

See through Disaster Rubble in 3D with Ground Penetrating Radar and Interactive Augmented Reality for Urban Search and Rescue

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Abstract

First responders often lack information and visual clues regarding interior spaces in disaster rubble, preventing efficient, effective, and safe search and rescue for victims trapped in collapsed structures. Rapidly detecting and acquiring information about the voids in collapsed structures that could contain surviving victims is critical for urban search and rescue. However, reconstructing the buried voids in three-dimension (3D) and communicating the relevant information such as buried depth and void size to first responders remain a significant challenge. To address this challenge, this study proposes a see-through technique by integrating ground penetrating radar (GPR) with interactive augmented reality (AR). The contribution of this study is two-fold. First, a new method is developed to process collected GPR data to reconstruct potential voids in disaster rubble in 3D and extract the buried depth and void size from the GPR data. The coordinates of void boundaries are extracted from multiple GPR scans to generate sparse point

27 clouds. An improved alpha shape method is exploited to reconstruct the 3D space beneath disaster rubbles
28 from the point clouds. Second, an interactive augmented reality interface is developed to enable first
29 responders to visualize the voids in collapsed structures in 3D together with relevant information to assist
30 urban search and rescue. The results from simulations and pilot experiments demonstrate the feasibility and
31 potential of the proposed methods.

32 **Keywords**

33 Augmented Reality; Ground Penetrating Radar; Urban Search and Rescue; Subsurface Reconstruction;
34 Disaster

35 **Introduction**

36 Natural and man-made disasters result in massive structural collapses, and searching and rescuing
37 survivors from collapsed structures remain a significant challenge. According to Murphy et al. (2001), 15%
38 of victims were found to be trapped in void spaces beneath collapsed structures. Searching for trapped
39 victims is a fight against time, as their survival rate falls considerably after two days (Murphy et al. 2001).
40 First responders are the main force to search the trapped victims in void spaces, and the success largely
41 relies on their situational awareness regarding the survivable void spaces in collapse structures. The types
42 of voids are typically divided into four categories that are V shape, A-frame, pancake, and lean-to voids
43 (Poteyeva et al. 2007). Among them, lean-to collapse void is the most common at disaster sites (Couch
44 2008). The trapped victims have a higher survivable rate in lean-to void because it forms a large survivable
45 void space, known as “triangle of life”. The conventional methods to locate the voids in disaster rubble are
46 based on first responders’ field observation from the surface. Relying on the experience of first responders,
47 this method cannot provide quantitative information regarding the interior void spaces. To address this
48 limitation, Building Information Modeling (BIM) is combined with a collapse simulation engine to simulate
49 different damage patterns of the building, which compiles a damaged database (Bloch et al. 2016). The as-
50 damaged exterior model is then compared with the database to select the closest match solution in the
51 database. The void space in the collapsed building is then predicted using the candidate solution. However,
52 the method requires a BIM model of the damaged building before the earthquake, which cannot be obtained

53 for most of the buildings. In addition, the validity of simulation engines is questionable for complex
54 building structures in the real world.

55 There is a critical need to reconstruct void spaces in disaster rubble, and visually communicate the
56 relevant information to first responders to ensure safe, efficient, and effective search and rescue. The
57 absence of a solution to this need represents an important problem because unguided search and rescue
58 operations may waste valuable time and effort to rescue victims and put first responders at risk. Search and
59 rescue operations can be significantly improved, if first responders can continuously “see” the occluded
60 spaces through heterogeneous disaster rubble and be aware of the critical voids that may contain trapped
61 victims. Therefore, this study proposes a novel framework to see through disaster rubble in 3D by
62 integrating airborne ground penetrating radar (GPR)-based sensing for void reconstruction and augmented
63 reality (AR) interface for information communication. Ground Penetrating Radar (GPR) is used to detect
64 and reconstruct potential void spaces beneath disaster rubble. The reconstructed void information
65 including geometry, volume, and buried depth are communicated to first responders through the AR
66 interface.

67 This research leads to a new framework that integrates an unmanned aerial vehicle (UAV)-borne GPR
68 system to obtain information regarding voids in disaster rubble and an AR-based interface to communicate
69 void information to first responders for search and rescue. The contribution of this research is two-fold.
70 First, a new method is proposed to detect void boundaries from GPR scans and estimate the coordinates
71 based on the detected boundary with first responders in the loop. An improved weighted alpha shape method
72 is then used to reconstruct voids in rubble using the boundary coordinates, and therefore detailed
73 information including void size and depth can be retrieved. Second, an AR-based interface is developed to
74 communicate the void information to first responders, providing them important situational awareness and
75 contextual guidance on disaster sites. Hence, the proposed methods have the potential to improve the
76 traditional experience-based search and rescue practice to an information-based paradigm.

77 **Literature Review**

78 ***Related Studies on GPR in search and rescue***

79 GPR is a non-destructive technique that has been widely used in subsurface mapping and demonstrated
80 to be an effective method. A large and growing body of literature has developed methods to detect and
81 locate subsurface targets, such as underground utility (Cai et al. 2020; Li et al. 2015; Yuan et al. 2018),
82 crack (Levatti et al. 2017; Tong et al. 2017), root (Aboudourib et al. 2019; Hu et al. 2020b; Liu et al. 2020b),
83 concrete rebar (Hu et al. 2020a), and tunnel (Núñez-Nieto et al. 2014). Table 1 summarizes related studies
84 on GPR applications in the search and rescue domain, including avalanche victim detection, victim
85 detection, and void detection in collapsed rubbles.

86 **Table 1 Related studies on GPR in search and rescue.**

Application	Approach	Limitations	Reference
Avalanche victim detection	Recognize hyperbola signal caused by buried victims	Cannot adapt to collapsed buildings due to a more complex scenario than an avalanche	(Fruehauf et al. 2009; Heilig et al. 2008)
Victim detection under collapsed rubbles	Monitor human respiration and movement	Require the GPR to be in proximity of victims which is hard to obtain without knowing subsurface conditions.	(Cist 2009; Liu et al. 2014; Yan et al. 2021; Yang et al. 2019)
Void detection under collapsed rubbles	Recognize boundary features from radargram	Cannot provide void boundary coordinates and void volume	(Chen et al. 2020; Hu et al. 2019)

87

88 **Avalanche victim detection.** GPR mounted on the helicopter has been used to detect avalanche victims
89 for decades. For example, Heilig et al. (2008) conducted a feasibility study of GPR in avalanche victim
90 detection. In particular, Heilig et al. (2008) investigated the influence of snow properties on radar signal,
91 the maximum horizontal distance of a buried victim from the flight direction, and the influence of the
92 scanning direction. In their study, they also developed a semi-automatic detection algorithm to recognize
93 potential victims from GPR scans. The method is dependent on a handcrafted snowpack extraction to
94 remove the zone of air and underground in the radargram. To address this limitation, Fruehauf et al. (2009)
95 developed an automated real-time detection of avalanche victims using airborne GPR. Their method
96 utilized an active contour model to automatically extract snowpack. Thereafter, a matched filter method
97 was used to detect hyperbola, which is believed to be the signal feature of buried victims in the radargram.

98 However, detecting victims in structural collapses are more challenging than that in avalanche snowpack,
99 because structural collapses are always cluttered and heterogeneous.

100 **Victim detection under collapsed structures.** A number of studies have examined the Ultra-wideband
101 (UWB) GPR in victim detection under collapsed structures. The UWB GPR exhibits very fine range
102 resolution due to its large bandwidth, which can capture target features that are much smaller than the target
103 size. For example, in (Cist 2009), UWB GPR was used to detect survivors' motion and breathing in rubble
104 piles by leaving the antenna stationary. Liu et al. (2014) conducted a numerical simulation to investigate
105 human vital sign detection under collapsed structures caused by earthquakes using UWB GPR. The
106 collapsed structure was simulated according to site conditions with two entrapped victims. In their study,
107 source separation and empirical mode decomposition were proposed to locate human subjects in the
108 recorded radargram. Yang et al. (2019) proposed a novel method to identify and locate human vital signs
109 from radar-received signals based on permutation entropy (PE) and ensemble empirical mode
110 decomposition (EEMD) algorithm. In a more recent study, Yan et al. (2021) designed a novel Golay
111 complementary coded system to detect quasi-static trapped victims under collapsed structures. The
112 proposed system can detect non-periodic strong respiration with stationary operating mode and quasi-
113 periodic weak respiration pattern using scanning operating mode. While these methods have been
114 demonstrated to be feasible and applicable in detecting victims under rubbles, the success of victim
115 detection is based on the premise that the victims are in close proximity to the GPR, which is difficult to
116 achieve without knowing subsurface conditions. Identifying subsurface survivable void in disaster rubbles
117 can pinpoint locations with potential victims, and thus facilitating such victim detection methods.

118 **Void detection under collapsed structures.** More recent attention has focused on detecting void space
119 under collapsed structures to pinpoint areas with potential entrapped survivors. For instance, In (Hu et al.
120 2019), a probabilistic-based algorithm was developed to detect void boundary in GPR scans for lean-to
121 collapse void. The method was demonstrated to be effective to detect void boundary in the radargram for
122 simplified lean-to collapse void. In (Chen et al. 2020), GPR is mounted at the bottom of the drone to locate
123 critical void space under the rubble to save trapped victims. However, these existing methods have not

124 leveraged boundary features to extract their coordinates and combined multiple GPR scans for 3D
125 reconstruction. This study aims to address this knowledge gap.

126 ***Related Studies on Augmented Reality in Search and Rescue***

127 Augmented Reality (AR) technology can be a powerful tool to communicate context-aware information,
128 as it can intuitively present virtual content in the real world. AR technology has been used to support disaster
129 search and rescue in a variety of ways, such as robot control and interaction, commander and responder
130 collaboration, and point-of-interests (POI) information visualization. Table 2 summarizes the related
131 studies on AR in search and rescue.

132 **Table 2 Related studies on augmented reality in search and rescue.**

Application	Registration method	Limitations	Reference
Robot control and interaction	Marker-based; NA	Require marker to be installed; cannot align virtual information at disaster sites	(Burian et al. 2014; Covert et al. 2014; Gianni et al. 2013; Reardon et al. 2018)
Commander and responder collaboration			(Bacim et al. 2012; Vassell et al. 2016; Wani et al. 2013)
Point-of-interest (POI) visualization	Location-based	May fail to register virtual information in crowded urban areas	(Campos et al. 2019; Wang et al. 2018)

133
134 **Robot control and interaction.** Many studies have developed interfaces to facilitate human-robot
135 coordination in search and rescue (SaR) using AR technology (Burian et al. 2014; Covert et al. 2014;
136 Gianni et al. 2013; Reardon et al. 2018). For instance, in (Burian et al. 2014), an AR-based user interface
137 was developed to control a fleet of robots including unmanned ground robots (UGV) and unmanned aerial
138 robots (UAV). With the interface, operators have the flexibility to control multiple robots and conduct
139 search and rescue missions at the same time. Covert et al. (2014) studied communication strategies to
140 convey robot upcoming movements to humans. An augmented reality projection system is proposed to
141 communicate robot intended movement to humans via visual arrows and a simplified map. Reardon et al.
142 (2018) developed an AR system that enables cooperative search between human and robot teams. Through
143 the AR device, the robot can share search results with human teammates. In addition, this AR interface can
144 provide a navigation route to first responders and let them reach their desired target efficiently.

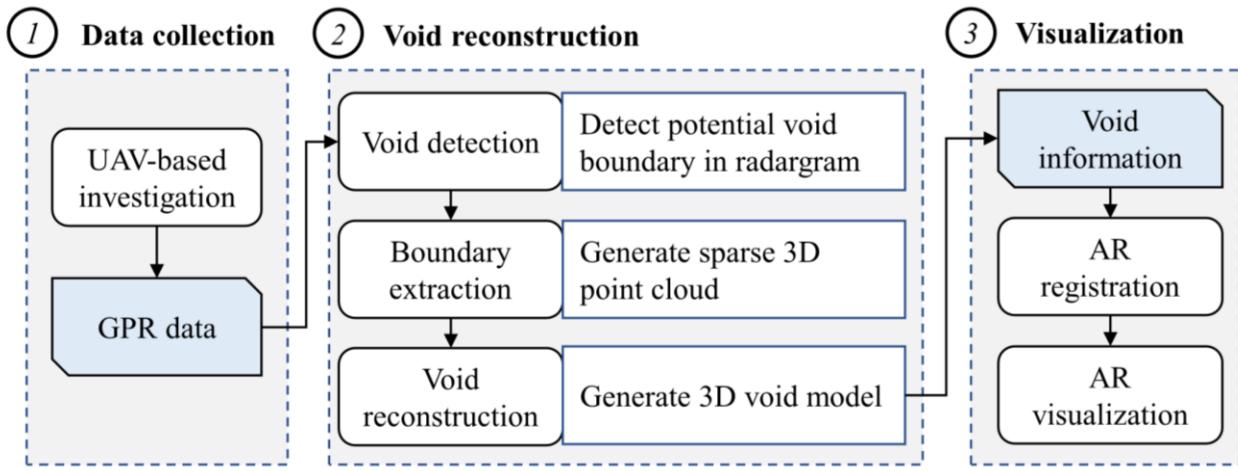
145 **Commander and responder collaboration.** A variety of studies have utilized AR to facilitate
146 collaboration between incident commanders and responders in search and rescue operations. For example,
147 AR was used in a collaborative guidance system after disasters for search and rescue in a complex building
148 (Bacim et al. 2012). The system allows incident commanders and first responders to communicate with
149 each other effectively using visual and nonverbal information when searching a disaster site. Vassell et al.
150 (Vassell et al. 2016) developed a novel intelligent dashboard for an AR-based coordination system for
151 multiple incidents. The system integrated Incident Command System (ICS) with the Internet of Things (IoT)
152 to achieve minimal human communication and thus improve coordination efficiency. In (Wani et al. 2013),
153 a wearable AR system was developed to improve collaboration among different agencies using hand
154 gestures. This method was applied and demonstrated in a fire emergency scenario. However, all of the
155 above-mentioned approaches either required markers to register virtual information or didn't utilize the
156 registration method, which cannot accurately align void information on collapsed structures at disaster sites.

157 **POI information visualization.** A recent study conducted by Wang et al. (2018) designed an AR
158 system to mark the target of interest and then display the target information on the screen of the AR device.
159 In addition, the system can display the target even when it is outside the view of the camera. Campos et al.
160 (2019) developed a location-based augmented reality application to provide insight into the surrounding
161 through mobile phones to improve their situational awareness. The responders can also exchange
162 information using the application to increase SaR efficiency. However, these two studies are location-based
163 AR, which requires a high-level positioning accuracy. In urban search and rescue, it is difficult to achieve
164 accurate positioning. Our study aims to address this knowledge gap by utilizing image-based AR, which is
165 suitable for complex disaster scenes.

166 **Methodology**

167 Fig. 1 presents an overview of the framework to integrate GPR-based 3D void reconstruction and AR
168 visualization. In the first step, the UAV-borne GPR system is used to survey disaster sites and collect image
169 and GPR data. The fast-moving speed of the UAV enables first responders to survey disaster sites quickly
170 and efficiently. The integration of UAV and GPR can be used to survey structural collapses that are

171 dangerous or inaccessible. In the second step, void boundary coordinates are estimated in multiple scans to
172 generate a sparse 3D point cloud. Thereafter, an improved weighted alpha shape algorithm is proposed to
173 generate a 3D model of void space using the sparse point cloud. The third step develops an AR interface to
174 communicate void information such as the buried depth and volume of a void to first responders. The
175 image-based registration approach is adopted to register void information on disaster sites.



176
177 **Fig. 1.** Methodology overview

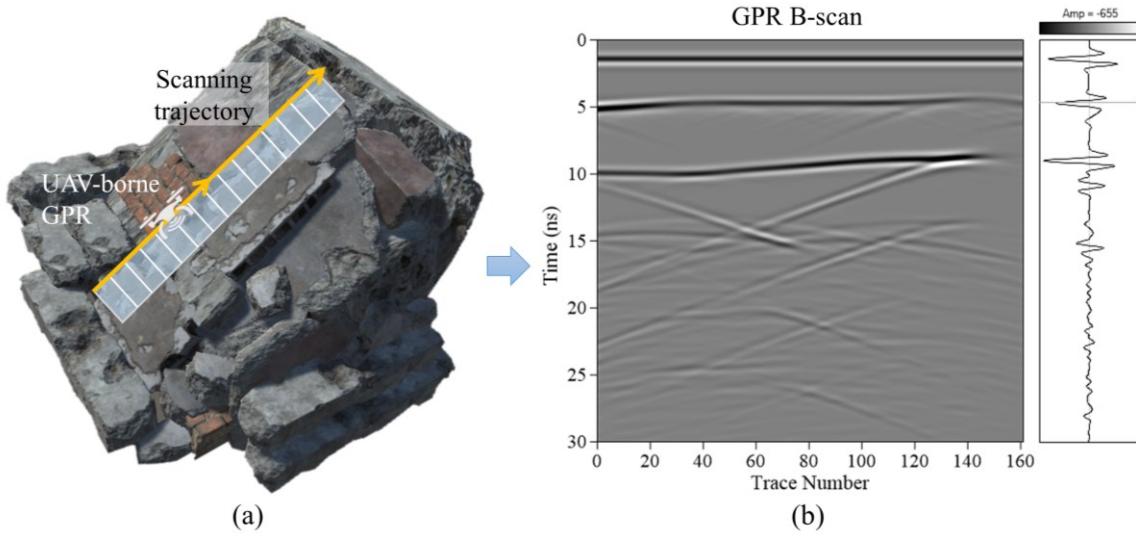
178 ***System Configuration and GPR Data Collection***

179 The UAV is equipped with a real-time kinematic (RTK) global positioning system (GPS), inertial
180 measurement unit (IMU), camera, and GPR. RTK GPS can provide centimeter-level positioning accuracy
181 for the UAV, which is an essential component to acquire spatial position information. RTK GPS can also
182 provide accurate positioning for GPR and image data. IMU measures the orientation, velocity, and
183 gravitational forces of the UAV to aid navigation and control. The camera is used to capture surrounding
184 information of the disaster area and collect video data. The video stream gives the first responder the ability
185 to search disaster areas from a bird's view. In addition, images are also collected for structural collapses,
186 which will be used as the target to register void information in the real world. GPR mounted on the UAV
187 can detect subsurface structures such as buried void space under rubbles. GPR is a geophysical method that
188 uses high-frequency radio waves to image the subsurface. Specifically, GPR transmits high-frequency EM
189 waves into the ground and receives reflected signals when the energy encounters boundaries with different

190 materials such as void boundaries. The reflected signal strength is determined by the relative permittivity
191 contrast between two materials. The higher the contrast, the reflected signal will be stronger. The void
192 boundary is the interface between collapse structures formed by typical building material and void formed
193 by air. The relative permittivity of air is 1 and 3-10 for common building materials such as concrete and
194 brick (Zhekova et al. 2020). The large permittivity contrast will lead to strong reflections at the interface,
195 which is an important feature to determine the void boundary.

196 The UAV can be teleoperated by a first responder to survey disaster areas following a disaster. The first
197 responder can recognize structural collapses from video data stream and locate the rubble with a high
198 probability of void based on two observations. First, the lean-to collapse void occurs when one side of a
199 building fails and stays anchored at the other end, creating a large triangular void. Second, the integrity of
200 large building components to some extent is preserved, which implies the continuity of their geometries
201 under occlusions. Thereafter, the first responder can control the UAV to fly toward the rubble. When
202 approaching the rubble, the first responder will lower the altitude of the UAV and capture the rubble surface
203 image. The image will be used to link the information of surface disaster rubble and subsurface voids
204 reconstructed from GPR data. When arriving at the rubble, the first responder will determine appropriate
205 GPR scanning trajectories given site conditions. For lean-to collapse void, the UAV will fly along the slope
206 of the lean-to collapse structures. In this way, topographic effects on GPR data can be reduced, given the
207 variation of ground surface elevation will affect the propagation of EM waves. In addition, the altitude of
208 the UAV is controlled within 3m to reduce the GPR signal loss in the zone of air above ground. This is
209 because the GPR signal suffers from energy loss due to geometrical spreading, and a lower amplitude
210 penetrates through the subsurface could lead to a weaker reflection amplitude from the void boundary that
211 becomes hard to recognize. Therefore, the altitude of the UAV should maintain within a certain range to
212 reduce energy loss in the air. This can be achieved coincidentally with the UAV scanning trajectory because
213 the UAV is generally equipped with Radar and Lidar altimeter to measure its distance from the ground. Fig.
214 2 illustrates the GPR survey of a lean-to collapse void using the UAV-borne GPR system and the

215 corresponding GPR B-scan. The B-scan in the figure is simulated using the gprMax simulator (Warren et
216 al. 2016a) with a 900MHz antenna.



217 **Fig. 2.** Illustration of the GPR survey of a lean-to collapse void: (a) UAV-borne GPR survey along lean-to
218 collapse rubbles; and (b) GPR B-scan

220 **Void reconstruction**

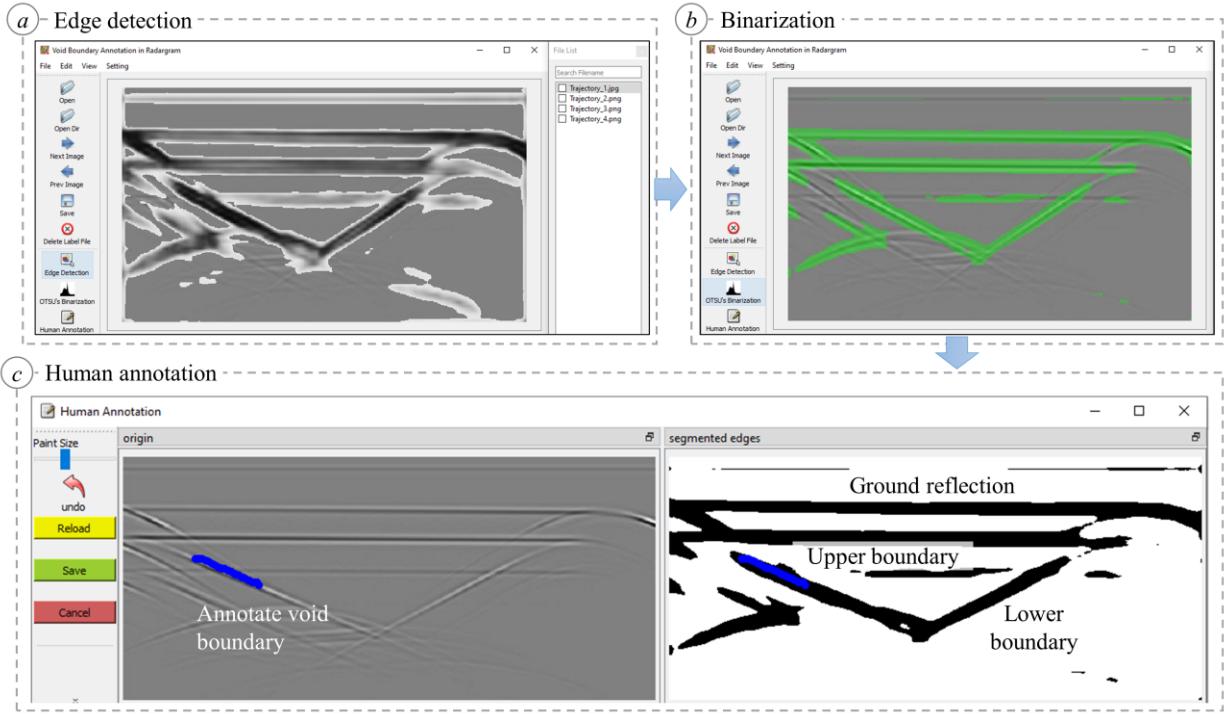
221 The 3D reconstruction of void using GPR scans consists of two steps. In the first step, void boundary
222 coordinates are extracted to form a sparse 3D point cloud. The second step proposes an improved weighted
223 alpha shape (WAS) method to reconstruct the 3D model using the processed point cloud.

224 **Void Boundary Detection**

225 After the UAV surveying, first responders will process the GPR data for each structural collapse. The
226 void boundary needs to be extracted in the radargram to estimate boundary coordinates. The lean-to collapse
227 forms a triangular void space known as “triangle of life”, which is supported by floor and wall to maintain
228 its structural stability. The geometric configuration of lean-to collapse will lead to a triangular zone in the
229 radargram formed by void boundary features. The boundary features represent reflections from the interface
230 between void and rubbles, the interface between void and floor, as well as interface between void and wall.
231 First responders will leverage boundary features and their triangular relationship to determine the existence
232 of void. The void boundary consists of upper and lower boundaries. The upper boundary is the reflection

233 from the interface between collapsed rubbles and void. The lower boundary is composed of reflection from
234 both the floor and the wall. In addition to the void boundary, ground reflection also needs to be extracted
235 to calculate coordinates of void boundary, which is the first significant reflection recorded in the radargram.

236 A semi-automatic approach is developed to detect void boundaries and ground reflection in the
237 radargram, which consists of three steps. First, deep learning (DL)-based edge detection method is adapted
238 to extract edge features in the radargram (Wibisono and Hang 2021). This is because the ground surface
239 and void boundary have relatively strong reflections due to the large dielectric constant contrast with air.
240 The edge detection model is trained on BSDS500 and PASCAL VOC 2012 datasets, which are standard
241 benchmarks for edge detection in images. Second, OTSU's thresholding method (Otsu 1979) is used to
242 further refine detected edges using the DL-based method, and convert edges into binary classes (i.e.,
243 boundary and non-boundary). OTSU is an automatic binarization level decision approach based on the
244 shape of the histogram of pixel intensities. The interface also provides a double thresholding alternative
245 option to the user by setting a high and low threshold manually. Third, based on the detected boundary, first
246 responders can annotate the void boundary in the radargram with their judgment. An interactive interface
247 is developed to facilitate the boundary annotation process. The interface can process multiple radargrams
248 at the same time. Fig. 3 shows an implementation of the method in the interface.



249

250 **Fig. 3.** Void boundary annotation interface: (a) edge detection; (b) binarization; and (c) human annotation

251 *Void Boundary Coordinates Estimating*

252 To estimate coordinates of void boundary segmented in the radargram, we make three simplifying
 253 assumptions shown below.

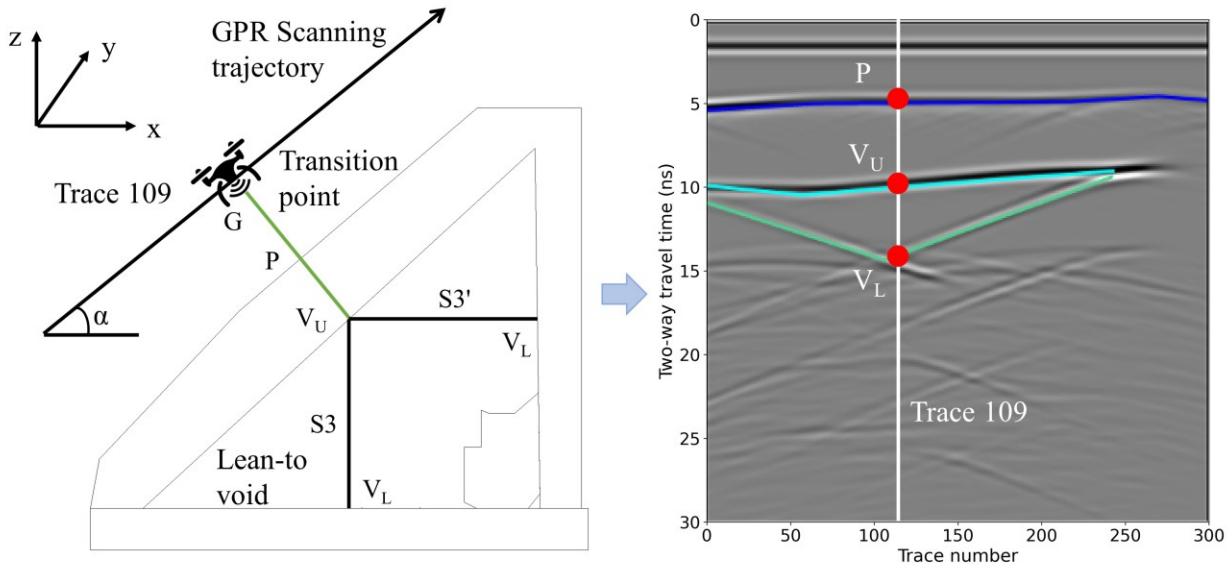
254 **Assumption 1.** The covered rubble layer of the lean-to collapse void is assumed to be homogeneous.

255 The research conducted by (Li et al. 2020; Liu et al. 2020a; Zhang and Hoorfar 2019) also adopted this
 256 assumption for subsurface layers. In our study, the developed method is still in its initial stages, which
 257 aims to demonstrate the feasibility of GPR-based reconstruction for lean-to collapse structures.

258 **Assumption 2.** The wave is transmitted in an arc shape and the center is the tangent point of incident
 259 EM wave on the interface between covered rubble and void, when the EM wave transmits into a
 260 medium with much smaller relative permittivity. Appendix A shows the proof for this assumption.

261 **Assumption 3.** The objects such as furniture inside a lean-to collapse void are treated as a part of the
 262 void. This assumption aims to avoid underestimating the volume of void in collapsed structures that
 263 may contain survivors.

264 The void boundary coordinates need to be extracted for 3D reconstruction. Fig. 4 illustrates a schematic
 265 diagram of the UAV survey at the transition point. The transition point represents the void lower boundary
 266 reflection changes from the floor to the wall. The coordinate of UAV is G (x , y , z) which can be obtained
 267 from on-board RTK-GPS. The EM wave first travels through the air and reaches the surface of collapsed
 268 rubbles. GP is perpendicular to the surface of the rubble since it is the shortest path from the UAV to the
 269 ground. The reflection from the surface is the first significant reflection recorded in the radargram, which
 270 can be easily recognized. Then, the EM wave penetrates through the rubble and arrives at the upper
 271 boundary of the void. For a lean-to collapse void, the surface of the rubble can be considered to be parallel
 272 with the void upper boundary. As such, the ray paths GP and PV_U can be viewed in the same direction. The
 273 reflection from the void upper boundary is the first continuous strong reflection that appears below the
 274 surface reflection in the radargram.



275

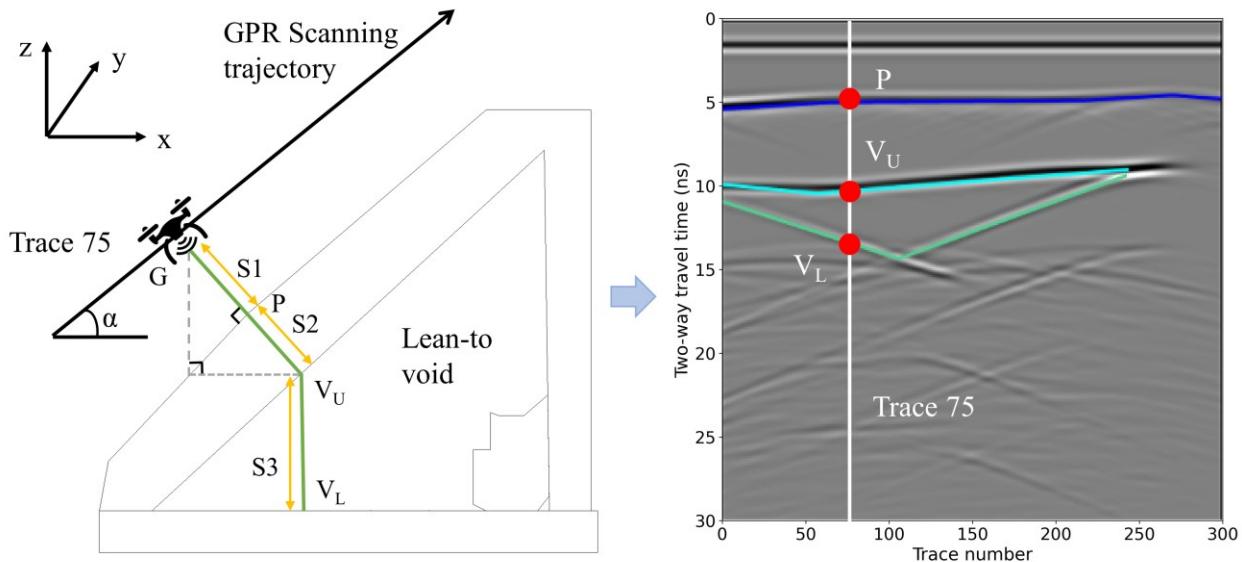
276 **Fig. 4.** Schematic diagram of UAV survey at the transition point

277 Finally, the EM wave travels in the void space and reaches the floor or the wall. Note that floor and
 278 wall support for lean-to collapsed void are generally horizontally and vertically laid out, respectively.
 279 According to Assumption 2, the ray path V_UV_L that is perpendicular to the floor or the wall has the shortest
 280 travel distance, which is assumed to be first reflected in this study. The detected lower boundary in the

281 radargram consists of reflection from both the floor and the wall. The left section of the lower boundary is
 282 the reflection from the floor and the right section comes from the wall. The transition point can be obtained
 283 from the detected boundary, which is the trace with the largest travel time on the lower boundary. When
 284 the UAV is at the transition point, the distance from V_U to the floor S_3 and the wall S_3' is the same. The
 285 reflection will come from the wall section after the UAV passes the transition point.

286 Fig. 5 shows the schematic diagram of coordinates calculation for floor boundary. The coordinate of
 287 upper void boundary for trace i is $V_U (x', y', z')$ that can be approximated in Eq. (1), where S_1 is the
 288 perpendicular distance from the UAV to the ground surface, and S_2 is the distance from point P to upper
 289 boundary V_U , β is the upward flying angle of the UAV.

$$290 \quad \begin{cases} x' = x + (S_1 + S_2) \sin \beta \\ y' = y \\ z' = z - (S_1 + S_2) \cos \beta \end{cases} \quad (1)$$



291
 292 **Fig. 5.** Schematic diagram of coordinates calculation for floor boundary

293 S_1 and S_2 can be estimated using collected GPR scans as indicated in Eq. (2), where c is the speed of
 294 light equals 3×10^8 m/s, t_i is the two-way travel time in medium i provided by the radargram, ε_i is the relative
 295 permittivity of the material. S_1 is the wave travel distance in the air which means ε_i is 1. S_2 is the wave
 296 propagation from the rubble surface to the void upper boundary, which can be treated as void depth. Since

297 multiple GPR scans are collected for each structural collapse to reconstruct the void, the buried depth of
 298 the void is estimated by an average value of S_2 . This is because void depth could be different at different
 299 locations. This layer consists of typical building materials such as concrete, brick, and wood. The relative
 300 permittivity of this layer can be estimated based on the surface condition. For instance, for a collapsed
 301 concrete building, the relative permittivity of the rubble layer can be approximated by the concrete material
 302 which is around 7.

303

$$S_i = \frac{ct_i}{2\sqrt{\epsilon_i}} \quad (2)$$

304 The coordinate of floor boundary for trace i is $V_L(x'', y'', z'')$ that is calculated in Eq. (3), where S_3
 305 represents the distance to the floor from the upper boundary V_U .

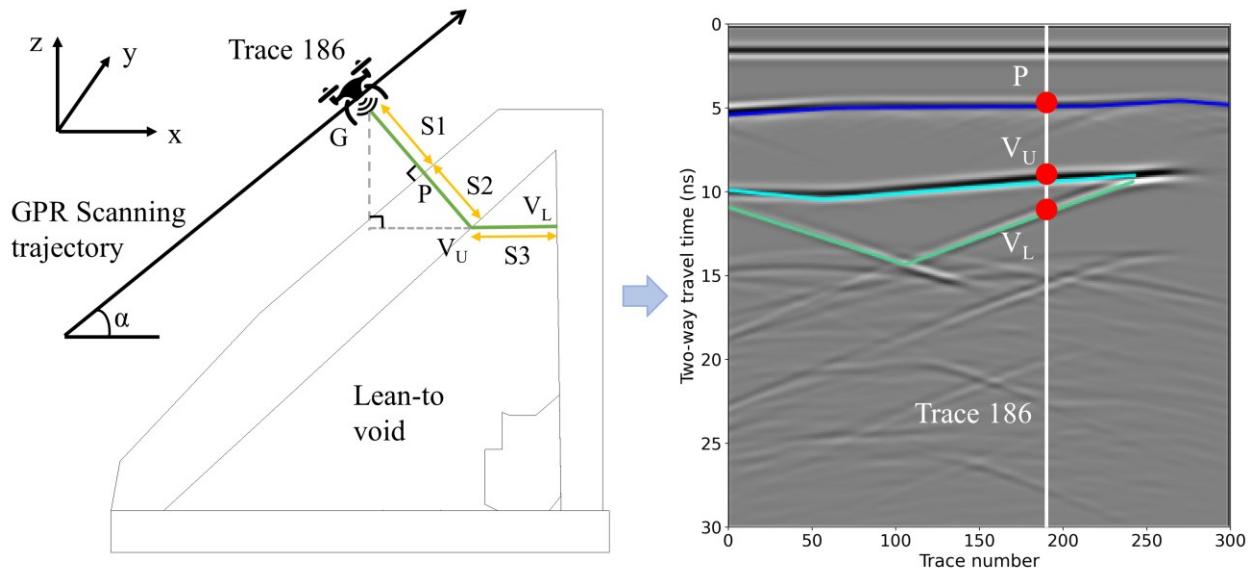
306

$$\begin{cases} x'' = x + (S_1 + S_2) \sin \beta \\ y'' = y \\ z'' = z - (S_1 + S_2) \cos \beta - S_3 \end{cases} \quad (3)$$

307 When the GPR passes the transition point, the reflection on the lower boundary will come from the
 308 wall as indicated in Fig. 6. The coordinates of the upper boundary will be calculated using Eq. (1). The
 309 coordinate of the lower boundary is given in Eq. (4).

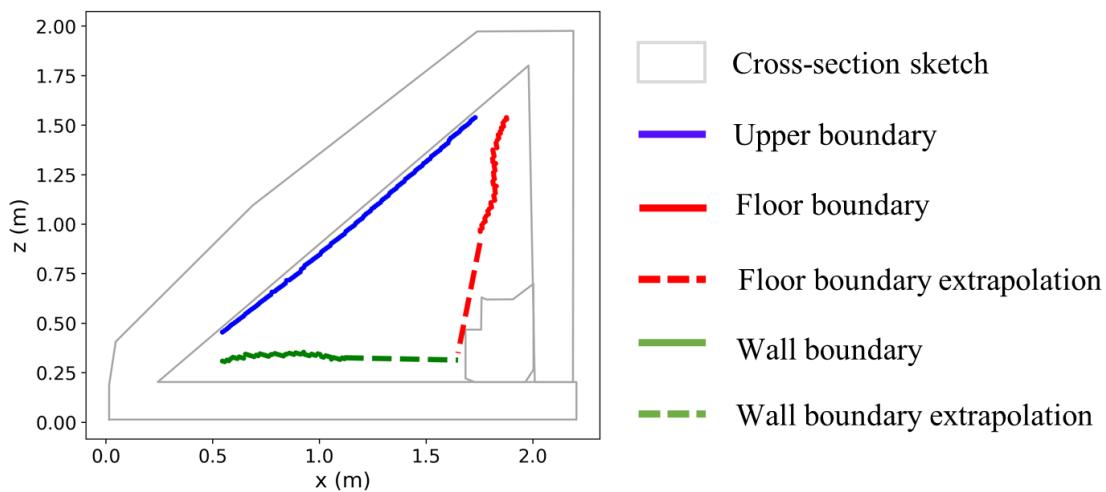
310

$$\begin{cases} x'' = x + (S_1 + S_2) \sin \beta + S_3 \\ y'' = y \\ z'' = z - (S_1 + S_2) \cos \beta \end{cases} \quad (4)$$



312 **Fig. 6.** Schematic diagram of coordinates calculation for wall boundary

313 As indicated in Fig. 4, there will be missing void boundaries on the floor and wall that will not have
314 reflections appear on the detected void boundary in the radargram. The missing detection will lead to an
315 underestimate of void volume. According to Assumption 3, the missing section at the floor and wall is
316 estimated by linearly extrapolating using detected sections as indicated in Fig. 7. The extracted boundary
317 coordinates from multiple GPR scans form a sparse 3D point cloud, which will then be fed to the void
318 reconstruction algorithm.



319

320 **Fig. 7.** Results of segmented boundary coordinates and extrapolation

321 *3D Model Generation*

322 The alpha shape method is selected to reconstruct void space using the sparse 3D point cloud. The
323 method is selected for two main reasons. First, the algorithm has been demonstrated to be fast and effective
324 in 3D reconstruction (Gomes et al. 2014). Second, the method can provide an accurate estimation of the
325 volume (Al-Tamimi et al. 2015). However, for the traditional alpha shape approach, the shape of the
326 reconstructed model is determined by a single α value, which is not suitable for non-uniformly distributed
327 point cloud data. If α value is chosen for dense regions, then the reconstructed model will have holes or
328 break apart in sparser regions. For instance, the α value can be either too small or too large in some regions
329 if the point cloud is not evenly distributed. To overcome this limitation, a weighted alpha shape (WAS)

330 method is developed for void reconstruction. The proposed *WAS* method assigns different weights for each
331 point in the set. The weight is determined by the density of points in the region. The low-density regions
332 have a relatively large weight for the α value. The algorithm is detailed as follows.

333 1) Determine k nearest neighbors for each point using k -d tree algorithm (Hajebi et al. 2011) given
334 point cloud \mathbf{P} (p_1, p_2, \dots, p_n); Calculate average distance \mathbf{d} (d_1, d_2, \dots, d_n) for each point to its nearest
335 neighbors.

336 2) Draw tetrahedra between points using the Delaunay Triangulation (DT) which can ensure each
337 tetrahedron contains no other points in its interior.

338 3) Calculate median edge length L_m of tetrahedral mesh.

339 4) Calculate the radius of the sphere circumscribed about tetrahedron T_1 . T_1 is formed by vertices p_a ,
340 p_b , p_c , and p_d , which are four points in the point cloud \mathbf{P} .

341 5) Calculate threshold value α_w for T_1 using Eq. (5), where \bar{d} is the mean of \mathbf{d} calculated in step 1.

342

$$\alpha_w = \frac{d_a + d_b + d_c + d_d}{4\bar{d}} \times 2L_m \quad (5)$$

343 6) Remove the edges of the tetrahedra if the radius calculated in step 3 is larger than α_w ; Keep the
344 edges if the radius is smaller than α_w .

345 7) Repeat steps 3-5 recursively until all the tetrahedra are processed.

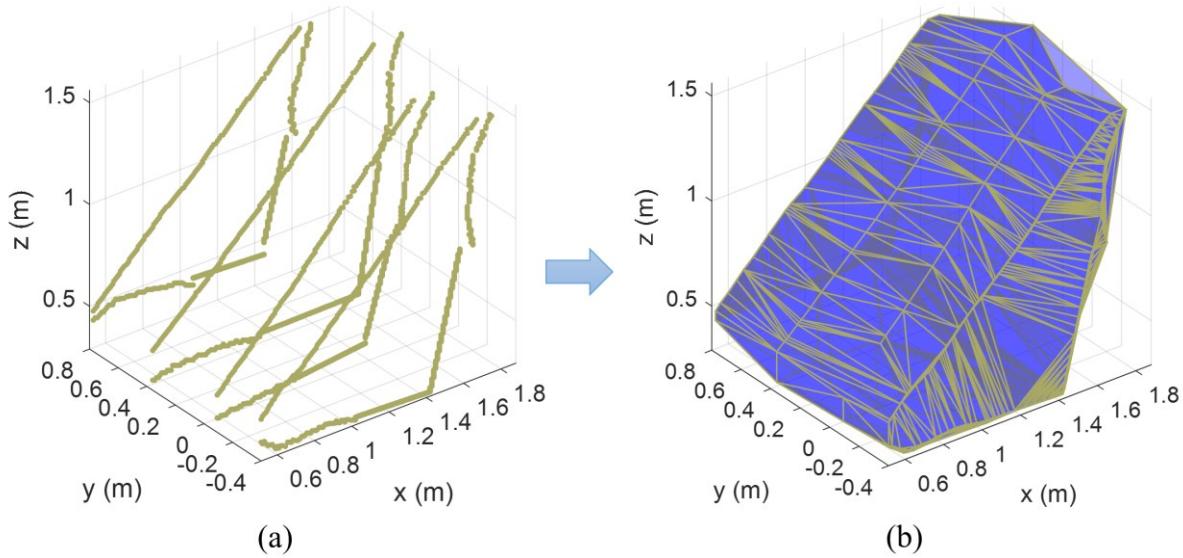
346 8) Generate 3D model in STL format using preserved edges.

347 The parameter k determines the number of nearest neighbors for each point. The k is typically selected
348 as the square root of the total number of points (Nadkarni 2016). The α value is set as two times median
349 edge length L_m of tetrahedral mesh to ensure resulting alpha shape enclosing all points with one region. The
350 volume of the 3D model is also estimated based on the discrete form of the divergence theorem (Alyassin
351 et al. 1994). The volume is calculated in Eq. (6), where z_i is the z component of the centroid coordinate, a_i
352 is the triangle area, and nz_i represents the z component of normal of the i th triangle.

353

$$V = \sum_i z_i a_i nz_i \quad (6)$$

354 Fig. 8 shows a representative result of void reconstruction using the proposed approach. The estimated
 355 depth and volume of the reconstructed void are 0.51m and 0.87m³, respectively. The generated void
 356 information needs to be communicated to the first responders to improve their situational awareness
 357 regarding subsurface void. Next, the development of an AR-based visualization system is presented.



358

359 **Fig. 8.** Example of reconstructed void: (a) void boundary coordinates; and (b) reconstructed void

360 *AR-Based Visualization*

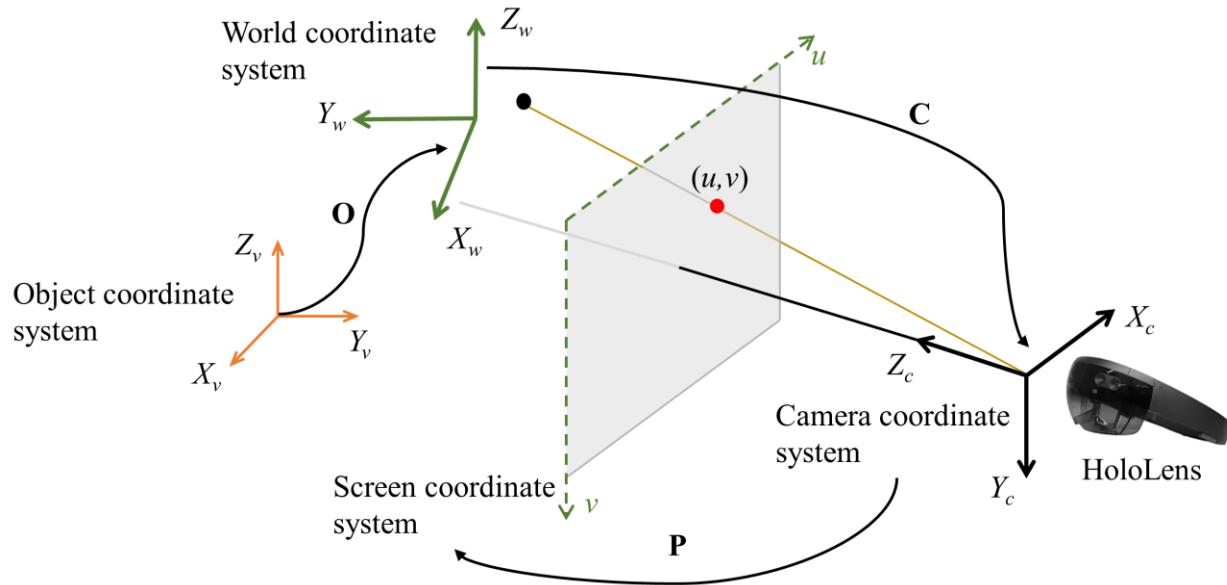
361 The generated void information is registered to the real world using the image-based approach. Ground
 362 surface images are collected during the UAV survey from on-board camera. The image is corresponded to
 363 void information beneath the rubbles. A database is established to store void information and image for
 364 each structural collapse. The database stores ID, image, 3D void model, void volume, and void buried depth
 365 for each site. The images and void information will be uploaded to the database after the UAV finishes the
 366 survey. The registration of void information can then be achieved by comparing the image captured by the
 367 AR device and images in the database. Once the image target is captured, void information will be presented
 368 to first responders through the AR interface.

369 Fig. 9 illustrates the relationship between the image plane and virtual object coordinate system
 370 (Carmigniani and Furht 2011). It consists of three transformations that are the object-to-world (**O**), world-

371 to-camera (**C**), and camera-to-image (**P**). (X_v, Y_v, Z_v) is the virtual objects coordinate system, which is the
 372 coordinate frame for void information in the virtual world. (X_w, Y_w, Z_w) is the world coordinate frame acting
 373 as a global reference for objects in the real world. (X_c, Y_c, Z_c) is the camera coordinate system. This
 374 coordinate system locates at the center of the camera and is used to denote the pose of the AR device at any
 375 given time. (u, v) is the screen coordinate system in the image plane. Eq. (7) is used to transform from
 376 virtual coordinate frame to the camera coordinate frame. The matrix **O** and **C** are both 4×4 transformation
 377 matrices that consist of rotation and translation.

378

$$\begin{bmatrix} X_c \\ Y_c \\ Z_c \\ 1 \end{bmatrix} = \mathbf{O}_{4 \times 4} \mathbf{C}_{4 \times 4} \begin{bmatrix} X_w \\ Y_w \\ Z_w \\ 1 \end{bmatrix} \quad (7)$$



379
 380 **Fig. 9.** Coordinate transformation between virtual object and image plane

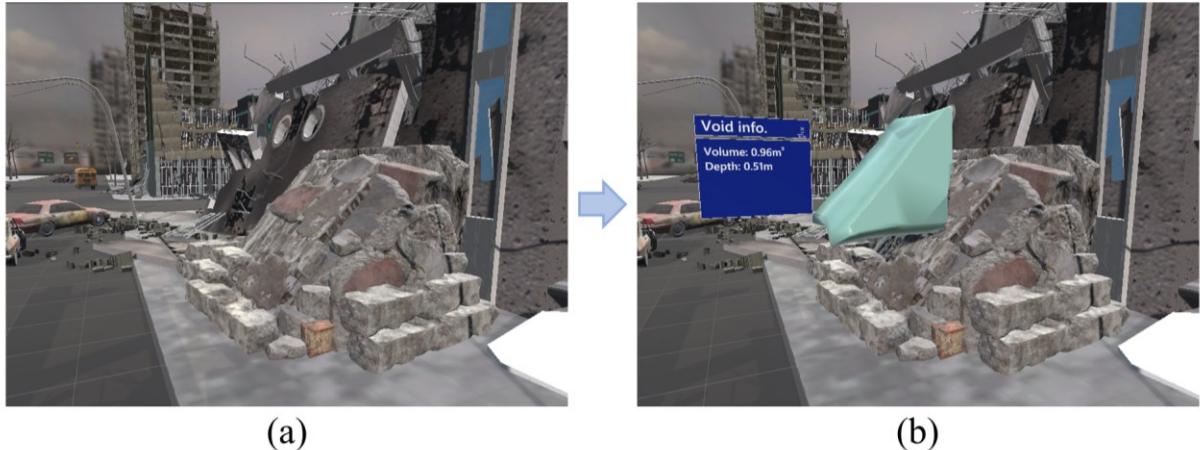
381 The screen coordinate can then be calculated by Eq. (8), where (u, v) is the coordinate of the screen
 382 coordinate system. λ is free scaling parameter; k_u and k_v represent the scale factor relating pixels to distance;
 383 f is the focal length; (c_x, c_y) is the principal point that represents the center of the image. These parameters
 384 are intrinsic parameters of the camera.

385

$$\begin{bmatrix} u \\ v \\ 1 \end{bmatrix} = \lambda \begin{bmatrix} f \times k_u & 0 & c_x \\ 0 & f \times k_v & c_y \\ 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} X_C \\ Y_C \\ Z_C \end{bmatrix} \quad (8)$$

386 The Vuforia SDK is used to detect and track the image target (PTC 2019). The Vuforia SDK has been
 387 widely used with robust performance on a variety of hardware such as HoloLens and smartphones (Frantz
 388 et al. 2018; de Ravé et al. 2016). The Vuforia SDK detects feature points in target images. The detected
 389 features are compared at run time with features in images captured by an AR device. The quality of an
 390 image target is evaluated by star rating ranges between 1 and 5 stars. An image target, with rich in detail,
 391 good contrast, and no repetitive patterns, has a higher star rating, which is easy to detect and track. Once
 392 the image target is detected, the Vuforia engine will track the image and register virtual content into the
 393 real environment. The accuracy of the registration is up to natural features detected in the image target.

394 Fig. 10 presents an illustration of the developed AR interface prototype. The Unity3D is selected as the
 395 development platform due to its easy handling of virtual objects. In Unity3D, the 3D void with relevant
 396 information and image target for each structural collapse are imported and aligned together. The 3D void
 397 model using the proposed reconstruction method is saved in 3D file format obj. The image target created
 398 by Vuforia SDK is saved as Unity package. The Unity3D can build applications to the AR devices for
 399 different platforms such as Universal Windows Platform (UWP), iOS, and Android. The first responder
 400 will carry AR devices such as HoloLens and mobile phones at disaster sites to visualize void information.
 401 When the first responder arrives at structural collapses, void information will be presented to them through
 402 the AR interface once the image target is detected. The 3D reconstructed void is overlaid on the top of
 403 structural collapse with related depth and volume information. The 3D model is placed on the top of the
 404 rubble to ensure first responders can visualize the geometry of the reconstructed void.



405

406 **Fig. 10.** Interface of the AR system prototype: (a) disaster rubbles; and (b) disaster rubbles with void
407 information overlaid

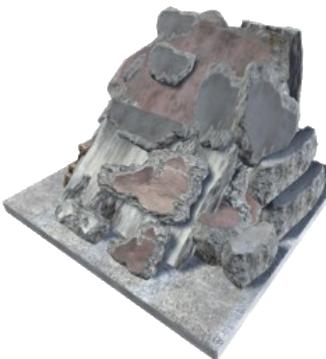
408 **Experimentation and Evaluation**

409 Two sets of experiments were conducted to validate and evaluate the proposed framework. The first set
410 of experiments aims to evaluate the proposed 3D reconstruction method. The second set of experiments
411 aims to test the applicability of AR in unfavorable conditions that are commonly seen in disaster areas. The
412 experimentation details and results are presented below.

413 ***Evaluation of 3D Void Reconstruction***

414 The first set of experiments is conducted to evaluate the efficiency of the proposed 3D reconstruction
415 method. The authors have designed a search and rescue drone to detect voids under the rubble in post-
416 disaster scenarios (Chen et al. 2020). The multirotor drone is equipped with RTK GPS, IMU, camera, and
417 GPR to survey disaster areas. The simulator is used to replicate real disaster scenarios based on photos and
418 videos collected from real disaster sites. With the simulation platform, various disaster scenarios can be
419 arranged for testing and a large amount of GPR data can be collected as in real disaster sites. In addition,
420 large-scale disaster rubbles can be created in the virtual environment which is hard to build in reality. Two
421 lean-to collapse voids were created in the virtual environment. The geometric model of the cross section of
422 the rubble along the GPR scan path is extracted based on UAV trajectory. The synthetic radargram at each
423 cross section is simulated using the gprMax simulator (Warren et al. 2016b). The simulated antenna is set

424 as a 900 MHz Ricker wavelet, which is well accepted. Fig. 11 presents an overview of the two lean-to
425 collapsed voids.

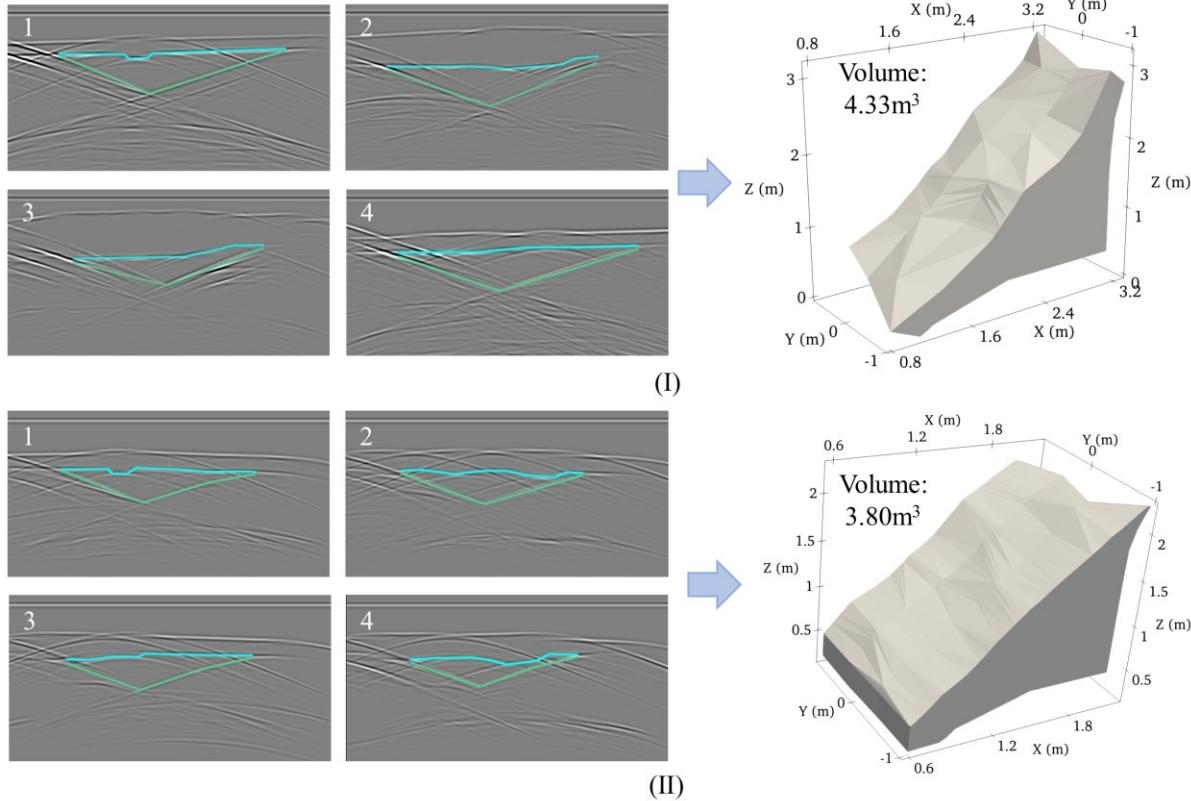
Scenario	Disaster rubble	Side view	Volume
I			8.72m ³
II			4.42m ³

426

427 **Fig. 11.** Experimental scenarios of collapsed structures

428 Fig. 12 presents the results of 3D void reconstruction on the two simulated cases. The UAV flies along
429 the slope of the lean-to collapse structures to collect GPR data. Four GPR scans are collected in both
430 scenarios, where scan paths are approximately parallel to each other. The GPR scan spacing for the
431 collapsed rubble is selected based on the size of collapse and site conditions. The scan spacings for scenario
432 I and scenario II are 0.8m and 0.6m, respectively. The volume of reconstructed void for scenario I is 4.33m³,
433 which is smaller than the ground-truth volume of the void. The underestimate of void volume can be
434 attributed to two reasons. First, the irregular surface will change the transmitting path of EM waves, and
435 thus estimated boundary coordinates are not aligned well with the ground-truth void boundary. Second,
436 there are only four GPR scans for each rubble which cannot capture the full image of the buried void.
437 Increasing the number of scans can better reconstruct void space but at the expense of data collecting and
438 processing time. The estimated buried depth of the void is 0.23m. The ground-truth depth of void varies

439 from 0.13m to 0.55m. The estimated depth is within the range of the ground-truth depth. For Scenario II,
 440 the volume of the reconstructed void is 3.80m^3 , which is close to ground-truth volume. The estimated buried
 441 depth of void is 0.18m, which is in agreement with ground-truth depth (0.13m to 0.29m).

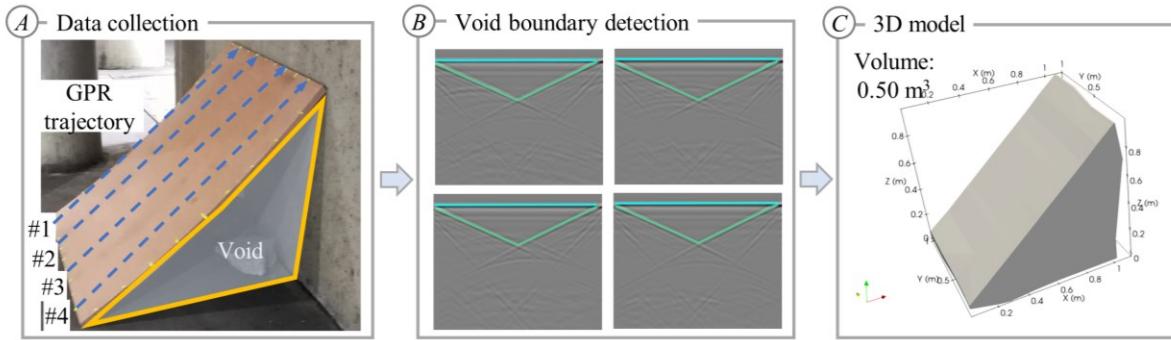


442

443 **Fig. 12.** Void reconstruction for simulated scenario I and II

444 A real experiment is also conducted to validate the proposed 3D reconstruction method. Given that
 445 GPR data from recent disasters were not available, a simplified model is built based on the lean-to collapse
 446 void with a smaller scale as shown in Fig. 13. The built lean-to collapse normally happens when floor
 447 structure fails on one side and the other side is still connected to the wall structure. The wood plate is used
 448 to simulate a simplified case of the collapsed floor. It should be noted that the built lean-to collapse is a
 449 relatively ideal scenario that can be much more complex in real disaster sites. The aim here is to show the
 450 feasibility of the void reconstruction method to reconstruct invisible void space using real GPR scans. The
 451 volume of the built lean-to void is around 0.58m^3 without considering small objects inside the void space.

452 The thickness of the wood plate is 5cm. The GPR with a 2GHz antenna was used to collect GPR data. The
 453 volume of the reconstructed void is 0.50m^3 , which is close to the real volume of the void. The estimated
 454 void depth is 4.3cm, which is consistent with ground-truth depth. These results demonstrate the feasibility
 455 of the proposed reconstruction method using GPR data and justify the pursuit of larger-scale testing in the
 456 field.



457

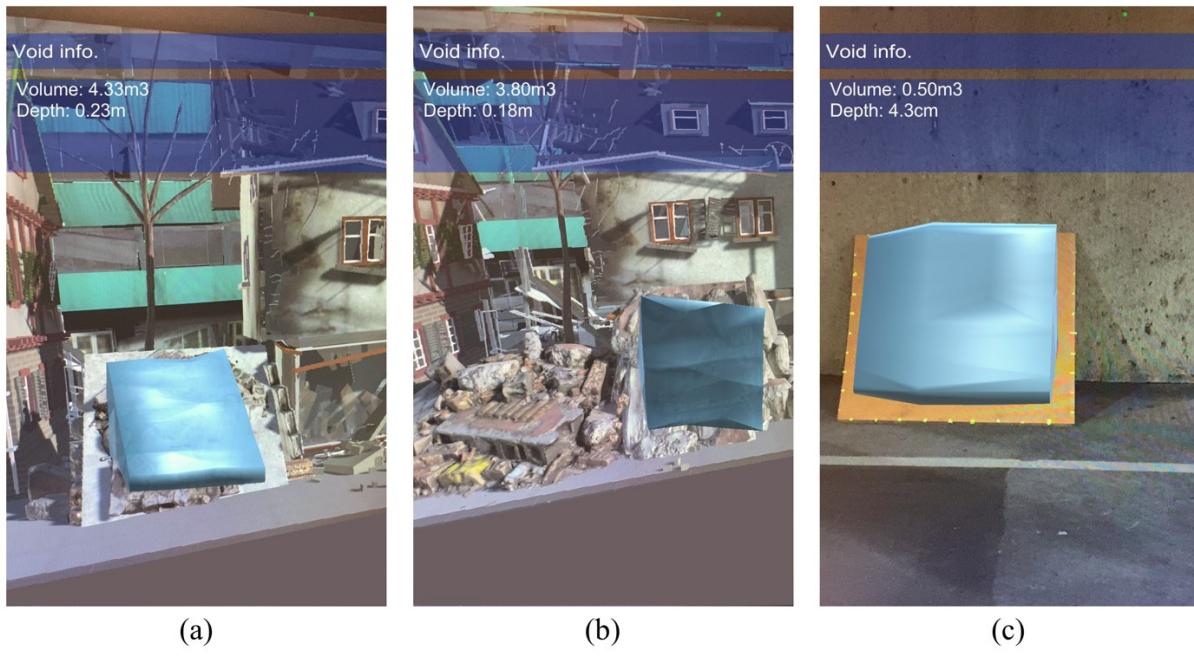
458 **Fig. 13.** Void reconstruction for the real experiment: (a) data collection; (b) void boundary detection; and
 459 (c) 3D model

460 ***Evaluation of the AR System***

461 The second set of experiments evaluates the efficiency of the AR system. The iPhone 11 with iOS
 462 14.4.2 operating system is selected as the AR device in the evaluation. Note that the developed system can
 463 also be adapted to other devices like HoloLens and Android devices. The tracking time is used as a
 464 quantitative metric to assess the performance of the AR system. The tracking time is the processing time
 465 required to register virtual content into the real world. A small processing time promotes the visualization
 466 of void information in a timely manner and improves the user experience. If there is a significant amount
 467 of processing time, first responders may fail to capture the void under the rubble. This is because that first
 468 responder may move to other places if void information is not visible through the AR device in a short time.
 469 Therefore, tracking time is very important for the successful deployment of the AR system. The amount of
 470 time is related to the number of detected natural features that can be affected by occlusion and lighting
 471 conditions. If nature features cannot be detected or the number of detected features is very small, the void

472 information cannot be registered and visualized by first responders. Hence, the impacts of occlusion and
473 illumination factors on the processing time of the AR system are investigated.

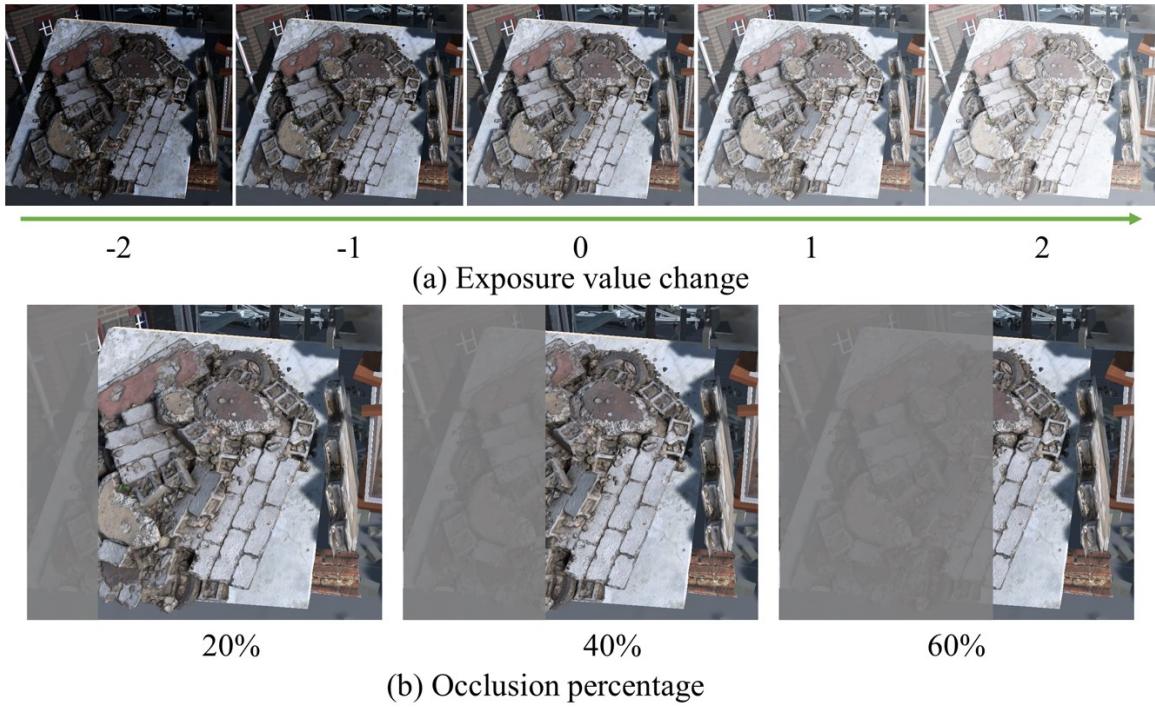
474 Fig. 14 shows the visualization of reconstructed void overlaid on the abovementioned three collapse
475 scenarios. The results indicate that void can be correctly registered to the structural collapses and be
476 visualized through AR device. Note that since the scenarios I and II are built in the simulation platform, the
477 scene from a first-person perspective is first extracted from the simulator. Then, void information is overlaid
478 on the image and visualized through the AR device. As indicated in Fig. 14, the interaction with the AR
479 system is intuitive, and presented information is easy to understand. This can help reduce the cognitive and
480 information overload of first responders during search and rescue missions.



481
482 **Fig. 14.** AR visualization of void information: (a) scenario I; (b) scenario II; and (c) real experiment

483 In order to evaluate the efficiency of the AR system under occlusion and illumination variations, a total
484 number of 34 structural collapses with a potential void underneath were collected and their corresponding
485 surface rubble images were extracted. These surface rubble images were used as image targets to test the
486 AR system. Fig. 15 presents an illustration of the exposure and occlusion settings using the simulated
487 scenario I. The exposure values (EVs) and occlusion percentages are simulated to model possible site

488 conditions. At disaster sites, scene can be occluded due to the dynamics including human and equipment
489 movement and debris removal. In addition, the illumination conditions can be affected by weather and the
490 physical environment at disaster sites.

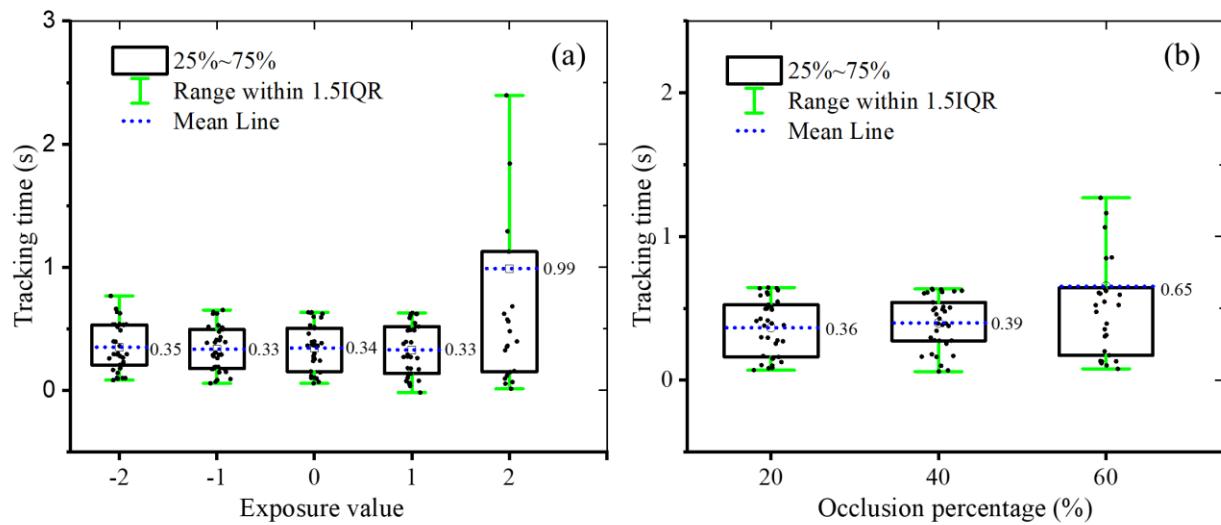


491
492 **Fig. 15.** Illustration of exposure value and occlusion percentage settings: (a) exposure value; and (b)
493 occlusion percentage

494 Fig. 16 (a) indicates the relationship between tracking time and EVs for the 34 investigated collapses.
495 Positive and negative values represent the increased and decreased level of EV from the original EV of the
496 image, respectively. A decreased level of EV represents a darker scene. The results suggest that average
497 tracking time ranges from 0.33 to 0.35s when changes of EV are -2, -1, 0, and 1. Under these scenarios,
498 images of disaster scenes can be easily recognized and tracked in a timely manner. However, when the EV
499 value increases by 2, the tracking time increases significantly compared to that of the original images.
500 Furthermore, eleven images cannot be recognized and tracked in this situation. It indicates that the AR
501 system may not be able to work properly under the extremely bright scene.

502 Fig. 16 (b) is the tracking time variation with different occlusion percentages which represents the
503 proportion of the hidden part of the image. We investigated the AR system performance with occlusion

percentages of 20%, 40%, and 60%. As indicated in Fig. 16 (b), average tracking times are 0.36 and 0.39 for occlusion 20% and 40%, respectively. The system can perform well under low occlusion. Furthermore, average tracking times increase with increasing occlusion percentages. The AR system experiences a significant tracking time increase from occlusion 40% to 60%. In addition, seven images are not recognized for occlusion 60%. It indicates the AR system performance is compromised under the high occlusion. The results demonstrated the reliability and efficiency of the AR system under adverse situations other than extremely bright and high occlusion scenes.



511
512 **Fig. 16.** Tracking time variation with exposure value and occlusion: a) exposure value; and (b) occlusion
513 percentage

514 **Discussion**

515 *Feasibility of the framework*

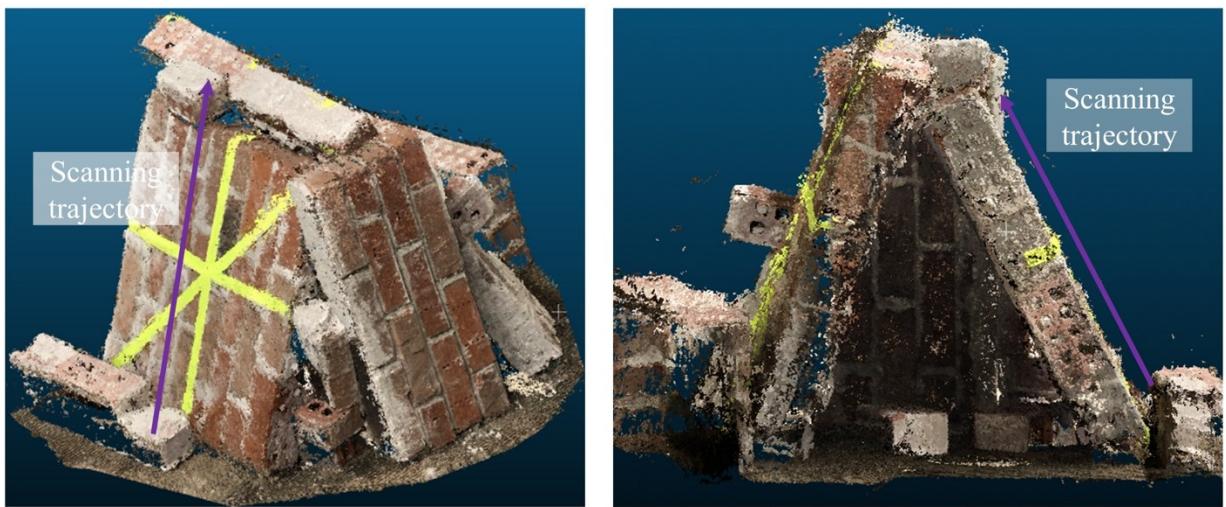
516 The proposed 3D reconstruction method was tested in two simulated and one real experiments. The
517 simulated experiments built relatively large-scale structural collapses with debris and rubbles. 900MHz
518 GPR antenna is used in the simulation. The real experiment built a small-scale lean-to collapse with rubbles
519 in void. The GPR data were collected using 2GHz antenna due to the small scale. The 3D reconstruction
520 method is demonstrated to be feasible to reconstruct void in structural collapses. In all three cases, the
521 estimated void depth is found to be in good agreement with ground-truth void depth. The volume of

522 reconstructed void is found to be smaller than the ground-truth volume of void. This underestimate stems
523 from the error in boundary coordinates estimation and the limited number of GPR scans. In the next step of
524 research, the optimal number and path of GPR scans for void reconstruction will be investigated. The
525 proposed method is suitable for GPR data with different frequencies, which gives more flexibility to first
526 responders in GPR system selection. The low-frequency GPR has a high penetration depth but a low
527 resolution. For large structural collapses, a low-frequency antenna is recommended to ensure entire
528 subsurface structures can be detected in GPR scans. Note that, the low-frequency antenna will reduce the
529 resolution, which could potentially lead to incorrect boundary estimation. On the other hand, a high-
530 frequency antenna is recommended for small-scale structural collapses.

531 The AR system was developed to register reconstructed void and its related information to the structural
532 collapses in this study. Tracking time was used to evaluate the performance of the AR system. The
533 developed AR interface is found to be effective in overlaying void information on structural collapses under
534 dynamic occlusion and lighting conditions. The results indicate that the average tracking time is less than
535 0.4s for occlusion percentages 20%, 40%, and 60%. In addition, the processing time is less than 0.4s for
536 exposure values -2, -1, 0, and 1. Thus, the proposed AR system is applicable to the dynamic and complex
537 environment at disaster sites. In addition to void information, the system can also integrate other actionable
538 information collected from different sensors. For instance, RGB and depth images were used together to
539 discover access holes in disaster rubbles (Kong et al. 2016). First responders can extricate entrapped victims
540 through access holes, or deploy robots to further explore inside the rubbles. In another example, the thermal
541 camera was used to localize victims on the surface in low-visibility conditions at disaster sites (Doroodgar
542 et al. 2014). The weight of hardware is also acceptable for first responders. For instance, cell phones are
543 generally less than 200 grams and easily accessible. The weight of the HoloLens is around 579 grams. In
544 addition, the HoloLens is easy to use and hands-free, allowing first responders to carry out search and rescue
545 operations while wearing it.

546 *Applicability of the boundary coordinates extraction method*

547 In this section, we conducted GPR survey on a scaled experiment and simulated collapsed building
548 structures to investigate the applicability of the proposed boundary coordinates extraction approach. Due
549 to difficulties in conducting validated experiments at disaster sites, we have conducted a scaled experiment
550 in our laboratory using the brick wall. A lean-to collapse void was built (see Fig. 17) and scanned along the
551 slope using the GPR with a 2GHz antenna.

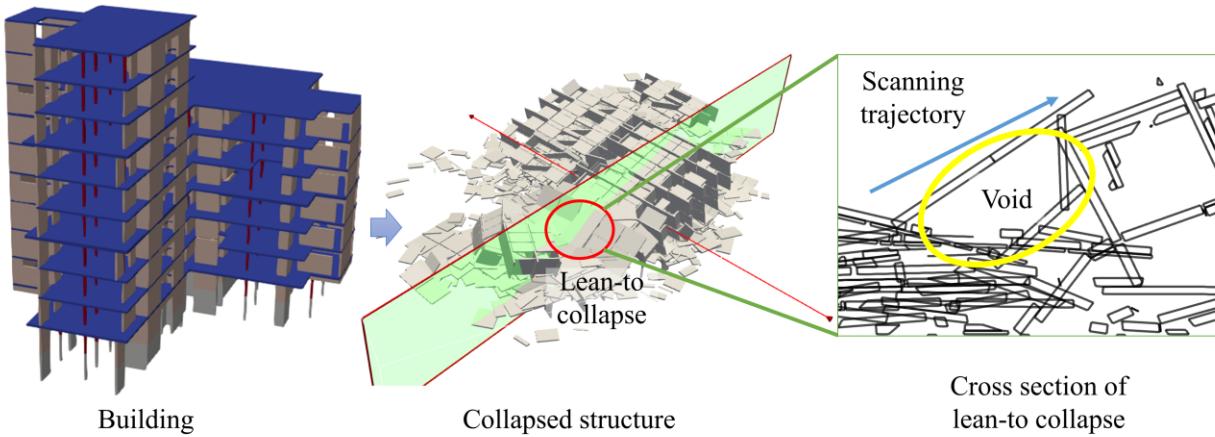


552 (a) oblique view

552 (b) Front view

553 **Fig. 17.** Lean-to collapse built with brick wall: (a) oblique view; and (b) front view

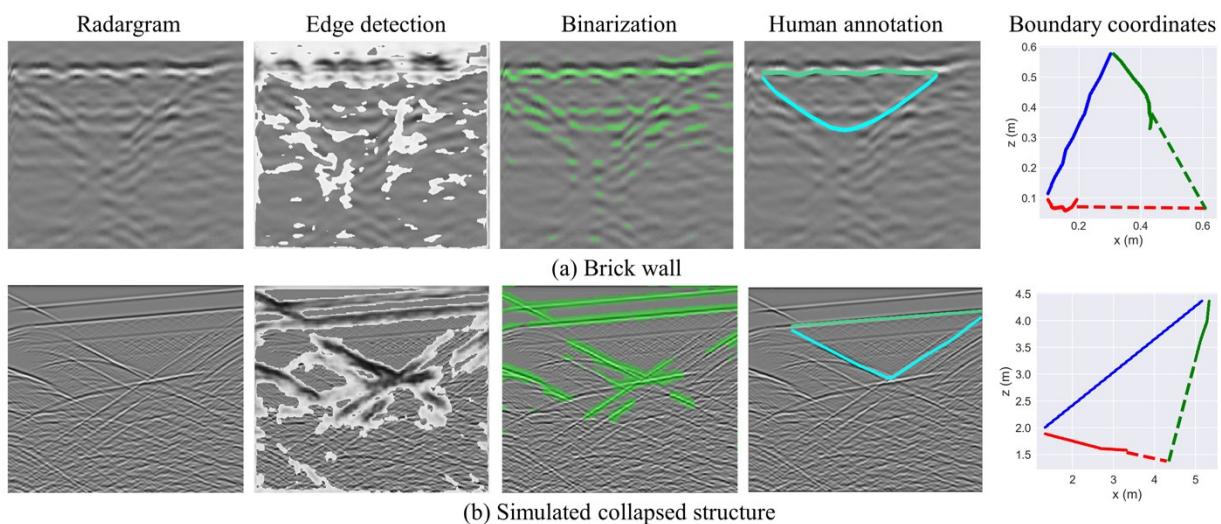
554 Furthermore, A multi-storey apartment building collapse was simulated using the collapse simulator
555 developed in (Walte and Kostack 2017). The area with potential lean-to collapse void is selected for the
556 GPR survey. The cross section of lean-to collapse is fed into the gprMax simulator to generate the synthetic
557 radargram. Fig. 18 shows the collapsed structure and cross section of a lean-to collapse void.



558

559 **Fig. 18.** Simulated collapse structure and cross section of lean-to collapse void

560 Fig. 19 shows the estimation of boundary coordinates for the lean-to collapses. The results indicate that
 561 the boundary with strong reflections in the radargram can be identified with the processes of edge detection
 562 and binarization. The user can further fine-tune the void boundary based on the binarized boundary. The
 563 estimated boundary coordinates are in good agreement with the ground-truth void shape in a 2D space. The
 564 results demonstrate the feasibility of the proposed boundary coordinates estimation approach. A
 565 combination of multiple GPR scans along the lean-to collapse can reconstruct the void in a 3D space.



566

567 **Fig. 19.** Boundary coordinates estimation for the lean-to collapse built using brick walls and in the
 568 simulated collapsed structures: (a) brick wall; and (b) simulated collapse structure

569

570 *Limitation and future studies*

571 This study also has several limitations. First, more information can be acquired from the aboveground
572 and belowground information, thus providing more actionable information through the interface. In the
573 future, the potential correlation between aboveground and belowground information needs to be explored.
574 For example, aboveground information such as building materials can be used to calibrate the GPR. In
575 addition, the predicted subsurface scenarios can be used to determine the GPR scanning trajectory and
576 facilitate the interpretation of GPR data. Second, as a pilot study to demonstrate the feasibility of GPR-
577 based 3D void reconstruction in disaster rubbles, experimental scenarios for void reconstruction in this
578 study were established as relatively simple lean-to collapse voids. In real disaster sites, building collapse
579 could be much more complex for structural collapse with reinforcement steel and heterogenous rubbles,
580 which may compromise the effectiveness of void detection from GPR data. In future studies, field
581 experiments need to be conducted with more realistic scenarios, and advanced processing methods are
582 needed.

583 Third, the relative permittivity of collapsed structures is estimated based on the material type in our
584 analysis, which could lead to inaccurate depth estimation of void spaces. Conventional relative permittivity
585 estimation approaches such as target burying and common mid-point offset (CMP) are not applicable for
586 complex disaster sites. In the future, more advanced equipment and methods are needed to accurately
587 measure the relative permittivity of collapsed structures in the field. Fourth, the developed framework is
588 not able to provide information regarding objects and trapped victims in the void, which is critical
589 information for first responders to designate an appropriate search plan. Deep learning-based methods
590 provide possibilities to extract more information from GPR scans if a large GPR dataset at disaster sites
591 becomes available. Furthermore, GPR combined with other sensors such as cameras and rescue radar can
592 generate more data and enable a more comprehensive understanding of subsurface conditions. Finally, the
593 latency of the AR application still requires further improvement. In the future, algorithms should be

594 developed to reduce the time for image detection and tracking under adverse environments such as
595 extremely bright scenes.

596 **Conclusions**

597 The success of searching and rescuing victims trapped in disaster rubbles primarily depends on the first
598 responders' situational awareness regarding the interior spaces in collapsed structures. To improve first
599 responders' situational awareness, the proposed framework entails two innovations: GPR-based 3D void
600 reconstruction and AR-based information communication that collectively enables the first responders to
601 see through complex and heterogeneous disaster rubbles for efficient, effective, and safe search and rescue.
602 It was found that GPR has great potential for sensing the interior spaces of disaster rubble, and detecting
603 possible void spaces by integrating automatic GPR data processing with human interpretations. The
604 modeling of GPR scanning trajectories and signatures of voids could help the estimation of coordinates of
605 void boundaries to generate sparse 3D point clouds of the detected voids. An improved weighted alpha
606 shape algorithm was also shown to be effective in reconstructing the void spaces in 3D to extract detailed
607 information including depth, size, and geometry for search and rescue operations. The AR-based see-
608 through interface relies on the robust registration of reconstructed interior voids to the exterior surface on
609 disaster sites via image-based matching. Although unfavorable lighting conditions and occlusions could
610 possibly affect the AR performance in terms of average tracking time, the simulations and pilot
611 experimentations demonstrated the potential and feasibility of the AR-based interface. Therefore, the
612 proposed framework and developed methods provide an innovative attempt and technical insights for
613 improving first responders' situational awareness during the urban search and rescue.

614 **Data Availability Statements**

615 All data, models, or codes that support the findings of this study are provided by the corresponding author
616 upon reasonable request.

617 **Acknowledgements**

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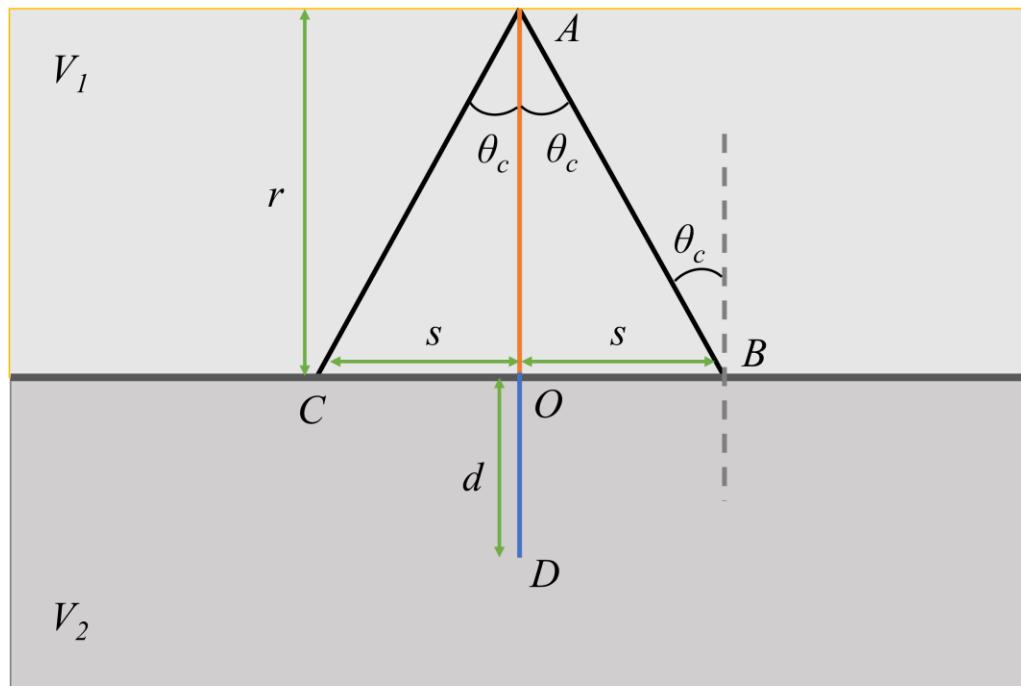
620 Other Technologies to Assist in Disaster Relief Efforts". The authors gratefully acknowledge the support
621 from NSF and TDOT. Any opinions, findings, conclusions, and recommendations expressed in this paper
622 are those of the authors and do not necessarily reflect the views of NSF, TDOT, The University of
623 Tennessee, Knoxville, Loughborough University, The University of Florida, and The University of Texas
624 at San Antonio.

625 **Appendix A**

626 Fig. 20 shows the schematic diagram of GPR wave propagation through medium 1 to medium 2. The
627 GPR signal spreads in a medium in a spherical shape. When an EM wave reaches an interface, some of it
628 is reflected and some of it is transmitted across the interface. EM wave can undergo critical refractions,
629 which occurs when the incident angle is such that the refracted wave propagates along with the interface.
630 The critical angle is defined in Eq. (9), where V_1 and V_2 represent the wave velocity in medium 1 and
631 medium 2 respectively.

632

$$\sin\theta_c = \frac{V_1}{V_2} \quad (9)$$



633

634 **Fig. 20.** Schematic diagram of EM wave propagates through medium 1 to medium 2

635 Since EM wave spreads in a spherical shape, the wave path AO, which is perpendicular to the interface,
636 should arrive first. The path AB is the incident wave with a critical angle. The travel time difference between
637 AB and AO can be calculated in Eq. (10)

$$638 \quad t = \frac{r(\frac{1}{\cos\theta_c} - 1)}{V_1} \quad (10)$$

639 The travel distance d for the refracted wave of AO in medium 2 is calculated in Eq. (11)

$$640 \quad d = \frac{r(\frac{1}{\cos\theta_c} - 1)}{V_1} V_2 \quad (11)$$

641 The three points B, C, and D should form a cycle when transmitting in medium 2, since the wave
642 transmits in a spherical shape. The point O is assumed to be the center of the circles, which leads to d equals
643 to s . It is defined in Eq. (12).

$$644 \quad d = s \Rightarrow \frac{r(\frac{1}{\cos\theta_c} - 1)}{V_1} V_2 = r \tan\theta_c \quad (12)$$

645 Eq. (12) can be reformulated as Eq. (13)

$$646 \quad \frac{V_2 - V_1 \sin\theta_c}{\cos\theta_c} = V_2 \quad (13)$$

647 Substituting Eq. (9) into Eq. (13) results in Eq. (14). When $V_2 \gg V_1$, the equation holds.

$$648 \quad \frac{2V_2}{V_1^2 + V_2^2} = \frac{1}{V_2} \quad (14)$$

649 References

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