

## COMMUNICATION

### 3-ethyl-6-vinyltetrahydro-2H-pyran-2-one (EVP): A Versatile CO<sub>2</sub>-Derived Lactone Platform for Polymer Synthesis

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Accepted 00th January 20xx

DOI: 10.1039/x0xx00000x

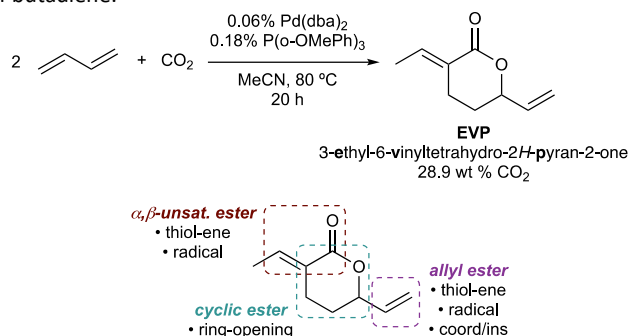
**3-ethyl-6-vinyltetrahydro-2H-pyran-2-one (EVP)** is a CO<sub>2</sub>-derived lactone synthesized via Pd-catalyzed telomerization of butadiene. As EVP is 28.9% by weight CO<sub>2</sub>, it has received significant recent attention as an intermediary for the synthesis of high CO<sub>2</sub>-content polymers. This article provides an overview of strategies for the polymerization of EVP to a wide variety of polymer structures, ranging from radical polymerizations to ring-opening polymerizations, that each take unique advantage of the highly functionalized lactone.

As societal plastic production and use continues to increase at an enormous pace, there is an imperative need to develop sustainable materials that leverage waste products or renewable resources.<sup>1–4</sup> Given their scale of production, it is also critical that new materials are potentially cost-competitive with current petroleum-based plastics. In this regard, the polymerization of CO<sub>2</sub> and alkenes is an important target in the context of a circular carbon economy:<sup>5</sup> CO<sub>2</sub> is a waste product of the energy sector, and alkenes are inexpensive and abundant. However, the direct incorporation of CO<sub>2</sub> into polymers derived from commodity alkenes to create aliphatic polyesters has been a longstanding challenge in the field, precluded in part by the thermodynamic stability of CO<sub>2</sub>.<sup>6</sup>

In the last eight years, the lactone 3-ethyl-6-vinyltetrahydro-2H-pyran-2-one (EVP)<sup>†</sup> has emerged as an intermediary that can bypass many of the challenges associated with the direct polymerization of CO<sub>2</sub> and alkenes. EVP is synthesized *via* the telomerization of butadiene with CO<sub>2</sub>, a reaction which was discovered by Inoue<sup>7</sup> and Musco<sup>8</sup> in the 1970s (Figure 1, top). Butadiene is an inexpensive commodity chemical produced on an annual scale around 16 million tons (as of 2018),<sup>9</sup> making it an ideal target cofeed for applications in cost-competitive CO<sub>2</sub>-based materials.<sup>10,11</sup> Further, butadiene can be synthesized from bio-based ethanol or butanediol,<sup>12,13</sup> improving the longer-term sustainability profile of EVP-based materials.<sup>4</sup>

Since its discovery, the catalytic synthesis of EVP was heavily studied by Behr and Braunstein,<sup>14</sup> and even more recently Beller,<sup>15</sup> Bayón,<sup>16</sup> and Bao<sup>17,18</sup> have reported improved catalyst systems which have significantly increased the yield and selectivity of the reaction.

EVP can also be synthesized on a mini-plant scale, suggesting that the telomerization could be scaled up even further for wider industrial use, especially considering the scale of current industrial production of butadiene.<sup>10,11</sup>



**Figure 1.** Top: Pd-catalyzed synthesis of EVP via butadiene/CO<sub>2</sub> telomerization. Bottom: functional group map of EVP describing polymerization strategies that have leveraged each group.

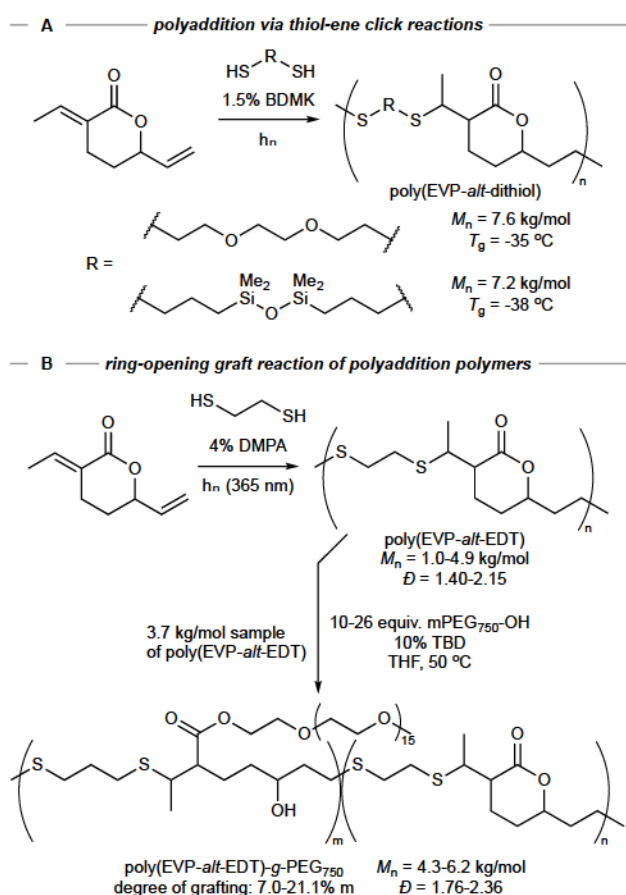
There are several features of EVP that make it an attractive target for polymerization, in addition to its inherently high CO<sub>2</sub> content (Figure 1, bottom). EVP is a cyclic ester, which can often be used in ring-opening polymerization (ROP);<sup>19</sup> it contains an α,β-unsaturated ester, which can undergo radical polymerization; and finally, it is multifunctional (2 alkenes, 1 ester), which could be used for polyaddition reactions or post-polymerization modifications. Despite these attractive features, there are also several aspects of EVP that make it a challenging polymerization target. For example, multisubstituted α,β-unsaturated esters are often unreactive in radical polymerizations due to steric hindrance.<sup>20,21</sup> Additionally, there are significant entropic penalties associated with the ring-opening of substituted lactones, which could render ring-opening polymerization of a disubstituted lactone such as EVP thermodynamically unfavorable.<sup>22,23</sup> Finally, the α,β-unsaturation of the lactone could further render EVP susceptible to 1,4 Michael-type addition by nucleophiles rather than ester attack that would be needed for ring-opening polymerization. In fact, several reports detailed that EVP polymerization did not proceed with standard cationic, radical, or anionic initiators.<sup>24–27</sup>

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Although the first report of **EVP** copolymerization was reported in 1998,<sup>24</sup> the field was underdeveloped until the mid-to-late 2010s. New development has been spurred by a reassessment of the thermodynamic and kinetic viability of **EVP** in homo- and copolymerizations,<sup>28</sup> as well as increased motivation for incorporating CO<sub>2</sub> into sustainable and/or biodegradable materials.<sup>29,30</sup> In this review, emerging strategies for **EVP** polymerization (polyaddition, radical, coordination/insertion, and ring-opening polymerization) will be highlighted.

#### Polyaddition of **EVP** via thiol-ene reactions

The first examples of **EVP** polymerization were polyaddition-type thiol-ene reactions that could take advantage of the reactivity of both double bonds in **EVP** (Figure 2). The first instance of **EVP** polyaddition copolymerization was reported by Dinjus in 1998, where successive thiol-ene click reactions of dithiols (2,2'-(ethylenedioxy)diethanethiol or 1,3-bis(3-meraptopropyl)-1,1,3,3-tetramethyldisiloxane) with both the allylic alkene and the  $\alpha,\beta$ -unsaturated ester in **EVP** yielded moderate molar mass poly(**EVP-*alt*-dithiol**) polymers that maintained the cyclic lactone in the polymer backbone (Figure 2A). Initial reactivity studies with octylthiol revealed that the allylic alkene and the  $\alpha,\beta$ -unsaturated ester of **EVP** each had similar rates of reactivity toward the addition of thiol radicals, which allowed for the productive AA+BB-like step growth polymerization. Although the lactone ring structure was maintained within the chain, the polymers displayed low glass transition temperatures ( $T_g = -38$  °C to  $-35$  °C).



**Figure 2.** A: Initial examples of polyaddition of **EVP** via thiol-ene click reactions with various dithiol linkers. BDMK = benzildimethylketal. B: Post-polymerization grafting of polyethers to poly(**EVP-*alt*-EDT**) via TBD-catalyzed ring-opening. DMPA = 2,2-dimethoxy-2-phenylacetophenone. TBD = 1,5,7-triazabicyclo[4.4.0]decene.

In a recent report, Ni and Ling<sup>31</sup> demonstrated that poly(**EVP-*alt*-EDT**) (**EDT** = ethylene dithiol) polymers synthesized *via* thiol-ene polyaddition could undergo post-polymerization modification through a ring-opening graft reaction with methoxy polyethylene glycol (mPEG-OH) (Figure 2B). In this instance, the ring-opening of the lactone was enabled by the loss of the  $\alpha,\beta$ -unsaturation of the ester during the polymerization reaction, which makes ring-opening more facile.

Molar masses of the initial poly(**EVP-*alt*-EDT**) polyaddition polymers were modest ( $M_n$  up to 3.9 kg/mol). In this instance, an *in situ* NMR spectroscopic study revealed that the isolated double bond of the allylic ester moiety underwent thiol-ene reaction at about twice the rate of the  $\alpha,\beta$ -unsaturated ester. This rate imbalance likely impedes molar mass growth of the step growth polymerization and also results in polymers whose end groups are primarily  $\alpha,\beta$ -unsaturated esters.

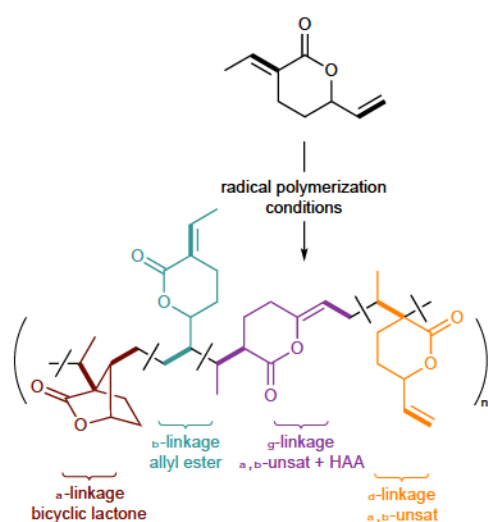
Conveniently, the polyaddition/graft sequence could be carried out in a 1-pot, 2-step manner to directly generate amphiphilic graft copolymers. The glass transition temperature of the initial poly(**EVP-*alt*-EDT**) polymer was 24.5 °C, and after grafting with poly(ethylene glycol) methyl ether ( $M_n = 750$  kg/mol) the  $T_g$  decreased to  $-37.1$  °C. The resulting amphiphilic polymers could self-assemble into micelles with an average diameter of 98 nm.

Network polymers of **EVP** were also synthesized through thiol-ene reactions with pentaerythritol-tetrakis(3-mercaptopropionate).<sup>24</sup> The resulting network polymers were then used to make films of varying elasticity that displayed swelling behavior.

Additionally, photoinitiated crosslinking of **EVP** with tri(ethylene glycol)dithiol (TEGDT) and/or trimethylolpropane tris(3-mercaptopropionate) (TMPT) forms networks with variable thermal and tensile properties depending on the loadings of each crosslinker.<sup>32</sup> Higher TMPT content compared to TEGDT increases the  $T_g$  (up to 20 °C), decomposition temperature (up to 333 °C), Young's modulus (up to 9.6 MPa), and tensile strength (up to 6.18 MPa), and decreases the strain at break (down to 1.39 mm/mm). The 50:50 TEGDT:TMPT network was further complexed with various metal ions, Cu<sup>2+</sup>, Ni<sup>2+</sup>, Fe<sup>3+</sup>, and Co<sup>2+</sup>, increasing the  $T_g$  from 3.4 °C to 22.9 °C, and increasing the tensile strength from 2.1 MPa up to 3.3 MPa. These networks displayed fluorescence under UV light and could be used for photopatterning.

#### Radical (co)polymerization of **EVP** and **EVP** derivatives

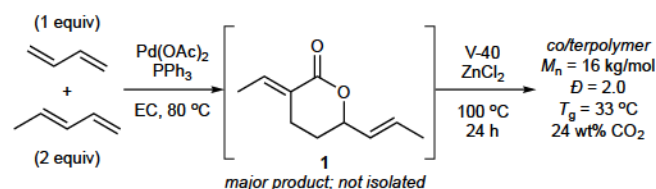
The first successful homopolymerization of **EVP** was demonstrated in a landmark report in 2014 by Nozaki *via* radical polymerization (Figure 3).<sup>33</sup> Initiation of the polymerization with the azo radical initiator V-40 (V-40 = 1,1'-azobis(cyclohexane-1-carbonitrile)) resulted in radical addition on the allylic ester of **EVP** followed by cyclization across the lactone, resulting in a polymer ( $M_n = 5.7$  kg/mol,  $D = 1.3$ ) containing exclusively a bicyclic lactone repeat unit ( $\alpha$ ). Conditions for reversible deactivation radical polymerization (nitroxide-mediated or atom-transfer radical polymerization) did not initiate polymerization. This bicyclic lactone polymer was later shown to undergo reversible post-polymerization modification through lactone ring-opening by hydrolysis or aminolysis.<sup>34</sup>



**Figure 3.** Radical polymerizations of **EVP** demonstrating that initiation conditions and additives dramatically impact the radical linkage structures and  $M_n$ . EC = ethylene carbonate.

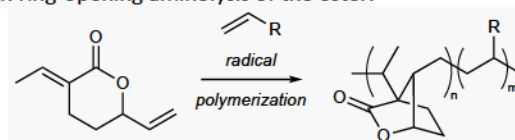
Addition of a Lewis acidic additive ( $ZnCl_2$ ) and solvent (ethylene carbonate) during **EVP** radical polymerization dramatically increased the molar mass of the resulting polymer ( $M_n = 85$  kg/mol,  $\bar{D} = 1.5$ ), but also introduced two additional structures into the polymer backbone ( $\beta$  and  $\gamma$ ) as a consequence of differential radical propagation: allylic ester radical polymerization without cyclization ( $\beta$ ) or tiglate radical formation/intramolecular H atom abstraction (HAA) ( $\gamma$ ). This high molar mass polymer had a high glass transition temperature ( $T_g = 192$  °C) owing to the rigid bicyclic backbone. Lin later established that radical polymerization of **EVP** could be thermally initiated by  $O_2$  in air, reaching  $M_n$  values up to 239 kg/mol with  $T_g$  values of 111–129 °C.<sup>35</sup> Radical polymerization under these conditions produced an additional repeat unit structure ( $\delta$ ) resulting from direct chain propagation from the tiglate unit without cyclization or HAA.

Similar  $CO_2$ /diene co/terpolymers could also be synthesized via a 1-pot, 2-step telomerization/polymerization sequence, which allowed for the incorporation of isoprene or piperylene into the polymer framework (Figure 4). Successful homotelomerizations of isoprene<sup>36</sup> or piperylene with  $CO_2$  have not been reported, so co-telomerizations with butadiene followed by *in situ* polymerization of the resulting lactone products were undertaken. For example, reaction of piperylene in a 2:1 ratio with butadiene predominantly led to a single lactone product **1** from the heterocoupling of 1 piperylene with 1 butadiene, which could then be polymerized analogously to **EVP**.



**Figure 4.** One-pot cotelomerization and subsequent radical polymerization provides a route to incorporate isoprene or piperylene (shown) into  $CO_2$ -based materials.

Radical copolymerizations of **EVP** have also been reported (Figure 5). For example, **EVP** can undergo azobisisobutyronitrile (AIBN)-initiated radical copolymerization with ethylene to yield low molar mass ( $M_n = 1.3$  to 3.8 kg/mol) functionalized polyethylene with 0.53% – 6.8 mol% of incorporated **EVP**.<sup>37</sup> In this radical copolymerization, both the allylic ester and  $\alpha,\beta$ -unsaturated ester moieties were reactive, forming exclusively bicyclic lactone units along the main chain (type  $\alpha$ ). Interestingly, increasing the amount of **EVP** in the reaction decreased the copolymer molar mass, because the stability of the  $\alpha$ -carbonyl radical that is generated upon radical addition to the  $\alpha,\beta$ -unsaturated ester impedes chain growth. As in the case of the **EVP** bicyclic lactone homopolymer<sup>34</sup>, the bicyclic backbone moiety can undergo post-polymerization modification through ring-opening aminolysis of the ester.



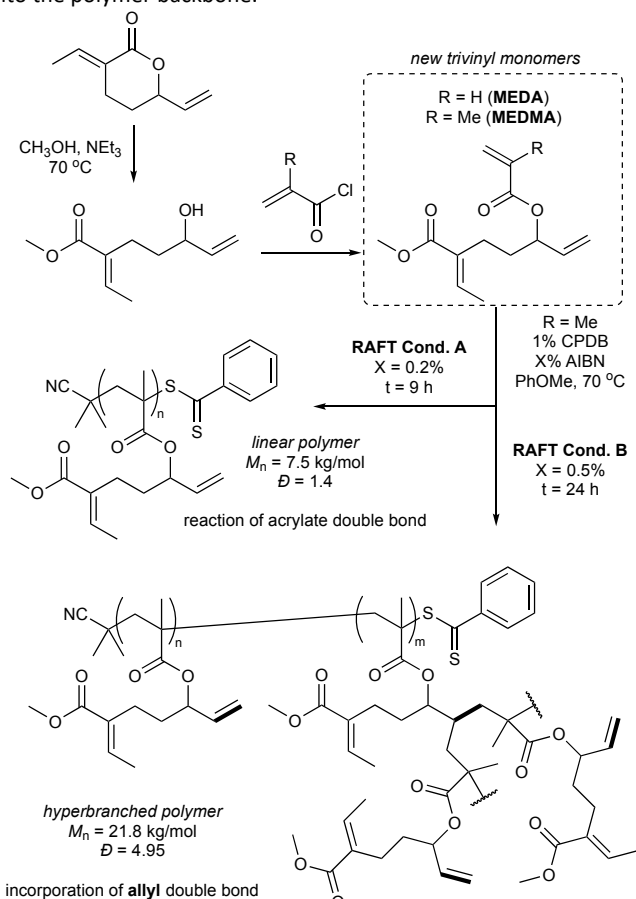
Comonomer (M)	E	MMA	MA	Sty	VAcCl
Initiator	AIBN	V-40 <sup>a</sup>	AIBN	AIBN	V-40
% <b>EVP</b>	0.53–6.8	18	13.7–55	3.5–46	34–90
$r_M/r_{EVP}$	-	920	24	25	0.59
$M_n$ (kg/mol)	1.3–3.8	26.3	20.5–115.5	18.8–20.1	15.9–35.4
$\bar{D}$	1.8–2.7	1.4	1.4–1.9	1.2–1.5	1.2

**Figure 5.** Radical copolymerizations of **EVP**. <sup>a</sup>Slow addition of MMA was performed to keep  $f_{MMA}$  low.

**EVP** also undergoes radical copolymerization with a wide suite of commercial vinyl and  $\alpha$ -methylvinyl monomers (methyl methacrylate, methyl acrylate, styrene, vinyl chloroacetate, and vinyl acetate) to give high molar mass bicyclic lactone copolymers with varying degrees of **EVP** incorporation (13–90 mol% **EVP**) (Figure 5).<sup>38</sup> In most cases **EVP** undergoes slower incorporation into the growing polymer chain compared to the vinyl monomer ( $r_{MMA/EVP} = 920$ ;  $r_{MA/EVP} = 24$ ;  $r_{Sty/EVP} = 25$ ), with the exception of vinyl chloroacetate ( $r_{VAcCl/EVP} = 0.6$ ). The rigid bicyclic lactone units increased the  $T_g$  values

of all of the vinyl copolymers: for example, poly(MMA-co-EVP) ( $M_n = 26.3$  kg/mol, 18 mol% EVP) had a  $T_g$  of 126 °C, greater than atactic poly(MMA) ( $T_g = 105$  °C).

In order to overcome the poor reactivity of EVP in radical polymerizations, Ni synthesized a methacrylate-appended EVP derivative, methyl-2-ethylidene-5-hydroxyhept-6-enoate methacrylate (**MEDMA**), through a 2-step methanolysis/acylation sequence (Figure 6).<sup>39</sup> Although **MEDMA** is a trivinyl monomer, reversible addition-fragmentation chain-transfer (RAFT) polymerization of **MEDMA** mediated by 2-cyanoprop-2-yl-dithiobenzoate (CPDB) yielded linear polymers that reacted solely through the methacrylate alkene (Figure 6, RAFT Cond. A). These linear-selective polymerizations provided precision control over molar mass with comparatively low dispersities. Interestingly, extended reaction times resulted in extremely high molar mass hyperbranched polymers ( $M_w > 100$  kg/mol) resulting from reactivity from the EVP moiety of **MEDMA** (Figure 6, RAFT Cond. B). Model copolymerizations of MMA with *cis*-3-hexenyl tiglate (no incorporation) and allyl phenyl ether (some incorporation) led to the conclusion that hyperbranching in the **MEDMA** polymerizations is primarily a result of incorporation of the allyl ester group from EVP into the polymer backbone.

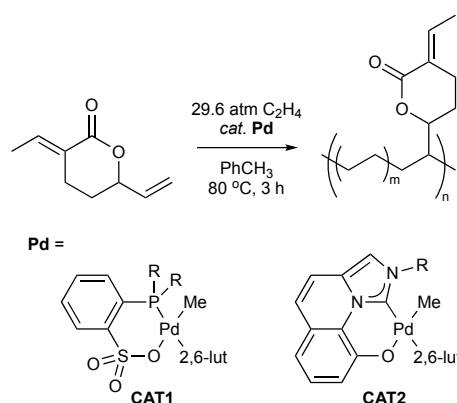


**Figure 6.** Synthesis of new trivinyl monomers **MEDA/MEDMA** and RAFT polymerization of **MEDMA** leading to either linear or hyperbranched polymers.

#### Coordination/insertion (co)polymerization of EVP and EVP derivatives

The allyl ester of EVP can be considered a functionalized  $\alpha$ -olefin and thus could be copolymerized via coordination/insertion

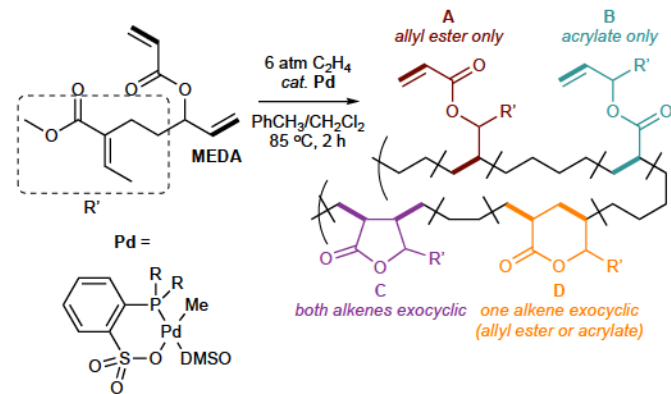
polymerization, although this type of copolymerization is often difficult with polar functional alkenes.<sup>40,41</sup> Nonetheless, Nozaki has demonstrated that EVP can undergo coordination/insertion copolymerization with ethylene catalyzed by Pd complexes, yielding lactone-appended polyethylenes.<sup>37</sup> In these instances, the coordination/insertion polymerization of EVP occurs solely through the allyl moiety, providing an orthogonal/complementary reactivity pattern and resultant microstructure compared to the radical copolymerizations of EVP and ethylene (*vide supra*). Pd complexes of both phosphine-sulfonate and carbene-phenolate ligands were active for the copolymerizations. In general, the carbene-phenolate catalysts provided higher incorporation ratios (0.47–3.7% vs. 0.04–0.32%) compared to the phosphine-sulfonate catalysts, albeit with lower activity (0.6–9.5 g•mmol<sup>-1</sup>•h<sup>-1</sup> vs. 13–48 g•mmol<sup>-1</sup>•h<sup>-1</sup>). The resultant lactone-appended polyethylenes could also undergo post-polymerization functionalization via Michael addition of nitromethane to the  $\alpha,\beta$ -unsaturated ester.



CAT =	R =	Activity (kg/mol/h)	$M_n$ (kg/mol)	$\bar{D}$	% EVP
CAT1	Men	17	25.3	2.9	0.4
CAT1	Cy	48	8.7	2.5	0.12
CAT1	<i>i</i> Pr	18	6.5	2.7	0.14
CAT1	2-OMe-C <sub>6</sub> H <sub>4</sub>	13	6.4	3.1	0.32
CAT2	Mes	0.6	0.7	4.7	3.7
CAT2	Dip	2.4	6.0	2.9	2.0

**Figure 7.** Pd-catalyzed coordination/insertion copolymerization of ethylene with EVP.

Ni also reported coordination/insertion copolymerization of an EVP-acrylate derivative **MEDA** (methyl-2-ethylidene-5-hydroxyhept-6-enoate acrylate, see Figure 6 for synthesis) with ethylene, which resulted in complex microstructures owing to the multiple reactive alkenes in **MEDA** (Figure 8).<sup>42</sup> In these copolymerizations, Brookhart-type  $\alpha$ -diimine Pd complexes were unable to incorporate **MEDA**. Building off of an example of cyclopolymerization of allyl acrylate,<sup>43</sup> it was discovered that phosphine-sulfonate Pd complexes could provide moderate incorporation (1.1–3.1%) of **MEDA** into polyethylene.



R =	Activity (kg/mol/h)	$M_n$ (kg/mol)	$\bar{D}$	% EVP	A:B:C:D
2-OMe- C <sub>6</sub> H <sub>4</sub>	7	8.8	2	1.3	5:16:71:9
Cy	7.5	10.7	2.1	1.1	9:40:43:9
2,4,6- (OMe) <sub>3</sub> C <sub>6</sub> H <sub>2</sub> / <sup>t</sup> Bu	8	27.5	2.1	0.8	9:40:44:6

**Figure 8.** Copolymerization of the acrylate-type monomer **MEDA** with ethylene.

Microstructure analysis of the **MEDA**-incorporated polyethylenes revealed predominantly backbone cyclic lactones (C, D) with pendent  $\alpha,\beta$ -unsaturated esters (40–70% of the **MEDA** units) that resulted from acrylate/allyl insertion/cyclization. Additionally, the polymers contained pendent acrylate side chains (A) resulting from allyl insertion (up to 11% of the **MEDA** units) and pendent allyl side chains (B) from acrylate insertion (up to 45%). No instances of  $\alpha,\beta$ -unsaturated ester incorporation were detected. Conveniently, the ratios of the microstructures can be tuned by varying the steric bulk of the phosphine-sulfonate ligand of the catalyst.

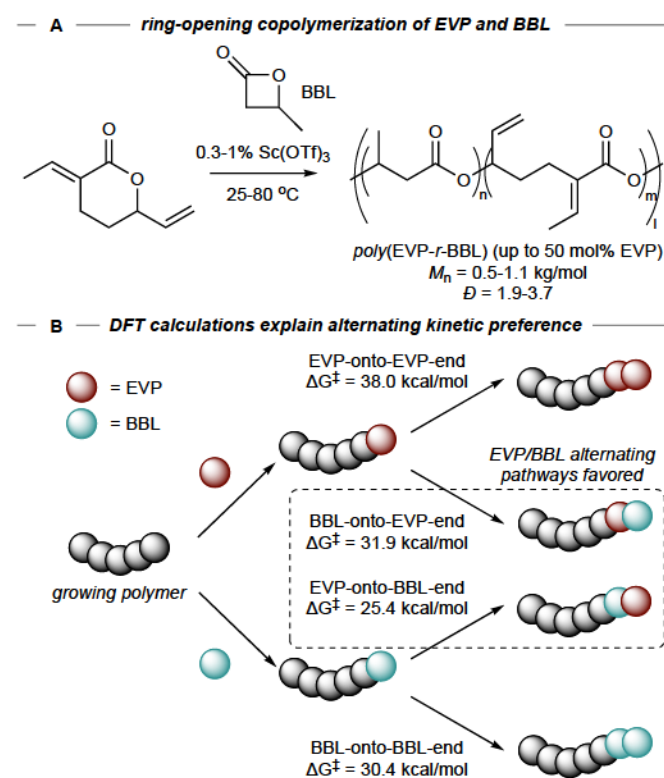
#### Ring-opening (co)polymerization of **EVP** and **EVP** derivatives

There remain no reports of ring-opening homopolymerization of **EVP** to a polyester, though there are several accounts that detail failed **EVP** ring-opening polymerization.<sup>24,25,27</sup> However, substantial progress in the last year has helped to better map the chemical landscape and challenges of **EVP** ring-opening polymerizations.

The first example of a ring-opening copolymerization with **EVP** was only reported in 2021, when Ni disclosed a  $\text{Sc}(\text{OTf})_3$ -initiated cationic copolymerization of  $\beta$ -butyrolactone (BBL) with **EVP** (Figure 9).<sup>27</sup> The resulting poly(BBL-*r*-**EVP**) had low molar masses ( $M_n = 0.5$ – $1.1$  kg/mol) and large dispersities ( $\bar{D} = 1.9$ – $3.7$ ), owing to unavoidable chain transfer of the cationic chain ends. Nonetheless, this method allows for up to 50 mol% **EVP** incorporation into the copolymer.

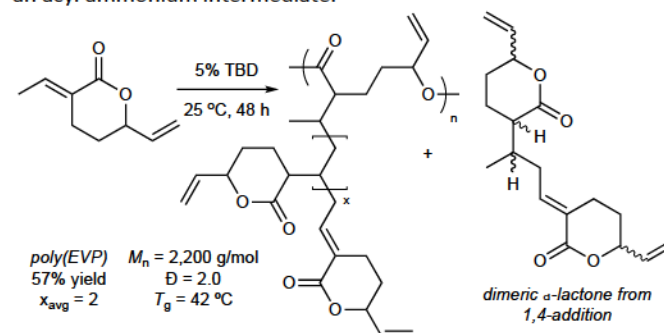
Mass spectrometric analysis of the BBL/**EVP** copolymerization revealed a predominantly alternating character to the copolymerization, where there were virtually no **EVP** diads present. DFT calculations demonstrated that homopropagations of either **EVP** or BBL were not kinetically favored, as the BBL-onto-**EVP**-end (31.9 kcal/mol) and **EVP**-onto-BBL-end (25.4 kcal/mol) processes had lower  $\Delta G^\ddagger$  values than **EVP**-onto-**EVP**-end (38.0 kcal/mol) or BBL-onto-BBL-end (30.4 kcal/mol). The reactivity ratios were determined

to be 0.01 for **EVP** and 0.27 for BBL, highlighting the significant difficulty of **EVP** ring-opening homopolymerization.



**Figure 9.** A: Ring-opening copolymerization of **EVP** with  $\beta$ -butyrolactone (BBL) using  $\text{Sc}(\text{OTf})_3$ . B: Gas-phase DFT calculations (M06-2X/6-31+G(d,p)) of the copolymerization demonstrate a preference for alternating behavior because of lower kinetic barriers to alternating ROP transition states.

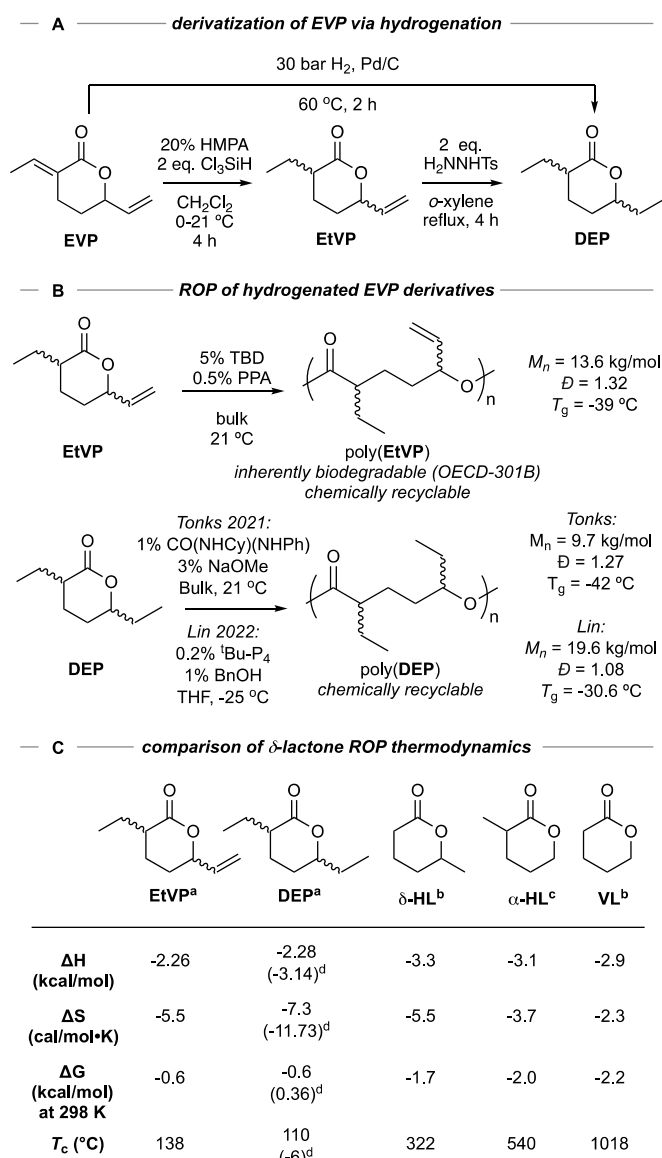
Eagan<sup>44</sup> demonstrated that treatment of **EVP** with TBD/benzyl alcohol (TBD = 1,5,7-triazabicyclo[4.4.0]dec-5-ene) results in a high viscosity material with a <sup>1</sup>H NMR spectrum inconsistent with Ni's ring-opened<sup>27</sup> **EVP** microstructure. Detailed analysis revealed that **EVP** undergoes dimerization through vinylogous 1,4-Michael addition, and the resulting Michael product can undergo concurrent ring-opening polymerization (Figure 10). Because 1,4-Michael addition and ROP compete, low molar mass poly(**EVP**) brush-like polymers are generated where the average sidechain includes 2 **EVP** subunits that have undergone conjugate addition ( $x$ -avg = 2). MALDI-TOF (matrix-assisted laser desorption/ionization time-of-flight) mass spectrometry of the poly(**EVP**) samples shows TBD end groups, indicating that TBD is both catalyst and initiator, propagating through an acyl ammonium intermediate.



**Figure 10.** TBD-catalyzed polymerization of **EVP** results in a material produced from vinyllogous conjugate addition and subsequent ring-opening polymerization.

The propensity toward ring-opening of **EVP** analogues that do not have the  $\alpha,\beta$ -unsaturated ester (as demonstrated in post-polymerization modifications reported by Nozaki<sup>34</sup> and the concurrent 1,4-addition polymerization reported by Eagan<sup>44</sup>) indicate that it may be feasible to polymerize saturated **EVP** derivatives. In fact, two recent reports have demonstrated that the hydrogenated **EVP** derivatives 3-ethyl-6-vinyltetrahydro-2H-pyran-2-one (**EtVP**) and 3,6-diethyl-tetrahydro-2H-pyran-2-one (**DEP**) are viable monomers for ring-opening polymerization to polyesters. Both **EtVP** and **DEP** can be synthesized via high-yielding and simple reduction protocols (Figure 11A).<sup>45,46</sup>

In the first example of ring-opening homopolymerization of an **EVP** derivative, we reported the polymerization of **EtVP** under neat conditions using the bifunctional base TBD<sup>47</sup> as a catalyst along with phenylpropanol (PPA) initiator (Figure 11B).<sup>48</sup> The resulting polymer had high molar mass and low dispersity ( $M_n = 13.6$  kg/mol,  $\mathcal{D} = 1.32$ ), and a low glass transition temperature ( $-39$  °C) in line with other polyesters derived from substituted valerolactones.<sup>22</sup> TBD is a much more effective catalyst for **EtVP** ROP ( $k_{\text{obs}} = 1.44$  M/h) than diphenylphosphate ( $k_{\text{obs}} = 0.029$  M/h), which is commonly used for ROP of other 6-membered lactones. Interestingly, TBD was not an effective catalyst for **DEP** ROP (46% conversion in 3 d); however, a NaOMe/1-cyclohexyl-3-phenylurea catalyst system polymerized **DEP** to high conversion (70%) with good molar mass and low dispersity ( $M_n = 9.7$  kg/mol,  $\mathcal{D} = 1.27$ ).



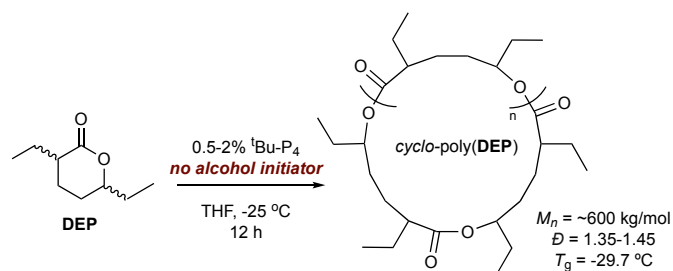
**Figure 11.** Ring-opening polymerization of reduced **EVP** derivatives. A: Selective hydrogenation of **EVP** to **EtVP** and exhaustive hydrogenation to **DEP**. B: First demonstrations of the ROP of **EtVP** and **DEP**. C: Thermodynamic parameters for the polymerization of **EtVP** and **DEP** compared to other  $\delta$ -lactones. Data obtained from <sup>a</sup>ref. 48; <sup>b</sup>ref. 23; <sup>c</sup>ref. 22; <sup>d</sup>ref. 49.

van 't Hoff analysis of the **EtVP** and **DEP** polymerizations revealed that each were only slightly exergonic at room temperature (**EtVP**:  $\Delta G = -0.6$  kcal/mol; **DEP**:  $\Delta G = -0.6$  kcal/mol) and as a result have reasonably low ceiling temperatures ( $T_c = 138$  °C for **EtVP**; 110 °C for **DEP**) (Figure 11C). As a result, poly(**EtVP**) can be chemically recycled by catalytic depolymerization and distillation at elevated temperature. Further, poly(**EtVP**) was shown to be biodegradable in wastewater (OECD-301B protocol). Several post-polymerization modifications of poly(**EtVP**) were also demonstrated, taking advantage of simple thiol-ene click reactions with the side chain vinyl group.

Shortly after this initial report, Lin reported a phosphazene base, <sup>t</sup>Bu-P<sub>4</sub> (1-tert-butyl-4,4,4-tris(dimethylamino)-2,2-bis[tris(dimethylamino)phosphoranylide-namino]-2 $\lambda^5$ ,4 $\lambda^5$ -catenadi(phosphazene)), that was highly active for ROP of **DEP** to

poly(DEP).<sup>49</sup> More typical catalysts for ROP were initially employed, but either exhibited no reactivity (tin(II)2-ethylhexanoate, dibutyltin dilaurate, or diphenylphosphate) or underwent very slow polymerization with moderate conversion (TBD). The authors speculated that increasing the basicity may increase the reactivity, leading to the exploration of phosphazene bases and the discovery of the highly active <sup>t</sup>Bu-P<sub>4</sub> catalyst (pK<sub>a</sub> = 42.7). Polymerization of DEP with <sup>t</sup>Bu-P<sub>4</sub>/benzyl alcohol led to high molar mass polyester with low dispersity ( $M_n = 19.6$  kg/mol,  $\mathcal{D} = 1.08$ ). Initial mechanistic studies indicate an anionic polymerization mechanism. Notably, carboxylates are indicated as potentially dormant species that could be generated from adventitious water, which may explain why polymerizations of EtVP<sup>48</sup> and DEP are quite water sensitive, and also why previous attempts at DEP ROP had failed.

Interestingly, polymerizations with <sup>t</sup>Bu-P<sub>4</sub> catalyst in the absence of initiator resulted in the formation of ultrahigh molar mass cyclic polymer ( $M_n = 543$ -614 kg/mol,  $\mathcal{D} = 1.35$ -1.45). The high molar mass cyclic poly(DEP) polymers showed pressure-sensitive adhesive properties comparable to commercial tapes from 3M<sup>®</sup> (peel strength = 0.015-0.038 N/m). The high molar mass cyclic poly(DEP) polymers can also be chemically recycled back to DEP with high mass recovery (up to 100%) through depolymerization with ZnCl<sub>2</sub> or (La[N(SiMe<sub>3</sub>)<sub>2</sub>]<sub>3</sub>) at elevated temperature.



**Figure 12.** Ultrahigh molar mass cyclo-poly(DEP) can be synthesized using <sup>t</sup>Bu-P<sub>4</sub> at -25 °C without the addition of an initiator.

### Outlook

Recent years have demonstrated the promise of **EVP** as an intermediary for the incorporation of CO<sub>2</sub> into polymers, and strategies that leverage every functional group of **EVP** have yielded access to materials with diverse properties and degradation potential. Remarkably, **EVP** contains functional groups that on the surface appear challenging to polymerize (substituted  $\alpha,\beta$ -unsaturated ester or the highly substituted lactone ring), yet creative applications of synthesis and catalysis are unlocking its potential across many different polymerization methods and materials classes. Given the density of functionality of **EVP** there remain significant opportunities to develop new polymerization strategies, and also to further implement catalysis to improve the nascent reports herein. Overall, the work presented here establishes a strong foundation for the synthesis of materials with high CO<sub>2</sub> content that, until recently, were thought to be inaccessible.

### Conflicts of Interest

There are no conflicts to declare.

### Acknowledgements

The funding for this work was provided by the NSF Center for Sustainable Polymers (CHE-1901635)

### Author Contributions

I.A.T. and R.M.R. contributed equally to this article.

### Notes and references

† There is some discrepancy in naming this lactone: EVL and EVP are both common in the literature. Here, we prefer EVP because P (pyranone) is more descriptive of the monomer structure than L (lactone).

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