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1   **Semi-Automated Generation of Logic Rules for Tabular Information in Building Codes to**  
2   **Support Automated Code Compliance Checking**

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4

5   **Abstract**

6   To fully automate building code compliance checking, regulatory requirements need to be  
7   automatically extracted and transformed from building codes. Existing regulatory  
8   requirement processing efforts mainly focus on building code requirements in text. A more  
9   efficient approach for processing regulatory requirements in other parts of building codes,  
10   such as tables or charts, remains to be addressed. The ability to process building code  
11   requirements in all parts and formats is necessary for an automated code compliance  
12   checking system to achieve full coverage of checkable building code requirements. To  
13   address this gap, the authors proposed a semi-automated information extraction and  
14   transformation method. The proposed method can extract building code requirements in  
15   tables and convert extracted information to logic rules. Automated code compliance  
16   checking systems can utilize the logic rules. The proposed method includes two main steps:

17   (1) tabular information extraction, and (2) rule generation. The tabular information

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18 extraction semi-automatically detects the layout of tables, extracts building code  
19 requirements from tables, and transforms extracted information to databases. The rule  
20 generation step automatically generates logic rules that can be directly executed by logic  
21 reasoners. The rule generation step also provides options for users to further refine the  
22 generated rules. The development of the tabular information extraction algorithm takes an  
23 iterative approach. An experiment was conducted to develop a tabular information  
24 extraction algorithm from Chapter 5 of the International Building Code 2015. The primary  
25 version of the algorithm correctly processed 91.67% of tables in Chapter 10 of the  
26 International Building Code 2015. After iterative refinements, the updated tabular  
27 information extraction algorithm correctly processed all tables in this chapter. The rule  
28 generation algorithm correctly generated logic rules that successfully represented the  
29 applicable building code requirements for a testing building, a convenience store in Texas  
30 based on the tabular information.

31 **Introduction**

32 Building Information Modeling (BIM) developed steadily in the past decade (Noor and Yi  
33 2018). Versatile usages of BIM prompted researches of BIM in the Architecture,  
34 Engineering, and Construction (AEC) industry, for various purposes such as: (1) modular  
35 construction of residential buildings (Alwisy et al. 2012), (2) construction cost estimation  
36 (Lee et al. 2014), (3) collaboration of multi-disciplinary teams (Fernando et al. 2013), and  
37 (4) energy simulation in a building's early design stage (Kim et al. 2015). Researchers also  
38 combined BIM with other technologies, such as (1) laser scanning (Kim et al. 2015), (2)  
39 Radio-frequency Identification (RFID) (Fang et al. 2016), (3) Virtual Reality (VR) (Du et  
40 al. 2018), (4) computer vision (Asadi et al. 2019, Ham et al. 2016, Han et al. 2015), and (5)

41 natural language processing (Mutis et al. 2019, Xu and Cai 2020, Xu and Cai 2019, Xu et  
42 al. 2020, Zhang and El-Gohary 2012a,b) to explore its full potential in the AEC industry.  
43 Overall, benefits of BIM include: (1) facilitating cooperation among stakeholders (Volk et  
44 al. 2014), (2) reducing construction cost and time (Bryde et al. 2013), (3) improving  
45 construction safety (Zhang et al. 2013), and (4) decreasing carbon emission and energy  
46 consumption of buildings (Gan et al. 2019). Although BIM has a wide range of benefits  
47 and BIM-related research was extensive, the adoption and implementation of BIM in the  
48 industry are still at an early stage (Noor and Yi 2018). Overall, developed countries have a  
49 higher BIM adoption rate than developing countries (Bui et al. 2016). However, even  
50 developed countries do not fully adopt BIM. In the United Kingdom, for example, only  
51 54.88% of projects used BIM in the design phase, 51.90% of projects used BIM in the pre-  
52 construction phase, and 34.67% of projects used BIM in the construction phase (Eadie et  
53 al. 2013). Project participants do not use BIM to its full extent, even when they use BIM  
54 in a project. They tend to use BIM to assist their traditional workflows and only in the most  
55 expensive and risky part of a project (Migilinskas et al. 2013). In summary, in spite of the  
56 diverse applications and benefits of BIM, the BIM adoption rate remains low. Therefore,  
57 more automation and user-friendliness in BIM-based applications is needed to facilitate the  
58 adoption of BIM.  
59 One important such application of BIM is as digital representations of buildings in  
60 automated code compliance checking systems (Dimyadi and Amor 2013). Automated code  
61 compliance checking systems can work as a driver of BIM adoption (Martins and Abrantes  
62 2010). On the other hand, BIM can support automated code compliance checking systems  
63 by providing digital representations of building designs (Ismail et al. 2017). Building

64 authorities in multiple countries published automated code compliance checking systems  
65 based on BIM such as (1) the Construction and Real Estate NETwork (CORENET) in  
66 Singapore (Sing and Zhong 2001), the ByggSok system in Norway (Haraldsen et al. 2004),  
67 the DesignCheck in Australia (Ding et al. 2006), and the 3D-4D-BIM program by the US  
68 General Services Administration (GSA) (Ho and Matta 2009). In academia, researchers  
69 also proposed BIM-based automated code compliance checking systems. For example,  
70 Nawari (2011) applied the integration of BIM and SMARTcodes by the International Code  
71 Council to check the conformance of building elements to various structural codes  
72 automatically. Balaban et al. (2012) presented a pilot study that combines BIM and AI to  
73 check compliance of a building model against fire codes automatically. Martins and  
74 Monteiro (2013) developed a BIM application for the automated compliance checking of  
75 building codes in general. Preidel and Borrman (2015) presented a flow-based BIM  
76 automated code compliance checking system. Zhang and El-Gohary (2017) integrated  
77 semantic Natural Language Processing (NLP), logic reasoning, and BIM to develop an  
78 automated code checking system for checking with the International Building Code. Bloch  
79 and Sacks (2018) explored the possibility of integrating machine learning, rule-based  
80 inferring, and BIM to accomplish automated code compliance checking. Fayomi et al.  
81 (2018) explored the approach of automating prescriptive compliance process for building  
82 energy efficiency in a single BIM software platform. Häußler et al. (2021) integrated BIM  
83 and visual programming in code compliance checking of railway designs. In the past  
84 decade, the automated code compliance checking domain developed at a fast pace.  
85 However, a limited range of checkable codes hinders the wide adoption of automated code  
86 compliance checking systems. Few construction industry practitioners will use automated

87 code compliance checking systems that require them to check a significant part of building  
88 codes manually. After an extensive literature review, the authors found no previous  
89 automated code compliance checking research targeted at automatically processing  
90 building code requirements stored in tables. Some previous efforts, such as CORENET  
91 (Sing and Zhong 2001), DesignCheck (Ding et al. 2006), and Nawari (2011) which  
92 combined SMARTcodes by ICC and BIM, included tables in the range of checkable  
93 building code requirement by manually adding tables to the respective systems. However,  
94 almost all building codes contain a large amount of building code requirements in tables.  
95 Automated code compliance checking systems that do not cover building code  
96 requirements in tables cannot have full building code requirement coverage (Salama and  
97 El-Gohary 2016), and pure manual addition of tabular information into automated code  
98 compliance checking system counters efficiency and time/cost savings. Research about  
99 tabular information detections and extraction in other domains (Liu et al. 2007, Pinto et al.  
100 2003) concurred with the importance of processing information that is stored in tables in  
101 documents. In this research, the authors proposed a new semi-automated information  
102 extraction and information transformation method for tables in building codes. The  
103 proposed method can process tabular information in building codes to increase the scope  
104 of checkable building codes of automated code compliance checking systems.

105 **Background**

106 *Existing Work with Table Processing*

107 The demand to extract information from documents that are not in plain textual formats,  
108 such as tables and images that are hard for machines to process, is urgent (Corrêa and  
109 Zander 2017). Most existing methods take a two-step approach to extract tabular

110 information: (1) table detection, and (2) table sub-structure identification (i.e., cells, rows,  
111 columns) (Paliwal et al. 2019). Challenges in tabular information extraction include: (1)  
112 reliance on the context of tables to interpret tables, (2) document indexing, (3) database  
113 curation, and (4) abbreviation of phases (Shmanina et al. 2016). Table detection algorithms  
114 can construct table hierarchies in two approaches: top-down and bottom-up. In the top-  
115 down approach, the algorithm first identifies tables in documents and then slice identified  
116 tables into components. On the contrary, in the bottom-up approach, the algorithm first  
117 identifies components of tables and then assembles the components to tables (Krüpl and  
118 Herzog 2006). Different technologies were developed to process table information for  
119 various purposes. For example, Vasileiadis et al. (2017) developed a rule-based, bottom-  
120 up tabular information extraction system for access by visually impaired people. Buitelaar  
121 et al. (2006) published an ontology-based table processing method to extract information  
122 from webpages as part of a multi-modal dialog system. Shafait and Smith (2010) used  
123 Optical Character Recognition (OCR) technology to process tables with different layouts  
124 for analyzing tables in heterogeneous documents. Qasim et al. (2019) treated the table  
125 detection problem as a graph problem and generated a Graph Neural Network to detect the  
126 structure of tables, which was successfully tested on public table detection datasets (e.g.,  
127 UW3, UNLV, and ICDAR 2013). Sinha et al. (2019) used OCR to localize tables in Piping  
128 and Instrumentation Diagrams (P&IDs) and used regular expressions to enhance the  
129 accuracy of text extraction. Although most researchers treated table detection and table  
130 structure identification as two separate steps, Paliwal et al. (2019) proposed TableNet, a  
131 neural network with an encoder-decoder structure, to detect table existence and identify  
132 table structure in one unified step jointly. Although existing table processing works reached

133 high accuracy on their respective domains, they did not touch upon automation of  
134 processing tables in building codes, and data from such tables were still manually  
135 interpreted and processed.

136 *Existing Work in Automated Building Code Compliance Checking*

137 From a historical perspective, the traditional building code compliance checking process,  
138 or building plan review, is a laborious, time-consuming, and error-prone process that  
139 demands automation (Alghamdi et al. 2017, Lee et al. 2018, Preidel and Borrmann 2017).  
140 The automation of the code compliance checking process can significantly cut its cost,  
141 time, and manual efforts. In the manual code compliance checking process, designers need  
142 to wait a long time for building authorities to issue a building permit or ask for further  
143 modifications to the design documents, and may have to modify design documents multiple  
144 cycles. The plan review process may last a few months (City of San Clemente 2019). On  
145 the other hand, automated code compliance checking systems can return compliance  
146 checking results in a much shorter time with a limited need for manual input. Thus,  
147 automated building code compliance checking is faster and cheaper than the traditional  
148 manual code compliance checking approach.

149 The automated code compliance checking systems emerged in the 1960s when Fenves  
150 introduced decision tables to check the design of steel structures (Fenves 1966). Systems  
151 for checking different aspects of building design were then developed over the years. For  
152 example, Pauwels et al. (2011) implemented a semantic rule checking environment to check

153 the acoustic performance of buildings. Tan et al. (2010) provided a series of decision tables  
154 to check the design of building envelopes. Getuli et al. (2017) developed a BIM-based  
155 workflow that checks against the compliance of Italian construction safety and health code.  
156 Malsane et al. (2015) suggested an Industry Foundation Class (IFC)-powered, object-  
157 oriented approach to check against fire codes in England and Wales. Bus et al. (2019)  
158 developed an ontology-based system to achieve automated compliance checking of  
159 semantic rules in French fire safety and accessibility codes. However, existing automated  
160 code compliance checking systems only check a limited set of code rules and, according to  
161 the authors' literature review, never automatically processed building code requirements  
162 in tables.

163 *Database for Table*

164 Storing and manipulating data has been an important topic since the earliest development  
165 of computers (Ramakrishnan 2003). Integrated Data Store, developed in the 1960s, was the  
166 first general-purpose Database Management System (DBMS), which standardized the  
167 basis of the network data model. Information Management System, on the other hand, was  
168 an alternative data representation framework and formed the basis of the hierarchical data  
169 model. The current dominant DBMSs utilize relational data models, which were proposed  
170 in the 1970s and consolidated in the 1980s. Structured Query Language (SQL) is the  
171 standard query language for relational databases. DBMS has the following advantages: (1)  
172 data independence, (2) efficient data access, (3) data integrity, (4) data security, (5)

173 efficient data administration, (6) concurrent access, (7) crash recovery, and (8) reduced  
174 application development time.

175 Relational database models store data in tables with unique names (Silberschatz et al.  
176 1997). The rows in a table represent a relationship of a set of values. Relationships between  
177 values are represented by tuples, which are sequences of values. The relational data model  
178 allows operations like querying, inserting, deleting, and updating of tuples. The relational  
179 database model, such as SQL, lacks the ability to take certain actions such as taking input,  
180 displaying outputs, or communicating with other programs. The above actions must be  
181 done through a host language such as C, Java, or Python, with embedded SQL queries. In  
182 spite of the above limitations, SQL supports a number of useful syntactic features (e.g.,  
183 relation representation, querying) and has clearly established itself as the standard  
184 relational database language (Silberschatz et al. 1997).

185 *Logic Programming*

186 Logic programming aims to connect logic to computer programming (Kowalski 2014).  
187 Comparing to the well-established systems of logic, computer programming barely  
188 covered the relationship between Turing machines (i.e., an abstract model that simulates  
189 the computational ability of computers) and relational algebra. Logic programming  
190 remedies the deficiency of computer programming by fitting the generality of logic into  
191 the context of computing. Through logic programming, the tasks of defining the problem  
192 and solving it can be separated (Spivey 1996). Prolog is a classic programming language  
193 that implements logic programming. It uses a top-down method and carries out symbolic  
194 reasoning with a logical formula (Kowalski 1979, Spivey 1996). One Prolog program

195 consists of one or more logic clauses. One logic clause consists of one or more predicates.  
196 All predicates need to be true to make the logic clause true. For example, for a requirement  
197 that says “building height should be less than 40 feet,” one possible Prolog representation  
198 in the form of a logic rule is “*compliance\_building\_height(Building)*:-*building(Building)*,  
199 *building\_height(Building\_height)*, *has(Building, Building\_height)*, *Building\_height <*  
200 *40*.” The logic clause will be evaluated to true if all predicates to the right of “:-“ (i.e., in  
201 the body of the rule) are true. The first three predicates (i.e., “*building(Building)*,  
202 *building\_height(Building\_height)*, *has(Building, Building\_height)*,”) check the existence  
203 of a building and its building height property. The last predicate (“*Building\_height < 40*”)  
204 checks if the building height is less than 40 feet. The program can then be fed with building  
205 design information in the form of logic facts to check if the building exceeds the maximum  
206 allowed building height. If *building\_X* has a building height of 50 feet, the corresponding  
207 building design facts are “*building(Building\_X)*, *building\_height(Building\_height)*,  
208 *has(Building\_X, Building\_height)*, *Building\_height == 50*.” Instantiating the above logic  
209 rule with these logic facts will lead to the evaluation of the logic rule as false, indicating  
210 these building design facts violate the building height requirement.

## 211 **Proposed Method**

212 In this paper, the authors proposed a semi-automated table processing method for tables in  
213 building codes. The proposed method takes a two-step approach to process tabular  
214 information in building codes: (1) tabular information extraction, and (2) information

215 conversion to logic rules. The proposed method takes building codes and digital models of  
216 buildings as inputs, and outputs applicable rules for the building models. The developed  
217 method needs to be robust over a wide range of tables, i.e., to be able to process tables in  
218 an unseen format. The tabular information extraction method needs to extract building code  
219 requirements from tables in building codes and store the extracted information in a  
220 structured format. The extraction process needs to reach a very high precision to meet the  
221 100% recall goal of noncompliance detection in automated code compliance checking  
222 (Salama and El-Gohary 2016). The format to store extracted building code requirements  
223 needs to support easy information access and processing to ensure the performance of the  
224 automated code compliance checking system. Integrated methods that directly convert  
225 building code tables to logic rules and store these rules in automated code compliance  
226 checking system are the most straightforward and intuitive method to process building code  
227 requirements from tables. However, state-of-the-art integrated methods lack robustness in  
228 processing tables in different layouts and the manual effort to maintain integrated methods  
229 may not be less than the effort in the manual encoding of building codes per se. The diverse  
230 layouts of tables may require customized methods for each table. Frequent updates of  
231 building codes will therefore require constant method updates. To address that, the authors  
232 proposed the separation of information extraction from rule generation to increase the  
233 robustness and reduce the maintenance need of the method.

234 *Information Extraction*

235 The proposed method takes a semi-automated approach to extract tabular information from  
236 building codes. Users need to collect tables from building codes in digital format and  
237 provide them together with some structural information of these input tables. The method  
238 then processes one table at a time. Structural information of tables helps the information  
239 extraction method identify the layout of the table. For tables with different layouts, the  
240 underlying relationships between cells are different. For example, some tables use a single  
241 cell to store an entry of building code requirement, and some tables use an entire row to  
242 store an entry of building code requirement. Layouts of tables implicitly specify how tables  
243 store building code requirements. One type of table, for example, uses a cell and its  
244 corresponding row header and column header to represent one requirement to buildings.  
245 Another type of table uses all cells in a row and their corresponding column headers to  
246 represent one requirement to buildings. The proposed method uses structural information  
247 provided by the users to automatically distinguish layouts of tables and uncover underlying  
248 relationships and information inferred by layouts.  
249 The authors took an iterative approach to develop the sub-algorithms in the tabular  
250 information extraction algorithm, i.e., the sub-algorithms are continuously improved until  
251 they can correctly extract all tabular information from training data. The basic unit of a  
252 table is the cell. Cells can be classified into four types: (1) row header, (2) column header,  
253 (3) footnote, and (4) content (Fig. 1). The four types of cells form the body of a table. The

254 finished algorithm can recognize the cell type and connect the information in each cell (e.g.,  
255 texts, numbers). As a result, the authors developed: (1) a header detection sub-algorithm to  
256 recognize the boundaries of cells for each type, (2) a table layout detection sub-algorithm  
257 to distinguish layouts of tables, (3) two information transformation sub-algorithms to  
258 connect contents in the cells, and (4) a rule generation sub-algorithm.

259 The header detection sub-algorithm uses the structural information of the table to detect  
260 information components. The algorithm requires three inputs from the user for locations of  
261 row headers, column headers, and footnotes, respectively. Users then provide: (1) the  
262 number of columns used for row headers  $X1$ , (2) the number of rows used for column  
263 headers  $X2$ , and (3) the number of columns used for footnotes  $X3$ . There may be no  
264 footnotes (i.e., zero for  $X3$ ) or row headers (i.e., zero for  $X1$ ). The header detection sub-  
265 algorithm can then automatically identify the locations of different contents and split the  
266 table into different information components according to inputs from the user.

267 After that, the layout detection sub-algorithm distinguishes the layouts of the tables based  
268 on their structural information. Tables in building codes have diverse layouts. The authors  
269 identified two master layouts based on how the information is organized in a table. Tables  
270 with row headers are considered to be in Master Layout One: a single cell is used to store  
271 an entry of building code requirement (Fig. 2). Tables without row headers are considered  
272 to be in Master Layout Two: a row of cells is used to store an entry of building code  
273 requirement (Fig. 3). Master layouts ensure the robustness of this algorithm and simplify

274 the information extraction process. The layout detection sub-algorithm can classify all  
275 tables in building codes into these two master layouts depending on whether a table has a  
276 row header or not. The authors kept the algorithm simple to ensure the robustness of the  
277 entire table information processing.

278 The end product of this step is a database that stores information from the table. The  
279 information conversion sub-algorithm connects information in different components of a  
280 table and inserts connected information into the database. Each master layout has a  
281 customized information conversion sub-algorithm. Customized information conversion  
282 sub-algorithm ensures the correct extraction of information inferred by the layout of tables.

283 Tables in the same master layout use the same information conversion sub-algorithm. For  
284 tables in the same master layout, variations exist, such as having or not having a column  
285 for footnotes, having or not having a different number of rows in the column header. The  
286 information transformation sub-algorithms are sufficiently robust to process such  
287 variations of tables in the same master layout.

288 The sub-algorithm for the Master Layout One, which is for tables that use a single cell to  
289 store an entry of building code requirement, connects the cell, its corresponding row header  
290 and column header, and its corresponding footnote (if exists) together and generates a  
291 command to insert the entry of building code requirement into the database. The sub-  
292 algorithm for the Master Layout Two, which is for tables that use an entire row to store an  
293 entry of building code requirement, connects each cell in the row with its corresponding

294 column header and generates a command to insert the entry of building code requirement  
295 into the database. Once a command is generated, both sub-algorithms execute the  
296 command to insert building code requirements into the database.

297 *Information Conversion to Logic Rules*

298 The conversion to logic rules follows a semi-automated approach. The conversion process  
299 has three parts: raw-rule generation, invariant signatures generation, and information  
300 matching.

301 **Raw-rule Generation**

302 The raw-rule generation utilizes existing semantic NLP-based algorithms that convert  
303 building code requirements to Prolog logic rules with placeholders for information from  
304 tables (Zhang and El-Gohary 2013, 2015; 2017). The logic rules are generated from  
305 building code provisions with reference to tables for depicting certain required range of  
306 values. These existing algorithms lack the ability to process tabular information of building  
307 code requirements. Therefore, this step generates logic rules with placeholders for building  
308 code requirements in tables. At this step, the information extraction and transformation  
309 algorithm by Zhang and El-Gohary (2013; 2015; 2017) was directly adopted, and  
310 placeholders were generated in the logic rules for the part dealing with tabular information.

311

312 **Invariant Signatures Generation**

313 To allow the rules to be compiled with correct quantities and units for the placeholders, the  
314 rule generation sub-algorithm uses invariant signature (Wu et al. 2021; Wu and Zhang 2019)

315 to decide the configuration of buildings, e.g., occupancy of the building. Each model is  
316 processed into invariant signatures, which can represent all the building elements in a  
317 uniform way and keep all the needed information for further processing. The invariant  
318 signatures include geometrical, locational, and metadata information of the building. Table  
319 1 shows an example of state-of-the-art invariant signature features for structural analysis.

320 In this step, the invariant signatures will be expanded to support the compliance checking  
321 use case by adding model-level invariant signatures. Invariant signature allows the  
322 proposed algorithm to select the best matching building code requirements from a table for  
323 a building.

324 **Information Matching**

325 The tabular data usually stores the regulatory requirements of multiple possible types of  
326 buildings. On the other hand, one building usually has a fixed type (e.g., occupation) that  
327 corresponds to one entry of the tabular information. The final rule generation sub-algorithm  
328 can process the digital model of a building, find the corresponding type of the building in  
329 the table by querying the databases to get the building code requirements for the building.

330 For example, the occupancy of a building will determine the maximum allowable number  
331 of stories of the building (ICC 2015).

332 With the raw rules with placeholders and the invariant signatures, the rule generation sub-  
333 algorithm generates final logic rules by an information matching sub-algorithm. The  
334 information matching sub-algorithm will process the invariant signatures to select the  
335 corresponding content to fill in placeholders and generate semantically correct B-Prolog (a  
336 Prolog system implementation with extensions for programming concurrency, constraints,  
337 and interactive graphics) rules (Zhou 2014). For example, the information matching  
338 algorithm can process the invariant signature to obtain the occupancy of the building and  
339 query the corresponding maximum allowable stories from the database. The query process  
340 is based on the invariant signatures. After querying, the rule generation sub-algorithm fills  
341 the returned values into placeholders of raw logic rules. For example, the maximum  
342 allowable stories of the building from the corresponding type of occupancy will be placed  
343 in the logic rule. After that, users have the option to manually compile generated rules  
344 again to further increase their semantic simplicity if needed.

345 **Experiment**

346 *Algorithm Development*

347 The header detection sub-algorithm, the layout detection sub-algorithm, and two  
348 information conversion sub-algorithms were developed based on tables (Table 2) in  
349 Chapter 5 of IBC 2015 and were tested on tables in Chapter 10 (Table 3) of IBC 2015.

350 Inputs of the developed algorithms were digital tables. Digital tables left less space for  
351 errors comparing to tables collected as scanned images. The authors manually inspected  
352 the extraction results by the algorithm to examine their performance.

353 After that, the information conversion sub-algorithm injected the extracted information  
354 into databases. The authors used the SQLite database in the implementation of information  
355 conversion sub-algorithms (SQLite Consortium 2020). Each table was stored in a separate  
356 database. Two information conversion sub-algorithms were developed for the two master  
357 layouts. The layout detection sub-algorithm selects which information conversion  
358 algorithm to use. The information conversion algorithm generates an SQLite insertion  
359 command based on the syntax of SQLite and the layout of the table being processed.

### 360 *Information Conversion to Logic Rule*

361 To test the performance of the rule generation sub-algorithm, the authors evaluated it on  
362 an IFC model of a real convenience store as the sample building model. The model was  
363 using the IFC2x3 standard, which was still the most widely used IFC data standard, to  
364 ensure the generality of validation. Fig. 4 shows a visualization of the model.

365 The authors examined the proposed rule generation sub-algorithm on the rules in Table  
366 504.3 and Table 504.4 of IBC 2015, which were applicable to the validation case. While  
367 Table 506.2 was also applicable, the authors excluded it because it involved the  
368 identification and calculation of equations, which were out of the scope of this research.

369 The selected rules are shown in Fig. 5.

370 To store model-level information, the authors developed invariant signatures to cover  
371 Occupancy, Construction Type, and Sprinklered categories. The Occupancy category  
372 contains all possible occupancy types of a building, such as Group H, Group I, etc. The  
373 Construction Type category contains all possible construction types of a building, such as  
374 Type I, Type II. The Sprinklered category depicts whether the building is sprinkled, using  
375 a Boolean variable.

376 The authors then processed the model of the convenience store into 58 invariant signatures,  
377 which contains 1,363 pieces of information that can be converted into logic facts. Among  
378 the 58 invariant signatures, 55 covered element level information about building  
379 components such as wall, slab, roof, window, and door. The remaining three invariant  
380 signatures covered model-level information for occupancy, construction type, and  
381 sprinkler information.

382 In the final step, the authors developed an information matching sub-algorithm to allow the  
383 rules to be filled with the correct content in their placeholders, based on the invariant  
384 signatures of the convenience store. The information matching sub-algorithm checked each  
385 place holder using the invariant signatures and selected the correct header and footer  
386 content to modify the raw logic rule into final logic rules with the proper information that  
387 are needed for checking the compliance of the building.

388 **Results**

389 The testing results are presented in Table 4. The results showed that the proposed method  
390 provided the correct results on eleven testing tables and failed in one. Correctly processed  
391 tables are the tables that are correctly converted to databases by the proposed method. The  
392 results can be verified manually using queries on the database. The failed table was Table  
393 1006.2.1 (Fig. 6). Therefore, the proposed method processed 91.67% of the tables in the  
394 testing dataset correctly. The reason that the proposed method failed to provide correct  
395 results in Table 1006.2.1 was that this table had four levels of column headers. No table in  
396 Chapter 5 of 2015 IBC (i.e., training data) had more than two levels of column headers.  
397 The authors then updated the developed algorithm to accommodate tables with different  
398 levels of column headers. The updated algorithm was then tested on all testing tables again.  
399 The updated algorithm provided correct results on all tables.

400  
401 The following experiment was further conducted to test if the information extraction sub-  
402 algorithm correctly preserved the information inferred by the layout of tables and correctly  
403 extracted building code requirements in the cells. The accuracy of the algorithm was tested  
404 by checking if the generated database returns the correct results when queried. Correct  
405 results were where the corresponding value of a building code requirement in tables can be  
406 successfully returned by the query. For example, when the database for Table 1006.2.1 is  
407 queried for the maximum occupant load of space of occupancy Type B, it should return 49.  
408 The authors queried every entry in the generated databases for every table in the testing

409 dataset and reviewed the returned values of every query. In 100% of cases, the query  
410 returned correct results. The generated database preserves all information inferred by the  
411 layout of the tables. Another reason for the 100% accuracy is the authors used digital tables,  
412 instead of scanned tables, as inputs to the information extraction sub-algorithm. Errors in  
413 recognizing the content of scanned tables were therefore prevented. For example, the  
414 algorithm did not suffer from errors in OCR.

415 Furthermore, the rule generation sub-algorithm was tested to generate logic rules with  
416 correct parameters based on the invariant signatures of the building model of a real-world  
417 convenience store model. The rule generation sub-algorithm was tested to generate logic  
418 rules for checking the compliance of maximum building height and number of stories of  
419 the convenience store. The correct logic rules should represent the meaning of  
420 corresponding building code provisions correctly. The raw logic rules with placeholders  
421 were checked manually to ensure that the meanings of corresponding building code  
422 provisions were correct. Correct final logic rules should have correct building code  
423 requirement values (from tables) filled in by the information matching algorithm. The  
424 algorithm correctly generated the required final rules for checking the compliance of the  
425 convenience store with building code requirements in Table 504.3 and Table 504.4 of IBC  
426 2015. The generated rules checked and concluded that the convenience store does not  
427 violate the required values in Table 504.3 and Table 504.4 of IBC 2015. The detailed  
428 testing of the rule generation algorithm is described as follows:

429 The first step was to apply the state-of-the-art information extraction and transformation  
430 algorithms by Zhang and El-Gohary (2013; 2015; 2017) to generate the raw logic rules  
431 with placeholders for building code requirements stored in tables that vary with  
432 configurations of buildings. An example result was shown in Fig. 7.

433 Invariant signatures of the convenience store were generated automatically by state-of-the-  
434 art invariant signature generation algorithm (Wu et al. 2021; Wu and Zhang 2019). There  
435 were eleven door elements, two slab elements, one roof elements, twenty-seven wall  
436 elements, and fourteen window elements in the building, as shown in Table 5. Table 5 also  
437 showed a few example values of the invariant signature features. Each element is  
438 represented uniquely by an invariant signature, which preserves the needed information  
439 that will be used for generating the logic rules. In addition to the element-level invariant  
440 signatures, the authors also developed model-level invariant signatures. Table 6 showed  
441 the building had Occupancy M, Construction Type V-B, and Sprinklered None based on  
442 model-level invariant signatures. This information was converted into configurations of the  
443 convenience store.

444 Based on the configurations of the convenience store, the developed algorithm was able to  
445 find the correct numbers from the table and generate the correct final logic rules. The  
446 resulted final logic rules are shown in Fig. 8.

447 The authors manually compiled generated rules again to make them concise and  
448 straightforward. For example, the predicate “not exceed\_the\_limits” was refined to “<=”

449 because B-Prolog supports the symbol of mathematical inequalities. The finalized rules  
450 were improved to use mathematical inequalities to be more concise and machine-readable  
451 while staying reader friendly (Fig. 9). This step is optional. Logic rules in Fig. 8 and Fig.  
452 9 are equivalent to logic reasoners.

453 **Contributions to The Body of Knowledge**

454 The authors proposed a new method to extend the range of checkable building code  
455 requirements of automated building code compliance checking systems to cover tables in  
456 building codes. The contributions to the body of knowledge are four-fold. First, the  
457 extension of checkable building code requirements to tables proves the feasibility of  
458 checking non-textual building code requirements in a semi-automated way. Second, this  
459 research could help incorporate more building code requirement details into fully  
460 automated code compliance checking systems in a more efficient way, comparing to the  
461 state of the art. With an enlarged range of checkable building code requirements, an  
462 automated code compliance checking system can provide more value to its users, which  
463 could lead to a wider adoption of automated building code compliance checking and  
464 synergistically facilitating the adoption of BIM. Third, the authors enhanced the robustness  
465 of an automated code compliance checking system. By storing database and generating  
466 logic rules on the go, automated code compliance checking systems will benefit from a  
467 smaller rule set which has better maintainability comparing to a larger one. Last but not  
468 least, the authors calculated that 1,542 logic rules can be generated from tables in the

469 training and test datasets, sourced from 17 tables in two chapters of IBC 2015, which has  
470 35 chapters in total. After interpolation, the authors estimated that the proposed method  
471 can help complete about 26,985 new rules with tabular information for IBC 2015. The  
472 proposed method can therefore significantly expand the range of checkable building code  
473 requirements of ACC systems.

#### 474 **Interface Discussion**

475 Although not the focus of this paper, the proposed method provides a friendly  
476 programming interface to support an easy adoption. The information extraction component  
477 only needs users to provide a digital table and some structural information of the digital  
478 table. To use the information extraction component, users only need to put the digital table  
479 and the script of the proposed method in the same folder. When the script is executed, it  
480 will prompt users to input information about the table. The output of the information  
481 extraction component is database files that are supported in SQL language. The database  
482 allows developers to incorporate the tabular information into automated code compliance  
483 checking systems more efficiently comparing to pure manual interpretation and processing.

#### 484 **Limitations and Future Work**

485 The following limitations are acknowledged. First, the proposed method requires digital  
486 tables as inputs and manual conversion or third-party software to process tables from hard  
487 copy or images into digital tables. Future versions of the proposed method should  
488 incorporate the processing of scanned tables, e.g., using OCR functions. Second, the

489 proposed method requires manual inputs in layout detection. The proposed method cannot  
490 detect layouts of tables without such inputs from users in spite of the fact that such inputs  
491 are minimal. The authors propose to develop a fully automated layout detection algorithm  
492 for tables in building codes in their future work. Third, the rule generation sub-algorithm  
493 requires manual effort to refine generated rules. Although logic reasoners have no problem  
494 in processing unrefined rules, the authors propose to develop refinement algorithms to  
495 generate more human-processable rules also automatically in their future work.

496 **Conclusion**

497 This research incorporated tabular information in building codes into automated code  
498 compliance checking systems. The proposed table information extraction method achieved  
499 a 91.67% success rate in our experiment on tables from IBC 2015. The updated information  
500 extraction algorithms could successfully process all tables in the testing dataset and  
501 correctly preserved information inferred by the layout of tables. The proposed method still  
502 requires minor human input, which the authors will further address in their future work.

503 **Data Availability Statement**

504 Some data that support the findings of this study are available from the corresponding  
505 author upon reasonable request.

506 

1. Building Codes Tables Used
- 507 2. Logic Rules Generated

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735 2021).

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739 **Table 1.** Example invariant signatures

Signature Name	Signature Type	Description	Example Values
X-dim	Geometrical	X dimension of the bounding box	57.4
Y-dim	Geometrical	Y dimension of the bounding box	42.0
Origin	Locational	The origin of the placement	(0, 0, 5.0)
X-axis	Locational	Vector of x-axis	(1.0, 0, 0)
Ave-vertices	Metadata	The average number of vertices of each face	4.7

740

741 **Table 2.** Header and cell count of training tables

742

Table Index	Heading	Number Headers	of	Number Contents	of
504.3	ALLOWABLE BUILDING HEIGHT IN FEET ABOVE GRADE PLANE	39		120	
504.4	ALLOWABLE NUMBER OF STORIES ABOVE GRADE PLANE	102		455	
506.2	ALLOWABLE AREA FACTOR ( $A_t = NS, S1, S13R$ , or SM, as applicable) IN SQUARE FEET	124		612	
508.4	REQUIRED SEPARATION OF OCCUPANCIES (HOURS)	41		200	
509	INCIDENTAL USES	2		34	

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745

746

**Table 3.** Header and cell count of testing tables

Table Index	Heading	Number Headers	of	Number Contents	of
1004.1.2	MAXIMUM FLOOR AREA ALLOWANCES PER OCCUPANT	2		54	
1006.2.1	SPACES WITH ONE EXIT OR EXIT ACCESS DOORWAY	20		52	
1006.3.1	MINIMUM NUMBER OF EXITS OR ACCESS TO EXITS PER STORY	2		6	

1006.3.2(1)	STORIES WITH ONE EXIT OR ACCESS TO ONE EXIT FOR R-2 OCCUPANCIES	4	8
1006.3.2(2)	STORIES WITH ONE EXIT OR ACCESS TO ONE EXIT FOR OTHER OCCUPANCIES	7	18
1010.1.4.1(1)	MAXIMUM DOOR SPEED MANUAL REVOLVING DOORS	2	10
1010.1.4(2)	MAXIMUM DOOR SPEED AUTOMATIC OR POWER-OPERATED REVOLVING DOORS	2	24
1017.2	EXIT ACCESS TRAVEL DISTANCE	13	20
1020.1	CORRIDOR FIRE-RESISTANCE RATING	11	18
1020.2	MINIMUM CORRIDOR WIDTH	2	14
1029.6.2	CAPACITY FOR AISLES FOR SMOKE-PROTECTED ASSEMBLY	11	20
1029.12.2.1	SMOKE-PROTECTED ASSEMBLY AISLE ACCESSWAYS	16	32

747

748 **Table 4.** Results of testing

Table Index	Heading	Trained Algorithms	Updated Algorithms
1004.1.2	MAXIMUM FLOOR AREA ALLOWANCES PER OCCUPANT	Success	Success
1006.2.1	SPACES WITH ONE EXIT OR EXIT ACCESS DOORWAY	Fail	Success
1006.3.1	MINIMUM NUMBER OF EXITS OR ACCESS TO EXITS PER STORY	Success	Success
1006.3.2(1)	STORIES WITH ONE EXIT OR ACCESS TO ONE EXIT FOR R-2 OCCUPANCIES	Success	Success
1006.3.2(2)	STORIES WITH ONE EXIT OR ACCESS TO ONE EXIT FOR OTHER OCCUPANCIES	Success	Success
1010.1.4.1(1)	MAXIMUM DOOR SPEED MANUAL REVOLVING DOORS	Success	Success
1010.1.4(2)	MAXIMUM DOOR SPEED AUTOMATIC OR POWER-OPERATED REVOLVING DOORS	Success	Success
1017.2	EXIT ACCESS TRAVEL DISTANCE	Success	Success
1020.1	CORRIDOR FIRE-RESISTANCE RATING	Success	Success
1020.2	MINIMUM CORRIDOR WIDTH	Success	Success
1029.6.2	CAPACITY FOR AISLES FOR SMOKE-PROTECTED ASSEMBLY	Success	Success
1029.12.2.1	SMOKE-PROTECTED ASSEMBLY AISLE ACCESSWAYS	Success	Success

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751 **Table 5.** Invariant signatures count and examples of building elements

Signature Name	Number of Elements	Example X-dim	Example Origin
Door	11	0.42	(-33.2, 44.1, 338.0)
Slab	2	68.7	(-68.7, 64.71, 338.03)
Roof	1	68.7	(-103.03, 43.96, 350.17)
Wall	27	17.7	(-49.03, 43.96, 338.0)
Window	14	5.36	(-63.44, 80.2, 339.21)

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753 **Table 6.** Property values based on the invariant signatures of the building

Property	Value
Occupancy	M
Construction Type	V-B
Sprinklered	None

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774 **Figure Captions List:**

775 **Fig. 1.** Example Table with the Four Types of Cell Components and Title (ICC 2015)

776 **Fig. 2.** Example Table in Master Layout One (ICC 2015)

777 **Fig. 3.** Example Table in Master Layout Two (ICC 2015)

778 **Fig. 4.** Visualization of The Convenience Store Model Used for Testing

779 **Fig. 5.** Selected Rules from Chapter 5 of IBC 2015 that have Tabular Information (ICC  
780 2015)

781 **Fig. 6.** Table 1006.2.1 from IBC 2015 (ICC 2015)

782 **Fig. 7.** Generated Raw Rules from Chapter 5 of IBC 2015 with Tabular Information

783 **Fig. 8.** Final Logic Rules from Chapter 5 of IBC 2015 with Tabular Information

784 **Fig. 9.** Refined Logic Rules from Chapter 5 of IBC 2015 with Tabular Information