¹ Therapeutic nanocarriers comprising extracellular matrix-

² inspired peptides and oligosaccharides

3 Abstract:

4 Introduction

5 The extracellular matrix (ECM) is vital for cell and tissue development. Given its 6 importance, extensive work has been conducted to develop biomaterials and drug delivery vehicles 7 that capture features of ECM structure and function.

8 Areas covered

9 This review highlights recent developments of ECM-inspired nanocarriers and their 10 exploration for drug and gene delivery applications. Nanocarriers that are inspired by or created 11 from primary components of ECM (e.g., elastin, collagen, hyaluronic acid, or combinations of 12 these) are explicitly covered. An update on current clinical trials employing elastin-like proteins is 13 also included.

14 Expert opinion

Novel ECM-inspired nanoscale structures and conjugates continue to be of great interest in the materials science and bioengineering communities. Hyaluronic acid nanocarrier systems in particular are widely employed due to the functional activity of HA in mediating a large number of disease states. In contrast, collagen-like peptide nanocarriers are an emerging drug delivery design with potential relevance to a myriad of ECM-related diseases, making their continued study most pertinent. Elastin-like peptide nanocarriers have a well-established tolerability and efficacy track record in preclinical analyses that has motivated their recent advancement into the clinicalarena.

<u>Keywords</u>: Arthritis, cancer, collagen-like peptides, drug delivery, elastin-like peptides,
extracellular matrix, gene delivery, hyaluronic acid, nanocarriers, osteoarthritis, rheumatoid
arthritis, wound healing.

6 Article highlights box:

- Summary of the structural and functional features of the extracellular matrix and its
 importance and relevance to a variety of diseases (e.g., cancer and arthritis).
- 9 Recent developments with elastin-like peptide micellar nanocarriers for drug delivery in
 preclinical settings
- An up-to-date overview of elastin-like peptides currently in clinical trials
- Current applications of collagen-like peptides in drug and gene delivery in preclinical
 settings
- Review of the most recent nanocarriers that incorporate hyaluronic acid for drug delivery
 to cancerous and arthritic diseases
- Suggested future directions for extracellular matrix inspired materials for nanocarrier drug
 and gene delivery
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19 <u>**1. Introduction:**</u>

The mesh-like network of macromolecules that broadly forms the non-cellular component of tissues in both vertebrates and invertebrates is known as the extracellular matrix (ECM) [1,2]. The ECM is widely regarded as essential for metazoan life and its importance is demonstrated both

by the mutations in ECM genes that cause embryonic death [1], and by the high degree of 1 conservation of certain proteins (e.g., fibrillar collagens) from simple sponges up to complex 2 vertebrates [2,3]. Although it is commonly described as a singular entity, the quantity and 3 composition of the ECM, or ECMs, can vary greatly from tissue to tissue [4]. However, 4 generalizations can be made, and the core matrisome (~ 300 proteins in mammals) consists mainly 5 6 of fibrous structural proteins including elastin, collagen, and laminin; glycosaminoglycans (GAGs), such as hyaluronic acid (HA); proteoglycans, like aggrecan; and glycoproteins, such as 7 fibronectin (Figure 1) [4-7]. These macromolecular components have long been recognized for 8 9 their integral role in imbuing connective tissues with stability, elasticity, and resistivity in response to mechanical stress/relaxation [4]. However, the structural role of the ECM is arguably matched 10 by its more dynamic and active role of maintaining cell adhesion, proliferation, differentiation, 11 migration, apoptosis, and overall tissue homeostasis [1,4]. Cells perform some of these functions 12 by receiving signaling cues through their interactions with the matrix via cell-bound receptors such 13 as integrins, or, for instance, the HA receptor CD44 [8]. Additionally, the ECM is constantly 14 remodeled by matrix-embedded cells, via the secretion of proteases, chemokines, growth factors, 15 and cytokines, which are dynamically deposited or liberated, and in turn, allow cells to react to 16 17 their environment and modify it as needed (see ECM proteases in Figure 1) [1,7-9]. It is through these mechanisms that homeostasis is regulated in an exquisitely controlled manner [1]. Moreover, 18 19 it is through the dysregulation of these ECM structures and functions that pathologies arise and 20 cause a myriad of diseases, defects, and abnormalities [1,7,10].

In humans, such diseases include but are not limited to: osteoarthritis, Marfan syndrome, Ehlers-Danlos syndrome, osteogenesis imperfecta, and Alport syndrome [7,11,12]. Current knowledge of the etiologies for most of these diseases has primarily been centered around

understanding of aberrations in the collagen protein family [11], with well over 1000 mutations 1 being characterized for just 12 of the 28 collagen sub-types [13]. However, other ECM-related 2 diseases can attribute at least part of their etiology to the upregulation or downregulation of 3 cytokines, proteases, or protease inhibitors within the ECM microenvironment [14,15]. For 4 instance, the upregulation of matrix metalloproteinases (MMPs) is implicated in tumor invasion 5 6 and metastasis in cancer [15]. Likewise, osteoarthritis (OA) and rheumatoid arthritis (RA) are also characterized by the upregulation of MMPs that degrade ECM collagens, most notably in joint 7 tissues [16,17]. Similarly, MMPs are dysregulated during the extended proinflammatory phase that 8 9 is often present in chronic wounds, a phenomenon which ultimately prolongs/halts the healing process [18]. Conversely, increased levels of tissue inhibitors of metalloproteinases (TIMPs) in 10 the ECM can lead to increased fibrosis and scarring during the wound healing cascade [14,19]. 11

Regardless of whether a disease is directly related to alterations in specific ECM structural 12 proteins (e.g., osteogenesis imperfecta) or merely a secondary effect of other cellular aspects of a 13 given pathology (e.g., OA), it is evident that the ECM and its properties are highly correlated with, 14 and affected by, disease. Thus, drug delivery approaches that target the ECM and/or modulate its 15 dynamic bioactive processes have been at the forefront of disease therapies. Rationally, such 16 17 approaches employ biomaterials that are made of or mimic ECM components to achieve this targeting or functional modulation. The study and development of ECM-inspired biomaterials 18 19 includes investigations of synthetic hydrogels, animal-derived decellularized matrices, and ECMbased therapeutic nanocarriers that are either composed of or modulated with ECM 20 21 macromolecules/moieties [6,20].

It is the latter of these that is the focus of this review. Nanocarriers loaded with drug or gene therapeutics are attractive in drug delivery owing to their ability to increase drug bioavailability and/or protect the drug from premature degradation [21]. Additionally, the surfaces
of nanocarriers are frequently modified with stealth or bioactive ligands to further prolong
systemic circulation and actively target specific tissues or disease targets, respectively [21,22].
Herein, we highlight and describe recent advances and developments in the field of ECM-based
nanocarriers for drug and gene delivery. Systems that are based on elastin, collagen, hyaluronic
acid, and combinations of these molecules are the focus of the review due to their significant
clinical potential, as well as their diverse functions for enhancing drug delivery.

8 <u>2. Elastin-like polypeptides (ELPs)</u>

9 <u>2.1 Introduction to ELPs:</u>

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Elastin is a highly hydrophobic ECM protein that contains a substantial number of non-11 polar residues such as valine and proline [4,23]. These residues usually appear in the form of 12 hydrophobic domains in elastin, often within sequence-specific consecutive repeats such as the 13 galline dodecapentapeptide, $(PGVGV)_{12}$ [23]. Similar sequences are also found in the elastin 14 precursor molecule, tropoelastin, which ultimately self-assembles and crosslinks into the elastic 15 fibers that provide the ECM with the ability to recoil from transient stretching [4]. The self-16 assembly of tropoelastin in vivo derives largely from a phase separation process known as 17 coacervation, although other ECM proteins likely play a role in this natural self-assembly process 18 [23]. 19

Some of the earliest ELPs studied were crosslinked synthetic polymers of the common tropoelastin residue repeat motifs such as poly(VPGVG) [24], which were produced to better understand the thermodynamics of the self-assembly/coacervation phenomena of tropoelastin, and

also evaluate tropoelastin's structure [24]. With the advent of modern molecular biology 1 techniques, specific sequences of ELPs with precise chain lengths could be easily synthesized with 2 well-defined chemical and thermodynamic properties [25]. These recombinant ELPs typically 3 comprise a generalized pentapeptide repeat (VPGX_{AA}G)_n, where X_{AA} can be any amino acid with 4 the exception of proline, and *n* can range from tens to hundreds of repeats [25]. Both chemically 5 6 synthesized polymeric ELPs and recombinantly engineered ELPs exhibit a hallmark thermoresponsive phase separation that is characterized by an inverse transition temperature (T_t) 7 phenomena [24,25]. In aqueous media with a temperature below that of the T_t , ELPs exist in a 8 9 solubilized state. However, at temperatures above the Tt, the ELP solutions undergo a coacervation transition, yielding ELP-rich and ELP-poor phases [25]. The value of the Tt can depend on a 10 number of factors including guest residue hydrophobicity, concentration, and ions present in the 11 solution, among many other factors [26]. In general, increased hydrophobicity, increased ELP 12 concentration, and increased ion concentration will all decrease the T_{t} , and conversely, decreases 13 in these variables lead to increased T_t values [26]. = ELPs have been widely used in protein and 14 nanoparticle purification techniques by increasing temperatures above the T_t as a mechanism to 15 precipitate proteins or nanoparticles that are fused or bound with ELPs [25,27]. Exploitation of 16 17 this temperature responsive coacervation has also been widely used to produce self-assembled structures deployed as novel drug delivery vehicles. Hydrophobic ELPs are generally modified 18 19 with a hydrophilic moiety (typically in the form of a recombinant protein fusion) to yield 20 amphiphilic molecules that self-assemble above the Tt of the hydrophobic ELP domain, typically 21 into micelles that can either be spherical or cylindrical in morphology [28]. Such structures have 22 been investigated widely by a number of groups as nanocarriers for small-molecule therapeutics 23 or biologics [28].

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2 **<u>2.2 ELP micelles:</u>**

Micelles are just one of the many types of nanocarriers that have commonly been studied 3 in modern drug delivery strategies. Generally, micelles are self-assembled aggregates that are 4 5 comprised of amphiphilic molecules such as lipids or synthetic polymers, as well as appropriately designed ELPs. The most common strategy for making ELP-based micelles is to increase the 6 hydrophobicity of the guest residue of the common (VPGX_{AA}G)_n repeat in one portion of the ELP 7 8 (e.g., toward the N-terminus), while encoding more hydrophilic guest residues in the other portion of the ELP (e.g., toward the C-terminus), to yield a single amphiphilic molecule (i.e., diblock-ELP 9 polymers). Figure 2 illustrates schematic representations of such ELP micelles as individual 10 molecules of diblock-ELPs (Figure 2a) and self-assembled micelles (Figure 2, a and b) [29,30]. 11 Each block of the diblock-ELP has its own T_t, and the micelle self-assembly process typically 12 requires the hydrophobic block of the diblock-ELP to selectively collapse and self-aggregate via 13 heating to temperatures above the Tt of the hydrophobic block while still maintaining a temperature 14 that is less than the Tt of the hydrophilic block [31], so that the hydrophobic block forms the core 15 16 and the hydrophilic block forms the corona of the micelle. The lowest Tt value at which micellization occurs is known as the critical micelle temperature (CMT). [31]. 17

Since these diblock-ELPs can be made recombinantly, they can be appended with functional and/or therapeutic proteins or peptides at either the N-terminus or the C-terminus (or both) depending on the desired application (Figure 2, a and b) [29,30]. For instance, MacEwan *et al.* engineered a library of recombinant, diblock-ELPs bearing a proapoptotic peptide on the Nterminus, and a cell penetrating peptide (CPP) on the C-terminus, with the diblock-ELP (VGVPG)40-(AGVPGGGVPG)30 fused in between the two (Figure 2a) [29]. Heating the diblock-

ELP above the T_t/CMT of the hydrophobic (VGVPG)₄₀ block (between 38°C and 41°C) resulted 1 in micellization with the proapoptotic peptide sequestered in the core and the CPP presented on 2 the surface of the micelle's hydrophilic ELP corona (the (AGVPGGGVPG)₃₀ block ($T_t > 41^{\circ}C$), 3 Figure 2a) [29]. The CMT was designed to be greater than physiological temperature (37°C) so 4 that the diblock-ELP would form micelles in vivo only after application of an external 5 6 hyperthermal stimulus at a tumor site, resulting in CPP clustering and selective intracellular uptake in cancer cells [29]. Indeed, enhanced cell uptake and apoptosis was observed *in vitro* for the 7 proapoptotic peptide-fused diblock-ELP at 42°C compared to 37°C. 8

9 In a more recent example, Peddi et al. also combined two active components in a diblock-ELP micelle system [30]. An RGD sequence (to mediate cell adhesion through integrin binding) 10 was fused to the C-terminus of the diblock-ELP (VPGIG)48-(VPGSG)48 (termed ISR), and a 11 rapamycin-binding motif (FKBP12) was fused to the N-terminus of a separate but similar diblock-12 ELP (VPGSG)₄₈-(VPGIG)₄₈ (termed FSI). These two ELPs with two different bioactive 13 14 functionalities were mixed together resulting in co-assembly into a multivalent ELP micelle containing both RGD and FKBP12 motifs on the surface of the corona (Figure 2b) [30]. The 15 authors found that rapamycin (an antiproliferative drug) could be bound to the FKBP12 corona 16 17 motif and so termed the drug laden ELP micelle formulation ISR-FSI-Rapa. These ISR-FSI-Rapa micelles were found to be capable of inhibiting breast cancer cell proliferation in a concentration 18 19 dependent manner *in vitro*. The authors went on to perform a multiday treatment of breast cancer xenografted mice with ISR-FSI-Rapa or a phosphate buffered saline (PBS) control. The 20 21 effectiveness of the treatment was assessed by monitoring and measuring the apparent tumor volume (for both the treatment and control groups) over the course of one month. Tumor volumes 22 of mice that were treated with the ISR-FSI-Rapa formulation did not increase substantially relative 23

to the PBS control, with the final measurement of the tumor volumes being statistically significant 1 by the end of the study (Figure 3, a and b, respectively). Additionally, the body weight of the mice 2 in both the treatment and the control groups did not change significantly over the course of the 3 study indicating that the treatment was well tolerated by the mice (Figure 3c). To determine the 4 functionality and effect of ISR-FSI-Rapa treatment, western blotting analysis of excised tumors 5 6 was performed. Specifically, western blotting detection of the substrate S6 ribosomal protein (S6RP) was performed relative to a GAPDH loading control. S6RP is a phosphorylated product of 7 a S6K1 kinase. The phosphorylation of S6K1 to S6RP is mediated by the protein complex 8 9 mTORC1, which is sensitive to rapamycin. The lack of detected S6RP in the ISR-FSI-Rapa treatment groups indicated that the delivered rapamycin was active (Figure 3d) and capable of 10 limiting tumor growth and development [30]. 11

12 This work by Peddi et al. exemplifies the utilization of a dually functional diblock-ELP 13 nanocarrier that is capable of cell adhesion and drug-specific loading. Additional diblock-ELP 14 nanocarriers with unique, intrinsic functionalities are currently being pursued as new 15 nanomedicines [28,32]. The van Hest group recently described diblock-ELP nanocarriers that were 16 capable of assembling and disassembling via either a pH stimulus or a temperature stimulus [33]. A diblock-ELP with the sequence of (VPGXAAG)60-(VPGYAAG)60, where XAA is either I or H in 17 a ratio of 1:4, and Y_{AA} is either A or G in a ratio of 3:2, was capable of assembling into micelles 18 triggered by an increase of pH and addition of metal ions; conversely, this micelle reversibly 19 disassembled by decreasing the pH and metal ion content. The Van Hest group also evaluated 20 mixtures of the above diblock ELP with a similar diblock-ELP that was identical except that XAA 21 22 was only I (with no H content). Heating the mixed diblock-ELPs stimulated micellization above the T_t of the I₆₀ containing diblock-ELP [33]. Although the work did not demonstrate the utilization 23

of these diblock-ELPs for drug delivery, it highlighted the versatile ability of diblock-ELPs to
respond in a stimuli-responsive manner to either endogenous cues (e.g., pH) or exogenous cues
(e.g., temperature). Such multifunctional (or in this case multi-stimuli-responsive) ELP
nanoconstructs offer great promise as next generation ELP nanocarriers.

In additional examples, Gonzalez-Valdivieso et al. developed a trifunctional diblock-ELP 5 6 micelle system that was capable of lysosomal escape, lysosomal-mediated cleavage of part of the 7 diblock-ELP, and cellular apoptosis [34]. The motifs that enabled these functions were included 8 within a single diblock-ELP construct with the amino acid sequence LAEL (for 9 lysosomal/endosomal escape) near the N-terminus, followed by the amphiphilic diblock-ELP, 10 [(VPGVG)₂(VPGEG) (VPGVG)₂]₁₀-[VGIPG]₆₀, a cathepsin D (lysosomal protease) cleavage site, a second, histidine-rich lysosomal escape sequence, and finally, an Akt kinase inhibitor near the 11 C-terminus. Both of the lysosomal escape mechanisms were enabled by structural changes in the 12 micelles that occurred at the lower pH of the lysosomal compartment. Two lysosomal escape 13 14 mechanisms ensured that the kinase inhibitor could efficiently access the cytosol where Akt kinase is typically overexpressed [34]. With this construct, the authors demonstrated that the inclusion of 15 16 the lysosomal escape mechanisms and lysosomal enzymatic cleavage were critical in inducing cell 17 death in cancerous cells where Akt kinase is overexpressed, but not in healthy non-cancerous cells [34]. 18

19 Other diblock-ELP micelle systems have been developed for cancer treatment. Pille et al. diblock-ELP sequence devised a comprised of a hydrophilic block with 20 the (VPGAG)₂(VPGGG)₂[(VPGAG)₃(VPGGG)₂]₁₁ and a hydrophobic block with the sequence 21 22 (VPGIG)₆₀ [35]. A fraction of these diblock-ELPs were fused with a heavy chain antibody 23 fragment (in this case, 7D12) specific to the epidermal growth factor receptor (EGFR) that is

overexpressed in many cancerous sub-types, including non-small cell lung cancer, inflammatory 1 breast cancer, brain cancer, and ovarian cancer [36-38]. A photosensitizer molecule that can induce 2 cell death upon illumination with light was also conjugated to a fraction of the diblock-ELPs. 3 Micelles were formed by mixing the functionalized and nonfunctionalized diblock-ELPs at various 4 ratios and then heating the mixture to 37°C. By adjusting the mixture ratio of functionalized vs. 5 6 non-functionalized diblock-ELPs, the authors showed that the maximum possible 7D12 functional incorporation was ~50-60%, as additional 7D12 incorporation into the mixed micelle system led 7 to the formation of larger indiscrete aggregates. The authors demonstrated that the ELP micelles 8 9 actively targeted epidermoid carcinoma cancer cells and elicited light-triggered cell death via delivery of the photosensitizer drug [35]. In related work, Costa et al. devised a diblock-ELP 10 (VPGVG)₈₀-(VPGSG)₆₀ that incorporated an unnatural amino acid (*p*-acetylphenylalanine) that 11 was utilized for the biorthogonal conjugation of the chemotherapeutic drug doxorubicin (DOX) to 12 the N-terminus, thereby enabling loading of DOX into the core of the assembled micelle [39]. 13 14 Additionally, a EgA1 nanobody (an antibody fragment that was also capable of binding to EGFR) was fused to the C-terminus of the diblock-ELP micelle. The drug laden diblock-ELP with the 15 EgA1 nanobody outperformed its non-targeting counterpart in inducing death in epidermoid 16 17 carcinoma cells [39].

Another way to fabricate ELP micelles is to recombinantly engineer relatively hydrophilic ELP monoblocks (ELPs with a single T_t) that are either (a) capable of being chemically conjugated with a hydrophobic small-molecule drug or (b) fused with a hydrophobic protein. In these instances, the micellization process is driven by the hydrophobicity of the therapeutic molecule or fused protein, rather than by the ELP, which in these materials serves as the hydrophilic solvated micellar corona [31]. The drug-mediated micellization of ELPs was first reported by the Chilkoti

research group in 2009 [40]. These 'chimeric polypeptides' (CPs) typically employ a cysteine-rich 1 conjugation domain for anchoring drugs (typically chemotherapeutics), and since their initial 2 report, CPs have been thoroughly investigated as novel chemotherapeutic nanocarriers. An 3 interesting and unique example of a functional CP was shown by Yousefpour, et al., in which an 4 albumin-binding domain was fused to the N-terminus of the monoblock ELP (VPGAG)₁₆₀ that 5 6 also bore the cysteine-rich sequence (GGC)₈ on its C-terminus. Upon conjugation with DOX, micellization spontaneously occurred such that the N-terminal albumin-binding domain (ABD) 7 was on the corona surface, while the conjugated DOX comprised the core (Figure 2c). The purpose 8 9 of the ABD was to bind to albumin, such that opsonization and complement activation would be limited after systemic administration of the ABD CPs [41]. As demonstrated through in vivo 10 experimentation with a murine colon carcinoma model, these ABD CPs were found to have less 11 non-specific uptake from clearance organs (such as the liver, spleen, and kidneys), and they also 12 provided a wider therapeutic window for DOX (relative to CPs without the ABD). These benefits 13 14 translated to lower required doses of the drug to achieve a reduction in tumor volume as well as increased survival [41]. 15

16 Other ELP-based nanocarrier systems have also used the conjugation of hydrophobic 17 chemotherapeutic drugs for inducing micelle formation. Bhattacharyya and colleagues used the sequence SKGPG-(XGVPG)₁₆₀-WPC(GGC)₇ (in which the guest residue X was V:G:A in a 1:7:8 18 19 ratio) and conjugated paclitaxel to this ELP monoblock [42]. This CP nanocarrier was found to have twice the tumor uptake relative to Abraxane, an FDA-approved chemotherapeutic nanocarrier 20 21 that also contains paclitaxel. Moreover, the paclitaxel CP nanocarrier significantly reduced tumor volume in murine models for both breast and prostate cancer [42]. The same CP ELP nanocarrier 22 has also been investigated for delivery of DOX, with potential for treating poorly immunogenic 23

4T1 mammary murine carcinoma both via the cytotoxic action of the drug and through the 1 corresponding timulation of infiltrating into the tumor to enhance the immune response [43]. In a 2 separate report, this DOX CP formulation was also found to have a similar effect in a soft tissue 3 sarcoma murine model derived from a malignant peripheral nerve sheath tumor (MPNST) [44]. In 4 both of these reports, the CPs were observed to stimulate a CD8+ immune response; however, it 5 6 was unclear whether the CD8+ immune response was required for the DOX CP to be efficacious given that pretreatment in the MPNST model with anti-CD8+ antibodies did not affect the efficacy 7 of the DOX CP treatment [43,44]. Nonetheless, these works demonstrate the potential of 8 9 hydrophobic drug induced micllization of ELP nanocarriers for small-molecule drug delivery and in particular chemotherapeutic drug delivery. 10

11 Small hydrophobic drug molecules are not the only type of therapeutic that have shown the ability to induce ELP micellization. Park et al. demonstrated that a (VPGAG)192 ELP monoblock 12 fused with human granulocyte-macrophage colony-stimulating factor (a pro-mitotic protein) 13 14 spontaneously formed biologically active micelles [45]. These micelles stimulated proliferation of TF-1 erythroblast cells and also bolstered engraftment of TF-1 cells in xenografts of mice. In a 15 16 separate report, Park et al. also showed evidence of bioactive ELP micelles with a single chain 17 antibody fragment that targeted the FMS-like tyrosine kinase 3 receptor that is relevant for treating acute myeloid leukemia [46]. With the development of new active single chain antibody fragments 18 19 that target different biological receptors, their implementation onto ELPs and other nanoconstructs continues to be of primary interest to researchers and clinicians [47]. 20

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Given their innate biocompatibility and their general success in preclinical applications,
some ELP systems have progressed to clinical trials. Most notably, PhaseBio Pharmaceuticals Inc.

has developed a proprietary ELP-therapeutic fusion technology which has previously been applied 1 in clinical targets such as diabetes and cardiomyopathy associated with dystrophinopathies but has 2 more recently been tested in the treatment of pulmonary arterial hypertension (PAH). Their 3 primary ELP based therapeutic is designated as PB1046; it is known as Pemziviptadil when 4 formulated for the treatment of PAH, or VasomeraTM when previously formulated for application 5 6 to cardiomyopathy associated with dystrophinopathies [48,49]. Pemziviptadil is a recombinantly engineered ELP that is fused with a vasoactive intestinal peptide (VIP) that binds to vasoactive 7 intestinal active polypeptide receptors 1 and 2 [49,50]. Decreased levels of VIP have been 8 9 associated with PAH, and the delivery of VIP as a means of treatment against PAH has been demonstrated previously, but only in a limited capacity, and this strategy has not been evaluated 10 in larger scale clinical trials [51,52]. Given the limited amount of clinical data on the treatment of 11 PAH with VIP, it is reasonable to consider that the extended-release mechanism of the ELP 12 component of Pemziviptadil may improve the treatment of PAH [52]. In a completed phase 1 13 clinical trial (NCT03315507), three patients were administered subcutaneous injections of 14 Pemziviptadil once a week for eight weeks, and in one patient, treatment was extended for up to 15 18 months [49,53,54]. Pemziviptadil injections did not cause any drug-related adverse events and 16 17 were well tolerated. In the patient who received the extended treatment, an apparent diseasemodifying effect was observed with meaningful improvement in the assessed hemodynamic 18 19 parameters, and this effect was sustained for three months after the trial [49,50]. Given these 20 results, two phase 2 studies (NCT03556020 and NCT03795428) are currently ongoing to further assess safety, tolerability and efficacy of Pemziviptadil, and Phasebio expects to have results by 21 22 the second half of 2021 [49,55,56]. Notably, with the onset of the COVID-19 pandemic, 23 Pemziviptadil was assessed in a clinical trial (NCT04433546) for its ability to treat acute

respiratory distress syndrome (ARDS) that occurs in COVID-19 patients after a SARS-CoV-2 1 infection [57]. Although the trial was terminated, Pemziviptadil was found to be tolerable in in 2 COVID-19 patients that took part in the trial and resulted in no adverse safety events [57,58]. 3 Additionally, Phasebio has previously conducted clinical trials to treat type 2 diabetes mellitus 4 with other ELP constructs that contain fusions with glucagon-like peptide 1 (designated PB1023) 5 6 and long-acting basal insulin (designated PE0139), although these have not been developed for clinical use [59,60]. Regardless, ELP fusion constructs have promising safety characteristics that 7 include acceptable tolerability and limited adverse events that support their continued clinical 8 9 investigation.

10 Although ELP nanocarriers have consistently exhibited biocompatibility, they are not free of challenges to clinical translation. Like many other nanocarrier systems, ELP nanocarriers are 11 readily uptaken by macrophages in the reticuloendothelial system (RES) [28]. Furthermore, only 12 a handful of ELP nanocarriers possess active targeting ligands, and the literature suggests that a 13 14 dearth of ELP nanocarriers are able to simultaneously resist RES clearance and actively bind/enter target cells. For instance, Yousefpour et al. included ABD domain on CP ELP micelles to limit 15 16 clearance by the RES, but they did not incorporate an active targeting ligand for tumor-specific 17 uptake [41]. Conversely, the DOX diblock-ELP micelle system developed by Costa et al. bears a nanobody that targets overexpressed EGFR in many cancerous sub-types (described above) but 18 19 does not bear any ligands that limit opsonization or non-specific clearance [39]. As demonstrated by Peddi et al., diblock-ELP micelles that present two functionalities on micellar surface can be 20 21 created (Figure 2b) so there should be no synthetic limitation in creating an ELP nanocarrier that has both active targeting functionality as well as clearance limiting functionality. Instead of 22 synthetic challenges, such bifunctional diblock-ELP micelles would possibly possess efficacy 23

limitations. It has been demonstrated that nanoparticles that bear antibodies or antibody fragments for active targeting tend to suffer from increased clearance due to their activation and subsequent uptake by immune cells, thus nullifying the effect of clearance limiting ligands [61]. Additionally, the presence of clearance limiting ligands can limit the ability of active targeting ligands to reach their targets [61]. Nonetheless, ELP micelles that possess both active targeting and enhanced circulation are warranted for warranted for further study and optimization.

7 Despite the potential challenges that they may face, it is clear that ELP micelles will continue to be a dominant ECM-based nanocarrier platform for future therapies for a wide 8 9 assortment of diseases. With the advantages that these nanocarriers do possess, researchers have demonstrated their successful application in preclinical settings. In particular, ELP nanocarriers 10 have been tested in multiple tumor models (breast, blood, brain, and ovarian cancers) in vitro and 11 they have demonstrated superior nanocarrier-mediated cell death relative to previously approved 12 nanoparticle formulations or free chemotherapeutic drug. More importantly, ELP nanocarriers 13 14 have shown promise in *in vivo* breast cancer models where tumor growth volumes were either maintained, reduced, or totally abolished. ELP nanocarriers have been studied not only for more 15 16 prevalent diseases such as various cancers, but also hold promise in the providing treatments for 17 orphan diseases that have generally received less investigative attention (such as the PhaseBio Pharmaceuticals example mentioned above, investigating the clinical treatment of for PAH). The 18 19 field of ELP nanocarrier therapeutics continues to be exciting, with continued and promising preclinical and clinical therapeutic efficacy data. 20

21 <u>3. Collagen-like peptide (CLPs)</u>

22 <u>3.1 Introduction to CLPs:</u>

The development of CLPs (also known as collagen-mimetic peptides (CMPs)) shares many 1 similarities to the development of ELPs. Like ELPs, CLPs were developed and studied due to the 2 difficulties of studying insoluble native ECM proteins (in this case, collagen), and CLPs were thus 3 created as a reductionist approach to studying the collagen protein family as a whole [62]. 4 Additionally, like the tropoelastin-derived repeat sequence motif for ELPs described above, CLPs 5 comprise a unique amino acid repeat sequence (G-XAA-YAA)n found in collagens. XAA and YAA 6 can be any amino acid with the exception of glycine [62], although the two residues are almost 7 exclusively the imino acids proline (P) and hydroxyproline (O), respectively, and the number of 8 9 repeats within CLPs is typically 10 or less [62]. Like the protein from which it is derived, a hallmark characteristic of CLPs is their ability to fold into the unique secondary structure of a 10 triple helix, in which three individual CLP chains, each adopting a polyproline-like type II helix, 11 associate with and fold with two other individual chains to form a right-handed triple helix [63]. 12 The CLP triple helices can unfold to a monomeric, single chain through heating, and can reversibly 13 14 refold into a triple helix upon cooling. The midpoint of this transition is typically defined as the melting temperature (T_m) , and its value depends primarily on the number of repeats (n), the total 15 content of imino acids that comprise the X_{AA} and Y_{AA} residues, and more specifically, the amount 16 17 of hydroxyproline that is in the Y_{AA} position [62,63]. When CLPs are folded, their triple helix is remarkably compact, rigid, and linear, which makes CLPs ideal for constructing molecular 18 19 scaffolds such as hydrogels [64,65], cellular adhesion materials, [66] and other supramolecular 20 structures [67,68]. Given their unique linear and structural characteristics, as well as their ability 21 to fold and unfold from a temperature stimulus, CLPs have promise for imbuing these functions 22 and features into drug delivery nanocarriers. Additionally, nanocarriers that bear CLPs can be utilized to target collagens in tissues, wound sites, and collagen-based biomaterials. 23

1 <u>3.2 Drug and gene CLP conjugates:</u>

In 2005, the Yu group discovered that CLPs in the single stranded (melted/unfolded) state 2 3 could bind to collagen protein that was either partially or fully denatured, with the binding affinity 4 increasing as temperature-mediated collagen denaturation increased [69]. Since this discovery, the group has demonstrated that collagen peptide hybridization can be carried out in vivo and be 5 6 utilized as a highly sensitive diagnostic tool to detect denatured collagen in various tissues by 7 labeling the hybridizing CLP with a fluorophore [70,71]. The unique collagen-hybridizing capacity of CLPs also triggered interest in the use of CLPs for drug and gene delivery applications, with 8 9 CLPs largely being exploited to modify nanostructures and thereby impart them with collagen-10 binding capacity.

One of the earliest efforts in this regard was a CLP therapeutic peptide conjugate that was 11 developed by Chattopadhyay *et al.* to target and treat denatured collagen in wound beds [72]. In 12 this work, the CLP (PPG)₇ was C-terminally modified with a cytoactive peptide called substance 13 P, which had previously been demonstrated to trigger vasodilation and angiogenesis, both of which 14 are important in the wound healing cascade [72]. The authors found that administering the CLP-15 substance P conjugate in a murine splinted wound model resulted in enhanced wound closure and 16 extensive re-epithelialization relative to a substance P molecule that lacked the CLP, presumably 17 due to the hybridization of the CLP-substance P conjugate in the wound bed. This work 18 19 demonstrates the efficacy of utilizing a simple, therapeutic CLP peptide conjugate that can be readily synthesized and purified via solid-phase strategies and suggests that other moderate-sized 20 therapeutic peptides could be employed with this (PPG)7 CLP for enhanced efficacy when 21 22 delivered to pathological tissues that possess denatured collagen.

It is briefly worth mentioning that the authors claimed that the CLP (PPG)₇ was incapable of forming a triple helix with itself (homotrimer) but could hybridize to denatured collagen in the wound bed *in vivo* (Figure 4a) [72]. This distinction is important given that other CLP therapeutics require special methodologies such as heating above the T_m of the CLP, or UV-mediated removal of steric hindering molecules (e.g., nitrobenzyl groups that prevent CLP folding), to induce the CLP to be in the single-stranded state and render it capable of hybridization [70].

7 The latter of these strategies was developed by the Yu group, and has very recently been 8 employed in their laboratory to design a CLP therapeutic conjugate for the treatment of rheumatoid 9 arthritis (RA). In work by Arlotta et al., the antigen-binding portion of the anti-tumor necrosis factor alpha (TNFa) monoclonal antibody infliximab was chemically conjugated to the CLP 10 (GPO)9, which bore a fluorophore for detection and also a nitrobenzyl functional group that 11 prevented CLP self-trimerization [73]. TNF α is a cytokine, commonly overexpressed in RA, that 12 upregulates expression of MMPs [74]. The authors demonstrated that UV cleavage of the 13 14 nitrobenzyl group from the CLP (immediately prior to injection) enabled the CLP-anti-TNF α to bind to denatured collagen and slow disease progression and cartilage (type II collagen) 15 degradation in a transgenic mouse model of RA, as evidenced by hematoxylin & eosin (H&E) 16 17 staining and safranin-O (S-O) staining. More specifically, mice treated with the CLP-anti-TNFa conjugate possessed less synovial hyperplasia, less periarticular inflammatory cell infiltration, and 18 19 limited articular cartilage degradation relative to mice treated with saline [73]. This work builds off of the concept developed by Chattopadhyay *et al.* and advances it by utilizing large antibody 20 therapeutic instead of a relatively small peptide, highlighting the modularity of CLP conjugates. 21 Given the relative nano to mesoscale dimensions of the antibody fragment, the work additionally 22

suggests that nanocarriers could also be endowed with CLPs for enhanced therapeutic outcomes
 through their hybridization capabilities.

3 To this end, the Kiick and Sullivan research groups have investigated the therapeutic utility 4 of sequestering drug-laden nanoparticles in collagen/fibrin co-gels via CLP-modified nanoparticles [75]. They demonstrated that after heating, the CLP (GPP)₃-GPRGEKGERGPR-5 6 (GPP)₃-GPCCG peptide (termed GEKGER) was capable of hybridization to denatured collagen 7 protein, and that it also could be chemically conjugated to polymers (highlighted in more detail 8 below) through cysteine-maleimide chemistry. It is briefly worth noting that a similar chemistry 9 was utilized by Arlotta et al., which further indicates the considerable modularity of CLPs. Using this chemistry, GEKGER CLP-linked liposomes were synthesized and loaded with the 10 antimicrobial drug vancomycin, and the liposomes were subsequently hybridized to 11 collagen/fibrin co-gels via interactions with denatured collagen protein in the co-gel (Figure 4b). 12 The CLP liposome co-gel formulation was found to resist a methicillin-resistant staphylococcus 13 14 aureus (MRSA) challenge *in vivo* for up to 9 days, whereas non-CLP containing vancomycin liposome co-gels resisted infection for only up to 2 days [75]. These results demonstrate the 15 16 important role of CLPs as non-covalent tethers that enhance nanocarrier retention and localization 17 in collagenous matrices, ultimately improving the therapeutic index of complex drug delivery systems. 18

The Kiick and Sullivan research groups have also demonstrated the use of the GEKGER CLP in gene delivery applications. In 2014, Urello *et al.* first demonstrated that DNA-polymer electrostatic complexes (polyplexes) could be chemically modified with the GEKGER CLP and embedded and retained within collagen films and gels post CLP hybridization via heating [76]. In this study, polyplexes modified with GEKGER were found to be retained in collagen for a duration

5 times longer than polyplexes without the CLP. Additionally, the polyplexes were found to retain robust gene transfer activity over several weeks, as determined by analysis of the reporter protein Gaussia luciferase that was encoded by the DNA in the polyplex. Furthermore, gene transfer was shown to be MMP-dependent, with enhanced gene delivery driven by endocytic collagen turnover [76]. This work was subsequently successfully translated to an *in vivo* model in which luciferase expression was detected up to 25 days post subcutaneous injection, with the expression kinetics dependent upon the number of GEKGER CLP modifications in each polyplex [77].

These studies highlighted the exciting potential for CLP-mediated gene delivery in 8 9 regenerative medicine applications. Further efforts by the Kiick and Sullivan groups investigated the therapeutic capacity of CLP-tethered polyplexes in collagen gels. In a 2016 work, Urello et al. 10 investigated the *in vitro* delivery and resulting outcomes of CLP-tethered polyplexes that encoded 11 for platelet-derived growth factor-BB (PDGF), hypothesizing that it could promote healing in 12 wound models [78]. Indeed, it was found that the inclusion of the GEKGER CLP into PDGF 13 14 encoding polyplexes enhanced cellular functions that would be desired in wound healing such as increased proliferation, migration, and gel contraction that indicated ECM remodeling by cells 15 [78]. Most notably, in an in vitro wound model, "closure" of the wound with the CLP-modified 16 17 polyplexes was similar to that achieved with a bolus administration of PDGF while using an order of magnitude less protein due to the localized protein production after gene transfer [78]. The 18 19 promise of these approaches was also demonstrated in a preclinical murine wound model, in which PDGF-encoding polyplexes with different CLP functionalization densities were hybridized to 20 fibrin/collagen gels and administered to excisional wounds in mice [79]. Images of the wound 21 healing progression over the course of 14 days for three different CLP-polyplex gels with different 22 degrees of CLP composition (0, 20, and 50 mol % (relative to polyplex polymer)) and three 23

controls (saline, gels without bolus PDGF, and gels with bolus PDGF) are illustrated in Figure 5a
[79]. The percent area of wound closure calculated from the images for each time point is provided
in Figure 5b. The inset of Figure 5b highlights the specific differences between all testing
conditions at day 9. The data indicate that not only did these CLP-polyplex gels result in faster
wound healing (relative to controls), but they did so with 2 orders of magnitude less growth factor
in the wound sites relative to recombinant PDGF-loaded gels [79].

7 The utilization of CLPs as tethering anchors in drug and gene delivery approaches remains 8 in its infancy. Yet, despite the technology being less than two decades old [69], several preclinical 9 studies have demonstrated the therapeutic efficacy of CLPs in vivo [72,73,75,79]. The majority of these studies have focused on wound healing applications. This includes the use of growth factor 10 encoding genes that are incorporated into CLP functionalized polyplexes, which have 11 demonstrated significant healing rates and full closure in *in vitro* and *in vivo* wound models. 12 Furthermore, CLP functionalized liposomes have been used to deliver antibiotics to wound-like 13 14 environments where infection can be controlled over a longer period of time (due to extended antibiotic release) relative to free antibiotic. Simple cytoactive factor peptide-CLP conjugates have 15 also demonstrated promising wound healing capabilities. Although not applied to wound healing, 16 17 similar CLP-antibody conjugates have shown promise in arthritis therapies.

In all of these cases, the CLPs were employed as pseudo-active targeting ligands that were used to enhance treatment efficacy by specifically tethering the therapeutic moiety to denatured collagen (via the CLP hybridization / trimeric folding phenomena). The major limitation with such hybridizing conjugates/nanocarriers is that the CLP must first be rendered to the single-stranded state prior to physical application in the target tissue or biomaterial. This can be challenging since CLPs have the natural tendency to form homotrimers. Thus, to yield single strands, the trimeric CLPs must first be thermally unfolded by heating the CLP therapeutic solution to temperatures
 that could affect the therapeutic itself or possibly be harmful to tissue. The Yu group has cleverly
 mitigated this limitation by modifying CLPs with a nitrobenzyl group that can be cleaved upon
 irradiation with ultraviolet light, although this strategy adds additional synthetic complexity.

5 Interestingly, the work of Chattopadhyay et al. indicates that proper selection of the CLP 6 sequence can eliminate these self-trimerization issues, particularly with the (PPG)7 CLP. Further 7 investigation and application of this sequence could prove invaluable to researchers employing 8 CLPs to drug or gene nanocarriers and allow for more facile translation to the clinic. Future work 9 to improve upon these findings will likely include modification and optimization of the collagen hybridizing sequence, incorporation of CLPs into other types of nanocarriers, and application to 10 additional disease targets. Although ECM diseases are ubiquitous, the focus thus far has been 11 limited largely to wound healing and rheumatoid arthritis applications, and while CLP-mediated 12 drug delivery is not as extensively developed as other ECM-based approaches, the few works 13 14 published to date highlight its immense therapeutic potential.

15 <u>4. Hyaluronic Acid (HA)</u>

16 <u>4.1 Introduction to HA</u>

Despite its large molecular weight of one to several millions of Daltons, the HA molecule is regarded as the simplest glycosaminoglycan [80], given that its molecular backbone consists only of repeating units of D-glucuronic acid linked to N-acetyl-D-glucosamine [80]. Although the structure of HA is considered a linear random coil, it is a relatively stiff molecule, and HA solutions are highly viscous due to the highly hydrated volume of HA [80,81]. Like other constituents of the ECM, the role of HA extends beyond that of a structural substrate, and HA participates in

numerous cellular processes such as proliferation, migration, and survival [82,83]. As a component 1 of many varieties of drug delivery systems, its notable attributes include its hydrophilicity, 2 biocompatibility, biodegradability, and lack of immunogenicity [81,82,84]. Perhaps HA's most 3 important feature in modern drug delivery investigative work is its ability to bind to CD44, a 4 glycoprotein that is commonly overexpressed in various cancers as well as in synovial 5 6 lymphocytes, macrophages, and fibroblasts within inflamed joints of RA and OA patients [83-86]. Thus, HA has routinely been utilized for active targeting in treating tumors and inflamed joints 7 [84,87]. 8

9 <u>4.2 HA in Drug Delivery</u>

Given its inherent ability to "bind" water molecules, HA has been routinely investigated 10 within hydrogels, either as a central component or as an active additive, and its use in this role has 11 been reviewed elsewhere [88]. Of greater interest here is the utilization of HA as a primary 12 component, or as a bioactive ligand/moiety, in nanocarriers. Given the abundance of literature on 13 the subject, we focus on the most recent publications that highlight applications in diseases of the 14 referred excellent reviews 15 ECM. The reader is to that discuss other HA nanoparticles/nanoformulations [84,89]. 16

HA has been implemented in nanocarriers in various ways, including nanoparticle surface conjugation [90,91], electrostatic coating at the surface of positively charged nanoparticles [85,92], or coacervation to form HA-based nanoparticles through complexation of HA with positively charged polymers [86]. In an example of conjugating HA to nanoparticles, Y. Zhou *et al.* demonstrated procedures for the conjugation of HA to nanoparticles [90]; hollow mesoporous silica nanoparticles (HMSNs) were functionalized with phenyl boronic acid while HA was separately functionalized with dopamine. The dopamine functional handles on the HA reacted with

the phenyl boronic acid groups on the HMSNs to form pH-sensitive boronate esters (the HA 1 functionalized HMSNs is termed HMSN-B-HA). These nanoparticles were loaded with both DOX 2 and indocyanine green ((ICG), a known photosensitizer agent that releases cytotoxic reactive 3 oxygen species (ROS)) into the HMSNs, and the particles were functionalized with acid-labile HA 4 handles such that the particles could be internalized into cells via binding with CD44 receptors. 5 6 After cellular internalization, the HA was cleaved from the HMSNs through natural lysosomal acidification, which led to increased drug release of both the DOX and ICG; by contast, drug 7 release was limited prior to the acid cleavage due to the HA-limited diffusion of the drugs from 8 9 the core of the HMSNs. Additionally, HMSN-B-HAs exhibited enhanced uptake into 4T1 breast cancer cells due to the overexpression of the CD44 receptor on the 4T1 cells relative to non-10 cancerous 293T kidney cells. The unloaded HMSN-B-HAs (without ICG or DOX) were not 11 cytotoxic to 4T1 breast cancer cells, either without illumination or with illumination. Cytotoxicity 12 was then evaluated for 4T1 breast cancer cells for a range of DOX concentrations either in the dark 13 14 or under illumination (with illuminaton hypothesized to increase cytotoxicity via the generation of ROS via ICG). For conditions in which DOX was present, a concentration dependent cytotoxicity 15 from DOX was observed under both dark and illuminated conditions as determined via an MTT 16 17 assay. Studies showed that ICG was tolerated by the cells under dark conditions but induced cell cytotoxicity under illuminated conditions due to the ICG photo-induced generation of ROS. Under 18 19 both dark and illuminated conditions, the greatest cytotoxicity was observed for the maximal DOX 20 concentration, with ICG also in the particles, i.e., the ID@HMSN-B-HAs. In addition, the authors 21 observed that the half-maximal inhibitory concentration (IC₅₀) in 4T1 cells of ID@HMSN-B-HAs 22 under illuminated conditions was one-third of the IC₅₀ value for dark conditions. This work thus

demonstrates the utility of pairing an HA conjugate for cancer specific targeting with existing
 nanocarriers, leading to enhanced therapeutic outcomes [90].

3 An alternative HA-nanoparticle surface conjugation approach was demonstrated by Liang 4 and coworkers in which HA was modified with aldehyde chemical functional groups for reaction with free amine groups, such as those in found in chitosan (CS), a positively charged 5 6 polysaccharide [91]. The authors found that the aldehyde-containing HA was easily conjugated to 7 the surface of siRNA-loaded chitosan nanoparticles, and these nanoparticles were readily uptaken 8 via the CD44 receptor that is overexpressed in bladder cancer cells. They further demonstrated 9 that by delivering siRNA encoded for silencing Bcl-2 (a protein that inhibits apoptosis and thereby 10 permits oncogenesis), tumor growth could be limited (relative to controls) for up to 35 days in an murine tumor xenograft model in vivo [91]. Taken together, the work demonstrates the continued 11 promise of HA-based specific targeting to cancerous cells via the CD44 internalization 12 mechanism, and it also demonstrates the ability of HA nanocarriers to be used for gene silencing. 13

As opposed to conjugating HA to the surfaces of nanocarriers, the substantial negative 14 charge of HA can also be utilized to form electrostatic complexes/coacervates with positively 15 charged macromolecules such as CS. In this vein, P. Zhou et al. used HA and CS to form 16 electrostatic coacervates, and demonstrated that negatively charged plasmid DNA that encoded for 17 cytokine response modifier A (CRMA-pDNA) could also be incorporated into the formulations 18 19 electrostatically [86]. CRMA is a protease inhibitor that can bind to interleukin 1-beta (IL-1 β). Like TNF α , IL-1 β is a cytokine that can induce MMP production, and in turn, can bring about 20 damage to collagen proteins in the ECM. Therefore, the authors used these CRMA-pDNA laden 21 22 HA-CS coacervates to treat an OA rat model, and they found that the coacervate nanoparticles 23 inhibited synovial inflammation and cartilage damage as assessed by H&E and S-O histological

staining. More specifically, they correlated the lack of collagen type II degradation with a down
regulation of IL-1β, MMP-3, and MMP13 stemming from the expression of CRMA, which
binds/blocks IL-1β, and in turn, limits its ability to stimulate MMP production [86]. This work
again demonstrates the utility of HA has an effective gene delivery vehicle and also illustrates the
ability of HA to be used not just as a CD44-targeting mechanism but also as a complexing agent.

6 In a similar fashion, Zhong et al. electrostatically complexed HA with the branched 7 positively charged polymer polyethyleneimine (PEI). Although PEI has traditionally been utilized 8 as a transfection reagent through complexation with pDNA [93], in this work, the PEI was 9 chemically appended with the immunosuppressant drug methotrexate (MTX). The goal of the 10 work was to form nanoparticle complexes that were drug-laden but also could be targeted to CD44 through the inclusion of HA, which is present to a greater extent in RA-affected tissues relative to 11 healthy tissues [85]. In an in vivo mouse model for RA, these HA-PEI-MTX nanoparticles reduced 12 a series of RA pathologies including synovial hyperplasia, pannus formation, and cartilage 13 destruction [92]. 14

While its negative charge can be useful for electrostatic complexation of polymers and 15 genes into nanocarriers, the overall hydrophilicity of HA can make it amenable for simple 16 amphiphilic nanoparticle self-assembly when it is conjugated to a relatively hydrophobic polymer. 17 Such an approach was utilized in work by Yuan et al. in which they chemically conjugated HA to 18 19 the polymer oligo(thiophene ethynylene) (OTE) and the resulting OTE-HA conjugates were found to self-assemble into nanoparticles after a solvent/anti-solvent exchange [94]. The authors had 20 21 previously observed that the OTE polymer possessed broad spectrum bactericidal effects, yet it 22 also was moderately cytotoxic to mammalian cells; thus, they speculated that the conjugation of 23 the polymer to HA would limit cytotoxicity given that the OTE would form the core of

nanoparticles (based on its hydrophobicity) and thus limit its exposure to cells (Figure 6). They 1 further hypothesized that the bactericidal OTE polymer would selectively be released in the 2 presence of the bacteria through the degradation of HA by the endogenous hyaluronidase, 3 particularly given the overabundance of hyaluronidase in gram positive bacteria (Figure 6). Indeed, 4 upon incubation with the OTE-HA nanoparticles, MRSA colony forming units were substantially 5 6 reduced and bacterial cell integrity was found to be compromised. This work showcases the usefulness of HA in enabling self-assembly and altering drug delivery behavior, beyond its more 7 traditional role as a receptor-binding ligand or as an electrostatic complexing agent. 8

9 Given the abundant literature indicating the value of HA in nanoparticle formulation and bioactivity, it is clear that that the inclusion of HA as a component in therapeutic nanocarrier 10 systems will continue. HA can be incorporated into established nanocarriers such as mesoporous 11 nanoparticles or polymeric systems such as PEI, and more advanced nanocarriers are likely to be 12 developed with the expansions in bio-orthogonal chemistries and understanding of disease 13 14 progression mechanisms. Given HA's unmatched functionality, physiochemical properties and its relevance to multiple diseases, HA will continue to be a major staple in nanocarrier drug delivery 15 formulations. 16

17 <u>5. Hybrid ECM Nanocarriers:</u>

In addition to ECM materials inspired by a single ECM component, hybrid ECM nanocarriers have also been developed to leverage simultaneously the functionality of multiple ECM components. While increasing the number of ECM modalities within a single system can increase its complexity with regard to synthesis and characterization, these materials offer unique opportunities to expand the versatility and potential of the materials towards drug delivery applications. 1 <u>5.1 ELP-CLP vesicles:</u>

With ELPs possessing thermoresponsive self-assembly capabilities that occur through 2 3 heating and CLPs possessing hybridization capabilities that occur upon cooling, the Kiick research 4 group hypothesized that these two ECM-inspired materials could be conjugated to form unique self-assembling structures that would also be capable of binding to collagens. An investigation to 5 6 test this hypothesis was conducted in 2015 by Luo and Kiick, in which the ELP (VPGFG)6G', 7 where G' designates propargyl glycine that bears an alkyne group, and the CLP N₃-(GPO)4GFOGER(GPO)4GG, where N3 denotes an N-terminal azide, were synthesized via solid-8 9 phase peptide synthesis and chemically conjugated using copper catalyzed azide-alkyne click 10 chemistry [95]. Upon heating the conjugate to a relatively high temperature (80°C) no coacervation or assembly was observed, but when CLP triple helix formation occurred upon cooling below the 11 T_m of the CLP domain, and this resulted in ELP coacervation and assembly of the ELP-CLP trimers 12 into vesicular nanostructures. This outcome indicated that CLP triple helix formation was a 13 14 prerequisite for enabling the T_t transition of these short ELP domains. Moreover, it was observed that the ELP-CLP self-assembled structures remained assembled upon cooling to 4°C, which 15 indicated that CLP triple helix formation substantially reduced the Tt of the ELP domain by over 16 75°C (e.g., from > 70°C to < 4°C). The dependence of the ELP T_t on triple helix formation is 17 consistent with the known impact of concentration on the T_t, with three ELP chains (per trimer) 18 19 localized in a very small volume when the CLP triple helix is intact [26]. In additional studies, the key impact of the CLP T_m on tuning the T_t of the ELP was confirmed. Dunshee *et al.* found that 20 different CLP domains yielded different Tt values for the ELP-CLP conjugates, despite the fact 21 that the ELP domain was identical for each conjugate [96]. By alterations in the CLP domain, 22 Dunshee et al. were able to determine that (VPGFG)₆-(GPO)₇GG yielded conjugates with two 23

observable thermoresponsive transitions: a T_m of ca. 50°C and a T_t of ca. 15°C [96], providing two
 distinct mechanisms for tuning drug release.

3 Additional investigations have also shown that subtle changes to the ELP sequence of ELP-4 CLP conjugates (particularly substitutions of the ELP guest residue with other aromatic amino acids) can lead to substantial changes in both T_t and structural morphology. The inclusion of 5 6 tryptophan in the ELP domain yielded ELP-CLPs with low Tt values, and simulations suggested 7 that this phenomenon was a result of the increased stiffness of tryptophan and its increased propensity for adopting turn structures [97]. In a similar fashion, the location of tyrosine 8 9 substitutions, towards either the N-terminus or the C-terminus of the ELP, affected the T_t, with Nterminal substitutions yielding more dramatic changes, likely due to increased chain flexibility 10 facilitating π - π stacking [98]. Changes to chain length and tryptophan content were also found to 11 affect not just the Tt of these structures, but also their morphology, with transitions between 12 nanovesicles and nanoscale "platelets" observed [99,100]. 13

Since the initial discovery of the tunable behavior of the ELP-CLP conjugates, these 14 conjugates have been assessed in terms of their utility as novel drug delivery nanocarriers. In 2017, 15 Luo et al. reported that the ELP-CLP (VPGFG)6-(GPO)4GFOGER(GPO)4GG was biocompatible 16 and could be successfully loaded with fluorescein as a model cargo [101]. It was further 17 18 demonstrated that at 37°C, fluorescein could be released over the course of a few days. Moreover, heating the cargo-loaded ELP-CLP nanovesicles to 80° C (T_m = 57^{\circ}C) resulted in a burst release, 19 suggesting that the nanovesicles could be utilized for thermally mediated, stimuli-responsive drug 20 release. Lastly, it was found that drug loaded ELP-CLP nanovesicles were capable of hybridizing 21 22 to denatured collagen type II protein *in vitro*, and able to sequester fluorescent cargo until collagen 23 was denatured at elevated temperatures; these data suggest the utility of these carriers for localizing

hydrophobic cargo to collagen-containing tissues/matrices. Taken together, the work of Luo and
colleagues showed that ELP-CLP nanovesicles were: 1) biocompatible, 2) capable of being loaded
with cargo without perturbing morphology, 3) thermally-responsive, resulting in enhanced drug
release, and 4) able to hybridize to denatured collagen protein to localize cargo-loaded vesicles.
The results exemplify the combinatorial potential of ECM-inspired hybrid materials.

6 <u>6. Application of ECM-inspired nanocarriers in the treatment of OA:</u>

Given their biocompatibility, lack of immunogenicity, and diverse ECM specific 7 8 functionality (for CLPs and HA), it is rational to consider ECM-inspired nanocarriers as potential 9 therapeutic vehicles for the treatment of ECM-related diseases. As described previously, there are many different ECM-related diseases, but perhaps the disease the comes to mind most readily 10 when considering the ECM is arthritis which on average (between 2013 and 2015) was diagnosed 11 for 23 % of the United States adult population [102]. Of the different forms of arthroses, OA is the 12 most common [103]. Inflammation and degradation of cartilage ECM in the joint are the primary 13 characteristics of the disease, and these characteristics lead to joint pain and stiffness as well as a 14 reduced quality of life [104,105]. In contrast to RA, which can be treated by a number of disease-15 modifying anti-rheumatic drugs (DMARDs), there are currently no clinically approved disease-16 modifying osteoarthritic drugs (DMOADs) [106,107]. For example, despite the fact that $TNF\alpha$ is 17 implicated in both RA and OA pathogenesis [16,17], current biologic DMARDs, such as 18 19 adalimumab, have not demonstrated efficacy in the treatment of OA [108]. Therefore, the therapeutic efficacy of potential small-molecule hydrophobic DMOADs could be enhanced via 20 21 their implementation with nanocarriers that enhance drug solubility, limit premature protease 22 degradation, and extend circulation/joint retention times [21,74]. ECM-inspired nanocarriers, in 23 particular, could aid DMOAD delivery by providing additional retention/targeting mechanisms as

well as incorporation in the joint via similarity to other molecules present in the synovial fluid of
 the joint.

3 Upregulation of the cytokine TNFα in RA and OA leads to an overabundance of MMPs 4 that degrade collagen in the joint ECM. Therefore, CLP-based nanocarriers could be implemented to bind to denatured collagen protein found in joint cartilage and improve the retention of OA 5 6 therapeutics. The only preclinical example that could be found is the CLP-based nanocarrier 7 system developed by Arlotta et al. described above [73]. In brief, the authors created a CLP-anti-8 TNF α conjugated and demonstrated that delivery to a transgenic mouse model reduced collagen 9 degradation by binding/blocking overexpressed TNFa. Such works demonstrate the promise and potential of future applied CLP-functionalized nanocarriers that can be made to address unmet 10 11 clinical challenges in treating arthroses.

ELPs have also been investigated for their ability to deliver OA/RA related biologics as 12 ELP-therapeutic fusion constructs [74]. For example, Shamji *et al.* recombinantly engineered an 13 ELP attached to a TNF α antagonist and showed that it was capable of attenuating TNF α mediated 14 cytotoxicity in an *in vitro* murine L929 fibrosarcoma model [109]. In similar approach, Shamji et 15 al. demonstrated that an ELP fused to an IL-1 receptor antagonist could decrease MMP 16 transcription by chondrocytes [110]. In both examples, the goal of the work was to demonstrate 17 the therapeutic activity of the respective fusion construct and to probe any effects these 18 19 therapeutics had on the ELP's Tt [109,110]. The intent of these works was to use the ELPs Tt phenomenon to induce bulk therapeutic aggregation to retain the therapeutics in disease sites 20 longer than non-ELP conjugated therapeutics. Given these initial promising results that 21 22 demonstrated full functionality of these ELP-therapeutic fusion constructs, future preclinical 23 studies will no doubt evince favorable therapeutic outcomes in OA *in vivo* models.

In addition to TNF α and IL-1 being targets of choice for treatment of arthroses and 1 targeting ECM, CD44 also is overexpressed in the inflamed joints that are commonly observed in 2 RA and OA [85]. With this in mind, the binding/targeting properties of HA to CD44 make its 3 utilization in ECM-based nanocarriers also attractive for the treatment of OA [84,86]. Indeed, HA 4 itself is a therapeutic for OA treatment and is FDA approved for intra-articular injections to the 5 6 knee [74]. However, meta-analyses have indicated that the efficacy of these HA injections is equivocal [111], suggesting that HA alone may be insufficient. Thus, modified HA-based ECM-7 inspired nanocarriers are currently being investigated in preclinical models to determine if HA, in 8 9 a combinatorial role with other drug molecules, could yield improved disease modifying outcomes for OA. As discussed above, Zhou et al. conceived such a combination and created HA-CS-pDNA 10 electrostatic complex coacervates to deliver a gene that encodes for a protease inhibitor (i.e., 11 CRMA, which binds to IL-1 β) to an OA rat model and found that the IL-1 β and its cell signaling 12 products, MMP-3 and MMP-13, were downregulated resulting in more limited degradation of 13 14 collagen type II in vivo [86].

Advances in the development of biologics over the last four decades paved the way for the first FDA-approved biologic medication to treat RA (Etanercept, 1998) [112]. This breakthrough ushered in a new era of DMARDs for the treatment and amelioration of RA. While recombinant technology is no longer new, the utilization of recombinant technologies to create ECM-inspired materials such as ELPs, CLP, and even HA for applied medicine still offers substantial opportunities. A new era of ECM-inspired mimics could be on the horizon and eventually lead to the discovery of new DMOADs for the treatment of OA.

22 <u>7. Conclusion:</u>

The significant role of the ECM in tissue and the structural diversity of ECM molecules 1 suggests enormous potential for the application of ECM components in contemporary drug 2 delivery approaches. Indeed, in the last few decades, many researchers have recognized the 3 potential of ECM-inspired materials and have conceived myriad ways in which these materials can 4 be configured, manipulated, modified and/or augmented. A significant benefit of the majority of 5 6 ECM-inspired materials is that they are inherently biocompatible, and in some cases (such as HA), non-immunogenic. Of greater benefit is the recapitulation of the physiochemical properties and 7 bioactive functions of these ECM-based materials. For instance, the coacervation properties of 8 9 elastin polymers has been successfully leveraged in ELP-based materials to create diblock-ELPs that can self-assemble into discrete micelles rather than proceed to simple aggregation. Further 10 manipulations to these ELP micelles resulted in the design of therapeutic protein-ELP fusions and 11 drug-conjugated ELPs for enhancing circulation, limiting premature metabolization, and 12 protecting nanocarriers/drugs from renal and endothelial clearance. Such therapeutic ELP 13 nanocarriers have been applied in preclinical models for a variety of cancers both in vitro and in 14 *vivo*. Additionally, ELP nanocarriers are beginning to be tested by the biopharmaceutical industry 15 in clinical trials for orphan diseases. 16

Another example of ECM-inspired materials recapitulating matrix functionality is that of CLPs, which can either be therapeutic conjugates themselves or can be modified or appended to existing therapeutic nanocarriers such as polyplexes or liposomes. These CLP conjugates impart therapeutics with the ability to hijack collagen's natural propensity for triple helix formation, enabling targeting and localization of therapeutics to denatured collagen protein characteristic of ECM diseases. For example, CLPs conjugated to bioactive factors, CLP-modified polyplexes, and CLP-modified liposomes have all been tested preclinically (*in vitro* and *in vivo*) for their ability to bind to denatured collagen protein in the wound microenvironment to elicit a therapeutic outcome
based on delivery of substances such as a cytoactive factor, a gene encoding for a growth factor,
or an antimicrobial to prevent infection. While CLP-modified therapeutics have yet to be tested in
clinical trials, their unique advantages in preclinical studies suggest their future potential.

While a great deal of research has been conducted on HA-based materials, recent 5 6 applications of HA in nanocarriers include their use as targeting ligands via their ability to bind to 7 CD44 and their use as complexing reagents with positively charged polymers and proteins. 8 Highlighted in this work is HA's application/use in both of these roles by targeting overexpressed 9 CD44 in OA and RA and as an electrostatic binding agent for complexes that bear both genes and 10 drugs for delivery. Of course, inclusion of HA onto nanocarriers that are used for treating cancer remains central in preclinical investigatory work, but researchers continue to find interesting ways 11 of demonstrating HA's preclinical efficacy with novel materials and different applications. 12

Lastly, ECM-inspired hybrid nanocarriers offer a full suite of synergistic properties that 13 can include self-assembly and drug loading, bioactive targeting, and stimuli-responsivity. A good 14 paradigm is that of the ELP-CLP nanocarriers created by Kiick and colleagues. Such nanocarriers 15 have so far been demonstrated to be biocompatible, capable of being loaded with a model drug, 16 dually thermoresponsive through both the ELP domain and the CLP domain, and able to bind to 17 denatured collagen protein through CLP mediated hybridization. These multi-functional 18 19 nanocarriers highlight the distinct possibilities of combining multiple ECM-inspired components and will ideally inspire further research of other permutations and combinations of other types of 20 21 ECM-inspired materials.

22 <u>8. Expert Opinion:</u>

Deriving inspiration from the ECM continues to be a growing trend in biomaterials 1 laboratories, although perhaps because the ECM is, by definition, a cellular matrix, a central theme 2 in ECM mimics and ECM-inspired materials has been their use as hydrogels. As discussed in this 3 review, nanocarriers can be made from, or modified with, these ECM-inspired materials and have 4 been demonstrated to recapitulate their ECM-derived functional properties for therapeutic 5 6 applications. This has been observed in the T_t self-assembling properties of ELPs, the ability of CLPs to hybridize to denatured collagen proteins, as well as HA's ability to bind to CD44. 7 Although the focus of this review was limited to some of the most familiar components of the 8 9 ECM, there are hundreds of other ECM proteins, proteoglycans, and glycoproteins with useful attributes that could be used to enhance existing nanocarrier platforms. 10

For instance, the glycoprotein fibronectin could be coated or chemically linked to nanocarriers to equip them with integrin-binding motifs. Researchers commonly employ the fibronectin-derived RGD sequence into various systems but inclusion of native fibronectin would provide additional motifs that can bind to other ECM molecules such as collagen, heparin, and fibrin. With these different binding partners, fibronectin has important and similar roles to HA and mediates cell-cell and cell-matrix interactions.

Perhaps more relevant to the treatment of RA and/or OA are the proteoglycans aggrecan and lubricin. Aggrecan is commonly known for being a major component of intraarticular cartilage as a biomechanical support macromolecule. It can interact with HA and form distinct aggregates with it. As such, aggrecan could be incorporated in nanocarriers in the same manner HA already is routinely used and potentially as a co-complexation agent. In contrast to aggrecan, lubricin is known as a lubricating proteoglycan because of its ability to limit protein and cell interactions. Therefore, it could be envisaged as a biomechanical supplement for intraarticular HA nanocarriers

or perhaps as an anti-opsonization coating for nanocarriers that are delivered systemically (and
 either passively delivered or targeted), such as in nanoparticle-based cancer treatments.

3 The development of non-HA ECM-derived glycan-based macromolecules as nanocarriers 4 could increase the capabilities of nanocarrier systems. Expanding investigations to include other 5 macromolecules such as proteoglycans would at the very least alter the breadth of functional 6 nanocarrier components. The continued application of modern recombinant synthetic techniques 7 could enable cost-effective glycoprotein/proteoglycan production, with the additional benefit of including therapeutic fusion constructs into the molecular design, as exemplified by the ELP-based 8 9 nanocarrier work described above. Due to its ease of production and molecular simplicity, HA will likely continue to be a dominant component of future ECM-inspired nanocarriers. 10

The majority of the progress in polypeptide-based nanocarriers, such as those based on 11 ELP, has been in their applications toward cancer remediation. However, other works have 12 demonstrated that they could be functionally efficacious for OA and RA treatments as well. 13 Despite their progress, some of the most recent advances still rely on more traditional, small-14 molecule chemotherapeutics. Given the continued expansion of biologics, it may be worthwhile 15 for future ELP systems to be developed for the delivery of biologics. This of course leads to 16 additional clinical approval challenges, but this could be mitigated by including already approved 17 biologics in ELP nanocarrier systems. 18

In contrast to HA and ELPs, the utilization of CLPs as therapeutic conjugates or bioactive targeting ligands in preclinical *in vivo* studies is extremely limited. Indeed, studies of CLPs have been expanding with regard to the analysis of their kinetic and thermoresponsive properties to provide new insights into their importance with regard to materials assembly applications. Nevertheless, current applications remain primarily focused on the use of CLPs as a diagnostic

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1 tool for detecting denatured collagen protein through fluorescent labeling. There are significant

2 opportunities for future ECM-inspired research for both fundamental study of novel ECM mimics

3 and also the application of ECM materials that are well understood. It is our opinion that expanding

4 the research of ECM materials to include more of the matrisome would lead to other novel

5 discoveries that could ultimately have major impacts in the clinic.

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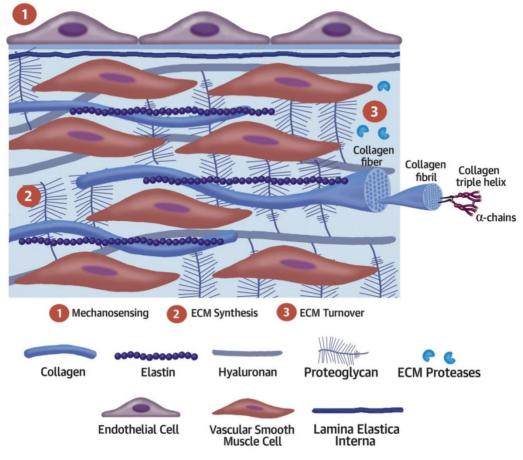
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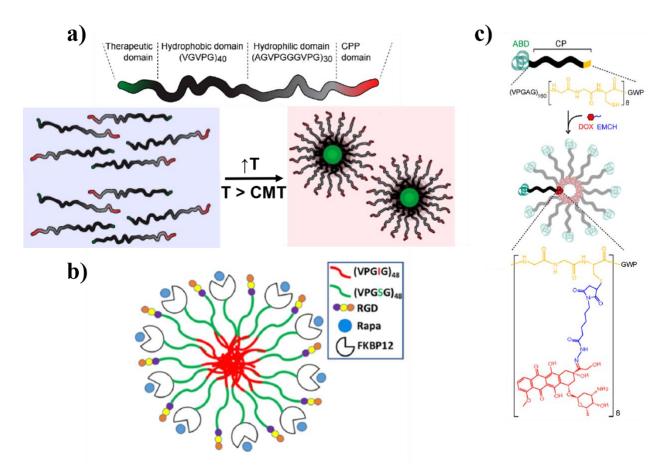
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2 Barallobre-Barreiro, J. et al. J Am Coll Cardiol. 2020;75(17):2189-203.

- 3 Figure 1: Schematic representation of specific macromolecular ECM components and their
- 4 interaction and proximity to cells. Cellular functions in the ECM are also highlighted
- 5 numerically. Proteins such as fibrillar collagen, elastin, and ECM proteases are dipicted
- 6 alongside proteoglycans and the GAG, HA. Of pertinent interest to this text, the collagen higher
- 7 order assembly and foundational triple helical structure is illustrated.Note that although this
- 8 illustration is that of arterial extracellular space, the ECM components and functions are the
- 9 same as the ECM found in other tissues. Reprinted from Journal of the American College of
- 10 Cardiology, 75(17), Barallobre-Barreiro, J., et al. Extracellular Matrix in Vascular Disease, Part
- 11 2/4: JACC Focus Seminar, 2189-203, Copyright (2020), with permission from Elsevier.



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2 Figure 2: Schematic representation of ELP micelles. a) The amphiphilic diblock-ELP

- 3 (VPGVG)₄₀-(AGVPGGGVPG)₃₀ with a proapoptotic fusion on the N-terminus and a cell
- 4 penetrating peptide (CPP) fusion on the C-terminus is shown both in its dissassembled
- 5 monomeric state below the CMT and in its assembled micllar state above the CMT (i.e., the T_t of
- 6 the hydrophobic (VPGVG)40 block). b) The self-assembled form of two separate (but very
- 7 similar) amphiphilic diblock-ELPs. The diblock-ELP (VPGIG)₄₈-(VPGSG)₄₈, bears a cell
- 8 binding RGD motif that is fused onto the C-terminus and the diblock-ELP, (VPGSG)48-
- 9 (VPGIG)₄₈, bears the rapamycin binding motif (FKBP12, depicted with bound rapamycin) on
- 10 the N-terminus. c) A chimeric polypeptide ELP micelle that consists of a albumin binding
- domain on the N-terminus, an ELP monoblock (VPGAG)₁₆₀ in the middle of the polypeptide,
- 12 and a glycine-glycine-cysteine repeat (8 repeats) on the C-terminus. Figure 2a) was reproduced
- 13 with permission from MacEwan SR, Chilkoti A. Controlled Apoptosis by a Thermally Toggled
- 14 Nanoscale Amplifier of Cellular Uptake. Nano Letters. 2014 Apr;14(4):2058-2064,
- 15 <u>https://pubs.acs.org/doi/abs/10.1021/n15002313</u>. Further permissions/reuse related to this
- 16 figure/material should be directed to the ACS. Figure 2b) was reprinted (adapted) with
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- 18 Polypeptide Rapamycin Formulation for Breast Cancer. Biomacromolecules. 2020
- 19 Mar;21(3):1091-1102). Copyright (2020) American Chemical Society. Figure 2c) was reprinted
- 20 (adapted) with permission from (Yousefpour P, McDaniel JR, Prasad V, et al. Genetically
- 21 Encoding Albumin Binding into Chemotherapeutic-loaded Polypeptide Nanoparticles Enhances



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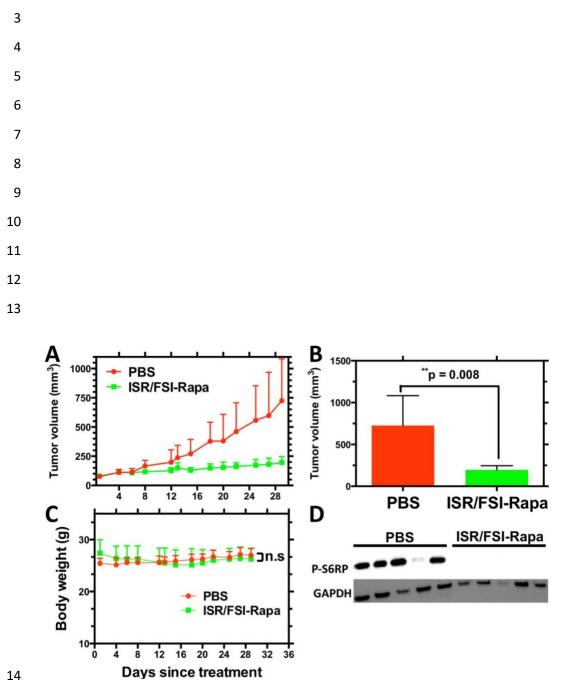
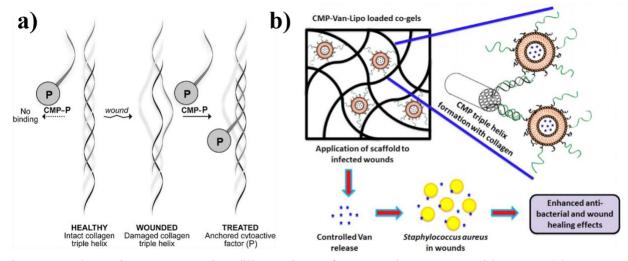


Figure 3: Multi-day treatment of BT-474 xenografted mice with rapamycin-RGD-diblock-ELP
micelles (1 mg/kg rapamycin per dose). a) Tumor volume of phosphate buffered saline (PBS)
control treated and rapamycin-RGD-diblock-ELP treated mice which indicates the delivered
rapamycin was successful in limiting tumor growth. b) Comparison of tumor volumes between the
PBS treatment and the rapamycin-ELP micelle treatment on the last day of the treatment/study
(from a)). c) Mean body weight change of the mice over the course of the study indicating the

treatment was well tolerated. d) Western blotting detection of substrate S6 ribosomal protein
(S6RP) in both the rapamycin-ELP micelle treatment group as well as the PBS control group.
The correlation of the loss of S6RP with rapamycin delivery indicates the drugs effectiveness in
its antiproliferative cell signal transduction pathway. Reprinted (adapted) with permission from
(Peddi S, Roberts SK, MacKay JA. Nanotoxicology of an Elastin-like Polypeptide Rapamycin
Formulation for Breast Cancer. Biomacromolecules. 2020 Mar;21(3):1091-1102). Copyright

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12 Figure 4: Schematic respresentations/illustrations of nanocarrier systems with CMPs (also

referred to as CLPs in other literature) that are capable of hybridizing to denatured collagen
 protein. a) The substance P CMP (sequence (PPG)₇) conjugate is shown in the single stranded

state (left) and cannot bind to intact collagen protein. In contrast, collagen triple helices are

damaged in certain diseases (e.g., wounds) enabling CMP hybridization/binding. b)

Vancomycin-laden liposomes that are surface-modified with single-stranded CMPs are loaded

18 into collagen-fibrin co-gels that are cabable of deliverving the antibiotic for improving healing

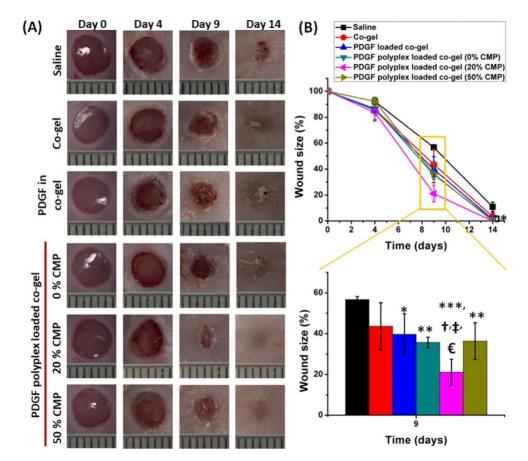
19 outcomes in infected wounds. Figure 4a) was reprinted (adapted) with permission from

20 (Chattopadhyay S, Guthrie KM, Teixeira L, et al. Anchoring a cytoactive factor in a wound bed

promotes healing. Journal of Tissue Engineering and Regenerative Medicine. 2016

- 22 Dec;10(12):1012-1020). Copyright © 2014 John Wiley & Sons, Ltd. Figure 4b) was reprinted
- from Acta Biomaterialia., 103, Thapa R.K., Kiick K.L., Sullivan M.O., Encapsulation of
- collagen mimetic peptide-tethered vancomycin liposomes in collagen-based scaffolds for

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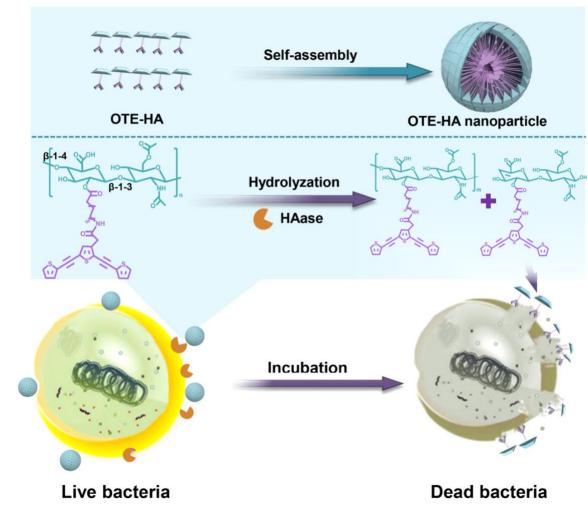


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2 Figure 5: Treatment of excisional wounds in a murine in vivo model with 3 different control conditions (saline, collagen-fibrin co-gel, and PDGF-loaded collagen-fibrin co-gel) as well as 3 3 different experimental treatment conditions of PDGF-encoding, CMP-modified polyplexes 4 hybridized into collagen-fibrin co-gels, with each treatment condition varied by the degree of 5 CMP modification (0, 20, and 50 mol % (relative to polyplex polymer)). a) Representative 6 wound images of the 6 different treatment conditions at the day 0, 4, 9, and 14 timepoints. b) 7 Ouantification of percent area of wound closure as determined from the images in a). The inset 8 9 of b) shows the differences between all testing conditions at day 9. Error bars are standard deviations with n = 3 separate data points for each condition. Reprinted (adapted) with 10 permission from (Thapa RK, Margolis DJ, Kiick KL, et al. Enhanced Wound Healing via 11 Collagen-Turnover-Driven Transfer of PDGF-BB Gene in a Murine Wound Model. ACS 12 Applied Bio Materials. 2020 Jun 15;3(6):3500-3517). Copyright (2020) American Chemical 13 Society. 14 15

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3 Figure 6: