

Cross metathesis of Allenes. Mechanistic Analysis and Identification of a Ru CAAC as the Most Effective Catalyst

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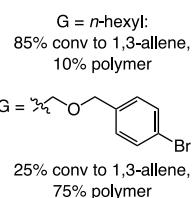
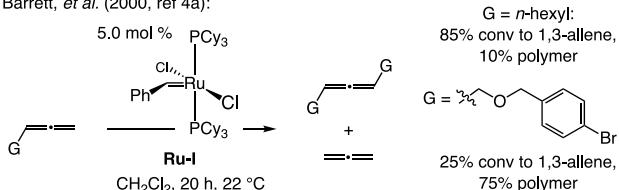
ABSTRACT: The first examples of cross-metathesis between two different allenes is disclosed. First- and second-generation Ru complexes were found to be ineffective, at most affording only oligomeric products. The exception was a first-generation complex bearing a bidentate phenyl isopropoxy ligand (i.e., PCy_3 is not released upon initiation), reactions with which afforded a 1,3-disubstituted allenyl boronate in 22% yield. On the basis of mechanistic studies designed to gain deeper understanding of the reasons for the ineffectiveness of different Ru catalysts, it was discovered that phosphine-free Ru-CAAC complexes have the steric and electronic attributes to be highly effective. The results of these investigations pave the way for development of additional olefin metathesis reactions that generate allenes.

Despite recent progress in catalytic cross-metathesis (CM),¹ there are key processes that remain underdeveloped, notable among which are those affording allenes, moieties found in bioactive compounds,² and used with increasing frequency in enantioselective catalysis.³ The sole relevant disclosure⁴ is in regard to homometathesis of monosubstituted allenes, carried out with bis-phosphine complex **Ru-I** (Scheme 1a). Symmetric disubstituted allenes

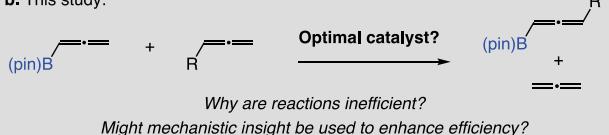
Scheme 1. Previous Work and the Focus of This Study

a. Previous work:

Barrett, *et al.* (2000, ref 4a):



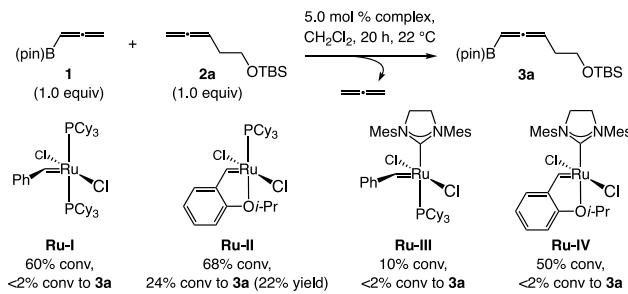
b. This study:



were obtained together with polymeric byproducts. As the first step to address this shortcoming, we decided to develop transformations that afford boryl-substituted allenes (Scheme 1b). The choice of substrates was for two reasons: the versatility and increasing use of allenyl boronates in organic synthesis,⁵ and the likelihood that CM of electronically complementary allenes would be more facile (vs homometathesis).⁶

We began by probing the reaction between commercially available allenyl-B(pin) (**1**) and **2a** (Scheme 2). None of the

Scheme 2. Initial Data



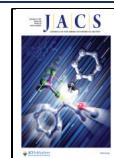
^aPerformed under N_2 atm. Conv ($\pm 2\%$) was determined by analysis of the ^1H NMR spectra of the unpurified product mixtures. Yield corresponds to purified product ($\pm 5\%$). See the Supporting Information for details.

desired product (**3a**) was detected with Mo alkylidenes (oligomers only), **Ru-I**, or NHC-containing **Ru-III** and **Ru-IV**. Unexpectedly, though, with monophosphine **Ru-II**, there was 24% conversion to allenyl-B(pin) **3a** (22% yield after purification). The key question then was: why would a less active Ru complex be more effective?

In search of an answer, we investigated the fate of **Ru-II** under the reaction conditions. The ^{31}P NMR spectrum of the mixture derived from the reaction between allenes **1** and **2b** indicated rapid Ru complex consumption and formation of two new species, evidenced by the appearance of signals at 35.1 and

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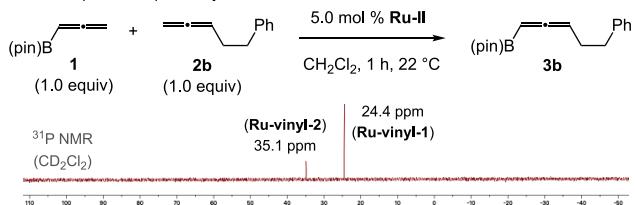
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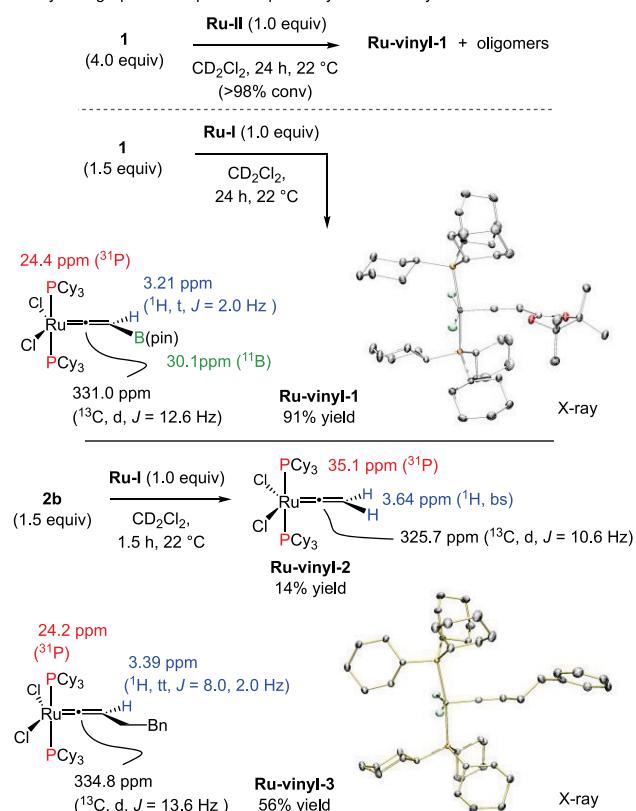
24.4 ppm (Scheme 3a). For insight regarding the identity of the vinylidene complexes formed and the reason for their low

Scheme 3. Studies with Ru-I and Ru-II

a. Initial spectroscopic analysis:



b. Crystallographic and spectroscopic analysis of Ru vinylidenes:

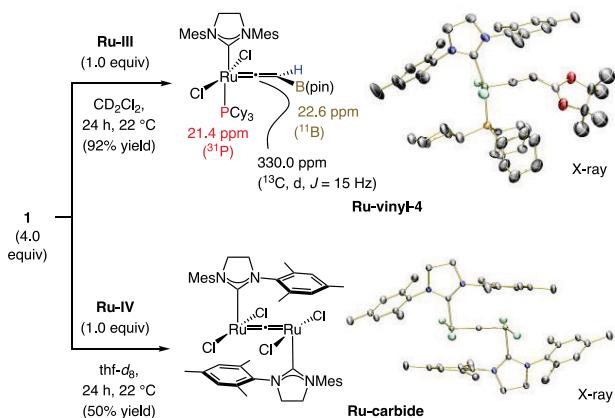


^aPerformed under N_2 atm. See the Supporting Information for details.

reactivity, we first treated Ru-II with 4.0 equiv of 1 (Scheme 3b). A new vinylidene was formed together with decomposition byproducts.⁷ On the basis of spectroscopic analysis and X-ray crystallography, the resulting complex was identified as Ru-vinyl-1. This was confirmed when Ru-vinyl-1 was generated in 91% yield by reaction of Ru-I and allenyl-B(pin) (1.5 equiv). A similar process involved alkyl-allene 2b, affording Ru-vinyl-2 and Ru-vinyl-3 (mixture; 14% and 56% yield, respectively). These findings suggested that formation of the more electron-deficient allenyl-B(pin) is more favorable (vs an alkyl-allene). Control experiments showed that Ru-vinylidene complexes are less effective than Ru-II in promoting CM (e.g., ~10% conv to 3b with 5.0 mol % Ru-vinyl-1 or Ru-vinyl-2/Ru-vinyl-3, mostly oligomerization).

Parallel experiments were carried out with NHC-containing Ru-III and Ru-IV (Scheme 4). The reaction with mono-phosphine Ru-III produced Ru-vinyl-4 in 92% yield (Scheme 4). The transformation between allenyl-B(pin) and phosphine-

Scheme 4. Studies Involving Ru-III and Ru-IV



^aPerformed under N_2 atm. See the Supporting Information for details.

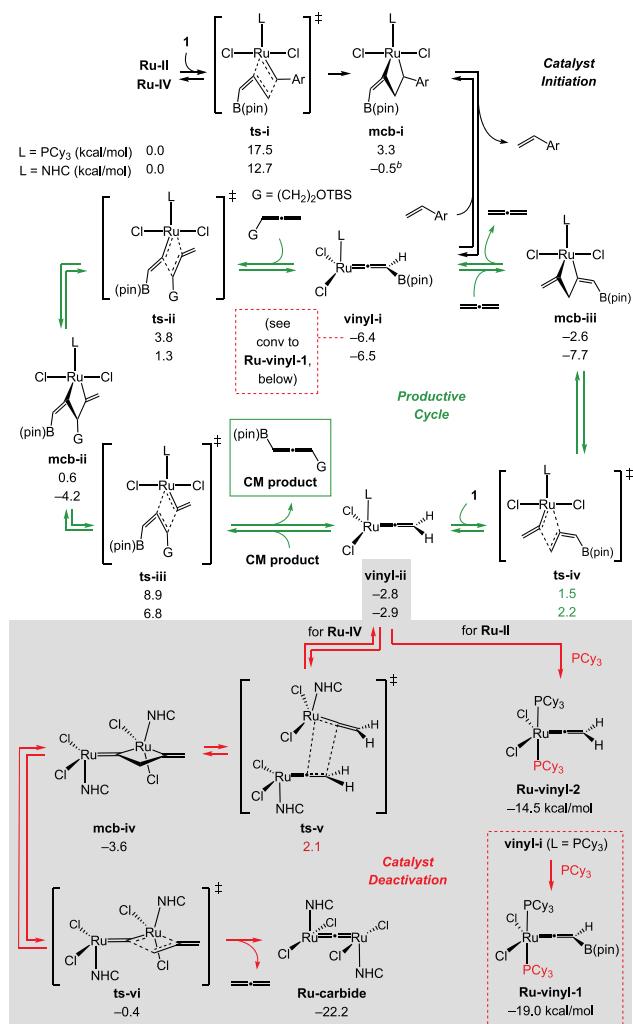
free Ru-IV, on the other hand, revealed a different decomposition mode: Ru-carbide⁸ was obtained in 50% yield (Scheme 4). An X-ray structure was secured, but spectroscopic analysis was precluded by the complex's low solubility.

Next, we performed DFT studies (Scheme 5) to obtain further information vis-a-vis the productive pathways and those leading to inactive complexes, such as Ru-vinyl-1 4 and Ru-carbide. Catalyst initiation likely occurs via ts-i and mcb-i to give vinyl-i (catalytically active, unlike bis-phosphine Ru-vinyl-1).⁹ Reaction of vinyl-i with the second allene then affords the CM product and unsubstituted vinylidene complex vinyl-ii (via ts-ii mcb-ii, and ts-iii). Ensuing transformation involving vinyl-ii and allenyl-B(pin) 1 regenerates vinyl-i via ts-iv, completing a productive catalytic cycle. Another energetically competitive route for vinyl-ii entails its homometathesis via ts-v to yield mcb-iv. Cyclo-reversion of mcb-iv then leads to a carbide complex, which is likely considerably lower in energy (~32.1 and 22.2 kcal/mol for Ru-carbide with $L = \text{PCy}_3$ and NHC, respectively).

DFT investigations revealed that catalyst initiation is the most energetically demanding stage of the transformation (Ru-II or Ru-IV ts-i mcb-i vinyl-i, Scheme 5). Furthermore, in the case of phosphine-free Ru-IV, the barrier for carbide formation is competitive with the productive route (see vinyl-ii ts-iv vs vinyl-ii ts-v). In connection to Ru-II, bisphosphine vinylidene formation likely occurs by interception of vinyl-i or vinyl-ii with PCy_3 (Ru-vinyl-1 or Ru-vinyl-2, respectively). DFT studies indicated that bisphosphine formation is nearly barrierless and highly exergonic (~19.0 and 14.5 kcal/mol for Ru-vinyl-1 or Ru-vinyl-2, respectively).¹⁰ Regarding reactions with Ru-II, which typically do not generate PCy_3 , it might be suggested that bisphosphine vinylidene generation entails intramolecular phosphine transfer in a complex such as mcb-iv ($L = \text{PCy}_3$). Nonetheless, computational studies indicate that such pathways, as well as loss of PCy_3 from the intermediates illustrated in Scheme 5, are unfavorable.¹¹ This suggests that, when Ru-II is used, a likely source of free phosphine is catalyst decomposition. A more detailed picture will require further mechanistic exploration.

The above findings indicated that a more efficient Ru complex would be one that instead of a phosphine contains a ligand that is significantly more sterically demanding than a

Scheme 5. Pathways for Catalyst Initiation Productive Cycles and Catalyst Deactivation

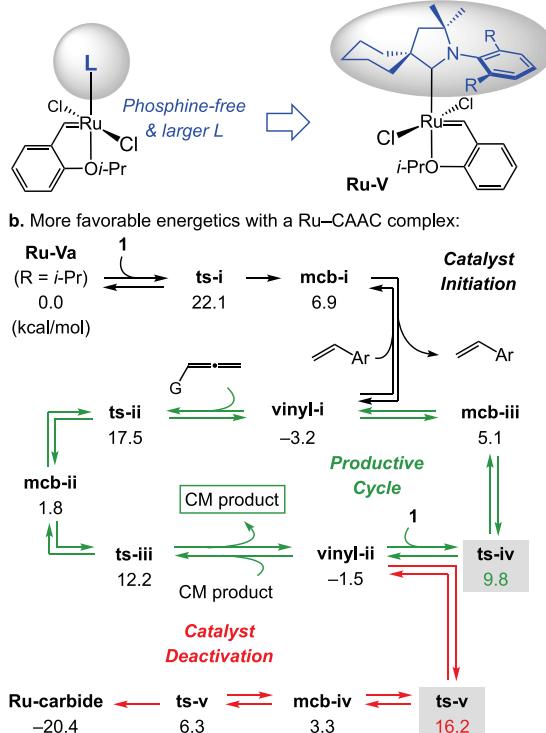


^aPerformed with B97X-D/6-311++G(d,p)-SDD(Ru), SMD-(CH₂Cl₂)//B3LYP-D3/6-31G(d)-SDD(Ru). See the Supporting Information for details. ^bEther dissociation is calculated to require 16.1 kcal/mol, the highest point in the reaction with Ru-IV (L = NHC).

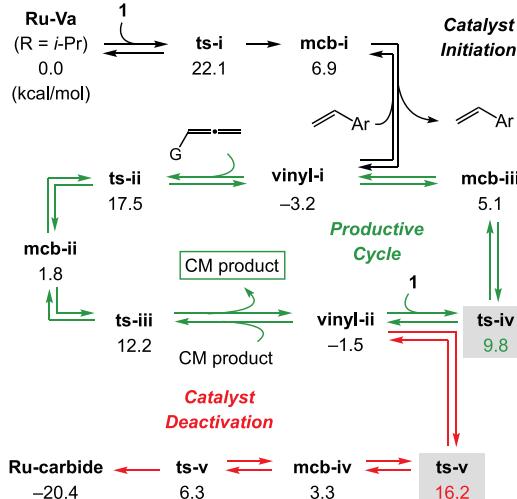
PCy₃ or NHC so that two vinylidenes cannot readily react (see mcb-iv, Scheme 5) to generate an inactive carbide. These considerations led us to cyclic (alkyl) (amino) carbenes (CAAC)¹² as potential ligands (Scheme 6a). This was partly because the quaternary carbon in a CAAC ligand causes the space around the transition metal to be notably more hindered compared to an NHC unit with a more sizable N-aryl or N-alkyl group (referred to as a “wall” vs an “umbrella” shape, respectively¹²). DFT studies (Scheme 6b) indicated that catalyst initiation and the productive catalytic cycle would require higher energy (e.g., 22.1 vs 17.5 and 12.7 kcal/mol ts-i and 17.5 vs 3.8 and 1.3 kcal/mol ts-ii for Ru-Va, Ru-II, and Ru-IV, respectively). However, the difference in activation barriers at the crucial juncture, determining the preference for a productive cycle versus carbide formation (vinyl-ii to ts-iv vs vinyl-ii to ts-v), was calculated to be higher (e.g., 9.8 vs 16.2 kcal/mol for Ru-Va compared to 2.2 vs 2.1 kcal/mol for Ru-IV, respectively).

Scheme 6. Energetics of Reactions with Ru-CAAC

a. Better performance expected from a Ru-CAAC complex:



b. More favorable energetics with a Ru-CAAC complex:



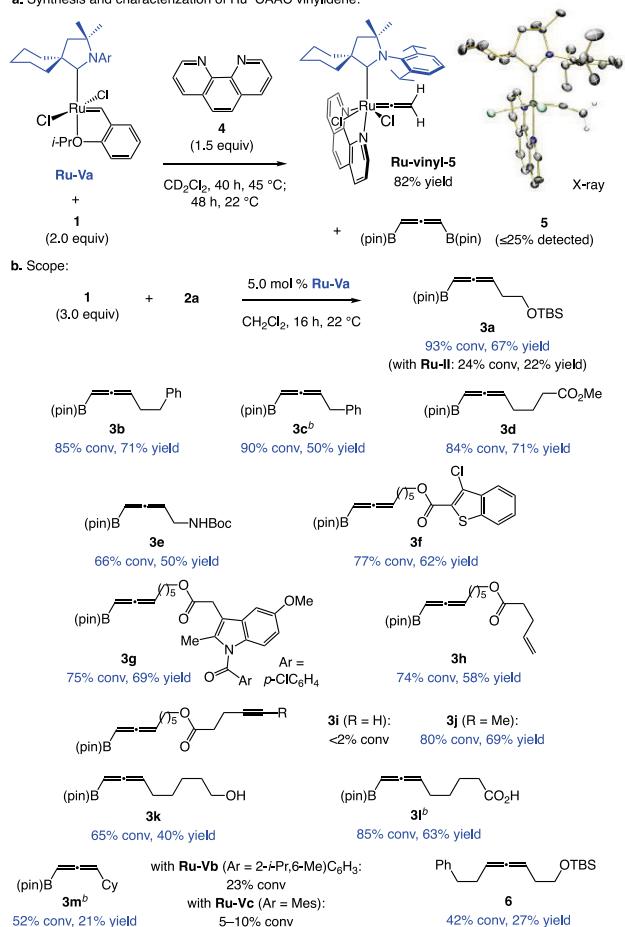
^aDFT studies with B97X-D/6-311++G(d,p)-SDD(Ru), SMD-(CH₂Cl₂)//B3LYP-D3/6-31G(d)-SDD(Ru). See the Supporting Information for details.

When Ru-Va (prepared in one step from Ru-II)¹³ was subjected to allenyl-B(pin) and phenanthroline (4; to help capture an otherwise unstable species; Scheme 7a), Ru-vinyl-S¹⁴ was formed in 82% yield along with detectable amounts of diboryllalene 5 (<25%; unstable). A likely pathway to Ru-vinyl-S entails reaction of the initially generated (pin)-B-substituted Ru vinylidene with 1, pointing to greater longevity of a Ru-CAAC vinylidene. Without diamine 4, 1 was consumed completely (20 h, 45 °C), affording ~15% 5, with ~80% unreacted Ru-Va. None of the derived carbide complex was detected. What is more, with 5.0 mol Ru-Va CM between 1 and 2a (Scheme 7b) proceeded to 93% conversion, allowing us to isolate 3a in 67% yield.

With an effective catalyst identified, we prepared 1,3-disubstituted allenyl-B(pin) products 3a–h and 3j–l in 40–71% yield (Scheme 7b). When performed at larger scale (1.0 mmol), 3b was isolated in 65% yield (85% conv). The presence of a terminal alkyne led to the formation of a mixture of unidentifiable byproducts (compare 3i and 3j), and reaction with cyclohexyl allene afforded 3m in only 21% yield. Use of less hindered complexes Ru-Vb–c, an effective strategy in reactions of sizable olefins resulted in reduced efficiency. The lower yield for 3m is likely caused by greater steric repulsion between the B(pin) and Cy groups in ts-iii (Scheme 5 and 6b). Here, the size of L is unlikely to be influential, with reaction between two unsubstituted Ru-vinylidenes (see vinyl-ii) and carbide formation becoming faster. Finally, the following points merit note: (1) CM reactions proceed with complete chemoselectivity in the presence of a monosubstituted olefin (3h). (2) As might be expected,⁶ CM between two

Scheme 7. Ru-CAAC Complexes for Allene CM

a. Synthesis and characterization of Ru-CAAC vinylidene:



^aPerformed under N₂ atm. Conv (±2) refers to the desired product generated, determined by analysis of the ¹H NMR spectra of unpurified mixtures. Yields of pure product (±5). Discrepancy between conv and yield is mostly due to product instability. ^b10 mol Ru-Va was used.

electronically similar alkyl-substituted allenes led to significant amount of homocoupling (e.g., 6). (3) Disubstituted allenyl-B(pin) compounds can be converted to other allenes by the use of a variety of established methods.¹⁵

To summarize, we find that Ru-CAAC complexes are distinctively effective in promoting CM between allenes. We have been able to gain insight regarding catalyst decomposition pathways when Ru-PCy₃ or Ru-NHC complexes are involved. Additionally, features that render Ru-vinylidenes distinct from the more widely studied carbenes have been outlined. The newly acquired knowledge sheds light on some of the more recent findings and should prove to be of value to future initiatives,¹⁶ including those intended to be diastereo- and/or enantioselective.¹⁷

ASSOCIATED CONTENT**Supporting Information**

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/jacs.1c11453>.

Experimental and analytical details (PDF)

Accession Codes

CCDC 2116550 2116554 contain the supplementary crystallographic data for this paper. These data can be obtained free of charge via www.ccdc.cam.ac.uk/data_request/cif, or by emailing data_request@ccdc.cam.ac.uk, or by contacting The Cambridge Crystallographic Data Centre, 12 Union Road, Cambridge CB2 1EZ, UK; fax: +44 1223 336033.

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Notes

The authors declare no competing financial interest.

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(10) Similarly, favorable phosphine association was calculated for reactions involving **Ru-III** (i.e., **vinyl-i**–**Ru-vinyl** 4 = –16.1 kcal/mol).

(11) See the *Supporting Information*, section 5.2, for details.

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