Structural basis for Cas9 off-target activity

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28 **SUMMARY**

29 The target DNA specificity of the CRISPR-associated genome editor nuclease Cas9 is

30 determined by complementarity to a 20-nucleotide segment in its guide RNA. However, Cas9

31 can bind and cleave partially complementary off-target sequences, which raises safety concerns

32 for its use in clinical applications. Here we report crystallographic structures of Cas9 bound

33 to *bona fide* off-target substrates, revealing that off-target binding is enabled by a range of non-

34 canonical base pairing interactions and preservation of base stacking within the guide–off-target

35 heteroduplex. Off-target sites containing single-nucleotide deletions relative to the guide RNA

36 are accommodated by base skipping or multiple non-canonical base pairs rather than RNA

37 bulge formation. Additionally, PAM-distal mismatches result in duplex unpairing and induce a

38 conformational change of the Cas9 REC lobe that perturbs its conformational activation.

39 Together, these insights provide a structural rationale for the off-target activity of Cas9 and

40 contribute to the improved rational design of guide RNAs and off-target prediction algorithms.

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INTRODUCTION

Cas9, the effector nuclease of prokaryotic Type II CRISPR adaptive immune systems (Makarova et al., 2020), cleaves double-stranded DNA substrates complementary to a guide CRISPR RNA (crRNA) (Jinek et al., 2012). By changing the sequence of the guide RNA, the target DNA specificity of the CRISPR-Cas9 system is readily programmable (Jinek et al., 2012), a feature that has been widely exploited for genome engineering applications (Anzalone et al., 2020). Cas9 functions in conjunction with a trans-activating crRNA (tracrRNA), which is required both for crRNA loading and subsequent DNA binding and cleavage (Deltcheva et al., 2011; Jinek et al., 2012). Target DNA binding and cleavage is further dependent on the presence of a protospacer-adjacent motif (PAM) flanking the target sequence (Anders et al., 2014; Jinek et al., 2012). Due to its high activity and 5'-NGG-3' PAM specificity, *Streptococcus pyogenes* Cas9 (SpCas9) remains the most widely used CRISPR-Cas nuclease for gene editing applications. However, despite a high intrinsic accuracy in generating targeted DNA breaks, SpCas9 can nevertheless cleave genomic DNA sequences with imperfect complementarity to the guide RNA, resulting in off-target editing (Cameron et al., 2017; Hsu et al., 2013; Pattanayak et al., 2013; Tsai et al., 2015). The off-target activity of SpCas9, as well as other Cas9 enzymes, thus presents a safety concern for their therapeutic applications.

Off-target sites typically contain one or several nucleobase mismatches relative to the guide RNA (Cameron et al., 2017; Tsai et al., 2017; Tsai et al., 2015). Recent studies have established that the type of mismatch, its positioning, and the total number of mismatches are important determinants of off-target DNA binding and cleavage (Boyle et al., 2017; Boyle et al., 2021; Doench et al., 2016; Jones et al., 2021; Zhang et al., 2020). PAM-proximal mismatches within the seed region of the guide RNA (gRNA)-target strand (TS) DNA heteroduplex typically have a dramatic impact on substrate DNA binding and R-loop formation (Boyle et al., 2021; Ivanov et al., 2020; Singh et al., 2016; Zhang et al., 2020). In contrast,

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67 PAM-distal mismatches are compatible with stable binding; however, their presence often

68 results in the formation of a catalytically incompetent complex (Boyle et al., 2021; Dagdas et

69 al., 2017; Ivanov et al., 2020; Jones et al., 2021; Sternberg et al., 2015; Yang et al., 2018; Zhang

70 et al., 2020). In addition, Cas9 has been shown to cleave off-target substrates containing

71 insertions or deletions relative to the guide RNA sequence, which have been proposed to be

72 recognized through the formation of nucleotide "bulges" in the gRNA-TS DNA heteroduplex

73 (Boyle et al., 2021; Cameron et al., 2017; Doench et al., 2016; Jones et al., 2021; Lin et al.,

74 2014; Tsai et al., 2015).

75   Numerous computational tools have been developed to predict possible genomic off-

76 target sites based on sequence similarity (Bae et al., 2014; Stemmer et al., 2015). However, the

77 majority of actual off-target cleavage events remain unpredicted (Cameron et al., 2017; Tsai et

78 al., 2015). Furthermore, although Cas9 is able to bind genomic sites harbouring as few as five

79 complementary nucleotides, only a relatively small number of off-target sites are actually

80 cleaved and result in detectable off-target editing in cells (Kuscu et al., 2014; O'Geen et al.,

81 2015; Wu et al., 2014). Several structures of target-bound Cas9 complexes have been

82 determined to date (Anders et al., 2014; Jiang et al., 2016; Nishimasu et al., 2014; Zhu et al.,

83 2019) that have shed light on the mechanism of on-target binding and cleavage. However, the

84 same processes for off-target sites remain poorly understood.

85   To elucidate the mechanism of mismatch tolerance of Cas9, we determined crystal

86 structures of a comprehensive set of *bona fide* off-target–bound complexes. These structures

87 reveal that the formation of non-canonical base pairs and preservation of heteroduplex shape

88 underpin the off-target tolerance of Cas9. We also observe that multiple consecutive

89 mismatches can be accommodated by base skipping of a guide RNA nucleotide, as opposed to

90 nucleotide bulging. Finally, the structure of an off-target complex containing three PAM-distal

91 mismatches exhibits REC2/3 domain rearrangements, which likely perturbs conformational

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92   activation of Cas9 and thus modulates cleavage efficiency. Taken together, our structural data

93   reveal the diversity of mechanisms enabling off-target recognition and lay the foundation for

94   improved off-target prediction and engineering optimized CRISPR-Cas9 complex designs for

95   gene editing.

RESULTS

In vitro profiling reveals diversity of Cas9 off-targets

Multiple studies have investigated the off-target activity of Cas9, suggesting context-dependent tolerance of nucleobase mismatches between the guide RNA and off-target DNA sequences (Boyle et al., 2021; Cameron et al., 2017; Lazzarotto et al., 2020; Tsai et al., 2015; Zhang et al., 2020). To investigate the effect of mismatches on Cas9 binding and cleavage, we performed the SITE-Seq® assay (Cameron et al., 2017) to define the off-target landscapes of 12 well-studied guide RNAs to select suitable off-targets for further evaluation (**Table S1, Table S2**) (**Figure S1A, Table 1**). The SITE-Seq assay analysis revealed a total of 3,848 detectable off-target sites at the highest Cas9 ribonucleoprotein (RNP) concentration, with a median of 5 mismatches per off-target site (**Figure S1C**). The detected mismatches covered all possible base mismatch combinations and were distributed throughout the length of the gRNA-TS DNA heteroduplex (**Figure S1D-E**).

To probe the thermodynamics of on- and off-target substrate DNA binding and the kinetics of DNA cleavage, we focused on a subset of four guide RNAs (*AAVS1*, *FANCF*, *PTPRC-tgt2*, and *TRAC*) and a total of 15 *bona fide* off-target sites detectable *in vivo* (Cameron et al., 2017; Donohoue et al., 2021; Tsai et al., 2017; Tsai et al., 2015) (**Figure 1A**) that covered all 12 possible base mispair types. To benchmark the editing efficiency of the selected off-target substrates *in vivo*, we transfected human primary T cells with recombinant Cas9 RNPs at multiple concentrations and quantified indel frequencies. We observed efficient cellular editing at the on-target site for each guide RNA at all RNP concentrations, and detected editing at 7 of the 15 off-target sites in our set, including the deletion-containing FANCF off-target #3 (**Figure S3, Table S3**).

In vitro nuclease activity assays revealed that all selected off-target sequences were cleaved slower than the corresponding on-target substrates, with 20–500-fold reductions in the

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calculated rate constants (**Table 1, Figure S2A**). To distinguish whether the cleavage defects were due to slow R-loop formation or perturbations in downstream steps, we also quantified cleavage kinetics using partially single-stranded PAMmer DNA substrates (Anders et al., 2014; O'Connell et al., 2014). These experiments revealed that the slower cleavage kinetics of most off-target substrates was due to perturbed R-loop formation (**Table 1, Figure S2B**). However, for some off-targets, notably *AAVS1* off-targets #2 and #5, *FANCF* off-targets #3, #4, and #6, and *PTPRC-tgt2* off-target #1, the rate of PAMmer substrate cleavage was more than 100-fold slower as compared to their respective on-target sequences (**Table 1**, **Figure S2B**), indicating perturbations in the conformational activation checkpoint downstream of guide-target hybridization or inhibition of cleavage by direct steric hindrance of the Cas9 HNH domain (Chen et al., 2017; Dagdas et al., 2017).

Complementary quantification of substrate DNA binding using DNA nanolever (switchSENSE) methodology revealed perturbations in the binding affinities of most off-targets (**Table 1, Data S1**). Notably, the reductions in binding affinities were almost entirely due to increased dissociation rates (k_{off}), while on-binding rates (k_{on}) were largely unperturbed (**Table 1**), indicating that most of the tested off-targets promote DNA dissociation, likely due to R-loop collapse. However, there was little correlation between the observed reductions in cleavage rates and binding constants (**Figure S2C**), confirming that the molecular basis for off-target discrimination by Cas9 is not based on substrate binding alone, in agreement with prior studies (Boyle et al., 2021; Chen et al., 2017; Dagdas et al., 2017; Jones et al., 2021; Yang et al., 2018; Zhang et al., 2020). The dissociation rate (k_{off}) correlated significantly only with the number of mismatches located in the seed region (R^2=0.46, p=0.001) (**Figure S2C**), suggesting that seed mismatches promote R-loop collapse and non-target strand (NTS) rehybridization (Boyle et al., 2017; Gong et al., 2018; Singh et al., 2016; Sternberg et al., 2014).

145   Finally, we compared the measured cleavage rate constants (k_{obs}) with predicted data
146   from a leading biophysical model of Cas9 off-target cleavage that accounts for mismatch
147   number and position, but utilises position-independent weights for mismatch type (Jones et al.,
148   2021). Despite good overall correlation between the model and our data (R^2=0.46, p=0.004)
149   (**Figure S2C**), there were several prominent outliers (*AAVS1* off-target #2 and off-target #3,
150   and *FANCF* off-target #4), suggesting that accurate modelling of off-target interactions requires
151   accounting for position-specific effects of individual mismatch types (**Figure S2D**).

152   **Crystallographic analysis of off-target interactions**

153   To obtain insights into the structural basis of off-target recognition and mismatch tolerance, we
154   employed a previously described approach (Anders et al., 2014) to co-crystallize Cas9 with
155   sgRNA guides and partially duplexed off-target DNA substrates (**Figure 1C**). Focusing on our
156   set of *AAVS1*, *FANCF*, *PTPRC-tgt2* and *TRAC* off-targets (**Figure 1A**), covering all 12 possible
157   mismatch types, we determined a total of 15 off-target complex structures at resolutions of
158   2.25–3.30 Å (**Figure 1C**, **Table S4**). Overall, the off-target complex structures have very
159   similar conformations, with the Cas9 polypeptide backbone superimposing with a mean root
160   mean square deviation of 0.41Å over 1330 Cα atoms (as referenced to the *FANCF* on-target
161   complex structure, excluding *FANCF* off-target #4, as discussed below). Of note, the *AAVS1*
162   on-target complex structure reveals substantial repositioning of the REC2 domain, where it
163   undergoes a 12° rotation (relative to *FANCF* and *TRAC* on-target complexes) (**Figure S4A,
164   Video S1**), with concomitant shortening of the α-helix comprising residues 301-305 and
165   restructuring of the loop comprising residues 175-179 (**Figure S4B**), enabled by the absence of
166   crystal contacts involving the REC2 and REC3 domains.

167   However, the structures display considerable local variation of the gRNA-TS DNA
168   heteroduplex conformation (**Table S5**). Base base pairing and base stacking are mostly
169   preserved throughout the heteroduplexes (**Table S6**), with the exception of positions 1–3 within

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170　the PAM-distal end of the guide-TS duplex, where the presence of mismatches results in duplex

171　unpairing (**Figure S5**). Interestingly, such unpairing results in the base stacking of the terminal

172　residue of the sgRNA on top of the unpaired DNA base, as observed in a recent cryo-EM

173　structure of a PAM-distal mismatch off-target complex (Bravo et al., 2022). Despite the

174　observed conformational variation, the off-target structures preserve almost all intermolecular

175　contacts between the Cas9 protein and the bound nucleic acids (**Figure S6**), further

176　underscoring the structural plasticity of Cas9 in accommodating mismatch-induced

177　heteroduplex distortions.

178　**Non-canonical base-pairing interactions facilitate off-target recognition**

179　A substantial fraction of the base pair mismatches observed in the determined off-target

180　complex structures (34 out of 49) is accommodated by non-canonical base pairing interactions

181　that preserve at least one hydrogen bond between the mispaired bases. The most common off-

182　target mismatches, both in our data set (**Table S1, Table S2**) and as reported by other studies

183　(Boyle et al., 2017; Doench et al., 2016; Hsu et al., 2013; Jones et al., 2021; Pattanayak et al.,

184　2013; Zhang et al., 2020), are rG-dT (**Figure S7**) and rU-dG (**Figure 2A, Figure S8**), which

185　have the potential to form wobble base pairs (Kimsey et al., 2015). Indeed, all rG-dT

186　mismatches in the determined structures are accommodated by wobble base pairing. Observed

187　at duplex positions 4, 13 and 15, the dT base undergoes a ~1 Å shear displacement into the

188　major groove of the gRNA–TS DNA heteroduplex to form the wobble base pair (**Figure S7A-**

189　**E**), whereas at duplex position 2, wobble base pairing is enabled by a minor groove

190　displacement of the rG base (**Figure S7F-G**). In contrast, rU-dG base pairs in the determined

191　structures exhibit considerable structural variation. At duplex position 10, the rU base is able

192　to undergo the major groove displacement required for wobble base-pairing (**Figure 2A, Figure**

193　**S8A**). In contrast, at duplex position 5, the backbone of the RNA strand makes extensive

194　contacts with Cas9 (**Figure S8B-D, Figure S6**). As a result, the rU-dG base pairs are instead

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195 accommodated by compensatory shifts of the dG base to maintain hydrogen-bonding

196 interactions (**Figure S8B-D**). At duplex position 9 in the *TRAC* off-target #1 complex, the rU-

197 dG mismatch is accommodated by wobble base-pairing enabled by a minor groove

198 displacement of the dG base (**Figure S8E**). At the same duplex position in the *AAVS1* off-target

199 #1 and #2 complexes, however, this mismatch occurs next to rC-dA and rC-dT mismatches,

200 respectively, and adopts the sterically prohibited Watson-Crick geometry (**Figure S8F-G**),

201 implying a tautomeric shift or base deprotonation to accommodate this otherwise unfavorable

202 base pairing mode (**Figure S8H**). Collectively, these observations suggest that the ability of

203 rU-dG (and likely rG-dT) mismatches to form wobble base pairing interactions is determined

204 not only by backbone constraints at the specific position within the gRNA-TS DNA

205 heteroduplex (**Figure S6**), but also by local sequence context and/or the presence of

206 neighboring mismatches (**Figure S8C-G**).

207 rA-dC or rC-dA mismatches can also form wobble-like base pairs when the adenine

208 base is protonated at the N1 position (Garg and Heinemann, 2018; Wang et al., 2011). In the

209 rA-dC mispairs found at duplex position 4, the dC base undergoes a wobble displacement

210 compatible with the formation of two hydrogen bonds with the adenine base, indicative of

211 adenine protonation (**Figure 2B**, **Figure S9A**). At other duplex positions in our data set, the

212 rA-dC or rC-dA mispairs are instead accommodated by slight displacements of the adenine

213 base within the base pair plane resulting in the formation of a single hydrogen bond in each

214 case (**Figure S9B-D**).

215 Accommodating purine-purine mismatches by Watson-Crick-like interactions would

216 normally require severe distortion of the guide–off-target duplex to increase its width by more

217 than 2 Å (Leontis et al., 2002). At positions where the duplex width is constrained by Cas9

218 interactions (**Figure S6**), rG-dA and rA-dG mispairs are accommodated by *anti*-to-*syn*

219 isomerization of the adenine base to form two hydrogen-bonding interactions via its Hoogsteen

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220 base edge. This is observed at duplex position 11 in the *AAVS1* off-target #4 complex (rG-dA

221 mispair) (**Figure 2C**) and at position 7 in the *AAVS1* off-target #2 complex (rA-dG mispair)

222 (**Figure S9E**). Similarly, the rG-dG mispair at duplex position 13 in the *FANCF* off-target #5

223 complex is accommodated by Hoogsteen base-pairing as a result by *anti*-to-*syn* isomerization

224 of the guide RNA base (**Figure 2D**). Overall, the observed Hoogsteen base pairing interactions

225 are near-isosteric with Watson-Crick base pairs and maintain duplex width without excessive

226 backbone distortion (**Table S6**).

Duplex backbone rearrangements accommodate otherwise non-productive mismatches

228 Whereas wobble (G-U/T or A-C) and Hoogsteen (A-G or G-G) base pairs are generally

229 compatible with the canonical A-form geometry of an RNA-DNA duplex, other nucleotide

230 mismatches only form non-isosteric base pairs that require considerable distortion of the

231 (deoxy)ribose-phosphate backbone. The formation of pyrimidine-pyrimidine base pairs is

232 expected to occur by a substantial reduction in duplex width (Leontis et al., 2002). This is

233 observed at the rU-dC mismatch at duplex position 9 in the *FANCF* off-target #1 complex

234 (**Figure 3A**). Here, the guide RNA backbone is able to shift towards the target DNA strand,

235 resulting in a reduction of the C1'–C1' distance to 8.65 Å as compared to 10.0 Å in the *FANCF*

236 on-target complex. This facilitates the formation of two hydrogen bonding interactions within

237 the rU-dC base pair, which is further enabled by a substantial increase in base propeller twist

238 (**Figure 3A**). In contrast, rC-dT mismatches remain unpaired at duplex positions 6 and 7 in

239 (**Figure S10A-B**), or form only a single hydrogen bond at position 8 (**Figure S10C**), likely due

240 to backbone steric constraints at these positions imposed by Cas9 interactions (**Figure S6**). Of

241 note, the *FANCF* off-target #7 rC-dT mismatch is bridged by hydrogen bonding interactions

242 with the side chain of Arg895 inserted into the minor groove of the heteroduplex (**Figure**

243 **S10B**); however, the interaction is not essential for the tolerance of rC-dT mismatches at this

244 position (**Figure S11, Table S7**). Backbone steric constraints also likely influence the

11

245 formation of rU-dT base pairs (**Figure S6**). At duplex position 7, the mismatch remains

246 unpaired (**Figure S10D**), whereas productive pairing is seen at duplex positions 8 and 9,

247 facilitated by distortions of the guide RNA and TS backbone, respectively (**Figure 3B, Figure**

248 **S10E-F**).

249   rC-dC mismatches only form productive hydrogen-bonding interactions if bridged by a

250 water molecule or when one of the cytosine bases is protonated (Leontis et al., 2002). Only the

251 former is observed in the determined structures, at duplex position 5 in the *AAVS1* off-target #2

252 complex (**Figure S10G**). In contrast, at duplex position 8 and 15, the bases remain unpaired

253 while maintaining intra-strand base stacking interactions (**Figure 3C, Figure S10H**). Similarly,

254 rA-dA mismatches are unable to form productive hydrogen-bonding interactions within the

255 constraints of an A-form duplex (Leontis et al., 2002). Accordingly, the rA-dA mismatch at

256 duplex position 5 is accommodated by extrusion of the dA nucleobase out of the base stack into

257 the major groove of the duplex (**Figure 3D**). As the duplex width is constrained at this position

258 by Cas9 (**Figure S6**), the base extrusion is enabled by local distortion of the TS backbone

259 (**Figure S12A**). Analysis of our SITE-Seq assay data set revealed that off-target rA-dA

260 mismatches occur at all positions within the gRNA–TS DNA heteroduplex (**Figure S12B**), in

261 agreement with previous studies (Boyle et al., 2017; Boyle et al., 2021; Doench et al., 2016;

262 Jones et al., 2021; Zhang et al., 2020). This suggests that rA-dA mismatches do not encounter

263 steric barriers within Cas9 that would disfavour their presence, which is consistent with the

264 absence of specific contacts with Cas9 along the length of the major groove of the heteroduplex

265 (**Figure S6**).

266   To gain further insights into the mechanism of rA-dA mismatch accommodation and

267 extrapolate our structural observations to other heteroduplex positions, we performed molecular

268 dynamics (MD) simulations by introducing single rA-dA mismatches at all 20 positions along

269 the heteroduplex in the context of the catalytically active state of Cas9 (PDB: 6O0Y) and

270   compared the resulting trajectories to the corresponding on-target system. rA-dA mismatch

271   simulations at positions 5, 18, and 19 showed very good agreement with the determined crystal

272   structures (*PTPRC-tgt2 off-target #1*, *FANCF off-target #6*, *AAVS1 off-target #2)*, predicting

273   both dA base extrusion and phosphate backbone distortions (**Figure S12C-D**). In-depth

274   analysis of the rA-dA conformational dynamics was performed by computing the geometrical

275   descriptors defining the base pair complementarity along the heteroduplex (**Figure S12D,**

276   **Figure S13**) (Lavery et al., 2009). These reveal that at all positions, the rA-dA mismatch is

277   primarily accommodated by base extrusion from the duplex, with positions 3, 5 ,15, 16, 18, 19

278   and 20 undergoing dA base extrusion, and positions 10 and 17 rA base extrusion. Notably, at

279   duplex positions 2, 4, 6, 8, 11 and 12, the broad or bimodal distributions of base pair shear and

280   opening parameters are indicative of considerable conformational dynamics, allowing the rA-

281   dA mismatch to be accommodated by either rA or dA base extrusion (**Figure S13A**).

282   **PAM-proximal mismatches are accommodated by TS distortion due to seed sequence**

283   **rigidity**

284   The seed sequence of the guide RNA (nucleotides 11-20) makes extensive interactions with

285   Cas9, both in the absence and presence of bound DNA (Anders et al., 2014; Jiang et al., 2015;

286   Nishimasu et al., 2014; Zhu et al., 2019). Structural pre-ordering of the seed sequence by Cas9

287   facilitates target DNA binding and contributes to the specificity of on-target DNA recognition

288   (Jiang et al., 2015; O'Geen et al., 2015; Wu et al., 2014). Conversely, binding of off-target

289   DNAs containing PAM-proximal mismatches is inhibited and results in accelerated off-target

290   dissociation (Boyle et al., 2017; Boyle et al., 2021; Ivanov et al., 2020; Jones et al., 2021; Singh

291   et al., 2016; Zhang et al., 2020). Nevertheless, Cas9 does tolerate most base mismatch types

292   within the seed region of the guide RNA, leading to detectable off-target DNA cleavage (Boyle

293   et al., 2021; Doench et al., 2016; Jones et al., 2021; Zhang et al., 2020). In particular, the first

294   two PAM-proximal positions display a markedly higher tolerance for mismatches than the rest

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295 of the seed region (Cofsky et al., 2022; Doench et al., 2016; Hsu et al., 2013; Mekler et al.,

296 2017; Zeng et al., 2018); this is supported by our SITE-Seq assay data as the frequency of

297 mismatches at PAM-proximal positions 18-20 is 1.4-2.0x higher than at seed positions 14-17

298 (**Figure S1D-E**).

299   Unlike the seed region of the guide RNA, the complementary PAM-proximal TS

300 nucleotides are not directly contacted by Cas9 in the pre-cleavage state and are thus under fewer

301 steric constraints (**Figure S6**), with the exception of duplex position 20 in which the

302 phosphodiester group of the TS nucleotide makes extensive interactions with the phosphate

303 lock loop of Cas9 (Anders et al., 2014) (**Figure S14A**). In agreement with this, four of our off-

304 target complex structures reveal that PAM-proximal base mismatches are accommodated solely

305 by structural distortions of the TS backbone (**Figure 4**), while the conformation of the guide

306 RNA backbone and base stacking within the seed region remain unperturbed (**Figure S14B-**

307 **C**). The presence of an rA-dA mismatch in the PAM-proximal position 18 results in the

308 extrusion of the TS nucleobase into the major groove (**Figure 4A**), likely due to steric

309 constraints on duplex width at this position. In contrast, the rA-dA mismatch at duplex position

310 19 is instead accommodated by a marked distortion in the TS backbone that results in increased

311 duplex width, which preserves base stacking within the duplex in the absence of productive

312 pairing between the adenine bases (**Figure 4B**, **Figure S14B**). Similarly, the rA-dG mismatch

313 at position 19 is accommodated by a ~2 Å displacement of the TS backbone, increasing duplex

314 width. This not only preserves base stacking but also facilitates rA-dG base paring by two

315 hydrogen bonding interactions via their Watson-Crick edges (**Figure 4C**, **Figure S14C**). This

316 off-target complex also contains a rU-dG mismatch at duplex position 20 which does not

317 undergo wobble base pairing as the rU20 nucleotide is extensively contacted by Cas9 (**Figure**

318 **S6**) and unable to shift towards the major groove and is instead accommodated by a slight shift

319 in the dG nucleotide (**Figure 4C**). Finally, the rU-dT base mismatch at duplex position 20 in

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320 the *AAVS1* off-target #4 complex remains unpaired and the dT base lacks ordered electron

321 density (**Figure 4D**). This is likely a result of the dT nucleotide maintaining contact with the

322 phosphate-lock loop of Cas9 (**Figure S14A**), which prevents a reduction in the duplex width

323 and precludes productive base pairing. Overall, these observations indicate that off-target

324 DNAs containing mismatches to the seed sequence of the guide RNA can be accommodated by

325 Cas9 due to limited interactions with the TS DNA in the seed-binding region

326 **Cas9 recognizes off-targets with single-nucleotide deletions by base skipping or via**

327 **multiple mismatches**

328 A substantial fraction of off-target sites recovered in our SITE-Seq assay analysis (46.4%, when

329 not considering the possibility of nucleotide insertions or deletions) contained six or more

330 mismatched bases to the guide RNA (**Figure S1C**, **Table S1, Table S2**). Such off-target

331 sequences have previously been proposed to be accommodated by bulging out or skipping of

332 nucleotides (Boyle et al., 2021; Cameron et al., 2017; Doench et al., 2016; Jones et al., 2021;

333 Lin et al., 2014; Tsai et al., 2015), which would result in a shift of the nucleotide register to re-

334 establish correct base pairing downstream of the initially encountered mismatch. The *PTPRC-*

335 *tgt2* off-target #1 and *FANCF* off-target #3 sites are predicted to contain single nucleotide

336 deletions at duplex positions 15 and 17, respectively (**Figure 1A**). The structures of the

337 corresponding off-target complexes reveal that the single nucleotide deletions in these off-target

338 substrates are not accommodated by bulging out the unpaired guide RNA nucleotide. Instead,

339 the conformations of the guide RNAs remain largely unperturbed and the off-target TS DNAs

340 "skip over" the unpaired gRNA bases to resume productive base-pairing downstream (**Figure**

341 **5A-B**). The seed regions of the gRNAs make extensive interactions with the bridge helix and

342 the REC1 domain, whereas the TS DNA phosphate backbones are displaced by almost 3 Å

343 (**Figure S17A-B**). The base pair skips are accommodated by considerable buckling and tilting

344 of the base pairs immediately downstream of the skip site (**Table S6**). An additional

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consequence of the base pairing register shift is the formation of non-canonical base pairs between the off-target DNA and the extra 5'-terminal guanine nucleotides present in the guide RNA as a consequence of *in vitro* transcription by T7 RNA polymerase (**Figure S17C-D**). This potentially explains the impact of the 5'-guanines on both R-loop stability and *in vitro* cleavage activity (Kulcsar et al., 2020; Mullally et al., 2020; Okafor et al., 2019).

Originally, our SITE-Seq assay analysis classified the *AAVS1* off-target #2 as a single-nucleotide deletion at duplex position 9 (**Figure 5C**). Unexpectedly, the structure of the *AAVS1* off-target #2 complex instead reveals that the off-target substrate is bound in the unshifted register, resulting in the formation of five base mismatches in the PAM-distal half of the guide RNA–TS duplex (**Figure 5D**), including a partially paired rC-dC mismatch at position 5, an rA-dG Hoogsteen pair at position 7, a partially paired rC-dT mismatch at position 8, and a tautomeric rU-dG pair at position 9. The backbone conformations of the gRNA and the off-target TS exhibit minimal distortions and are nearly identical with the corresponding on-target heteroduplex (**Figure 5D**). This implies that some mismatch combinations might synergistically result in guide RNA and TS backbone geometries that mimic the on-target conformation and result in off-target tolerance. To test this hypothesis, we reverted the rC-dT mismatch at position 8 to the on-target rC-dG pair, thereby reducing the total amount of off-target mismatches from 6 to 5 (**Figure 5C**). The resulting off-target substrate (*AAVS1* off-target #2-rev) exhibited substantially reduced cleavage rates in both dsDNA and PAMmer formats, as well as a significantly increased dissociation rate (**Figure 5E, Table S8**). We replicated these results for two other *AAVS1* off-target substrates with different mismatch patterns that were initially predicted to contain PAM-distal deletions (**Figure S18, Table S8**). Together, these results suggest that some *bona fide* off-target substrates containing multiple consecutive mismatches, the reversal of one mismatch may affect the structural integrity of the guide RNA–

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TS DNA heteroduplex and interfere with DNA binding and/or conformational activation of Cas9, despite a reduction in the total number of mismatches.

To further investigate the accommodation of PAM-distal deletions in Cas9 off-target sites, we selected an additional efficiently cleaved off-target substrate (*CD34* off-target #9, **Figure S19A,B**), containing a dT substitution at position 17 (resulting in a rU-dT mismatch with the *CD34* sgRNA) and a predicted single-nucleotide deletion at position 6. Crystal structure of the resulting complex revealed that the rU-dT mismatch involves the *syn* conformer of the rU17 nucleotide (**Figure S19C**). The deletion at position 6 is accommodated by base skipping, leaving the base of rA6 unpaired within the guide-TS heteroduplex stack (**Figure S19E**) and the register shift results enables the 5'-terminal guanine nucleotide of the gRNA (introduced during in vitro transcription) to base pair with 3'-terminal TS nucleotide (**Figure S19F**). The backbone distortions in the TS are accompanied by compensatory rearrangements of the REC2 and REC3 domains of Cas9 (**Figure S19G**), which preserve all contacts with the heteroduplex (**Figure S6**).

Collectively, these results indicate that deletion-containing off-target complexes are accommodated either by RNA base skipping, as opposed to RNA nucleotide bulging, or by the formation of multiple base mismatches, with the precise mechanism dependent in part on the position of the deletion.

PAM-distal mismatches perturb the Cas9 conformational checkpoint

FANCF off-target #4, which contains three PAM-distal mismatches at positions 1-3 and a G-U mismatch in position 10 (**Figure 1A**), is reproducibly the top ranking off-target site for the *FANCF* guide RNA, as detected by SITE-Seq assay analysis at the lowest Cas9 RNP concentrations (**Table S1, Table S2**). The off-target substrate exhibits slow cleavage kinetics *in vitro* with both dsDNA and PAMmer substrates (**Figure 2B, Figure S2A-B**), indicating a perturbation of the conformational activation checkpoint of Cas9. The structure of the *FANCF*

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394   off-target #4 complex reveals that the RNA–DNA heteroduplex is unpaired at positions 1-3 as

395   a result of the PAM-distal mismatches, with nucleotides 1-2 of the guide RNA and 19-20 of the

396   TS disordered (**Figure 6A**). Furthermore, Cas9 undergoes structural rearrangements of its REC

397   lobe and the HNH domain (**Figure 6B, Video S2**), resulting in a root mean square displacement

398   of the REC2 and REC3 domains of 3.7 Å (1,315 Cα atoms) relative to the *FANCF* on-target

399   complex structure. The REC3 domain undergoes a 19-degree rotation (**Figure 6B**), facilitated

400   by extending the helix comprising residues 703-712 through restructuring of loop residues 713-

401   716 (**Figure S20A)**, to accommodate the altered guide RNA conformation. The REC2 domain

402   rotates 32º away from the REC3 domain (**Figure 6B**). This is accompanied by restructuring of

403   the hinge loop residues 174-180 and disordering of loops 258-264, 284-285, and 307-309.

404   Concomitantly, the HNH domain rotates 11º away from the heteroduplex, as compared to the

405   *FANCF* on-target structure, to accommodate distortion of the TS DNA (**Figure 6B**).

406   The unpaired 5' end of the sgRNA is located at the interface between the REC3 and the

407   RuvC domain and maintains interactions with heteroduplex-sensing residues Lys510, Tyr515,

408   and Arg661 of the REC3 domain (**Figure S20B**). In contrast to the corresponding on-target

409   complex structure, the unpaired 3' end of the off-target TS breaks away from the REC3 lobe

410   and instead points towards the REC2 domain, forming unique interactions with Arg895,

411   Asn899, Arg905, Arg919 and His930 in the HNH domain (**Figure S20C**). These interactions

412   (**Figure S20D)** could be responsible for the observed repositioning of the REC lobe and HNH

413   domain, and they may impede the formation of a cleavage-competent complex.

414   The conformation of the *FANCF* off-target #4 complex is distinct from the

415   conformations observed in cryo-EM reconstructions of the pre- and post-cleavage states of the

416   Cas9 complex (Zhu et al., 2019) (**Figure S21A-B**). Instead, the off-target complex structure

417   most closely resembles that of a high-fidelity variant xCas9 3.7 containing amino acid

418   substitutions that disrupt interactions with the TS DNA (Guo et al., 2019). Although the xCas9

419   3.7 complex adopts a slightly different REC lobe conformation (**Figure S21C**), the PAM-distal

420   duplex also undergoes unpairing at positions 1–3 and displays a comparable degree of structural

421   disorder (**Figure S21D**). These structural observations thus suggest that the presence of

422   multiple mismatches in the PAM-distal region of a guide RNA–off-target DNA duplex leads to

423   conformational perturbations in the DNA-bound complex that resemble the structural

424   consequences of specificity-enhancing mutations in high-fidelity Cas9 variants.

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DISCUSSION

The off-target activity of Cas9 has been extensively documented in prior genome editing, biochemical and biophysical studies (Boyle et al., 2017; Boyle et al., 2021; Doench et al., 2016; Jones et al., 2021; Lazzarotto et al., 2020; Zhang et al., 2020). Although numerous methods have been devised for computational prediction of genomic off-target sites and their experimental validation, these have reported highly variable mismatch tolerance profiles depending on the screening method and the target sequence. Thus, a comprehensive understanding of this phenomenon is still lacking, particularly as to whether off-target tolerance has an underlying structural basis. In this study, we used the SITE-Seq assay to examine the off-target landscape of 12 well-studied guide RNAs, observing a broad variation of cleavage activities associated with individual off-target substrates. To shed light on the molecular mechanisms underpinning off-target activity, we determined atomic structures of a representative set of 16 off-target complexes, thus providing fundamental insights into the structural aspects of off-target recognition.

Role of non-canonical base pairing in off-target recognition

The principal, and largely unexpected, finding of our structural analysis is that the majority of nucleotide mismatches in *bona fide* off-target substrates are accommodated by non-canonical base pairing interactions. These range from simple rG-dT/rU-dG wobble or rA-dG/rG-dA/rG-dG Hoogsteen base pairing interactions, to pyrimidine-pyrimidine pairs that rely on (deoxy)ribose-phosphate backbone distortions that reduce duplex width. With the notable exception of rA-dA mismatches, which are accommodated by extrahelical base extrusion, the structural rearrangements associated with base mismatch accommodation largely preserve base stacking, which is the primary determinant of nucleic acid duplex stability (Yakovchuk et al., 2006). For some off-target sequences, our structures are suggestive of base protonation or tautomerization facilitating hydrogen bonding interactions in otherwise non-permissive

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mismatches, such as rA-dC. These rare base pair forms have been previously observed in both RNA and DNA duplexes and are thought to be important contributors to DNA replication and translation errors (Kimsey et al., 2015; Kimsey et al., 2018). Future studies employing complementary structural methods, such as nuclear magnetic resonance, will help confirm the occurrence of non-canonical base states in off-target complexes.

The mismatch tolerance of Cas9 can be explained primarily by two factors. Firstly, Cas9 does not directly contact the major- or minor-groove edges of the guide RNA–TS DNA heteroduplex base pairs at any of the duplex positions and thus lacks a steric mechanism to enforce Watson-Crick base pairing (**Figure S6**). This is further underscored by Cas9's tolerance of base modifications in target DNA, including cytosine 5-hydroxymethylation and, at least at some duplex positions, glucosyl-5-hydroxymethylation (Vlot et al., 2018). In this respect, Cas9 differs from other molecular systems, notably the ribosome and replicative DNA polymerases, which enhance the specificity of base-pairing by direct readout of base-pair shape and steric rejection of mismatches (Kunkel and Bebenek, 2000; Rodnina and Wintermeyer, 2001; Timsit, 1999).

Secondly, Cas9 is a multidomain protein that displays considerable conformational plasticity and is therefore able to accommodate local distortions in the guide–TS duplex geometry by compensatory rearrangements of the REC2, REC3 and HNH domains (Chen et al., 2017; Donohoue et al., 2021). Indeed, in most off-target structures reported in this study, almost all atomic contacts between Cas9 and the guide–TS heteroduplex are preserved (**Figure S6**). Thus, Cas9 only detects guide-target mismatches by indirect readout of the guide RNA– TS DNA heteroduplex width, except at the PAM-distal end of the heteroduplex where base mismatches result in duplex unpairing, as discussed below. Our observations are consistent with recent molecular dynamics simulation studies showing that internally positioned mismatches within the guide RNA–TS DNA heteroduplex are readily incorporated within the heteroduplex

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and have only minor effects on Cas9 interactions (Mitchell et al., 2020). The lack of a steric base-pair enforcement mechanism and the resulting off-target promiscuity likely reflects the biological function of Cas9 in CRISPR immunity, where mismatch tolerance enables targeting of closely related viruses and hindering immune evasion by mutations or covalent base modifications (Deveau et al., 2008; Semenova et al., 2011; van Houte et al., 2016; Yaung et al., 2014). On the other hand, such conformational plasticity also enables the incorporation of various chemical modifications in the guide RNA that are compatible with the A-form geometry of the heteroduplex (Cromwell et al., 2018; Donohoue et al., 2021; Hendel et al., 2015; O'Reilly et al., 2019; Yin et al., 2018; Yin et al., 2017). These could potentially disfavour the formation of certain non-canonical base pairs or reduce the backbone flexibility of the guide RNA, thereby enhancing off-target discrimination. However, the effect of specific guide RNA modifications on particular types of mismatches is yet to be closely examined mechanistically (Donohoue et al., 2021).

Structural rigidity of the guide RNA seed region and implications for off-target recognition

The seed sequence of the Cas9 guide RNA (nucleotides 11-20) is the primary determinant of target DNA binding, a consequence of its structural pre-ordering in an A-like conformation by extensive interactions with Cas9 (Anders et al., 2014; Jiang et al., 2015; Nishimasu et al., 2014; Zhu et al., 2019). Our data indicate that structural rigidity of the guide RNA seed sequence also affects off-target recognition, as base mismatches in the seed region of the heteroduplex can only be accommodated by conformational distortions of TS DNA, which is subject to only a few steric constraints, notably at position 20 due to interactions with the phosphate lock loop (Anders et al., 2014). This increases the energetic penalty of base mispairing in the seed region of the heteroduplex, and thus contributes to mismatch sensitivity of Cas9 within the seed region. Although structural distortions of TS DNA facilitate binding of off-target substrates containing

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502   seed mismatches, they may nevertheless inhibit off-target cleavage by steric hindrance of the

503   HNH domain, thereby further contributing to off-target discrimination. The contrasting

504   structural plasticities of the guide RNA and TS DNA strands are manifested in the differential

505   activities of Cas9 against off-targets containing rU-dG and rG-dT mismatches within the seed

506   region (Boyle et al., 2021; Doench et al., 2016; Hsu et al., 2013; Jones et al., 2021; Zhang et

507   al., 2020). Whereas rG-dT mismatches can be readily accommodated by wobble base pairing,

508   seed sequence rigidity is expected to hinder rU-dG wobble base pairing. Combined with a lower

509   energetic penalty associated with rG-dT mismatch binding (binding an off-target with an rG-

510   dT mismatch requires unpairing a dT-dA base pair in the off-target DNA, while rU-dG off-

511   target recognition requires dC-dG unpairing), these effects thus help Cas9 discriminate against

512   rU-dG mismatches in the seed region.

513   **Recognition of off-targets containing insertions and deletions**

514   *Bona fide* off-target sites containing insertions or deletions have been detected in a number of

515   studies (Boyle et al., 2021; Cameron et al., 2017; Doench et al., 2016; Jones et al., 2021; Tsai

516   et al., 2015). Nucleotide "bulging" has been proposed as a mechanism to recognize such off-

517   targets, which would otherwise result in large numbers of consecutive base mismatches.

518   However, as Cas9 encloses the guide RNA–TS DNA heteroduplex in a central channel and

519   makes extensive interactions along the entire length of the guide RNA strand, the formation of

520   RNA bulges is precluded by steric clashes, pointing to a different mechanism.

521   Indeed, our structural analysis provides no evidence for RNA bulging. Instead, the

522   structures of *PTPRC-tgt2* off-target #1 and *FANCF* off-target #3 complexes reveal that off-

523   target sequences predicted to contain single-nucleotide deletions in the seed region of the

524   heteroduplex are recognized by base skipping, resulting in an unpaired guide RNA base within

525   the duplex stack. This is enabled by the lack of extensive contacts of Cas9 with the TS, while

526   rigid coordination of the guide RNA in the seed region disfavours extrahelical guide RNA

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527   bulging. However, base skipping in the seed region of the heteroduplex likely incurs a large

528   energetic penalty. As the seed region of the TS DNA is devoid of Cas9 contacts in the pre-

529   cleavage state (Zhu et al., 2019), off-targets containing single-nucleotide insertions in the seed

530   region of the heteroduplex are likely to be recognized by DNA nucleotide bulging, likewise

531   incurring a large energetic penalty as unwinding an off-target DNA sequence containing an

532   insertion requires breaking an extra base pair. Additionally, TS DNA distortion might inhibit

533   cleavage by steric hindrance of the HNH domain. These observations thus explain why Cas9

534   appears to tolerate mismatches better than insertions or deletions and why deletions and

535   insertions within the seed region are particularly deleterious (Boyle et al., 2021; Cameron et al.,

536   2017; Doench et al., 2016; Jones et al., 2021).

537   In contrast, off-target sequences containing insertions or deletions in the PAM-distal

538   region of the heteroduplex (positions 1-10) can be recognized either by base skipping, as in the

539   case of *CD34* off-target #9, or bound in the unshifted register, with multiple base mismatches

540   accommodated by non-canonical base pairing interactions, as seen for *AAVS1* off-target #2,

541   which was previously predicted to contain an RNA bulge or skip (Cameron et al., 2017;

542   Lazzarotto et al., 2020). Which of the two mechanisms is used likely depends on the off-target

543   sequence and the position of the deletion, which in turn dictate the number of mismatches

544   between the guide and the off-target sequence in the unshifted register. Off-target

545   accommodation by multiple non-canonical base pairs likely relies on their synergistic effect to

546   mimic the on-target heteroduplex geometry, which enables unperturbed binding, as supported

547   by our observations that mismatch reversal in several off-target substrates reduces their rates of

548   cleavage. Although our structural analysis does not examine off-target substrates containing

549   insertions, we posit that PAM-distal insertions are recognized as multiple mismatches due to

550   steric hindrance of extrahelical bulging. Thus, our structural findings suggest that a substantial

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551   fraction of off-target sites predicted to contain insertions or deletions may be bound via multiple

552   mismatches instead (Boyle et al., 2021; Doench et al., 2016; Jones et al., 2021).

553   **PAM-distal base pairing and the conformational checkpoint of Cas9**

554   Upon substrate DNA hybridization and R-loop formation, Cas9 undergoes conformational

555   activation of its nuclease domains (Bravo et al., 2022; Pacesa et al., 2022; Zhu et al., 2019).

556   The Cas9 REC3 domain plays a key role in the process, as it senses the integrity of the PAM-

557   distal region of the guide RNA–TS DNA heteroduplex and allosterically regulates the REC2

558   and HNH domains, providing a conformational checkpoint that traps Cas9 in a

559   conformationally inactive state in the absence of PAM-distal hybridization (Chen et al., 2017;

560   Dagdas et al., 2017; Palermo et al., 2018; Zhu et al., 2019). Our structural data confirm that

561   mismatches at the PAM-distal end of the heteroduplex (positions 1-3) result in heteroduplex

562   unpairing, incomplete R-loop formation and structural repositioning of the REC3 domain

563   (**Figure 6**), indicating a perturbation of the Cas9 conformational checkpoint. We envision that

564   the observed conformational state mimics the structural effect of 5'-truncated guide RNAs,

565   which have been shown to improve targeting specificity (Fu et al., 2014). Furthermore,

566   similarities with the structure of a high-fidelity Cas9 variant (Guo et al., 2019) suggest a shared

567   underlying mechanism for increased specificity.

568   **Implications for off-target prediction**

569   Our structural data reveal that Cas9 plays a limited steric role in off-target discrimination insofar

570   as only sensing the integrity and general shape of the guide–target heteroduplex. Off-target

571   activity is thus largely determined by the kinetics and energetics of R-loop formation, *i.e.,* off-

572   target DNA strand separation and guide RNA–TS DNA hybridization, and the Cas9

573   conformational activation checkpoint. We observe on multiple occasions that a given mismatch

574   adopts different conformational arrangements depending on its position along the guide RNA–

575   TS DNA heteroduplex, as further supported by MD simulations of rA-dA mismatches. This

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576   poses a challenge for *ab initio* modelling of off-target activity, as biophysical models of off-

577   target binding and cleavage are bound to be of limited accuracy unless they incorporate

578   position-dependent energetic penalties for each base mismatch type and for deletions, as well

579   as position- and base-specific penalties for insertions (Boyle et al., 2021; Jones et al., 2021;

580   Zhang et al., 2020). As illustrated by our study, MD simulations can complement experimental

581   data to provide structural information on specific mismatches in remaining positions within the

582   heteroduplex. Thus, ongoing structural and computational studies, combined with machine

583   learning approaches, will assist in generating complete models for off-target prediction.

584   Furthermore, as certain off-target sequences that are incompatible with dsDNA cleavage

585   can undergo NTS nicking (Fu et al., 2019; Jones et al., 2021; Murugan et al., 2020; Zeng et al.,

586   2018), future bioinformatic models need to be able to predict off-target nicking activity as well.

587   Furthermore, accurate modelling of off-target interactions remains difficult due to context-

588   dependent effects, as documented in previous studies showing that the binding and cleavage

589   defects of consecutive mismatches deviate from additivity (Boyle et al., 2021; Cameron et al.,

590   2017; Lazzarotto et al., 2020; Zhang et al., 2020). Indeed, our structural data rationalize this by

591   showing that the conformation of a given base mismatch is highly sensitive to the presence of

592   neighbouring mismatches. As seen in the case of *AAVS1* off-target #2 complex, multiple

593   mismatched bases can synergistically combine to preserve an on-target-like heteroduplex

594   conformation that passes the REC3 conformational checkpoint, supporting nearly on-target

595   efficiencies of cleavage (Zhang et al., 2020). This is in line with recent cryo-EM structural

596   studies suggesting that indirect readout of heteroduplex conformation is coupled to nuclease

597   activation, while mismatches disrupt this coupling (Bravo et al., 2022; Pacesa et al., 2022).

598   Critically, reversion of one of the mismatches in this off-target substrate impairs cleavage

599   activity. Similar effects have been described for other DNA binding proteins such as

600   transcription factors, where mismatches modulate transcription factor binding activity by

601   affecting the conformation of the DNA duplex (Afek et al., 2020). In an analogy with Cas9,

602   these proteins check for correct binding sites through indirect sequence readout by sampling

603   for the correct duplex shape rather than base sequence (Abe et al., 2015; Kitayner et al., 2010;

604   Rohs et al., 2009a; Rohs et al., 2009b).

605   In conclusion, structural insights presented in this study establish an initial framework

606   for understanding the molecular basis for the off-target activity of Cas9. In conjunction with

607   ongoing computational studies, these findings will help achieve improved energetic

608   parametrization of off-target mismatches and deletions/insertions, thus contributing to the

609   development of more accurate off-target prediction algorithms and more specific guide RNA

610   designs. In doing so, these studies will contribute towards increasing the precision of CRISPR-

611   Cas9 genome editing and the safety of its therapeutic applications.

612   **Limitations of the study**

613   The structural dataset presented in this study is necessarily restricted in scope. Although all

614   mismatch types are covered, a much larger collection of off-target complex structures would be

615   required to cover all mismatches at all positions to achieve a complete structural overview of

616   the mismatch tolerance underpinning intrinsic off-target activity of Cas9. Although MD

617   simulations can help fill in the gaps in the experimental data, our findings suggest that mismatch

618   accommodation appears to be sequence context-dependent, thus limiting their predictive power.

619   Although we provide insights into off-target substrates containing single-nucleotide deletions,

620   it is presently difficult to predict which mechanism occurs at a given heteroduplex position.

621   Moreover, we currently lack structural data for off-target substrates containing insertions,

622   which will be addressed in future studies. Finally, not all off-targets (~50%) targets examined

623   in this study are efficiently cleaved in cells, despite detectable cleavage in vitro, implying that

624   other factors, including chromatin structure and DNA repair, affect Cas9 off-targeting in the

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625   context of eukaryotic genomes. Thus, further work combining structural and computational

626   approaches will be needed to accurately predict the off-target activity of Cas9.

Author contributions

M.P., P.C., P.D.D., and M.J. conceived the study. M.P. purified wild-type Cas9, performed *in vitro* cleavage assays, crystallized ternary Cas9 complexes, solved the structures, and performed structural analysis along with M.J.; A.C. performed switchSENSE binding measurements, under the supervision of F.H.T.A; A.S. and P.R.A. performed molecular dynamics simulations, under the supervision of G.P.; M.J.I. performed the SITE-Seq assay; C-H.L. wrote the computational off-target classification model and P.D.D. and P.C. analysed the output; K.B. purified dCas9, transcribed sgRNAs, and prepared DNA substrates for in vitro cleavage assays; M.P., P.C., P.D.D., and M.J. wrote the manuscript.

Conflict of interest statement

P.D.D. and M.J.I are current employees of Caribou Biosciences, Inc., and C-H.L. and P.C. are former employees of Caribou Biosciences, Inc. M.J. is a co-founder of Caribou Biosciences, Inc. M.J., C-H.L., M.J.I., P.C. and P.D.D. are named inventors on patents and patent applications related to CRISPR-Cas technologies.

FIGURES AND LEGENDS

659 **Figure 1. Biochemical and structural analysis of Cas9 off-targets.**

660 (**A**) Guide RNA and (off-)target DNA sequences selected for biochemical and structural

661 analysis. Matching bases in off-targets are denoted by a dot; nucleotide mismatches and

662 deletions (–) are highlighted. (**B**) Top: Schematic representation of the guide RNA (orange),

663 TS (blue), and NTS (black) sequences used for crystallisation. The PAM sequence in the DNA

664 is highlighted in yellow. Bottom: Structure of the Cas9 *FANCF* on-target complex. Individual

665 Cas9 domains are coloured according to the legend; substrate DNA target strand (TS) is

666 coloured blue, non-target strand (NTS) black, and the guide RNA orange.

667 **Figure 2. Cas9 off-target binding is enabled by non-canonical base pairing.**

668 Close-up views of (**A**) rU-dG wobble base pair at duplex position 10 in *FANCF* off-target #2

669 complex, (**B**) rA-dC wobble base pair at position 4 in *FANCF* off-target #2 complex, (**C**) rG-

670 dA Hoogsteen base pair at duplex position 11 in *AAVS1* off-target #4 complex and (**D**) rG-dG

671 Hoogsteen base pair at duplex position 13 in *FANCF* off-target #5 complex. Hydrogen bonding

672 interactions are indicated with dashed lines. Numbers indicate interatomic distances in Å.

673 Corresponding on-target Watson-Crick base pairs are shown in white. Dashed arrows indicate

674 *anti-syn* isomerization of the dA and rG bases to enable Hoogsteen-edge base pairing. A bound

675 monovalent ion, modelled as K^+, is depicted as a purple sphere.

676 **Figure 3. Duplex backbone distortions facilitate formation of non-canonical base pairs.**

677 (**A**) Close-up view of the rU-dC base pair at duplex position 9 in *FANCF* off-target #1 complex,

678 facilitated by lateral displacement of the guide RNA backbone. (**B**) Zoomed-in view of the rU-

679 dT base pair at position 9 in *FANCF* off-target #5 complex. (**C**) Zoomed-in view of the rC-dC

680 mismatch at duplex position 8 in *AAVS1* off-target #3 complex. The distances between the

681 cytosine bases indicate lack of hydrogen bonding. (**D**) Zoomed-in view of the rA-dA mismatch

682 at duplex position 5 in *PTPRC-tgt2* off-target #1 complex. Hydrogen bonding interactions are

683 indicated with dashed lines. Corresponding on-target Watson-Crick base pairs are shown in

684 white (for *PTPRC-tgt2*, the *FANCF* on-target structure was used and bases were mutated *in*

685 *silico*). Numbers indicate interatomic distances in Å. Bound water molecule is depicted as red

686 sphere.

687 **Figure 4. TS distortion facilitates mismatch accommodation in the seed region of the**

688 **guide–off-target heteroduplex.**

689 (**A**) Close-up view of the rA-dA mismatch at position 18 in *FANCF* off-target #6 complex,

690 showing major groove extrusion of the dA base. (**B**) Close-up view of the rA-dA mismatch at

691 position 19 in *AAVS1* off-target #2 complex, showing retention of the dA base in the duplex

692 stack. (**C**) Close-up rU-dT mismatch at the PAM-proximal position 20 in *AAVS1* off-target #4

693 complex. Residual electron density indicates the presence of an ion or solvent molecule.

694 Refined $2\mathrm{m}F_o{-}\mathrm{D}F_c$ electron density map of the heteroduplex, contoured at 1.5σ, is rendered as

695 a grey mesh. Structurally disordered thymine nucleobase for which no unambiguous density is

696 present is in grey. (**D**) Zoomed-in view of the rA-dG base pair at position 19 and the unpaired

697 rU-dG mismatch at position 20 in *AAVS1* off-target #5 complex. Arrows indicate

698 conformational changes in the TS backbone relative to the on-target complex.

699 **Figure 5. Off-targets with single-nucleotide deletions are accommodated by base skipping**

700 **or multiple consecutive mismatches**.

701 (**A**) Zoomed-in view of the base skip at duplex position 15 in the *PTPRC-tgt2* off-target #1

702 complex. (**B**) Zoomed-in view of the base skip at duplex position 17 in the *FANCF* off-target

703 #3 complex. (**C**) Schematic depiction of alternative base pairing interactions in the *AAVS1* off-

704 target #2 complex. *AAVS1* off-target #2-rev substrate was designed based on the *AAVS1* off-

705 target #2, with the reversal of a single mismatch in the consecutive region back to the

706 corresponding canonical base pair. (**D**) Structural overlay of the *AAVS1* off-target #2 (coloured)

707   and *AAVS1* on-target (white) heteroduplexes. (**E**) Cleavage DNA kinetics of AAVS1 on-target,

708   off-target #2 and off-target #2-rev substrates.

709   **Figure 6. *FANCF* off-target #4 exhibits conformational changes in the REC2/3 and HNH**

710   **domains due to PAM-distal duplex unpairing.**

711   (**A**) Close-up view of the unpairing of mismatched bases at the PAM-distal end of the *FANCF*

712   off-target #4 heteroduplex. The last two nucleotides on each strand could not be modelled due

713   to structural disorder. (**B**) Overlay of the *FANCF* off-target #4 and *FANCF* on-target complex

714   structures. The *FANCF* off-target #4 complex is coloured according to the domain legend in

715   **Figure 1A**, *FANCF* on-target complex is shown in white. The REC1, RuvC, and PAM-

716   interaction domains have been omitted for clarity, as no structural differences are observed.

717

718

Figure S1. Off-target profiling of selected genomic sites using SITE-Seq.

(**A**) Selected genomic targets and the corresponding guide RNA sequences selected for the SITE-Seq assay off-target profiling. Heatmap indicates frequency of nucleotide identity across each position for the selected targets. (**B**) SITE-seq assay analysis for RNPs assembled with indicated crRNAs. The numbers of detected off-target sites are shown as a function of RNP concentration. Checked boxes indicate recovery of the on-target site. n=3 replicates per sample. (**C**) Number of off-target sites recovered by the SITE-Seq assay are shown as a function of the number of mismatches between the guide RNA and the off-target sequence. (**D**) Frequency of nucleotide mismatches at each guide RNA–off-target DNA heteroduplex position for all off-target sites identified in (B). (**E**) Number of total identified mismatches per heteroduplex position.

Figure S2. *in vitro* cleavage of selected Cas9 off-target substrates.

(**A**) *In vitro* clevage kinetics of fully double stranded on- and off-target synthetic DNA substrates labelled on the target-strand for each guide RNA used in the study. Black triangles in the substrate schematic (top) indicate position of cleavage sites. Each data point represents a mean of four independent replicates. Error bars represent standard deviation for each time point. (**B**) *In vitro* clevage kinetics of partially single stranded (PAMmer) on- and off-target substrates. (**C**) Heatmap representation of mutual correlations between measured kinetic and thermodymamic parameters including cleavage (k_{obs}), substrate DNA binding (k_{on}), substrate dissociation (k_{off}) rate constants, equilibrium dissociation constant (K_d) with numbers of nucleotide mismatches in the off- target sites (total and within seed), the GC content of the spacer (%GC) and cleavage rate predicted using the NucleaSeq algorithm (NucleaSeq k_{obs}). The values were calculated across all off-targets for both dsDNA (lower left half, in blue), and

744 partially single stranded (PAMmer) substrates (upper right half, in red). ns, no significant

745 correlation. (**D**) Correlation between measured and NucleaSeq-predicted k_{obs} rate constants.

746 Off-target sites with significant deviations are highlighted in yellow.

747

748 **Figure S3. On and off-target cellular editing activity.**

749 (**A**) Editing activity of crystalized on- and off-targets in primary human T cells. Matching bases

750 in off-targets are denoted by a dot, whereas base pair mismatches and deletions (–) are

751 highlighted. Asterisk indicated single-nucleotide polymorphism in PBMC donor sequence

752 different from hg38 genomic reference. Editing rates are from highest Cas9 concentration

753 shown in (B), n=3 replicates per sample, limit of detection = 0.1%. (**B**) Dose titration of Cas9

754 in human T cells for selected on and off-target sites. Off-target numbering correspond to sample

755 shown in (**A**), and/or reference number ("ref") as indicated in **Table S1**. Cas9 concentration

756 shown below x-axis (1:3 molar ratio of Cas9 to guides), N=3 replicates per sample.

757

758 **Figure S4. Alternative REC2 conformation in AAVS1 on-target.**

759 (**A**) Overlay of REC2 domain conformations in the *AAVS1* (pink), *FANCF* (purple) and *TRAC*

760 (light blue) on-target complexes (**B**) Close-up view of helix REC2 helix spanning Cas9 residues

761 174-180. Linear and angular displacements of the helix in the *AAVS1* on-target complex relative

762 to the *FANCF* and *TRAC* on-target complexes are indicated.

763

764 **Figure S5. PAM-distal mismatches result in unpairing and disordering of guide RNA**

765 **nucleobase in position 1.**

766 Close-up views of the PAM-distal end of the guide RNA-TS heteroduplex in (**A**) *AAVS1* off-

767 target #2, (**B**) *AAVS1* off-target #4, (**C**) *FANCF* off-target #5 and (**D**) *TRAC* off-target #1

768 complexes. Arrowheads indicate nucleotides with disordered bases. Refined $2mF_o-DF_c$

35

769   electron density maps of the heteroduplexes are rendered as a grey mesh and contoured at 1.2σ

770   for (A) and 1.0σ for (B)-(D).

771

772   **Figure S6. Cas9-nucleic acid interactions in on-target complexes.**

773   Schematic diagram depicting Cas9 residues interacting with the guide RNA-target DNA

774   heteroduplex. Dotted lines represent hydrogen bonding interactions; dashed lines represent salt

775   bridges; solid lines represent stacking/hydrophobic interactions. Target strand is coloured in

776   blue, guide RNA in orange. Phosphates are represented by circles, ribose moieties by

777   pentagons, and nucleobases by rectangles.

778

779   **Figure S7. Wobble base pairing of rG-dT mismatches.**

780   Close-up views of rG-dT mismatches at (**A**) heteroduplex position 15 in the *TRAC* off-target

781   #1 complex, (**B**) position 13 in *FANCF* off-target #7 complex, (**C**) position 13 in *FANCF* off-

782   target #2 complex, (**D**) position 4 in *AAVS1* off-target #5 complex, (**E**) position 4 in *AAVS1* off-

783   target #1 complex, (**F**) position 2 in *TRAC* off-target #1 complex and (**G**) position 2 in *TRAC*

784   off-target #2 complex. Corresponding on-target Watson-Crick base pairs are shown in white.

785   Monovalent ions, modeled as K^+, are depicted as purple spheres. In (A)-(E), the dT base is

786   displaced into the major groove and forms a canonical wobble base pair with the rG base. In

787   (F)-(G), the the rG base instead shifts towards the minor groove to facilitate wobble pairing.

788

789   **Figure S8. rU-dG wobble base pairs adopt duplex position-dependent conformations.**

790   Close-up views of of rU-dG mismatches at (**A**) heteroduplex position 10 in *FANCF* off-target

791   #4 complex, (**B**) position 5 in *FANCF* off-target #1 complex, (**C**) position 5 in *FANCF* off-

792   target #3 complex, (**D**) position 5 in *FANCF* off-target #6 complex, (**E**) *TRAC* off-target #1

793   complex, and (**F**) *AAVS1* off-target #2, and (**G**) *AAVS1* off-target #1 complex. Corresponding

794   on-target Watson-Crick base pairs are shown in white. Bound potassium ions are depicted as

795   purple spheres. In (A), rU-dG wobble base pairing is achieved by minor groove displacement

796   of the guanine base. In (B)-(D), the rU-dG mismatches adopt atypical conformations. In (E),

797   the guanine base is shifted into the minor groove to form a wobble base pair, whereas at the

798   identical heteroduplex position in (F) and (G), the rU-dG base pairs do not engage in wobble

799   pairing, instead adopting alternative tautomeric forms. **(H)** Schematic depicting hydrogen

800   bonding interactions between rU and dG bases in (F) and (G).

801

802   **Figure S9. Additional non-canonical base pairs within Cas9 off-target complexes.**

803   (**A**) Close-up view of rA-dC wobble base pairing at position 4 in *FANCF* off-target #3 complex.

804   The base pair geometry is consistent with base protonation or tautomerism to enable productive

805   hydrogen bonding between the bases. (**B**) Close-up view of rC-dA mismatch at position 11 of

806   *FANCF* off-target #7 complex, facilitated by base tilting at positions 11 and 12. (**C**) Close-up

807   view of partially paired rC-dA mismatch at position 8 in *AAVS1* off-target #1 complex. (**D**)

808   Close-up view of rA-dC mismatch at position 10 in *AAVS1* off-target #5 complex. (**E**) Close-

809   up view of Hoogsteen-edge rA-dG base pair at position 7 in *AAVS1* off-target #2 complex.

810   Corresponding on-target Watson-Crick base pairs are shown in white.

811

812   **Figure S10. Preservation of base stacking in pyrimidine-pyrimidine off-target**

813   **mismatches.**

814   (**A**) Close-up view of rC-dT mismatch at position 6 in *FANCF* off-target #6 complex. (**B**) Close-

815   up view of rC-dT mismatch at position 7 in *FANCF* off-target #7 complex, bridged by Arg895.

816   The arginine sidechain in the corresponding on-target complex is shown in white. (**C**) Close-

817   up view of rC-dT base pairing at position 8 of *AAVS1* off-target #2. (**D**) Close-up view of rU-

818   dT mismatch at position 7 in *TRAC* off-target #2 complex. (**E**) Close-up view of rU-dT pairing

819   at position 9 in *PTPRC*-tgt2 off-target #1 complex, facilitated by base propeller twisting. (**F**)

820   Close-up view of rU-dT pairing at position 8 in *TRAC* off-target #2 complex, enabled by

821   backbone shift of the RNA strand. (**G**) Close-up view of partially paired rC-dC mismatch at

822   position 5 in *AAVS1* off-target #2 complex, bridged by a water molecule. (**H**) Close-up view of

823   rC-dC mismatch at position 15 in *AAVS1* off-target #3 complex. Corresponding on-target

824   Watson-Crick base pairs are shown in white (for *PTPRC-tgt2*, the *FANCF* on-target structure

825   was used and bases were mutated *in silico*).

826

827   **Figure S11. Cas9 R895A mutation of Cas9 has no significant impact on FANCF off-target**

828   **#7 cleavage or binding.**

829   (**A**) Kinetic analysis of *FANCF* on- and off-target substrate DNA cleavage by wild-type and

830   R895A Cas9 proteins.

831

832   **Figure S12. Tolerance of adenine-adenine mismatches within the heteroduplex.**

833   (**A**) Close-up view of rA-dA mismatch at position 18 in *FANCF* off-target #6 complex, overlaid

834   with the *FANCF* on-target structure (white). (**B**) Number of rA-dA off-target mismatches per

835   heteroduplex position recovered in the SITE-Seq assay for all analysed genomic targets.

836   Percentages indicate frequency of rA-dA mismatches recovered in the particular position as a

837   fraction of total number of rA-dA mismatches. (**C**) Close-up view of MD-simulated rA-dA

838   mismatches (colored), overlaid with crystallographic ground truth (white) at positions 5

839   (PTPRC-tgt2 off-target #1), 18 (FANCF off-target #6), and 19 (AAVS1 off-target #2). (**D**)

840   Probability density plots of the most representative geometrical base pair parameters (viz., shear

841   in blue, opening in violet, stretch in orange and buckle in red), describing the mode of rA-dA

842   mismatch tolerance at different positions (1 – 20) of the heteroduplex, as computed via MD

843   simulations for the systems with (shaded) and without mismatches (unshaded). Vertical line in

844   positions 5, 18 and 19 indicates base pair parameter value of the respective X-ray structure. For

845   each system, data are reported considering an aggregate sampling of ~1.5 μs.

846

847   **Figure S13. Base pair parameter probability density plots of MD simulated rA-dA**

848   **mismatches.** (**A**) Shear, (**B**) stretch, (**C**) buckle, (**D**) opening, (**E**) stagger, and (**F**) propeller-

849   twist base pair parameter for the rA-dA mismatch at different heteroduplex, as computed from

850   molecular dynamics simulations for the systems with (shaded) and without mismatches

851   (unshaded). For each system, data are reported considering an aggregate sampling of ~1.5 μs.

852

853   **Figure S14. Lack of protein contacts with the target DNA strand in the seed region allows**

854   **for large phosphate backbone distortions.**

855   (**A**) Schematic overview of Cas9 interactions within the PAM-proximal seed region of the guide

856   RNA-TS DNA heteroduplex. (**B**) Close-up view of the seed region in *AAVS1* off-target #2

857   complex, overlaid with the AAVS1 on-target heteroduplex (white), showing structural

858   distortion of the TS due to rA-dA mismatch at seed position 19. (**C**) Close-up view of the seed

859   region in AAVS1 off-target #5 complex, overlaid with the AAVS1 on-target heteroduplex

860   (white), showing structural distortion due to rA-dG and rU-dG mismatches at positions 19 and

861   20, respectively. Red lock icon indicates position of the phosphate lock residue in (B) and (C).

862

863   **Figure S15. Schematic representation of mismatch, insertion, and deletion classification**

864   **algorithm for the SITE-Seq assay analysis of off-target sites.**

865   Schematic represents classification algorithm of off-target sites with putative insertions and

866   deletions.

867

39

868   **Figure S16. Positional mapping of nucleotide insertions/deletions during of SITE-Seq**

869   **assay off-target profiling.**

870   (**A**) Number of recovered off-target sites per genomic target as a function of RNP concentration

871   classified as containing either only mismatches, single-nucleotide deletions, or single-

872   nucleotide insertions. (**B**) Frequency of positional mismatch occurrence per genomic target for

873   mismatched off-targets. (**C**) Frequency of nucleotide mismatches within the heteroduplex for

874   all off-target sites (n=3445 sites for both (B) and (C)). (**D**) Frequency of single-nucleotide

875   deletions for all off-target sites. (n=277 sites). (**E**) Frequency of single-nucleotide insertions for

876   all off-target sites. (n=116 sites).

877

878   **Figure S17. Recognition of off-target sites containing deletions in the seed region.**

879   (**A**) Close-up view of base skipping within the seed region of the guide RNA-off-target DNA

880   heteroduplex in *FANCF* off-target #3 complex, overlaid with the on-target heteroduplex

881   (white). (**B**) Close-up view of base skipping within the seed region of the guide RNA-off-target

882   DNA heteroduplex in *PTPRC-tgt2* off-target #1 complex, overlaid with *FANCF* on-target

883   heteroduplex (white). (**C**) Close-up view of non-canonical base pairs at the 5'-terminus of the

884   guide RNA in *FANCF* off-target #3 complex involving guanosine nucleotides introduced

885   during in vitro transcription of the guide RNA. (**D**) Close-up view of non-canonical base pairs

886   at the 5'-terminus of the guide RNA in *PTPRC-tgt2* off-target #1 complex involving guanosine

887   nucleotides introduced during in vitro transcription of the guide RNA.

888

889   **Figure S18. Reversal of a single mismatch in the PAM-distal region reduces the cleavage**

890   **efficiency of off-targets containing multiple consecutive mismatches**

891   (**A**) Schematic depiction of possible mismatch tolerance mechanisms through PAM-distal

892   deletions (denoted -A) or the formation of multiple mismatches (denoted -B) in *AAVS1* off-

893   targets. Off-targets denoted with "-rev" indicate the reversal of a single mismatch in the

894   consecutive mismatch region back to the corresponding canonical base pair. *In vitro* cleavage

895   kinetics of fully double stranded (**B**) partially single stranded (PAMmer) (**C**) on- and off-target

896   DNA substrates for off-targets with putative multiple consecutive mismatches in the PAM-

897   distal region. Black triangles in the substrate schematic (top) indicate position of cleavage sites.

898   Each data point represents a mean of four independent replicates. Error bars represent standard

899   deviation for each time point.

900

901   **Figure S19. PAM-distal RNA base skip in *CD34* off-target #9 induces REC lobe**

902   **rearrangement.**

903   (**A**) Schematic depiction of a PAM-distal RNA-skip in the sequence of *CD34* off-target #9. *In*

904   *vitro* cleavage kinetics of fully double stranded (**B**) partially single stranded (PAMmer) (**C**)

905   *CD34* on-target and off-target #9 DNA substrates. Black triangles in the substrate schematic

906   (top) indicate position of cleavage sites. Each data point represents a mean of four independent

907   replicates. Error bars represent standard deviation for each time point. (**D**) Close-up view of

908   rU-dT mismatch at position 17 in *CD34* off-target #9 complex, where the rU17 nucleotide

909   undergoes *anti-syn* isomerisation. Corresponding on-target Watson-Crick base pairs are shown

910   in white (the *TRAC* on-target structure was used and bases were mutated *in silico*). (**E**) Zoomed-

911   in view of the PAM-distal base skip at duplex position 6 in the *CD34* off-target #9 complex.

912   (**F**) Close-up view of rG-dC Watson-Crick base pair at the 5'-terminus of the guide RNA in

913   *CD34* off-target #9 complex, involving guanosine nucleotides introduced during in vitro

914   transcription of the guide RNA. (**G**) Structural overlay of the *CD34* off-target #9 complex with

915   the *TRAC* on-target complex. The REC1, RuvC, and PAM interaction domains have been

916   omitted for clarity in all panels. The *CD34* off-target #9 complex domains are colored according

917   to **Figure 1A**. The on-target complex is colored white.

41

918

Figure S20. Altered heteroduplex interactions in *FANCF* off-target #4 complex.

(**A**) Overlay of REC3 domain helix 703-712 in *FANCF* off-target #4 complex (wheat) with *FANCF* on-target complex (orange). (**B**) Close-up view of REC3 domain interactions with the guide RNA strand in *FANCF* off-target #4 complex. (**C**) Close-up view of TS DNA interactions established by HNH domain in *FANCF* off-target #4 complex. (**D**) Schematic diagram depicting Cas9 residues interacting with the guide RNA-off-target DNA heteroduplex in *FANCF* off-target #4 complex. Dotted lines represent hydrogen bonding interactions, dashed lines represent salt bridges, solid lines represent stacking/hydrophobic interactions. Target strand is coloured blue, guide RNA orange. Phosphates are represented by circles, ribose moieties by pentagons, and nucleobases by rectangles.

Figure S21. Conformational rearrangements of REC2/3 AND HNH domains in *FANCF* off-target #4 complex.

(**A**) Structural overlay of the *FANCF* off-target #4 complex with cryo-EM structure of a pre-catalytic (State I) Cas9 complex (PDB: 6O0Z). (**B**) Structural overlay of the *FANCF* off-target #4 complex with the cryo-EM structure of a post-catalytic (State II) Cas9 complex (PDB: 6O0Y). (**C**) Structural overlay of the *FANCF* off-target #4 complex with the crystallographic structure of the high-fidelity xCas9 3.7 variant (PDB: 6AEG). The REC1, RuvC, and PAM interaction domains have been omitted for clarity in all panels, as no significant structural changes were observed in these domains. The *FANCF* off-target #4 complex domains are colored according to **Figure 1A**. The overlaid structures are coloured white. (**D**) Overlay of the PAM-distal heteroduplex region in *FANCF* off-target #4 and xCas9 3.7 on-target complexes. Target strand is coloured in blue, guide RNA is coloured orange.

942

42

943 **Table 1. Biochemical analysis of the binding and cleavage efficiency of selected off-target**

944 **sites.**

945 Kinetic and thermodynamic parameters of off-target substrates. The cleavage rate constants

946 (k_{obs}) were derived from single-exponential function fitting of measured cleavage rates of the

947 target-strand labelled DNA substrates. The binding and dissociation rate constants (k_{on} and k_{off})

948 and the equilibrium dissociation constant (K_d) were determined using a DNA nanolever binding

949 (switchSENSE) assay. Intervals indicate standard error of mean.

950

Gene	Target	24 h cleavage (%)	k_{obs} (min^{-1})	k_{on} (M^{-1} . s^{-1})	k_{off} (s^{-1})	K_d (pM)	24 h cleavage (%) PAMmer	k_{obs} (min^{-1}) PAMmer
AAVS1	on-target	92.2	1.624 ± 0.159	3.95 ± 0.26 × 10^6	5.74 ± 0.73 × 10^{-5}	14.5 ± 2.1	95.0	0.562 ± 0.073
AAVS1	off-target #1	92.7	0.071 ± 0.005	4.73 ± 0.71 × 10^6	6.29 ± 0.29 × 10^5	13.3 ± 2.1	87.5	0.239 ± 0.031
AAVS1	off-target #2	95.1	0.034 ± 0.002	8.75 ± 0.31 × 10^6	3.38 ± 0.08 × 10^{-3}	386 ± 16	85.5	0.004 ± 0.0001
AAVS1	off-target #3	96.7	0.051 ± 0.002	3.30 ± 0.11 × 10^6	2.51 ± 0.15 × 10^{-3}	761 ± 51	82.7	0.081 ± 0.010
AAVS1	off-target #4	94.4	0.004 ± 0.0002	1.09 ± 0.03 × 10^7	3.28 ± 0.06 × 10^{-3}	301 ± 9	89.6	0.065 ± 0.007
AAVS1	off-target #5	18.9	0.00013 ± 0.0003	ND	ND	ND	70.1	0.007 ± 0.0001
FANCF	on-target	97.5	0.238 ± 0.013	3.45 ± 0.19 × 10^6	7.46 ± 0.97 × 10^{-5}	21.6 ± 3.1	98.3	0.565 ± 0.054
FANCF	off-target #1	35.1	0.001 ± 0.0001	3.97 ± 0.06 × 10^6	2.09 ± 0.06 × 10^{-3}	528 ± 17	97.4	0.069 ± 0.006
FANCF	off-target #2	62.4	0.001 ± 0.0002	1.42 ± 0.03 × 10^6	2.45 ± 0.06 × 10^{-3}	1730 ± 60	92.9	0.233 ± 0.018
FANCF	off-target #3	0.0	0	1.22 ± 0.05 × 10^7	2.37 ± 0.11 × 10^{-3}	193 ± 13	4.2	0.00003 ± 0.0003

43

FANCF	off-target #4	53.0	0.0005 ± 0.0002	$3.35 \pm 0.05 \times 10^6$	$1.91 \pm 0.07 \times 10^{-3}$	571 ± 24	38.9	0.001 ± 0.0001
FANCF	off-target #5	80.4	0.001 ± 0.0001	$1.27 \pm 0.05 \times 10^6$	$2.55 \pm 0.10 \times 10^{-3}$	2010 ± 110	92.9	0.058 ± 0.005
FANCF	off-target #6	8.2	0.00006 ± 0.0003	$1.50 \pm 0.09 \times 10^6$	$2.03 \pm 0.15 \times 10^{-3}$	1350 ± 130	66.6	0.001 ± 0.00005
FANCF	off-target #7	5.2	0.004 ± 0.0003	$2.95 \pm 0.20 \times 10^6$	$3.21 \pm 0.23 \times 10^{-3}$	1090 ± 110	94.5	0.013 ± 0.001
PTPRC-tgt2	on-target	96.8	0.459 ± 0.022	$6.08 \pm 0.39 \times 10^6$	$2.19 \pm 0.21 \times 10^{-4}$	36.0 ± 4.2	95.5	0.074 ± 0.015
PTPRC-tgt2	off-target #1	0.0	0	$1.22 \pm 0.07 \times 10^7$	$2.39 \pm 0.12 \times 10^{-3}$	196 ± 15	91.4	0.001 ± 0.0003
TRAC	on-target	97.7	0.381 ± 0.015	$1.02 \pm 0.21 \times 10^6$	$3.23 \pm 0.53 \times 10^{-4}$	31.8 ± 8.5	93.5	0.181 ± 0.013
TRAC	off-target #1	95.8	0.019 ± 0.001	$1.37 \pm 0.45 \times 10^6$	$1.77 \pm 0.1 \times 10^{-4}$	130 ± 44	90.7	0.081 ± 0.006
TRAC	off-target #2	65.0	0.001 ± 0.00006	$9.43 \pm 1.12 \times 10^6$	$3.27 \pm 0.27 \times 10^{-4}$	34.6 ± 5.0	88.4	0.026 ± 0.002

951

Table S1. SITE-Seq assay results for Cas9 off-target profiling of 12 selected genomic sites. Related to Figure S1

Columns indicate the recovered off-target sequence; motif location; number of substitutions in recovered target sequence compared to the on-target (substitutions); strand designation of PAM; the lowest recovery concentration of each target; and whether the off-target is predicted to contain inserts or deletions based. Off-target sites recovered at lower concentrations were also recovered at higher concentrations (*e.g.,* all 4 nM sites were also recovered at 16 nM, 64 nM, and 256 nM).

Table S2. List of recovered off-target sequences aligned to the corresponding on-target sequence. Related to Figures S1 and S19

44

963　Off-target alignments classified by genomic target and by the presence of insertions, deletions

964　or purely mismatched targets. Indexes correspond to off-target sequence numbering in Table

965　S1.

966

967　**Table S3. Cellular editing data, Related to Figure S3**

968

969　**Table S4. Crystallographic data collection and refinement statistics of Cas9 on-target and**

970　**off-target complexes, Related to STAR methods**

971

972　**Table S5. Summary of non-canonical base pairing and nucleobase distortions observed in**

973　**structural data, Related to Figure 1**

974

975　**Table S6. 3DNA 2.0 analysis of the helical parameters and sugar puckering of**

976　**characterised on-target and off-target duplexes, Related to Figure 1**

977　**Table S7. Effect of Cas9 R895A on off-target cleavage kinetics, Related to Figure S11**

978　Kinetic and thermodynamic parameters of *FANCF* on- and off-target substrate DNA cleavage

979　by wild-type and R895A Cas9. Cleavage rate constants (k_{obs}) were derived from single-

980　exponential function fitting. Substrate binding and dissociation rate constants (k_{on} and k_{off}) and

981　the equilibrium dissociation constant (K_d) were determined using a DNA nanolever

982　(switchSENSE) binding assay. Intervals indicate standard error of mean.

983

984　**Table S8. Cleavage kinetics of off-targets with predicted PAM-distal deletions, Related to**

985　**Figures S18 and S19**

986　Kinetic and thermodynamic parameters determined for *AAVS1* and *CD34* off-targets with

987　predicted PAM-distal deletions. The apparent cleavage rate constants (k_{obs}) were derived from

988   a single-exponential function fitting of measured cleavage. Substrate binding (k_{on}) and

989   dissociation (k_{off}) constants were determined using SwitchSENSE assay. Intervals indicate

990   standard error of mean. NM indicates that values were not measured.

991

992   **Table S9. List of oligonucleotides used in this study, Related to STAR methods**

993

994   **Video S1. Morph of REC2 domain between AAVS1 on-target and FANCF on-target**

995   **structures, Related to Figure S4**

996

997   **Video S2. Morph between FANCF off-target #4 and FANCF on-target showing**

998   **conformational changes in the REC2, REC3, and HNH domains, Related to Figure S20**

999

1000   **Data S1. SwitchSENSE association and dissociation curves, Related to Table 1**

1001

1002

Resource availability

Lead contact

Further information and requests for resources and reagents should be directed to and will be fulfilled by the lead contact, Prof. Martin Jinek (jinek@bioc.uzh.ch)

Material availability

This study did not generate new unique reagents.

Data and code availability

- Coordinates have been deposited to the Protein Data Bank under the PDB accession numbers: 7QQO, 7QR7, 7QQP, 7QQQ, 7QQR, 7QQS, 7QQT, 7QQU, 7QQV, 7QQW, 7QQX, 7QR5, 7QQZ, 7QR8, 7QR0, 7QR1 and 7ZO1. Raw sequencing files for the SITE-Seq assay have been deposited to BioProject. Accession numbers are listed in the key resource table. All deposited data is publicly available as of the date of publication.
- This paper does not report original code.
- Any additional information required to reanalyze the data reported in this paper is available from the lead contact upon request.

Experimental model and subject details

The *E. coli* strains Rosetta 2 (DE3) was used for recombinant protein expression for structural studies and biochemical *in vitro* experiments. T cells used for cellular editing experiments were isolated from human primary blood mononuclear cells were purchased from STEMCELL Technologies.

47

METHODS

DNA oligonucleotides and substrates

Sequences of DNA oligonucleotides used in this study are summarised in **Table S9**. Crystallisation substrates were synthesised by Sigma Aldrich without further purification, sgRNA transcription templates and ATTO-532-labelled cleavage substrates were synthesised by Integrated DNA Technologies, Inc., with PAGE and HPLC purification, respectively. Partially double stranded crystallisation substrates were prepared by mixing complementary oligonucleotides in a 1:1 molar ratio (as determined by 260 nm absorption), heating to 95 °C for 5 minutes and slow cooling to room-temperature. Cleavage substrates were prepared similarly, except that a 2-fold molar excess of the non-target strand was used.

Cas9 protein expression and purification

Streptococcus pyogenes Cas9 wild type protein and the nuclease dead mutant (D10A, H840A) were both recombinantly expressed for 16 hours at 18 °C in *Escherichia coli* Rosetta 2 (DE3) (Novagen) N-terminally fused to a hexahistidine affinity tag, the maltose binding protein (MBP) polypeptide, and the tobacco etch virus (TEV) protease cleavage site. Cells were resuspended and lysed in 20 mM HEPES-KOH pH 7.5, 500 mM KCl, 5 mM imidazole, and supplemented with added protease inhibitors. Clarified lysate was loaded on a 10 ml Ni-NTA Superflow column (QIAGEN), washed with 7 column volumes of 20 mM HEPES-KOH pH 7.5, 500 mM KCl, 5 mM imidazole, and eluted with 10 column volumes of 20 mM HEPES-KOH pH 7.5, 250 mM KCl, 200 mM imidazole. Salt concentration is adjusted and protein is loaded on a 10 ml HiTrap Heparin HP column (GE Healthcare) equilibrated in 20 mM HEPES-KOH pH 7.5, 250 mM KCl, 1 mM DTT. The column is washed with 5 column volumes of 20 mM HEPES-KOH pH 7.5, 250 mM KCl, 1 mM DTT, and Cas9 is eluted with 17 column volumes of 20 mM HEPES-KOH pH 7.5, 1.5 M KCl, 1 mM DTT, in a 0-32% gradient (peak elution around 500 mM KCl). His$_6$-MBP tag was removed by TEV protease cleavage overnight

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1051   with gentle shaking. The untagged Cas9 was concentrated and applied to a Superdex 200 16/600

1052   (GE Healthcare) and eluted with 20 mM HEPES-KOH pH 7.5, 500 mM KCl, 1 mM DTT.

1053   Purified protein was concentrated to 10 mg/ml, flash frozen in liquid nitrogen and store

1054   at -80 °C. DTT was omitted in the size-exclusion step of the purification when protein was used

1055   for switchSENSE measurements.

1056   sgRNA transcription and purification

1057   sgRNAs are transcribed from a double stranded PCR product template amplified from a plasmid

1058   in a 5 ml transcription reaction (30 mM Tris-HCl pH 8.1, 25 mM $MgCl_2$, 2 mM spermidine,

1059   0.01% Triton X-100, 5 mM CTP, 5 mM ATP, 5 mM GTP, 5 mM UTP, 10 mM DTT, 1 µM

1060   DNA transcription template, 0.5 units inorganic pyrophosphatase (Thermo Fischer), 250 µg

1061   homemade T7 RNA polymerase. The reaction is incubated at 37 °C for 5 hours, and then treated

1062   for 30 minutes with 15 units of RQ1 DNAse (Promega). The transcribed sgRNAs are

1063   subsequently PAGE purified on an 8% denaturing (7 M urea) polyacrylamide gel, and lastly

1064   ethanol precipitated and resuspended in DEPC treated water.

1065   Crystallisation of Cas9 ternary complexes and structure determination

1066   To assemble the Cas9 on-/off-target ternary complexes, the Cas9 protein is first mixed with the

1067   sgRNA in a 1:1.5 molar ratio and incubated at room temperature for 10 minutes. Next, the

1068   binary complex is diluted to 2 mg/ml with 20 mM HEPES-KOH 7.5, 250 mM KCl, 1 mM DTT,

1069   2 mM $MgCl_2$ buffer, pre-annealed 100 µM DNA substrate is added in a 1:1.8 molar ratio and

1070   the complex is incubated another 10 minutes at room temperature. For crystallisation, 1 µl of

1071   the ternary complex (1-2 mg/ml) is mixed with 1 µl of the reservoir solution (0.1 M Tris-acetate

1072   pH 8.5, 0.3-0.5 M KSCN, 17-19% PEG3350) and crystals are grown at 20 °C using the hanging

1073   drop vapour diffusion method. In some cases, microseeding was be used to improve crystal

1074   morphology. Crystals are typically harvested after 2-3 weeks, cryoprotected in 0.1 M Tris-

1075   acetate pH 8.5, 0.4 M KSCN, 30% PEG3350, 15% ethylene glycol, 1 mM $MgCl_2$, and flash-

49

1076   cooled in liquid nitrogen. Diffraction data was obtained at beamlines PXI and PXIII of the

1077   Swiss Light Source (Paul Scherrer Institute, Villigen, Switzerland) and were processed using

1078   the XDS package (Kabsch, 2010). Structures were solved by molecular replacement through

1079   the Phaser module of the Phenix package (Adams et al., 2010) using the PDB ID: 5FQ5 model

1080   omitting the RNA-DNA target duplex from the search. Model adjustment and duplex building

1081   was completed using COOT software (Emsley et al., 2010). Atomic model refinement was

1082   performed using Phenix.refine (Adams et al., 2010). Protein-nucleic acid interactions were

1083   analysed using the PISA web server (Krissinel and Henrick, 2007). Characterisation of the

1084   guide-protospacer duplex was performed using the 3DNA 2.0 web server (Li et al., 2019).

1085   Structural figures were generated using PyMOL and ChimeraX (Pettersen et al., 2021).

1086   *In vitro* nuclease activity assays

1087   Cleavage reactions were performed at 37 °C in reaction buffer, containing 20 mM HEPES pH

1088   7.5, 250 mM KCl, 5 mM $MgCl_2$ and 1 mM DTT. First, Cas9 protein was pre-incubated with

1089   sgRNA in 1:1.25 ratio for 10 minutes at room temperature. The protein-RNA complex was

1090   rapidly mixed with the target strand-ATTO-532-labelled dsDNA, to yield final concentrations

1091   of 1.67 μM protein and 66.67 nM substrate in a 7.5 μl reaction. Time points were harvested at

1092   1, 2.5, 5, 15, 45, 90, 150 minutes, and 24 hours. Cleavage was stopped by addition of 2 μl of

1093   250 mM EDTA, 0.5% SDS and 20 μg of Proteinase K. Formamide was added to the reactions

1094   with final concentration of 50%, samples were incubated at 95 °C for 10 minutes, and resolved

1095   on a 15% denaturing PAGE gel containing 7M urea and imaged using a Typhoon FLA 9500

1096   gel imager. Depicted error bars correspond to the standard deviation from four independent

1097   cleavage reactions. Rate constants (k_{obs}) were extracted from single exponential fits: [Product]

1098 $= A*(1-exp(-k_{obs}*t))$

1099   switchSENSE analysis

1100 The target strands (TS) containing a 3' flanking sequence complementary to the ssDNA

1101 covalently bound to the chip electrode, and the non-target strands (NTS) (**Table S9**) were

1102 resuspended in a buffer containing 10 mM Tris-HCl pH 7.4, 40 mM NaCl, and 0.05% Tween

1103 20. The matching TS:NTS duplex is pre-annealed and hybridised to the chip anode. The Cas9

1104 protein was mixed with the sgRNAs at a 1:2 protein:RNA molar ratio, and the complex was

1105 incubated for 30 min at 37 °C in association buffer containing 20 mM HEPES-KOH pH 7.5,

1106 150 mM KCl, 2 mM $MgCl_2$, 0.01% Tween 20. All switchSENSE experiments were performed

1107 on a DRX analyser using CAS-48-1-R1-S chips (Dynamic Biosensors GmbH, Martinsried,

1108 Germany). Kinetics experiments were performed at 25 °C in association buffer, with an

1109 association time of 5 min, dissociation time of 20 min, and a flow rate of 50 μl/min.

1110 SITE-Seq assay

1111 SITE-Seq assay reaction conditions were performed as described previously (Cameron et al.,

1112 2017). Briefly, high molecular weight genomic DNA (gDNA) was purified from human

1113 primary T cells using the Blood & Cell Culture DNA Maxi Kit (Qiagen) according to the

1114 manufacturer's instructions. RNPs comprising the guides were biochemically assembled for

1115 gDNA digestion. Specifically, equal molar amounts of crRNA and tracrRNA were mixed and

1116 heated to 95 °C for 2 min then allowed to cool at room temperature for ~5 min. Three-fold

1117 molar excess of the guides were incubated with *Streptococcus pyogenes* Cas9 (SpCas9) in

1118 cleavage reaction buffer (20 mM HEPES pH 7.4, 150 mM KCl, 10 mM MgCl2, 5% glycerol)

1119 at 37 °C for 10 min. In a 96-well plate format, 10 μg of gDNA was treated with 0.2 pmol (4

1120 nM), 0.8 pmol (16 nM), 3.2 pmol (64 nM), and 12.8 pmol (256 nM) of each RNP in 50 μL total

1121 volume in cleavage reaction buffer. Each cleavage reaction was performed in triplicate.

1122 Negative control reactions were assembled in parallel and did not include RNP. gDNA was

1123 treated with RNPs for 4 hours at 37 °C. SITE-Seq assay library preparation and sequencing

51

1124 was performed as described previously and the final library was loaded onto the Illumina

1125 NextSeq platform (Illumina, San Diego, CA), and ~1-3 M reads were obtained for each sample.

1126 SITE-Seq assay analysis and selection for cellular validation

1127 SITE-Seq assay recovered off-targets were filtered for sites that had read-pileups proximal to

1128 the expected cut site, a PAM comprising at least one guanine base, fewer than 12 mismatches

1129 (reasoning that sites with 12 or more mismatches are likely spurious peaks not resulting from

1130 Cas9-induced double-strand breaks), and all sites with 11 mismatches were visually inspected

1131 and included in analysis if a putative deletion or insertion would result in a reduction of at least

1132 4 mismatches relative to the spacer sequence.

1133 *In silico* mismatch, deletion, and insertion prediction algorithm

1134 Predictive classification of SITE-Seq assay recovered off-target sites as pure mismatches,

1135 deletions, or insertions was executed using a scoring algorithm which consisted of the following

1136 sequential steps (**Figure S18**):

1137 (i)   For each off-target, a gap library was generated where a single nucleotide gap was

1138   introduced between each nucleotide in the off-target sequence.

1139 (ii)   The off-target gap library was then aligned to the spacer sequence and each

1140   alignment was scored based on the number of matched bases between the spacer

1141   and gapped off-target pair. If the gapped off-target with the highest alignment score

1142   improved alignment by at least 4 nucleotides relative to the non-gapped spacer–off-

1143   target alignment, the off-target sequence was marked as a single-nucleotide deletion

1144   and removed from subsequent analysis.

1145 (iii)   The remaining pool of off-targets were then aligned to a spacer gapped library where

1146   a single nucleotide gap was introduced at each positing in the spacer.

1147 (iv)   The spacer gap library was then aligned to each off-target sequence and each

1148   alignment was scored based on the number of matched bases between the off-target

52

1149   and the gapped spacer pair. If the gapped spacer with the highest alignment score

1150   improved alignment by at least 4 nucleotides relative to the non-gapped spacer–off-

1151   target alignment, the off-target sequence was marked as a single-nucleotide insertion

1152   and removed from subsequent analysis.

1153   (v)   The remaining off-target for which the spacer–off-target alignment was not

1154   improved by single-nucleotide deletions or insertions were annotated as a

1155   mismatched off-target.

1156 *Generation of nucleoprotein complexes (RNP) for electroporation*

1157 Synthetic crRNA and tracrRNA oligonucleotides were ordered from Integrated DNA

1158 Technologies (Coralville, IA) as AltR^{TM} reagents. Ribonucleoprotein complexes (RNPs) were

1159 formulated by incubating 480 pmols of each crRNA and tracrRNA and heated to 95 °C for 2

1160 min then allowed to cool at room temperature for ~5 min. Annealed guides were then mixed

1161 with 160 pmols of Cas9 protein (1:3 molar ratio of Cas9 to guides) in RNP assembly buffer (20

1162 mM HEPES pH 7.4, 150 mM KCl, 10 mM MgCl$_2$, 5% glycerol) and incubated at 37 °C for 10

1163 min. RNP were then serial diluted two-fold by mixing with equal volume of RNP assembly

1164 buffer across seven concentrations down to 2.5 pmol of Cas9 and 7.5 pmols of crRNA-

1165 tracrRNA. RNPs were kept at 4 °C or on ice until transfection.

1166 *T cell handling and transfections*

1167 T cells were isolated from peripheral blood mononuclear cells (PBMCs) using the RoboSep-S

1168 cell isolation platform (STEMCELL Technologies) with EasySep Human T cell Isolation Kit

1169 (STEMCELL Technologies). Cells were then activated for 3 days in "Complete media"

1170 (Immunocult-XF T cell expansion media (STEMCELL Technologies), CTS Immune Cell

1171 serum replacement (Fisher Scientific), 1x antibiotic-antimycotic (Fisher Scientific), and

1172 recombinant human Interleukin-2 (rhIL-2, 100 units/mL)) in the presence of anti-CD3/CD28

1173 Dynabeads (Fisher Scientific) at a bead:cell ratio of 1:1. On the third day, beads were removed

53

1174 and cells expanded in Complete media for 24 hours. Cells were then harvested, washed once

1175 with phosphate-buffered saline (PBS) and resuspended at 10^7 cells/mL in P4 Primary Cell

1176 Nucleofector Solution (Lonza). 20 µL of resuspended cells (corresponding to ~ 200,000 cells)

1177 were then combined with 2.5 µL of RNP and electroporated using the Lonza 4D 96-well

1178 Nucleofector electroporation system using pulse code CA137. Transfected cells were then

1179 recovered in 180 µL/sample of Complete media. After 48 hours, the electroporated T cells were

1180 pelleted and gDNA was isolated by adding 50 µL/well QuickExtract DNA extraction solution

1181 (Epicentre), followed by incubation at 37 °C for 10 minutes, 65 °C for 6 minutes, and 95 °C for

1182 3 minutes. The isolated gDNA was diluted with 50 µL sterile water to achieve ~ 2,000 genome

1183 equivalents/µL and samples were stored at -20 °C until NGS analysis.

1184 *Targeted amplicon sequencing*

1185 Cas9 on- and off-target sites were amplified in a two-step PCR reaction. In brief, 3.75 µl

1186 (corresponding to ~7,500 cells) of lysate was used as a template for PCR amplification with Q5

1187 Hot-Start High Fidelity DNA Polymerase (NEB) and unique primer pairs containing an internal

1188 locus-specific region and an outer Illumina-compatible adapter sequence. A second PCR

1189 reaction targeting the outer-adapter sequence was performed to append unique indices to each

1190 amplicon. Sites were sequenced on a MiSeq with 2 × 151 paired-end reads and v2 chemistry,

1191 or NextSeq with 2 × 151 paired- end reads and v2 or v2.5 chemistry (Illumina), and aligned to

1192 the hg38 genomic assembly. For each site, indels were tallied if they occurred within 3

1193 nucleotides of the putative Cas9 cut site, and editing efficiencies were calculated by subtracting

1194 the percentage of indels in untransfected cells from the percentage of indels in RNP-transfected

1195 cells. Depth of coverage was ~5,000–20,000 reads per amplicon, and all samples with <500

1196 reads aligning to the predicted amplicon were discarded. Lower limit of detection (*e.g.,* ≥0.1%)

1197 was determined by titration of NIST genomic standards and assessment of expected versus

1198 measured values (Data not shown).

Molecular Dynamics (MD) simulations

1200   MD simulations were performed using a well-established protocol, previously employed in

1201   several computational studies of CRISPR-Cas9 (Mitchell et al., 2020; Ricci et al., 2019). The

1202   Amber ff14SB force field (Maier et al., 2015) was adopted, including the ff99bsc1 corrections

1203   for DNA (Ivani et al., 2016) and the ff99bsc0+χOL3 corrections for RNA (Banas et al., 2010;

1204   Zgarbova et al., 2011). Explicit water molecules were described using the TIP3P model

1205   (Jorgensen et al., 1983), while the Li & Merz model was used for Mg2+ ions (Li and Merz,

1206   2014). An integration time step of 2 fs was applied. All bond lengths involving hydrogen atoms

1207   were constrained using the SHAKE algorithm. Temperature control (300 K) was performed via

1208   Langevin dynamics (Turq et al., 1977), with a collision frequency $\gamma = 1$. Pressure control was

1209   accomplished by coupling the system to a Berendsen barostat (Berendsen et al., 1984), at a

1210   reference pressure of 1 atm and with a relaxation time of 2 ps. The systems were subjected to

1211   energy minimization to relax water molecules and counter ions, keeping the protein, the RNA,

1212   DNA and Mg2+ ions fixed with harmonic position restraints of 300 kcal/mol · Å2. Then, the

1213   systems were heated up from 0 to 100 K in the canonical ensemble (NVT), by running two

1214   simulations of 5 ps each, imposing position restraints of 100 kcal/mol · Å2 on the above-

1215   mentioned elements of the system. The temperature was further increased up to 200 K in ~100

1216   ps of MD in the isothermal-isobaric ensemble (NPT), reducing the restraint to 25 kcal/mol ·

1217   Å2. Subsequently, all restraints were released, and the temperature of the systems was raised

1218   up to 300 K in a single NPT simulation of 500 ps. After ~ 1.1 ns of equilibration, ~10 ns of

1219   NPT runs were carried out allowing the density of the systems to stabilize around 1.01 g cm-3.

1220   Finally, production runs were carried out in the NVT ensemble, collecting ~500 ns in three

1221   replicates for each of the systems. These simulations have been performed using the GPU-

1222   empowered version of AMBER 20 (D.A. Case, 2021; Salomon-Ferrer et al., 2013). Analysis

1223   of the RNA:DNA conformational dynamics has been done using the CURVES+ code (Lavery

1224 et al., 2009). Molecular simulations were based on three X-ray structures of CRISPR-Cas9:

1225 TRAC on-target (PDB: 7OX8), AAVS1 on-target #2 and FANCF on-target, displaying 20 base

1226 pair long RNA:TS DNA hybrid and a cleaved NTS. To study the accommodation of rA-dA

1227 mismatches at various positions along the heteroduplex, we systematically mutated the base

1228 pairs to rA-dA mismatches (TRAC on-target for positions 1, 11, 15, and 20; FANCF on-target

1229 for positions 3-5, 9, and 18; AAVS1 on-target for positions 2, 6-8, 10, 12-14, 16, 17, and 19),

1230 using the LEaP tool in the AMBER 20 code (Lavery et al., 2009). The obtained model systems

1231 were embedded in explicit waters, and counterions were added to neutralize the total charge at

1232 physiological conditions, leading to periodic simulation cells of ~145*115*150 Å3 and a total

1233 of ~220,000 atoms for each system.

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1478

Figure 1

A

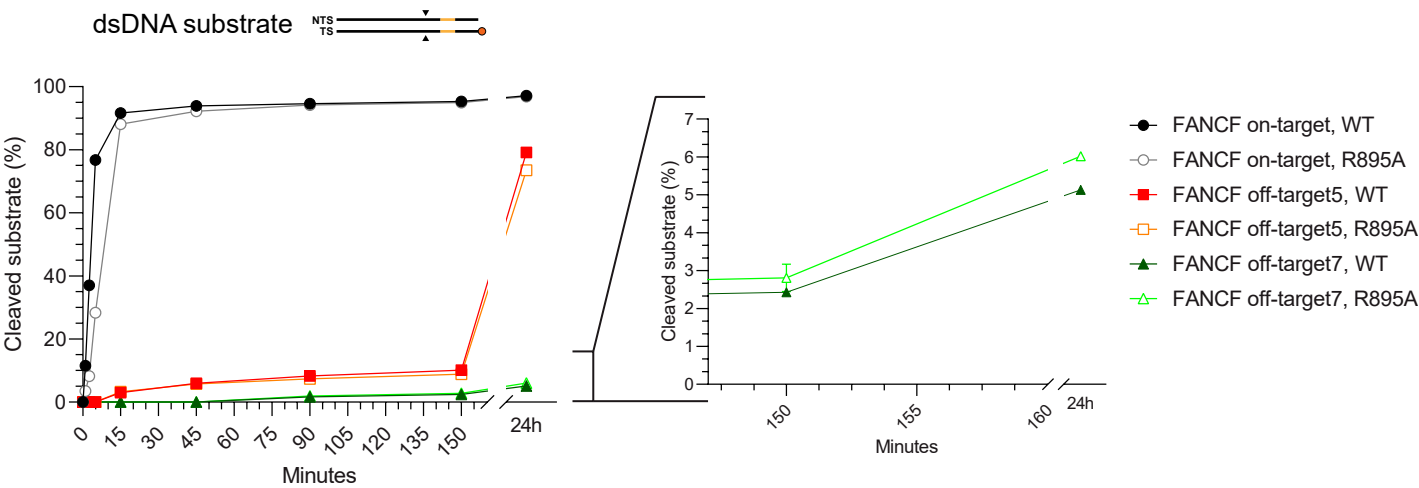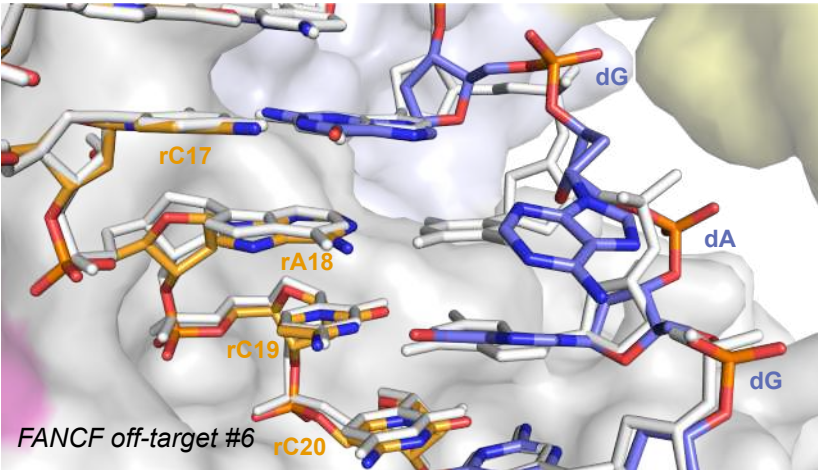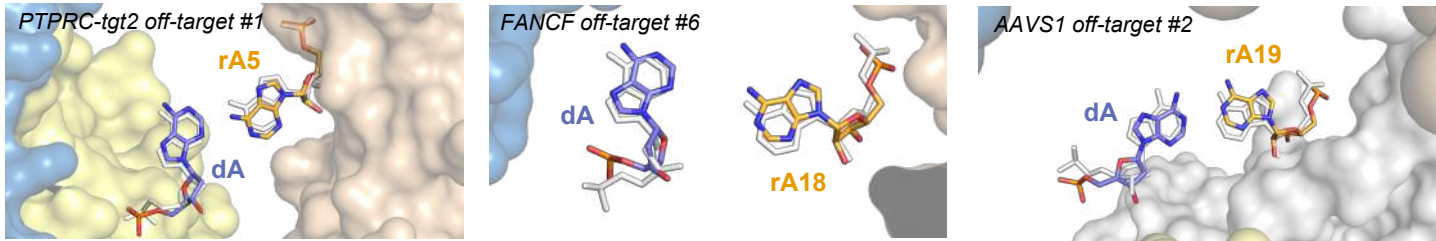| Gene	sequence	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	P	A	M
AAVS1	guide RNA	G	G	G	G	G	C	C	A	C	U	A	G	G	G	A	C	A	G	G	A	U			
AAVS1	on-target		C	C	C	C	G	G	T	G	A	T	C	C	C	T	G	T	C	C	T	A	A	C	C
AAVS1	off-target1		·	·	·	T	·	·	A	G	·	·	·	·	·	·	·	·	·	·	·	·	C	C	C
AAVS1	off-target2		A	·	·	C	·	G	T	G	·	·	·	·	·	·	·	·	·	·	A	·	A	C	C
AAVS1	off-target3		·	·	·	·	·	·	C	·	·	·	·	·	C	·	·	·	·	·	·	·	T	C	C
AAVS1	off-target4		A	·	·	·	·	·	·	·	A	·	·	·	·	·	·	·	·	·	·	T	A	C	C
AAVS1	off-target5		·	·	·	T	·	·	·	·	C	·	·	·	·	·	·	·	·	·	G	G	A	C	C
FANCF	guide RNA	G	G	G	A	A	U	C	C	C	U	U	C	U	G	C	A	G	C	A	C	C			
FANCF	on-target		C	C	T	T	A	G	G	G	A	A	G	A	C	G	T	C	G	T	G	G	A	C	C
FANCF	off-target1		·	·	·	·	G	·	·	C	·	·	·	·	·	·	·	·	·	·	·	·	T	C	C
FANCF	off-target2		·	·	·	C	·	·	·	G	·	·	T	·	·	·	·	·	·	·	·	·	T	C	C
FANCF	off-target3	G	·	·	·	C	G	·	·	·	·	·	·	·	·	·	—	·	·	·	·	·	G	C	C
FANCF	off-target4		T	G	G	·	·	·	·	G	·	·	·	·	·	·	·	·	·	·	·	·	A	C	C
FANCF	off-target5		A	·	·	·	·	·	T	·	·	·	G	·	·	·	·	·	·	·	·	·	A	C	C
FANCF	off-target6		·	·	·	G	T	·	·	·	·	·	·	·	·	·	·	·	·	·	A	·	T	C	C
FANCF	off-target7		·	·	·	·	·	T	·	·	A	·	T	·	·	·	·	·	·	·	·	·	T	C	C
PTPRC-tgt2	guide RNA	G	G	C	A	A	A	A	C	U	C	A	A	C	C	C	U	A	C	C	C	C			
PTPRC-tgt2	on-target		C	G	T	T	T	T	G	A	G	T	T	G	G	G	A	T	G	G	G	G	A	C	C
PTPRC-tgt2	off-target1	T	·	·	·	·	A	·	·	T	·	·	·	·	·	—	·	·	·	·	·	·	A	C	C
TRAC	guide RNA	G	A	G	A	G	U	C	U	C	U	C	A	G	C	U	G	G	U	A	C	A			
TRAC	on-target		T	C	T	C	A	G	A	G	T	C	G	A	C	C	A	T	G	T			G	C	C
TRAC	off-target1		C	T	·	·	·	·	·	G	·	·	·	·	T	·	·	·	·	·	·	·	A	C	C
TRAC	off-target2		·	T	·	·	·	T	·	T	·	·	·	·	·	·	·	·	·	·	·	·	A	C	C

B

guide RNA
NTS
TS

BH
REC I
REC II
REC III
HNH
RuvC
PI

FANCF on-target

Position: 1   5   10   15   20

Figure 2

A rU – dG wobble
FANCF off-target #2

B rA(+) – dC wobble
FANCF off-target #2

C (*anti*) rG – (*syn*) dA Hoogsteen
AAVS1 off-target #4

D (*syn*) rG Hoogsteen – (*anti*) dG
FANCF off-target #5

Figure 3

A rU – dC mismatch

FANCF off-target #1

B rU – dT mismatch

FANCF off-target #5

C rC – dC mismatch

AAVS1 off-target #3

D rA – dA mismatch

PTPRC-tgt2 off-target #1

Figure 4

A rA – dA mismatch

rC17, rA18, rC19, rC20, dG, dA, dG, dG

FANCF off-target #6

B rA – dA mismatch

AAVS1 off-target #2

rG18, rA19, rU20, dC, dA, dA

3.9

C rA – dG mismatch

AAVS1 off-target #5

rG18, rA19, rU20, dC, dG, dG

2.8, 2.9, 3.5, 3.8

D rU – dT mismatch

rA19, rU20, dT, K+, dT

3.6

AAVS1 off-target #4

Figure 5

A *PTPRC off-target #1*

rC13, dG14, rC14, dG15, rU15, rA16, dT16, rC17, dG17

B *FANCF off-target #3*

rG16, rC17, dC17, rA18, dT18, rC19, dG19, rC20, dG20

C

Gene	sequence	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	P	A	M
AAVS1	guide RNA	*G*	G	G	G	C	C	A	C	U	A	G	G	G	A	C	A	G	G	A	U				
AAVS1	on-target		C	C	C	C	G	G	T	G	A	T	C	C	C	T	G	T	C	C	T	A	A	C	C
AAVS1	off-target2-A		A	.	.	.	C	.	G	T	G	.	.	.	.	.	.	.	.	.	A	.	A	C	C
AAVS1	off-target2-B	A	.	.	.	.	.	.	.	.	—	.	.	.	.	.	.	.	.	.	A	.	A	C	C
AAVS1	off-target2-rev		A	.	.	.	C	.	G	.	G	.	.	.	.	.	.	.	.	.	A	.	A	C	C

D

rC5, rC6, dC, dG, rA7, dG, rC8, dT, rU9, dG

E

dsDNA substrate

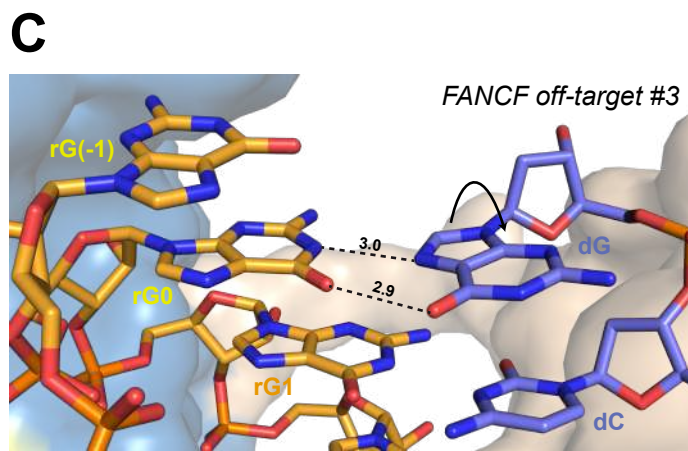Cleaved substrate (%) vs Minutes (0, 15, 30, 45, 60, 75, 90, 105, 120, 135, 150, 24h)

PAMmer

Cleaved substrate (%) vs Minutes (0, 15, 30, 45, 60, 75, 90, 105, 120, 135, 150, 24h)

AAVS1 on-target
AAVS1 off-target2
AAVS1 off-target-rev

NTS
TS

Figure 6

A

B

A

B

C

D

E

A

B

A

REC2
12°

90°

AAVS1
on-target

TRAC
on-target

FANCF
on-target

B

FANCF
on-target

4Å   4Å

AAVS1
on-target

13°

REC1

A *AAVS1 off-target #2*

B *AAVS1 off-target #4*

C *FANCF off-target #5*

D *TRAC off-target #1*

Figure S6

Figure S8

A. FANCF off-target #6

B. FANCF off-target #7

C. AAVS1 off-target #2

D. TRAC off-target #2

E. PTPRC-tgt2 off-target #1

F. TRAC off-target #2

G. AAVS1 off-target #2

H. AAVS1 off-target #3

A

B

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
Total Mismatches	2029	1771	1453	1295	1100	882	888	924	1171	885	632	769	738	409	506	524	484	708	775	801
dA-rA mismatches	139	36	322	74	66	97	72	39	51	85	49	15	7	57	2	24	16	89	155	148
%dA-rA mismatches	10.8%	9.5%	7.8%	6.9%	5.9%	4.7%	4.7%	4.9%	6.3%	4.7%	3.4%	4.1%	3.9%	2.2%	2.7%	2.8%	2.6%	3.8%	4.1%	4.3%

C

D

A

B

AAVS1 off-target #2

C
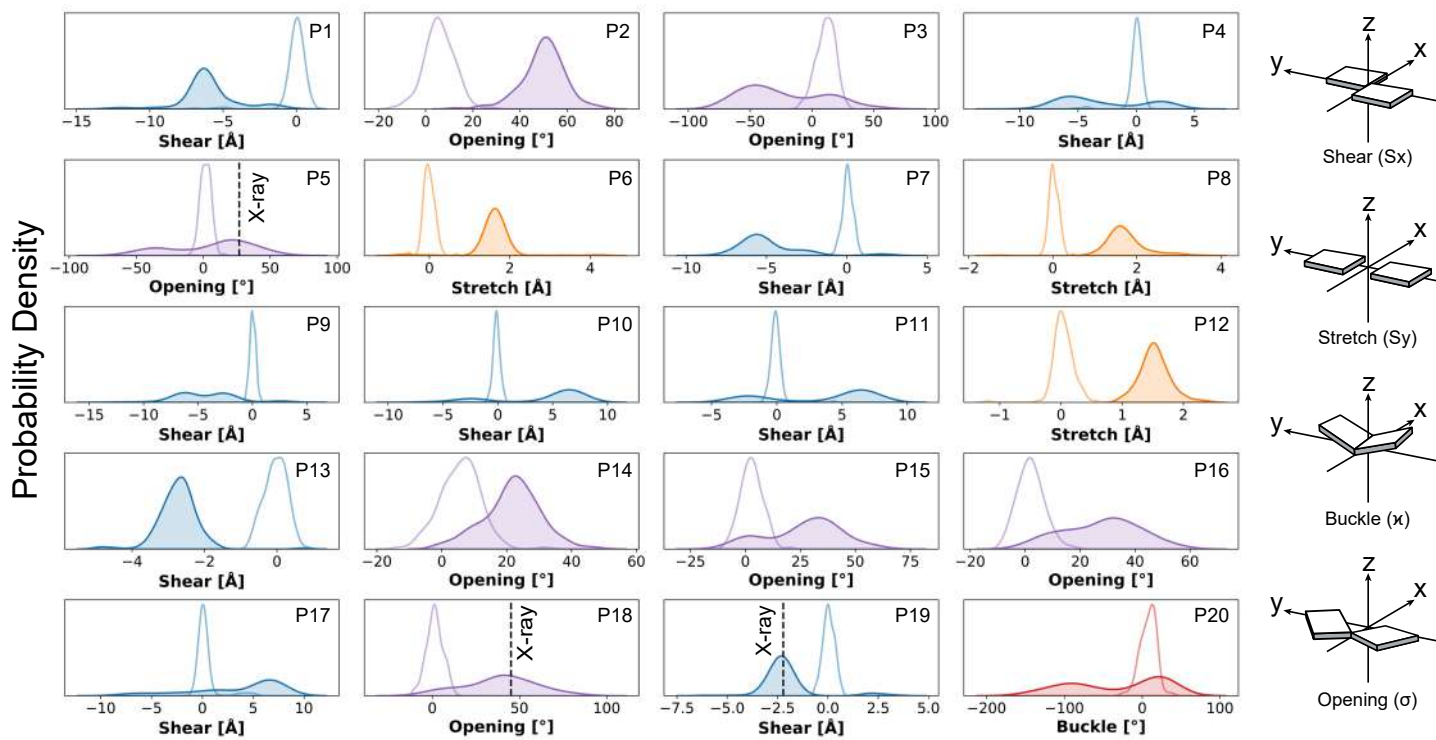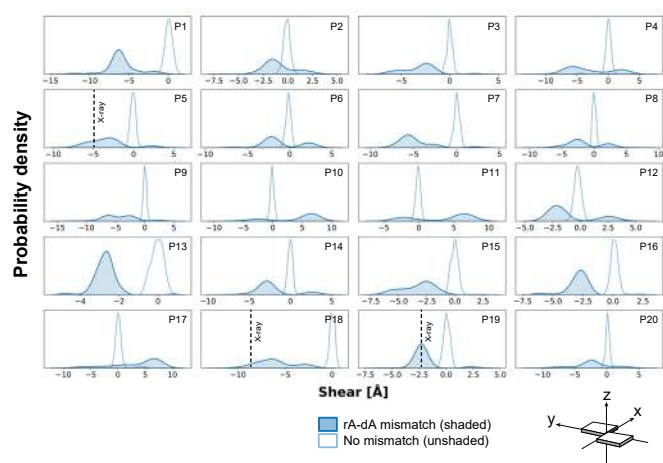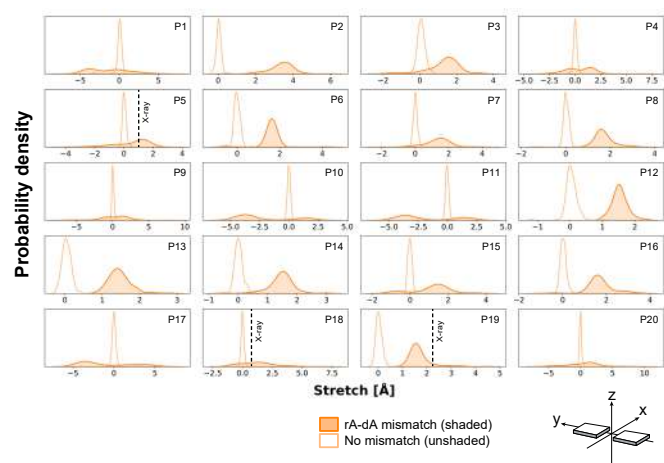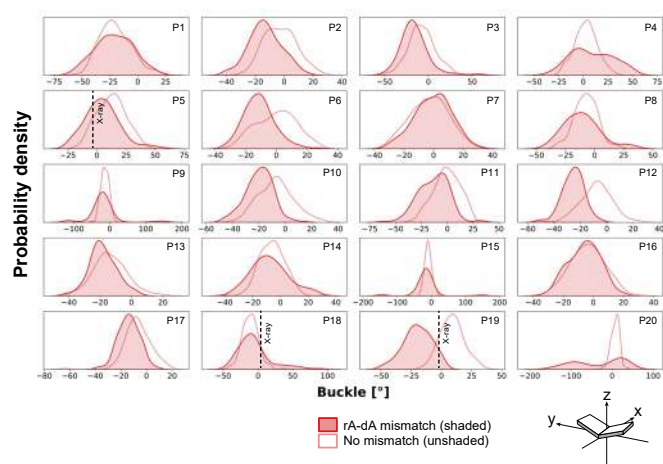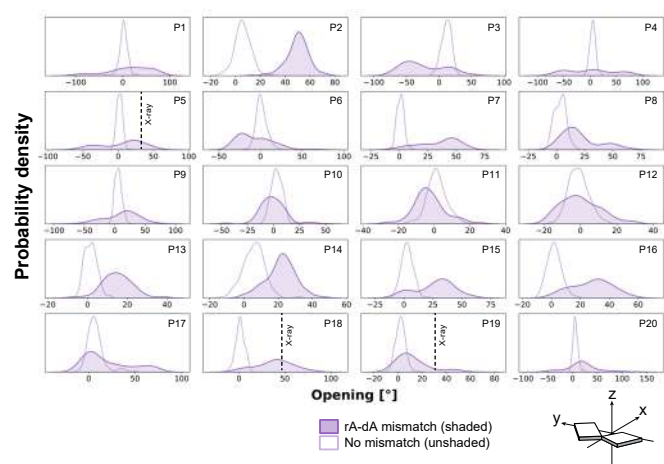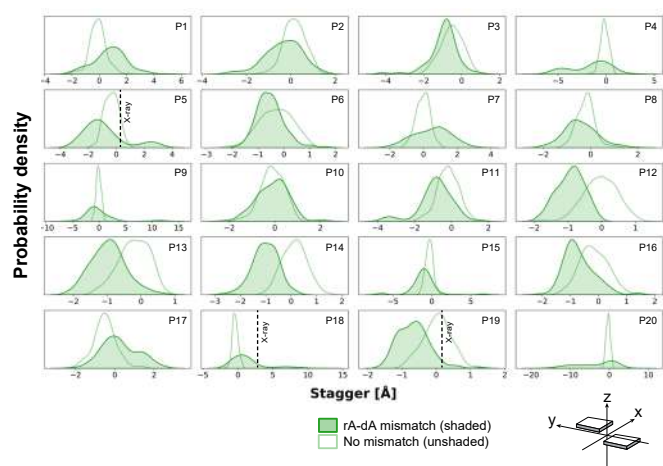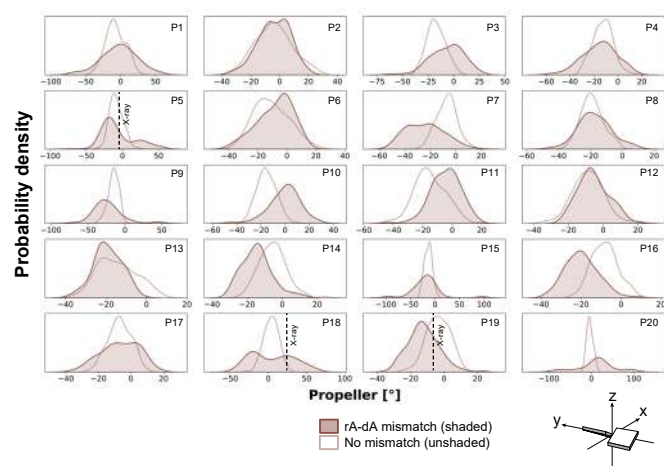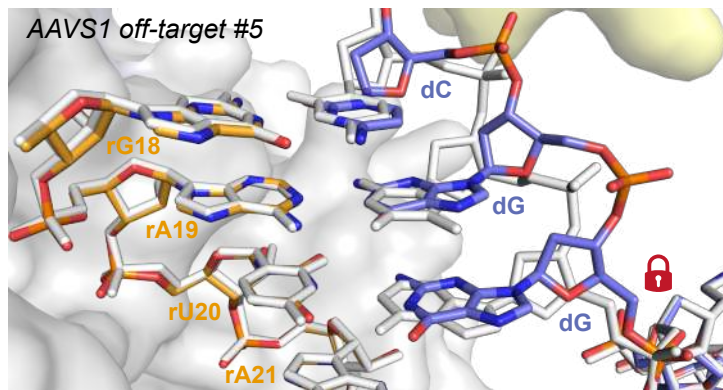
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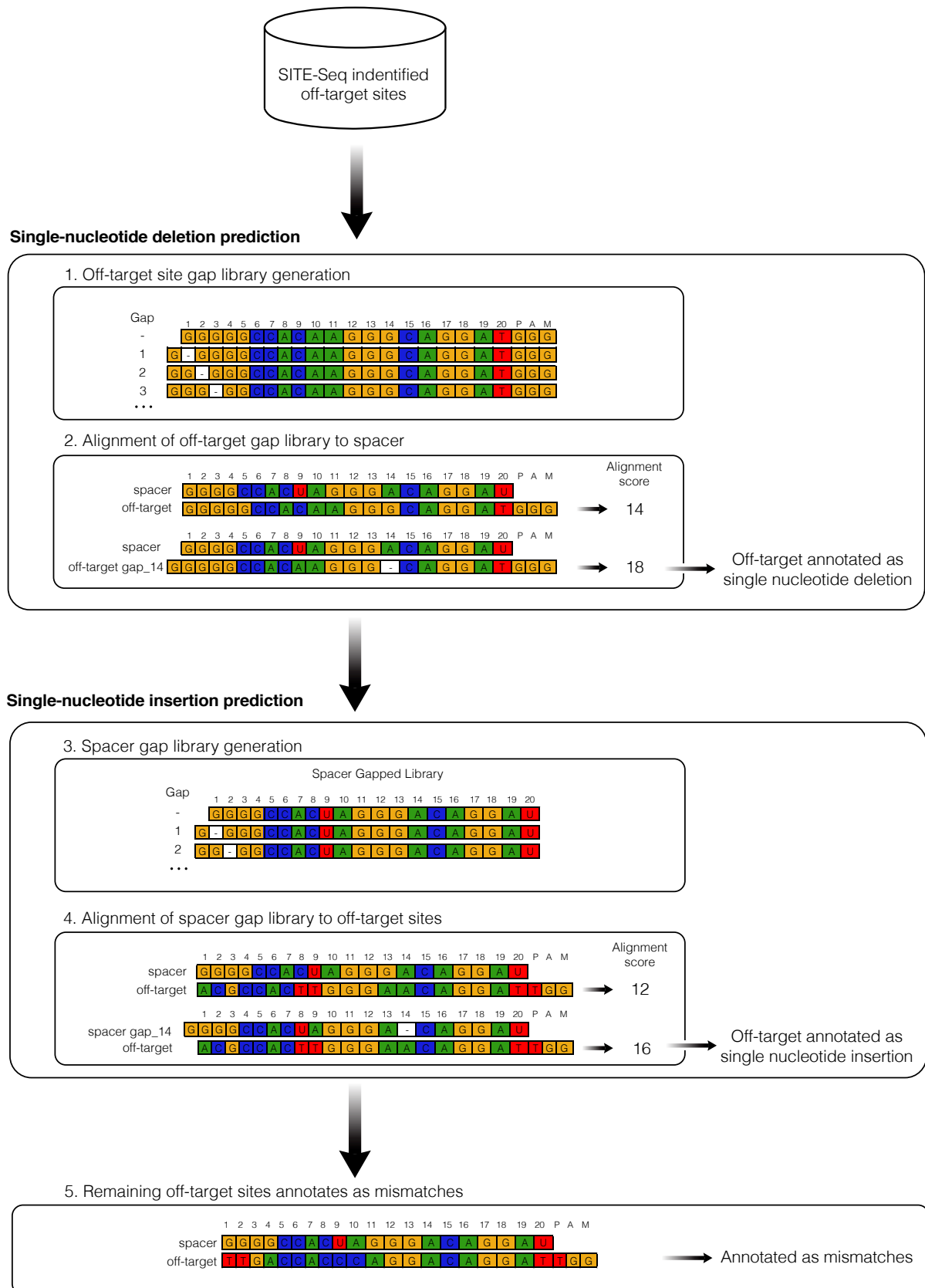
A

SITE-Seq Assay Recovered Sites

B

Mismatch Position
(n = 3411)

Percent
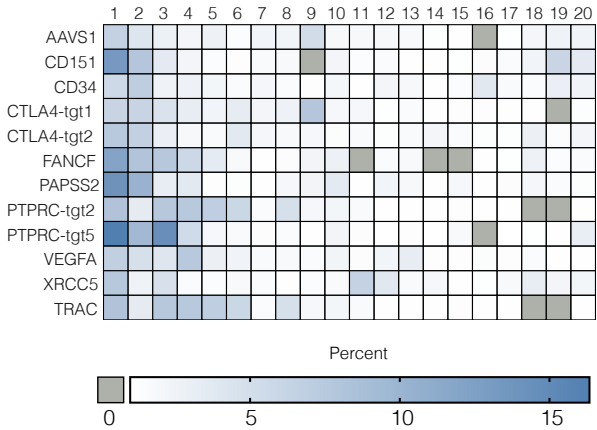
C

Nucleotide Mismatch Frequency
(n = 3411)

Number of instances

D
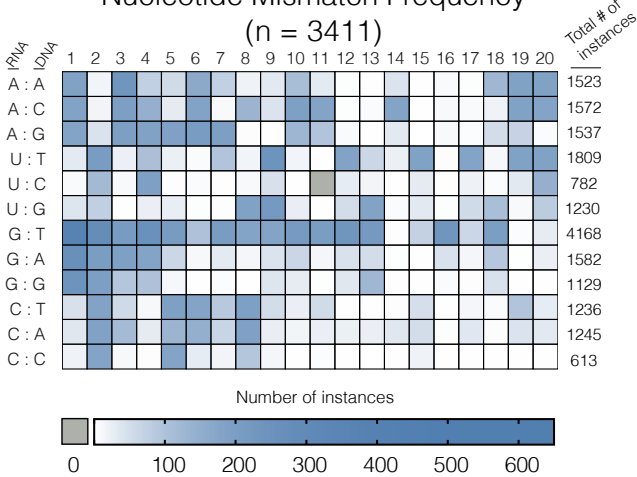
Single-nucleotide Deletion Frequence
(n =321)

E
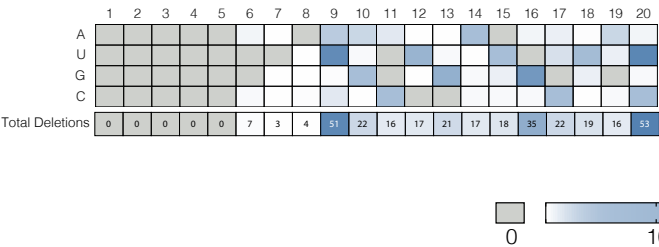
Single-nucleotide Insertion Frequence
(n = 116)
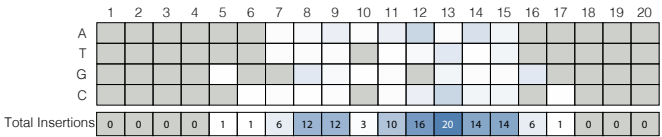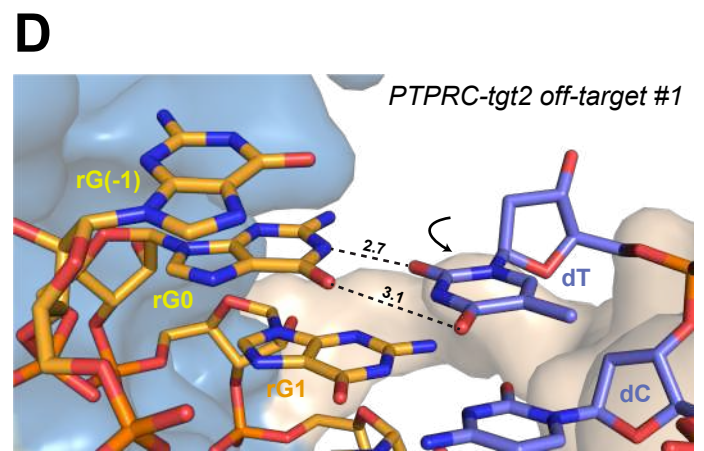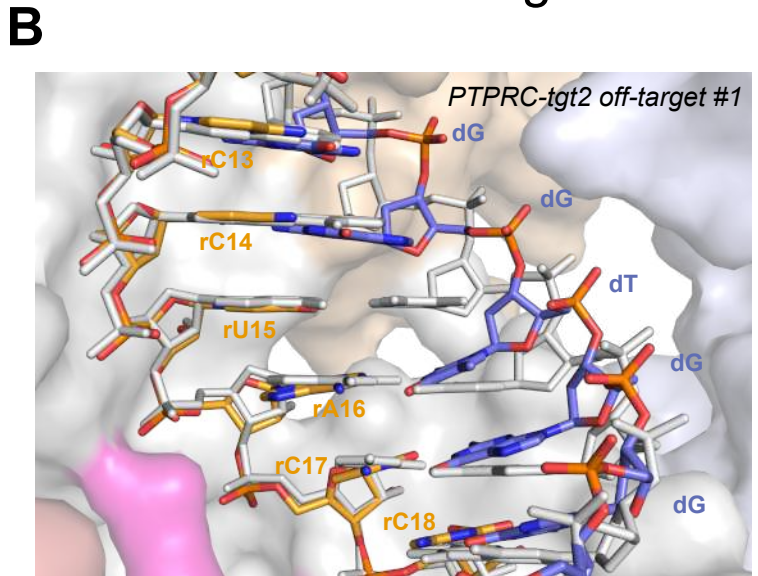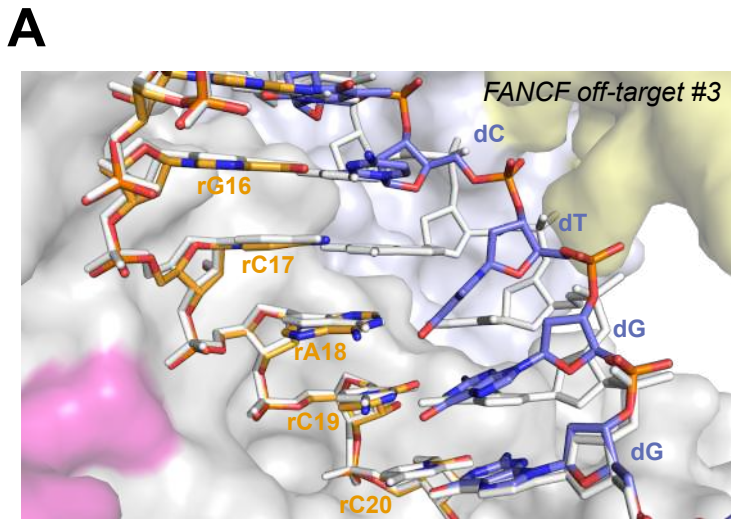
Number of Sites

A

FANCF off-target #3

B

PTPRC-tgt2 off-target #1

C

FANCF off-target #3

D

PTPRC-tgt2 off-target #1

A

Gene	sequence	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	P	A	M
AAVS1	guide RNA	G	G	G	G	G	C	C	A	C	U	A	G	G	G	A	C	A	G	G	A	U			
AAVS1	on-target		C	C	C	C	G	G	T	G	A	T	C	C	C	T	G	T	C	C	T	A	A	C	C
AAVS1	off-target23-A	G	T	·	·	·	·	·	·	·	—	·	·	·	·	G	·	·	·	·	·	·	C	C	C
AAVS1	off-target23-B	·	T	·	·	C	·	G	T	G	·	·	·	·	·	G	·	·	·	·	·	·	C	C	C
AAVS1	off-target23-rev	·	T	·	·	C	·	G	·	G	·	·	·	·	·	G	·	·	·	·	·	·	C	C	C
AAVS1	off-target37-A	T	A	·	T	·	·	·	·	·	—	·	·	T	·	·	·	·	·	·	·	·	C	C	C
AAVS1	off-target37-B	T	A	·	T	C	·	G	T	G	·	·	·	T	·	·	·	·	·	·	·	·	C	C	C
AAVS1	off-target37-rev	T	A	·	T	C	·	G	·	G	·	·	·	T	·	·	·	·	·	·	·	·	C	C	C

B

dsDNA substrate

- AAVS1 on-target
- AAVS1 off-target23
- AAVS1 off-target23 rev
- AAVS1 off-target37
- AAVS1 off-target37 rev

Cleaved substrate (%)

Minutes — 0 15 30 45 60 75 90 105 120 135 150 24h

C

PAMmer

- AAVS1 on-target
- AAVS1 off-target23
- AAVS1 off-target23 rev
- AAVS1 off-target37
- AAVS1 off-target37 rev

Cleaved substrate (%)

Minutes — 0 15 30 45 60 75 90 105 120 135 150 24h
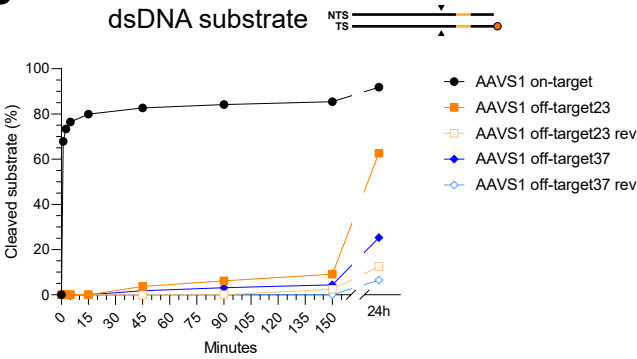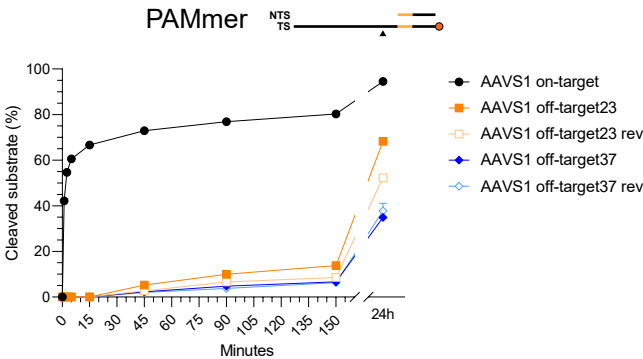
A

CD34	guide RNA	G	A	U	A	G	G	A	G	A	A	G	A	U	G	A	U	G	U	A	U	A			
CD34	on-target		T	A	T	C	C	T	C	T	T	C	T	A	C	T	A	C	A	T	A	T	C	C	C
CD34	off-target9-A	C	·	·	·	·	·	—	·	·	·	·	·	·	·	·	·	T	·	·	·	·	A	C	C
CD34	off-target9-B		C	T	A	T	·	C	·	·	·	·	·	·	·	·	·	T	·	·	·	·	A	C	C

B dsDNA substrate
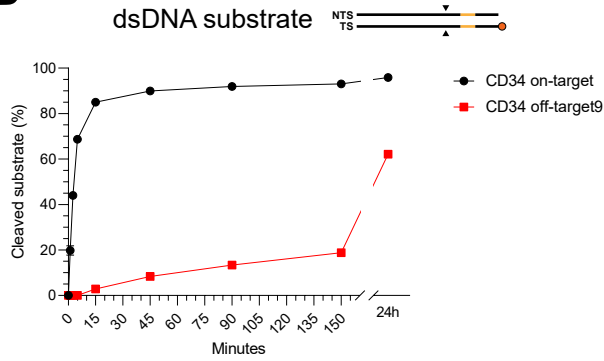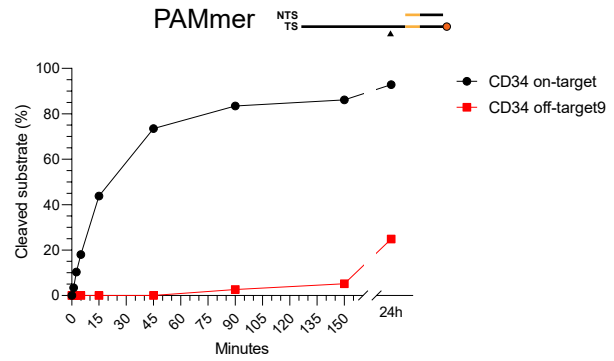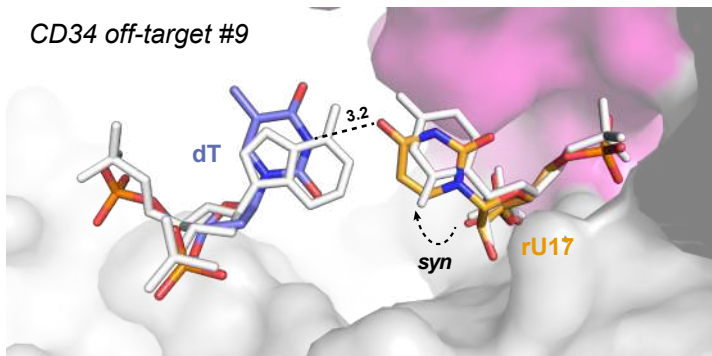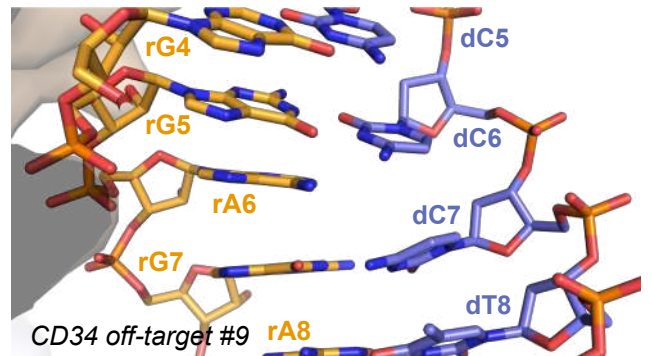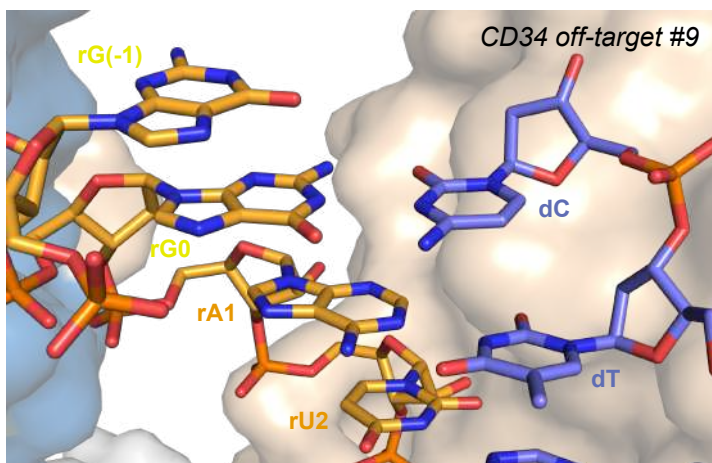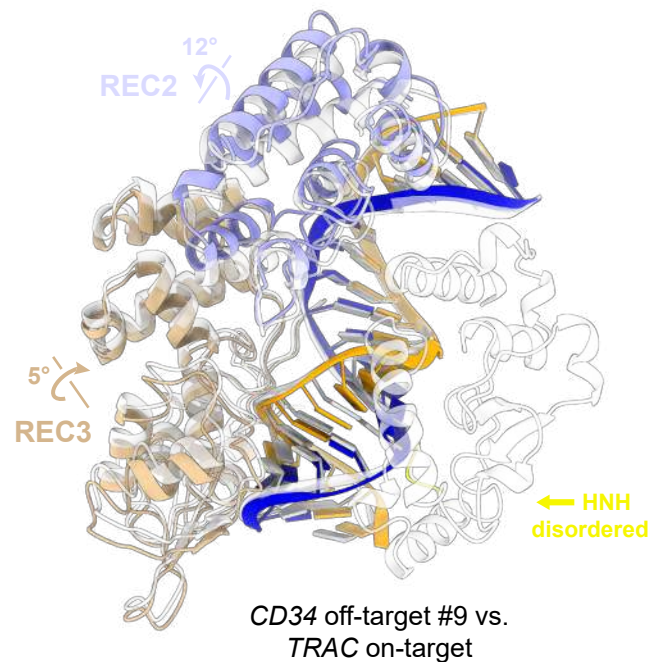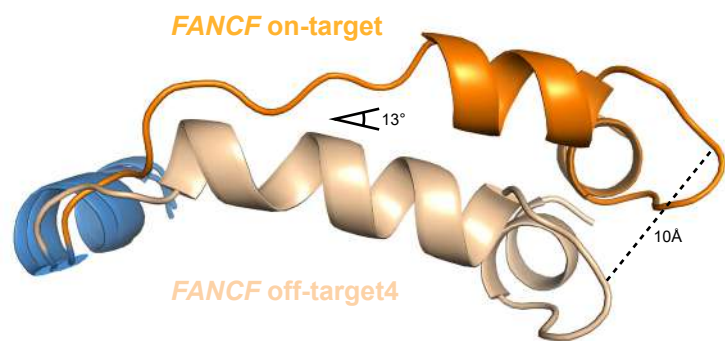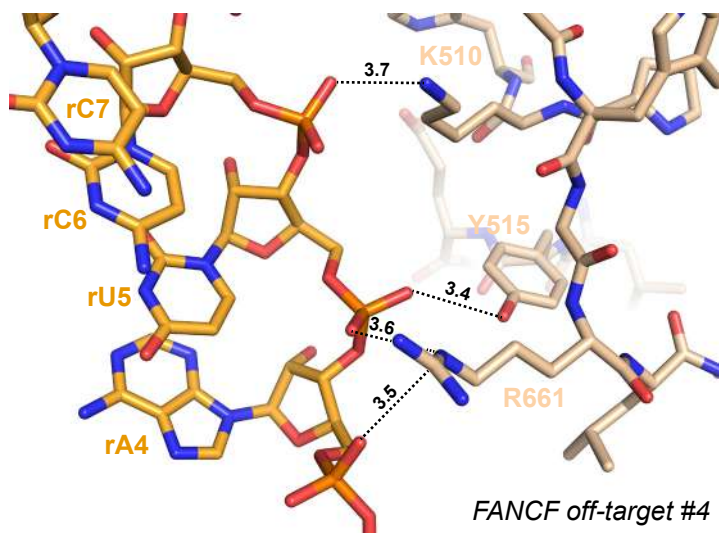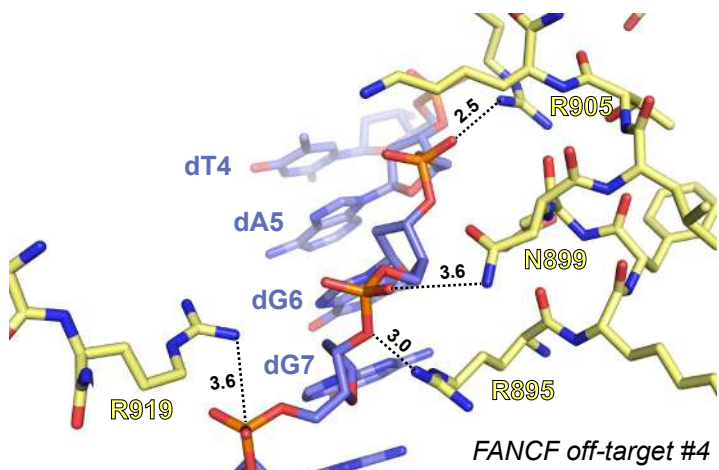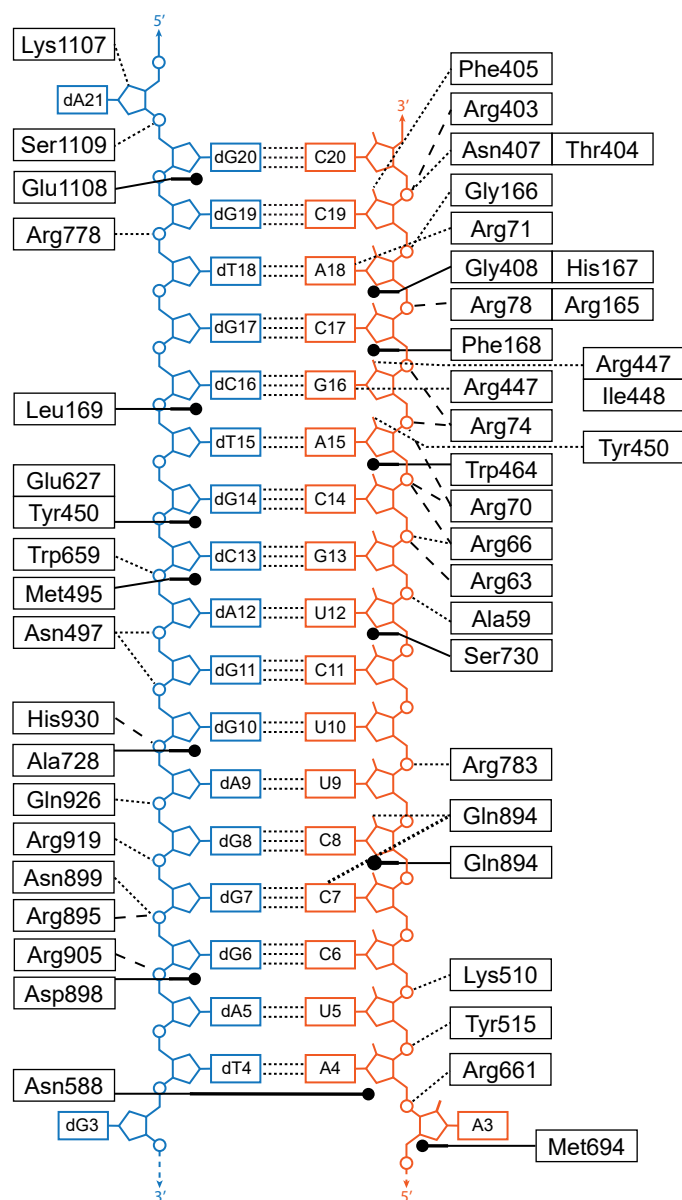
Cleaved substrate (%) vs Minutes

- CD34 on-target
- CD34 off-target9

C PAMmer

Cleaved substrate (%) vs Minutes

- CD34 on-target
- CD34 off-target9

D

CD34 off-target #9

dT — 3.2 — rU17 (*syn*)

E

rG4, rG5, rA6, rG7, rA8

dC5, dC6, dC7, dT8

CD34 off-target #9

F

rG(-1), rG0, rA1, rU2

dC, dT

CD34 off-target #9

G

12° REC2

5° REC3

HNH disordered

CD34 off-target #9 vs. TRAC on-target

Figure S20

A

REC2 10°

HNH 38°

REC3 11°
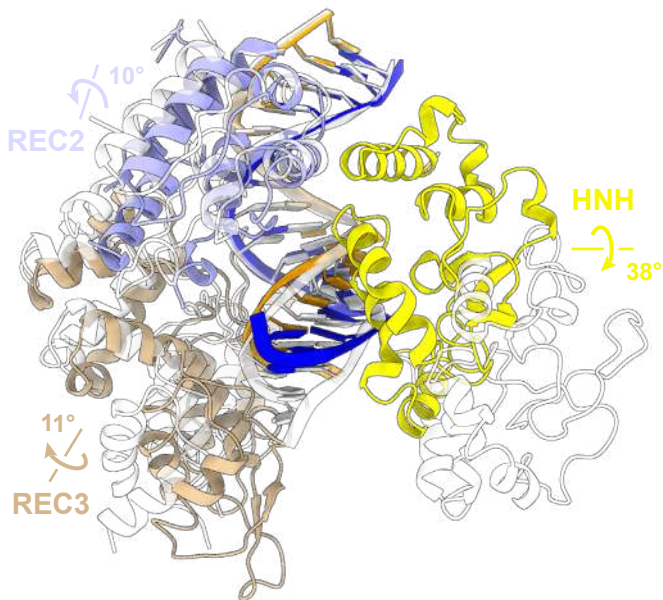
FANCF off-target4 vs.
pre-catalytic complex (PDB: 6O0Z)

B

REC2 disordered

142° HNH

REC3 12°
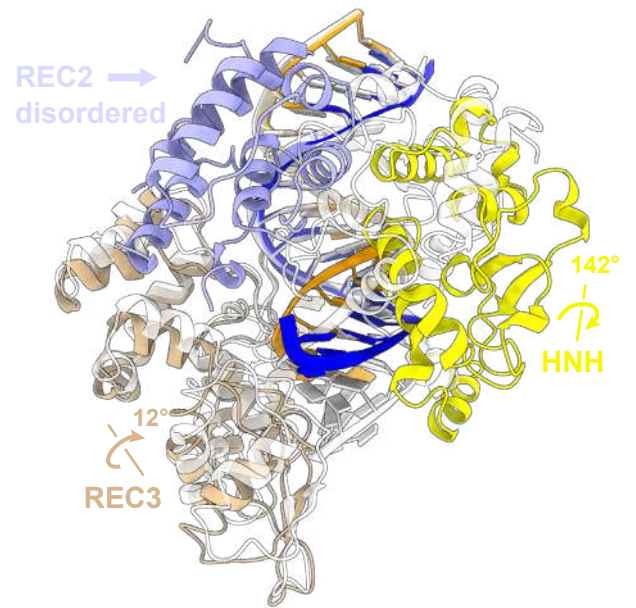
FANCF off-target4 vs.
post-catalytic complex (PDB: 6O0Y)

C

REC2 10°

HNH 12°

REC3 15°
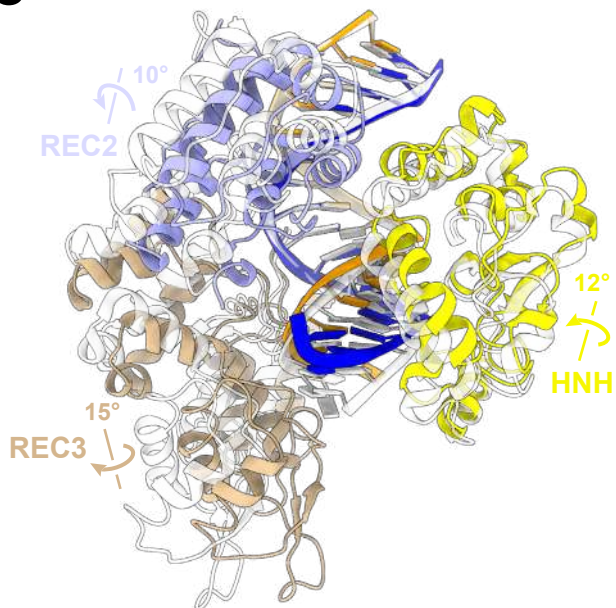
FANCF off-target4 vs.
xCas9 3.7 HF mutant (PDB: 6AEG)

D

dT4

dA5

dG3

TS

A4

A3

T5

gRNA

FANCF off-target4   xCas9 3.7 (PDB: 6AEG)
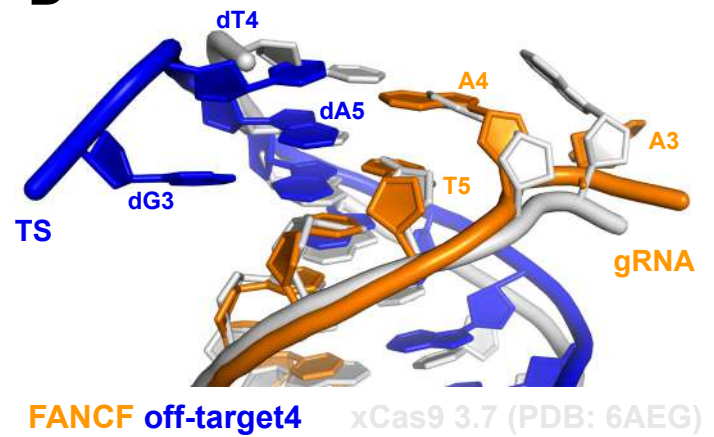
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