

1 **Preparation of Sustainable Polar Aprotic Solvents from Biomass: One-Pot Two-**
2 **Step Catalytic Reaction of Cellulose with N,N-Dimethylurea over Ru/C**

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13 **Abstract** Inspired by innovative advancements in biomass valorization and the need for
14 more environmentally benign polar aprotic solvents (PAS), a green and catalytic process
15 is described for the synthesis of *N,N*'-dimethylimidazolidinone (DMI) and 1,3,4-
16 trimethylimidazolidin-2-one (TMI) from cellulose, the most abundant and non-edible
17 component of biomass. Notable properties of DMI and TMI include high boiling points,
18 remarkable chemical stability, and being more eco-friendly than such frequently used
19 PAS as dimethylformamide DMF. These properties make DMI and TMI appealing
20 solvents for the pharmaceutical industry. Cellulose depolymerization and reaction of
21 intermediate products with *N,N*-dimethylurea (DMU) to produce PAS have been
22 investigated in a one-pot, two-step process at elevated temperatures. Ru/C is an
23 effective multifunctional catalyst for both C-C bond cleavage in cellulose and subsequent
24 hydrogenation of the unsaturated products. The catalyst also promotes the condensation
25 of hydroxy ketone intermediates with DMU to create cyclic PAS. The tandem reactions
26 are challenging because the varying conditions required in each step. An overall 85%
27 selectivity for PAS was achieved starting from cellulose or sugar using DMI (the product)
28 as a solvent. The optimized conditions for coupling of 1,2-propylene glycol (1,2-PG) with
29 DMU was employed in a mechanistic study to produce PAS with both homogeneous and
30 heterogenous Ru catalysts. Catalytic oxidation of 1,2-PG to hydroxy acetone is the key
31 step to produce TMI and this step is promoted by electron-donating phosphine ligands
32 on Ru.

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34 **Keywords:** Renewable solvents, catalysis, glucose, fructose, imidazolidinone, retro-
35 aldol, and glycolaldehyde

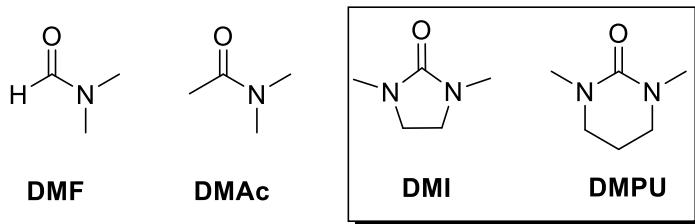
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1 **Introduction**

2 Recently, significant effort has been directed to the development of green and
3 sustainable procedures for the chemical conversion of non-edible biomass to high value-
4 added compounds.¹⁻⁶ Environmentally benign solvents constitute an interesting target
5 for bio-based products.⁷⁻⁸ Examples of solvents from renewable sources include 2-
6 methyltetrahydrofuran (2-MeTHF),⁹⁻¹⁰ limonene,¹¹ ethanol,¹² and glycerol.¹³ Although
7 certain polar aprotic solvents (PAS) such as dimethylformamide (DMF) and
8 dimethylacetamide (DMAc) are widely used in nucleophilic substitution reactions (nearly
9 50% of the PAS usage)^{7, 14-15} and in the pharmaceutical industry,⁷ these solvents (Figure
10 1) are not derived from renewable sources. While these are better solvents for a number
11 of reactions because of their unique ability to dissolve polar materials and salts, they
12 face growing scrutiny and regulatory restrictions as more concerns over their
13 environmental impact and toxicity emerge.^{8, 16} Newer PAS represented by cyclic urea
14 *N,N*'-dimethylimidazolidinone (DMI) and *N,N*'-dimethylpropyleneurea (DMPU) have
15 been used in place of DMF and DMAc with preliminary data indicating that they are safer
16 for the endocrine system (Figure 1).¹⁷ Considering the lack of PAS made from biomass,
17 we sought to identify and develop environmentally friendly methods to produce
18 sustainable PAS from renewable and non-edible bio-based resources.

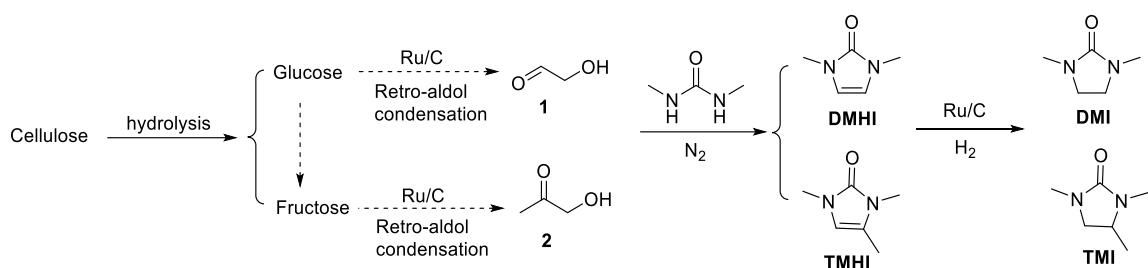
19 *Currently in use* *Potential replacements*



21 **Figure 1.** Industrially important Polar Aprotic Solvents (PAS). DMF and DMAc have reprotoxicity
22 issues and are strongly regulated particularly by the European Union.¹⁶ DMI and DMPU are
23 considered more eco-friendly substitutes of DMF and DMAc.

24 Cellulose is one of the world's largest renewable, non-edible feedstock available with
25 production of nearly 40 billion ton residues per year.¹⁸ It is being considered as a
26 promising alternative to petroleum and a sustainable resource to produce fuels and
27 chemicals.¹⁸⁻¹⁹ Catalytic conversion of cellulose into polyols including sorbitol, glycerol,
28 ethylene glycol (EG) and 1,2-propylene glycol (1,2-PG) represents an important route for
29 the effective utilization of cellulose.^{4-5, 13, 18-19} Of particular interest for this work is the
30 catalytic conversion of cellulose to short chain polyols (EG and 1,2-PG) and the coupling
31 of these polyols with *N,N*-dimethylurea (DMU) to produce cyclic PAS such as *N,N*'-
32 dimethylimidazolidinone (DMI) and 1,3,4-trimethylimidazolidin-2-one (TMI) (Scheme 1).
33 Chemical stability and physical properties of DMI and TMI are suitable to serve as a high
34 boiling PAS.^{8, 20} For cellulose conversion to EG, there are three consecutive reactions:
35 (1) hydrolysis of cellulose to glucose; (2) retro-aldol condensation of glucose to
36 glycolaldehyde **1**; and (3) hydrogenation of glycolaldehyde to EG.²¹⁻²⁶ Cellulose

1 conversion to hydroxyacetone **2** requires fructose undergone C-C bond cleavage via the
 2 retro-aldol reaction as shown in Scheme 1. Fructose can be produced either from direct
 3 cellulose hydrolysis or isomerization of glucose.^{18, 22, 26} Water was recognized as the best
 4 solvent for cellulose conversion to short chain polyols. However, coupling of hydroxy
 5 ketones with urea has been investigated.²⁷⁻²⁹ The synthesis of imidazolidinone
 6 derivatives from urea was achieved in high boiling organic solvents²⁸ or under microwave
 7 irradiation.²⁷ The coupling of diols, EG and 1,2-PG with DMU was first described in 1992
 8 using tris(triphenylphosphine)ruthenium(II) chloride as catalyst;³⁰ nevertheless, no
 9 further study or development of this reaction has been reported. These reactions were
 10 conducted in refluxing diglyme EG and 1,2-PG for 12 hours giving a modest to good
 11 yields of 40% and 79%, respectively.



12

13 **Scheme 1.** Catalytic conversion of cellulose into polar aprotic solvent (PAS) via tandem
 14 catalysis.

15

16 The traditional approach of biomass valorization via platform molecules requires
 17 additional steps for the separation, purification, and drying of intermediates resulting in
 18 lower yield of the final products with a large amount of waste. A one-pot reaction is more
 19 promising for large-scale cellulose conversion to PAS. In this process, hydrolysis of
 20 cellulose, C-C bond cleavage of the sugars, coupling of hydroxy ketones **1** and **2** with
 21 DMU, and hydrogenation of the unsaturated products 1,3-dimethyl-1,3-dihydro-2H-
 22 imidazol-2-one (DMHI) and 1,3,4-trimethyl-1,3-dihydro-2H-imidazol-2-one (TMHI) occur
 23 in sequence to reach the maximum selectivity of the target products DMI and TMI
 24 (Scheme 1). The success of these tandem catalytic reactions in one-pot requires a
 25 multifunctional catalyst and a good solvent system. Ruthenium supported on activated
 26 carbon (Ru/C) is known for its high efficiency of cellulose conversion to EG and 1,2-PG²²
 27 as well as its excellent reusability.²⁴ Solvent selection is challenging in this tandem
 28 reaction because of low cellulose conversion into hydroxy ketones **1** and **2** in organic
 29 solvents, whereas the highest yield for the reaction of hydroxy ketones with DMU are
 30 obtained in organic solvents.²⁷⁻²⁸ To the best of our knowledge, there have been no
 31 reports until now to produce PAS from renewable resources. Herein, one-pot reactions
 32 of cellulose with DMU have been investigated in various solvents over Ru/C. The
 33 reaction proceeds efficiently in one-pot, two-step process. Reactions under N₂
 34 atmosphere result in unsaturated intermediates **1** and **2** which upon coupling with DMU
 35 generate DMHI and TMHI. PAS is produced by hydrogenation of DMHI and TMHI in a
 36 second step in the same pot without a need for separation and purification of the
 37 intermediates. An overall 85% selectivity for PAS was achieved starting from cellulose

1 or sugar (glucose/fructose). A mechanism that agrees with all experimental observations
2 is also presented.

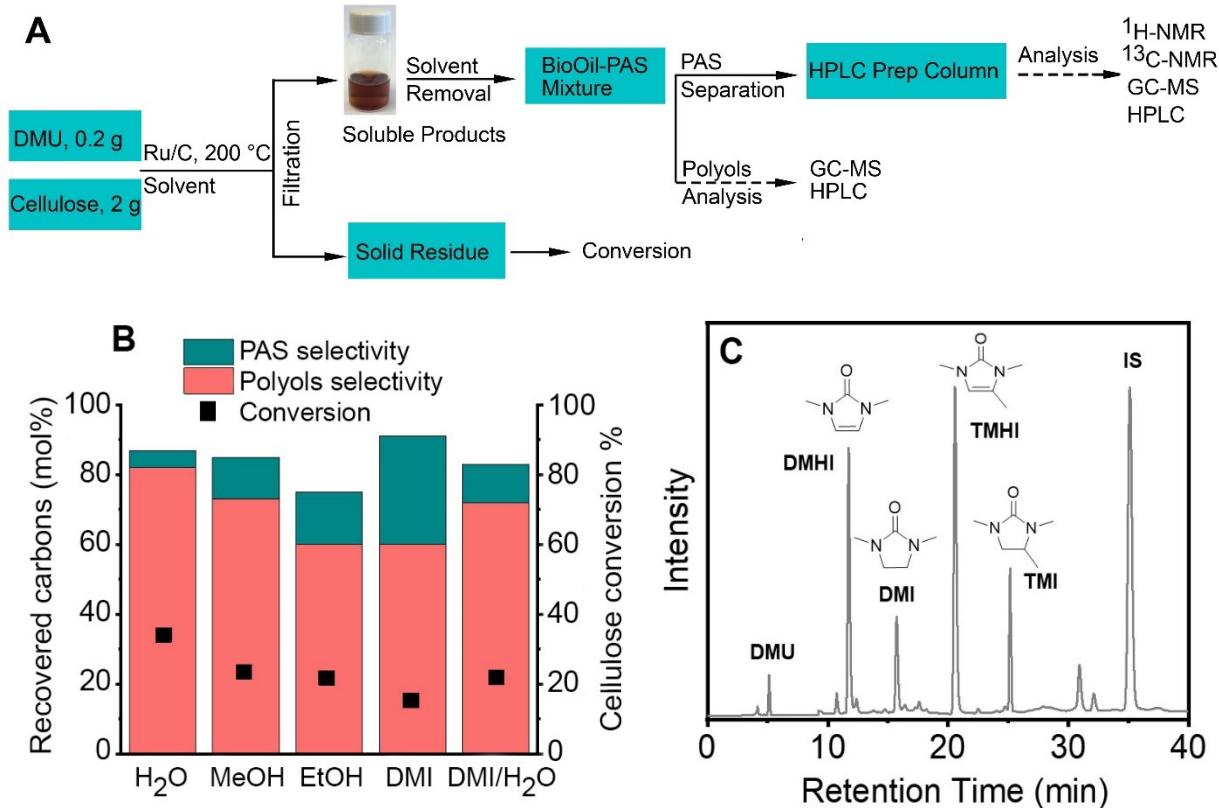
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4 **Results & Discussion**

5 **PAS from cellulose**

6 A one-pot, two-step catalytic conversion of cellulose in the presence of DMU to PAS has
7 been studied in various solvents at elevated temperature. Water is known to be an
8 efficient medium for cellulose and sugar conversion to produce polyols using Ru/C
9 catalyst under H₂ atmosphere.^{4, 13, 18, 22} In our initial investigations, the reactions were
10 performed in water under H₂ atmosphere resulting in little or no yield of PAS. Notably,
11 performing the reaction under N₂ showed remarkably higher selectivity towards
12 formation of unsaturated products, DMHI and TMHI, leading us to design this process
13 as a one-pot, two-step cellulose conversion to PAS. The reaction was conducted under
14 N₂ (35 bar) gas for 6 hours at 200 °C generating unsaturated PAS. After cooling down
15 to room temperature and purging the N₂ gas, the reaction vessel was pressurized with
16 H₂ gas to hydrogenate the unsaturated products in the same vessel in a second step.

17 Figure 2A provides an experimental schematic of the isolation and characterization of
18 the products. Following the one-pot catalytic conversion and filtration of solid residues,
19 the bio-oil-PAS mixture is fractioned into two forms for characterization and quantification
20 of PAS and polyol derivatives. The PAS products are separated using High-Performance
21 Liquid Chromatography (C18 preparative column), extracted with chloroform, and
22 analyzed by various techniques as shown in Figure 2A. Product distribution of the
23 reaction of diols with DMU were quantified by HPLC equipped with UV detector
24 (HPLC/UV) at 210 nm. The HPLC chromatogram and the retention time associated with
25 saturated and unsaturated PAS products are shown in Figure 2C. The polyol molecules
26 including sorbitol, glycerol, 1,2-PG, and EG are analyzed by HPLC- Refractive Index
27 Detector (HPLC/RID) at 70 °C.



1
2 **Figure 2.** Cellulose conversion to PAS and polyols, the experimental schematic and mass
3 balance. **(A)** Diagram showing the overall experimental procedure and analysis. **(B)** Cellulose
4 conversions (■) in various solvents and mass balance accompanying the diagram shown in
5 Figure 2A. Condition: Sigmacell cellulose (2.0 g), DMU (0.2 g, 2.23 mmol), Ru/C (0.2 g) with the
6 corresponding solvent (20 mL) were heated in a 75 mL stainless steel autoclave at 200 °C in two
7 steps: first, under N_2 atmosphere (35 bar) for 6 hours, then H_2 (30 bar) for 6 hours. DMI/ H_2O (1:1
8 ratio) was used as solvent. **(C)** Exemplary HPLC chromatogram and retention time of the PAS
9 products.

10
11 Cellulose conversions were determined by the change of cellulose weight before the
12 reaction and after the solid residue (Figure 2A) was dried at 60 °C overnight (See
13 Supporting Information for more details on calculation). Compositional analysis of the
14 solid residue revealed that cellulose hydrolysis and its conversion to polyols and PAS
15 compounds were less than 40% in various solvents (Figure 2B). In our optimized
16 procedure, a large excess amount of cellulose (2.0 g) relative to DMU (0.2 g) is
17 required to compensate for the low cellulose conversion. To investigate the role of the
18 catalyst loading on cellulose depolymerization, the stainless-steel autoclave reactor
19 was loaded with a high (0.4 g) or low (0.1 g) amount of Ru/C with 5 wt% Ru content in
20 water, methanol, and DMI. Higher cellulose conversions were achieved at high catalyst
21 loading without significant improvement on the yield of PAS products (Table S1).

1 However, as catalyst loading was decreased, cellulose conversions and PAS yields
2 declined significantly indicating that the catalyst is necessary and responsible for
3 cellulose cleavage. The reaction of cellulose with DMU in water was conducted at
4 various temperatures. PAS selectivity increased significantly as the temperature
5 increased from 150 (< 8%) to 180 °C (35%) and slightly improved at 200 °C (40%).
6 Raising the temperature to 240 °C did not affect PAS selectivity.

7 As seen in Figure 2B under optimized conditions (0.2 g of Ru/C (5 wt%) at 200 °C),
8 reactions in water gave the highest cellulose conversion (32%) because of higher
9 solubility of cellulose in water at this temperature. Alcohols as organic hydrogen donor
10 solvents gave lower cellulose conversion MeOH (23%), EtOH (20%) followed by DMI
11 (13%) the lowest as an aprotic solvent. However, PAS selectivity from the reaction of
12 small molecules with DMU is the lowest in water (5%) relative to organic solvents such
13 as methanol (12 %), ethanol (15%) and DMI (31%). PAS was demonstrated to be the
14 most selective in DMI as an aprotic solvent. The mass balance shows that above 80%
15 moles of carbons from cellulose conversion were recovered in various forms of PAS and
16 polyol products (Figure 2B and Table S2). On molar basis, only two carbons in DMI and
17 three carbons in TMI are accounted for from cellulose. The missing mass, 9% in DMI up
18 to 16% in DMI/water is presumably mostly volatile hydrocarbons, which were not
19 analyzed in this study.

20 Product distribution of PAS derivatives and polyols selectivity are shown in Figure 3A-B,
21 and also presented with more details in Table S2. The remaining DMU was measured
22 by HPLC/UV at 210 nm to calculate DMU conversion and the selectivity for PAS
23 derivatives (Figure 3A and Table S2). At 200 °C, most of DMU (~ 60%) is converted in
24 two steps with DMI and TMI as the major products, likely due to the hydrogenation of
25 unsaturated compounds DMHI and TMHI. Formation of DMHI and TMHI was verified by
26 ¹H NMR and HPLC analysis from the reaction in ethanol. Overall, PAS selectivity is
27 higher in the aprotic polar solvent DMI, than the protic solvents MeOH and EtOH, with
28 the lowest amount in water. Side reaction of DMU with 2,3-butandiol resulted in formation
29 of the byproduct 1,3,4,5-tetramethylimidazolidin-2-one with retention time at 32 minutes.
30 (Figure 2C). However, this byproduct was not quantified.

31 Sorbitol (~ 20 %) is the major component of the polyol fraction along with ethylene glycol,
32 1,2-propylene glycol, and glycerol as shown in Figure 3B. Sorbitol is produced from
33 hydrogenation of glucose and fructose in the second step under H₂.¹⁸⁻¹⁹ EG and 1,2-PG
34 are the results of hydrogenation of intermediates **1** and **2** respectively. Analysis on the
35 remaining amount of EG (1.3 mmoles) and 1,2-PG (1.1 mmoles) after the second step
36 in DMI revealed that significant amount of **1** and **2** are converted to the corresponding
37 PAS products, 0.91 mmoles of DMI and 1.63 mmoles of TMI (Table S2). Therefore,
38 improving the cellulose conversion to **1** and **2** would result in higher PAS yields.

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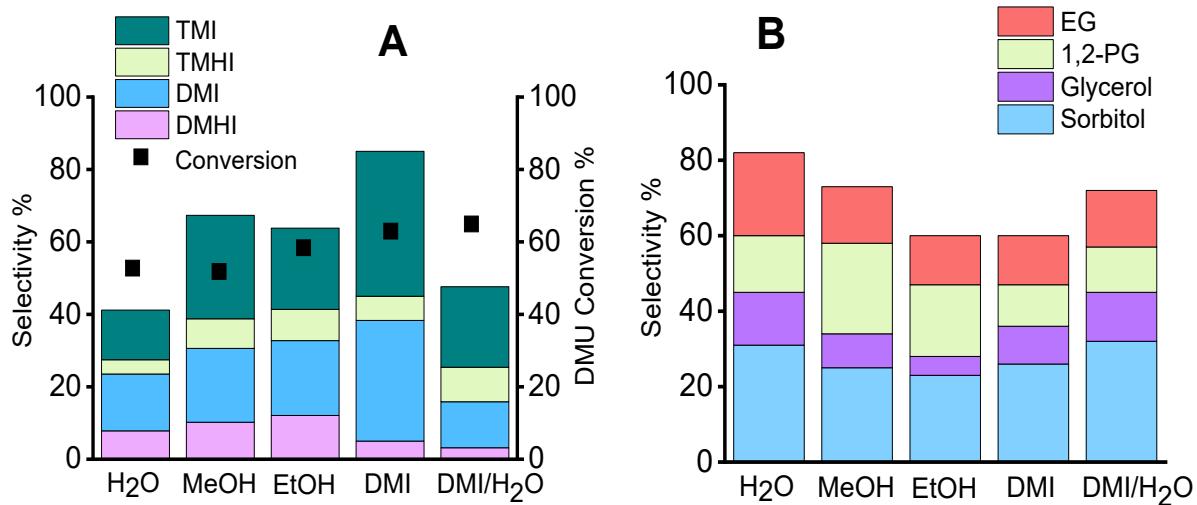


Figure 3. Analysis of PAS and polyol fractions from the catalytic conversion of cellulose in various solvents. (A) PAS fraction composition analyzed by HPLC-UV detection at 210 nm with benzyl alcohol solution (5.0 mM) as an internal standard. (B) Polyol fraction composition. Reaction conditions are identical to Figure 2B.

When various tungsten species such as tungsten trioxide (WO_3) or ammonium metatungstate (AMT) were used with Ru/C, higher cellulose conversion was achieved: $WO_3 + Ru/C$ (65%), AMT + Ru/C (72%) in DMI. However, according to HPLC/UV analyses, the PAS yield was low. It has been reported that the C=C bonds such as those in the unsaturated PAS intermediates DMHI and TMHI can be cleaved in the presence of acidic tungsten species. Tungsten bronze (H_xWO_3) is the active species in catalytic tungsten-based systems known to promote cleavage of C-C bonds.^{21-22, 25} Since acid catalyzes cellulose conversion as well as coupling of hydroxy ketones with DMU,²⁸ the addition of sulfuric acid in water (5mM solution) enhanced cellulose conversion to polyols in the presence of Ru/C; mainly ethylene glycol (33%) and sorbitol (45%) were obtained. However, it did not improve PAS yields, likely due to protonation of unsaturated intermediates **1** and **2** in acid. PAS yield strongly depends on cellulose depolymerization as well as the reaction medium. The reaction of glucose or fructose was investigated in various solvents to further understand the relationship between these intermediates with the production of PAS and elucidate the pertinent mechanism (Scheme 1).

Conversion of glucans directly to PAS

Sugar conversion was also studied in an autoclave under the optimized conditions in one-pot, two-steps process using Ru/C at 200 °C. After the second step under H_2 atmosphere, 100% of the sugar was converted to polyols and PAS products in both protic and aprotic solvents. The absence of glucose or fructose was confirmed by HPLC-reflective index detector (HPLC-RID) where excess amounts of polyols EG and 1,2-PG were detected (Figure 4 and Table S3). The polyol product distribution is consistent with previous results reported for sugar conversion.^{4, 22}

1 The amount of DMU left in the reaction mixture was measured by HPLC-UV detection at
2 210 nm. The majority of DMU (between 70-80%) was converted to PAS (Figure 4A),
3 which is higher than that observed for cellulose (~ 55-60 %, *vide supra*). The solvent
4 effect on PAS selectivity followed a similar trend as what is observed for cellulose. The
5 highest PAS selectivity was obtained in aprotic solvent DMI (> 80%). It decreased in
6 protic solvents in EtOH (~ 70%), MeOH (~ 60%), with the lowest in water (approximately
7 40%). DMI is a better solvent choice because it affords high PAS selectivity. One of the
8 interesting observations is that the higher selectivity of TMI was achieved from fructose
9 whereas a higher selectivity of DMI was obtained from glucose conversion in both protic
10 and aprotic solvents similar to what is predicted by retro-aldol condensation (Scheme 1).
11 TMI was obtained in 61% selectivity from fructose vs. 26% selectivity from glucose in
12 DMI (Figure 4A, Table S3). Reactions in water, methanol, and ethanol also verified this
13 observation. Analysis of the polyol fractions revealed a higher selectivity of EG from
14 glucose as well as a higher selectivity of 1,2-PG from fructose in both protic and aprotic
15 solvents (Figure 4B). Catalytic conversion of fructose to 1,2-PG (32%) is significantly
16 higher than EG (11%) over Ru/C catalyst in DMI. Glycolaldehyde **1** is likely the main
17 intermediate of the glucose conversion to generate DMHI and the corresponding
18 hydrogenated compound DMI (Scheme 1). **2** is the main intermediate from fructose
19 leading to the production of TMHI and TMI. Reactions performed in one-step under
20 hydrogen gas atmosphere suppressed PAS production, which is indicative of
21 hydrogenation of hydroxy ketones **1** and **2**, and as a result EG and 1,2-PG do not react
22 with DMU.

23 In a mass balance calculation, 80-88% moles of carbon from sugar conversion were
24 recovered as PAS and polyol products (Figure 4B and Table S3). Two carbons in DMI
25 and three carbons in TMI were accounted from the sugar molecule. The missing mass
26 was due to 1,3,4,5-tetramethylimidazolidin-2-one and volatile hydrocarbons byproducts;
27 however, these were not large enough to justify extensive effort to quantify them in this
28 study.

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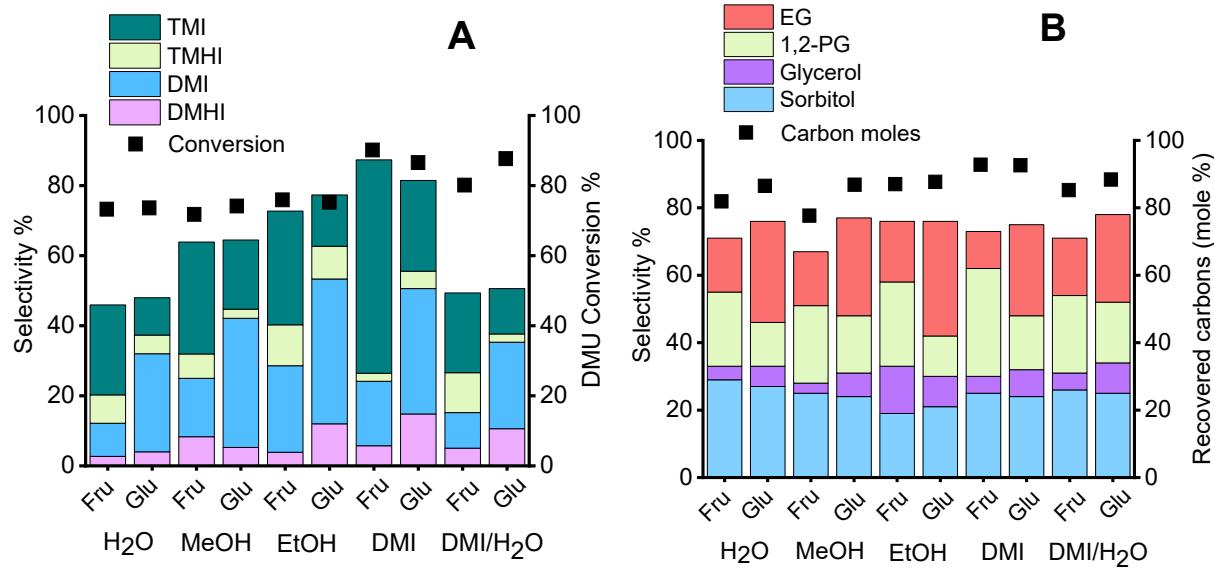


Figure 4. Analysis of PAS and polyol products obtained from the catalytic conversion of fructose (Fru) and glucose (Glu) in various solvents. **(A)** PAS products in various solvents. Selectivity was calculated based on the DMU conversion using HPLC/UV at 210 nm with benzyl alcohol solution (5.0 mM) as an internal standard. **(B)** Polyol selectivity and the molar mass balance of carbons from sugar conversion. Sugar conversion is 100% in all solvents. Conditions: sugars (1.0 g), DMU (0.2 g, 2.23 mmol), Ru/C (0.1 g) in various solvents (20 ml) were heated in a 75 ml stainless steel autoclave at 200 °C in two steps: first, under N₂ atmosphere (35 bar) for 6 hours, then H₂ (30 bar) for 6 hours. Ru/C catalyst contains 5 wt% Ru on activated carbon. DMI/H₂O (1:1 ratio) was used as a solvent.

Because of the different positions of the C=O group in glucose and fructose, glucose was primarily converted to **1** to form EG while fructose produced **2** under N₂ and ultimately hydrogenated to 1,2-PG under H₂ atmosphere.^{22-23, 31-33} Due to the lack of stability of **1** and **2** at room temperature and the better overall yield of PAS from fructose, the coupling of 1,2-PG instead of hydroxyacetone with DMU was investigated.

Coupling of 1,2-PG with DMU and solvent effects

In a parallel study to further understand the catalytic conversion of polyols to PAS, reactions between 1,2-PG and DMU were performed under either N₂ or H₂ atmosphere at 200 °C (Table 1). Coupling of 1,2-PG and DMU mainly produces TMHI (above 80% yield) in organic solvents such as toluene, 2-MeTHF, and DMI under N₂ in one-step (Table 1B). Lower yields were obtained in protic solvents such as MeOH (59%) and Ethanol (65%) with the lowest in water (37%). These results are comparable to those previously obtained in two-step reactions for cellulose and sugar conversion (see above). The yield of coupling of 1,2-PG and DMU was significantly low (< 14%) under H₂ atmosphere (Table 1C). Two hypotheses are put forward to rationalize this observation: either the catalyst is fully hydrogenated resulting in the loss of catalytic activity or

1 hydrogenation of hydroxyacetone **2**, the anticipated intermediate, shifts the reaction
2 towards reactants.

3 Performing these reactions in one-pot two-steps under identical conditions to reactions
4 performed with cellulose and sugars verified formation of TMI (Table 1D). The results
5 from various solvents were consistent with what were achieved from the cellulose and
6 sugar conversions to PAS. Higher yields (> 81%) were obtained in organic solvents using
7 toluene (86%), 2-MeTHF (81%), and DMI (85%) (Table 1D, entries 1-4). These yields
8 are comparable to those previously reported using diglyme as a solvent.³⁰ Comparing
9 the results from the reactions under N₂ atmosphere (Table 1B) and the two-step reaction
10 (Table 1D), it is conceivable that hydrogenation of unsaturated PAS occurs in the second
11 step under H₂ atmosphere. There are two main advantages of using DMI as a solvent in
12 this reaction. First, the reaction can be conducted at atmospheric pressure because of
13 the high boiling point of DMI, 225 °C³⁴ (Table 1, entry 4). Second, the product of the
14 reaction can serve as a solvent dissolving the reactants as the reaction proceeds. TMI
15 was obtained in high yield (78%) using minimal amount of DMI as a solvent under
16 identical conditions to entry 4, Table 1. The reaction yield is lower in protic solvents
17 MeOH (63 %), EtOH (70%), and water (47%). In previous scanning tunneling microscope
18 (STM) studies, adsorption of water on Ru surfaces results in various surface species
19 such as molecular H₂O, H₂O-C complexes, H, O, OH species where the related reaction
20 can occur on Ru surface.³⁵⁻³⁶ It is likely that intermediate **2** is reduced to 1,2-PG in water
21 or protic solvents preventing the coupling of **2** with DMU and hence PAS production.^{27,}
22 35-36

23
24 **Table 1.** Coupling of 1,2-PG and DMU (**A**).

Entry	Solvent	B (TMHI %)	C (TMI %)	D (TMI %)
1	Toluene	88	14	86
2	2-MeTHF	86	11	81
3	DMI	84	12	85
4 ^e	DMI	83	15	83
5	MeOH	59	4	62
6	EtOH	65	5	70
7	water	37	2	48

25 (**A**) 1,2-PG (1.7 g, 22.8 mmol), DMU (0.5 g, 5.7 mmol), 0.2 g Ru/C (5 wt%), and 20 mL solvent
26 were heated in a 75 mL stainless steel autoclave at 200 °C. (**B**) One step reactions under N₂ (35
27 bar) for 12 hours. (**C**) Reactions under H₂ (30 bar) for 12 hours. (**D**) Two step reactions: first,
28 under N₂ atmosphere (35 bar) for 6 hours, then H₂ (30 bar) for various times. Yields were
29 analyzed by HPLC/UV with benzyl alcohol solution (5.0 mM) as an internal standard. TMHI Yields
30 were also confirmed by ¹H-NMR with naphthalene as an internal standard (entries 1-4). ^eDMI
31 under N₂ inert gas at 1 bar.

32

1 The product distribution was altered by varying the ratio of the starting materials under
2 N₂ atmosphere (Table 2). Evidently, an increase of the 1,2-PG ratio leads to higher yields
3 of TMHI, while the excess amount of DMU does not promote TMHI yields. Significant
4 improvements on PAS yields were obtained when 1.5 ratio of 1,2-PG or higher was
5 employed in this reaction (Table 2, entries 5-7). This effect is related to the higher rate
6 of formation of **2** during the reaction. The reaction time can be halved to 6 hours by
7 increasing the ratio of 1,2-PG to 2 times that of DMU (Table 2, entry 7), a comparable
8 outcome to DMU conversion in the sugar study above (>70%). This indicates that a
9 longer reaction time on the first step (under N₂) for the cellulose/sugar conversion would
10 promote DMU conversion to PAS. To verify this observation, the reaction of cellulose
11 with DMU was repeated under N₂ in EtOH for a longer time of 12 hours at 200 °C. Slightly
12 higher DMU conversion (65% vs 58%) was achieved. It can be concluded that C-C bond
13 cleavage and formation of **1** and **2** occurs faster than coupling of **1** and **2** with DMU in
14 this catalytic cellulose or sugar conversion over the Ru/C catalyst.

15 Decreasing the amount of catalyst (0.1 g Ru/C) resulted in lower yield of TMHI (54%)
16 under identical conditions as shown in entry 3, Table 2. The effect of temperature on the
17 reaction was examined for the coupling of 1,2-PG with DMU under N₂ (Table S4). TMHI
18 was obtained in 80% yield at 180 and 200 °C (Table S4, entries 4-5). Significantly lower
19 yields (< 32%) were obtained at 150 °C and below. Performing this reaction under H₂
20 atmosphere instead of a two-step reaction resulted in a significant decline in PAS
21 formation.

22
23 Table 2. Results of reactions with varying ratios of 1,2-PG and DMU.^a

Entry	1,2-PG/DMU	Time (hour)	TMHI%	Remaining 1,2-PG%	Remaining DMU%
1	1 : 1	12	27	65	71
2	1 : 2	12	32	42	81
3	1.5 : 1	12	79	28	9
4	2 : 1	12	85	47	4
5	4 : 1	12	88	57	5
6	1.5 : 1	6	45	51	50
7	2 : 1	6	66	65	31
8	4 : 1	6	78	66	9

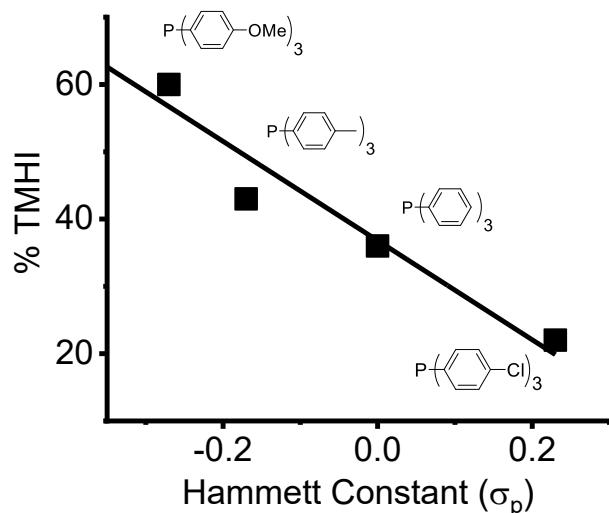
24 ^a1 Equivalent of 1,2-PG (7.0 mmol), DMU (7 or 14 mmol), 0.2 g Ru/C (5wt%), and 2-MeTHF (20
25 ml) were heated in a 75 ml stainless steel autoclave under N₂ inert atmosphere (35 bar) at 200
26 °C. Yields and the remaining amount of 1,2-PG were analyzed by ¹H-NMR with naphthalene as
27 an internal standard. The remaining amount of DMU was characterized by both ¹H-NMR and
28 HPLC/UV detection.

29

30

1 **Electronic effect of Ruthenium on the reaction of 1,2-PG and DMU**

2 In order to understand how electronic variation on the Ru catalyst affects the reaction of
3 1,2-PG and DMU, experiments were conducted with homogeneous ruthenium catalysts
4 generated *in situ*. These studies of a well-defined homogeneous system would elucidate
5 electronic effects that can inform heterogeneous catalyst design. $\text{RuCl}_3 \cdot 3\text{H}_2\text{O}$ was
6 combined with various arylphosphine ligands, in a 1:2 ratio, respectively. The catalyst
7 mixtures were added in a microwave reactor to 1,2-PG and DMU in 2-MeTHF as a
8 solvent under nitrogen atmosphere (details provided in Table S5). A linear is observed
9 based on the TMHI yield vs. the Hammett constant of the para phenyl substituents
10 (Figure 5).³⁷ Using triphenylphosphine, the yield of TMHI was only 38%. Adding an
11 electron donating substituent to the phenyl ring increased the yield up to 61%. On the
12 other hand, an electron withdrawing substituent dropped the yields to 20%. Therefore,
13 higher electron density on Ru accelerates the rate of reaction for coupling 1,2-PG and
14 DMU.



15
16 **Figure 5.** Plot of TMHI yields vs. Hammett constants of various para substituents on the phenyl
17 phosphine ligands.

18
19 **Discussion**

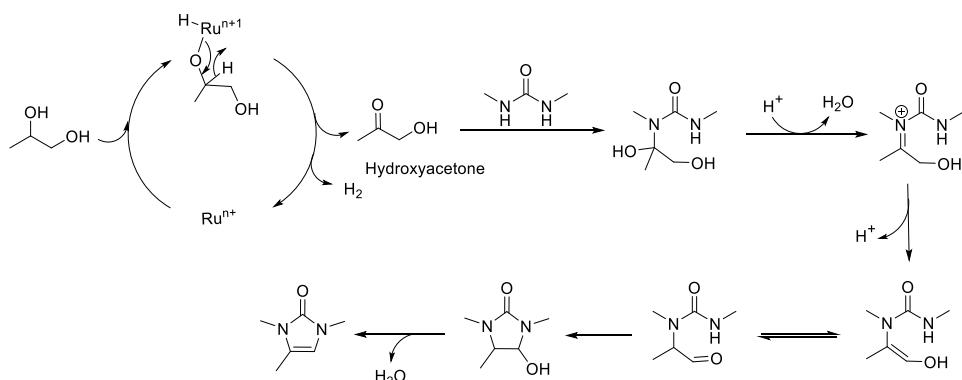
20 A novel, direct, catalytic, and green process to obtain polar aprotic solvents (PAS) from
21 renewable resources was described. PAS can be successfully produced in high
22 selectivity in one-pot catalytic reactions of cellulose or sugars with DMU under Ru/C at
23 200 °C in two steps. The first step was conducted under N_2 atmosphere to yield the
24 unsaturated PAS including DMHI and TMHI. Formation of DMHI and TMHI were verified
25 in ethanol by ^1H NMR and HPLC-UV detection. The initial hypothesis was that the first
26 step consists of two consecutive reactions, cellulose or sugar conversion to hydroxy
27 ketones and their subsequent condensation reaction with DMU. Glucose and fructose
28 break down into glycolaldehyde **1** and hydroxyacetone **2** respectively according to retro-

1 aldol condensation.²⁵⁻²⁶ The condensation of **1** and **2** intermediates with DMU creates
2 DMHI and TMHI respectively. Experimentally, higher selectivity of DMI was obtained
3 from the reactions of glucose with DMU in various solvents, while the selectivity of TMI
4 was higher from analogous reactions starting from fructose. All supports the acyloins
5 character of the intermediate species involved in the reaction pathway. In the second
6 step, hydrogenation of DMHI and TMHI was conducted in the same pot over Ru/C under
7 H₂ atmosphere.

8 A simpler system composed of vicinal diols and DMU to produce PAS proceeds through
9 the catalytic oxidation of vicinal diols to the corresponding acyloins over Ru/C as
10 previously reported by Watanabe.³⁰ **2** is identified by ¹H NMR as the product of the
11 catalytic oxidation of 1,2-PG by homogeneous or heterogeneous ruthenium catalysts in
12 2-MeTHF in the absence of DMU (SI-III) which provides further evidence for formation
13 of hydroxy ketone **2** in the reaction pathway. Upon coordination of diols to Ru, the
14 corresponding adduct intermediate undergoes β -hydride elimination releasing the
15 acyloin product and an equivalent of hydrogen as illustrated in Scheme 2. The reaction
16 of EG with DMU under the same conditions produced DMI at a lower yield (22%)
17 indicating that oxidation of the secondary alcohol proceeds faster than the primary
18 alcohol over Ru/C.

19 Various studies have documented the condensation reactions between acyloins and
20 urea for preparation of 4-imidazolin-2-one derivatives by refluxing the mixture in a solvent
21 in the absence of a catalyst.²⁷⁻²⁸ In some cases, an acid or a base was used as a catalyst.
22 Performing the reaction of **2** with DMU in the absence of a catalyst gives a yield of TMHI
23 (69%) comparable to the catalytic reaction. Therefore, Ru/C is not required for the
24 reaction of **2** with DMU. Once **2** is generated by catalytic oxidation of 1,2-PG over Ru/C,
25 the condensation step proceeds thermally with an amine from DMU reacting with the
26 carbonyl group of hydroxyacetone, forming enamine after dehydration and
27 rearrangement. Upon tautomerization, enamine gives another carbonyl that can react
28 with the second amine in a similar manner. The product TMHI is then obtained following
29 a second dehydration (Scheme 2).

30



31

32 **Scheme 2.** Plausible mechanism for the production of unsaturated product TMHI

1
2 Finally, it should be noted that a two-step reaction allows the hydroxy-ketone/aldehyde
3 intermediates **1** and **2** to react with DMU under N₂ in the first step when starting with
4 cellulose or sugar. These intermediates (**1** and **2**) along with imine and enamine can
5 easily undergo hydrogenation in the presence of Ru/C catalyst under H₂ atmosphere
6 inhibiting production of the desired PAS, necessitating two-steps, first under N₂ to form
7 unsaturated PAS followed by step two under H₂ to give the desired PAS products.

8 **Conclusions**

9 A green and catalytic approach for the conversion of cellulose, glucose, or fructose to
10 polar aprotic solvents (PAS) is presented using Ru/C at 200 °C. Cellulose and sugar
11 conversion follows a pattern predicted by retro-aldol mechanism with glycolaldehyde **1**
12 and hydroxyacetone **2** being the major intermediates in route to PAS.^{22-23, 25-26} Ru/C is
13 an effective multifunctional catalyst where C-C bond cleavage of cellulose and sugars,
14 oxidation of EG and 1,2-PG to **1** and **2** followed with coupling to DMU, and
15 hydrogenation of the unsaturated PAS products were achieved in one-pot two-step
16 reactions. One of the appealing aspects of this reaction chemistry is that the product of
17 the reaction can serve as the solvent. This provides an attractive design element for a
18 greener process not requiring an external solvent and its associated recycling costs. PAS
19 in general (DMI in this study) is the best solvent to generate PAS at atmospheric pressure
20 because of its high polarity and boiling point which adds another aspect to sustainability
21 and safety by not needing high pressure reactors. The overall high PAS selectivity of
22 85% was achieved in one-pot, two-step process for both cellulose and sugar conversion
23 over Ru/C at 200 °C.

24 Coupling of 1,2-PG with DMU using Ru/C catalyst provide a novel synthetic design to
25 yield the imidizolone TMHI which upon hydrogenation gives the imidizolidinone TMI, an
26 appealing compound worthy of further study as a solvent. Oxidation of diol to the
27 corresponding acyloins is the key step for this reaction catalyzed by Ru/C. TMI was
28 obtained in 80% yield in two-steps under heterogeneous catalysis in organic solvents
29 with only hydrogen and water as the byproducts. Electronic effects from an *in situ*
30 prepared homogeneous Ru phosphine catalysts revealed that electron-donating
31 phosphine ligands (i.e. higher electron density on Ru) accelerated the reaction, 1,2-PG
32 oxidation to hydroxyacetone. Further studies on the design of a Ru catalyst using
33 different supports should provide more effective catalysts for C-C cleavage of
34 carbohydrates and oxidation of vicinal diols to improve the productivity of PAS from
35 renewable sources.

36

37 **Experimental section**

38 **Material** 1,2-Propylene glycol and D-(-)-Fructose were purchased from Acros-Organics.
39 D-(+)-Glucose was purchased from Alfa-Aesar. Ruthenium (5wt%) supported on
40 activated carbon (Ru/C), sigmacell cellulose, and all other chemicals were purchased
41 from Sigma Aldrich. Naphthalene, benzyl alcohol, and cis-1,5-cyclooctane diol from

1 Sigma-Aldrich was used as an internal standard for $^1\text{H-NMR}$, and HPLC/UV, and
2 HPLC/RID respectively. All chemicals were used as received without further purification.

3 **General procedure**

4 A 75 mL stainless steel autoclave reactor from Parr Instruments was charged with the
5 substrates, Ru/C, and a glass magnetic stir bar. Prior to the reaction, the autoclaves
6 were flushed three times with nitrogen before applying 35 bars of nitrogen pressure and
7 heating to 200 °C. After termination of the reaction periods, the autoclaves were cooled
8 down, released the nitrogen pressure, and charged with 35 bars of hydrogen gas
9 conducting the hydrogenation step at 200 °C. The reaction conditions are described
10 under the table footnote. Following the one-pot catalytic conversion, the reaction mixture
11 is fractioned into two forms, PAS derivatives that are analyzed by High-Performance
12 Liquid Chromatography equipped with a UV detector (HPLC/UV) and the polyol
13 molecules analyzed by a HPLC- Reflective Index Detector (HPLC/RID). Details on
14 characterization methods are provided in the Supporting Information. Cellulose
15 conversion was determined by the weight difference of cellulose before and after the
16 reaction; product selectivities were calculated on the DMU basis for one-pot, two-step
17 experiments.

18 In homogeneous catalytic reactions, catalyst $\text{RuCl}_3 \cdot 3\text{H}_2\text{O}$ and the respective phosphine
19 ligands were added to the reaction vessel along with DMU, 1,2-PG, and 2-MeTHF
20 solvent. After briefly sparging with inert N_2 gas, the reaction vessel was sealed and
21 heated at 180 °C. Microwave reactions were performed using a CEM Discover SP
22 System and a standard heating method with a set point of 180°C (reaction temperature
23 determined by a vertically focused IR temperature sensor).

24 **Supporting Information**

25 The Supporting Information is available free of charge online.

26 Product characterization for TMI and TMHI, HPLC and NMR analyses, effect of catalyst
27 loading, mass balance tables, temperature dependence, and effect of phosphine ligands.

28 **Acknowledgements**

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30 Foundation (CHE-1856753). We thank Dr. Hao Luo, Dr. Baoyuan Liu, and Mr. Manhao
31 Zeng for their assistance with HPLC analyses and helpful discussions.

32

33 **Abbreviations**

34 PAS polar aprotic solvent

35 DMI N,N'-dimethylimidazolidinone

36 DMHI 1,3-dimethyl-1,3-dihydro-2H-imidazol-2-one

37 TMI 1,3,4-trimethylimidazolidin-2-one

1	TMHI	1,3,4-trimethyl-1,3-dihydro-2H-imidazol-2-one
2	2-MeTHF	2-methyltetrahydrofuran
3	1,2-PG	1,2-propylene glycol
4	EG	ethylene glycol
5	DMU	N,N'-dimethylurea
6	Ru/C	ruthenium supported on activated carbon
7	HPLC	high performance liquid chromatography
8	RID	refractive index detector
9	GC	gas chromatography

10

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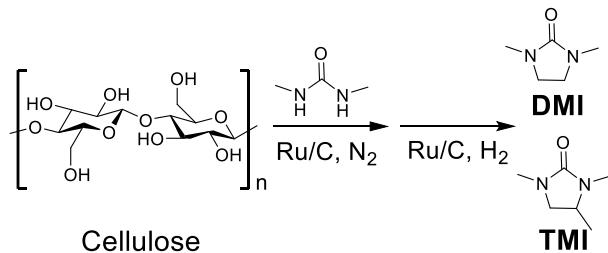
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2 For Table of Contents Use Only

3



4 PAS (85%)

5 One-pot, two-step reaction for making renewable polar aprotic solvents (PAS) from
6 cellulose; the reaction product is used as solvent.