

1 An evaluation of vertical mixing parameterization of  
2 ocean boundary layer turbulence for cohesive sediments

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10 **ABSTRACT**

11  
12 Accurate parameterization for both diffusivity and turbulent kinetic en-  
13 ergy (TKE) dissipation rate is important for the simulation of reactive trac-  
14 ers such as cohesive sediments. We implemented a second-order closure pa-  
15 rameterization for mixing in ocean surface boundary layer in the Coupled  
16 Ocean-Atmosphere-Wave-Sediment Transport (COAWST) model. The pa-  
17 rameterization is more suitable than the existing parameterizations in the  
18 COAWST model for the modeling of cohesive sediments: It includes the  
19 wave-driven Langmuir turbulent effect, a more complete pressure strain co-  
20 variance parameterization in the eddy viscosity and diffusivity, and also TKE  
21 dissipation rate. Solutions using a one-dimensional configuration are com-  
22 pared to solutions using a three-dimensional model that simulates the ocean  
23 surface boundary layer turbulence and size distributions of flocs of different  
24 sizes. The result shows that the simulation using the newly implemented  
25 parameterization reproduces fairly well the profiles of vertical eddy viscosity,

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TKE dissipation rate, total mass concentration of suspended sediment, and mass averaged settling velocity in wave-driven Langmuir turbulence. The water depth dependence of floc size distribution is also reproduced in the one-dimensional model. In addition, the result based on the standard  $k - \omega$  model mostly underestimates (up to  $\sim 90\%$ ) the averaged settling velocity of suspended sediments in the water column. The result also suggests that misrepresentation of Langmuir turbulence effect in vertical mixing parameterization could cause substantial biases in the forecast/hindcast transport model for cohesive sediment.

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## 1. Introduction

Turbulent mixing in the ocean surface boundary layer (OSBL), a thin (usually tens to a few hundred meters deep) buffer layer between the atmosphere and ocean interior, mediates the air-sea exchange of momentum, heat, and gas fluxes, and is critical for the global climate and marine ecosystem. It greatly influences the upper ocean dynamics and is essential for altering the large-scale ocean circulation, the distribution of temperature and salinity, and the dispersion and transportation of materials with different buoyancy (e.g. Smith et al., 2016; Liang et al., 2018; Kukulka, 2020). Therefore, an accurate prediction of the role of the upper ocean under a changing climate and the influence of anthropogenic activities requires a better representation of turbulent mixing in the OSBL.

50 In the earth system model and operational ocean model, however, vertical  
 51 mixing is generally not resolved explicitly but parameterized, i.e. represented  
 52 by a physics-based mathematical model dependent on flow variables and sur-  
 53 face forcing with a small number of empirical parameters, because of three  
 54 main reasons as follows. Firstly, it is not feasible to resolve all the scales for  
 55 computation of turbulent flows in the climate model and hindcast/forecast  
 56 model under the present technique condition in the most state-of-the-art  
 57 High-Performance Computing systems (Fox-Kemper et al., 2014, 2019). As  
 58 a result, most realistic ocean models have a grid resolution coarser than the  
 59 one required for simulating OSBL turbulence. Secondly, even in a high-  
 60 resolution version of a small computational domain, the hydrostatic approxi-  
 61 mation applied in these models prevents their capabilities of simulating three-  
 62 dimensional turbulence. Thirdly, these models which use finite-difference or  
 63 finite-volume schemes have advantages of efficiency and flexibility in realistic  
 64 oceanic applications, nevertheless, they are less accurate in calculating the  
 65 horizontal gradients than the pseudo-spectral models commonly utilized in  
 66 the turbulence computing model in the OSBL (e.g. Sullivan and McWilliams,  
 67 2010).

68 The OSBL turbulence is predominantly driven by meteorological condi-  
 69 tions near the ocean surface, including winds, heating and cooling, and sur-  
 70 face gravity waves (e.g. D’Asaro, 2014). It is also modulated by the earth’s  
 71 rotation (e.g. Liu et al., 2018), horizontal density stratification (e.g. Fan et al.,  
 72 2018), and horizontal currents (e.g. Yuan and Liang, 2021). Particularly,  
 73 the interaction between surface gravity waves and wind-driven mean current  
 74 drives Langmuir turbulence, which is characterized by the counter-rotating

75 vortices roughly aligned with the downwind direction and often marked by  
76 the surface congregated zone (windrows) of sargassum, droplets, and gas  
77 bubbles. In the coastal oceans and continental shelf regions, Langmuir tur-  
78 bulence can extend throughout the water column, sweeping the non-attached  
79 macroalgae into streaks near the seabed in the shelf region and is also respon-  
80 sible for suspension events of sediments by observations (e.g. Gargett et al.,  
81 2004; Dierssen et al., 2009). During the suspension of the sediments, water-  
82 column turbulence also alters the size and spatial distributions of cohesive  
83 sediments through flocculation processes (Liu et al., 2019).

84 The flocculation process includes aggregation and breakup of cohesive  
85 sediments (fine-grained compound containing silt, clay, fine sand, and or-  
86 ganic substance), through which sediment flocs (an agglomeration of min-  
87 eral/organic matter) of different sizes are constructed and demolished (see a  
88 two-dimensional schematic for flocculation processes in Langmuir turbulence  
89 in Fig. 1). Aggregation refers to the process during which smaller aggre-  
90 gates and primary mineral particles bond together forming flocs (e.g. Mehta,  
91 2013; Strom and Keyvani, 2016), and its rate varies with particle size, salin-  
92 ity, pH, and the particle collision frequency (e.g. Winterwerp, 1998; Burd and  
93 Jackson, 2009; Mietta et al., 2009). Breakup refers to the process that parent  
94 flocs are separated into smaller daughter flocs (or primary particles) by inter-  
95 particle collision (Dyer, 1989) or turbulent shear. Flocculation processes not  
96 only add more uncertainties in determining the properties of cohesive sedi-  
97 ments, such as the settling velocity, shape, size, composition, and density, of  
98 cohesive sediment (e.g. Liss et al., 2004; Strom and Keyvani, 2011; Mehta,  
99 2013), but also play an important role in modulating the concentration of

100 suspended sediments carried by turbulent eddies (e.g. Droppo et al., 1998;  
101 Verney et al., 2011; Sherwood et al., 2018; Liu et al., 2019).

102 Through a series of laboratory and field experiments (e.g. Braithwaite  
103 et al., 2012; Keyvani and Strom, 2014; Strom and Keyvani, 2016), progress  
104 has been made in understanding the relationship between flocculation pro-  
105 cesses and the turbulent shear rate (defined as  $G = \sqrt{\epsilon/\nu} = \nu/\eta^2$ , with  $\epsilon$  the  
106 turbulent kinetic energy dissipation rate,  $\nu$  the kinematic viscosity, and  $\eta$   
107 the Kolmogorov length scale (Kolmogorov, 1941)). Turbulence enhances the  
108 growth and breakup rate of flocs by increasing inter-particle collision and  
109 increasing the shear stress exerted on the floc, respectively. This means ac-  
110 curate information of turbulent mixing is necessary to better understand the  
111 cohesive sediment transport associated with flocculation processes. Recently,  
112 using a numerical model that simultaneously computes turbulence and flocs  
113 of different sizes, Liu et al. (2019) showed that Langmuir turbulence suspends  
114 and organizes flocs of different sizes in the water column. It also increases  
115 the aggregation and breakup rates of flocs that are located in similar regions  
116 with high turbulent dissipation rates and restrains those of others. They  
117 also showed that floc size distribution varies with depth and floc mass con-  
118 centration profiles change with floc size in wave-driven Langmuir turbulence.  
119 Although there is no doubt that Langmuir turbulence plays an important role  
120 in modulating the transport of cohesive sediments, such turbulence-resolving  
121 simulations are computationally prohibitive in sediment transport models  
122 for realistic oceans (e.g. Fox-Kemper et al., 2019) and the effect of boundary  
123 layer turbulence on vertical mixing has to be parameterized in those models.

124 There are two classes of vertical mixing parameterizations: first-order clo-

125 sure and second-order closure. One of the commonly used first-order closures  
 126 is the K-profile parameterization (KPP) proposed by Large et al. (1994). In  
 127 the KPP, the eddy viscosity and diffusivity are modeled as the product of a  
 128 dimensionless shape function, a length scale that represents boundary layer  
 129 depth, and a turbulent velocity scale in the boundary layer. Sinha et al.  
 130 (2015) proposed a modified KPP for shallow seas, by replacing the constant  
 131 velocity scale in the KPP with a new velocity scale that is a function of di-  
 132 mensionless vertical coordinate, in addition to a counter-gradient term that  
 133 accounts for nonlocal transport. It is convenient to implement the KPP in  
 134 forecast/hindcast models since there is no additional prognostic equation to  
 135 solve. However, neither the KPP nor its modified variants (e.g. Sinha et al.,  
 136 2015) provides direct information on the turbulent kinetic energy dissipa-  
 137 tion rate, which is an important factor in modeling flocculation processes  
 138 (e.g. Liu et al., 2019). Therefore it is not suitable for a cohesive sediment  
 139 transport model that incorporates the flocculation model. One of the popu-  
 140 lar second-order closure, also called second-moment closure (SMC), schemes  
 141 is the one proposed by Mellor and Yamada (1982) (referred to as MY2.5  
 142 hereafter). The MY2.5 parameterization and its variants (e.g. Umlauf et al.,  
 143 2003) are also widely used in regional ocean circulation models. This model  
 144 adds two prognostic equations, including one for turbulent kinetic energy and  
 145 the other for the product of turbulent kinetic energy and turbulent length  
 146 scale, to determine the eddy viscosity and diffusivity. The drawback of the  
 147 MY2.5 model is that it does not consider the enhancement in vertical mix-  
 148 ing due to Langmuir turbulence. Kantha and Clayson (2004) (referred to  
 149 as KC04 hereafter) proposed a modified MY2.5 model that incorporates the

150 effect of Langmuir turbulence in the transport equations of turbulent kinetic  
 151 energy and turbulent length scale, by adding a Stokes production term that  
 152 is the product of the Reynolds stress and the Stokes drift shear. But the  
 153 KC04 model does not consider the effect of Craik–Leibovich (CL) vortex  
 154 force on stability functions. Harcourt (2013, 2015) rederived the Reynolds  
 155 transport equations for turbulent momentum flux and buoyancy flux from  
 156 the Boussinesq Navier-Stokes equation that includes the CL vortex force.  
 157 In their models, an additional component of vertical momentum flux that  
 158 is directed down the gradient of the Stokes drift is added to the algebraic  
 159 Reynolds stress model. However, the examination of the performance by the  
 160 modified SMC models was usually for stably stratified conditions in deep wa-  
 161 ter, and their performance in the neutrally stratified shallow ocean is not yet  
 162 clear. Another drawback in MY2.5 model is the incomplete parameterization  
 163 of pressure covariance (e.g. Canuto et al., 2001). Recently, Yu et al. (2018)  
 164 proposed a modified SMC model ( $k-\omega$  model) that includes the influence  
 165 of Langmuir turbulence in the transport equations of turbulent kinetic en-  
 166 ergy and turbulent frequency as well as stability functions, in addition to a  
 167 modification on the pressure covariance.

168 Recently, turbulence parameterizations have been examined using pro-  
 169 files of currents, temperature, and salinity (Van Roekel et al., 2018; Li et al.,  
 170 2019; Liang et al., 2022), but not for reactive tracers such as cohesive sed-  
 171 iments. In particular, to our best knowledge, no study has examined the  
 172 performance of vertical mixing parameterization of Langmuir turbulence in  
 173 modeling cohesive sediment transport with flocculation processes. The spe-  
 174 cific objectives of this study are (1) to develop a one-dimensional vertical

175 (1DV) sediment transport model, which resolves the flocc size and concentra-  
 176 tion distributions in the water column, and (2) to assess the accuracy of a  
 177 modified vertical mixing parameterization that includes the effect of Lang-  
 178 muir turbulence in cohesive sediment transport. Since direct observational  
 179 evaluation of the vertical mixing parameterization of Langmuir turbulence  
 180 on the cohesive sediment transport is currently lacking, we conduct coupled-  
 181 turbulence-sediment simulation using a large-eddy simulation model (LES)  
 182 embedded with a size-resolved flocc model for cohesive sediment transport  
 183 under the same initial and forcing condition as applied in the 1DV model.  
 184 A Large eddy simulation model resolves the large-scale turbulent structure  
 185 and parameterizes subgrid-scale motions since most of the energy and tur-  
 186 bulent fluxes in the flow is contained in the former (Sullivan et al., 1994).  
 187 The LES model used in this study is the National Center for Atmospheric  
 188 Research Large Eddy Simulation model, which has been widely applied to  
 189 study OSBL turbulence driven by different atmospheric and wave conditions  
 190 (e.g. Sullivan and McWilliams, 2010). The flocculation model embeded in  
 191 the LES model has been verified with the published laboratory experiment  
 192 data and more details including the description of the LES model can be  
 193 found in Liu et al. (2019). Since LES models resolve OSBL turbulence yet  
 194 excludes all other larger-scale processes, their solutions are commonly used  
 195 as the truth to develop and improve parameterizations for vertical mixing  
 196 in the OSBL (e.g. Van Roekel et al., 2012; Reichl et al., 2016) and air-sea  
 197 fluxes (e.g. Liang et al., 2013). The rest of the paper is organized as follows.  
 198 Section 2 describes the 1DV model and its configuration. Section 3 presents  
 199 the results of a benchmark model run using 1DV model, which includes flocc-



200 culation processes, the effect of wave-driven Langmuir turbulence, and wave  
 201 breaking. The comparison of solutions between 1DV and LES model is also  
 202 discussed, with the conclusions drawn in Section 4.

203

204

## 205 **2. Model description and configuration**

206

### 207 *2.1. Model description*

208

209 The three-dimensional transport equation for the mass concentration  
 210 (unit:  $\text{g L}^{-1}$ ) of suspended sediments is as follows

$$\begin{aligned} \frac{\partial C_i}{\partial t} = & \frac{\partial u C_i}{\partial x} + \frac{\partial v C_i}{\partial y} + \frac{\partial w C_i}{\partial z} - \frac{\partial w_{s,i} C_i}{\partial z} + \frac{\partial}{\partial z} \left( K_C \frac{\partial C_i}{\partial z} \right) \\ & \underbrace{+ \mathcal{G}_{ag}(i) + \mathcal{G}_{bs}(i) + \mathcal{G}_{bc}(i) - \mathcal{L}_{ag}(i) - \mathcal{L}_{bs}(i) - \mathcal{L}_{bc}(i)}_{\text{floculation processes}}, \end{aligned} \quad (1)$$

211 where the first three terms on the RHS of equation (1) indicate the ad-  
 212 vection terms along the three directions  $(x, y, z)$ , respectively, with  $(u, v, w)$   
 213 the corresponding velocity components. The fourth and fifth terms on the  
 214 RHS describe the temporal variation in mass concentration due to turbulent  
 215 diffusion and settling of sediment, respectively;  $C_i$  and  $w_{s,i}$  are the mass con-  
 216 centration and settling velocity of sediment in size class  $i$ , respectively; and  
 217  $K_C$  is the vertical eddy diffusivity of sediment. The last six terms on the  
 218 RHS indicate the changes due to flocculation processes ( $\mathcal{G}$  and  $\mathcal{L}$  represent  
 219 the gain and loss of mass, respectively), where  $ag$ ,  $bs$ , and  $bc$  denote the

220 processes of aggregation, breakup due to turbulent shear, and breakup due  
 221 to collision, respectively.

222 By assuming horizontal homogeneity and negelecting the vertical advec-  
 223 tion, equation (1) could be reduced to the following one-dimensional format:

$$\frac{\partial C_i}{\partial t} = -\frac{\partial w_{s,i} C_i}{\partial z} + \frac{\partial}{\partial z} (K_C \frac{\partial C_i}{\partial z}) + \text{flocculation processes}, \quad (2)$$

224 where the implementation of flocculation processes shown in the last term  
 225 on the RHS of equation (2) was based on a population balance model, the  
 226 FLOCMOD (Verney et al., 2011), in the Coupled Ocean-Atmosphere-Wave-  
 227 Sediment Transport (COAWST) modeling framework, following Sherwood  
 228 et al. (2018). The vertical eddy diffusivity of suspended sediment ( $K_C$ ) is  
 229 computed based on a modified  $k-\omega$  model for vertical mixing, which con-  
 230 sidered the effect of Langmuir turbulence (Yu et al., 2018), as described in  
 231 the following section.

232

## 233 2.2. Modified turbulence second-moment closure model

234

235 The effect of Langmuir turbulence on vertical mixing is incorporated by  
 236 adding a production term related to the shear of Stokes drift in the transport  
 237 equations of turbulent kinetic energy and turbulent frequency, in addition to  
 238 a modification on pressure covariance when deriving stability functions (Yu  
 239 et al., 2018). The transport equation of turbulent kinetic energy is calculated  
 240 as follows:

$$\frac{\partial k}{\partial t} = \frac{\partial}{\partial z} \left( \frac{K_M}{\sigma_k} \frac{\partial k}{\partial z} \right) - (\overline{u'w'} \left( \frac{\partial \bar{u}}{\partial z} + \frac{\partial u^{St}}{\partial z} \right) + \overline{v'w'} \left( \frac{\partial \bar{v}}{\partial z} + \frac{\partial v^{St}}{\partial z} \right)) + \overline{w'b'} - \epsilon, \quad (3)$$

241 where  $k = \frac{1}{2}(\overline{u'^2} + \overline{v'^2} + \overline{w'^2})$  is the turbulent kinetic energy,  $K_M$  is the eddy  
 242 viscosity,  $\sigma_k$  is the Schmidt number for turbulent kinetic energy, and  $\epsilon$  is the  
 243 turbulent kinetic energy dissipation rate.

244 The transport equation of turbulence frequency is calculated as follows:

$$\frac{\partial \omega}{\partial t} = \frac{\partial}{\partial z} \left( \frac{K_M}{\sigma_\omega} \frac{\partial \omega}{\partial z} \right) - \frac{\omega}{k} (c_{\omega_1} (\overline{u'w'} \frac{\partial \bar{u}}{\partial z} + \overline{v'w'} \frac{\partial \bar{v}}{\partial z}) + c_{\omega_4} (\overline{u'w'} \frac{\partial u^{St}}{\partial z} + \overline{v'w'} \frac{\partial v^{St}}{\partial z})) + c_{\omega_3} \overline{w'b'} - c_{\omega_2} \epsilon, \quad (4)$$

245 where  $\omega$  is the characteristic turbulence frequency (Kolmogorov, 1941), and  
 246  $\sigma_\omega$  is the Schmidt number for turbulent frequency. In this study, we use  
 247  $\sigma_k = \sigma_\omega = 2.0$ ,  $c_{\omega_1} = 1.9$ ,  $c_{\omega_2} = 3.1$ , and  $c_{\omega_4} = 4.1$ . It should be noted that the  
 248 values of  $c_{\omega_1}$ ,  $c_{\omega_2}$ , and  $c_{\omega_4}$  in Yu et al. (2018) are different from the ones used  
 249 in this study, which are obtained through trial and error with the best match  
 250 of eddy viscosity profile to the LES solution. The buoyancy production terms  
 251 in equations (3) and (4) are ignored in this study since the focus is on the  
 252 neutrally stratified condition following Liu et al. (2019).

253 The turbulent momentum fluxes, buoyancy flux, and sediment flux are  
 254 computed as

$$\overline{u'w'} = - (K_M \frac{\partial \bar{u}}{\partial z} + K_M^{St} \frac{\partial u^{St}}{\partial z}), \quad (5)$$

$$\overline{v'w'} = - (K_M \frac{\partial \bar{v}}{\partial z} + K_M^{St} \frac{\partial v^{St}}{\partial z}), \quad (6)$$

$$\overline{w'b'} = - K_N \frac{\partial \bar{B}}{\partial z}, \quad (7)$$

$$\overline{w'C'} = - K_C \frac{\partial \bar{C}}{\partial z}, \quad (8)$$

258 with

$$K_M = k\tau S_M, \quad (9)$$

$$K_M^{St} = k\tau S_M^{St}, \quad (10)$$

260

$$K_N = k\tau S_N, \quad (11)$$

261

$$K_C = k\tau S_C, \quad (12)$$

262 where  $\tau = k/\epsilon$  is the eddy turnover time,  $K_M$  and  $K_M^{St}$  are the eddy viscosity  
 263 for mean flow and Stokes drift, respectively, and  $K_N$  and  $K_C$  are the eddy  
 264 diffusivities for scalars and sediment concentration, respectively. The calcu-  
 265 lation of dimensionless numbers including  $S_M, S_M^{St}, S_N$ , and  $S_C$  is included in  
 266 the appendix.

267

### 268 2.3. Model configuration

269

270 The 1DV model was implemented on a 4 by 4 grid in the horizontal  
 271 direction, with 80 grids in the vertical direction. Spatially uniform forcing  
 272 was applied on the top of the computational domain, along with doubly  
 273 periodic open boundary conditions. The water depth ( $h$ ) is 15 m.

274 At the bottom, the seabed is assumed erodible. Erosion flux ( $E_{s,i}$ ) is  
 275 calculated following Ariathurai and Arulanandan (1978):

$$E_{s,i} = E_0(1 - \phi) \frac{\tau_{sf} - \tau_{cr,i}}{\tau_{cr,i}}, \text{ if } \tau_{sf} > \tau_{cr,i} \quad (13)$$

276 where for each floc size bin  $i$ ,  $E_s$  is the surface erosion mass flux ( $\text{kg m}^{-2} \text{s}^{-1}$ ),  
 277  $E_0$  is the bed erodibility ( $\text{kg m}^{-2} \text{s}^{-1}$ ),  $\phi$  is the porosity,  $\tau_{cr}$  is the critical shear  
 278 stress (Pa) calculated following Soulsby et al. (1997), and  $\tau_{sf}$  is the total skin  
 279 friction bottom stress (Pa) calculated following Warner et al. (2008). The  
 280 fraction of each sediment class is evaluated from published in-situ observation  
 281 in an inner-shelf region (Law et al., 2008), and interpolated into each size bin.

At the ocean surface, the wind speed at 10 m above the surface is  $10 \text{ m s}^{-1}$ , corresponding to a wind stress of  $0.159 \text{ N m}^{-2}$ , and a surface friction velocity ( $u_*$ ) of  $0.0126 \text{ m s}^{-1}$ .

The Stokes drift  $u^{St}(z)$  is calculated based on linear wave theory (Lentz and Fewings, 2012). A series of simulations are conducted to assess the effect of different vertical parameterizations on floc size and spatial distributions and are summarized in Table 1. The benchmark experiment (Case 1DVa) includes flocculation processes and is driven by surface wind stress. The effect of Langmuir turbulence is included by implementing a modified  $k-\omega$  model for vertical mixing (section 2.2). The effect of wave breaking is also incorporated using the parameterization by Craig and Banner (1994). Cases 1DVb and 1DVc are the experiments using standard  $k-\omega$  model (Umlauf et al., 2003) following the implementation by Warner et al. (2005), with and without wave breaking, respectively. Case 1DVd has the same setup with the benchmark experiment but excludes the flocculation processes. Fifteen cohesive sediment classes were included on a logarithmic equal-distance grid, ranging from  $4.0 \mu\text{m}$  to  $2048.0 \mu\text{m}$  (19 size classes), with the fractal dimension  $N_f = 2.0$ . These flocs had densities ranging from  $2600.0 \text{ kg m}^{-3}$  to  $1028.1 \text{ kg m}^{-3}$  and settling velocities ranging from  $0.013 \text{ mm s}^{-1}$  to  $6.86 \text{ mm s}^{-1}$  (see Table 2). The initial concentration of sediment is zero for all size classes. All the simulations start from a spin-up run without sediment.

### 3. Model results

307 The effect of boundary layer turbulence on vertical mixing is represented  
 308 by eddy viscosity ( $K$ ) in forecast/hindcast ocean models. By assuming a  
 309 constant Schmidt number, the eddy diffusivity for sediments can be obtained  
 310 if eddy viscosity is given. In addition, the turbulent shear rate ( $G$ ), which  
 311 controls the aggregation and breakup rate of flocs, is dependent on turbulent  
 312 kinetic energy dissipation rate ( $\epsilon$ ) (Sherwood et al., 2018; Liu et al., 2019).  
 313 Therefore, the improvement in the prediction of cohesive sediment transport  
 314 in turbulent flows needs accurate modeling of eddy viscosity and dissipation  
 315 rate, if turbulence is not resolved. In this section, the solutions from the  
 316 1DV model were compared to those from the LES model (Liu et al., 2019)  
 317 to assess the applicability of the 1DV model.

318 The profile of eddy viscosity from the LES solution is diagnosed as follows,

$$K = \frac{|\langle u'_{\parallel} w \rangle|}{|\partial_z \langle u_{\parallel}^L \rangle|}, \quad (14)$$

319 where  $\langle u'_{\parallel} w \rangle$  is the Reynolds stress and  $\langle u_{\parallel}^L \rangle = \langle u_{\parallel} \rangle + \langle u_{\parallel}^{St} \rangle$  is the Lagrangian  
 320 mean velocity. The subscript  $\parallel$  denotes the horizontal components.

321 Fig. 2 shows the vertical profiles of normalized eddy viscosity ( $K/(u_*|h|)$ )  
 322 and normalized turbulent kinetic energy dissipation rate ( $\epsilon/(u_*|h|)$ ) in the  
 323 1DV model and the LES model. The  $K$  profile diagnosed from the LES  
 324 model is approximately symmetric about  $z/|h| = -0.6$ , and has a longer tail  
 325 near the sea surface than that near the seabed (Fig. 2a). The magnitude  
 326 of eddy viscosity in Case 1DVa is twice as large as that in the LES model  
 327 above  $z/|h| = -0.4$  and below  $z/|h| = -0.7$ , and the location of the maximum  
 328  $K$  is similar in both models. However, when using the empirical parameters  
 329 ( $\hat{c}_{\omega_1} = \hat{c}_{\omega_4} = 0.555$ , and  $\hat{c}_{\omega_2} = 0.833$ ) suggested by Yu et al. (2018), the mag-  
 330 nitude of  $K$  in the 1DV model is much smaller than that in the LES model

331 throughout the water column (not shown). The magnitude of maximum  $K$   
 332 in Cases 1DVb and 1DVc is only 25% of that in the LES model, despite that  
 333 the magnitude of  $K$  near both boundaries is similar. The presence of wave  
 334 breaking greatly increases the turbulent dissipation rate ( $\epsilon$ ) near the surface  
 335 (Fig. 2b). The magnitude of  $\epsilon$  in Case 1DVa is slightly larger than that in the  
 336 LES model, and  $\epsilon$  decays more slowly in the former than that in the latter.

337 Fig. 3 shows the vertical profiles of normalized mean horizontal veloc-  
 338 ity ( $U/u_*$ ) and normalized total floc mass concentration ( $C_s/C_{s,v}$ , where  $C_s$   
 339 is the total mass concentration of sediments and subscript  $v$  denotes the  
 340 vertical average) in the 1DV model and the LES model. The normalized  
 341 mean horizontal velocity in Case 1DVa is larger than that in the LES model  
 342 near the surface ( $z/|h| > -0.2$ ) and the seabed ( $z/|h| < -0.9$ ) (Fig. 3a). In con-  
 343 trast, the normalized mean horizontal velocity in Cases 1DVb and 1DVc is  
 344 larger than that in the LES model throughout the water column. In addi-  
 345 tion, the normalized mean horizontal velocity in the 1DV models decreases  
 346 with depth. This is different from the velocity profile in the LES model,  
 347 where there is a slight increase in the normalized mean horizontal velocity  
 348 in the middle of the water column. As explained in Tejada-Martinez and  
 349 Grosch (2007), the increase in alongwind velocity with depth in the middle  
 350 of the water column is the combined effect of a thinner bottom boundary  
 351 in the downwelling branch of Langmuir circulations (LCs) and the thicker  
 352 bottom boundary in upwelling branches of LCs. The lack of representation  
 353 of the upwelling/downwelling branches of LCs is likely the cause that along  
 354 wind velocity decrease monotonically water depth in all the 1DV simulations.  
 355 Without flocculation processes, the normalized total floc mass concentration

356  $(C_s/C_{s,v})$  in Case 1DVd (Fig. 3b) is vertically more uniform compared to  
 357 those in other cases. With flocculation processes, the normalized total floc  
 358 mass concentration in the benchmark run (Case 1DVa) is more uniform than  
 359 those in Case 1DVb and 1DVc, which has a much larger value near the seabed  
 360 than that in the LES model.

361 The vertical profile of floc mass density is shown in Fig. 4. In the bench-  
 362 mark run (Case 1DVa), the median floc size in the middle of the water column  
 363 is larger than that near the surface and seabed (Fig. 4a), due to the relatively  
 364 low dissipation rate (Fig. 2b), which is also reported in the LES model result  
 365 by Liu et al. (2019). In addition, due to stronger simulated vertical mixing  
 366 (Fig. 2a), the mass concentration in the middle of the water column in Case  
 367 1DVa is larger than those in Cases 1DVb (Fig. 4b) and 1DVc (Fig. 4c). It is  
 368 also obvious that the median floc size reduces near the surface in Case 1DVc  
 369 (Fig. 4c) compared to that in Case 1DVb (Fig. 4b) since wave breaking is  
 370 included in the former. This is also observed in the LES model result by  
 371 Liu et al. (2019). Without flocculation processes, there is no mass exchange  
 372 across sediment of different size classes (Case 1DVd, Fig. 4d).

373 Fig. 5 shows the vertical profiles of normalized floc mass concentration  
 374  $(C_i/(C_{i,v}))$  in individual size bin in the 1DV model and the LES model. For  
 375  $D = 4.0 \mu\text{m}$ , the profile of normalized floc mass concentration in Cases 1DVb  
 376 and 1DVc is larger than that in Case 1DVa near the seabed (Fig. 5a), but  
 377 is smaller than that in Case 1DVa in the upper column. For  $D = 128.0 \mu\text{m}$ ,  
 378 the lower value of normalized floc mass concentration in Cases 1DVb and  
 379 1DVc compared to that in Case 1DVa (Fig. 5b) is because less sediments are  
 380 transported to the surface due to relative weak vertical mixing in the former.



381 For  $D = 1024.0 \mu\text{m}$ , the normalized flocc mass concentration in Cases 1DVb  
 382 and 1DVc has a local peak near the seabed (Fig. 5c) while it is larger in the  
 383 middle of the water column in Case 1DVa. The results suggest inaccurate  
 384 vertical mixing parameterization causes large biases in vertical profiles of flocc  
 385 mass concentration in individual size bin.

386 Settling velocity is one of the key factors in cohesive sediment transport  
 387 modeling (e.g. Dyer, 1989; Sherwood et al., 2018). It also determines the  
 388 residence time of sediment particles in the water column (Burd and Jackson,  
 389 2009). Different from the noncohesive sediment of which settling velocity is  
 390 primarily affected by the physical properties including particle size, shape,  
 391 and density (e.g. Dietrich, 1982; Rubey, 1933; Ferguson and Church, 2004),  
 392 settling velocity of cohesive sediment is also modulated by the flocculation  
 393 processes. In order to examine the effect of different vertical mixing param-  
 394 eterizations on the settling velocity of total suspended sediment, fig. 6 shows  
 395 the comparison of mass weighted settling velocity  $W_s$  (see equation (21) in  
 396 Liu et al., 2019) between the 1DV model and the LES model. Among all  
 397 the cases, the result based on the modified  $k - \omega$  model (case 1DVa) overall  
 398 performs better than the others in terms of capturing the shape and mag-  
 399 nitude of  $W_s$  profile compared to the LES solution, although all the 1DV  
 400 cases underestimate the  $W_s$  in the middle of the water column. There is  
 401 also a substantial decrease in  $W_s$  near the surface in Cases 1DVb and 1DVc,  
 402 which is also indicated by the less concentration of larger flocs near the sur-  
 403 face ( $z/|h| > -0.2$ ) in Fig. 4b,c. In contrast, without flocculation processes,  
 404  $W_s$  is more uniform throughout the water column. In addition, the result  
 405 based on the standard  $k - \omega$  model (case 1DVb) suggests that if applied in

406 a forecast/hindcast transport model for cohesive sediment, it's likely that  
 407 the averaged settling velocity of suspended sediment will be largely under-  
 408 estimated, which leads to a longer residence time of suspended sediment in  
 409 the water column and a much longer distance for transport by horizontal  
 410 currents. The result also suggests that the misrepresentation of vertical mix-  
 411 ing parameterization leads to substantial errors (as much as  $\sim 50\%$  in the  
 412 mid-depth and  $\sim 90\%$  near the surface under the simulated condition) in the  
 413 averaged settling velocity.

414

415

## 416 4. Conclusions

417

418 In this study, we implement an improved vertical mixing parameteriza-  
 419 tion for OSBL mixing, based on a second-moment closure ( $k - \omega$ ) model  
 420 that accounts for the effect of Langmuir turbulence (Yu et al., 2018), in the  
 421 COAWST modeling framework (Sherwood et al., 2018) with the addition  
 422 of a size-based flocculation model (FLOCMOD, Verney et al., 2011). The  
 423 model is applied in a one-dimensional (1DV) setting to simulate the vertical  
 424 transport of cohesive sediment in wave-driven Langmuir turbulence, with a  
 425 benchmark run that includes nineteen size classes of cohesive sediment with  
 426 the inclusion of flocculation processes and wave breaking. By comparing the  
 427 solutions between the 1DV model and solutions from a Large Eddy Sim-  
 428 ulation (LES) model (Liu et al., 2019) under the same initial and forcing  
 429 condition, the performance of vertical mixing parameterization for vertical

430 transport of cohesive sediment is evaluated. The major findings of this study  
431 are summarized as follows:

432 (1) The results show that the 1DV model with the modified  $k - \omega$  model  
433 based on Yu et al. (2018) reasonably regenerates the profiles of vertical eddy  
434 viscosity and dissipation rate in wave-driven Langmuir turbulence, with a  
435 more uniform profile of total flocc mass concentration compared to that in  
436 the LES model.

437 (2) The 1DV model using the standard  $k - \omega$  model for vertical mixing  
438 overall underestimates (up to 90%) the average settling velocity of cohesive  
439 sediment compared to that in the LES model under the simulated condition.

440 (3) The water depth dependence of the flocc size distribution is also ob-  
441 served in the 1DV model, similar to that reported in the turbulence-resolving  
442 flocc simulation study (Liu et al., 2019).

443 (4) The results also show that inaccurate modeling of vertical mixing  
444 causes substantial biases in the flocc size distribution, vertical profile of flocc  
445 mass concentration, and averaged settling velocity. Therefore, the effect  
446 of Langmuir turbulence needs to be considered and incorporated into fore-  
447 cast/hindcast models for cohesive sediment transport to accurately represent  
448 the size and concentration distribution of the cohesive sediments in the water  
449 column.

450 There are a few interesting directions for future research that require more  
451 collaborative effort in the modeling community. For example, the evaluation  
452 of existing vertical mixing parameterizations of Langmuir turbulence for co-  
453 hesive sediment transport has not been conducted in a three-dimensional  
454 configuration but is essential to a complete assessment of mixing schemes.

455 Three-dimensional heterogeneous turbulence can redistribute the cohesive  
 456 sediments in both horizontal and vertical directions and modify their settling  
 457 velocity and size distribution by modulating the flocculation processes (Liu  
 458 et al., 2019). In addition, the winds and waves are assumed in the same  
 459 direction in this study, and the misalignment between the two observed in  
 460 the real ocean (e.g. Yoshikawa et al., 2018; McWilliams et al., 2014) is not  
 461 considered. Although not considered in the modified  $k-\omega$  model by Yu et al.  
 462 (2018), this can be improved by including the effect of misaligned wind and  
 463 wave on the profile of eddy viscosity in the vertical mixing parameterization  
 464 following the recent practice in the KPP model (Solano and Fan, 2022). Fi-  
 465 nally, while the 1DV model in this study is tested for cohesive sediment with  
 466 flocculation processes, the same modeling framework can also be applied to  
 467 study other reactive tracers, e.g. spilled oil (e.g. Aiyer et al., 2019; Cui et al.,  
 468 2021) and gas bubbles (e.g. Liang et al., 2012), whose physical properties  
 469 (e.g. size, shape, and density) are also modulated by the similar aggrega-  
 470 tion and breakup processes due to turbulent shear, and relevant assessment  
 471 of existing vertical mixing parameterization is needed and critical to better  
 472 constrain the associated transport modeling.

473

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479

## 480 Appendix A Parameters in the modified $k - \omega$ model

481 The dimensionless stability functions used to determine the vertical eddy  
482 viscosity and diffusivity in equations (9)-(12) are calculated as follows

$$S_M = \frac{\xi_1 \xi_6 + \xi_2 \xi_4}{\xi_3 \xi_6 - \xi_2 \xi_5}, \quad (\text{A.1})$$

$$S_M^{st} = \frac{\xi_1 \xi_5 + \xi_3 \xi_4}{\xi_3 \xi_6 - \xi_2 \xi_5}, \quad (\text{A.2})$$

$$S_C = S_N = \frac{\xi_7 + \xi_8 S_M + \xi_9 S_M^{st}}{\xi_{10}}, \quad (\text{A.3})$$

485 where

$$\xi_1 = \frac{\lambda_1}{2} + \lambda_4 \left( \frac{\lambda_2 + 3\lambda_3}{3} + \frac{\lambda_6 + \lambda_7}{2} \right) S_N G_N, \quad (\text{A.4})$$

$$\xi_2 = \frac{1}{12} [(\lambda_2^2 - 9\lambda_3^2) G_V + (\lambda_2^2 + 18\lambda_2\lambda_3 + 9\lambda_3^2) G_S], \quad (\text{A.5})$$

$$\xi_3 = 1 - \lambda_4 G_N - \frac{1}{3}(\lambda_2^2 - 3\lambda_3^2) G_M - \frac{1}{12}(7\lambda_2^2 + 18\lambda_2\lambda_3 + 15\lambda_3^2) G_V - \frac{1}{4}(\lambda_2^2 - \lambda_3^2) G_S, \quad (\text{A.6})$$

$$\xi_4 = \frac{\lambda_1}{2} + \lambda_4 \left( \frac{\lambda_2 - 3\lambda_3}{3} + \frac{\lambda_6 - \lambda_7}{2} \right) S_N G_N, \quad (\text{A.7})$$

$$\xi_5 = \frac{1}{12} [(\lambda_2^2 - 18\lambda_2\lambda_3 + 9\lambda_3^2) G_M + (\lambda_2^2 - 9\lambda_3^2) G_V], \quad (\text{A.8})$$

$$\xi_6 = 1 - \lambda_4 G_N - \frac{1}{3}(\lambda_2^2 - \lambda_3^2) G_M - \frac{1}{12}(7\lambda_2^2 - 18\lambda_2\lambda_3 + 15\lambda_3^2) G_V - \frac{1}{3}(\lambda_2^2 - 3\lambda_3^2) G_S, \quad (\text{A.9})$$

$$\xi_7 = \frac{2}{3}, \quad (\text{A.10})$$

$$\xi_8 = \left( \frac{\lambda_6 - \lambda_7}{2} + \frac{\lambda_2 - 3\lambda_3}{3} \right) G_M + \left( \frac{\lambda_6 + \lambda_7}{2} + \frac{\lambda_2 + 3\lambda_3}{3} \right) G_V, \quad (\text{A.11})$$

$$\xi_9 = \left( \frac{\lambda_6 - \lambda_7}{2} + \frac{\lambda_2 - 3\lambda_3}{3} \right) G_V + \left( \frac{\lambda_6 + \lambda_7}{2} + \frac{\lambda_2 + 3\lambda_3}{3} \right) G_S, \quad (\text{A.12})$$

$$\xi_{10} = 1 - \left( \frac{4}{3}\lambda_4 + \lambda_8 \right) G_N - \frac{1}{4}(\lambda_6^2 - \lambda_7^2)(G_M + G_S) - \frac{1}{2}(\lambda_6^2 + \lambda_7^2) G_V. \quad (\text{A.13})$$

495 For more details on the derivation of stability functions and coefficients, the  
 496 reader is referred to appendix B in Yu et al. (2018).

497 The dimensionless shear number ( $G_M$ ,  $G_S$ ,  $G_V$ ) and buoyancy number  
 498 ( $G_N$ ) are given as follows (Yu et al., 2018):

$$G_M = \tau^2 [(\frac{\partial \bar{u}}{\partial z})^2 + \frac{\partial \bar{v}}{\partial z}]^2, \quad (\text{A.14})$$

$$G_S = \tau^2 [(\frac{\partial u^{St}}{\partial z})^2 + \frac{\partial v^{St}}{\partial z}]^2, \quad (\text{A.15})$$

$$G_V = \tau^2 [\frac{\partial \bar{u}}{\partial z} \frac{\partial u^{St}}{\partial z} + \frac{\partial \bar{v}}{\partial z} \frac{\partial v^{St}}{\partial z}], \quad (\text{A.16})$$

$$G_N = -\tau^2 N^2, \quad (\text{A.17})$$

502 where  $N^2 = \frac{\partial \bar{B}}{\partial z}$  is the square of the buoyancy frequency. Other parameters  
 503 used in equations (A.4)-(A.13) include  $\lambda_1 = \frac{4a_1}{c_1}$ ,  $\lambda_2 = \frac{2a_2}{c_1}$ ,  $\lambda_3 = \frac{2a_3}{c_1}$ ,  $\lambda_4 = \frac{4a_4}{c_1}$ ,  
 504  $\lambda_6 = \frac{a_{b1}}{c_{b1}}$ ,  $\lambda_7 = \frac{a_{b2}}{c_{b1}}$ ,  $a_1 = \frac{2}{3} - \frac{c_2}{2}$ ,  $a_2 = 1 - \frac{c_3}{2}$ ,  $a_3 = 1 - \frac{c_4}{2}$ ,  $a_4 = \frac{1}{2} - \frac{c_5}{2}$ ,  
 505  $a_{b1} = 1 - c_{b2}$ ,  $a_{b2} = 1 - c_{b3}$ ,  $a_{b3} = 2$ ,  $a_{b4} = 2(1 - c_{b5})$ ,  $c_1 = 5.0$ ,  $c_2 = 0.6983$ ,  
 506  $c_3 = 1.9664$ ,  $c_4 = 1.094$ ,  $c_5 = 0.495$ ,  $c_{b1} = 5.6$ ,  $c_{b2} = 0.6$ ,  $c_{b3} = 1$ ,  $c_{b4} = 0$ , and  
 507  $c_{b5} = 0.3333$ .

508

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Table 1: Model configuration for 1DV model simulations. Hyphen (—) denotes processes that are excluded.

Case	SMC Model	Wave breaking	Flocculation Processes
1DVa	modified $k - \omega$ (Yu et al., 2018)	Included	Included
1DVb	standard $k - \omega$ (Umlauf et al., 2003)	Included	Included
1DVc	standard $k - \omega$ (Umlauf et al., 2003)	—	Included
1DVd	modified $k - \omega$ (Yu et al., 2018)	Included	—



Table 2: Parameters of sediment flocs used in the model.

Class of Flocs	Diameter [ $\mu\text{m}$ ]	Density [ $\text{kg m}^{-3}$ ]	Settling velocity [ $\text{mm s}^{-1}$ ]
1	4.0	2600.0	0.0134
2	5.7	2138.7	0.0189
3	8.0	1812.5	0.0268
4	11.3	1581.8	0.0379
5	16.0	1418.8	0.0536
6	22.6	1303.4	0.0758
7	32.0	1221.9	0.1072
8	45.3	1164.2	0.1516
9	64.0	1123.4	0.2144
10	90.5	1094.6	0.3032
11	128.0	1074.2	0.4288
12	181.0	1059.8	0.6064
13	256.0	1049.6	0.8575
14	362.0	1042.4	1.2127
15	512.0	1037.3	1.7151
16	724.1	1033.7	2.4255
17	1024.0	1031.2	3.4302
18	1448.2	1029.4	4.8510
19	2048.0	1028.1	6.8603

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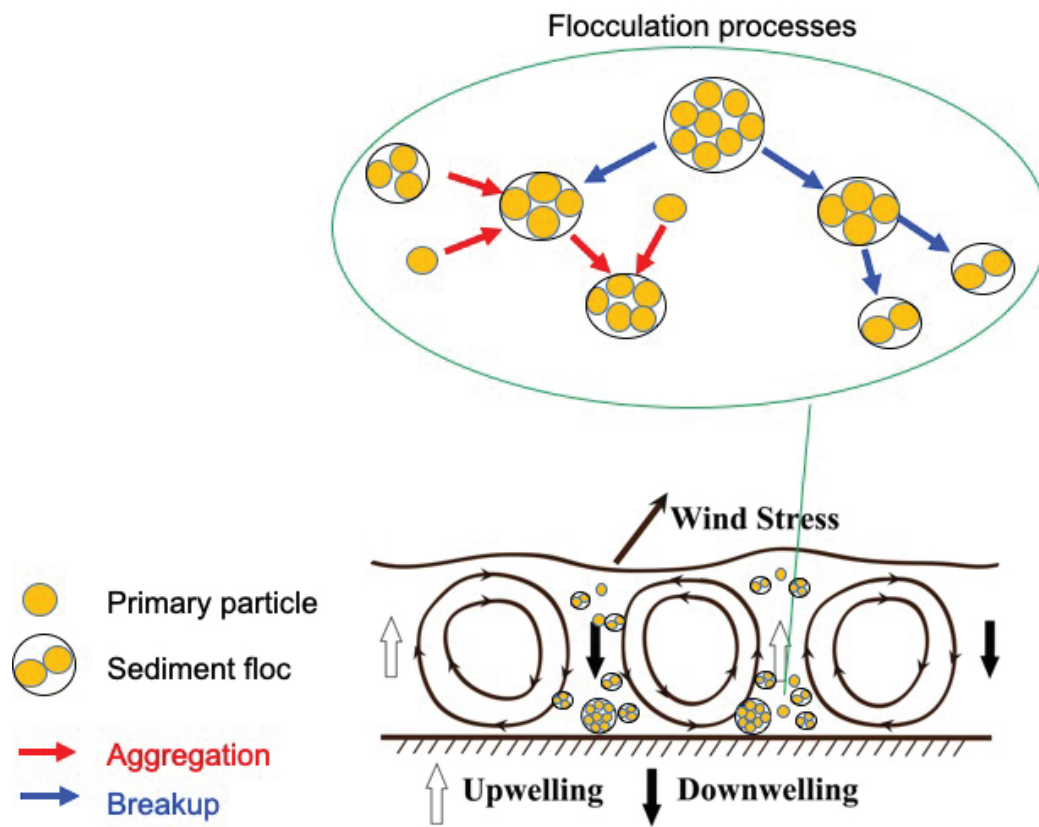


Figure 1: Schematic for flocculation processes (aggregation + breakup) of cohesive sediments in Langmuir turbulence.

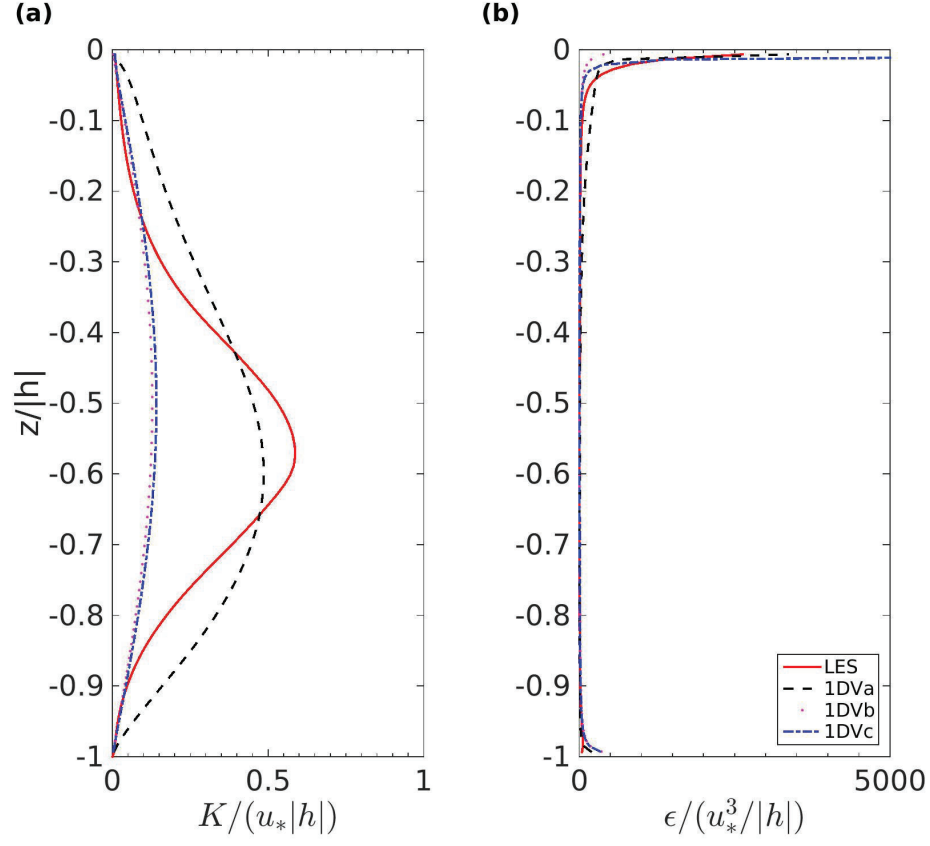


Figure 2: Vertical profiles of (a) normalized eddy viscosity ( $K/(u_*|h|)$ ) and (b) normalized turbulent kinetic energy dissipation rate ( $\epsilon|h|/u_*^3$ ) from: LES (red solid), Case 1DVa (black dashed), Case 1DVb (magenta dotted), and Case 1DVc (blue dash-dotted).

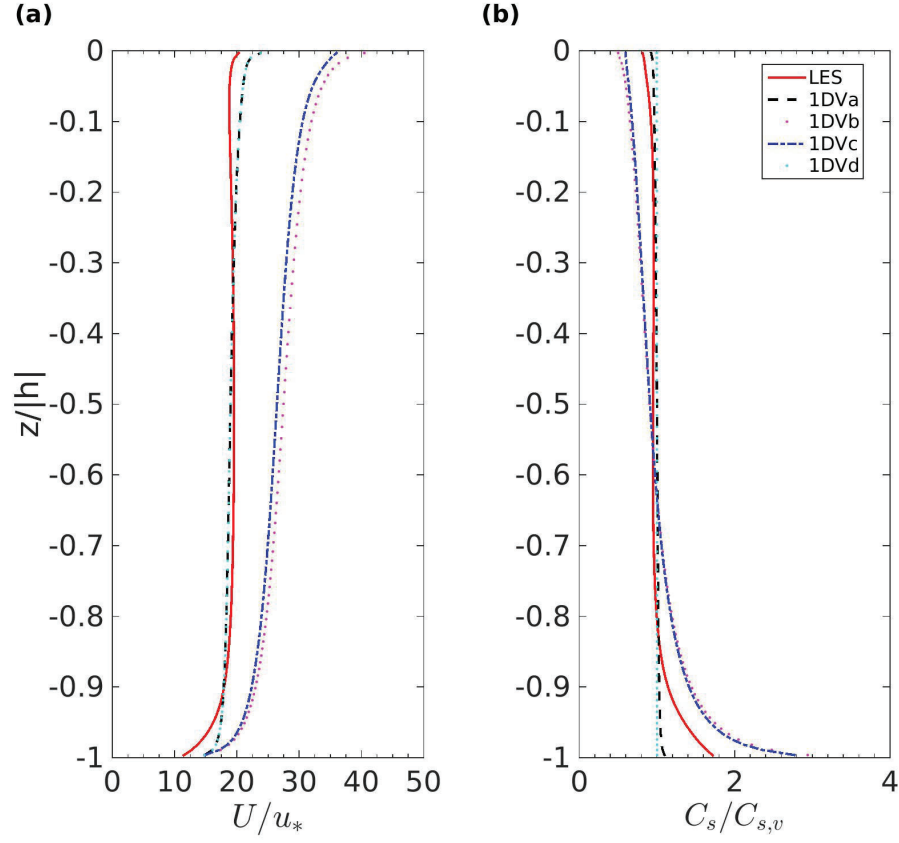


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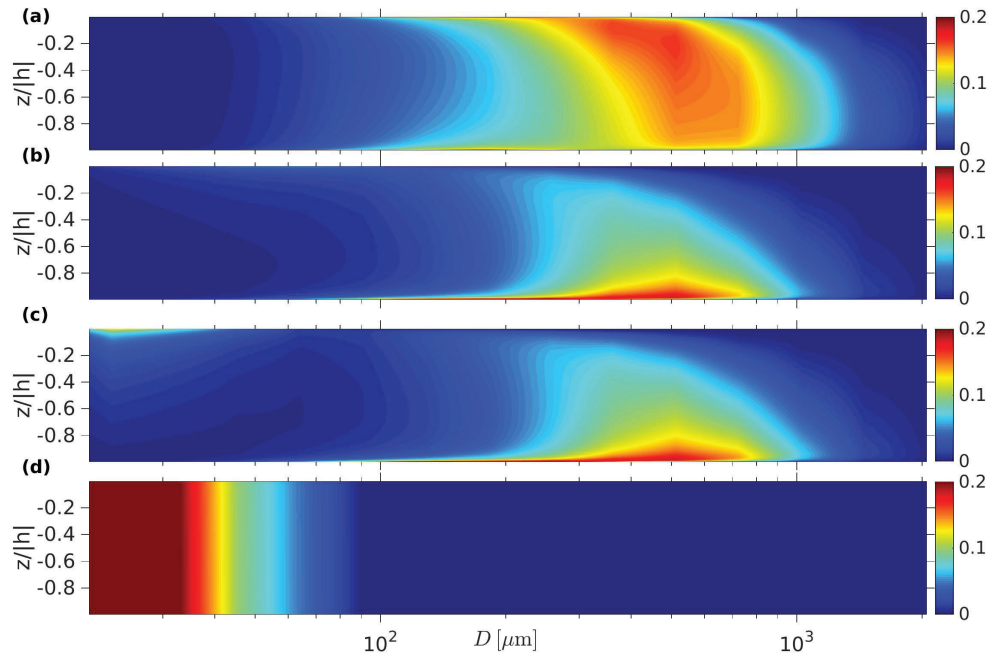


Figure 4: Vertical profiles of floc mass density  $[gL^{-1}(\ln(D_{k+1}) - \ln(D_k))^{-1}]$ , where  $D_k$  is the diameter of floc in size class  $k$ ] in 1DV model: (a) Case 1DVa, (b) Case 1DVb, (c) Case 1DVc, and (d) Case 1DVd.

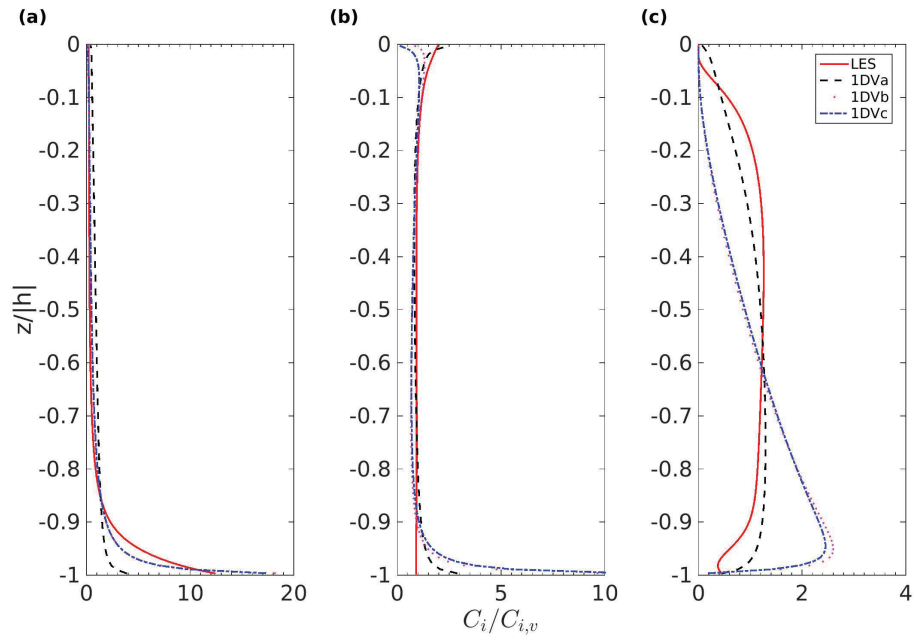


Figure 5: Vertical profiles of normalized flocculation mass concentration ( $C_i/(C_{i,v})$ ) of size (a)  $D=4.0\,\mu\text{m}$ , (b)  $D=128.0\,\mu\text{m}$ , and (c)  $D=1024.0\,\mu\text{m}$  from: LES (red solid), Case 1DVa (black dashed), Case 1DVb (magenta dotted), and Case 1DVc (blue dash-dotted)

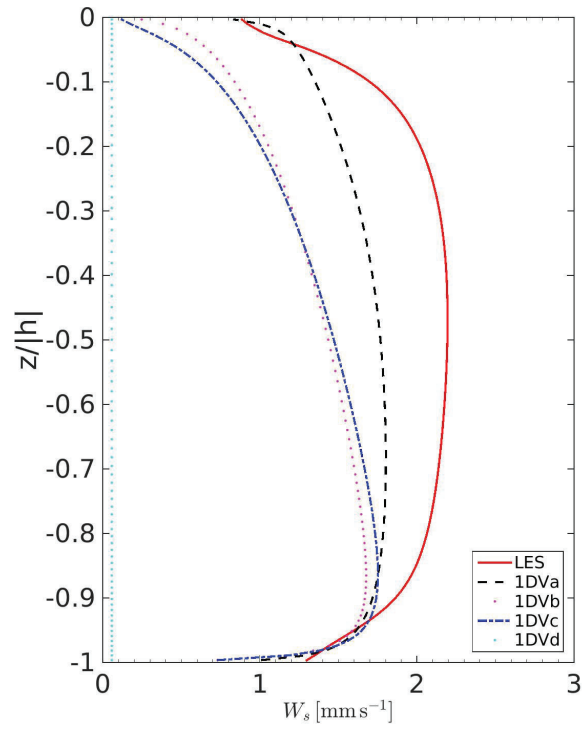


Figure 6: Comparison of vertical profiles of mass weighted settling velocity ( $W_s$  [ $\text{mm s}^{-1}$ ]) between LES model (red solid) and 1DV model (black dashed).