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### A SMALL-SCALE EXPERIMENTAL OCEAN CURRENT TURBINE APPARATUS FOR POWER MEASUREMENT

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#### ABSTRACT

*The objective of this work is to propose an experimental apparatus setup for a small-scale three-bladed, horizontal-axis Ocean Current Turbine (OCT). This OCT model is under investigation using the University of New Orleans (UNO) towing tank to establish an electromechanical power takeoff system to produce sustainable renewable energy. The system is currently in the design phase. This paper describes the experimental apparatus design by considering sizing elements, bill of materials, schematics, and performance simulation for the expected system. The implementation of an actual experimental small-scale turbine complements the analytical and numerical investigations on turbine design characteristics achieved by ongoing research at UNO based on conformal mapping methods along with Blade Element Momentum Theory (BEM) for generated power prediction. The towing tank experimental approach is used to verify performance of the turbine.*

Keywords: Ocean Current Turbine (OCT), Experimental Design, Power measurement, PMDC motor, DC generator, Dynamic simulation, Matlab Simulink.

#### NOMENCLATURE

|           |                   |
|-----------|-------------------|
| $V_{ind}$ | generated voltage |
| $\omega$  | motor shaft speed |
| $K_s$     | speed constant    |
| $V_t$     | generated voltage |
| $R_m$     | motor resistance  |

|                                   |                             |
|-----------------------------------|-----------------------------|
| $I_l$                             | load current                |
| $I_{lMax}$                        | maximum load current        |
| $\tau$                            | driving torque              |
| $I_0$                             | no-load current             |
| $K_t$                             | motor torque constant       |
| $K_e$                             | motor voltage constant      |
| $P_e$                             | electrical power            |
| $P_{eMax}$                        | maximum electrical power    |
| $\frac{\Delta\omega}{\Delta\tau}$ | motor speed-torque gradient |
| $P_m$                             | mechanical power            |
| $\eta_g$                          | generator efficiency        |
| $P_{out}$                         | output power                |
| $V_{out}$                         | output voltage              |
| $I_{out}$                         | output current              |
| $P_{in}$                          | input power                 |
| $effic$                           | estimated efficiency        |
| $L$                               | required inductor           |
| $D$                               | duty cycle                  |
| $f_s$                             | switching frequency         |
| $\Delta I$                        | current ripple              |
| $C$                               | required capacitor          |
| $\Delta V$                        | voltage ripple              |

#### 1. INTRODUCTION

An increase in world energy demand is undeniable. This growth in energy consumption is in addition to the fact that expendable resources such as fossil fuels are both limited in amount and often harmful to our planet lead us to replace them with renewable energy resources that are naturally replenished. Ocean currents are a potentially vast renewable hydrokinetic energy resource. Indeed, they have the ability of high energy production rates due to the immense amount of marine

hydrokinetic energy involved in the movement of water [1, 2]. Much research has been done so far to demonstrate the hydrokinetic energy conversion principle. Minh N. Doan et al. proposed a power measurement system for a cross-flow hydrokinetic turbine operated in an experimental water tunnel [3]. E. Muljadi et al. described a simplified power conversion system by taking advantage of photovoltaic inverters for a river and tidal turbine generator [4]. N. K. Sarma et al. evaluated a simple three bladed Savonius turbine performance in a water channel. The hydrokinetic turbine power measurements showed enhanced performance when compared to an identically designed wind turbine [5]. J. Riglin et al. developed a hydrokinetic turbine prototype for river applications. This turbine loading was manually adjusted to produce a performance curve for each given flow speed [6].

This paper is based on the work done on blade element momentum (BEM) theory code which was written in Matlab [7]. BEM theory is a well-known technique to analyze the performance of turbines. This technique considers the specific conditions of the fluid and rotors. Many parameters, e.g. differential torque, thrust and power estimation, were obtained by using our in-house BEM Matlab code. Using predictions from our BEM code, a small-scale three-bladed horizontal underwater turbine is developed. This small-scale turbine is used for proof-of-concept testing and numerical model verification since it is more cost-effective than full-scale testing [8]. The test turbine is under construction at the University of New Orleans. In order to perform the experiment, an electrical system is needed to cope with all the requirements and to measure the generated power [8,9]. V. Tzelepis developed a PID controller to achieve specified speed set-points in the ocean current energy conversion system and to implement a simulation to estimate the average electric power generation. Also, a control system of induction motor/generator was proposed [10]. C. McConnell et al. designed a small-scale prototype hydrokinetic turbine by using BEM theory to obtain optimal blade twist [11].

OCTs generate power due to local forces, especially the lift force applied to the rotor blades. In addition, there are some undesirable forces on the carriage which are considered in order to avoid any structural damage in a full-scale prototype. The method is used to estimate the nominal generated power at different carriage speed and rotor blade rotational speed. The carriage speed mimics the motion of the water current that contains kinetic energy. The tip speed ratio is varied in order to achieve optimal power production at a constant carriage speed to measure the power obtained from the OCT. This can be done by adjusting the rotational speed of the rotor blades. The apparatus system design has been divided into two subsystems: motor power supplier and generator power production. The motor drive power supply should be capable of creating a DC bus voltage from the AC line voltage by utilizing a switch-mode power supply. Adjusting the rotational speed can be done by regulating the duty cycle to make the output voltage variable in order to control the DC motor speed. Once the reference rotational steady state speed is obtained, the AC line voltage must be removed to allow electrical brake circuitry to connect to the DC motor while

the carriage moves the turbine. The DC motor generates electrical current that flows through electrical brake and can be measured to compute the power generated, along with the rotor rotational speed. The results of the experiment described in the current research as well as the analytical data acquired will be the basis for evaluating the efficiency of the generator and calculating the optimum terminal power.

This paper is arranged as follows. Section 2 discusses the design process and sizing of the apparatus components. Section 3 shows simulation results for the proposed drive. Finally, section 4 presents conclusions, followed by acknowledgment and references.

## 2. MATERIALS AND METHODS

In order to develop an appropriate design, many considerations must be made prior to the beginning of this process. First and foremost, what is the expectation for the whole system and what is the best way to build the system with the required components. Due to the fact that we have very limited carriage speed in the UNO towing tank, our DC machine must reach the steady-state reference rotational speeds before being used as a generator. Therefore, electricity will be supplied to the motor by a means of power supplier in order to obtain the desired speed. By obtaining the assigned rotational speed, the power provider is then disconnected and the braking resistor load is switched on in order to measure the generated power. Each circuit element within the system must then be selected and sized.

### 2.1 DC motor as a generator

Any direct current (DC) motor can be run as a generator based on the fundamental principle of induction with induced voltage proportional to the motor shaft speed for the unloaded generator [12]

$$V_{ind} = \omega / K_s \quad (1)$$

where  $V_{ind}$  is the generated voltage (V),  $\omega$  is the motor shaft speed (rpm),  $K_s$  is the speed constant of the motor, aka, speed equation constant (rpm/V). The speed constant is the inverse of the motor voltage constant ( $K_e$ ).

$$K_s = 1/K_e \quad (2)$$

It is important to note that these relationships are valid for the ideal case without any losses. Once the generator is loaded with current, the induced DC voltage at the terminals will be reduced because of the motor resistance. Hence, we can rewrite equation (1) as follows

$$V_t = \frac{\omega}{K_s} - R_m * I_l \quad (3)$$

where  $V_t$  is the generated voltage (V),  $\omega$  is the motor shaft speed (rpm),  $K_s$  is the speed constant of the motor (rpm/V),  $R_m$  is the motor resistance ( $\Omega$ ),  $I_l$  is the current that goes through the wire (A).

We can graph the voltage-current line of the generator by using equation (3). The maximum possible generated voltage is at no-load current (open circuit), and the maximum load current corresponds to the no-voltage induction (short circuit). Therefore, the maximum load current is

$$I_{l_{Max}} = \omega / K_s * R_m \quad (4)$$

The torque to drive the generator in order to overcome the generator internal losses and produce the load current is given by equation (5).

$$\tau = K_t * (I_l + I_0) \quad (5)$$

where  $\tau$  is the required driving torque (Nm),  $I_l$  is the current through the wire (A),  $I_0$  is the motor no-load current (A) corresponding to the internal torque losses,  $K_t$  is the motor torque constant or motor constant (Nm/A). The torque constant is equal to the motor voltage constant ( $K_e$ ).

$$K_t = K_e \quad (6)$$

The generator power consists of two different parts, electrical output power and mechanical input power. The electrical output power can be calculated using equation (7).

$$P_e = V_t * I_l \quad (7)$$

where  $P_e$  is the electrical power (W),  $V_t$  is the generated voltage (V),  $I_l$  is the load current (A).

The maximum electrical output power at a given speed can be found from

$$P_{e_{Max}} = \frac{\pi}{30000} * \frac{\omega^2}{4} * \left(\frac{\Delta\omega}{\Delta\tau}\right)^{-1} \quad (8)$$

where  $P_{e_{Max}}$  is the largest electrical output power (W),  $\omega$  is the motor shaft speed (rpm),  $\left(\frac{\Delta\omega}{\Delta\tau}\right)$  is the motor speed-torque gradient (rpm/Nm).

Similarly, the mechanical input power can be calculated using equation (8).

$$P_m = \frac{\pi}{30} * \omega * \tau \quad (9)$$

where  $P_m$  is the mechanical power (W),  $\omega$  is the motor shaft speed (rpm),  $\tau$  is the driving torque (Nm).

In general, efficiency is described as the ratio of useful output to total input. Hence, the generator efficiency is measured as the ratio of electrical output power to mechanical input power.

$$\eta_g = P_e / P_m \quad (10)$$

where  $\eta_g$  is the generator efficiency (1),  $P_e$  is the electrical power (W),  $P_m$  is the mechanical power (W).

Essentially, for a machine that runs as a generator, the generator efficiency behaves similarly to its motor efficiency. We can obtain the higher efficiency at the higher circular speed, and the maximum efficiency occurs at a lower load current with a given rotational speed.

## 2.2 Modelling of PMDC motor/generator

In this study, a permanent magnet direct current (PMDC) motor is selected to use as a small scale experimental hydrokinetic turbine. Based on the required tasks and the fact that PMDCs are quite slow to react to high frequency switching, a pulse width modulated (PWM) regulator is chosen to control the motor speed. PWM is a technique that is used to reduce the average power delivered by an electrical supply [13]. The average value of the voltage fed to the load is controlled by turning a switch located between power supply and load on and off at a very high frequency. The PWM signal can emulate the effect of a variable DC power supply through appropriate manipulation of its duty cycle. By varying the duty cycle, the average DC voltage delivered to the output can be controlled. The required DC output voltage consists of rectangular voltage width which could be eliminated to form an averaged duty cycle modulated DC voltage by a mean of appropriate LC filter [14]. It is essential to predetermine some of the parameters for the PWM power supply. First, we have to specify the power output by using equation (11).

$$P_{out} = V_{out} * I_{out} \quad (11)$$

where  $P_{out}$  is the output power (W),  $V_{out}$  is the output voltage (V),  $I_{out}$  is the output current (A).

Equation (12) gives the input power with the estimated efficiency.

$$P_{in} = P_{out} / \text{effic} \quad (12)$$

where  $P_{in}$  is the input power (W),  $P_{out}$  is the output power (W),  $\text{effic}$  is the estimated efficiency (1).

Equation (13) is used to find the average input current.

$$I_{in} = P_{in} / V_{in} \quad (13)$$

The purpose of using an inductor is to store energy for the load during the switch off period to integrate (smooth) the rectangular switching pulses into DC [15]. In addition, the current in the output inductor dictates the mode of operation. The

minimum inductance needed for the output to maintain operation in continuous conduction mode where the current does not reach zero is given by equation (14).

$$L = \frac{V_{out} * (1 - D)}{f_s * \Delta I} \quad (14)$$

where  $L$  is the minimum required inductance (H),  $V_{out}$  is the output voltage (V),  $D$  is the duty cycle (1),  $f_s$  is the switching frequency (Hz),  $\Delta I$  is the current ripple, equal to 10-40% of  $I_{out}$  based on the standard rule of thumb (A).

The most basic line filter is a filter capacitor. It is used to suppress electrical noise coming from the power supply line. The role of the filter capacitor is to attenuate some of the electrical noise by shorting out high frequencies while passing through low frequencies (a low-pass filter). Equation (15) gives the minimum capacitance to filter the switching pulses.

$$C = \frac{\Delta I}{8 * f_s * \Delta V} \quad (15)$$

where  $C$  is the minimum required capacitor (F),  $f_s$  is the switching frequency (Hz),  $\Delta V$  is the voltage ripple that is equal to 1% of  $V_{out}$  based on the standard rule of thumb (V).

From a power switch perspective, the most common choice is MOSFET. First, it is more economic in comparison to a bipolar transistor. Its saturation loss is another positive point, along with its straightforward design. In addition, it switches five to ten times faster. From motor to generator switching and vice versa, speed can be measured by using an encoder sensor. Once the motor reaches the given speed, the power provider is disconnected and the turbine carriage starts moving to simulate water current. This water current contains torque and thrust that can then be utilized with our turbine to generate power.

Essentially, whenever a motor reaches the given speed, it must be reduced or eliminated by using the electrical brake. Electrical braking can be divided in to three main techniques: plugging type braking, dynamic braking, and regenerative braking. Since the power generated is because of water current forces on the turbine induced from carriage movement, the most practical braking strategy should be dynamic. In this method of braking, when the motor is running at the adjusted speed, it will be disconnected from the power supply and connected across a resistor. When the motor is disconnected from the power source, the rotor keeps turning due to inertia and the water current forces and works as a self-excited generator. The switching can be done with the help of solid-state relay switches. Note that when the PMDC motor works as a generator, the direction of the current reverses. Lastly, in order to calculate the generated power, voltage and current across the resistor are measured.

### 2.3 Simulation of PMDC motor/generator

Simulations have been performed for the PMDC motor generator using the parameters shown in Table 1. The models were developed in Matlab and Simulink environments.

| Motor Data              |          |        |
|-------------------------|----------|--------|
| Nominal Voltage         | V        | 12     |
| No Load Speed           | rpm      | 8130   |
| No Load Current         | A        | 0.32   |
| Speed Constant          | rpm/V    | 699    |
| Torque Constant         | Nm/A     | 0.0137 |
| Motor Resistance        | $\Omega$ | 0.079  |
| Rotor Inertia           | $gcm^2$  | 99.5   |
| Braking Load Resistance | $\Omega$ | 1      |
| Load Torque             | Nm       | 0.12   |

TABLE 1: PARAMETERS OF PMDC MACHINE

This paper evaluates the power generated by the maximum water current load torque obtained by using the results of BEM theory [5]. This approach calculates the length, density, and corresponding two-dimensional force coefficients.

### 3. RESULTS AND DISCUSSION

Figure 1 shows the voltage current line of the generator. The lower right end of the plot is the point with no terminal voltage and maximum possible load current.

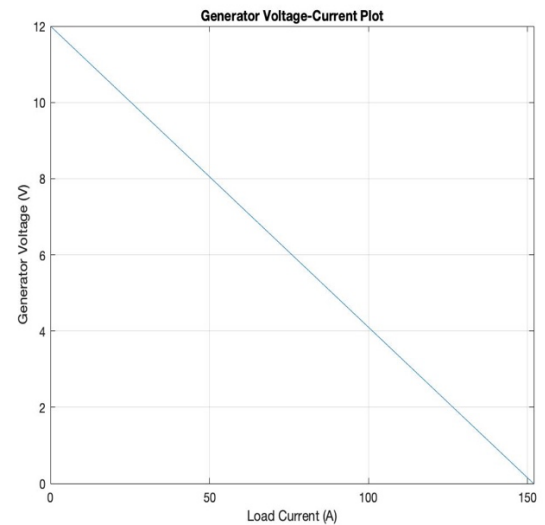


FIGURE 1: GENERATOR VOLTAGE VS CURRENT

Figure 2 is the graphical representation of the generator efficiency. This efficiency is depending on the generated current at different rotational speed. In order to get the assigned power of 40 watts, a generator speed of 4065 rpm is needed at a load current of 4.562 amperes. This current is slightly less than the

continuous current of 6 amperes. Similarly, for 30 watts output power, the optimum load current is 3.739 amperes at a generator speed of 3049 rpm. Also, for 20 watts output power, the optimum load current is 3.234 amperes at a generator speed of 2033 rpm. Noting that, those numbers are based on ideal performance with absence of any noise.

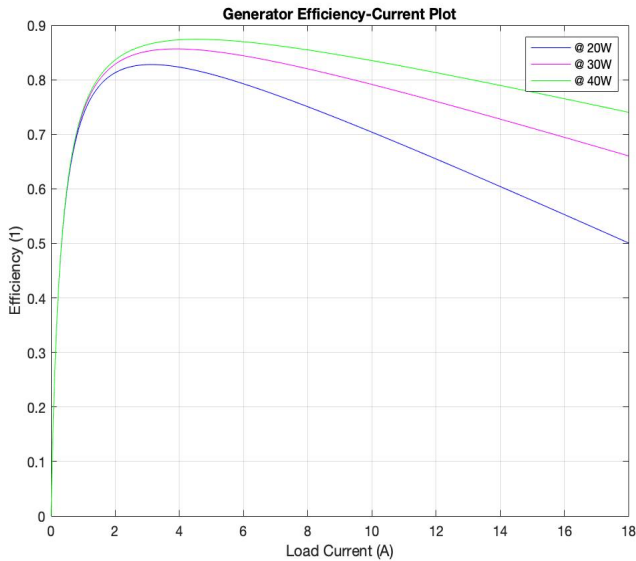
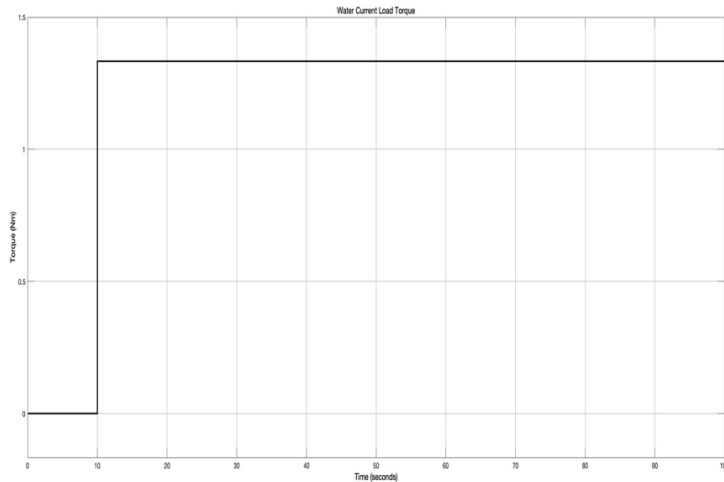
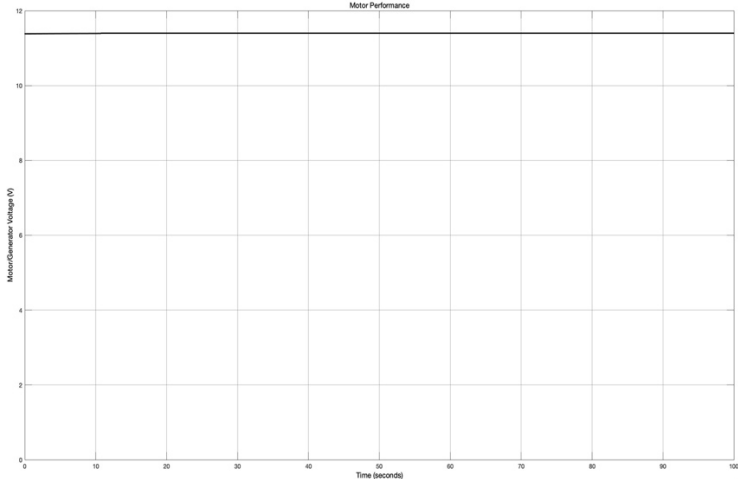


FIGURE 2: GENERATOR EFFICIENCY VS LOAD CURRENT FOR 3 POWER VALES

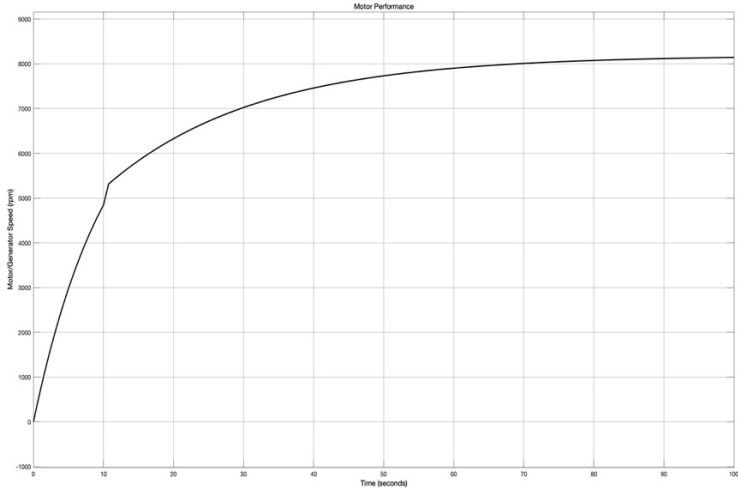
Figure 3 shows simulation results for the dynamic behavior of the drive under load disturbance. We applied a step load torque of 0.12 Nm to the system at time t = 10 (s) to simulate the water current load to switch the performance of the PMDC machine from motor to generator role.



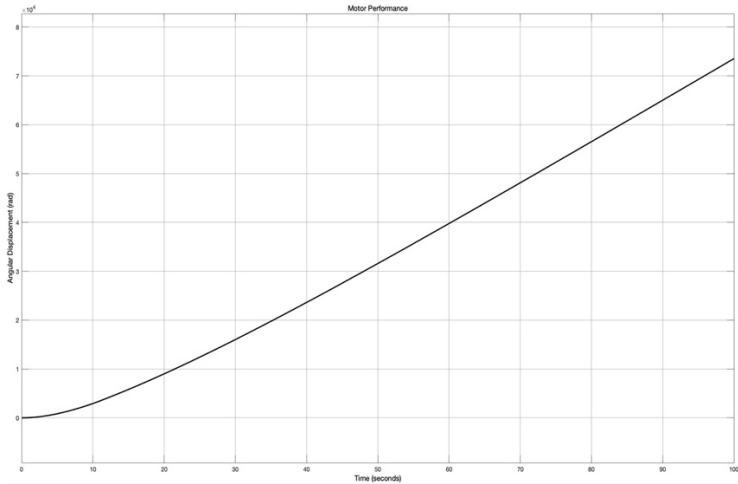
(a) Load Torque vs Time



(b) Motor/Generator Voltage vs Time



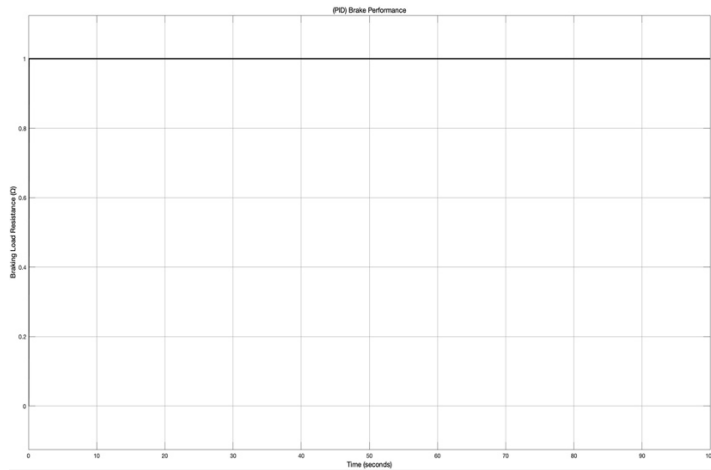
(c) Motor/Generator Speed vs Time



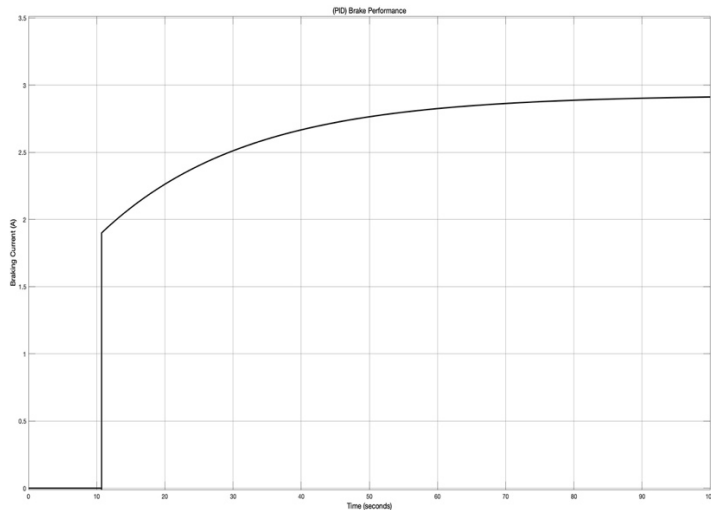
(d) Motor/Generator Angular Displacement vs Time

FIGURE 3: RESPONSE WAVEFORMS OF PMDC MACHINE UNDER STEP CHANGE OF WATER CURRENT LOAD TORQUE

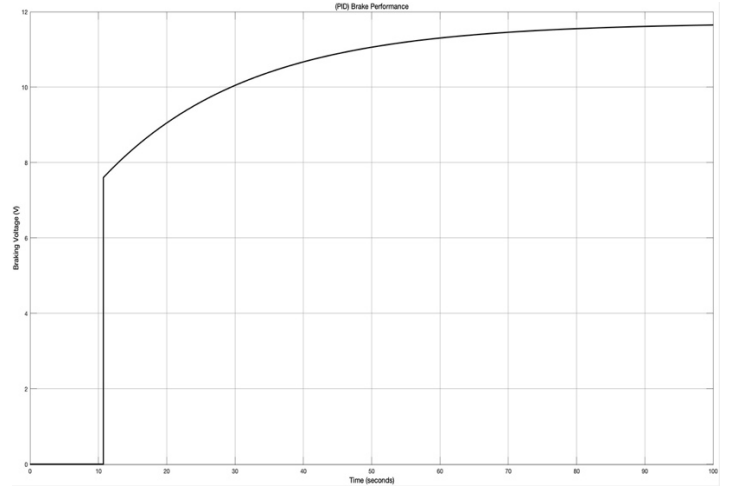
Figure 4 gives simulation results of the dynamic behavior of the braking provided by a  $1\ \Omega$  resistor in order to measure the generated current, voltage, and power. By applying a load torque at  $t = 10$  (s), we can see a jump from zero to almost 15 watts of generated power. As time passes, the generator reaches steady state performance.



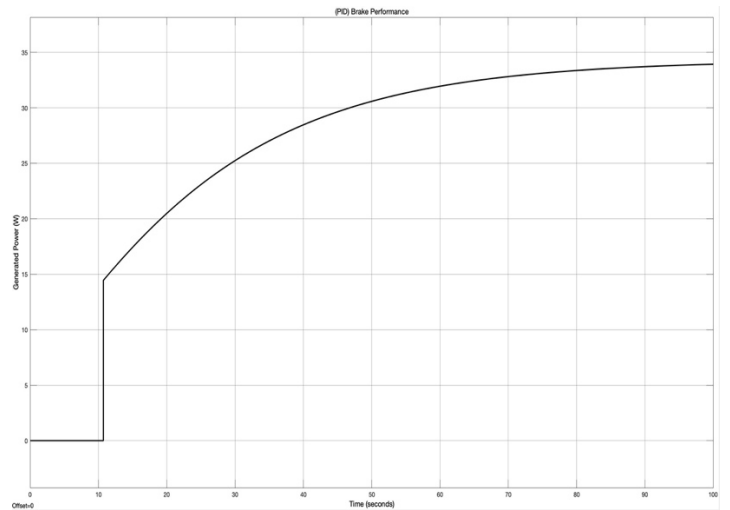
(a) Braking Resistance vs Time



(b) Braking Current vs Time



(c) Braking Voltage vs Time



(d) Power Generated vs Time

**FIGURE 4: RESPONSE WAVEFORMS OF DYNAMIC BRAKING UNDER STEP CHANGE OF WATER CURRENT LOAD TORQUE**

The efficiency under the operating conditions of Fig. 4 is usually slightly below 50%. The maximum output mechanical power for this type of PMDC machine is 80 watts when it runs as a motor. Hence, for the 33.9 watts of generated power here, our PMDC machine works as a generator with 42.4% efficiency at the highest assigned torque calculated using BEM analysis.

#### 4. CONCLUSION

This paper presented a proposed experimental apparatus for a small-scale ocean current turbine. The design described how to size each element as well as predicting the performance of the drive. The simulation results confirmed the validity of the drive to control the speed of the PMDC machine at the time that it runs as a motor in addition to the process of switching it to a generator in order to measure the power produced.

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