- 1 Similarity of Hand Muscle Synergies Elicited by Transcranial MagneticStimulation and Those
- 2 Found During Voluntary Movement
- 3 Running head: TMS-Elicited and Voluntary Hand Muscle Synergies
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- 12 Abstract

13 Converging evidence in human and animal models suggests that exogenous stimulation of the motor 14 cortex (M1) elicits responses in the hand with similar modular structure to that found during 15 voluntary grasping movements. The aim of this study was to establish the extent to which modularity 16 in muscle responses to transcranial magnetic stimulation (TMS) to M1 resembles modularity in muscle 17 activation during voluntary hand movements involving finger fractionation. EMG was recorded from 18 eight hand-forearm muscles in nine healthy individuals. Modularity was defined using non-negative 19 matrix factorization to identify low rank approximations (spatial muscle synergies) of the complex 20 activation patterns of EMG data recorded during high density TMS mapping of M1 and voluntary 21 formation of gestures in the American Sign Language alphabet. Analysis of synergies revealed greater 22 than chance similarity between those derived from TMS and those derived from voluntary movement. 23 Both datasets included synergies dominated by single intrinsic hand muscles presumably to meet the 24 demand for highly fractionated finger movement. These results suggest corticospinal connectivity to 25 individual intrinsic hand muscles may be combined with modular multi-muscle activation via synergies 26 in the formation of hand postures.

28	New and Noteworthy: This is the first work to examine the similarity of modularity in hand muscle
29	responses to transcranial magnetic stimulation (TMS) of the motor cortex and that derived from
30	voluntary hand movement. We show that TMS-elicited muscle synergies of the hand, measured at
31	rest, reflect those found in voluntary behavior involving finger fractionation. This work provides a
32	basis for future work using TMS to investigate muscle activation modularity in the human motor
33	system.
34	Keywords: transcranial magnetic stimulation (TMS), muscle synergy, motor cortex
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#### 56 Introduction

57 The coordination and flexibility of motor commands needed to carry out purposeful everyday 58 hand movements requires controlling a highly redundant system with numerous degrees of freedom 59 (1-3). The observation that voluntary behavior can be well characterized by a low dimensional linear 60 basis set has generated the hypothesis that movements may be generated from a small set of flexible 61 modules, commonly referred to as motor synergies (4, 5). The most common theoretical 62 conceptualization of motor synergies, whether they are expressed as muscle activation (muscle 63 synergies) or kinematics (postural synergies), is that they form a small set of basic units of motor 64 output that can be flexibly (and usually linearly) combined to generate a wide range of complex motor 65 behaviors (6, 7). Though this definition remains controversial (3), it has nevertheless been used 66 extensively in the effort to understand the organization of neural systems, applied to clinical 67 populations as a diagnostic tool to explain pathological movement patterns (often referred to 68 as "abnormal synergies" by clinicians), and offers an explicit hypothesis to guide the design of 69 investigations into motor modularity (8). Synergy analysis has been used to describe patterns of force 70 generation (9), movement kinematics (10), and hand postures (5, 11). There is now considerable 71 evidence from a broad range of tasks that the voluntary activity of multiple muscles can be well-72 approximated by a smaller number of muscle synergies in frogs (12), cats (13), monkeys (14), and in 73 both the upper (15-17) and lower limbs of humans (18).

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However, the ability to accurately describe voluntary activity using a low dimensional representation does not provide evidence that motor synergies exist as an organizational structure within the nervous system. Alternative hypotheses have suggested that constraints of thetask (19) and the musculoskeletal plant (20) may explain the observed covariance captured in the construction of a low dimensional representation of volitional motor output. More compelling evidence that muscle synergies exist as an organizational structure within the nervous system, is rooted in the observation that adaptation to a "virtual surgery" to perturb the innate mapping between muscle activity and force

82 is slower when the perturbation is not compatible with the synergies than when it is (21). The most direct 83 evidence in support of the framework for modular organization in the motor system stems from 84 electrical microstimulation studies in animal models. Whether applied intraspinally (9, 22), 85 transcutaneously (1), or intracortically (14, 23-26), localized suprathreshold microstimulation that lasts 86 several hundred milliseconds can evoke complex multijoint forces which generally drive the animal's 87 limb toward an invariant posture. The appeal and clear advantage of using microstimulation is that it 88 serves as a causal probe into the motor system. However, the validity of microstimulation as a causal 89 probe for studying neural organization (and associated function) is critically dependent on the 90 assumption that artificially elicited motor output (whether it be muscle activation, force, or movement) 91 is a valid model of voluntary behavior. A number of animal-based studies have investigated this 92 question and reported marked similarity between synergies observed during voluntary behavior and 93 those elicited either by spinal microstimulation (e.g., force-fields: (9)) or cortical microstimulation (e.g., 94 kinematics: (23), EMG: (14, 24, 25).

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96 Due to the relative difficulty of invasive direct cortical stimulation in humans, comparing 97 modularity between stimulus-evoked and voluntarily-produced outputs has been sparsely studied in 98 people. Transcranial magnetic stimulation (TMS) offers a non-invasive alternative to invasive 99 microstimulation and has been leveraged to show that TMS-induced finger movements resemble end-100 postures of voluntary grasping movements, and that a small subset of hand postures was sufficient to 101 accurately reconstruct these movements (27). In line with these findings, it has also been shown that 102 individuals who are expert musicians have movement patterns, evoked by TMS to the motor cortex 103 (M1) at rest, that are reflective of the specific instrument that a given musician is skilled at playing, and 104 moreover that those patterns are different from those elicited in non-musically trained individuals (28). 105 This line of work suggests that the modularity in the motor system observed using TMS may be 106 informative about the probability distribution of neural activation patterns that underlie the natural 107 statistics of individual human behavior.

109 The studies described above focused on overt movements (postural synergies), rather than on 110 the underlying muscular patterns of activation, leaving untested the validity of TMS-elicited muscle 111 synergies for understanding behavior. Given that muscle responses to TMS, commonly referred to as 112 motor evoked potentials (MEPs), have shown diagnostic and prognostic value in a wide range of 113 pathologies (29-31), and are considerably easier to collect and analyze in a clinical setting than 114 movement kinematics, it is critical to determine the degree to which artificially-elicited muscle 115 activation patterns area valid marker of modular organization of volitional behavior. The current study 116 is a first step in examining the relevance of TMS-elicited muscle synergies to voluntary muscle 117 activation in a cohort of healthy individuals, with the goal that this work could serve as a foundation for 118 understanding TMS as a tool for investigation of motor system organization. Figure 1 presents a 119 conceptual overview of the study design. We investigated three guestions. First, we asked whether 120 multi-muscle MEPs in the hand and forearm, acquired at rest using a TMS mapping protocol spanning 121 the sensorimotor cortices, can be described by a low-dimensional space such as that previously used 122 by others to represent synergies. Affirming this to be the case, we investigated the ecological validity of 123 TMS-elicited hand muscle synergies by quantifying the similarity between them and those identified 124 during voluntary movement. Finally, we examined the extent to which TMS-elicited and voluntary hand 125 muscle synergies are invariant across a sample of healthy individuals.

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- 127 **2. Methods**
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#### 129 **2.1 Subjects**

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All protocols were conducted in conformance with the Declaration of Helsinki and were approved by the Institutional Review Board of Rutgers Biomedical Health Sciences. Eight healthy subjects (3 female, 37.6±11.8 years) participated after providing institutionally approved written informed consent. All subjects were right-hand dominant according to the Edinburgh handedness inventory (32), free of neurological or orthopedic conditions that could interfere with the experiment, and met inclusion/exclusion criteria to receive TMS (33). All subjects were naive to American Sign LanguageAlphabet (ASL) prior to participation.

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#### 139 2.2 Experimental Setup

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141 For TMS and voluntary assessments, subjects were seated comfortably with the right elbow and 142 forearm sup-ported in an arm trough so that the wrist was free to move. The left upper limb was 143 positioned to rest comfortably on an arm rest. Surface electromyography (EMG) (Delsys Inc., Natick, MA) 144 was recorded at 2000 Hz (common mode rejection ratio >80 dB, 99.99% Ag, built-in 20-450 Hz 145 bandpass filter) from eight muscles: the first dorsal interosseus (FDI), extensor indicus (EI), 146 abductor pollicis brevis (APB), adductor digiti minimi (ADM), flexor digitorum superficialis (FDS), 147 extensor digitorum (EDC), flexor carpi radialis (FCR), and extensor carpi radialis (ECR) of the right 148 upper limb. A combination of Delsys Trigno Mini sensors (FDI, EI, APB, ADM) and Delsys Trigno 149 standard sensors (FDS, EDC, FCR, ECR) were used. Locations of the muscles recorded can be found 150 in Fig. 2.

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#### 152 2.3 Neuronavigated TMS Mapping

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154 To ensure spatial TMS precision, frameless neuronavigation (Brainsight, Rogue Research) was 155 used to co-register the subjects' head position to a 3D cortical surface rendering of a canonical high-156 resolution anatomical MRI scan. The TMS coil (Magstim Rapid2, D70 70mm figure-of-eight coil) was held tangential to the scalp with the handle posterior 45° off the sagittal plane (34). All TMS 157 158 measures were collected with the subject resting comfort-ably in the position described above, with the 159 wrist and fingers relaxed in a semi-prone position. The locus of the cortical hotspot for the right FDI 160 was established by per-forming a coarse mapping of the left hemisphere starting at a location 161 approximately 5 cm lateral to the vertex (35). Muscle responses to TMS were described by the size of 162 the motor evoked potential (MEP), quantified as the peak-to-peak amplitude of the EMG signal during a window from 10 to 40ms following the TMS pulse. The stimulator intensity was set to a level sufficient to produce visible and reliable MEPs. The hotspot was determined by sampling MEPs at different loci to identify the location that produced the largest and most consistent MEP amplitudes (36). This method has been shown to have high intra- and inter-experimenter reliability, and has been cross-validated with fMRI for finding the site of greatest activation for a given muscle (37). Following determination of the FDI hotspot, resting motor thresh- old (RMT), was determined as the minimum intensity required to elicit MEPs >50 $\mu$ V in the FDI muscle on 50% of 6 consecutive trials.

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171 For TMS mapping, 297 to 299 stimulations (4s ISI, 110% FDI RMT) were delivered over a 172 6×6cm area centered on the FDI hotspot. TMS mapping was conducted with the subject at rest, 173 verified by visual inspection of background EMG. Real time visual feedback of the MEP time traces 174 for all muscles and neuronavigated coil position provided to the experimenter during the testing 175 session maximized the map information obtained by allowing for increased density of points in 176 excitable and border regions, with less attention given to far-away non-responsive areas (28, 30, 38, 177 39). Care was taken to ensure mapping included the full extent of the excitable area for all recorded 178 muscles. This approach has been previously described in detail by our group (30, 40) and others (38), 179 and non-gridded approaches have been shown to produce similar results to traditional gridded mapping (40-42). For each stimulation, MEP amplitudes were recorded from the 8 muscles and used 180 181 for further analysis. Prior to synergy extraction, MEP amplitudes from each muscle were concatenated 182 across all simulations and normalized to the respective muscle's maximum MEP value.

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#### 184 **2.4 Voluntary Motor Task**

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While seated in the same setup, and in the same session, subjects were instructed to shape their right hand into each of 32 static letters and numbers of the ASL posture set (17), mimicking each posture shown on a computer screen one at a time. Subjects were given 2s to formeach posture, and instructed to statically maintain the posture for 6s. Subjects performed each posture 3 times (96 total trials). EMG data were filtered using a fourth-order Butterworth bandpass filter with cutoff frequencies of 10 Hz (Low) and 300 Hz (High). Root mean square (RMS) EMG from each muscle in the window 5.5– 7.5s following cue, during the static hold period, was used for analysis. Prior to synergy extraction (see below), the windowed RMS EMG data from each muscle were concatenated across the 96 trials and normalized to the maximum of the respective muscle's RMS EMG value.

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#### 196 **2.5 Extraction of muscle synergies**

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198 Muscle synergies were extracted separately from voluntary EMGs (VOL) in the ASL task and 199 from MEP amplitudes in the TMS mapping task (TMS) using standard non-negative matrix 200 factorization (NMF) (43), as described previously (44, 45). Several other dimensionality reduction 201 methods, such as principle components analysis (PCA) and independent components analysis (ICA), 202 have been utilized for the purpose of muscle synergy analysis (45). NMF has emerged as the most 203 common technique primarily because the non-negativity constraint is a useful attribute for identifying 204 physiologically meaningful synergies given the inherent non-negativity of muscle activation (7). For 205 this reason, and to permit comparison to the vast majority of the relevant literature, NMF was chosen 206 for dimensionality reduction in this study. In depth discussion of the conceptual and mathematical 207 framework for muscle synergy analysis has been covered extensively in previous reports (16, 45-47). 208 Briefly, we describe our application of NMF mathematically as:

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 $M_{\text{TMS}} = B_{\text{TMS}} \cdot A_{\text{TMS}} + \varepsilon$ 

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(1)

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where  $M_{VOL}$  is matrix of RMS EMG of size *m* muscles by *p* postures and  $M_{TMS}$  is a matrix of MEP amplitudes of size *m* muscles by *s* stimulations, describing the motor response in each task.  $B_{VOL}$  and  $B_{TMS}$  are low rank matrices, of column size  $N_{VOL}$  and  $N_{TMS}$ , respectively, containing the time-invariant 217 non-negative basis vectors (of length *m*) in muscle space.  $A_{VOL}$  and  $A_{TMS}$  are the  $N_{VOL}$  by *p* and  $N_{TMS}$ 218 by *s* matrices representing the per trial activation coefficients. For any pre-specified rank ( $N_{VOL}$  or 219  $N_{TMS}$ ), NMF finds the corresponding *B* and *A* by minimizing the squared norm (variance) of the 220 residual,  $\varepsilon$ , under the assumption that it follows a Gaussian distribution and is zero mean and 221 uncorrelated. The algorithm iteratively updates the model parameters until  $R^2$ , referred to in the 222 literature as the proportion of variance explained or the fraction of variance accounted for, increased 223 by less than 0.001 over ten iterations, where  $R^2$  is given by:

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$$R^{2} = 1 - RSS/SST = 1 - \frac{\sum ij \left(M_{i,j} - (BA)_{i,j}\right)^{2}}{\sum ij \left(M_{i,j} - \bar{M}\right)^{2}}$$
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(2)

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227 where RSS is the residual sum of squares, SST is the total sum of squares, the i, j subscript denotes 228 the corresponding entry of the matrix, and  $\overline{M}$  is the average over all entries of M (48). To determine the number of synergies to include, NMF was conducted with candidate  $N_{VOL}$  and  $N_{TMS}$  values that ranged 229 230 from 1 to 8 (eight being the total number of muscles that we recorded activity from and which would 231 allow for a perfect reconstruction). For each such value of ( $N_{VOL}$  and  $N_{TMS}$ ), the set of synergies ( $B_{VOL}$ ) and  $B_{\text{TMS}}$ ) able to explain the most variation (the largest  $R^2$ )) over 100 repetitions of the algorithm were 232 233 chosen for further analysis (25). Finally, a fixed  $N_{VOL}$  and  $N_{TMS}$  were chosen as the minimum number of 234 synergies needed to reconstruct 90% of the observed variance in the data from which they were 235 derived (15). As a control comparison, this process was repeated for unstructured MVOL and MTMS 236 generated by randomly shuffling the original data across both muscles and trials (m, p) 1000 times in order to estimate chance level  $R^2$  values (15). Throughout the remainder of the manuscript  $N_{VOL}$ ,  $N_{TMS}$ , 237  $B_{VOL}$ ,  $B_{TMS}$ ,  $A_{VOL}$ , and  $A_{TMS}$  refer to the selected synergies that minimally satisfied the 90%  $R^2$  criterion 238 239 (15).

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#### 241 **2.6 Quantifying Similarity of TMS and VOL Synergies**

243 In this work, we are interested in quantifying the similarity between synergies both as a 244 subspace, that is as a collection or set of synergies, and also on an individual synergy-by-synergy 245 basis. The former measures whether the synergies taken as a whole describe similar combinations of 246 muscle activations, while the latter, which is stricter, measures the similarity of the muscle co-247 activation patterns. We quantified the correspondence between the sets of synergies underlying TMS and VOL muscle activations by using the cross-reconstruction  $R_{CR}^2$  as a global measure of synergy set 248 similarity (15). The cross-reconstruction  $R_{CR}^2$  was calculated by solving the non-negative least squares 249 250 estimation problem in the form:

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 $argmin_{\hat{A}} \parallel B\hat{A} - M \parallel_{F}^{2} subject to \hat{A} \geq 0$ 

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$$R_{CR}^{2} = 1 - RSS/SST = 1 - \frac{\sum ij \left(M_{i,j} - (B\hat{A})_{i,j}\right)^{2}}{\sum ij \left(M_{i,j} - \bar{M}\right)^{2}}$$
(4)

(3)

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256 Here represents the activation coefficient matrix of non-negative values that provides the 257 best fit of a synergy set B from one experiment to dataset M from another, F represents the Frobenius matrix norm, and  $\geq$  is taken elementwise.  $R_{CR}^2$  was calculated separately for the cases of ( $B_{VOL}$ ,  $M_{TMS}$ ) 258 and ( $B_{TMS}$ ,  $M_{VOL}$ ), as described in Eq. 4. Chance level for  $R_{CR}^2$  was again determined by Monte Carlo 259 260 simulation on synergies constructed by randomly shuffling muscle identity. Specifically, when 261 reconstructing  $M_{\text{TMS}}$ , the synergies in  $B_{\text{VOL}}$  were shuffled (1000 times), and when reconstructing  $M_{\text{VOL}}$ 262 the synergies in  $B_{TMS}$  were shuffled (1000 times), in order to generate chance level distributions to compare against actual cross-reconstruction values. Cross-reconstruction  $R_{CR}^2$  for each case was then 263 264 compared at the group level using a paired sample *t*-test (P < 0.05). To verify that differences between  $R^2$  and  $R^2_{CR}$  seen at the group level are not simply the result of noise affecting the synergy 265

decomposition, we used cross-validation to obtain values for  $R^2$  and  $R^2_{CR}$  individually for each 266 participant. Cross-validation was performed by generating 10 different data subsets comprised of 60% 267 of the trials (randomly sampled), extracting synergies from each subset using the same NMF 268 269 procedure that was used on the full set, and using those synergies to reconstruct (again using the 270 same procedure as was described for the full set) the remaining 40% of trials (cross-validated global reconstruction  $R^2$ ) (15). This procedure was repeated for each dataset (TMS and VOL). Cross-271 validated global cross-reconstruction  $R_{CR}^2$  was calculated using the synergies extracted from each of 272 273 the 10 subsets to reconstruct the remaining 40% of trials in the other condition for that participant.

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276 To carry out the per-synergy comparison, we identified a paired ordering of synergies between 277 TMS and VOL experiments using a greedy search procedure for each subject. To do so, dot products 278 were computed between all possible pairs of VOL and TMS synergies, and the best-matching pair was 279 defined as the one with the highest dot product. This pair was then removed from the set, and the next 280 best matching pair was selected as highest dot product among the remaining synergy pairs. This 281 process continued until there were no more unpaired synergies left in the set (1). Chance level for 282 testing the significance of each matched pair was determined by Monte Carlo simulation on synergies 283 constructed by randomly shuffling muscle identity. For each participant, 5,000 random synergies for 284 each set of 5 VOL and TMS synergies (1,000 per synergy) were constructed by randomly shuffling the 285 muscle weights from the original muscle synergies. Next, the dot products of all possible pairs of random synergies from the two datasets (25×10<sup>6</sup> pairs in total) were calculated. The 95th percentile of 286 287 the distribution of dot products was then set as the threshold to compare whether matched pairs of 288 TMS and VOL synergies were statistically significant (P = 0.05), indicating a similar synergy structure.

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#### 290 **2.7 Quantifying Population Level Similarity of Synergies**

292 To quantify the overall similarity of muscle synergies derived from either TMS or VOL data, group mean synergies for each task ( $B_{VOL}^{Group}$ ,  $B_{TMS}^{Group}$ ) were generated by arbitrarily selecting one 293 294 subject's synergies as a template, to which the synergies from the remaining subjects were matched 295 using the greedy algorithm described above and then group averaged (15). We verified that group 296 mean synergies were not sensitive to the choice of template. To assess the consistency of individual 297 synergies across the sample, the dot product between synergies from each subject and the 298 corresponding group mean synergies were calculated. Finally, similarity between individual synergies in  $B_{VOL}^{Group}$  and  $B_{TMS}^{Group}$  were calculated, again using the same greedy search procedure. 299

300 To quantify the incidence in the population of particular synergies across the two conditions a 301 clustering analysis was performed. TMS and Voluntary synergies (5 per condition) for all subjects (n =302 8) were pooled (80 synergies total) and grouped using hierarchical cluster analysis with Euclidean 303 distance as the similarity measure. The clustering procedure was performed by applying the Matlab 304 statistics-toolbox functions *pdist* (Minkowski distance option; p = 2), linkage (ward option), and cluster 305 to the pooled synergy matrix. The number of clusters was determined as the minimum number of 306 clusters partitioning the synergies such that there was not more than one synergy in each cluster from 307 a given subject per condition (i.e., single subject could contribute a single TMS synergy and/or a single 308 VOL synergy to the same cluster) (44, 49, 50).

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#### 310 **3 Results**

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TMS mapping was well-tolerated by all subjects and no adverse effects of stimulation were reported. Resting motor thresholds, stated as a percentage of maxi- mum stimulator output (% MSO), for the eight participants were: S1 (59), S2 (50), S3 (49), S4 (39), S5 (42), S6 (43), S7 (50), and S8 (42). Fig. 3*A*. depicts EMG traces showing MEPs recorded during TMS mapping, and Fig. 3*B*. depicts voluntary EMG recorded during the ASLtask for a representative participant.

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322 **3.1** Five Synergies Reliably Reconstructed TMS-Elicited and Voluntary Muscle Activation 323 Patterns

Insert Fig. 3

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325 We first investigated whether multi-muscle evoked potentials elicited during TMS based 326 mapping of M1 hand topography could be described by a low- dimensional space that is similar in 327 rank to that derived from volitional muscle activation. For TMS data 4.5±0.50 synergies and for VOL data  $5.37 \pm 0.51$  synergies were the average number of synergies required to produce  $R^2 > 90\%$  (Fig. 328 4). Five synergies were most often required to meet the 90%  $R^2$  threshold in the 16 (8 subjects by 2 329 conditions) datasets (9 of 16), and resulted in average  $R^2$  values of 93.2±2.0% for TMS and 330 331 90.6±3.1% for VOL, each of which was significantly greater than the estimated within dataset chance 332 level (P < 0.05). For individuals who required either 4 or 6 synergies to meet the  $R^2 > 90\%$  the addition 333 of 1 synergy (from 4 to 5) or subtraction of one synergy (from 5 to 6) did not cause greater than a 5% change in  $R^2$ . Given these reconstruction results, 5 synergies were extracted from all datasets to 334 335 facilitate within- and across- condition comparisons (14).

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- 338 Insert Fig. 4
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### 341 **3.2 Similarity of TMS-Elicited and Voluntary Synergies**

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To evaluate the similarity of the subspace spanned by the set of TMS and VOL synergies, within-class reconstructions (with quantification by  $R^2$ ) and cross-reconstructions (with quantification

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by  $R_{CR}^2$ ) for the 8 recorded muscles individually and collectively (global) were calculated for each 345 subject. Individual muscle reconstructions including  $R^2$  and  $R^2_{CR}$  values are shown for a single subject 346 in Fig. 5, top panel, and group level muscle and global reconstruction  $R^2$  and  $R_{CR}^2$  (labeled VE to 347 encompass both measures) are shown in Fig. 5, bottom panel. Mean muscle  $R^2$  across individuals 348 349 was significantly different than chance for all muscles, and greater than 0.8 for all muscles tested with 350 the exception of the EI for the voluntary task, indicating moderate to excellent reconstruction of individual muscle activity in both sets. Mean muscle R<sup>2</sup><sub>CR</sub> across individuals, muscles and conditions 351 352 ranged between .39 and .96, and cross-reconstruction of both TMS and VOL datasets was significantly different from chance for intrinsic hand muscles FDI and APB for. R<sup>2</sup><sub>CR</sub> values for cross-353 354 reconstruction of TMS data from B<sub>VOL</sub> for the ADM and ECR were also found to be significantly 355 different from chance. Repeated measures ANOVAs with factors of Data Source (TMS, VOL) and Reconstruction Type (Within  $R^2$ , Cross  $R^2_{CR}$ ) were used to test for main effects and interactions in the 356 357 reconstruction of each data sets; results are summarized in Table 1. A significant main effect of 358 Reconstruction Type was found for each muscle indicating cross-reconstruction fits using synergy 359 bases from the other dataset were significantly lower than when bases were derived from within the dataset. For the FDI muscle there was also a significant main effect of Data Source ( $F_{1,7}$  = 13.02, P = 360 361 0.009) and a significant Data Source by Reconstruction Type interaction ( $F_{1,7}$  = 9.26, P = 0.019). For the FDI, post-hoc t-test with Bonferroni correction ( $\alpha = 0.0125$ ) for multiple comparisons revealed a 362 significant difference between  $R^2$  and  $R_{CR}^2$  for voluntary data ( $t_7$  = 3.43, P = 0.011) but not TMS data ( $t_7$ 363 364 = 0.070, P = 0.504).365 \*\*\*\*\* 366

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Global  $R^2$  and  $R_{CR}^2$  was found to be greater than the chance level for TMS and VOL datasets. There was significant main effect of Data Source ( $F_{1,7}$  = 9.95, P = 0.016) and Reconstruction Type ( $F_{1,7}$ 

Insert Fig. 5

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372 = 138.80, P < 0.001), but no significant Data Source by Reconstruction Type interaction ( $F_{1,7} = 3.98$ , P373 = 0.086). Post-hoc *t*-test with Bonferroni correction ( $\alpha = 0.0125$ ) for multiple comparisons revealed a 374 significant difference in  $R^2$  between TMS and VOL ( $t_7 = 3.68$ , P = 0.008), and a significant difference 375 between  $R^2$  and  $R_{CR}^2$  for voluntary data ( $t_7 = 9.542$ , P < 0.001), and TMS data ( $t_7 = 8.78$ , P < 0.001). 376 Notably, there was no significant difference in the cross-reconstruction accuracy between datasets.

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We observed two important results of individual participant cross-validation analysis of global reconstruction  $R^2$ , as shown in Fig. 6. First, the within condition cross-validated global reconstruction  $R^2$  remained high (> 0.8) and often exceeded the 0.9 threshold that was used to determine the number of synergies. Second, differences in cross-validated global reconstruction, depending on whether synergies from the same condition ( $R^2$ ) or the other condition ( $R^2_{CR}$ ) were used for reconstruction of EMG data, were similar to those found at the group level when the entire data set was used.

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Similarity of individual synergies extracted from TMS and from volitional movement were quantified by the scalar product between synergies using a greedy search procedure to iteratively find best-matching pairs as described. The resulting matched synergy pairs for all subjects are shown in Fig. 7. There are 40 such parings (8 subjects by 5 synergies) out of which 21 were significantly different from chance as determined by shuffling the muscle identities of the voluntary set.

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#### **392 3.3 Consistency of Synergy Patterns Across Individuals**

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Consistency of group mean synergy patterns across participants was evaluated for both TMS and VOL datasets by grouping synergies as described above, using one subject picked at random as the template, and then comparing the synergy coefficients for each muscle. Results are shown in Fig. 8A. Coefficients for each muscle are shown for each synergy as thin colored bars, and the mean and

398 standard deviations across the values for each muscle are shown with a thicker transparent barwith a 399 black outline. We computed an overall measure of consistency by calculating the dot product between 400 each individual and the corresponding group mean synergy, and then computed the mean and 401 standard deviation of those dot products across individuals. Average dot products between individual 402 subjects and the group mean exceeded 0.69 for all muscles, with standard deviations that were 403 consistently less than 0.2. Similarity (dot products) of TMS and VOL group mean synergies paired 404 using the greedy search procedure are shown in Fig. 8B. All group mean synergies were found to be 405 significantly greater than chance level (P < 0.05) as estimated by randomly shuffling the identities of 406 the group mean voluntary synergies. Generally, the FDI and the APB dominated one synergy each in 407 both the VOL and TMS conditions. Additionally, one synergy was dominated by a group of extrinsic 408 flexors (FCR and FDS) and one by a group of extrinsic extensors (EDC and ECR), suggestive of some 409 underlying functional groupings with respect to whole hand closing and opening respectively. Finally, 410 one synergy was comprised of the ADM and EI muscles, along with low level activation of the extrinsic 411 hand muscles in what could be best described as finger abduction synergy with coactivation to 412 stabilize the wrist.

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414 The results shown in Fig. 8A indicate moderate homogeneity of muscle synergies derived from 415 TMS and voluntary EMG data across individuals, and similarity of population average TMS and VOL 416 synergies Fig. 8B. However, individual variation of synergies across conditions and individuals is 417 readily apparent. To better understand how synergies cluster across the population and conditions, we 418 pooled all synergies into a single set and grouped them using a cluster analysis. Fig. 9A illustrates the 419 identified clusters. Means for each cluster are represented by wide transparent bars with thick black 420 outlines and error bars (± 1*STD*), and individual subject synergies are shown as colored narrow bars. 421 Fig. 9B summarizes the incidence of each synergy for TMS and VOL data collections. Nine clusters 422 were identified with all clusters containing at least one TMS synergy and one VOL synergy (note that 423 this was not a constraint of the clustering method). FDI (Cluster 1) and the APB (Cluster 2) dominant 424 synergies were represented in TMS and VOL data sets for nearly all participants (one participant did

425 not contribute a TMS synergy to Cluster 2). Cluster 3 was characterized by grouping of the EI and 426 ADM, resembling Synergy 5 in the group level analysis, contained 6 participants each for TMS and 427 VOL data. Likewise, Cluster 4 displaying grouping of FDS and FCR and resembling Synergy 3 in the 428 group level analysis, was present in 5 and 6 subjects for TMS and VOL synergies respectively. 429 Differences between TMS and VOL are presented in Cluster 5 and 6. While both clusters are 430 characterized by co- activation of EDC and ECR, Cluster 5 contains greater activation of the EI and is 431 more often present in VOL synergies isolated higher activation of the ECR, EI and FDS respectively, 432 were found in 3 or less participants for each data source and are indicative of the inter-subject 433 variability found in the data.

434

#### 435 **Discussion**

436

437 We used TMS to causally probe modularity in hand muscle activation. The results demonstrate 438 that a NMF-derived low-dimensional representation was capable of describing patterns of covariance. 439 consistent with current descriptions of muscle synergies. Most importantly, TMS-elicited muscle 440 synergies bore a moderate-to-strong similarity to those produced from voluntary movement. Our 441 findings complement those of Gentner and Classen (27), who found similarities in postural hand 442 synergies extracted from grasping and TMS-elicited hand movements, and are in general agreement 443 with animal investigations which have shown that cortical (25, 26) and spinal (9) stimulation-evoked 444 muscle synergies resemble those extracted from voluntary motor behavior.

445

#### 446 **4.1 Comparison to TMS-Elicited Postural Synergies**

447

The finding that TMS-elicited muscle synergies of the hand might resemble synergies found from voluntary behavior during a task requiring fractionated movement of the hand (forming postures of the ASL alphabet) is not entirely obvious from the findings of Gentner and Classen (27) who compared TMS-elicited postural synergies with those derived from grasping movements. Most notably, in that study, TMS largely evoked composite movements of multiple fingers; isolated movements ofindividual fingers, as would be required for fractionated control, were rarely observed.

454

455 To understand the differences between their work and ours, it is important to first consider 456 differences in postural (kinematic) and muscle synergies. While muscle synergies have been found to 457 be, at least partially, the source of kinematic synergies (51, 52) the relationship is undeniably complex. 458 Disentangling the mechanical coupling of movements caused by muscles acting across multiple digits 459 from the neural coupling (synergies) of muscles acting on different digits remains a challenging 460 problem (52, 53). However, it is possible to elicit single digit movements from TMS stimulation (54). 461 Therefore, a difference in the measured output, kinematics vs EMG, of TMS stimulation alone would not 462 explain why fractionated single muscle synergies were found in the present study yet single finger 463 movements were rarely found by Gentner and Classen (27).

464

465 We suggest that differences in the observation of finger individuation between our findings and 466 Gentner and Classen's are more likely the result of a difference in stimulus intensity used between that 467 study and ours. In order to evoke movements of the hand to produce postural synergies in their study, a 468 stimulation intensity of 130–140% of APB resting motor threshold was needed, as evoked movements 469 of the hand require greater intensity than the elicitation of MEPs (55). In contrast, TMS was applied at 470 110% of FDI resting motor threshold in our study. Given that the spread and depth of the TMS-induced magnetic field increases monotonically with TMS output intensity, the extent of the cortical territory 471 472 activated or even the mechanism of cortical activation may differ between Gentner's and Classen's 473 study and ours. For example, it is known that TMS (using a coil type and orientation common to both 474 studies) at low intensities, just above threshold, preferentially activates intracortical horizontal fibers 475 (56), which are monosynaptic cortico-cortical connections, in an extended cortical network that is 476 presynaptic to the corticospinal projection neurons (57). At higher stimulus intensities (well above 477 threshold), additional mechanisms of activation occur, such as repetitive discharge of corticospinal 478 projection neurons through reverberating activation of excitatory microcircuits (58) and direct excitation of the corticospinal projection neurons (57). Additional evidence of the effect of stimulus intensity on corticospinal output and muscle recruitment stems from intracortical microstimulation studies in primates (59). Hand/forearm muscles with the largest density of monosynaptic corticospinal projections and least amount of divergence (i.e., projecting onto a single or a few muscles) are preferentially activated at stimulation intensities just above motor threshold, while stronger stimulation drives activation of both monosynaptic and multi-synaptic, involving divergent spinal or brainstem interneurons, connections to musculature (22, 59).

486

487 It is possible that the higher intensity used by Gentner and Classen led to activation of a wider 488 intracortical network, differences in mechanisms of cortical activation, and recruitment of more 489 divergent projection neurons, producing predominately whole hand grasp-like responses, and a lack of 490 isolated finger movements akin to the "single muscle" synergies that we observed in our study. 491 Unfortunately, operational definitions of "low" and "high" intensity stimulation do not exist in the 492 literature and are likely to be highly individualized, requiring the direct measurement of the 493 corticospinal volley, which was beyond the scope of the current study. It is therefore impossible to 494 determine how different stimulation intensities (between our studies) impacted motor cortex activation. 495 Regardless of the underlying reason, our finding that single-muscle dominant synergies were found for 496 intrinsic hand muscles (most notably the primary movers of the index finger and thumb) but not for the 497 extrinsic muscles of the hand, are well-aligned with other empirical support for a critical role of the 498 primary motor cortex in individuated dexterous finger movements (53, 60, 61). In all, our findings 499 complement those of Gentner and Classen by showing that at lower levels of stimulation, multi-muscle 500 synergies that drive whole hand movements (such as the extensor, flexor, and abduction synergy seen 501 in both of our studies) may coexist along with single-muscle activation (identified in our study) which 502 may underlie fractionated control.

503

504 **4.2 Comparison to ICMS-Induced Synergies** 

506 What does it mean that stimulation techniques with vastly different scales of stimulus resolution 507 (neural-level for ICMS and large population-level for TMS) both result in evoked responses that 508 resemble natural voluntary muscle activation? Here we contrast our findings to those of Overduin and 509 coworkers (25) in which trains of ICMS to macaque M1 evoked muscle synergies that strongly 510 resembled grasping toward a diverse set of objects. The mechanisms of neural activation evoked by 511 TMS and long train ICMS differ with regard to the number of neurons that are activated, the size of the 512 stimulated field, and the induction of activation due to stimulus repetition over a prolonged duration. 513 Yet despite these important differences, both Overduin and our group noted that the evoked synergies 514 largely mirrored those produced by volitional behavior. The most parsimonious explanation for this 515 agreement of findings across different species and scales of stimulation is that activation of the cortex 516 may be ultimately filtered through a vastly inter-connected divergent and convergent neural network in 517 the spinal cord, which has already been strongly tied to modularity in motor output (62, 63). In 518 agreement with Overduin's interpretations, it seems likely that the motor cortex may function to 519 combine brainstem or spinal synergies (via polysynaptic innervation) with control of individual muscles 520 that are responsible for individuated, dexterous, hand movement (24, 25).

521

522 However, we cannot rule out the possibility that the TMS-elicited synergies may be cortical in 523 origin, for example via either the divergence of corticomotor neurons or via intracortical horizontal 524 connections between corticomotor neurons. Retrograde viral tracing (64, 65) as well as stimulus-525 triggered averaging of EMG activity to ICSM have identified direct linkages from corticomotor neurons (66, 526 67) to the corticomotor neuron pools of multiple muscles. Synergistic patterns of voluntary muscle 527 activation likely result from modularity inherent in organization at the cortical, brain stem, and spinal 528 level (68). Future research, perhaps utilizing TMS to stimulate the brain stem and spine, may help 529 elucidate the contribution of each structure to modularity in voluntary control.

530

#### 531 **4.3. Similarity of Synergies across Individuals**

533 A group-level analysis revealed that individual synergies showed greater than chance similarity 534 across subjects for TMS and voluntary data. This finding was corroborated by the results of the cluster 535 analysis which showed that 54/80 (27/40 per condition) synergies (cluster 1-4) were nearly equally 536 distributed across TMS and VOL data sets. The observed inter-individual similarity is in general 537 agreement with that reported for healthy individuals by Roh et. al. (15, 50) who described inter-538 individual similarity of synergies, using similar methodology to ours, extracted from upper limb muscles 539 during a force production task. While it is possible that the inter-individual similarity in voluntary 540 synergies may be attributed to the constraints of the finger spelling task used in our study (19), task-541 dependence is unlikely to underlie the inter-individual similarity of TMS-elicited synergies. A plausible 542 explanation for the latter can be drawn from the work of Ejaz et. al. (69), who used fMRI to show that 543 the correlational structure amongst digit topographies may be dictated by the statistics of everyday 544 hand use. They suggested that the strength of horizontal intracortical connections within M1 may be 545 determined by the frequency with which those connections are activated, in a Hebbian type manner, 546 and reason that activation of these connections could explain the results of Overduin et. al. (25). All 547 participants enrolled in our study were naive to the ASL alphabet, and the task of finger spelling in 548 general. Given the reasonable assumption that our participants had fairly similar statistics of everyday 549 hand use over the course of their lives (participants did not report any specific digit training such as 550 musical training), we contend that the hypotheses offered by Ejaz et. al. (69) best explain the inter-551 individual similarity observed in the TMS-elicited synergies.

552

553 Furthermore, it has previously been shown that short periods of training can elicit 554 reorganization of the cortical representation for simple finger movements revealed by TMS (54). Two 555 more recent studies have extended these findings to more complex movements of the hand using 556 TMS evoked postural synergies to demonstrate that highly specific motor training can induce short-557 term modulation of a selected set of synergies associated with the training activity (70, 71). We 558 purposely conducted TMS mapping prior to performance of the ASL task to avoid such recency bias 559 influencing TMS results. However, whether synergies extracted from TMS responses were influenced 560 by recently practiced movements by our participants outside of the laboratory is unknown. To what 561 extent TMS-evoked muscle synergies, as described in our study, represent recent motor learning, long 562 term motor practice (28), or the natural statistics of hand use over the lifetime (69, 72) remains an 563 open and interesting question. Future research using the technique suggested here could offer 564 valuable insight into whether the structure of TMS-elicited muscle synergies does indeed reflect short-565 or long-term encoding of skill (such as differences between novice learners and experts in ASL).

566

#### 567 **4.4 Potential limitations and future directions**

568

Several recent reports have highlighted that the structure and interpretation of muscle synergies may be influenced by EMG filtering parameters, method of EMG amplitude normalization, and the selection of the dimensionality reduction algorithm (47, 73-75). Given that synergy extraction from MEPs is highly novel, future studies should examine the influence of preprocessing steps and dimensionality reduction techniques on the structure and interpretability of synergies derived from M1 574 TMS.

575

576 The composition of synergies is dependent upon number and choice of muscles analyzed (76). 577 In our study, we measured eight muscles (of more than 30 that comprise the hand/wrist musculature) 578 and our results may only extend to these 8 muscles. As suggested in (76), we selected a "dominant" 579 set of muscles with the goal of including primary intrinsic and extrinsic muscles that would be strongly 580 recruited in the finger spelling task, while still being able to maximize the distance between the 581 electrodes in order to minimize cross-talk—which could have potentially confounded our experiment. 582 We also only included muscles for which measurement was possible with surface EMG. Certainly the 583 inclusion of more (or less) muscles may influence findings about the similarity of TMS-induced and 584 voluntary muscle synergies.

586 As has been done by others (15), we chose to extract an equal number of synergies from each 587 dataset to facilitate comparisons of synergies across tasks and individuals. That 5 synergies provided 588 a valid characterization of modularity in muscle activation across tasks and individuals was justified by 589 the high overall and muscle specific reconstruction accuracy using 5 synergies, and the lack of large differences (<5%  $R^2$ ) found between 4 and 5, or 5 and 6 synergies for any one dataset. However, a 590 greater number of synergies was more often required to satisfy the 90%  $R^2$  criterion in the voluntary 591 592 data (Fig. 4). Possible greater complexity of the voluntary data is unsurprising given the known 593 contributions of brain stem, spinal, and peripheral contributions to voluntary activation.

594

595 In an effort to utilize as many stimulations as possible, we retained stimulations with slight 596 background EMG activity prior to stimulation in the analyses (see Figure 3, ADM). Pre-activation of the 597 muscle will increase MEP amplitude (77), potentially increasing the weight of a specific trial or more 598 generally a muscle when subjected to NMF. Large MEPs resulting from pre-activation also pose a 599 possible threat to the validity of using the maximum MEP across trials for normalization. The similarity 600 we observed between TMS-elicited and voluntary muscle synergies was found in spite of this potential 601 confound, which is not present in the voluntary data set. Previous empirical evidence indicating 602 similarity between TMS-elicited and voluntary postural synergies of the hand also may have been 603 observed despite slight background EMG activity, as in that study only audio feedback of a single 604 muscle was used to ensure relaxation (27). Achieving complete relaxation in all muscles just prior to 605 TMS is a challenge of mapping hand postures or a large number of muscles simultaneously. Data 606 from a larger sample is needed to set guidelines for experimental procedures and data preprocessing 607 for synergy analysis of multi-muscle TMS, and multi-muscle TMS mapping more generally in order to 608 optimize data quality, reliability, and experimental efficiency.

609

610 Another potential limitation of the present study is the possibility of cross-talk between EMG 611 channels influencing the results of NMF. Consistent correlation between electrode recordings due to 612 cross-talk would potentially be reflected in the synergy bases given the implementation of NMF utilized 613 in the study (78). However, the results of two previous studies indicate that this is unlikely. Cross-talk 614 between electrodes was previously found to have minimal influence on the results of synergy analysis 615 performed on EMG data recorded from the legs of neonates (79). We propose that if synergy analysis 616 is not confounded by cross-talk between EMGs placed on the legs of neonates, it is unlikely to be a 617 factor in the collection of muscles from an adult arm/hand. Furthermore, in a study of human 618 locomotion, whether synergies (computed using PCA) were derived from the surface or intramuscular 619 EMG did not significantly influence the resulting principal components indicating the influence of cross-620 talk derived synergies was minimal (78).

621 Only a single task, finger spelling, was used to assess voluntary synergies. It has been 622 suggested that synergies may be an artifact of the movement variance in the task from which they were 623 collected (19). Although we cannot rule out the possibility that the voluntary synergies were task-624 dependent, similar work in our lab has shown that synergies derived from unconstrained (task-free) 625 movement can be used for the prediction of synergies extracted from ASL postures as well as those 626 extracted from grasping mimicking postures with an accuracy that is nearly equal to prediction from 627 synergies derived from task specific muscle activation (80). Critically, the synergies derived at rest from 628 the TMS data set clearly are not confounded by task. Although the task-dependence of synergies is an 629 interesting question that requires further investigation, we do not think that this confounds the findings 630 of our study.

631

TMS-elicited and voluntary EMG were collected in a single upper limb posture, therefore we are not able to comment on the effects of limb posture on the observed modularity. Though a recent investigation indicated that the overall muscles synergy structure was largely unaffected by changes in shoulder posture during a shoulder torque production task (81), the effects upperlimb posture on the specific task utilized in this study are unknown and may be worthy of future investigation.

637

638 EMG only from the static "hold" portion of the ASL task was used in synergy analysis of 639 voluntary movement. This choice was made in order to yield a more direct comparison to the findings of Gentner and Classen (27) where a single hand posture was used to describe TMS-elicited movements.
The extent to which TMS-elcited muscle synergies reflect voluntary muscle synergies de- rived during
dynamic movement remains an open question.

643

644 We tested at a single stimulation intensity (110% RMT); it would be very interesting and 645 relevant to carry out this protocol at multiple suprathreshold intensities. As discussed above, the 646 choice of stimulation intensity has a profound impact on corticospinal outputs. Likewise, pulse shape 647 (monophasic/biphasic) and current direction (PA/AP) are known to have differential effects on 648 corticospinal responses to TMS (82). In this study a biphasic stimulation waveform and PA current 649 direction were used. It is unknown whether similarity between TMS and voluntary synergies observed 650 here is specific to the coil parameters used. Assessment of the effect of stimulus intensity, pulse 651 shape, and current direction on the structure of TMS-elicited muscle synergies is needed to better 652 understand how TMS can be utilized to examine the neural substrates of motor modularity.

653

654 In contrast to the "time-invariant" synergies described in this paper for which temporal aspects 655 of muscle activation are relegated solely to the activation matrix, dimensionality reduction algorithms 656 can also be used to compute "time-varying" muscle synergies in which the spatiotemporal features of 657 muscle activation are represented within the synergies (83). Analysis of time-varying synergies using 658 TMS is not straightforward because the MEP is generally regarded as a temporally discrete event. A 659 clever experimental design in which TMS was applied during movement or perhaps during motor 660 imagery may yield interesting insights into modularity in EMG bursting patterns as described by time-661 varying synergies. For example, this technique could be used to test the hypothesis that patchy 662 redundant cortical somatotopy representing static muscle synergies is optimally organized to produce 663 fluid spatiotemporal sequences of hand movements proposed in a recent investigation of time-varying 664 muscle synergies during finger spelling (84).

666 The scope of the current study was to understand the synergistic muscle activation patterns 667 evoked by TMS and voluntary movement. However, an equally interesting question, beyond the scope 668 of this study, is how individual muscles and synergies topographically map back on the cortical sheet. 669 To what degree will this organization be parcelled or intermingled? Previous attempts to do so using 670 TMS (27, 85-88) have relied on a highly reductionist approach, attributing the muscular or kinematic 671 response to a point on the scalp representing the site of neural activation induced by the TMS. These 672 studies have generally examined the degree of overlap between individual muscle "mappings" in 673 relation to the co-activation or co-variation of muscle responses. The work generally converges on the 674 finding that a high degree of overlap exists, and often there is a correspondence between the degree of 675 overlap and the co-variation of muscle responses. However, these approaches tend to be limited in 676 their ability to draw inferential conclusions about how topographic organization may be related to 677 modular control. In order to address this challenge, we are currently developing a framework that uses 678 a deep neural network model to map finite element simulation of transcranial magnetic stimulation 679 induced electric fields in motor cortex to recordings of multi-muscle activation (89). Use of this 680 framework has the potential to produce higher resolution imaging of cortical-muscle mappings, with 681 consideration of individual anatomy, allowing for more rigorous investigation and interpretation of the 682 topographic characteristics of muscles and synergies.

683

#### 684 Conclusions

685

Our work provides evidence that TMS-elicited muscle synergies of the hand, measured at rest, reflect those found in voluntary behavior involving finger fractionation. Our findings build on those of Gentner and Classen (27) and Overduin et. al. (25), by offering a more accessible way of assessing modularity using cortical stimulation in humans. With further research to determine the robustness of our findings to different stimulation parameters and analytical procedures, the technique offered here can be used to develop insights into the nature of corticospinal modularity in learning, and its breakdown and recovery in pathologies such as stroke, in which muscle co-activation patterns areknown to be affected (15, 50, 90).

694

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696

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946 Table 1. Comparison of Reconstruction and Cross-Reconstruction for within and across muscles using

947 repeated measures ANOVAs with factors of Data Source (TMS, VOL) and Reconstruction Type (Within

948  $R^2$ , Cross  $R^2_{CR}$ ). Significant outcomes are highlighted in bold text.

Muscle	Data Source	Reconstruction Type	Interactions	Posthocs
FDI	<i>F(1,7)</i> = 9.89, <i>P</i> = 0.016	F(1,7) = 13.02, P = 0.009	<i>F(1,7)</i> = 9.26, <i>P</i> = 0.019	TMS vs. TMScr: <i>t</i> (7) = 0.704, <i>P</i> = 0.504 <b>VOL vs VOLcr:</b> <i>t</i> (7) = 3.43, <i>P</i> = 0.011 TMS vs VOL: <i>t</i> (7) = -0.827, <i>P</i> = 0.436 TMScr vs. VOLcr: <i>t</i> (7) = 3.154, <i>P</i> = 0.016
EI	<i>F(1,7)</i> = 3.85, <i>P</i> = 0.091	F(1,7) = 22.63, P = 0.002	<i>F(1,7)</i> = 0.80 , <i>P</i> = 0.401	<b>TMS vs. TMScr:</b> <i>t</i> (7) = 4.221, <i>P</i> = 0.004 VOL vs VOLcr: <i>t</i> (7) = 2.371, <i>P</i> = 0.050 TMS vs VOL: <i>t</i> (7) = 2.779, <i>P</i> = 0.027 TMScr vs. VOLcr: <i>t</i> (7) = 0.445, <i>P</i> = 0.669
APB	<i>F(1,7)</i> = 2.742 , <i>P</i> = 0.142	F(1,7) = 8.47, P = 0.023	<i>F(1,7)</i> = 0.674 , <i>P</i> = 0.439	TMS vs. TMScr: <i>t</i> (7) = 0.448, <i>P</i> = 0.641 VOL vs VOLcr: <i>t</i> (7) = 1.520, <i>P</i> = 0.172 TMS vs VOL: <i>t</i> (7) = -0.066, <i>P</i> = 0.949 TMScr vs. VOLcr: <i>t</i> (7) = 1.248, <i>P</i> = 0.252
ADM	<i>F(1,7)</i> = 1.947, <i>P</i> = 0.206	<i>F(1,7)</i> = 9.091, <i>P</i> = 0.020	<i>F(1,7)</i> = 3.002, <i>P</i> = 0.127	TMS vs. TMScr: <i>t</i> (7) = 0.985, <i>P</i> = 0.358 VOL vs VOLcr: <i>t</i> (7) = 2.908, <i>P</i> = 0.023 TMS vs VOL: <i>t</i> (7) = -1.348, <i>P</i> = 0.220 TMScr vs. VOLcr: <i>t</i> (7) = 1.652, <i>P</i> = 0.143
FDS	<i>F</i> (1,7) = 0.943, <i>P</i> = 0.364	F(1,7) = 13.365, P = 0.008	<i>F(1,7)</i> = .028, <i>P</i> = 0.871	TMS vs. TMScr: <i>t</i> (7) = 2.819, <i>P</i> = 0.026 VOL vs VOLcr: <i>t</i> (7) = 2.411, <i>P</i> = 0.047 TMS vs VOL: <i>t</i> (7) = 0.910, <i>P</i> = 0.393 TMScr vs. VOLcr: <i>t</i> (7) = 0.580, <i>P</i> = 0.580
EDC	<i>F(1,7)</i> = 0.311, <i>P</i> = 0.594	F(1,7) = 7.718, P = 0.027	<i>F</i> (1,7) = 0.047, <i>P</i> = 0.835	TMS vs. TMScr: <i>t</i> (7) = 2.290, <i>P</i> = 0.056 VOL vs VOLcr: <i>t</i> (7) = 2.067, <i>P</i> = 0.078 TMS vs VOL: <i>t</i> (7) = 0.911, <i>P</i> = 0.392 TMScr vs. VOLcr: <i>t</i> (7) = 0.184, <i>P</i> = 0.859
FCR	<i>F</i> (1,7) = 1.094, <i>P</i> = 0.330	F(1,7) = 25.110, P = 0.002	<i>F</i> (1,7) = 0.278, <i>P</i> = 0.614	TMS vs. TMScr: <i>t</i> (7) = 2.862, <i>P</i> = 0.024 <b>VOL vs VOLcr: t</b> (7) = 3.732, <i>P</i> = 0.007 TMS vs VOL: <i>t</i> (7) = 0.413, <i>P</i> = 0.692 TMScr vs. VOLcr: <i>t</i> (7) = 0.837, <i>P</i> = 0.430
ECR	<i>F(1,7)</i> = 1.826, <i>P</i> = 0.219	<i>F(1,7)</i> = 12.770, <i>P</i> = 0.009	<i>F(1,7)</i> = 0.041, <i>P</i> = 0.846	TMS vs. TMScr: <i>t</i> (7) = 1.855, <i>P</i> = 0.106 VOL vs VOLcr: <i>t</i> (7) = 1.475, <i>P</i> = 0.118 TMS vs VOL: <i>t</i> (7) = 1.306, <i>P</i> = 0.233 TMScr vs. VOLcr: <i>t</i> (7) = 0.677, <i>P</i> = 0.520
Global	<i>F(1,7)</i> = 9.948, <i>P</i> = 0.016	F(1,7) = 138.798, P < 0.001	<i>F</i> (1,7) = 3.976, <i>P</i> = 0.086	TMS vs. TMScr: <i>t</i> (7) = 8.782, <i>P</i> < 0.001 VOL vs VOLcr: <i>t</i> (7) = 9.542, <i>P</i> < 0.001 TMS vs VOL: <i>t</i> (7) = 3.680, <i>P</i> = 0.008 TMScr vs. VOLcr: <i>t</i> (7) = 2.745, <i>P</i> = 0.029

950 Fig. 1. Experimental design. Synergies were extracted from measurements of the same eight muscles 951 using two different experimental approaches. On the left, TMS stimulation to locations on the motor 952 cortex (red, yellow, and blue markers indicate three such sites) were used to acquire MEPs (shaded 953 window) from eight wrist/hand muscles, shown as corresponding sets of 8 traces. On the right we show 954 EMG acquired during the voluntary production of 3 hand postures in the ASL alphabet. The shaded regions 955 indicate the window used for analysis. Muscle synergies were extracted using non-negative matrix 956 factorization (NMF) for TMS and ASL data, and compared to investigate whether TMS-induced muscle 957 synergies are consistent with those derived from voluntary hand movements. Data contained in this 958 graphic is provided only to depict the experimental design and is not meant for interpretation.

959

960 Fig. 2. Visualization of the muscles of the right forearm/hand from which EMG activity was recorded in

961 the experiment.

Fig. 3. Raw data for used for synergy extraction for a representative participant. *A*: All MEPs recorded during TMS mapping. A black vertical line indicates the time at which TMS was delivered. Grey shading indicates the region in peak-to-peak amplitude of the MEP was calculated. *B*: Rectified EMGs recorded for a single trial of the ASL task. Hand gestures for each sign are depicted in top left corner of each plot. Grey shading indicates the region used for calculation of the root meansquare used for synergy extraction.

Fig. 4. Group average reconstruction  $R^2$  by number of synergies for TMS (black) and Voluntary (grey) datasets. As was expected, the  $R^2$  curve for the shuffled data (dashed lines) increased approximately linearly with increase rank of the synergy matrix *A*: Error bars indicate standard deviation. *B*: Number of synergies chosen: Five muscle synergies were most commonly required to reconstruct 90% of the variance in muscle activation patterns from TMS induced MEPs and voluntary EMG during the ASL task.

975 Fig. 5. Top. Reconstruction (black-dash) and cross-reconstruction (grey-dot) of TMS (left) and voluntary (right) data for a single participant. Muscle specific  $R^2$  and  $R^2_{CR}$  values are displayed in black 976 and grey respectively to the right of each plot. Bottom. Group average  $R^2$  (black) and  $R_{CR}^2$  (grey) for 977 978 each muscle and all muscles as a set (global, rightmost bars on each plot), again with TMS on the left 979 and voluntary on the right. Note that vertical axes are labeled as variance explained (VE) to encompass both  $R^2$  and  $R^2_{CR}$ . Chance estimates of  $R^2$  and  $R^2_{CR}$ , derived from random shuffling of 980 981 muscle identities in the synergy bases, are displayed in red. Reconstructions that were significantly 982 different than chance (P < 0.05) are indicated with an asterisk.

Fig. 6. Cross-validated global reconstruction ( $R^2 \& R_{CR}^2$ ) for the reconstruction of voluntary EMG 984 985 during formation of ASL postures (Left) and MEPs recorded during TMS mapping of M1 (Right) for each participant. Black bars indicate the cross-validated global reconstruction  $R^2$  found from 986 987 reconstructing data using synergies derived from the same condition, and grey bars indicate the crossvalidated global cross-reconstruction  $R_{CR}^2$  found from reconstructing data using synergies derived from 988 989 the other condition. An independent sample t-test was used to test for differences between crossvalidated global reconstruction  $R^2$  and cross-validated global cross-reconstruction  $R^2_{CR}$  for each 990 participant. Significantly lower reconstruction values (\*, P < 0.05) when cross-fitting synergies from 991 992 one condition to the other (i.e., TMS to VOL) implies different synergy structure between the two 993 conditions. This finding is in agreement with group-level reconstruction and cross-reconstruction 994 results when the entire data set was used.

996 Fig. 7. Best-matching pairs of TMS-elicited synergies (black) and voluntary synergies (gray) for all individual 997 subjects. Synergy pairs for each individual are sorted from left to right based on the magnitude of the dot 998 product (number above each bar plot). Asterisks indicate dot-products that were significantly different from 999 the chance distribution (depicted to the right of matched pairs for each participant) determined by 1000 randomly shuffling the muscle identities (1,000 times per synergy) of TMS and VOL synergies and 1001 computing all possible dot products between shuffled synergies. The vertical red line indicates the 95th 1002 percentile of the chance distribution used as a threshold for significance and vertical black lines indicate 1003 the dot products of real matched pairs (values shown above each pair in the bar plots).

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1005 Fig. 8. A: Group mean synergies derived from TMS (left) and voluntary data. Synergies were sorted within 1006 each dataset using one subject selected at random, as a template synergy. Individual subject muscle 1007 synergy coefficients are represented with narrow bars, and the sample means for each muscle are 1008 represented by wide transparent bars with thick black outlines and error bars (± 1STD). Numbers just below 1009 each synergy label report the average dot product along with standard deviation between individual subject 1010 muscle coefficients and their respective group mean muscle coefficients for that synergy. Synergies are 1011 sorted top to bottom by the magnitude of the dot product between group average TMS and Voluntary 1012 synergies. B: Group average synergies, TMS in black and Voluntary in grey, are displayed back to back for ease of comparison. The dot product of each matched pair was significantly different (> 95<sup>th</sup> percentile) 1013 1014 from a chance distribution determined by randomly shuffling the muscle identities (1,000 times per 1015 synergy) of group mean TMS and VOL synergies and computing all possible dot products between 1016 shuffled synergies.

1017

- 1018 Fig. 9. A: Composition, and B: Incidence of muscle synergy clusters. Five synergies were identified from
- 1019 each dataset (TMS,VOL) and clustered into nine groups (C1-C9).





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C1 C2 C3 C4

C4 C5 C6 C7

C8

C9

# Similarity of Hand Muscle Synergies Elicited by Transcranial Magnetic Stimulation and Those Found During Voluntary Movement

## **METHODS**

