



A two-sex model of human papillomavirus infection: Vaccination strategies and a case study

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ARTICLE INFO

Article history:

Received 26 April 2021

Revised 10 August 2021

Accepted 3 January 2022

Available online 8 January 2022

Keywords:

HPV

Two-sex

Deterministic model

Vaccination strategy

Sexually transmitted diseases

ABSTRACT

Vaccination is effective in preventing human papillomavirus (HPV) infection. It still remains debatable whether males should be included in a vaccination program and unclear how to allocate the vaccine in genders to achieve the maximum benefits. In this paper, we use a two-sex model to assess HPV vaccination strategies and use the data from Guangxi Province in China as a case study. Both mathematical analysis and numerical simulations show that the basic reproduction number, an important indicator of the transmission potential of the infection, achieves its minimum when the priority of vaccination is given to the gender with a smaller recruit rate. Given a fixed amount of vaccine, splitting the vaccine evenly usually leads to a larger basic reproduction number and a higher prevalence of infection. Vaccination becomes less effective in reducing the infection once the vaccine amount exceeds the smaller recruit rate of the two genders. In the case study, we estimate the basic reproduction number is 1.0333 for HPV 16/18 in people aged 15–55. The minimal bivalent HPV vaccine needed for the disease prevalence to be below 0.05% is 24050 per year, which should be given to females. However, with this vaccination strategy it would require a very long time and a large amount of vaccine to achieve the goal. In contrast with allocating the same vaccine amount every year, we find that a variable vaccination strategy with more vaccine given in the beginning followed by less vaccine in later years can save time and total vaccine amount. The variable vaccination strategy illustrated in this study can help to better distribute the vaccine to reduce the HPV prevalence. Although this work is for HPV infection and the case study is for a province in China, the model, analysis and conclusions may be applicable to other sexually transmitted diseases in other regions or countries.

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1. Introduction

Human papillomavirus (HPV) is mainly transmitted through sexual contact. There are more than 100 types of HPV, among which at least 14 can cause cancer and are known as high risk types. Almost all sexually active people are infected at some point in their lives and some may be repeatedly infected. HPV infections usually clear up without any intervention within a few months after acquisition, and about 90% will clear within 2 years. However, in some cases, HPV infection can persist and progress to cancer (WHO, 2021). Cervical cancer is the most common HPV-related cancer in women, with an estimated 569,847 new cases and 311,365 deaths in 2018 globally. There is some evidence linking HPV infection with other cancers of vulva, anus, vagina, penis and oropharynx. In the high risk type group, HPV 16/18 cause

70% of cervical cancers and pre-cancerous cervical lesions. In the low risk type family, HPV 6/11 result in 90% of genital warts and most RRP (recurrent respiratory papillomatosis) (WHO, 2021).

Vaccination is an effective way to prevent HPV infection. There are currently 3 prophylactic vaccines available. The bivalent, quadrivalent and 9-valent vaccine protect people from HPV types 16/18, 16/18/6/11 and 16/18/6/11/31/33/45/52/58, respectively. HPV vaccines have been shown to be safe and very effective in preventing HPV infection and its sequelae (Lei et al., 2020; Petrosky et al., 2015). HPV vaccine works more effectively if injected before potential exposure to HPV. Therefore, the World Health Organization (WHO) recommends to vaccinate girls aged 9–14 (WHO, 2021). By October 2019, more than 100 countries have introduced HPV vaccines to their national schedules (WHO, 2019). In many countries, HPV vaccines are only offered to pre-adolescent girls (may also include catch-up programs for older females). An increasing number of countries such as Australia, the US and UK also recommend HPV vaccines to pre-adolescent boys and young

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men, including men who have sex with men (MSM) (Australian Government Department of Health, 2021; Centers for Disease Control and Prevention, 2021; Green, 2018). However, due to the shortage of HPV vaccines, the WHO has called for countries to suspend vaccination of boys in December, 2019 (Arie, 2019). There has been a long-standing debate about offering HPV vaccines to boys. Without vaccinating boys, health benefits brought from vaccine would not be maximized (Stanley, 2012). However, a few transmission dynamic models showed that strong herd effects were expected from girls-only policy, even with coverage as low as 20% (Brisson et al., 2016). Should boys be included in a vaccination program? How to allocate HPV vaccines available in a place to maximize the benefit? These questions need to be further investigated.

During the past two decades, a number of mathematical models have been developed to study the epidemiological and economic consequences of HPV vaccination. Elbasha et al. constructed a dynamic model including both demographic and epidemiologic components to assess the epidemiologic consequences and cost-effectiveness of quadrivalent HPV vaccination strategies (Elbasha et al., 2007). They found that vaccinating girls and women was cost-effective and including men and boys was the most effective strategy. Using a similar model, they showed that the quadrivalent HPV vaccines would be cost-effective when administered to females aged 12–24 years or to both females and males before age 12 with a 12–24 years of age catch-up program (Elbasha et al., 2008). By updating the above two models, Elbasha and his collaborator concluded that expanding the current quadrivalent HPV vaccines to boys and men aged 9–26 could provide tremendous public health benefits and was also cost-effective in the United States (Elbasha and Dasbach, 2010).

The models from Ref. Elbasha et al. (2007), Elbasha et al. (2008), and Elbasha and Dasbach (2010) provided a framework, on which more mathematical model have been developed to study HPV infection and vaccination (Insinga et al., 2007; Mennini et al., 2017; Cody et al., 2021; Majed et al., 2021; Daniels et al., 2021; Peng et al., 2018; Zhong et al., 2021). For example, Insinga et al. found the most effective strategy for quadrivalent HPV vaccines in Mexico was vaccinating 12 years old children plus a temporary 12–24 years old catch-up program covering both sexes (Insinga et al., 2007). Gender-neutral program was also considered to be a cost-effective choice in France and Italy (Majed et al., 2021; Haeussler et al., 2015). On the other hand, there are several studies concluding that girls-only program is more cost-effective (Cody et al., 2021; Kim et al., 2007; Chesson et al., 2011; Kim and Goldie, 2008; Saldaña et al., 2020). For instance, Cody et al. compared different vaccination strategies of 4-valent or 9-valent HPV vaccines in Japan. They found that the most cost-effective strategy was the vaccination program with 9-valent vaccine targeting 12–16 years old girls together with a temporary catch-up program (Cody et al., 2021). Similarly, Kim et al. showed that increasing the coverage in girls was more effective and less costly than including boys in a low-resource setting (Kim et al., 2007). Damm et al. found that the cost-effectiveness of additional vaccination for boys was highly dependent on the coverage in girls (Damm et al., 2017).

Some other papers investigated the impact of HPV vaccines and proper vaccine distributions without considering cost-effectiveness (Gao et al., 2021; Bogaards et al., 2011; Barnabas and Garnett, 2004; Brisson et al., 2011; Barnabas et al., 2006; Azevedo et al., 2019; Horn et al., 2013; Brown and White, 2010; Elbasha, 2006; Elbasha, 2008; Ribassin-Majed et al., 2014; Ziyadi, 2017; Sharomi and Malik, 2017; Zhang et al., 2020; Oame et al., 2018; Malik et al., 2013a; Malik et al., 2013b; Muñoz-Quiles et al., 2021; Acedo et al., 2021). Several of them suggested that girls-only policy was better than including boys, at least in the present situation (Gao et al., 2021; Bogaards et al., 2011;

Barnabas and Garnett, 2004; Barnabas et al., 2006; Brisson et al., 2011). Vaccinating boys was only reasonable if the vaccination coverage for girls was moderate or high (Horn et al., 2013). Brisson et al. found that the benefit of vaccinating boys decreased as the coverage in girls increased (Brisson et al., 2011). However, Azevedo et al. showed that without including men in a vaccination program the disease could only be controlled when more than 90% of women were vaccinated (Azevedo et al., 2019). Muñoz-Quiles et al. constructed a computational network model and found that HPV-related diseases in women would be eliminated within five decades if the vaccine coverage can achieve 75% for both females and males (Muñoz-Quiles et al., 2021). In addition, Bogaards et al. found that giving vaccines to the gender with the highest pre-vaccine prevalence would most reduce the prevalence (Bogaards et al., 2011). Waning immunity was also considered to be important, especially when studying persistent HPV infection and its associated cancer incidence (Brown and White, 2010; Barnabas et al., 2006). The impact of HPV vaccination was also evaluated in the population of MSM (Díez-Domingo et al., 2021; Gao et al., 2021; Muñoz-Quiles et al., 2021; Acedo et al., 2021). Díez-Domingo et al. showed that MSM would not benefit by the herd immunity effect of vaccinating females (Díez-Domingo et al., 2021). In our previous paper (Gao et al., 2021), we found that the heterosexual population gets great benefit but MSM only get minor benefit from vaccinating heterosexual females or males. The priority of vaccination should be given to MSM in order to eliminate HPV infection, especially in places that have already achieved high coverage in females.

Most of the above studies obtained the results on vaccine distribution either from cost-effectiveness analysis or numerical simulations, without providing analytical results. In this paper, we will use a two-sex deterministic model to analytically investigate the vaccine distribution strategy. Using the data from Guangxi Province in China as a case study, we will study what strategies would save time and the total amount of vaccines. Specifically, we will mainly address the following questions: 1. Given a fixed vaccine amount, what is the best way to split the vaccines between the two genders to reduce HPV prevalence? 2. In the case study, what is the threshold of vaccine amount needed to eliminate HPV infection? 3. To reduce HPV prevalence to below a certain threshold, how many years and how many total vaccines are needed under different strategies? How to best allocate these vaccines? To answer these questions, we will formulate and analyze the model in Section 2 and 3, respectively. We conduct a variety of simulations on different vaccine distribution strategies in Section 4. Some discussions of the results follow in Section 5.

2. Model formulation

In this section, we formulate a two-sex deterministic model to study the transmission of HPV infection in a heterosexually active population. The population is divided into two groups, namely, heterosexual females and heterosexual males (for simplicity, we will use females and males below), and subscripts f and m are used to denote them. In each group, the population is divided into 3 classes: susceptible (S_k), vaccinated (V_k) and infected individuals (I_k), where $k \in \{f, m\}$.

In the absence of vaccination, we assume that humans become sexually active and enter the susceptible compartment S_k with the recruitment rate Λ_k . They leave a compartment at a rate μ_k . Susceptible individuals are infected by HPV with the force of infection λ_k . Upon infection, the host moves to the infected compartment I_k . Infected people can clear infection at a rate δ_k . Although natural recovery can provide protection against future infection for many other virus infections, the situation for HPV might be different.

Several studies found that HPV reinfection is common for both females and males, even with the same HPV type (Ranjeva et al., 2017; Trottier et al., 2010). Therefore, in this paper we formulate a deterministic model based on the SIS (susceptible-infected-susceptible) structure, which was also used in some other HPV modeling studies such as Ref. (Ribassin-Majed et al., 2014; Saldaña et al., 2020). We will discuss the potential influence of adopting a different model, e.g. SIR (susceptible-infected-recovered), on our results.

In the model with vaccination, we assume that a fraction (ϕ_k) of susceptibles are vaccinated and vaccine-induced immunity does not wane during the sexually active period. Vaccine offers a degree of protection τ ($0 \leq \tau \leq 1$) regardless of gender. Thus, the probability of a vaccinated person getting infected and moving to the infected compartment I_k is $1 - \tau$. We also assume that all infected individuals, vaccinated or not, can clear infection and become susceptible at a rate δ_k . The model is described by the following system of ordinary differential equations. A schematic diagram of the model is shown in Fig. 1.

$$\begin{cases} S'_k(t) = (1 - \phi_k)\Lambda_k - \lambda_k S_k + \delta_k I_k - \mu_k S_k \\ V'_k(t) = \phi_k \Lambda_k - (1 - \tau)\lambda_k V_k - \mu_k V_k \\ I'_k(t) = \lambda_k [S_k + (1 - \tau)V_k] - (\delta_k + \mu_k) I_k \end{cases} \quad (1)$$

The force of infection is given by

$$\lambda_k = \frac{\beta_{k'k} I_{k'}}{N_k},$$

where $N_k = S_k + V_k + I_k$, $k, k' \in \{f, m\}$ and $k \neq k'$. The total population is $N = N_f + N_m$.

Taking the sum of S_k, V_k and I_k in system (1), we get $N'_k = \Lambda_k - \mu_k N_k$, $k = f, m$. Thus, the equilibrium of N_k is Λ_k / μ_k . We define the domain of the system (1) to be

$$D = \{(S_f, V_f, I_f, S_m, V_m, I_m) \in \mathbb{R}_+^6 : S_k + V_k + I_k \leq \Lambda_k / \mu_k, k = f, m\}.$$

Using a similar method in the previous study (Gao et al., 2021), we can verify that D is positively invariant for system (1) and the model is both epidemiologically and mathematically well posed.

3. Analysis of the model

3.1. The model without vaccination

The model (1) without vaccination reduces to

$$\begin{cases} S'_k(t) = \Lambda_k - \lambda_k S_k + \delta_k I_k - \mu_k S_k, \\ I'_k(t) = \lambda_k S_k - (\delta_k + \mu_k) I_k. \end{cases} \quad (2)$$

The force of infection is given by

$$\lambda_k = \frac{\beta_{k'k} I_{k'}}{N_k},$$

where $N_k = S_k + I_k$, with $k, k' \in \{f, m\}$ and $k \neq k'$.

We define

$$R_{0,mf} = \frac{\beta_{mf}}{\delta_m + \mu_m}, \quad R_{0,fm} = \frac{\beta_{fm}}{\delta_f + \mu_f}.$$

$R_{0,mf}$ represents the number of secondary female infections generated by one infectious male in an entirely susceptible female population during his whole infectious period. $R_{0,fm}$ has similar meaning. Using the next generation approach (Van den Driessche and Watmough, 2002), we derive the basic reproduction number to be

$$R_0 = \sqrt{R_{0,mf} R_{0,fm}}.$$

It represents the number of secondary infections generated by one infectious individual in an entirely susceptible population during the whole infectious period of the individual.

The system (2) always has a disease-free equilibrium (DFE) $E^0 = (\Lambda_f / \mu_f, 0, \Lambda_m / \mu_m, 0)$. Its local stability is stated in Theorem 1. We present the global stability of the limiting system in Theorem 2. Their proofs are given in Appendix A and B, respectively.

Theorem 1. When $R_0 < 1$, the DFE E^0 is locally asymptotically stable; when $R_0 > 1$, the DFE E^0 is unstable.

Theorem 2. When $R_0 \leq 1$, the DFE E^0 is globally asymptotically stable.

By setting the right-hand side of system (2) to zero, we can solve for the endemic equilibrium, which is shown in Theorem 3. Its local stability is stated in Theorem 4. The global stability of the limiting system is given in Theorem 5. The proofs of Theorem 4 and 5 are given in Appendix C and D, respectively.

Theorem 3. When $R_0 > 1$, there exists a unique endemic equilibrium $E^* = (S_f^*, I_f^*, S_m^*, I_m^*)$, where

$$S_k^* = \frac{N_{k'}^* (\delta_{k'} + \mu_{k'}) + N_k^* \beta_{kk'}}{d_k N_{k'}^* (\delta_{k'} + \mu_{k'})}, \quad I_k^* = \frac{R_0^2 - 1}{d_k},$$

$$d_k = \frac{R_0^2}{N_k^*} + \frac{\beta_{kk'}}{N_k^* (\delta_{k'} + \mu_{k'})},$$

with $N_k^* = \Lambda_k / \mu_k$, $k, k' \in \{f, m\}$, $k \neq k'$.

Theorem 4. When $R_0 > 1$, the endemic equilibrium E^* is locally asymptotically stable.

Theorem 5. When $R_0 > 1$, the endemic equilibrium E^* is globally asymptotically stable.

3.2. The model with vaccination: analysis and best vaccination strategy

In this section, we study model (1) with vaccination. Similar to the model without vaccination, we define

$$R_{0,mf}(\phi_f) = \frac{\beta_{mf}}{\delta_m + \mu_m} [(1 - \phi_f) + (1 - \tau)\phi_f],$$

$$R_{0,fm}(\phi_m) = \frac{\beta_{fm}}{\delta_f + \mu_f} [(1 - \phi_m) + (1 - \tau)\phi_m].$$

Using the next generation approach, we derive the basic reproduction number to be

$$R_0(\phi_f, \phi_m) = \sqrt{R_{0,mf}(\phi_f) R_{0,fm}(\phi_m)}.$$

When $\phi_f = \phi_m = 0$, it is the same as the basic reproduction number for the model without vaccination.

The system (1) always has a disease-free equilibrium

$$E^0 = \left((1 - \phi_f) \frac{\Lambda_f}{\mu_f}, \phi_f \frac{\Lambda_f}{\mu_f}, 0, (1 - \phi_m) \frac{\Lambda_m}{\mu_m}, \phi_m \frac{\Lambda_m}{\mu_m}, 0 \right).$$

Its local stability is stated in Theorem 6. The proof is similar to Theorem 1 and is omitted. Due to the complexity of the model, it is hard to study the global stability for the DFE. However, using a

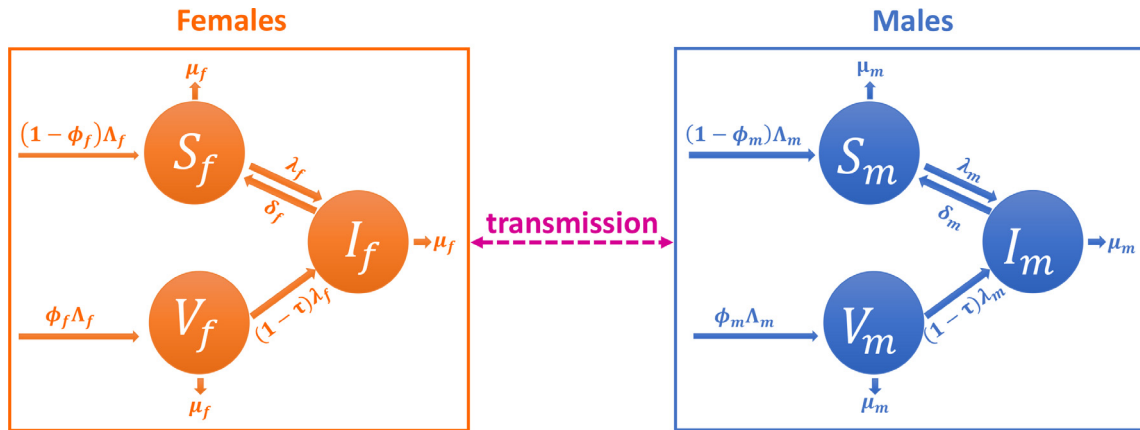


Fig. 1. Flow diagram of the model of HPV infection with vaccination. Each group (females and males, denoted by f and m , respectively) is divided into three subgroups: susceptible, infected and vaccinated, denoted by S, I and V , respectively. The transmission happens between females and males. Descriptions of parameters are given in Table 1.

similar method as in Ref. Gao et al. (2021), we can show that there is no backward bifurcation for system (1). For the endemic equilibrium, we have the result for its existence, which is stated in Theorem 7 and proved in Appendix E.

Theorem 6. When $R_0(\phi_f, \phi_m) < 1$, the DFE E^0 is locally asymptotically stable; when $R_0(\phi_f, \phi_m) > 1$, the DFE E^0 is unstable.

Theorem 7. When $R_0(\phi_f, \phi_m) > 1$, the endemic equilibrium exists.

From the above analysis, we know that the condition $R_0 < 1$ is critical for disease elimination. R_0 is also an important indicator that quantifies how fast the disease spreads. Therefore, given a fixed vaccine amount v , we investigate the following optimization problem

$$\begin{cases} \text{minimize } R_0(\phi_f, \phi_m) \\ \text{subject to } 0 \leq \phi_f \leq 1, 0 \leq \phi_m \leq 1, \phi_f \Lambda_f + \phi_m \Lambda_m = v, \end{cases}$$

where $0 \leq v \leq \Lambda_f + \Lambda_m$. The result is stated in the following Theorem and the proof is given in Appendix F.

Theorem 8.

- (i) If $\Lambda_k \leq \Lambda_{k'}$, then $\min R_0(\phi_f, \phi_m)$ is attained at $\phi_k = \frac{v}{\Lambda_k}, \phi_{k'} = 0$ when $v \leq \Lambda_k$ and $\phi_k = 1, \phi_{k'} = \frac{v - \Lambda_k}{\Lambda_{k'}}$ when $v > \Lambda_k$. Here $k, k' \in \{f, m\}$ and $k \neq k'$.
- (ii) When $\Lambda_f = \Lambda_m = \Lambda$, the smaller $|\phi_f - \phi_m|$, the bigger $R_0(\phi_f, \phi_m)$. An even distribution (i.e. $\phi_f = \phi_m = \frac{v}{2\Lambda}$) leads to $\max R_0(\phi_f, \phi_m)$.

The above Theorem shows that to minimize the basic reproduction number R_0 , the gender with a smaller recruit rate should be vaccinated with priority. If there is vaccine left, it will be given to the other gender. When the two genders have the same recruit rate, splitting vaccines evenly is the worst, i.e. resulting in the maximum R_0 . The even the distribution, the bigger R_0 .

Table 1
Description of variables and parameters.

Symbol	Description	Baseline	Unit	Range	Source
Subscripts					
f	Female				
m	Male				
k	Gender ($k = f, m$)				
Variables					
$S_k(t)$	Susceptible population of gender k				
$V_k(t)$	Vaccinated population of gender k				
$I_k(t)$	Infected population of gender k				
$N_k(t)$	Total size of population of gender k				
$N(t)$	Total size of population				
λ_k	Force of infection for gender k				
Parameters					
Λ_f	Recruits into sexually active females	340998	person/year	[317422, 391244]	See text
Λ_m	Recruits into sexually active males	387463	person/year	[342579, 428756]	See text
$\mu_f (\mu_m)$	Exit rate from sexually active females (males)	1/(55–15)	1/year	See text	
β_{mf}	Transmission rate from males to females	Calibration	1/year	Calibration	See text
β_{fm}	Transmission rate from females to males	Calibration	1/year	Calibration	See text
δ_f	Recovery rate from infection for females	12/12.3	1/year	[12/13.3, 12/7.7]	See text
δ_m	Recovery rate from infection for males	12/6.5	1/year	[12/7.7, 12/6.2]	See text
τ	Degree of protection by vaccine	0.899	none	[0.817, 0.944]	Woestenberg et al., 2018
ϕ_k	Percentage of new recruits vaccinated for gender k	varied	none		
v	Amount of vaccines per year ($v = \sum_k \phi_k \Lambda_k$)	varied	person/year		
<i>dummy</i>	Parameter for comparison in the PRCC	1	none	[1, 10]	See text

For convenience, we consider $\min R_0^2$ as a function of v and denote it by $h(v)$. We have the following result with the proof given in Appendix G.

Corollary 1.

- (i) $h'(v) < 0$ always holds.
- (ii) If $\Lambda_k \leq \Lambda_{k'}$, $|h'(v)|$ is larger when $v \leq \Lambda_k$ than that when $v > \Lambda_k$, where $k, k' \in \{f, m\}$ and $k \neq k'$.

This Corollary shows that as the vaccine amount v increases, the minimum of the basic reproduction number R_0 decreases. Once the vaccine amount exceeds the smaller recruit rate of the two genders, vaccination becomes less effective in reducing the basic reproduction number.

4. Vaccination strategies and a case study

4.1. Calibration of transmission rates

In this section, we use the data from Guangxi Province in China to calibrate parameter values used in the model. We will investigate various vaccination strategies on the basis of these parameter values. We determine the transmission rates of HPV 16/18 in the model without vaccination. In 2014, an observational cohort study including 2309 men and 2378 women aged 18–55 was conducted in Liuzhou, Guangxi Province (in the end, 1937 men and 2344 women were included in the analysis). Therefore, we set $\mu_f = \mu_m = \frac{1}{55-18}$. The median time (95% CI) to clear HPV 16/18 is 12.3 [7.7, 13.3] months for females and 6.5 [6.2, 7.7] months for males (Wei et al., 2020). So we let the recovery rates (95% CI) for females and males be $\delta_f = 12/12.3$ [12/13.3, 12/7.7] and $\delta_m = 12/6.5$ [12/7.7, 12/6.2] with unit 1/year, respectively.

The prevalences of HPV 16/18 for females and males are $p_f = 107/2344 = 4.6\%$ and $p_m = 30/1937 = 1.5\%$, respectively (Wei et al., 2016). According to Liuzhou statistical yearbook (2015), there were 2411020 people aged 18–60 in Liuzhou in 2014, and the total female and male populations are 1817723 and 1961637, respectively. Hence the female and male populations aged 18–55 are estimated as

$$N_f^* = 2411020 \times \frac{55-18}{60-18} \times \frac{1817723}{1817723+1961637} = 1.0216 \times 10^6$$

and

$$N_m^* = 2411020 \times \frac{55-18}{60-18} \times \frac{1961637}{1817723+1961637} = 1.1024 \times 10^6.$$

Since HPV infection had existed in Liuzhou for many years before 2014 and HPV vaccine was available until 2016 in mainland China, we assume that HPV infection was in the endemic state at that time. From the expression of the endemic equilibrium of the model without vaccination, we get

$$p_f = \frac{I_f^*}{N_f^*} = \frac{\frac{\beta_{mf}\beta_{fm}}{(\delta_f+\mu_f)(\delta_m+\mu_m)} - 1}{\frac{\beta_{mf}\beta_{fm}}{(\delta_f+\mu_f)(\delta_m+\mu_m)} + \frac{N_f^*}{N_m^*} \frac{\beta_{mf}}{\delta_f+\mu_f}},$$

$$p_m = \frac{I_m^*}{N_m^*} = \frac{\frac{\beta_{mf}\beta_{fm}}{(\delta_f+\mu_f)(\delta_m+\mu_m)} - 1}{\frac{\beta_{mf}\beta_{fm}}{(\delta_f+\mu_f)(\delta_m+\mu_m)} + \frac{N_m^*}{N_f^*} \frac{\beta_{mf}}{\delta_f+\mu_f}}.$$

With the above values, we get the transmission rates (95% CI) from females to males is $\beta_{mf} = 2.8693$ [2.6594, 4.5373] and from males to females is $\beta_{fm} = 0.6967$ [0.5896, 0.7299].

4.2. Parameter setting

We apply the model to Guangxi province with a wider range of ages 15–55. Hence $\mu_f = \mu_m = \frac{1}{55-15}$. There are two reasons for using a wider age range. One is that some people have sex at young ages (before age 18). The other is that we are interested in the number of target vaccination population (i.e. 14-year-old children) and want to roughly use it as recruits. The values for $\delta_f, \delta_m, \beta_{mf}$ and β_{fm} are the same as above. We estimate the number of 14-year-old boys and girls in Guangxi in 2021–2033 (Fig. 2, for details see Appendix H), which are the target vaccination groups. We find that there are only minor changes for both 14-year-old boys and girls from 2021 to 2033. Therefore, we use the average number as the recruitment rate, namely, $\Lambda_f = 340998$ and $\Lambda_m = 387463$. Applying all these values to the expression of R_0 without vaccination, we derive that the basic reproduction number for HPV 16/18 within the age group 15–55 in Guangxi is 1.0333. Using type-specific and gender-specific clearance rate and prevalence derived from Ref. Wei et al. (2020) and Wei et al. (2016), we get basic reproduction numbers for some other HPV types (Table 2).

Chinese domestic bivalent HPV vaccine has been available in Guangxi since 2020. We apply the bivalent HPV vaccine in our model. The value (range) for vaccine efficacy τ is 0.899 [0.817–0.944] for HPV 16/18 (Woestenberg et al., 2018). So far the bivalent HPV vaccine is only available to females in China. Using all the above values in $R_0(\phi_f, \phi_m)$ and setting $\phi_m = 0$ and $R_0(\phi_f, \phi_m) = 1$, we calculate the critical value of ϕ_f for HPV 16/18 elimination is $\hat{\phi}_f = 0.0705$. The corresponding vaccine amount is $\hat{v} = \hat{\phi}_f \Lambda_f = 24050$.

4.3. Sensitivity analysis

We use the partial rank correlation coefficient (PRCC) to evaluate the impact of model parameters on the dynamics of the model (1). The PRCC provides a global sensitivity analysis for nonlinear but monotone relationships between inputs and outputs (Marino et al., 2008). From analytical results, we know that $R_0 < 1$ is essential to eliminate the disease. Therefore, we are concerned with the parameters that have the greatest impact on R_0 . We are also interested in the parameters that have great impact on the prevalence. Therefore, in our sensitivity analysis, the inputs are parameters and the outputs are R_0 , the prevalence in females, males and the total population. In the inputs, there is a special parameter called dummy, which is introduced to quantify the artifacts (for details see Ref. Marino et al. (2008)).

In the sensitivity analyses, we choose the vaccination proportion $\phi_f = 0.08$ and $\phi_m = 0.01$ as baseline values and [0.01, 0.99] as their ranges. Since we only focus on people in the age group 15–55, we fix $\mu_f = \mu_m = 1/(55-15)$ and use one parameter μ to represent them. The sample size for R_0 is 10000, and for each prevalence is 6000. The initial condition for the prevalence is pre-vaccination endemic equilibrium, and the end point is 50 years. The negative or positive sign of the PRCC value indicates that the parameter is inversely or positively correlated with the outputs. The parameter with a larger PRCC index (absolute value greater than 0.5) has more significant influence on the output (Taylor, 1990). From Fig. 3 and Table 3, we see that the proportions of vaccination ϕ_f and ϕ_m always have a great impact on the basic reproduction number and the disease prevalence. This means that vaccination is an effective way to reduce HPV infection. The output is also sensitive to the clearance rates δ_f and δ_m . This indicates that infected individuals having an intact immune response or receiving treatment have a better chance to clear the infection. Other sensitive parameters include transmission rates β_{mf} and β_{fm} , which

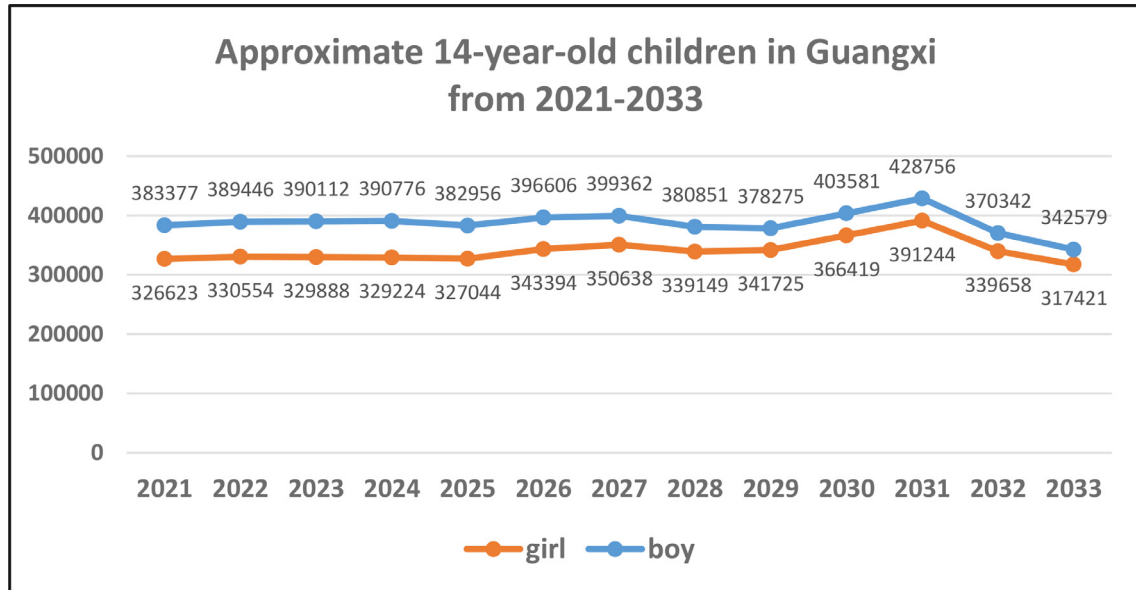


Fig. 2. The recruits to susceptible for Guangxi Province. We use data from Liuzhou for parameter calibration and apply to Guangxi. We assume that 14-year-old children are vaccinated and estimate the target population size in 2021–2033 by newborns in 2007–2019.

Table 2

Calibration for β_{mf} and β_{fm} and the corresponding R_0 for different HPV types in people aged 15–55 in Guangxi.

HPV types	p_f	p_m	δ_f	δ_m	β_{mf}	β_{fm}	R_0
HPV 16/18	107/2344	30/1937	12/12.3	12/6.5	2.8693	0.6967	1.0333
HPV 6/11	32/2344	23/1937	12/6.7	12/6.4	1.9637	1.8068	1.0140
HPV 31	0.8%	0.2%	12/6.5	12/6.9	6.999	0.4775	1.0062
HPV 33	0.9%	0.4%	12/11.7	12/6.1	2.2147	0.9603	1.008
HPV 45	0.4%	0.5%	12/6.7	12/6.7	1.3532	2.4648	1.0056
HPV 52	6.6%	1.5%	12/13.1	12/6.9	4.1167	0.4398	1.0443
HPV 58	3.5%	3.5%	12/12.7	12/6.4	0.9333	2.1271	1.0379

highlights the importance of using condom or other protections to reduce the risk of infection.

4.4. The best vaccination strategy

The condition $R_0 < 1$ is critical for disease elimination. We are interested in the minimum vaccine amount needed per year to hit this threshold. Using linear programming, we find that this value is 24050, which is attained when all vaccines are given to girls (Fig. 4(a)(b)). It agrees with the results in Section 4.2. To verify that this is a critical value and giving vaccines to girls is better, we set $v = 25000$, which is slightly bigger than the above threshold. We can see $\min R_0 = 0.9987 < 1$, which is obtained when all vaccines are given to girls (Fig. 4(c)). In this case all prevalences in females, males and the total population go to zero. They decline faster than when we give all vaccines to boys or split them evenly (Fig. 4(d)–(f)). These results are also consistent with the analysis. More specifically, because $\Lambda_f < \Lambda_m$ in the case study, vaccinating females firstly results in a smaller R_0 , which leads to a lower prevalence. Interestingly, even for males, vaccinating girls firstly is still better (see Fig. 4(e)). This is reasonable because the transmission always involves both genders. Since Fig. 4(d)–(f) show that the dynamics of prevalence in females, males and the total population are similar, from now on we will only focus on the prevalence in the total population.

From Fig. 4(f) we also notice that although the disease will go extinct eventually, it will take more than 1000 years and the total

vaccine needed will be more than $25000 \times 1000 = 2.5 \times 10^7$. A critical question arises: can we find a better vaccination strategy requiring less time and less total vaccine amount? Fig. 5 shows some vaccination strategies that need less time and less total vaccine amount. Comparing these cases, we estimate that some value $v \in [50000, 150000]$ will result in the smallest total vaccine amount.

To further address the above question, we compare the prevalence in the total population for different values of v by vaccinating girls firstly (Fig. 6). We set a specific threshold 0.0005 for the prevalence in the total population, and compute the total time and total vaccine amount needed to reach this threshold (Table 4). We find that allocating 60000 vaccine shots per year leads to the smallest total vaccine amount (6205014) among these cases. It will take about 103 years to achieve this goal.

From Fig. 6, we also notice that for any fixed vaccine amount v , the prevalence decreases at different speed during different time periods. This indicates that the efficacies of reducing the prevalence with the same amount of vaccine are different. Therefore, we consider variable vaccine amount for different time periods. In Fig. 7 (a) we consider three vaccination strategies, namely, adjusting vaccine amount every 50 years, every 30 years and every 20 years. We compare them with the fixed vaccine amount $v = 60000$ per year. Table 5 shows that all the three variable vaccination strategies need less time and less total vaccine amount.

Furthermore, we consider vaccination with more frequent adjustments. We find strategies with even less time and less total

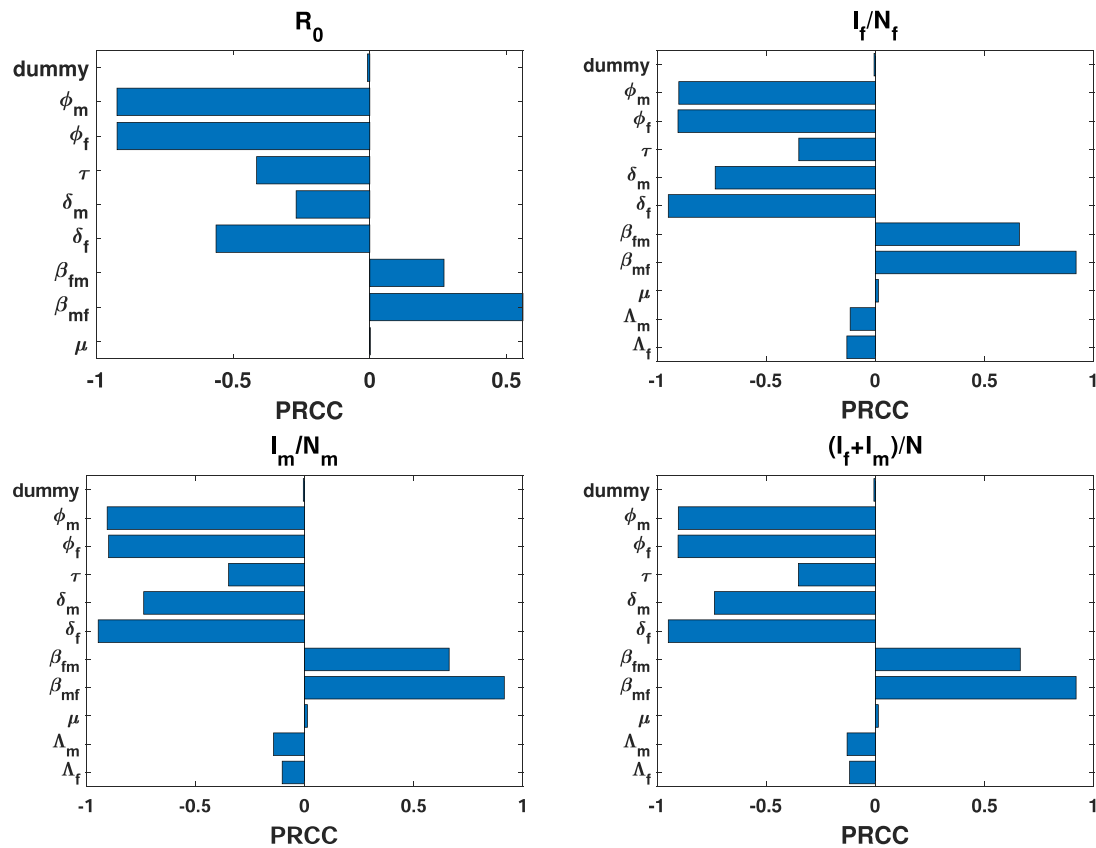


Fig. 3. Sensitivity analysis using PRCC. In all panels, we choose 0.08, 0.01 as base values for ϕ_f and ϕ_m , respectively, and [0.01, 0.99] as their range. We fix $\mu_f = \mu_m = \mu = 1/(55 - 15)$. The other parameters are from Table 1. The initial conditions for (b)–(d) are the pre-vaccination endemic equilibria and the end of time is 50 years.

Table 3
PRCCs for R_0 and prevalences in females, males and the total population (* means significant).

Inputs	PRCC (R_0)	PRCC ($\frac{I_f}{N_f}$)	PRCC ($\frac{I_m}{N_m}$)	PRCC ($\frac{I_f+I_m}{N}$)
dummy	−0.0090	−0.0060	−0.0061	−0.0068
ϕ_m	−0.9244*	−0.9004*	−0.9043*	−0.9027*
ϕ_f	−0.9241*	−0.9042*	−0.8975*	−0.9038*
τ	−0.4139	−0.3508	−0.3481	−0.3528
δ_m	−0.2695	−0.7337*	−0.7369*	−0.7376*
δ_f	−0.5623*	−0.9486*	−0.9454*	−0.9486*
β_{fm}	0.2712	0.6596*	0.6619*	0.6634*
β_{mf}	0.5599*	0.9190*	0.9144*	0.9191*
μ	0.0010	0.0139	0.0130	0.0133*
Λ_f		−0.1156	−0.1425	−0.1297
Λ_m		−0.1308	−0.1027	−0.1182

vaccine amount (Table 6). If we allocate 175000 vaccine amount per year in the first 5 years and reduce by 15000 every 5 years, then it only takes about 58 years to achieve our goal and the total vaccine amount is 5225000. If we apply this strategy and consider cross protection of bivalent HPV vaccines for other HPV types, Fig. 7 (b) shows the prevalence in the total population for HPV types covered by 9-valent HPV vaccine in the next 60 years.

Now we consider another case in which there are sufficient HPV vaccines. How to reduce the prevalence in the total population as soon as possible? If we only consider vaccinating adolescent girls, which is the main target population recommended by the WHO, the best situation is that all girls are vaccinated before age 15, namely, $\phi_f = 1$ and $\phi_m = 0$. In this case, it will take about 27 years to reduce the prevalence in the total population to below 0.0005 (Fig. 8 (a)) and the total vaccine amount is 9231805. For a fixed

vaccine amount, although vaccinating girls firstly is better, vaccinating boys is still helpful in reducing the prevalence. For example, if we vaccinate all 14-year-old girls and boys every year, then it will take about 19 years to reduce the prevalence in the total population to below 0.0005 (Fig. 8 (a)). However, the total vaccine amount is much higher (13895612) in this case. In practice, it is not realistic to achieve 100% coverage. Therefore, we consider more cases with different coverages in Table 7 and Fig. 8 (a). We see that a higher vaccine coverage in females and males leads to a smaller R_0 and it takes a shorter time to reduce the prevalence to below 0.0005.

Catch-up vaccination (i.e. vaccinate older people) is also useful in reducing the prevalence, especially in the beginning when HPV vaccines are introduced. For example, we assume that α is the proportion of women getting catch-up vaccination. Combining with

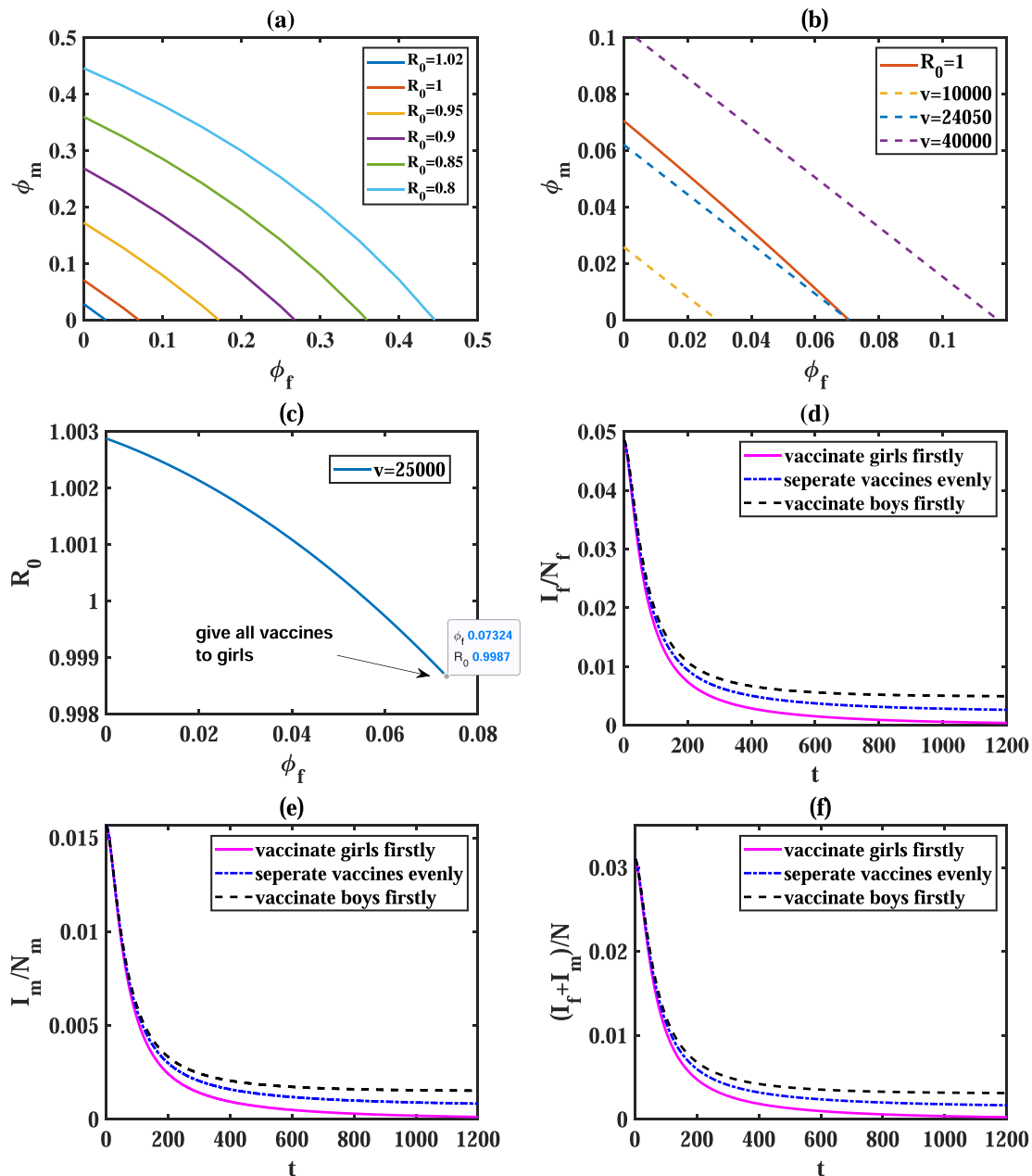


Fig. 4. (a) The basic reproduction number R_0 with different vaccine distributions. (b) The minimum vaccine amount v needed per year to achieve $R_0 = 1$ using linear programming. (c) R_0 for $v = 25000$. The vaccination proportion for males ϕ_m can be calculated according to the vaccine amount v and vaccination proportion for females ϕ_f . (d-f) Prevalences in females, males and the total population with different vaccine distributions for $v = 25000$. The other parameters are from Table 1. The initial conditions for (d-f) are the pre-vaccination endemic equilibria. It shows minimum R_0 is attained when all vaccines are given to girls, which results in lower prevalences in females, males and the total population.

vaccinating all adolescent girls before they become susceptible, the model predicts that the time needed to reduce the prevalence in the total population to below 0.0005 is 20 years when $\alpha = 0.02$ and 13 years when $\alpha = 0.1$ (Fig. 8 (b)).

5. Discussion

In this paper, we developed a two-sex deterministic model to evaluate the epidemiological impact of HPV vaccination in a heterosexually active population. We derived the basic production number R_0 and studied the stability of equilibria. The analysis shows that R_0 plays a critical role in predicting how the infection

spreads. The smaller R_0 , the lower prevalence in the total population. In order to reduce R_0 , given a fixed vaccine amount, we investigated how to allocate vaccines between the two genders. By rigorous mathematical analysis, we found that $\min R_0$ is achieved when vaccinating the gender with a smaller recruit rate firstly and giving the remaining vaccines, if left, to the other gender. This was numerically illustrated by a case study in which there are fewer female recruits in Guangxi. Vaccinating girls firstly results in the smallest R_0 and lowest prevalence in the total population compared to other strategies. We also considered a special case in which the recruits for females and males are the same. In this case, besides the same conclusion for $\min R_0$, we also found allocating vaccines evenly leads to $\max R_0$. The even the distribution,

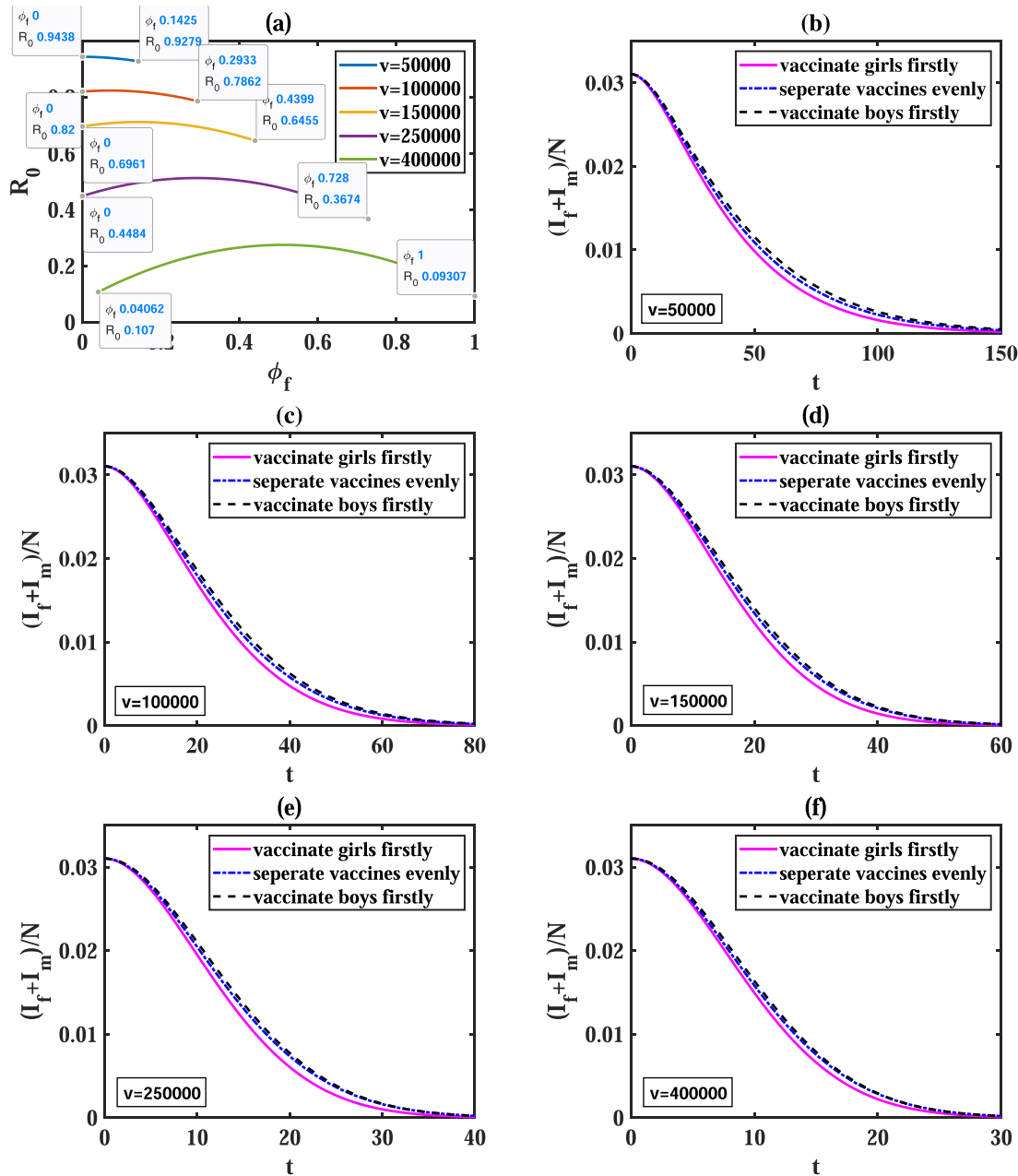


Fig. 5. (a) The basic reproduction number R_0 for different vaccine amount v . The vaccination proportion for males ϕ_m can be calculated according to v and the vaccination proportion for females ϕ_f . It shows $\min R_0$ is always attained when girls are vaccinated firstly. (b)–(f) Prevalences in the total population with different vaccine distributions for $v = 50000$, $v = 100000$, $v = 150000$, $v = 250000$, $v = 400000$. The other parameters are from Table 1. The initial conditions for (b)–(f) are the pre-vaccination endemic equilibria.

the bigger R_0 , consequently the higher prevalence. We also proved that $\min R_0$ decreases as the vaccine amount v increases but vaccination becomes less effective once its amount exceeds the smaller recruit rate.

The above conclusion on vaccine distribution is reasonable because given a fixed vaccine amount, vaccinating the gender that has a smaller recruit rate enables a larger proportion of the population of that gender getting vaccinated. This leads to more reduction in R_0 and the prevalence in the total population. In a previous paper studying vaccination in a heterosexual population and MSM (Gao et al., 2021), we assumed the same recruit rate for heterosexual females and males. We found that vaccinating either gender firstly leads to the same prevalence in the total population and this

prevalence is lower than splitting vaccines evenly. This agrees with the conclusion here. Bogaards et al. found that vaccinating the gender with a higher pre-vaccination prevalence would result in a larger reduction of the population prevalence (Bogaards et al., 2011). This is also consistent with our result as a higher pre-vaccination prevalence means more people had already gained immunity. Thus, keeping vaccinating that gender will result in a larger proportion of people getting protected. Some other papers also suggested that increasing the vaccine coverage in girls was better than including boys (Barnabas and Garnett, 2004; Barnabas et al., 2006; Brisson et al., 2011). In other words, they also aimed to get a bigger proportion of people protected within the same gender. This strategy indicates that high coverage in one gender can pro-

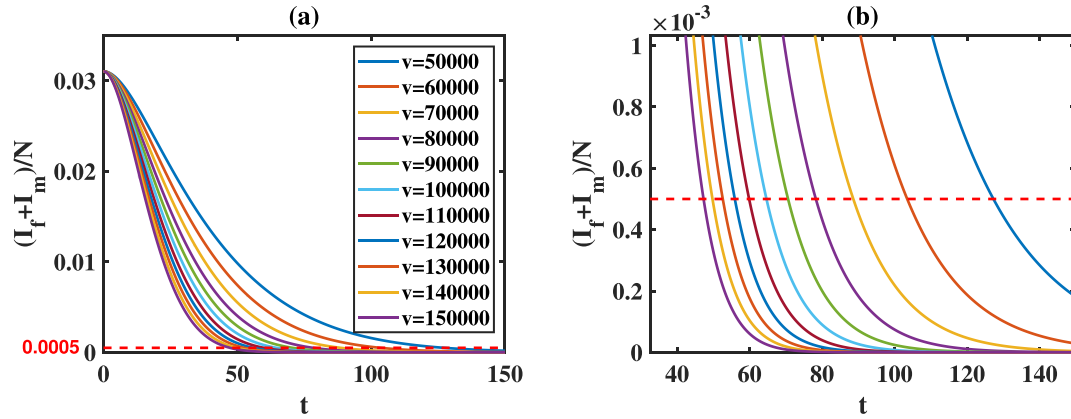


Fig. 6. (a) Prevalences in the total population for different vaccine amount v assuming vaccinating girls firstly. All vaccines are given to females. The vaccine coverage can be found in Table 4. The other parameters are from Table 1. The initial conditions are the pre-vaccination endemic equilibria. (b) Zoomed figure of the lower part of panel (a).

Table 4

Time and total vaccines needed to reduce the prevalence in the total population to below 0.0005 given fixed vaccine amount every year. All vaccines are given to females. The following vaccine coverage refers to the coverage of 15-year-old girls per year.

Vaccines (per year)	Vaccine coverage	Time (year)	Total vaccines
50000	$\phi_f=0.15$	0–127.3598	6367990
60000	$\phi_f=0.18$	0–103.4169	6205014
70000	$\phi_f=0.21$	0–88.6719	6207033
80000	$\phi_f=0.23$	0–78.2606	6260848
90000	$\phi_f=0.26$	0–70.6311	6356799
100000	$\phi_f=0.29$	0–64.6396	6463960
110000	$\phi_f=0.32$	0–59.8739	6586129
120000	$\phi_f=0.35$	0–55.9049	6708588
130000	$\phi_f=0.38$	0–52.5262	6828460
140000	$\phi_f=0.41$	0–49.8603	6980442
150000	$\phi_f=0.44$	0–47.3095	7096425

vide strong herd immunity for sexually transmitted diseases, which also agrees with the results in Refs. Muñoz-Quiles et al. (2021) and Acedo et al. (2021).

Using data from Liuzhou, a city in Guangxi province, we calibrated the transmission rates β_{mf} and β_{mf} . We calculated the basic reproduction number $R_0 = 1.0333$ for HPV 16/18 in people aged

15–55. Using the estimated recruits in Guangxi, we predicted that the minimum number of bivalent HPV vaccine shots is 24050, which should be given to girls. We also derived basic reproduction numbers for some other HPV types. There are few studies in the literature providing estimates of the basic reproduction number for other HPV types. Riesen et al. estimated that R_0 for HPV 16 in Switzerland was 1.29 (Riesen et al., 2017), and Ribassin-Majed et al. calculated R_0 for HPV 6/11 in France to be 1.04 (Ribassin-Majed et al., 2014). The difference in these estimates could be caused by different assumptions. For example, we adopted the gender-specific clearance rate from data, and the other two models used the same clearance rate for both genders. Social and sexual behaviors may also affect the disease spread. Ziyadi et al. obtained a very small basic reproduction number ($R_0 = 0.2346$) for the African American population (Ziyadi, 2017). One possible explanation is that the authors used the fitted recruitment and relatively small infection rates.

Although HPV infection is predicted to go extinct once the vaccine amount exceeds a critical value, it would take a very long time and the total vaccine amount could be huge. To find a better vaccination strategy, namely, within a shorter period of time and with less total vaccine amount, we set a specific goal, i.e., the prevalence in the total population is less than 0.05%. We compared several

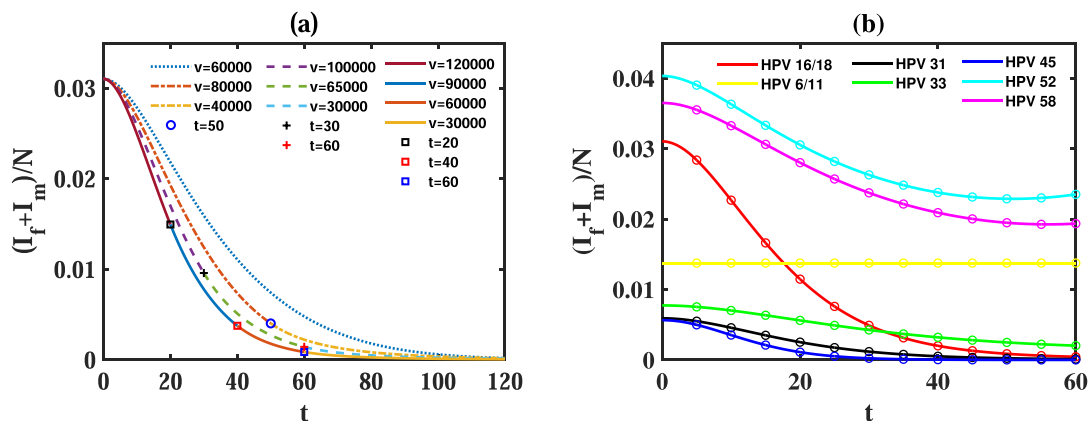


Fig. 7. (a) Prevalences in the total population with variable vaccination strategies assuming vaccinating girls firstly. (b) Prevalences in the total population for different HPV types if we allocate vaccine amount $v = 175000$ initially and reduce by 15000 every 5 years assuming vaccinating girls firstly and using bivalent vaccines. According to Ref. Woestenberg et al., 2017; Woestenberg et al., 2018, we let the degree of protection τ for HPV 6/11, 31, 33, 45, 52 and 58 be 0, 0.5, 0.257, 0.91, 0.372 and 0.309, respectively. In the two panels, all vaccines are given to females. The vaccine coverage can be found in Table 5 and Table 6, respectively. The other parameters are from Table 1. The initial conditions are the pre-vaccination endemic equilibria.

Table 5

Time and total vaccines needed to reduce the prevalence in the total population to below 0.0005 given different vaccination strategies. All vaccines are given to females. The following vaccine coverage refers to the coverage of 15-year-old girls per year.

Vaccines (per year)	Vaccine coverage	Time (year)	Total vaccines
60,000	$\phi_f=0.18$	0–103.4169	6205014
80,000	$\phi_f=0.23$	0–50	5711221
40,000	$\phi_f=0.12$	51–92.78052	
100,000	$\phi_f=0.29$	0–30	5555080
65,000	$\phi_f=0.19$	31–60	
30,000	$\phi_f=0.09$	61–80.16933	
120,000	$\phi_f=0.35$	0–20	5663935
90,000	$\phi_f=0.26$	21–40	
60,000	$\phi_f=0.18$	41–60	
30,000	$\phi_f=0.09$	61–68.79783	

strategies and found that the one offering 60000 vaccine shots to girls every year resulted in the smallest total vaccine amount. In this case, the total vaccine amount was 6205014 and it would take about 103 years to achieve the goal. In addition, we found that the efficacy for the same amount of vaccine was different during different periods. Therefore, the variable vaccination strategies were further studied. We compared several cases, among which the best one was giving 175000 vaccine shots to girls per year in the first 5 years, followed by reducing by 15000 every 5 years. It only took about 58 years to achieve the goal and the total vaccine amount was 5225000. Based on these simulations, it is better to allocate more vaccines at the beginning, and then gradually reduce vaccine shots. Similar results were found in another work (Saldaña et al., 2020). They suggested that vaccination should be applied at the

Table 7

The values of R_0 with different vaccine distributions.

ϕ_f	ϕ_m	R_0
0	0	1.0333
	0	0.9098
	0.125	0.8572
	0.25	0.8011
0.5	0	0.7667
	0.25	0.675
	0.5	0.5688
0.75	0	0.5897
	0.375	0.4802
	0.75	0.3366
1	0	0.3284
	0.5	0.2436
	1	0.1044

maximum level and after approximately half of the time interval, the rate of vaccination should be gradually reduced, reaching zero in the end. In comparison with the continuous optimal control in Saldaña et al. (2020), our work offered some discrete-time control strategies, which would be easier to implement.

In this paper, we employed a simple two-sex deterministic model to evaluate the epidemiological influence of HPV vaccination and used Guangxi as a case study. The model can be extended to take into account more factors, such as age, sexual behavior and some other heterogeneous mixing. In particular, models with age structure would be more realistic to study the spread of sexually transmitted diseases such as HPV infection and its associated dis-

Table 6

Some vaccination strategies with total time less than 68 years and total vaccines less than 5.5 million in order to reduce the prevalence in the total population to below 0.0005. All vaccines are given to females. The following vaccine coverage refers to the coverage of 15-year-old girls per year. The integer $n = 1, 2, \dots$ represents the number of period.

Period length (year)	Vaccines in the first period (per year)	Vaccine deduction	Vaccine coverage	Total time (year)	Total vaccines
10	150,000	25,000	$\phi_f=0.44-0.07n$	67.125	5250000
5	175,000	15,000	$\phi_f=0.51-0.04n$	58.0625	5225000
2	178,000	6000	$\phi_f=0.52-0.02n$	59.225	5382950

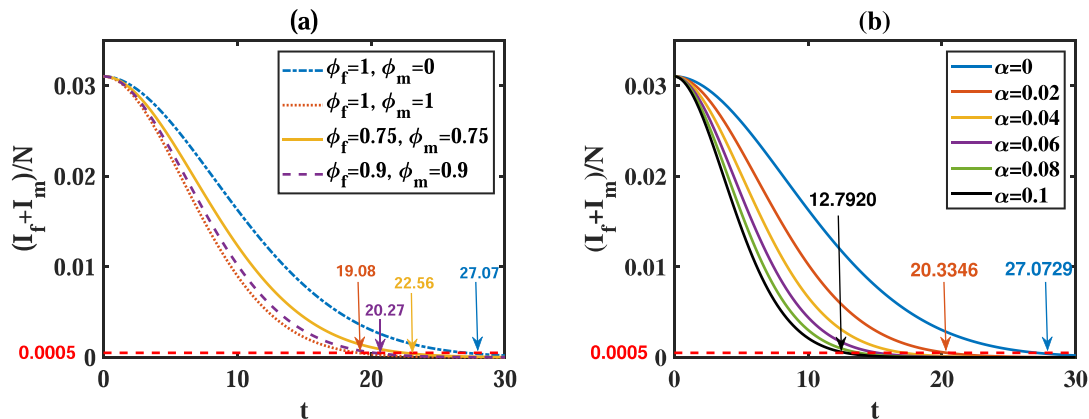


Fig. 8. (a) Prevalences in the total population for different vaccination strategies. (b) Prevalences in the total population for different catch-up vaccination proportions with all girls vaccinated by age 15 ($\phi_f = 1, \phi_m = 0$). The other parameters are from Table 1 and the initial conditions are the pre-vaccination endemic equilibria.

eases due to the change of sexual behavior with age (Muñoz-Quiles et al., 2021; Acedo et al., 2021). We have formulated two such models with age structure to study the dynamics of HPV-associated oropharyngeal cancer and cervical cancer in Texas (Peng et al., 2018; Zhong et al., 2021). The models divide the population into 23 age groups, which is challenging, if not impossible, to obtain any formal analytical results. More comprehensive models would also require more data for parameterization. Here we used the model with a minimum number of parameters that can be calibrated by the case study, performed both analytical and numerical investigations, and provided some quantitative information on the vaccine distribution strategies.

Vaccination of the MSM population might be crucial for disease elimination. Using a model based on a network paradigm and data from Spain, Díez-Domingo et al. found that the MSM group only benefits from a vaccination program that includes males (Díez-Domingo et al., 2021). From both the analysis and numerical investigations in the Ref. Gao et al. (2021), we showed that in order to eliminate HPV infection, the priority of vaccination should be given to MSM. Because MSM only account for a small portion of the total population, in this paper we focused on the heterosexual population and studied how to allocate HPV vaccine among them. Analysis of the model without MSM provides some analytical results on the vaccine distribution (Theorem 8 and Corollary 1), which are difficult for the full model with MSM. When the MSM population is included, numerical results would suggest similar predictions as in the previous paper (Gao et al., 2021). For example, the priority of vaccination should be given to MSM for disease elimination. The heterosexual population gets great benefit but MSM only get minor benefit from vaccinating heterosexual females or males. The best vaccination strategy is to vaccinate MSM firstly as many as possible, then distribute the remaining to the heterosexual population.

We used the SIS model to study the vaccination strategies in view of HPV reinfection after recovery in both males and females (Ranjeva et al., 2017; Trottier et al., 2010). If we use the SIR or SIRS model, the basic reproduction number R_0 remains the same and the prevalences of the male, female and total population show similar dynamics. Therefore, our conclusions are not affected by the choice of these models. In addition, we used the HPV prevalence as a criterion in evaluating various vaccination strategies. If the objective is to reduce HPV-associated diseases such as cervical or oropharyngeal cancer, then the progression from persistent HPV infection to these cancers should be incorporated into models (Peng et al., 2018; Zhong et al., 2021; Barnabas et al., 2006; Lee and Tameru, 2012; Brouwer et al., 2016; Baussano et al., 2010) and the guideline of vaccination might be different from that informed by this study. Lastly, the parameters and predictions are based on a case study in Guangxi Province in China. This can be applied to other countries or regions. The vaccination strategies obtained in this study may also be applicable to other sexually transmitted diseases.

CRedit authorship contribution statement

Shasha Gao: Conceptualization, Methodology, Formal analysis, Writing – original draft. **Maia Martcheva:** Methodology, Writing – review & editing, Supervision. **Hongyu Miao:** Methodology, Writing – review & editing. **Libin Rong:** Conceptualization, Methodology, Writing – review & editing, Supervision.

Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

Acknowledgments

This work was supported by the NSF grants DMS-1951595 (MM), DMS-1620957 (MH) and DMS-1950254 (LR). The authors also thank two reviewers whose constructive comments greatly improved the manuscript.

Appendix A. Proof of Theorem 1

Reordering variables as $x = (I_f, I_m, S_f, S_m)^T$, the Jacobian matrix of system (2) evaluated at the disease-free equilibrium $E^0 = (0, 0, S_f^0, S_m^0) = (0, 0, \Lambda_f/\mu_f, \Lambda_m/\mu_m)$ is

$$J(E^0) = \begin{pmatrix} J_{11} & 0 \\ J_{21} & J_{22} \end{pmatrix},$$

where

$$J_{11} = \begin{pmatrix} -(\delta_f + \mu_f) & \beta_{mf} \\ \beta_{fm} & -(\delta_m + \mu_m) \end{pmatrix},$$

and

$$J_{22} = \begin{pmatrix} -\mu_f & 0 \\ 0 & -\mu_m \end{pmatrix}.$$

Clearly, $-\mu_f$ and $-\mu_m$ are negative eigenvalues of $J(E^0)$. The remaining eigenvalues are determined by the matrix J_{11} . If $R_0 < 1$, then we have $\text{Tr}(J_{11}) < 0$ and $\det(J_{11}) = (\delta_f + \mu_f)(\delta_m + \mu_m)(1 - R_0^2) > 0$. By the Routh-Hurwitz criterion (Gantmakher, 1959), the DFE E^0 is locally asymptotically stable.

If $R_0 > 1$, then $\det(J_{11}) < 0$. Hence J_{11} has an eigenvalue with positive real part. This shows that E^0 is unstable.

Appendix B. Proof of Theorem 2

Considering the limiting system of (2), i.e. when $N_f \equiv \frac{\Lambda_f}{\mu_f}$ and $N_m \equiv \frac{\Lambda_m}{\mu_m}$, we define the following Lyapunov function

$$L = \left(S_f - S_f^0 - S_f^0 \ln \frac{S_f}{S_f^0} + I_f \right) + R_{0,mf} \left(S_m - S_m^0 - S_m^0 \ln \frac{S_m}{S_m^0} + I_m \right),$$

where $S_k^0 = \frac{\Lambda_k}{\mu_k}$, $k = f, m$. It is clear that L is radially unbounded and positive definite in the entire space D . The derivative of L along the trajectories of system (2) yields

$$\begin{aligned} \dot{L} &= \left[\left(1 - \frac{S_f^0}{S_f} \right) S_f' + I_f' \right] + R_{0,mf} \left[\left(1 - \frac{S_m^0}{S_m} \right) S_m' + I_m' \right] \\ &= \left(1 - \frac{S_f^0}{S_f} \right) (\Lambda_f - \lambda_f S_f + \delta_f I_f - \mu_f S_f) + [\lambda_f S_f - (\delta_f + \mu_f) I_f] \\ &\quad + R_{0,mf} \left\{ \left(1 - \frac{S_m^0}{S_m} \right) (\Lambda_m - \lambda_m S_m + \delta_m I_m - \mu_m S_m) + [\lambda_m S_m - (\delta_m + \mu_m) I_m] \right\}. \end{aligned}$$

Using the equilibrium conditions $\Lambda_k = \mu_k S_k^0$, $N_k = S_k^0$, $\frac{S_k^0}{S_k} \geq 1$, $k \in \{f, m\}$ and collecting terms, we obtain

$$\begin{aligned}
\dot{L} &= \left[\left(1 - \frac{S_f^0}{S_f} \right) \mu_f (S_f^0 - S_f) + S_f^0 \lambda_f - \left(\frac{S_f^0}{S_f} \delta_f + \mu_f \right) I_f \right] \\
&\quad + R_{0,mf} \left[\left(1 - \frac{S_m^0}{S_m} \right) \mu_m (S_m^0 - S_m) + S_m^0 \lambda_m - \left(\frac{S_m^0}{S_m} \delta_m + \mu_m \right) I_m \right] \\
&\leq \left[-\frac{\mu_f}{S_f} (S_f^0 - S_f)^2 + \beta_{mf} I_m - (\delta_f + \mu_f) I_f \right] + R_{0,mf} \left[-\frac{\mu_m}{S_m} (S_m^0 - S_m)^2 + \beta_{fm} I_f - (\delta_m + \mu_m) I_m \right] \\
&= -\frac{\mu_f}{S_f} (S_f^0 - S_f)^2 - R_{0,mf} \frac{\mu_m}{S_m} (S_m^0 - S_m)^2 + [\beta_{mf} - R_{0,mf} (\delta_m + \mu_m)] I_m + [R_{0,mf} \beta_{fm} - (\delta_f + \mu_f)] I_f \\
&= -\frac{\mu_f}{S_f} (S_f^0 - S_f)^2 - R_{0,mf} \frac{\mu_m}{S_m} (S_m^0 - S_m)^2 + (\delta_f + \mu_f) (R_0^2 - 1) I_f.
\end{aligned}$$

When $R_0 < 1$, we have that $\dot{L} \leq 0$ and it is equal to 0 only at the DFE. Therefore, by Krasovskii-LaSalle Theorem (Martcheva, 2015), the DFE E^0 is globally asymptotically stable when $R_0 \leq 1$.

Appendix C. Proof of Theorem 4

The Jacobian matrix of system (2) evaluated at the endemic equilibrium $E^* = (I_f^*, I_m^*, S_f^*, S_m^*)$ is

$$J(E^*) = \begin{pmatrix} a_{11} & a_{12} & a_{13} & 0 \\ a_{21} & a_{22} & 0 & a_{24} \\ a_{31} & a_{32} & a_{33} & 0 \\ a_{41} & a_{42} & 0 & a_{44} \end{pmatrix},$$

where

$$\begin{aligned}
a_{11} &= -\frac{\beta_{mf} I_m^* S_f^*}{N_f^2} - (\delta_f + \mu_f) & a_{12} &= \frac{\beta_{mf} S_f^*}{N_f} & a_{13} &= \frac{\beta_{mf} I_m^*}{N_f} - \frac{\beta_{mf} I_m^* S_f^*}{N_f^2}, \\
a_{21} &= \frac{\beta_{fm} S_m^*}{N_m} & a_{22} &= -\frac{\beta_{fm} I_f^* S_m^*}{N_m^2} - (\delta_m + \mu_m) & a_{24} &= \frac{\beta_{fm} I_f^*}{N_m} - \frac{\beta_{fm} I_f^* S_m^*}{N_m^2}, \\
a_{31} &= \frac{\beta_{mf} I_m^* S_f^*}{N_f^2} + \delta_f & a_{32} &= -\frac{\beta_{mf} S_f^*}{N_f} & a_{33} &= -\frac{\beta_{mf} I_m^*}{N_f} + \frac{\beta_{mf} I_m^* S_f^*}{N_f^2} - \mu_f, \\
a_{41} &= -\frac{\beta_{fm} S_m^*}{N_m} & a_{42} &= \frac{\beta_{fm} I_f^* S_m^*}{N_m^2} + \delta_m & a_{44} &= -\frac{\beta_{fm} I_f^*}{N_m} + \frac{\beta_{fm} I_f^* S_m^*}{N_m^2} - \mu_m.
\end{aligned}$$

Then we have

$$|J(E^*) - \lambda I| = \begin{vmatrix} a_{11} - \lambda & a_{12} & a_{13} & 0 \\ a_{21} & a_{22} - \lambda & 0 & a_{24} \\ a_{31} & a_{32} & a_{33} - \lambda & 0 \\ a_{41} & a_{42} & 0 & a_{44} - \lambda \end{vmatrix}.$$

Adding the first row to the third row, and the second row to the last row, we get

$$|J(E^*) - \lambda I| = \begin{vmatrix} a_{11} - \lambda & a_{12} & a_{13} & 0 \\ a_{21} & a_{22} - \lambda & 0 & a_{24} \\ -\mu_f - \lambda & 0 & -\mu_f - \lambda & 0 \\ 0 & -\mu_m - \lambda & 0 & -\mu_m - \lambda \end{vmatrix}.$$

The characteristic equation is

$$(\lambda + \mu_f)(\lambda + \mu_m)(\lambda^2 + b\lambda + c) = 0,$$

where

$$b = \delta_f + \mu_f + \delta_m + \mu_m + \frac{\beta_{fm} I_f^*}{N_m} + \frac{\beta_{mf} I_m^*}{N_f} > 0,$$

$$c = (\delta_f + \mu_f)(\delta_m + \mu_m) + (\delta_f + \mu_f) \frac{\beta_{fm} I_f^*}{N_m} + (\delta_m + \mu_m) \frac{\beta_{mf} I_m^*}{N_f} > 0.$$

Clearly, all eigenvalues of $J(E^*)$ have negative real parts. Therefore, the endemic equilibrium E^* is locally asymptotically stable when $R_0 > 1$.

Appendix D. Proof of Theorem 5

Considering limiting system, namely, $N_f \equiv N_f^* = \frac{\Lambda_f}{\mu_f}$ and $N_m \equiv N_m^* = \frac{\Lambda_m}{\mu_m}$, system (2) can be reduced to

$$\begin{cases} I_f' = \frac{\beta_{mf} I_m (N_f^* - I_f)}{N_f^*} - (\delta_f + \mu_f) I_f, \\ I_m' = \frac{\beta_{fm} I_f (N_m^* - I_m)}{N_m^*} - (\delta_m + \mu_m) I_m. \end{cases} \quad (3)$$

This is a two-dimensional system, to which we can apply Dulac's criterion. We define

$$X = \{(I_f, I_m) \in \mathfrak{R}_+^2 : I_k \leq \Lambda_k / \mu_k, k = f, m\}.$$

Denote

$$F(I_f, I_m) = \frac{\beta_{mf} I_m (N_f^* - I_f)}{N_f^*} - (\delta_f + \mu_f) I_f,$$

$$G(I_f, I_m) = \frac{\beta_{fm} I_f (N_m^* - I_m)}{N_m^*} - (\delta_m + \mu_m) I_m.$$

Using 1 as the Dulac multiplier, we get

$$\frac{\partial F(I_f, I_m)}{\partial I_f} + \frac{\partial G(I_f, I_m)}{\partial I_m} = -\frac{\beta_{mf} I_m}{N_f^*} - (\delta_f + \mu_f) - \frac{\beta_{fm} I_f}{N_m^*} - (\delta_m + \mu_m) < 0.$$

Therefore, there are no periodic orbits in region X (Martcheva, 2015). Since the unique endemic equilibrium E^* is locally asymptotically stable when $R_0 > 1$, it is globally asymptotically stable when $R_0 > 1$.

Appendix E. Proof of Theorem 7

To get the endemic equilibrium, we set the right-hand side of system (1) to zero. At the equilibrium, we use $N_k^* = V_k - I_k$ to replace S_k , where $N_k^* = \Lambda_k / \mu_k, k \in \{f, m\}$. It follows that

$$\begin{cases} \phi_f \Lambda_f - (1 - \tau) \lambda_f V_f - \mu_f V_f = 0, \\ \lambda_f (N_f^* - \tau V_f - I_f) - (\delta_f + \mu_f) I_f = 0, \\ \phi_m \Lambda_m - (1 - \tau) \lambda_m V_m - \mu_m V_m = 0, \\ \lambda_m (N_m^* - \tau V_m - I_m) - (\delta_m + \mu_m) I_m = 0, \end{cases} \quad (4)$$

where

$$\lambda_k = \frac{\beta_{k'k} I_{k'}}{N_k^*}, \quad k, k' \in \{f, m\}, \quad k \neq k'.$$

From the third equation of (4), we get

$$V_m = \frac{\phi_m \Lambda_m}{(1 - \tau) \lambda_m + \mu_m} = \frac{\phi_m \Lambda_m}{(1 - \tau) \frac{\beta_{fm} I_f}{N_m^*} + \mu_m}, \text{ denoted by } g_1(I_f).$$

From the last equation of (4), we have $I_m = g_2(I_f) I_f$, where

$$g_2(I_f) = \frac{[N_m^* - \tau g_1(I_f)] \frac{\beta_{fm}}{N_m^*}}{\frac{\beta_{fm}}{N_m^*} I_f + \delta_m + \mu_m}.$$

Substituting into the first equation of (4), we get

$$V_f = \frac{\phi_f \Lambda_f}{(1 - \tau) \lambda_f + \mu_f} = \frac{\phi_f \Lambda_f}{(1 - \tau) \frac{\beta_{mf} g_2(I_f) I_f}{N_f^*} + \mu_f}, \text{ denoted by } g_3(I_f).$$

Substituting into the second equation of (4), we have

$$\frac{\beta_{mf}}{N_f^*} g_2(I_f) I_f [N_f^* - \tau g_3(I_f) - I_f] - (\delta_f + \mu_f) I_f = 0.$$

Define

$$G(I_f) = \frac{\beta_{mf}}{N_f^*} g_2(I_f) [N_f^* - \tau g_3(I_f) - I_f] - (\delta_f + \mu_f).$$

We notice that

$$g_1(0) = \phi_m N_m^*, \quad g_2(0) = \frac{(1 - \tau \phi_m) \beta_{fm}}{\delta_m + \mu_m}, \quad g_3(0) = \phi_f N_f^*.$$

Hence

$$\begin{aligned} G(0) &= \frac{\beta_{mf}}{N_f^*} g_2(0) [N_f^* - \tau g_3(0)] - (\delta_f + \mu_f) \\ &= \frac{\beta_{mf}}{N_f^*} \frac{(1 - \tau \phi_m) \beta_{fm}}{\delta_m + \mu_m} [N_f^* - \tau \phi_f N_f^*] - (\delta_f + \mu_f) \\ &= \frac{\beta_{mf} \beta_{fm} (1 - \tau \phi_m)(1 - \tau \phi_f)}{\delta_m + \mu_m} - (\delta_f + \mu_f) \\ &= (\delta_f + \mu_f) [R_0^2(\phi_f, \phi_m) - 1]. \end{aligned}$$

Thus, $G(0) > 0$ when $R_0(\phi_f, \phi_m) > 1$. On the other hand,

$$\lim_{I_f \rightarrow N_f^*} g_1(I_f) = \lim_{I_f \rightarrow N_f^*} \frac{\phi_m \Lambda_m}{(1 - \tau) \frac{\beta_{mf} I_f}{N_m^*} + \mu_m} < \frac{\phi_m \Lambda_m}{\mu_m} = \phi_m N_m^* \leq N_m^*.$$

Hence, $\lim_{I_f \rightarrow N_f^*} [N_m^* - \tau g_1(I_f)] > 0$. Consequently

$$\lim_{I_f \rightarrow N_f^*} g_2(I_f) = \lim_{I_f \rightarrow N_f^*} \frac{[N_m^* - \tau g_1(I_f)] \frac{\beta_{fm}}{N_m^*}}{\frac{\beta_{fm}}{N_m^*} I_f + \delta_m + \mu_m} > 0.$$

It follows that

$$\lim_{I_f \rightarrow N_f^*} g_3(I_f) = \lim_{I_f \rightarrow N_f^*} \frac{\phi_f \Lambda_f}{(1 - \tau) \frac{\beta_{mf} g_2(I_f) I_f}{N_f^*} + \mu_f} \geq 0.$$

Therefore,

$$\lim_{I_f \rightarrow N_f^*} [N_f^* - \tau g_3(I_f) - I_f] \leq \lim_{I_f \rightarrow N_f^*} (N_f^* - I_f) = 0.$$

Since $\lim_{I_f \rightarrow N_f^*} g_2(I_f) > 0$,

$$\begin{aligned} \lim_{I_f \rightarrow N_f^*} G(I_f) &= \lim_{I_f \rightarrow N_f^*} \left\{ \frac{\beta_{mf}}{N_f^*} g_2(I_f) [N_f^* - \tau g_3(I_f) - I_f] - (\delta_f + \mu_f) \right\} \\ &\leq -(\delta_f + \mu_f) < 0. \end{aligned}$$

Therefore, there exists $I_f^* \in (0, N_f^*)$ such that $G(I_f^*) = 0$. It follows that $V_m^* = g_1(I_f^*) \in (0, \phi_m N_m^*)$, which indicates $g_2(I_f^*) > 0$. Hence $I_m^* = g_2(I_f^*) I_f^* > 0$. Since $\lambda_m^* = \beta_{fm} I_f^* / N_m^* > 0$, we have $S_m^* = [(1 - \phi_m) \Lambda_m + \delta_m I_m^*] / (\lambda_m^* + \mu_m) > 0$. Since $S_m^* + V_m^* + I_m^* = N_m^*$, we have $S_m^*, V_m^*, I_m^* \in (0, N_m^*)$. Similarly, we have $S_f^*, V_f^*, I_f^* \in (0, N_f^*)$. This completes the proof.

Appendix F. Proof of Theorem 8

From $\phi_f \Lambda_f + \phi_m \Lambda_m = v$, we derive $\phi_m = \frac{v - \phi_f \Lambda_f}{\Lambda_m}$. It follows that

$$\begin{aligned} R_0^2(\phi_f, \phi_m) &= \frac{\beta_{mf} \beta_{fm}}{(\delta_m + \mu_m)(\delta_f + \mu_f)} (1 - \tau \phi_f)(1 - \tau \phi_m) \\ &= \frac{\beta_{mf} \beta_{fm}}{(\delta_m + \mu_m)(\delta_f + \mu_f)} (1 - \tau \phi_f) \left(1 - \tau \frac{v - \phi_f \Lambda_f}{\Lambda_m}\right), \end{aligned}$$

where

$$\max \left\{ 0, \frac{v - \Lambda_m}{\Lambda_f} \right\} \leq \phi_f \leq \min \left\{ 1, \frac{v}{\Lambda_f} \right\}.$$

We have 4 cases.

Case 1. $v \leq \Lambda_f$ and $v \leq \Lambda_m$.

It is easy to check that

$$\max \left\{ 0, \frac{v - \Lambda_m}{\Lambda_f} \right\} = 0, \min \left\{ 1, \frac{v}{\Lambda_f} \right\} = \frac{v}{\Lambda_f}.$$

Hence

$$0 \leq \phi_f \leq \frac{v}{\Lambda_f}.$$

The value $\min R_0^2(\phi_f, \phi_m)$ can only be attained at point $\phi_f = 0$ or $\phi_f = \frac{v}{\Lambda_f}$. Since

$$R_0^2|_{\phi_f=0} = \frac{\beta_{mf} \beta_{fm}}{(\delta_m + \mu_m)(\delta_f + \mu_f)} \left(1 - \tau \frac{v}{\Lambda_m}\right),$$

$$R_0^2|_{\phi_f=\frac{v}{\Lambda_f}} = \frac{\beta_{mf} \beta_{fm}}{(\delta_m + \mu_m)(\delta_f + \mu_f)} \left(1 - \tau \frac{v}{\Lambda_f}\right),$$

and $\min R_0$ and $\min R_0^2$ are obtained at the same point, we have the following results:

- (a) When $v \leq \Lambda_m < \Lambda_f$, $\min R_0(\phi_f, \phi_m)$ is attained at point $\phi_f = 0, \phi_m = \frac{v}{\Lambda_m}$;
- (b) When $v \leq \Lambda_f < \Lambda_m$, $\min R_0(\phi_f, \phi_m)$ is attained at point $\phi_f = \frac{v}{\Lambda_f}, \phi_m = 0$;
- (c) When $v \leq \Lambda_f = \Lambda_m$, $\min R_0(\phi_f, \phi_m)$ is attained at point $\phi_f = 0, \phi_m = \frac{v}{\Lambda_m}$ or $\phi_f = \frac{v}{\Lambda_f}, \phi_m = 0$.

Case 2. $\Lambda_f < v \leq \Lambda_m$.

In this case, we have

$$\max \left\{ 0, \frac{v - \Lambda_m}{\Lambda_f} \right\} = 0, \min \left\{ 1, \frac{v}{\Lambda_f} \right\} = 1.$$

Thus, $0 \leq \phi_f \leq 1$. By the same reason as above, we compare

$$R_0^2|_{\phi_f=0} = \frac{\beta_{mf} \beta_{fm}}{(\delta_m + \mu_m)(\delta_f + \mu_f)} \left(1 - \tau \frac{v}{\Lambda_m}\right)$$

and

$$R_0^2|_{\phi_f=1} = \frac{\beta_{mf} \beta_{fm}}{(\delta_m + \mu_m)(\delta_f + \mu_f)} (1 - \tau) \left(1 - \tau \frac{v - \Lambda_f}{\Lambda_m}\right).$$

Since $\Lambda_f < v \leq \Lambda_m$ and $v \leq \Lambda_f + \Lambda_m$, we have $1 - \tau \leq 1 - \tau \frac{v}{\Lambda_m}$ and $0 \leq 1 - \tau \leq 1 - \tau \frac{v - \Lambda_f}{\Lambda_m} < 1$, which implies $R_0^2|_{\phi_f=0} > R_0^2|_{\phi_f=1}$. Therefore, we have the following result:

Table 8

Estimation of the numbers of 14-year-old girls and boys in 2021–2033 from newborns in 2007–2019*.

Year (newborn)	Newborn	U5MR children	Sex ratio (age 14)	Year girls	14-year boys	Sex ratio	14-year	14-year
2007	710000	15.77	120.68	2021	696787.9	117.376	326623	383377
2008	720000	13.4	121.12	2022	708615.4	117.816	330554	389446
2009	720000	11.22	121.56	2023	710467.5	118.256	329888	390112
2010	720000	10.88	122	2024	710756.4	118.696	329224	390776
2011	710000	9.7	120.4	2025	701873.3	117.096	327044	382956
2012	740000	7.89	118.8	2026	733110.5	115.496	343394	396606
2013	750000	7.73	117.2	2027	743159	113.896	350638	399362
2014	720000	7.74	115.6	2028	713424.1	112.296	339149	380851
2015	720000	6.25	114	2029	714690	110.696	341725	378275
2016	770000	6.03	113.446	2030	764521.1	110.142	366419	403581
2017	820000	4.99	112.892	2031	815171.7	109.588	391244	428756
2018	710000	4.98	112.338	2032	705827.8	109.034	339658	370342
2019	660000	4.77	111.23	2033	656285.1	107.926	317421	342579

*U5MR: under 5 mortality rate; Sex ratio: male/female (female is 100); The number of 14-year children is derived from the number of newborn and U5MR; Sex ratio at age 14 is derived from sex ratio at newborn; Numbers of 14-years girls and boys are derived from the number of 14-year children and the sex ratio at age 14 (for details see Appendix H).

(d) When $\Lambda_f < v \leq \Lambda_m$, $\min R_0(\phi_f, \phi_m)$ is attained at point $\phi_f = 1, \phi_m = \frac{v - \Lambda_f}{\Lambda_m}$.

Case 3. $\Lambda_m < v \leq \Lambda_f$.

By similar argument as in Case 2, we can derive the following result:

(e) When $\Lambda_m < v \leq \Lambda_f$, $\min R_0(\phi_f, \phi_m)$ is attained at point $\phi_f = \frac{v - \Lambda_m}{\Lambda_f}, \phi_m = 1$.

Case 4. $v > \Lambda_f$ and $v > \Lambda_m$.

By similar argument as above, we compare

$$R_0^2|_{\phi_f = \frac{v - \Lambda_m}{\Lambda_f}} = \frac{\beta_{mf}\beta_{fm}}{(\delta_m + \mu_m)(\delta_f + \mu_f)} \left(1 - \tau \frac{v - \Lambda_m}{\Lambda_f}\right) (1 - \tau)$$

and

$$R_0^2|_{\phi_f = 1} = \frac{\beta_{mf}\beta_{fm}}{(\delta_m + \mu_m)(\delta_f + \mu_f)} (1 - \tau) \left(1 - \tau \frac{v - \Lambda_f}{\Lambda_m}\right).$$

When $\Lambda_f < \Lambda_m$, from $v \leq \Lambda_f + \Lambda_m$ we have $(\Lambda_m - \Lambda_f)v \leq \Lambda_m^2 - \Lambda_f^2$. Hence $\frac{v - \Lambda_m}{\Lambda_f} \leq \frac{v - \Lambda_f}{\Lambda_m}$. This implies $R_0^2|_{\phi_f = \frac{v - \Lambda_m}{\Lambda_f}} \geq R_0^2|_{\phi_f = 1}$. Similarly, when $\Lambda_f > \Lambda_m$, we can derive $R_0^2|_{\phi_f = \frac{v - \Lambda_m}{\Lambda_f}} \leq R_0^2|_{\phi_f = 1}$. Therefore, we have the following results:

- (f) When $\Lambda_f < \Lambda_m < v$, $\min R_0(\phi_f, \phi_m)$ is attained at point $\phi_f = 1, \phi_m = \frac{v - \Lambda_f}{\Lambda_m}$;
 (g) When $\Lambda_m < \Lambda_f < v$, $\min R_0(\phi_f, \phi_m)$ is attained at point $\phi_f = \frac{v - \Lambda_m}{\Lambda_f}, \phi_m = 1$;
 (h) When $\Lambda_f = \Lambda_m < v$, $\min R_0(\phi_f, \phi_m)$ is attained at point $\phi_f = 1, \phi_m = \frac{v - \Lambda_f}{\Lambda_m}$ or $\phi_f = \frac{v - \Lambda_m}{\Lambda_f}, \phi_m = 1$.

Summing up all the results from (a)–(h), we get the following results. If $\Lambda_k \leq \Lambda_{k'}$, $\min R_0(\phi_f, \phi_m)$ is attained at: (i) $\phi_k = \frac{v}{\Lambda_k}, \phi_{k'} = 0$ when $v \leq \Lambda_k$; (ii) $\phi_k = 1, \phi_{k'} = \frac{v - \Lambda_k}{\Lambda_{k'}}$ when $v > \Lambda_k$, where $k, k' \in \{f, m\}$ and $k \neq k'$.

Considering the special case $\Lambda_f = \Lambda_m = \Lambda$, we only have Case 1 and Case 4. If we consider $R_0^2(\phi_f, \phi_m)$ as a function of ϕ_f , then it becomes a quadratic function, which has the axis of symmetry $\phi_f = \frac{v}{2\Lambda}$. In either case, from $v \leq 2\Lambda$ we have $\max\{0, \frac{v - \Lambda}{\Lambda}\} \leq \phi_f^* = \frac{v}{2\Lambda} \leq \min\{1, \frac{v}{\Lambda}\}$. According to the properties of quadratic functions, $\max R_0(\phi_f, \phi_m)$ can be achieved at ϕ_f^* , and

the closer ϕ_f approaches ϕ_f^* , the bigger R_0 is. Moreover, $\phi_f^* = \frac{v}{2\Lambda}$ corresponds to $\phi_f^* = \phi_m^* = \frac{v}{2\Lambda}$. When ϕ_f approaches ϕ_f^* , ϕ_f and ϕ_m are getting closer to each other. In other words, when $\Lambda_f = \Lambda_m = \Lambda$, $\max R_0(\phi_f, \phi_m)$ is attained at $\phi_f = \phi_m = \frac{v}{2\Lambda}$, and the smaller $|\phi_f - \phi_m|$, the bigger $R_0(\phi_f, \phi_m)$.

Appendix G. Proof of Corollary 1

Considering $\min R_0^2$ as a function of the vaccine amount v and denote it by $h(v)$, we can summarize the results from Appendix F as follows:

(i) When $\Lambda_f \leq \Lambda_m$

$$h(v) = \begin{cases} R_0^2\left(\frac{v}{\Lambda_f}, 0\right) = \frac{\beta_{mf}\beta_{fm}}{(\delta_m + \mu_m)(\delta_f + \mu_f)} \left(1 - \tau \frac{v}{\Lambda_f}\right), & v \leq \Lambda_f, \\ R_0^2\left(1, \frac{v - \Lambda_m}{\Lambda_m}\right) = \frac{\beta_{mf}\beta_{fm}}{(\delta_m + \mu_m)(\delta_f + \mu_f)} (1 - \tau) \left(1 - \tau \frac{v - \Lambda_m}{\Lambda_m}\right), & v > \Lambda_f. \end{cases}$$

(ii) When $\Lambda_f > \Lambda_m$

$$h(v) = \begin{cases} R_0^2\left(0, \frac{v}{\Lambda_m}\right) = \frac{\beta_{mf}\beta_{fm}}{(\delta_m + \mu_m)(\delta_f + \mu_f)} \left(1 - \tau \frac{v}{\Lambda_m}\right), & v \leq \Lambda_m, \\ R_0^2\left(\frac{v - \Lambda_m}{\Lambda_f}, 1\right) = \frac{\beta_{mf}\beta_{fm}}{(\delta_m + \mu_m)(\delta_f + \mu_f)} \left(1 - \tau \frac{v - \Lambda_m}{\Lambda_f}\right) (1 - \tau), & v > \Lambda_m. \end{cases}$$

For Case (i), the derivative is

$$h'(v) = \begin{cases} -\frac{\beta_{mf}\beta_{fm}}{(\delta_m + \mu_m)(\delta_f + \mu_f)} \frac{\tau}{\Lambda_f}, & v \leq \Lambda_f, \\ -\frac{\beta_{mf}\beta_{fm}}{(\delta_m + \mu_m)(\delta_f + \mu_f)} (1 - \tau) \frac{\tau}{\Lambda_m}, & v > \Lambda_f. \end{cases}$$

We have $h'(v) < 0$. Since $\Lambda_f \leq \Lambda_m$, we have $\frac{1}{\Lambda_f} > \frac{1 - \tau}{\Lambda_m}$. Therefore, $|h'(v)|$ is smaller for $v \leq \Lambda_f$ than that for $v > \Lambda_f$. This shows that $h(v)$ is a decreasing function and the decline speed when $v \leq \Lambda_f$ is greater than that when $v > \Lambda_f$. This means that the vaccination becomes less effective once vaccine shots exceed the number of female recruits. A similar conclusion can be drawn for Case (ii).

Appendix H. Estimation of the numbers of 14-year-old boys and girls in Guangxi in 2021–2033

Under-five mortality rate (U5MR) is the probability of dying by age 5 per 1000 live births. The probability of dying among children aged 5–14 is about 18% of U5MR in the same year (Under-five mortality rate, 2021; Mortality among children, 2019). Therefore,

we estimate the number of children who are alive by age 14 to be newborn*(1-U5MR-U5MR*18%). The national census is only conducted at the year ending with 0, and the 1% national sample census is conducted at the year ending with 5. According to Fig. 1.9 Sex ratio (2018) and Tabulation on the 2010 Population Census of China (2010), the sex ratio (male/female) is 115.6 (assume females are 100) for newborns in 1995 in China, and it is 112.06 for 15-year-old children in 2010. Thus, we estimate the sex ratio at age 14 to be the sex ratio at newborn (same cohort) $-\frac{14}{15} \times (115.6 - 112.06)$. Using the number of 14 year-old children and the sex ratio for age 14, we can estimate the numbers of 14-year-old girls and boys in 2021–2033. The results are given in Table 8.

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