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2	Crystal structure of an RNA/DNA strand exchange junction
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4	Joshua C. Cofsky <sup>1</sup> , Gavin J. Knott <sup>2</sup> , Christine L. Gee <sup>1,3,4</sup> , and Jennifer A. Doudna <sup>*1,3-8</sup>
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6	<sup>1</sup> Department of Molecular and Cell Biology, University of California, Berkeley, Berkeley,
7	California, USA.
8	<sup>2</sup> Monash Biomedicine Discovery Institute, Department of Biochemistry & Molecular
9	Biology, Monash University, VIC 3800, Australia.
10	<sup>3</sup> California Institute for Quantitative Biosciences (QB3), University of California,
11	Berkeley, Berkeley, California, USA.
12	<sup>4</sup> Howard Hughes Medical Institute, University of California, Berkeley, Berkeley,
13	California, USA.
14	<sup>5</sup> Department of Chemistry, University of California, Berkeley, Berkeley, California, USA.
15	<sup>6</sup> Molecular Biophysics and Integrated Bioimaging Division, Lawrence Berkeley National
16	Laboratory, Berkeley, Berkeley, California, USA.
17	<sup>7</sup> Innovative Genomics Institute, University of California, Berkeley, Berkeley, California,
18	USA.
19	<sup>8</sup> Gladstone Institutes, University of California, San Francisco, San Francisco, California,
20	USA.
21	
22	*Corresponding author
23	E-mail: <u>doudna@berkeley.edu</u> (J.A.D.)

### 24 Abstract

Short segments of RNA displace one strand of a DNA duplex during diverse processes 25 including transcription and CRISPR-mediated immunity and genome editing. These 26 strand exchange events involve the intersection of two geometrically distinct helix 27 types—an RNA:DNA hybrid (A-form) and a DNA:DNA homoduplex (B-form). Although 28 previous evidence suggests that these two helices can stack on each other, it is 29 unknown what local geometric adjustments could enable A-on-B stacking. Here we 30 report the X-ray crystal structure of an RNA-5'/DNA-3' strand exchange junction at an 31 anisotropic resolution of 1.6 to 2.2 Å. The structure reveals that the A-to-B helical 32 transition involves a combination of helical axis misalignment, helical axis tilting and 33 compression of the DNA strand within the RNA:DNA helix, where nucleotides exhibit a 34 mixture of A- and B-form geometry. These structural principles explain previous 35 observations of conformational stability in RNA/DNA exchange junctions, enabling a 36 nucleic acid architecture that is repeatedly populated during biological strand exchange 37 events. 38

## 40 Introduction

Although structural and mechanistic information is available for various types of DNA 41 strand exchange processes [1–8], comparatively little is known about RNA/DNA strand 42 exchange. In this reversible process, a strand of RNA hybridizes to one strand of a DNA 43 duplex while displacing the other strand, requiring concomitant disruption of DNA:DNA 44 base pairs and formation of RNA:DNA base pairs. This process occurs most notably at 45 the boundaries of R-loops, such as those left by transcriptional machinery [9], those 46 employed by certain transposons [10,11], or those created by CRISPR-Cas (clustered 47 regularly interspaced short palindromic repeats, CRISPR-associated) enzymes during 48 prokaryotic immunity or eukaryotic genome editing [12–15]. Structural insight into 49 RNA/DNA strand exchange could therefore improve our understanding of how 50 transcriptional R-loops are resolved and how CRISPR-Cas enzymes such as Cas9 51 manipulate R-loops to efficiently reject off-target DNA and recognize on-target DNA. 52 The defining feature of RNA/DNA strand exchange is the junction where the 53 RNA:DNA helix abuts the DNA:DNA helix. Previous experiments on exchange junctions 54 containing an RNA-5' end and a DNA-3' end (an "RNA-5'/DNA-3' junction," which is the 55 polarity generated by Cas9) showed the component DNA:DNA duplex to be more 56 thermodynamically stable than a free DNA helix end, perhaps due to interhelical 57 RNA:DNA/DNA:DNA stacking [16]. While stacking in DNA-only junctions is thought to 58 occur as it would in an uninterrupted B-form duplex [8,17,18], an analogous structural 59 prediction cannot be made for RNA/DNA junctions because the two component helices 60 are predisposed to different geometries: B-form for the DNA:DNA helix and a variant of 61 A-form for the RNA:DNA helix [19–21]. A conformation that preserves base stacking 62

across such a junction must reconcile base pairs that are flat and centered (B-form) with
base pairs that are inclined and displaced from the helical axis (A-form). While prior
structural studies of Okazaki fragments reckoned with a similar geometric puzzle [22],
Okazaki fragments bear an RNA-3'/DNA-5' polarity (opposite of the polarity addressed
here) and lack the strand discontinuity that defines exchange junctions. Thus, the
structural basis for the putative stacking-based stability in RNA-5'/DNA-3' junctions
remains unknown.

Here we present the X-ray crystal structure of an RNA-5'/DNA-3' strand 70 exchange junction, which undergoes an A-to-B transition without loss of base pairing or 71 stacking across the exchange point. This structure reveals the principles of global 72 helical positioning and local adjustments in nucleotide conformation that allow 73 RNA:DNA duplexes to stack on DNA:DNA duplexes in the RNA-5'/DNA-3' polarity. This 74 model also complements previously determined cryo-electron microscopy structures of 75 76 DNA-bound Cas9 for which poor local resolution in the original maps prevented accurate modeling of the leading R-loop edge. 77

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#### 79 **Results**

Inspired by previous crystallographic studies of double-stranded DNA dodecamers
[23,24], we designed crystallization constructs that contained a "template" DNA strand
(12 nucleotides) and two "exchanging" RNA and DNA oligonucleotides that were
complementary to each half of the template DNA strand. In different versions of these
constructs, we varied the polarity (RNA-5'/DNA-3' vs. RNA-3'/DNA-5') and the internal
termini, which were either flush (exchanging oligonucleotides were 6-mers) or extended

with a one-nucleotide flap that was not complementary to the template strand
(exchanging oligonucleotides were 7-mers, "flapped"). Only the flapped construct in the
RNA-5'/DNA-3' polarity (Fig 1A) yielded well-diffracting crystals (anisotropic resolution of
1.6 to 2.2 Å). Thus, all results discussed here describe a flapped RNA-5'/DNA-3' strand
exchange junction, which is the polarity previously observed to stabilize the component
DNA:DNA duplex [16].

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#### **Fig 1. Stabilizing features of the crystal lattice.**

(A) Crystallization construct sequence. Black, DNA; red, RNA. (B) Schematized drawing 94 (not to scale) of the crystal lattice along a direction that depicts the helical network 95 formed by Molecules 1 and 2. Green shading, Molecule 1; blue shading, Molecule 2; 96 orange shading, Molecule 3 (cross section). (C) Similar to panel B, but along a direction 97 that depicts the helical network formed by Molecule 3. (D) Asymmetric unit colored by 98 atomic B-factor. The thickness of the cartoon model also reflects the local B-factors. (E) 99 Model and  $2mF_0$ -DF<sub>c</sub> map (sharpened by -38 Å<sup>2</sup>, displayed at 3.3 $\sigma$ ) of the Ade-Ade and 100 Cyt-Cyt base pairs (contributed by the flap nucleotides of Molecules 1 and 2) that bridge 101 102 the helical network formed by Molecule 3. Distortion in the map is due to diffraction anisotropy (see Methods). 103

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We determined the X-ray crystal structure of the exchange junction (Table 1, S1 Fig). In this structure, the asymmetric unit contains three molecules (a "molecule" comprises one DNA 12-mer and its complementary RNA and DNA 7-mers). The crystal lattice is largely stabilized by nucleobase stacking interactions both within and between

109	molecules. Along one lattice direction, Molecules 1 and 2 form a continuous network of
110	stacked helices, in which the external RNA:DNA duplex terminus of each Molecule 1
111	stacks on the equivalent terminus of Molecule 2, with a similar reciprocal interaction for
112	the external DNA:DNA duplex termini (a "head-to-head" and "foot-to-foot" arrangement)
113	(Fig 1B). Along another lattice direction, symmetry-related instances of Molecule 3
114	create a head-to-foot helical network (Fig 1C). Compared to Molecules 1 and 2,
115	Molecule 3 is poorly ordered (Fig 1D), and its atomic coordinates appear less
116	constrained by the data due to diffraction anisotropy (see Methods). In the Molecule 3
117	helical network, two base pairs formed between the flapped nucleotides of Molecules 1
118	and 2 bridge the duplex ends. The bridging nucleotides form a type I adenine-adenine
119	(ribonucleotide) base pair and a type XV hemiprotonated cytosine-cytosine
120	(deoxyribonucleotide) base pair [25] (Fig 1C, E).

# 122 Table 1. Crystallographic data and refinement statistics

	RNA-5'/DNA-3' strand exchange junction (PDB 7THB)
Data collection	
Wavelength (Å)	1.116
Resolution range (Å)	35.3 - 1.64 (1.78-1.64)
Diffraction limit #1 (Å)	1.66
Principal axes (orthogonal basis)	0.865, -0.0396, -0.501
Principal axes (reciprocal lattice)	0.657 a* - 0.168 b* - 0.735 c*
Diffraction limit #2 (Å)	2.18
Principal axes (orthogonal basis)	0.168, 0.962, 0.213
Principal axes (reciprocal lattice)	0.152 a* + 0.981 b* + 0.117 c*
Diffraction limit #3 (Å)	1.64
Principal axes (orthogonal basis)	0.473, -0.269, 0.839
Principal axes (reciprocal lattice)	0.397 a* - 0.342 b* + 0.852 c*
Space group	P 1
Unit cell	
a, b, c (Å)	37.0, 43.6, 52.2

α, β, γ (°)	92.1, 103.7, 100.0
Total reflections	147975 (7830)
Unique reflections	24808 (1240)
Multiplicity	6.0 (6.3)
Spherical completeness (%)	
35.3-1.64 Å	64.7
35.3-2.22 Å	97.2
1.78-1.64 Å	14.5
Ellipsoidal completeness (%)	
35.3-1.64 Å	86.8
35.3-2.22 Å	equivalent to spherical completeness, by definition
1.78-1.64 Å	50.0
< <i>l/σ</i> ( <i>l</i> )>	15.6 (1.5)
Wilson B-factor (Å <sup>2</sup> )	
Eigenvalue #1 (Å)	48.6
Principal axes (orthogonal basis)	0.960, -0.166, -0.224
Principal axes (reciprocal lattice)	0.799 a* - 0.323 b* - 0.507 c*
Eigenvalue #2 (Å)	86.7
Principal axes (orthogonal basis)	0.226, 0.935, 0.275
Principal axes (reciprocal lattice)	0.209 a* + 0.961 b* + 0.183 c*
Eigenvalue #3 (Å)	45.5
Principal axes (orthogonal basis)	0.164, -0.315, 0.935
Principal axes (reciprocal lattice)	0.123 a* - 0.300 b* + 0.946 c*
R <sub>merge</sub>	0.037 (1.293)
R <sub>meas</sub>	0.041 (1.410)
Rpim	0.016 (0.556)
CC1/2	0.999 (0.474)
Refinement	
Resolution range (Å)	35.3 - 1.64 (1.77 - 1.64)
Reflections used in refinement	24717 (1054)
Reflections used for R <sub>free</sub>	1223 (41)
Rwork	0.237 (0.369)
R <sub>free</sub>	0.284 (0.356)
CCwork	0.912 (0.616)
CC <sub>free</sub>	0.939 (0.562)
Number of non-hydrogen atoms	1651
macromolecules	1584
ligands	0
solvent	67
Protein residues	0
RMSD – bond lengths (Å)	0.014
RMSD – angles (°)	1.42

Coordinate error (maximum-likelihood based estimate) (Å)	0.30
Clashscore	0.00
Average B-factor	59.8
macromolecules	60.2
solvent	50.4
Number of TLS groups	15

Diffraction limits and eigenvalues of overall anisotropy tensor on |F|s are displayed alongside the corresponding principal axes of the ellipsoid fitted to the diffraction cut-off surface as direction cosines in the orthogonal basis (standard PDB convention), and in terms of reciprocal unit-cell vectors. Statistics for the highest-resolution shell are shown in parentheses.

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The three molecules of the asymmetric unit exhibit canonical Watson-Crick base 129 pairing at all twelve nucleotides of the template DNA strand, and they are generally 130 similar in conformation (RMSD<sub>Mol1,Mol2</sub>=0.70 Å; RMSD<sub>Mol1,Mol3</sub>=1.5 Å, RMSD<sub>Mol2,Mol3</sub>=1.8 131 Å) (Fig 2A). The most dramatic differences are between Molecules 1/2 and Molecule 3. 132 For example, Molecule 3's flapped nucleotides form no intermolecular base pairs, and 133 the conformation of the DNA flap is flipped relative to Molecules 1/2. Additionally, the 134 external three base pairs of Molecule 3's DNA:DNA helix tilt slightly toward the major 135 groove as compared to the equivalent positions of Molecules 1/2. Notably, the similarity 136 of all three molecules at the three base pairs on either side of the exchange point 137 (RMSD<sub>Mol1,Mol2</sub>=0.57 Å; RMSD<sub>Mol1,Mol3</sub>=0.50 Å, RMSD<sub>Mol2,Mol3</sub>=0.75 Å) suggests that the 138 conformation in this region represents a low-energy solution to the stacking of 139 RNA:DNA and DNA:DNA helices. 140 141 Fig 2. Molecule-to-molecule similarity and hydrogen bonding at the flapped 142

143 nucleotides.

(A) All-atom alignment of the three molecules in the asymmetric unit. Green, Molecule
1; blue, Molecule 2; orange, Molecule 3. Molecules 2 and 3 were aligned to Molecule 1
in this depiction. (B) Hydrogen bonding at the flapped nucleotides of Molecule 1. Dotted
lines indicate hydrogen bonds, and adjacent numbers indicate interatomic distance in Å.
Black, DNA; red, RNA. This hydrogen bonding pattern is also observed in Molecule 2
but not in Molecule 3.

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At the exchange point of Molecules 1 and 2, the flapped nucleotides are 151 stabilized not only by intermolecular base pairing (Fig 1C, E) and intramolecular 152 stacking (Fig 2B), but also by hydrogen bonds between sugar hydroxyls and backbone 153 phosphates. Specifically, at the junction-proximal phosphodiester within the DNA:DNA 154 helix, the pro- $S_{\rm p}$  and pro- $R_{\rm p}$  oxygens are hydrogen-bonded to the terminal 3' hydroxyl of 155 the flapped DNA nucleotide and the terminal 5' hydroxyl of the flapped RNA nucleotide, 156 respectively. Additionally, the pro-S<sub>p</sub> oxygen of the flapped DNA nucleotide is hydrogen-157 bonded to the 2' hydroxyl of the flapped RNA nucleotide (Fig 2B). If the flaps were 158 longer than one nucleotide, as would occur during biological strand exchange events, 159 the hydrogen bonds to the terminal 3'/5' hydroxyls would be perturbed. However, in 160 Molecule 3, the flipped deoxycytidine conformation precludes all the mentioned 161 extrahelical hydrogen bonds, yet the base-paired nucleotides within the junction are 162 conformationally similar to the same region in Molecules 1 and 2 (Fig 2A). Therefore, 163 we expect that the structural features of interest to this work-that is, the conformation 164 of the base-paired nucleotides immediately adjacent to the junction-would be 165 populated by junctions bearing flush RNA/DNA ends or flaps of arbitrary length. On the 166

other hand, the flap conformations and the intermolecular base pairs observed here are
 peculiarities of the crystal lattice. During biological strand exchange processes, these
 overhung nucleotides would be unpaired and disordered [8].

To understand the nature of the transition in helical geometry across the junction, 170 we performed alignments of regularized A-form and B-form DNA:DNA helices with the 171 observed RNA:DNA and DNA:DNA helices, respectively. These alignments revealed 172 that the DNA:DNA helix closely approximates perfect B-form geometry, especially in the 173 nucleotides closest to the junction (Fig 3A-C). Likewise, the RNA strand of the 174 RNA:DNA helix closely approximates A-form geometry (Fig 3A-C). On the other hand, 175 the DNA strand of the RNA:DNA helix deviates from its A-form trajectory in the three 176 nucleotides that approach the exchange point, where the backbone is compressed 177 toward the minor groove (Fig 3B, D). 178

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#### 180 Fig 3. Alignments to regularized A-form/B-form helices.

(A) Black, DNA of Molecule 1; red, RNA of Molecule 1; white, regularized B-form 181 DNA:DNA helix aligned to the 6 bp of Molecule 1's DNA:DNA helix; pink, regularized A-182 form DNA:DNA helix aligned to the 6 bp of Molecule 1's RNA:DNA helix. (B) Cartoon 183 depiction, focused on the continuous strand. The alignment procedure for each 6-bp 184 block was identical to that performed in panel A, but in this depiction, the B-form (white) 185 and A-form (pink) helices were extended by an additional 6 bp (extended nucleotides 186 were not considered during alignment) to illustrate the path that the helix would take if 187 continuing along a perfect B-form or A-form trajectory. EP, exchange point (that is, the 188 phosphodiester or gap lying between the two nucleotides where the helix changes from 189

RNA:DNA to DNA:DNA). (C) Similar to panel B, but focused on the discontinuous
 strand. (D) Close-up of the same representation depicted in panel A, focused on the
 nucleotides that deviate most dramatically from the aligned A-form helix.

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Interestingly, calculation of  $z_P$ , a geometric parameter that differentiates A-form 194 from B-form base steps [26], indicated that the RNA:DNA base step adjacent to the 195 exchange point is A-like, while the base steps in the center of the RNA:DNA helix are 196 intermediate in their A/B character (Fig 4A). This result indicates an important distinction 197 between strand trajectory (in terms of global alignment to a regularized A-form or B-form 198 helix) and the local nucleotide conformations that underlie the trajectory. In the 199 RNA:DNA helix, the departure from A-form trajectory observed at junction-adjacent 200 nucleotides appears to result from non-A conformations at more junction-distal 201 nucleotides. Other indicators of helical geometry also suggest a mixture of A and B 202 203 character across the RNA:DNA helix (S2 Fig).

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#### **Fig 4. Geometric details of the A-to-B transition.**

(A) For a given base step, the parameter  $z_P$  is the mean of the *z*-displacement of the two phosphorus atoms from the dimer's reference *xy*-plane. Note that  $z_P$  is defined by a pair of dinucleotides, so there are only 11 data points for a 12-bp helix, and integral xvalues lie between the base pairs in the diagram. This parameter was originally introduced for its utility in distinguishing A-form from B-form base steps. Black, DNA; red, RNA. (B)  $\chi$  and  $\delta$  are the two nucleotide torsion angles that best distinguish A-form from B-form geometry. Note that these torsion angles are defined for each individual

nucleotide, so there are 24 data points for a 12-bp helix. Integers in red refer to individual nucleotides, as indicated in the schematic at the bottom. Dashed ellipses were drawn to match those depicted in [27]. (C) Y-displacement. Similar to  $z_P$ , this parameter describes base steps (pairs of dinucleotides), not individual nucleotides. This parameter cannot distinguish A-form from B-form geometry. Instead, note that the base step across the exchange point dramatically departs from both A-form and B-form geometry.

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To probe helical geometry with strand specificity, we calculated  $\chi$  and  $\delta$ , 221 nucleotide torsion angles that differ in A-form vs. B-form helices [27]. These parameters 222 revealed that the irregularities observed in the paired base step parameters (Fig 4A and 223 S2 Fig) arise entirely from the template DNA strand, which flips between A- and B-like 224 conformations within the RNA:DNA hybrid (Fig 4B and S3 Fig). In contrast, the RNA 225 226 strand is entirely A-like, and all nucleotides of the DNA:DNA helix are B-like except at position 12 of the continuous strand, which is likely due to an end effect. These 227 observations agree with the conclusions drawn from the alignments (Fig 3A), and they 228 229 highlight the DNA strand of the RNA:DNA helix as the structure's most geometrically irregular region, which may enable the junction-adjacent deviation in trajectory. 230

In addition to the distortions in the continuous DNA strand, the geometric switch also seems to depend on the break in the discontinuous strand, which facilitates a marked jump in the backbone trajectory across the exchange point (Fig 3C). This feature reflects a global jump in helical positioning that is visualized most clearly in the aligned regularized A-form and B-form duplexes, whose helical axes are tilted and

misaligned with respect to each other (the helical axes are tilted from parallel by 14°,
Mol1; 18°, Mol2; 2°, Mol3) (Figs 2A and 3B, C). Axis misalignment is detectable in the
large positive y-displacement value across the central base step, which deviates
dramatically from the expected value (0 Å) for either an A-form or B-form duplex (Fig
4C). This observation emphasizes the exchange point as a special base step with
noncanonical alignment, made possible by discontinuity in the exchanging strands.

#### 243 **Discussion**

Together, our data suggest that stacking an RNA:DNA helix on a DNA:DNA helix does 244 not require deviation of the RNA strand or either strand of the DNA:DNA helix from their 245 native A-form or B-form conformations, respectively. Instead, continuous stacking 246 appears to result from a combination of three structural principles. First, alternating A-247 like and B-like nucleotide conformations in the hybrid's DNA strand compress the strand 248 relative to a pure A-form trajectory (Figs 3B, 3D, 4B, 5A). Due to A-form base pair 249 inclination (~20° from perpendicular to the helical axis) in RNA:DNA duplexes, the DNA 250 naturally juts further along the helical axis than the RNA at the RNA-5' end. This slanted 251 RNA:DNA end can be stacked upon a flat DNA:DNA end through strand-specific 252 compression—that is, compression of the hybrid's protruding DNA strand (Fig 5A). 253 Second, an alternative to strand compression is to tilt the helical axes themselves, 254 which occurs in Molecules 1 and 2 but not Molecule 3 (Figs 2A and 5A). Third, the 255 helical centers are misaligned at the exchange point (Figs 3B, 3C, 4C), which effectively 256 aligns the off-center base pairs of the A-form duplex with the centered base pairs of the 257 B-form duplex (Fig 5B). 258

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# Fig 5. Structural principles of A-on-B stacking at the RNA-5'/DNA-3' strand exchange junction.

(A) Simplified schematics illustrating strand-specific compression and tilting of the 262 helical axes. The slanted appearance of the RNA:DNA duplex is intended to represent 263 the base pair inclination characteristic of A-form duplexes, which pushes the 3' DNA end 264 farther along the helical axis than the 5' RNA end. Black, DNA; red, RNA. (B) Helical 265 cross-sections. Black, DNA:DNA helix; red, RNA:DNA helix. The rectangle represents 266 the base pair nearest the exchange point (centered in the B-form helix, off-center in the 267 A-form helix). The solid circle represents the helical axis. The true stacking solution is a 268 combination of the three principles illustrated here, although Molecule 3 does not exhibit 269 tilting. 270

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272 This new structure is best examined in the context of previous structural studies of RNA:DNA/DNA:DNA junctions emulating Okazaki fragments, which include a 273 chimeric (covalently continuous) RNA-DNA strand. When crystallized, these fragments 274 275 assumed an entirely A-form conformation, even within the DNA:DNA duplex [28–32]. However, in solution, Okazaki fragments resembled the present structure in that they 276 were A-like within the RNA:DNA helix and B-like within the DNA:DNA helix [22,33–36]. 277 Solution structures also exhibited a tilt between the RNA:DNA/DNA:DNA helical axes 278 and intermediate nucleotide geometry within the DNA of the hybrid. Because 279 intermediate geometry is a known feature of the DNA of any RNA:DNA hybrid [19,20], it 280 may be the natural inclination of this more geometrically ambiguous strand to 281

accommodate the A-to-B transition as it does in the present structure. Notably, dramatic
 misalignment of the RNA:DNA/DNA:DNA helical centers is observed only in the present
 structure and is likely enabled by the break in the exchanging strands, which is not a
 feature of Okazaki fragments.

Because stable stacking of another duplex on a DNA:DNA terminus is expected 286 to inhibit duplex melting [37], the structural principles illuminated here may explain the 287 rigidity that we previously observed in the DNA:DNA duplex of RNA-5'/DNA-3' exchange 288 junctions [16]. However, it is also possible that different sequences or environments 289 promote different conformational preferences than those observed in this crystal 290 structure. Previously, we also observed that the DNA:DNA duplex in junctions of the 291 opposite polarity (RNA-3'/DNA-5') is destabilized relative to a non-exchanging terminus 292 [16]. Unfortunately, because that junction type failed to crystallize under our tested 293 conditions, this odd asymmetry in junction structure remains unexplained. 294

295 Nevertheless, the stacked RNA-5'/DNA-3' structure determined here represents a key conformation that is likely populated throughout RNA/DNA exchange events, 296 including those mediated by the genome-editing protein Cas9. Branch migration is 297 crucial to Cas9 target search, which involves repeated R-loop formation (RNA invades a 298 DNA:DNA duplex) and resolution (DNA invades an RNA:DNA duplex) until the true 299 target is located [15]. During this process, the leading R-loop edge likely passes through 300 interhelically stacked states between base pair formation and breakage events. 301 Consistent with this prediction, in some cryo-electron microscopy structures depicting 302 Cas9-bound R-loops, the leading (RNA-5'/DNA-3') R-loop edge appeared interhelically 303 stacked [38,39]. While local resolution was insufficient to enable accurate atomic 304

modeling of the exchange junction from the original electron microscopy maps, our
 high-resolution crystal structure provides a new geometric standard for modeling this
 kind of junction.

Importantly, exchange junctions are dynamic structures, and each time an R-loop 308 grows or shrinks, stacking must be disrupted at the junction [8]. Thus, in addition to the 309 stacked structure determined here, which can be interpreted as a ground state, strand 310 exchange also requires passage through unstacked conformations, some of which may 311 resemble the junction structures seen in other Cas9-bound R-loops [40,41]. A complete 312 model of RNA/DNA strand exchange, then, will rely on a structural and energetic 313 understanding of the junction in both stacked and unstacked states, and it will account 314 for the effects of the proteins acting in R-loop formation and resolution. 315

#### 317 Methods

#### **Oligonucleotide synthesis and sample preparation**

All oligonucleotides (DNA 12-mer {5'-GTAAGCAGCATC-3'}; DNA 7-mer {5'-GATGCTC-319 3'}; RNA 7-mer {5'-AGCUUAC-3'}) were synthesized and purified by Integrated DNA 320 Technologies (high-performance liquid chromatography (HPLC) purification for DNA 321 oligonucleotides and RNase-free HPLC purification for the RNA oligonucleotide). Dry 322 oligonucleotides were dissolved in nuclease-free water (Qiagen), and concentrations 323 were estimated by Nanodrop (Thermo Scientific) absorbance measurements with 324 extinction coefficients estimated according to [42] (DNA 12-mer,  $\varepsilon_{260}$ =135200 M<sup>-1</sup>·cm<sup>-1</sup>; 325 DNA 7-mer, ε<sub>260</sub>=70740 M<sup>-1</sup>·cm<sup>-1</sup>; RNA 7-mer, ε<sub>260</sub>=75580 M<sup>-1</sup>·cm<sup>-1</sup>). The three 326 oligonucleotides were combined and diluted in water, each at 500 µM final 327 concentration. This exchange junction sample was incubated at 50°C for 10 minutes, 328 cooled to 25°C within a few seconds, and used directly in the crystallization setups 329 described below. 330

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#### 332 Crystallization and data collection

Initial screens were performed using Nucleix and Protein Complex suites (Qiagen) in a
sitting-drop setup, with 200 nL of sample added to 200 nL of reservoir solution by a
Mosquito instrument (SPT Labtech) and incubated at either 4°C or 20°C. Several
conditions yielded crystals within one day, and initial hits were further optimized at a
larger scale. The crystal used for the final dataset was produced as follows: 0.5 µL of
sample was combined with 0.5 µL reservoir solution (0.05 M sodium succinate (pH 5.3),

0.5 mM spermine, 20 mM magnesium chloride, 2.6 M ammonium sulfate) in a hanging-339 drop setup over 500 µL reservoir solution, and the tray was stored at 20°C. Crystals 340 formed within one day and remained stable for the 2.5 weeks between tray setting and 341 crystal freezing. A crystal was looped, submerged in cryoprotection solution (0.05 M 342 sodium succinate (pH 5.3), 0.5 mM spermine, 20 mM magnesium chloride, 3 M 343 ammonium sulfate) for a few seconds, and frozen in liquid nitrogen. Diffraction data 344 were collected under cryogenic conditions at the Advanced Light Source beamline 8.3.1 345 on a Pilatus3 S 6M (Dectris) detector. 346

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#### <sup>348</sup> Data processing, phase determination, and model refinement

Preliminary processing of diffraction images was performed in XDS [43,44]. Unmerged 349 reflections underwent anisotropic truncation, merging, and anisotropic correction using 350 the default parameters of the STARANISO server (v3.339) [45], and a preliminary 351 structural model was included in the input to estimate the expected intensity profile. The 352 best-fit cut-off ellipsoid imposed diffraction limits of 1.66 Å, 2.18 Å, and 1.64 Å based on 353 a cut-off criterion of  $I/\sigma(I)=1.2$ . The "aniso-merged" output MTZ file was used for 354 downstream processing. Using programs within CCP4 (v7.1.015), Rfree flags were 355 added to 5% of the reflections, and reflections outside the diffraction cut-off surface 356 were removed. 357

<sup>358</sup> Phases were determined by molecular replacement with Phaser-MR [46], as <sup>359</sup> implemented in Phenix v1.19.2-4158 [47]. The search model comprised two <sup>360</sup> components (unconstrained with respect to each other), both generated in X3DNA v2.4 <sup>361</sup> [48] and each representing one half of the base-paired portion of the crystallization

construct. The first component was a 6-base-pair RNA:DNA duplex with perfect A-form 362 geometry and sequence 5'-GCUUAC-3' / 5'-GTAAGC-3' (created using the program 363 "fiber" with the -rna option, followed by manual alteration of the DNA strand in PyMOL 364 v2.4.1). The second component was a 6-base-pair DNA:DNA duplex with perfect B-form 365 geometry and sequence 5'-GATGCT-3' / 5'-AGCATC-3' (created with "fiber" option -4). 366 Successful phasing was achieved by searching for three copies of each of these 367 components (six components total). Additional phosphodiesters and nucleotides were 368 built in Coot v0.9.2 [49], and the model underwent iterative refinements in Phenix. 369 Phasing and preliminary refinements were initially performed using an earlier (lower-370 resolution) dataset that had similar unit cell parameters to the final dataset described 371 above. 372

The initial model, which was refined into a map generated from the earlier 373 dataset, was rigid-body docked into the final-dataset-derived map and underwent further 374 375 iterative refinements, beginning with resetting of the atomic B-factors, simulated annealing, and addition of ordered solvent. Non-crystallographic symmetry restraints 376 were applied in early rounds of refinement to link the torsion angles of the three 377 378 molecules within the asymmetric unit; these restraints were removed in the final rounds of refinement. TLSMD [50,51] was used to determine optimal segmentation for 379 Translation/Libration/Screw (TLS) refinement (each 7-mer comprised a separate 380 segment, and the 12-mers were each divided into three segments: nucleotides 1-4, 5-8, 381 9-12). Refinement using Phenix's default geometry library yielded dozens of bond 382 lengths and angles that were marked as outliers by the PDB validation server, so the 383 faulty parameters were rigidified ad hoc (that is, their estimated standard deviation 384

values in the library files were made smaller, with no change to the mean values). The
final three cycles of refinement were performed in Phenix with adjustments to XYZ
(reciprocal-space), TLS (segments as indicated above), and individual B-factors. In
Table 1, STARANISO and Phenix were used to calculate the data collection statistics
and the refinement statistics, respectively. The composite omit map displayed in S1 Fig
was generated by Phenix's CompositeOmit job ("anneal" method; 5% of atoms omitted
in each group; missing F<sub>obs</sub> left unfilled; R<sub>free</sub>-flagged reflections included).

The final R<sub>free</sub> value (0.284) is higher than expected for a structure refined using 392 diffraction data at a resolution of 1.6 Å [52]. However, it is important to note that the 393 highest-resolution shell has a completeness of just 6%, and completeness only rises 394 above 95% at ~2.3 Å, due mostly to the anisotropic nature of the diffraction data. 395 Additionally, due to diffraction anisotropy, the  $2mF_{0}$ -DF<sub>c</sub> map appears distorted along 396 certain dimensions, affecting interpretation of Molecule 3 most negatively. Therefore, 397 398 the geometric details of Molecule 3's phosphate backbone are poorly constrained, and Molecule 1 or 2 should instead be considered as the most accurate representation of 399 the structure. Anisotropy also prevented identification of water molecules around 400 Molecule 3. Furthermore, the mFo-DFc map revealed several globular patches of 401 positive density in the major and minor grooves of all molecules, 3.5-4 Å away from the 402 nearest nucleic acid atom. Because these patches bore no recognizable geometric 403 features, attempts to model them with buffer components failed to improve R<sub>free</sub>, so they 404 were left unmodeled. Any of the mentioned issues may contribute to the high Rfree 405 value. 406

Beyond the anisotropy, the overall high B-factors in this structure produce 2mF<sub>0</sub>DF<sub>c</sub> density that is "blurred" (S1 Fig) [53]. To enhance high-resolution features of the
map for visual inspection and figure preparation, Coot's Map Sharpening tool was used.
B-factor adjustments used for sharpening are reported in the figure legend. Sharpening
only effectively revealed high-resolution features for Molecule 1 or 2, as density from
Molecule 3 is too anisotropically distorted.

413

#### 414 Structure analysis and figure preparation

Structural model and map figures were prepared in PyMOL. Alignments were performed 415 using PyMOL's "align" function without outlier rejection. Regularized A-form and B-form 416 DNA:DNA duplexes were prepared using X3DNA's "fiber" program (options -1 and -4, 417 respectively), using the same sequence present in the helical portion of the 418 crystallization construct (except RNA was modeled as the corresponding DNA 419 sequence). While the A-form DNA:DNA helix may not perfectly represent a regularized 420 version of the RNA:DNA helix with our sequence [19,20], "fiber" does not permit 421 generation of RNA:DNA helices with generic sequence, and the general geometric 422 features of A-form DNA:DNA vs. A-form RNA:DNA are expected to be similar enough to 423 support the conclusions drawn in this work. Base step and nucleotide geometric 424 parameters were calculated using the "find pair" and "analyze" programs within X3DNA. 425 On graphs of these parameters, dashed lines indicating the expected value for A-form 426 or B-form DNA were calculated by performing an equivalent analysis on the X3DNA-427 generated regularized A-form/B-form helices and taking the average across all base 428 steps/nucleotides, unless indicated otherwise. Nucleotides with A/B character exhibit a 429

spread of values around those indicated by the dashed lines (as represented more
accurately by the dashed ellipses in Fig 4B), and the dashed lines are drawn merely to
guide the reader's eye to general trends. Angles between the helical axes of the
DNA:DNA and RNA:DNA duplex were calculated as the angle between the helical axis
vectors of the aligned regularized A-form and B-form helices. Graphs were prepared
using matplotlib v3.3.2 [54]. Final figures were prepared in Adobe Illustrator v25.4.1.

436

# 437 Acknowledgements

We thank J.M. Holton and J.H. Cate for data processing advice. We thank G. Meigs for
technical assistance at the beamline. We thank J. Kuriyan for scientific advice.

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594

# **Supporting information**

596 S1 Fig. Overview of the asymmetric unit.

<sup>597</sup> Model and composite omit  $2mF_0$ -DF<sub>c</sub> map (displayed at 1.5 $\sigma$ ) of the asymmetric unit. <sup>598</sup> Black, DNA; red, RNA. For clarity, the displayed density is truncated 2 Å from the atoms <sup>599</sup> displayed in the model. "Blurriness" of the electron density is due to high atomic B-<sup>600</sup> factors [53].

601

#### 602 S2 Fig. Additional geometric details of the A-to-B transition.

(A) X-displacement of the 11 base steps of the 12-bp helix. Black, DNA; red, RNA. (B) 603 Inclination of the 11 base steps of the 12-bp helix. (C) Slide of the 11 base steps of the 604 12-bp helix. (D) Pseudorotation phase angles for the ribose/deoxyribose conformation 605 at every nucleotide within the 12-bp helix (24 data points per molecule). The modeled 606 sugar conformations might not be unique solutions for this dataset, as in many cases 607 these structural details cannot be directly discerned from the  $2mF_0$ -DF<sub>c</sub> map. For this 608 dataset, the most reliable parameters are those defined directly by the nucleobase and 609 phosphate positions, which appear clearly in the 2mFo-DFc map (and likely impose 610 indirect geometric constraints on the sugar pucker). 611

612

#### 613 S3 Fig. Nucleotide torsion angles for Molecules 2 and 3.

614 Analogous to Fig 4B.