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Principles of Target DNA Cleavage and Role of Mg^{2+} in the Catalysis of CRISPR-Cas9

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Abstract

At the core of the CRISPR-Cas9 genome-editing technology, the endonuclease Cas9 introduces site-specific breaks in DNA. Here, multi-microsecond molecular dynamics, free-energy and multiscale simulations are combined with solution NMR and DNA cleavage experiments to resolve the catalytic mechanism of target DNA cleavage. We show that the conformation of an active HNH nuclease is tightly dependent on the catalytic Mg^{2+}, unveiling its cardinal structural role. Solution NMR, DNA cleavage assays and molecular simulations of the Mg^{2+}-bound HNH convey on the formation of the active state and show that the protonation state of catalytic H840 is strongly affected by active site mutations. Finally, *ab-initio* QM(DFT)/MM simulations and metadynamics establish that DNA cleavage occurs through the identified active state, showing that the catalysis is activated by H840 and aided by K866, in line with DNA cleavage experiments. This information is critical to ameliorating Cas9 function, helping the development of genome-editing tools.

CRISPR (Clustered Regularly Interspaced Short Palindromic Repeats)-Cas9 is a genome-editing tool that has revolutionized basic and applied sciences.[1] At the core of this technology, the endonuclease Cas9 associates with a guide RNA structure to recognize and cleave matching sequences of DNA.[2] DNA targeting starts with the recognition of a short Protospacer Adjacent Motif, initiating double strand separation, whereby one strand (the target strand) base-pairs with the guide RNA, forming an RNA:DNA heteroduplex, while the other strand non-target is displaced. Then, two catalytic domains, HNH and RuvC, catalyze cleavages of the target and non-target strands of DNA, respectively (Fig. 1A). In this complex biophysical process, the nuclease function of Cas9 is an essential step, yet its characterization has remained incomplete.

Biochemical and computational studies revealed that RuvC cleaves the DNA non-target strand through a two-metal (Mg^{2+})-dependent catalytic mechanism.[3–5] Conversely, the catalysis of target strand cleavage by the HNH nuclease is not understood. Biochemical studies indicated that a single Mg^{2+} ion catalyzes phosphodiester bond cleavage.[3] However, HNH displays a complex conformational landscape, which regulates the process of DNA binding and culminates with the docking of HNH at the cleavage site on the target strand.[6–8] While this is a precondition for target strand cleavage, two distinct states were reported displaying HNH in close proximity to the cleavage site. Homology with the T4 endonuclease VII (endo VII)[9] suggested that D861 and D839 coordinate the catalytic Mg^{2+} and form a triad with the catalytic H840 (Fig. 1B).[6,8,10] This conformation was supported by structures capturing HNH in various non-catalytic states and in the absence of Mg^{2+} ions (Supplementary Fig. 1).[3,4,11,12] A cryo-EM structure of a catalytically dead Cas9 also supported this conformation of HNH.[13] This structure (PDB: 5Y36), solved at 5.2 Å resolution, was obtained including Mg^{2+} ions in the experimental buffer, but the location of Mg^{2+} was not determined experimentally. The HNH site was reconstructed based on X-ray structures obtained in the absence of Mg^{2+},[4,11] and on the structure of endo VII,[9] ultimately suggesting that D839 and D861 coordinate Mg^{2+}.

63   Recently, the structural determination of the HNH catalytic core, captured right after target

64   strand cleavage and in the presence of Mg^{2+}, displayed a different configuration of the catalytic

65   site (Fig. 1C).[14,15] A first cryo-EM structure (PDB: 6O0Y) displayed a visible density in the position

66   of Mg^{2+} (Fig. 1C), enabling to locate the catalytic ion and to reconstruct the catalytic state. Here,

67   N863 (rather than D861) coordinates Mg^{2+}, forming a catalytic triad with D839 and H840, while

68   D861 points outward. This configuration was confirmed by other recent cryo-EM structures (e.g.,

69   PDB: 7S4X), revealing the atomic positions of the catalytic site including the Mg^{2+} ion

70   (Supplementary Fig. 2).[15,16] Biochemical experiments also showed that the D861A substitution

71   retains DNA cleavage activity, while N863A loses gene-editing capability.[4,17] These findings

72   suggested that the previously reported state could be an alternative "pseudo-active" state,

73   advocating also a possible conformational equilibrium between the two states.[17] In this scenario,

74   studies of the catalytic mechanism inferred information from endo VII,[18] and were based on a

75   cryo-EM structure of the pseudo-active state,[19] sustaining the coordination of Mg^{2+} by both D861

76   and D839.

77   Considering this knowledge, fundamental questions remain unmet. First, the biological

78   relevance of the pseudo-active state in the presence of the Mg^{2+} ions is ambiguous. Indeed,

79   structural evidence supporting the pseudo-active site captured HNH far from the cleavage site

80   and/or without Mg^{2+}.[3,4,11–13] Moreover, the possible equilibrium between the two states in the

81   presence of the catalytic Mg^{2+} has not been investigated. The molecular details of this equilibrium

82   are central to complete understanding of the complex conformational landscape of HNH, and to

83   inform the conformational requirements underlying DNA cleavage. Finally, and perhaps more

84   intriguingly, considering the newly reported structural data.[14–16] the catalytic mechanism of target

85   strand cleavage and how it proceeds through the aid of a single Mg^{2+} ion has not been addressed.

86   This knowledge is important to improve the efficiency of the Cas9 enzyme and can help in

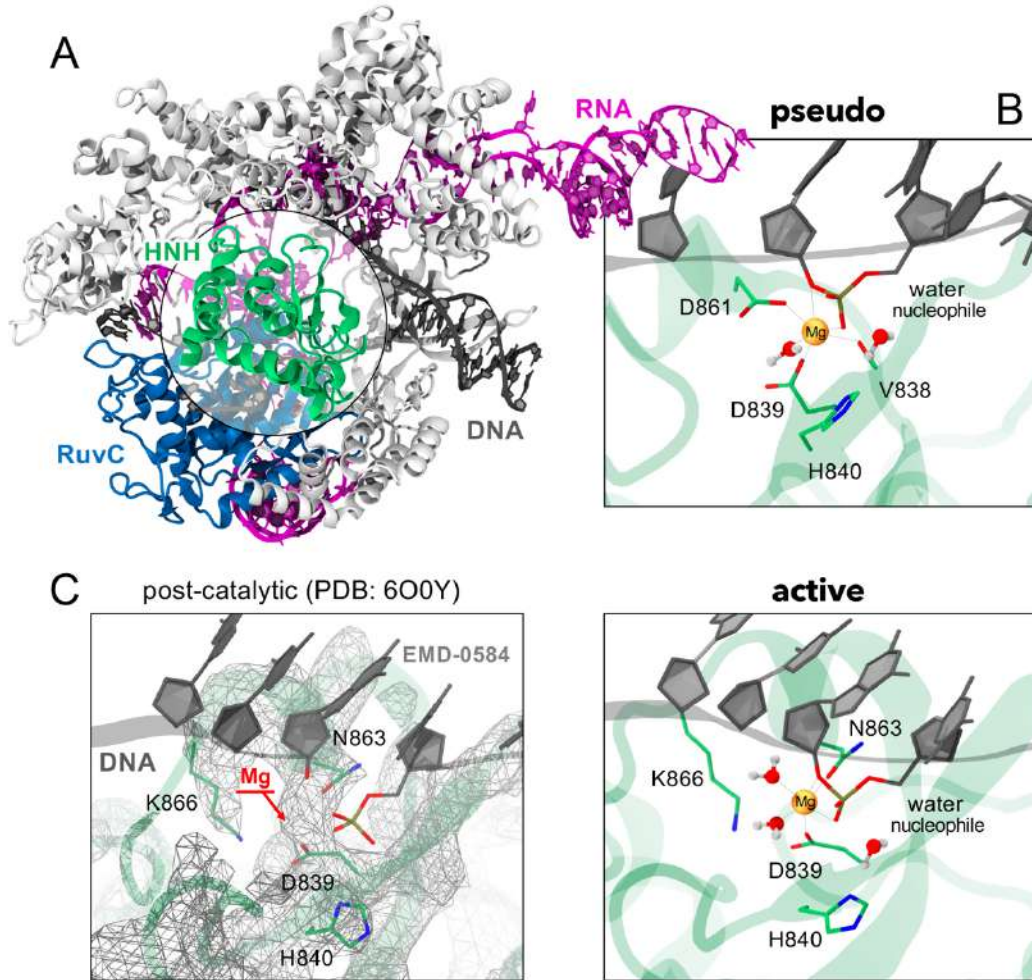87   overcoming unselective DNA cleavages.

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Fig. 1. Overview of the *Streptococcus pyogenes* CRISPR-Cas9 system. (A) X-ray structure of the CRISPR-Cas9 system (PDB: 5F9R).[12] The Cas9 protein is shown as ribbons, highlighting its catalytic domains HNH (green) and RuvC (blue), in complex with RNA (magenta) and DNA (black). **(B)** Close-up view on the HNH catalytic site, displaying the D839 and D831 residues coordinating Mg^{2+} and forming a catalytic triad with H840. This configuration of the catalytic core – referred as pseudo-active – arises from structures capturing HNH in the absence of Mg^{2+} (e.g., PDB: 5F9R) and from the homology with the T4 endonuclease VII[9] (Supplementary Fig. 1). **(C)** Catalytic core from the cryo-EM structure EMD-0584 (PDB: 6O0Y)[14] capturing HNH in the presence of Mg^{2+} and after target strand cleavage (left panel), and model of the catalytic site prior DNA cleavage (right panel). In this configuration – referred as active – N863 coordinates Mg^{2+} in place of D861. The atomic coordinates of HNH are shown as cartoon (green), while the electronic density is shown as wireframes (grey). The EMD-0584 map displays a visible density in the position of Mg^{2+} (left panel, indicated using an arrow), in agreement with the EMD-24838 map[15] (Supplementary Fig. 2), enabling to locate the Mg^{2+} ion in the catalytic state (right panel).

103   Here, multi-µs molecular dynamics (MD) simulations and free energy methods were

104   combined with extensive *ab-initio* MD and quantum mechanics/molecular mechanics (QM/MM)

105   approaches to establish the molecular details of the equilibrium between active and pseudo-active

106   states and resolve the mechanism of DNA cleavage. Supported by solution NMR and biochemical

107   assays, our findings reveal a critical structural role for Mg^{2+}, determining the chemical mechanism

108   and which of the known conformation of HNH is responsible for target DNA cleavage in Cas9.

109

110   **Results**

111   **Molecular preference of the competent HNH**

112   To determine the conformation of the catalytic HNH domain in the presence of Mg^{2+}, and to

113   characterize the relevance of the pseudo-active state, we performed free energy simulations. We

114   investigated the pseudo-active–*to*–active transition (and *vice versa*) using Umbrella Sampling

115   simulations,[20] rigorously sampling the populations of the two states and of their intermediates

116   through ~28 µs of MD runs. The transition was sampled along the difference in Root Mean Square

117   Deviation (RMSD) of the heavy atoms' positions of HNH with respect to both states (used as

118   Reaction Coordinate, RC), in the presence and absence of Mg^{2+}. Our classical model described

119   the metal sites in line with QM/MM simulations, posing the basis for classical and free energy

120   simulations (Supplementary Figs. 3-6).

121   The simulations show that without Mg^{2+} (w/o Mg^{2+}), the free energy reaches a minimum at

122   RC ~-2.3 Å (Fig. 2A, central panel), corresponding to the pseudo-active conformation (close-up

123   view, left). Contrarywise, with Mg^{2+} (w Mg^{2+}) we detect an opposite trend in the free energy profile,

124   displaying a well-defined minimum at RC ~2.2 Å, consistent with the active state (close-up view,

125   right). Hence, the conformational state of HNH critically depends on the presence of Mg^{2+}, which

126 favors the formation of the active conformation, while in the absence of Mg^{2+}, HNH mainly
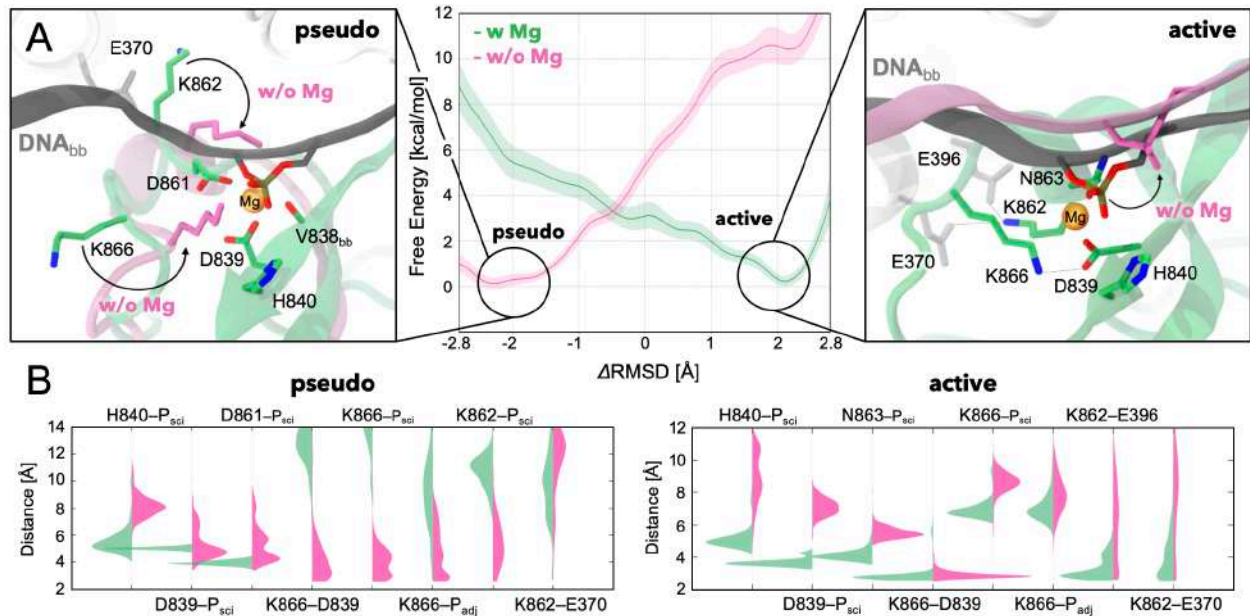
127 populates the pseudo-active state.

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129 **Fig. 2. Conformational transition of the HNH domain from pseudo-active to active (and *vice**

130 *versa*) states. (A)** Central panel: free energy profiles for the HNH conformational transition in the

131 presence of Mg^{2+} (w Mg, green) and without Mg^{2+} ions (w/o Mg, magenta). Two close-up views

132 show the conformation of the pseudo-active (left) and active (right) states at the energetic minima.

133 The HNH catalytic site bound to Mg^{2+} is shown as in Fig. 1C. Residues in green refer to HNH

134 bound to Mg^{2+}, while residues in magenta show the conformational change occurring in the

135 absence of Mg^{2+} (also indicated using an arrow). **(B)** Probability distributions of critical interaction

136 distances in the presence of Mg^{2+} (green) and absence of Mg^{2+} (magenta) for the pseudo-active

137 (left) and active (right) states at their energetic minima (i.e., at -2.5 Å ≤ RC ≤ -1.5 Å and 1.5 Å ≤

138 RC ≤ 2.5 Å, respectively).

139

140 To understand this observation, we analyzed the conformational ensembles at the free

141 energy minima. The pseudo-active state at its energetic minimum (at -2.5 Å ≤ RC ≤ -1.5 Å)

142 revealed that, in the presence of Mg^{2+}, the K862 and K866 sidechains orient away from the DNA

143 (Fig. 2A, left). Instead, w/o Mg^{2+}, K866 binds the scissile phosphate (P_{SCI}) and its adjacent

144 phosphate (P_{ADJ}), stabilized also by the interaction with D839. This is evidenced by the probability

distributions of the distances involving K866, K862 and the DNA phosphate groups assuming values < 6 Å (Fig. 2B, left). This is consistent with the cryo-EM structure of the pseudo-active state, where the K866 side-chain locates in proximity to the DNA.[13] Hence, K866 and K862 stabilize the pseudo-active state in the absence of Mg$^+$.

The active state at its energetic minimum (at 1.5 Å ≤ RC ≤ 2.5 Å) showed that, in the presence of Mg^{2+}, H840, D839 and N863 stably maintain their position with respect to P$_{SCI}$, while D839 binds K866 (Fig. 2A-B, right). In the absence of Mg^{2+}, the catalytic residues move away from the DNA, destabilizing the latter with respect to the catalytic core. With Mg^{2+}, K862 interacts with E396 and E370, while these interactions are lost w/o Mg^{2+}. The active state is thereby stabilized by Mg^{2+}, whose loss leads to unproductive conformations for catalysis.

These findings clarify why structural studies not including Mg^{2+} supported a pseudo-active configuration.[3,4,11–13] Indeed, the absence of Mg^{2+} leads to interactions that anchor HNH at the DNA, stabilizing the pseudo-active conformation. Inversely, with Mg^{2+}, HNH shifts its preference toward an active state, consistent with cryo-EM findings obtained in the presence of Mg^{2+}.[14–16]

Chemical environment enabling catalysis

To examine the chemical environment enabling catalysis and to determine the protonation state of the catalytic H840, we performed solution NMR, DNA cleavage experiments and extensive MD simulations (reaching ~54 μs of collective sampling).

Changes in the protonation state of the catalytic histidine side chain were monitored through solution NMR using a construct of the HNH nuclease that was shown to properly represent this domain in full-length CRISPR-Cas9, in the presence of Mg^{2+} and DNA (see Methods, Supplementary Fig. 7).[21,22] Two-dimensional ^{1}H-^{13}C correlation spectra depict strong chemical shift perturbations in the catalytic H840 as the pH of the sample is modulated (Fig. 3A, Supplementary Figs. 8-9). We also titrated H799, which does not significantly change upon

170   alanine mutations and is an internal standard. The chemical shift (δ_{H1}) range indicates that H799

171   and H840 are both partially protonated at pH 7.4. The measured pK_a was ~7.27 and ~6.83 for

172   H799 and H840, respectively, indicating that, at pH 7.4, H799 is 79% protonated and H840 is 57%

173   protonated (Fig. 3B). To further assess the pK_a of H799 and H840 in the full-length CRISPR-Cas9,

174   we performed Constant pH (CpH) MD simulations in explicit solvent, in conjunction with Replica

175   Exchange.[23] The computed pK_a for H799 and H840 in the active state of HNH resulted ~7.52 and

176   ~6.90, respectively (Fig. 3B), in very good agreement with NMR data. Though the determination

177   of the tautomeric populations of H840 by NMR were complicated by fast relaxation of the histidine

178   sidechain and possible proton exchange with solvent,[24,25] in-depth analysis of the simulations

179   revealed that at pH 7.4, H840 is likely to assume the neutral tautomeric form protonated on the ε

180   position (H840-ε >60 % of the simulation, Supplementary Fig. 10), resulting in the δ nitrogen in

181   proximity to the nucleophile for activation and at ~5 Å from P_{SCl} (Fig. 3C). CpH MD simulations of

182   the pseudo-active state revealed a shift of the pK_a toward higher values, reducing the fraction of

183   H840-ε at ~50 % at pH 7.4. Classical MD of the two tautomeric forms of H840 also showed that

184   H840-ε leads to a stable catalytic site (Supplementary Fig. 11). Conversely, the tautomeric form

185   of H840 protonated on δ results in the detachment of the activating nitrogen from P_{SCl} at > 6 Å,

186   unlikely for nucleophile activation. Hence, NMR and MD suggest that H840-ε is prone for catalysis,

187   with critical information to study the catalytic mechanism (*vide infra*).

188   NMR experiments were also performed introducing alanine mutations of D839, D861, N863,

189   K862 and K866. Each of these HNH mutants showed a shift to a lower pK_a value (Fig. 3D,

190   Supplementary Fig. 9). D839A most significantly reduced the pK_a of the catalytic histidine,

191   suggesting that in this mutant, H840 is a weaker base. This mutant hampers cleavage (Fig. 3E,

192   Supplementary Fig. 12)[17] and exhibits the most significant structural perturbations, with altered

193   flexibility throughout the protein and near the catalytic site (Supplementary Fig. 8).
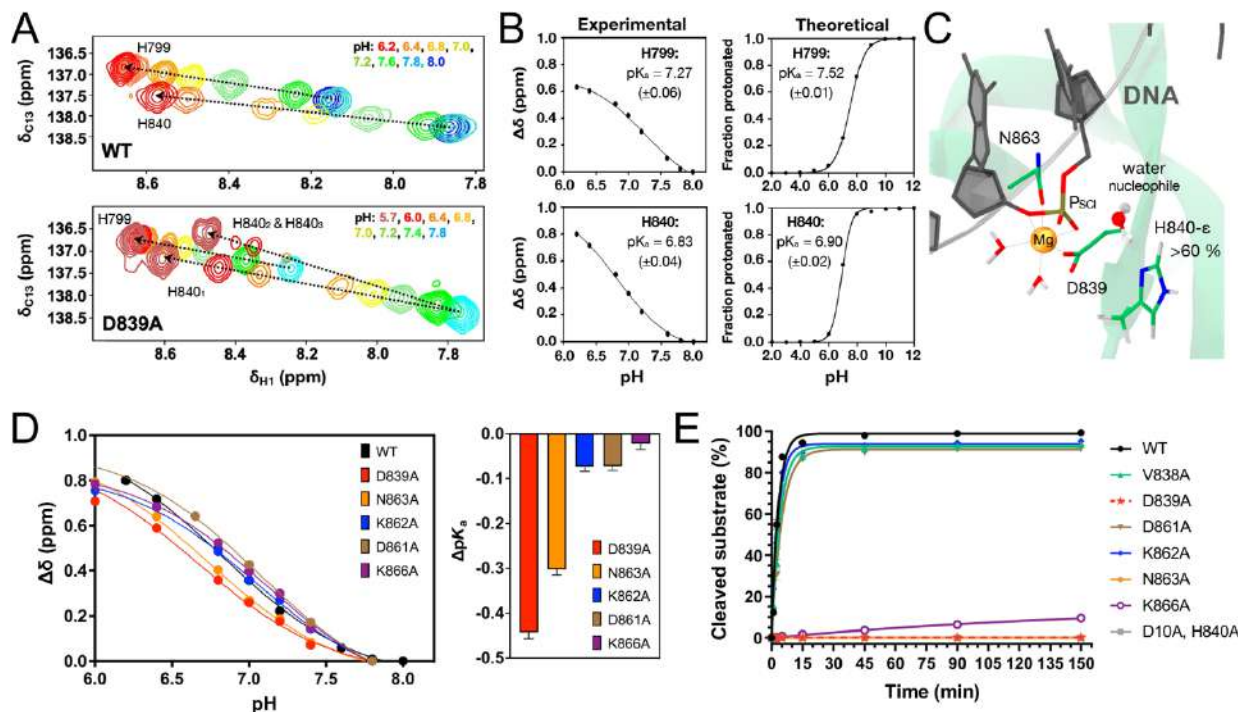
Fig. 3. Chemical environment enabling the catalysis. (A) Titration of the H799 and H840 side chains in the wild-type HNH (WT, top) and in the D893A mutant (bottom), reporting changes in the ^{1}H-^{13}C$_{\varepsilon 1}$ correlation as the histidine nitrogen atoms change protonation state, in the presence of Mg^{2+} and DNA. Resonances are colored according to the pH values in the legend. Chemical shifts ~8.5 ppm correspond to fully protonated histidine, while those ~8.2–7.9 ppm refer to partially protonated or deprotonated residues. The trajectories of the chemical shifts are indicated using dashed arrows. In the D893A mutant, H840 shows evidence of two-three conformational states (upper arrow, H840$_{1-3}$). **(B)** Fitted titration curves for pK_a determination of H799 and H840 in the WT HNH through solution NMR (experimental, left) and constant pH molecular dynamics (theoretical, right). A modified version of the Henderson-Hasselbach equation was used for fitting the experimental data. Computational data were obtained by fitting the deprotonated fraction to Equation 3. pK_a values are reported, alongside the error from the fit (see Methods and Supplementary Text). **(C)** Active state of HNH displaying the tautomeric form of H840 protonated on ε (H840-ε), which occurs for >60 % of CpH MD at pH 7.4 (Supplementary Fig. 11). **(D)** Titration curves for the catalytic H840 in the WT HNH and its mutants (left), and change in pK_a upon mutation (ΔpK_a, right). **(E)** *In vitro* cleavage kinetics of Cas9 HNH mutants on a double stranded DNA on-target substrate. Line represents a single exponential fit, each data point represents an average of four independent experiments with standard deviation plotted.

213   In this mutant, H840 populates at least two (or three) states during pH titrations, which

214   manifests as a series of bifurcated NMR resonances in Fig. 3A. This is consistent with classical

215   MD of the D839A mutant in the active state, where H840 adopts three different conformations

216   and detaches from P_{SCI} (Fig. 4A). Hence, the loss of activity in the D839A Cas9 may be due to

217   the ability of this residue to strongly influence the conformation of the adjacent H840. Titration of

218   the N863A mutant also revealed a reduction of the pK_a of H840 (Fig. 3D). This is in line with

219   classical MD of the active state, where N863A results in the detachment of the S860–D868 helix

220   from Mg^{2+} (Fig. 4B), inducing instability of the site and affecting the location of H840 with respect

221   to P_{SCI}. This is consistent with NMR data showing structural and dynamic changes at the active

222   site and proximal to the S860-D868 helix (Supplementary Figs. 8, 13-14). D861A resulted in a

223   reduced effect on the change in pK_a of H840 (Fig. 3D), supporting that D861 resides outside the

224   catalytic site in the active state, at odds with the pseudo-active conformation where it coordinates

225   Mg^{2+} (Fig. 1C). Finally, K862 and K866 do not significantly affect the pK_a of H840, likely due to

226   their distal location with respect to the catalytic residue. K866A, however, reduces the enzymatic

227   activity (Fig. 3E). In this respect, classical MD of K866A in the active HNH reveal that this mutation

228   induces instability of the S860-D868 helix (Fig. 4C) and increases its flexibility with respect to the

229   wild-type (Supplementary Fig. 15), resulting in impeding the K866–D839 interaction that is crucial

230   for the stabilization of the active state (Fig. 2, right). Remarkably, mutations that retain catalysis

231   (V838A, D861A and K862A) preserve the stability of the catalytic site in the active HNH, with

232   H840 remaining in the vicinity of P_{SCI} (Supplementary Fig. 16).

233   Overall, the dynamics of the active state agrees with NMR and DNA cleavage experiments.

234   Molecular simulations of the pseudo-active state do not provide a rationale for the experimental

235   evidence. Indeed, only V838A preserves the HNH site, maintaining its backbone interactions,

236   while all other mutants result in the detachment of H840 from P_{SCI} (Supplementary Figs. 17-18).
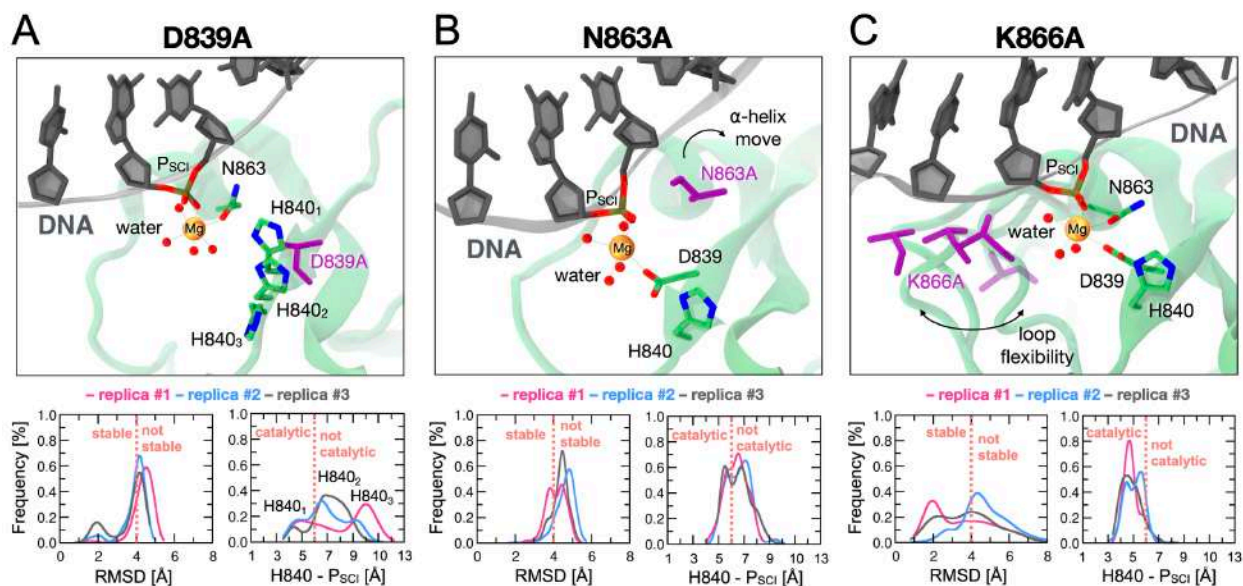
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Fig. 4. Effect of alanine mutations on the catalytic site. Data are shown for the D839A, N863A and K866A mutants in the active state of HNH. Alanine mutations are shown in violet. **(A)** D839A affects the conformation of H840, resulting in three main conformations (H840$_{1-3}$). **(B)** N863A results in the detachment of the S860–K866 α-helix from the catalytic Mg^{2+}, destabilizing the catalytic core. **(C)** K866A destabilizes the catalytic core, with flexibility of the S860–D868 loop (four configurations are shown). Bottom graphs: (left) stability of the catalytic site, computed as probability distribution of the heavy atoms' Root Mean Square Deviation (RMSD) within 8 Å of the catalytic Mg^{2+}; and (right) location of the catalytic H840 with respect to the scissile phosphate (P$_{SCI}$), computed considering the interatomic distance between H840 (N$_\delta$) and P$_{SCI}$. Data are reported for three simulation replicas of ~1 μs each. Vertical dashed lines (orange) indicate the cutoffs for the stability of the catalytic site (i.e., RMSD < 4 Å) and for the catalytic function of H840 (i.e., H840–P$_{SCI}$ < 6 Å allows the water nucleophile to position between H840 and P$_{SCI}$, Fig. 3C, while H840–P$_{SCI}$ > 6 Å results in the detachment of H840 from the catalytic center).

Catalytic Mechanism of Target Strand Cleavage

To further resolve which of the known HNH conformations is catalytic, and to characterize the cleavage mechanism, we employed *ab-initio* MD and free energy methods.[26] We performed Thermodynamic Integration[27] with QM/MM simulations[28] of HNH in both the active and pseudo-active states at the DFT-BLYP level of theory.[29,30] Phosphodiester bond cleavage was studied

258   along the difference in the distance between the breaking and forming P–O bonds (used as RC,

259   Fig. 5). This approach was used on several nucleases,[31–34] including the Cas9 RuvC domain.[5]

260   The reactant states were carefully selected from classical MD (Supplementary Fig. 19), and

261   considered the tautomeric form of H840 protonated on ε, as from NMR and computational studies

262   (*vide supra*).

263   For each system, we collected >200 ps of *ab-initio* MD, obtaining the free energy profiles

264   for the active and pseudo-active states of HNH (Fig. 5A). The chemical step proceeds from the

265   reactants (R) to the products (P), separated by a transition state (TS‡) maximum. The reaction is

266   activated by H840, acting as a general base, and proceeds through an S_N2-like mechanism. The

267   activation free energy for the chemical step in the active HNH was 17.06 ± 1.22 kcal/mol (details,

268   cross-validation and error analysis in Supplementary Figs. 20-21). This is consistent with the

269   catalytic rate of 4.3 s^{-1} (corresponding to $\Delta G^\ddagger$ ~16/17 kcal/mol) measured for the HNH catalysis,

270   distinct from the RuvC catalysis and from nucleic acid binding.[35]

271   The free energy barrier for the pseudo-active state was ~22.02 ± 1.26 kcal/mol, in line with

272   studies of the catalytic mechanism based on the pseudo-active configuration.[18,19] This activation

273   barrier is considerably higher than that for the active state (~5 kcal/mol with no overlapping error

274   bars between the energy peaks). This indicates the catalytic preference of the active conformation,

275   and that the catalysis is unlikely to proceed through the pseudo-active state. To understand the

276   origin of this difference in the free energy barrier, we examined the polarization effects in the

277   catalytic centers. We analyzed how the dynamical electrostatic potential derived charges (D-

278   RESP)[28] change at the TS‡ (Fig. 5B-C) and along the chemical steps (Supplementary Fig. 22). At

279   the TS‡, the charge of Mg^{2+} and of the O3' leaving group display opposite values in the active and

280   pseudo-active conformations of HNH. In the pseudo-active HNH, the charge of Mg^{2+} is lower than

281   that in the active state, mainly due to the coordination of both D839 and D861 carboxylates. D839

282   also reduces its charge with respect to the active HNH, with increased polarization on Mg^{2+}. The

283   latter loosely binds O3' in the pseudo-active HNH (Supplementary Fig. 22), with an increase of

284   the O3' charge compared to the active state. This charge increase reduces the ability of O3' to be

285   a good leaving group, resulting in a larger barrier at the TS‡.
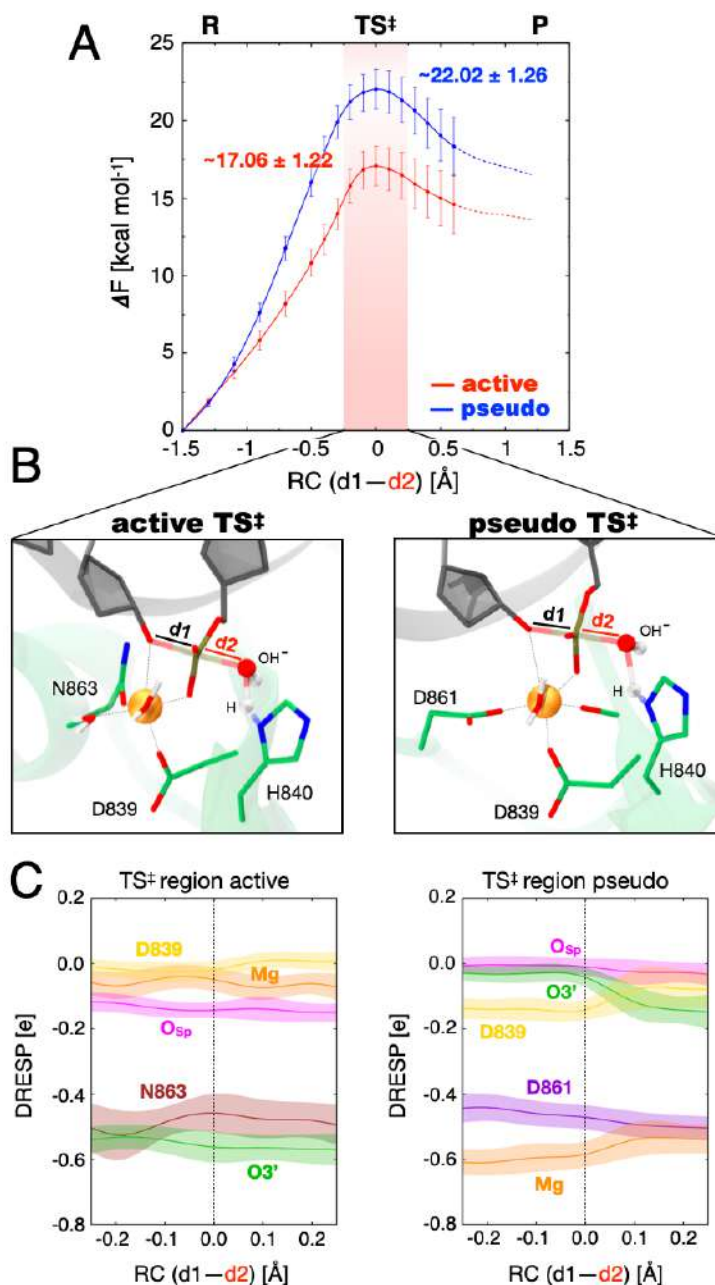

Fig. 5. Free energy profiles for phosphodiester bond cleavage. (A) Free energy profiles (ΔF, in kcal/mol) for the active (red) and pseudo-active (blue) states of HNH, obtained through QM(BLYP)/MM MD and Thermodynamic Integration. The difference in distance between the breaking and forming P–O bonds is the reaction coordinate (RC = d1–d2, shown in panel B). The

14

291   chemical step evolves from the Reactants (R) to Products (P) passing through a Transition State

292   (TS‡, region indicated using a red vertical bar). **(B)** Close-up view of the TS‡ structure in the active

293   (left) and pseudo-active (right) states of HNH. **(C)** Dynamical RESP (D-RESP)[28] charges within

294   the TS‡ region (i.e., -0.2 Å < RC < 0.2 Å) for the active (left) and pseudo-active (right) states.

295

296   To fully characterize the catalytic mechanism in the active HNH, we performed QM/MM

297   metadynamics simulations.[36] This method enabled explicitly describing phosphodiester bond

298   cleavage on one dimension (the first collective variable, CV_1), and the deprotonation of the water

299   nucleophile on the other (CV_2). Through ~120 ps of sampling, the free energy surface confirmed

300   an S_N2-like mechanism (Fig. 6A, Supplementary Figs. 23-24). H840 extracts the water's proton

301   right before the free energy peak (the TS‡), consistent with in-depth analysis of Thermodynamic

302   Integration (Supplementary Fig. 22), and similar to other His-activated nucleases,[31,37] including

303   the RuvC domain of Cas9.[5] An overall free energy barrier of ~17.38 ± 0.84 kcal/mol is consistent

304   with our mono-dimensional profile (Fig. 5) and with kinetic studies.[35] Interestingly, during unbiased

305   QM/MM simulations of the P state, O3' gets protonated by one water molecule coordinating Mg^{2+}.

306   By including the neighboring K866 in the QM part, K866 releases a proton to the water molecule,

307   which simultaneously protonates the O3' (Fig. 6, Supplementary Fig. 25), reaching a final product

308   (P$_{FIN}$) that is consistent with cryo-EM structures of the post-cleavage state (Supplementary Fig

309   26). Indeed, as shown by CpH MD simulations, the pK_a of K866 is 10.9 (Supplementary Fig 27),

310   making it a suitable proton donor for the basic alkoxide O3' (pK_a ~16). To further understand the

311   role of K866, we computed the D-RESP charges from *ab-initio* QM/MM simulations in the

312   presence of K866 and for its alanine mutant (both included in the QM part). We found that the

313   K866A substitution dramatically increases the negative charge on P$_{SCI}$, making it a worse

314   electrophile (Supplementary Fig. 28). This analysis also reveals that all three K866 hydrogen

315   atoms are positive in the P state and thereby prone to shuttle toward the negatively charged

316   oxygen atoms of water and the O3'. Hence, K866 creates a favorable electronic environment and

317 is critical for leading the catalysis to completion. This clarifies DNA cleavage experiments,

318 showing that K866A remarkably reduces the enzymatic activity (Fig. 3E).
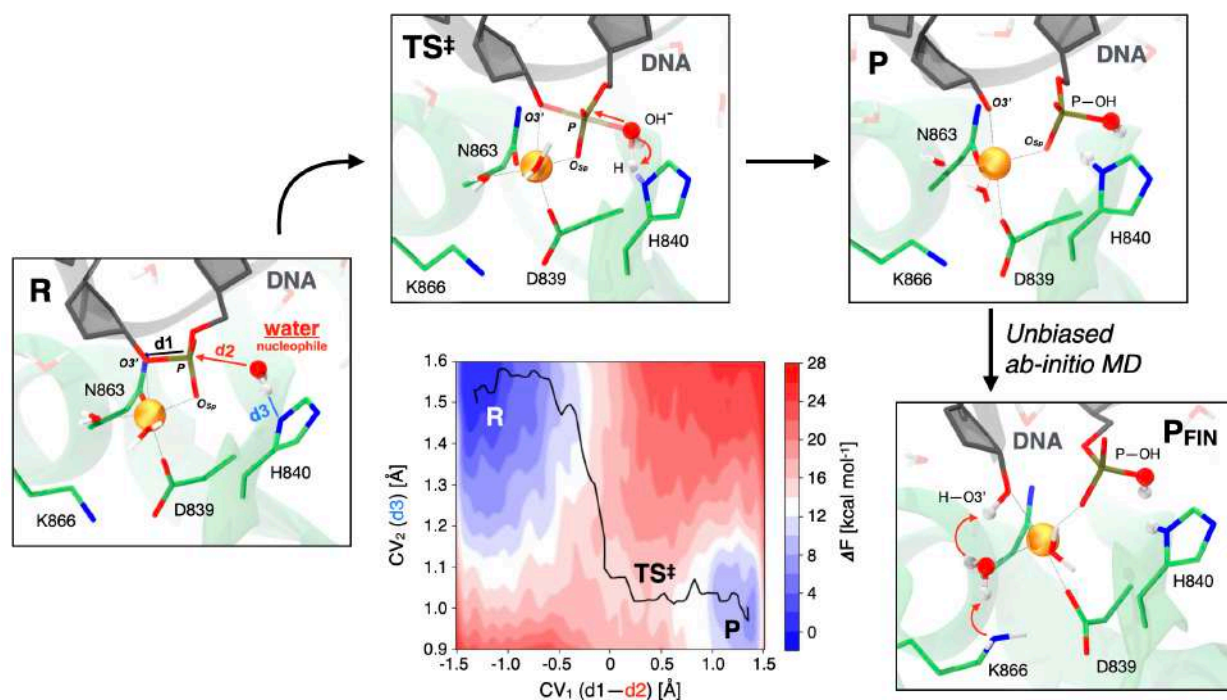

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Fig. 6. Catalytic mechanism of DNA cleavage in the HNH domain of CRISPR-Cas9. Two-dimensional free energy surface for phosphodiester bond cleavage (central panel) reporting the progress of the chemical step from the reactants (R), transition state (TS‡) and products (P) along two collective variables (CVs, shown on the 3D structure of the R). CV_1 denotes the nucleophilic attack on scissile phosphate, while CV_2 accounts for the proton transfer from the water nucleophile to H840. The free energy surface was obtained through QM/MM metadynamics. Unbiased QM/MM simulations of the P state (bottom, right) reveal that the K866 side chain releases a proton to the water molecule coordinating Mg^{2+}, which protonates the DNA O3', leading to the final product (P_{FIN}) of DNA cleavage. This clarifies DNA cleavage experiments (Fig. 3E), showing that the K866A substitution remarkably reduces the enzymatic activity.

330

Discussion

332   We combined extensive molecular simulations with solution NMR and biochemical

333 experiments to decipher the catalysis of target DNA cleavage in CRISPR-Cas9. To this point, the

334   structure of the catalytic state was ambiguous, with two possible conformations – referred as

335   active and pseudo-active – for which a conformational equilibrium was suggested.[17] Free energy

336   simulations were used to investigate this equilibrium, revealing that Mg^{2+} favors the formation of

337   the active state, while its absence leads to the pseudo-active conformation (Fig. 2). Here, D861

338   and D839 point toward the catalytic core, while N863 orients in opposite direction, consistent with

339   structures of Cas9 obtained without Mg^{2+} ions.[3,4,11–13] This pseudo-active state is stabilized by two

340   second-shell lysine (K866, K862), anchoring the DNA backbone in place of the ion. Contrarywise,

341   when Mg^{2+} is bound to the catalytic site, N863 reorients to coordinate the ion and engage in the

342   catalysis. This is in line with multiple sequence alignment of Cas9 orthologs showing that N863 is

343   conserved, whereas D861 is highly variable.[4] It also agrees with recent cryo-EM structures of the

344   post-catalytic state, obtained in the presence of Mg^{2+}.[14–16] Hence, Mg^{2+} is important not only for

345   the catalysis, but holds a critical structural role. Accordingly, single-molecule experiments have

346   shown that HNH adopts different states in the presence/absence of Mg^{2+}.[38] Another single-

347   molecule study showed that HNH is unlikely to transition to the active state for DNA cleavage

348   without divalent cations.[7] Our findings thereby support a model by which Mg^{2+} shifts the

349   conformational equilibrium of HNH toward the catalytic state.

350   Solution NMR revealed that at pH 7.4, the pK_a for the catalytic H840 is ~6.83. Molecular

351   simulations using a Constant pH MD method[23] reported an excellent agreement for the pK_a of

352   H840 in the active state (~6.90), and indicated that H840 likely assumes the neutral tautomeric

353   form protonated on ε (Fig. 3C), posing the basis for QM/MM studies of the catalysis. Solution

354   NMR and MD also consistently reported structure and dynamics of the active HNH in the presence

355   of alanine mutations. Indeed, mutations disrupting the catalytic activity (D839A, N863A, K866A)

356   more sensibly reduce the pK_a of H840, and alter its location of H840 with respect to P_{SCI} (Figs. 3-

357   4), while mutants preserving the catalysis (D861A, K862A) result in minor conformational effects.

358   The consistency of molecular simulations with the experiments also suggests to harness

17

359   computations for residual mutations in the active HNH. For instance, R844 (in place of Q844)

360   stably binds P_{SCI}, mimicking the stabilizing role of R976 in the RuvC site (Supplementary Fig.

361   29),[15,39] which could be exploited to ameliorate the HNH function.

362   Ab-initio QM/MM simulations were used to resolve the catalytic mechanism, revealing the

363   catalytic preference of the active conformation (Fig. 5), and that the catalysis is unlikely in the

364   pseudo-active state. In the active HNH, an activation barrier for phosphodiester bond cleavage of

365   ~17 kcal/mol was in line with the experimental catalytic rate.[35] The catalysis proceeds through an

366   S_N2 mechanism, activated by H840 and critically aided by K866. The latter fosters an optimal

367   electronic environment and can intervene in the protonation of the O3', clarifying why the K866A

368   substitution reduces the enzymatic activity (Fig. 3E). Notably, restriction/homing endonuclease

369   enzymes using a single catalytic metal commonly display a lysine/arginine residue in the position

370   of K866, to complement the divalent metal.[40] In light of this observation, our findings also offer a

371   mechanistic rationale on the role of the additional positively charged residue in other one-metal

372   dependent enzymes.

373   Overall, the extensive multiscale approach implemented here resolves the catalytic

374   mechanism and which of the known conformation of HNH is responsible for target DNA cleavage

375   in CRISPR-Cas9. These findings are foundational to ameliorate the function and specificity of

376   CRISPR-Cas9, helping the development of improved genome-editing tools.

377

378   **Methods**

379   **Molecular Dynamics simulations.** Molecular simulations were based on the cryo-EM

380   structures EMD-0584 (PDB: 6O0Y, at 3.37 Å resolution)[14] and EMD-23838 (PDB: 7S4X, at 2.76

381   Å resolution),[15] which captured the active state of the HNH domain; and on the X-ray structure

382   PDB: 5F9R (at 3.40 Å resolution),[12] which was used to model the pseudo-active configuration of

383 HNH.[6] Each systems was embedded in explicit waters, leading to periodic simulation cells of

384 ~180*120*140 Å^3 and ~340,000 total atoms. The Amber ff12SB force field was employed,

385 including the ff99bsc0 corrections for DNA[41] and the ff99bsc0+$\chi OL3$ corrections for RNA.[42,43] The

386 Li & Merz model was used for Mg^{2+},[44] describing the metal sites in agreement with QM/MM

387 simulations (Supplementary Fig. 3). An integration time step of 2 fs was used. Temperature

388 control (300 K) was performed via Langevin dynamics, while pressure control was accomplished

389 by coupling the system to a Berendsen barostat.[45] The simulation protocol is described in the

390 Supplementary Text. Production runs were carried out in the NVT ensemble on the active and

391 pseudo-active states of the wild-type (WT) Cas9 and of six mutants (i.e., V838A, D839A, D861A,

392 K862A, N863A, K866A). The WT Cas9 was also simulated considering two tautomeric forms of

393 H840 (protonated on δ and ε). The active HNH was also simulated in the presence of the Q844R

394 mutation. For each system, three MD replicas of ~1 μs each were performed, for a total of ~54 μs

395 of MD runs. The GPU-empowered version of AMBER 20[46] was used as MD engine.

396 **Umbrella Sampling Simulations.** The umbrella sampling (US) method was used to

397 compute the free energy profiles associated with the conformational change of the HNH domain

398 from the pseudo-active to active states (and *vice versa*).[20] In this method, a number of simulations

399 (US windows) are run in parallel with additional harmonic bias potential applied to selected

400 Reaction Coordinates (RCs):

$$V(RC) = {k}/{2} \, (RC(t) - RC^*)^2 \qquad\qquad \text{[Eq. 1]}$$

402 where $V(RC)$ is the value of the bias potential, k is a bias force constant, $RC(t)$ is the

403 value of RC at given time t and RC^* is the reference value of RC. By using different RC^* values in

404 each US window, one can sample the biased probability distribution $p_b(RC)$ along the whole RC

405 range of interest. The difference in Root Mean Square Deviation (RMSD) of the HNH heavy atoms'

406 positions with respect to pseudo-active and active conformations was used as a RC (details in

407 the Supplementary Text). Two independent sets of US simulations were performed: (i) in the

19

408   presence of Mg^{2+} ions (i.e., with Mg^{2+}) and (ii) in the absence of Mg^{2+} ions (i.e., w/o Mg^{2+}). In both

409   cases, the system was simulated in 14 overlapping windows from RC = -3.5 Å to RC = 3.5 Å using

410   a harmonic restrain with a spring constant of 30 kJ mol^{-1} $Å^2$. The center of the harmonic bias

411   potential was distributed along the RC in 14 windows separated by 1.0 Å or 0.5 Å, to allow proper

412   overlapping of the probability distributions. Approximately 1 μs-long trajectories were obtained for

413   each US window, reaching ~14 μs of collective sampling per system (a total of ~28 μs). The free

414   energy profiles were computed using the Weighted Histogram Analysis (WHAM) method.[20]

415   Analysis of the conformational ensembles has been performed on the reweighted trajectories.

416   Details in the Supplementary Text.

417   **Constant pH (CpH) Molecular Dynamics.** CpH MD simulations were performed in

418   explicit solvent, in conjunction with a Replica Exchange method to enhance the sampling of the

419   protonation states.[23] pH values were sampled from 1 to 14, with exchanges between adjacent

420   replicas every 200 fs, reaching ~40 ns in each replica. The pK_a was computed from the distribution

421   of the protonation states using the Hill equation:

422
$$pK_a(i) = pH - n\log\frac{x_i}{1-x_i}$$
[Eq. 2]

423   in which x_i is deprotonated fraction of residue i, and n is the Hill Coefficient. The titration

424   curves have been derived by fitting the deprotonated fraction x_i to Equation 3, using the

425   Levenberg–Marquardt nonlinear optimization method.

426
$$f_{x_i} = \frac{1}{10^{n(pK_a-pH)}+1}$$
[Eq. 3]

427   Good titration curves display small deviations of each point from the fitted titration curve

428   and Hill coefficients between 0.5 and 1.5, indicating that the protonation states are properly

429   sampled at the simulated pH values.[23] By using this method, the pK_a of H840 and H799 in the

430   active and pseudo-active states of HNH was computed. The pK_a of H840 in the active HNH was

431   also computed in the presence of the Q844R mutant. Furthermore, CpH MD simulations were

432   carried out to compute the pK_a of K866 in the active state. For each system, CpH MD built on

433   ~560 ns of MD sampling (i.e., ~40 ns for 14 replicas), resulting in a total of ~3.4 μs of CpH MD

434   simulations. Details in the Supplementary Text.

435   **Quantum Mechanics/Molecular Mechanics (QM/MM) simulations.** QM/MM

436   simulations were performed on the active and pseudo-active states of HNH. In the active state,

437   the QM part included the Mg^{2+} ion and its coordinating residues (D839, N863), the catalytic H840,

438   the DNA bases G-3, T-4 and C-5, and nine water molecules. In the pseudo-active state, the QM

439   part comprised the Mg^{2+} ion and its coordinating V838, D839 and D861, H840, the DNA bases G-

440   3, T-4 and C-5, and eight water molecules. For both systems, capping hydrogens were used to

441   saturate the valence of the terminal QM part, resulting in 123 and 111 QM atoms for the active

442   and pseudo-active systems, respectively. The catalytic H840 was simulated in the neutral

443   tautomeric form protonated in ε, as indicated by classical and CpH MD (Supplementary Figs. 10-

444   11), and NMR data (Fig. 3). The QM part was described at the QM DFT/BLYP[29,30] level, and the

445   MM part was treated using the force field above. QM/MM simulations were performed using the

446   CPMD code.[47] The wave functions were expanded in a plane wave basis set up to a cutoff of 75

447   Ry in a QM cell of dimensions ~26*28*26 $Å^3$. A rigorous Hamiltonian treatment of the electrostatic

448   interaction between the QM and MM regions was used.[28] The temperatures of the QM and MM

449   subsystems were kept constant at 300 K using a Nosé-Hoover thermostat.[48,49] Car-Parrinello

450   QM/MM simulations[50] were performed with a time step of 5 au (~0.12 fs) and a fictitious electron

451   mass of 600 au. Upon ~40 ps of unconstrained *ab-initio* MD, the Mg^{2+}-bound configurations were

452   used to start for free-energy simulations (*vide infra*).

453   **Thermodynamic Integration.** Thermodynamic Integration and the "blue moon ensemble"

454   method[27] were used to compute the free-energy profiles for phosphodiester bond cleavage in the

455   active and pseudo-active states of HNH. In this approach, the average converged constraint

456   forces are computed and integrated along a given RC, deriving the associated free energy profile.

457   The difference in distance between the breaking and forming P–O bonds was used as RC, as in

458   previous studies of DNA/RNA processing enzymes.[5,31–34] Starting from a RC = -1.5 Å, we sampled

459   in 18 sequential windows along the RC, with a resolution of 0.2 Å (0.1 Å in the region in the vicinity

460   of the TS‡). Each window was simulated for ≥8 ps, reaching convergence of the constraint force,

461   and collecting ~144 ps of *ab-initio* MD for the active and pseudo-active states, respectively. To

462   estimate the error associated to hysteresis, we also computed the backward free energy

463   pathways, resulting in eight additional sampling windows and ~64 ps of *ab-initio* MD for each

464   system. The statistical error at each point of free energy profiles (both forward and backward) was

465   computed by error propagation analysis. The overall error on the free energy barrier was

466   estimated as the sum of the statistical error and the error due to hysteresis between the forward

467   and backward pathway. Full details in Supplementary Text. Altogether, considering the forward

468   and backward pathways, and unconstrained runs, we collected ~218 ps of *ab-initio* QM/MM MD

469   for each system.

470   **Metadynamics.** The catalytic mechanism of DNA cleavage in the active CRISPR-Cas9

471   was characterized through QM/MM simulations and metadynamics.[36] This method applies an

472   external history-dependent bias potential to the Hamiltonian of the system as a function of a set

473   of pre-defined degrees of freedom (collective variables, CVs). We used two CVs: *(i)* the difference

474   in distance between the breaking and forming P–O bonds and *(ii)* the distance between the H840

475   δ nitrogen and the water nucleophile's hydrogen. This enabled mapping the free energy for

476   phosphodiester bond cleavage on one dimension, characterizing nucleophile activation on a

477   second dimension. An extended Lagrangian version of the method was used for a proper coupling

478   with the QM/MM simulations. For both CVs, the mass value of the fictitious particles was 16 amu,

479   while the value of the force constant was 0.24 au. The height of the Gaussian terms was 0.5

480   kcal/mol, which ensures sufficient accuracy for reconstructing the free energy surface. The width

481   of the Gaussian terms was 0.05 Å, in line with the oscillations of the CVs in a free Car-Parrinello

482   QM/MM run. The Gaussian function deposition rate was set to 24 fs. A total of ~5000 Gaussians

483   were deposited, collecting ~120 ps. During this time, the chemical step crossed from the reactants

484   to products multiple times (Supplementary Fig. 23), properly sampling the conformational space.

485   The statistical error associated to the free energy for phosphodiester bond cleavage was

486   estimated by average blocking, i.e., by computing and averaging the standard deviation of the

487   mean free energy in blocks of ~10 ps of the converged metadynamics simulation. Full details in

488   Supplementary Text.

489   **NMR Spectroscopy.** The WT HNH domain and the active site alanine mutations (D839A,

490   H840A, D861A, K862A, N863A, K866A) of Cas9 were expressed and purified as previously

491   described.[21] Samples of ^{13}C and ^{15}N labeled HNH were expressed in Rosetta(DE3) cells in M9

492   minimal media containing MEM vitamins, $MgSO_4$, and $CaCl_2$ with $^{15}NH_4Cl$ and U-^{13}C-Dextrose

493   (Cambridge Isotopes) as the sole nitrogen and carbon sources. NMR data were collected in a

494   buffer containing 20 mM HEPES, 80 mM KCl, 1 mM DTT and 7.5% (v/v) D_2O at pH 7.4. Samples

495   contained a background of 10 mM Mg^{2+} and 5 mM DNA. Backbone amide fingerprints and

496   chemical shifts of WT HNH and variants were determined via the sensitivity-enhanced HSQC

497   (*hsqcetf3gpsi2* pulse sequence) on a 600 MHz Bruker NEO spectrometer with the ^{15}N dimension

498   centered at 117 ppm. Histidine ε_1 sidechain chemical shifts were measured via HMQC (*hmqcphpr*

499   pulse sequence) using NMR samples that were successively buffer exchanged into NMR buffer

500   at selected pH values between pH 6 – 8. The ^{13}C dimension centered at 130 ppm and the ε_1

501   sidechain chemical shifts of HNH were assigned by mutagenesis of H840 to alanine. H840 pK_a

502   values were determined from fitting the ^{1}H chemical shift trajectories to a modified Henderson-

503   Hasselbach equation. Details in Supplementary Text.

504   **SpCas9 *in vitro* cleavage assay.** Single guide sgRNAs and SpCas9 protein used in

505   cleavage assays was produced as described previously.[11] Fluorescently labelled target

506   oligonucleotides were synthesised by Integrated DNA Technologies, Inc., with HPLC purification.

507 Cleavage substrates were prepared by mixing complementary oligonucleotides in a 1:2 molar

508 ratio of target strand to non-target strand, heating to 95 °C for 5 minutes and slow cooling to room-

509 temperature. Cas9 protein was first pre-incubated with the sgRNA in a 1:1.25 molar ratio and

510 incubated 10 minutes at room temperature in the reaction buffer containing 20 mM HEPES pH

511 7.5, 250 mM KCl, 5 mM $MgCl_2$ and 1 mM DTT. The binary complex was rapidly mixed with ATTO-

512 532 labelled dsDNA substrate, to yield final concentrations of 1.67 µM protein and 66.67 nM

513 substrate in a 7.5 µl reaction. Reactions were incubated at 37 °C and time points were harvested

514 at 1, 2.5, 5, 15, 45, 90 and 150 minutes. Substrate cleavage was stopped by addition of 2 µl STOP

515 buffer mix, consisting of 250 mM EDTA, 0.5% SDS and 20 µg of Proteinase K. Reaction products

516 were separated on a 16% denaturing 7M urea PAGE gel and imaged using a Typhoon FLA 9500

517 gel imager. The average from four independent experiments was fit to a single-exponential

518 function for every timepoint (Fig. 3E). Details in Supplementary Text.

519

Author Contribution

528 LN performed molecular simulations and analyzed data. KWE and ES performed NMR

529 experiments. JB and MP performed DNA cleavage experiments. PRA, RVH and MA performed

530 molecular simulations. MJ supervised DNA cleavage experiments. GPL supervised NMR

531 experiments. GP conceived this research, supervised computational studies and wrote the

532 manuscript with critical input from all authors.

533 **Competing Interests**

534 The authors declare no competing interests.

535 **Data Availability Statement**

536 Structural data that support the findings of this study are available in figshare with the

537 identifier DOI doi: 10.6084/m9.figshare.19158080.

538 **Additional Information**

539 Additional information is available as a supplementary information.

540

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