model GAM analysis indicated that the Gc model that included all the 423 drivers (M4, "All VIs") performed best according to the AIC values and explained 87% of the 424 425 variance for variation of Gc (Table 2). It was followed by M2 ("GCC"). The GAM smoothing functions (Figure 7) showed that Ta and GCC were the most important drivers (larger partial 426 effects than other drivers) for estimating variation of Gc. Specifically, GCC positively correlated 427 with its partial effects on Gc. In contrast, it seems that CamNDVI had a varied relationship with 428 its partial effects on Gc (decreasing and then slight increasing with the increase of CamNDVI 429 value), with less partial contribution than GCC (Figure 7). 430

Likewise, M4 ("All VIs") was the best model for estimating SF and explained 96% of the variance
for variation of SF (Table 2). The partial effects plots indicated that the variation of SF was most
sensitive to VPD changes, especially with a very strong positive relationship at low VPD values
(Figure 8). The other drivers had a similar partial contribution to the variation of SF.

435Table 2. Statistics of best Generalized Additive Model (GAM) for canopy conductance (Gc) and sap flux

436 density $(SF)^{1, 2}$. Abbreviations: N, number of non-NA observations; R_{adj}^{2} , adjusted coefficient of

	Best GAM	Ν	R_{adj}^2	ΔAIC_{M1-M4}	ΔAIC_{M2-M4}	ΔAIC_{M3-M4}
	$Gc \sim Intercept^{**++}$		0.87		62.3	137.2
	s(SWDR)*** +	953		218.0		
	s(Ta)*** +					
Ga	s(WS)*** +					
UL	$s(SWC_{shallow})^{***} +$					
	s(SWC _{deep})*** +					
	s(GCC)*** +					
	s(CamNDVI)***					
	$SF \sim Intercept^{***} +$	953	0.96		50.8	183.8
	s(SWDR)*** +			260.0		
	s(VPD)***+					
S E	s(WS)*** +					
51	$s(SWC_{shallow})^{***} +$					
	s(SWC _{deep})*** +					
	s(GCC)***+					
	s(CamNDVI)***					

⁴³⁷ determination; ΔAIC, difference of Akaike Information Criteria (AIC) values between different GAM
438 models.

441 ² The AIC differences (Δ AIC) between M1 and M4, M2 and M4, M3 and M4 were shown in the last three

- 442 columns (M1-M4 are the four GAM models in Table1). ΔAIC compares the full model performance with
- the performance of the models only driven by climate, by climate and GCC, or by climate and CamNDVI,

444 respectively.

^{439 &}lt;sup>1</sup> s (variables) stands for smooth function for each predictor. The symbols "***" indicate the predictor's
440 partial effect significance levels of 0.001.



445

Figure 7. Partial effect plots for the drivers of canopy conductance (Gc) from the GAM analysis. The data 446 points in each panel are the partial residuals. The y axis stands for the partial effects of each driver to the 447 variation of Gc. The blue lines are GAM fitting lines. The orange shaded area represents the 95% confidence 448 449 interval. The values in brackets in the y-axis labels are the effective degrees of freedom (edf) directly related to the complexity of the smooth function. The explanatory variables of Gc and their abbreviations are: 450 451 PhenoCam green chromatic coordinate (GCC) and normalized difference vegetation index (CamNDVI), shortwave downwelling radiation (SWDR), air temperature (Ta), wind speed (WS), vapor pressure deficit 452 (VPD), soil water content at shallow and deep soil depth (SWC_{shallow}, SWC_{deep}). 453



454

Figure 8. Partial effect plots for the drivers of sap flux density (SF) from the GAM analysis. The points in 455 each panel are the partial residuals. The y axis stands for the partial effects of each driver to the variation 456 457 of Gc. The blue lines are GAM fitting lines. The shaded area represents the 95% confidence interval. The values in brackets in the y-axis labels are the effective degrees of freedom (edf), directly related to the 458 complexity of the smooth function for each variable. The explanatory variables of SF and their 459 abbreviations are: PhenoCam green chromatic coordinate (GCC) and normalized difference vegetation 460 index (CamNDVI), shortwave downwelling radiation (SWDR), air temperature (Ta), wind speed (WS), 461 vapor pressure deficit (VPD), soil water content at shallow and deep soil depth (SWC_{shallow}, SWC_{deep}). 462

463 4. Discussion

464 4.1 New leaves flushing explains the rapid increase of canopy greenness in spring

465 Combining leaf and canopy-scale measurements, we proved that the annual variability of the evergreen Holm oak optical properties is dominated by the sprout and development of young 466 leaves. Mature and old leaves' biophysical and optical properties are relatively similar and steady 467 in time (Pacheco-Labrador et al., 2017). Contrarily, young leaves feature distinct properties after 468 sprouting. Such difference reduces as the new leaves mature, at the same time that the mature 469 leaves become more and more abundant in the crown (Figure 3b). The combination of different 470 properties and abundances in time leads to the rapid increase of PhenoCam GCC during the leaf 471 flushing period. 472

Young leaves of Holm oak trees in our study ecosystem present significantly lower Chlab, Narea, 473 LMA, and higher WCA than mature and old leaves (Figure 4). This variation is consistent with 474 previous studies in Mediterranean (Niinemets et al., 2004; Mediavilla et al., 2011) and tropical 475 evergreen tree species (Chavana-Bryant et al., 2017; Wu et al., 2017a). According to Figure 5 and 476 sound radiative transfer theory (Féret et al., 2017), the lower Chlab (correlated with Narea) is the 477 main responsible for the lower VIS absorption and the higher GCC_{ASD} values in young leaves. 478 479 These leaves darken (Figure S9), and their GCC_{ASD} rapidly decreases with maturation (Figure S12) as leaves accumulate more pigments, among other biochemical and anatomical changes (Sims & 480 Gamon, 2003; Kokaly et al., 2009; Serbin et al., 2014; Chavana-Bryant et al., 2017). In addition, 481 as young leaves appear, a similar effect is observed in the canopy, both by the PhenoCam as well 482 as more advanced optical sensors (Figure S13, Note S6). Leaf reflectance in the NIR and the SWIR 483 regions also vary with the leaf age due to the development of complex internal cellular structure, 484 epicuticular waxes or cuticles, and variation of leaf water and dry matter content in the leaves 485

(Reicosky & Hanover, 1978; Mulroy, 1979; Kokaly *et al.*, 2009; Asner *et al.*, 2015). Since LMA
and WCA mainly related to the radiation absorption in the SWIR (Jacquemoud *et al.*, 1996), we
observed the significant changes observed in WCA and LMA (Figure 4) had little effect on
GCC_{ASD} (Figure 5). The variation in LMA and WCA can be characterized with high-resolution
spectra measurements becoming more available (Wu *et al.*, 2017a; Meireles *et al.*, 2020).

491 We represented the canopy-scale GCC variation using the weighted leaf-level GCC_{ASD} by considering the distinct differences in spectral reflectance between young and mature/old leaves 492 (Figure 2 and Figure 3). PhenoCam GCC and the weighted average of GCC_{ASD} run in parallel and 493 were strongly correlated (Figure 3). These results align with previous studies, which found that 494 leaf demography contributes significantly to the observed variation of canopy reflectance (Roberts 495 et al., 1998; Wu et al., 2017a) and satellite greenness (Wu et al., 2018). We are aware that the 496 uncertainties in leaf age fractions propagate to the comparison between weighted average GCC_{ASD} 497 and PhenoCam GCC. The direct up-scaling of GCC_{ASD} (weighted average) omits spectral 498 499 contributions from branches, shadows, and different leaf angles and multiple-scattering. However, the structural properties of the Holm oaks in the site are known to be relatively stable (Melendo-500 Vega et al., 2018). Thus, the variability of the upscaled leaf GCC should still covary with 501 502 PhenoCam GCC despite a bias. This covariance proves the control of young leaves on canopy greenness variability during the phenological cycle. Wu et al. (2017b) have illustrated estimation 503 of photosynthesis for tropical evergreen canopies can be improved by considering the effect of 504 different leaf-age leaves' fraction and properties into the ecological modelling. Hence, proper 505 consideration of leaf demography could improve the representation of greenness and functioning, 506 providing insights into the functioning and properties of the different leaf cohorts and the resources 507

management strategies of the trees in response to the environment (Albert *et al.*, 2018; Gast *et al.*,
2020).

510 4.2 Can greenness explain the canopy conductance variation of evergreen broadleaved trees? Variation of Gc in evergreen broadleaved trees can be explained by both of the changes in 511 greenness and environmental drivers such as Ta (Figure 6 and Figure 7). Gc decreased with VPD 512 513 due to stomatal closure, preventing excessive water loss under high evaporative demand (Way et al., 2015; Slot & Winter, 2017). This is a recurrent strategy of iso-hydric vegetation such as the 514 Mediterranean Holm oak in our study ecosystem (Quero et al., 2011). In contrast, the GCC 515 positively correlated to the variation of Gc (Figure 7). This positive correlation could be attributed 516 517 to the leaf ontogeny information conveyed in GCC. Previous studies have found that young leaves exhibit higher canopy conductance than mature leaves (Mediavilla & Escudero, 2003; Whitehead 518 et al., 2011). As we showed, canopy GCC conveys age-related physiological information from 519 different leaf-age classes (Figure 4). Therefore, it is plausible to use GCC as one of the explanatory 520 521 variables to explain Gc's variation in evergreen broadleaved trees.

VPD is the main driver of SF for evergreen broadleaved trees, while greenness marginally explains 522 523 the variation of SF (Figure 6 and Figure 8). Consistent with previous studies (Gates, 1968; O'Grady et al., 1999; Vicente-Serrano et al., 2020), the water transport of trees is accelerated by the rise of 524 evaporative demand especially when the water is not limited during the period of the spring in our 525 526 study sites (Figure 6). With continuous high temperature and low precipitation from mid-to-late summer (Perez-Priego et al., 2017; Luo et al., 2018), water from deep soil accessed by deep roots 527 from trees also becomes limiting (Figure 6; Moreno et al. (2005)). Consequently, the driving force 528 529 from VPD to the SF becomes flat, which is also confirmed from former studies in other semi-arid ecosystems (Skelton et al., 2013; Zha et al., 2017; El-Madany et al., 2020). We only found 530

marginal contribution from VIs to the variation of SF (Figure 8). The development of observation
networks such as PhenoCam (Richardson *et al.*, 2018) and global sap flow networks (Poyatos *et al.*, 2020) would enable us to further explore and validate the relationships we observed between
greenness and water fluxes for evergreen broadleaved trees.

We demonstrated that linking evergreen broadleaved trees' greenness with their water flux 535 536 dynamics in semi-arid tree-grass ecosystems is feasible. We showed that PhenoCam data might help to interpret the variation of Gc, SF, and potentially the changes in stem growth (peak of GCC 537 shows the coordination with the start of trunk perimeter demonstrated in Figure S14). Further 538 research can seek additional connections of greenness with canopy development by focusing on 539 the specific important periods (e.g. rapid greenness increases), which might help us better 540 understand the variation of functioning. For instance, compared to the rapid increase of GCC 541 during the leaf flushing period, we observed the first decreasing and then increasing CamNDVI 542 (Figure 6). This is most likely resulting from the changes in LAI induced by litterfall according to 543 544 a study conducted on the same species in the Mediterranean ecosystem (Soudani et al., 2012). Including more field LAI and litterfall measurements in this period, we could better disentangle 545 the contribution from different leaf-age (young, mature, and old) leaves to the changes in trees' 546 547 water fluxes through using leaf demography-ontogeny models (Wu et al., 2016; Wu et al., 2017b). The linkage between PhenoCam greenness and leaf measurements as well as plants' functioning 548 (water fluxes and stem growth) highlights the opportunities to biologically interpretation of near-549 surface remote sensing greenness in different biomes and better representation of the functioning 550 with the continuous growth of PhenoCam network. 551

552 **5.** Conclusion

In this work, we analyzed the relationships between evergreen trees' greenness (at the leaf- and 553 554 canopy-scales) and their leaf traits, canopy conductance, and sap flow. We showed that: 1) young leaves flushing with distinct leaf spectral properties lead to a rapid increase of PhenoCam canopy 555 GCC in spring; 2) variation of PhenoCam GCC is related to the leaf traits properties in different 556 leaf-age classes, and considering the leaf fraction of different leaf-age leaves and their spectral 557 properties can well explain the variation of canopy GCC; 3) PhenoCam GCC is an important 558 explanatory factor of variation in the canopy conductance for evergreen broadleaved trees. VPD 559 mainly drives while greenness marginally explains the variation of sap flow. In summary, we 560 demonstrated that variation of PhenoCam GCC is biologically linked to leaf ontogeny and trait 561 variation among different leaf-age classes. It can be used to identify the leaf flushing and explain 562 the variation of trees' functioning. This highlights the opportunities to biologically interpret the 563 near-surface greenness in different biomes and improve predicting or representation of plant 564 565 functioning with the continuous growth of PhenoCam and functioning-related global observation networks. 566

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