

The Case for an Interwoven Parallel Hardware/Software Stack

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Abstract—The layered structure of the system software stacks we use today allows for separation of concerns and increases portability. However, the confluence of widely available virtualization and hardware partitioning technology, new OS techniques, rapidly changing hardware, and significant advances in compiler technology together present a ripe opportunity for restructuring the stack, particularly to support effective parallel execution. We argue that there are cases where layers, particularly the compiler, run-time, kernel, and hardware, should be interwoven, enabling new optimizations and abstractions. We present four examples where we have successfully applied this interweaving model of system design, and we outline several lines of promising ongoing work.

Index Terms—interweaving, layering, operating systems, compilers

I. INTRODUCTION

Advances in virtualization and hardware partitioning now make it possible for a single system to securely host multiple software stacks simultaneously [9], [59], [38], [39]. Similarly, in some high performance computing and cloud environments, systems with diverse software stacks can securely coexist side-by-side through network traffic segregation. Containerization simplifies deployment, and fast boot technologies allow us to think of an entire software stack in much the same way we have thought about processes in the past. The result of these advances has been an explosion of innovation across the software stack, abetted by concomitant hardware advances. We are no longer bound by the commodity software/hardware stack. Simply put, we can put whatever stack we want *next* to the commodity stack.

We have been working to leverage these advances to improve the state of software/hardware stacks *specifically for parallel programs*. As we know from the 50+ year history of parallelism, parallel programs are quite different from sequential and ordinary concurrent programs in terms of languages, patterns, collective behavior, and execution models. Despite these profound differences, today it is often assumed that only small variations in the commodity software/hardware stack are necessary to support parallelism well. At the same time the

commodity software/hardware stack has grown quite rigid, which restricts the imagination of the designers of parallel systems including languages, compilers and run-times, and limits them to a constrained design space. These restrictions are already limiting today and will become hard limiters as exploiting parallelism becomes ubiquitous, the scale of the necessary parallelism expands, and energy efficiency becomes increasingly important.

a) Example Limitations: Current hardware/software stacks for parallelism require virtual memory in the form of paging, which then demands the existence of TLBs and other hardware structures. These in turn have substantial overheads in time and energy. This issue is not limited to parallel systems. Indeed, there is a cottage industry of work on addressing the limitations of paging in the general systems and architecture communities as well. Another limitation of current hardware/software stacks for parallelism is that all memory is kept cache-coherent by hardware means. When a parallel language implementation does not require this, there is a substantial toll on performance and energy. Yet another limitation is that events in current hardware/software stacks are based ultimately on hardware timer interrupts, but these exist at a considerable remove from the parallel runtime because of the need to cross a kernel/user boundary multiple times. The extra latency and overhead this introduces artificially limits the granularity of action in a parallel runtime system.¹

b) Big Picture: Our focus is on layering, in particular the layers of language, compiler, run-time, operating system, and hardware. While not impermeable, the existence of these layers inherently warps the design of a parallel system. Another critical aspect is the notion of privileged (e.g., kernel) versus unprivileged (e.g., user) execution, which in turn is a major enforcer of layering. This notion also means that a substantial portion of the hardware functionality is unavailable to all but the kernel, and that higher layers are forced to use the kernel's own abstractions.

c) Interweaving Model: We have been investigating how these layers of a parallel system can and should change, particularly when the privileged/unprivileged distinction is

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¹Sections IV and V give examples of our interweaving work that address the example limitations we note here.

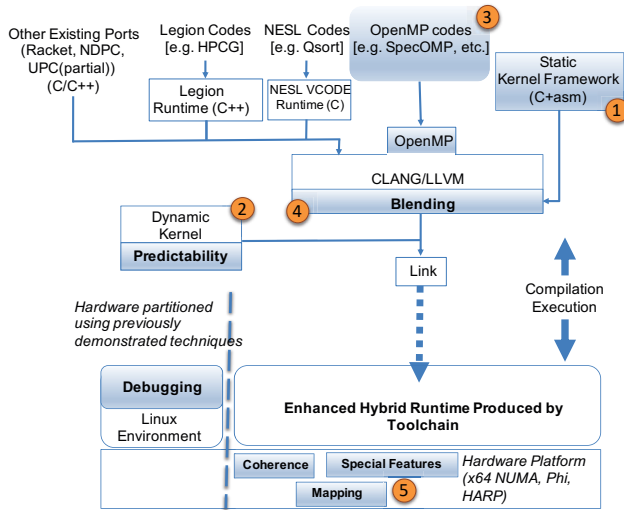


Fig. 1. Example system.

removed. The *interweaving model*, a particular approach to layering, is our approach. Here, the specific functionality needed by a specific parallel system (or even application) is implemented by integrating (“interweaving”) in a custom manner functionality formerly kept distinct at each layer. The custom software/hardware stacks possible in the interweaving model have the potential to lead to higher performance, more scalability, and more energy efficiency.

Figure 1 illustrates the compile-time and run-time structure of the system we are building as we pursue our research agenda. We build upon Nautilus, a static (1) and dynamic kernel framework (2), which we describe in the next section. Nautilus provides predictable behavior through a variety of means, including hard real-time scheduling. We use the Clang/LLVM compiler toolchain to build both Nautilus and the parallel application, with OpenMP applications (3) being an important current target. Using custom code transformation passes, we blend the code of the application and the code of Nautilus at a low-level (4), including below the level of individual functions. Other compiler transformations add code that allows us to solve traditional problems, such as protection and mapping of memory, and timing, using alternative means. Finally, the generated code can take advantage of specialized hardware features such as FPGA-based operators, or relaxed coherence, that emerging hardware (5) can provide and that higher-level parallel languages can exploit.

Given this setting and the overall interweaving model, we have developed alternative approaches to a range of systems problems, which we elaborate on. These provide some evidence that the interweaving approach has legs.

II. RELATED WORK

While the HPC community has been reconsidering operating system design for tightly-coupled parallel computing for decades now [45], [30], [48], [8], the strict separation between

layers of the stack has remained largely stagnant, especially at the user/kernel boundary.

Multi-kernels [63], [28], [61], [75], [7], [37], [27] attempt to strike a middle ground between general-purpose system software and specialized OSes by space-sharing OSes across a system, but leave opportunities for co-design across layers on the table.

In the cloud landscape, Unikernels, aided by ubiquitous virtualization, allow for high performance for a specific target set of workloads [46], [54], [69], [74], [60], [14]. Their success has bled into other areas, including serverless computing [47] and high-performance computing [50]; a Unikernel target for Linux is now in the works [66].

Some Unikernels are constructed from application code using a high-level language [55], a natural progression from classic library OSes [24]. As more sophisticated systems languages like Rust come to prominence, decade-old ideas on using language features to provide or enhance kernel mechanisms like protection or isolation [10], [41], [64] are resurfacing in the form of OSes and Unikernels like The-seus [13], RedLeaf [58], and RustyHermit [49]. However, the compiler is left out of the loop here; we argue that there is significant opportunity for bringing compiler technology and co-design across layers to bear for efficient parallelism.

III. BACKGROUND: NAUTILUS

We build our exploration of Interweaving on Nautilus [35], a publicly available, open-source OS kernel² that currently runs directly on x64 NUMA hardware, including Xeon Phi. Nautilus comprises over 331K lines of code and Nautilus was designed with the goal of supporting hybrid run-times (HRTs). An HRT is a mash-up of an lightweight OS kernel framework, such as Nautilus, and a parallel run-time system [34], [33]. Nautilus can help a parallel run-time ported to an HRT achieve very high performance by providing streamlined kernel primitives such as synchronization and threading facilities. It provides the minimal set of features needed to support a *tailored* parallel run-time environment, avoiding features of general purpose kernels that inhibit scalability.

Nautilus has a range of features that help make the execution of an HRT faster and more predictable. Identity-mapped paging with the largest possible page size is used. All addresses are mapped at boot, and there is no swapping or page movement of any kind. As a consequence, TLB misses are extremely rare, and, indeed, if the TLB entries can cover the physical address space of the machine, do not occur at all after startup. There are no page faults. All memory management, including for NUMA, is explicit and allocations are done with buddy system allocators that are selected based on the target zone. For threads that are bound to specific CPUs, essential thread (e.g., context, stack) and scheduler state is guaranteed to always be in the most desirable zone. The core set of I/O drivers developed for Nautilus have interrupt handler logic with deterministic path lengths. Finally, interrupts are fully

²<https://github.com/hexsa-lab/nautilus>

steerable, and thus can largely be avoided on most hardware threads. Application benchmark speedups from 20–40% over user-level execution on Linux have been demonstrated, while benchmarks show that primitives such as thread management and event signaling are orders of magnitude faster [35], [36]. This background description is reproduced from our prior work, and more details can be found there [35], [29].

Below we describe several completed and ongoing efforts within the larger Interweaving umbrella that we believe demonstrate the promise of our approach.

Nautilus has no protection mechanisms or kernel-user distinction, by design, and, in fact, has a single-address space with identity mapping between physical and virtual addresses using the largest possible page size. The result is that a parallel program on top of it faces no compromises or surprises in terms of TLB misses. Can we add the benefits of virtual memory back into the equation without losing these benefits? Can the benefits of virtual memory be achieved without paging and the concomitant entanglement of cache design and TLBs?

We have also built an enhanced version of this technique within Nautilus with support for separate compilation. Based on the PIK model (Section V-A), a Linux user-level program

Fig. 2. Heartbeat signaling mechanisms in Nautilus (left) and Linux (right). This figure is reproduced from our prior work [65].

B. Low-Overhead Event Notifications for Heartbeat Scheduling

The event mechanisms available in Linux were not designed for the purpose of driving heartbeat interrupts at fine granularity (typically $\heartsuit = 20\mu\text{s}$ – $100\mu\text{s}$ or smaller) at the scale of even a tiny modern machine (e.g., 16 CPUs). As others have shown [36], existing software mechanisms in Linux are unable to achieve predictably low latencies for out-of-band event signaling—these are nowhere near the latencies, rates, and jitter that the underlying hardware is capable of.

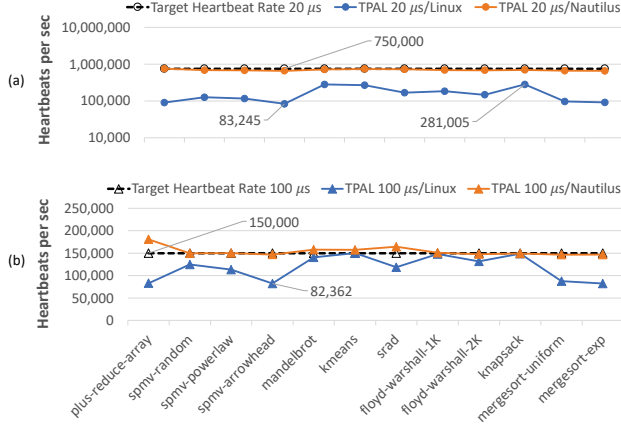


Fig. 3. Achieved and target heartbeat rate in Nautilus and Linux. This figure is reproduced from our prior work [65].

Across a range of benchmarks, the scheduling overheads are 13–22% on Linux, and reduce to at most 4.9% in Nautilus. Furthermore, while the best Linux mechanism cannot sustain heartbeat signals at a consistent rate for all benchmarks, even at $\heartsuit = 100 \mu\text{s}$ and a scale of 16 CPUs, Nautilus not only hits the target, but it also delivers a consistent, stable rate at both 100 μs and 20 μs (Figure 3). More details can be found elsewhere [65].

C. Compiler-based Timing for Fine-grain Preemptive Parallelism

Fully exploiting a modern machine of any kind depends on extracting and leveraging parallelism across a wide range of granularities ranging from below the level of individual instructions to beyond the level of independent, long-running jobs [56], [23], [1]. Future machines are likely to further expand this requirement [52], [20], [25]. Fine-granularity parallelism is of significant interest within the HPC community (e.g., OpenMP tasking [5]), and is an expectation of theoretically well-grounded parallelism models for higher-level parallel languages [12], [26], [40], [2]. Others in this community have pointed out the increasing importance of *granular computing* [51].

Preemptive threads are a natural abstraction for many of these uses, but, unfortunately, due to their high overheads, are not generally used. The high cost of preemptive threads is due in large part to the high costs of handling hardware timer interrupts. Even when there is no kernel/user boundary to cross, these are consequential. Thus, using preemptive threads puts too high a bound on the parallel granularity that can be achieved. Instead, these systems are based on callbacks, with all the challenges this entails.

The fundamental issue here is that timing is based on a hardware/software co-design—a hardware timing device drives a hardware interrupt dispatch mechanism, which leads into a software-based timing framework, which leads to a software-based context switch. What if we replace this with a

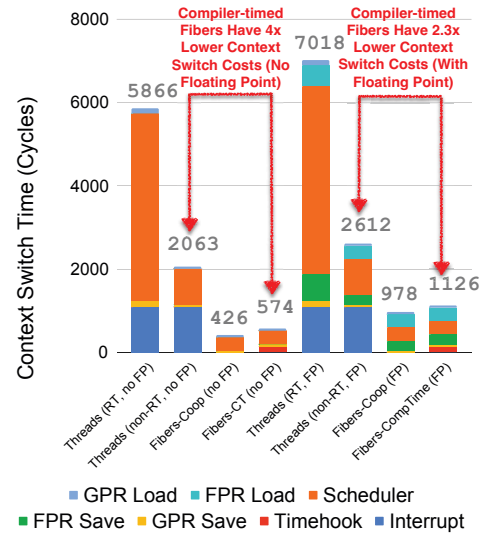


Fig. 4. Cost of context switches for real-time and non-real-time threads, fibers, and compiler-timed fibers on specialized kernel on Phi KNL. Linux non-real-time thread context switches with FP state take about 5000 cycles on this platform. Compiler-timed fibers can achieve a $>4\times$ lower granularity. Reproduced from our prior work [29].

software/software co-design involving the compiler toolchain and the kernel? Compiler-based timing does exactly this, and our design, implementation, and evaluation is described in more detail elsewhere [29].

In compiler-based timing, the entire codebase of the system, including the kernel itself, is processed using modern compiler analyses and transformations to introduce `calls` into the timer framework that replace hardware timer interrupts. The timer framework can in turn induce thread context switches. Because the timer framework is now invoked with the overhead of a `call` instruction instead of the overhead of an interrupt, it can be invoked more often within the bounds of some limit on overhead. Furthermore, because no interrupt context is involved, the design of threads can be considerably simplified and sped up. In fact, they become fibers, with “preemption” provided by `yield()`s executed by the timer framework. These elements combine to reduce the overhead of threads.

Figure 4 illustrates the benefits on a Intel Phi KNL chip. For comparison, a (non-real-time (non RT)) Linux user-level thread context-switch, including floating point state, takes about 5000 cycles on this platform. Our kernel’s (non-RT) thread context switch using hardware timers (“Threads (non-RT, FP)” in the figure) is about half that. Using compiler-based timing, it is slightly more than halved again (“Fibers-CompTime (FP)” in the figure)³. As a consequence, our system can support preemptive threads with granularities that are over four times smaller than those possible in the commodity Linux environment. The granularity limit on this machine is less than 600 cycles, which is so low that floating point state

³The remaining bars of the figure illustrate other options in the parameter space of $\{\text{RT, non-RT}\} \times \{\text{Threads, Fibers}\} \times \{\text{Cooperative, Compiler-timed}\}$.

management becomes the bottleneck.

A major challenge here is that the compiler transform needs to introduce timing calls *statically*, so that they occur *dynamically* at some desired rate regardless of the code path taken through the kernel+application ensemble as it runs. Modern compiler analysis makes this possible.

D. Function-Granularity Virtualization

The need for systems support for fine-grained tasks is increasing [51], yet cloud systems that support on-demand scheduling of such tasks (e.g. using the Function-as-a-Service model (FaaS) with serverless computing [68], [42], [19], [71], [32]) often rely on legacy software stacks. To support low-latency startup for such tasks, aggressive snapshotting is applied [17], [22].

We have investigated low-latency, isolated execution of individual tasks and functions by applying the Interweaving model to build such execution contexts from the ground up, culminating in an abstraction that we call *virtines*. Virtines execute in isolated, virtualized environments using a custom software stack (e.g. a Unikernel or minimal runtime shim layer). They are enabled by a microhypervisor (Wasp) and using custom LLVM compiler support. Programmers write code as shown in Figure 5, and the compiler and runtime cooperate to run that function in its own, isolated virtual machine with start-up overheads as low as 100 μ s.

```
virtine int fib(int n) {
    if (n < 2) return n;
    return fib(n - 1) + fib(n - 2);
}
```

Fig. 5. Virtine programming in C with compiler support.

Our virtine microhypervisor runs as a user-space process on either Linux or Windows using KVM or Hyper-V, respectively, to leverage hardware-specific virtualization features. Other applications (including dynamic compilers and runtime systems) can link with the runtime library to leverage virtines. Our virtine framework can be used with existing code with minimal changes, and with acceptable overheads.

V. INTERWEAVING NEXT STEPS

We now describe several ongoing and future efforts that push the Interweaving model further.

A. OpenMP

We are in the process of completing our implementation of a kernel-level OpenMP as shown in Figure 1. OpenMP involves increasingly complex language, compiler, and runtime support to make it possible to express and exploit node-level parallelism. The result is that the OpenMP run-time system is increasingly looking *like* a kernel, and we are interweaving it with the Nautilus kernel framework so that it *becomes* the kernel.

Three different approaches have been designed and implemented, all of which leverage OpenMP code generation (e.g., `-fopenmp`) in Clang/LLVM. The first, *runtime in kernel*

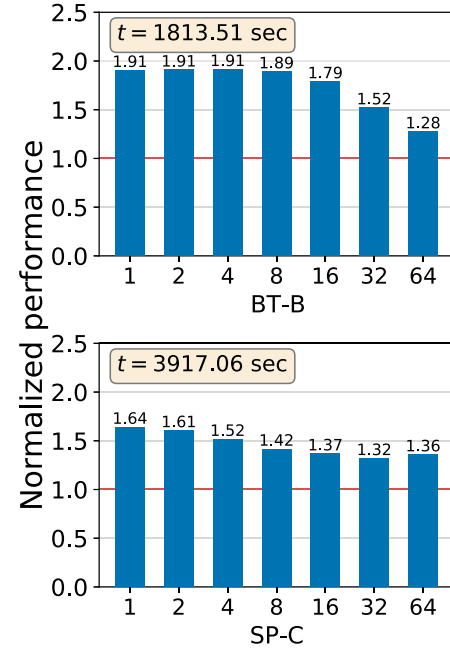


Fig. 6. RTK performance relative to Linux as a function of CPUs used: NAS BT and SP on Phi KNL; higher is better. Baseline (Linux OpenMP) is horizontal bar at 1.0. t is the single threaded Linux absolute performance. This figure is reproduced from our prior work [53].

(RTK), involves a port of the libomp OpenMP runtime to the kernel, allowing any kernel code to use OpenMP pragmas. To use this approach, OpenMP applications must also be ported to the kernel. The second, *process in kernel* (PIK) involves a specialized process abstraction that allows running Linux user-level OpenMP code (and all of its various libraries and runtimes), within the kernel. The code believes it is executing in a traditional process environment in user-mode, but it is actually a part of the kernel, running in kernel-mode. To use PIK, applications need to be recompiled and linked in a specialized manner, but otherwise no porting is needed. The third approach, *custom compilation for kernel* (CCK), involves compiling OpenMP pragmas (and doing automatic parallelization) into a form that leverages the kernel framework without any intermediary. Compilation is porting. Unlike RTK and PIK, CCK always targets a purely task-based execution model, which we map directly to the task framework within Nautilus, which can be viewed as a Linux-like SoftIRQ framework. Unlike SoftIRQs, however, if the compiler can estimate task size, its tasks can be run in the scheduler itself, even in interrupt context.

All three implementations can run the full Edinburgh OpenMP microbenchmarks [15], [16] and the NAS parallel benchmarks [43]. Figure 6 gives example results for the NAS BT and SP benchmarks. The average performance gain of RTK over Linux OpenMP on Phi KNL across all scales and benchmarks is 22% (geometric mean). PIK performs similarly. A repetition of the study on an 8 socket, 192 core

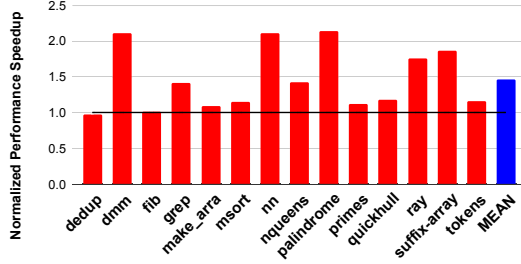


Fig. 7. Speedup for a dual-socket x64 server with our selective coherence deactivation feature driven by a high-level parallel language run-time. (2×3.3 GHz 12-core CPUs with 32K/256K/2.5M L1/L2/L3 cache structures.)

machine found similar results ($\sim 20\%$ for RTK and PIK). CCK performance is not easily summarized.

More information about this work can be found in a separate paper [53] that will appear at the SC '21 main conference (hopefully contemporaneously with this ROSS paper.)

B. Coherence and Consistency

The one-size-fits-all approach in today's memory consistency and cache coherence models creates unnecessary constraints that hinder performance. Ordering constraints in consistency models serialize *all* accesses of a particular type, without selectivity. A fence orders writes that produce data before setting the `done` flag, but it also orders all other writes the thread issued, even if they are unrelated to the intended use of the fence. Individual writes within a producer's data production subroutine could semantically proceed in any order, yet x86-TSO [62] unnecessarily enforces a total order. Thread-private data are tracked in the coherence protocol, even though there are no other sharers for the data [21]. Producers and consumers keep stealing each other's cache lines and transfer them across the interconnect, only for them to be stolen back, blindly following the rules of today's reactive coherence protocols, while involving even a third node (the directory) that is often located far away from the producer/consumer cores.

We envision a system that is free from these inefficiencies, where information on parallelism, data sharing, and memory ordering requirements flows from the higher levels of the stack (e.g., high-level programming languages) to the lower levels. Armed with knowledge of the programmer's intent, the compiler, runtime, OS and architecture can decouple data with different requirements from the rest of the data space, and steer their behavior proactively by instructing the hardware to apply specialized memory ordering rules, data sharing mechanisms, and mapping primitives for on-chip data placement. We are currently working toward realizing this goal in a system as shown in Figure 1.

We have developed and are in the process of testing a hardware cache coherence protocol that extends the currently used MESI protocol with support for selective coherence deactivation. This support has been designed with high-level parallel languages in mind, though it is not restricted to them.

Via an implementation of the protocol in Sniper [18], we are able to simulate current and future x64 machines of different structures that include the protocol and compare them with machines that do not. Figure 7 gives an example of the preliminary results. Here, the PBBS benchmarks [70] are used, as compiled with a variant of the MPL Parallel ML language implementation [57], [73] that uses the semantics available in this language and in how the implementation manages memory to automatically drive our protocol. In the specific scenario given in the figure, the average speedup is $\sim 46\%$, while the interconnect energy (not shown) is reduced by $\sim 53\%$. The benefits grow with scale and disaggregation.

C. Blending

We are in the process of reconsidering the Application Binary Interface (ABI) between processes and between a process and a kernel. In particular, we are considering the customization of such ABIs (generated by our compiler) to enable software to blend together at run-time even when developed using a very different execution model (e.g., kernel versus application). Blending continues into the kernel itself.

One candidate application we foresee for blending is *sub-page granularity transparent far memory*. Current far memory systems either operate at page granularity for transparent swapping to remote nodes [31], [3] or require programmer annotations tagging data structures as remotable [67]. Compiler blending can automatically make these decisions and evacuate objects to remote memory transparently.

A second concept we are exploring is *blended device drivers*. Here, the idea is to merge driver code with code throughout the kernel and application. This blurring of the boundary between the driver and everything else may reduce latency through the use of polling and allow more efficient execution by executing driver code during even short periods of waiting elsewhere in the kernel or application. As a proof of concept, we have already extended the compiler-based timing work of Section IV-C to support *distributed device polling* and applied it to simple drivers. The normally interrupt-driven logic of the drivers is straightforwardly replaced with a constant-time poll check, and the compiler injects this polling check throughout the kernel using compiler-based timing. As a result, these devices appear to behave as if they were interrupt-driven, but no interrupts ever occur for them.

D. Pipeline Interrupts

One issue with current hardware (particularly x64 systems, though not limited to them) that we have run into again and again is the unbelievably high cost of interrupt (or exception) dispatch—the time from when an interrupt occurs to the first instruction of the interrupt handler. We have measured this to be on the order of 1000 cycles [29], [36]. In a system with kernel/user separation (e.g., Linux) this cost is generally not the first-order concern since other, higher costs are involved (e.g., context switch due to Spectre/Meltdown mitigation, signal injection cost for delivery to the application) or, for some HPC hardware, the interrupt can be directly mapped to

a doorbell for the user-level code. In an interwoven system, however, the interrupt/exception dispatch cost is a major concern. The compiler-based timing work of Section IV-C is all about mitigating it for a specific device (a timer), and distributed device polling (Section V-C) is attempting to extend that mitigation for other devices.

We are also considering how to tackle the problem from the hardware perspective. We have developed a realizable extension of branch prediction logic that would allow a simple interrupt (no privilege level change, etc) in an interwoven system to be delivered as if it were a kind of branch instruction injected into the instruction fetch logic. MSR manipulation, similar to the existing `syscall` instruction, provides the mechanism to return to the interrupted code. The latency would be similar to that of a correctly predicted branch instruction, 100-1000 \times better. Because the hardware timer in the LAPIC is already on-chip and next to the core, it is the first interrupt for consideration. Another interest is an instruction exception, for example for `#MF/#XF`, which would facilitate efficient virtualization of the floating point ISA, and `#GP`, which would facilitate handling transparent far memory (Section V-C) and protection faults/swapping in CARAT (Section IV-A).

At this point, we have developed an initial proof of concept of this idea within PIN.

E. Bespoke Contexts

We believe new types of virtualized services will be possible using *bespoke execution contexts*, of which the virtues described in Section IV-D are an initial instantiation. These are execution environments tailored to a particular workload's needs. Bespoke contexts eliminate unnecessary overheads and carry little "runtime baggage." For example, if there is no need for device I/O, a runtime environment (or OS) that supports I/O drivers is unnecessary. A piece of code which leverages only integer math need not have the OS layer set up the floating point unit, and so on. Note that bespoke contexts go further than Unikernels [50], [69], [4], [55] and RumpKernels [44], as an application leveraging such services might not need an OS *at all*; a minimal or *no* runtime environment may suffice. For example, we may even leave the machine in 16-bit mode as it boots up for certain simple services. The key is that these contexts are constructed at compile time, and in that way they can be seen as a type of synthesized runtime environment. Bespoke contexts are one example of an interwoven stack, and the compiler can help to synthesize them.

F. RISC-V / OpenPiton

Our work has generally been very specific to x64. We are currently exploring a port of Nautilus and other components to RISC-V, an open instruction set architecture that includes open implementations, such as in OpenPiton. By working on open hardware, we anticipate being able to more deeply explore hardware changes prompted by the interweaving model. At the present time, Nautilus partially boots on RISC-V.

G. High-level Parallel Languages As Enablers

Based on our experiences with coherence deactivation (Section V-B) and other domains, it has become increasingly clear that high-level parallel languages are enablers for the interweaving model. It may seem very counterintuitive that extremely high-level (indeed, mathematically defined!) abstractions in such languages would have much to do with the guts of a compiler, kernel, or hardware, but they do. The main observation is that due to the rich, well-defined semantics of these languages (including their run-time environment as seen by the programmer), it is much more straightforward to understand what an application is doing. Properties that the lower-level parts of the system could leverage might require immense effort for code analysis to prove about C/C++/Fortran+OpenMP/MPI. In contrast, these same properties are simply available *by construction* in high-level parallel languages. Conversely, properties that fall out of programs written in these languages may prompt new innovation in the lower-level parts of the system.

We expect that this synergy will become ever more important with scale and particularly with heterogeneity of the underlying hardware. Impedance matching heterogeneous hardware (or reconfigurable hardware) to a high-level parallel language is a current focus of ours.

VI. CONCLUSION

We have been working to improve the state of the hardware/software stack for parallel programs. We demonstrated several promising examples for which the Interweaving model, where distinctions between traditionally rigid layers are blurred, can produce significant improvements. Parallel task scheduling, event notification, address translation, preemptive scheduling, lightweight virtualization are just a few areas where there is demonstrated potential for Interweaving, and we suspect that there are more.

AVAILABILITY

The systems described in this work are freely and publicly available, and can be found on our web site at <http://interweaving.org>.

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