



# Horizontal Gene Transfer Is the Main Driver of Antimicrobial Resistance in Broiler Chicks Infected with *Salmonella enterica* Serovar Heidelberg

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**ABSTRACT** The overuse and misuse of antibiotics in clinical settings and in food production have been linked to the increased prevalence and spread of antimicrobial resistance (AR). Consequently, public health and consumer concerns have resulted in a remarkable reduction in antibiotics used for food animal production. However, there are no data on the effectiveness of antibiotic removal in reducing AR shared through horizontal gene transfer (HGT). In this study, we used neonatal broiler chicks and *Salmonella enterica* serovar Heidelberg, a model food pathogen, to test if chicks raised antibiotic free harbor transferable AR. We challenged chicks with an antibiotic-susceptible *S. Heidelberg* strain using various routes of inoculation and determined if *S. Heidelberg* isolates recovered carried plasmids conferring AR. We used antimicrobial susceptibility testing and whole-genome sequencing (WGS) to show that chicks grown without antibiotics harbored an antimicrobial resistant *S. Heidelberg* population at 14 days after challenge and chicks challenged orally acquired AR at a higher rate than chicks inoculated via the cloaca. Using 16S rRNA gene sequencing, we found that *S. Heidelberg* infection perturbed the microbiota of broiler chicks, and we used metagenomics and WGS to confirm that a commensal *Escherichia coli* population was the main reservoir of an Inc11 plasmid acquired by *S. Heidelberg*. The carriage of this Inc11 plasmid posed no fitness cost to *S. Heidelberg* but increased its fitness when exposed to acidic pH *in vitro*. These results suggest that HGT of plasmids carrying AR shaped the evolution of *S. Heidelberg* and that antibiotic use reduction alone is insufficient to limit antibiotic resistance transfer from commensal bacteria to *Salmonella enterica*.

**IMPORTANCE** The reported increase in antibiotic-resistant bacteria in humans has resulted in a major shift away from antibiotic use in food animal production. This shift has been driven by the assumption that removing antibiotics will select for antibiotic susceptible bacterial taxa, which in turn will allow the currently available antibiotic arsenal to be more effective. This change in practice has highlighted new

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questions that need to be answered to assess the effectiveness of antibiotic removal in reducing the spread of antibiotic resistance bacteria. This research demonstrates that antibiotic-susceptible *Salmonella enterica* serovar Heidelberg strains can acquire multidrug resistance from commensal bacteria present in the gut of neonatal broiler chicks, even in the absence of antibiotic selection. We demonstrate that exposure to acidic pH drove the horizontal transfer of antimicrobial resistance plasmids and suggest that simply removing antibiotics from food animal production might not be sufficient to limit the spread of antimicrobial resistance.

**KEYWORDS** antimicrobial resistance, horizontal gene transfer, *Salmonella enterica*

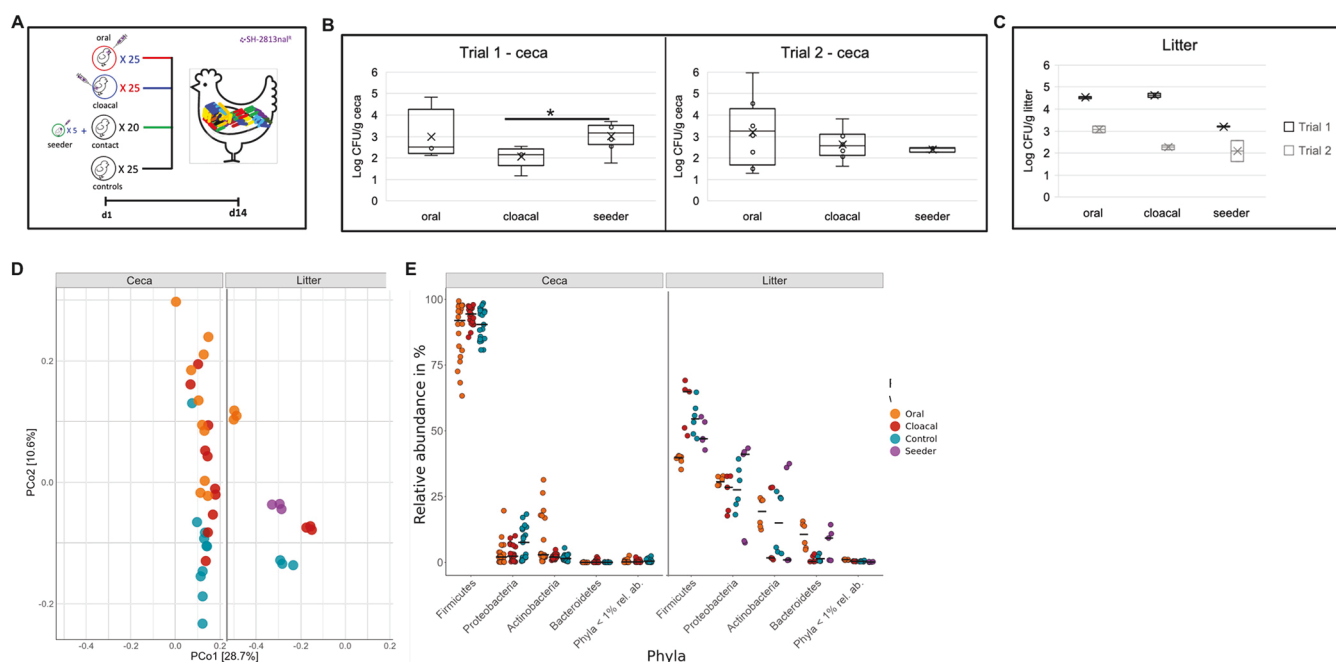
Antimicrobial resistance (AR) spread is a worldwide health challenge (1, 2). A major aspect of this challenge stems from the ability of microbes to share their genetic material through horizontal gene transfer (HGT) (3). Exposure to antibiotics can select for a microbiota of commensals and pathogens that can withstand antibiotic selective pressure, create a reservoir of resistance genes that are acquired by susceptible taxa, and allow for their survival and proliferation (3). The overuse and misuse of antibiotics in a clinical setting (4) and in food production (5) have been shown to result in such selective environments contributing to the increased prevalence and spread of AR. These public health concerns have resulted in a major shift away from antibiotic use for food production in developed North American and European countries. This change has been driven by the assumption that removing this selective pressure will stop HGT of AR and allow the currently available antibiotic arsenal to be effective for a longer period (6–9). This shift in food production practices has highlighted new questions that need to be answered to understand and assess the effectiveness of antibiotic removal in reducing the spread and prevalence of AR in foodborne pathogens.

To shed some light on this problem, we focused on broiler chickens, the most consumed meat in the United States. Broiler chicken production accounted for the largest reduction in medically and nonmedically important antibiotics sold (~47% from 2016 to 2017) in the United States (10). We chose *Salmonella enterica* serovar Heidelberg as the model pathogen because of its promiscuity to plasmids carrying AR and because of its virulence properties (11–14). Infections associated with *S. Heidelberg* have been reported to be more invasive than other serovars, including *Salmonella enterica* serovar Enteritidis or *Salmonella enterica* serovar Typhimurium (15, 16). Therefore, our goal was to evaluate if an antibiotic-susceptible *S. Heidelberg* strain will acquire plasmid-borne AR from the microbiome of neonatal chicks raised antibiotic free and to identify the nonantibiotic selective pressures and mechanism that might drive such HGT events.

## RESULTS

**The route of challenge affected *S. Heidelberg* abundance in ceca and litter.** To determine if the route of exposure to *S. Heidelberg* affected intestinal and litter colonization, we challenged 150 1-day-old broiler chicks with a nalidixic (nal)-resistant strain of *S. Heidelberg* (SH-2813<sub>nal</sub><sup>R</sup>) either through oral gavage, through cloacal inoculation, or by the seeder method (i.e., a few chicks [ $n = 5$ ] were challenged orally and commingled with unchallenged chicks [ $n = 20$ ]) (Fig. 1a). Chicks were raised for 14 days on fresh pine shavings in 4 separate floor pens (1.8-m length by 1.16-m width or 5.9-ft length by 3.8-ft width), including an unchallenged control group ( $n = 25$ ). Chicks were not administered any medication or antibiotics for the duration of the study. The challenge experiments, namely, trial 1 and trial 2, were performed in September 2017 and April 2018, respectively, and we have published the rate that *S. Heidelberg* colonized the ceca (17).

*S. Heidelberg* colonization rates in the ceca were similar for trial 1 and 2 (test statistic for Wilcoxon signed-rank test [ $W$ ] = 157,  $P = 0.90$ ) (Fig. 1b), but chicks inoculated cloacally carried lower levels of *S. Heidelberg* than seeder chicks in trial 1 ( $W = 5$ ,  $P = 0.03$ ) (Fig. 1b). In the litter, *S. Heidelberg* levels were higher in trial 1 than those in trial 2 ( $W = 34$ ,  $P = 0.009$ ) for all treatments (Fig. 1c). The percentage of chicks that had



**FIG 1** *S. Heidelberg* colonization changed the microbiome of broiler chicks (a) Experimental design for trial 1 and trial 2 conducted in September 2017 and April 2018, respectively. (b, c) Box plot of *S. Heidelberg* concentration in the ceca ( $n=10$  per trial) and litter ( $n=2$  per trial) 14 days after challenging chicks with *S. Heidelberg* through oral gavage, cloacal inoculation, or the seeder method (\*,  $P<0.05$ ; Wilcoxon signed-rank test). (d) Principal-coordinate analysis of Bray-Curtis distances based on 16S rRNA gene libraries obtained from ceca and litter samples. Each point is a value from individual libraries with colors expressing the route used for *S. Heidelberg* challenge for respective samples. (e) Phylum-level classification of 16S rRNA gene sequence reads in each cecum and litter sample grouped by route of *S. Heidelberg* challenge. Black bar represents the median.

*S. Heidelberg* detected in their ceca was 80% for the oral and seeder methods and 100% for the cloacal method during trial 1. For trial 2, 100% of the chicks in the oral and cloacal treatment were positive compared with 40% for the seeder group ( $n=10$ , 10, and 15 chicks for oral, cloacal, and seeder treatments, respectively, in both trials). The ceca ( $n=10$  chicks) and litter of control chicks were negative for *S. Heidelberg* by culture; however, 1 litter sample was positive after a 24-h enrichment in buffered peptone water. Litter pH and moisture were higher for trial 1 (pH,  $6.86 \pm 0.27$ ; moisture,  $25\% \pm 0.03\%$ ) than those of trial 2 (pH,  $6.54 \pm 0.17$ ; moisture,  $21\% \pm 0.03\%$ ) (pH,  $W=14$ ,  $P=0.11$ ; moisture,  $W=13$ ,  $P=0.18$ ) (see Fig. S1 in the supplemental material).

***S. Heidelberg* perturbed the ceca and litter microbiome in a challenge route-specific manner.** Next, we determined if *S. Heidelberg* challenge perturbed the microbiome of broiler chicks. We profiled the microbial community present in the ceca and litter using 16S rRNA gene amplicon sequencing. The bacterial alpha diversity was higher in the litter than in the ceca for the oral treatment for all alpha diversity measures (observed, Chao1, Shannon, and InvSimpson) examined ( $P<0.01$ ) (see Fig. S2a in the supplemental material). In addition, observed and Chao1 alpha diversity indices were higher for litter than ceca for cloacal and control treatments ( $P=0.007$ ). Contrastingly, the ceca from orally treated chicks had the lowest alpha diversity for all measures used compared with the control ( $P<0.05$ ).

Beta diversity differed between the litter and ceca, and the route of *S. Heidelberg* challenge affected the beta diversity of the litter ( $F=43.7$ ,  $df=11$ ,  $P=0.001$ ) more than the ceca ( $F=2.21$ ,  $df=29$ ,  $P=0.007$ ) (Fig. 1d). Furthermore, cecal samples were more dispersed than litter samples. *Firmicutes* sp. dominated the cecal microbiome of broiler chicks (Fig. 1e) and *Ruminococcaceae* and *Lachnospiraceae* (class *Clostridia*) were the major families. Amplicon sequence variants (ASVs) matching *Subdoligranum*, *Lactobacillus*, and *Family\_Lachnospiraceae* were the most abundant ASVs in oral and cloacal chicks compared with controls in the ceca (Fig. S2b).

*Firmicutes*, *Proteobacteria*, *Actinobacteria*, and *Bacteroidetes* were the dominant phyla present in litter (Fig. 1e), and *Escherichia/Shigella* and *Klebsiella* were the most

abundant ASVs in litter (Fig. S2b). *Escherichia/Shigella* was higher in cloacal and control chicks than in oral and seeder chicks, and *Klebsiella* was higher in control than in oral, cloacal, and seeder chicks (*Escherichia/Shigella*,  $\chi^2 = 9.9744$ ,  $P = 0.018$ ; *Klebsiella*,  $\chi^2 = 9.6667$ ,  $P = 0.021$ ). This result suggested that *S. Heidelberg* perturbed the bacterial community present in the litter and ceca of broiler chicks, especially members of the family *Enterobacteriaceae*.

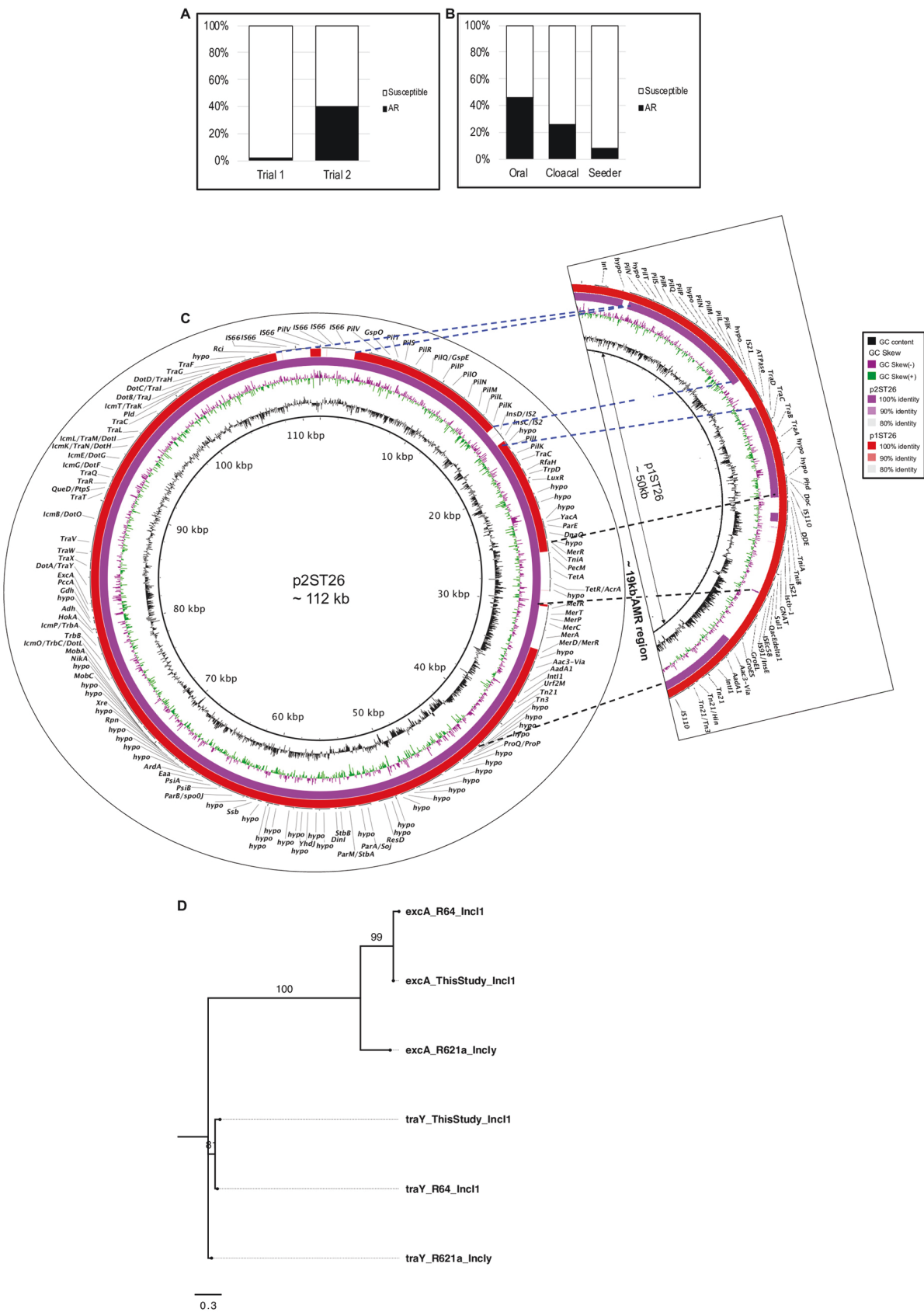
**Determining if *S. Heidelberg* acquired AR plasmid after broiler chicks were challenged.** To determine if *S. Heidelberg* acquired AR after 14 days of challenge, we used the National Antimicrobial Resistance Monitoring System Sensititre panel for Gram-negative bacteria to conduct antimicrobial susceptibility testing (AST). We performed AST on 250 *S. Heidelberg* isolates recovered from the ceca and litter of broiler chicks. SH-2813<sub>nal</sub><sup>R</sup> carries a *gyrA* mutation for nal resistance; therefore, all isolates recovered were resistant to nal. *S. Heidelberg* also carries a chromosome-carried *fosA7* gene that confers resistance to fosfomycin (18).

In trial 1, only 2% of *S. Heidelberg* isolates ( $n = 92$ ) developed resistance to an antibiotic. These two isolates were resistant to gentamicin, streptomycin, and sulfisoxazole. For trial 2, 40% of the isolates ( $n = 158$ ) developed resistance to at least 1 antibiotic (Fig. 2a) and >86% displayed resistance to gentamicin, streptomycin, and tetracycline (see Fig. S3a in the supplemental material). Chicks challenged orally carried a higher percentage (46%) of AR *S. Heidelberg* isolates than cloacally inoculated (24%) or seeder (8%) chicks ( $\chi^2 = 29.2$ ,  $df = 2$ ,  $P < 0.001$ ) (Fig. 2b). A disk diffusion assay on a selected number of AR *S. Heidelberg* isolates from trial 2 ( $n = 4$ ) revealed that these isolates were also resistance to tobramycin, netilmicin, and kanamycin (data not shown).

Next, we found the genetic determinants responsible for the acquired AR phenotype using whole-genome sequencing (WGS). We performed WGS on 69 *S. Heidelberg* isolates from trial 2 and 2 isolates from trial 1. We focused our resources on trial 2, for which AR acquisition was higher than trial 1. The isolates sequenced were either susceptible ( $n = 33$ ) or carried resistance to at least one antibiotic in two antimicrobial drug classes ( $n = 38$ ). The ancestral SH-2813<sub>nal</sub><sup>R</sup> (isolate used for challenge) harbors an IncX1 plasmid, and carriage of this plasmid does not confer AR in *S. Heidelberg*. The IncX1 incompatibility (*inc*) region was not detected by PlasmidFinder (19) in 42 of the 71 isolates sequenced.

Thirty-nine percent of *S. Heidelberg* isolates acquired Col plasmids, but none carried a known antibiotic resistance gene (ARG). ARGs known to confer resistance to aminoglycosides [*aadA1* and *aac(3)-Via*] and tetracyclines (*tetA*) were detected in all AR isolates from trial 2. The AR isolates from trial 1 harbored a sulfonamide resistance gene (*sul1*) in addition to aminoglycoside genes but no *tet* gene. The ARGs were found on a plasmid belonging to *inc* group IncI1 (Fig. 2c) and plasmid multilocus sequence type 26 (pST26). A change in AR profile was seen in four isolates from the seeder group; for these isolates, AST confirmed that they acquired AR but after WGS the AR determinants were not detected. Upon AST retest, these isolates were found to be susceptible. Acquired ARG was absent in four isolates with resistance to either tetracycline or streptomycin.

**pST26 differed in its AR genetic context and genome architecture.** We questioned if the pST26s present in the sequenced *S. Heidelberg* isolates were similar or different in gene content or structure. To answer this question, we used hybrid assemblies (Illumina short reads and MinION or PacBio long reads) to achieve complete circular pST26 plasmids for two AR *S. Heidelberg* isolates recovered from trial 1 and trial 2. The pST26 plasmids from trial 1 (p1ST26) and trial 2 (p2ST26) were ~112 kbp long and ~87% identical (Fig. 2c). Both carried the atypical IncI1 backbone, including regions encoding replication, stability, leading, and conjugative transfer (20). To determine the IncI1-complex group, we used the classical *traY* and *excA* protein sequences of plasmids R64 (IncI1) and R621a (IncI1-gamma). A reconstructed tree confirmed that both plasmids belong to the IncI1 group (Fig. 2d). A 19.8-kb variable region encoding transposons and AR was found in both plasmids. For p1ST26, this region encoded *aadA1*, *aac(3)-Via*, *sul1* and quaternary (quats) ammonium compound resistance



**FIG 2** *S. Heidelberg* acquired antibiotic-resistant plasmids from the microbiome of broiler chicks. (a) Percentage of *S. Heidelberg* isolates that acquired antibiotic resistance in trial 1 ( $n=92$ ) and trial 2 ( $n=158$ ) (b) Percentage of *S. Heidelberg* isolates in trial 2 that acquired (Continued on next page)

(*qacEΔ1*) (Fig. 2c, Fig. S3b). In contrast, this region carried *tetA* and *mer* operons in addition to *aadA1* and *aac(3)-Via* in p2ST26 (Fig. 2c).

To investigate whether gene flux influenced Inc11 genome architecture, we used p1ST26 and p2ST26 as reference genomes for the rest of the AR isolates carrying Inc11. By aligning raw reads to complete pST26 genomes, we were able to generate a consensus Inc11 plasmid contig for each isolate. A tree built with these plasmids resulted in two clades represented by p1ST26 and p2ST26 plasmids, respectively (Fig. 3a). Multiple alignment of protein sequences revealed that pST26 plasmids differed in the number of genes carried and gene alleles. This finding was pronounced for regions encoding AR, pilus/shufflon assembly proteins (*pil*), and IS66 family of transposases (see Data Set S1 in the supplemental material; Data Set S1 to S5 are available in the Zenodo repository online at <https://doi.org/10.5281/zenodo.4976002> and in the supplemental material). A pangenome analysis revealed that the pST26 from this study carried 70 core genes (genes present in  $\geq 95\%$  of the plasmids) and 90 accessory genes (genes present in  $< 95\%$  of the plasmids).

A tree reconstructed with the core genes and accessory genes divided the pST26 into 5 major groups (Fig. 3b and c). For both trees, the p1ST26 formed one clade and the p2ST26 made up the other clades. No single nucleotide polymorphisms (SNPs) were found between p2ST26s, but p1ST26 differed from p2ST26 with 21 SNPs and 2 insertions (Data Set S1, see Table S1 in the supplemental material). The insertions were present in only one p1ST26 plasmid. One insertion was found on *tniA* (a DDE-type integrase/transposase/recombinase protein) and the other was between *tn3*-like transposon and *yadA*. Inserted DNA showed significant homology to *tniA* present in *E. coli* plasmid EcPF5 (GenBank accession no. [CP054237](#)) and *IS5057* present in *E. coli* plasmid pIOMTU792 (GenBank accession no. [LC542972.1](#)), respectively.

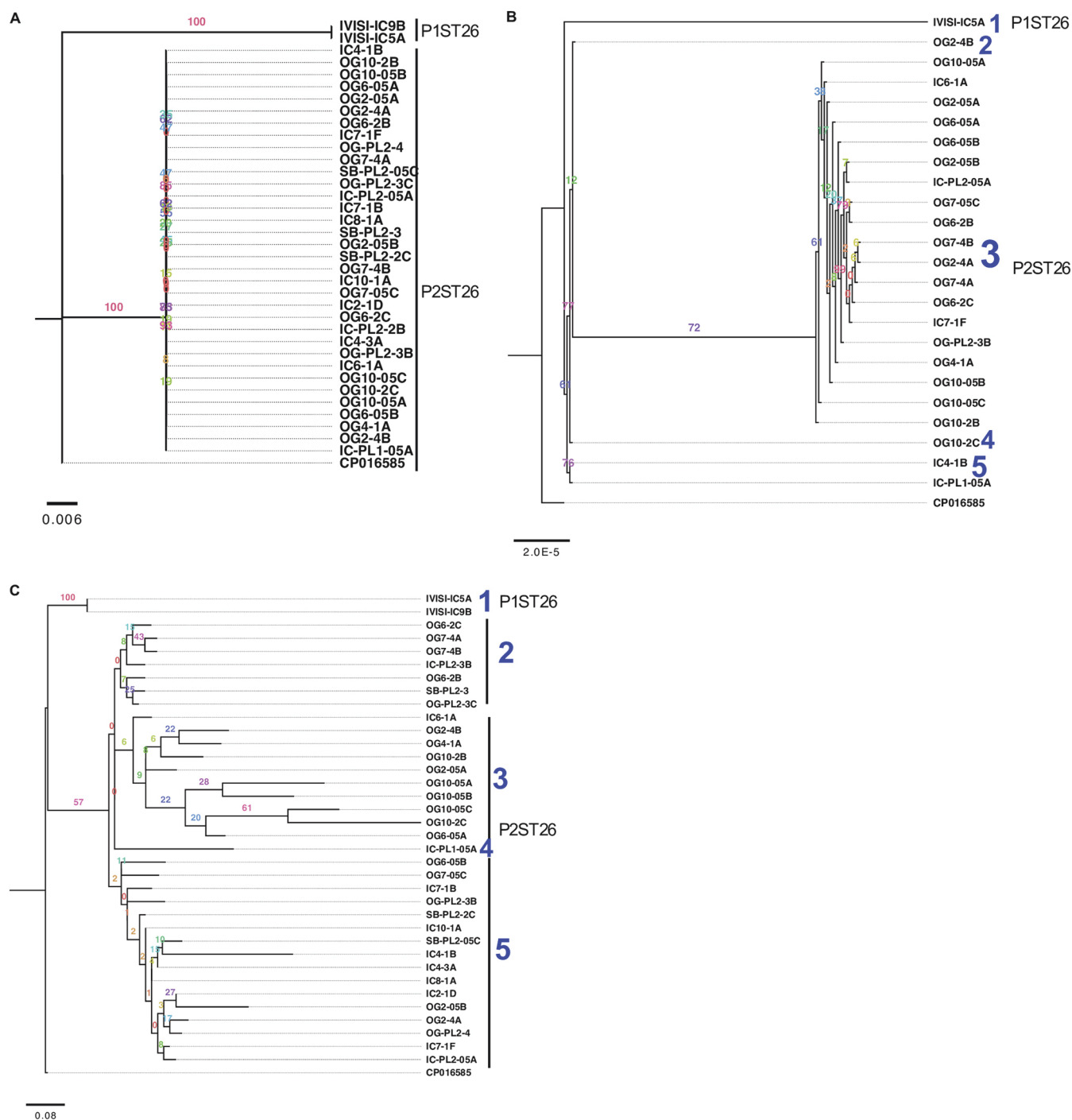
#### Determining if the acquisition of new genes affected the genome of *S. Heidelberg*.

We hypothesized that successful *S. Heidelberg* colonization will be dependent on gene flux (gain and loss of genes) and mutations. To test this hypothesis, we reconstructed a maximum likelihood (ML) tree based on the pangenome and mutations of *S. Heidelberg* strains recovered. The core genome (genes present in  $\geq 95\%$  of the strains) and accessory genome (genes present in  $< 95\%$  of the strains) was composed of 3,729 and 1,531 genes, respectively. A total of 91 new mutations (SNPs and indels) were on the chromosome of *S. Heidelberg* strains, and no single mutation was present in every strain. Rather, mutations were unique to individual strains or shared between 2 and 26 isolates (Data Set S2). Consequently, the core genome and SNP-based trees did not provide a clear division of strains based on plasmid acquired, and clades had low bootstrap support values (see Fig. S4a and b in the supplemental material).

Contrastingly, the accessory genome tree grouped the strains based on AR phenotype, plasmid, and ARG carried (Fig. 4a). Acquisition of IncI (IncI1 and IncI2) and Col plasmids and the presence/absence of IncX1 plasmids defined the clades seen on the accessory genome tree. Susceptible strains carrying only IncX1 plasmids represented the ancestral clade and AR strains dominated nested clade II. Clade II strains carried only either IncI1 or IncI1 plus a Col plasmid or both were present with an IncX1 plasmid (Fig. 4a). A few susceptible and strains with no plasmid ( $n = 12$ ) were nested within sub-clade IIa, and the genome of these strains showed signs of substantial gene loss (Fig. 4a, see Fig. S5a in the supplemental material). One *S. Heidelberg* strain (og8-05a) harbored an untypeable circular episome encoding uncharacterized proteins and two genes encoding NADH-quinone oxidoreductase (*nuo*) (~22 kbp) (Fig. S5b) and an IncX1 plasmid with divergent protein sequences from the IncX1 present in the ancestor (Fig. S5c).

#### FIG 2 Legend (Continued)

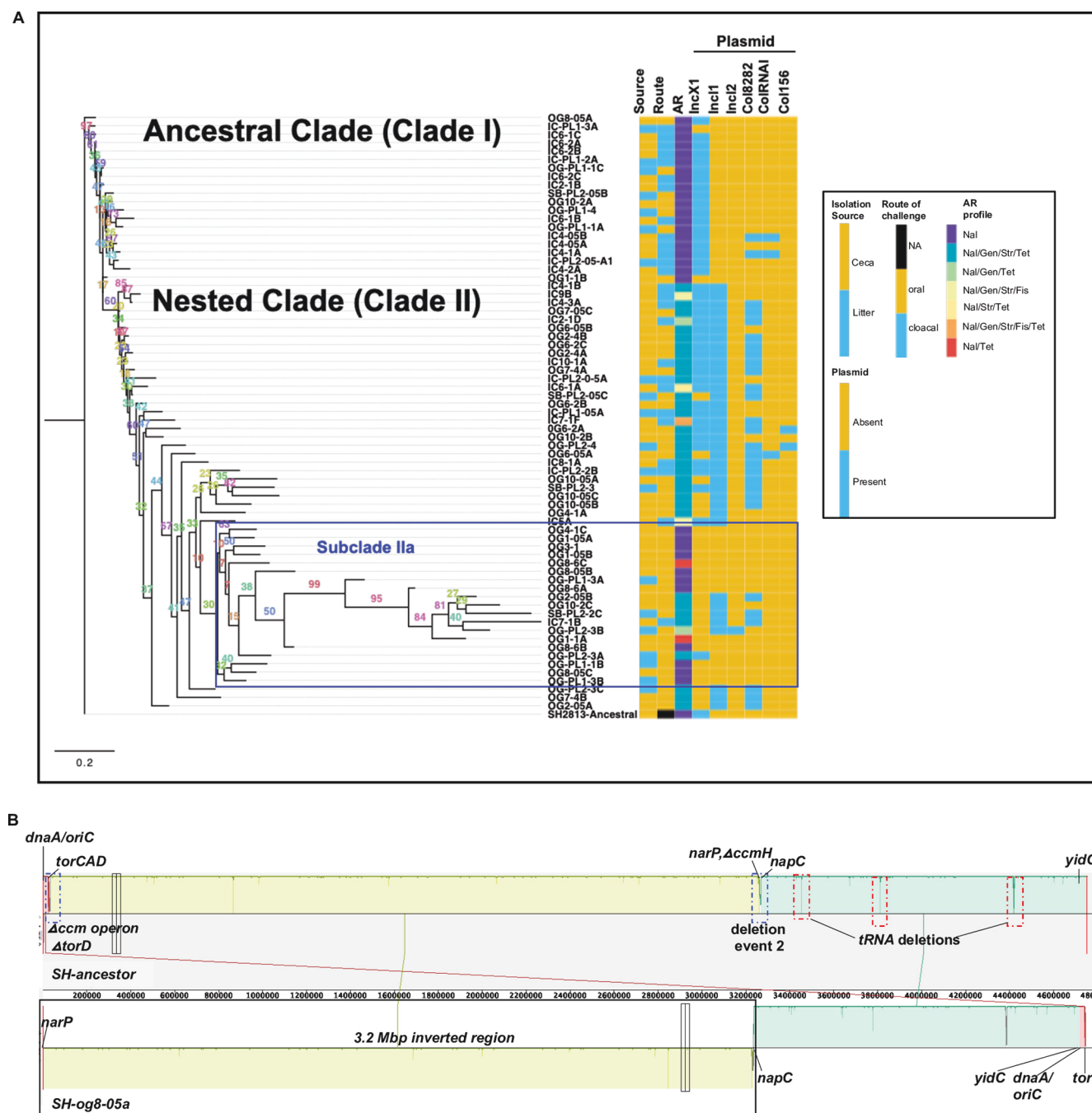
antibiotic resistance grouped by the route the broiler chicks and were challenged ( $n = 54$ , 62, and 42 for oral, cloaca, and seeder treatment, respectively). (c) BLASTn alignment of the Inc11 plasmid acquired during trial 1 (p1ST26) and trial 2 (p2ST26); dashed black lines highlight mobile region carrying antimicrobial resistance genes, while blue dashed lines show other regions that are different between p1ST26 and p2ST26. (d) Maximum likelihood tree constructed using TraY and ExCA protein sequences from a representative Inc11 plasmid from this study, R64 (Inc11), and R621a (Inc11-gamma). The GTR model of nucleotide substitution and the GAMMA model of rate heterogeneity were used for sequence evolution prediction. Tree was rooted with the *traY* sequence of R621a.



**FIG 3** Gene flux contributed to the diversity of IncI1 plasmids. (a to c) Maximum likelihood tree of pST26 IncI1 plasmids from this study ( $n=36$ ) constructed using complete plasmid DNA sequences (a), core genes (b), and accessory genes (c). The GTR+I, GTR, and JC+I models of nucleotide substitution and the GAMMA model of rate heterogeneity were used for sequence evolution prediction for a, b, and c, respectively (tree was rooted with the closest relative; GenBank CP016585), found through NCBI BLASTn search. Clade numbering was assigned arbitrarily to show the number of clades found. Numbers shown next to the branches represent the percentage of replicate trees where associated isolates cluster together based on ~100 bootstrap replicates.

Subclade IIa strains also had a higher number of contigs, higher misassemblies, and lower genome size than the rest of the strains sequenced for this study (test statistic for Kruskal-Wallis test  $[H]=18.82$ ,  $df=1$ ,  $P < 0.001$ ) (Fig. S5d to f).

To determine if erroneous assembly affected the clustering of this clade, we performed long read sequencing (PacBio) on one strain from this clade and used it in a



**FIG 4** HGT and chromosome inversion changed the genome of *S. Heidelberg*. (a) Maximum likelihood tree constructed using accessory genes present in *S. Heidelberg* isolates ( $n=72$ ) recovered from the ceca and litter of chicks colonized with *S. Heidelberg*. The GTR model of nucleotide substitution and the GAMMA model of rate heterogeneity were used for sequence evolution prediction. Numbers shown next to the branches represent the percentage of replicate trees where associated isolates cluster together based on  $\sim 100$  bootstrap replicates. All *S. Heidelberg* strains were assembled using Illumina short reads except OG8-05A, IC9B, and IC4-3A and SH2813-ancestral that were assembled using both Illumina short reads and PacBio or MinION long reads. The tree was rooted with the ancestral susceptible *S. Heidelberg* strain. Clade numbers were assigned arbitrarily to ease discussion on isolates that acquired antibiotic resistance. A blue rectangular box highlights the subclade with susceptible strains nested within antibiotic resistance strains due to misassembly bias. (Nal, nalidixic acid; Gen, gentamicin; Str, streptomycin; Tet, tetracycline; Fis, sulfisoxazole; NA, not applicable). (b) Mauve visualization of the inverted genome of *S. Heidelberg* strain og8-05a. The chromosomal contigs of og8-05a were aligned and ordered to the complete chromosome of the *S. Heidelberg* ancestor. A colored similarity plot is shown for each genome, of which the height is proportional to the level of sequence identity in that region. When the similarity plot points downward, it shows an alignment to the reverse strand of the *S. Heidelberg* ancestor genome, i.e., inversion. A segment highlighted with solid black rectangular box denotes the inverted region in og8-05a, while dashed blue and red rectangular boxes denote segments with mutations.

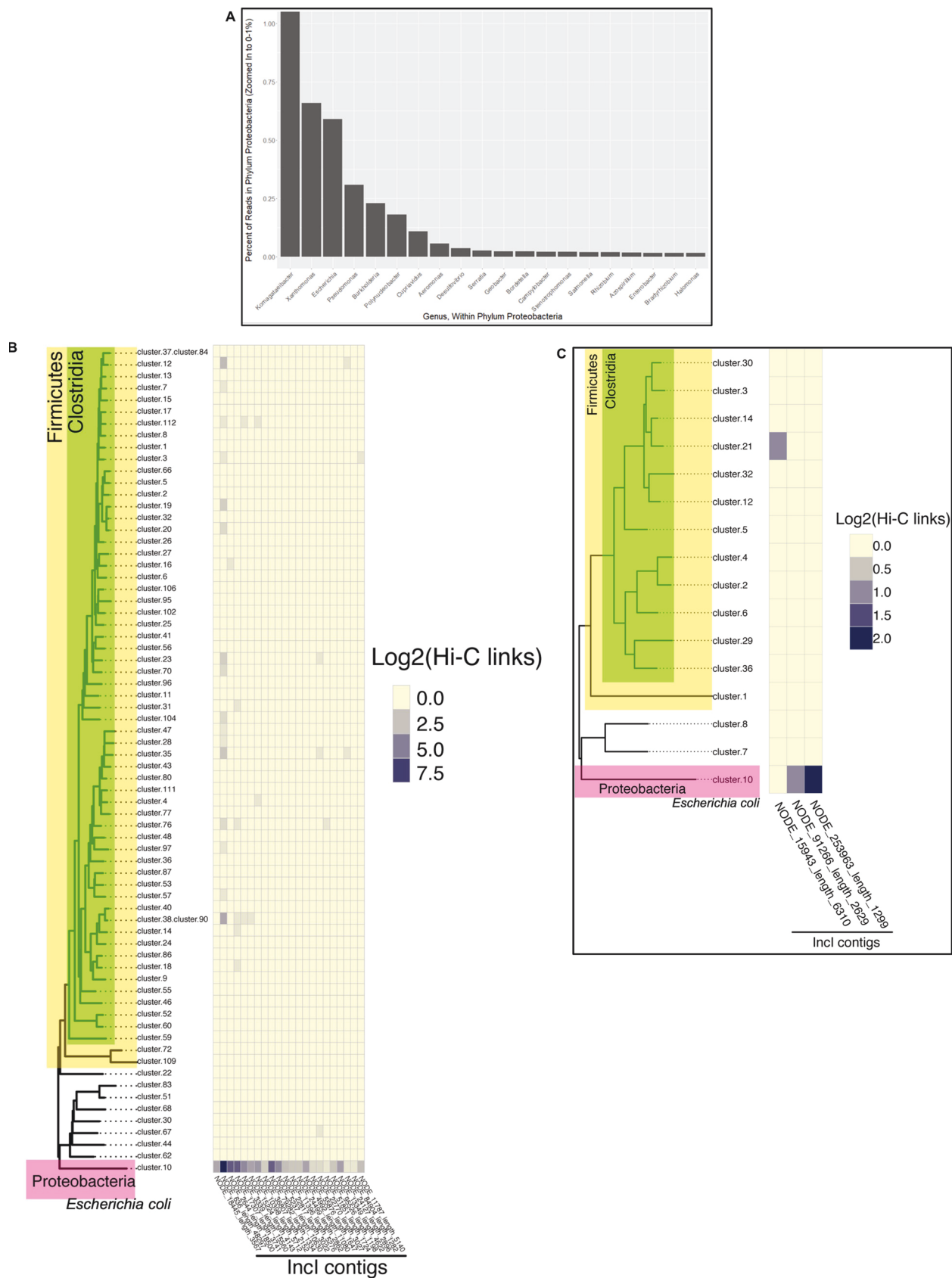
hybrid approach with Illumina short reads. This procedure resulted in a partially complete chromosome (longest contig, 4.7 Mbp), but 30 noncircular contigs were not scaffolded. An alignment of the ordered contigs with the ancestor showed that the strain did not suffer significant gene loss as suggested through short or long read only assembly (see Table S2 in the supplemental material); however, a 3.2-Mbp chromosomal region was inverted (Fig. 4b). To confirm if chromosomal inversion influenced the assembly of these genomes, we aligned protein sequences of two complete circular *S. Heidelberg* chromosomes from trial 1 and 2 with the ancestor. This analysis showed that the isolate from trial 1 harbored an ~2-Mbp chromosomal inversion (see Fig. S6a in the supplemental material).

Gene inversion changes the leading or lagging strand sequence to its reverse complement, thus altering the GC skew of the affected gene or genome from a positive value to a negative value or negative to a positive (21). Accordingly, we confirmed that there was a GC skew inversion in these regions (Fig. S6b and c). Gene inversions occur after rejoining DNA breaks and inverted genes can introduce sequencing bias and misassembly (22). Here, deletions affecting the cytochrome *c* maturation gene cluster (*ccm*) contributed to the inversion of genes in *S. Heidelberg* strain og8-05a (Fig. 4b, see Fig. S6b in the supplemental material). Furthermore, the *oriC* of og8-05a was reoriented compared with the ancestor. On the other hand, deletion of a putative YebC/PmpR transcriptional regulator and a deletion between *cysK* and *cysZ* led to the reorientation of *oriC* in strain ic9b (Fig. S6a and c).

The inverted region in these genomes encoded 34% to 60% of the 168 virulence genes present in the ancestor, including *Salmonella* pathogenicity islands 1 and 2, fimbrial and adherence genes, and type 1 and 2 secretion system proteins and prophages (Data Set S3; Fig. S6b and c). Gene inversions can increase the diversity and virulence of pathogens (21), and our bioinformatic analysis confirmed that these *S. Heidelberg* strains inverted their genomes. Nevertheless, *in vivo* testing will be required to confirm their virulence potential. Our results suggested that gene flux and homologous recombination shaped the genome of *S. Heidelberg* strains after they gained entry into the gut of broiler chicks.

**Determining the commensal reservoir of IncI1 plasmids in broiler chicks.** The application of the proximity-ligation method (Hi-C) has improved the assembly of metagenomes and made it possible to detect plasmid-host associations (23). Therefore, we used Hi-C metagenomics to find the bacterial species carrying IncI1 plasmids in the microbiome of broiler chicks. First, we checked if Hi-C correctly assembled *S. Heidelberg* genome present in the ceca of challenged chicks. We selected two cecal samples from cloacal chicks from trial 2 (here referred to as Hi-IC-FL1 and Hi-IC-FL2). We chose cloacal chicks because all chicks in this group were colonized with *S. Heidelberg* compared to oral or seeder. The two chicks carried *S. Heidelberg* at ~100 CFU/g of ceca.

A total of ~125 million shotgun reads and ~206 million Hi-C reads were obtained per sample. Taxonomic assignment of Hi-C metagenome-assembled genomes (MAGs) using Mash (24, 25) assigned 226 MAGs to a taxon for Hi-IC-FL1, while 87 MAGs were assigned a taxon for Hi-IC-FL2. One MAG from Hi-IC-FL1 and one from Hi-IC-FL2 were classified as *Salmonella enterica* serovar Cubana and *S. Heidelberg*, respectively. However, when we used a *Salmonella* serovar prediction tool (26) to validate the result from Mash, the MAGs (~1.4- and 1.2-Mbp total contig size for Hi-IC-FL1 and Hi-IC-FL2, respectively) were not *Salmonella* sp. Furthermore, aligning these MAGs to a complete *S. Heidelberg* genome yielded poor alignment results (data not shown). CheckM also revealed that the *Salmonella* MAGs were incomplete for both Hi-IC-FL1 (42% completeness) and Hi-IC-FL2 (12.4% completeness). Similarly, taxonomic assignments performed with the Genome Taxonomy Database Toolkit (GTDB-Tk) (27) did not assign any MAG as *Salmonella* sp. Contrastingly, 23,610 ± 7,156 raw shotgun reads from each sample were classified as *Salmonella enterica* using the Kraken 2 database (28) (Fig. 5a). This



**FIG 5** *Proteobacteria* was the main reservoir of Inc11 plasmids carried by broiler chickens. (a) Percentage of metagenomic reads assigned to the top 20 bacterial genera of the phylum *Proteobacteria* present in samples Hi-IC4 and Hi-IC6. Only reads assigned to phylum *Proteobacteria* (70.9% (Continued on next page)

**TABLE 1** Antibiotic-resistant *E. coli* genomes found in the ceca of broiler chicks

| Isolate identifier     | Resistance phenotype <sup>a</sup> | Antibiotic resistance gene(s) <sup>b</sup>                                       | Plasmids <sup>c</sup>                    | Phylogroup | MLST |
|------------------------|-----------------------------------|----------------------------------------------------------------------------------|------------------------------------------|------------|------|
| Ec-FL1-1X              | <b>Amp Gen Str Fis Tet</b>        | <b><i>aac(3)-lid aph(3')-la aph(6)-ld aph(3'')-lb blaTEM-1B tet (B) sul2</i></b> | IncF:B:A-, Inc11                         | D          | 69   |
| Ec-FL2-2X              | <b>Amp Gen Str Fis Tet</b>        | <b><i>aac(3)-lid aph(3')-la aph(6)-ld aph(3'')-lb blaTEM-1B tet (B) sul2</i></b> | IncF:B:A-, Inc11                         | D          | 69   |
| Ec-FL2-5X              | <b>Amp Gen Str Fis Tet</b>        | <b><i>aac(3)-lid aph(3')-la aph(6)-ld aph(3'')-lb blaTEM-1B tet (B) sul2</i></b> | IncF:B:A-, Inc11                         | D          | 69   |
| Ec-FL1-2X <sup>d</sup> | Gen Str Tet                       | <i>aadA1 aac(3)-Via tet(A)</i>                                                   | IncF:B:A- Inc11 Inc12<br>Col8282 ColRNAI | F          | 6858 |
| Ec-FL1-5X <sup>d</sup> | Gen Str Tet                       | <i>aadA1 aac(3)-Via tet(A)</i>                                                   | IncF:B:A- Inc11 Inc12<br>Col8282 ColRNAI | F          | 6858 |

<sup>a</sup>Amp, ampicillin; Gen, gentamicin; Str, streptomycin; Tet, tetracycline; Fis, sulfisoxazole.<sup>b</sup>Boldface denotes antibiotic resistance genes and phenotype carried on the chromosome.<sup>c</sup>Illumina short reads and PacBio long reads were combined to assemble the plasmids of *E. coli* strains Ec-FL1-1X and Ec-FL1-2X.<sup>d</sup>*E. coli* isolates carrying Inc11-pST26 with >99% identical DNA sequence with pST26 acquired by *S. Heidelberg*.

result suggested that our metagenomic approach did not have the discriminatory power to identify the *S. Heidelberg* strain in the cecal samples.

Next, we investigated if the MAGs harbored Inc11 plasmids. The Inc11 plasmid contigs detected in both samples were linked to *E. coli* MAGs (Fig. 5b and c; see Table S3 in the supplemental material) and multiple MAGs were classified as *E. coli* by Mash for both Hi-IC-FL1 ( $n = 3$ ) and Hi-IC-FL2 ( $n = 12$ ), and one MAG was ~95% complete. Some bacterial MAGs assigned to the genus *Firmicutes* showed a low level of linkage to Inc11 (~100× less compared than *E. coli* in Hi-IC-FL1). In addition, we found contigs ( $n = 151$ ; mean contig size, 17,607 bp; smallest contig, 1,027 bp; largest contig, 282,389 bp) with protein sequences identical to pST26 in both Hi-IC-FL1 and Hi-IC-FL2 (Data Set S4). This result suggested that *E. coli* was the main reservoir of Inc11 plasmids.

**Broiler chicks carried *E. coli* strains harboring pST26 Inc11 plasmids.** To confirm if broiler chicks from this study harbored *E. coli* populations that could serve as a reservoir of pST26 plasmids, we retrospectively screened the cecal contents of the broiler chicks used for Hi-C on CHROMagar supplemented with gentamicin and tetracycline and selected five colonies for whole-genome sequencing. As expected, we found pST26 in two strains (phylogroup F, multilocus sequence type [MLST] 6858), while the other three strains (phylogroup D, MLST 69) carried an Inc11 that harbored no ARG and an unknown MLST (Table 1). Next, we compared the pST26 in *S. Heidelberg* to that found in *E. coli* using complete plasmids. The p1ST26 and p2ST6 Inc11 plasmids of *S. Heidelberg* were 86.8% and 99.4% identical to pST26 present in *E. coli*. The main difference was in the region encoding AR and transposases in p1ST26 (see Fig. S7 in the supplemental material; Fig. S7 to S10 are available in Zenodo repository online at <https://doi.org/10.5281/zenodo.4976002> and in the supplemental material).

**pST26 carriage poses a variable fitness cost and benefit under selection pressure.** pST26 carried accessory genes for AR, metal resistance, or disinfectants (i.e., quaternary ammonium compounds). Furthermore, *S. Heidelberg* strains carrying pST26 differed by route of exposure, e.g., higher proportion in oral versus cloacal exposure. To this end, we questioned if any of these factors could exert a selective pressure for pST26. We did not administer antibiotics to broiler chicks throughout study; therefore, we did not consider antibiotic selective pressure. We detected metals, including nickel, chromium, copper, and zinc, in the starter feed in parts per million; and we found contaminants,

## FIG 5 Legend (Continued)

of the metagenomic reads) were used and zoomed in to show 0% to 1% of total reads within phylum *Proteobacteria* (96.7% of reads within phylum *Proteobacteria* were assigned to *Komagataeibacter*). (b and c) Inc11 contig hosts found by Hi-C contacts. Inc11-derived contigs (horizontal axis of heatmap) show specific Hi-C associations with metagenome-assembled genomes (MAGs) present in samples Hi-IC4 (a) and Hi-IC6 (b) (vertical axis of heatmap). MAGs are derived from Hi-C deconvolution of the metagenome assembly and placed into a bacterial phylogeny using Mash and CheckM. Cluster.10 in each sample is an *Escherichia coli* genome. In Hi-IC4, one MAG (cluster.82) representing an extremely fragmented and partially contaminated *Escherichia coli* genome is omitted for clarity (due to its contamination, this cluster was placed in *Clostridia* by CheckM). Heatmap values indicate transformed counts of Hi-C read contacts (indicating intracellular physical proximity of Inc11 contigs to those genomes). Heatmap values were pseudocounted to facilitate plotting of log-transformed data, including zeroes.

such as arsenic, lead, and cadmium, in trace amounts (see Table S4 in the supplemental material). Disinfectants, including quaternary ammonium compounds, were used for cleaning broiler houses and equipment; thus, we considered disinfectant as another source of selective pressure in this study.

To determine their effect on *S. Heidelberg* fitness, we used phenotype MicroArray (PM) 96-well plates supplemented with selected metal chlorides and disinfectants at various concentrations to compare a p2ST26-carrying strain (here referred to as *gen<sup>R</sup>*) to a susceptible evolved strain. There was no significant difference in metabolic activity for *S. Heidelberg* strain carrying *gen<sup>R</sup>* compared with its susceptible counterpart for metals and disinfectant, but *gen<sup>R</sup>* exhibited a higher metabolism for benzethonium chloride, chromate, tellurite, and zinc, whereas the susceptible strain showed higher metabolism for dequalinium chloride, chromium, and cesium ( $\chi^2 = 1.83$ ,  $df = 1$ ,  $P = 0.1762$ ) (Fig. S8a and b).

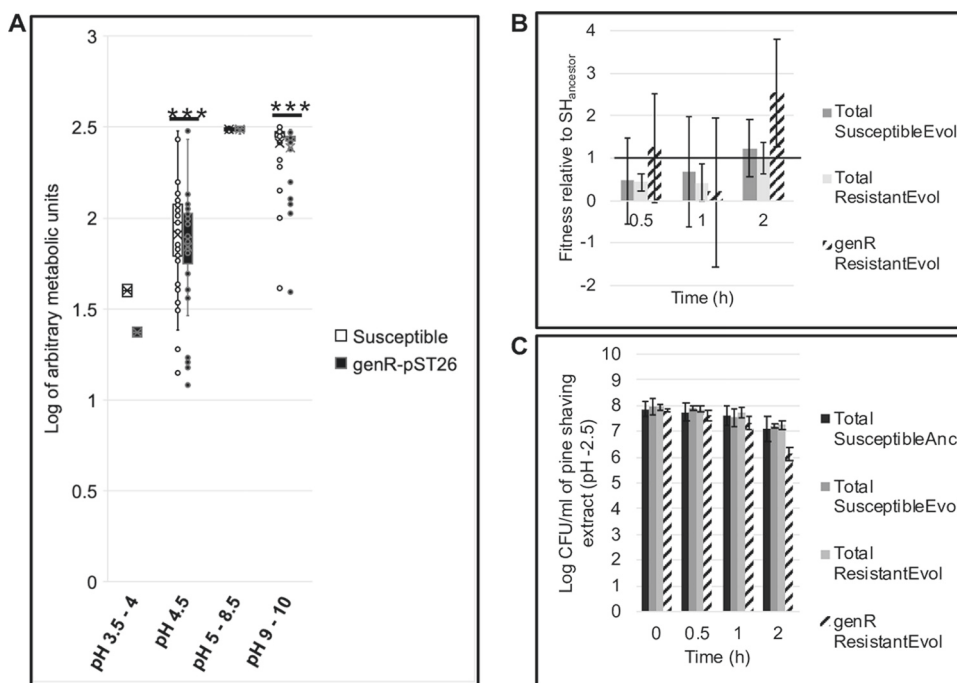
Cox and colleagues have suggested that the acidic pH of the upper gastrointestinal (GI) tract was associated with the lower number of chicks positive for *Salmonella* and *Campylobacter* sp. after oral gavage than that after cloacal inoculation (29–31). Based on this premise, we hypothesized that *S. Heidelberg* strains carrying *gen<sup>R</sup>* will survive better under exposure to acidic pH. To address this hypothesis, we first compared the survival of the strains under different pH levels (3.5 to 10) with or without nitrogen sources and amino acids using PM plates. An *S. Heidelberg* strain carrying *gen<sup>R</sup>* showed lower metabolic activity than the susceptible strain at pH 3.5 to 4 (V-statistic for Wilcoxon signed-rank test [ $V$ ] = 3;  $P = 0.5$ ), at pH 4.5 with and without nitrogen sources ( $V = 599$ ;  $P = 3.426 \times 10^{-6}$ ), and at pH 9.5 to 10 with and without sources of nitrogen ( $V = 714$ ;  $P = 6.547 \times 10^{-7}$ ) (Fig. 6a).

Next, we compared the survival of the susceptible ancestor, susceptible evolved strains, and *gen<sup>R</sup>* evolved strains ( $n = 3$  strains for each population) in pine shaving extract (PSE) adjusted to a pH of 2.5. We acclimatized the bacterial population to PSE (pH 6.5) for 2 h before exposing it to acidified PSE (Fig. S8c). We screened for *gen<sup>R</sup>*-carrying populations using the gentamicin resistance marker on p2ST26. We found that p2ST26 carriage produced higher variable fitness effects at all time points than the susceptible strains (Fig. 6b). For instance, two *gen<sup>R</sup>* strains showed a decrease in fitness (values of  $<1$  show a reduction in fitness [ $w$ ], while a value of  $>1$  indicates an increase in fitness) after 30 minutes in PSE, while one strain showed an increase ( $w = 2.7$ ). After 2 h of exposure, there was no significant difference in fitness between susceptible and *gen<sup>R</sup>* populations, but cells carrying *gen<sup>R</sup>* exhibited higher fitness than their susceptible counterparts ( $\chi^2 = 4.35$ ,  $df = 2$ ,  $P = 0.113$ ) (Fig. 6b). Similarly, there was no significant difference in the final population of susceptible and evolved strains; however, the *gen<sup>R</sup>* strain had a lower population size ( $\chi^2 = 6.37$ ,  $df = 3$ ,  $P = 0.095$ ) (Fig. 6c). This result suggested that p2ST26 carriage poses a variable fitness cost and benefit on the *S. Heidelberg* host when exposed to acidic pH.

## DISCUSSION

In this study, neonatal Cobb 500 broiler chicks challenged with a susceptible *S. Heidelberg* strain carried susceptible and antimicrobial resistant *S. Heidelberg* populations 2 weeks after inoculation in their ceca and litter. This resistance phenotype was conferred by the acquisition of IncI1-pST26 plasmids. These plasmids are present in *S. Heidelberg*, *S. Typhimurium*, *Salmonella enterica* serovar Anatum, *Salmonella enterica* serovar Derby, *Salmonella enterica* serovar Schwarzengrund, *Salmonella enterica* serovar Saintpaul, and *E. coli* strains isolated from animal and human sources (Fig. S9). The closest IncI1 plasmids to pST26 from this study are pST26 present in *E. coli* (CP018625) and *S. Typhimurium* (CP027409). The plasmids carry aminoglycoside resistance genes with or without tetracycline, sulfonamide, and *qac* and *mer* resistance genes, making it an antibiotic/disinfectant/metal resistance plasmid.

The acquisition of pST26 was higher in chicks challenged orally than in chicks inoculated via the cloaca in trial 2. This route-specific rate of conjugation suggested that the



**FIG 6** *S. Heidelberg* exposure to acidic pH imposes negative frequency-dependent selection for IncI1. (a) Box plot comparing the metabolic activity of one evolved susceptible (no IncI1) and one gen<sup>R</sup> (carries pST26) *S. Heidelberg* strain using phenotype microarray (PM) plates (Biolog Inc.). Preconfigured PM plates are composed of microtiter plates with one negative-control well and 95 wells prefilled with or without nitrogen and at pH of 3.5 to 10 ( $n=1$  plate per strain; the number of wells per plate for pH 3.5 to 4, 4.5, 5 to 8.5, and 9 to 10 was 2, 6, 37, and 38, respectively; error bars, standard deviation; \*\*\*,  $P < 0.001$  (Wilcoxon signed-rank test)). (b and c) Fitness and abundance of evolved susceptible and gen<sup>R</sup> *S. Heidelberg* strains when exposed to pine shaving extract of pH 2.5. Each bar represents the average fitness or abundance (CFU per ml of pine shaving extract) of three individual populations that were established from three single bacterial colonies from three different strains (error bars, standard deviation;  $P > 0.05$ ; Kruskal-Wallis rank-sum test). The horizontal line in b represents the fitness of three *S. Heidelberg* ancestor populations established from three single bacterial colonies. (Anc, ancestor; Evol, evolved, i.e., *S. Heidelberg* isolate recovered during *in vivo* experiment; gen<sup>R</sup>, population resistant to gentamicin). Values of  $<1$  show a reduction in fitness, while  $>1$  indicates an increase in fitness.

selection for pST26 in our study was associated with the differential pH in the upper GI versus the lower GI tract. This finding was corroborated by *in vitro* experiments, where acidic pH reduced the metabolism of *S. Heidelberg* cells carrying pST26 compared with *S. Heidelberg* cells with no pST26. Furthermore, carriage of pST26 imposed a variable fitness effect on *S. Heidelberg* exposed to acidic pH. This type of acid-imposed selection for pST26 alludes to the “negative frequency-dependent selection hypothesis”—where the fitness of the population is higher when a plasmid is present at a low frequency in the population (32) and also supports the tenet that variability of fitness effects contributes to plasmid maintenance and persistence in bacterial communities (33).

The length of the GI tract and the route traveled could make it challenging for *S. Heidelberg* to colonize the ceca of chicks or acquire AR via HGT. When we measured the distance of the cloaca and esophagus to the ceca of a 49-day-old Cobb 500 broiler chicken (photo not shown), we estimated that *S. Heidelberg* will have to travel  $\sim 20\times$  farther to get to the ceca of chicks gavaged orally than chicks inoculated cloacally. It is plausible that this longer “residence” time in the upper GI tract allows more opportunities for *S. Heidelberg* to make contact and compete with other members of the chicken microbiome. In this study, such an event could also explain the higher transfer rate of pST26 to *S. Heidelberg* in chicks that were gavaged.

It is noteworthy that AR acquisition occurred at a lower rate during trial 1 than during trial 2. On one hand, the lower pH of the litter in trial 2 than that in trial 1 supports our acid-imposed selection hypothesis; alternatively, several other factors can be responsible for the observed difference, including the season we conducted the

experiments. Trial 1 was done in the fall, and trial 2 was performed in the spring. The temperature outside for trial 1 was higher (average, 70°F; high, 90°F; low, 53°F) than trial 2 (average, 57°F; high, 81°F; low, 34°F) ([www.timeanddate.com/weather/usa/athens/](http://www.timeanddate.com/weather/usa/athens/)). The temperature and relative humidity inside a broiler house are correlated with the weather outside, and these environmental parameters are controlled by manipulating the ventilation system installed in the house. Broiler house ventilation rates have a direct effect on the moisture, pH, ammonia levels, and microbiome of the litter (34). The higher levels of *S. Heidelberg* in the litter from trial 1 than that from trial 2 suggest that high house temperature, litter moisture, and litter pH selected for an *S. Heidelberg* population that had no plasmid-borne AR. Lastly, we did not administer antibiotics to the 1-day-old chicks used for both trials and do not have the information to speculate on the antimicrobial practices of the hatchery or parent/breeder farms of the chicks. One or all of these factors could explain the differences between trials and indicate that further studies are needed before the results can be generalized.

The IncI1 complex is widespread in *Enterobacteriaceae* and has been reported to be the major driver of AR in *Salmonella* sp. and *E. coli*. Yet, our understanding of its mode of transfer and AR evolution has been limited to studies on its prevalence, mating experiments, and *in vivo* challenge with mice (20, 35, 36). In this study, IncI1 plasmid contigs found using metagenomics were linked to *E. coli* MAGs, and this result was corroborated by WGS of *E. coli* isolates. The *E. coli* isolates sequenced carried IncI1 plasmids that were similar to the pST26 acquired by *S. Heidelberg*. Fischer et al. (37) showed that *E. coli* populations in broiler chickens acquired  $\beta$ -lactam resistance after 4-days-old broiler chicks were gavaged with an *E. coli* strain carrying *bla*<sub>CTX-M-1</sub> on an IncI1 plasmid. In a twin pair experiment, Hagbo et al. (38) revealed that IncI1 carrying *bla*<sub>CMY-2</sub>, ColE1, and P1 bacteriophage are often transferred between microbiota present in the preterm infant gut. *Salmonella* resistance to  $\beta$ -lactams in retail meat was shown to correlate with AR found in *E. coli*, and horizontal transfer was the hypothesis put forward (39). This study and others have demonstrated that IncI1 is the most prevalent plasmid carrying  $\beta$ -lactam in *Enterobacteriaceae* and that they are transferable from *Salmonella* to *E. coli* and vice versa.

The nutrient-niche hypothesis suggests that *S. Heidelberg* will be able to invade a new niche only if it can metabolize a growth-limiting resource or if it can outcompete a resident species and a vacant niche arises due to a new component in diet or by eliminating the competitor (40). Metagenomics revealed that the two most abundant bacterial phyla in the ceca and litter from this study were *Firmicutes* and *Proteobacteria*. Therefore, bacterial species belonging to these phyla are the resident bacteria with which *S. Heidelberg* will have to compete. The most abundant genera of *Firmicutes* found by aligning shotgun reads to the Kraken database were *Staphylococcus*, *Lachnoclostridium*, *Streptococcus*, *Enterococcus*, *Faecalibacterium*, and *Lactobacillus*. *Firmicutes* have been shown to be the dominant phylum in chicken ceca in earlier studies, with relative abundances usually well above >50% as found by 16S rRNA gene sequencing (41, 42). Comparable results have also been demonstrated with shotgun metagenomics (43–45). Interestingly, *S. Heidelberg* inoculation did not have an impact on the abundance of *Firmicutes* in this study. Contrastingly, we saw an effect of *S. Heidelberg* inoculation on *Proteobacteria* and was significantly associated with the genera *Escherichia/Shigella* and *Klebsiella*. The most abundant species of these genera found in ceca using shotgun metagenomics were *E. coli*, *Shigella dysenteriae* and *Klebsiella pneumoniae*.

Competition for oxygen was shown to be the limiting factor that contributed to the virulence of *S. Enteritidis* toward *E. coli* in neonatal chickens (46). Similarly, competition between *S. Heidelberg* strains and members of the family *Enterobacteriaceae* played a key role in selecting for antibiotic-resistant *S. Heidelberg* in our study. This conclusion was drawn from results showing that *S. Heidelberg* challenge perturbed the bacterial community of broiler chicks and *S. Heidelberg* acquired plasmids found only in *E. coli* populations. For *S. Heidelberg* and *E. coli* to coevolve and persist in the same new niche, a recombination of genes occurred and led to the emergence of new *S.*

Heidelberg strains. In our study, *S. Heidelberg* strains carrying pST26 appeared after conjugating with *E. coli* populations. As expected, *S. Heidelberg* genomes were grouped into nine core genome MLST clusters, with eight clusters representing new strains (Fig. S10). The *S. Heidelberg* strains that acquired pST26 exhibited variable fitness under acid exposure experiments and are expected to be persist longer in the new niche. Therefore, we conclude that simply removing antibiotics from food animal production might not be sufficient to reverse the spread of antimicrobial resistance.

## MATERIALS AND METHODS

**S. Heidelberg inoculum preparation.** We have previously described the characteristics of the *S. Heidelberg* strain (SH-2813nal<sup>R</sup>) used for this study and how the inoculum was prepared (17). Briefly, the strain belongs to the multilocus sequence type 15; carries a 37-kb conjugative plasmid; and is resistant to erythromycin, tylosin, and fosfomycin. The strain was recovered from a broiler chicken carcass in 2013 and made resistant to 200 ppm of nalidixic acid (nal<sup>R</sup>) for selective enumeration. The nal<sup>R</sup> phenotype is conferred by a serine-to-tyrosine substitution at position 83 of DNA gyrase subunit A protein (GyrA). The *S. Heidelberg* inoculum was grown overnight in poultry litter extract, centrifuged, and resuspended in 1× phosphate-buffered saline (PBS). The resuspended cells were used as inocula. The genome of the ancestor to SH-2813nal<sup>R</sup> was sequenced using Illumina and PacBio sequencing to achieve a complete circular chromosome and plasmid (GenBank accession number CP066851). SH-2813nal<sup>R</sup> carries six mutations (Data Set S2), including the mutation affecting *gyrA*, that are not present in the ancestor.

**Challenging broiler chicks with *S. Heidelberg*.** One-day-old Cobb 500 broiler chicks were purchased from a commercial hatchery in Cleveland, Georgia. Upon purchase, chicks were placed in plastic crates lined with brown paper and transported to the University of Georgia, Poultry Research Center (33.90693362620281, −83.37871698746522). One hundred chicks were either uninoculated ( $n = 25$ ), gavaged orally ( $n = 25$ ), or inoculated cloacally ( $n = 25$ ) with a 100  $\mu$ l-volume of *S. Heidelberg* inoculum (Fig. 1a). We included a seeder colonization method, whereby five gavaged chicks were mingled with 20 uninoculated chicks. Each inoculated chick received  $\sim 10^6$  colony forming units (CFU) of SH-2813nal<sup>R</sup>. Afterward, chicks were placed in floor pens at a stocking density of 0.65 m<sup>2</sup>/chick on fresh pine shaving litter. Broiler chicks were given water and feed *ad libitum* and raised antibiotic-free on starter diet for 2 weeks (starter feed was synthesized by the University of Georgia poultry research center feed mill). The concentration of metals and priority pollutants in feed was determined by the University of Georgia's feed and environmental water laboratory (Athens, GA, USA) (see Table S4 in the supplemental material). Husbandry and management followed commercial broiler chicken industry guidelines. After 2 weeks, 10 chicks from oral, cloacal, and control groups and 15 chickens from seeder (5 seeder chicks and 10 uninoculated pen mate) were sacrificed to determine the extent of *S. Heidelberg* colonization in the ceca. The experiments were done in two trials conducted in September 2017 (trial 1) and April 2018 (trial 2). The study was approved by the University of Georgia Office of Animal Care and Use under Animal Use Protocol A2017 04-028-A2.

**Cecal and litter bacteriological analyses.** Ceca were aseptically removed from the eviscera of 2-week-old broiler chicks, placed in a stomacher bag, and transported on ice to the U.S. National Poultry Research Center for analysis. Ceca were weighed, and buffered peptone water (BPW) (BD Difco, MD, USA) was added 3× volume to the weight (v/w) and stomached for 60 s. Serial dilutions were made and plated onto Brilliant green sulfa agar (BGS) (BD Difco, MD, USA) containing 200 ppm nal. In addition, a 10- $\mu$ l inoculating loop was used to streak cecal slurry (cecal contents in BPW) onto xylose lysine tergitol-4 agar (BD Difco, Sparks, MD) supplemented with 4 ppm tetracycline for trial 1 and BGS supplemented with 32 ppm ampicillin (amp) or 32 ppm streptomycin for trial 2. All antibiotics were purchased from Sigma-Aldrich (St. Louis, MO), unless otherwise noted. Plates were incubated along with the cecal slurry for 24 h. All bacterial incubations were carried out at 37°C, unless otherwise noted. After incubation, colonies were manually counted, and serial dilution plates with 2 to 100 colonies were used for CFU per gram calculation. If no colonies appeared on serial dilution plates, a cecal slurry was streaked onto a new BGS plus nal plate and incubated overnight. After incubation, plates were examined for the presence/absence of *Salmonella* colonies.

Broiler chicken litter was collected as grab samples from 7 locations (4 corners of the pen and under the waterer) in each pen after chicks were removed. The litter samples were pooled, and 30 g was processed in duplicates from each pen as previously described (47). Serial dilutions of the litter slurry were made and plated onto BGS agar containing 200 ppm nal. Plates were incubated overnight, and colonies were manually counted and reported per gram litter dry weight. Litter pH and moisture were determined as described previously (47). We selected randomly 2 to 6 single colonies from BGS plates supplemented with nal from each ceca and litter sample and archived them in 30% Luria Bertani broth (LB) glycerol at −80°C. In addition, cecal slurry was saved at a 4:1 ratio in Luria Bertani broth (BD Difco, MD, USA) containing 30% glycerol at −80°C, whereas litter samples were stored in vacuum-sealed whirl pak bags at −20°C.

**Antimicrobial susceptibility testing.** We performed antimicrobial susceptibility testing (AST) on 250 *S. Heidelberg* isolates recovered from the ceca and litter of broiler chicks following the National Antimicrobial Resistance Monitoring System (NARMS) protocol for Gram-negative bacteria. MICs for isolates were determined by broth microdilution using the Sensititre semiautomated antimicrobial susceptibility system (Thermo Fisher Scientific, Inc., MA, USA) and interpreted according to clinical and laboratory standards institute guidelines when available; otherwise, breakpoints established by NARMS were used. AST was also done on *E. coli* isolates ( $n = 5$ ) recovered from the ceca of two broiler chicks challenged intracloacally with *S. Heidelberg*. The Kirby-Bauer disk diffusion assay for tobramycin, kanamycin,

neomycin, and netilmicin was done on four gentamicin-resistant *S. Heidelberg* isolates as previously described (47).

**DNA extraction.** DNA was extracted and purified from bacterial colonies using a FastDNA spin kit (MP Biomedicals, LLC, CA, USA), whereas 250 mg of cecal and litter was extracted with the Qiagen DNeasy power soil DNA kit (Hilden, Germany). The modifications we made to the manufacturer's protocol for DNA extraction have been reported (47, 48).

**16S rRNA gene sequence processing and analysis.** Cecal and litter DNA were used for bacterial community analysis through the sequencing of the V4 hypervariable region of the 16S rRNA gene of bacterial genomes. Sequencing was done using the paired-end ( $2 \times 250$  bp) method on the Illumina MiSeq platform. Statistical analysis of microbial communities was performed in the R environment using the packages "phyloseq," "Ampvis2," and "vegan." Alpha diversity indices were calculated using the function "estimate\_richness" from phyloseq with a data set rarefied to the minimum sample size (8,740 sequences) (49). After assessing normal distribution by qqplots, histograms, and the Shapiro-Wilk normality test, the not normally distributed groups were compared using the Wilcoxon signed-rank test. Beta diversity was calculated with "amp\_ordinate" in the ampvis2 package (50). Data have been Hellinger transformed, and ASVs that were not present in more than 0.1% relative abundance in any sample have been removed. The principal-coordinate analysis was based on Bray-Curtis distances. The permutational multivariate analysis of variance (PERMANOVA) was calculated with the "adonis" function and 5,000 permutations in vegan (51). All raw FASTQ reads for 16S rRNA gene sequences have been deposited under NCBI accession number [PRJNA669215](https://www.ncbi.nlm.nih.gov/submit/PRJNA669215).

**Whole-genome sequencing and processing.** Illumina short read sequencing was performed on DNA extracted from *S. Heidelberg* isolates recovered from ceca and litter. In addition, five *E. coli* isolates recovered from two cecal samples were sequenced. Libraries were prepared using either Nextera XT or Nextera DNA flex library preparation kits (Illumina, Inc., San Diego, CA) following the manufacturer's protocol. Libraries were sequenced on the Illumina MiSeq platform with 150- or 250-bp paired-end reads. Additionally, five *S. Heidelberg* and two *E. coli* isolates were selected for long-read sequencing using the Sequel II system (PacBio Biosciences Inc.) or MinION device (Oxford Nanopore Technology).

Preparation and sequencing of long read libraries were done by next-generation sequencing core centers of University of Georgia and Colorado State University. MinION sequencing of samples was done using R9.5 chemistry on a 1D flowcell. Lima (<https://github.com/PacificBiosciences/pbbioconda>) was used to demultiplex barcoded PacBio samples and to convert the split BAM files into FASTQ format. BBDMap reformat.sh (<https://github.com/BioInfoTools/BBDMap>) was used to randomly subsample generated PacBio reads down to  $200\times$  coverage. Porechop v0.2.4 (<https://github.com/rwick/Porechop>) was used to demultiplex barcoded MinION samples. Raw Illumina reads were trimmed using Trimmomatic v0.39 (52) (command line parameters, PE ILLUMINACLIP:NexteraPE-PE.fa:2:30:10:3 LEADING:3 TRAILING:3 SLIDINGWINDOW:4:20 MINLEN:36).

Genome assembly, resistome characterization, and quality assessment of long reads were done using Reads2Resistome pipeline v1.1.1 (53). Reads2Resistome performed hybrid assemblies using either Illumina reads and PacBio or Illumina and MinION reads, using both Unicycler (54) and SPAdes (55) (see Data Set S5 for assembly statistics). In addition, hierarchical genome assembly process (HGAP) assembly was performed using the PacBio single-molecule real-time (SMRT) Link v9.0 analysis software suite using default settings. Assembly quality was assessed by QUAST v5.0.2 (56), using default settings, and genome annotation was done using Prokka (57), Rapid Annotation using Subsystem Technology (RAST) (58), and BlastKOALA (59). We confirmed that all *S. Heidelberg* isolates were *Salmonella enterica* serovar Heidelberg using *Salmonella* In Silico Typing Resource (SISTR) (26) and used ClermonTyping (60) and multilocus sequence typing (MLST) (61) for *E. coli* strains.

For resistome characterization, Reads2Resistome used ARG-ANNOT (62), the Comprehensive Antibiotic Resistance Database (63), MEGARes (64), AMRFinderPlus (65), PlasmidFinder (19), ResFinder (66), and VirulenceFinder database (67). For plasmid typing and IncI1 clonal complex determination, we used plasmid MLST (19). PHAST (68) was used to identify prophages present in chromosomal contigs, and the predicted prophage DNA sequence was annotated with RAST and BlastKOALA. progressiveMAUVE v1.1.1 (69) and MAFFT v1.4.0 (70) implemented in Geneious Prime v2020.0.1 were used for aligning and comparing sequences. In addition, a pangenome analysis of annotated assemblies was conducted with Roary (71). Phylogenetic trees based on the core genome and accessory genome were reconstructed using the maximum likelihood (ML) method implemented in RAxML-NG 2.0.0 (72). When computationally possible, the best model of sequence evolution predicted by jModelTest (73) was used for tree reconstruction; otherwise, the GTR+GAMMA model was implemented. Lastly, we used the bacterial genomes sequenced in the study to create a BLAST database that can be searched for sequences of interest in Geneious Prime.

Illumina short reads were assembled *de novo* into contigs using Unicycler v0.4.7 and characterized with bioinformatic tools described for long reads. Illumina reads were used to determine single nucleotide polymorphisms (SNPs) and indels present in *S. Heidelberg* isolates. Alignment of raw FASTQ reads to the genome of the *S. Heidelberg* ancestor was done using Burrows-Wheeler aligner (BWA) (74), and SNPs/indels were called using the Genome Analysis Toolkit (75) as described previously (48). Variant call format (VCF) files of identified SNPs/indels and the Linux/Unix shell script used have been deposited in Dryad Digital Repository online at <https://doi.org/10.5061/dryad.4tmgp4f8d>. The SNP-based ML tree was reconstructed by converting merged VCF files to the PHYLogeny Inference Package format using PGDSpider (76). Afterward, isolates with duplicated SNPs/indels were removed, and an ML tree was drawn using the Jukes-Cantor model of nucleotide substitution and GAMMA model of rate heterogeneity.

To determine the IncI1-pST26 consensus, first we used BWA (74) or Bowtie v 7.2.1 (77) (implemented in Geneious Prime) to align raw FASTQ files against a complete circular p1ST26 or p2ST26 and used the

binary alignment map file generated for consensus determination in Geneious Prime. To identify identical Inc11-pST26 plasmids, we performed a BLAST search against the NCBI nonredundant database and selected the top 50 plasmids matching pST26 from this study. To construct a whole plasmid-based maximum likelihood tree, we downloaded the FASTA files for the top 50 plasmids from NCBI and used them for whole-genome alignment. All raw FASTQ reads for sequenced bacterial genomes are publicly available under NCBI accession numbers [PRJNA683658](#), [PRJNA684578](#), and [PRJNA684580](#).

**Hi-C and cecal metagenome library preparation and analysis.** A shotgun and Hi-C DNA library of two cecal samples was created using the Nextera XT library preparation kit and Phase Genomics (Seattle, WA) ProxiMeta Hi-C Microbiome kit following the manufacturer's instructions. Library sequencing was performed by Novogene corporation (Sacramento, CA, USA) on the Illumina HiSeq platform using 150-bp paired-end reads. Two libraries were sequenced per HiSeq flow cell lane. Metagenomic FASTQ files were uploaded to the Phase Genomics cloud-based bioinformatics portal for subsequent analysis.

Shotgun reads were filtered and trimmed for quality using *bbduk* (78), normalized using *bbtools* (78), and then assembled with *metaSPAdes* (79) using default options. Hi-C reads were then aligned to the assembly following the Hi-C kit manufacturer's recommendations (<https://phasegenomics.github.io/2019/09/19/hic-alignment-and-qc.html>). Briefly, reads were aligned using BWA-MEM with the *-SSP* and *-t 8* options specified and all other options default. SAMBLASTER (80) was used to flag PCR duplicates. Alignments were then filtered with SAMtools (81) using the *-F 2304* filtering flag to remove nonprimary and secondary alignments. Lastly, Hi-C read alignments to the assembly were filtered using Matlock (<https://github.com/phasegenomics/matlock>) with default options (removing all alignments with MAPQ of <20, edit distance greater than 5, and read duplicates). Metagenome deconvolution was performed with ProxiMeta (82, 83), resulting in the creation of putative genome and genome fragment clusters.

Metagenome-assembled genomes/clusters were assessed for quality using CheckM (84) and assigned preliminary taxonomic classifications with Mash (24) and GTDB-Tk (27) (database release 202). Quality-controlled shotgun reads were additionally classified using Kraken2 (v2.0.8 beta) (28). To identify the plasmids and ARGs present in metagenome-assembled genomes, we used Minimap2 (85) to align assembled metagenome contigs to the PlasmidFinder and ResFinder database. We used a 75% identity threshold for the alignment match. To search the metagenome for ARG and plasmids of interest, we used Geneious Prime to create a BLAST metagenome database. Metagenome contigs with significant homology (E value of <0.05 and at least 1,000 bp of aligned sequence) were considered contigs of the respective plasmid or ARG. Hi-C data were then used to link identified sequences to host genomes and genome fragments within ProxiMeta (23). Phylogenetic visualizations of Hi-C linkages used the placement of clusters in a large prokaryotic phylogeny as estimated by CheckM (84) and Mash (24). Shotgun and Hi-C reads are publicly available under NCBI accession number [PRJNA688069](#).

**Isolation of *E. coli* from ceca of broiler chicks.** To isolate *E. coli*, we retrospectively screened two cecal slurry samples from chicks challenged intracloacally on CHROMagar plates supplemented with gentamicin (8 ppm) and tetracycline (8 ppm). Frozen vials of cecal contents were thawed on ice and vortexed. For each sample, a 10- $\mu$ l aliquot was struck for isolation, and a 100- $\mu$ l aliquot was spread plated onto CHROMagar with relevant antibiotics. Plates were incubated overnight at 37°C. Blue-green and blue-cream colonies were counted as presumptive *E. coli*, and colonies were streaked again for isolation on CHROMagar supplemented with gentamicin and tetracycline. After incubation, 2 to 3 colonies from each sample were selected to represent different forms of blue-green/blue-cream colonies, streaked in parallel onto sheep blood agar (SBA) and eosin methylene blue (EMB) (Remel Inc., KS, USA), and incubated overnight. Growth on EMB was the characteristic green metallic sheen after incubation. Colonies from SBA were stored in 30% LB glycerol at -80°C and were used for AST and WGS.

**Bioinformatic tools used for visualization of high-throughput sequence data.** The gene presence/absence heatmap, with gene presence/absence data obtained from Roary, was generated using the *pheatmap* v1.0.12, *tidyverse* v1.3.0, and *viridis* v0.5.1 packages in R v4.0.2. BLAST Ring Image Generator (86) was used for genome comparison visualization, including GC skew change, and Phandango (87) was used for visualizing phylogenetic trees with their associated metadata. PHYLOVIZ 2.0 (88) was used to generate a minimum spanning tree of *S. Heidelberg* isolates from core genome information obtained through SISTR (i.e., *wgMLST\_330:complete-alleles*, *wgMLST\_330:missing-alleles*, *wgMLST\_330:partial-alleles*, *closest-public-genome-alleles-matching*, and *cgMLST\_cluster\_level*). SnapGene was used for drawing linear maps of the plasmid, prophages, and other regions of interest in bacterial genomes. Geneious Prime and SnapGene were used to view MAFFT alignments of DNA and amino acid sequences.

**Determining *S. Heidelberg* metabolism under selective pressure.** To determine if exposure to metals, disinfectants, or acidic pH poses a selection on *S. Heidelberg* strains carrying Inc11 plasmids, we used 96-well phenotype microarray (PM) MicroPlates (PM10, PM12B, PM13B, PM15B, and PM16A) (Biolog, Inc., Hayward CA) to compare the metabolic profile of one gentamicin-resistant strain harboring Inc11 and one susceptible strain. PM plates use cell respiration via NADH production to determine cell metabolic activity. If the phenotype is positive in a well, the cells respire actively, reducing a tetrazolium dye and forming a strong color (Biolog, Inc.). Briefly, *S. Heidelberg* strains were subcultured twice in universal growth agar (Biolog Universal Growth Medium + 5% sheep blood) for 24 h at 37°C. Cells were removed with a sterile swab and transferred to 16 ml inoculating fluid 0 (IF-0) to achieve a final turbidimetric transmission of 42% transmittance (42%T). Afterward, 15 ml of the 42%T cell suspension was transferred to 75 ml of IF-0+ dye to achieve a final transmission of 85%T before 600  $\mu$ l of the cell suspension was transferred to 120 ml IF-10+ dye. The suspension was mixed, and each well in a microplate was inoculated with 100  $\mu$ l of the suspension. After inoculation, microplates were covered with a sterile plastic film and monitored automatically for color development every 15 min for 48 h at 37°C using an OmniLog reader for 48 h. To identify phenotypes, the kinetic curves of the gentamicin-resistant and

-susceptible strains were compared using OmniLog PM software. For each PM plate, the respiratory unit for each well at 22 h was extracted and  $\log_{10}$  transformed. All supplies used were purchased from Biolog, Inc.

**Exposing *S. Heidelberg* to acidic pH.** To determine the fitness of evolved *S. Heidelberg* strains that acquired antibiotic resistance compared with that of evolved susceptible strains after exposure to acidic pH, we exposed gentamicin-resistant ( $n = 3$ ) and -susceptible ( $n = 3$ ) strains recovered from the litter of chicks gavaged with *S. Heidelberg* to acidified filter-sterilized pine shaving extract (PSE). PSE was prepared as described for poultry litter extract (47), using fresh pine shavings collected from the University of Georgia, Poultry Research Center, Athens, GA, USA. Three single colonies of each strain, including the ancestral SH-2813nal<sup>R</sup>, were selected from overnight cultures grown on sheep blood agar and transferred to a microcentrifuge tube containing 900  $\mu$ l of PSE (pH 6.52), i.e., one tube per strain.

After transfer, tubes were vortexed, covered with a gas-permeable paper strip, and incubated at 41°C under microaerophilic conditions (5% O<sub>2</sub>, 10% CO<sub>2</sub>, and 85% N<sub>2</sub>) for 2 h. After incubation, tubes were vortexed, and 100  $\mu$ l of the suspension was transferred to a microcentrifuge tube containing 900  $\mu$ l of PSE (pH of PSE was adjusted to 2.54 using 1 M HCl [Spectrum Chemical Mfg. Corp., CA, USA] and 1 M NaOH [Fisher Chemical, NJ, USA]). Afterward, tubes were vortexed and incubation was continued for another 2 h as was done for PSE at pH 6.5. To determine the *S. Heidelberg* population in PSE, one replicate tube per strain was removed and serially diluted in 1  $\times$  PBS at time points 0 and 2 h for pH 6.5 and 0.5 and 1 and 2 h for pH 2.5. Serial dilutions were plated onto BGS agar plates supplemented with or without 16 ppm gentamicin. *S. Heidelberg* colonies were counted 18 to 24 h after incubation using a calibrated automated colony counter.

The fitness of each evolved *S. Heidelberg* population relative to the SH-2813nal<sup>R</sup> population was determined after 2 h at pH 2.54 as described by San Millan et al. (89):

$$W_{\text{strain}} = \frac{\log_e \frac{N_{\text{final,evol}}}{N_{\text{initial,evol}}}}{\log_e \frac{N_{\text{final,anc}}}{N_{\text{initial,anc}}}}$$

where  $W_{\text{strain}}$  is the fitness of the evolved susceptible (total susceptible) or gentamicin-resistant populations (total resistant and gen<sup>R</sup>);  $N_{\text{initial,evol}}$  and  $N_{\text{final,evol}}$  are the numbers of cells (in CFU) of the evolved susceptible or gentamicin-resistant population at time point 0 and 2 h after exposure to PSE; and  $N_{\text{initial,anc}}$  and  $N_{\text{final,anc}}$  are the numbers of cells of SH-2813nal<sup>R</sup> population at time point 0 and 2 h.

**Statistical analyses.** Continuous variables did not meet the assumption of a normal distribution; therefore, nonparametric testing for direct comparisons was performed using Wilcoxon rank-sum and signed-rank tests, and the Kruskal-Wallis rank-sum test was used for one-way analysis of variance tests. Furthermore, continuous variables were log-transformed before any statistical tests were performed. Statistical analyses were performed using R (v3.4.1).

**Ethics statement.** All animal experiments were approved by the University of Georgia Office of Animal Care and Use under Animal Use Protocol A2017 04-028-A2.

**Data availability.** All raw FASTQ reads, including short and long reads for sequenced bacterial genomes, are publicly available under NCBI accession numbers PRJNA683658, PRJNA684578, and PRJNA684580. Shotgun and Hi-C reads are publicly available under NCBI accession number PRJNA688069 and 16S rRNA gene sequences under NCBI accession number PRJNA669215. The whole-genome assemblies for *S. Heidelberg* strains SH-2813-ancestor and ic9b harboring p1ST26 have been made available under NCBI GenBank accession number CP066851 and DDBJ/ENA/GenBank JAEMHU000000000, respectively. The whole-genome assemblies for *E. coli* strain Ec-FL1-1X and Ec-FL1-2X carrying IncI1 are available under GenBank accession numbers CP066836 and JAFEXR000000000, respectively. Variant call format (VCF) files of identified SNPs/indels and the Linux/Unix shell script used have been deposited in Dryad Digital Repository online at <https://doi.org/10.5061/dryad.4tmgp4f8d>.

## SUPPLEMENTAL MATERIAL

Supplemental material is available online only.

**FIG S1**, TIF file, 0.1 MB.

**FIG S2**, TIF file, 0.5 MB.

**FIG S3**, TIF file, 0.2 MB.

**FIG S4**, TIF file, 0.8 MB.

**FIG S5**, TIF file, 1.3 MB.

**FIG S6**, TIF file, 1.5 MB.

**TABLE S1**, DOCX file, 0.01 MB.

**TABLE S2**, DOCX file, 0.01 MB.

**TABLE S3**, DOCX file, 0.01 MB.

**TABLE S4**, DOCX file, 0.01 MB.

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M.O.P. was an employee of Phase Genomics during the performance of this work.

A.O., Z.A., K.C., and N.A.C. designed the study. A.O., G.Z., D.E.C., and C.R. performed live-broiler chicken studies. A.O., J.P.L., G.Z., S.H., and D.C. performed bacteriological analyses, antibiotic susceptibility testing, and DNA extraction. J.P.L., G.Z., and A.O. performed Illumina whole-genome sequencing. A.O. performed cecal shotgun and Hi-C library preparation. T.L. made 16S rRNA gene libraries and sequencing. B.Z. performed 16S rRNA bacterial community analysis and interpretation. K.I., A.H., and A.O. performed phenotype microarray analyses with the help of J.G. and E.L. A.O., Z.A., M.O.P., J.C.T., S.M.L., R.W., J.P.L., D.C., and L.L. performed bioinformatic analyses and data curation. K.H. and A.O. performed *Salmonella* Heidelberg fitness testing and analysis. A.O., J.P.L., and D.C. isolated multidrug-resistant *E. coli* donors from cecal contents with help from M.J.R. A.O., B.Z., and M.E.B. performed statistical analyses. A.O., Z.A., N.A.C., B.Z., R.W., M.O.P., S.M.L., K.I., G.Z., J.P.L., K.H., and L.L. drafted the manuscript, which was reviewed and edited by all authors. A.O., Z.A., S.E.A., L.C., and M.W. supervised the study.

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