

A Multi-modal Volumetric Microscope with Automated Sample Handling for Surveying Microbial Life in Liquid Samples

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Author contribution statement

TK and NO contributed equally to the study. TK and NO performed the field test operation, data analysis and creating the initial manuscript draft. TK and KL built the field test instrument. NO built the SPU. ES, JKW, KL, SR built the prototype of the microscope instrument. MB performed the 3D reconstruction of DHM data. AN, PW, CL, JN oversaw the project.

Keywords

life detection, Microscopy, Holography, Automation, Ocean worlds

Abstract

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In the study of microbial life, microscopy plays a unique role due to its ability to detect ordered structure, motility, and fluorescence signals. As such it has also recently gained attention in the context of searching for extant life on distant solar system bodies bearing liquid water. In this paper we introduce a multi-modal volumetric microscopy system for potential future spaceflight missions that combines digital holographic microscopy (DHM) and volume fluorescence imager (VFI), which are volumetric imaging methods that provide high-resolution, high-throughput examination of liquid samples. DHM provides information on the absorption, morphology, and motility of imaged objects without requiring the use of contrast agents. On the other hand, VFI based on light field microscopy focuses on the fluorescence signals from the sample to observe specific structures dyed with targeted contrast agents or providing unique autofluorescence signals. We also present an autonomous sample handling and data acquisition system to allow for an autonomous mission to distant planets or moons, or for autonomous use in bodies of water on Earth. The full system, named ELVIS, or Extant Life Volumetric Imaging System, is capable of autonomously surveying a liquid sample to extract morphology, motility, and fluorescence signals of extant microbial life.

Contribution to the field

We report here the field test result of previously introduced Extant Life Volumetric Imaging System (ELVIS), which is a volumetric microscope suite being developed for potential future NASA life detection missions to ocean worlds. As a volumetric microscope suite that combines high-resolution holographic microscopy and fluorescence light-field microscopy, ELVIS provides a comprehensive imaging-based life detection method sensitive to the sample's morphology, motility, and chemical composition. Moreover, ELVIS combines the microscope with an autonomous sample processing unit (SPU) to allow for a completely autonomous operation, which is crucial for space missions. In this paper, we report the current status of the instrument development and the result of field test held at Newport Beach, CA, which revealed that ELVIS is capable of imaging the microbial lives in ocean water. In addition to the field test results, we also present improvements made to the imaging system based on the findings from the field test. We strongly believe that this imaging system and the field test results provide a strong and robust life detection method that is suitable for future space missions.

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Ethics statements

Studies involving animal subjects

Generated Statement: No animal studies are presented in this manuscript.

Studies involving human subjects

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Inclusion of identifiable human data

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Data availability statement

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In review

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12 **Keywords:** Life Detection, Microscopy, Holography, Automation, Ocean Worlds

13 **Abstract**

14 In the study of microbial life, microscopy plays a unique role due to its ability to detect ordered
15 structure, motility, and fluorescence signals. As such it has also recently gained attention in the
16 context of searching for extant life on distant solar system bodies bearing liquid water. In this paper
17 we introduce a multi-modal volumetric microscopy system for potential future spaceflight missions
18 that combines digital holographic microscopy (DHM) and volume fluorescence imager (VFI), which
19 are volumetric imaging methods that provide high-resolution, high-throughput examination of liquid
20 samples. DHM provides information on the absorption, morphology, and motility of imaged objects
21 without requiring the use of contrast agents. On the other hand, VFI based on light field microscopy
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23 contrast agents or providing unique autofluorescence signals. We also present an autonomous sample
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25 or for autonomous use in bodies of water on Earth. The full system, named ELVIS, or **Extant Life**
26 **Volumetric Imaging System**, is capable of autonomously surveying a liquid sample to extract
27 morphology, motility, and fluorescence signals of extant microbial life.

28

29 **1 Introduction**

30 The evidence in recent years for planetary moons, such as Europa and Enceladus, bearing large
31 bodies of liquid water under ice shells (i.e. ocean worlds) in the outer solar system has resulted in a
32 great deal of interest in life detection in aqueous environments [1]. Moreover, recent discovery of
33 subglacial water on Mars increases the attention to life-detection in water [2, 3]. The importance of
34 extant life detection is not only in detecting the potential existence of non-terrestrial life, but also in

55 potentially revealing how early life formed on Earth. Potential life in the ocean worlds, including
56 Europa and Enceladus, is expected to exist in microbial (prokaryotic) form at very low densities, if
57 present at all; estimates for Europa based upon solar energy budgets are $\sim 10^2$ cells/mL [4]. Although
58 the low densities and potential sizes of the target organisms down to less than a micron make
59 detection extremely difficult to detect them, methods for studying bacteria and archaea in extreme
60 environments on Earth or in the ocean are being considered for planned NASA missions to find
61 extant life elsewhere. Among these methods, light microscopy is considered one of the most direct
62 life detection modalities, for its capability to capture microscale evidence for life, such as structure,
63 compositional and functional indicators, abundance, and motility. This combination of information is
64 well suited for distinguishing living from non-living particles and serves as a strong biosignature [5].

45 Despite the usefulness of microscopy, developing a suitable microscopy system for such
46 applications is challenging for several reasons. First, due to the anticipated low sample concentration
47 and small size of the potential life, the microscope needs to have both a high sample throughput and a
48 high resolution. Typically, a microscope loses its throughput (or the field of view) as the resolution
49 improves. However, in a time- and power-limited mission scenario, both aspects need to be met at
50 the same time. Second, as there is limited time and data budget, the imaging process has to be highly
51 compressive. The estimated data budget for a potential Europa Lander mission is likely to be highly
52 limited at 1260 Kbps, with a baseline science data budget of 600 Mb divided among as many as 6
53 instruments [6]. Third, the entire system, including the sample handling, imaging, and analysis, will
54 need to be autonomous while being space- and mass-constrained.

55 Based on these requirements and the eventual goal of future space missions, we developed a
56 volumetric microscopy suite that is capable of capturing high-resolution morphology, motility, and
57 fluorescence of a liquid volume. The suite, called Extant Life Volumetric Imaging System (ELVIS),
58 combines digital holographic microscopy (DHM) with fluorescence light field microscopy (FLFM)
59 in order to capture both high-resolution morphology and fluorescence synchronously [7, 8]. These
60 volumetric imaging techniques capture signals from an extended depth of field and allows for a rapid
61 and compressed imaging of the sample volume. Moreover, in order to enable autonomous operation
62 of the system for a future space mission, we introduce a sample processing unit (SPU) and a custom
63 acquisition software package to control the fluid motion, staining, and image acquisition. The
64 instrument was tested at the Kerckhoff Marine Laboratory in Newport Beach, CA to demonstrate its
65 capability to detect life in oligotrophic ocean water. Lastly, we also present most recent
66 developments made to the system based on the discoveries from the field test.

67

68 **2 The ELVIS Instrument**

69 ELVIS combines a digital holographic microscope with a fluorescence microscope to have a multi-
70 modal volumetric single-shot imaging. The two microscopes share the same field of view and share
71 the same trigger for spatially and temporally synchronized imaging. Combining the two microscopes,
72 ELVIS is capable of capturing high-resolution morphology, 3D motility and biochemical
73 fluorescence sensitivity, which are all considered biosignatures. In this section, we briefly introduce
74 the full ELVIS instrument suite. Further details on the instruments can be found in the Materials and
75 Methods section.

76 **2.1 Digital Holographic Microscope (DHM)**

77 Digital holographic microscopy (DHM) is an interferometric technique that is capable of capturing
78 volumetric information in a single shot, by capturing the complex electric field (amplitude and phase)
79 at the image plane of the microscope and numerically propagating the captured field [9]. DHM
80 captures a much larger volume of view (VOV) in a single image compared to a conventional
81 microscope by increasing the depth of field; the VOV of DHM is typically 2 – 3 orders of magnitude
82 larger than that of a conventional microscope with the same optical elements. This improves the
83 volumetric throughput of the system without demanding larger data storage or a longer imaging
84 duration, as the holographic image encodes the entire 3D volume into a 2D image, thus providing
85 natural data compression.

86 Our group has previously introduced DHM as a high-resolution, label-free volumetric
87 imaging method that is suitable for surveying a relatively a large volume of liquid sample [10, 11]. In
88 order to ensure detection of microbial life at a density of 100 cells/mL, it is expected that we must
89 examine 40 μ L of liquid [11]. For a conventional microscope with a 500 μ m x 500 μ m FOV and 2
90 μ m depth of field (DOF), each image examines a 0.5 nL volume. Therefore, in order to examine 40
91 μ L, the device needs to capture 80,000 images. Converting the number of captured images to more
92 relevant numbers in a mission, this corresponds to ~320 GB of data storage and 4,000 seconds of
93 continuous imaging, given 4 Mpixels/image, 8 bits/pixel and 15 fps imaging speed. On the other
94 hand, examining a 40 μ L volume using DHM requires only 200 images to be captured,
95 corresponding to 8 GB of data or 15 seconds of imaging, and allows for a mission-suited instrument.
96 This capability has been tested previously at Nuuk, Greenland [10].

97 Because DHM relies on the intrinsic contrast of the samples, specifically their absorption
98 (amplitude) and refractive index difference from the surrounding medium (phase), the technique
99 captures objects in the liquid sample without a need for dyes. However, DHM lacks the biochemical
100 specificity that can be obtained by fluorescence tagging. A fluorescence-based volumetric imaging
101 method could be used to indicate internal cell structures, which could further aid in the determination
102 of whether biological structures are present in the sample. To improve the system and provide a
103 higher confidence in life detection, we combined the DHM system with a fluorescence light field
104 microscopy system, named Volume Fluorescence Imager (VFI), to simultaneously capture the same
105 VOVs. Figure 1A shows the schematic of the system, which combines the DHM system with a
106 volumetric fluorescence imager using a dichroic mirror.

107 2.2 Volume Fluorescence Imager (VFI)

108 Light field microscopy (LFM) is a volumetric imaging method that allows for a post-capture
109 refocusing of an image by encoding the position and the direction of propagation of the light using a
110 microlens array (MLA) located at the image plane of a conventional microscope [12]. The image is
111 then captured by a detector array placed one focal length away from the microlens array. Effectively,
112 each microlens acts as a pixel that encodes the directional information in the physical detector pixels
113 that are underneath it. Once the image is captured, using a simple ray optics principle, the image can
114 be reconstructed to recover multiple images at different focal points. Compared with a conventional
115 fluorescence microscope, LFM is capable of capturing a volumetric fluorescence image over a larger
116 depth of field that is determined by the system magnification and the number of detector pixels
117 covered by each microlens. While LFM can increase the depth of field (DOF) of a microscope by 2
118 orders of magnitude, this does not come for free. As explained previously, each microlens acts as an
119 effective pixel for the imaging system and limits the spatial resolution of the system to d/M , where d
120 is the diameter of each microlens and M is the system magnification.

121 The two microscopes combined in our ELVIS system complement each other. While the
 122 DHM captures high-resolution images with high-sensitivity for the sample's morphology and
 123 motility, the VFI looks for chemical/fluorescence biosignatures that are missed by DHM. By sharing
 124 the same objective lens and the same trigger, they capture a spatially and temporally synchronized
 125 scene in two different modalities. Moreover, with a careful selection of spectral filters and dichroic
 126 mirrors (Figure 1B), the two microscopes are free from cross-talk, removing any ambiguity in signal
 127 origin. The large shared VOV (350 μm x 480 μm x 150 μm) is shown in Figure 1C. The depth of
 128 field, 150 μm , was limited by VFI DOF, which is taken here to be the reconstruction depth that
 129 maintains the lateral resolution. For reconstruction depths larger than 150 μm , the lateral resolution
 130 degrades. The overall performance of the system is summarized in Table 1.

131 **Table 1. DHM and VFI performance specifications**

	DHM	VFI
Lateral resolution (μm)	0.87	5.1
Magnification	15	10
FOV width (μm)	480	650
FOV height (μm)	480	650
Depth of field (μm)	1000	150
Shared VOV (μm^3)	350 x 480 x 150	

141

142 **2.3 Sample Processing Unit (SPU)**

143 Another significant portion of the ELVIS system is its automated sample processing unit (SPU),
 144 which handles automated control of sample delivery, staining and cleaning the system in conjunction
 145 with a sample aliquot chamber (SAC). The SAC is a simple microfluidic flow-through device
 146 consisting of two internal fluid channels, the design of which is shown in Figure 2. The SAC was
 147 designed with reference and sample channels to match those of the DHM. For this field test,
 148 disposable versions of the SAC were constructed (Aline Inc., Rancho Dominguez, CA). This was a
 149 three-layer device with optical quality glass on top and bottom. As shown in Figure 2, channels were
 150 cut into a 1mm thick middle polymer layer with channel dimensions measuring ~12mm x 3mm and
 151 ~7mm x 3mm (sample and reference channels respectively). The device design ensured that both the
 152 sample and reference beams only passed through optical quality glass.

153 During operation, the reference channel was filled with deionized (DI) water, providing an
 154 index of refraction match to the sample. A sample was then passed through the sample chamber for
 155 analysis. Prior to observation, valves on both the inlet and outlet of the SAC were closed and fluid
 156 motion was allowed to cease completely before analysis, requiring approximately 30 seconds.

157 The SPU was designed to interface with the SAC and provide a means of automated sample
158 handling. It provided delivery of sample, rinsing using water and/or ethanol, and staining of up to
159 three fluorescent cell dyes to a sample. After each dye staining, the sample was routed back to the
160 SAC for subsequent analysis.

161 A logical schematic of the SPU can be seen in Figure 3A. A minimum of 100 μ L of a sample could
162 be delivered to the sample chamber of the SAC for analysis as a series of aliquots. The sample was
163 transported with the aid of two, 10 μ L fixed volume dispensing pumps (LPMA1251110L) and three
164 varieties of electrically actuated, face-mounted solenoid valves: three-way (LHLA0521111H) and three
165 two-way (LHLA0552311H) latching valves and non-latching normally closed two-way valves
166 (LHDB0552115H) (all from The Lee Company, Westbrook, CT). Latching valves can hold their
167 state without constant application of voltage. The two pumps worked in concert but in opposite
168 directions to pull the sample through the SPU and into place for analysis with one upstream and one
169 downstream of the chamber. This pump arrangement was chosen in order to prevent the sample
170 passing through a pump, thereby avoiding the possibility of damaging any cells present. A top-down
171 view of the SPU is shown in Figure 3B.

172 2.4 Acquisition Software

173 As the ELVIS system is intended to be applicable to future space missions, we also developed
174 autonomous acquisition software that is capable of capturing the images simultaneously from the two
175 cameras. The acquisition software, named DHMx, is based on Allied Vision Vimba SDK and
176 controls the essential functions such as exposure, gain and frame rate. DHMx also includes a DHM
177 reconstruction module that can be loaded to process the interferogram in real-time. The software is
178 open-source and can be found on GitHub [13]. The DHM reconstruction module can run on the same
179 computer as used for acquisition or a remote computer, allowing remote users to operate the
180 instrument from any distance over which a network link can be established. Furthermore, we have
181 added a hardware trigger using an Arduino microcontroller to provide synchronous capture signal to
182 the two cameras. By opening two instances of DHMx to capture images from the two cameras, and
183 by providing a script to simultaneously capture the data, we were able to capture images from the two
184 cameras with a less than 0.2 ms offset in the start times, which is insignificant for our frame rate of
185 15 fps.

186

187 3 Results and Discussion

188 The complete ELVIS system was tested at the Kerckhoff Marine Laboratory, Newport Beach, CA
189 [14]. Samples were collected from the ocean (representing a low biomass sample) and a tidal pool
190 (representing a high biomass sample) in sterile 500 mL bottles. Four different sample types were
191 used for the field test: Blank samples (pure water), unconcentrated low biomass sample, filter-
192 concentrated low biomass sample, and high-biomass sample. Each of the samples were processed via
193 the following steps:

- 194 1. SPU/sample chamber was cleaned with ethanol and flushed with water
- 195 2. Reference chamber was loaded with refraction index matched fluid (extracted from sample)
- 196 3. Sample chamber was loaded with sample
- 197 4. Sample was imaged using DHM and VFI (15 fps, 30 seconds, 3 time series)
- 198 5. Sample was routed back to SPU to be stained with dye #1

199 6. Dye #1 (Acridine Orange) applied to sample for 5 minutes (2:1 sample to dye ratio)
200 7. Dyed sample was routed back to the sample chamber
201 8. Dyed sample was reimaged with both DHM and VFI (15 fps, 30 seconds per FOV, 3 time
202 series)
203 9. Sample was routed back to SPU to be stained with #2
204 10. Dye #2 (FM1-43) applied to sample for 20 minutes (2:1 sample to dye ratio)
205 11. Dyed sample routed back to the sample chamber
206 12. Dyed sample was reimaged with both microscopes (15 fps, 30 seconds per FOV, 3 time
207 series)
208 13. Sample was flushed with water and the chamber was cleaned with ethanol

209 From the initial few aliquots, we were able to detect signs of life in DHM, both prokaryotes and
210 eukaryotes. In VFI, only large eukaryotic cells were detected. In the high-biomass sample, a wide
211 variety of organisms of different sizes were seen by both autofluorescence and dye labeling (Figure
212 4). Shown in Figure 4 are the correlative images from DHM and VFI showing a eukaryotic cell
213 swimming in the FOV over 4 seconds. The minimum z- (along the optical axis) and t- (time)
214 projection for the DHM data and the maximum z- and maximum t-projection for the VFI data are
215 shown to capture the 4D stack. The fluorescence signal was detected before dye addition, indicating
216 that the signal was from the native fluorophore such as chlorophyll.

217 While the VFI detected autofluorescent cells of size $\geq 10 \mu\text{m}$, the DHM was capable of detecting
218 smaller bacteria-sized cells as well by recording motility. The inset of Figure 4 shows a bacterium
219 from the ocean water captured using DHM. Note that there was no structural information detected
220 even with the high-resolution DHM because the size of bacterial cells ($\sim 1 \mu\text{m}$) is very close to the
221 spatial resolution of the system. Although clear motility was detected from DHM, there was no
222 corresponding signal detected from the VFI on such small entities, either before and after staining.
223 While it was expected that there would not be a detectable VFI signal from bacterial cells before
224 staining, the cells were still not visible after staining, indicating that system improvements were
225 necessary.

226 The initial system showed high levels of background fluorescence that made detection of small
227 cells impossible. One significant source of background was the ABS (RenShape® SL 7820) used to
228 mount the objectives. A new objective holder was machined from anodized aluminum, making
229 detection of bacteria possible. Further iterations improved the signal to noise, specifically reducing
230 dye concentration. Although the dyes tested here were chosen for their enhancement upon specific
231 binding, unbound dye nevertheless resulted in significant background, making the SNR for $1 \mu\text{m}$ size
232 particles to be less than 1. Therefore, it was crucial to identify and use the minimal dye concentration
233 to stain the sample to avoid high background. With these changes, we have improved our sensitivity
234 allowing us to detect individual bacterial particles of $1 \mu\text{m}$ size. Moreover, we have introduced
235 multiple laser bands for DHM and a color camera for VFI to allow for multi-color imaging with a
236 goal to detect information regarding the pigment in the samples and multiple fluorescence signals in
237 a single shot and allowing the dyes to be applied simultaneously in future field tests. The results of
238 the recent advances are summarized in Figure 5.

239 Figure 5A) shows an amplitude reconstruction of a multi-wavelength DHM image capturing a sea
240 flea. DHM images of three different wavelengths (405 nm, 520 nm, and 635 nm) are captured in a
241 single image, separated in the Fourier plane, reconstructed and overlaid to show the wavelength-
242 dependent absorption of the object. Figure 5B) shows the sensitivity improvement in VFI, which is

now capable of imaging 1 μm sized single *Serratia marcescens* dyed with Syto-9. *Serratia marcescens* was obtained from the American Type Culture Collection (ATCC) (ATCC 13880 type strain) and maintained in lysogeny broth (LB, Fisher Scientific) at 30°C. The background dye concentration has also been optimized at 50 nM to minimize the background signal without losing the dyeing efficiency. Figure 5C) shows two different z-positions of a volume reconstructed multi-color VFI image of an algae sample dyed with FM1-43. The membrane dye shows up as green while the native chlorophyll shows up as red in a single image. This result shows the improved specificity information obtained from VFI.

In this paper, we have demonstrated the ELVIS system's capability, detecting and studying microbial life in liquid sample. The system is capable of capturing 3D high-resolution morphology and motility through its DHM arm and also 3D fluorescence information from the same field of view via its VFI arm. Therefore, the system serves as a high-throughput, high-resolution, multi-modal imaging suite for studying microbial life. Moreover, the addition of SPU to the microscope system allows for an autonomous operation of the sample examination. We demonstrated the capabilities through the field test performed at Newport Beach, CA, during which ambient life was successfully detected. Further improvements have been made based on the findings from the field test, and now the ELVIS system demonstrates its future capabilities providing access to more sample characteristics including multiple fluorescence signals and pigments.

Overall, we have demonstrated that, although the system was built as a prototype for a future space mission, the ELVIS system provides a new means to studying microbiological samples in general. Moreover, since it is developed with a goal of future space missions to find extant life in the ocean worlds, it accommodates the resource limitates of such missions. The details of a potential flight system can be tailored to match science performance to specific mission goals while staying within mass, power, and data constraints to provide a powerful instrument for the detection of potential extant life.

268

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303

304 **5 Conflict of Interest**

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307 **6 Author Contributions**

308 TK and NO contributed equally to the study. TK and NO performed the field test operation, data
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310 the SPU. ES, JKW, KL, SR built the prototype of the microscope instrument. MB performed the 3D
311 reconstruction of DHM data. AN, PW, CL, JN oversaw the project.

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318 and post field test analysis.

319 **9 Data Availability Statement**

320 The datasets generated and analyzed for this study can be provided by the authors by request.

Figure 1.TIF

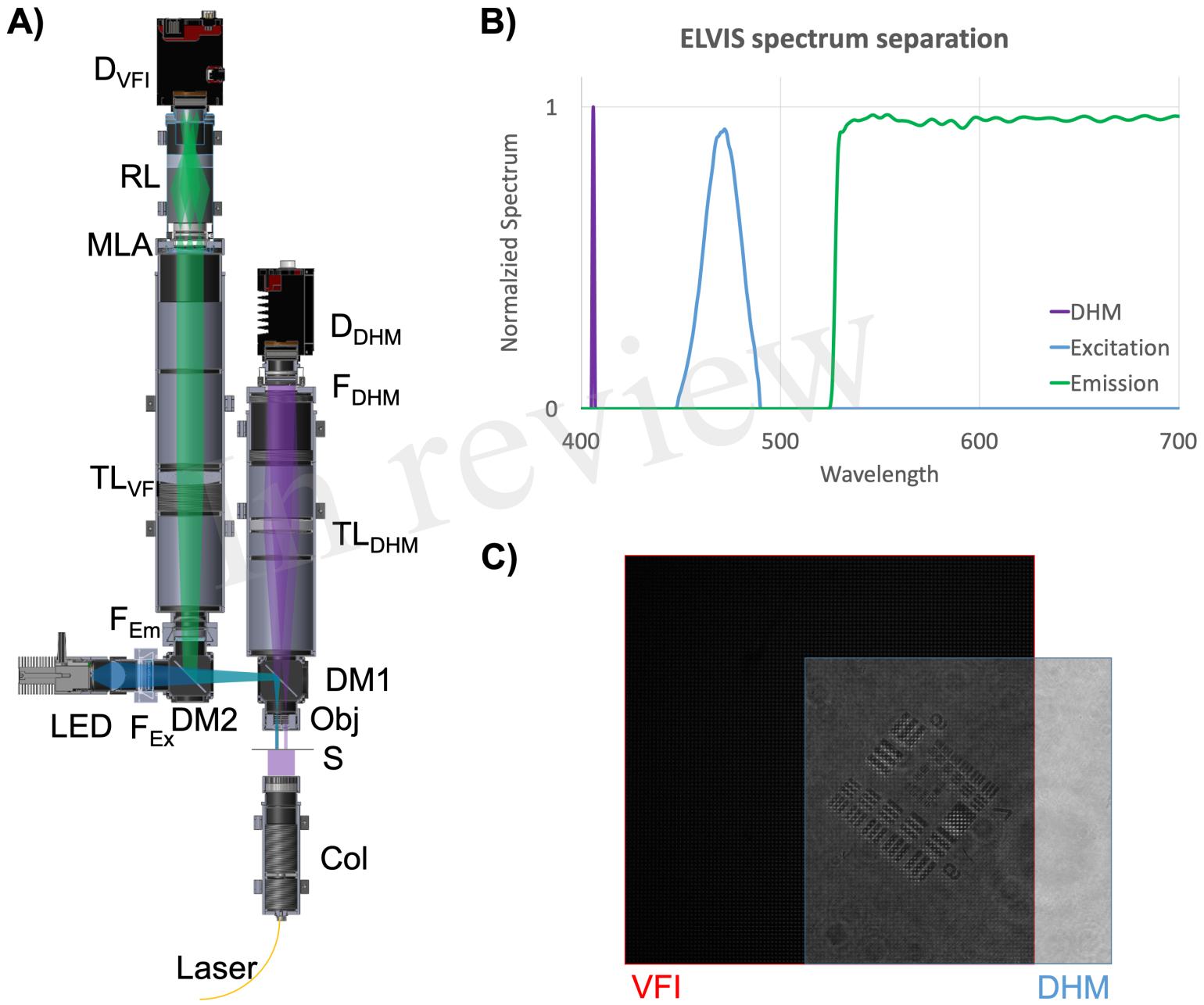


Figure 2.TIF

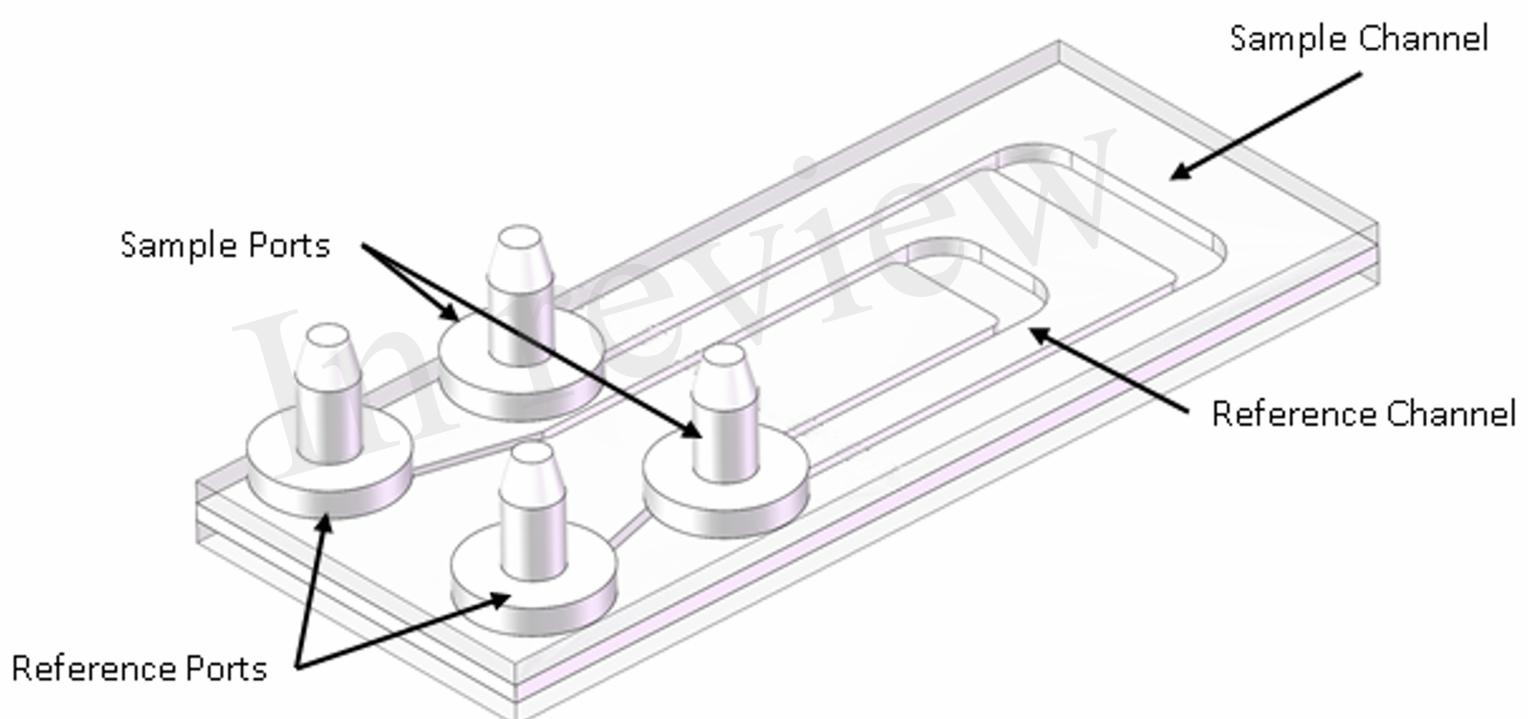


Figure 3.TIF

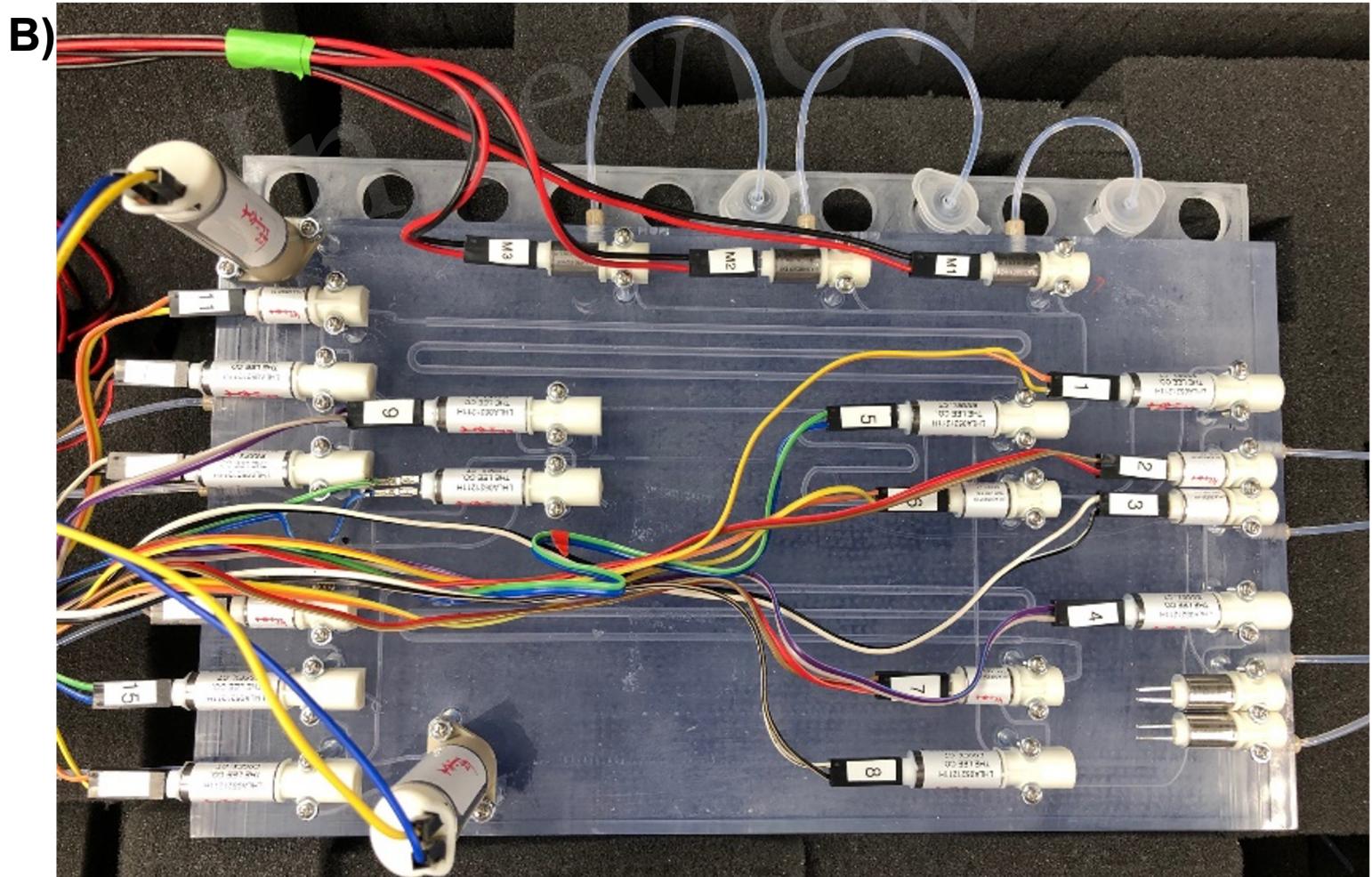
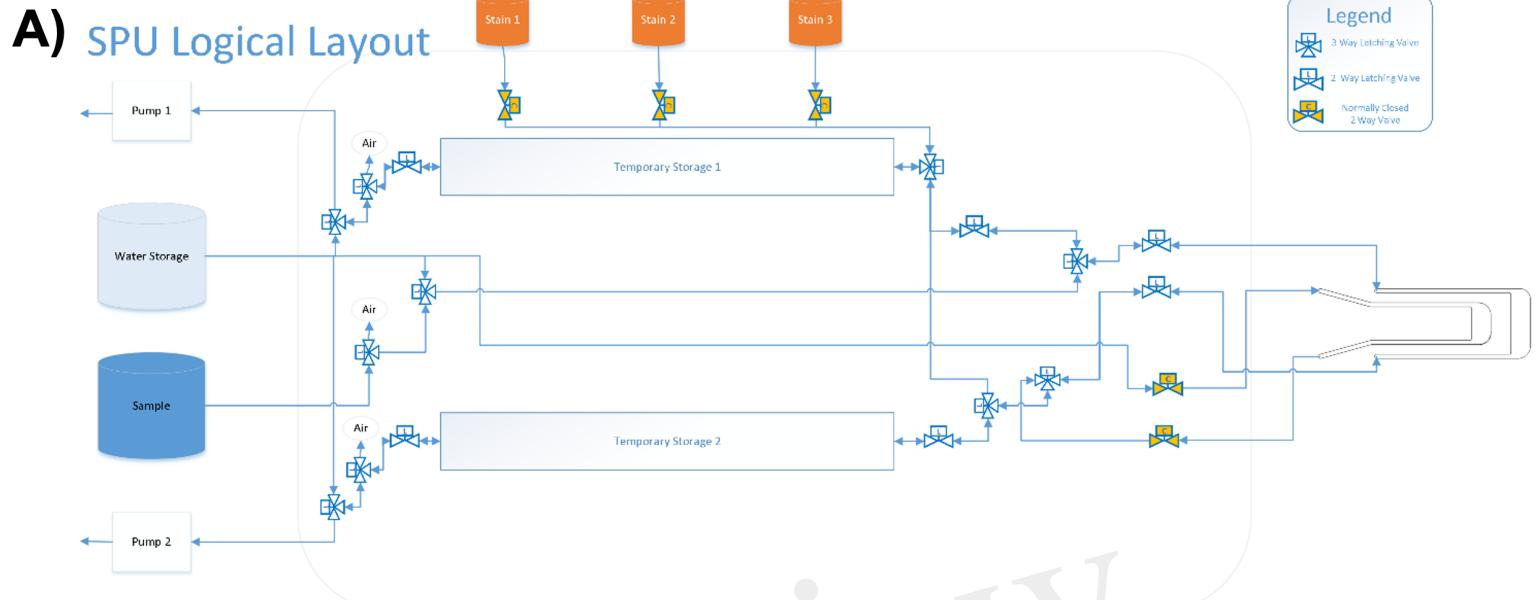


Figure 4.TIF



Figure 5.TIF

