



# Compact pebbles and the evolution of volatiles in the interstellar comet 2I/Borisov

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The interstellar traveller, 2I/Borisov, is the first clearly active extrasolar comet ever detected in our Solar System. We obtained high-resolution interferometric observations of 2I/Borisov with the Atacama Large Millimeter/submillimeter Array (ALMA) and multi-colour optical observations with the Very Large Telescope (VLT) to gain a comprehensive understanding of the dust properties of this comet. We found that the dust coma of 2I/Borisov consists of compact 'pebbles' of radii exceeding ~1 mm, suggesting that the dust particles have experienced compaction through mutual impacts during the bouncing collision phase in the protoplanetary disk. We derived a dust mass-loss rate of  $\gtrsim$ 200 kg s<sup>-1</sup> and a dust-to-gas ratio  $\gtrsim$ 3. Our long-term monitoring of 2I/Borisov with the VLT indicates a steady dust mass-loss with no significant dust fragmentation and/or sublimation occurring in the coma. We also detected emissions from carbon monoxide (CO) gas with ALMA and derived the gas production rate of Q(CO) = (3.3 ± 0.8) × 10<sup>26</sup> s<sup>-1</sup>. We found that the CO/H<sub>2</sub>O mixing ratio of 2I/Borisov changed drastically before and after perihelion, indicating the heterogeneity of the cometary nucleus, with components formed at different locations beyond the volatile snow-line with different chemical abundances. Our observations suggest that 2I/Borisov's home system, much like our own system, experienced efficient radial mixing from the innermost parts of its protoplanetary disk to beyond the frost line of CO.

lanetary systems are born out of interstellar clouds of gas and dust grains, where dust plays an important role in radiative cooling of collapsing clouds as well as serving as seeds for condensation and accretion of the building blocks of planetary bodies. Dust particles are rich in information on their formation and evolution history, such as the transport and collisional processes in protoplanetary disks. However, it is nearly impossible to perform in-depth investigations on the dust properties around other stars due to the large distances and faintness of these systems.

Interstellar objects (ISOs) are planetesimals, the building blocks of planets, kicked out of their native planetary systems<sup>1</sup>. Some of these interstellar wanderers eventually pass through our Solar System, providing us rare opportunities to characterize exo-planetesimals in unprecedented detail. The first ISO, 1I/'Oumuamua, was discovered in 2017 and exhibited a point-like appearance with no sign of cometary activity<sup>2,3</sup>. In contrast, the second ISO, 2I/Borisov, which was discovered in August 2019, unambiguously exhibited a coma and tail upon discovery<sup>4</sup>. The detection of typical cometary emissions such as CN (ref. <sup>5</sup>) and C<sub>2</sub> (ref. <sup>6</sup>) makes it the first obviously active extrasolar comet ever detected in our Solar System. In addition, this comet is rich in supervolatile CO (refs. <sup>7,8</sup>), regardless of its small nucleus <sup>9,10</sup>, which indicates that the nucleus of 2I/Borisov is likely to be pristine.

Solar System comets consist of ices and dust, where the main ice species are  $\rm H_2O$ ,  $\rm CO$  and  $\rm CO_2$  (ref.  $^{11}$ ) and the dust generally consists of silicates, oxides and sulfides, as well as high-molecular-weight refractory organics and amorphous carbon materials  $^{12,13}$ . When a comet enters the inner Solar System, a coma of dust and gas and/or tails of dust and plasma begin to develop around the nucleus due to sublimation of surface ices in the heat of the Sun and the embedded dust particles are dragged out by the expanding gas  $^{14}$ . In recent years, in situ observations of the comet  $67P/\rm Churyumov-Gerasimenko$ 

(hereafter 67P) by the European Space Agency's Rosetta spacecraft greatly enhanced our understanding of comets. The nucleus of 67P is thought to be a primordial rubble pile  $^{15}$  and its dust particles have an irregular, fluffy structure  $^{13}$ , with sizes varying widely from  $\sim\!1~\mu m$  to nearly 1 m (refs.  $^{16,17}$ ). Rosetta measurements suggest that comets in our Solar System formed in a wide region beyond proto-Neptune and were scattered by giant planet migration to their present reservoirs  $^{14}$ .

We obtained high-angular-resolution continuum observations of 2I/Borisov with ALMA in bands 3 and 4 (3 December 2019) and bands 6 and 7 (2 December 2019), which is less than a week before the comet reached perihelion at  $r_{\rm h}$ = 2.007 au on 8 December 2019. The ALMA dust continuum images of 2I/Borisov from 850 µm to 2.84 mm are shown in Fig. 1. We detected the dust continuum emission at the centre of the field of view in three out of four bands, at 350.98 GHz (band 7), 236.04 GHz (band 6) and 139.45 GHz (band 4). In band 4 and band 6, there were some non-negligible structures near the centre, which could be associated with the comet. However, due to the limited signal-to-noise ratio of these structures, we excluded them from further analysis and only measured the flux at the centre of the image within one ALMA beam, where the strongest signal was detected.

We modelled the measured thermal flux of 2I/Borisov, using aggregates of amorphous silicates and amorphous carbon grains (see Methods)<sup>18</sup>. We found that very large, 'pebble'-like compact particles of radii  $a \gtrsim 1$  mm are required to reproduce the ALMA photometry. Here we follow the official terminology of the United States Geological Survey (USGS) for which 'pebbles' refer to millimetre- to centimetre-sized particles<sup>19</sup>, which are smaller than those centimetre- to metre-sized pebbles typically considered in the 'pebble accretion' growth of planetesimals<sup>20</sup>.

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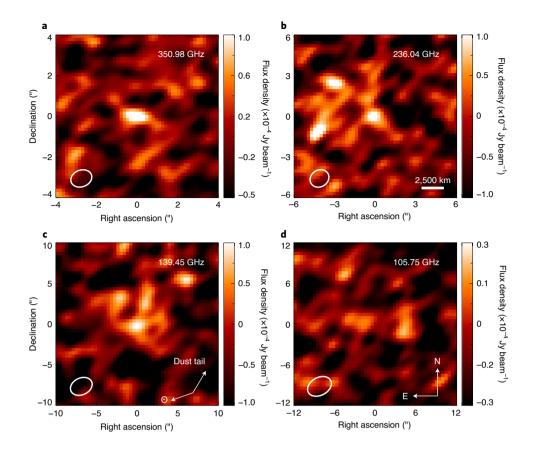


Fig. 1 | ALMA dust thermal continuum maps of 2l/Borisov. a-d, Maps at 0.85 mm (a), 1.27 mm (b), 2.15 mm (c) and 2.84 mm (d). The observations of the dust thermal continuum emission for the coma around the ISO 2l/Borisov were made at a heliocentric distance of  $r_{\rm h} \approx 2.01$  au and a geocentric distance of  $\Delta \approx 2.03$  au. The colour bars indicate the thermal emission flux density in units of jansky per beam. The projected position angles of the extended Sun-to-target vector and dust-tail orientation on 3 December 2019 are labelled on the lower right. The full-width at half-maximum of the spatial resolution element for each band is labelled as the oval symbol on the lower left.

As shown in Fig. 2, smaller particles with  $a < 1 \,\mathrm{mm}$  or larger porous particles with a > 1 mm would emit too little at wavelengths  $\lambda \gtrsim 1,300 \,\mu\text{m}$  to account for the ALMA photometry. As a matter of fact, the ALMA photometry closely resembles blackbody emission. In comparison, most Solar System comets exhibit a steeper spectral energy distribution (SED) in the submillimetre and millimetre wavelength range<sup>21</sup>. To emit like a blackbody, these dust particles have to be much larger than the wavelength (that is,  $a \gg \lambda/2\pi$ ; ref. <sup>22</sup>), which, at the ALMA bands, is indicative of millimetre-sized (or larger) pebbles. These pebbles are in the geometrical optics regime so that their absorption cross-sections are essentially constant from the ultraviolet all the way to the submillimetre and millimetre wavelengths<sup>22</sup>. The dust particles attain an equilibrium temperature of  $T \approx 197 \,\mathrm{K}$ at  $r_b = 2.01$  au and their emission spectra are blackbody-like (see Methods). We have also fitted the SED in terms of the cometary dust size distribution reported in ref. 23 that also suggests the predominant presence of pebble-like dust particles of  $a \gtrsim 1$  mm (Fig. 2). Other ground-based optical observations yielded an effective dust radius from 100 µm up to 3 mm for 2I/Borisov (October 2018-May 2019<sup>24</sup>, September-October 2019<sup>25</sup>, November-December 2019<sup>26</sup>). Hubble Space Telescope (HST) observations of this ISO near its perihelion reveal a slight asymmetry in the dust coma that requires the presence of millimetre-sized particles<sup>27</sup>.

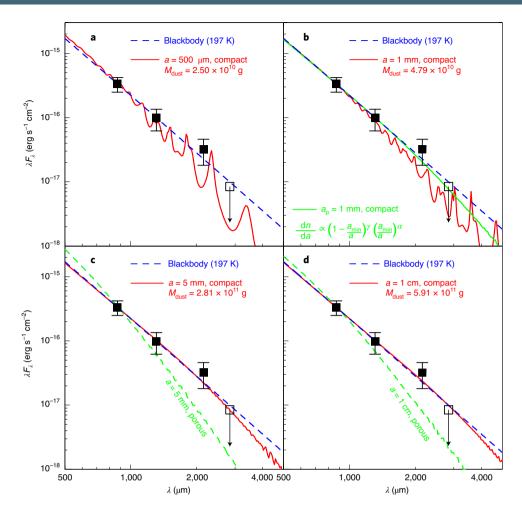
Assuming an effective dust size of ~5 mm, we obtained a total dust mass-loss of ~2.81×10<sup>8</sup> kg within the ALMA beam (Fig. 2). Larger pebbles (for example,  $a \ge 1$  cm) emit less effectively at the ALMA bands and cannot be well constrained, therefore, our dust mass-loss estimate is considered as a lower limit (see Methods).

Adopting a dust velocity of  $3\,\mathrm{m\,s^{-1}}$  for millimetre-sized particles around perihelion<sup>26</sup> and an effective beam size of 2.8'' (projected distance of  $4.12\times10^3\,\mathrm{km}$ ), we obtained a beam crossing time  $\tau\approx1.36\times10^6\,\mathrm{s}$ , in turn, a dust mass-loss rate  $Q(\mathrm{dust})\approx200\,\mathrm{kg\,s^{-1}}$ . Similar results were obtained if we considered the dust size distribution reported in ref. <sup>23</sup> that peaks at  $a_\mathrm{p}=1\,\mathrm{mm}$  and requires a total dust mass-loss of  $\sim2.57\times10^8\,\mathrm{kg}$  (see Methods).

In complement to the ALMA observations, we also monitored the dust activity of 2I/Borisov with the FOcal Reducer and low dispersion Spectrograph 2 (FORS2) on the VLT from November 2019 until March 2020. Using the FORS observations, we measured and calculated the  $Af\rho$  quantities, where A is the reflectivity of the dust grains, f is the dust filling factor and  $\rho$  is the radius of the aperture at the distance of the comet, and derived order-of-magnitude dust mass-loss rates (see Methods), which are listed in Supplementary Table 2. We note that the dust mass-loss rate obtained using ALMA is at least four times higher than the rates derived from optical observations (our FORS observations and refs. 26,27). While the Afρ-based Q(dust) rates derived from the FORS observations are just order-of-magnitude estimates, it is actually not surprising that the ALMA-based Q(dust) rate considerably exceeds the  $Af\rho$ -based rates. It is well recognized that the bulk of the particulate coma mass is typically carried by large dust particles and optical observations preferentially sample the smaller particles since they are typically more abundant and contribute to a larger fraction of the optical cross-sections than do the mass-dominant larger particles<sup>18</sup>.

Our FORS monitoring observations show that the dust mass-loss rates only exhibit small variations with respect to heliocentric dis-

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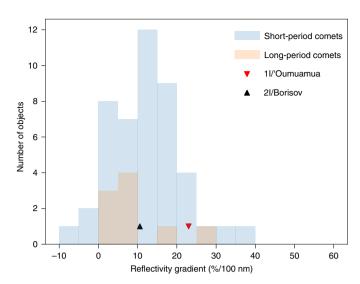
**Fig. 2 | Dust thermal emission models and ALMA photometry of 2l/Borisov. a-d**, The ALMA photometric data of comet 2l/Borisov are shown as black squares for band 7 (350 GHz, 0.85 mm), band 6 (236 GHz, 1.27 mm) and band 4 (139 GHz, 2.15 mm). The comet is not detected at band 3 (106 GHz, 2.84 mm) and the flux measurement at the 1σ upper limit is shown as an open square. Arrow indicates  $1\sigma$  upper limits, and error bars indicate  $1\sigma$  uncertainties. Blue dashed lines shows the blackbody fit for a temperature of T=197 K, the equilibrium temperature expected for pebble-like, millimetre-sized (and larger) particles at  $r_h=2.01$  au. Red solid lines show the model SED calculated from compact, spherical particles of amorphous silicates and amorphous carbon of single radii of 500 μm (**a**), 1mm (**b**), 5 mm (**c**) and 1 cm (**d**). For particles of sizes a=500 μm (**a**) and 1 mm (**b**), the model SEDs exhibit pronounced resonant fine structures due to interference effects<sup>22</sup>, which will be smoothed out if dust size distributions are considered. Indeed, the model SED arising from compact, spherical particles of the cometary dust size distribution reported in ref. <sup>23</sup> of which the size peaks at  $a_p=1$  mm and the area-weighted size peaks at ~2.3 mm (green solid line in **b**) is smooth and featureless (see Methods). For particles of sizes a=5 mm (**c**) and 1 cm (**d**), the model SEDs do not show any appreciable resonant structures in the ALMA wavebands because these pebbles are approaching the geometrical optics regime of  $2\pi a/\lambda \gg 1$  (ref. <sup>22</sup>). Also shown are the SEDs calculated from porous particles of porosity P=0.80 (green dashed lines) and of radii 5 mm (**c**) and 1 cm (**d**).

tances during the monitoring period. Other observations  $^{24,26}$  and dynamical dust modelling also indicate that the dust production rate had been quite stable for weeks, possibly months before the observations. We therefore adopted a constant dust production rate of  $200\,{\rm kg\,s^{-1}}$  and obtained the total mass loss of  $2.0\times10^9\,{\rm kg}$  during the period between the discovery and the arrival at the perihelion. Adopting a typical bulk density of  $500\,{\rm kg\,m^{-3}}$  for the nucleus  $^{28}$  and assuming uniform erosion of the nucleus surface (with  $r_{\rm n}\!=\!0.4\,{\rm km}$  for the nucleus radius; ref.  $^{10}$ ), we find that a layer of at least 2 m was eroded from 2I/Borisov's surface since it entered the inner Solar System in late August 2019.

Our spectroscopic and multi-band photometric observations with FORS show that the dust coma of 2I/Borisov appears slightly redder than the Sun in the optical with an average reflectivity  $S'=(9\pm5)\%/100$  nm, consistent with other studies <sup>10,29</sup>. In comparison, the surface of 1I/'Oumuamua had a much redder slope of  $(23\pm3)\%/100$  nm (ref. ²), as illustrated in Fig. 3. We found that the

dust colour and the dust coma profile showed no sign of evolution with time. For cometary dust, the optical colour depends mainly on the particle size distribution, rather than on the dust composition<sup>30</sup>. The absence of temporal and spatial colour variations indicates that no significant dust fragmentation and/or sublimation from grains occurred in the coma of 2I/Borisov, which is consistent with the lack of icy particles in the coma<sup>31</sup>.

Besides the dust continuum, we also detected gas emission from the CO J=3-2 and J=2-1 transitions in ALMA bands 6 and 7, respectively (Fig. 4). The emission was confined to a single spectral channel in each case, centred on the radial velocity of the nucleus. The two CO lines were modelled simultaneously, allowing the temperature T and production rate Q(CO) to vary as free parameters until the best fit to the observed spectra was obtained. The best-fitting model gives  $Q(CO) = (3.3 \pm 0.8) \times 10^{26} \, \text{s}^{-1}$  and  $T \approx 23 \, \text{K}$ . However, the temperature is not well constrained by the observations, with a  $1\sigma$  error range of  $\sim 13-181 \, \text{K}$ . Using a water production



**Fig. 3 | Optical reflectivity gradient of 2I/Borisov.** The optical reflectivity gradient of 2I/Borisov is compared with 1I/'Oumuamua<sup>2</sup> and Solar System comets<sup>62</sup>. The reflectivity gradient histograms are for short- and long-period comets from the Solar System<sup>62</sup>.

rate  $Q(H_2O) = (10.7 \pm 1.2) \times 10^{26} \,\text{s}^{-1}$  on 1 December 2019 (ref. <sup>32</sup>), we derived a CO/H<sub>2</sub>O mixing ratio of  $(31 \pm 8)\%$ .

Around perihelion, the water production rate is ~30 kg s<sup>-1</sup> (ref.  $^{32}$ ) and the CO production rate is ~15–20 kg s<sup>-1</sup> (this study; see also ref.  $^{8}$ ). If we assume the CO<sub>2</sub> production rate is comparable to that of CO, similar to the case of 67P (ref.  $^{33}$ ), then the total gas production rate is ~60–70 kg s<sup>-1</sup>. Our ALMA observations indicate that the dust mass-loss rate is  $\gtrsim$ 200 kg s<sup>-1</sup>, in turn, the dust-to-gas ratio of 2I/Borisov is  $\chi_{21} \gtrsim 3$ . In comparison, comet C/1995 O1 (Hale–Bopp) has  $\chi_{\text{HP}} > 5.0$  (ref.  $^{21}$ ) and 67P has  $\chi_{67P} \approx 0.6$ –6 (refs.  $^{16,34,35}$ ), while most Solar System comets exhibit a dust-to-gas ratio around 1 or less  $^{36,37}$ . Theoretical studies have shown that for comets formed through the gravitational collapse of pebble clouds, their dust-to-gas ratios would be in the range of  $3 \lesssim \chi \lesssim 9$  (ref.  $^{38}$ ). The relatively high dust-to-gas ratio of 2I/Borisov is consistent with the scenario of its formation in a collapsing pebble cloud.

While Solar System cometary dust particles are largely comprised of irregular, fluffy aggregates <sup>13,39</sup>, the predominance of compact particles in the coma of 2I/Borisov suggests that the dust particles of this ISO have experienced mutual impacts during the bouncing collision phase in the protoplanetary disk <sup>10,41</sup>, which led to the reduction of the empty space inside the fluffy particles and ultimately restructuring fractal particles into pebbles <sup>16,41</sup>. The high collision rate suggests that the large dust particles in 2I/Borisov must have formed in the inner protoplanetary disk of its parental star. The compaction of porous particles could also result from the packing forces produced by an anisotropic sublimation of the surface layers of loosely conglomerated fluffy aggregates <sup>42</sup>.

Comparing the pre-perihelion CO production rate obtained in this study to other CO production rates obtained post-perihelion<sup>7,8</sup>, it is apparent that the CO sublimation rate of 2I/Borisov varied significantly around perihelion, and is decoupled from the water production. As discussed in refs. <sup>7,32</sup>, the release of water peaked when 2I/Borisov was closest to the Sun. After perihelion, the water production dropped sharply by a factor of 5 in 20 days<sup>32</sup>. In contrast, the CO production rate increased steadily as the comet approached the Sun, and reached the peak about a month after perihelion. The abundance of CO with respect to H<sub>2</sub>O increased from about 30% (pre-perihelion) to nearly 160% (post-perihelion), making 2I/Borisov the most CO-rich comet observed within 3 au of the Sun<sup>7,8</sup>.

The decoupled CO and H<sub>2</sub>O sublimation pattern as well as the large variation in the CO/H2O ratio has been observed previously in Solar System comets. For instance, the CO-rich comet C/2009 P1 (Garradd) showed H<sub>2</sub>O production peaking ~50 days before perihelion while the CO production rate increased monotonically until 100 days post-perihelion<sup>43</sup>. The asymmetric activity of C/2009 P1 is attributed either to a seasonal effect, where the sublimation is coming from two separate active regions that have different compositions, or to the exposure of different sub-layers of the nucleus, with differing CO/H<sub>2</sub>O ratios<sup>43</sup>. As discussed earlier, the surface layer of the nucleus of 2I/Borisov had eroded at least 2 m when it reached perihelion. The thickness of eroded materials is comparable to the depth, in the range of 1-10 m, of the devolatilized mantle that is heavily processed by cosmic-ray irradiation or supernova heating<sup>44,45</sup>. The post-perihelion increase in CO activity could be due to the exposure of the volatile-rich deeper layers as a result of surface erosion. However, unveiling sub-surface fresh materials is not compatible with the steep decline in the post-perihelion water production rate. In addition, our FORS spectroscopic observations found that the production rates of other species, that is, CN, C<sub>2</sub>, NH<sub>2</sub>, also followed the H<sub>2</sub>O production rate and dropped steadily after perihelion<sup>46</sup>.

Rosetta observations of 67P revealed that the peak of water flux was confined to the sub-solar latitude either on the northern hemisphere or the southern hemisphere, whereas the hypervolatile CO<sub>2</sub> and CO gases were not specifically originating from the sunlit regions<sup>33</sup>. Two studies based on the HST observations of 2I/ Borisov suggest that the sub-solar latitude of the nucleus evolved either from -35° to 0° or from -10° to 70° between August 2019 and January 2020<sup>27,47</sup>. The drastic drop in the water production rate of 2I/Borisov may have been due to the possibility that water sublimation was mainly coming from a confined region on the surface of the nucleus. Post-perihelion, this region rotated away from the Sun, leading to decreasing water sublimation rates. Consistently, post-perihelion ALMA observations revealed that the source of HCN (possible parent molecule of CN) outgassing was confined to a narrow region in the plane of the sky, whereas CO outgassing was more isotropic<sup>8</sup>. The evolution of the CO/H<sub>2</sub>O ratio is unlikely due to exposing fresh subsurface materials and, therefore, does not represent the pristine abundance ratio. Instead, the large variation in the CO/H<sub>2</sub>O ratio reflects a heterogeneity of the cometary nucleus, most likely with components formed at different locations beyond the volatile snow-line, with differing chemical abundances.

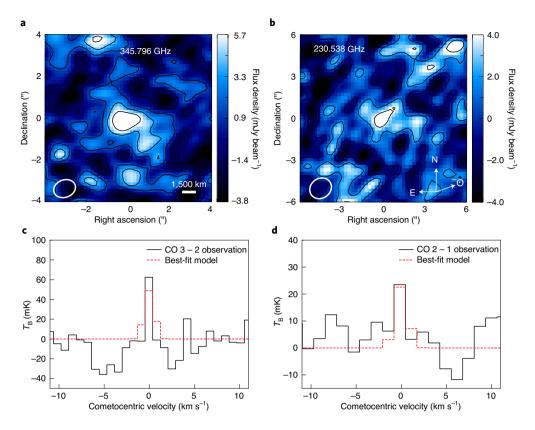
Our ALMA and VLT observations indicate that 2I/Borisov's home planetary system, much like our own Solar System, had experienced efficient radial mixing from the innermost parts of its protoplanetary disk to beyond the frost line of CO. Among a number of probable mechanisms that have been proposed for the origin of ISOs<sup>3</sup>, gravitational interactions between planetesimals in the protoplanetary disk and growing giant planets is favoured, as it can explain both the ejection of ISOs from their home systems as well as account for the strong radial transport of materials in the disk<sup>48</sup>. While the most common planets in other exoplanetary systems seem to be super-Earths and mini-Neptunes<sup>49</sup>, our study suggests the presence of giant planets in the home system of 2I/Borisov.

### Methods

2I/Borisov was observed with ALMA during Cycle 7, under the Director's Discretionary Time program 2019.A.00002.S. The observations were carried out 5 and 6 days before perihelion for bands 3 and 4 (UT 3 December 2019) and bands 6 and 7 (UT 2 December 2019), respectively. Our observations were performed using the C43-2 nominal configuration, spanning baselines 15–161 m, which provided an angular resolution of  $1^{\prime\prime}$  (4") at 350 GHz (109 GHz). The flux scale was calibrated with respect to the quasar J1130-1449.

For the continuum observations, we used the largest bandwidth of 7.5 GHz, by combining the four 1.875 GHz base-bands in dual-polarization mode. The correlator was configured to observe rotational transitions of CO J=2-1

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**Fig. 4 | CO** flux maps and spectra of 2l/Borisov obtained with ALMA. **a,b**, The CO emissions were detected at the centre of each image in ALMA band 7 (**a**) and band 6 (**b**). **c,d**, The ALMA interferometric spectra of CO (J=3-2) (**c**) and CO (J=2-1) (**d**) of 2l/Borisov were extracted at the respective emission peaks of each line.  $T_B$  is the main-beam temperature. The best-fitting radiative transfer models are overlaid (see Methods).

(230.538 GHz) in band 6 and CO J = 3 – 2 (345.796 GHz) in band 7, with a spectral resolution of 1.128 MHz and a channel spacing of 977 kHz. The data reduction was performed using CASA version 5.6.1-8 and ALMA Pipeline version r42866, and the imaging was performed using the CASA tclean task with natural weighting. Since we were tracking the comet at a rate of 0.03'' s<sup>-1</sup> throughout the observations, any background sources were expected to be smeared in the final images. As shown in Fig. 1, there are some structures near the centre of the image, close to the expected location of the comet. Given the high sensitivity and spatial resolution of ALMA at the time of the observation, it is possible that some dust coma structure can be resolved. However, due to the low signal-to-noise ratio of these features, we cannot confirm the extended features are real features. Therefore, we only measured the flux at the very centre of each image where the strongest signal was detected.

Owing to the wide wavelength coverage, the image resolution/beam size is significantly different ( $\theta_7 = 1.08'' \times 0.84''$ ,  $\theta_6 = 1.53'' \times 1.31''$ ,  $\theta_4 = 2.84'' \times 2.09''$ ,  $\theta_3 = 4.05'' \times 2.83''$ ), where  $\theta$  is the spatial resolution element and is shown as the oval symbol in Fig. 1. To make a consistent measurement of the continuum flux over the four bands, we convolved all the continuum images with a fixed resolution element of  $3.4'' \times 2.3''$ . We integrated total flux within one full-width at half-maximum of the resolution element in the re-convolved images. To estimate the noise level, we measured the root mean square (r.m.s.) within one beam at multiple locations that are close to the centre for each band, using the CASA viewer statistic task. We then calculated the mean of the measured r.m.s. values as the final noise estimate for each band. The measured total flux as well as the noise in each band is listed in Table 1.

We modelled the dust thermal emission of 2I/Borisov in terms of cometary dust paricles—porous aggregates of amorphous silicates and amorphous carbon grains that are commonly used for modelling the dust-scattered sunlight and dust thermal emission of comets  $^{50,51}$ . The dust is characterized by: (1) size, a—the radius of the sphere encompassing the entire aggregate (we assume that all grains are spherical in shape); (2) porosity, P—the fractional volume of vaccum; and (3) mixing mass ratio,  $m_{\rm curb}/m_{\rm sib}$  for the amorphous silicate and amorphous carbon constituent grains. We used Mie theory combined with the Bruggman effective medium theory  $^{22}$  to calculate  $C_{\rm abs}(a,\lambda)$ , the absorption cross-sections of spherical porous aggregates of radii a at wavelength  $\lambda$ . We took the dielectric functions from ref.  $^{52}$  for the amorphous silicate dust and from ref.  $^{53}$  for the amorphous organic carbon dust. We adopted  $m_{\rm carb}/m_{\rm sil}$  = 0.5, as implied from the mass spectrometry

of the dust particles from 67P measured with the Cometary Secondary Ion Mass Analyzer (COSIMA) onboard Rosetta<sup>54</sup>. The chemical composition of the dust grains from comet Halley as inferred from the impact-ionization time-of-flight mass spectrometry<sup>55</sup> suggested  $m_{\rm carb}/m_{\rm sil}\approx 0.8$ . We note that since the observed SED in the ALMA bands closely resembles blackbody emission (Fig. 2), the exact dust composition is less relevant. Indeed, our calculations show that both pure silicate particles and pure amorphous carbon particles can reproduce the ALMA observations, provided that they are millimetre-sized (or larger) pebbles.

At a heliocentric distance  $r_{\rm h}$ , a spherical dust particle of radius a attains an equilibrium temperature of T through balancing the absorption with the emission:

$$\int_{0}^{\infty} C_{\text{abs}}(a,\lambda) \left(\frac{R_{\odot}}{2r_{\text{h}}}\right)^{2} F_{\lambda}^{\odot} d\lambda$$

$$= \int_{0}^{\infty} C_{\text{abs}}(a,\lambda) 4\pi B_{\lambda} \left(T[a,r_{\text{h}}]\right) d\lambda,$$
(1)

where  $R_{\odot} \approx 4.65 \times 10^{-3}$  au is the solar radius,  $F_{\odot}^{\bigcirc}$  is the flux per unit wavelength (erg s<sup>-1</sup> cm<sup>-3</sup>) at the top layer of the solar atmosphere, and  $B_{s}(T)$  is the Planck

**Table 1** | Photometric measurements of the thermal emission of the dust coma over four ALMA bands

Band	Frequency (GHz)	I <sub>time</sub> (min)	θ (")	Flux density (μJy)	r.m.s. (μJy)	σ
7	350.98	41	3.4×2.3	94	25	3.8
6	236.04	34	$3.4 \times 2.3$	44	15	2.9
4	139.45	55	$3.4 \times 2.3$	21	7	3.0
3	105.75	72	$3.4 \times 2.3$	8	6	1.3

 $l_{\text{time}}$  is the total on-source observing time in minutes and  $\Theta$  is the spatial resolution element (elliptical beam full-width at half-maximum). The total flux density is summed up within one ALMA beam for each band. The contributions of the nucleus to the flux densities at bands 7, 6, 4 and 3 are at most -3.73, 1.65, 0.64 and 0.36  $\mu$ Jy, respectively, for a nucleus upper-limit radius of -0.4 km (ref.  $^{(0)}$ ) and a Bond albedo of 0.04 that corresponds to an effective temperature of -195 K at  $r_{\rm r}$  = 2.01 au.

function at temperature T and wavelength  $\lambda$ . We note that in equation (1), the factor 1/2 arises from the 'dilution' factor

$$\omega = \frac{1}{2} \left\{ 1 - \sqrt{1 - (R_{\odot}/r_{\rm h})^2} \right\} , \qquad (2)$$

which measures the factor by which the energy density in the radiation field is reduced as the source of radiation moves to a large distance. At the 'surface' of the Sun (that is,  $r_h = R_\odot$ ), it is obvious that  $\omega = 1/2$  (actually a little less because of limb darkening). At  $r_h \gg R_\odot$ ,  $\omega \approx (R_\odot/2r_h)^2$ . Assuming the coma consists of dust particles of a single size a and a total mass of  $M_{\rm dust}$ , at a comet–Earth distance of  $\Delta$ , we calculate the dust flux density from

$$F_{\lambda}(a, r_{\rm h}) = \frac{4\pi B_{\lambda}(T[a, r_{\rm h}]) \ C_{\rm abs}(a, \lambda)}{4\pi \Delta^2} \times \frac{M_{\rm dust}}{(4\pi/3) \ a^3 \rho_{\rm CD}} \quad , \tag{3}$$

where  $\rho_{\rm CD}$  is the mass density of cometary dust (for compact dust,  $\rho_{\rm CD}\approx 2,650~{\rm kg\,m^{-3}}$ ; for porous dust of porosity  $P=0.80, \rho_{\rm CD}\approx 530~{\rm kg\,m^{-3}}$ ; ref. <sup>56</sup>). We have also modelled the SED in terms of the cometary dust size distribution reported in ref. <sup>23</sup>  $dn/da \propto (1-a_{\rm min}/a)^{\gamma} \times (a_{\rm min}/a)^{\alpha}$  in the size range of  $a_{\rm min} < a < a_{\rm max}$  where  $\gamma$  and  $\alpha$  are exponents that, respectively, control the slopes at small and large sizes. For such a function, the size distribution peaks at  $a_{\rm p}=a_{\rm min}\times(\gamma+\alpha)/\alpha$  and the area-weighted size distribution is skewed towards larger particles and peaks at  $a_{\rm p}'=a_{\rm min}\times(\gamma+\alpha-2)/(\alpha-2)$ . As far as the dust thermal emission is concerned, the area-weighted size peak  $a_{\rm p}'$  is more meaningful than  $a_{\rm p}$  since  $F_{\lambda} \propto C_{\rm abs}(a,\lambda)$  and  $C_{\rm abs}(a,\lambda) \approx \pi a^2$  for dust particles in the geometrical optics limit.

By varying the lower and upper cut-off sizes  $(a_{\min}, a_{\max})$  as well as the power exponents  $(\alpha, \gamma)$ , close fits to the observed SED are achieved if the dust particles are predominantly millimetre-sized (or larger). As illustrated in Fig. 2b, with  $\alpha = 3.5$  (ref. <sup>57</sup>),  $a_{\min} = 1 \,\mu\text{m}$  and  $a_{\max} = 1 \,\text{cm}$ , compact, spherical dust particles with the size distribution reported in ref. <sup>23</sup> peaking at  $a_p = 1$  mm and a total dust mass of  $M_{\rm dust} \approx 2.57 \times 10^8$  kg could reproduce the ALMA photometry fairly well. In contrast, dust particles with the same size distribution but peaking at  $a_n = 100 \,\mu\text{m}$ emit too little at  $\lambda \ge 1,300 \, \mu m$ . Compared with that calculated from dust particles of a single size a = 1 mm (see also Fig. 2b), the model emission spectrum from those with the  $a_p = 1$  mm cometary dust size distribution reported in ref. <sup>23</sup> is smooth and does not show the resonant structures. These resonant structures seen in the emission spectra of single-sized particles arise from the interference between the incident and forward-scattered sunlight that results in broad peaks in the absorption cross-sections of single-sized dust particles<sup>22</sup>. The positions of these interference-caused maxima and minima in the absorption cross-sections are size dependent and therefore a size distribution would smear out these resonant structures. Also, the model SED calculated from dust particles with the  $a_p = 1$  mm cometary dust size distribution reported in ref. 23 agrees better with the ALMA photometry since the area-weighted size distribution is skewed to larger particles and peaks at  $a'_{\rm p} \approx 2.3$  mm.

Equation ( $^3$ ) clearly shows that for the model SED to resemble the blackbody-like SED observed by ALMA, the dust absorption cross-sections  $C_{\text{abs}}(a,\lambda)$  have to be independent of wavelength in the ALMA bands, that is, the dust particles have to be in the geometrical optics regime in the ALMA bands (and by implication, in shorter wavelengths as well) with  $2\pi a/\lambda \gg 1$  and  $2\pi a/m - 1/\lambda \gg 1$ , where  $m(\lambda)$  is the dust complex index of refraction  $^{22}$ . Under the geometrical optics condition,  $C_{\text{abs}}(a,\lambda) \approx \pi a^2$ , and from equation (1) we derive  $T(a,r_h) \approx 279(r_h/\text{au})^{-0.5}$  K, which corresponds to  $T \approx 197$  K at  $r_h = 2.01$  au. The required dust mass linearly increases with the dust size:

$$M_{\rm dust} = \frac{\Delta^2 F_{\lambda}^{\rm obs}}{B_{\lambda}(T[a, r_{\rm h}])} \times \left(\frac{4a \,\rho_{\rm CD}}{3}\right),\tag{4}$$

where  $F_{\lambda}^{\text{obs}}$  is the flux density observed by ALMA. We note that for porous dust, the effective index of refraction  $m(\lambda)$  is reduced and the geometrical optics condition of  $2\pi a|m-1|/\lambda\gg 1$  may not be met even if  $2\pi a/\lambda\gg 1$ . This is why millimetre-sized, porous pebbles do not emit like blackbodies and fail to reproduce the observed SED (Fig. 2). The fits provided by smaller porous particles are even worse since they are even further away from the geometrical optics regime.

We have so far assumed the dust particles to be spherical. However, cometary dust particles often have an irregular shape and fluffy structure<sup>13</sup>. Unfortunately, there is no accurate solution to the absorption and scattering of light by millimetre-sized, irregular particles. The discrete dipole approximation, a powerful method for modelling the interaction between light and irregular particles, is limited to dust of sizes not much larger than the incident wavelength<sup>58</sup>. Nevertheless, the assumption of spherical shapes (together with the Bruggeman or the Maxwell-Garnett effective medium theories for inhomogeneous grains) is sufficient in modelling the featureless millimetre and submillimetre thermal continuum emission.

We also note that Rosetta observed that a certain amount of large, decimetre-sized particles emitted from the nucleus eventually fall back onto the surface of 67P (ref.  $^{10}$ ), although the fraction of fall back is currently not well

constrained. Given the smaller and lighter nucleus of 2I/Borisov, the cut-off dust size should be larger than decimetres. As discussed earlier, decimetre-sized particles are not sampled by ALMA observations. Therefore, we did not consider the fall-back effect when estimating the total dust mass loss. Our dust mass-loss estimate as well as the dust-to-gas ratio are both considered as a lower limit.

The velocity-integrated CO line flux is  $\int S_{\nu} d\nu = 4.8 \pm 1.2 \,\text{mJy km s}^{-1} \,\text{beam}^{-1}$ for CO J=3-2 (which corresponds to a  $4\sigma$  detection significance), and  $\int S_{\nu} d\nu = 2.8 \pm 1.0 \text{ mJy km s}^{-1} \text{ beam}^{-1} \text{ for } J = 2 - 1 \text{ (2.8}\sigma \text{ significance), where } \nu \text{ is the}$ radial velocity. The CO observations were analysed using a spherically symmetric coma radiative transfer model8, expanding with a constant velocity, and subject to photolysis by solar radiation. Our data were of insufficient spectral resolution to determine the gas radial velocity based on the observed emission line profiles, thus we adopted an outflow velocity of 0.47 km s<sup>-1</sup>, derived from spectrally resolved CO observations on 15-16 December 2019 (ref. 8). Spatial filtering of the model coma images by the interferometer was accounted for using the CASA simobserve task, with the same hour angle, observation duration and array configuration as the science observations. Spectra of the two CO lines (extracted from their respective emission peak positions) were modelled simultaneously, allowing the temperature (T) and production rate (Q) to vary as free parameters until the best fit to the observed spectra was obtained (as determined using the reduced chi-squared statistic). Local thermodynamic equilibrium (LTE) was found to be applicable due to the relatively small ALMA beam size combined with the long lifetime of CO with respect to radiative transitions8.

21/Borisov was observed from Paranal observatory using FORS2 on the European Southern Observatory's (ESO) VLT, in service mode, from November 2019 until March 2020. The observation strategy depended on the epoch, serving various purposes: high-precision astrometry (taking a series of short exposures in the R filter), deep imaging for studying the coma (stacking a long series of R images), and for studying the colour of the object (alternating short images through the b, v, R, I and z filters). The journal of the observations is listed in Supplementary Table 1. The data were processed in the standard way using ESO MIDAS software, as described in ref. <sup>50</sup>. The photometric calibration was obtained from field stars appearing in the Panoramic Survey Telescope and Rapid Response System (Pan-STARRS) and Sloan Digital Sky Survey (SDSS) databases, using the colour corrections from the original filters to SDSS and Cron-Cousins systems as described in ref. <sup>60</sup>. The uncertainty reported included the dispersion on the photometric zero-points, the noise from the measurement and the error from sky background subtraction.

The magnitudes of the comet were measured through a series of circular apertures, the residual background being estimated from the median of a large region far from the object. The magnitudes measured using a 5" radius aperture are reported in Supplementary Table 2. The R magnitudes were converted into  $Af\rho$ , where A is the dust reflectivity (that is, albedo), f is the dust filling factor and  $\rho$  is the linear radius of the field of view at the comet<sup>61</sup>. An order-of-magnitude dust velocity  $\nu_{\rm d}$ , the dust mass density  $\rho_{\rm d}$ , the mean dust size  $\langle a \rangle$  inferred from optical observations, and the dust geometrical albedo  $p_V$ :

$$Af\rho \approx \frac{3 p_{\rm V} Q({\rm dust})}{v_{\rm d} \rho_{\rm d} \langle a \rangle}$$
 (5)

By taking  $\rho_{\rm d} = \rho_{\rm CD} \approx 2,650$  kg m<sup>-3</sup>,  $v_{\rm d} = 3$  m s<sup>-1</sup>,  $\langle a \rangle = 1$  mm and  $p_{\rm V} = 0.04$  (refs. <sup>26,27</sup>), we derived the dust mass-loss rates and listed them in Supplementary Table 2. We note that these are just order-of-magnitude estimates since the optical observations typically probe small, micrometre-sized dust more effectively than millimetre-sized dust and the mean dust size of  $\langle a \rangle = 1$  mm was inferred indirectly from dynamical models<sup>26,27</sup>.

### Data availability

This work makes use of ALMA dataset ADS/JAO.ALMA#2019.A.00002.S, which is available for download from the ALMA Science Archive (http://almascience.nrao.edu/aq/) following a 9-month proprietary period. The VLT dataset is available for download from the ESO Science Archive (http://archive.eso.org/eso/eso\_archive\_main.html), under ESO program ID 2103.C-5068 and 0105.C-0250, principal investigator O.R.H., following a 1-year proprietary period.

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### **Author contributions**

B.Y. led the application and organization of the ALMA observations and led the writing of this paper. A.L. performed dust modelling of the ALMA data and participated in the writing of this paper and the ALMA proposal. O.R.H. analysed the FORS data and M.A.C. analysed the CO data. C.-S.C. assisted in writing the ALMA proposal and reduced the ALMA data. J.P.W. contributed to ALMA observation design and data

interpretation. K.J.M., J.V.K. and E.V. were co-investigators on the telescope proposals and commented on the manuscript.

# **Competing interests**

The authors declare no competing interests.

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