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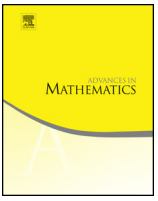

Simple length rigidity for Hitchin representations ☆

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ABSTRACT

We show that a Hitchin representation is determined by the spectral radii of the images of simple, non-separating closed curves. As a consequence, we classify isometries of the intersection function on Hitchin components of dimension 3 and on the self-dual Hitchin components in all dimensions. As an important tool in the proof, we establish a transversality result for positive quadruples of flags.

1. Introduction

Any discrete faithful representation of the fundamental group $\pi_1(S)$ of a closed oriented surface S of genus greater than 1 into $\mathsf{PSL}_2(\mathbb{R})$ is determined, up to conjugacy in $\mathsf{PGL}_2(\mathbb{R})$, by the translation lengths of (the images) of a finite collection of elements represented by simple closed curves. More precisely, a collection of $6g-5$ simple closed curves will be enough but $6g-6$ simple closed curve will not suffice, see Schmutz [32]

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and Hamenstädt [17]. In $\mathsf{PSL}_2(\mathbb{R})$ the translation length of an element is determined by the absolute value of the trace (which is well-defined, although the trace is not), so one may equivalently say that a discrete faithful representation of $\pi_1(S)$ into $\mathsf{PSL}_2(\mathbb{R})$ is determined by the (absolute values of) the traces of a finite collection of elements represented by simple closed curves.

We establish analogues of this result for Hitchin representations. The fact that traces of simple closed curves determine the representation is more surprising in the Hitchin setting as the trace does not even determine the conjugacy class of an element in $\mathsf{PSL}_d(\mathbb{R})$ if $d \geqslant 3$.

In the proof, we use Lusztig positivity to establish transversality properties for limit curves of Hitchin representations, and more generally for positive quadruples of flags. We also establish a rigidity result which depends on *correlation functions* associated to triples of simple closed curves. We hope that these transversality and rigidity results are of independent interest and that this paper will serve as an introduction to the beautiful algebraic ideas for mathematicians with a more geometric background.

Hitchin representations. A *Hitchin representation of dimension* d is a representation of $\pi_1(S)$ into $\mathsf{PSL}_d(\mathbb{R})$ which may be continuously deformed to a *d-Fuchsian representation* that is the composition of the irreducible representation of $\mathsf{PSL}_2(\mathbb{R})$ into $\mathsf{PSL}_d(\mathbb{R})$ with a discrete faithful representation of $\pi_1(S)$ into $\mathsf{PSL}_2(\mathbb{R})$. The *Hitchin component* $\mathcal{H}_d(S)$ of all Hitchin representations of $\pi_1(S)$ into $\mathsf{PSL}_d(\mathbb{R})$, considered up to conjugacy in $\mathsf{PGL}_d(\mathbb{R})$, is homeomorphic to $\mathbb{R}^{-(d^2-1)\chi(S)}$. In particular, $\mathcal{H}_2(S)$ is the Teichmüller space of S – see Section 2 for details and history.

A Hitchin representation is said to be *self dual* if it is conjugate to its contragredient. Self dual Hitchin representations take values in $\mathsf{PSp}(2n, \mathbb{R})$ and $\mathsf{PSO}(n, n+1)$, when $d = 2n$ or $d = 2n+1$ respectively. The set $\mathcal{SH}_d(S)$ of self dual representations into $\mathsf{PSL}_d(\mathbb{R})$ is a contractible submanifold of $\mathcal{H}_d(S)$ (see [18]).

Spectrum rigidity. The *spectral length* of a conjugacy class γ in $\pi_1(S)$ – or equivalently a free homotopy class of curve in S – with respect to a Hitchin representation ρ is

$$L_\gamma(\rho) := \log \Lambda(\rho(\gamma))$$

where $\Lambda(\rho(\gamma))$ is the spectral radius of $\rho(\gamma)$.

The *marked length spectrum* of ρ is the function from the set of conjugacy classes in $\pi_1(S)$ defined by

$$L(\rho) : \gamma \mapsto L_\gamma(\rho).$$

Similarly, the *marked trace spectrum* is the map

$$\gamma \mapsto |\operatorname{Tr}(\rho(\gamma))|,$$

where $|\operatorname{Tr}(A)|$ is the absolute value of the trace of a lift of a matrix $A \in \mathsf{PSL}_d(\mathbb{R})$ to $\mathsf{SL}_d(\mathbb{R})$.

Our first main result is then

Theorem 1.1. [Simple Marked Length Rigidity] *Two Hitchin representations of a closed orientable surface of genus greater than 2 are equal whenever their marked length spectra coincide on simple non-separating curves.*

The restriction on the genus may not only reflect the limit of our methods: we have extended this result to surfaces with boundary, see Section 11, and it is clear that simple length rigidity fails for the pair of pants when $d > 2$.

We obtain a finer result for the trace spectrum

Theorem 1.2. [Simple Marked Trace Rigidity] *Two Hitchin representations of a closed orientable surface of genus greater than 2 are equal whenever their marked trace spectra coincide on simple non-separating curves. Furthermore, if S is a closed orientable surface of genus greater than 2 and $d \geqslant 2$, then there exists a finite set $\mathcal{L}_d(S)$ of simple non-separating curves, so that two Hitchin representations of $\pi_1(S)$ of dimension d are equal whenever their marked trace spectra coincide on $\mathcal{L}_d(S)$.*

Dal'bo and Kim [11] earlier proved that Zariski dense representations of a group Γ into a semi-simple Lie group G without compact factor are determined, up to automorphisms of G, by the marked spectrum of translation lengths of *all* elements on the quotient symmetric space G/K. Similar results were obtained by Charette and Drumm [8] for subgroups of the affine Minkowski group. Bridgeman, Canary, Labourie and Sambarino [6] proved that Hitchin representations, are determined up to conjugacy in $\mathsf{PGL}_d(\mathbb{R})$ by the spectral radii of all elements. Bridgeman and Canary [5] proved that discrete faithful representations of $\pi_1(S)$ into $\mathsf{PSL}(2,\mathbb{C})$ are determined by the translation lengths of simple non-separating curves on S. Duchin, Leininger and Rafi [12] showed that the simple marked length spectrum determines a flat surface, but that no collection of finitely many simple closed curves suffices to determine a flat surface. On the other hand, Marché and Wolff [25, Section 3] gave examples of non-conjugate, indiscrete, non-elementary representations of a closed surface group of genus two into $\mathsf{PSL}_2(\mathbb{R})$ with the same simple marked length spectra.

In Section 11 we establish a version of Theorem 1.1 for Hitchin representations of compact surfaces with boundary which are "complicated enough," while in Section 10 we establish an infinitesimal version of Theorem 1.1.

Isometry groups of the intersection. We apply Theorem 1.1 to characterize diffeomorphisms preserving the intersection function of representations in $\mathcal{H}_d(S)$.

In Teichmüller theory, the *intersection* $\mathbf{I}(\rho,\sigma)$ of representations ρ and σ in $\mathcal{T}(S)$ is the length with respect to σ of a random geodesic in $\mathbf{H}^2/\rho(\pi_1(S))$ – where $\mathbf{H}^2$ is the

hyperbolic plane. Thurston showed that the Hessian of the intersection function gives rise to a Riemannian metric on $\mathcal{T}(S)$, which Wolpert [33] showed was a multiple of the classical Weil–Petersson metric – see also Bonahon [2], McMullen [28], and Bridgeman [4] for further interpretation. As a special case of their main result, Bridgeman, Canary, Labourie and Sambarino [6] used the Hessian of a *renormalized intersection* function to construct a mapping class group invariant, analytic, Riemannian metric on $\mathcal{H}_d(S)$, called the *pressure metric* – see Section 8 for details.

Royden [30] showed that the isometry group of $\mathcal{T}(S)$, equipped with the Teichmüller metric, is the extended mapping class group, while Masur and Wolf [27] established the same result for the Weil–Petersson metric.

In our context, the *intersection isometry group* – respectively *self dual intersection isometry group*– is the set of those diffeomorphisms of $\mathcal{H}_d(S)$ – respectively $\mathcal{SH}_d(S)$ – preserving $\mathbf{I}$.

Theorem 1.3. [Self dual isometry group] *For a surface of genus greater than 2, the self dual intersection isometry group coincides with the extended mapping class group of S.*

We have a finer result when $d = 3$.

Theorem 1.4. [Isometry Group In Dimension 3] *For a surface S of genus greater than 2, the intersection isometry group of $\mathcal{H}_3(S)$ is generated by the extended mapping class group of S and the contragredient involution.*

Since, as we will see in the proof, isometries of the intersection function are also isometries of the pressure metric, we view this as evidence for the conjecture that this is also the isometry group of the pressure metric – See Section 8.1 for precise definitions.

Our proof follows the outline suggested by the proof in Bridgeman–Canary [5] that the isometry group of the intersection function on quasifuchsian space is generated by the extended mapping class group and complex conjugation.

A key tool in the proof of Theorem 1.4 is a rigidity result for the marked simple, non-separating Hilbert length spectrum for a representation into $\mathsf{PSL}(3,\mathbb{R})$, see Section 9. Kim [19], see also Cooper-Delp [10], had previously proved a marked Hilbert length rigidity theorem for the full marked length spectrum.

Positivity and correlation functions. Every element of the image of a Hitchin representation is purely loxodromic, i.e. diagonalizable with real eigenvalues of distinct modulus. We introduce correlation functions which record the relative positions of eigenspaces of elements in the image and give rise to a rigidity result for the restrictions of Hitchin representation to certain three generator subgroups. This new rigidity result relies crucially on a new transversality result for eigenbases of images of disjoint curves.

If ρ is a Hitchin representation of dimension d, and γ is a non-trivial element, a matrix representing $\rho(\gamma)$ may be written –see Section 2 – as

$$\rho(\gamma) = \sum_{i=1}^{d} \lambda_i\left(\rho(\gamma)\right) \mathbf{p}_i\left(\rho(\gamma)\right),$$

where $\lambda_1\left(\rho(\gamma)\right) > \ldots > \lambda_d\left(\rho(\gamma)\right) > 0$ are the *eigenvalues* (of some lift) of $\rho(\gamma)$ and $\mathbf{p}_i\left(\rho(\gamma)\right)$ are the *projectors* onto the corresponding 1-dimensional eigenspaces. Let

- $\mathcal{A} = (\alpha_1, \ldots, \alpha_n)$ be an n-tuple of non-trivial elements of $\pi_1(S)$,
- $I = (i_j)_{j\in\{1,\ldots,n\}}$ be an n-tuple of elements in $\{1, \ldots, d\}$.

The associated *correlation function* $\mathbf{T}_I(\mathcal{A})$ on $\mathcal{H}_d(S)$ is defined by

$$\mathbf{T}_I(\mathcal{A}) : \rho \mapsto \mathrm{Tr}\left(\prod_{j=1}^{n} \mathbf{p}_{i_j}(\rho(\alpha_j))\right).$$

We show that finitely many of these correlation functions often suffice to determine the restriction of a Hitchin representation to a three generator subgroup. One may use this result to give an embedding of $\mathcal{H}_d(S)$ in some $\mathbb{R}^N$ and we hope that a refinement of these ideas could yield new parametrisations of $\mathcal{H}_d(S)$. In the statement below, recall that a pair of disjoint simple closed curves is said to be *non-parallel* if they do not bound an annulus.

Theorem 1.5. [Rigidity for correlations functions] *Let ρ and σ be Hitchin representations in $\mathcal{H}_d(S)$. Suppose that $\alpha, \beta, \delta \in \pi_1(S) - \{1\}$ are represented by based loops which are freely homotopic to a collection of pairwise disjoint and non-parallel simple closed curves. Assume that*

(1) *for any $\eta \in \{\alpha, \beta, \delta\}$, $\rho(\eta)$ and $\sigma(\eta)$ have the same eigenvalues,*
(2) *for all i, j, k in $\{1, \ldots, d\}$*

$$\frac{\mathbf{T}_{i,j,k}(\alpha, \beta, \delta)}{\mathbf{T}_{j,k}(\beta, \delta)}(\rho) = \frac{\mathbf{T}_{i,j,k}(\alpha, \beta, \delta)}{\mathbf{T}_{j,k}(\beta, \delta)}(\sigma),$$

then ρ and σ are conjugate, in $\mathsf{PGL}_d(\mathbb{R})$, on the subgroup of $\pi_1(S)$ generated by α, β and γ.

Before even stating that theorem, we need to prove the relevant correlation functions never vanish. This will be a corollary of the following theorem. First recall that a Hitchin representation in $\mathcal{H}_d(S)$ defines a *limit curve* in the flag manifold of $\mathbb{R}^d$, so that any two distinct points are transverse. Recall also that any transverse pair of flags a and b in $\mathbb{R}^d$ defines a decomposition of $\mathbb{R}^d$ into a sum of d lines $L_1(a, b), \ldots L_d(a, b)$.

Theorem 1.6. [Transverse bases] *Let ρ be a Hitchin representation of dimension d. Let (a, x, y, b) be four cyclically ordered points in the limit curve of ρ, then any d lines in*

$$\{L_1(a,b),\dots,L_d(a,b),L_1(x,y),\dots,L_d(x,y)\}$$

are in general position.

This last result is a consequence of the positivity theory developed by Lusztig [23] and used in the theory of Hitchin representations by Fock–Goncharov [13] and is actually a special case of a more general result about positive quadruples, see Theorem 3.6. Theorem 3.6 may be familiar to experts but we could not find a proper reference to it in the literature.

We also establish a more general version of Theorem 1.5, see Theorem 4.4.

Structure of the proof. Let us sketch the proof of Theorem 1.1. The proof runs through the following steps. We first show, in Section 6, that if the length spectra agree on simple non-separating curves, then all the eigenvalues agree for these curves. This follows by considering curves of the form $\alpha^n\beta$ when α and β have geometric intersection one and using an asymptotic expansion. A similar argument yields that ratio of correlation functions agree for certain triples of curves that only exist in genus greater than 2, see Theorem 7.1, and a repeated use of Theorem 1.5 concludes the proof of Theorem 1.1. Theorem 1.6 is crucially used several times to show that coefficients appearing in asymptotic expansions do not vanish.

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2. Hitchin representations and limit maps

2.1. Definitions

Let S be a closed orientable surface of genus $g \geqslant 2$. A representation $\rho : \pi_1(S) \to \mathsf{PSL}_2(\mathbb{R})$ is said to be *Fuchsian* if it is discrete and faithful. Recall that Teichmüller space $\mathcal{T}(S)$ is the subset of

$$\mathrm{Hom}(\pi_1(S), \mathsf{PSL}_2(\mathbb{R}))/\mathsf{PGL}_2(\mathbb{R})$$

consisting of (conjugacy classes of) Fuchsian representations.

Let $\tau_d : \mathsf{PSL}_2(\mathbb{R}) \to \mathsf{PSL}_d(\mathbb{R})$ be the irreducible representation (which is well-defined up to conjugacy in $\mathsf{PGL}_d(\mathbb{R})$). A representation $\sigma : \pi_1(S) \to \mathsf{PSL}_d(\mathbb{R})$ is said to be *d-Fuchsian* if it has the form $\tau_d \circ \rho$ for some Fuchsian representation $\rho : \pi_1(S) \to \mathsf{PSL}_2(\mathbb{R})$. A representation $\sigma : \pi_1(S) \to \mathsf{PSL}_d(\mathbb{R})$ is a *Hitchin representation* if it may be continuously deformed to a d-Fuchsian representation. The *Hitchin component* $\mathcal{H}_d(S)$ is the component of the space of reductive representations up to conjugacy:

$$\mathrm{Hom}^{\mathrm{red}}(\pi_1(S), \mathsf{PSL}_d(\mathbb{R}))/\mathsf{PGL}_d(\mathbb{R})$$

consisting of (conjugacy classes of) Hitchin representations. In analogy with Teichmüller space $\mathcal{T}(S) = \mathcal{H}_2(S)$, Hitchin proved that $\mathcal{H}_d(S)$ is a real analytic manifold diffeomorphic to a cell.

Theorem 2.1. (Hitchin [18]) *If S is a closed orientable surface of genus $g \geqslant 2$ and $d \geqslant 2$, then $\mathcal{H}_d(S)$ is a real analytic manifold diffeomorphic to $\mathbb{R}^{(d^2-1)(2g-2)}$.*

The *Fuchsian locus* is the subset of $\mathcal{H}_d(S)$ consisting of d-Fuchsian representations. It is naturally identified with $\mathcal{T}(S)$.

2.2. Real-split matrices and proximality

If $A \in \mathsf{SL}_d(\mathbb{R})$ is real-split, i.e. diagonalizable over $\mathbb{R}$, we may order the eigenvalues $\{\lambda_i(A)\}_{i=1}^d$ so that

$$|\lambda_1(A)| \geqslant |\lambda_2(A)| \geqslant \cdots |\lambda_{d-1}(A)| \geqslant |\lambda_d(A)|.$$

Let $\{e_i(A)\}_{i=1}^d$ be a basis for $\mathbb{R}^d$ so that $e_i(A)$ is an eigenvector with eigenvalue $\lambda_i(A)$ and let $e^i(A)$ denote the linear functional so that $\langle e^i(A)|e_i(A)\rangle = 1$ and $\langle e^i(A)|e_j(A)\rangle = 0$ if $i \neq j$. Let $\mathbf{p}_i(A)$ denote the projection onto $\langle e_i(A)\rangle$ parallel to the hyperplane spanned by the other $d-1$ basis elements. Then,

$$\mathbf{p}_i(A)(v) = \langle e^i(A) \mid v\rangle \ e_i(A)$$

and we may write

$$A = \sum_{i=1}^{d} \lambda_i(A)\mathbf{p}_i(A).$$

We say that A is *k-proximal* if

$$|\lambda_1(A)| > |\lambda_2(A)| > \ldots |\lambda_k(A)| > |\lambda_{k+1}(A)|$$

and we say that A is *purely loxodromic* if it is $(d-1)$-proximal, in which case it is diagonalizable over $\mathbb{R}$ with eigenvalues of distinct modulus. If A is k-proximal, then, for all $i = 1, \ldots, k$, $\mathbf{p}_i(A)$ is well-defined and $e_i(A)$ is well-defined up to scalar multiplication. Moreover, if A is purely loxodromic $\mathbf{p}_i(A)$ is well-defined and $e_i(A)$ and $e^i(A)$ are well-defined up to scalar multiplication for all i. If $A \in \mathsf{PSL}_d(\mathbb{R})$, we say that A is *purely loxodromic* if any lift of A to an element of $\mathsf{SL}_d(\mathbb{R})$ is purely loxodromic.

2.3. Transverse flags and associated bases

A *flag* for $\mathbb{R}^d$ is a nested family

$$f = (f^1, f^2, \ldots, f^{d-1})$$

of vector subspaces of $\mathbb{R}^d$ where f^i has dimension i and $f^i \subset f^{i+1}$ for each i. Let $\mathcal{F}_d$ denote the space of all flags for $\mathbb{R}^d$. An n-tuple $(f_1, \ldots, f_n) \in \mathcal{F}_d^n$ is *transverse* if

$$f_1^{d_1} \oplus f_2^{d_2} \oplus \ldots \oplus f_n^{d_n} = \mathbb{R}^d$$

for any partition $\{d_i\}_{i \in \{1,\ldots,n\}}$ of d. Let $\mathcal{F}_d^{(n)}$ be the set of transverse n-tuples of flags, and note that $\mathcal{F}_d^{(n)}$is an open dense subset of $\mathcal{F}_d^n$.

Two transverse flags (a, b) determine a decomposition of $\mathbb{R}^d$ as sum of lines $\{L_i(a,b)\}_{i=1}^d$ where

$$L_i(a,b) = a^i \cap b^{d-i+1}$$

for all i. A basis $\varepsilon_b^a = \{e_i\}$ for $\mathbb{R}^d$ is *consistent* with $(a,b) \in \mathcal{F}_d^{(2)}$ if $e_i \in L_i(a,b)$ for all i, or, equivalently, if

$$a^j = \langle e_1, \ldots, e_j \rangle \quad \text{and} \quad b^j = \langle e_d, \ldots, e_{d-j+1} \rangle$$

for all j. In particular, the choice of basis is well-defined up to scalar multiplication of basis elements.

2.4. Limit maps

Labourie [20] associates a limit map from $\partial_\infty \pi_1(S)$ into $\mathcal{F}_d$ to every Hitchin representation. This map encodes many crucial properties of the representation.

Theorem 2.2. (Labourie [20]) *If $\rho \in \mathcal{H}_d(S)$, then there exists a unique continuous ρ-equivariant map $\xi_\rho : \partial_\infty \pi_1(S) \to \mathcal{F}_d$, such that:*

(1) *(Proximality) If $\gamma \in \pi_1(S) - \{1\}$, then $\rho(\gamma)$ is purely loxodromic and*

$$\xi^i_\rho(\gamma^+) = \langle e_1(\rho(\gamma)), \dots, e_i(\rho(\gamma))\rangle$$

for all i, where $\gamma^+ \in \partial_\infty \pi_1(S)$ is the attracting fixed point of γ.

(2) *(Hyperconvexity) If $x_1, \dots, x_k \in \partial_\infty \pi_1(S)$ are distinct and $m_1 + \dots + m_k = d$, then*

$$\xi^{m_1}(x_1) \oplus \dots \oplus \xi^{m_j}(x_j) \oplus \dots \oplus \xi^{m_k}(x_k) = \mathbb{R}^d.$$

Notice that if $\gamma \in \pi_1(S) - \{1\}$ and $\gamma^\pm \in \partial_\infty \pi_1(S)$ are its attracting and repelling fixed points, then $\rho(\gamma)$ is diagonal with respect to any basis consistent with $(\xi_\rho(\gamma^+), \xi_\rho(\gamma^-))$. Moreover, if σ is in the Fuchsian locus, then $\sigma(\gamma)$ has a lift to $\mathsf{SL}_d(\mathbb{R})$ all of whose eigenvalues are positive. Therefore, if $\rho \in \mathcal{H}_d(S)$, then $\rho(\gamma)$ has a lift to $\mathsf{SL}_d(\mathbb{R})$ with positive eigenvalues and we define

$$\lambda_1(\rho(\gamma)) > \lambda_2(\rho(\gamma)) > \dots > \lambda_d(\rho(\gamma)) > 0$$

to be the eigenvalues of this specific lift.

It will also be useful to note that any Hitchin representation $\rho : \pi_1(S) \to \mathsf{PSL}_d(\mathbb{R})$ can be lifted to a representation $\tilde{\rho} : \pi_1(S) \to \mathsf{SL}_d(\mathbb{R})$. Moreover, Hitchin [18, Section 10] observed that every Hitchin component lifts to a component of $\mathrm{Hom}^{\mathrm{red}}(\pi_1(S), \mathsf{SL}_d(\mathbb{R}))/\mathsf{SL}_d(\mathbb{R})$.

2.5. Other Lie groups and other length functions

More generally, if G is a split, real simple adjoint Lie group, Hitchin [18] studies the component

$$\mathcal{H}(S, \mathsf{G}) \subset \mathrm{Hom}^{\mathrm{red}}(\pi_1(S), \mathsf{G})/\mathsf{G}$$

which contains the composition of a Fuchsian representation into $\mathsf{PSL}_2(\mathbb{R})$ with an irreducible representation of $\mathsf{PSL}_2(\mathbb{R})$ into G and shows that it is an analytic manifold diffeomorphic to $\mathbb{R}^{(2g-2)\dim(\mathsf{G})}$.

If $\rho \in \mathcal{H}_d(S)$, then we define the *contragredient* representation $\rho^* \in \mathcal{H}_d(S)$ by $\rho^*(\gamma) = \rho(\gamma^{-1})^T$ for all $\gamma \in \pi_1(S)$. The *contragredient involution* of $\mathcal{H}_d(S)$ takes ρ to ρ^*.

We define the *self dual Hitchin representations* – and accordingly the *self dual Hitchin component* $\mathcal{SH}_d(S)$ – to be the fixed points of the contragredient involution. Since the contragredient involution is an isometry of the pressure metric (see Proposition 8.3), $\mathcal{SH}_d(S)$ is a totally geodesic submanifold of $\mathcal{H}_d(S)$.

Observe then that if ρ is a self dual Hitchin representation and $\gamma \in \pi_1(S)$, then the eigenvalues $\lambda_1(\rho(\gamma)), \dots, \lambda_d(\rho(\gamma))$ satisfy $\lambda_i^{-1}(\rho(\gamma)) = \lambda_{d-i+1}(\rho(\gamma))$ for all i. On the other hand, Theorem 1.2 in [6] implies that if $\lambda_1^{-1}(\rho(\gamma)) = \lambda_d(\rho(\gamma))$ for all γ, then ρ is conjugate to its contragredient ρ^*. Notice that the contragredient involution fixes each

point in $\mathcal{H}(S, \mathsf{PSp}(2d, \mathbb{R}))$, $\mathcal{H}(S, \mathsf{PSO}(d, d+1))$, and $\mathcal{H}(S, \mathsf{G}_{2,0})$ considered as subsets of $\mathcal{H}(S, \mathsf{PSL}(2d, \mathbb{R}))$, $\mathcal{H}(S, \mathsf{PSL}(2d+1, \mathbb{R}))$, and $\mathcal{H}(S, \mathsf{PSL}(7, \mathbb{R}))$ respectively. Conversely, a self dual representation, being conjugate to its contragredient, is not Zariski dense, hence belongs to such a subset by a result of Guichard [16]. In particular, $\mathcal{SH}_{2d}(S) = \mathcal{H}(S, \mathsf{PSp}(2d, \mathbb{R}))$ and $\mathcal{SH}_{2d+1}(S) = \mathcal{H}(S, \mathsf{PSO}(d, d+1))$.

In our work on isometries of the intersection function, it will be useful to consider the *Hilbert length* $L^H_\gamma(\rho)$ of $\rho(\gamma)$ when $\gamma \in \pi_1(S)$ and $\rho \in \mathcal{H}_d(S)$, where

$$L^H_\gamma(\rho) := \log \lambda_1(\rho(\gamma)) - \log \lambda_d(\rho(\gamma)) \ ,$$

and similarly the *Hilbert length spectrum* as a function on free homotopy classes.[1] Notice that $L^H_\gamma(\rho) = L^H_{\gamma^{-1}}(\rho) = L^H_\gamma(\rho^*)$. One readily observes that a representation is self dual if and only if $L^H_\gamma(\rho) = 2L_\gamma(\rho)$ for all non-trivial $\gamma \in \pi_1(S)$.

3. Transverse bases

In this section, we prove a strong transversality property for ordered quadruples of flags in the limit curve of a Hitchin representation, which we regard as a generalization of the hyperconvexity property established by Labourie [20] (see Theorem 2.2). (Recall that any pair (a, b) of transverse flags determines a decomposition of $\mathbb{R}^d$ into a sum of d lines $L_1(a, b) \oplus \cdots \oplus L_d(a, b)$ where $L_i(a, b) = a^i \cap b^{d-i+1}$.)

Theorem 1.6. *Let ρ be a Hitchin representation of dimension d and let (a, x, y, b) be four cyclically ordered points in the limit curve of ρ, then any d lines in*

$$\{L_1(a, b), \ldots, L_d(a, b), L_1(x, y), \ldots, L_d(x, y)\}$$

are in general position.

The proof of Theorem 1.6 relies on the theory of positivity developed by Lusztig [23] and applied to representations of surface groups by Fock and Goncharov [13]. It will follow from a more general result for positive quadruples of flags, see Theorem 3.6.

Remark: When $\rho \in \mathcal{H}_3(S)$, there exists a strictly convex domain Ω_ρ in $\mathbb{RP}^2$ with C^1 boundary so that $\rho(\pi_1(S))$ acts properly discontinuously and cocompactly on Ω_ρ, see Benoist [1] and Choi-Goldman [9]. If ξ_ρ is the limit map of ρ, then ξ^1_ρ identifies $\partial_\infty \pi_1(S)$ with $\partial\Omega_\rho$, while $\xi^2_\rho(z)$ is the plane spanned by the (projective) tangent line to $\partial\Omega_\rho$ at $\xi^1_\rho(z)$. In this case, Theorem 1.6 is an immediate consequence of the strict convexity of Ω_ρ, since if x and y lie in the limit curve, then $L_1(x, y) = x^1$, $L_3(x, y) = y^1$ and $L_2(x, y)$ is the intersection of the tangent lines to Ω_ρ at x^1 and y^1. Moreover, one easily observes

[1] This is called the Hilbert length, since when $d = 3$ it is the length of the closed geodesic in the homotopy class of γ in the Hilbert metric on the strictly convex real projective structure on S with holonomy ρ, see, for example, Benoist [1, Proposition 5.1].

that the analogue of Theorem 1.6 does not hold for cyclically ordered quadruples of the form (a, x, b, y).

3.1. Components of positivity

Given a flag a, we define the *Schubert cell* $B_a \subset \mathcal{F}_d$ to be the set of all flags transverse to a. Let U_a be the group of unipotent elements in the stabilizer of a, i.e. the set of unipotent upper triangular matrices with respect to a basis $\{e_i\}$ consistent with a. If $b \in B_a$, we can assume that $\{e_i\}$ is consistent with (a, b), so it is apparent that the stabilizer of b in U_a is trivial. The lemma below follows easily.

Lemma 3.1. *If $b \in B_a$, then $B_a = U_a(b)$. Moreover, the map*

$$h_b : U_a \to B_a$$

defined by $h_b(u) = u(b)$ is a diffeomorphism.

Suppose that $(a, b) \in \mathcal{F}_d^{(2)}$ and ε_b^a is a basis consistent with the pair (a, b). Recall that $A \in \mathsf{SL}_d(\mathbb{R})$ is *totally positive* with respect to ε_b^a, if every minor in its matrix with respect to the basis ε_b^a is positive. Similarly, we say that $A \in \mathsf{SL}_d(\mathbb{R})$ is *totally non-negative* with respect to ε_b^a, if every minor in its matrix with respect to the basis ε_b^a is non-negative. Let $U(\varepsilon_b^a)_{\geqslant 0} \subset U_a$ be the set of totally non-negative unipotent upper triangular matrices with respect to ε_b^a. We say that a minor is an *upper minor* with respect to ε_b^a if it is non-zero for some element of $U(\varepsilon_b^a)_{\geqslant 0}$. We then let $U(\varepsilon_b^a)_{>0}$ be the subset of $U(\varepsilon_b^a)_{\geqslant 0}$ consisting of elements all of whose upper minors with respect to ε_b^a are positive. Moreover, let $\Delta(\varepsilon_b^a)_{>0}$ be the group of matrices which are diagonalizable with respect to ε_b^a with positive eigenvalues. Notice that although $U(\varepsilon_b^a)_{>0}$ and $U(\varepsilon_b^a)_{\geqslant 0}$ depend on the choice of basis ε_b^a, $\Delta(\varepsilon_b^a)_{>0}$ depends only on the pair (a, b). Lusztig [23] proves that

Lemma 3.2. (Lusztig [23, Sec. 2.12, Sec. 5.10]) *If $(a, b) \in \mathcal{F}_d^{(2)}$ and ε_b^a is a basis consistent with the pair (a, b), then*

$$U(\varepsilon_b^a)_{\geqslant 0} U(\varepsilon_b^a)_{>0} \subset U(\varepsilon_b^a)_{>0} \quad \text{and} \quad \overline{U(\varepsilon_b^a)_{>0}} = U(\varepsilon_b^a)_{\geqslant 0} \subset U_a.$$

If $i \neq j$ and $t \in \mathbb{R}$, the elementary Jacobi matrix $J_{ij}(t)$ with respect to $\varepsilon_b^a = \{e_i\}$ is the matrix such that $J_{ij}(t) = e_j + te_i$ and $J_{ij}(t)(e_k) = e_k$ if $k \neq j$. If $i < j$ and $t > 0$, then $J_{ij}(t) \in U(\varepsilon_b^a)_{\geqslant 0}$. Moreover, $U(\varepsilon_b^a)_{\geqslant 0}$ is generated by elementary Jacobi matrices of this form (see, for example, [14, Thm. 12]). So,

(1) the semigroup $U(\varepsilon_b^a)_{\geqslant 0}$ is connected, and
(2) if $g \in \Delta(\varepsilon_b^a)$, then $gU(\varepsilon_b^a)_{>0}g^{-1} = U(\varepsilon_b^a)_{>0}$.

We define the *component of positivity* for ε_b^a as

$$V(\varepsilon_b^a) := U(\varepsilon_b^a)_{>0}(b).$$

Lusztig [23, Thm. 8.14] (see also Lusztig [24, Lem. 2.2]) identifies $V(\varepsilon_b^a)$ with a component of the intersection $B_a \cap B_b$ of two opposite Schubert cells.

Lemma 3.3. (Lusztig [23, Thm. 8.14]) *If $(a, b) \in \mathcal{F}_d^{(2)}$ and ε_b^a is a basis consistent with the pair (a, b), then $V(\varepsilon_b^a)$ is a connected component of $B_a \cap B_b$.*

3.2. Positive configurations of flags

We now recall the theory of positive configurations of flags as developed by Fock and Goncharov [13].

A triple $(a, x, b) \in \mathcal{F}_d^{(3)}$ is *positive* with respect to a basis ε_b^a consistent with (a, b) if $x = u(b)$ for some $u \in U(\varepsilon_b^a)_{>0}$. If $x \in V(\varepsilon_b^a)$, we define

$$V(a, x, b) = V(\varepsilon_b^a)$$

and notice that $V(a, x, b)$ is the component of $B_a \cap B_b$ which contains x. A triple $(a, x, b) \in \mathcal{F}_d^{(3)}$ is then *positive* if it is positive with respect to some basis ε_b^a consistent with (a, b).

More generally, a $(n+2)$-tuple $(a, x_n, \dots, x_1, b) \in \mathcal{F}_d^{(n+2)}$ of flags is *positive* if there exist a basis ε_b^a consistent with (a, b) and $u_i \in U(\varepsilon_b^a)_{>0}$ so that $x_p = u_1 \cdots u_p(b)$ for all p. By construction, the set of $(n+2)$-tuples of flags is connected. Since $U(\varepsilon_b^a)_{>0}$ is a semi-group, (a, x_i, b) is a positive triple for all i and, more generally, $(a, x_{i_1}, \dots, x_{i_k}, b)$ is a positive $(k+2)$-tuple whenever $1 \leqslant i_i < \cdots < i_k \leqslant n$.

Fock and Goncharov showed that the positivity of a n-tuple is invariant under the action of the dihedral group on n elements.

Proposition 3.4. (Fock-Goncharov [13, Thm. 1.2]) *If $(a_1, \dots, a_n)$ is a positive n-tuple of flags in $\mathcal{F}_d$, then $(a_2, a_3, \dots, a_n, a_1)$ and $(a_n, a_{n-1}, \dots, a_1)$ are both positive as well.*

As a consequence, we see that every sub k-tuple of a positive n-tuple is itself positive.

Corollary 3.5. *If $(a_1, \dots, a_n)$ is a positive n-tuple of flags in $\mathcal{F}_d$ and $1 \leqslant i_1 < i_2 < \cdots < i_k \leqslant n$, then $(a_{i_1}, a_{i_2}, \dots, a_{i_k})$ is positive.*

Proof. It suffices to prove that every sub $(n-1)$-tuple of a positive n-tuple is positive. By Proposition 3.4, we may assume that the sub $(n-1)$-tuple has the form $(a_1, a_3, \dots, a_n)$ and we have already seen that this $(n-1)$-tuple is positive. □

The main result of the section can now be formulated more generally as a result about positive quadruples. Its proof will be completed in Section 3.7.

Theorem 3.6. [Transverse bases for quadruples] *Let (a, x, y, b) be a positive quadruple in $\mathcal{F}_d$, then any d lines in*

$$\{L_1(a,b), \dots, L_d(a,b), L_1(x,y), \dots, L_d(x,y)\}$$

are in general position.

3.3. Positive maps

If Σ is a cyclically ordered set with at least 4 elements, a map $\gamma : \Sigma \to \mathcal{F}_d$ is said to be *positive* if whenever (z_1, z_2, z_3, z_4) is an ordered quadruple in Σ, then its image $(\gamma(z_1), \gamma(z_2), \gamma(z_3), \gamma(z_4))$ is a positive quadruple in $\mathcal{F}_d^{(4)}$.

For example, given an irreducible representation

$$\tau_d : \mathsf{PSL}_2(\mathbb{R}) \to \mathsf{PSL}_d(\mathbb{R})$$

the τ_d-equivariant *Veronese embedding*

$$\nu_\tau : \partial\mathbf{H}^2 = \mathbf{P}^1(\mathbb{R}) \to \mathcal{F}_d$$

(where ν_τ takes the attracting fixed point of $g \in \mathsf{PSL}_2(\mathbb{R})$ to the attracting fixed point of $\tau_d(g)$) is a positive map. More generally, Fock and Goncharov, see also Labourie-McShane [21, Appendix B], showed that the limit map of a Hitchin representation is positive.

Theorem 3.7. (Fock-Goncharov [13, Thm 1.15]) *If $\rho \in \mathcal{H}_d(S)$, then the associated limit map $\xi_\rho : \partial_\infty \pi_1(S) \to \mathcal{F}_d$ is positive.*

Notice that Theorem 1.6 follows immediately from Theorems 3.6 and 3.7.

We observe that one may detect the positivity of a n-tuple using only quadruples, which immediately implies that positive maps take cyclically ordered subsets to positive configurations.

Lemma 3.8. *If $n \geqslant 2$, then an $(n+2)$-tuple $(a, x_n, \dots, x_1, b)$ is positive if and only if (a, x_{i+1}, x_i, b) is positive for all $i = 1, \dots, n-1$.*

Proof. Corollary 3.5 implies that if $(a, x_n, \dots, x_1, b)$ is positive, then (a, x_{i+1}, x_i, b) is positive for all i.

Now suppose that (a, x_{i+1}, x_i, b) is positive for all $i = 1, \dots, n-1$. Since (a, x_2, x_1, b) is positive, there exists $u_1, u_2 \in U(\varepsilon_b^a)_{>0}$ so that $x_1 = u_1(b)$ and $x_2 = u_1 u_2(b)$. If we assume that there exists $u_i \in U(\varepsilon_b^a)_{>0}$, for all $i \leqslant k < n$, so that $x_p = u_1 \cdots u_p(b)$ for all $p \leqslant k$, then, since (a, x_{k+1}, x_k, b) is positive, there exists $u_{k+1}, v_k \in U(\varepsilon_b^a)_{>0}$ such

that $x_{k+1} = v_k u_{k+1}(b)$ and $x_k = v_k(b)$. However, Lemma 3.1 implies that $v_k = u_1 \cdots u_k$. Iteratively applying this argument, we see that $(a, x_n, \ldots, x_1, b)$ is positive. □

Corollary 3.9. *If Σ is a cyclically ordered set, $f : \Sigma \to \mathcal{F}_d$ is a positive map and $(a_1, \ldots, a_n)$ is a cyclically ordered n-tuple in Σ, then $(f(a_1), f(a_2), f(a_3), \ldots, f(a_n))$ is a positive n-tuple in $\mathcal{F}_d$.*

The following result allows one to simplify the verification that a map of a finite set into $\mathcal{F}_d$ is positive, see also Section 5.11 in Fock-Goncharov [13]

Proposition 3.10. *Let P be a finite set in $\partial_\infty \mathbf{H}^2$ and $\mathcal{T}$ be an ideal triangulation of the convex polygon spanned by P. A map $f : P \to \mathcal{F}_d$ is positive if whenever (x, y, z, w) are the (cyclically ordered) vertices of two ideal triangles in $\mathcal{T}$ which share an edge, then $(f(x), f(y), f(z), f(w))$ is a positive quadruple.*

Proof. Suppose $\mathcal{T}'$ is obtained from $\mathcal{T}$ by replacing an internal edge of $\mathcal{T}$ by an edge joining the opposite vertices of the adjoining triangles. In this case, we say that $\mathcal{T}'$ is obtained from $\mathcal{T}$ by performing an *elementary move*. Label the vertices of the original edge by a and b and the vertices of the new edge by x and y, so that the vertices occur in the order (a, x, b, y) in $\partial_\infty \mathbf{H}^2$. If the edge (y, a) abuts another triangle with additional vertex z, then (a, x, y, z) is a cyclically ordered collection of points in P which are the vertices of two ideal triangles in $\mathcal{T}'$ which share an edge. By our original assumption on $\mathcal{T}$, $(f(a), f(x), f(b), f(y))$ and $(f(a), f(b), f(y), f(z))$ are positive, so, by Proposition 3.4, $(f(y), f(a), f(x), f(b))$ and $(f(y), f(z), f(a), f(b))$ are positive. Lemma 3.8 then implies that $(f(y), f(z), f(a), f(x), f(b))$ is positive. One may similarly check that all the images of cyclically ordered vertices of two ideal triangles which share an edge in $\mathcal{T}'$ have positive image. Since any two ideal triangulations can be joined by a sequence of triangulations so that consecutive triangulations differ by an elementary move, any ordered sub-quadruple of P has positive image. Therefore, f is a positive map. □

3.4. Complementary components of positivity

If $(a, b) \in \mathcal{F}_d^{(2)}$ and $\varepsilon_b^a = \{e_i\}$ is a basis consistent with (a, b), then one obtains a complementary basis $\sigma(\varepsilon_b^a) = \{(-1)^i e_i\}$ which is also consistent with (a, b). We first observe that for a positive sextuple (x, y, a, u, v, b), then the components of positivity for (a, b) containing $\{u, v\}$ and $\{x, y\}$ are associated to complementary bases. The proof proceeds by first checking the claim for configurations in the image of a Veronese embedding and then applying a continuity argument.

Lemma 3.11. *If (x, y, a, u, v, b) is a positive sextuple of flags and ε_b^a is a basis consistent with (a, b) so that $V(\varepsilon_b^a)$ contains $\{u, v\}$, then $V(\sigma(\varepsilon_b^a))$ contains $\{x, y\}$.*

Proof. Consider the irreducible representation $\tau_d : \mathsf{PSL}_2(\mathbb{R}) \to \mathsf{PSL}_d(\mathbb{R})$ taking matrices diagonal in the standard basis for $\mathbb{R}^2$ to matrices diagonal with respect to ε^a_b. This gives rise to a Veronese embedding $\nu_\tau : \partial\mathbf{H}^2 = S^1 \to \mathcal{F}_d$ taking ∞ to a and 0 to b.

The involution of $\mathcal{F}_d$ induced by conjugating by the diagonal matrix D, in the basis ε^a_b, with entries $((-1)^i)$ interchanges the components of $\nu_\tau(S^1) - \{a, b\}$ and interchanges $V(\varepsilon^a_b)$ and $V(\sigma(\varepsilon^a_b))$. Therefore, our result holds when x, y, u and v lie in the image of ν_τ.

Since ν_τ is positive and the set of positive sextuples is connected, there is a family of positive maps $\xi_t : \{x, y, a, u, v, b\} \to \mathcal{F}_d$ so that the image of ξ_0 lies on the image of the Veronese embedding and $\xi_1 = \mathrm{Id}$. Since $\mathsf{PSL}_d(\mathbb{R})$ acts transitively on space of pairs of transverse flags, we may assume that $\xi_t(a) = a$ and $\xi_t(b) = b$ for all t. Notice that each of $\xi_t(\{x, y\})$ and $\xi_t(\{u, v\})$ lies in a component of $B_a \cap B_b$ for all t. Since $\xi_1(\{u, v\}) \subset V(\varepsilon^a_b)$, $\xi_t(\{u, v\}) \subset V(\varepsilon^a_b)$ for all t. Since $\xi_0(\{u, v\}) \subset V(\varepsilon^a_b)$ and $\xi_0(x, y, a, u, v, b)$ lies in the image of an Veronese embedding, $\xi_0(\{x, y\}) \subset V(\sigma(\varepsilon^a_b))$, which in turn implies that $\xi_t(\{x, y\}) \subset V(\sigma(\varepsilon^a_b))$ for all t. □

We next observe that the closures of complementary components of positivity intersect in at most one point within an associated Schubert cell.

Proposition 3.12. *If $(a, b) \in \mathcal{F}^{(2)}_d$ and ε^a_b is a basis consistent with (a, b), then*

$$B_a \cap \overline{V(\varepsilon^a_b)} \cap \overline{V(\sigma(\varepsilon^a_b))} = \{b\}.$$

Proof. By Lemma 3.1,

$$V(\varepsilon^a_b) = h_b(U(\varepsilon^a_b)_{>0}) \subset h_b(U(\varepsilon^a_b)_{\geqslant 0}) \subset h_b(U_a) = B_a$$

and $h_b(U(\varepsilon^a_b)_{\geqslant 0})$ is a closed subset of B_a, since h_b is a diffeomorphism. So

$$B_a \cap \overline{V(\varepsilon^a_b)} \subset h_b(U(\varepsilon^a_b)_{\geqslant 0}) \quad \text{and} \quad B_a \cap \overline{V(\sigma(\varepsilon^a_b))} \subset h_b(U(\sigma(\varepsilon^a_b))_{\geqslant 0}).$$

Thus, again since h_b is a diffeomorphism,

$$\begin{aligned} B_a \cap \overline{V(\varepsilon^a_b)} \cap \overline{V(\sigma(\varepsilon^a_b))} &\subset h_b(U(\varepsilon^a_b)_{\geqslant 0}) \cap h_b\left(U(\sigma(\varepsilon^a_b))_{\geqslant 0}\right) \\ &= h_b\left(U(\varepsilon^a_b)_{\geqslant 0} \cap U(\sigma(\varepsilon^a_b))_{\geqslant 0}\right) \\ &= \left(U(\varepsilon^a_b)_{\geqslant 0} \cap U(\sigma(\varepsilon^a_b))_{\geqslant 0}\right)(b) \end{aligned}$$

So Proposition 3.12 follows from the following lemma:

Lemma 3.13.

$$U(\varepsilon^a_b)_{\geqslant 0} \cap U(\sigma(\varepsilon^a_b))_{\geqslant 0} = \{I\}.$$

Proof. Let $A = (a_{ij}) \in U(\varepsilon_b^a)_{\geqslant 0} \cap U(\sigma(\varepsilon_b^a))_{\geqslant 0}$ be written with respect to the basis ε_b^a. Notice that if we let $\overline{a_{ij}}$ be the matrix coefficients for A with respect to the basis $\sigma(\varepsilon_b^a)$, then $a_{ij} = (-1)^{i+j}\overline{a_{ij}}$. It follows immediately that $a_{ij} = 0$ if $i + j$ is odd.

If $A \neq I$, let $a_{ij} > 0$ be a non-zero off-diagonal term which is closest to the diagonal, *i.e.* $a_{lj} = 0$ if $l \neq j$ and $l > i$ and $a_{il} = 0$ if $l \neq i$ and $l < j$. Then, by the above, $j \neq i+1$. If $l \in (i, j)$, we consider the minor

$$\begin{bmatrix} a_{il} & a_{ij} \\ a_{ll} & a_{lj} \end{bmatrix} = \begin{bmatrix} 0 & a_{ij} \\ 1 & 0 \end{bmatrix}$$

which has determinant $-a_{ij}$, so contradicts the fact that A is totally non-negative. □

3.5. Nesting of components of positivity

We will need a strict containment property for components of positivity associated to positive quintuples.

Proposition 3.14. *If (a, x, z, y, b) is a positive quintuple in $\mathcal{F}_d$, then*

$$\overline{V(x, z, y)} \subset V(a, z, b).$$

We begin by establishing nesting properties for components of positivity associated to positive quadruples.

Lemma 3.15. *If (a, x, y, b) is a positive quadruple in $\mathcal{F}_d$, then*

$$V(x, y, b) \subset V(a, y, b) \quad \text{and} \quad V(a, x, y) \subset V(a, x, b)$$

Proof. Since (a, x, y, b) is a positive quadruple, there exists a basis ε_b^a for (a, b) and $u, v \in U(\varepsilon_b^a)_{>0}$ so that $y = u(b)$ and $x = u(v(b))$. Since $U(\varepsilon_b^a)_{>0}$ is a semi-group, $uv \in U(\varepsilon_b^a)_{>0}$ and $x, y \in V(\varepsilon_b^a) = U(\varepsilon_b^a)_{>0}(b)$.

Notice that $\varepsilon_y^a = u(\varepsilon_b^a) = \{u(e_i)\}$ is a basis consistent with (a, y) since $u(a) = a$, $u(b) = y$ and $\langle e_i \rangle = a^i \cap b^{d-i+1}$, so

$$\langle u(e_i) \rangle = u(a^i) \cap u(b^{d-i+1}) = a^i \cap y^{d-i+1}.$$

Let $W = uU(\varepsilon_b^a)_{>0}u^{-1}$, so $W = U(\varepsilon_y^a)_{>0}$. Therefore,

$$V(\varepsilon_y^a) = W(y) = uU(\varepsilon_b^a)_{>0}(u^{-1}(y)) = \left(uU(\varepsilon_b^a)_{>0}\right)(b) \subset U(\varepsilon_b^a)_{>0}(b) = V(\varepsilon_b^a)$$

where the inclusion follows from the fact that $U(\varepsilon_b^a)_{>0}$ is a semi-group and $u \in U(\varepsilon_b^a)_{>0}$. Moreover,

$$x \in V(\varepsilon_y^a) = \left(uU(\varepsilon_b^a)_{>0}\right)(b) \subset V(\varepsilon_b^a)$$

since $uv \in uU(\varepsilon_b^a)_{>0}$ and $x = u(v(b))$, so

$$V(a,x,y) = V(\varepsilon_y^a) \subset V(\varepsilon_b^a) = V(a,x,b).$$

Since (b,y,x,a) is also a positive quadruple, the same argument shows that $V(b,y,x) \subset V(b,y,a)$. Since $V(b,y,x) = V(x,y,b)$ and $V(b,y,a) = V(a,y,b)$, we conclude that

$$V(x,y,b) \subset V(a,y,b). \quad \square$$

We now analyze the limiting behavior of sequences of components of positivity.

Lemma 3.16. *Let $\{c_n\}$ and $\{z_n\}$ be sequences of flags so that to $\{c_n\}$ converges to a flag b and (c_n, z_n, b) is a positive triple of flags for all n. Then the Hausdorff limit of $\{\overline{V(c_n,z_n,b)}\}$ is the singleton $\{b\}$.*

Proof. We note that we can choose flags y_1, a and y_0 such that $(y_1, a, y_0, c_n, z_n, b)$ is a positive sextuple of flags. Since (a, c_n, z_n, b) and (c_n, z_n, b, a) are positive, Lemma 3.15 implies that

$$V(c_n,z_n,b) \subset V(a,z_n,b) \cap V(c_n,z_n,a)$$

for all n, so

$$\overline{V(c_n,z_n,b)} \subset \overline{V(a,z_n,b)} \cap \overline{V(c_n,z_n,a)}.$$

After extracting a subsequence, we may assume that $\left\{\overline{V(c_n,z_n,b)}\right\}$ converges to a Hausdorff limit H. It is enough to prove that $H = \{b\}$. Notice that, since each $V(c_n,z_n,b)$ is connected, H must be connected.

Notice that, for all n, $V(a,z_n,b) = V(a,y_0,b)$, since (a,y_0,z_n,b) is positive, and $V(c_n,z_n,a) = V(c_n,y_1,a)$, since (c_n,z_n,y_1,a) is positive. Since $\{B_{c_n}\}$ converges to B_c, $\left\{\overline{V(c_n,z_n,a)}\right\} = \left\{\overline{V(c_n,y_1,a)}\right\}$ converges to $\overline{V(b,y_1,a)}$. Therefore,

$$\{b\} \subset H \subset \overline{V(a,y_0,b)} \cap \overline{V(b,y_1,a)}.$$

However, Lemma 3.11 and Proposition 3.12 together imply that

$$B_a \cap \overline{V(a,y_0,b)} \cap \overline{V(b,y_1,a)} = \{b\}.$$

Since B_a is an open neighborhood of b and H is connected, we conclude that $H = \{b\}$. $\square$

Proof of Proposition 3.14. We note that if $(a, x_n, \ldots, x_1, b)$ is positive with respect to the basis ε_b^a with $x_n = vb$ for $v \in U(\varepsilon_b^a)_{>0}$, if $u \in U(\varepsilon_b^a)_{>0}$ then $(a, vu(x_1), x_n, \ldots, x_1, b)$

is positive. Since positivity is invariant under cyclic permutations, we may add flags in any position to a positive n-tuple to obtain a positive $(n+1)$-tuple.

Choose c and e so that (a, c, x, z, y, e, b) is positive and let g be an element in $\Delta(\varepsilon_e^c)_{>0}$. We observe that $(a, c, g(y), g(z), e, b)$ is positive.

Lemma 3.17. *If (a, c, x, z, e, b) is a positive sextuple in $\mathcal{F}_d$ and $g \in \Delta(\varepsilon_e^c)_{>0}$, then $(a, c, g(x), g(z), e, b)$ is positive.*

Proof. Identify $(a, c, g(x), g(z), e, b)$ with the cyclically ordered vertices of an ideal hexagon in $\mathbb{H}^2$ and consider the triangulation $\mathcal{T}$ all of whose internal edges have an endpoint at e. Proposition 3.10 implies that it suffices to check that $(c, g(x), g(z), e)$, $(c, g(x), e, a)$, and (a, c, e, b) are positive quadruples, to guarantee that $(a,c, g(x), g(z),e, b)$ is positive.

Since (c, x, z, e) is positive, there exists $u, v \in U(\varepsilon_e^c)_{>0}$ so that $x = vu(e)$ and $z = v(e)$. If we let $u' = gug^{-1}$ and $v' = gvg^{-1}$, then $u', v' \in U(\varepsilon_e^c)_{>0}$ (see property (2) in Section 3.1). One checks that

$$v'u'(e) = (gvg^{-1})(gug^{-1}) = g(vu)(g^{-1}(e)) = g(vu(e)) = g(x), \quad \text{and}$$
$$v'(e) = (gvg^{-1})(e) = gv(g^{-1}(e)) = g(v(e)) = g(z),$$

so $(c, g(x), g(z), e)$ is a positive quadruple.

Since (c, x, e, a) is a positive quadruple, there exists $u, v \in U(\varepsilon_a^c)_{>0}$ so that $x = vu(a)$ and $e = v(a)$. Notice that $v(\varepsilon_a^c) = \varepsilon_e^c$, so $v^{-1}gv \in \Delta(\epsilon_a^c)$, which implies that $u' = (v^{-1}gv)u(v^{-1}gv)^{-1} \in U(\varepsilon_a^c)_{>0}$. Notice that

$$g(x) = gvu(a) = v(v^{-1}gv)u(a) = v(v^{-1}gv)u(v^{-1}gv)^{-1}(a) = vu'(a) \quad \text{and} \quad e = v(a),$$

so $(c, g(x), e, a)$ is positive. Since we already know that (a, c, e, b) is positive, this completes the proof. □

Since (x, z, y, e) and (c, x, z, e) are positive, Lemma 3.15 implies that

$$V(x, z, y) \subset V(x, z, e) \subset V(c, z, e).$$

We may further choose g so that e is an attractive point, in which case, its basin of attraction is B_c. In particular, since $x, z \in V(c, z, e) \subset B_c$,

$$\lim_{n\to\infty} g^n(x) = \lim_{n\to\infty} g^n(z) = e.$$

Proposition 3.16 and Lemma 3.17 then imply that

$$\left\{\overline{V(g^n(x), g^n(z), e)}\right\} \longrightarrow \{e\},$$

as $n \to \infty$. Since $V(x, z, y) \subset V(x, z, e)$,

$$V(g^n(x), g^n(z), g^n(y)) = g^n(V(x, z, y) \subset g^n(V(x, z, e)) = V(g^n(x), g^n(z), e),$$

so

$$\left\{\overline{V(g^n(x), g^n(z), g^n(y))}\right\} \longrightarrow \{e\}.$$

Since B_c contains a neighborhood of e, we see that

$$\overline{V(g^n(x), g^n(z), g^n(y))} \subset B_c,$$

for all large enough n. So,

$$\overline{V(x, z, y)} = g^{-n}\left(\overline{V(g^n(x), g^n(z), g^n(y))}\right) \subset g^{-n}(B_c) = B_c.$$

Symmetric arguments show that

$$\overline{V(x, z, y)} \subset B_e$$

So, $\overline{V(x, z, y)}$ is a connected subset of $B_c \cap B_e$ which contains z. Therefore,

$$\overline{V(x, z, y)} \subset V(c, z, e).$$

Since (a, c, z, e) and (a, z, e, b) are positive, Lemma 3.15 gives that

$$V(c, z, e) \subset V(a, z, e) \subset V(a, z, b)$$

which completes the proof. □

3.6. Rearrangements of flags

Given a pair (x, y) of transverse flags in $\mathcal{F}_d$, one obtains a decomposition of $\mathbb{R}^d$ into lines $\{L_i(x, y)\}$. By rearranging the ordering of the lines, one obtains a collection of flags including x and y. Formally, if P is a permutation of $\{1, \ldots, d\}$, then one obtains flags $\mathbf{F}_0(P(x, y))$ and $\mathbf{F}_1(P(x, y))$ given by

$$\mathbf{F}_0(P(x, y))^r = \langle L_{P(1)}(x, y), \ldots, L_{P(r)}(x, y)\rangle$$

and

$$\mathbf{F}_1(P(x, y))^r = \langle L_{P(d)}(x, y), \ldots, L_{P(d-r+1)}(x, y)\rangle$$

for all r.

We will see that if (a, x, y, b) is positive, then $(a, \mathbf{F}_1(P(x, y)), b)$ is also positive. We begin by considering the case where P is a transposition.

Lemma 3.18. *If $(x, z, y,)$ is a positive triple in $\mathcal{F}_d$, $i < j$ and $P_{i,j}$ is a transposition interchanging i and j, then*

$$\mathbf{F}_1(P_{i,j}(x, y)) \in \overline{V(x, z, y)}$$

In particular, if (a, x, z, y, b) is a positive quintuple in $\mathcal{F}_d$, then $\mathbf{F}_1(P_{i,j}(x, y)) \in V(a, z, b)$.

Proof. Let ε_y^x be a basis for (x, y) so that $V(x, z, y) = V(\varepsilon_y^x)$ and let $\varepsilon_y^x = \{e_i\}$. Let $J_{ij}(t)$ be the elementary Jacobi matrix with respect to $\{e_i\}$, *i.e.* $J_{ij}(t)(e_j) = e_j + te_i$ and $J_{ij}(t)(e_k) = e_k$ if $k \neq j$. Since

$$y^{d-k} = \langle e_{k+1}, \dots, e_d \rangle,$$

we see that

$$J_{ij}(t)(y^{d-k}) = \langle e_{k+1}, \dots, e_i, \dots, e_j + te_i, \dots, e_n \rangle = y^{d-k} = \mathbf{F}_1(P_{i,j}(x, y))^{d-k}$$

for all $k < i$,

$$J_{ij}(t)(y^{d-k}) = \langle e_{k+1}, \dots, e_d \rangle = y^{d-k} = \mathbf{F}_1(P_{i,j}(x, y))^{d-k}$$

for all $k \geqslant j$, and

$$J_{ij}(t)(y^{d-k}) = \langle e_{k+1}, \dots, e_j + te_i, \dots, e_d \rangle$$

for all $i \leqslant k < j$. Therefore,

$$\lim_{t\to\infty} J_{ij}(t)(y^{d-k}) = \langle e_{k+1}, \dots, e_{j-1}, e_i, e_{j+1}, \dots, e_d \rangle = \mathbf{F}_1(P_{i,j}(x, y))^{d-k},$$

for all $i \leqslant k < j$, so

$$\lim_{t\to\infty} J_{ij}(t)(y) = \mathbf{F}_1(P_{i,j}(x, y)).$$

Since $J_{ij}(t) \in U(\varepsilon_y^x)_{\geqslant 0}$ for all $t > 0$ and $U(\varepsilon_y^x)_{\geqslant 0} U(\varepsilon_y^x)_{>0} \subset U(\varepsilon_y^x)_{>0}$, by Lemma 3.2, $J_{ij}(t)(y) \in V(x, z, y)$ for all $t > 0$, so $\mathbf{F}_1(P_{i,j}(x, y)) \in \overline{V(x, z, y)}$. Lemma 3.14 implies that $\overline{V(x, z, y)} \subset V(a, z, b)$, so $\mathbf{F}_1(P_{i,j}(x, y)) \in V(a, z, b)$. □

With the help of an elementary group-theoretic lemma, we may generalize the argument above to handle all permutations.

Lemma 3.19. *If (a, x, z, y, b) is a positive quintuple in $\mathcal{F}_d$ and P is a permutation of $\{1, \dots, d\}$, then*

$$\mathbf{F}_1(P(x, y)) \in \overline{V(x, z, y)} \subset V(a, z, b).$$

Proof. Let ε_y^x be a basis for (x, y) so that $V(x, z, y) = V(\varepsilon_y^x)$ and let $\varepsilon_y^x = \{e_i\}$. Suppose that Q is a permutation such that

$$\mathbf{F}_1(Q(x, y)) \in \overline{V(x, z, y)} \subseteq V(a, z, b).$$

We first observe, as in the proof of Lemma 3.18, that if $n > m$, then

$$\lim_{t\to\infty} J_{mn}(t)\mathbf{F}_1(Q(x, y)) = \mathbf{F}_1(\hat{Q}(x, y))$$

where $\hat{Q} = Q$ if $Q^{-1}(m) > Q^{-1}(n)$ and $\hat{Q} = P_{m,n}Q$ otherwise. Since $J_{mn}(t) \in U(\varepsilon_y^x)_{\geqslant 0}$ if $t > 0$ and $U(\varepsilon_y^x)_{\geqslant 0}U(\varepsilon_y^x)_{>0} \subset U(\varepsilon_y^x)_{>0}$,

$$J_{mn}(t)(V(x, z, y)) \subset V(x, z, y) \ ,$$

for all $t > 0$, which implies that

$$J_{mn}(t)\left(\overline{V(x, z, y)}\right) \subset \overline{V(x, z, y)}$$

for all $t > 0$. Therefore,

$$\mathbf{F}_1(\hat{Q}(x, y)) \in \overline{V(x, z, y)} \subseteq V(a, z, b).$$

We use the following elementary combinatorial lemma.

Lemma 3.20. *If P is a permutation of $\{1, \dots, d\}$, then we may write*

$$P = P_{i_k,j_k} \cdots P_{i_1,j_i} \ .$$

So that $i_l < j_l$ for all l and moreover

$$Q_{l-1}^{-1}(i_l) < Q_{l-1}^{-1}(j_l) \ ,$$

where $Q_{l-1} := P_{i_{l-1},j_{l-1}} \cdots P_{i_1,j_1}$.

We now complete the proof using Lemma 3.20. Let $P = P_{i_k,j_k} \cdots P_{i_1,j_i}$ as in Lemma 3.20. Lemma 3.18 implies that

$$\mathbf{F}_1(Q_1(x, y)) \in \overline{V(x, z, y)} \subseteq V(a, z, b)$$

and we may iteratively apply the observation above to conclude that

$$\mathbf{F}_1(Q_l(x, y)) \in \overline{V(x, z, y)} \subseteq V(a, z, b)$$

for all l, which implies that

$$\mathbf{F}_1(P(x,y)) \in \overline{V(x,z,y)} \subseteq V(a,z,b)$$

which completes the proof of Lemma 3.19. □

Proof of Lemma 3.20. We proceed by induction on d. So assume our claim hold for permutations of $\{1,\dots,d-1\}$.

Let $r = P^{-1}(1)$ and, if $r \neq 1$, let

$$P_1 = P_{1,r}P_{1,r-1}\cdots P_{1,2}$$

and let $P_1 = id$ if $r = 1$. Notice that P_1 has the desired form, $P_1^{-1}(1) = r$ and if $m,n \in \{1,\dots,d\} - \{r\}$ and $m < n$, then $P_1^{-1}(m) < P_1^{-1}(n)$. Let $\hat{P}_2$ be the restriction of PP_1^{-1} to $\{2,\dots,d\}$. By our inductive claim, $\hat{P}_2 = \hat{P}_{i_k,j_k}\cdots\hat{P}_{i_1,j_i}$ where $i_l < j_l$ for all l and if $\hat{Q}_{l-1} := \hat{P}_{i_{l-1}j_{l-1}}\cdots\hat{P}_{i_1j_1}$, then $\hat{Q}_{l-1}^{-1}(i_l) < \hat{Q}_{l-1}^{-1}(j_l)$. One may extend each $\hat{P}_{i_l,j_l}$ to a transposition P_{i_1,j_l} of $\{1,\dots,d\}$ by letting 1 be taken to itself. We then note that

$$P = (P_{i_k,j_k}\cdots P_{i_1,j_i})P_{1,r}P_{1,r-1}\cdots P_{1,2}$$

has the desired form. □

Remark. Notice that Lemma 3.18 is enough to prove Theorem 3.6 in the case that you choose exactly one line from $\{L_i(x,y)\}$ and $d-1$ lines from amongst $\{L_i(a,b)\}$. (If we choose z so that (a,x,z,y,b) is an positive quintuple of flags, Lemma 3.18 implies that $\mathbf{F}_1(P_{j,d}(x,y)) \in V(a,z,b)$, so $(a,\mathbf{F}_1(P_{j,d}(x,y)),b)$ is a transverse triple of flags. So, for any j and k, $a^{k-1} \oplus \mathbf{F}_1(P_{j,d}(x,y))^1 \oplus b^{d-k} = \mathbb{R}^d$, which is enough to establish the special case of Theorem 3.6.) This simple case is enough to prove all the results in section 4. The full statement is only used in the proof of Lemma 6.3, and this use of the general result may be replaced by an application of Labourie's Property H, see [20].

3.7. Transverse bases for quadruples

We now restate and prove Theorem 3.6.

Theorem 3.6 *Let (a,x,y,b) be a positive quadruple in $\mathcal{F}_d$, then any d lines in*

$$\{L_1(a,b),\dots,L_d(a,b),L_1(x,y),\dots,L_d(x,y)\}$$

are in general position.

Proof. If

$$I \in \mathcal{I} = \{(i_1,\dots,i_k) \in \mathbb{Z}^k \mid 1 \leqslant i_1 < \cdots < i_k \leqslant d\}.$$

Let

$$e_I(a,b) = e_{i_1}(a,b) \wedge \cdots \wedge e_{i_k}(a,b).$$

Then our claim is equivalent to the claim that $e_I(a,b) \wedge e_J(x,y) \neq 0$ if $I, J \in \mathcal{I}$ and $|I| + |J| = d$ (where $|(i_1, \ldots, i_k)| = k$).

Let A be the matrix with coefficients $A^i_j = \langle e^i(a,b) | e_j(x,y) \rangle$. If $I, K \in \mathcal{I}$ and $|I| = |K|$, then let A^I_K be the submatrix of A given by the intersection of the rows with labels in I and the columns with labels in K.

If $I, J \in \mathcal{I}$ and $|I| + |J| = d$, then, since

$$e_j(x,y) = \sum_{i=1}^{d} A^i_j e_i(a,b),$$

we see that

$$e_I(a,b) \wedge e_J(x,y) = \pm \det(A^{D-I}_J) e_D(a,b)$$

where $D = (1, 2, \ldots, d)$. So, it suffices to prove that all the minors of A are non-zero. Notice that since our bases are well-defined up to (non-zero) scalar multiplication of the elements, the fact that the minors are non-zero is independent of our choice of bases.

We first show that all initial minors are non-zero. A square submatrix A^K_J is called *initial* if both J and K are contiguous blocks in D and $J \cup K$ contains 1, *i.e.* it is square submatrix which borders the first column or row. An *initial minor* is the determinant of an initial square submatrix.

If A^{D-I}_J is initial and J contains 1, then

$$J = (1, \ldots, l) \quad \text{and} \quad I = (1, 2, \ldots, r, d-s+1, d-s+2, \ldots, d)$$

where $r + s + l = d$. (Notice that either r or s may be 0.) Since $(a, b, x) \in \mathcal{F}^{(3)}_d$,

$$a^r \oplus b^s \oplus x^l = \mathbb{R}^d,$$

so

$$e_I(a,b) \wedge e_J(x,y) \neq 0$$

which implies that $\det(A^{D-I}_J) \neq 0$.

If $D - I$ contains a 1 and J does not contain a 1, then

$$\begin{aligned} I &= (l+1, l+2, \ldots, d) \\ D - I &= (1, \ldots, l)\ , \\ J &= (j+1, j+2, \ldots, j+l)\ , \end{aligned}$$

where $j, l \geqslant 1$ and $j + l \leqslant d$. Let P be any permutation such that

$$\mathbf{F}_1(P(x,y))^l = \langle e_{j+1}(x,y), \dots, e_{j+l}(x,y) \rangle .$$

Then, by Lemma 3.19, $(a, \mathbf{F}_1(P(x,y)), b)$ is a transverse triple of flags. It follows that

$$b^{d-l} \oplus \mathbf{F}_1(P(x,y))^l = \mathbb{R}^d,$$

and hence that

$$e_I(a,b) \wedge e_J(x,y) \neq 0,$$

so again $\det(A_J^{D-I}) \neq 0$. Therefore we have shown that all the initial minors of A are non-zero.

We claim that if $\xi_0 = \nu_\tau$ is the Veronese embedding with respect to an irreducible representation τ_d and (a_0, x_0, y_0, b_0) is an ordered quadruple in $\xi_0(\mathbf{P}^1(\mathbb{R}))$, then one may choose bases $\{e_i(a_0, b_0)\}$ and $\{e_i(x_0, y_0\}\}$ so that all the initial minors of the associated matrix A_0 are positive. We may assume that $a_0 = \xi_0(\infty)$, $x_0 = \xi_0(t)$, $y_0 = \xi_0(1)$ and $b_0 = \xi_0(0)$ where $t > 1$. Observe that one can choose bases $\{e_i(0, \infty)\}$ and $\{e_i(1,t)\}$ for $\mathbb{R}^2$ so that $M_0 = \left(\langle e^i(0,1) | e_j(1,t) \rangle\right)$ is totally positive. If we choose the bases

$$\{e_i(a_0, b_0) = e_1(0,\infty)^{d-i} e_2(0,\infty)^{i-1}\} \quad \text{and} \quad \{e_i(x_0, y_0) = e_1(1,t)^{d-i} e_2(1,t)^{i-1}\}$$

for $\mathbb{R}^d$, then $A_0 = \tau_d(M_0)$. The claim then follows from the fact that the image under τ_d of a totally positive matrix in $\mathsf{PSL}_2(\mathbb{R})$ is totally positive in $\mathsf{PSL}_d(\mathbb{R})$, see [13, Prop. 5.7].

We can now continuously deform $(a, x, y, b) = (a_1, x_1, y_1, b_1)$, through positive quadruples (a_t, x_t, y_t, b_t), to a positive quadruple (a_0, x_0, y_0, b_0) in the image of $\xi_0 = \nu_\tau$. One may then continuously choose bases $\{e_i(a_t, b_t)\}$ and $\{e_i(x_t, y_t)\}$ beginning at $\{e_i(a_0, b_0)\}$ and $\{e_i(x_0, y_0\}$ and terminating at bases $\{e_i(a, b)\}$ and $\{e_i(x, y)\}$ which we may assume are the bases used above. One gets associated matrices $\{A_t\}$ interpolating between A_0 and A. Since the initial minors of A_t are non-zero for all t and positive for $t = 0$, we see that the initial minors of A must be positive.

Gasca and Pena [15, Thm. 4.1] (see also Fomin-Zelevinsky [14, Thm. 9]) proved that a matrix is totally positive if and only if all its initial minors are positive. Therefore, A is totally positive, so all its minors are positive, hence non-zero, which completes the proof. □

4. Correlation functions for Hitchin representations

We define correlation functions which offer measures of the transversality of bases associated to images of collections of elements in $\pi_1(S)$. The results of the previous section can be used to give conditions guaranteeing that many of these correlation functions

are non-zero. We then observe that, if we restrict to certain 3-generator subgroups of $\pi_1(S)$, then the restriction of the Hitchin representation function to the subgroup is determined, up to conjugation, by correlation functions associated to the generators and the eigenvalues of the images of the generators.

If $\{\alpha_1, \ldots, \alpha_n\}$ is a collection of non-trivial elements of $\pi_1(S)$, $i_j \in \{0, 1, \ldots, d\}$ for all $1 \leqslant j \leqslant n$, and $\rho \in \mathcal{H}_d(S)$, we define the *correlation function*[2]

$$\mathbf{T}_{i_1,\ldots,i_n}(\alpha_1, \ldots, \alpha_n)(\rho) := \mathrm{Tr}\left(\prod_{j=1}^{n} \mathbf{p}_{i_j}(\rho(\alpha_j))\right),$$

where we adopt the convention that

$$\mathbf{p}_0(\rho(\alpha)) = \rho(\alpha).$$

Notice that if all the indices are non-zero, then $\mathbf{T}_{i_1,\ldots,i_n}(\alpha_1, \ldots, \alpha_n)(\rho)$ is well-defined, while if some indices are allowed to be zero, $\mathbf{T}_{i_1,\ldots,i_n}(\alpha_1, \ldots, \alpha_n)(\rho)$ is only well-defined up to sign. These correlations functions are somewhat more general than the correlation functions defined in the introduction as we allow terms which are not projection matrices.

4.1. Nontriviality of correlation functions

We say that a collection $\{\alpha_1, \ldots, \alpha_n\}$ of non-trivial elements of $\pi_1(S)$ has *non-intersecting axes* if whenever $i \neq j$, $(\alpha_i)_+$ and $(\alpha_i)_-$ lie in the same component of $\partial_\infty \pi_1(S) - \{(\alpha_j)_+, (\alpha_j)-\}$. Notice that $\{\alpha_1, \ldots, \alpha_n\}$ have non-intersecting axes whenever they are represented by mutually disjoint and non-parallel simple closed curves on S.

Theorem 1.6 has the following immediate consequence.

Corollary 4.1. *If $\rho \in \mathcal{H}_d(S)$, $\alpha, \beta \in \pi_1(S) - \{1\}$ and α and β have non-intersecting axes, then any d elements of*

$$\{e_1(\rho(\alpha)), \ldots, e_d(\rho(\alpha)), e_1(\rho(\beta)), \ldots, e_d(\rho(\beta))\}$$

span $\mathbb{R}^d$. In particular,

$$\langle e^i(\rho(\alpha)) | e_j(\rho(\beta)) \rangle \neq 0.$$

One can use Corollary 4.1 to establish that a variety of correlation functions are non-zero. Notice that the assumptions of Lemma 4.2 will be satisfied whenever α is

[2] The name "correlation function" does not bear any physical meaning here and just reflects the fact that the correlation function between eigenvalues of quantum observables is the trace of products of projections on the corresponding eigenspaces.

represented by a simple curve and α and γ are co-prime, i.e. $\alpha^n \neq \gamma^m$ for any $m, n \in \mathbb{Z} - \{0\}$.

Lemma 4.2. *If $\rho \in \mathcal{H}_d(S)$, $\alpha, \gamma \in \pi_1(S) - \{1\}$, α and $\gamma\alpha\gamma^{-1}$ have non-intersecting axes, and $i \in \{1, \dots, d\}$, then*

$$\mathbf{T}_{i,0}(\alpha, \gamma)(\rho) = \mathrm{Tr}\left(\mathbf{p}_i(\rho(\alpha))\rho(\gamma)\right) \neq 0.$$

Proof. Since

$$\mathrm{Tr}(\mathbf{p}_i(\rho(\alpha))\rho(\gamma)) = \langle e^i(\rho(\alpha))|\rho(\gamma)(e_i(\rho(\alpha)))\rangle = \langle e^i(\rho(\alpha))|e_i(\rho(\gamma\alpha\gamma^{-1}))\rangle\,,$$

the lemma follows immediately from Corollary 4.1 □

The next result deals with correlation functions which naturally arise when studying configurations of elements of $\pi_1(S)$ used in the proof of Theorem 1.1, see Fig. 1.

Proposition 4.3. *Suppose that $\rho \in \mathcal{H}_d(S)$, $\alpha, \beta, \delta \in \pi_1(S) - \{1\}$ have non-intersecting axes, and $i, j, k \in \{1, \dots, d\}$. Then*

(1)

$$\mathbf{T}_{ij}(\alpha, \beta)(\rho) = \mathrm{Tr}(\mathbf{p}_i(\rho(\alpha))\mathbf{p}_j(\rho(\beta))) \neq 0,$$

and

(2)

$$\mathbf{T}_{i,j,k}(\alpha, \beta, \delta)(\rho) = \mathrm{Tr}(\mathbf{p}_i(\rho(\alpha))\mathbf{p}_j(\rho(\beta))\mathbf{p}_k(\rho(\delta))) \neq 0.$$

Moreover, if $\gamma \in \pi_1(S) - \{1\}$ and β and $\gamma\delta\gamma^{-1}$ have non-intersecting axes, then

(3)

$$\mathbf{T}_{i,0,j}(\beta, \gamma, \delta)(\rho) = \mathrm{Tr}(\mathbf{p}_i(\rho(\beta))\rho(\gamma)\mathbf{p}_j(\rho(\delta))) \neq 0,$$

and

(4)

$$\mathbf{T}_{i,j,0,k}(\alpha, \beta, \gamma, \delta)(\rho) = \mathbf{T}_{j,0,k}(\beta, \gamma, \delta)(\rho)\left(\frac{\mathbf{T}_{i,j,k}(\alpha, \beta, \delta)(\rho)}{\mathbf{T}_{j,k}(\beta, \delta)(\rho)}\right) \neq 0.$$

Proof. Notice that

$$\mathrm{Tr}(\mathbf{p}_i(\rho(\alpha))\mathbf{p}_j(\rho(\beta))) = \langle e^i(\rho(\alpha))|e_j(\rho(\beta))\rangle\,\langle e^j(\rho(\beta))|e_i(\rho(\alpha))\rangle$$

for all i and j. Both of the terms on the right-hand side are non-zero, by Corollary 4.1, so

$$\mathbf{T}_{ij}(\alpha,\beta)(\rho) = \mathrm{Tr}(\mathbf{p}_i(\rho(\alpha))\mathbf{p}_j(\rho(\beta))) \neq 0.$$

Similarly,

$$\mathbf{T}_{i,j,k}(\alpha,\beta,\delta)(\rho) = \langle e^i(\rho(\alpha))|e_j(\rho(\beta))\rangle \langle e^j(\rho(\beta))|e_k(\rho(\delta))\rangle \langle e^k(\rho(\delta))|e_i(\rho(\alpha))\rangle$$

and Corollary 4.1 guarantees that each of the terms on the right hand side is non-zero, so (1) and (2) hold.

Since

$$\begin{aligned}\mathrm{Tr}(\mathbf{p}_i(\rho(\beta))\rho(\gamma)\mathbf{p}_j(\rho(\delta))) &= \langle e^i(\rho(\beta))|\rho(\gamma)(e_j(\rho(\delta)))\rangle \langle e^j(\rho(\delta))|e_i(\rho(\beta))\rangle \\ &= \langle e^i(\rho(\beta))|e_j(\rho(\gamma\delta\gamma^{-1}))\rangle \langle e^j(\rho(\delta))|e_i(\rho(\beta))\rangle\end{aligned}$$

Corollary 4.1 again guarantees that each of the terms on the right hand side is non-zero, so (3) holds.

Recall that if $P, Q, A \in \mathsf{SL}_d(\mathbb{R})$ and P and Q are projections onto lines, then

$$PAQ = \frac{\mathrm{Tr}(PAQ)}{\mathrm{Tr}(PQ)}PQ$$

if $\mathrm{Tr}(PQ) \neq 0$. (Suppose that P projects onto the line $\langle v\rangle$ with kernel the hyperplane V and Q project onto the line $\langle w\rangle$ with kernel the hyperplane W, then both PAQ and PQ map onto the line $\langle v\rangle$ and have W in their kernel and are therefore multiples of one another. The ratio of the traces detects this multiple.)

So, since $\mathrm{Tr}(\mathbf{p}_j(\rho(\beta))\mathbf{p}_k(\rho(\delta)) \neq 0$,

$$\mathbf{p}_j(\rho(\beta))\rho(\gamma)\mathbf{p}_k(\rho(\delta)) = \left(\frac{\mathrm{Tr}(\mathbf{p}_j(\rho(\beta))\rho(\gamma)\mathbf{p}_k(\rho(\delta)))}{\mathrm{Tr}(\mathbf{p}_j(\rho(\beta))\mathbf{p}_k(\rho(\delta)))}\right)\mathbf{p}_j(\rho(\beta))\mathbf{p}_k(\rho(\delta)).$$

Therefore,

$$\begin{aligned}\mathbf{T}_{i,j,0,k}(\alpha,\beta,\gamma,\delta)(\rho) &= \mathrm{Tr}(\mathbf{p}_i(\rho(\alpha))\mathbf{p}_j(\rho(\beta))\rho(\gamma)\mathbf{p}_k(\rho(\delta))) \\ &= \mathrm{Tr}\left(\mathbf{p}_i(\rho(\alpha))\left(\frac{\mathrm{Tr}(\mathbf{p}_j(\rho(\beta))\rho(\gamma)\mathbf{p}_k(\rho(\delta)))}{\mathrm{Tr}(\mathbf{p}_j(\rho(\beta))\mathbf{p}_k(\rho(\delta)))}\right)\mathbf{p}_j(\rho(\beta))\mathbf{p}_k(\rho(\delta))\right) \\ &= \mathrm{Tr}(\mathbf{p}_j(\rho(\beta))\rho(\gamma)\mathbf{p}_k(\rho(\delta)))\left(\frac{\mathrm{Tr}(\mathbf{p}_i(\rho(\alpha))\mathbf{p}_j(\rho(\beta))\mathbf{p}_k(\rho(\delta)))}{\mathrm{Tr}(\mathbf{p}_j(\rho(\beta))\mathbf{p}_k(\rho(\delta)))}\right) \\ &= \mathbf{T}_{j,0,k}(\beta,\gamma,\delta)(\rho)\left(\frac{\mathbf{T}_{i,j,k}(\alpha,\beta,\delta)(\rho)}{\mathbf{T}_{j,k}(\beta,\delta)(\rho)}\right).\end{aligned}$$

Since all the terms on the right hand side have already been proven to be non-zero, the entire expression is non-zero, which completes the proof of (4). □

4.2. Correlation functions and eigenvalues rigidity

We now observe that correlation functions and eigenvalues of images of elements determine the restriction of a Hitchin representation up to conjugation. Theorem 1.5 is a special case of Theorem 4.4.

Theorem 4.4. *Suppose that $\rho, \sigma \in \mathcal{H}_d(S)$ and $\alpha, \beta, \delta \in \pi_1(S) - \{1\}$ have non-intersecting axes. If*

(1) *$\lambda_i(\rho(\eta)) = \lambda_i(\sigma(\eta))$ for any $\eta \in \{\alpha, \beta, \delta\}$ and any $i \in \{1, \dots, d\}$, and*
(2) *for all i, j, k in $\{1, \dots, d\}$*

$$\frac{\mathbf{T}_{i,j,k}(\alpha, \beta, \delta)}{\mathbf{T}_{j,k}(\beta, \delta)}(\rho) = \frac{\mathbf{T}_{i,j,k}(\alpha, \beta, \delta)}{\mathbf{T}_{j,k}(\beta, \delta)}(\sigma),$$

then ρ and σ are conjugate, in $\mathsf{PGL}_d(\mathbb{R})$, on the subgroup $\langle \alpha, \beta, \delta \rangle$ of $\pi_1(S)$ generated by α, β and δ.

Proof. We will work in lifts of the restrictions of ρ and σ to $\langle \alpha, \beta, \delta \rangle$ so that the images of α, β and δ all have positive eigenvalues. We will abuse notation by referring to these lifts by simply ρ and σ. With this convention, $\lambda_i(\rho(\eta)) = \lambda_i(\sigma(\eta))$ for all i and any $\eta \in \{\alpha, \beta, \delta\}$. It suffices to prove that these lifts are conjugate in $\mathsf{GL}_d(\mathbb{R})$.

Let $a_i = e_i(\rho(\alpha))$, $a^i = e^i(\rho(\alpha))$, $b_j = e_j(\rho(\beta))$, $b^j = e^j(\rho(\beta))$, $d_k = e_k(\rho(\delta))$ and $d^k = e^k(\rho(\delta))$ for all i, j, k. Similarly let $\hat{a}_i = e_i(\sigma(\alpha))$, $\hat{a}^i = e^i(\sigma(\alpha))$, $\hat{b}_j = e_j(\sigma(\beta))$, $\hat{b}^j = e^j(\sigma(\beta))$, $\hat{d}_k = e_k(\sigma(\delta))$ and $\hat{d}^k = e^k(\sigma(\delta))$ for all i, j, k. With this notation,

$$\frac{\mathbf{T}_{i,j,k}(\alpha, \beta, \delta)(\rho)}{\mathbf{T}_{j,k}(\beta, \delta)(\rho)} = \frac{\langle a^i | b_j \rangle \langle b^j | d_k \rangle \langle d^k | a_i \rangle}{\langle b^j | d_k \rangle \langle d^k | b_j \rangle} = \frac{\langle a^i | b_j \rangle \langle d^k | a_i \rangle}{\langle d^k | b_j \rangle}$$

and

$$\frac{\mathbf{T}_{i,j,k}(\alpha, \beta, \delta)(\sigma)}{\mathbf{T}_{j,k}(\beta, \delta)(\sigma)} = \frac{\langle \hat{a}^i | \hat{b}_j \rangle \langle \hat{d}^k | \hat{a}_i \rangle}{\langle \hat{d}^k | \hat{b}_j \rangle},$$

so, by assumption,

$$\frac{\langle a^i | b_j \rangle \langle d^k | a_i \rangle}{\langle d^k | b_j \rangle} = \frac{\langle \hat{a}^i | \hat{b}_j \rangle \langle \hat{d}^k | \hat{a}_i \rangle}{\langle \hat{d}^k | \hat{b}_j \rangle} \tag{1}$$

We may conjugate σ and choose a_i, $\hat{a}_i$, b_1 and $\hat{b}_1$ so that $a_i = \hat{a}_i$ for all i (so $a^i = \hat{a}^i$ for all i), $b_1 = \hat{b}_1$ and $\langle a^i | b_1 \rangle = 1$ for all i. (Notice that this is possible since, by Corollary 4.1, b_1 does not lie in any of the coordinate hyperplanes of the basis $\{a_i\}$ and similarly $\hat{b}_1$ does not lie in any of the coordinate hyperplanes of the basis $\{\hat{a}_i\} = \{a_i\}$.) Therefore, since $\lambda_i(\rho(\alpha)) = \lambda_i(\sigma(\alpha))$ for all i, we see that $\rho(\alpha) = \sigma(\alpha)$.

Corollary 4.1 also assures us that $\langle d^k|b_1\rangle$ and $\langle \hat{d}^k|\hat{b}_1\rangle$ are non-zero, so we may additionally choose $\{d^k\}$ and $\{\hat{d}^k\}$ so that $\langle d^k|b_1\rangle = 1$ and $\langle \hat{d}^k|\hat{b}_1\rangle = 1$ for all k. Therefore, taking $j = 1$ in Equation (1), we see that

$$\langle d^k|a_i\rangle = \langle \hat{d}^k|\hat{a}_i\rangle = \langle \hat{d}^k|a_i\rangle$$

for all i and k. It follows that $d^k = \hat{d}^k$ for all k, which implies that $d_k = \hat{d}_k$ for all k. Again, since $\lambda_i(\rho(\delta)) = \lambda_i(\sigma(\delta))$ for all i, we see that $\rho(\delta) = \sigma(\delta)$.

Equation (1) then reduces to

$$\frac{\langle a^i|b_j\rangle}{\langle d^k|b_j\rangle} = \frac{\langle \hat{a}^i|\hat{b}_j\rangle}{\langle \hat{d}^k|\hat{b}_j\rangle} = \frac{\langle a^i|\hat{b}_j\rangle}{\langle d_k|\hat{b}_j\rangle}.$$

We may assume, again applying Corollary 4.1, that $\{b_j\}$ and $\{\hat{b}_j\}$ have been chosen so that

$$\langle a^1|b_j\rangle = \langle a^1|\hat{b}_j\rangle = 1$$

for all j, so, by considering the above equation with $i = 1$, we see that

$$\langle d^k|b_j\rangle = \langle d^k|\hat{b}_j\rangle$$

for all j and k, which implies that $b_j = \hat{b}_j$ for all j, and, again since eigenvalues agree, we may conclude that $\rho(\beta) = \sigma(\beta)$, which completes the proof. □

5. Asymptotic expansion of spectral radii

In this section we establish a useful asymptotic expansion for the spectral radii of families of matrices of the form A^nB.

Lemma 5.1. *Suppose that $A, B \in \mathsf{SL}_d(\mathbb{R})$ and that A is real-split and 2-proximal. If (b^i_j) is the matrix of B with respect to $\{e_i(A)\}_{i=1}^d$ and b^1_1, b^1_2, and b^2_1 are non-zero, then*

$$\frac{\lambda_1(A^nB)}{\lambda_1(A)^n} = b^1_1 + \frac{b^1_2 b^2_1}{b^1_1}\left(\frac{\lambda_2(A)}{\lambda_1(A)}\right)^n + o\left(\left(\frac{\lambda_2(A)}{\lambda_1(A)}\right)^n\right).$$

We begin by showing that the spectral radius is governed by an analytic function.

Lemma 5.2. *Suppose that $A, B \in \mathsf{SL}_d(\mathbb{R})$ and that A is real-split and proximal. If (b^i_j) is the matrix of B with respect to $\{e_i(A)\}_{i=1}^d$ and b^1_1 is non-zero, then there exists an open neighborhood $V \subseteq \mathbb{R}^{d-1}$ of the origin and an analytic function $f : V \to \mathbb{R}$ such that, for all sufficiently large n,*

$$\frac{\lambda_1(A^n B)}{\lambda_1(A)^n} = f(z_1^n, \dots, z_{d-1}^n)$$

where $z_i = \frac{\lambda_{i+1}(A)}{\lambda_1(A)}$ *for all* i.

Moreover, there exists an analytic function $X : V \to \mathbb{R}^d$ *such that* $X(z_1^n, \dots, z_{d-1}^n)$ *is an eigenvector of* $A^n B$ *with eigenvalue* $\lambda_1(A^n B)$ *for all sufficiently large* n.

Proof. The proof is based on the following elementary fact from linear algebra. A proof in the case that U is one-dimensional is given explicitly in Lax [22, Section 9, Theorem 8] but the proof clearly generalizes to our setting.

Lemma 5.3. *Suppose that* $\{M(u)\}_{u \in U}$ *is analytically varying family of* $d \times d$ *matrices, where* U *is an open neighborhood of* 0 *in* $\mathbb{R}^n$. *If* $M(0)$ *has a simple real eigenvalue* $\lambda_0 \neq 0$ *with associated unit eigenvector* X_0, *then there exists an open sub-neighborhood* $V \subseteq U$ *of* 0 *and analytic functions* $f : V \to \mathbb{R}$, *and* $X : V \to \mathbb{R}^d$ *such that* $f(0) = \lambda_0$, $X(0) = X_0$ *and* $f(v)$ *is a simple eigenvalue of* $M(v)$ *with eigenvector* $X(v)$ *for all* $v \in V$.

Let $U = \mathbb{R}^{d-1}$ and, for all $u \in U$, let $D(u)$ be the diagonal matrix, with respect to $\{e_i(A)\}$, with entries $(1, u_1, \dots, u_{d-1})$ and let $M(u) = D(u)B$ for all $u \in U$. Then $M(0)$ has b_1^1 as its only non-zero eigenvalue with associated unit eigenvector e_1. So we may apply Lemma 5.3 with $\lambda_0 = b_1^1$ and $X(0) = e_1$. Let V be the open neighborhood and $f : V \to \mathbb{R}$ and $X : V \to \mathbb{R}^d$ be the analytic functions provided by that lemma. Further, as $M(0)$ has only one non-zero eigenvalue, we can choose V such that the eigenvalue $f(u)$ is the maximum modulus eigenvalue of $M(u)$. For sufficiently large n, $(z_1^n, \dots, z_{d-1}^n) \in V$, and $\frac{A^n B}{\lambda_1(A)^n} = M(z_1^n, \dots, z_{d-1}^n)$. So, for all sufficiently large n, $f(z_1^n, \dots, z_{d-1}^n)$ is the eigenvalue of maximal modulus of $A^n B / \lambda_1(A)^n$ with associated eigenvector $X(z_1^n, \dots, z_{d-1}^n)$. □

Proof of Lemma 5.1. Since A is 2-proximal,

$$|\lambda_1(A)| > |\lambda_2(A)| \geqslant \lambda_3(A)| \dots \geqslant \lambda_d(A)|.$$

Let $f : V \to \mathbb{R}$ be the function provided by Lemma 5.2. If $z_i = \lambda_{i+1}(A)/\lambda_1(A)$, then $(z_1^n, \dots, z_{d-1}^n) \in V$, so

$$\frac{\lambda_1(A^n B)}{\lambda_1(A)^n} = f\left(z_1^n, \dots, z_{d-1}^n\right)$$

for all large enough n. Since f is analytic

$$f(u_1, \dots, u_{d-1}) = f(0) + \sum_{i=1}^{d-1} \frac{\partial f}{\partial u_i}(0) u_i + O(u_i u_j).$$

If

$$g(s) = f(s,0,\dots,0) = \lambda_1(D(1,s,0,\dots,0)B) = \lambda_1\left(\begin{bmatrix} b_1^1 & b_2^1 & b_3^1 & \dots & b_d^1 \\ sb_1^2 & sb_2^2 & sb_3^2 & \dots & sb_d^2 \\ 0 & 0 & 0 & \dots & 0 \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ 0 & 0 & 0 & \dots & 0 \end{bmatrix}\right)$$

$$= \lambda_1\left(\begin{bmatrix} b_1^1 & b_2^1 \\ sb_1^2 & sb_2^2 \end{bmatrix}\right),$$

then we see, by examining the characteristic equation, that

$$g(s)^2 - (b_1^1 + sb_2^2)g(s) + s(b_1^1b_2^2 - b_2^1b_1^2) = 0$$

Differentiating and applying the fact that $g(0) = f(0) = b_1^1$ yields

$$0 = 2g(0)g'(0) - b_1^1g'(0) - b_2^2g(0) + (b_1^1b_2^2 - b_2^1b_1^2) = b_1^1g'(0) - b_2^1b_1^2,$$

so

$$\frac{\partial f}{\partial u_1}(0) = g'(0) = \frac{b_2^1b_1^2}{b_1^1}.$$

Since $|z_i| < |z_1|$ for all $i \geqslant 2$,

$$\frac{\lambda_1(A^nB)}{\lambda_1(A)^n} = f\left(z_1^n,\dots,z_{d-1}^n\right) = f(0) + \sum_{i=1}^{d-1}\frac{\partial f}{\partial u_i}(0)z_i^n + o(z_1^n)$$

$$= b_1^1 + \frac{b_2^1b_1^2}{b_1^1}z_1^n + o\left(z_1^n\right). \quad \square$$

6. Simple lengths and traces

We show that two Hitchin representations have the same simple non-separating length spectrum if and only if they have the same simple non-separating trace spectrum. Moreover, in either case all eigenvalues of images of simple non-separating curves agree up to sign.

Theorem 6.1. *If $\rho \in \mathcal{H}_{d_1}(S)$ and $\sigma \in \mathcal{H}_{d_2}(S)$, then $|\operatorname{Tr}(\rho(\alpha))| = |\operatorname{Tr}(\sigma(\alpha))|$ for any $\alpha \in \pi_1(S)$ represented by a simple non-separating curve on S if and only if $L_\alpha(\rho) = L_\alpha(\sigma)$ for any $\alpha \in \pi_1(S)$ represented by a simple non-separating curve on S. In either case, $d_1 = d_2$, and $\lambda_i(\rho(\alpha)) = \lambda_i(\sigma(\alpha))$ for all i and any $\alpha \in \pi_1(S)$ represented by a simple non-separating curve on S.*

Theorem 6.1 follows immediately from Lemma 6.2, which shows that one can detect the length of a curve from the traces of a related family of curves, and Lemma 6.3, which obtains information about traces and eigenvalues from information about length. (Notice that every non-separating curve on S has geometric intersection number one with some other non-separating curve on S.)

Lemma 6.2. *Suppose that α and β are represented by simple based loops on S which intersect only at the basepoint and have geometric intersection one. If $\rho \in \mathcal{H}_{d_1}(S), \sigma \in \mathcal{H}_{d_2}(S)$ and $|\operatorname{Tr}(\rho(\alpha^n\beta))| = |\operatorname{Tr}(\sigma(\alpha^n\beta))|$ for all n, then $d_1 = d_2$ and $L_\alpha(\rho) = L_\alpha(\sigma)$. Moreover, $\lambda_i(\rho(\alpha)) = \lambda_i(\sigma(\alpha))$ for all i.*

Proof. We assume that $d_1 \leqslant d_2$. It suffices to prove our lemma for lifts of the restriction of ρ and σ to $\langle \alpha, \beta \rangle$ so that the all the eigenvalues of the images of α are positive. We will abuse notation by calling these lifts ρ and σ.

Since $\operatorname{Tr}(\rho(\alpha^n\beta)) = \epsilon(n)\operatorname{Tr}(\sigma(\alpha^n\beta))$ for all n, where $\epsilon(n) \in \{\pm 1\}$, we may expand to see that

$$\sum_{i=1}^{d_1} \lambda_i^n(\rho(\alpha)) \operatorname{Tr}(\mathbf{p}_i(\rho(\alpha))\rho(\beta)) = \epsilon(n) \sum_{i=1}^{d_2} \lambda_i^n(\sigma(\alpha)) \operatorname{Tr}(\mathbf{p}_i(\sigma(\alpha))\sigma(\beta))$$

for all n. Lemma 4.2 implies that $\operatorname{Tr}(\mathbf{p}_i(\rho(\alpha))\rho(\beta))$ and $\operatorname{Tr}(\mathbf{p}_i(\sigma(\alpha))\sigma(\beta))$ are non-zero for all i. There exists an infinite subsequence $\{n_k\}$ of integers, so that $\epsilon(n_k) = \epsilon$ is constant. Passing to limits as $n \to \infty$, and comparing the leading terms in descending order, we see that $\lambda_i(\rho(\alpha)) = \lambda_i(\sigma(\alpha))$ if $1 \leqslant i \leqslant d_1$. In particular, $L_\alpha(\rho) = L_\alpha(\sigma)$. If $d_1 < d_2$, then

$$\Pi_{i=1}^{d_1} \lambda_i(\rho(\alpha)) = \Pi_{i=1}^{d_2} \lambda_i(\sigma(\alpha)) = 1$$

which is impossible, since $\lambda_i(\rho(\alpha)) = \lambda_i(\sigma(\alpha))$ if $1 \leqslant i \leqslant d_1$ and

$$\lambda_i(\sigma(\alpha)) \leqslant \lambda_{d_1}(\sigma(\alpha)) = \lambda_{d_1}(\rho(\alpha)) < 1$$

if $d_1 < i \leqslant d_2$. Therefore $d_1 = d_2$. □

Lemma 6.3. *Suppose that γ and δ are represented by simple based loops on S which intersect only at the basepoint and have geometric intersection one. If $\rho \in \mathcal{H}_{d_1}(S), \sigma \in \mathcal{H}_{d_2}(S)$ and $L_\alpha(\rho) = L_\alpha(\sigma)$ whenever $\alpha \in \langle \gamma, \delta \rangle$ is represented by a simple non-separating based loop, then $d_1 = d_2$, $|\operatorname{Tr}(\rho(\alpha))| = |\operatorname{Tr}(\sigma(\alpha))|$ and $\lambda_i(\rho(\alpha)) = \lambda_i(\sigma(\alpha))$ for all i whenever $\alpha \in \langle \gamma, \delta \rangle$ is represented by a simple non-separating based loop.*

Proof. We assume that $d_1 \leqslant d_2$. If $\alpha \in \langle \gamma, \delta \rangle$ is represented by a simple, non-separating based loop, then there exists $\beta \in \langle \gamma, \delta \rangle$ so that β is represented by a simple based loop which intersects α only at the basepoint and α and β have geometric intersection one,

so $\alpha^n\beta$ is simple and non-separating for all n. It again suffices to prove our lemma for lifts of the restriction of ρ and σ to $\langle\alpha,\beta\rangle$ so that the all the eigenvalues of the images of α are positive.

Let $A=\rho(\alpha)$, $B=\rho(\beta)$, $\hat{A}=\sigma(\alpha)$, and $\hat{B}=\sigma(\beta)$. Let $\lambda_i=\lambda_i(A)$ and $\hat{\lambda}_i=\lambda_i(\hat{A})$. Let $e_i=e_i(A)$ and $\hat{e}_i=e_i(\hat{A})$ and let (b^i_j) be the matrix of B with respect to $\{e_i\}_{i=1}^{d_1}$ and $(\hat{b}^i_j)$ be the matrix of $\hat{B}$ with respect to $\{\hat{e}_i\}_{i=1}^{d_2}$. Let $\Omega=e_1\wedge e_2\wedge\ldots\wedge e_{d_1}\neq 0$ be the volume form associated to the basis $\{e_1\}_{i=1}^{d_1}$ for $\mathbb{R}^{d_1}$.

We begin by showing that $\lambda_2=\hat{\lambda}_2$. Notice that A and A^nB are real-split and 2-proximal for all n. We need the result of the following lemma to be able to apply Lemma 5.1.

Lemma 6.4. *Suppose that α and β are represented by simple based loops on S which intersect only at the basepoint and have geometric intersection one. If $\rho\in\mathcal{H}_d(S)$ and $B=(b^j_i)$ is a matrix representing $\rho(\beta)$ in the basis $\{e_i(\rho(\alpha)\}$, then b^1_1, b^1_2, and b^2_1 are all non-zero.*

Proof. Notice that $B(e_1)\wedge(e_2\wedge\ldots\wedge e_d)=b^1_1\Omega$. So, if $b^1_1=0$, then $B(e_1)$, which is a non-trivial multiple of $e_1(\rho(\beta\alpha\beta^{-1}))$, lies in the hyperplane spanned by $\{e_2,\ldots,e_d\}=\{e_2(\rho(\alpha)),\ldots,e_d(\rho(\alpha)\}$, which contradicts Corollary 4.1 (and also hyperconvexity). Notice that the fixed points of $\beta\alpha\beta^{-1}$ must lie in the same component of $\xi_\rho(S^1)-\{\alpha^+,\alpha^-\}$, since α is simple. Therefore, $b^1_1\neq 0$.

Similarly, $B(e_1)\wedge(e_1\wedge e_3\wedge\ldots\wedge e_d)=-b^2_1\Omega$. So, if $b^2_1=0$, then $e_1(\rho(\beta\alpha\beta^{-1}))$, lies in the hyperplane spanned by $\{e_1(\rho(\alpha)),e_3(\rho(\alpha)),\ldots,e_d(\rho(\alpha))\}$, which again contradicts Corollary 4.1. Therefore, $b^2_1\neq 0$.

Moreover, $B(e_2)\wedge(e_2\wedge e_3\wedge\ldots\wedge e_d)=b^1_2\Omega$. So, if $b^1_2=0$, then $e_2(\rho(\beta\alpha\beta^{-1}))$, lies in the hyperplane spanned by $\{e_1(\rho(\alpha)),e_3(\rho(\alpha),\ldots,e_d(\rho(\alpha)\}$, which again contradicts Corollary 4.1. Thus, $b^1_2\neq 0$. □

By assumption $|\lambda_1(A^nB)|=|\lambda_1(\hat{A}^n\hat{B})|$ for all n. Lemma 5.1 then implies that

$$\left|b^1_1+\frac{b^1_2b^2_1}{b^1_1}\left(\frac{\lambda_2}{\lambda_1}\right)^n+o\left(\left(\frac{\lambda_2}{\lambda_1}\right)^n\right)\right|=\left|\hat{b}^1_1+\frac{\hat{b}^1_2\hat{b}^2_1}{\hat{b}^1_1}\left(\frac{\hat{\lambda}_2}{\hat{\lambda}_1}\right)^n+o\left(\left(\frac{\hat{\lambda}_2}{\hat{\lambda}_1}\right)^n\right)\right|,$$

so $|b^1_1|=|\hat{b}^1_1|$. Comparing the second order terms, we see that

$$\frac{\lambda_2}{\lambda_1}=\frac{\hat{\lambda}_2}{\hat{\lambda}_1}.$$

Since, by assumption, $\lambda_1=\hat{\lambda}_1$, we see that $\lambda_2=\hat{\lambda}_2$.

We now assume that for some $k=2,\ldots,d_1-1$, $\lambda_i(\rho(\beta))=\lambda_i(\sigma(\beta))$ for all $i\leqslant k$ whenever $\beta\in\langle\gamma,\delta\rangle$ is represented by a simple, non-separating based loop. We will prove that this implies that $\lambda_i(\rho(\beta))=\lambda_i(\sigma(\beta))$ for all $i\leqslant k+1$ whenever $\beta\in\langle\gamma,\delta\rangle$ is

represented by a simple, non-separating based loop. Applying this iteratively will allow us to complete the proof.

Let $E^k(\rho)$ be the kth-exterior product representation. If $\alpha \in \langle \gamma, \delta \rangle$ is represented by a simple non-separating based loop, we again choose $\beta \in \langle \gamma, \delta \rangle$ so that β is represented by a simple based loop which intersects α only at the basepoint and α and β have geometric intersection one. We adapt the notations and conventions from the second paragraph of the proof.

Let $C = E^k(\rho)(\alpha)$, $D = E^k(\rho)(\beta)$, $\hat{C} = E^k(\sigma)(\alpha)$ and $\hat{D} = E^k(\sigma)(\beta)$. Notice that C is diagonal with respect to the basis $\{e_i(C)\}$ given by all k-fold wedge products of $\{e_1, \dots, e_d\}$ where $e_i = e_i(A)$ for all i. In particular, $\lambda_1(C) = \lambda_1(A) \cdots \lambda_k(A)$, $\lambda_2(C) = \lambda_1(A) \cdots \lambda_{k-1}(A)\lambda_{k+1}(A)$, $e_1(C) = e_1(A) \wedge \cdots \wedge e_k(A)$ and $e_2(C) = e_1(A) \wedge \cdots \wedge e_{k-1}(A) \wedge e_{k+1}(A)$. Since $\lambda_3(C)$ is given by either $\lambda_1(A) \cdots \lambda_{k-1}(A)\lambda_{k+2}(A)$ or $\lambda_1(A) \cdots \lambda_{k-2}(A)\lambda_k(A)\lambda_{k+1}(A)$, C is 2-proximal. Similarly, $\hat{C}$, CD^n and $\hat{C}\hat{D}^n$ are real-split and 2-proximal for all n.

Let (d^i_j) be the matrix for D in the basis $\{e_i\}$. We define $\hat{e}_i$ and $(\hat{d}^i_j)$ completely analogously. Notice that $D(e_1 \wedge e_2 \wedge \ldots \wedge e_k) \wedge (e_{k+1} \wedge \ldots \wedge e_{d_1}) = d^1_1 \Omega$. So, if $d^1_1 = 0$, then

$$B(\xi^k_\rho(\alpha_+)) \oplus \xi^{n-k}_\rho(\alpha_-) = \xi^k_\rho(\beta(\alpha_+)) \oplus \xi^{n-k}_\rho(\alpha_-) \neq \mathbb{R}^{d_1},$$

which would contradict the hyperconvexity of ξ_ρ. Therefore, $d^1_1 \neq 0$.

Furthermore, $D(e_1 \wedge e_2 \wedge \ldots \wedge e_k) \wedge (e_k \wedge e_{k+2} \wedge \ldots \wedge e_{d_1}) = -d^2_1 \Omega$. So, if $d^2_1 = 0$, then

$$\left\{L_1(\rho(\beta\alpha\beta^{-1})), \dots, L_k(\rho(\beta\alpha\beta^{-1})), L_k(\rho(\alpha)), L_{k+2}(\rho(\alpha)), \dots, L_{d_1}(\rho(\alpha))\right\}$$

does not span $\mathbb{R}^{d_1}$, which contradicts Corollary 4.1. Therefore, $d^2_1 \neq 0$

Similarly, $D(e_1 \wedge e_2 \wedge \ldots \wedge e_{k-1} \wedge e_{k+1}) \wedge (e_{k+1} \wedge e_{k+2} \wedge \ldots \wedge e_{d_1}) = d^1_2 \Omega$. So, if $d^1_2 = 0$, then

$$\left\{L_1(\rho(\beta\alpha\beta^{-1})), \dots, L_{k-1}(\rho(\beta\alpha\beta^{-1})), L_{k+1}(\rho(\beta\alpha\beta^{-1})), L_{k+1}(\rho(\alpha)), \dots, L_{d_1}(\rho(\alpha))\right\}$$

does not span $\mathbb{R}^{d_1}$, which contradicts Corollary 4.1. Thus $d^1_2 \neq 0$.

Analogous arguments imply that $\hat{d}^1_1$, $\hat{d}^2_1$ and $\hat{d}^1_2$ are all non-zero. Moreover, by our iterative assumption

$$|\lambda_1(C^n D)| = |\lambda_1(A^n B) \cdots \lambda_k(A^n B)| = |\lambda_1(\hat{A}^n \hat{B}) \cdots \lambda_k(\hat{A}^n \hat{B})| = |\lambda_1(\hat{C}^n \hat{D})|$$

for all n. We may again apply Lemma 5.1 to conclude that

$$\frac{\lambda_{k+1}}{\lambda_k} = \left|\frac{\lambda_2(C)}{\lambda_1(C)}\right| = \left|\frac{\lambda_2(\hat{C})}{\lambda_1(\hat{C})}\right| = \frac{\hat{\lambda}_{k+1}}{\hat{\lambda}_k}.$$

Since, by our inductive assumption, $\lambda_k = \hat{\lambda}_k$, we conclude that $\lambda_{k+1} = \hat{\lambda}_{k+1}$. Therefore, after iteratively applying our argument, we conclude that $\lambda_i(\rho(\alpha)) = \lambda_i(\sigma(\alpha))$ for all

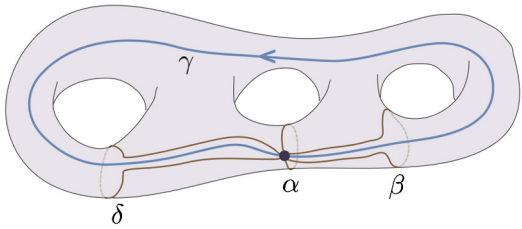

Fig. 1. Curves $\alpha, \beta, \gamma, \delta$.

$1 \leqslant i \leqslant d_1$. As in the proof of Lemma 6.2 it follows that $d_1 = d_2$. Therefore, $|\operatorname{Tr}(\rho(\alpha))| = |\operatorname{Tr}(\sigma(\alpha))|$. □

7. Simple length rigidity

We are now ready to establish our main results on simple length and simple trace rigidity. We begin by studying configurations of curves in the form pictured in Fig. 1.

Theorem 7.1. *Suppose that F is an essential, connected subsurface of S, and that $\alpha, \beta, \delta \in \pi_1(F) - \{1\}$ are represented by based simple loops in F which intersect only at the basepoint, and are freely homotopic to a collection of mutually disjoint and non-parallel, non-separating closed curves in F which do not bound a pair of pants in F. If $\rho, \sigma \in \mathcal{H}_d(S)$ and $|\operatorname{Tr}(\rho(\eta))| = |\operatorname{Tr}(\sigma(\eta))|$ whenever $\eta \in \pi_1(S)$ is represented by a simple closed curve in F, then ρ and σ are conjugate, in $\mathsf{PGL}_d(\mathbb{R})$, on the subgroup $< \alpha, \beta, \delta >$ of $\pi_1(S)$.*

Proof. We first show that we can replace α, β and δ with based loops in F, configured as in Fig. 1, which generate the same subgroup of $\pi_1(S)$. We then show that if α, β, γ and δ have the form in Fig. 1, then ρ and σ are conjugate on $\langle \alpha, \beta, \delta \rangle$.

Lemma 7.2. *Suppose that F is an essential, connected subsurface of S, and that $\alpha, \beta, \delta \in \pi_1(F) - \{1\}$ are represented by based simple loops in F which intersect only at the basepoint, and are freely homotopic to a collection of mutually disjoint and non-parallel, non-separating closed curves in F which do not bound a pair of pants in F. Then there exist based loops $\hat{\alpha}$, $\hat{\beta}$, $\hat{\gamma}$ and $\hat{\delta}$ in F which intersect only at the basepoint so that $\hat{\alpha}$, $\hat{\beta}$ and $\hat{\delta}$ are freely homotopic to a collection of mutually disjoint and non-parallel, non-separating closed curves, each has geometric intersection one with $\hat{\gamma}$ and*

$$\langle \hat{\alpha}, \hat{\beta}, \hat{\delta} \rangle = \langle \alpha, \beta, \delta \rangle .$$

Proof. We first assume one of the curves, say β, has the property that the other two curves lie on opposite sides of β, i.e. there exists a regular neighborhood N of β, so that α intersects only one component of $N - \beta$ and δ only intersects the other (see Fig. 2).

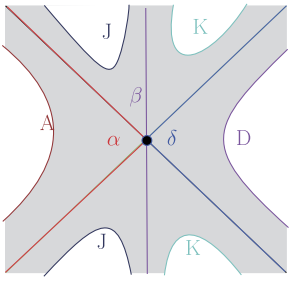

Fig. 2. A regular neighborhood of $\alpha \cup \beta \cup \delta$ when β locally separates α and δ.

(Notice that since the curves intersect exactly once and can be homotoped to be disjoint, α and δ each intersect exactly one component of $N - \{\beta\}$, if N is chosen small enough.)

Let F_1 be a regular neighborhood of $T = \alpha \cup \beta \cup \delta$. Then F_1 is a four-holed sphere and each component of $F_1 - T$ is an annulus. We label the boundary components A, D, J and K, where A is parallel to α, D is parallel to δ, J is parallel to the based loop $\beta\alpha^{\epsilon_1}$ and K is parallel to the based loop $\beta\delta^{\epsilon_2}$ for some $\epsilon_1, \epsilon_2 \in \{\pm 1\}$.

If A and D lie in the boundary of the same component of $F - F_1$, then one may extend an arc in $F - F_1$ joining A to D to a closed curve $\hat{\gamma}$ which intersects T only at the basepoint and intersects each of α, β and δ with geometric intersection one. In this case, we simply take $\hat{\alpha} = \alpha$, $\hat{\beta} = \beta$ and $\hat{\delta} = \delta$. We assume from now on that A and D do not lie in the same boundary component of $F - F_1$.

Since α is non-separating, A must lie in the boundary of a component G of $F - F_1$ which also has either J or K in its boundary. If the boundary of G contains J but not K, then β would separate F which would contradict our assumptions, so the boundary of G must contain K. (Recall that by assumption, the boundary of G cannot contain D.)

We may then extend an arc in G joining A to K to a closed curve $\hat{\gamma}$ which intersects T only at the basepoint and has geometric intersection one with α, β and K. Moreover, we may choose a based loop $\hat{\delta}$ in the (based) homotopy class of $\beta\delta^{\epsilon_2}$ which intersects α, β and $\hat{\gamma}$ only at the basepoint. In this case, let $\hat{\alpha} = \alpha$ and $\beta = \hat{\beta}$. A, β and K are simple, disjoint non-separating curves freely homotopic to $\hat{\alpha}$, $\hat{\beta}$ and $\hat{\delta}$. If K is parallel to A, then disjoint representative of α, β and δ would bound a pair of pants, which is disallowed. Moreover, since K is homotopic to $\beta\delta^{\epsilon_2}$ and β and δ are non-parallel simple closed curves, K cannot be parallel to β or δ. Since A and β are non-parallel, by assumption, A, β and K are mutually non-parallel as required.

We may now assume that if $\nu \in \{\alpha, \beta, \delta\}$, then there is a regular neighborhood of ν, so that the other two based loops only intersect one component of the regular neighborhood. Let F_1 be a regular neighborhood of T. Again, F_1 is a four-holed sphere and each component of $F_1 - T$ is an annulus. We label the components of the boundary of F_1 by A, B, D and E, where A is parallel to α, B is parallel to β, and D is parallel to δ (see Fig. 3). Since α is non-separating in F, there exists a component G of $F - F_1$ whose boundary contains A and at least one other component of the boundary of F_1.

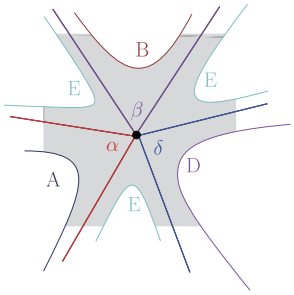

Fig. 3. A regular neighborhood of $\alpha \cup \beta \cup \delta$ when no curve locally separates.

If the boundary of G contains B, then one may extend an arc in G joining A to B to a curve $\hat{\gamma}$ which intersects T only at the basepoint and has geometric intersection one with α and β and geometric intersection zero with δ. Let $\hat{\delta}$ be a simple based loop in F_1 in the (based) homotopy class of $\alpha\delta^{\epsilon}$ for some $\epsilon \in \{\pm 1\}$ which intersects $\hat{\gamma}$ and T only at the basepoint. Since $\hat{\delta}$ has algebraic intersection ± 1 with $\hat{\gamma}$, it must have geometric intersection one with $\hat{\gamma}$. Let $\hat{\alpha} = \alpha$ and $\hat{\beta} = \beta$, then $\hat{\alpha}$, $\hat{\beta}$ and $\hat{\delta}$ are freely homotopic to the collection $\{A, B, \hat{\delta}\}$ of mutually disjoint, non-separating curves. Notice that A and B are non-parallel by our original assumption, while if $\hat{\delta}$ is parallel to A or B, then our original collection of curves would be freely homotopic to the boundary of a pair of pants, contradicting our original assumption. Therefore, A, B and $\hat{\delta}$ are non-parallel as required.

If the boundary of G, contains D, then we may perform the same procedure reversing the roles of β and δ. Therefore, we may assume that the boundary of G contains both A and E, but not B or D. Since β is non-separating and B is not in the boundary of G, there must be another component H of $F - F_1$ which has both B and D in its boundary. We then simply repeat the procedure above to construct a curve $\hat{\gamma}$ which intersects T only at the basepoint which has geometric intersection one with β and δ and geometric intersection zero with α. We then let $\hat{\alpha}$ be a simple based loop in F_1 intersecting $\hat{\gamma}$ only at the basepoint, in the based homotopy class of $\beta\alpha^{\epsilon}$ for some $\epsilon \in \{\pm 1\}$, which has geometric intersection one with $\hat{\gamma}$. Letting $\hat{\beta} = \beta$ and $\hat{\delta} = \delta$, we may complete the proof as in the previous paragraph. □

Notice that we may always re-order the curves produced by Lemma 7.2 so that $\hat{\alpha}^p\hat{\beta}^q\hat{\gamma}\hat{\delta}^r$ is represented by a simple non-separating curve in F for all $p, q, r \in \mathbb{Z}$. Moreover, our assumptions imply that $\hat{\alpha}$, $\hat{\beta}$ and $\hat{\delta}$ have non-intersecting axes and that $\hat{\beta}$ and $\hat{\gamma}\hat{\beta}\hat{\gamma}^{-1}$ have non-intersecting axes. Theorem 7.1 will then follow from the following result.

Proposition 7.3. *Suppose that $\alpha, \beta, \gamma, \delta \in \pi_1(S) - \{1\}$, α, β and δ have non-intersecting axes and that β and $\gamma\beta\gamma^{-1}$ have non-intersecting axes. If $\rho, \sigma \in \mathcal{H}_d(S)$ and $|\operatorname{Tr}(\rho(\alpha^p\beta^q\gamma\delta^r))| = |\operatorname{Tr}(\sigma(\alpha^p\beta^q\gamma\delta^r))|$ for all $p, q, r \in \mathbb{Z}$, then ρ and σ are conjugate, in $\mathsf{PGL}_d(\mathbb{R})$, on the subgroup $< \alpha, \beta, \delta >$ of $\pi_1(S)$.*

Proof. We may apply Lemma 6.2 to the pairs (α, γ), (β, γ) and (δ, γ) to conclude that $\lambda_i(\rho(\eta)) = \lambda_i(\sigma(\eta))$ for all i and any $\eta \in \{\alpha, \beta, \delta\}$. (Notice, for example, that for the pair (α, γ) our assumptions imply that $|\operatorname{Tr}(\rho(\alpha^n\gamma))| = |\operatorname{Tr}(\sigma(\alpha^n\gamma))|$ for all n, so the assumptions of Lemma 6.2 are satisfied.)

Combining the expansions

$$\rho(\alpha) = \sum_{i=1}^{d} \lambda_i(\rho(\alpha))\mathbf{p}_i(\rho(\alpha)) \quad \text{and} \quad \sigma(\alpha) = \sum_{i=1}^{d} \lambda_i(\sigma(\alpha))\mathbf{p}_i(\sigma(\alpha))$$

with our assumption that $|\operatorname{Tr}(\rho(\alpha^p\beta^q\gamma\delta^r))| = |\operatorname{Tr}(\sigma(\alpha^p\beta^q\gamma\delta^r))|$ for all $p, q, r \in \mathbb{Z}$, we see that

$$\sum_{i=1}^{d} \lambda_i^p(\rho(\alpha)) \operatorname{Tr}\left(\mathbf{p}_i(\rho(\alpha))\rho(\beta^q\gamma\delta^r)\right) = \pm \sum_{i=1}^{d} \lambda_i^p(\sigma(\alpha)) \operatorname{Tr}\left(\mathbf{p}_i(\sigma(\alpha))\sigma(\beta^q\gamma\delta^r)\right)$$

for all $p, q, r \in \mathbb{N}$. Since $\rho(\alpha)$ and $\sigma(\alpha)$ are purely loxodromic and $\lambda_i(\rho(\alpha)) = \lambda_i(\sigma(\alpha))$ for all i, we may fix q and r, let p tend to $+\infty$ and consider terms of the same order to conclude that

$$\operatorname{Tr}\left(\mathbf{p}_i(\rho(\alpha))\rho(\beta^q\gamma\delta^r)\right) = \pm \operatorname{Tr}\left(\mathbf{p}_i(\sigma(\alpha))\sigma(\beta^q\gamma\delta^r)\right) \tag{2}$$

for all $i \in \{1, \dots, d\}$ and all $q, r \in \mathbb{N}$. Similarly, we expand Equation (2) to see that, for all i,

$$\sum_{j=1}^{d} \lambda_j^q(\rho(\beta)) \operatorname{Tr}\left(\mathbf{p}_i(\rho(\alpha))\mathbf{p}_j(\rho(\beta))\rho(\gamma\delta^r)\right) = \pm \sum_{j=1}^{d} \lambda_j^q(\sigma(\beta)) \operatorname{Tr}\left(\mathbf{p}_i(\sigma(\alpha))\mathbf{p}_j(\sigma(\beta))\sigma(\gamma\delta^r)\right)$$

and consider terms of the same order as $q \to +\infty$ to conclude that

$$\operatorname{Tr}\left(\mathbf{p}_i(\rho(\alpha))\mathbf{p}_j(\rho(\beta))\rho(\gamma\delta^r)\right) = \pm \operatorname{Tr}\left(\mathbf{p}_i(\sigma(\alpha))\mathbf{p}_i(\sigma(\beta))\sigma(\gamma\delta^r)\right)$$

for all $i, j \in \{1, \dots, d\}$ and $r \in \mathbb{N}$. Expanding this last equation and letting r tend to $+\infty$, we finally conclude that

$$\operatorname{Tr}(\mathbf{p}_i(\rho(\alpha))\mathbf{p}_j(\rho(\beta))\rho(\gamma)\mathbf{p}_k(\rho(\delta))) = \pm \operatorname{Tr}(\mathbf{p}_i(\sigma(\alpha))\mathbf{p}_j(\sigma(\beta))\sigma(\gamma)\mathbf{p}_k(\sigma(\delta)))$$

for all $i, j, k \in \{1, \dots, d\}$, i.e.

$$\mathbf{T}_{i,j,0,k}(\alpha, \beta, \gamma, \delta)(\rho) = \pm \mathbf{T}_{i,j,0,k}(\alpha, \beta, \gamma, \delta)(\sigma) \tag{3}$$

for all $i, j, k \in \{1, \dots, d\}$.

We similarly expand the equation

$$\operatorname{Tr}(\rho(\beta^q\gamma\delta^r)) = \pm \operatorname{Tr}(\sigma(\beta^q\gamma\delta^r))$$

to see that

$$\mathbf{T}_{j,0,k}(\beta,\gamma,\delta)(\rho) = \pm\mathbf{T}_{j,0,k}(\beta,\gamma,\delta)(\sigma) \tag{4}$$

for all j and k.

Recall, from part (4) of Proposition 4.3, that

$$\mathbf{T}_{i,j,0,k}(\alpha,\beta,\gamma,\delta)(\rho) = \mathbf{T}_{j,0,k}(\beta,\gamma,\delta)(\rho)\left(\frac{\mathbf{T}_{i,j,k}(\alpha,\beta,\delta)(\rho)}{\mathbf{T}_{j,k}(\beta,\delta)(\rho)}\right) \neq 0$$

for all $\rho \in \mathcal{H}_d(S)$ and $i,j,k \in \{1,\dots,d\}$, so we may conclude from Equations (3) and (4) that

$$\frac{\mathbf{T}_{i,j,k}(\alpha,\beta,\delta)(\rho)}{\mathbf{T}_{j,k}(\beta,\delta)(\rho)} = \pm\frac{\mathbf{T}_{i,j,k}(\alpha,\beta,\delta)(\sigma)}{\mathbf{T}_{j,k}(\beta,\delta)(\sigma)}$$

for all $i,j,k \in \{1,\dots,d\}$.

We may join ρ to σ by a path $\{\rho_t\}$ of Hitchin representations. So, since $\frac{\mathbf{T}_{i,j,k}(\alpha,\beta,\delta)(\rho_t)}{\mathbf{T}_{j,k}(\beta,\delta)(\rho_t)}$ is non-zero for all t, again by Proposition 4.3, and varies continuously, it follows that

$$\frac{\mathbf{T}_{i,j,k}(\alpha,\beta,\delta)(\rho)}{\mathbf{T}_{j,k}(\beta,\delta)(\rho)} = \frac{\mathbf{T}_{i,j,k}(\alpha,\beta,\delta)(\sigma)}{\mathbf{T}_{j,k}(\beta,\delta)(\sigma)}$$

for all $i,j,k \in \{1,\dots,d\}$. Therefore, since we have already seen that $\lambda_i(\rho(\eta)) = \lambda_i(\sigma(\eta))$ for all i if $\eta \in \{\alpha,\beta,\gamma\}$, Theorem 4.4 implies that ρ and σ are conjugate, in $\mathsf{PGL}_d(\mathbb{R})$, on the subgroup $<\alpha,\beta,\delta>$ of $\pi_1(S)$. □

We are now ready to establish that the restriction of the marked trace spectrum to the simple non-separating curves determines a Hitchin representation.

Theorem 7.4. *Let S be a closed orientable surface of genus $g \geqslant 3$. If $\rho \in \mathcal{H}_{d_1}(S)$, $\sigma \in \mathcal{H}_{d_2}(S)$ and $|\operatorname{Tr}(\rho(\alpha))| = |\operatorname{Tr}(\sigma(\alpha))|$ whenever $\alpha \in \pi_1(S)$ is represented by a simple non-separating curve, then $d_1 = d_2$ and $\rho = \sigma$.*

Proof. Notice that Theorem 6.1 immediately implies that $d_1 = d_2$, so we may assume that $d = d_1 = d_2$. Consider the standard generating set

$$\mathcal{S} = \{\alpha_1,\beta_1,\dots,\alpha_g,\beta_g\}$$

for $\pi_1(S)$ so that $\prod_{i=1}^{g}[\alpha_i,\beta_i] = 1$, each generator is represented by a based loop, and any two such based loops intersect only at the basepoint.

Notice that the generators are freely homotopic to simple, non-separating closed curves so that the representative of α_i is disjoint from the representative of every other generator except β_i and that the representative of β_i is disjoint from the representative of every

other generator except α_i. Moreover, no three of the representatives which are disjoint bound a pair of pants. Therefore, Theorem 7.1 implies that we may assume that ρ and σ agree on $< \alpha_1, \alpha_2, \alpha_3 >$.

If $\eta \in \mathcal{S} - \{\alpha_1, \alpha_2, \beta_1, \beta_2\}$, then Theorem 7.1 implies that there exists $C \in \mathsf{PGL}_d(\mathbb{R})$ so that ρ and $C\sigma C^{-1}$ agree on $< \alpha_1, \alpha_2, \eta >$. Since ρ and σ agree on α_1 and α_2, the following lemma, which we memorialize for repeated use later in the paper, assures that $C = I$, so $\rho(\eta) = \sigma(\eta)$.

Lemma 7.5. *Suppose that S is a closed surface of genus at least two, $\rho : \pi_1(S) \to \mathsf{PSL}_d(\mathbb{R})$ and $\sigma : \pi_1(S) \to \mathsf{PSL}_d(\mathbb{R})$ are Hitchin representations, and there exists a subgroup H of $\pi_1(S)$ and $C \in \mathsf{PSL}_d(\mathbb{R})$ so that $\rho|_H = C\sigma|_H C^{-1}$. If there exists $\nu_1, \nu_2 \in H$ with non-intersecting axes, so that $\rho(\nu_1) = \sigma(\nu_1)$ and $\rho(\nu_2) = \sigma(\nu_2)$, then $C = I$, so $\rho|_H = \sigma|_H$.*

Proof. Since ρ and σ agree on ν_1 and ν_2, C must commute with $\rho(\nu_1)$ and $\rho(\nu_2)$. Thus C is diagonalizable over $\mathbb{R}$ with respect to both $\{e_i(\rho(\nu_1))\}$ and $\{e_i(\rho(\nu_2)\}$. If $C \neq I$, then $\mathbb{R}^d$ admits a non-trivial decomposition into eigenspaces of C with distinct eigenvalues. Any such eigenspace W is spanned by a sub-collection of $\{e_i(\rho(\nu_1))\}$ and by a sub-collection of $\{e_j(\rho(\nu_2))\}$. In particular, some $e_i(\rho(\nu_1))$ is in the subspace spanned by a subcollection of $\{e_j(\rho(\nu_2))\}$. Since ν_1 and ν_2 have non-intersecting axes, this contradicts Corollary 4.1. Therefore, $C = I$. □

In order to prove that $\rho(\beta_1) = \sigma(\beta_1)$, we similarly apply Theorem 7.1 and Lemma 7.5 to the elements α_2, α_3 and β_1, while to prove that $\rho(\beta_2) = \sigma(\beta_2)$ we consider the elements α_1, α_3 and β_2. Since we have established that ρ and σ agree on every element in the generating set $\mathcal{S}$, we conclude that $\rho = \sigma$. □

Marked simple length rigidity, Theorem 1.1, is an immediate consequence of Theorems 7.4 and 6.1.

We may further use the Noetherian property of polynomial rings to prove the final statement in Theorem 1.2, which asserts that Hitchin representations of the same dimension are determined by the traces of a finite set of simple non-separating curves.

Proof of Theorem 1.2. We consider the affine algebraic variety

$$V(S) = \mathrm{Hom}(\pi_1(S), \mathsf{SL}_d(\mathbb{R})) \times \mathrm{Hom}(\pi_1(S), \mathsf{SL}_d(\mathbb{R})).$$

Let $\{\gamma_i\}_{i=1}^{\infty} \subset \pi_1(S)$ be an ordering of the collection of (conjugacy classes of) elements of $\pi_1(S)$ which are represented by simple, non-separating curves, and define, for each n,

$$V_n(S) = \{(\rho, \sigma) \in V(S) \mid \mathrm{Tr}(\rho(\gamma_i)) = \mathrm{Tr}(\sigma(\gamma_i)) \text{ if } \ i \leqslant n\}$$

and let

$$V_\infty = \bigcap_{n=1}^{\infty} V_n.$$

Then each $V_n(S)$ is a subvariety of $V(S)$ and by the Noetherian property of polynomial rings, there exists N so that $V_N = V_\infty$. We define $\mathcal{L}_d(S) = \{\gamma_i\}_{i=1}^N$.

There exists a component $\widetilde{\mathcal{H}}_d(S)$ of $\mathrm{Hom}(\pi_1(S), \mathsf{SL}_d(\mathbb{R}))$ consisting of lifts of Hitchin representations so that $\mathcal{H}_d(S)$ is identified with the quotient of $\widetilde{\mathcal{H}}_d(S)$ by $\mathsf{SL}_d(\mathbb{R})$, see Hitchin [18]. Since traces of elements in images of (lifts of) Hitchin representations are non-zero, for all $\gamma \in \pi_1(S)$, $\mathrm{Tr}(\nu(\gamma))$ is either positive for all $\nu \in \widetilde{\mathcal{H}}_d(S)$ or negative for all $\nu \in \widetilde{\mathcal{H}}_d(S)$, for all $\gamma \in \pi_1(S)$. Therefore, if the marked trace spectra of $\rho, \sigma \in \mathcal{H}_d(S)$ agree on $\mathcal{L}_d(S)$, they admit lifts $\tilde{\rho}$ and $\tilde{\sigma}$ in $\widetilde{\mathcal{H}}_d(S)$ so that $(\tilde{\rho}, \tilde{\sigma}) \in V_N$. Since $V_N = V_\infty$, the marked trace spectra of ρ and σ agree on all simple, non-separating curves. Therefore, by Theorem 7.4, $\rho = \sigma \in \mathcal{H}_d(S)$. □

Remark. The set $\mathcal{L}_d(S)$ contains at least $\dim(\mathcal{H}_d(S)) = -\chi(S)(d^2-1)$ curves, but our methods do not provide any upper bound on the size of $\mathcal{L}_d(S)$.

8. Isometries of intersection

In this section, we investigate isometries of the intersection function which is used to construct the pressure metric on the Hitchin component. Our main tool will be Bonahon's theory of geodesic currents and his reinterpretation of Thurston's compactification of Teichmüller space in this language, see Bonahon [2].

8.1. Intersection and the pressure metric

Given $\rho \in \mathcal{H}_d(S)$, let

$$R_T(\rho) = \{[\gamma] \ \in [\pi_1(S)] \mid L_\gamma(\rho) \leqslant T\}$$

be the set of conjugacy classes of elements of $\pi_1(S)$ whose images have length at most T. One may then define the *entropy*

$$h(\rho) = \lim_{T\to\infty} \frac{\log(\#R_T(\rho))}{T}.$$

Given $\rho, \sigma \in \mathcal{H}_d(S)$, their *intersection* is given by

$$\mathbf{I}(\rho,\sigma) = \lim_{T\to\infty} \frac{1}{\#R_T(\rho)} \sum_{[\gamma]\in R_T(\rho)} \frac{L_\gamma(\sigma)}{L_\gamma(\rho)},$$

and their *renormalized intersection* is given by

$$\mathbf{J}(\rho,\sigma) = \frac{h(\sigma)}{h(\rho)} I(\rho,\sigma).$$

One may show that all the quantities above give rise to analytic functions.

Theorem 8.1. (Bridgeman–Canary–Labourie–Sambarino [6, Thm. 1.3]) *If S is a closed surface of genus greater than 1, the entropy h, the intersection $\mathbf{I}$, and renormalized intersection $\mathbf{J}$ are analytic functions on $\mathcal{H}_d(S)$, $\mathcal{H}_d(S) \times \mathcal{H}_d(S)$ and $\mathcal{H}_d(S) \times \mathcal{H}_d(S)$ respectively.*

Let $\mathbf{J}_\rho : \mathcal{H}_d(S) \to \mathbb{R}$ be defined by $\mathbf{J}_\rho(\sigma) = \mathbf{J}(\rho, \sigma)$. The analytic function $\mathbf{J}_\rho$ has a minimum at ρ (see [6, Thm. 1.1]) and hence its Hessian gives rise to an non-negative quadratic form on $T_\rho(\mathcal{H}_d(S))$, called the *pressure metric*. Bridgeman, Canary, Labourie and Sambarino proved that the resulting quadratic form is positive definite. A result of Wolpert [33] implies that the restriction of the pressure metric to the Fuchsian locus is a multiple of the classical Weil-Petersson metric. (See [7] for a survey of this theory.)

Theorem 8.2. (Bridgeman–Canary–Labourie–Sambarino [6, Cor. 1.6]) *If S is a closed surface of genus greater than 1, the pressure metric is a mapping class group invariant, analytic, Riemannian metric on $\mathcal{H}_d(S)$ whose restriction to the Fuchsian locus is a multiple of the Weil-Petersson metric.*

Recall that a diffeomorphism $f : \mathcal{H}_d(S) \to \mathcal{H}_d(S)$ is said to be an *isometry of intersection* if $\mathbf{I}(f(\rho), f(\sigma)) = \mathbf{I}(\rho, \sigma)$ for all $\rho, \sigma \in \mathcal{H}_d(S)$. Let $\mathrm{Isom}_{\mathbf{I}}(\mathcal{H}_d(S))$ denote the group of isometries of $\mathbf{I}$. Notice that, by construction, the extended mapping class group $\mathrm{Mod}(S)$ is a subgroup of $\mathrm{Isom}_{\mathbf{I}}(\mathcal{H}_d(S))$. (The extended mapping class group $\mathrm{Mod}(S)$ can be identified with the group $\mathrm{Out}(\pi_1(S))$ of outer automorphisms of $\pi_1(S)$ and acts naturally on $\mathcal{H}_d(S)$ by pre-composition.)

The entire discussion of intersection, renormalized intersection and the pressure metric restricts to $\mathsf{H}(S, \mathsf{G})$ when G is $\mathsf{PSp}(2d, \mathbb{R})$, $\mathsf{PSO}(d, d+1)$, or $\mathsf{G}_{2,0}$.

8.2. Basic properties

We first show that isometries of intersection preserve entropy and hence preserve renormalized intersection, so are isometries of the pressure metric.

Proposition 8.3. *If S is a closed orientable surface of genus greater than 1, G is $\mathsf{PSL}_d(\mathbb{R})$, $\mathsf{PSp}(2d, \mathbb{R})$, $\mathsf{PSO}(d, d+1)$, or $\mathsf{G}_{2,0}$ and $f : \mathcal{H}(S, \mathsf{G}) \to \mathcal{H}(S, \mathsf{G})$ is an isometry of intersection $\mathbf{I}$, then $h(\rho) = h(f(\rho))$ for all $\rho \in \mathcal{H}(S, \mathsf{G})$. Therefore, $\mathbf{J}(f(\rho), f(\sigma)) = \mathbf{J}(\rho, \sigma)$ for all $\rho, \sigma \in \mathcal{H}(S, \mathsf{G})$, and f is an isometry of $\mathcal{H}(S, \mathsf{G})$ with respect to the pressure metric.*

Proof. Suppose that $\rho \in \mathcal{H}(S, \mathsf{G})$, $v \in \mathsf{T}_\rho(\mathcal{H}(S, \mathsf{G}))$ and $v = \frac{d}{dt}\big|_{t=0} \rho_t = \dot{\rho}_0$ for a smooth path $\{\rho_t\}_{t \in (-1,1)}$ in $\mathcal{H}_d(S)$. Then,

$$\mathbf{I}_\rho(\rho_t) = \mathbf{I}(\rho, \rho_t) = \mathbf{I}(f(\rho), f(\rho(t)) = \mathbf{I}_{f(\rho)}(f(\rho_t)),$$

so

$$\mathrm{D}\mathbf{I}_\rho(v) = \mathrm{D}\mathbf{I}_{f(\rho)}(\mathrm{D}f_\rho(v)).$$

Since $\mathbf{J}_\rho$ has a minimum at ρ, $\mathrm{D}\mathbf{J}_\rho(v) = 0$, so

$$\mathrm{D}\mathbf{J}_\rho(v) = \frac{\mathrm{D}h_\rho(v)}{h(\rho)}\mathbf{I}_\rho(\rho) + \frac{h(\rho)}{h(\rho)}\mathrm{D}\mathbf{I}_\rho(v) = \frac{\mathrm{D}h_\rho(v)}{h(\rho)} + \mathrm{D}\mathbf{I}_\rho(v) = 0$$

which implies that

$$\mathrm{D}\mathbf{I}_\rho(v) = -\frac{\mathrm{D}h_\rho(v)}{h(\rho)} = -\mathrm{D}(\log h)(v).$$

Thus, for all $\rho \in \mathcal{H}(S, \mathsf{G})$ and $v \in T_\rho(\mathcal{H}(S, \mathsf{G}))$

$$\mathrm{D}(\log h)(v) = \mathrm{D}(\log(h \circ f))(v),$$

so $(h \circ f)/h$ is constant, since $\mathcal{H}(S, \mathsf{G})$ is a connected manifold. If $(h \circ f)/h = c \neq 1$ then either $h \circ f^n$ or $h \circ f^{-n}$ grows to infinity with n. However, since h is a bounded positive function, it must be the case that $c = 1$ and $h \circ f = h$.

It follows, by the definition of renormalized intersection, that f preserves renormalized intersection. Since the pressure metric is obtained by considering the Hessian of renormalized intersection, f is also an isometry of $\mathcal{H}(S, \mathsf{G})$ with respect to the pressure metric. □

Potrie and Sambarino [29] proved that the entropy function achieves its maximum exactly on the Fuchsian locus, so we have the following immediate corollary.

Corollary 8.4. *If S is a closed orientable surface of genus greater than 1, G is $\mathsf{PSL}_d(\mathbb{R})$, $\mathsf{PSp}(2d, \mathbb{R})$, $\mathsf{PSO}(d, d+1)$, or $\mathsf{G}_{2,0}$ and $f : \mathcal{H}(S, \mathsf{G}) \to \mathcal{H}(S, \mathsf{G})$ is an isometry of intersection $\mathbf{I}$, then f preserves the Fuchsian locus.*

8.3. Geodesic currents

We identify S with a fixed hyperbolic surface $\mathbf{H}^2/\Gamma$, which in turn identifies $\pi_1(S)$ with Γ and $\partial_\infty \pi_1(S)$ with $\partial_\infty \mathbf{H}^2$. One can identify the space $G(\mathbf{H}^2)$ of unoriented geodesics in $\mathbb{H}^2$ with $(\partial_\infty \mathbf{H}^2 \times \partial_\infty \mathbf{H}^2 - \Delta)/\mathbb{Z}_2$, where Δ is the diagonal in $\partial_\infty \mathbf{H}^2 \times \partial_\infty \mathbf{H}^2$ and $\mathbb{Z}_2$ acts by interchanging coordinates. A *geodesic current* on S is a Γ-invariant Borel measure on $G(\mathbf{H}^2)$ and $\mathcal{C}(S)$ is the space of geodesic currents on S, endowed with the weak* topology.

If α is a closed geodesic on S, one obtains a geodesic current δ_α by taking the sum of the Dirac measures on the pre-images of α. The set of currents which are scalar multiples

of closed geodesics is dense in $\mathcal{C}(S)$, see Bonahon [2, Proposition 2]. If $\rho \in \mathcal{T}(S) = \mathcal{H}_2(S)$ has associated limit map $\xi_\rho : \partial\pi_1(S) \to \partial\mathbb{H}_2$, one defines the *Liouville measure* of ρ by

$$m_\rho([a,b] \times [c,d]) = \left| \log \frac{\big(\xi_\rho(a) - \xi_\rho(c)\big)\big(\xi_\rho(b) - \xi_\rho(d)\big)}{\big(\xi_\rho(a) - \xi_\rho(d)\big)\big(\xi_\rho(b) - \xi_\rho(c)\big)} \right|.$$

Theorem 8.5. (Bonahon [2, Propositions 3, 14, 15]) *Let S be a closed oriented surface of genus $g \geqslant 2$ and $\rho \in \mathcal{T}(S) = \mathcal{H}_2(S)$. Then there exist continuous functions $\ell_\rho : \mathcal{C}(S) \to \mathbb{R}$ and $i : \mathcal{C}(S) \times \mathcal{C}(S) \to \mathbb{R}$ which are linear on rays such that if α and β are closed geodesics, then*

$$i(m_\rho, \delta_\alpha) = \ell_\rho(\alpha), \quad i(m_\rho, m_\rho) = \pi^2 |\chi(S)|,$$

and $i(\alpha, \beta)$ is the geometric intersection between α and β.

Moreover, Bonahon defines an embedding

$$Q : \mathcal{T}(S) \to \mathcal{P}C(S)$$

of Teichmüller space into the space of projective classes of geodesic currents given by $Q(\rho) = [m_\rho]$. Bonahon shows that the closure of $Q(\mathcal{T}(S))$ is homeomorphic to a closed ball of dimension $6g-6$, and the boundary of $Q(\mathcal{T}(S))$ is the space $\mathcal{PML}(S)$ of projective classes of measured laminations. (Recall that a *measured lamination* may be defined to be a geodesic current of self-intersection 0.) In particular, the geodesic current associated to any simple closed curve lies in the boundary of $Q(\mathcal{T}(S))$. Moreover, Bonahon [2, Theorem 18] shows that this compactification of Teichmüller space agrees with Thurston's compactification.

8.4. Length functions for Hitchin representations

If $\rho \in \mathcal{H}_d(S)$, then there is a Hölder function $f_\rho : T^1S \to \mathbb{R}_+$ such that if α is a closed oriented geodesic on $S = \mathbf{H}^2/\Gamma$, then

$$\int_\alpha f_\rho \, dt = L_\alpha(\rho)$$

where dt is the Lebesgue measure along $\alpha \subset T^1(S)$, see [6, Prop. 4.1] or Sambarino [31, Sec. 5]. Given $\mu \in \mathcal{C}(S)$, one may define a Γ-invariant measure $\tilde{\mu}$ on $\mathsf{T}^1\mathbf{H}^2$ which has the local form $\mu \times dt$ where dt is Lebesgue measure along the flow lines of $\mathsf{T}^1\mathbf{H}^2$ (which are oriented geodesics in $\mathbf{H}^2$), so $\tilde{\mu}$ descends to a measure $\hat{\mu}$ on $T^1(S)$. One may then define a length function $\ell_\rho : \mathcal{C}(S) \to \mathbb{R}$ by letting

$$\ell_\rho(\mu) = \int_{T^1(S)} f_\rho \, d\hat{\mu}.$$

Notice that if α is a simple closed geodesic on S, then

$$\ell_\rho(\delta_\alpha) = L^H_\alpha(\rho) = L_\alpha(\rho) + L_{\alpha^{-1}}(\rho)$$

since $\hat{\delta}_\alpha$ is Dirac measure support on the closed orbits of geodesics associated to α and α^{-1}. Moreover, by the definition of the weak* topology, ℓ_ρ is clearly continuous, since T^1S is compact.

Recall that (see Bowen [3] or Margulis [26]) if $\sigma \in \mathcal{T}(S) = \mathcal{H}_2(S)$ then the Liouville current satisfies

$$\frac{m_\sigma}{\ell_\sigma(m_\sigma)} = \lim_{T\to\infty} \frac{1}{\#R_T(\sigma)} \sum_{R_T(\sigma)} \frac{\delta_\alpha}{\ell_\sigma(\delta_\alpha)} = \lim_{T\to\infty} \frac{1}{\#R_T(\sigma)} \sum_{R_T(\sigma)} \frac{\delta_\alpha}{2L_\alpha(\sigma)}.$$

Since τ_d multiplies the logarithm of the spectral radius by $d-1$, if $\rho \in \mathcal{H}_d(S)$, then

$$\begin{aligned}
\frac{\ell_\rho(m_\sigma)}{\ell_\sigma(m_\sigma)} &= \lim_{T\to\infty} \frac{1}{\#R_T(\sigma)} \sum_{R_T(\sigma)} \frac{L^H_\alpha(\rho)}{2L_\alpha(\sigma)} \\
&= (d-1) \lim_{T\to\infty} \frac{1}{\#R_{(d-1)T}(\tau_d \circ \sigma)} \sum_{R_{(d-1)T}(\tau_d\circ\sigma)} \frac{L_\alpha(\rho)}{L_\alpha(\tau_d \circ \sigma)} \\
&= (d-1)\ \mathbf{I}(\tau_d \circ \sigma, \rho).
\end{aligned}$$

Here we use the fact that, since $\sigma \in \mathcal{T}(S)$, $L_\alpha(\sigma) = L_{\alpha^{-1}}(\sigma)$, so

$$\frac{L^H_\alpha(\rho)}{2L_\alpha(\sigma)} + \frac{L^H_{\alpha^{-1}}(\rho)}{2L_{\alpha^{-1}}(\sigma)} = \frac{L_\alpha(\rho)}{L_\alpha(\sigma)} + \frac{L_{\alpha^{-1}}(\rho)}{L_{\alpha^{-1}}(\sigma)}$$

for all $\alpha \in \pi_1(S)$.

8.5. Isometries of intersection and the simple Hilbert length spectrum

We next observe that any isometry of intersection preserves the simple marked Hilbert length spectrum.

Proposition 8.6. *If S is a closed surface of genus $g \geqslant 2$, $\mathsf{G} = \mathsf{PSL}_d(\mathbb{R})$, $\mathsf{PSp}(2d, \mathbb{R})$, $\mathsf{PSO}(d, d+1)$, or $\mathsf{G}_{2,0}$ and $f : \mathcal{H}(S, \mathsf{G}) \to \mathcal{H}(S, \mathsf{G})$ is an isometry of intersection, then there exists an element ϕ of the extended mapping class group so that if $\rho \in \mathcal{H}(S, \mathsf{G})$, then ρ and $f \circ \phi(\rho)$ have the same simple marked Hilbert length spectrum.*

Proof. Recall, from Corollary 8.4, that f preserves the Fuchsian locus. Since any isometry of $\mathcal{T}(S)$ with the Weil-Petersson metric agrees with an element of the extended mapping

class group, by a result of Masur-Wolf [27], and the restriction of the pressure metric to the Fuchsian locus is a multiple of the Weil-Petersson metric, the restriction of f to the Fuchsian locus agrees with the action of an element ϕ of the extended mapping class group. We can thus consider $\hat{f} = f \circ \phi^{-1}$, which is an isometry of the intersection function that fixes the Fuchsian locus.

If $\alpha \in \pi_1(S)$ is represented by a simple curve, we may choose a sequence $\{\sigma_n\}$ in $\mathcal{T}(S)$ such that $\{Q(\sigma_n)\}$ converges to $[\delta_\alpha] \in \mathcal{PC}(S)$, so there exists a sequence $\{c_n\}$ of real numbers so that $\lim c_n = +\infty$ and

$$\lim \frac{m_{\sigma_n}}{c_n} = \delta_\alpha.$$

Therefore, if $\rho \in \mathcal{H}(S, \mathsf{G}) \subset \mathcal{H}_d(S)$, then

$$L^H_\alpha(\rho) = \ell_\rho(\delta_\alpha) = \lim \ell_\rho\left(\frac{m_{\sigma_n}}{c_n}\right) = \lim \left(\frac{(d-1)\ell_{\sigma_n}(m_{\sigma_n})}{c_n}\mathbf{I}(\tau_d \circ \sigma_n, \rho)\right).$$

By Theorem 8.5, as $\sigma_n \in \mathcal{T}(S)$, then $\ell_{\sigma_n}(m_{\sigma_n}) = i(m_{\sigma_n}, m_{\sigma_n}) = \pi^2|\chi(S)|$. If $\rho \in \mathcal{H}(S, \mathsf{G})$ and $\alpha \in \pi_1(S)$, then since $\mathbf{I}(\tau_d \circ \sigma_n, \rho) = \mathbf{I}(\tau_d \circ \sigma_n, \hat{f}(\rho))$ for all n, $L^H_\alpha(\rho) = L^H_\alpha(\hat{f}(\rho))$. Therefore, ρ and $\hat{f}(\rho)$ have the same simple marked Hilbert length spectrum. □

Recall that if ρ lies in $\mathcal{H}(S, \mathsf{G})$ and G is $\mathsf{PSp}(2d, \mathbb{R})$, $\mathsf{PSO}(d, d+1)$ or $\mathsf{G}_{2,0}$, then $L^H_\alpha(\rho) = 2L_\alpha(\rho)$ for all $\alpha \in \pi_1(S)$. Therefore, we may combine Theorem 1.1 and Proposition 8.6 to obtain:

Corollary 8.7. *If S is a closed surface of genus $g \geqslant 3$, then any isometry of the intersection $\mathbf{I}$ on $\mathcal{H}(S, \mathsf{PSp}(2d, \mathbb{R}))$, $\mathcal{H}(S, \mathsf{PSO}(d, d+1))$, or $\mathcal{H}(S, \mathsf{G}_{2,0})$ agrees with an element of the extended mapping class group.*

Notice that Corollary 8.7 is a generalization of Theorem 1.3 which was stated in the introduction, since it also covers $\mathcal{H}(S, \mathsf{G}_{2,0})$.

9. Hilbert length rigidity

Proposition 8.6 suggests the following potential generalization of our main simple length rigidity result.

Conjecture: *If $\rho, \sigma \in \mathcal{H}_d(S)$ have the same marked simple Hilbert length spectrum then they either agree or differ by the contragredient involution.*

We establish this conjecture when $d = 3$.

Theorem 9.1. *If S is a closed orientable surface of genus greater than 2, $\rho, \sigma \in \mathcal{H}_3(S)$ and $L^H_\alpha(\rho) = L^H_\alpha(\sigma)$ for any $\alpha \in \pi_1(S)$ which is represented by a simple non-separating curve, then $\rho = \sigma$ or $\rho = \sigma^*$.*

The classification of the isometries of intersection on $\mathcal{H}_3(S)$, Theorem 1.4, is an immediate consequence of Theorem 9.1 and Proposition 8.6.

Proof. Notice that $\mathsf{PSL}_3(\mathbb{R}) = \mathsf{SL}_3(\mathbb{R})$ and that if $\gamma \in \pi_1(S)$, then all the eigenvalues of $\rho(\gamma)$ are positive, since eigenvalues vary continuously over $\mathcal{H}_3(S)$ and are positive on the Fuchsian locus. In particular, if $L^H_\alpha(\rho) = L^H_\alpha(\sigma)$, then

$$\frac{\lambda_1(\rho(\alpha))}{\lambda_3(\rho(\alpha))} = \frac{\lambda_1(\sigma(\alpha))}{\lambda_3(\sigma(\alpha))} > 1.$$

We first show that for individual elements the traces and eigenvalues either agree or are consistent with the contragredient involution.

Lemma 9.2. *If α and β are represented by simple, non-separating based loops on S which intersect only at the basepoint and have geometric intersection one, and $L^H_{\alpha^n\beta}(\rho) = L^H_{\alpha^n\beta}(\sigma)$ for all n, then either*

(1 $\lambda_i(\rho(\alpha)) = \lambda_i(\sigma(\alpha))$ *for all i, so* $\mathrm{Tr}(\rho(\alpha)) = \mathrm{Tr}(\sigma(\alpha))$, *or*
(2) $\lambda_i(\rho(\alpha)) = \lambda_i(\sigma(\alpha^{-1})) = \lambda_i(\sigma^*(\alpha))$ *for all i, so* $\mathrm{Tr}(\rho(\alpha)) = \mathrm{Tr}(\sigma^*(\alpha))$.

Proof. As in the proof of Lemma 6.3, let $A = \rho(\alpha)$, $B = \rho(\beta)$ and $A^nB = \rho(\alpha^n\beta)$ and $\lambda_i(n) = \lambda_i(A^nB)$. Similarly, let $\hat{A} = \sigma(\alpha)$, $\hat{B} = \sigma(\beta)$ and $\hat{A}^n\hat{B} = \sigma(\alpha^n\beta)$ and let $\hat{\lambda}_i(n) = \lambda_i(\hat{A}^n\hat{B})$. If (b^i_j) is the matrix of B with respect to the basis $\{e_i(A)\}$, then, b^1_1, b^1_2, and b^2_1 are all non-zero by Lemma 6.4, so Lemma 5.1 implies that

$$\frac{\lambda_1(n)}{\lambda_1^n} = b^1_1 + \frac{b^1_2 b^2_1}{b^1_1}\left(\frac{\lambda_2}{\lambda_1}\right)^n + o\left(\left(\frac{\lambda_2}{\lambda_1}\right)^n\right)$$

where $\lambda_i = \lambda_i(A)$. Similarly, applying Lemma 5.1 to ρ^* and noting that $\lambda_i^{-1}(\rho^*(\gamma)) = \lambda_{4-i}(\rho(\gamma))$ for all $\gamma \in \pi_1(S)$, gives that

$$\frac{\lambda_3^n}{\lambda_3(n)} = d^1_1 + \frac{d^1_2 d^2_1}{d^1_1}\left(\frac{\lambda_3}{\lambda_2}\right)^n + o\left(\left(\frac{\lambda_3}{\lambda_2}\right)^n\right)$$

where (d^i_j) is the matrix of $(B^{-1})^T$ in the basis $\{e_i((A^{-1})^T)\}$.

Taking the product of the previous two equations gives

$$\begin{aligned}\left(\frac{\lambda_1(n)}{\lambda_3(n)}\right)\left(\frac{\lambda_3}{\lambda_1}\right)^n =& b^1_1 d^1_1 + \frac{d^1_1 b^1_2 b^2_1}{b^1_1}\left(\frac{\lambda_2}{\lambda_1}\right)^n + \frac{b^1_1 d^1_2 d^2_1}{d^1_1}\left(\frac{\lambda_3}{\lambda_2}\right)^n \\ &+ o\left(\left(\frac{\lambda_3}{\lambda_2}\right)^n\right) + o\left(\left(\frac{\lambda_2}{\lambda_1}\right)^n\right).\end{aligned} \tag{5}$$

One obtains an analogous equality for σ, and since the left hand sides are equal by assumption, we see that

$$b_1^1 d_1^1 + \frac{d_1^1 b_2^1 b_1^2}{b_1^1}\left(\frac{\lambda_2}{\lambda_1}\right)^n + \frac{b_1^1 d_2^1 d_1^2}{d_1^1}\left(\frac{\lambda_3}{\lambda_2}\right)^n + o\left(\left(\frac{\lambda_3}{\lambda_2}\right)^n\right) + o\left(\left(\frac{\lambda_2}{\lambda_1}\right)^n\right)$$
$$= \hat{b}_1^1 \hat{d}_1^1 + \frac{\hat{d}_1^1 \hat{b}_2^1 \hat{b}_1^2}{\hat{b}_1^1}\left(\frac{\hat{\lambda}_2}{\hat{\lambda}_1}\right)^n + \frac{\hat{b}_1^1 \hat{d}_2^1 \hat{d}_1^2}{\hat{d}_1^1}\left(\frac{\hat{\lambda}_3}{\hat{\lambda}_2}\right)^n + o\left(\left(\frac{\hat{\lambda}_3}{\hat{\lambda}_2}\right)^n\right) + o\left(\left(\frac{\hat{\lambda}_2}{\hat{\lambda}_1}\right)^n\right) \tag{6}$$

where $\hat{\lambda}_i = \lambda_i(\hat{A})$ and $(\hat{b}_j^i)$ and $(\hat{d}_j^i)$ are the matrix representatives of $\hat{B}$ and $(\hat{B}^{-1})^T$ with respect to the bases $\{e_i(\hat{A})\}$ and $\{e_i((A^{-1})^T)\}$ respectively. Since $\lim \frac{\lambda_{i+1}^n}{\lambda_i^n} = 0$ and $\lim \frac{\hat{\lambda}_{i+1}^n}{\hat{\lambda}_i^n} = 0$ for $i = 1, 2$, we see that $b_1^1 d_1^1 = \hat{b}_1^1 \hat{d}_1^1$.

Lemma 6.4 implies that all the coefficients in Equation (6) are non-zero. We further show that they are all positive.

Lemma 9.3. *Suppose that α and β are represented by simple based loops on S which intersect only at the basepoint and have geometric intersection one. If $\rho \in \mathcal{H}_3(S)$ and $B = (b_i^j)$ is a matrix representing $\rho(\beta)$ in the basis $\{e_i(\rho(\alpha)\}$, then b_1^1 and $b_2^1 b_1^2$ are positive.*

Proof. We may normalize ρ so that $\{e_i(\rho(\alpha)\}$ is the standard basis for $\mathbb{R}^3$. The coefficients b_1^1, b_2^1 and b_1^2 give non-zero functions on $\mathcal{H}_3(S)$, so have well-defined signs. If $\sigma_0 = \tau_3 \circ \rho_0$ lies in the Fuchsian locus, then we may assume that

$$\sigma_0(\alpha) = \tau_3\left(\begin{bmatrix} \lambda & 0 \\ 0 & \lambda^{-1} \end{bmatrix}\right) = \begin{bmatrix} \lambda^2 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & \lambda^{-2} \end{bmatrix}$$
$$\sigma_0(\beta) = \tau_3\left(\begin{bmatrix} a & b \\ c & d \end{bmatrix}\right) = \begin{bmatrix} a^2 & ab & b^2 \\ 2ac & ad+bc & 2bd \\ c^2 & cd & d^2 \end{bmatrix}$$

Since α and β intersect essentially, the fixed points z_1 and z_2 of $z \to \frac{az+b}{cz+d}$ lie on opposite sides of 0 in $\widehat{\mathbb{R}} = \partial_\infty \mathbf{H}^2$. Since z_1 and z_2 are the roots of $cz^2 + (d-a)z - b = 0$, we see that $\frac{b}{c} = -z_1 z_2 > 0$, so $bc > 0$. Therefore, $b_1^1(\sigma_0) = a^2 > 0$ and $b_1^2 b_2^1(\sigma_0) = 2a^2 bc > 0$. It follows that b_1^1 and $b_1^2 b_2^1$ are positive on all of $\mathcal{H}_3(S)$. □

Notice that $\frac{\lambda_3}{\lambda_2} = \frac{\lambda_2}{\lambda_1}(\rho(\alpha^{-1}))$ and $\frac{\hat{\lambda}_3}{\hat{\lambda}_2} = \frac{\lambda_2}{\lambda_1}(\sigma(\alpha^{-1}))$. Then, by considering the second order terms in Equation (6), we see that there exists $\epsilon_1, \epsilon_2 \in \{\pm 1\}$ such that

$$\frac{\lambda_2}{\lambda_1}(\rho(\alpha^{\epsilon_1})) = \frac{\lambda_2}{\lambda_1}(\sigma(\alpha^{\epsilon_2})).$$

Since we have assumed that

$$\frac{\lambda_3}{\lambda_1}(\rho(\alpha^{\epsilon_1})) = L^H_{\alpha^{\epsilon_1}}(\rho) = L^H_{\alpha^{\epsilon_1}}(\sigma) = L^H_{\alpha^{\epsilon_2}}(\sigma) = \frac{\lambda_3}{\lambda_1}(\sigma(\alpha^{\epsilon_2}))$$

and

$$(\lambda_1\lambda_2\lambda_3)(\rho(\alpha^{\epsilon_1})) = (\lambda_1\lambda_2\lambda_3)(\sigma(\alpha^{\epsilon_2})) = 1,$$

we see that $(\lambda_1(\rho(\alpha^{\epsilon_1}))^3 = (\lambda_1(\sigma(\alpha^{\epsilon_2}))^3$, so $\lambda_1(\rho(\alpha^{\epsilon_1})) = \lambda_1(\sigma(\alpha^{\epsilon_2}))$, hence $\lambda_i(\rho(\alpha^{\epsilon_1})) = \lambda_i(\sigma(\alpha^{\epsilon_2}))$ for all i. If $\epsilon_1 = \epsilon_2$, then we are in case (1), while if $\epsilon_1 = -\epsilon_2$ we are in case (2). □

We next show that if $\mathrm{Tr}(\rho(\alpha)) = \mathrm{Tr}(\sigma(\alpha))$ and $\mathrm{Tr}(\rho(\alpha)) \neq \mathrm{Tr}(\sigma^*(\alpha))$, then we may control the traces of images of simple based loops having geometric intersection one with α.

Lemma 9.4. *Suppose that S is a closed orientable surface of genus greater than 1, $\rho, \sigma \in \mathcal{H}_3(S)$ and $L^H_\gamma(\rho) = L^H_\gamma(\sigma)$ for any $\gamma \in \pi_1(S)$ which is represented by a simple, non-separating curve. If $\alpha \in \pi_1(S)$ is represented by a simple, non-separating based loop,*

$$\mathrm{Tr}(\rho(\alpha)) = \mathrm{Tr}(\sigma(\alpha)) \quad \text{and} \quad \mathrm{Tr}(\rho(\alpha)) \neq \mathrm{Tr}(\sigma^*(\alpha))$$

and $\beta \in \pi_1(S)$ is represented by a simple non-separating based loop intersecting α only at the basepoint and having geometric intersection one with α, then $\mathrm{Tr}(\rho(\beta)) = \mathrm{Tr}(\sigma(\beta))$.

Proof. We adopt the notation of Lemma 9.2, and notice that Lemma 9.2 implies that $\lambda_i = \lambda_i(\rho(\alpha)) = \lambda_i(\sigma(\alpha)) = \hat{\lambda}_i$ for all i.

If there is an infinite sequence $\{n_k\}$ of positive numbers such that $\mathrm{Tr}(\rho(\alpha^{n_k}\beta)) = \mathrm{Tr}(\sigma(\alpha^{n_k}\beta))$, then,

$$\lambda_1^{n_k} b_1^1 + \lambda_2^{n_k} b_2^2 + \lambda_3^{n_k} b_3^3 = \lambda_1^{n_k} \hat{b}_1^1 + \lambda_2^{n_k} \hat{b}_2^2 + \hat{\lambda}_3^{n_k} \hat{b}_3^3$$

for all n_k. So, by considering the leading terms, we see that $b_1^1 = \hat{b}_1^1$. Considering the remaining terms, we conclude that $b_2^2 = \hat{b}_2^2$ and $b_3^3 = \hat{b}_3^3$, so $\mathrm{Tr}(\rho(\beta)) = \mathrm{Tr}(\sigma(\beta))$.

If not, then, by Lemma 9.2, $\mathrm{Tr}(\rho(\alpha^n\beta)) = \mathrm{Tr}(\sigma^*(\alpha^n\beta))$ for all sufficiently large n, so

$$\lambda_1^n b_1^1 + \lambda_2^n b_2^2 + \lambda_3^n b_3^3 = \lambda_3^{-n} \hat{d}_1^1 + \lambda_2^{-n} \hat{d}_2^2 + \lambda_1^{-n} \hat{d}_3^3$$

for all sufficiently large n. Since $b_1^1 \neq 0$ and $\hat{d}_1^1 \neq 0$, we conclude, by considering leading terms, that $\lambda_1 = \lambda_3^{-1}$, so $\lambda_2 = 1$. However, this implies that $\lambda_i(\rho(\alpha)) = \lambda_i(\sigma^*(\alpha^{-1}))$ for all i, so $\mathrm{Tr}(\rho(\alpha)) = \mathrm{Tr}(\sigma^*(\alpha))$, which contradicts our assumptions. □

If $\mathrm{Tr}(\rho(\alpha)) = \mathrm{Tr}(\sigma(\alpha))$ for any α represented by a simple non-separating curve, then Theorem 1.2 implies that $\rho = \sigma$. Similarly, if $\mathrm{Tr}(\rho(\alpha)) = \mathrm{Tr}(\sigma^*(\alpha))$ for any α represented by a simple non-separating curve, then Theorem 1.2 implies that $\rho = \sigma^*$. Therefore, we may assume that there exists a simple non-separating based loop α so that $\mathrm{Tr}(\rho(\alpha)) = \mathrm{Tr}(\sigma(\alpha))$ and $\mathrm{Tr}(\rho(\alpha)) \neq \mathrm{Tr}(\sigma^*(\alpha))$.

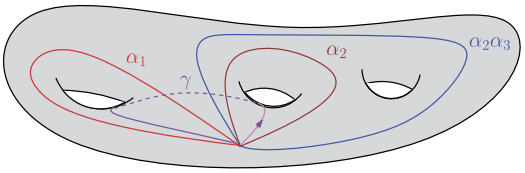

Fig. 4. The curves α_1, α_2, $\alpha_2\alpha_3$ and γ on a surface of genus 3.

Let β be a simple, non-separating based loop intersecting α only at the basepoint which has geometric intersection one with β. Since $\mathrm{Tr}(\rho(\alpha)) \neq \mathrm{Tr}(\sigma^*(\alpha))$ and $\mathrm{Tr}(\rho(\beta))$ and $\mathrm{Tr}(\sigma(\beta))$ are non-zero, there exists n so that $\mathrm{Tr}(\rho(\alpha^n\beta)) \neq \mathrm{Tr}(\sigma^*(\alpha^n\beta))$. Moreover, Lemma 9.4 implies that $\mathrm{Tr}(\rho(\alpha^n\beta)) = \mathrm{Tr}(\sigma(\alpha^n\beta))$. Extend $\alpha, \alpha^n\beta$ to a standard set of generators $\mathcal{S} = \{\alpha_1, \beta_1, \ldots, \alpha_g, \beta_g\}$ so that $\alpha = \alpha_1$ and $\alpha^n\beta = \beta_1$.

The remainder of the proof now mimics the proof of Theorem 1.2. Notice that for the standard generators, if $j > i > 1$, then $\alpha_i\alpha_j$ and $\alpha_i\beta_j^{-1}$ can, and for the remainder of the proof will be, represented by simple non-separating based loops which intersect α_1 and α_i only at the basepoint, with geometric intersection zero. There exists a based loop γ which intersects each curve in the collection $\{\alpha_1, \alpha_2, \alpha_2\alpha_3, \ldots, \alpha_2\alpha_g, \alpha_2\beta_3^{-1}, \ldots, \alpha_2\beta_g^{-1}\}$ only at the basepoint and with geometric intersection one, see Fig. 4. Moreover, if η is either $\alpha_2\alpha_i$ or $\alpha_2\beta_i^{-1}$, with $i \geqslant 3$, then every curve of the form $\eta^p\alpha_2^q\gamma\alpha_1^r$ is freely homotopic to a simple based loop, in the based homotopy class of $\alpha_1^r\eta^p\alpha_2^q\gamma$, which has geometric intersection one with α_1 and intersects α_1 only at the basepoint. It then follows from Lemma 9.4 that

$$\mathrm{Tr}(\rho(\eta^p\alpha_2^q\gamma\alpha_1^r)) = \mathrm{Tr}(\sigma(\eta^p\alpha_2^q\gamma\alpha_1^r))$$

for all $p, q, r \in \mathbb{Z}$. Proposition 7.3 then implies that ρ and σ are conjugate on $\langle \eta, \alpha_2, \alpha_1\rangle$. In particular, we may assume that ρ and σ agree on $\langle \alpha_1, \alpha_2, \alpha_3\rangle = \langle \alpha_2\alpha_3, \alpha_2, \alpha_1\rangle$. If $\eta = \alpha_2\alpha_i$, with $i \geqslant 4$, then, since ρ and σ agree on $\langle \alpha_1, \alpha_2, \alpha_3\rangle$ and are conjugate on $\langle \eta, \alpha_2, \alpha_1\rangle$, Lemma 7.5 implies that they agree on η and hence on $\alpha_2^{-1}\eta = \alpha_i$. Similarly, if $\eta = \alpha_2\beta_i^{-1}$, with $i \geqslant 3$, we can use Lemma 7.5 to show that ρ and η agree on η and hence on β_i.

It remains to check that ρ and σ agree on β_1 and β_2. Recall that there exists a homeomorphism $h : S \to S$ so that $h \circ \alpha_i = \beta_i$ and $h \circ \beta_i = \alpha_i$. Then $\hat{\rho} = \rho \circ h_*$ and $\hat{\sigma} = \sigma \circ h_*$ are Hitchin representations. The above argument shows that $\hat{\rho}$ and $\hat{\sigma}$ are conjugate on $\langle \alpha_1, \alpha_2, \alpha_3, \beta_3\rangle$, which implies that ρ and σ are conjugate on $\langle \beta_1, \beta_2, \beta_3, \alpha_3\rangle$. Since ρ and σ agree on α_3 and on $\beta_3\alpha_3\beta_3^{-1}$ (which have non-intersecting axes), Lemma 7.5 implies that ρ and σ agree on β_1 and β_2, which completes the proof. □

10. Infinitesmal simple length rigidity

In this section, we prove that the differentials of simple length functions generate the cotangent space of a Hitchin component. In earlier work [6, Prop. 10.3] we showed that

the differentials of all length functions generate the cotangent space, and that result played a key role in the proof that the pressure metric on the Hitchin component is non-degenerate.

Proposition 10.1. *Suppose that S is a closed orientable surface of genus greater than 2 and $\rho \in \mathcal{H}_d(S)$. If $v \in \mathsf{T}_\rho(\mathcal{H}_d(S))$ and $\mathrm{D}L_\alpha(v) = 0$ for every simple non-separating curve α, then $v = 0$.*

Moreover, if $\mathrm{D}\operatorname{Tr}_\alpha(v) = 0$ for every simple non-separating curve α, then $v = 0$.

Proof. We recall that there exists a component $\widetilde{\mathcal{H}}_d(S)$ of $\operatorname{Hom}(\pi_1(S), \mathsf{SL}_d(\mathbb{R}))$ which is an analytic manifold, so that the projection map $\pi : \widetilde{\mathcal{H}}_d(S) \to \mathcal{H}_d(S)$ is real analytic and is obtained by quotienting out by the action of $\mathsf{SL}_d(\mathbb{R})$ by conjugation, see Hitchin [18]. Any smooth path in $\mathcal{H}_d(S)$ lifts to a smooth path in $\widetilde{\mathcal{H}}_d(S)$. The real-valued functions $\widetilde{\operatorname{Tr}_\alpha}$ and $\widetilde{\lambda}_{i,\alpha}$ on $\widetilde{\mathcal{H}}_d(S)$ given by $\widetilde{\operatorname{Tr}_\alpha}(\tilde{\rho}) = \operatorname{Tr}(\tilde{\rho}(\alpha))$ and $\widetilde{\lambda_{i,\alpha}}(\tilde{\rho}) = \lambda_i(\tilde{\rho}(\alpha))$ are analytic and $\mathsf{SL}_d(\mathbb{R})$-invariant, so descend to real analytic functions Tr_α and $\lambda_{i,\alpha}$ on $\mathcal{H}_d(S)$. (Notice that if we chose a different component of $\operatorname{Hom}(\pi_1(S), \mathsf{SL}_d(\mathbb{R}))$ as $\widetilde{\mathcal{H}}_d(S)$, then Tr_α and $\lambda_{i,\alpha}$ could differ up to sign.)

The proof of Proposition 10.1 has the same basic structure as the proof of our simple length rigidity result. We first establish an infinitesimal version of Theorem 6.1.

Lemma 10.2. *If S is a closed orientable surface of genus more than 1, $\rho \in \mathcal{H}_d(S)$ and $v \in \mathsf{T}\mathcal{H}_d(S)$ then $\mathrm{D}L_\alpha(v) = 0$ for every simple non-separating curve α if and only if $\mathrm{D}\operatorname{Tr}_\alpha(v) = 0$ for every simple non-separating curve α. In both cases $\mathrm{D}\lambda_{i,\alpha}(v) = 0$ for all i.*

Proof. Let $\{\rho_t\}_{t\in(-1,1)}$ be an analytic path in $\widetilde{\mathcal{H}}_d(S)$ such that if $\dot{\rho}_0 = \frac{\mathrm{d}}{\mathrm{d}t}\big|_{t=0}\rho_t$ then $d\pi(\dot{\rho}_0) = v$.

First assume that $\mathrm{D}L_\alpha(v) = 0$ for every simple non-separating curve α. Choose a simple based loop β which intersects α only at the basepoint and has geometric intersection one with α. Let $A(t) = \rho_t(\alpha)$, $B(t) = \rho_t(\beta)$ and $\lambda_i(t) = \lambda_{i,\alpha}(\rho_t)$. Let $\lambda(n,t) = |\lambda_1(A(t)^n B(t))|$ and notice that our assumptions imply that

$$\dot{\lambda}(n,0) = \frac{\mathrm{d}}{\mathrm{d}t}\Big|_{t=0} \lambda(n,t) = 0$$

for all n. Let $(b^i_j(t))$ be the matrix representative of $B(t)$ in the basis $\{e_i(A(t))\}$ and notice that we may choose $\{e_i(A(t))\}$ to vary analytically, so that the coefficients $(b^i_j(t))$ vary analytically.

If $v \in \mathbb{R}^{d-1}$, let $D(v) \in \mathsf{SL}_d(\mathbb{R})$ be chosen so that its matrix is diagonal with respect to the basis $\{e_i(A(t))\}$ with diagonal entries $(1, v_1, \ldots, v_{d-1})$, then $M(v,t) = D(v)B(t)$ depends analytically on v and t. Notice that $M(\vec{0}, 0)$ has a simple eigenvalue $b^1_1(0)$ with eigenvector e_1. By Lemma 5.3 there exists an open neighborhood V of the origin in $\mathbb{R}^{d-1} \times \mathbb{R}$ and an analytic function $F : V \to \mathbb{R}$ so that

$$\lambda_1(M(v,t)) = F(v,t).$$

Since

$$\frac{A(t)^n B(t)}{\lambda_1(t)^n} = M\left(\left(\frac{\lambda_2(t)}{\lambda_1(t)}\right)^n, \dots, \left(\frac{\lambda_d(t)}{\lambda_1(t)}\right)^n, t\right)$$

and

$$\left(\left(\frac{\lambda_2(t)}{\lambda_1(t)}\right)^n, \dots, \left(\frac{\lambda_d(t)}{\lambda_1(t)}\right)^n, t\right) \in V,$$

for all sufficiently large n and t sufficiently close to 0,

$$\frac{\lambda(n,t)}{\lambda_1(t)^n} = \frac{\lambda_1(A^n(t)B(t))}{\lambda_1(t)^n} = \lambda_1\left(\frac{A^n(t)B(t)}{\lambda_1(t)^n}\right) = F\left(\left(\frac{\lambda_2(t)}{\lambda_1(t)}\right)^n, \dots, \left(\frac{\lambda_d(t)}{\lambda_1(t)}\right)^n, t\right).$$

Letting $u_i(t) = \frac{\lambda_{i+1}(t)}{\lambda_1(t)}$, we see that

$$\lambda(n,t) = \lambda_1(t)^n F\left(u_1(t)^n, \dots, u_{d-1}(t)^n, t\right).$$

Since $\dot{\lambda}_1(0) = 0$ and $\dot{\lambda}(n,0) = 0$,

$$\frac{\mathrm{d}}{\mathrm{d}t}\Big|_{t=0} F\left(u_1(t)^n, \dots, u_{d-1}(t)^n, t\right) = 0$$

for all large enough n. Therefore,

$$\frac{\partial F}{\partial t}(u_1(0)^n, \dots, u_{d-1}(0)^n, 0) + \sum_{i=1}^{d-1} \frac{\partial F}{\partial v_i}(u_1(0)^n, \dots, u_{d-1}(0)^n, 0) n u_i(0)^{n-1} \dot{u}_i(0) = 0, \quad (7)$$

for all large enough n, so

$$\frac{\partial F}{\partial t}(0, \dots, 0, 0) = 0.$$

Moreover, since $\frac{\partial F}{\partial t}$ is analytic,

$$\frac{\partial F}{\partial t}(u_1(0)^n, \dots, u_{d-1}(0))^n, 0) = \sum_{i=1}^{d-1} \left(\frac{\partial^2 F}{\partial v_i \partial t}(\vec{0}, 0) u_i(0)^n + o(u_i(0)^n)\right)$$

so, since $1 > |u_1(0)| > |u_i(0)| > 0$ for all $i \geqslant 2$,

$$\lim_{n\to\infty} \frac{1}{n u_1(0)^{n-1}} \frac{\partial F}{\partial t}(u_1(0)^n, \dots, u_{d-1}(0))^n, 0)$$
$$= \lim_{n\to\infty} \sum_{i=1}^{d-1} \frac{u_i(0)^n}{n u_1(0)^{n-1}} \left(\frac{\partial^2 F}{\partial v_i \partial t}(0, \dots, 0, 0)\right) = 0$$

Equation (7) then implies that

$$\lim_{n\to\infty} \frac{1}{nu_1(0)^{n-1}} \left(\sum_{i=1}^{d-1} \frac{\partial F}{\partial v_i}(u_1(0)^n, \dots, u_{d-1}(0))^n, 0) n u_i(0)^{n-1} \dot{u}_i(0) \right)$$
$$= \frac{\partial F}{\partial v_1}(0, \dots, 0, 0)\, \dot{u}_1(0) = 0$$

As in the proof of Lemma 5.1, we calculate that

$$\frac{\partial F}{\partial v_1}(0, \dots, 0, 0) = \frac{\mathrm{d}}{\mathrm{d}s}\Big|_{s=0} F(s, 0, \dots, 0) = \frac{\mathrm{d}}{\mathrm{d}s}\Big|_{s=0} \lambda_1 \left(D(1, s, 0, \dots, 0) B(0) \right)$$
$$= \frac{\mathrm{d}}{\mathrm{d}s}\Big|_{s=0} \lambda_1 \left(\begin{bmatrix} b_1^1(0) & b_2^1(0) \\ s b_1^2(0) & s b_2^2(0) \end{bmatrix} \right),$$

so

$$\frac{\partial F}{\partial v_1}(\vec{0}, 0) = \frac{b_2^1(0) b_1^2(0)}{b_1^1(0)}.$$

Lemma 6.4 implies that $b_1^1(0)$, $b_2^1(0)$ and $b_1^2(0)$ are non-zero, so $\frac{\partial F}{\partial v_1}(0, \dots, 0, 0) \neq 0$. Therefore, $\dot{u}_1(0) = 0$ and, since $\dot{\lambda}_1(0) = 0$, we have

$$0 = \dot{u}_1(0) = \frac{\mathrm{d}}{\mathrm{d}t}\Big|_{t=0} \left(\frac{\lambda_2(t)}{\lambda_1(t)} \right) = \frac{\dot{\lambda}_2(0)\lambda_1(0) - \dot{\lambda}_1(0)\lambda_2(0)}{\lambda_1(0)^2} = \frac{\dot{\lambda}_2(0)}{\lambda_1(0)},$$

so $\dot{\lambda}_2(0) = 0$.

We may iteratively consider the 1-parameter families of representations given by $\{E^k(\rho_t)\}$ and apply the same analysis to conclude that $\dot{\lambda}_{i,\alpha}(0) = 0$ for all i, and thus that $D \operatorname{Tr}_\alpha(v) = 0$.

Now assume that $D \operatorname{Tr}_\alpha(v) = 0$ for every $\alpha \in \pi_1(S)$ represented by a simple non-separating curve. Given a simple, non-separating curve α represented by a simple based loop, we again choose a simple based loop β which intersects α only at the basepoint and has geometric intersection one with α. Notice that

$$Tr(\rho_t(\alpha^n \beta)) = \sum_{i=1}^{d} \lambda_i^n(\rho_t(\alpha)) \operatorname{Tr}(\mathbf{p}_i(\rho_t(\alpha)) \rho_t(\beta)) = \sum_{i=1}^{d} h_i(t) \lambda_i^n(t),$$

where $h_i(t) = \operatorname{Tr}(\mathbf{p}_i(\rho_t(\alpha))\rho_t(\beta)) \neq 0$ for all t. Differentiating, and noting that $D \operatorname{Tr}_{\alpha^n\beta}(v) = 0$ for all n, we see that

$$0 = \sum_{i=1}^{d} \dot{h}_i(0) \lambda_i^n(0) + n h_i(0)\, \dot{\lambda}_i(0) \lambda_i(0)^{n-1}$$

for all n. Since $h_i(0) \neq 0$ and $\lambda_i(0) \neq 0$, it must be that $\dot{h}_1(0) = 0$ and $\dot{\lambda}_1(0) = 0$, so $DL_\alpha(v) = 0$. □

We next generalize the proof of Theorem 7.1 to obtain a criterion guaranteeing that v is infinitesmally trivial on its restriction to certain 3-generator subgroups.

Lemma 10.3. *Suppose that $\rho \in \mathcal{H}_d(S)$, $v \in \mathsf{T}_\rho(\mathcal{H}_d(S))$ and $\mathrm{D}L_\eta(v) = 0$ for every simple non-separating curve η on S. If $\alpha, \beta, \delta \in \pi_1(S)$ are represented by simple based loops which intersect only at the basepoint, and are freely homotopic to a collection of mutually disjoint and non-parallel, non-separating closed curves which do not bound a pair of pants in S, and $\{\rho_t\}$ is a path in $\widetilde{\mathcal{H}}_d(S)$ so that $\mathrm{D}\pi(\dot{\rho}_0) = v$, then there exists a path $\{C_t\}$ in $\mathsf{SL}_d(\mathbb{R})$, so that $C_0 = I$ and if $\eta \in \langle \alpha, \beta, \delta \rangle$, then*

$$\frac{\mathrm{d}}{\mathrm{d}t}\Big|_{t=0}(C_t\rho_t(\eta)C_t^{-1}) = 0 \in \mathfrak{sl}(n, \mathbb{R}).$$

Proof. Lemma 7.2 guarantees that there exist based loops $\hat{\alpha}$, $\hat{\beta}$, γ and $\hat{\delta}$ as in Fig. 1, which intersect only at the basepoint, so that $\hat{\alpha}$, $\hat{\beta}$ and $\hat{\delta}$ are freely homotopic to a collection of mutually disjoint, non-parallel, non-separating curves and γ has geometric intersection one with each such that

$$\langle \alpha, \beta, \delta \rangle = \langle \hat{\alpha}, \hat{\beta}, \hat{\delta} \rangle .$$

We may thus assume that α, β and δ already have this form.

We may also, by possibly re-ordering α, β and δ, assume that $\alpha^p\beta^q\gamma\delta^r$ is represented by a simple non-separating curve for all $p, q, r \in \mathbb{Z}$. We next generalize the proof of Proposition 7.3 to show that $\mathrm{D}\left(\frac{\mathbf{T}_{i,j,k}(\alpha,\beta,\delta)}{\mathbf{T}_{j,k}(\beta,\delta)}\right)(v) = 0$ for all i, j and k.

Recall that

$$\mathrm{Tr}(\rho(\alpha^p\beta^q\gamma\delta^r)) = \sum_{i=1}^{d} \lambda_{i,\alpha}(\rho)^p \,\mathrm{Tr}\left(\mathbf{p}_i(\rho(\alpha))\rho(\beta^q\gamma\delta^r)\right).$$

Differentiating and noting that, by Lemma 10.2, $\mathrm{D}\,\mathrm{Tr}_{\alpha^p\beta^q\gamma\delta^r}(v) = 0$ for all p, q and r and $\mathrm{D}\lambda_{i,\alpha}(v) = 0$ for all i, one sees that

$$\sum_{i=1}^{d} \lambda_{i,\alpha}(\rho)^p \mathrm{D}\mathbf{T}_{i,0}(\alpha, \beta^q\gamma\delta^r)(v) = 0$$

for all p. By examining terms of different orders and taking limits, we see that

$$\mathrm{D}\mathbf{T}_{i,0}(\alpha, \beta^q\gamma\delta^r)(v) = 0$$

for all i, q and r. Repeating, as in the proof of Proposition 7.3, we find that

$$\mathrm{D}\mathbf{T}_{i,j,0,k}(\alpha,\beta,\gamma,\delta)(v)=0$$

for all i, j, and k. Similarly, by considering $\beta^q\gamma\delta^r$, we see that

$$\mathrm{D}\mathbf{T}_{j,0,k}(\beta,\gamma,\delta)(v)=0$$

for all j and k.

Recall, from part (4) of Proposition 4.3, that

$$\mathbf{T}_{i,j,0,k}(\alpha,\beta,\gamma,\delta)(\rho)=\mathbf{T}_{j,0,k}(\beta,\gamma,\delta)(\rho)\left(\frac{\mathbf{T}_{i,j,k}(\alpha,\beta,\delta)(\rho)}{\mathbf{T}_{j,k}(\beta,\delta)(\rho)}\right)\neq 0$$

for all i, j and k. Since we have established that the two leftmost terms in this expression are non-zero and have derivative 0 in the direction v, we conclude that

$$\mathrm{D}\left(\frac{\mathbf{T}_{i,j,k}(\alpha,\beta,\delta)}{\mathbf{T}_{j,k}(\beta,\delta)}\right)(v)=0$$

for all i, j and k.

Let $a_i(t)=e_i(\rho_t(\alpha))$, $a^i(t)=e^i(\rho_t(\alpha))$, $b_j(t)=e_j(\rho_t(\beta))$, $b^j(t)=e^j(\rho_t(\beta))$, $d_k(t)=e_k(\rho_t(\delta))$ and $d^k(t)=e^k(\rho_t(\delta))$ for all i,j,k. We will assume throughout, by replacing $\{\rho_t\}$ by $\{C_t\rho_t C_t^{-1}\}$ where $\{C_t\}$ is a path in $\mathsf{SL}_d(\mathbb{R})$ so that $C_0=I$, that $a_i(t)$ are constant as functions of t for all i, $b_1(t)$ is constant as a function of t, and by scaling the bases, that $\langle a^i(t)|b_1(t)\rangle=1$ for all i and t, $\langle a^1(t)|b_j(t)\rangle=1$ for all j and t, and $\langle d^k(t)|b_1(t)\rangle=1$ for all k and t. Since $a_i(t)$ is constant and $\frac{\mathrm{d}}{\mathrm{d}t}\big|_{t=0}\lambda_{i,\alpha}(\rho_t)=0$, by Lemma 10.2, $\frac{\mathrm{d}}{\mathrm{d}t}\big|_{t=0}\rho_t(\alpha)=0$.

Recall, from Proposition 4.3, that

$$\frac{\mathbf{T}_{i,j,k}(\alpha,\beta,\delta)(\rho_t)}{\mathbf{T}_{j,k}(\beta,\delta)(\rho_t)}=\frac{\langle a^i(t)|b_j(t)\rangle\,\langle d^k(t)|a_i(t)\rangle}{\langle d^k(t)|b_j(t)\rangle}. \tag{8}$$

By considering Equation (8) when $j=1$, we see that

$$\frac{\mathbf{T}_{i,1,k}(\alpha,\beta,\delta)(\rho_t)}{\mathbf{T}_{1,k}(\beta,\delta)(\rho_t)}=\langle d^k(t)|a_i(t)\rangle\,,$$

so, since the left-hand side has derivative 0 at 0 and $a_i(t)$ is constant for all i,

$$\frac{\mathrm{d}}{\mathrm{d}t}\Big|_{t=0}\big(\,\langle d^k(t)|a_i(t)\rangle\,\big)=\langle \dot{d}^k(0)|a_i(0)\rangle=0$$

for all i and k. Therefore, $\dot{d}^k(0)=0$ for all k, so $\dot{d}_k=0$ for all k. Since we also know, from Lemma 10.2, that $\frac{\mathrm{d}}{\mathrm{d}t}\big|_{t=0}\lambda_{i,\delta}(\rho_t)=0$ for all t, it follows that $\frac{\mathrm{d}}{\mathrm{d}t}\big|_{t=0}\rho_t(\delta)=0$.

Considering Equation (8) when $i=1$, one obtains

$$\frac{\mathbf{T}_{1,j,k}(\alpha,\beta,\delta)(\rho_t)}{\mathbf{T}_{j,k}(\beta,\delta)(\rho_t)}=\frac{\langle a^1(t)|b_j(t)\rangle\,\langle d^k(t)|a_1(t)\rangle}{\langle d^k(t)|b_j(t)\rangle}=\frac{\langle d^k(t)|a_1(t)\rangle}{\langle d^k(t)|b_j(t)\rangle}.$$

Since the derivative of the left hand side is 0 at 0, $a_1(t)$ is constant, and $\overset{\bullet}{d^k}(0) = 0$ for all k, we see that

$$\frac{\langle d^k(0)|a_1(0)\rangle}{\langle d^k(0)|b_j(0)\rangle^2}\,\langle d^k(0)|\,\overset{\bullet}{b}_j(0)\rangle = 0,$$

so $\langle d^k|\,\overset{\bullet}{b}_j(0)\rangle = 0$ for all j and k, so $\overset{\bullet}{b}_j(0) = 0$ for all j. We may then argue, just as before, that $\frac{\mathrm{d}}{\mathrm{d}t}\big|_{t=0}\rho_t(\beta) = 0$. Therefore, $\frac{\mathrm{d}}{\mathrm{d}t}\big|_{t=0}\rho_t(\eta) = 0$ for all $\eta \in \langle \alpha, \beta, \delta\rangle$. □

We are now ready to complete the proof of Proposition 10.1. Let $\mathcal{S} = \{\alpha_1, \beta_1, \ldots, \alpha_g, \beta_g\}$ be a standard generating set for $\pi_1(S)$. By Lemma 10.3, we may choose an analytic family $\{\rho_t\}$ in $\mathrm{Hom}(\pi_1(S), \mathsf{PSL}_d(\mathbb{R}))$ so that $d\pi(\overset{\bullet}{\rho}_0) = v$ and $\frac{\mathrm{d}}{\mathrm{d}t}\big|_{t=0}\rho_t(\gamma) = 0$ for all $\eta \in \langle \alpha_1, \alpha_2, \alpha_3\rangle$.

For any $\delta \in \mathcal{S} - \{\alpha_1, \alpha_2, \alpha_3, \beta_1, \beta_2\}$, we may apply Lemma 10.3 to the triple $\{\alpha_1, \alpha_2, \eta\}$ to show that there exists a family $\{C_t\}$ in $\mathsf{PSL}_d(\mathbb{R})$ so that $C_0 = I$ and $\frac{\mathrm{d}}{\mathrm{d}t}\big|_{t=0}(C_t\rho_t(\gamma)C_t^{-1}) = 0$ for all $\gamma \in \langle \alpha_1, \alpha_2, \delta\rangle$. In particular,

$$\overset{\bullet}{C}_0\rho_0(\alpha_i)C_0^{-1} - C_0\rho_0(\alpha_i)\,\overset{\bullet}{C}_0 + C_0\left(\frac{\mathrm{d}}{\mathrm{d}t}\Big|_{t=0}\rho_t(\alpha_i)\right)C_0^{-1} = \overset{\bullet}{C}_0\rho_0(\alpha_i) - \rho_0(\alpha_i)\,\overset{\bullet}{C}_0 = 0,$$

so $[\overset{\bullet}{C}_0, \rho_0(\alpha_i)] = 0$ for $i = 1, 2$. Thus, $\overset{\bullet}{C}_0$ is diagonalizable over $\mathbb{R}$ with respect to both $\{e_i(\rho_0(\alpha_1))\}$ and $\{e_i(\rho_0(\alpha_2)\}$.

If $\overset{\bullet}{C}_0 \neq 0$, then $\mathbb{R}^d$ admits a non-trivial decomposition into eigenspaces of $\overset{\bullet}{C}_0$ with distinct eigenvalues. Any such eigenspace W is spanned by a sub-collection of $\{e_i(\rho_0(\alpha_1))\}$ and by a sub-collection of $\{e_j(\rho_0(\alpha_2))\}$. In particular, some $e_i(\rho_0(\alpha_1))$ is in the subspace spanned by a subcollection of $\{e_j(\rho_0(\alpha_2))\}$. Since α_1 and α_2 are disjoint curves, this contradicts Theorem 1.6. Therefore, $\overset{\bullet}{C}_0 = 0$.

Since $\overset{\bullet}{C}_0 = 0$ and $\frac{\mathrm{d}}{\mathrm{d}t}\big|_{t=0}(C_t\rho_t(\delta)C_t^{-1}) = 0$, we calculate that

$$\overset{\bullet}{C}_0\rho_0(\delta)C_0^{-1} - C_0\rho_0(\delta)\,\overset{\bullet}{C}_0 + C_0\left(\frac{\mathrm{d}}{\mathrm{d}t}\Big|_{t=0}\rho_t(\delta)\right)C_0^{-1} = \frac{\mathrm{d}}{\mathrm{d}t}\Big|_{t=0}\rho_t(\delta) = 0.$$

By considering the subgroups $\langle \alpha_2, \alpha_3, \beta_1\rangle$ and $\langle \alpha_1, \alpha_3, \beta_2\rangle$, we similarly show that

$$\frac{\mathrm{d}}{\mathrm{d}t}\Big|_{t=0}\rho_t(\beta_1) = 0 \qquad \text{and} \qquad \frac{\mathrm{d}}{\mathrm{d}t}\Big|_{t=0}\rho_t(\beta_2) = 0$$

Since $\frac{\mathrm{d}}{\mathrm{d}t}\big|_{t=0}\rho_t(\eta) = 0$ for all $\eta \in \mathcal{S}$,

$$\overset{\bullet}{\rho}_0 = 0 \in \mathsf{T}\widetilde{\mathcal{H}}_d(S).$$

Therefore, $v = \mathrm{D}\pi\left(\overset{\bullet}{\rho}_0\right) = 0$ as claimed. □

11. Hitchin representations for surfaces with boundary

In this section, we observe that our main simple length rigidity result extends to Hitchin representations of most compact surfaces with boundary.

If S is a compact surface with boundary, we say that a representation $\rho : \pi_1(S) \to \mathsf{PSL}_d(\mathbb{R})$ is a *Hitchin representation* if ρ is the restriction of a Hitchin representation $\hat{\rho}$ of $\pi_1(DS)$ into $\mathsf{PSL}_d(\mathbb{R})$, where DS is the double of S. Labourie and McShane [21, Section 9] show that this is equivalent to assuming that ρ is deformable to the composition of a convex cocompact Fuchsian uniformization of S and the irreducible representation through representations so that the image of every peripheral element is purely loxodromic. (Recall that a non-trivial element of $\pi_1(S)$ is peripheral if it is represented by a curve in ∂S.) Fock and Goncharov [13] refer to such representations as positive representations.

Theorem 11.1. *Suppose that S is a compact, orientable surface of genus $g > 0$ with $p > 0$ boundary components, and (g, p) is not $(1, 1)$ or $(1, 2)$. If ρ and σ are two Hitchin representations of $\pi_1(S)$ of dimension d and $L_\rho(\alpha) = L_\sigma(\alpha)$ for any α represented by a simple non-separating curve on S, then ρ and σ are conjugate in $\mathsf{PGL}_d(\mathbb{R})$.*

Notice that our techniques don't apply to punctured spheres, since they contain no simple non-separating curves. In the remaining excluded cases, there are no configurations of three non-parallel simple non-separating closed curves which do not bound a pair of pants.

Proof. We choose a generating set

$$\mathcal{S} = \{\alpha_1, \beta_1, \dots \alpha_g, \beta_g, \delta_1, \dots, \delta_{p-1}\}$$

represented by simple, non-separating based loops which intersect only at the basepoint so that $\{\alpha_1, \beta_1, \dots, \alpha_g, \beta_g\}$ is a standard generating set for the surface of genus g obtained by capping each boundary component of S with a disk, each δ_i has geometric intersection one with β_1 and zero with every other generator, as in Fig. 5. Notice that any collection of 3 based loops in $\mathcal{S}$ which have geometric intersection zero with each other are freely homotopic to a mutually disjoint, non-parallel collection of simple closed curves which do not bound a pair of pants.

Throughout the proof we identify S with a subsurface of DS and apply our earlier results to the representations $\hat{\rho}$ and $\hat{\sigma}$ of $\pi_1(DS)$. Lemma 6.3 implies that if $\eta \in \pi_1(S)$ is represented by a simple non-separating curve on S, then $|\operatorname{Tr}(\rho(\eta))| = |\operatorname{Tr}(\sigma(\eta))|$ and $\lambda_i(\rho(\eta)) = \lambda_i(\sigma(\eta))$ for all i.

If $g \geqslant 3$, the proof of Theorem 1.2 generalizes rather immediately. We first apply Theorem 7.1 to $\hat{\rho}$ and $\hat{\sigma}$, to see that we may assume, after conjugation in $\mathsf{PGL}_d(\mathbb{R})$, that ρ and δ agree on $\langle \alpha_1, \alpha_2, \alpha_3 \rangle$. If $\eta \in \mathcal{S} - \{\alpha_1, \alpha_2, \beta_1, \beta_2\}$, we may again apply

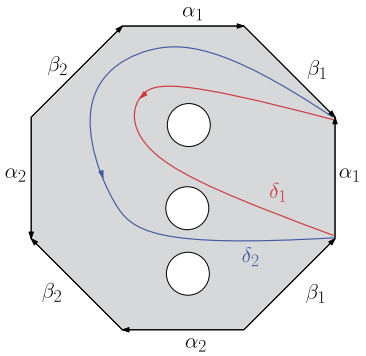

Fig. 5. Our generators on a surface with genus 2 and 3 boundary components.

Theorem 7.1 to show that ρ and σ are conjugate on $\langle \alpha_1, \alpha_2, \eta \rangle$. Since $\hat{\rho}$ and $\hat{\sigma}$ agree on α_1 and α_2, Lemma 7.5 implies that ρ and σ agree on $\langle \alpha_1, \alpha_2, \eta \rangle$. We then consider the triples $\{\alpha_2, \alpha_3, \beta_1\}$ and $\{\alpha_1, \alpha_3, \beta_2\}$ to show that ρ and σ agree on β_1 and β_2, and hence that $\rho = \sigma$.

If $g = 2$ and $p \geqslant 2$, we again use Theorem 7.1 to show that we may conjugate ρ and σ so that they agree on $\langle \alpha_1, \alpha_2, \delta_1 \rangle$. If $i \geqslant 2$, we may again apply Theorem 7.1 to show that ρ and σ are conjugate on $\langle \alpha_1, \alpha_2, \delta_i \rangle$ and then Lemma 7.5 to show that ρ and σ agree on $\langle \alpha_1, \alpha_2, \delta_i \rangle$. We consider the triple $\{\alpha_1, \delta_1, \beta_2\}$ to show that ρ and σ agree on β_2. Therefore, ρ and σ agree on $\mathcal{S} - \{\beta_1\}$. Recall that there exists a homeomorphism $h : S \to S$ such that $h \circ \alpha_i = \beta_i$ and $h \circ \beta_i = \alpha_i$. The above argument implies that the Hitchin representations $\rho \circ h_*$ and $\sigma \circ h_*$ are conjugate on $\langle \alpha_1, \alpha_2, \beta_2 \rangle$ and hence that ρ and σ are conjugate on $\langle \beta_1, \beta_2, \alpha_2 \rangle$. Since ρ and σ agree on β_2 and α_2, Lemma 7.5 implies that they agree on β_1. So, we conclude that $\rho = \sigma$.

If $g = 1$ and $p \geqslant 3$, then $\mathcal{S} = \{\alpha_1, \beta_1, \delta_1, \ldots, \delta_{p-1}\}$. We first apply Theorem 7.1 to show that we may conjugate ρ and σ so that they agree on $\langle \alpha_1, \delta_1, \delta_2 \rangle$. If $i \geqslant 3$, we may consider the triple $\{\alpha_1, \delta_1, \delta_i\}$ to see that ρ and σ agree on δ_i. It remains to check that ρ and σ agree on β_1.

Let $\hat{\delta}_i$ be as in Fig. 6, so that if $\mathcal{S}' = \{\alpha_1, \beta_1, \hat{\delta}_1, \ldots, \hat{\delta}_{p-1}\}$, then the based loops in $\mathcal{S}'$ intersect only at the basepoint and each $\hat{\delta}_i$ has geometric intersection one with α_1 and has geometric intersection zero with every other element of $\mathcal{S}'$. Notice that $\alpha_1 \delta_i = \hat{\delta}_i \beta_1$ and let $u_i = \alpha_1 \delta_i$. Then, ρ and σ agree on the subgroup $\langle \alpha_1, u_1, \ldots, u_{p-1} \rangle$. We may apply the same argument as above to show that ρ and σ are conjugate on $\langle \beta_1, \hat{\delta}_1, \ldots, \hat{\delta}_{p-1} \rangle$. Since this subgroup contains u_1 and u_2, ρ and σ agree on u_1 and u_2, and u_1 and u_2 have non-intersecting axes in $\pi_1(DS)$, Lemma 7.5, applied to $\hat{\rho}$ and $\hat{\sigma}$, implies that ρ and σ agree on $\langle \beta_1, \hat{\delta}_1, \ldots, \hat{\delta}_{p-1} \rangle$ and hence on β_1, so $\rho = \sigma$.

If $g = 2$ and $p = 1$, then $\mathcal{S} = \{\alpha_1, \beta_1, \alpha_2, \beta_2\}$. We will consider the based loops $\hat{\alpha}_i$ and $\hat{\beta}_i$ as in Fig. 7. As the based loops $\{\alpha_1, \alpha_2, \hat{\alpha}_1\}$ are freely homotopic to a mutually disjoint, non-parallel collection of simple, non-separating curves which do not bound a pair of pants, Theorem 7.1 implies that we may assume that ρ and σ agree on $\langle \alpha_1, \alpha_2, \hat{\alpha}_1 \rangle$. Similarly, the representations are conjugate on $\langle \alpha_1, \alpha_2, \hat{\alpha}_2 \rangle$, and since they already agree

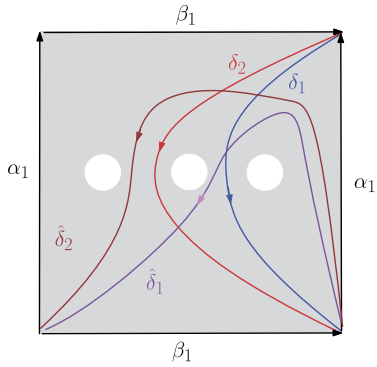

Fig. 6. Curves on a surface of type $(1, p)$ for $p \geqslant 3$.

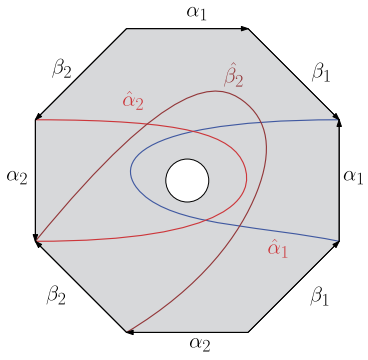

Fig. 7. Genus 2 with 1 puncture.

on $\langle \alpha_1, \alpha_2, \hat{\alpha}_1 \rangle$ and α_1 and α_2 have non-intersecting axes, Lemma 7.5 implies that they agree on $\langle \alpha_1, \alpha_2, \hat{\alpha}_1, \hat{\alpha}_2 \rangle$. Next, by considering the triples $\{\alpha_1, \beta_2, \hat{\alpha}_1\}$ and $\{\alpha_1, \beta_2, \hat{\beta}_2\}$, we see that ρ and σ are conjugate on $\langle \alpha_1, \beta_2, \hat{\alpha}_1, \hat{\beta}_2 \rangle$. Since ρ and σ agree on α_1 and $\hat{\alpha}_1$, they agree on $\langle \alpha_1, \beta_2, \hat{\alpha}_1, \hat{\beta}_2 \rangle$. By similarly considering the triples $\{\alpha_2, \beta_1, \hat{\alpha}_2\}$ and $\{\alpha_2, \beta_1, \hat{\beta}_1\}$, we show that ρ and σ agree on β_1. Since we have shown that, after an initial conjugation, ρ and σ agree on each generator, we have completed the proof in the case that $(g, p) = (2, 1)$. □

We similarly obtain the analogue of our Simple Trace Rigidity Theorem in this setting.

Theorem 11.2. *Suppose that S is a compact, orientable surface of genus $g > 0$ with $p > 0$ boundary components and (g, p) is not $(1, 1)$ or $(1, 2)$. Then, for all $d \geqslant 2$, there exists a finite collection $\mathcal{L}_d(S)$ of elements of $\pi_1(S)$ which are represented by simple non-separating curves, such that if ρ and σ are two Hitchin representations of $\pi_1(S)$ of dimension d and $|\operatorname{Tr}(\rho(\eta))| = |\operatorname{Tr}(\sigma(\eta))|$ for any $\eta \in \mathcal{L}_d(S)$, then ρ and σ are conjugate in $\mathsf{PGL}_d(\mathbb{R})$.*

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